



1 **Aqueous SOA formation from the photo-oxidation of vanillin: Direct** 2 **photosensitized reactions and nitrate-mediated reactions**

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11 **Abstract.** Vanillin (VL), a phenolic aromatic carbonyl abundant in biomass burning emissions, forms triplet excited states
12 (³VL*) under simulated sunlight leading to aqueous secondary organic aerosol (aqSOA) formation. This direct
13 photosensitized oxidation of VL was compared with nitrate-mediated VL photo-oxidation under atmospherically relevant
14 cloud and fog conditions, through examining the VL decay kinetics, product compositions, and light absorbance changes.
15 The majority of the most abundant products from both VL photo-oxidation pathways were potential Brown carbon (BrC)
16 chromophores. In addition, both pathways generated oligomers, functionalized monomers, and oxygenated ring-opening
17 products, but nitrate promoted functionalization and nitration, which can be ascribed to its photolysis products ([•]OH, [•]NO₂,
18 and N(III), NO₂⁻ or HONO). Moreover, a potential imidazole derivative observed from nitrate-mediated VL photo-oxidation
19 suggested that ammonium may be involved in the reactions. The effects of secondary oxidants from ³VL*, pH, the presence
20 of volatile organic compounds (VOCs) and inorganic anions, and reactants concentration and molar ratios on VL photo-
21 oxidation were also explored. Our findings show that the secondary oxidants (¹O₂, O₂⁻/[•]HO₂, [•]OH) from the reactions of
22 ³VL* and O₂ play an essential role in VL photo-oxidation. Enhanced oligomer formation was noted at pH <4 and in the
23 presence of VOCs and inorganic anions, probably due to additional generation of radicals ([•]HO₂ and CO₃⁻). Also,
24 functionalization was dominant at low VL concentration, whereas oligomerization was favored at high VL concentration.
25 Furthermore, guaiacol oxidation by photosensitized reactions of VL was observed to be more efficient relative to nitrate-
26 mediated photo-oxidation. Lastly, potential VL photo-oxidation pathways under different reaction conditions were proposed.
27 This study indicates that the direct photosensitized oxidation of VL, which nitrate photolysis products can further enhance,
28 may be an important aqSOA source in areas influenced by biomass burning emissions.



29 1 Introduction

30 Aqueous reactions can be an important source of secondary organic aerosols (SOA) (Blando and Turpin, 2000; Volkamer et
31 al., 2009; Lim et al., 2010; Ervens et al., 2011; Huang et al., 2011; Lee et al., 2011; Smith et al., 2014) such as highly-
32 oxygenated and low-volatility organics (Hoffmann et al., 2018; Liu et al., 2019) which may affect aerosol optical properties
33 due to contributions to Brown Carbon (BrC) (Gilardoni et al., 2016). BrC refers to organic aerosols that absorb radiation
34 efficiently in the near-ultraviolet (UV) and visible regions (Laskin et al., 2015). The formation of aqueous SOA (aqSOA) via
35 photochemical reactions involves oxidation, with hydroxyl radical ($\cdot\text{OH}$) usually considered as the primary oxidant
36 (Herrmann et al., 2010; Smith et al., 2014). The significance of photosensitized chemistry in atmospheric aerosols has
37 recently been reviewed (George et al., 2015). For instance, triplet excited states of organic compounds ($^3\text{C}^*$) from the
38 irradiation of light-absorbing organics such as non-phenolic aromatic carbonyls (Canonica et al., 1995; Anastasio et al.,
39 1996; Vione et al., 2006; Smith et al., 2014) have been reported to oxidize phenols at faster rates and with higher aqSOA
40 yields compared to $\cdot\text{OH}$ (Sun et al., 2010; Smith et al., 2014; Yu et al., 2014; Smith et al., 2016). Aside from being an
41 oxidant, $^3\text{C}^*$ can also be a precursor of singlet oxygen ($^1\text{O}_2$), superoxide ($\text{O}_2^{\cdot-}$) or hydroperoxyl ($\cdot\text{HO}_2$) radical, and $\cdot\text{OH}$ (via
42 $\text{HO}_2^{\cdot}/\text{O}_2^{\cdot-}$ formation) upon reactions with O_2 and substrates (e.g., phenols), respectively (Tinel et al., 2018). The $^3\text{C}^*$
43 concentration in typical fog water has been estimated to be >25 times than that of $\cdot\text{OH}$, making $^3\text{C}^*$ the primary photo-
44 oxidant for biomass burning phenolic compounds (Kaur and Anastasio, 2018; Kaur et al., 2019). Recent works on triplet-
45 driven oxidation of phenols have mainly focused on changes of physicochemical properties (e.g., light absorption) and
46 aqSOA yield (e.g., Smith et al., 2014, 2015, 2016), with few reports on reaction mechanisms and characterization of reaction
47 products (e.g., Yu et al., 2014; Chen et al., 2020; Jiang et al., 2021).

48 Inorganic nitrate is a major component of aerosols and cloud/fog water. In cloud and fog water, the concentrations
49 of inorganic nitrate can vary from 50 μM to >1000 μM , with higher levels typically noted under polluted conditions (Munger
50 et al., 1983; Collett et al., 1998; Zhang and Anastasio, 2003; Li et al., 2011; Giulianelli et al., 2014; Bianco et al., 2020).
51 Upon photolysis (Vione et al., 2006; Herrmann, 2007; Scharko et al., 2014), inorganic nitrate in cloud and fog water can
52 contribute to BrC (Minero et al., 2007) and aqSOA formation (Huang et al., 2018; Klodt et al., 2019; Zhang et al., 2021) by
53 generating $\cdot\text{OH}$ and nitrating agents (e.g., $\cdot\text{NO}_2$). For example, the aqSOA yields from the photo-oxidation of phenolic
54 carbonyls in nitrate are twice as high as that in sulfate solution (Huang et al., 2018). Nitration is a significant process in the
55 formation of light-absorbing organics or BrC in the atmosphere (Jacobson, 1999; Kahnt et al., 2013; Mohr et al., 2013;
56 Laskin et al., 2015; Teich et al., 2017; Li et al., 2020). Furthermore, nitrate photolysis has been proposed to be a potentially
57 important process for SO_2 oxidation via the generation of $\cdot\text{OH}$, $\cdot\text{NO}_2$, and N(III) within particles (Gen et al., 2019a, 2019b),
58 and it can also potentially change the morphology of atmospheric viscous particles (Liang et al., 2021). Accordingly, both
59 $^3\text{C}^*$ and inorganic nitrate can contribute to aqSOA and BrC formation.

60 Biomass burning (BB) is a significant atmospheric source of both phenolic and non-phenolic aromatic carbonyls
61 (Rogge et al., 1998; Nolte et al., 2001; Schauer et al., 2001; Bond et al., 2004). An example is vanillin (VL) (Henry's law



62 constant of $4.56 \times 10^5 \text{ M atm}^{-1}$; Yaws, 1994), a model compound for methoxyphenols which are abundant in BB emissions
63 (Pang et al., 2019a), which has been shown to yield low-volatility products (Li et al., 2014) via aqueous $\cdot\text{OH}$ oxidation and
64 direct photodegradation. Photodegradation kinetics and aqSOA yields have been reported for direct VL photodegradation
65 (Smith et al., 2016), with oxygenated aliphatic-like compounds (high H:C, ≥ 1.5 and low O:C, ≤ 0.5 ratios) reported as the
66 most likely products (Loisel et al., 2021). Additionally, aqueous-phase reactions of phenols with reactive nitrogen species
67 have been proposed to be a significant source of nitrophenols and SOA (Grosjean, 1985; Kitanovski et al., 2014; Kroflić et
68 al., 2015; Pang et al., 2019a; Kroflić et al., 2021; Yang et al., 2021). For instance, nitrite-mediated VL photo-oxidation can
69 generate nitrophenols, and the reactions are influenced by nitrite/VL molar ratios, pH, and the presence of $\cdot\text{OH}$ scavengers
70 (Pang et al., 2019a).

71 As BB aerosols are typically internally mixed with other aerosol components (Zielinski et al., 2020), VL may
72 coexist with nitrate in BB aerosols. The aqueous-phase photo-oxidation of VL and nitrate may then reveal insights into the
73 atmospheric processing of BB aerosols. In addition, pollution from large BB events in central Amazonia has been reported to
74 interact with volatile organic compounds (VOCs) and soil dust (Rizzo et al., 2010). Moreover, the production, growth, and
75 chemical complexity of SOA can be influenced by the uptake and aerosol-phase reactions of VOCs (Pöschl, 2005; De Gouw
76 and Jimenez, 2009; Ziemann and Atkinson, 2012). Accordingly, studies incorporating other atmospherically relevant species
77 (e.g., VOCs and inorganic anions) in photo-oxidation experiments are warranted.

78 To evaluate the potential significance of VL and its reactions with nitrate in aqSOA formation in cloud/fog water,
79 we studied the direct photosensitized oxidation of VL and nitrate-mediated VL photo-oxidation under atmospherically
80 relevant conditions. In this work, reactions were characterized based on VL decay kinetics, light absorbance changes, and
81 products. The influences of secondary oxidants from VL triplets, solution pH, the presence of VOCs and inorganic anions,
82 and reactants concentration and molar ratios on these two photo-oxidation pathways were also assessed. The $^3\text{C}^*$ of non-
83 phenolic aromatic carbonyls (e.g., 3-4-dimethoxybenzaldehyde, DMB; a non-phenolic aromatic carbonyl) (Smith et al.,
84 2014; Yu et al., 2014; Jiang et al., 2021) and phenolic aromatic carbonyls (e.g., acetosyringone, vanillin) (Smith et al., 2016)
85 have been shown to oxidize phenols, but the reaction products from the latter are unknown. We then examined the photo-
86 oxidation of guaiacol, another non-carbonyl phenol, in the presence of VL and compared it with nitrate-mediated photo-
87 oxidation. Finally, we proposed photo-oxidation pathways of VL under different reaction conditions. This work presents a
88 comprehensive comparison of VL photo-oxidation by VL photosensitization and in the presence of inorganic nitrate.

