



Longwave Radiative Effect of the Cloud-Aerosol Transition Zone Based on CERES Observations

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Abstract. This study presents an approach for quantification of cloud-aerosol transition zone broadband longwave radiative effects at the top of the atmosphere (TOA) during daytime over the ocean, based on satellite observations and radiative transfer simulation. Specifically, we used several products from MODIS (Moderate Resolution Imaging Spectroradiometer) and CERES (Clouds and the Earth's Radiant Energy System) sensors for identification and selection of CERES footprints with horizontally homogeneous transition zone and clear-sky conditions. For the selected transition zone footprints, radiative effect was calculated as the difference between the instantaneous CERES TOA upwelling broadband longwave radiance observations and corresponding clear-sky radiance simulations. The clear-sky radiances were simulated using the Santa Barbara DISORT Atmospheric Radiative Transfer model fed by the hourly ERA5 reanalysis (fifth generation ECMWF reanalysis) atmospheric and surface data. The CERES radiance observations corresponding to the clear-sky footprints detected were also used for validating the simulated clear-sky radiances. We tested this approach using the radiative measurements made by the MODIS and CERES instruments onboard Aqua platform over the south-eastern Atlantic Ocean during August 2010. For the studied period and domain, transition zone radiative effect (given in flux units) is on average equal to $8.0 \pm 3.7 \text{ W m}^{-2}$ (heating effect; median: 5.4 W m^{-2}), although cases with radiative effects as large as 50 W m^{-2} were found.



1 Introduction

Cloud and aerosol are the particular names for two specific particle suspensions in the atmosphere, which have been widely studied but continue to contribute the largest uncertainty to estimates and interpretations of the Earth's changing energy budget (Boucher et al., 2013). One of the sources of this uncertainty is the fact that they are univocally differentiated in the atmospheric science, whereas clouds and aerosols co-exist and often interact with each other, making it hard to study the effects of one regardless of the other. For instance, aerosols in the vicinity of clouds are usually hydrated in part, and their size distribution and thus their optical characteristics change relative to their dry counterpart (Várnai & Marshak, 2011). On the other hand, aerosols also affect cloud optical and microphysical properties through acting as cloud condensation nuclei and ice nucleating particles (Rosenfeld et al., 2014). Moreover, the decision on what a cloud is, or in other words where the boundaries of the clouds should be put, is a point of debate (Bar-Or et al., 2011; Calbó et al., 2017; Eytan et al., 2020; Fuchs & Cermak, 2015) and a suspension detected as cloud by one method may be labeled differently by another. This is due to the presence of a phase called the transition zone (or twilight zone) between the cloudy and so-called cloud-free skies, at which the characteristics of the suspension lay between those corresponding to the adjacent clouds and the surrounding aerosol (Koren et al., 2007; Várnai et al., 2013). This phase consists of a mixture of liquid droplets and humidified to dry aerosols, and involves various processes such as cloud dissipation/formation, aerosol hydration/dehydration, shearing of cloud fragments, clouds becoming undetectable, etc. (Eytan et al., 2020; Koren et al., 2009).

Observations have shown that transition zone occurs often over large areas. According to Koren et al. (2007), at any time almost 30-60% of the global atmosphere categorized as clear sky (cloud-free) can potentially contain this phase, which may expand up to 30 kilometers away from the detectable clouds (Bar-Or et al., 2011). On the basis of three ground-based observation systems, Calbó et al. (2017) quantified, at two mid-latitude sites, that the frequency of the transition zone was about 10%. A global analysis based on MODIS (Moderate Resolution Imaging Spectroradiometer) products performed by Schwarz et al. (2017) also suggests a frequency of 20% for the occurrence of the transition zone.

If the area covered with the suspension of particles with the characteristics of the transition zone is so vast, the question “what role does the transition zone play in the determination of the Earth's energy budget?” takes a great importance. However, as the information available about the transition zone and its interactions with radiation (in both longwave and shortwave bands) is very limited, the area corresponding to the transition zone in climatic, meteorological, and atmospheric studies and models is usually considered as an area containing either aerosols or optically thin clouds. This means that either radiative properties of clouds or those of aerosols are used to describe the radiative properties of the transition zone. Based upon sensitivity analysis performed using radiative transfer parameterizations, two recent studies (Jahani et al. 2019, 2020) showed that this assumption may lead to substantial differences in the simulated broadband shortwave and longwave radiative effects. According to these studies, for some particular situations, at an optical depth of 0.1 (at 0.550 μm) the



differences at surface and top of the atmosphere may be as large as 7.5 and 28 W m⁻² in broadband longwave and total
55 shortwave, respectively. Based upon an observational and statistical study, Eytan et al. (2020) estimated the top of the
atmosphere (TOA) radiative effect of the transition zone around shallow warm clouds in the atmospheric window region
(8.4-12.2 μm). They found that over the oceans on average the transition zone radiative effect in the mentioned spectral
region is about 0.75 W m⁻² (although they found cases with average radiative effects as large as 4 W m⁻²), which is equal to
the radiative forcing resulting from increasing atmospheric CO₂ by 75 ppm. The overall radiative effects of the transition
60 zone are likely to be higher, as the radiative effect estimations given in the latter study correspond to a lower bound of the
effect and are limited to the low-level (warm) transition zone conditions. These results highlight the importance of the
characterization of the transition zone as well as of quantifying the role it plays in the determination of Earth's energy
budget.

