Aqueous SOA formation from the photo-oxidation of vanillin: Direct photosensitized reactions and nitrate-mediated reactions

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15 Abstract. Vanillin (VL), a phenolic aromatic carbonyl abundant in biomass burning emissions, forms triplet excited states 16 (³VL*) under simulated sunlight leading to aqueous secondary organic aerosol (aqSOA) formation. This direct photosensitized 17 oxidation of VL was compared with nitrate-mediated VL photo-oxidation under atmospherically relevant cloud and fog conditions, through examining the VL decay kinetics, product compositions, and light absorbance changes. The majority of 18 19 the most abundant products from both VL photo-oxidation pathways were potential Brown carbon (BrC) chromophores. In 20 addition, both pathways generated oligomers, functionalized monomers, and oxygenated ring-opening products, but nitrate 21 promoted functionalization and nitration, which can be ascribed to its photolysis products ('OH, 'NO₂, and N(III), NO₂⁻ or 22 HONO). Moreover, a potential imidazole derivative observed from nitrate-mediated VL photo-oxidation suggested that 23 ammonium may be involved in the reactions. The effects of oxygen $-(O_2)$ secondary oxidants from ${}^{3}VL^{*}$, pH, the presence of 24 volatile organic compounds (VOCs) and inorganic anions, and reactants concentration and molar ratios on VL photo-oxidation 25 were also explored. Our findings show that the secondary oxidants (${}^{4}O_{2}, O_{2}$, ${}^{4}HO_{2}$, ${}^{4}OH$) from the reactions of ${}^{3}VL^{*}$ and O_{2} 26 plays an essential role in VL photo-oxidation, and -Enhanced-oligomer formation was enhanced noted at pH < 4-and in the 27 presence of VOCs and inorganic anions, probably due to additional generation of radicals (*HO2 and CO3⁺). Also, 28 functionalization was dominant at low VL concentration, whereas oligomerization was favored at high VL concentration. 29 Furthermore, comparisons of the apparent quantum efficiency of guaiacol photodegradation indicate that in this study, guaiacol oxidation by photosensitized reactions of VL was observed to be more is less efficient relative to nitrate-mediated photo-30 31 oxidation. Lastly, potential agSOA formation VL photo-oxidation pathways via VL photo-oxidation under different reaction 32 conditions were proposed. This study indicates that the direct photosensitized oxidation of VL and nitrate-mediated VL photo33 oxidation, which nitrate photolysis products can further enhance, may be an important aqSOA sources in areas influenced by

- 34 biomass burning emissions.
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37 1 Introduction

Aqueous reactions can be an important source of secondary organic aerosols (SOA) (Blando and Turpin, 2000; Volkamer et 38 39 al., 2009; Lim et al., 2010; Ervens et al., 2011; Huang et al., 2011; Lee et al., 2011; Smith et al., 2014) such as highly-40 oxygenated and low-volatility organics (Hoffmann et al., 2018; Liu et al., 2019) which may affect aerosol optical properties 41 due to contributions to Brown Carbon (BrC) (Gilardoni et al., 2016). BrC refers to organic aerosols that absorb radiation 42 efficiently in the near-ultraviolet (UV) and visible regions (Laskin et al., 2015). The formation of aqueous SOA (aqSOA) via 43 photochemical reactions involves oxidation, with hydroxyl radical ('OH) usually considered as the primary oxidant (Herrmann 44 et al., 2010; Smith et al., 2014). The significance of photosensitized chemistry in atmospheric aerosols has recently been 45 reviewed (George et al., 2015). For instance, triplet excited states of organic compounds (³C*) from the irradiation of lightabsorbing organics such as non-phenolic aromatic carbonyls (Canonica et al., 1995; Anastasio et al., 1996; Vione et al., 2006; 46 47 Smith et al., 2014) have been reported to oxidize phenols at faster rates and with higher agSOA yields compared to 'OH (Sun et al., 2010; Smith et al., 2014; Yu et al., 2014; Smith et al., 2016). Aside from being an oxidant, ³C* can also be a precursor 48 49 of singlet oxygen ($^{1}O_{2}$), superoxide (O_{2}^{-}) or hydroperoxyl ('HO₂) radical, and 'OH (via HO₂'/O₂⁻ formation) upon reactions with O_2 and substrates (e.g., phenols), respectively (TinelGeorge et al., 2018). The ${}^{3}C^{*}$ concentration in typical fog water has 50 been estimated to be > 25 times than that of 'OH, making ${}^{3}C^{*}$ the primary photo-oxidant for biomass burning phenolic 51 52 compounds (Kaur and Anastasio, 2018; Kaur et al., 2019). Recent works on triplet-driven oxidation of phenols have mainly focused on changes of physicochemical properties (e.g., light absorption) and aqSOA yield (e.g., Smith et al., 2014, 2015, 53 54 2016), with few reports on reaction mechanisms and characterization of reaction products (e.g., Yu et al., 2014; Chen et al., 55 2020; Jiang et al., 2021).

56 Inorganic nitrate is a major component of aerosols and cloud/fog water. In cloud and fog water, the concentrations of 57 inorganic nitrate can vary from 50 μ M to > 1000 μ M, with higher levels typically noted under polluted conditions (Munger et al., 1983; Collett et al., 1998; Zhang and Anastasio, 2003; Li et al., 2011; Giulianelli et al., 2014; Bianco et al., 2020). Upon 58 59 photolysis (Vione et al., 2006; Herrmann, 2007; Scharko et al., 2014), inorganic nitrate in cloud and fog water can contribute to BrC (Minero et al., 2007) and aqSOA formation (Huang et al., 2018; Klodt et al., 2019; Zhang et al., 2021) by generating 60 61 'OH and nitrating agents (e.g., 'NO₂). For example, the aqSOA yields from the photo-oxidation of phenolic carbonyls in nitrate 62 are twice as high as that in sulfate solution (Huang et al., 2018). Nitration is a significant process in the formation of light-63 absorbing organics or BrC in the atmosphere (Jacobson, 1999; Kahnt et al., 2013; Mohr et al., 2013; Laskin et al., 2015; Teich 64 et al., 2017; Li et al., 2020). Furthermore, nitrate photolysis has been proposed to be a potentially important process for SO_2 oxidation via the generation of 'OH, 'NO₂, and N(III) within particles (Gen et al., 2019a, 2019b), and it can also potentially change the morphology of atmospheric viscous particles (Liang et al., 2021). Accordingly, both ${}^{3}C^{*}$ and inorganic nitrate can contribute to aqSOA and BrC formation.

68 -Biomass burning (BB) is a significant atmospheric source of both phenolic and non-phenolic aromatic carbonyls 69 (Rogge et al., 1998; Nolte et al., 2001; Schauer et al., 2001; Bond et al., 2004). For An example, is vanillin (VL) (Henry's law 70 constant of 4.56×10^5 M atm⁻¹; Yaws, 1994), a model compound for methoxyphenols which are abundant in BB emissions 71 (Pang et al., 2019a), which has been shown to yield low-volatility products (Li et al., 2014) via aqueous 'OH oxidation and 72 direct photodegradation. -Photodegradation kinetics and aqSOA yields have been reported for direct VL photodegradation 73 (Smith et al., 2016), with oxygenated aliphatic-like compounds (high H:C, ≥ 1.5 and low O:C, ≤ 0.5 ratios) reported as the 74 most likely products (Loisel et al., 2021). Additionally, aqueous-phase reactions of phenols with reactive nitrogen species have 75 been proposed to be a significant source of nitrophenols and SOA (Grosjean, 1985; Kitanovski et al., 2014; Kroflič et al., 2015; Pang et al., 2019a; Kroflič et al., 2021; Yang et al., 2021). For instance, nitrite-mediated VL photo-oxidation can generate 76 77 nitrophenols, and the reactions are influenced by nitrite/VL molar ratios, pH, and the presence of 'OH scavengers (Pang et al., 78 2019a). As BB aerosols are typically internally mixed with other aerosol components (Zielinski et al., 2020), VL may coexist 79 with nitrate in BB aerosols. The aqueous-phase photo-oxidation of VL and nitrate may then reveal insights into the atmospheric 80 processing of BB aerosols.

As BB aerosols are typically internally mixed with other aerosol components (Zielinski et al., 2020), VL may coexist with nitrate in BB aerosols. The aqueous phase photo-oxidation of VL and nitrate may then reveal insights into the atmospheric processing of BB aerosols. In addition, pollution from large BB events in central Amazonia has been reported to interact with volatile organic compounds (VOCs) and soil dust (Rizzo et al., 2010). Moreover, the production, growth, and chemical complexity of SOA can be influenced by the uptake and aerosol phase reactions of VOCs (Pöschl, 2005; De Gouw and Jimenez, 2009; Ziemann and Atkinson, 2012). Accordingly, studies incorporating other atmospherically relevant species (e.g., VOCs and inorganic anions) in photo-oxidation experiments are warranted.

88 To evaluate the potential significance of VL and its reactions with nitrate in aqSOA formation in cloud/fog water, we 89 studied the direct photosensitized oxidation of VL and nitrate-mediated VL photo-oxidation under atmospherically relevant 90 conditions. In this work, reactions were characterized based on VL decay kinetics, light absorbance changes, and products. 91 The influences of <u>O</u>₂secondary oxidants from VL triplets, solution pH, the presence of VOCs and inorganic anions, and 92 reactants concentration and molar ratios on these two photo-oxidation pathways were also assessed. The ³C* of non-phenolic 93 aromatic carbonyls (e.g., 3-4-dimethoxybenzaldehyde, DMB; a non-phenolic aromatic carbonyl) (Smith et al., 2014; Yu et al., 94 2014; Jiang et al., 2021) and phenolic aromatic carbonyls (e.g., acetosyringone, vanillin) (Smith et al., 2016) have been shown 95 to oxidize phenols, but the reaction products from the latter are unknown. We then examined the photo-oxidation of guaiacol, 96 another non-carbonyl phenol, in the presence of VL and compared it with nitrate-mediated photo-oxidation. Finally, we 97 proposed agSOA formation pathways via VL photo-oxidation-pathways of VL under different reaction conditions. This work 98 presents a comprehensive comparison of VL photo-oxidation by VL photosensitization and in the presence of inorganic nitrate.