89

90 **2 Methods**

91 **2.1 Aqueous phase photo-oxidation experiments**

92 Photo-oxidation experiments were performed in a 500-mL custom-built quartz photoreactor equipped with a magnetic
93 stirrer. The solutions were bubbled with synthetic air or nitrogen (N_2) (>99.995%) for 30 min before irradiation to achieve



94 air- and N₂-saturated conditions, respectively, and the bubbling was continued throughout the reactions (Du et al., 2011;
95 Chen et al., 2020). The aim of the air-saturated experiments was to enable the generation of secondary oxidants (¹O₂, O₂[•]
96 /HO₂, [•]OH) from ³VL* as O₂ is present. Conversely, the N₂-saturated experiments would inhibit the formation of these
97 secondary oxidants, leading to ³VL*-driven reactions. Solutions were irradiated through the quartz window of the reactor
98 using a xenon lamp (model 6258, Ozone free xenon lamp, 300 W, Newport) equipped with a longpass filter (20CGA-305 nm
99 cut-on filter, Newport) to eliminate light below 300 nm. Cooling fans positioned around the photoreactor and lamp housing
100 maintained reaction temperatures at 27±2 °C. The averaged initial photon flux in the reactor from 300 to 380 nm measured
101 using a chemical actinometer (2-nitrobenzaldehyde) was 2.6×10¹⁵ photons cm⁻² s⁻¹ nm⁻¹ (Fig. S1). Although the
102 concentration of VL in cloud/fog water has been estimated to be <0.01 mM (Anastasio et al., 1996), a higher VL
103 concentration (0.1 mM) was used in this study to guarantee sufficient signals for product identification (Vione et al., 2019).
104 The chosen ammonium nitrate (AN) concentration (1 mM) was based on values observed in cloud and fog water (Munger et
105 al., 1983; Collett et al., 1998; Zhang and Anastasio, 2003; Li et al., 2011; Giulianelli et al., 2014; Bianco et al., 2020). We
106 also examined the role of VOCs (2-propanol, IPA) (1 mM) and inorganic anions (sodium bicarbonate, NaBC) (1 mM) in
107 these reactions. IPA can be classified as both a biogenic (from grass, Olofsson et al., 2003) and anthropogenic VOC (e.g.,
108 from solvents and industrial processes, Hippelein, 2004; Lewis et al., 2020), while bicarbonate is an inorganic anion
109 observed in fog water from both urban and rural locations (Collett et al., 1999; Straub et al., 2012; Straub, 2017). IPA and
110 NaBC are particularly interesting also because they can produce other radicals (e.g., [•]HO₂ and carbonate radical, CO₃[•]) that
111 may react with nitrate photolysis products (Vione et al., 2009; Wang et al., 2021) and they can act as [•]OH scavengers
112 (Warneck and Wurzinger, 1988; Vione et al., 2009; Gen et al., 2019b; Pang et al., 2019a), although it must be noted that
113 these compounds were not added in excess for our experiments. Moreover, comparisons were made between the photo-
114 oxidation of guaiacol (0.1 mM), a non-carbonyl phenol, in the presence of VL (0.1 mM) or AN (1 mM). Samples (10 mL)
115 were collected hourly for a total of 6 h for offline optical and chemical analyses. Absorbance measurements, VL (and GUA)
116 decay kinetics (calibration curves for VL and GUA standard solutions; Fig. S2), small organic acids measurements, and
117 product characterization were conducted using UV-Vis spectrophotometry, ultra-high-performance liquid chromatography
118 with photodiode array detector (UHPLC-PDA), ion chromatography (IC), and UHPLC coupled with quadrupole time-of-
119 flight mass spectrometry (UHPLC-qToF-MS) equipped with an electrospray ionization (ESI) source and operated in the
120 positive ion mode (the negative ion mode signals were too low for our analyses), respectively. Each experiment was repeated
121 independently at least three times and measurements were done in triplicate. Details on the materials and analytical
122 procedures are provided in the Supporting Information (Text S1 to S6). The pseudo-first-order rate constant (*k'*) for VL
123 decay was determined using the following equation (Huang et al., 2018):

124

125

126

$$\ln ([\text{VL}]_t / [\text{VL}]_0) = -k't \quad (\text{Eq. 1})$$



127 where $[VL]_t$ and $[VL]_0$ are the concentrations of VL at time t and 0, respectively. Replacing VL with GUA in Eq. 1 enabled
128 the calculation of GUA decay.

129 2.2 Calculation of normalized abundance of products

130 The normalized abundance of a product, [P] (unitless), was calculated as follows:

$$131 [P] = \frac{A_{P,t}}{A_{VL,t}} \cdot \frac{[VL]_t}{[VL]_0} \quad (\text{Eq. 2})$$

132 where $A_{P,t}$ and $A_{VL,t}$ are the extracted ion chromatogram (EIC) signal peak areas of the product P and VL from UHPLC-
133 qToF-MS analyses at time t , respectively; $[VL]_t$ and $[VL]_0$ are the VL concentrations (μM) determined using UHPLC at time
134 t and 0, respectively. Here, we relied on the more accurate measurements of [VL] using UHPLC for semi-quantification. It
135 should be noted that the ionization efficiency may greatly vary for different classes of compounds (Kearle, 2000). Hence,
136 we assumed equal ionization efficiency of different compounds to calculate their normalized abundance, which is commonly
137 used to estimate O:C ratios of SOA (Bateman et al., 2012; Lin et al., 2012; De Haan et al., 2019). Typical fragmentation
138 behavior observed in MS/MS spectra for individual functional groups from Holčapek et al. (2010) are outlined in Table S1.
139

140 3 Results and Discussion

141 3.1 Kinetics, mass spectrometric, and absorbance changes analyses during aqueous phase photo-oxidation of vanillin

142 Table S2 summarizes the reaction conditions, initial VL (and GUA) decay rates, normalized abundance of products, and
143 average carbon oxidation state ($\langle\text{OS}_c\rangle$) (of the 50 most abundant products). In general, the 50 most abundant products
144 contributed more than half of the total normalized abundance of products. For clarity purposes, the reactions involving
145 reactive species referred to in the following discussions are provided in Table 1.

146 As shown in Figure S3, VL underwent oxidation both directly and in the presence of nitrate upon simulated sunlight
147 illumination. VL absorbs light and is promoted to its excited singlet state ($^1\text{VL}^*$), then undergoes intersystem crossing (ISC)
148 to the excited triplet state, $^3\text{VL}^*$. In principle, $^3\text{VL}^*$ can oxidize ground-state VL (Type I photosensitized reactions) via H-
149 atom abstraction/electron transfer and form $\text{O}_2^{\cdot-}$ or HO_2^{\cdot} in the presence of O_2 (Tinel et al., 2018), or react with O_2 (Type II
150 photosensitized reactions) to yield $^1\text{O}_2$ via energy transfer or $\text{O}_2^{\cdot-}$ via electron transfer (Lee et al., 1987; Foote et al., 1991).
151 The disproportionation of $\text{HO}_2^{\cdot}/\text{O}_2^{\cdot-}$ (Anastasio et al., 1996) and reaction of HO_2^{\cdot} with $\text{O}_2^{\cdot-}$ (Du et al., 2011) form hydrogen
152 peroxide (H_2O_2), which is a photolytic source of $^{\cdot}\text{OH}$. Overall, air-saturated conditions, in which O_2 is present, enable the
153 generation of secondary oxidants from $^3\text{VL}^*$ ($^1\text{O}_2$, $\text{O}_2^{\cdot-}/\text{HO}_2^{\cdot}$, $^{\cdot}\text{OH}$). Moreover, $^{\cdot}\text{OH}$, $^{\cdot}\text{NO}_2$, and NO_2/HNO_2 , i.e., N(III),
154 generated via nitrate photolysis (Reactions 1-3; Table 1) can also oxidize or nitrate VL. In this work, the direct
155 photosensitized oxidation of VL (by $^3\text{VL}^*$ or secondary oxidants from $^3\text{VL}^*$ and O_2) and nitrate-mediated VL photo-
156 oxidation are referred to as VL* and VL+AN, respectively.



157 3.1.1 Effect of secondary oxidants from VL triplets

158 As mentioned earlier, secondary oxidants ($^1\text{O}_2$, $\text{O}_2^{\cdot-}/\text{HO}_2$, $\cdot\text{OH}$) can be generated from $^3\text{VL}^*$ when O_2 is present (e.g., under
159 air-saturated conditions), while $^3\text{VL}^*$ is the only oxidant expected under N_2 -saturated conditions. To examine the
160 contributions of $^3\text{VL}^*$ -derived secondary oxidants and $^3\text{VL}^*$ only on VL photo-oxidation, experiments under both air- and
161 N_2 -saturated conditions (Fig. S3a) were carried out at pH 4, which is representative of moderately acidic aerosol and cloud
162 pH values (Pye et al., 2020). No significant VL loss was observed for dark experiments. The low decay rate for VL^* under
163 N_2 -saturated conditions suggests a minimal role for $^3\text{VL}^*$ in VL photo-oxidation. Contrastingly, the VL^* decay rate under
164 air-saturated conditions was 4 times higher, revealing the importance of $^3\text{VL}^*$ -derived secondary oxidants for
165 photosensitized oxidation of VL. Aside from $\cdot\text{OH}$, $\text{O}_2^{\cdot-}/\text{HO}_2$ and $^1\text{O}_2$ can also promote VL photo-oxidation (Kaur and
166 Anastasio, 2018; Chen et al., 2020). $^1\text{O}_2$ is also an efficient oxidant for unsaturated organic compounds and has a lifetime that
167 is much longer than $^3\text{C}^*$ (Chen et al., 2020). Similar to VL^* , the decay rate for $\text{VL}+\text{AN}$ under air-saturated conditions was
168 faster (6.6 times) than N_2 -saturated conditions, which can be due to several reactions facilitated by nitrate photolysis
169 products and the enhancement of N(III)-mediated photo-oxidation in the presence of O_2 as reported in early works (Vione et
170 al., 2005; Kim et al., 2014; Pang et al., 2019a). An example is enhanced VL nitration likely from increased $\cdot\text{NO}_2$ formation
171 such as from the reaction of $\cdot\text{OH}$ and $\text{O}_2^{\cdot-}$ with NO_2^- (Reactions 4 and 5, respectively; Table 1) or the autoxidation of $\cdot\text{NO}$
172 from NO_2^- photolysis (Reactions 6-9; Table 1) in aqueous solutions (Pang et al., 2019a). Reactions involving $\cdot\text{HO}_2/\text{O}_2^{\cdot-}$ which
173 may originate from the photolysis of nitrate alone, likely from the production and subsequent photolysis of peroxyxynitrous
174 acid (HOONO) (Reaction 10; Table 1) (Jung et al., 2017; Wang et al., 2021), or the reactions of $^3\text{VL}^*$ in the presence of O_2 ,
175 may have contributed as well. For instance, Wang et al. (2021) recently demonstrated that nitrate photolysis generates
176 $\cdot\text{HO}_2/\text{O}_2^{\cdot-}(\text{aq})$ and $\text{HONO}(\text{g})$ in the presence of dissolved aliphatic organic matter (e.g., nonanoic acid, ethanol), with the
177 enhanced $\text{HONO}(\text{g})$ production caused by secondary photochemistry between $\cdot\text{HO}_2/\text{O}_2^{\cdot-}(\text{aq})$ and photoproducted $\text{NO}_x(\text{aq})$
178 (Reactions 11 and 12; Table 1), in agreement with Scharko et al. (2014). The significance of this increased HONO
179 production is enhanced $\cdot\text{OH}$ formation (Reaction 13; Table 1). In addition, $\cdot\text{HO}_2$ can react with $\cdot\text{NO}$ (Reaction 10; Table 1)
180 from NO_2^- photolysis (Reaction 6; Table 1) to form HOONO , and eventually $\cdot\text{NO}_2$ and $\cdot\text{OH}$ (Reaction 14; Table 1) (Pang et
181 al., 2019a). Nevertheless, the comparable decay rates for VL^* and $\text{VL}+\text{AN}$ imply that VL^* chemistry still dominates even at
182 1:10 molar ratio of VL/nitrate, probably due to the much higher molar absorptivity of VL compared to that of nitrate (Fig.
183 S1) and the high VL concentration (0.1 mM) used in this study. Although we have no quantification of the oxidants in our
184 reaction systems as it is outside the scope of this study, these observations clearly substantiate that secondary oxidants from
185 $^3\text{VL}^*$, which are formed when O_2 is present, are required for efficient photosensitized oxidation of VL and nitrate-mediated
186 VL photo-oxidation.