Although the transition zone is frequently neglected in cloud-aerosol related studies, the above numbers and the vast area
65 that potentially may contain the transition zone state give importance to the necessity of further exploring it. For this reason,
within the frame of the study, a method for the quantification of the broadband longwave radiative effects of the transition
zone at TOA over the ocean on the basis of instantaneous satellite observations and radiative transfer calculations is
presented. This method is then applied over the South-Eastern Atlantic Ocean, where cloudy conditions are frequent and
hence transition zone conditions are also expected to be frequently observed.

70 2 Methods

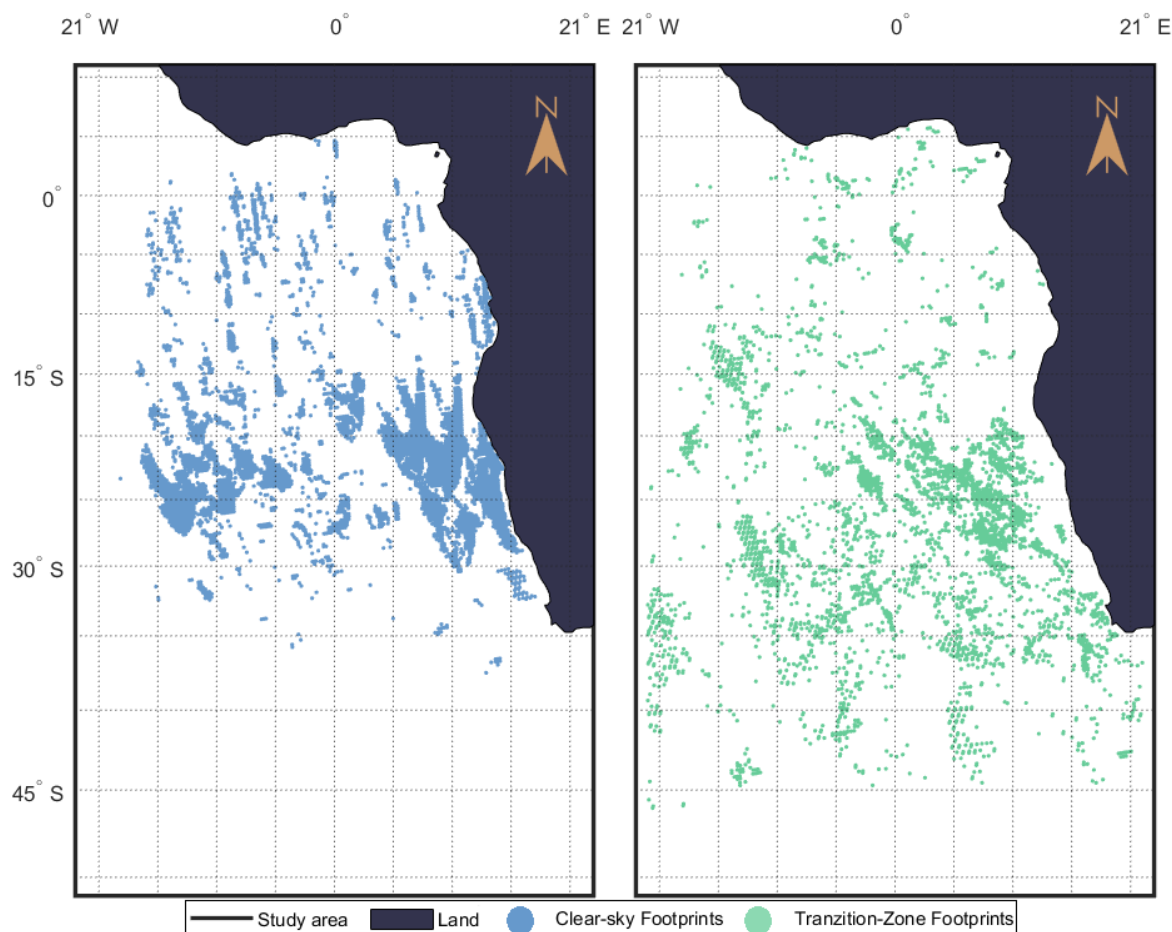
2.1 Satellite Observations

The CERES (Clouds and the Earth's Radiant Energy System) sensor is a three-channel scanning radiometer measuring the
broadband outgoing shortwave (0.3-5 μm), window-region (8-12 μm) and longwave (5-100 μm) radiances at TOA with a
spatial resolution of ~20 km at nadir (Loeb et al., 2001; Priestley et al., 2011). The Level-2 Single Scanner Footprint (SSF)
75 product of this instrument provides information about the instantaneous outgoing broadband longwave radiances at TOA
regardless of the sky condition (Loeb et al., 2018; Loeb et al., 2006). From the SSF Level-2 product, we obtained the entire
daytime instantaneous TOA outgoing broadband longwave radiance observations of the CERES instrument onboard Aqua
spacecraft ($\uparrow L_{\text{CERES}}$) along with the corresponding time, geolocation, viewing geometry and surface emissivity parameters
for August 2010 for the region comprised within 21° W-21° E and 10° N-50° S.