100 **2 Methods**

101 2.1 Aqueous phase photo-oxidation experiments

102 Photo-oxidation experiments were performed in a 500 mL-custom-built guartz photoreactor. The solutions (initial volume of 103 500 mL) were continuously mixed throughout the experiments using -equipped with a magnetic stirrer. The solutions were 104 bubbled with synthetic air or nitrogen (N₂) (> 99.995%) (0.5 dm³/min) for 30 min before irradiation to achieve air- and N₂-105 saturated conditions, respectively, and the bubbling was continued throughout the reactions (Du et al., 2011; Chen et al., 2020). 106 The aim of the air-saturated experiments was to enable the generation of secondary oxidants ($^{1}O_{2}$, $O_{2}^{-}/^{2}HO_{2}$, ^{O}H) from $^{3}VL^{*}$ 107 as O_2 is present. Conversely, the N_2 -saturated experiments would inhibit the formation of these secondary oxidants, which can 108 leading to ³VL*-driven reactions (Chen et al., 2020). Solutions were irradiated through the quartz window of the reactor using 109 a xenon lamp (model 6258, Ozone free xenon lamp, 300 W, Newport) equipped with a longpass filter (20CGA-305 nm cuton filter, Newport) to eliminate light below 300 nm. Cooling fans positioned around the photoreactor and lamp housing 110 111 maintained reaction temperatures at 27 ± 2 °C. The averaged initial photon flux in the reactor from 300 to 380 nm measured using a chemical actinometer (2-nitrobenzaldehyde) was 2.6×10^{15} photons cm⁻² s⁻¹ nm⁻¹ (Fig. S1). Although the concentration 112 113 of VL in cloud/fog water has been estimated to be < 0.01 mM (Anastasio et al., 1996), a higher VL concentration (0.1 mM) 114 was used in this study to guarantee sufficient signals for product identification (Vione et al., 2019). The chosen ammonium 115 nitrate (AN) concentration (1 mM) was based on values observed in cloud and fog water (Munger et al., 1983; Collett et al., 116 1998; Zhang and Anastasio, 2003; Li et al., 2011; Giulianelli et al., 2014; Bianco et al., 2020). It should be noted that this study 117 is not intended to identify the concentrations of nitrate that would affect the kinetics. We also examined the role of VOCs (2-118 propanol, IPA) (1 mM) and inorganic anions (sodium bicarbonate, NaBC) (1 mM) in these reactions. IPA can be classified as 119 both a biogenic (from grass, Olofsson et al., 2003) and anthropogenic VOC (e.g., from solvents and industrial processes, 120 Hippelein, 2004; Lewis et al., 2020), while bicarbonate is an inorganic anion observed in fog water from both urban and rural 121 locations (Collett et al., 1999: Straub et al., 2012: Straub, 2017). IPA and NaBC are particularly interesting also because they 122 can produce other radicals (e.g., *HO₂ and carbonate radical, CO₃*) that may react with nitrate photolysis products (Vione et 123 al., 2009; Wang et al., 2021) and they can act as 'OH scavengers' (Warneck and Wurzinger, 1988; Vione et al., 2009; Gen et 124 al., 2019b; Pang et al., 2019a), although it must be noted that these compounds were not added in excess for our experiments. 125 Moreover, comparisons were made between the photo-oxidation of guaiacol (0.1 mM), a non-carbonyl phenol, in the presence 126 of VL (0.1 mM) or AN (1 mM). Samples (10 mL) were collected hourly for a total of 6 h for offline optical and chemical 127 analyses. Absorbance measurements, VL (and GUA) decay kinetics (calibration curves for VL and GUA standard solutions; 128 Fig. S2), small organic acids measurements, and product characterization were conducted using UV-Vis spectrophotometry, 129 ultra-high-performance liquid chromatography with photodiode array detector (UHPLC-PDA), ion chromatography (IC), and UHPLC coupled with quadrupole time-of-flight mass spectrometry (UHPLC-qToF-MS) equipped with an electrospray 130

ionization (ESI) source and operated in the positive ion mode (the negative ion mode signals were too low for our analyses), respectively. Each experiment was repeated independently at least three times and measurements were done in triplicate. The reported decay rate constants and absorbance enhancement are the average of results from triplicate experiments, and the corresponding errors represent one standard deviation. The mass spectra are based on the average of results from duplicate experiments. Details on the materials and analytical procedures are provided in the Supporting Information (Text S1 to S6)The Supporting Information (Text S1 to S7) provides details on the materials and analytical procedures. The pseudo-first-order rate constant (*k*') for VL decay was determined using the following equation (Huang et al., 2018):

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$$ln\left([VL]_t/[VL]_0\right) = -k't \tag{Eq. 1}$$

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141 where $[VL]_t$ and $[VL]_0$ are the concentrations of VL at time *t* and 0, respectively. Replacing VL with GUA in Eq. 1 enabled

142 the calculation of GUA decay rate constant. The decay rate constants were normalized to the photon flux measured for each

143 experiment through dividing k' by the measured 2-nitrobenzaldehyde (2NB) decay rate constant, j(2NB) (see Text S6 for more

144 details).

145 2.2 Calculation of normalized abundance of products

- 146 Comparisons of peak abundance in mass spectrometry have been used in many recent studies (e.g., Lee et al., 2014; 147 Romonosky et al., 2017; Wang et al., 2017; Fleming et al., 2018; Song et al., 2018; Klodt et al., 2019; Ning et al., 2019) to 148 show the relative importance of different types of compounds (Wang et al., 2021). However, jonization efficiency may greatly 149 vary for different classes of compounds (Kebarle, 2000; Schmidt et al., 2006; Leito et al., 2008; Perry et al., 2008; Kruve et 150 al., 2014)₂ and so uncertainties may arise from comparisons of peak areas among compounds. In this work, we assumed equal 151 ionization efficiency of different compounds, which is commonly used to estimate O:C ratios of SOA (Bateman et al., 2012; 152 Lin et al., 2012; De Haan et al., 2019), to calculate their normalized abundance. The normalized abundance of a product, [P]
- 153 (unitless), was calculated as follows:
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$$[P] = \frac{A_{P,t}}{A_{VL,t}} \cdot \frac{[VL]_t}{[VL]_0}$$
(Eq. 2)

155 where $A_{P,t}$ and A_{VLt} are the extracted ion chromatogram (EIC) signal-peak areas of the product P and VL from UHPLC-qToF-MS analyses at time t, respectively; $[VL]_t$ and $[VL]_0$ are the VL concentrations (μ M) determined using UHPLC at time t and 156 157 0, respectively. Here, we relied on the direct quantification more accurate measurements of [VL] using UHPLC-(see Fig. S2 for VL calibration curve)for semi-quantification. It should be noted that the ionization efficiency may greatly vary for different 158 159 classes of compounds (Kebarle, 2000).-Hence, we assumed equal ionization efficiency of different compounds to calculate 160 their normalized abundance, which is commonly used to estimate O:C ratios of SOA (Bateman et al., 2012; Lin et al., 2012; De Haan et al., 2019). It should be noted that the normalized abundance of products in this study is a semi-quantitative analysis 161 162 intended to provide an overview of how the signal intensities changed under different experimental conditions but not to

- 163 quantify the absolute concentration of products. Moreover, the major products detected in this study are probably those with
- 164 high concentration or high ionization efficiency in the positive ESI mode. The use of relative abundance (product peaks are
- 165 normalized to the highest peak) (e.g., Lee et al., 2014; Romonosky et al., 2017; Fleming et al., 2018; Klodt et al., 2019) would
- 166 yield the same major products reported. Typical fragmentation behavior observed in MS/MS spectra for individual functional
- 167 groups from Holčapek et al. (2010) are outlined in Table S1.
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169 3 Results and Discussion

170 3.1 Kinetics, mass spectrometric, and absorbance changes analyses during aqueous phase photo-oxidation of vanillin

171 For clarity purposes, the reactions involving reactive species referred to in the following discussions are provided in Table 1.

Table S2 summarizes the reaction conditions, initial VL (and GUA) decay rates <u>constants</u>, normalized abundance of products, and average carbon oxidation state ($\langle OS_c \rangle$ (of the 50 most abundant products). In general, the 50 most abundant products contributed more than half of the total normalized abundance of products. For clarity purposes, the reactions involving reactive species referred to in the following discussions are provided in Table 1.

176 As shown in Figure S3, VL underwent oxidation both directly and in the presence of nitrate upon simulated sunlight illumination. VL absorbs light and is promoted to its excited singlet state (¹VL^{*}), then undergoes intersystem crossing (ISC) 177 178 to the excited triplet state, ³VL*. In principle, ³VL* can oxidize ground-state VL (Type I photosensitized reactions) via H-179 atom abstraction/electron transfer and form O_2^{\bullet} or HO₂ in the presence of O_2 (TinelGeorge et al., 2018), or react with O_2 (Type 180 II photosensitized reactions) to yield ${}^{1}O_{2}$ via energy transfer or O_{2}^{\bullet} via electron transfer (Lee et al., 1987; Foote et al., 1991). 181 The disproportionation of HO₂'/O₂⁻ (Anastasio et al., 1996) and reaction of HO₂⁺ with O₂⁺ (Du et al., 2011) form hydrogen 182 peroxide (H_2O_2) , which is a photolytic source of 'OH. Overall, air-saturated conditions, in which O_2 is present, enable the 183 generation of secondary oxidants from ³VL* (¹O₂, O₂^{-/}, ¹HO₂, [•]OH). Moreover, [•]OH, [•]NO₂, and NO₂^{-/}, ¹HNO₂, i.e., N(III), 184 generated via nitrate photolysis (Reactions 1-3; Table 1), can also oxidize or nitrate VL. In this work, the direct photosensitized oxidation of VL ($(by^{3}VL^{*} \text{ or secondary oxidants from}^{3}VL^{*} \text{ and } \Theta_{2}VL$ only experiments) and nitrate-185 186 mediated VL photo-oxidation are referred to as VL* and VL+AN, respectively.

187 3.1.1 Effect of secondary oxidants from VL triplets VL photo-oxidation under N₂ and air-saturated conditions

188 As mentioned earlier, secondary oxidants (${}^{4}\Theta_{2}, \Theta_{2}, {}^{*}PO_{2}, {}^{*}OH$) can be generated from ${}^{3}VL^{*}$ when Θ_{2} is present (e.g., under

189 air-saturated conditions), while ³VL* is the only oxidant expected under N₂-saturated conditions. The photo-oxidation of VL

- 190 To examine the contributions of ³VL*-derived secondary oxidants and ³VL* only on VL photo-oxidation, experiments-under
- both N_2 air and air N_2 -saturated conditions (Fig. S3a) were carried out at pH 4, which is representative of moderately acidic
- 192 aerosol and cloud pH values (Pye et al., 2020). No significant VL loss was observed for dark experiments. The oxidation of
- 193 ground-state VL by ³VL* via H-atom abstraction or electron transfer can form phenoxy (which is in resonance with a carbon-

194 centered cyclohexadienyl radical that has a longer lifetime) and ketyl radicals (Neumann et al., 1986a, 1986b; Anastasio et al., 195 1996). The coupling of phenoxy radicals or phenoxy and cyclohexadienyl radicals can form oligomers as observed for both 196 N₂- and air-saturated experiments (see discussions later). However, the little decay of VL under N₂-saturated condition 197 indicates that these radicals probably predominantly decayed via back-hydrogen transfer to regenerate VL (Lathioor et al., 198 1999). A possible explanation for this is the involvement of O_2 in the secondary steps of VL decay. For instance, a major fate 199 of the ketyl radical is reaction with O_2 (Anastasio et al., 1996). In the absence of O_2 , radical formation occurs, but the forward 200reaction of ketvl radical and O₂ is blocked, leading to the regeneration of VL as suggested by the minimal VL decay. Aside from potential inhibition of secondary oxidants generation (Chen et al., 2020), N₂ purging may have also hindered the 201 202 secondary steps for VL decay.