187 The products from VL^* under N_2 -saturated conditions were mainly oligomers (e.g., $\text{C}_{16}\text{H}_{14}\text{O}_4$) (Fig. 1a), consistent
188 with triplet-mediated oxidation forming higher molecular weight products, probably with less fragmentation relative to
189 oxidation by $\cdot\text{OH}$ (Chen et al., 2020). A threefold increase in the normalized abundance of products was noted upon addition



190 of nitrate (VL+AN under N₂-saturated conditions; Fig. 1b), and in addition to oligomers, functionalized monomers (e.g.,
191 C₈H₆O₅) and nitrogen-containing compounds (e.g., C₈H₉NO₃; No. 2, Table S3) were also observed, in agreement with [•]OH-
192 initiated oxidation yielding more functionalized/oxygenated products compared to triplet-mediated oxidation (Chen et al.,
193 2020). Compared to N₂-saturated conditions, the normalized abundance of products such as oligomers and functionalized
194 monomers (e.g., demethylated VL; Fig. S4) were significantly higher under air-saturated conditions (Figs. 1c-d), likely due
195 to the secondary oxidants from ³VL* and O₂ and their interactions with nitrate photolysis products. The nitrogen-containing
196 compounds (e.g., C₁₆H₁₀N₂O₉; No. 3, Table S3) were also more relatively abundant under air-saturated conditions. For both
197 VL* and VL+AN under air-saturated conditions, the most abundant product was C₁₀H₁₀O₅ (No. 4, Table S3), a substituted
198 VL. Irradiation of VL by 254-nm has also been reported to lead to VL dimerization and functionalization via ring-retaining
199 pathways, as well as small oxygenates but only when [•]OH from H₂O₂ were involved (Li et al., 2014). In this work, small
200 organic acids were observed from both VL* and VL+AN under air-saturated conditions (Fig. S5) due to simulated sunlight
201 that could access the 308-nm VL band (Smith et al., 2016). Interestingly, we observed a potential imidazole derivative
202 (C₅H₅N₃O₂; Fig. 1d) from VL+AN under air-saturated conditions, which may have formed from reactions induced by
203 ammonium. This compound was not observed in a parallel experiment in which AN was replaced with sodium nitrate (SN)
204 (Fig. S6a; see Sect. 3.3 for discussion). The molecular transformation of VL upon photo-oxidation was examined using the
205 van Krevelen diagrams (Fig. S7). For all experiments (A1-19; Table S2) in this study, the O:C and H:C ratios of the products
206 were mainly similar to those observed from the aging of other phenolics (Yu et al., 2014) and BB aerosols (Qi et al., 2019).
207 Oligomers with O:C ratios ≤0.6 were dominant in VL* under N₂-saturated conditions. For VL+AN under N₂-saturated
208 conditions, smaller molecules (n_c ≤8) with higher O:C ratios (up to 0.8) were also observed. More products with higher O:C
209 ratios (≥0.6) were noted under air-saturated conditions for both VL* and VL+AN. The H:C ratios were mostly around 1.0,
210 indicating that the products for experiments A5 to A8 (Table S2) were mainly aromatic species. Compounds with H:C ≤1.0
211 and O:C ≤0.5 are common for aromatic species, while compounds with H:C ≥1.5 and O:C ≤0.5 are typical for more aliphatic
212 species (Mazzoleni et al., 2012; Kourtchev et al., 2014; Jiang et al., 2021). Moreover, majority of the products for
213 experiments A5 to A8 have double bond equivalent (DBE) values >7, which corresponds to oxidized aromatic compounds
214 (Xie et al., 2020). In contrast, Loisel et al. (2021) reported mainly oxygenated aliphatic-like compounds (H:C, ≥1.5 and O:C,
215 ≤0.5 ratios) from the direct irradiation of VL (0.1 mM), which may be due to their use of ESI in the negative ion mode,
216 which has higher sensitivity for detecting compounds such as carboxylic acids (Holčapek et al., 2010; Lüigand et al., 2017)
217 and solid-phase extraction (SPE) procedure causing the loss of some oligomers (LeClair et al., 2012; Zhao et al., 2013;
218 Bianco et al., 2018). Among experiments A5 to A8 (Table S2), VL+AN under air-saturated conditions (A7) had the highest
219 normalized abundance of products and <OS_c>, most probably due to the combined influence of the secondary oxidants from
220 ³VL* and O₂, and nitrate photolysis products. In our calculations, the increase in <OS_c> (except for VOCs and inorganic
221 anions experiments; A9 to A12; Table S2) was lower than those in [•]OH- or triplet-mediated oxidation of phenolics (e.g.,
222 phenol, guaiacol) measured using an aerosol mass spectrometer (Sun et al., 2010; Yu et al., 2014), likely because we
223 excluded contributions from ring-opening products which may have higher OS_c values as these products are not detectable in



224 the positive ion mode. Thus, the $\langle \text{OS}_c \rangle$ in this study likely were lower estimates. In brief, the secondary oxidants from $^3\text{VL}^*$
225 and O_2 increased the abundance of products and promoted the formation of more oxidized aqSOA. These trends were
226 reinforced in the presence of nitrate, indicating synergistic effects between secondary oxidants from VL triplets and nitrate
227 photolysis products.

228 Illumination of phenolic aromatic carbonyls with high molar absorptivities ($\epsilon_{\lambda_{\text{max}}}$) (~ 8 to $22 \times 10^3 \text{ M}^{-1} \text{ cm}^{-1}$) leads to
229 an overall loss of light absorption but increased absorbance at longer wavelengths ($>350 \text{ nm}$), where the carbonyls did not
230 initially absorb light (Smith et al., 2016). Fig. 2a illustrates the changes in total absorbance from 350 to 550 nm of VL^* and
231 $\text{VL}+\text{AN}$ under air- and N_2 -saturated conditions. The absorption spectra of VL^* under air- and N_2 -saturated conditions (pH
232 4) at different time intervals are shown in Fig. S8. For both VL^* and $\text{VL}+\text{AN}$, evident absorbance enhancement was
233 observed under air-saturated conditions, while the absorbance changes under N_2 -saturated conditions were minimal,
234 consistent with the VL decay trends. This absorbance enhancement can be explained by the formation of oligomers with
235 large, conjugated π -electron systems (Chang and Thompson, 2010) and hydroxylated products (Li et al., 2014; Zhao et al.,
236 2015), in agreement with the observed reaction products. In this work, phenoxy radicals can be generated from several
237 processes such as the oxidation (Vione et al., 2019) of ground-state VL by $^3\text{VL}^*$ via H-atom abstraction (Huang et al., 2018)
238 and photoinduced O-H bond-breaking (Berto et al., 2016). Moreover, $^3\text{VL}^*$ can initiate H-atom abstraction from the -CHO
239 group of VL, generating ketyl radicals via Norrish-type reactions (Vione et al., 2019). Also, similar reactions can be initiated
240 by $\cdot\text{OH}$ (Gelencsér et al., 2003; Hoffer et al., 2004; Chang and Thompson, 2010; Sun et al., 2010), which in this study can be
241 generated from the reaction between $^3\text{VL}^*$ and O_2 , as well as nitrate photolysis. Oligomers can then form via the coupling of
242 phenoxy radicals or phenoxy and ketyl radicals (Sun et al., 2010; Berto et al., 2016; Vione et al., 2019). Absorbance increase
243 at $>350 \text{ nm}$ has also been reported for photosensitized oxidation of phenol and 4-phenoxyphenol (De Laurentiis et al., 2013a,
244 2013b) and direct photolysis of tyrosine and 4-phenoxyphenol (Bianco et al., 2014) in which dimers have been identified as
245 initial substrates. The continuous absorbance enhancement throughout 6 h of irradiation correlated with the observation of
246 oligomers and nitrated compounds after irradiation. However, the increasing concentration of small organic acids (Fig. S5)
247 throughout the experiments suggests that fragmentation, which results in the decomposition of initially formed oligomers
248 and formation of smaller oxygenated products (Huang et al., 2018), is important at longer irradiation times. Overall, these
249 trends establish that secondary oxidants from $^3\text{VL}^*$ and O_2 are necessary for the efficient formation of light-absorbing
250 compounds from both VL^* and $\text{VL}+\text{AN}$.

251 3.1.2 Effect of pH

252 The reactivity of $^3\text{C}^*$ (Smith et al., 2014, 2015, 2016), aromatic photonitration by nitrate (Machado and Boule, 1995;
253 Dzengel et al., 1999; Vione et al., 2005; Minero et al., 2007), and N(III)-mediated VL photo-oxidation (Pang et al., 2019a)
254 have been demonstrated to be pH-dependent. In this study, the effect of pH on VL photo-oxidation was investigated within
255 the range of 2.5 to 5, corresponding to typical cloud (2-7) pH values (Pye et al., 2020). The decay rates for both VL^* and
256 $\text{VL}+\text{AN}$ increased as pH decreased (VL^* and $\text{VL}+\text{AN}$ at pH 2.5: 1.5 and 1.3 times faster than at pH 4, respectively) (Fig.



257 S3b). For VL*, this pH trend indicates that ³VL* are more reactive in their protonated form, which is opposite to that
258 reported for 0.005 mM VL (Smith et al., 2016), likely due to the concentration dependence of the relative reactivities of
259 protonated and neutral forms of ³VL*. It has been reported that the quantum yield for direct VL photodegradation is higher at
260 pH 5 than at pH 2 for 0.005 mM VL, but they are not statistically different for 0.03 mM VL (Smith et al., 2016). Also,
261 increases in hydrogen ion concentration can enhance the formation of HO₂[•] and H₂O₂ and in turn, [•]OH formation (Du et al.,
262 2011). In addition to these pH influences on VL*, the dependence of N(III) (NO₂⁻ + HONO) speciation on solution acidity
263 (Pang et al., 2019a) also contributed to the observed pH effects for VL+AN. At pH 3.3, half of N(III) exists as HONO
264 (Fischer and Warneck, 1996; Pang et al., 2019a), which has a higher quantum yield for [•]OH formation than that of NO₂⁻ in
265 the near-UV region (Arakaki et al., 1999; Kim et al., 2014). The increased [•]OH formation rates as pH decreases can lead to
266 faster VL decay (Pang et al., 2019a). Also, NO₂⁻/HONO can generate [•]NO₂ via oxidation by [•]OH (Reactions 4 and 15; Table
267 1) (Pang et al., 2019a). As pH decreases, the higher reactivity of ³VL* and HONO being the dominant N(III) species can
268 lead to faster VL photo-oxidation.