80 In addition, several products from the MODIS (Moderate Resolution Imaging Spectroradiometer) instrument onboard the
same satellite (Aqua) were used for identification of horizontally homogenous clear-sky and transition zone conditions
within CERES footprints. Specifically, we used the ocean products: (1) geolocation (MYD03, MODIS Characterization
Support Team (MCST), 2017); (2) Aerosol-Cloud-Mask and Aerosol Optical Depth (AOD) taken from the Level-2 Aerosol
(MYD04, Levy et al., 2015); (3) Cloud Optical Depth (COD) from the Level-2 Cloud (MYD06, Platnick et al., 2015); and



85 (4) Cloud Mask (MYD35, Ackerman & Frey, 2015). These products were obtained for all MODIS-Aqua granules that
contain data in the region 0° E- 15° E and 10° S- 30° S during August 2010, which their data spreads over the area between
 21° W- 21° E and 10° N- 50° S. By combining these products, MODIS pixels were classified into the classes “Difficult”,
“Cloud”, “Aerosol”, “Clear”, “Lost A”, “Lost B”, “Lost C” at 1-km resolution (at nadir) following the procedure explained
in Schwarz et al. (2017). Among them, the pixels labeled as “Lost” are assumed to correspond to the transition zone
90 conditions. Indeed, for these pixels neither aerosol nor cloud optical property retrievals exist, yet they are classified as
containing a cloud (Lost A), a non-cloud obstruction (Lost B), or were not processed at all in the cloud masking (Lost C).
The processed MODIS data was then integrated from 1-km resolution to CERES native resolution to determine the fraction
of each class and the average values of COD and AOD in the CERES footprints, considering equal weights for all MODIS
pixels. Afterwards, only CERES footprints meeting all the following conditions were used in the analysis: (i) solar zenith
95 angles and CERES viewing zenith angles at surface lower than 60° (to mitigate the effect of uncertainties derived from
viewing and solar geometries), (ii) no land MODIS pixels as determined using the MYD35 data is included, and (iii) number
of ocean MODIS pixels more than or equal to 75% of the expected ~ 400 pixels falling within CERES field of view (FOV; to
exclude FOVs located on the edges of the MODIS granules). Among the remaining footprints, those with a “Lost” fraction
(all lost classes together) greater than or equal to 90% were classified as horizontally homogeneous transition zone footprints
100 (the transition zone footprints selected this way, may contain up to 10% of cloud contamination). Also, those having AOD
and COD equal to zero, “Lost” fraction less than 10%, and “Difficult” fraction less than 10% were classified as horizontally
homogeneous clear-sky footprints. Based on this classification criterion, a total number of 5441 clear-sky and 3783 transition
zone footprints were detected over the South-Eastern Atlantic Ocean in August 2010. The spatial distribution of these
footprints is presented in Figure 1.



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Figure 1. Spatial distribution of the clear-sky and transition zone CERES footprints detected within the study area (21° W-21° E, 10° N-50° S) in August 2010.

2.2 Clear-sky simulations

For all transition zone and clear-sky footprints selected according to the criteria explained in section 2.1, the TOA upwelling
110 broadband longwave (5-100 μm) clear-sky radiances ($\uparrow_{\text{LRTM,clr}}$) for the CERES viewing zenith angle (θ) were simulated
using the Santa Barbara DISORT Atmospheric Radiative Transfer model (SBDART, Ricchiazzi et al., 1998), considering
the effect of all atmospheric gases. The simulations were carried out by using atmospheric profiles (Hersbach et al., 2018a)
and surface (Hersbach et al., 2018b) values provided by the fifth generation ECMWF reanalysis (ERA5), which render the
data at $0.25^\circ \times 0.25^\circ$ spatial resolution and 1h time intervals. Specifically, profiles of specific humidity, geopotential height,
115 ozone mass mixing ratio, and temperature at all available pressure levels (1000 hPa-1 hPa), as well as mean sea level
pressure and 2 m air temperature and dewpoint temperature were used. For each (clear-sky/transition-zone) footprint, the
surface and atmospheric data of the closest ERA5 cell were combined with each other and linearly interpolated in time