203 The low decay rate for VL* under N₂-saturated conditions suggests a minimal role for ³VL* in VL photo-oxidation. 204 Contrastingly, the VL* decay rate constant under air-saturated conditions was 4 times higher, revealing the importance of 205 3 VL* derived secondary oxidants for photosensitized oxidation of VL. As mentioned earlier, secondary oxidants ($^{1}O_{2}$, O_{2}) 206 /'HO₂, 'OH) can be generated from ³VL* when O₂ is present (e.g., under air-saturated conditions). However, the photooxidation of VL in this study is likely mainly governed by ³VL* and that these secondary oxidants have only minor 207 208 participation. Aside from 'OH, O₂-/'HO₂ and 'O₂ can also promote VL photo oxidation (Kaur and Anastasio, 2018; Chen et 209 $\frac{1}{2}$ al., 2020). $^{1}O_{2}$ is also a potential oxidant for phenols (Herrmann et al., 2010; Minella et al., 2011; Smith et al., 2014), but $^{1}O_{2}$ 210 reacts much faster (by ~60 times) with phenolate ions compared to neutral phenols (Tratnyek and Hoigne, 1991; Canonica et 211 al., 1995; McNally et al., 2005). Under the pH values (pH 2.5 to 4) considered in this study, the amount of phenolate ion is 212 negligible, so the reaction between VL and ${}^{1}O_{2}$ should be slow. Interestingly, however, ${}^{1}O_{2}$ has been shown to be important in 213 the photo-oxidation of 4-ethylguaiacol ($pK_a = 10.3$) by ${}^{3}C^{*}$ of 3,4-dimethoxybenzaldehyde (solution with pH of ~3) (Chen et al., 2020). Furthermore, while the irradiation of other phenolic compounds can produce H₂O₂, a precursor for 'OH (Anastasio 214 215 et al., 1996), the amount of H_2O_2 is small. Based on this, only trace amounts of H_2O_2 were likely generated from VL* (Li et 216 al., 2014) under-air saturated conditions, suggesting that contribution from 'OH was minor. Overall, these suggest that VL 217 photo-oxidation in this study is driven by ${}^{3}VL^{*}$. Further study on the impact of O₂ on the reactive intermediates involved is 218 required to understand the exact mechanisms occurring under air-saturated conditions. Nonetheless, the VL* decay trends 219 clearly indicate that O₂ is important for efficient VL photo-oxidationan efficient oxidant for unsaturated organic compounds 220 and has a lifetime that is much longer than ³C* (Chen et al., 2020). Similar to VL*, tThe decay rate constant for VL+AN under 221 air-saturated conditions was also higher faster (6.6 times) than N_2 -saturated conditions, which may can be due to several 222 reactions facilitated by nitrate photolysis products and the enhancement of N(III) mediated photo oxidation-that may have 223 been enhanced in the presence of O₂ as reported in early works (Vione et al., 2005; Kim et al., 2014; Pang et al., 2019a). As 224 shown later, more nitrogen-containing species were observed under air-saturated conditions. An example is enhanced VL 225 nitration likely from increased 'NO₂ formation such as from the reaction of 'OH and O₂' with NO₂' (Reactions 4 and 5, 226 respectively; Table 1) or the autoxidation of 'NO from NO_2 photolysis (Reactions 6–9; Table 1) in aqueous solutions (Pang 227 et al., 2019a). Reactions involving HO₂/O₂ which may originate from the photolysis of nitrate alone, likely from the 228 production and subsequent photolysis of peroxynitrous acid (HOONO) (Reaction 10: Table 1) (Jung et al., 2017; Wang et al., 229 2021), or the reactions of ${}^{3}VL*$ in the presence of O_{2} , may have contributed as well. For instance, Wang et al. (2021) recently 230 demonstrated that nitrate photolysis generates *HO2/O2⁺(a) and HONO(e) in the presence of dissolved aliphatic organic matter 231 (e.g., nonanoic acid, ethanol), with the enhanced HONO (a) production caused by secondary photochemistry between 'HO₂/O₂' 232 (aq) and photoproduced NO_{x(aq)} (Reactions 11 and 12; Table 1), in agreement with Scharko et al. (2014). The significance of this increased HONO production is enhanced OH formation (Reaction 13: Table 1). In addition, "HO2 can react with "NO (Reaction 233 234 10; Table 1) from NO2⁻photolysis (Reaction 6; Table 1) to form HOONO, and eventually 'NO2 and 'OH (Reaction 14; Table 235 1) (Pang et al., 2019a). Nevertheless, the comparable decay rates constants for VL* and VL+AN imply that ${}^{3}VL*$ chemistry 236 still dominates even at 1:10 molar ratio of VL/nitrate, probably due to the much higher molar absorptivity of VL compared to 237 that of nitrate (Fig. S1) and the high VL concentration (0.1 mM) used in this study. Although we have no quantification of the 238 oxidants in our reaction systems as it is outside the scope of this study, these observations clearly substantiate that secondary 239 oxidants from ${}^{3}VL^{*}$, which are formed when O_{2} is present, are required for efficient photosensitized oxidation of VL and 240 nitrate-mediated VL photo-oxidation are more efficient in the presence of O₂.

241 The products from VL* under N₂-saturated conditions were mainly oligomers (e.g., $C_{16}H_{14}O_4$) (Fig. 1a), consistent 242 with triplet-mediated oxidation forming higher molecular weight products, probably with less fragmentation relative to 243 oxidation by OH (Yu et al., 2014; Chen et al., 2020). A threefold increase in the normalized abundance of products was noted 244 upon addition of nitrate (VL+AN under N₂-saturated conditions; Fig. 1b), and in addition to oligomers, functionalized 245 monomers (e.g., $-C_8H_6O_5$) and nitrogen-containing compounds (e.g., $C_8H_9NO_3$; No. 2, Table S23) were also observed, in 246 agreement with 'OH-initiated oxidation yielding more functionalized/oxygenated products compared to triplet-mediated 247 oxidation (Yu et al., 2014; Chen et al., 2020). Compared to N₂-saturated conditions, the normalized abundance of products 248such as oOligomers, and functionalized monomers (e.g., demethylated VL; Fig. S4), and The nitrogen-containing compounds 249 (e.g., C₁₆H₁₀N₂O₉; No. 33, Table S3, Table S2) (for VL+AN) had higher normalized abundancewere also more relatively 250 abundant under air-saturated conditions - were significantly higher under air saturated conditions - (Figs. 1c-d), likely due to 251 efficient the secondary oxidants from ${}^{3}VL^{*}$ -initiated oxidation and enhanced VL nitration in the presence of ${}^{-and}O_{2}$ and their 252 interactions with nitrate photolysis products. The nitrogen containing compounds (e.g., C₁₆H₄₀N₂O₉; No. 3, Table S3) were 253 also more relatively abundant under air saturated conditions. For both VL* and VL+AN under air-saturated conditions, the 254 most abundant product was $C_{10}H_{10}O_5$ (No. 4, Table S23), a substituted VL.- Irradiation of VL by 254-nm lamp has also been 255 reported to lead to VL dimerization and functionalization via ring-retaining pathways, as well as small oxygenates but only 256 when OH from H_2O_2 were involved (Li et al., 2014). In this work, small organic acids (e.g., formic acid) were observed from 257 both VL* and VL+AN under air-saturated conditions (Fig. S5) due to simulated sunlight that could access the 308-nm VL 258 band (Smith et al., 2016). Interestingly, we observed a potential imidazole derivative (C₅H₅N₃O₂; Fig. 1dNo. 5, Table S2) from 259 VL+AN under air-saturated conditions (Fig. 1d), which may have formed from reactions induced by ammonium. This compound was not observed in a parallel experiment in which AN was replaced with sodium nitrate (SN) (Fig. S6a; see Sect. 260

- 261 3.3 for discussion). The potential aqSOA formation most probable pathways via of direct photosensitized and nitrate-mediated 262 photo oxidation of VL photo-oxidation in this study are summarized in Fig. 2were proposed (Fig. 4). In Scheme 1 (pH 4 and pH <4 under air saturated conditions).³VL* and 'OH (from ³VL* or nitrate photolysis) can initiate H atom abstraction to 263 264 generate phenoxy or ketyl radicals (Huang et al., 2018; Vione et al., 2019).⁻At pH 4, ring-opening products (Fig. S5) from 265 fragmentation in both VL* and VL+AN may have reacted with VL or dissolved ammonia to generate $C_{10}H_{10}O_5$ (No. 4, Table S2) (Pang et al., 2019b) orand a potential imidazole derivative (C₅H₅N₃O₂; No. 5, Table S2), respectively. Moreover, nitrate 266 photolysis products promoted functionalization and nitration (e.g., $C_{16}H_{10}N_2O_9$; No. 3, Table S2). At pH <4, the reactivity of 267 3 VL* increased as suggested by the abundance of oligomers (e.g., C₁₆H₁₄O₆) and increased normalized abundance of N 268 containing compounds. 269
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271 The molecular transformation of VL upon photo-oxidation was examined using the van Krevelen diagrams (Fig. S7). 272 For all experiments (A1-159: Table \$2) in this study, the O:C and H:C ratios of the products were mainly similar to those observed from the aging of other phenolics (Yu et al., 2014) and BB aerosols (Qi et al., 2019). Uunder N2-saturated conditions. 273 274 oOligomers with O:C ratios ≤ 0.6 were dominant in VL*, under N₂-saturated conditions, while smaller molecules (n_c ≤ 8) with 275 higher O:C ratios (up to 0.8) were also observed fFor VL+AN. For VL+AN under N₂-saturated conditions, smaller molecules $(n, \leq 8)$ with higher O:C ratios (up to 0.8) were also observed. In contrast, Mmore products with higher O:C ratios (≥ 0.6) were 276 277 noted under air-saturated conditions for both VL* and VL+AN. Ffor experiments A5 to A8. The H:C ratios were mostly around 2781.0 and double bond equivalent (DBE) values were typically (58% of the 50 most abundant products) \geq 7, indicating that the 279 products for experiments A5 to A8 (Table S2) were mainly oxidized aromatic aromatic species compounds (Xie et al., 2020). Compounds with H:C \leq 1.0 and O:C \leq 0.5 are common for aromatic species, while compounds with H:C \geq 1.5 and O:C \leq 0.5 280281 are typical for more aliphatic species (Mazzoleni et al., 2012; Kourtchev et al., 2014; Jiang et al., 2021). Moreover, majority 282 of the products for experiments A5 to A8 have double bond equivalent (DBE) values >7-, which corresponds to oxidized 283 aromatic compounds (Xie et al., 2020).-In contrast, Loisel et al. (2021) reported mainly oxygenated aliphatic-like compounds 284 (H:C, ≥ 1.5 and O:C, ≤ 0.5 ratios) from the direct irradiation of VL (0.1 mM), which may be probably due to their use of ESI in 285 the negative ion mode, which has higher sensitivity for detecting compounds such as carboxylic acids (Holčapek et al., 2010; 286 Liigand et al., 2017), and solid-phase extraction (SPE) procedure causing the loss of some oligomers (LeClair et al., 2012; Zhao et al., 2013; Bianco et al., 2018). Among experiments A5 to A8 (Table S2), VL+AN under air-saturated conditions (A7) 287 288 had the highest normalized abundance of products and $\langle OS_c \rangle$, most-probably due to the combined influence of the secondary 289 $\frac{1}{2}$ oxidants from 3 VL* and enhanced VL nitration in the presence of $O_{2,}$ and nitrate photolysis products. In our calculations, the 290increase in $\langle OS_e \rangle$ (except for VOCs and inorganic anions experiments: A9 to A12; Table S2) was lower than those in 'OH-291 or triplet mediated oxidation of phenolics (e.g., phenol, guaiacol) measured using an aerosol mass spectrometer (Sun et al., 292 2010: Yu et al., 2014). Our measured $\langle OS_c \rangle$ for all experiments range from -0.28 to +0.12, while other studies on phenolic 293 aqSOA formation reported $\langle OS_c \rangle$ ranging from -0.14 to +0.47 (Sun et al., 2010) and 0.04 to 0.74 (Yu et al., 2014). This is 294 likely because we excluded contributions from ring-opening products, which may have higher OS_c values as these products are not detectable in the positive ion mode. Thus, the $\langle OS_c \rangle$ in this study likely were lower estimates. In brief, the <u>presence of</u> secondary oxidants from ³VL* and O₂ increased the <u>normalized</u> abundance of products and promoted the formation of more oxidized aqSOA. These trends were reinforced in the presence of nitrate, indicating synergistic effects between secondary oxidants from VL triplets and nitrate photolysis products. Compared to N₂-saturated condition, the higher normalized abundance of nitrogen-containing products under air-saturated condition for VL+AN (at pH 4) suggests a potential enhancement of VL nitration in the presence of O₂.