269 As pH decreased, the normalized abundance of products, particularly oligomers and functionalized monomers, was
270 higher for both VL* and VL+AN, further indicating that ³VL* are more reactive in their protonated form. The most
271 abundant products observed were a substituted VL (C₁₀H₁₀O₅) and VL dimer (C₁₆H₁₄O₆; No. 5, Table S3) at pH 4 and pH
272 <4, respectively (Figs. 1c-h). Furthermore, a tetramer was observed only in VL* at pH 2.5. For VL+AN, the normalized
273 abundance of nitrogen-containing compounds also increased at lower pH (Table S2), likely due to increased [•]OH and [•]NO₂
274 formation. The potential imidazole derivative (C₃H₅N₃O₂) was observed only at pH 4 possibly due to the pH dependence of
275 ammonium speciation (pK_a = 9.25). Imidazole formation requires the nucleophilic attack of ammonia on the carbonyl group
276 (Yu et al., 2011), and at pH 4, the concentration of dissolved ammonia in VL+AN was about 10 or 30 times higher than that
277 at pH 3 or pH 2.5, respectively. At different pH, the O:C and H:C ratios in VL* and VL+AN had no significant differences
278 (Figs. S7c-d and S9), but molecules with higher O:C ratios (>0.6) were more abundant at pH <4. Accordingly, the <OS_c>
279 pH <4 for both VL* and VL+AN were higher than that at pH 4, consistent with higher <OS_c> observed at pH 5 compared to
280 pH 7 for the [•]OH-mediated photo-oxidation of syringol (Sun et al., 2010). Essentially, the higher reactivity of ³VL* and
281 predominance of HONO over nitrite at lower pH result in increased formation of products mainly composed of oligomers
282 and functionalized monomers.

283 The higher absorbance enhancement for both VL* and VL+AN (Fig. 2b) as pH increased may be attributed to
284 redshifts and increased visible light absorption of reaction products (Pang et al., 2019a). When a phenolic molecule
285 deprotonates at higher pH, an ortho- or para- electron-withdrawing group, such as a nitro or aldehyde group, can attract a
286 portion of the negative charge towards its oxygen atoms through induced and conjugated effects, leading to the extension of
287 chromophore from the electron-donating group (e.g., -O⁻) to the electron-withdrawing group via the aromatic ring (Carey,
288 2000; Williams and Fleming, 2008; Pang et al., 2019a). Hence, the delocalization of the negative charge in phenolates leads
289 to significant redshifts (Mohr et al., 2013).



290 3.1.3 Effect of VOCs and inorganic anions

291 Aerosols are a complex mix of organic and inorganic compounds (Kanakidou et al., 2005). We explored the photo-oxidation
292 behavior of VL, with and without nitrate, in the presence of VOCs (2-propanol, IPA) and inorganic anions (sodium
293 bicarbonate, NaBC). For both VL* and VL+AN, there was no significant change in VL decay (Figs. S3c-d), and comparable
294 absorbance enhancements (Figs. 2c-d) were observed upon the addition of IPA and NaBC. However, the characterization of
295 reaction products revealed the distinct effects of these compounds on the photo-oxidation of VL. Both IPA and NaBC
296 increased the normalized abundance of products from VL* (by a factor of 2.4 and 1.4, respectively) and VL+AN (by a factor
297 of ~4) (Table S2). The major product observed in VL*+IPA (Fig. S10a) was a dimer (C₁₆H₁₄O₆). Also, higher oligomers up
298 to tetramers (e.g., C₃₁H₂₂O₁₂) not observed in VL* were noted. A possible explanation may be the additional generation of
299 [•]HO₂ from the reaction of IPA with [•]OH (Warneck and Wurzinger, 1988) (Reactions 16 and 17; Table 1), which can originate
300 from ³VL* or nitrate photolysis, inducing reactions such as oxidation and nitration. As discussed earlier, [•]HO₂ can form
301 H₂O₂, a photolytic source of [•]OH (Anastasio et al., 1996; Du et al., 2011). In the presence of IPA, the increase in normalized
302 abundance of products (VL+AN+IPA: 3.8 times vs. VL*+IPA: 2.4 times; Table S2) and <OS_c> (VL+AN+IPA: -0.13 to 0.08
303 vs. VL*+IPA: -0.16 to -0.10; Table S2) being more evident for VL+AN compared to VL* also supports the potential
304 importance of reactions involving [•]HO₂ and nitrate photolysis products such as the secondary photochemistry between
305 [•]HO₂/O₂[•](_{aq}) and photoproducted NO_x(_{aq}) enhancing HONO(_g) production from nitrate photolysis in the presence of dissolved
306 aliphatic organic matter (Wang et al., 2021) as discussed in Sect. 3.1.1. This chemistry may have operated in VL+AN+IPA
307 considering that [•]HO₂/O₂[•] may originate from multiple sources in this experiment: nitrate photolysis (Reaction 10; Table 1)
308 (Jung et al., 2017; Wang et al., 2021), the reactions of ³VL* in the presence of O₂ (see Sect. 3.1), or reaction of IPA with [•]OH
309 (Warneck and Wurzinger, 1988) (Reactions 16 and 17; Table 1). In other words, the role of nitrate in VL photo-oxidation is
310 enhanced in the presence of IPA, likely due to additional [•]HO₂/O₂[•] formation. In VL+AN+IPA, nitrate photolysis likely
311 converted C₁₆H₁₄O₆ (from VL*+IPA) to C₁₅H₁₂O₈ (Figs. S10a-b) via demethylation and then multiple hydroxylations.
312 Nitrate photolysis generates [•]OH, and demethylation has been reported to be enhanced at high [•]OH exposure (Gold et al.,
313 1983). Moreover, alcohols can affect the structure of water, causing a localized patterning or organization that changes the
314 solvation environment, which can account for reactivity enhancement in the presence of alcohol-containing solvents (Berke
315 et al., 2019). Berke et al. (2019) has demonstrated that IPA and other alcohols (e.g., ethanol) can promote the production of
316 light-absorbing compounds, i.e., imidazoles, from the reactions between glyoxal and ammonium sulfate. This phenomenon
317 has been attributed to the formation of micro-heterogeneities of hydrated alcohol molecules in a complex solution
318 environment composed of solvated sulfate ions and a mixture of reactants and products upon the addition of alcohols. As
319 proposed by an earlier study (Onori and Santucci, 1996), if the water in the SOA-mimicking solutions exists in two forms,
320 bulk and hydrating, the micro-heterogeneities may interact with water/nitrate matrix to sequester the reactants and products,
321 concentrating them within a smaller effective solvent volume and consequently resulting in increased normalized abundance
322 of products (Berke et al., 2019).



323 For NaBC which does not produce $\cdot\text{HO}_2$ upon reactions with $\cdot\text{OH}$ under air-saturated conditions (Gen et al., 2019b),
324 the increased normalized abundance of products may be due to other reactions promoted by the carbonate radical ($\text{CO}_3^{\cdot-}$),
325 which can be generated from the reactions of bicarbonate/carbonate with $\cdot\text{OH}$ (Reactions 18 and 19; Table 1) (Neta et al.,
326 1988; Wojnárovits et al., 2020) or $^3\text{VL}^*$ (Reactions 20 and 21; Table 1) (Canonica et al., 2005). $\text{CO}_3^{\cdot-}$ is a selective oxidant
327 that reacts with organic molecules at a lower rate than $\cdot\text{OH}$ and readily reacts with electron-rich parts of phenols, aromatic
328 amines, and sulfur-containing compounds (e.g., glutathione) through both electron transfer and H-abstraction (Huang and
329 Mabury, 2000; Wojnárovits et al., 2020). Similar to IPA, the enhancement of normalized abundance of products
330 ($\text{VL}+\text{AN}+\text{NaBC}$: 4.3 times vs. VL^*+NaBC : 1.4 times; Table S2) and $\langle\text{OS}_c\rangle$ ($\text{VL}+\text{AN}+\text{NaBC}$: -0.13 to 0.08 vs.
331 VL^*+NaBC : -0.16 to -0.11; Table S2) was more obvious for $\text{VL}+\text{AN}+\text{NaBC}$ than VL^*+NaBC , further underlining the
332 contributions of nitrate photolysis products. For example, it has been reported that carbonate and bicarbonate can
333 substantially increase the photodegradation of electron-rich compounds (e.g., catechol) by nitrate (Vione et al., 2009).
334 Bicarbonate can enhance the photolysis of nitrate via a solvent-cage effect, reacting with photolysis-derived $\cdot\text{OH}$ before it
335 escapes the surrounding cage of the water molecules. This prevents the recombination of $\cdot\text{OH}$ and $\cdot\text{NO}_2$ inside the solvent
336 cage that otherwise would yield back $\text{NO}_3^- + \text{H}^+$, which reduces the quantum yield of $\cdot\text{OH}$ photoproduction (Bouillon and
337 Miller, 2005). This scavenging of in-cage $\cdot\text{OH}$ by bicarbonate would then hinder recombination, resulting in a higher
338 generation rate of $\text{CO}_3^{\cdot-} + \cdot\text{OH}$ with bicarbonate compared to $\cdot\text{OH}$ alone without bicarbonate. However, in our experiments,
339 NaBC did not cause any substantial change in the decay of VL for both VL^* and $\text{VL}+\text{AN}$, although it promoted higher
340 normalized abundance of products. The major product in VL^*+NaBC was a functionalized monomer ($\text{C}_7\text{H}_4\text{O}_4$; No. 6, Table
341 S3; Fig. S10c). Unlike VL^*+IPA , no tetramers were observed in VL^*+NaBC . Similar to $\text{VL}+\text{AN}+\text{IPA}$, the addition of
342 NaBC to $\text{VL}+\text{AN}$ resulted in trimers and a high-abundance dimer ($\text{C}_{15}\text{H}_{12}\text{O}_8$; No. 7, Table S3) (Figs. S10b and S10d).
343 Overall, $\text{VL}+\text{AN}+\text{IPA}$ had more oligomers while $\text{VL}+\text{AN}+\text{NaBC}$ had more functionalized monomers (e.g., $\text{C}_8\text{H}_6\text{O}_4$; No. 8,
344 Table S3). These findings suggest that aside from low pH (<4), the formation of oligomers from VL photo-oxidation can
345 also be promoted by presence of VOCs and inorganic anions likely via the generation of radicals such as $\cdot\text{HO}_2$ and $\text{CO}_3^{\cdot-}$
346 which can also interact with nitrate photolysis products.