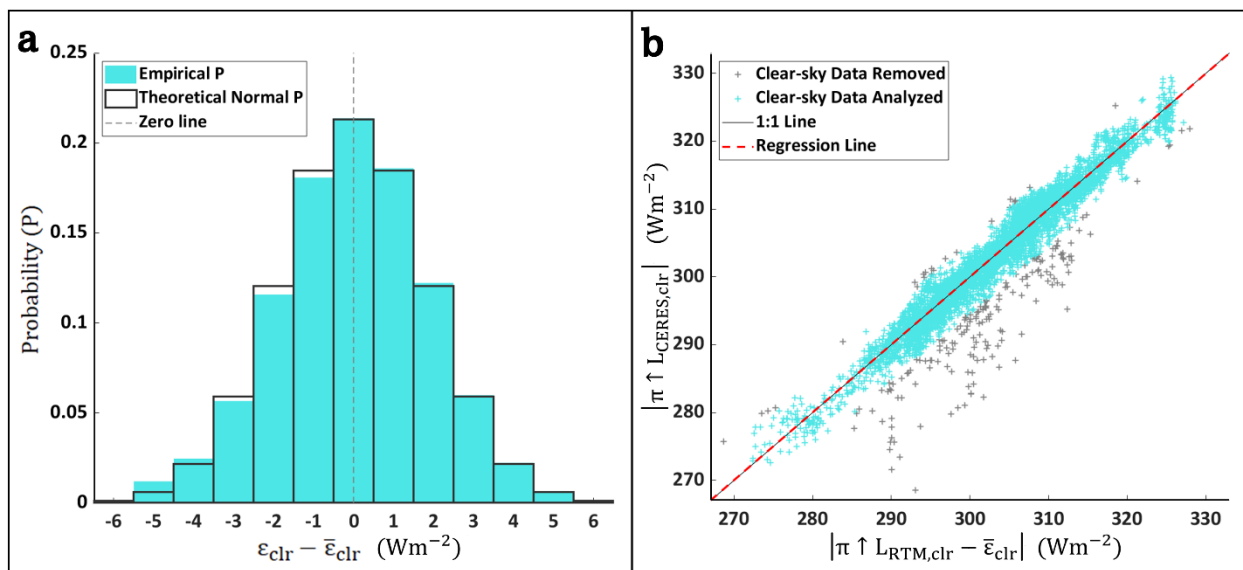


120 according to the CERES time of observation. The combined and interpolated profiles were then fed to SBDART for
simulation of $\uparrow L_{\text{RTM,clr}}$. In these simulations, the broadband sea surface emissivity and the CO₂ concentration in atmosphere
were set to the constant values of 0.982 (equal to the estimated broadband longwave sea surface emissivity included in the
125 CERES SSF data; Geier et al., 2003) and 388.71 ppm (which is the value corresponding to the year 2010; European
Environmental Agency: <https://www.eea.europa.eu/>, last access: 13 May 2021), respectively. As for the other gases the
default concentration values included in SBDART model were used. For each individual clear-sky and transition zone
footprint, SBDART model was ran with 20 zenithal streams and the spectral upwelling radiances (including the solar
125 contribution, which actually is very low) were calculated in the range of 5-100 μm in steps of 0.2 μm . Then, the upwelling
radiances at 30 km altitude at the SBDART computational zenithal angles were outputted and linearly interpolated to
determine the magnitude of the upwelling radiance in the direction θ . Throughout this paper, we give negative sign to the
physically upwelling radiances.

The simulated clear-sky radiances ($\uparrow L_{\text{RTM,clr}}$) were then validated through comparing them with the $\uparrow L_{\text{CERES}}$ values
130 corresponding to the clear-sky footprints ($\uparrow L_{\text{CERES,clr}}$). The comparison was made using the corresponding isotropic
irradiances ($\pi \uparrow L_{\text{CERES,clr}}$ and $\pi \uparrow L_{\text{RTM,clr}}$), and was based on the linear correlation coefficient between the simulated and the
measured values, as well as by analyzing the probability distribution, mean and variance of the differences. First, for each
individual clear-sky footprint, the difference between the calculated and observed clear-sky upward irradiances (ϵ_{clr} , W m^{-2})
was determined according to Eq. 1:

$$135 \quad \epsilon_{\text{clr}} = \pi \uparrow L_{\text{RTM,clr}} - \pi \uparrow L_{\text{CERES,clr}} \quad \text{Eq. 1}$$

Second, outliers were removed from the dataset by applying the quartiles method. Thus, among all clear-sky footprints (5441
footprints), those with a ϵ_{clr} more than 1.5 interquartile ranges above the upper quartile or below the lower quartile (197
footprints) were discarded. Statistical analysis of the ϵ_{clr} values corresponding to the remaining clear-sky footprints showed
that $\pi \uparrow L_{\text{RTM,clr}}$ and $\pi \uparrow L_{\text{CERES,clr}}$ values are strongly correlated ($r^2 = 0.96$) and that ϵ_{clr} values are normally distributed around
140 the mean value (hereafter denoted as $\bar{\epsilon}_{\text{clr}}$) of about 8.0 W m^{-2} with a standard deviation of about 1.9 W m^{-2} . The probability
distribution of the ϵ_{clr} values around $\bar{\epsilon}_{\text{clr}}$ and the scatter plot of $\pi \uparrow L_{\text{CERES,clr}}$ versus $\pi \uparrow L_{\text{RTM,clr}}$ values shifted by $\bar{\epsilon}_{\text{clr}}$ are
provided in Figure 2.



145 **Figure 2. (a) Empirical (solid turquoise fill) and fitted theoretical normal (black lines) probability distributions of ε_{clr} around $\bar{\varepsilon}_{\text{clr}}$, (b) scatter plots of $\pi \uparrow L_{\text{CERES,clr}}$ versus $\pi \uparrow L_{\text{RTM,clr}}$ values shifted by $\bar{\varepsilon}_{\text{clr}}$ in absolute sense. In Figure 2b, the gray points show the (outlier) data points discarded based on the quartile method.**

The facts that ε_{clr} values are normally distributed around $\bar{\varepsilon}_{\text{clr}}$ and that clear-sky observations and simulations are strongly correlated (and with a slope of the linear fit very close to 1) confirm that $\pi \uparrow L_{\text{RTM,clr}}$ values are systematically biased by about
 150 8.0 W m^{-2} ($\bar{\varepsilon}_{\text{clr}}$) in comparison with the $\pi \uparrow L_{\text{CERES,clr}}$ values. As the upwelling irradiances/radiances are negative by definition, the bias found indicates an underestimation of the simulation in absolute terms. Also, the distribution of ε_{clr} values shows that a random disagreement of about $\pm 3.7 \text{ W m}^{-2}$ at 95% confidence level (two-tailed, $\varepsilon_{\text{clr},95}$) exists between the clear-sky observations and simulations. The bias and the random disagreement must be due to the combined effect of the
 155 parallel atmosphere assumption, number of streams used in the calculations), the spectral resolution at which the radiative transfer calculations were performed (SBDART is based on LOWTRAN band models, and it was found by Wacker et al., (2009) that the spectrally integrated clear-sky downwelling longwave irradiances simulated by LOWTRAN models is systematically 6 Wm^{-2} lower in comparison with line-by-line models or using the high resolution MODTRAN correlated-k bands), temporal and spatial matching of the ERA5 profiles with the CERES footprints, and the uncertainties associated with
 160 measuring the $\uparrow L_{\text{CERES}}$. According to Loeb et al. (2001), up to 0.2% of error with a standard deviation of 0.1% is associated with $\uparrow L_{\text{CERES}}$ which is indeed measured by subtracting the radiances received at the shortwave and total channels of the sensor with the appropriate spectral correction coefficients (not directly measured). Some proportion of this error could also be due to the longwave radiation scattered from the adjacent CERES footprints, which should be rather small as the magnitude of scattering by atmospheric particles for the wavelengths between 5 and 100 μm is rather neglectable. We tested