301 Illumination of phenolic aromatic carbonyls with high molar absorptivities ($\varepsilon_{\lambda max}$) (~8 to 22×10^3 M⁻¹ cm⁻¹) leads to 302 an overall loss of light absorption but increased absorbance at longer wavelengths (> 350 nm), where the carbonyls did not 303 initially absorb light (Smith et al., 2016). Fig. 32a illustrates the changes in total absorbance from 350 to 550 nm of VL* and 304 VL+AN under N₂- $\frac{1}{2}$ - $\frac{$ 305 (pH 4) at different time intervals are shown in Fig. S8. For both VL* and VL+AN, evident absorbance enhancement was 306 observed under air-saturated conditions, while the absorbance changes under N₂-saturated conditions were minimal, consistent 307 with the VL decay trends. This absorbance enhancement can be explained by the formation of oligomers with large, conjugated 308 π -electron systems (Chang and Thompson, 2010) and hydroxylated products (Li et al., 2014; Zhao et al., 2015), in agreement 309 with the observed reaction products. In this work, phenoxy radicals (in resonance with a carbon-centered cyclohexadienyl 310 radical) can be generated from several processes such as the oxidation (Vione et al., 2019) of ground-state VL by ${}^{3}VL^{*}$ via H-311 atom abstraction (Huang et al., 2018) or electron transfer coupled with proton transfer from the phenoxyl radical cation or from 312 solvent water (Neumann et al., 1986a, 1986b; Anastasio et al., 1996) and photoinduced O-H bond-breaking (Berto et al., 2016). 313 Moreover, ³VL* can initiate H atom abstraction from the CHO group of VL, generating ketyl radicals via Norrish type 314 reactions (Vione et al., 2019). Also, similar reactions can be initiated by 'OH (Gelencsér et al., 2003; Hoffer et al., 2004; Chang 315 and Thompson, 2010; Sun et al., 2010), which in this study can be generated from the reaction between ${}^{3}VL*$ and O₂, as well 316 as nitrate photolysis. Trace amounts of H_2O_2 were likely formed during VL photodegradation (Li et al., 2014), similar to the 317 case of other phenolic compounds (Anastasio et al., 1996). -Oligomers can then form via the coupling of phenoxy radicals or 318 phenoxy and cyclohexadienyl ketyl radicals (Sun et al., 2010; Yu et al., 2014Berto et al., 2016; Vione et al., 2019). Absorbance 319 increase at > 350 nm has also been reported for photosensitized oxidation of phenol and 4-phenoxyphenol (De Laurentiis et 320 al., 2013a, 2013b) and direct photolysis of tyrosine and 4-phenoxyphenol (Bianco et al., 2014) in which dimers have been 321 identified as initial substrates. The continuous absorbance enhancement throughout 6 h of irradiation correlated with the 322 observation of oligomers and nitrated compounds after irradiation. However, the increasing concentration of small organic acids (Fig. S5) throughout the experiments suggests that fragmentation, which results in the decomposition of initially formed 323 324 oligomers and formation of smaller oxygenated products (Huang et al., 2018), is important at longer irradiation times. Overall, 325 these trends establish that secondary oxidants from ³VL*and-O₂ are is necessary for the efficient formation of light-absorbing 326 compounds from both VL* and VL+AN.

327 3.1.2 Effect of pH

328 The reactivity of ³C* (Smith et al., 2014, 2015, 2016), aromatic photonitration by nitrate (Machado and Boule, 1995; Dzengel 329 et al., 1999; Vione et al., 2005; Minero et al., 2007), and N(III)-mediated VL photo-oxidation (Pang et al., 2019a) have been 330 demonstrated to be pH-dependent. In this study, the effect of pH on VL photo-oxidation was investigated within the range of 331 2.5 to 45, corresponding to typical cloud (2.7) pH values (2.7) (Pye et al., 2020). The decay rates constants for both VL* and 332 VL+AN increased as pH decreased (VL* and VL+AN at pH 2.5: 1.65 and 1.43 times faster than at pH 4, respectively) (Fig. 333 S3b). These differences in decay rate constants are small but statistically significant (p < 0.05). The pK_a for the VL triplet has 334 been reported to be 4.0 (Smith et al., 2016). As there are a greater fraction of VL triplets that are protonated at pH 2.5 (0.96) than at pH 4 (0.5), it is possible that the pH dependence of the decay rate constants observed in this study is due to ${}^{3}VL*$ being 335 336 more reactive in its protonated form. Smith et al. (2016) also observed a pH dependence for the direct photodegradation of VL 337 (0.005 mM) (rate constants at pH \leq 3 are ~two times lower than at pH \geq 5) which they attributed to the sensitivity of the 338 excimer of VL (i.e., the charge-transfer complex formed between an excited state VL molecule and a separate ground state VL 339 molecule; Birks, 1973, Turro et al., 2010) to acid-base chemistry. The opposite trend observed in this study for 0.1 mM VL 340 may be due to the reactivities of the protonated and neutral forms of the ${}^{3}VL^{*}$ being dependent on the VL concentration (Smith et al., 2016). For VL*, this pH trend indicates that ³VL* are more reactive in their protonated form, which is opposite to that 341 342 reported for 0.005 mM VL (Smith et al., 2016), likely due to the concentration dependence of the relative reactivities of 343 protonated and neutral forms of $^{3}VL^{*}$. It has been reported that the quantum yield for direct VL photodegradation is higher at 344 pH 5 than at pH 2 for 0.005 mM VL, but they are not statistically different for 0.03 mM VL (Smith et al., 2016). Also, increases 345 in hydrogen ion concentration can enhance the formation of HO₂⁺ and H₂O₂ and in turn, ⁺OH formation (Du et al., 2011). In 346 addition to these pH influences on VL*-the dependence of N(III) (NO2⁻⁺+HONO) speciation on solution acidity (Pang et al., 347 2019a) -also contributed to the observed pH effects for VL+AN. At pH 3.3, half of N(III) exists as HONO (Fischer and 348 Warneek, 1996; Pang et al., 2019a), which has a higher quantum yield for 'OH formation than that of NO₂⁻ in the near-UV 349 region (Arakaki et al., 1999; Kim et al., 2014)-The increased OH formation rates as pH decreases can lead to faster VL decay 350 (Pang et al., 2019a), Also, NO₂-/HONO can generate *NO₂ via oxidation by *OH (Reactions 4 and 15; Table 1) (Pang et al., $\frac{2019a}{2019a}$ As pH decreases, the higher reactivity of ³VL* and -sensitivity of the excimer of VL to acid-base chemistry HONO 351 352 being the dominant N(III) species can lead may have led to faster VL photo-oxidation. Similar to pH 4 experiments, comparable 353 decay rate constants between VL* and VL+AN were also noted at pH < 4, again suggesting the predominant role of ${}^{3}VL^{*}$ 354 chemistry compared to nitrate, likely due to the high VL concentration (0.1 mM) used in this study.

As pH decreased, the normalized abundance of products, particularly oligomers and functionalized monomers, was higher for both VL* and VL+AN, further indicating that ${}^{3}VL*$ are-may be more reactive in their protonated form. The most abundant products observed were a substituted VL (C₁₀H₁₀O₅: No. 4, Table S2) and VL dimer (C₁₆H₁₄O₆; No. <u>6</u>5, Table <u>S3Table S2</u>) at pH 4 and pH <_4, respectively (Figs. 1c-h). Furthermore, a tetramer (C₃₁H₂₄O₁₁) was observed only in VL* at pH 2.5. For VL+AN, the normalized abundance of nitrogen-containing compounds <u>was</u> also <u>higher increased</u> at lower pH

360 (Table $\frac{1}{2}$), likely due to increased 'OH and 'NO₂ formation, which may be caused by the dependence of N(III) (NO₂ + HONO) 361 speciation on solution acidity (Pang et al., 2019a). At pH 3.3, half of N(III) exists as HONO (Fischer and Warneck, 1996; Pang 362 et al., 2019a), which has a higher quantum yield for 'OH formation than that of NO₂⁻ in the near-UV region (Arakaki et al., 363 1999; Kim et al., 2014). Also, NO₂/HONO can generate 'NO₂ via oxidation by 'OH (Reactions 4 and 105; Table 1) (Pang et 364 al., 2019a). At pH < 4, ${}^{3}VL*$ likely have higher reactivity as suggested by the increased normalized abundance of oligomers (e.g., C₁₆H₁₄O₆; No. 6, Table S2 and C₃₁H₂₄O₁₁) and N-containing compounds (e.g., C₁₆H₁₀N₂O₉; No. 3, Table S2 and 365 $C_{13}H_{14}N_2O_{10}$ (Fig. 2). The potential imidazole derivative (C₅H₅N₃O₂ No. 5, Table S2) was observed only at pH 4, possibly 366 367 due to the pH dependence of ammonium speciation ($pK_a = 9.25$). Imidazole formation requires the nucleophilic attack of 368 ammonia on the carbonyl group (Yu et al., 2011), and at pH 4, the concentration of dissolved ammonia in VL+AN was about 369 10 or 30 times higher than that at pH 3 or pH 2.5, respectively. At different pH, the O:C and H:C ratios in VL* and VL+AN 370 had no significant differences (Figs. S7c-d and S9), but molecules with higher O:C ratios (> 0.6) were more abundant at pH 371 < 4. Accordingly, the $<OS_c>$ at pH < 4 for both VL* and VL+AN were higher than that at pH 4, consistent with higher $<OS_c>$ 372 observed at pH 5 compared to pH 7 for the 'OH-mediated photo-oxidation of syringol (Sun et al., 2010). Essentially, the higher 373 reactivity of ³VL* and predominance of HONO over nitrite at lower pH may have resulted in increased formation of products 374 mainly composed of oligomers and functionalized monomers.

375 The hHigher absorbance enhancement for both VL* and VL+AN (Fig. <u>32</u>b) was observed as pH increased may be 376 attributed to redshifts and increased visible light absorption of reaction products (Pang et al., 2019a). To determine whether 377 the pH dependence is due to the acid-base chemistry of the products or of the reactions, we measured the pH dependence of 378 the aqSOA formed from VL* at pH 4 and 2.5 over a range of pH conditions from 1.5 to 10.5 (Fig. S10). For both cases, the 379 intensity of absorption at longer wavelengths significantly increased as the pH of the solutions was raised. Moreover, the 380 comparable pH dependence of the two solutions suggests that the observed pH dependence may be attributed to the acid-base 381 chemistry of the reactions, which may involve ³VL* or the excimer of VL (Smith et al., 2016), as discussed earlier. When a 382 phenolic molecule deprotonates at higher pH, an ortho or para electron withdrawing group, such as a nitro or aldehyde group, 383 can attract a portion of the negative charge towards its oxygen atoms through induced and conjugated effects, leading to the 384 extension of chromophore from the electron donating group (e.g., O⁻) to the electron withdrawing group via the aromatic ring 385 (Carey, 2000; Williams and Fleming, 2008; Pang et al., 2019a). Hence, the delocalization of the negative charge in phenolates 386 leads to significant redshifts (Mohr et al., 2013).

387 3.1.3 Effect of VOCs and inorganic anions

388 Aerosols are a complex mix of organic and inorganic compounds (Kanakidou et al., 2005). We explored the photo oxidation

389 behavior of VL, with and without nitrate, in the presence of VOCs (2 propanol, IPA) and inorganic anions (sodium bicarbonate,

390 NaBC). For both VL* and VL+AN, there was no significant change in VL decay (Figs. S3c d), and comparable absorbance

391 enhancements (Figs. 2c d) were observed upon the addition of IPA and NaBC. However, the characterization of reaction

392 products revealed the distinct effects of these compounds on the photo oxidation of VL. Both IPA and NaBC increased the