347 The addition of IPA or NaBC to VL^* resulted in products with higher O:C and H:C ratios (Figs. S11a and S11c).
348 Although the products were more abundant in VL^*+IPA than with NaBC, the distribution of their products in van Krevelen
349 diagrams was rather similar. The increased in $\langle\text{OS}_c\rangle$ in the presence of IPA or NaBC was more significant for $\text{VL}+\text{AN}$ than
350 VL^* , likely due to the interactions of nitrate photolysis products with $\cdot\text{HO}_2$ and $\text{CO}_3^{\cdot-}$. For $\text{VL}+\text{AN}$, IPA and NaBC also
351 increased the O:C and H:C ratios (Figs. S11b and S11d), and most products had $\text{OS}_c > 0$, similar to less volatile and semi-
352 volatile oxygenated organic aerosols (LV-OOA and SV-OOA) (Kroll et al., 2011).



353 3.1.4 Distribution of potential BrC compounds

354 Figure S12 plots the DBE values vs. number of carbons (n_c) (Lin et al., 2018) for the 50 most abundant products from pH 4
355 experiments under air-saturated conditions, along with reference to DBE values corresponding to fullerene-like
356 hydrocarbons (Lobodin et al., 2012), cata-condensed polycyclic aromatic hydrocarbons (PAHs) (Siegmann and Sattler, 2000),
357 and linear conjugated polyenes with a general formula C_xH_{x+2} . As light absorption by BrC requires uninterrupted conjugation
358 across a significant part of the molecular structure, compounds with DBE/ n_c ratios (shaded area in Fig. S12) greater than that
359 of linear conjugated polyenes are potential BrC compounds (Lin et al., 2018). Based on this criterion and the observed
360 absorbance enhancement at >350 nm (Fig. 2), the majority of the 50 most abundant products from pH 4 experiments under
361 air-saturated conditions were potential BrC chromophores composed of monomers and oligomers up to tetramers. However,
362 as ESI-detected compounds in BB organic aerosols has been reported to be mainly molecules with $n_c < 25$ (Lin et al., 2018),
363 there may be higher oligomers that were not detected in our reaction systems.

364 3.2 Effect of reactants concentration and molar ratios on the aqueous photo-oxidation of vanillin

365 To examine the influence of VL and nitrate concentration and their molar ratios on VL photo-oxidation, we also
366 characterized the reaction products from lower [VL] (0.01 mM VL*; A14; Table S2), lower concentrations and an equal
367 molar ratio of VL/nitrate (0.01 mM VL + 0.01 mM AN; A15; Table S2), and lower [VL] and 1:100 molar ratio of VL/nitrate
368 (0.01 mM VL + 1 mM AN; A16; Table S2) at pH 4. The normalized abundance of products from low [VL] experiments
369 (A14-A16; Table S2) were up to 1.4 times higher than that of high [VL] experiments (A5 and A7; Table S2). Nevertheless,
370 the major products for both low and high [VL] experiments were functionalized monomers (Figs. 1c-d and S13a-c) such as
371 $C_8H_6O_4$ and $C_{10}H_{10}O_5$. For both VL* and VL+AN, the contribution of <200 m/z to the normalized abundance of products
372 was higher at low [VL] than at high [VL], while the opposite was observed for >300 m/z (Fig. S13d). This indicates that
373 functionalization was favored at low [VL], as supported by the higher $\langle OS_c \rangle$, while oligomerization was the dominant
374 pathway at high [VL], consistent with more oligomers or polymeric products reported from high phenols concentration (e.g.,
375 0.1 to 3 mM) (Li et al., 2014; Slikboer et al., 2015; Ye et al., 2019). A possible explanation is that at 1:1 VL/nitrate, VL
376 efficiently competes with NO_2^- for $\cdot OH$ (from nitrate or nitrite photolysis, Reaction 4; Table 1) and indirectly reduces $\cdot NO_2$.
377 Similarly, hydroxylation has been suggested to be an important pathway for 1:1 VL/nitrite than in 1:10 VL/nitrite (Pang et
378 al., 2019a). This may also be the reason why 1:1 VL/nitrate (A15; Table S2) had higher $\langle OS_c \rangle$ than 1:100 (A16; Table S2)
379 VL/nitrate but had fewer N-containing compounds compared to the latter. Moreover, the contribution of <200 m/z to the
380 normalized abundance of products was higher for 1:1 than 1:100 VL/nitrate molar ratio, further suggesting the formation of
381 more oxidized products.



382 3.3 Participation of ammonium in the aqueous photo-oxidation of vanillin

383 Imidazole and imidazole derivatives have been reported to be the major products of glyoxal and ammonium sulfate reactions
384 at pH 4 (Galloway et al., 2009; Yu et al., 2011; Sedehi et al., 2013; Gen et al., 2018; Mabato et al., 2019). Here, we
385 compared VL+AN and VL+SN at pH 4 in terms of reaction products and oxidative characteristics to confirm the
386 participation of ammonium in the aqueous photo-oxidation of VL. In both experiments, the normalized abundance of the
387 products was comparable (A7 and A13; Table S2), with C₁₀H₁₀O₅ as the most abundant product (Figs. 1d and S6a), but in
388 VL+SN, there was a significant amount of a VL dimer (C₁₅H₁₂O₈; No. 9, Table S3). Moreover, the nitrogen-containing
389 compounds were distinct. Aside from the potential imidazole derivative (C₅H₅N₃O₂; No. 10, Table S3), C₈H₉NO₃ was also
390 observed from VL+AN but only under N₂-saturated conditions (Fig. 1b), probably due to further oxidation by secondary
391 oxidants from ³VL*. The product analysis suggests the participation of ammonium in the aqueous-phase reactions.
392 Ammonium salts are an important constituent of atmospheric aerosols particles (Jimenez et al., 2009), and reactions between
393 dicarbonyls (e.g., glyoxal) and ammonia or primary amines have been demonstrated to form BrC (De Haan et al., 2009, 2011;
394 Nozière et al., 2009; Shapiro et al., 2009; Lee et al., 2013; Powelson et al., 2014; Gen et al., 2018; Mabato et al., 2019).
395 Relative to VL+AN, the products from VL+SN had higher O:C ratios (e.g., C₇H₄N₂O₇; No. 11, Table S3), OS_c, and <OS_c>
396 values (Table S2).

397 3.4 Oxidation of guaiacol by photosensitized reactions of vanillin and photolysis of nitrate

398 The oxidation of phenols by ³C* has been mainly studied using non-phenolic aromatic carbonyls (Anastasio et al., 1996;
399 Smith et al., 2014, 2015; Yu et al., 2014; Chen et al., 2020) and aromatic ketones (Canonica et al., 2000) as triplet precursors.
400 Recently, ³VL* have also been shown to oxidize syringol (Smith et al., 2016), a non-carbonyl phenol, although the reaction
401 products remain unknown. In this section, we discussed the photo-oxidation of guaiacol (GUA), a lignocellulosic BB
402 pollutant (Kroftlić et al., 2015) that is also a non-carbonyl phenol, in the presence of VL (GUA+VL) or nitrate (GUA+AN).
403 The dark experiments did not show any substantial loss of VL or GUA (Fig. S3e). Due to its poor light absorption in the
404 solar range, GUA is not an effective photosensitizer (Smith et al., 2014; Yu et al., 2014). Accordingly, the direct GUA
405 photodegradation resulted in minimal decay, which plateaued after ~3 hours. However, in the presence of VL or nitrate, the
406 GUA decay was faster by 2.2 (GUA+VL) and 1.2 (GUA+AN) times, respectively, than for direct GUA photodegradation.
407 This enhanced GUA decay rate may be due to the following main reactions: oxidation of GUA by ³VL* (or the secondary
408 oxidants it generates upon reaction with O₂), oxidation by [•]OH produced from nitrate photolysis, or nitration by [•]NO₂ from
409 nitrate photolysis. As mentioned earlier, the ³VL* chemistry appears to be more important than that of nitrate photolysis
410 even at 1:10 molar ratio of VL/nitrate on account of the much higher molar absorptivity of VL compared to that of nitrate
411 (Fig. S1) and the high VL concentration (0.1 mM) used in this study. The decay of VL in GUA+VL (A18; Table S2) was 3
412 times slower than that of VL* (A5; Table S2), which may be due to competition between ground-state VL and GUA for
413 reactions with ³VL* (or the secondary oxidants it generates upon reaction with O₂) or increased conversion of ³VL* back to



414 the ground state through the oxidation of GUA (Anastasio et al., 1996; Smith et al., 2014). The corresponding absorbance
415 changes for the GUA experiments (Fig. 1e) were consistent with the observed decay trends. The minimal absorbance
416 changes for the direct GUA photodegradation also plateaued after ~3 hours. Moreover, the difference between GUA photo-
417 oxidation in the presence of VL or nitrate was more evident, with the former showing much higher absorbance enhancement.
418 Similarly, Yang et al. (2021) also observed greater light absorption during nitrate-mediated photo-oxidation relative to direct
419 GUA photodegradation.

420 For the direct GUA photodegradation, GUA+VL, and GUA+AN, the normalized abundance of products was
421 calculated only for GUA+VL (2.2; Table S2), as the GUA signal from the UHPLC-qToF-MS in the positive ion mode was
422 weak, which may introduce large uncertainties during normalization. Nonetheless, the number of products detected from
423 these experiments (178, 266, and 844 for the direct GUA photodegradation, GUA+AN, and GUA+VL, respectively)
424 corroborates the kinetics and absorbance results. The major products (Fig. 3a) from the direct photodegradation of GUA
425 were $C_{14}H_{14}O_4$ (No. 19, Table S3), a typical GUA dimer, and a trimer ($C_{21}H_{20}O_6$; No. 20, Table S3) which likely originated
426 from photoinduced O-H bond-breaking (Berto et al., 2016). In general, higher absolute signal areas was noted for oligomers
427 (e.g., $C_{14}H_{14}O_4$, $C_{21}H_{20}O_6$) and hydroxylated products (e.g., $C_7H_8O_4$) in both GUA+VL and GUA+AN, similar to those
428 observed from GUA oxidation by triplets of 3,4-dimethoxybenzaldehyde (DMB; a non-phenolic aromatic carbonyl) or $\cdot OH$
429 (from H_2O_2 photolysis) (Yu et al., 2014). In contrast to the GUA aqSOA reported by Yu et al. (2014), the photo-oxidation of
430 GUA in this study yielded nitrated compounds (e.g., $C_9H_{14}N_2O_6$, $C_{11}H_{14}N_2O_9$) from GUA+AN and VL dimers (e.g.,
431 $C_{16}H_{12}O_6$) from GUA+VL. However, based on a recent work on the aqueous photo-oxidation of guaiacyl acetone (another
432 aromatic phenolic carbonyl) by DMB triplets, the hydroxylation and dimerization of DMB can also contribute to aqSOA
433 (Jiang et al., 2021). The contributions from DMB-participated reactions were only minor due to the low initial DMB
434 concentration (0.005 mM). Relative to GUA+AN, higher signals for dimers such as $C_{14}H_{14}O_4$ and $C_{16}H_{12}O_6$ were noted in
435 GUA+VL, possibly due to both GUA and ground-state VL being available as oxidizable substrates for $^3VL^*$ and the
436 secondary oxidants it can generate. Also, a potential GUA tetramer ($C_{28}H_{24}O_8$, No. 21, Table S3) was observed only in
437 GUA+VL, consistent with higher oligomer formation from the triplets-mediated photo-oxidation of phenolics relative to
438 $\cdot OH$ -assisted photo-oxidation (Yu et al., 2014). In general, the products from the direct GUA photodegradation, GUA+VL,
439 and GUA+AN had similar OS_c values (-0.5 to 0.5) (Figs. 3b-d), falling into the criterion of BBOA and SV-OOA (Kroll et
440 al., 2011). In this work, efficient GUA photo-oxidation was observed in the presence of VL and AN, forming aqSOA
441 composed of oligomers, hydroxylated products, and nitrated compounds (for GUA+AN). The higher product signals from
442 GUA+VL compared to GUA+AN is likely due to the availability of both GUA and ground-state VL as aqSOA precursors.