165 the sensitivity of ε_{clr} values to the input surface temperature, water vapor mixing ratio profile, surface emissivity parameters,
as well as the number of zenithal streams used in the radiative transfer calculations. We found that, as expected, ε_{clr} values
vary considerably with very small changes in surface temperature (increasing/decreasing surface temperature by 1K will
increase/reduce $\bar{\varepsilon}_{\text{clr}}$ by about 60%), whereas the effect of other parameters is very small. Given the fact that the temperature
may notably vary in the first 2 meters of the atmosphere, the possible bias and uncertainties associated with the ERA5
170 surface data utilized could possibly explain some parts of the disagreements (bias and uncertainty) observed between
 $\pi \uparrow L_{\text{CERES,clr}}$ and $\pi \uparrow L_{\text{RTM,clr}}$.

2.3 Transition Zone Radiative Effects

The broadband longwave (5-100 μm) radiative effect on flux (assuming an isotropic distribution for the radiance) for the
transition zone footprints (RE_{trz} , W m^{-2}) was calculated as the difference between the radiances measured by CERES
175 ($\uparrow L_{\text{CERES,trz}}$) and the corresponding simulated clear-sky values ($\uparrow L_{\text{RTM,clr}}$) according to Eq. 2:

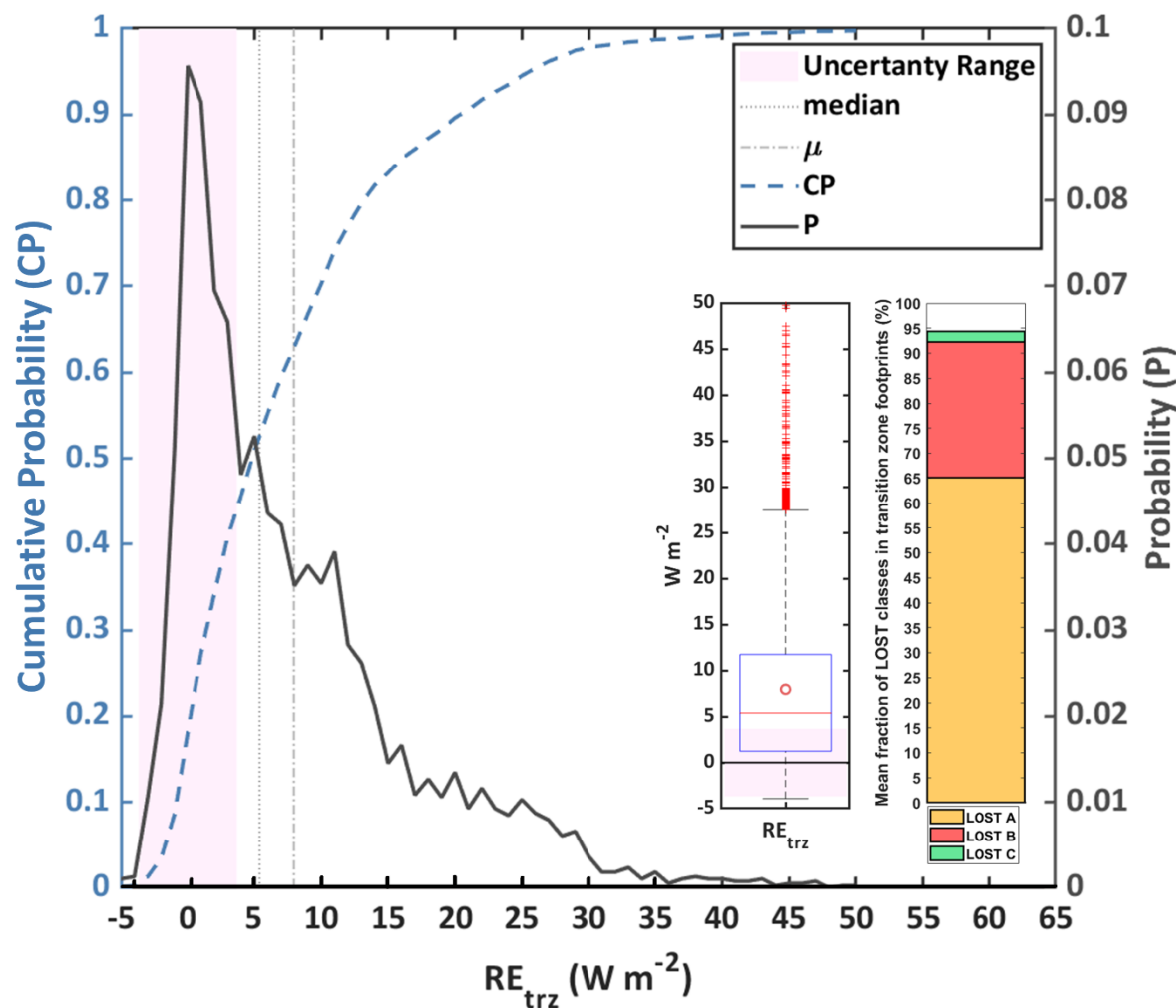
$$\text{RE}_{\text{trz}} = \pi \uparrow L_{\text{CERES,trz}} - (\pi \uparrow L_{\text{RTM,clr}} - \bar{\varepsilon}_{\text{clr}}) \quad \text{Eq. 2}$$

In this equation, $\bar{\varepsilon}_{\text{clr}}$ is included for canceling the systematic bias in the estimation of $\uparrow L_{\text{RTM,clr}}$ (see Section 2.2). According
to the uncertainty assessment described in section 2.2, a random error of about $\pm 3.7 \text{ W m}^{-2}$ (at 95% confidence level) is
associated with the RE_{trz} values calculated this way. Worth mentioning that as in the present study we have given negative
180 sign to the physically upwelling radiances, a positive and negative RE_{trz} will imply heating and cooling effects, respectively.
Also, it should be noted that RE_{trz} values determined this way are indeed RE on radiance, despite they are presented in
irradiance units (W m^{-2}) assuming isotropic radiance.