393 normalized abundance of products from VL* (by a factor of 2.4 and 1.4, respectively) and VL+AN (by a factor of ~4) (Table S2). The major product observed in VL*+IPA (Fig. S10a) was a dimer (C₁₆H₁₄O₆). Also, higher oligomers up to tetramers 394 395 (e.g., C₃₁H₂₂O₁₂) not observed in VL* were noted. A possible explanation may be the additional generation of 'HO₂ from the 396 reaction of IPA with 'OH (Warneek and Wurzinger, 1988) (Reactions 16 and 17; Table 1), which can originate from ³VL* or 397 nitrate photolysis, inducing reactions such as oxidation and nitration. As discussed earlier, $^{+}HO_{2}$ can form $H_{2}O_{2}$, a photolytic source of 'OH (Anastasio et al., 1996; Du et al., 2011). In the presence of IPA, the increase in normalized abundance of products 398 399 $(VL+AN+IPA: 3.8 \text{ times vs. }VL^*+IPA: 2.4 \text{ times: Table S2})$ and $\langle OS_{2} \rangle$ $(VL+AN+IPA: 0.13 \text{ to } 0.08 \text{ vs. }VL^*+IPA: 0.16 \text{ to } 0.08 \text$ 400 -0.10: Table S2) being more evident for VL+AN compared to VL* also supports the potential importance of reactions 401 involving 'HO2 and nitrate photolysis products such as the secondary photochemistry between 'HO2/O2' (ae) and photoproduced 402 NO_{x(aa)} enhancing HONO_(e) production from nitrate photolysis in the presence of dissolved aliphatic organic matter (Wang et 403 al., 2021) as discussed in Sect. 3.1.1. This chemistry may have operated in VL+AN+IPA considering that 'HO₂/O₂' may 404 originate from multiple sources in this experiment: nitrate photolysis (Reaction 10: Table 1) (Jung et al., 2017; Wang et al., 405 2021), the reactions of ³VL* in the presence of O₂ (see Sect. 3.1), or reaction of IPA with OH (Warneck and Wurzinger, 1988) 406 (Reactions 16 and 17; Table 1). In other words, the role of nitrate in VL photo oxidation is enhanced in the presence of IPA, 407 likely due to additional 'HO₂/O₂' formation. In VL+AN+IPA, nitrate photolysis likely converted C₁₆H₁₄O₆ (from VL*+IPA) 408 to C₁₅H₁₂O₈ (Figs. S10a b) via demethylation and then multiple hydroxylations. Nitrate photolysis generates ⁺OH, and 409 demethylation has been reported to be enhanced at high *OH exposure (Gold et al., 1983). Moreover, alcohols can affect the 410 structure of water, causing a localized patterning or organization that changes the solvation environment, which can account 411 for reactivity enhancement in the presence of alcohol containing solvents (Berke et al., 2019). Berke et al. (2019) has 412 demonstrated that IPA and other alcohols (e.g., ethanol) can promote the production of light absorbing compounds, i.e., 413 imidazoles, from the reactions between glyoxal and ammonium sulfate. This phenomenon has been attributed to the formation 414 of micro heterogeneities of hydrated alcohol molecules in a complex solution environment composed of solvated sulfate ions 415 and a mixture of reactants and products upon the addition of alcohols. As proposed by an earlier study (Onori and Santucci, 416 1996), if the water in the SOA mimicking solutions exists in two forms, bulk and hydrating, the micro heterogeneities may 417 interact with water/nitrate matrix to sequester the reactants and products, concentrating them within a smaller effective solvent 418 volume and consequently resulting in increased normalized abundance of products (Berke et al., 2019). 419 For NaBC which does not produce 'HO₂ upon reactions with 'OH under air saturated conditions (Gen et al., 2019b), 420 the increased normalized abundance of products may be due to other reactions promoted by the carbonate radical (CO_3^{-}) . 421 which can be generated from the reactions of bicarbonate/carbonate with OH (Reactions 18 and 19; Table 1) (Neta et al.,

- 422 1988; Wojnárovits et al., 2020) or ³VL* (Reactions 20 and 21; Table 1) (Canonica et al., 2005). CO₃⁺ is a selective oxidant
 423 that reacts with organic molecules at a lower rate than ^{*}OH and readily reacts with electron rich parts of phenols, aromatic
- 424 amines, and sulfur containing compounds (e.g., glutathione) through both electron transfer and H abstraction (Huang and
- 425 Mabury, 2000; Wojnárovits et al., 2020). Similar to IPA, the enhancement of normalized abundance of products
- 426 (VL+AN+NaBC: 4.3 times vs. VL*+NaBC: 1.4 times; Table S2) and <OSe> (VL+AN+NaBC: 0.13 to 0.08 vs. VL*+NaBC:

427 -0.16 to -0.11; Table S2) was more obvious for VL+AN+NaBC than VL*+NaBC, further underlining the contributions of 428 nitrate photolysis products. For example, it has been reported that carbonate and bicarbonate can substantially increase the 429 photodegradation of electron rich compounds (e.g., catechol) by nitrate (Vione et al., 2009). Bicarbonate can enhance the 430 photolysis of nitrate via a solvent-cage effect, reacting with photolysis-derived 'OH before it escapes the surrounding cage of 431 the water molecules. This prevents the recombination of 'OH and 'NO₂ inside the solvent cage that otherwise would yield back 432 NO₃-+H⁺, which reduces the quantum yield of ⁺OH photoproduction (Bouillon and Miller, 2005). This scavenging of in cage *OH by bicarbonate would then hinder recombination, resulting in a higher generation rate of CO₃*++OH with bicarbonate 433 434 compared to 'OH alone without bicarbonate. However, in our experiments, NaBC did not cause any substantial change in the 435 decay of VL for both VL* and VL+AN, although it promoted higher normalized abundance of products. The major product in 436 VL*+NaBC was a functionalized monomer (C7H4O4: No. 6. Table S3: Fig. S10c). Unlike VL*+IPA, no tetramers were 437 observed in VL*+NaBC. Similar to VL+AN+IPA, the addition of NaBC to VL+AN resulted in trimers and a high abundance dimer (C15H12Og; No. 7, Table S3) (Figs. S10b and S10d). Overall, VL+AN+IPA had more oligomers while VL+AN+NaBC 438 439 had more functionalized monomers (e.g., C₈H₆O₄. No. 8, Table S3). These findings suggest that aside from low pH (<4), the 440 formation of oligomers from VL photo oxidation can also be promoted by presence of VOCs and inorganic anions likely via 441 the generation of radicals such as HO₂ and CO₂⁻ which can also interact with nitrate photolysis products.

442 The addition of IPA or NaBC to VL* resulted in products with higher O:C and H:C ratios (Figs. S11a and S11c). 443 Although the products were more abundant in VL*+IPA than with NaBC, the distribution of their products in van Krevelen 444 diagrams was rather similar. The increased in $\langle OS_e \rangle$ in the presence of IPA or NaBC was more significant for VL+AN than 445 VL*, likely due to the interactions of nitrate photolysis products with $^{O}OO_2$ and OO_3^{\sim} . For VL+AN, IPA and NaBC also 446 increased the O:C and H:C ratios (Figs. S11b and S11d), and most products had $OS_e > 0$, similar to less volatile and semi-447 volatile oxygenated organic aerosols (LV OOA and SV OOA) (Kroll et al., 2011).

448 **3.1.<u>34</u> Distribution of potential BrC compounds**

449 Figure S112 plots the DBE values vs. number of carbons (n_c) (Lin et al., 2018) for the 50 most abundant products from pH 4 450 experiments under air-saturated conditions, along with reference to DBE values corresponding to fullerene-like hydrocarbons 451 (Lobodin et al., 2012), cata-condensed polycyclic aromatic hydrocarbons (PAHs) (Siegmann and Sattler, 2000), and linear 452 conjugated polyenes with a general formula $C_x H_{x+2}$. As light absorption by BrC requires uninterrupted conjugation across a 453 significant part of the molecular structure, compounds with DBE/n_{C} ratios (shaded area in Fig. S112) greater than that of linear 454 conjugated polyenes are potential BrC compounds (Lin et al., 2018). Based on this criterion and the observed absorbance 455 enhancement at > 350 nm (Fig. 32), the majority of the 50 most abundant products from pH 4 experiments under air-saturated 456 conditions were potential BrC chromophores composed of monomers and oligomers up to tetramers. However, as ESI-detected 457 compounds in BB organic aerosols has been reported to be mainly molecules with $n_c < 25$ (Lin et al., 2018), there may be 458 higher oligomers that were not detected in our reaction systems.

459 3.2 Effect of reactants concentration and molar ratios on the aqueous photo-oxidation of vanillin

To examine the influence of VL and nitrate concentration and their molar ratios on VL photo-oxidation, we also characterized 460 461 the reaction products from lower [VL] (0.01 mM VL*; A104; Table S2), lower [VL]-concentrations and an equal molar ratio 462 of VL/nitrate (0.01 mM VL + 0.01 mM AN; A115; Table \$2), and lower [VL] and 1:100 molar ratio of VL/nitrate (0.01 mM 463 VL + 1 mM AN; A126; Table S2) at pH 4. The normalized abundance of products from low [VL] experiments (A104-A126; 464 Table \$2) were up to 1.4 times higher than that of high [VL] experiments (A5 and A7; Table \$2). Nevertheless, the major 465 products for both low and high [VL] experiments were functionalized monomers (Figs. 1c-d and S123a-c) such as $C_8H_6O_4$ (No. 7, Table S2) and $C_{10}H_{10}O_5$ (No. 4, Table S2). For both VL* and VL+AN, the contribution of < 200 m/z to the normalized 466 467 abundance of products was higher at low [VL] than at high [VL], while the opposite was observed for > 300 m/z (Fig. S123d). This indicates that functionalization was favored at low [VL], as supported by the higher $\langle OS_c \rangle$, while oligomerization was 468 469 the dominant pathway at high [VL], consistent with more oligomers or polymeric products reported from high phenols 470 concentration (e.g., 0.1 to 3 mM) (Li et al., 2014; Slikboer et al., 2015; Ye et al., 2019). This is probably due to an increased 471 concentration of phenoxy radicals (in resonance with a carbon-centered cyclohexadienyl radical) at high [VL], promoting 472 radical-radical polymerization (Sun et al., 2010; Li et al., 2014). Moreover At low [VL], the contribution of < 200 m/z to the 473 normalized abundance of products was higher for 1:1 than 1:100 VL/nitrate molar ratio, further suggesting the -prevalence of 474 functionalization for the formerformation of more oxidized products. This may also be the reason why In addition, 1:1 475 VL/nitrate (A115; Table S2) had higher <OS_c> than 1:100 VL/nitrate (A126; Table S2) VL/nitrate, indicating the formation 476 of more oxidized products, but had fewer N-containing compounds compared to the latter. A possible explanation is that at 477 1:1 VL/nitrate, VL may efficiently competes with NO₂⁻ for 'OH (from nitrate or nitrite photolysis, Reaction 4; Table 1) and 478 indirectly reduces 'NO₂. Similarly, hydroxylation has been suggested to be an more important pathway for 1:1 VL/nitrite than 479 in 1:10 VL/nitrite (Pang et al., 2019a). This may also be the reason why 1:1 VL/nitrate (A15; Table S2) had higher <OS_>> than 480 1:100 (A16: Table S2) VL/nitrate but had fewer N-containing compounds compared to the latter. Moreover, the contribution 481 of <200 m/z to the normalized abundance of products was higher for 1:1 than 1:100 VL/nitrate molar ratio, further suggesting 482 the formation of more oxidized products. Fragmentation, which leads to the decomposition of previously formed oligomers 483 and generation of small, oxygenated products such as organic acids (Huang et al., 2018), may also occur for the low [VL] 484 experiments. However, its importance would likely be observed at longer irradiation times, similar to the high [VL] 485 experiments.

486 **3.3 Participation of ammonium in the aqueous photo-oxidation of vanillin**

Imidazole and imidazole derivatives have been reported to be the major products of glyoxal and ammonium sulfate reactions at pH 4 (Galloway et al., 2009; Yu et al., 2011; Sedehi et al., 2013; Gen et al., 2018; Mabato et al., 2019). Here, we compared VL+AN and VL+SN at pH 4 in terms of reaction products and oxidative characteristics to confirm the participation of ammonium in the aqueous photo-oxidation of VL. In both experiments The normalized abundance of the products was 491 comparable i<u>In both experiments</u> (A7 and A913; Table S2), with $C_{10}H_{10}O_5$ (No. 4, Table S2) as the most abundant product 492 (Figs. 1d and S6a), but in VL+SN, there was a significant amount of a VL dimer (C₁₅H₁₂O₈; No. 89, Table S3Table S2). 493 Moreover, the nitrogen-containing compounds were distinct. Aside from the potential imidazole derivative ($C_5H_5N_3O_2$; No. 494 510, Table S23), C₈H₉NO₃ (No. 2, Table S2) was also observed from VL+AN but only under N₂-saturated conditions (Fig. 1b), probably due to further oxidation by secondary oxidants from ${}^{3}VL^{*}$. The product analysis suggests the participation of 495 496 ammonium in the aqueous-phase reactions. Ammonium salts are an important constituent of atmospheric aerosols particles 497 (Jimenez et al., 2009), and reactions between dicarbonyls (e.g., glyoxal) and ammonia or primary amines have been 498 demonstrated to form BrC (De Haan et al., 2009, 2011; Nozière et al., 2009; Shapiro et al., 2009; Lee et al., 2013; Powelson 499 et al., 2014; Gen et al., 2018; Mabato et al., 2019). Relative to VL+AN, the products from VL+SN had higher O:C ratios (e.g., 500 $C_7H_4N_2O_7$; No. 911, Table S23), OS_c, and $\langle OS_c \rangle$ values (Table S2).