443 3.5 Photo-oxidation pathways of vanillin via direct photosensitization and in the presence of nitrate

444 The most probable pathways of direct photosensitized and nitrate-mediated photo-oxidation of VL were proposed (Fig. 4). In
445 Scheme 1 (pH 4 and pH <4 under air-saturated conditions), $^3VL^*$ and $\cdot OH$ (from $^3VL^*$ or nitrate photolysis) can initiate H-



446 atom abstraction to generate phenoxy or ketyl radicals (Huang et al., 2018; Vione et al., 2019). At pH 4, ring-opening
447 products (Fig. S5) from fragmentation in both VL* and VL+AN may have reacted with VL or dissolved ammonia to
448 generate C₁₀H₁₀O₅ (Pang et al., 2019b) and a potential imidazole derivative (C₅H₅N₃O₂), respectively. Moreover, nitrate
449 photolysis products promoted functionalization and nitration (e.g., C₁₆H₁₀N₂O₉). At pH <4, the reactivity of ³VL* increased
450 as suggested by the abundance of oligomers (e.g., C₁₆H₁₄O₆) and increased normalized abundance of N-containing
451 compounds.

452 In Scheme 2 (pH 4, IPA or NaBC, under air-saturated conditions), additional radicals generated ([•]HO₂ and CO₃^{•-})
453 likely promoted more reactions. An abundant dimer (C₁₆H₁₄O₆) and higher oligomers (e.g., tetramers, C₃₁H₂₂O₁₂) were
454 identified in VL*+IPA, possibly due to [•]HO₂-initiated reactions, while a functionalized monomer (C₇H₄O₄) was abundant in
455 VL*+NaBC. In general, nitrate enhanced both oligomerization and functionalization in VL+IPA or VL+NaBC. In
456 VL+AN+IPA, C₁₅H₁₂O₈ likely originated from C₁₆H₁₄O₆ via demethylation and multiple hydroxylations. In VL+AN+NaBC,
457 C₈H₆O₄ was possibly generated via H-atom abstraction from -OCH₃ by [•]OH, and further addition with O₂ is energy
458 barrierless (Priya and Lakshmi pathi, 2017; Sun et al., 2019), generating a hydroperoxide (-OCH₂OOH) that readily
459 decompose to form -OCH₂O[•] and [•]OH (Yaremenko et al., 2016). -OCH₂O[•] is finally transformed into -OCHO with the
460 elimination of HO₂ in the presence of O₂ (Sun et al., 2019). Moreover, the abundance of C₁₅H₁₂O₈ was higher in
461 VL+AN+NaBC than in VL*+NaBC.

462 4 Conclusions and atmospheric implications

463 This study shows that the photo-oxidation of VL via its direct photosensitized reactions and in the presence of nitrate can
464 generate aqSOA composed of oligomers, functionalized monomers, oxygenated ring-opening products, and nitrated
465 compounds (for nitrate-mediated reactions). The characterization of products presented in this work complements earlier
466 studies (e.g., Smith et al. 2014, 2015, 2016) that mainly discussed the kinetics and aqSOA yield of triplet-driven oxidation of
467 phenols. Although nitrate did not substantially affect the VL decay rates, likely due to much higher molar absorptivity of VL
468 than nitrate and high VL concentration used in this work, the presence of nitrate promoted functionalization and nitration,
469 indicating the significance of nitrate photolysis in this aqSOA formation pathway. While nitration can be an important
470 process for producing light-absorbing organics or BrC (Jacobson, 1999; Kahnt et al., 2013; Mohr et al., 2013; Laskin et al.,
471 2015; Teich et al., 2017; Li et al., 2020), its effect on triplet-generating aromatics has not yet been examined in detail. On a
472 related note, a recent work (Ma et al., 2021) mimicking phenol oxidation by DMB (a non-phenolic aromatic carbonyl)
473 triplets in more concentrated conditions in aerosol liquid water (ALW) showed that significantly higher AN concentration
474 (0.5 M) increased the photodegradation rate constant for guaiacyl acetone (an aromatic phenolic carbonyl with high Henry's
475 law constant, 1.2 × 10⁶ M atm⁻¹; McFall et al., 2020) by >20 times which was ascribed to [•]OH formation from nitrate
476 photolysis (Brezonik and Fulkerson-Brekken, 1998; Chu and Anastasio, 2003). The same study also estimated that reactions
477 of phenols with high Henry's law constants (10⁶ to 10⁹ M atm⁻¹) can be important for SOA formation in ALW, with



478 mechanisms mainly governed by $^3\text{C}^*$ and $^1\text{O}_2$ (Ma et al., 2021). Likewise, Zhou et al. (2019) reported that the direct
479 photodegradation of acetosyringone was faster by about 6 times in the presence of 2 M NaClO_4 . However, the opposite was
480 noted for the photodegradation of VL in sodium sulfate or sodium nitrate, which would occur slower (2 times slower in 0.5
481 M sodium sulfate and ~10 times slower in 0.124 M sodium nitrate) in ALW relative to dilute aqueous phase in clouds. These
482 suggest that the nature of inorganic ions may have an essential role in the photodegradation of organic compounds in the
483 aqueous phase (Loisel et al., 2021).

484 Furthermore, a potential imidazole derivative observed from the VL+AN (A7; Table S2) experiment suggests that
485 ammonium may participate in aqSOA formation from the photo-oxidation of phenolic aromatic carbonyls. Also, the
486 oligomers from these reaction systems may be rather recalcitrant to fragmentation based on their high abundance, even at the
487 longest irradiation time used in this study. Nonetheless, the increasing concentration of small organic acids over time implies
488 that fragmentation becomes important at extended irradiation times. Aromatic carbonyls and nitrophenols have been reported
489 to be the most important classes of BrC in cloud water heavily affected by biomass burning in the North China Plain
490 (Desyaterik et al., 2013). Correspondingly, the most abundant products from our reaction systems (pH 4, air-saturated
491 solutions) are mainly potential BrC chromophores. These suggest that aqSOA generated in cloud/fog water from the
492 oxidation of biomass burning aerosols via direct photosensitized reactions and nitrate photolysis products can impact aerosol
493 optical properties and radiative forcing, particularly for areas where biomass burning is intensive.

494 Our results indicate that the photo-oxidation of VL is influenced by secondary oxidants from VL triplets, pH, the
495 presence of VOCs and inorganic anions, and reactants concentration and molar ratios. Compared to N_2 -saturated conditions,
496 more efficient VL photo-oxidation was observed under air-saturated conditions (O_2 is present), which can be attributed to the
497 generation of secondary oxidants (e.g., $^1\text{O}_2$, $\text{O}_2^{\cdot-}/\text{HO}_2$, $\cdot\text{OH}$) from $^3\text{VL}^*$. Further enhancement of VL photo-oxidation under
498 air-saturated conditions in the presence of nitrate indicates synergistic effects between secondary oxidants from VL triplets
499 and nitrate photolysis products. Additionally, the formation of oligomers from VL photo-oxidation was observed to be
500 promoted at low pH (<4) or in the presence of IPA/NaBC, which likely generated additional radicals such as $\cdot\text{HO}_2$ and $\text{CO}_3^{\cdot-}$.
501 As aerosols comprise more complex mixtures of organic and inorganic compounds, it is worthwhile to explore the impacts
502 of other potential aerosol constituents on aqSOA formation and photo-oxidation studies. This can also be beneficial in
503 understanding the interplay among different reaction mechanisms during photo-oxidation. Low VL concentration favored
504 functionalization, while oligomerization prevailed at high VL concentration, consistent with past works (Li et al., 2014;
505 Slikboer et al., 2015; Ye et al., 2019). Hydroxylation was observed to be important for equal molar ratios of VL and nitrate,
506 likely due to VL competing with nitrite for $\cdot\text{OH}$. The oxidation of guaiacol, a non-carbonyl phenol, by photosensitized
507 reactions of vanillin was also shown to be more efficient than that by nitrate photolysis products.

508 In this study, we investigated reactions of VL and nitrate at concentrations in cloud/fog water. The concentrations of
509 VL and nitrate can be significantly higher in aqueous aerosol particles. As a major component of aerosols, the concentration
510 of nitrate can be as high as sulfate (Huang et al., 2014). More studies should then explore the direct photosensitized
511 oxidation and nitrate-mediated photo-oxidation of other biomass burning-derived phenolic aromatic carbonyls, particularly



512 those with high molar absorption coefficients and can generate $^3\text{C}^*$. The influences of reaction conditions should also be
513 investigated to better understand the oxidation pathways. Considering that biomass burning emissions are expected to
514 increase continuously, further studies on these aqSOA formation pathways are strongly suggested.

515

516 *Data availability.*

517 The data used in this publication are available to the community and can be accessed by request to the corresponding author.

518 *Author contributions.*

519 BRGM designed and conducted the experiments; YL provided assistance in measurements and helped to analyze
520 experimental data; YJ provided assistance in measurements; BRGM, YL, and CKC wrote the paper. All co-authors
521 contributed to the discussion of the manuscript.