3 Results and Discussion

Figure 3 shows the probability distribution of the RE_{trz} values obtained from analyzing the 3783 transition zone CERES
185 footprints detected over the South-East Atlantic region during August 2010 based on the criteria and methods explained in
section 2. In this figure, the left and right axis show the cumulative and absolute empirical probabilities of RE_{trz} ,
respectively. The box plot given in this figure also summarizes the RE_{trz} values calculated for all transition zone footprints.
The bar chart shows the mean frequency of the three MODIS lost classes (A, B and C) in the CERES transition zone
footprints analyzed. From this figure it can be seen that “Lost A” is the most frequent class among all the “Lost” classes,
190 followed by “Lost B” and “Lost C”, which is in line with the results of Schwarz et al. (2017). The absolute probability of the
 RE_{trz} values provided in Figure 3 shows that for the studied period and domain the RE_{trz} values extend from -4 to 50 W m^{-2}
and follow a right-skewed distribution with a mean and median of about 8.0 and 5.4 W m^{-2} , respectively. Among these
values, a vast majority (84%) of them are positive. This implies that, as expected, for the vast majority of the transition zone
CERES footprints analyzed, the outgoing longwave radiation at TOA was smaller than what it would have been if no
195 suspension was present (as in the present study the upwelling radiances have been indicated with negative signs). In other
words, the results show that at most of these footprints, a suspension of particles exists which cannot be classified as cloud or
aerosol, but it is clearly interacting with the longwave radiation emitted from the sea surface and causing a reduction in the
outgoing longwave radiation at TOA (heating effect). The information provided in Figure 3 also shows that for around 60%
of the cases analyzed, the magnitude of the interactions of this suspension is indeed greater than that of the uncertainties
200 associated with the methodology adopted ($\pm 3.7 \text{ W m}^{-2}$). These facts prove that the radiative effects shown in Figure 3 are not
coincidental; contrarily, they must be due to the transition zone particle suspension. They also prove that the transition zone
occurs over a vast area which makes it possible to observe its TOA radiative signature in radiative measurements at a spatial
resolution as coarse as that of CERES. The heating effects corresponding to the transition zone footprints with the magnitude
of RE_{trz} greater than that of the method uncertainty must be due to the absorption of the longwave radiation emitted from the
205 sea surface by the transition zone particles and the subsequent emission by the same particles at a temperature which is
considerably cooler than that of the sea surface.



210 **Figure 3. Empirical cumulative (left axis) and absolute (right axis) probability distributions of the RE_{trz} calculated for the 3783 transition zone footprints selected in the South-East Atlantic Ocean during August 2010. In this figure, the RE_{trz} bins are 1 W m^{-2} wide and centered at each enter number. The area colored in pink shows the uncertainty range, which was obtained through validating the $\pi \uparrow_{LRTM,clr}$ against $\pi \uparrow_{LCERES,clr}$ (for more information refer to Figure 2-a). The box plot and bar chart show dispersion of the RE_{trz} values and mean fraction of the “Lost” classes in the transition zone footprints analyzed, respectively. (note: in this figure, μ stands for mean of RE_{trz})**

215 The fact that the probability distribution of the RE_{trz} values is right-skewed and has a tail extending up to 50 W m^{-2} , also indicates that the REs calculated in the present study are due to particle suspensions between cloud-free and cloudy skies with different micro- and macro-physical characteristics, which is to be expected and is consistent with what is referred to as the transition zone: a phase of particles between the cloudy and so-called cloud-free skies, at which the characteristics of the suspension lay between those corresponding to the adjacent clouds and the surrounding aerosols (Koren et al., 2007; Várnai et al., 2013). Among all RE_{trz} values illustrated in Figure 3, for example, almost 41% of them are within the uncertainty
 220 range ($-3.7 \leq RE_{trz} \leq 3.7 \text{ W m}^{-2}$). These REs, which comprise almost all (98%) negative and 30% of the positive RE_{trz} values,