501 **3.4 Oxidation of guaiacol by photosensitized reactions of vanillin and photolysis of nitrate**

502 The oxidation of phenols by ³C* has been mainly studied using non-phenolic aromatic carbonyls (Anastasio et al., 1996; Smith 503 et al., 2014, 2015; Yu et al., 2014; Chen et al., 2020) and aromatic ketones (Canonica et al., 2000) as triplet precursors. Recently, 504 ³VL* have also been shown to oxidize syringol (Smith et al., 2016), a non-carbonyl phenol, although the reaction products 505 remain unknown. In this section, we discussed the photo-oxidation of guaiacol (GUA), a lignocellulosic BB pollutant (Kroflič 506 et al., 2015) that is also a non-carbonyl phenol, in the presence of VL (GUA+VL) or nitrate (GUA+AN). The dark experiments 507 did not show any substantial loss of VL or GUA (Fig. S3ce). Due to its poor light absorption in the solar range, GUA is not an 508 effective photosensitizer (Smith et al., 2014; Yu et al., 2014). Accordingly, the direct GUA photodegradation resulted in 509 minimal decay, which plateaued after ~3 hours. However, in the presence of VL or nitrate, the GUA decay rate constant was 510 fasterhigher by 2.2 (GUA+VL) and 1.32 (GUA+AN) times, respectively, than for direct GUA photodegradation. The 511 enhancement of GUA decay rate constant in the presence of VL is statistically significant (p < 0.05), while that in the presence 512 of AN is not (p > 0.05). This enhanced GUA decay rate constant may be due to the following main reactions: oxidation of 513 GUA by ³VL* (or the secondary oxidants it generates upon reaction with O₂), oxidation by ⁴OH produced from nitrate 514 photolysis, or nitration by NO_2 from nitrate photolysis. As mentioned earlier, the 3VL* chemistry appears to be more important 515 than that of nitrate photolysis even at 1:10 molar ratio of VL/nitrate on account of the much higher molar absorptivity of VL 516 compared to that of nitrate (Fig. S1) and the high VL concentration (0.1 mM) used in this study. However, the apparent 517 quantum efficiency of GUA photodegradation (φ_{GUA}) in the presence of nitrate ($1.3 \times 10^{-2} \pm 2.9 \times 10^{-3}$) is ~14 times larger than that in the presence of VL $(9.0 \times 10^{-4} \pm 4.0 \times 10^{-4})$, suggesting that nitrate-mediated photo-oxidation of GUA is more efficient 518 519 than that by photosensitized reactions of VL (see Text S7 for more details). The decay of VL in GUA+VL (A148; Table S2) 520 was 3 times slower than that of VL* (A5; Table $\frac{5}{2}$), which may be due to competition between ground-state VL and GUA for 521 reactions with ${}^{3}VL^{*}$ (or the secondary oxidants it generates upon reaction with O_{2}) or increased conversion of ${}^{3}VL^{*}$ back to 522 the ground state through the oxidation of GUA (Anastasio et al., 1996; Smith et al., 2014). The corresponding absorbance 523 changes for the GUA experiments (Fig. 34ce) were consistent with the observed decay trends. The minimal absorbance changes

524 for the direct GUA photodegradation also plateaued after ~3 hours. Moreover, the difference between GUA photo-oxidation

525 in the presence of VL or nitrate was more evident, with the former showing much higher absorbance enhancement. Similarly,

526 Yang et al. (2021) also observed greater light absorption during nitrate-mediated photo-oxidation relative to direct GUA

527 photodegradation.

528 For the direct GUA photodegradation, GUA+VL, and GUA+AN, the normalized abundance of products was 529 calculated only for GUA+VL (2.2; Table \$2), as the GUA signal from the UHPLC-qToF-MS in the positive ion mode was 530 weak, which may introduce large uncertainties during normalization. Nonetheless, the number of products detected from these 531 experiments (178, 266, and 844 for the direct GUA photodegradation, GUA+AN, and GUA+VL, respectively) corroborates 532 the kinetics and absorbance results. The major products (Fig. 43a) from the direct photodegradation of GUA were C₁₄H₁₄O₄ 533 (No. 109, Table S3 Table S2), a typical GUA dimer, and a trimer ($C_{21}H_{20}O_6$; No. 1120, Table S3 Table S2) which likely 534 originated from photoinduced O-H bond-breaking (Berto et al., 2016). In general, higher absolute signals areas-wereas noted 535 for oligomers (e.g., $C_{14}H_{14}O_4$, No. 10 and $C_{21}H_{20}O_6$, No. 11, Table S2) and hydroxylated products (e.g., $C_7H_8O_4$) in both 536 GUA+VL and GUA+AN, similar to those observed from GUA oxidation by triplets of 3,4-dimethoxybenzaldehyde (DMB; a 537 non-phenolic aromatic carbonyl) or OH (from H₂O₂ photolysis) (Yu et al., 2014). In contrast to the GUA aqSOA reported by 538 Yu et al. (2014), the photo-oxidation of GUA in this study yielded nitrated compounds (e.g., $C_9H_{14}N_2O_6$, $C_{11}H_{14}N_2O_9$) from 539 GUA+AN and VL dimers (e.g., C₁₆H₁₂O₆) from GUA+VL. However, based on a recent work on the aqueous photo-oxidation 540 of guaiacyl acetone (another aromatic phenolic carbonyl) by DMB triplets, the hydroxylation and dimerization of DMB can 541 also contribute to aqSOA (Jiang et al., 2021). The contributions from DMB-participated reactions were only minor due to the 542 low initial DMB concentration (0.005 mM). Relative to GUA+AN, higher signals for dimers such as $C_{14}H_{14}O_4$ (No. 10, Table 543 S2) and $C_{16}H_{12}O_6$ were noted in GUA+VL, possibly due to both GUA and ground-state VL being available as oxidizable 544 substrates for ${}^{3}VL*$ -and the secondary oxidants it can generate. Also, a potential GUA tetramer (C₂₈H₂₄O₈, No. <u>12</u>21, Table 545 S23) was observed only in GUA+VL, consistent with higher oligomer formation from the triplets-mediated photo-oxidation of phenolics relative to 'OH-assisted photo-oxidation (Yu et al., 2014). In general, the products from the direct GUA 546 547 photodegradation, GUA+VL, and GUA+AN had similar OS_c values (-0.5 to 0.5) (Figs. 43b-d), falling into the criterion of 548 BBOA and SV-OOA (Kroll et al., 2011). In this work, efficient-GUA photo-oxidation was observed in the presence of VL 549 orand AN, forming aqSOA composed of oligomers, hydroxylated products, and nitrated compounds (for GUA+AN). The 550 higher product signals from GUA+VL compared to GUA+AN is likely due to the availability of both GUA and ground-state 551 VL as aqSOA precursors.

552 3.5 Photo-oxidation pathways of vanillin via direct photosensitization and in the presence of nitrate

553 The most probable pathways of direct photosensitized and nitrate-mediated photo-oxidation of VL were proposed (Fig. 4). In 554 Scheme 1 (pH 4 and pH <4 under air saturated conditions), ²VL* and ^{*}OH (from ³VL* or nitrate photolysis) can initiate H-555 atom abstraction to generate phenoxy or ketyl radicals (Huang et al., 2018; Vione et al., 2019).⁻At pH 4, ring opening products 556 (Fig. S5) from fragmentation in both VL* and VL+AN may have reacted with VL or dissolved ammonia to generate C₁₀H₁₀O₅ 557 (Pang et al., 2019b) and a potential imidazole derivative ($C_{s}H_{s}N_{a}O_{2}$), respectively. Moreover, nitrate photolysis products promoted functionalization and nitration (e.g., C16H10N2O2). At pH-<4, the reactivity of ²VL* increased as suggested by the 558 abundance of oligomers (e.g., C16H14O6) and increased normalized abundance of N-containing compound 559 560 In Scheme 2 (pH 4, IPA or NaBC, under air saturated conditions), additional radicals generated (*HO₂- and CO₂-) 561 likely promoted more reactions. An abundant dimer ($C_{16}H_{14}O_6$) and higher oligomers (e.g., tetramers, $C_{31}H_{22}O_{12}$) were identified in VL*+IPA, possibly due to HO_2 initiated reactions, while a functionalized monomer ($C_2H_4O_4$) was abundant in 562 563 VL*+NaBC. In general, nitrate enhanced both oligomerization and functionalization in VL+IPA or VL+NaBC. In 564 VL+AN+IPA, C₁₅H₁₂O₈ likely originated from C₁₆H₁₄O₆ via demethylation and multiple hydroxylations. In VL+AN+NaBC, 565 $C_{8}H_{4}O_{4}$ was possibly generated via H atom abstraction from OCH₂ by OH, and further addition with O₂ is energy barrierless 566 (Priya and Lakshmipathi, 2017; Sun et al., 2019), generating a hydroperoxide (OCH₂OOH) that readily decompose to form-OCH₂O⁺ and ⁺OH (Yaremenko et al., 2016). OCH₂O⁺ is finally transformed into OCHO with the elimination of HO₂ in the 567

568 presence of O_2 (Sun et al., 2019). Moreover, the abundance of $C_{15}H_{12}O_8$ was higher in VL+AN+NaBC than in VL*+NaBC.

569 4 Conclusions and atmospheric implications

570 This study shows that the photo-oxidation of VL via its direct photosensitized reactions and in the presence of nitrate can 571 generate aqSOA composed of oligomers, functionalized monomers, oxygenated ring-opening products, and nitrated 572 compounds (for nitrate-mediated reactions). The characterization of products presented in this work complements earlier 573 studies (e.g., Smith et al. 2014, 2015, 2016) that mainly discussed the kinetics and aqSOA yield of triplet-driven oxidation of 574 phenols. Although nitrate did not substantially affect the VL decay rates constants, likely due to much higher molar absorptivity 575 of VL than nitrate and high VL concentration used in this work, the presence of nitrate promoted functionalization and nitration, 576 indicating the significance of nitrate photolysis in this aqSOA formation pathway. While This work demonstrates that -nitration, 577 which is can be an important process for producing light-absorbing organics or BrC (Jacobson, 1999; Kahnt et al., 2013; Mohr 578 et al., 2013; Laskin et al., 2015; Teich et al., 2017; Li et al., 2020), its effect oncan also affect the aqueous-phase processing of 579 triplet-generating aromatics has not vet been examined in detail. On a related note, a recent work (Ma et al., 2021) mimicking 580 phenol oxidation by DMB (a non-phenolic aromatic carbonyl) triplets in more concentrated conditions in aerosol liquid water 581 (ALW) showed that significantly higher AN concentration (0.5 M) increased the photodegradation rate constant for guaiacyl 582 acetone (an aromatic phenolic carbonyl with high Henry's law constant, 1.2×10^6 M atm⁻¹; McFall et al., 2020) by > 20 times which was ascribed to 'OH formation from nitrate photolysis (Brezonik and Fulkerson-Brekken, 1998; Chu and Anastasio, 583 2003). The same study also estimated that reactions of phenols with high Henry's law constants (10^6 to 10^9 M atm⁻¹) can be 584 585 important for SOA formation in ALW, with mechanisms mainly governed by ${}^{3}C^{*}$ and ${}^{1}O_{2}$ (Ma et al., 2021). Likewise, Zhou 586 et al. (2019) reported that the direct photodegradation of acetosyringone was faster by about 6 times in the presence of 2 M 587 NaClO₄. However, the opposite was noted for the photodegradation of VL in sodium sulfate or sodium nitrate, which would 588 occur slower (\simeq 2 times slower in 0.5 M sodium sulfate and ~10 times slower in 0.124 M sodium nitrate) in ALW relative to 589 dilute aqueous phase in clouds. These suggest that the nature of inorganic ions may have an essential role in the 590 photodegradation of organic compounds in the aqueous phase (Loisel et al., 2021).