522 *Competing interests.*

523 The authors declare that they have no conflict of interest.

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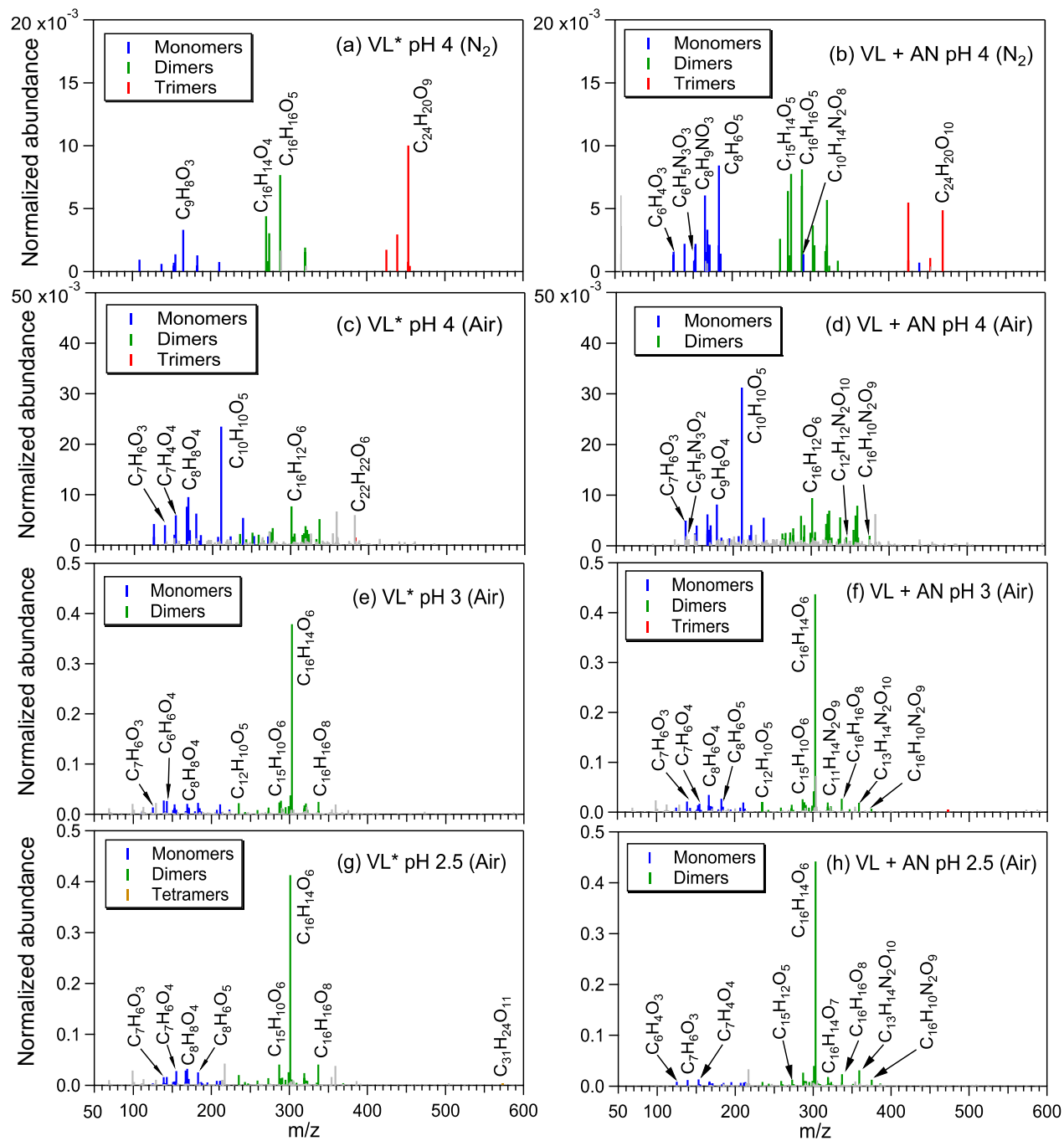
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1095 **Table 1.** List of reactions involving reactive species relevant to this study.

No.	Reactions	References
1	$\text{NO}_3^- + h\nu \rightarrow \cdot\text{NO}_2 + \text{O}^-; \phi = 0.01$	Vione et al., 2006; Scharko et al., 2014
2	$\text{O}^- + \text{H}_3\text{O}^+ \leftrightarrow \cdot\text{OH} + \text{H}_2\text{O}$	
3	$\text{NO}_3^- + h\nu \rightarrow \text{NO}_2^- + \text{O}(^3\text{P}); \phi = 0.001$	
4	$\text{NO}_2^- + \cdot\text{OH} \rightarrow \cdot\text{NO}_2 + \text{OH}^- (k = 1.0 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1})$	Mack and Bolton, 1999; Pang et al., 2019a
5	$\text{O}_2^{\cdot-} + \text{NO}_2^- + 2\text{H}^+ \rightarrow \cdot\text{NO}_2 + \text{H}_2\text{O}_2$	Vione et al., 2001; Pang et al., 2019a
6	$\text{NO}_2^- + h\nu \rightarrow \cdot\text{NO} + \text{O}^-; \phi_{\text{OH},300} = 6.7(\pm 0.9)\%$	Fischer and Warneck, 1996; Mack and Bolton, 1999; Pang et al., 2019a
7	$\cdot\text{NO} + \text{O}_2 \leftrightarrow \cdot\text{ONOO}$	Goldstein and Czapski, 1995a; Pang et al., 2019a
8	$\cdot\text{ONOO} + \cdot\text{NO} \rightarrow \text{ONOONO}$	
9	$\text{ONOONO} \rightarrow 2\cdot\text{NO}_2$	
10	$\text{NO}_3^- + h\nu \rightarrow \cdot\text{NO}_2 + \text{OH} \text{ (reactions 1 \& 2)} \rightarrow \text{HOONO} \xrightleftharpoons{h\nu} \cdot\text{NO} + \cdot\text{HO}_2$ ($\text{p}K_a = 6.8$)	Goldstein et al., 2005; Vione et al., 2005; Sturzbecher-Höhne et al., 2009; Abida et al., 2011; Wang et al., 2021
11	$\cdot\text{HO}_2 \rightleftharpoons \text{H}^+ + \text{O}_2^{\cdot-} \xrightleftharpoons{\text{NO}_2} \text{OONO}_2^- \xrightarrow{\text{H}_2\text{O}} \text{O}_2 + \text{NO}_2^- \xrightleftharpoons{+\text{H}^+} \text{HONO}$ ($\text{p}K_a = 4.8$) ($\text{p}K_a = 3.2$)	Lammel et al., 1990; Goldstein et al., 1998; Wang et al., 2021
12	$\text{O}_2^{\cdot-} + \text{NO} \rightleftharpoons \text{OONO}^- \begin{cases} \xrightarrow{\text{HOONO}} \text{NO}_2^- + \text{HOONO}_2 \rightleftharpoons \text{OONO}_2^- + \text{H}^+ \\ \xrightarrow{\quad\quad\quad} \text{NO}_3^- \end{cases}$ ($\text{p}K_a = 5.9$)	Goldstein and Czapski, 1995b; Wang et al., 2021
13	$\text{HNO}_2 + h\nu \rightarrow \cdot\text{NO} + \text{OH}; \phi_{\text{OH},300} = 36.2(\pm 4.7)\%$	Fischer and Warneck, 1996; Kim et al., 2014; Pang et al., 2019a
14	$\text{HOONO} \rightarrow \cdot\text{NO}_2 + \cdot\text{OH} (k = 0.35 \pm 0.03 \text{ s}^{-1})$	Goldstein et al., 2005; Pang et al., 2019a
15	$\text{HNO}_2 + \cdot\text{OH} \rightarrow \cdot\text{NO}_2 + \text{H}_2\text{O} (k = 2.6 \times 10^9 \text{ M}^{-1} \text{ s}^{-1})$	Kim et al., 2014; Pang et al., 2019a
16	$(\text{CH}_3)_2\text{CHOH} + \cdot\text{OH} \rightarrow (\text{CH}_3)_2\text{COH}^\cdot + \text{H}_2\text{O}$	Warneck and Wurzinger, 1988; Pang et al., 2019a
17	$(\text{CH}_3)_2\text{COH}^\cdot + \text{O}_2 \rightarrow (\text{CH}_3)_2\text{CO} + \cdot\text{HO}_2$	
18	$\cdot\text{OH} + \text{HCO}_3^- \rightarrow \text{CO}_3^{\cdot-} + \text{H}_2\text{O} (k = 8.5 \times 10^6 \text{ M}^{-1} \text{ s}^{-1})$	Wojnárovits et al., 2020
19	$\cdot\text{OH} + \text{CO}_3^{2-} \rightarrow \text{CO}_3^{\cdot-} + \text{OH}^- (k = 3.9 \times 10^8 \text{ M}^{-1} \text{ s}^{-1})$	
20	$^3\text{C}^* + \text{HCO}_3^- \rightarrow \text{CO}_3^{\cdot-} + \text{H}^+ + \text{C}^\cdot$ ($k = 10^6\text{-}10^7 \text{ M}^{-1} \text{ s}^{-1}$; $^3\text{C}^*$: triplet aromatic ketones)	Canonica et al., 2005
21	$^3\text{C}^* + \text{CO}_3^{2-} \rightarrow \text{CO}_3^{\cdot-} + \text{C}^\cdot$ ($k = 10^6\text{-}10^7 \text{ M}^{-1} \text{ s}^{-1}$; $^3\text{C}^*$: triplet aromatic ketones)	

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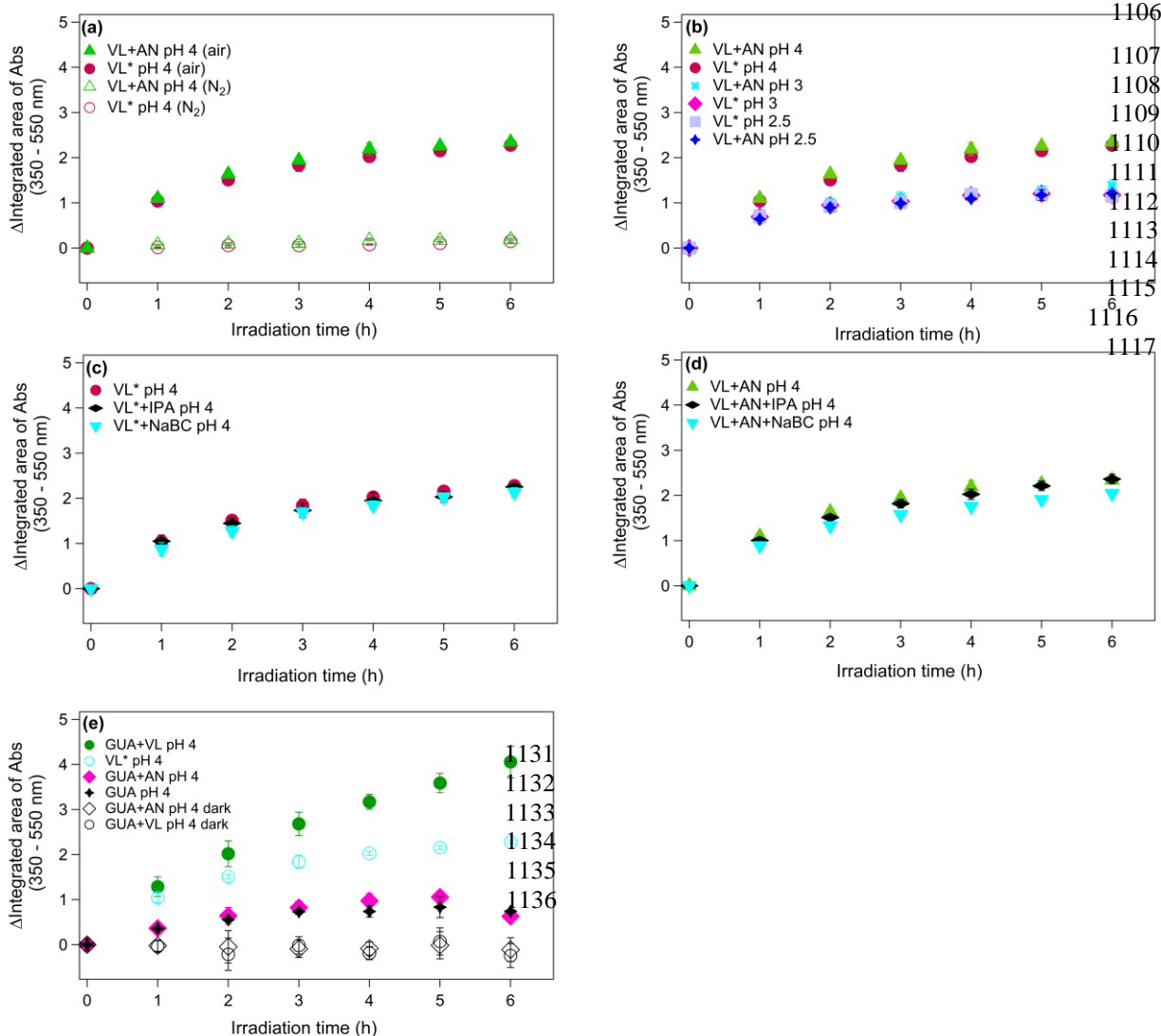