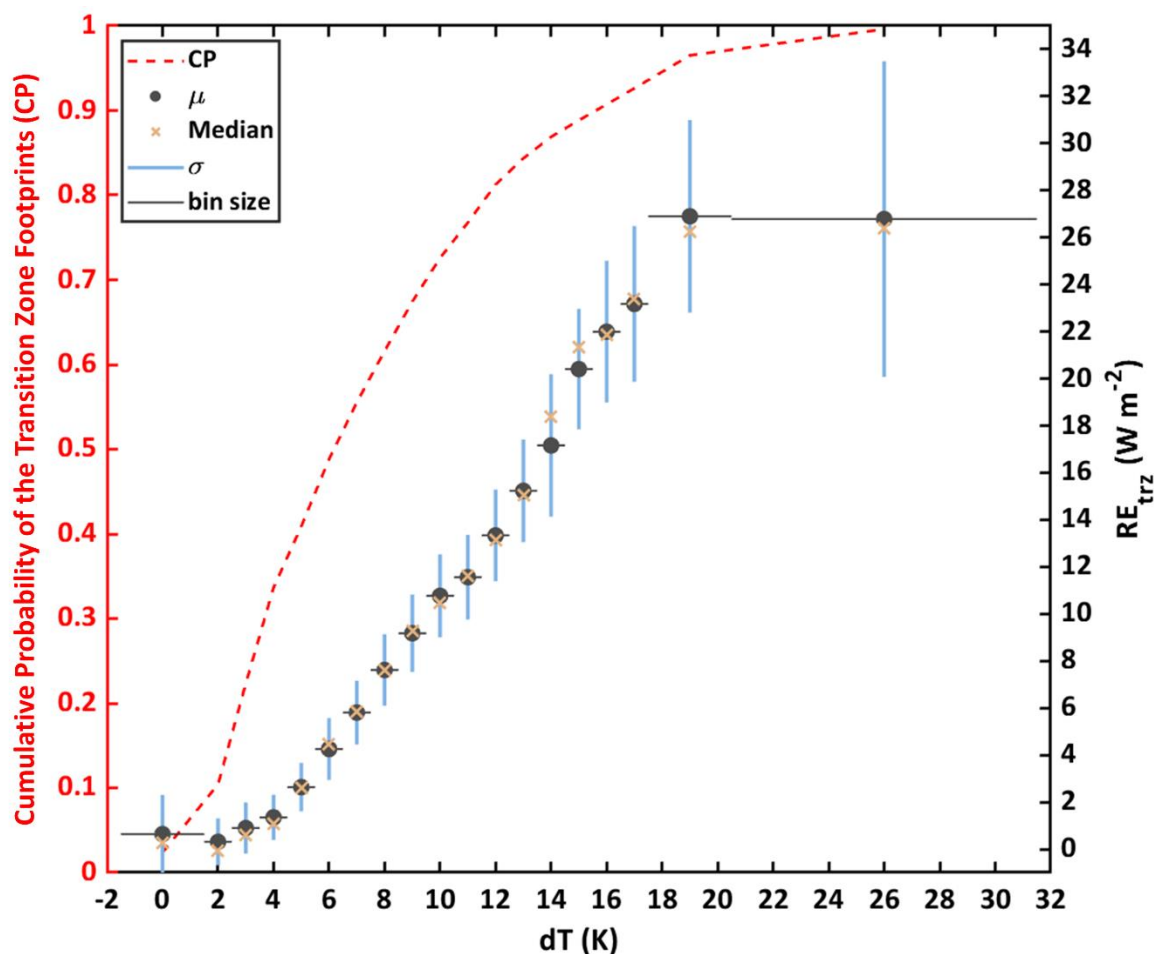
could potentially represent transition zone conditions with characteristics very close to clear-sky condition (relatively low concentration of particles), or those at which the upward emission by transition zone suspension is performed at temperatures close to the sea surface temperature. Whereas the extreme values at the right tail of the distribution (RE_{trz} values greater than 27.6 W m^{-2} , shown with red marks in the boxplot given in Figure 3, that is the 3.7% highest values) correspond to transition zone footprints which are contaminated with the edges of optically thick clouds, as the transition zone footprint selection criteria applied in the present study allows up to 10% contribution from other classes (see section 2.1).

The difference between the temperatures at which the emission is performed (dT , K), specifically between the sea surface and the top of a parcel of an atmospheric particle suspension, plays a primary role in the longwave RE of this suspension at TOA. It also provides some descriptive information about the characteristics of the particle suspension. To be able to further characterize the transition zone conditions detected within the study area, dT was approximated for each transition zone footprint. In this approximation sea surface temperature was taken equal to the ERA5 reanalysis 2 m air temperature corresponding to the closest ERA5 grid cell linearly interpolated in time according to the time of observation (i.e., the temperature used in the clear-sky simulations). Transition zone suspension top temperature was assumed equal to CERES SSF levels 2 instantaneous cloud top temperature (Minnis et al., 2011). This assumption was made because this parameter is indeed the average of MODIS cloud top temperature retrievals made for the cloudy MODIS pixels (determined by the MODIS cloud mask) falling within CERES FOV. It should be noted that in case of the transition zone footprints, according to the bar chart provided in Figure 3, 65% of the MODIS pixels were labeled as “Lost A”, and that for “Lost A” pixels, cloud top temperature was retrieved, as they were initially labeled as cloud by the cloud mask. In other words, for the transition zone footprints, the temperature of the top of the suspension is the result of averaging cloud top temperature retrieved for both the “Lost A” pixels and the potential cloudy pixels falling within the remaining $\leq 10\%$ of the FOV (see section 2.1 for more information).

Figure 4 shows the values of RE_{trz} as a function of dT . In this figure, gray filled circular markers, yellow crosses and vertical blue lines show the mean and median and standard deviation of the RE_{trz} values corresponding to each dT bin, respectively. The horizontal black lines also indicate the width of each dT bin. The information provided in this figure shows that RE_{trz} is strongly correlated with dT and it increases with dT , which confirms the abovementioned statement regarding the relationship between RE and temperature at which the LW radiation is emitted. From this figure it can also be seen that dT for the transition zone footprints analyzed in the present study varies between -1.5 and 31 K . This implies that the transition zone footprints selected and analyzed in the present study in fact represent transition zone conditions at different altitudes (i.e., dT increases with altitude) and with different characteristics. The transition zone footprints with relatively small dT values (specifically those falling in the first four dT bins shown in Figure 4), for example, could potentially represent transition zone conditions near the sea surface with characteristics similar to those of the low clouds. According to Figure 4, RE_{trz} corresponding to these latter footprints, which comprise around 85% of the footprints that their RE_{trz} falls within the uncertainty range (see Figure 3), is on average about 0.8 W m^{-2} . This number is closely in agreement with what was found by Eytan et al. (2020) as the globally averaged magnitude of RE_{trz} around the warm low cloud fields ($\sim 0.75 \text{ W m}^{-2}$), even