591 Furthermore, a potential imidazole derivative observed from the VL+AN (A7; Table S2) experiment suggests that 592 ammonium may participate in aqSOA formation from the photo-oxidation of phenolic aromatic carbonyls. Also, the oligomers 593 from these reaction systems may be rather recalcitrant to fragmentation based on their high abundance, even at the 594 longest irradiation time used in this study. Nonetheless, the increasing concentration of small organic acids over time implies 595 that fragmentation becomes important at extended irradiation times. Aromatic carbonyls and nitrophenols have been reported 596 to be the most important classes of BrC in cloud water heavily affected by biomass burning in the North China Plain 597 (Desyaterik et al., 2013). Correspondingly, the most abundant products from our reaction systems (pH 4, air-saturated 598 solutions) are mainly potential BrC chromophores. These suggest that aqSOA generated in cloud/fog water from the oxidation 599 of biomass burning aerosols via direct photosensitized reactions and nitrate photolysis products can impact aerosol optical 600 properties and radiative forcing, particularly for areas where biomass burning is intensive.

601 Our results indicate that the photo-oxidation of VL is influenced by O_2 secondary oxidants from VL triplets, pH, the 602 presence of VOCs and inorganic anions, and reactants concentration and molar ratios. Compared to-Under N₂-saturated 603 conditions,- the absence of O₂ likely hindered the secondary steps in VL decay (e.g., reaction of ketyl radical and O₂), 604 regenerating VL as suggested by the minimal VL decaymore efficient VL photo oxidation was observed under air saturated 605 conditions (O₂ is present), which can be attributed to the generation of secondary oxidants (e.g., ⁴O₂, ^O2, ^O/⁺HO₂, ⁺OH) from 606 ³VL*. Further enhancement of VL photo oxidation under air saturated conditions in the presence of nitrate indicates 607 synergistic effects between secondary oxidants from VL triplets and nitrate photolysis products. In contrast, ³VL*-initiated 608 reactions proceeded rapidly under air-saturated conditions (O₂ is present) as indicated by higher VL decay rate constant and 609 increased normalized abundance of products. For pH 4 experiments, the presence of both O_2 and nitrate resulted in the highest 610 normalized abundance of products (including N-containing compounds) and $\langle OS_c \rangle$, which may be due to O₂ promoting VL 611 nitration. Nevertheless, further work is necessary to assess the effect of O_2 on the reactive intermediates involved in ${}^{3}VL^{*}$ -612 driven photo-oxidation and elucidate the mechanisms of VL photo-oxidation under air-saturated conditions. -Additionally, the 613 formation of oligomers from VL photo-oxidation was observed to be promoted at low pH (<<u>4</u>) or in the presence of IPA/NaBC, 614 which likely generated additional radicals such as 'HO2 and CO2'. As aerosols comprise more complex mixtures of organic 615 and inorganic compounds, it is worthwhile to explore the impacts of other potential aerosol constituents on aqSOA formation and photo oxidation studies. This can also be beneficial in understanding the interplay among different reaction mechanisms 616 617 during photo-oxidation. Moreover, ILow VL concentration favored functionalization, while oligomerization prevailed at high 618 VL concentration, consistent with past works (Li et al., 2014; Slikboer et al., 2015; Ye et al., 2019). Hydroxylation was 619 observed to be important for equal molar ratios of VL and nitrate, likely due to VL competing with nitrite for 'OH. The 620 oxidation of guaiacol, a non-carbonyl phenol, by photosensitized reactions of vanillin was also shown to be moreless- efficient 621 than that by nitrate photolysis products based on its lower apparent quantum efficiency.

- 622 In this study, we investigated reactions of VL and nitrate at concentrations in cloud/fog water. The concentrations of 623 VL and nitrate can be significantly higher in aqueous aerosol particles. As a major component of aerosols, the concentration 624 of nitrate can be as high as sulfate (Huang et al., 2014). More studies should then explore the direct photosensitized oxidation 625 and nitrate-mediated photo-oxidation of other biomass burning-derived phenolic aromatic carbonyls, particularly those with high molar absorption coefficients and can generate ${}^{3}C^{*}$. The influences of reaction conditions should also be investigated to 626 better understand the oxidation pathways. As aerosols comprise more complex mixtures of organic and inorganic compounds, 627 it is worthwhile to explore the impacts of other potential aerosol constituents on aqSOA formation and photo-oxidation studies. 628 629 This can also be beneficial in understanding the interplay among different reaction mechanisms during photo-oxidation. 630 Considering that biomass burning emissions are expected to increase continuously, further studies on these aqSOA formation 631 pathways are strongly suggested. 632 633 Data availability. 634 The data used in this publication are available to the community and can be accessed by request to the corresponding author. 635 Author contributions. 636 BRGM designed and conducted the experiments; YL provided assistance in measurements and helped to analyze experimental 637 data; YJ provided assistance in measurements; BRGM, YL, and CKC wrote the paper. All co-authors contributed to the
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Table 1. List of reactions involving reactive species relevant to this study.

No.	Reactions	References
1	$NO_3^- + hv \rightarrow NO_2 + O^-; \phi = 0.01$	
2	$O^- + H_3O^+ \leftrightarrow OH + H_2O$	Vione et al., 2006; Scharko et al., 2014Benedict et al., 2017
3	$NO_3 + hv \rightarrow NO_2 + O(^3P); \phi = 0.0011$	
4	$NO_2^- + OH \rightarrow NO_2 + OH^- (k = 1.0 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1})$	Mack and Bolton, 1999; Pang et al., 2019a
5	$O_2^{\bullet} + NO_2^{\bullet} + 2H^+ \rightarrow NO_2 + H_2O_2$	Vione et al., 2001; Pang et al., 2019a
6	$NO_2^- + hv \rightarrow NO + O^-; \phi_{OH,300} = 6.7_(\pm 0.9)\%$	Fischer and Warneck, 1996; Mack and Bolton, 1999; Pang et al., 2019a
7	$NO_2 \leftrightarrow ONOO$	
8	ONOO + OOOOOOOOOOOOOOOOOOOOOOOOOOOOOOOO	Goldstein and Czapski, 1995 a ; Pang et al., 2019a
9	$ONOONO \rightarrow 2^{\circ}NO_2$	Coldstein and Ozaposti, 1990a, 1 ang et al., 2019a
10	$\frac{\text{NO}_3 - + hv \rightarrow \text{NO}_2 + \text{OH (reactions 1 & 2)} \rightarrow \text{HOON}}{\text{NO}_2 + \text{HOON}}$ $\frac{hv}{\text{NO}_2 - + \text{HO}_2} - \frac{(pK_{\text{tr}} - 6.8)}{(pK_{\text{tr}} - 6.8)}$	
44	$\frac{110110}{(pK_{\pi}=4.8)}$	H ⁺ mmel et al., 1990; Goldstein et al., 1998; Wang et al., 21
12	$\begin{array}{c c} & & & & \\ \hline & & & & \\ \hline & & & & \\ \hline \\ \hline$	Goldstein and Czapski, 1995b; Wang et al., 2021
		Fischer and Warneck, 1996; Kim et al., 2014; Pang et al.,
13	HNO₂+ <i>hv</i> → [*] NO_+ OH;	2019a
13	HOONO → *NO ₂ + *OH ($k = 0.35 \pm 0.03 \text{ s}^{-1}$)	Goldstein et al., 2005; Pang et al., 2019a
10 5	HNO ₂ + •OH → •NO ₂ + H ₂ O ($k = 2.6 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$)	Kim et al., 2014; Pang et al., 2019a
16	$(CH_3)_2CHOH + OH \rightarrow (CH_3)_2COH + H_2O$	Warneck and Wurzinger, 1988; Pang et al., 2019a
17	$(CH_3)_2COH^+ + O_2 \longrightarrow (CH_3)_2CO + ^{\bullet}HO_2$	
18	$^{\bullet}OH + HCO_{3}^{-} \rightarrow CO_{3}^{-} + H_{2}O^{-}(k = 8.5 \times 10^{6} \text{ M}^{+} \text{ s}^{+})$	Wojnárovits et al., 2020
19	$^{\circ}OH + CO_3^{2-} \rightarrow CO_3^{*-} + OH^{-}(k = 3.9 \times 10^8 \text{ M}^{+} \text{ s}^{+})$	
20	${}^{3}C^{*} + HCO_{3}^{-} \rightarrow CO_{3}^{-} + H^{+} + C^{-}$ (k = 10 ⁶ 10 ⁷ M ⁻¹ s ⁻¹ ; {}^{3}C^{*}: triplet aromatic ketones)	Canonica et al., 2005
21	${}^{3}C^{*} + CO_{3}^{2-} \rightarrow CO_{3}^{-+} + C^{-}$ (k = 10 ⁶ - 10 ⁷ M ⁻¹ s ⁻¹ ; {}^{3}C^{*}: triplet aromatic ketones)	

Table 2. Reaction conditions, initial VL (and GUA) decay rate constants, normalized abundance of products, and average carbon oxidation state (<OS_c>) in each experiment. Except where noted, the reaction systems consisted of VL (0.1 mM); GUA (0.1 mM), AN (1 mM); sodium nitrate (SN) (1 mM) under air-saturated conditions after 6 h of simulated sunlight irradiation. Analyses were performed using UHPLC-qToF-MS equipped with an ESI source and operated in the positive ion mode.

	Exp no.	<u>pH</u>	<u>Reaction</u> conditions	<u>Initial VL</u> (and GUA) decay rate constants (min ⁻¹) ^b	<u>Ratio of</u> <u>50 most</u> <u>abundant</u> <u>products to</u> total products ^c	Normalized abundance of products ^d	<u>Normalized</u> abundance of <u>N-containing</u> compounds ^d	$\frac{\langle OS_c \rangle^e}{(OS_c \text{ of } VL: \\ -0.25)}$
	<u>A1</u>		<u>VL*</u>	$2.0 imes 10^{-2} \pm 5.8 imes 10^{-5}$	0.59	1.7 ± 0.16	<u>N/A</u>	-0.05
	<u>A2</u>	<u>2.5</u>	<u>VL+AN</u>	$1.7 \times 10^{-2} \pm 7.3 \times 10^{-4}$	<u>0.63</u>	1.4 ± 0.19	5.3×10^{-2}	<u>-0.04</u>
	<u>A3</u>		<u>VL*</u>	$1.5 imes 10^{-2} \pm 4.2 imes 10^{-4}$	<u>0.53</u>	1.9 ± 0.33	<u>N/A</u>	<u>-0.04</u>
	<u>A4</u>	<u>3</u>	<u>VL+AN</u>	$1.5 imes 10^{-2} \pm 2.3 imes 10^{-4}$	<u>0.56</u>	1.9 ± 0.30	3.6×10^{-2}	<u>-0.05</u>
	<u>A5</u>		<u>VL*</u>	$1.2 imes 10^{-2} \pm 5.9 imes 10^{-4}$	0.58	0.26 ± 0.42	<u>N/A</u>	<u>-0.16</u>
			<u>VL*</u>			4.7×10^{-2}		
	<u>A6</u>		(N ₂ -saturated)	$3.2 \times 10^{-3} \pm 1.1 \times 10^{-3}$	<u>0.96</u>	<u>± 0.0027</u>	<u>N/A</u>	<u>-0.24</u>
	<u>A7</u>		<u>VL+AN</u>	$1.2 \times 10^{-2} \pm 8.8 \times 10^{-4}$	<u>0.53</u>	0.37 ± 0.38	1.7×10^{-2}	<u>-0.13</u>
	<u>A8</u>		<u>VL+AN</u> (N ₂ -saturated)	$\underline{1.9 \times 10^{\text{-3}} \pm 9.2 \times 10^{\text{-5}}}$	<u>0.89</u>	0.12 ± 0.0095	6.3×10^{-3}	<u>-0.21</u>
	<u>A9</u>		<u>VL+SN</u>	<u>N/A</u>	<u>0.51</u>	$\underline{0.42 \pm 0.33}$	1.7×10^{-2}	<u>-0.07</u>
	<u>A10</u>	<u>4</u>	VL* (0.01 mM) ^a	<u>N/A</u>	<u>0.90</u>	$\underline{0.37 \pm 0.018}$	<u>N/A</u>	<u>-0.07</u>
	<u>A11</u>		<u>VL (0.01 mM) +</u> <u>AN (0.01 mM)</u> VL (0.01 mM)	<u>N/A</u>	<u>0.77</u>	0.40 ± 0.074	8.6×10^{-3}	<u>0.12</u>
	A12		+ AN	N/A	0.42	0.45 ± 0.025	1.2×10^{-2}	-0.06
	A13		GUA only	$6.2 \times 10^{-3} \pm 2.5 \times 10^{-4}$	0.77	N/A	N/A	-0.28
	A14		<u>GUA+VL</u>	$\frac{\text{GUA: } 1.4 \times 10^{-2} \pm 4.0 \times 10^{-4}}{\text{VL: } 4.3 \times 10^{-3} \pm 2.2 \times 10^{-4}}$	0.60	2.2 ± 0.47	<u>N/A</u>	-0.27
	<u>A15</u>		<u>GUA+AN</u>	$8.0 imes 10^{-3} \pm 2.9 imes 10^{-3}$	0.77	<u>N/A</u>	<u>N/A</u>	<u>-0.26</u>
1332 1333	<u>aIrrac</u>	liatio	n time for VL* (0.	01 mM, A10) was 3 h. ^b The da	ata fitting was pe	erformed in the i	nitial linear region	. Each value is
1334	the a	verag	e of results from	triplicate experiments. Errors re	epresent one sta	ndard deviation.	Kinetic measurem	nents were not
1335	perfo	rmed	for experiments n	narked with N/A. ^c Ratio of the r	normalized abun	dance of the 50 1	most abundant proc	lucts to that of
1336	<u>total</u>	produ	ucts, except for di	rect GUA photodegradation, G	UA+VL, and G	<u>UA+AN (A13–1</u>	5) whose ratios are	e based on the
1337	absol	ute si	onals of products	^{<i>d</i>} The normalized abundance of	products was ca	lculated using Fo	1. 2. The samples fo	or experiments
1001	40501	are si	ginuis or products.	The hormanized abundance of	products was ca	iounation using LA	1.2.110 sumples to	n experiments