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1098 **Figure 1.** Reconstructed mass spectra of assigned peaks from (a) VL* pH 4 (N₂-saturated; A6), (b) VL+AN pH 4 (N₂-
 1099 saturated; A8), (c) VL* pH 4 (air-saturated; A5), (d) VL+AN pH 4 (air-saturated; A7), (e) VL* pH 3 (air-saturated; A3), (f)



1100 VL+AN pH 3 (air-saturated; A4), (g) VL* pH 2.5 (air-saturated; A1), and (h) VL+AN pH 2.5 (air-saturated; A2) after 6 h
1101 of simulated sunlight irradiation. The normalized abundance of products was calculated from the ratio of the peak area of the
1102 product to that of VL (Eq. 2). The 50 most abundant products contributed more than half of the total normalized abundance
1103 of products, and they were identified as monomers (blue), dimers (green), trimers (red), and tetramers (orange). Grey peaks
1104 denote peaks with low abundance or unassigned formula. Examples of high-intensity peaks were labeled with the
1105 corresponding neutral formulas. Note the different scales on the y-axes.



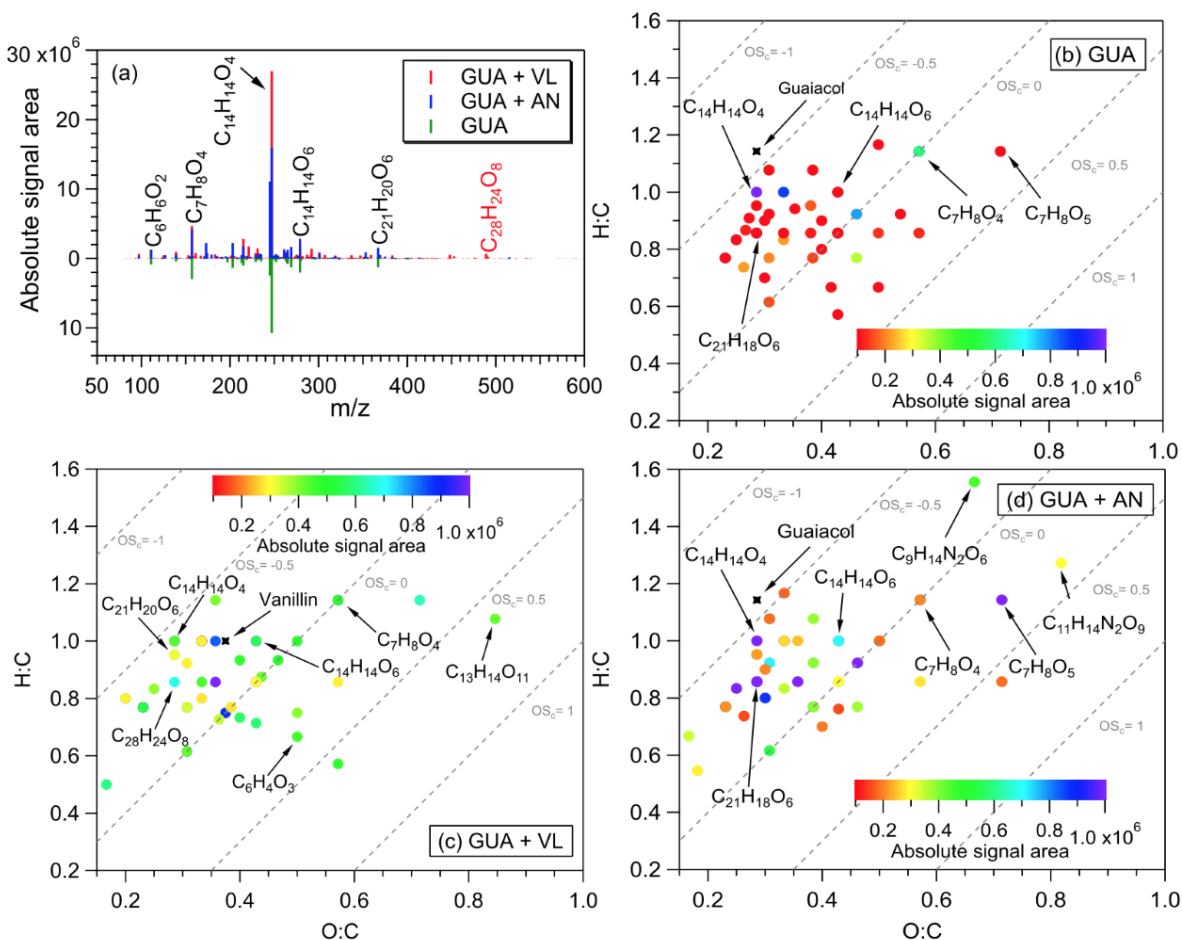
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1144 **Figure 2.** (a-d) Increase in light absorption under different experimental conditions for direct photosensitized oxidation of
 1145 VL (VL*) and nitrate-mediated VL photo-oxidation (VL+AN): (a) Effect of secondary oxidants from VL triplets on VL*
 1146 and VL+AN at pH 4 under N₂- (A6, A8) and air-saturated (A5, A7) conditions. (b) Effect of pH on VL* and VL+AN at pH
 1147 2.5 (A1, A2), 3 (A3, A4), and 4 (A5, A7) under air-saturated conditions. (c) Effect of VOCs and inorganic anions: IPA (A9)
 1148 and NaBC (A10) on VL* at pH 4 under air-saturated conditions. (d) Effect of VOCs and inorganic anions: IPA (A11) and
 1149 NaBC (A12) on VL+AN at pH 4 under air-saturated conditions. (e) Increase in light absorption during direct GUA
 1150 photodegradation (A17) and photo-oxidation of GUA in the presence of VL (GUA+VL; A18) or nitrate (GUA+AN; A19) at
 1151 pH 4 under air-saturated conditions after 6 h of simulated sunlight irradiation. Error bars represent 1 standard deviation.



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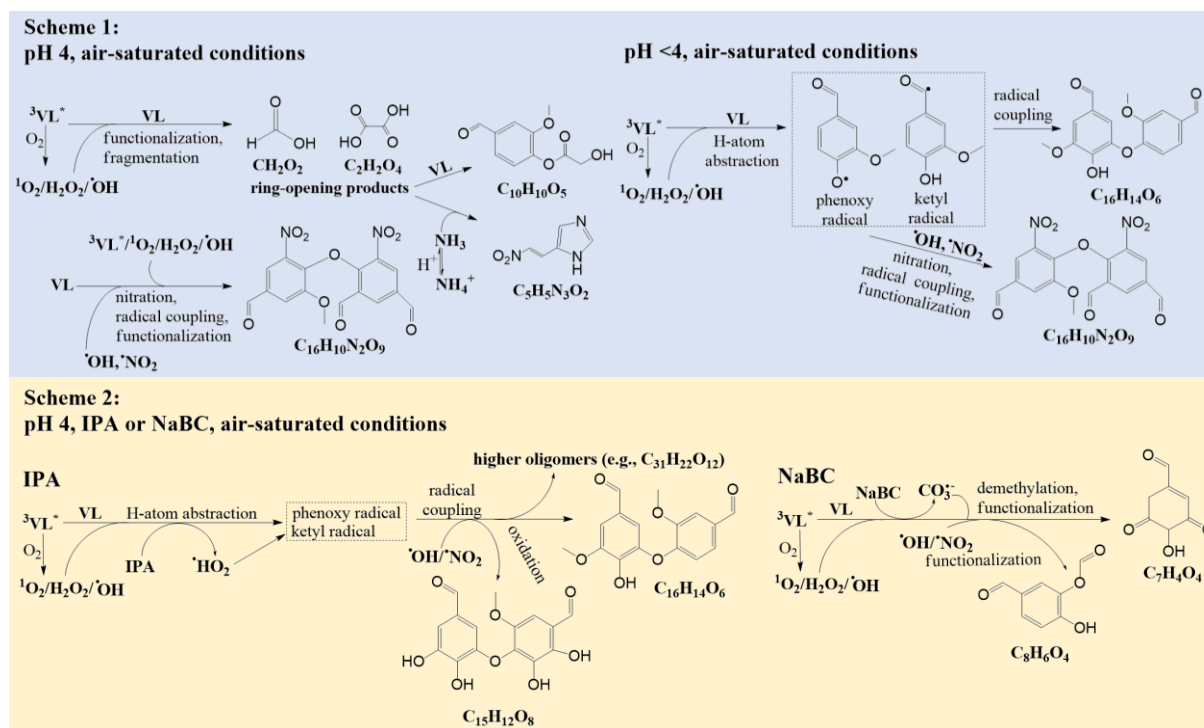


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1154 **Figure 3.** (a) Reconstructed mass spectra of assigned peaks from the direct GUA photodegradation (A17) and photo-
1155 oxidation of GUA in the presence of VL (GUA+VL; A18) or nitrate (GUA+AN; A19) at pH 4 under air-saturated conditions
1156 after 6 h of simulated sunlight irradiation. The y-axis is the absolute signal area of the products. Examples of high-intensity
1157 peaks were labeled with the corresponding neutral formulas. (b-d) van Krevelen diagrams of the 50 most abundant products
1158 from the (b) direct photodegradation of GUA (A17), (c) GUA+VL (A18), and (d) GUA+AN (A19) at pH 4 under air-
1159 saturated conditions after 6 h of simulated sunlight irradiation. The color bar denotes the absolute signal area. The grey
1160 dashed lines indicate the carbon oxidation state values (e.g., OS_c = -1, 0, and 1).



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1164 **Figure 4.** Potential photo-oxidation pathways of VL via direct photosensitized reactions and in the presence of nitrate to
 1165 illustrate the effects of secondary oxidants from VL triplets, pH, and the presence of VOCs (IPA) and inorganic anions
 1166 (NaBC). Product structures were proposed based on the molecular formulas, DBE values, and MS/MS fragmentation
 1167 patterns. The molecular formulas presented were the most abundant products or products with a significant increase in
 1168 normalized abundance.