255 though the method adopted by them is quite different to what is proposed in the present study. Specifically, in Eytan et al.
(2020) distance from the nearest cloud (Koren et al., 2007) was used as a statistical measure for the likelihood of finding
twilight conditions and RE_{trz} was calculated based on mean TOA MODIS radiance observations. In contrast, the
methodology proposed in the present study is based on instantaneous satellite observations and radiative transfer
calculations. Furthermore, the fact that the dT corresponding to the present study transition zone footprints varies between -
260 1.5 and 31 K shows that the methodology proposed in the present study is capable of capturing the radiative signatures of
transition zone conditions with a broad range of characteristics at CERES measurement spatial resolution and thus can be
applied for studying REs of transition zone conditions with different characteristics.



265 **Figure 4.** Cumulative probability (left axis) and RE_{trz} (right) of the transition zone footprints analyzed as a function of dT . The vertical blue lines, black circles and yellow crosses indicate the standard deviation (σ), mean (μ) and median of the RE_{trz} values in each dT bin, respectively. The horizontal black lines show the width of each dT bin. The r^2 values given in this figure show the determination coefficients between mean (and median) values of RE_{trz} corresponding to the dT bins and dT .



4 Summary, Conclusions, and implications for atmospheric research

In the present study, a method for quantification of the broadband longwave radiative effects of the transition zone at TOA (RE_{trz}) during daytime over the ocean based on satellite observations and radiative transfer simulations was proposed. Specifically, RE_{trz} was computed as the difference between the longwave irradiance as measured by CERES (Clouds and the Earth's Radiant Energy System) and the clear-sky irradiance as computed by Santa Barbara DISORT Atmospheric Radiative Transfer (SBDART) model run for the same place and moment, with the input data from ERA5 reanalysis. The identification of the transition zone conditions (CERES footprints) is based on MODIS (Moderate Resolution Imaging Spectroradiometer) products following the Schwarz et al. (2017) method, and 3783 cases have been found for the analyzed area in the SE Atlantic Ocean for August 2010. The uncertainty of the method for RE estimation was assessed by means of applying the same approach on clear-sky regions. This approach was applied to the data recorded by the CERES and MODIS sensors onboard Aqua platform during August 2010 over the South-East Atlantic Ocean. The results obtained from this analysis can be summarized as follows:

- The transition zone occurs over vast areas which makes it possible to observe its TOA radiative signature in radiative measurements at a spatial resolution as coarse as that of CERES.
- The methodology proposed in the present study is capable of quantifying the radiative effects of transition conditions with a wide range of characteristics with an accuracy of about $\pm 3.7 \text{ W m}^{-2}$ at 95% confidence level, based on instantaneous satellite measurements and radiative transfer simulations.
- For the studied period and domain, RE_{trz} is on average equal to 8.0 W m^{-2} (heating effect; median: 5.4 W m^{-2}), although cases with RE_{trz} with magnitudes as large as 50 W m^{-2} were observed.
- Low-level transition zone conditions (determined based on the difference between the layer top and surface temperature) on average produce a RE of about 0.8 W m^{-2} .

These results and those found by other studies show that the transition zone is indeed an important phase of particle suspensions in the atmosphere with a notable radiative signature in the longwave region, which deserves to be further investigated. The methodology presented in the current study provides the opportunity to gather information about the longwave radiative effects of homogeneous transition zone conditions with different characteristics. This information can be useful for characterizing the transition zone as an additional intermediate phase of particle suspension (class) between cloudy and cloud-free skies (containing aerosols or not) in the remote sensing algorithms, as well as in climatic, meteorological, and atmospheric studies. Nevertheless, this approach only provides information about the longwave radiative effects of the transition zone and the RE_{trz} values given in the present study were obtained by analyzing only one month of data at a particular study area. To be able to understand the role that the transition zone plays in the determination of the Earth's energy budget and the climate system, it is required to study the transition zone radiative effects in both longwave and shortwave spectral bands over larger domains and longer time spans. These aspects should be the matter of future research efforts.



Code availability

The source codes of Santa Barbra DISORT Atmospheric Radiative Transfer (SBDART) model can be accessed from <https://github.com/paulricchiazzi/SBDART.git>, last access: May 2021.

Data Availability Statement

305 All the data used in this study are publicly available and details on the datasets is provided in Section 2. The ERA5 reanalysis data used in this study are accessible from the Copernicus Climate Data Store at <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=overview> and <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=overview>, last access: February 2021. The MODIS data was obtained from the Level-1 and Atmosphere Archive & Distribution System (LAADS) Distributed Active Archive Center (DAAC) at <https://ladsweb.modaps.eosdis.nasa.gov/>, last access: February 2021. The CERES data was obtained from https://ceres-tool.larc.nasa.gov/order/products?CERESProducts=SSFlevel2_Ed4, last access: February 2021.

Author contribution

315 All authors contributed to the development of the initial idea, design of the study, interpretation of the results and reviewing the paper. Hendrik Andersen processed the MODIS data. Babak Jahani performed the computations, analyzed the data, and wrote the paper.

Competing interests

The authors declare that they have no conflict of interest.

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