1338 without nitrate (marked with N/A) were not analyzed for N-containing compounds. For the GUA experiments, the normalized

abundance of products was calculated only for GUA+VL as the GUA signal from the UHPLC-qToF-MS in the positive ion

1340 mode was weak, which may introduce large uncertainties during normalization. $e < OS_c > of$ the 50 most abundant products.

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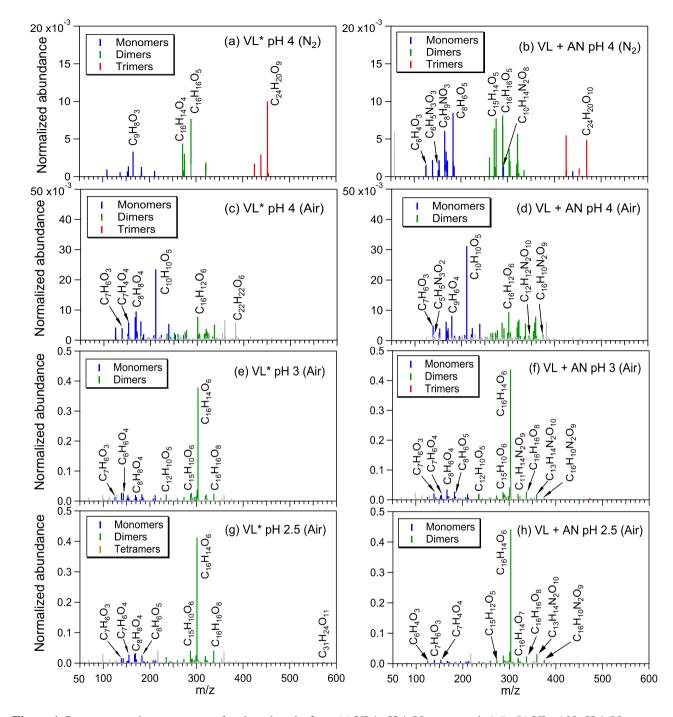
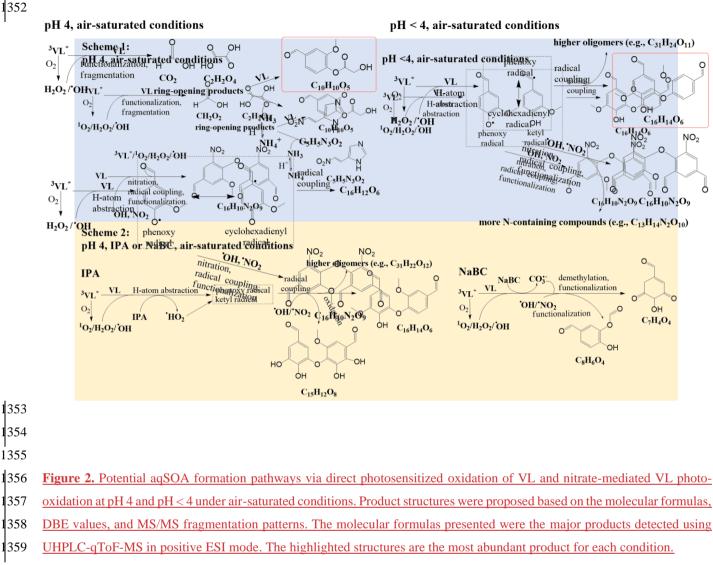
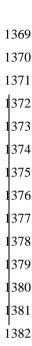
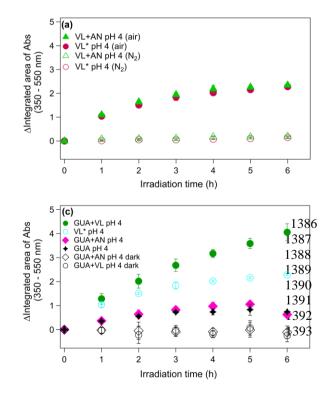


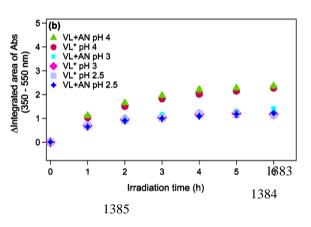
Figure 1. Reconstructed mass spectra of assigned peaks from (a) VL* pH 4 (N₂-saturated; A6), (b) VL+AN pH 4 (N₂-saturated;
A8), (c) VL* pH 4 (air-saturated; A5), (d) VL+AN pH 4 (air-saturated; A7), (e) VL* pH 3 (air-saturated; A3), (f) VL+AN pH
3 (air-saturated; A4), (g) VL* pH 2.5 (air-saturated; A1), and (h) VL+AN pH 2.5 (air-saturated; A2) after 6 h of simulated

- 1347 sunlight irradiation. The normalized abundance of products was calculated from the ratio of the peak area of the product to
- 1348 that of VL (Eq. 2). The 50 most abundant products contributed more than half of the total normalized abundance of products,
- 1349 and they were identified as monomers (blue), dimers (green), trimers (red), and tetramers (orange). Grey peaks denote peaks
- 1350 with low abundance or unassigned formula. Examples of high-intensity peaks were labeled with the corresponding neutral
- 1351 formulas. Note the different scales on the y-axes.









402 Figure 32. (a--ce) Increase in light absorption under different experimental conditions for direct photosensitized oxidation of 403 VL (VL*) and nitrate-mediated VL photo-oxidation (VL+AN); (a) Effect of secondary oxidants from VL triplets on VL* and 1404 VL+AN at pH 4 under N₂- (A6, A8) and air-saturated (A5, A7) conditions. (b) Effect of pH on VL* and VL+AN at pH 2.5 1405 (A1, A2), 3 (A3, A4), and 4 (A5, A7) under air-saturated conditions. (e) Effect of VOCs and inorganic anions: IPA (A9) and NaBC (A10) on VL* at pH 4 under air saturated conditions. (d) Effect of VOCs and inorganic anions: IPA (A11) and NaBC 406 (A12) on VL+AN at pH4 under air saturated conditions. (ce) Increase in light absorption during direct GUA photodegradation 407 (A137) and photo-oxidation of GUA in the presence of VL (GUA+VL: A148) or nitrate (GUA+AN: A159) at pH 4 under air-408 409 saturated conditions after 6 h of simulated sunlight irradiation. Error bars represent one1 standard deviation; most error bars 1410 are smaller than the markers.

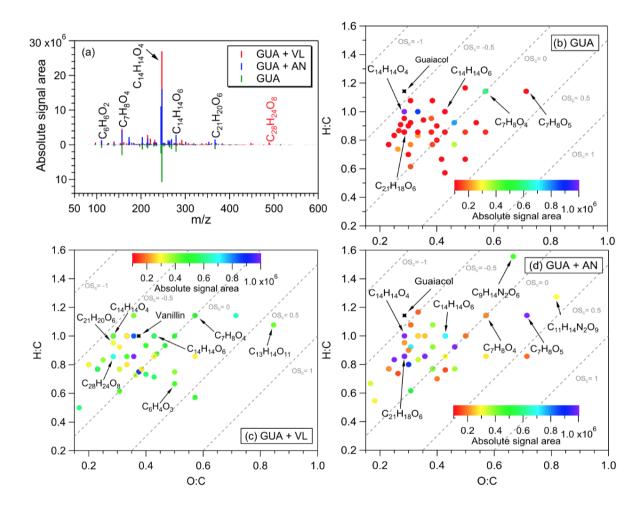


Figure 43. (a) Reconstructed mass spectra of assigned peaks from the direct GUA photodegradation (A1<u>3</u>7) and photooxidation of GUA in the presence of VL (GUA+VL; A1<u>48</u>) or nitrate (GUA+AN; A1<u>59</u>) at pH 4 under air-saturated

- 1415 conditions after 6 h of simulated sunlight irradiation. The y-axis is the absolute signal area of the products. Examples of
- 1416 high-intensity peaks were labeled with the corresponding neutral formulas. (b-d) van Krevelen diagrams of the 50 most
- 1417 abundant products from the (b) direct photodegradation of GUA (A1 $\underline{37}$), (c) GUA+VL (A1 $\underline{48}$), and (d) GUA+AN (A1 $\underline{59}$) at
- 1418 pH 4 under air-saturated conditions after 6 h of simulated sunlight irradiation. The color bar denotes the absolute signal area.
- 1419 The grey dashed lines indicate the carbon oxidation state values (e.g., $OS_c = -1, 0, and 1$).
- 420 Figure 4. Potential photo oxidation pathways of VL via direct photosensitized reactions and in the presence of nitrate to
- 1421 illustrate the effects of secondary oxidants from VL triplets, pH, and the presence of VOCs (IPA) and inorganic anions (NaBC).
- Product structures were proposed based on the molecular formulas, DBE values, and MS/MS fragmentation patterns. The
- 423 molecular formulas presented were the most abundant products or products with a significant increase in normalized abun

1 Supplementary material

Aqueous SOA formation from the photo-oxidation of vanillin: Direct photosensitized reactions and nitrate-mediated reactions

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 Theodora Nah¹, Chun Ho Lam¹, and Chak K. Chan¹*
- 8

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26 Text S1. Materials.

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28 Initial solutions of 0.1 mM vanillin (VL, Acros Organics, 99%, pure), 0.1 mM guaiacol (GUA, 29 Sigma Aldrich, \geq 98.0%), 1 mM ammonium nitrate (AN, Acros Organics, 99+%, for analysis), 30 and 1 mM sodium nitrate (SN, Sigma-Aldrich, \geq 99.5%), 1 mM of 2-propanol (IPA, Optima 31 LC/MS grade), and 1 mM of sodium bicarbonate (NaBC, Fisher BioReagents, 99.7-100.3%) were 32 prepared in Milli-Q water. The pH values of the samples were adjusted using sulfuric acid (H₂SO₄; 33 Acros Organics, ACS reagent, 95% solution in water).

34

36

35 Text S2. UV-Vis spectrophotometric analyses.

37 The absorbance changes for all samples were characterized using a UV-Vis 38 spectrophotometer (UV-3600, Shimadzu Corp., Japan). The absorbance values from 200 to 700 39 nm were recorded instantly after sample collection, and measurements were done in triplicate. 40 Absorbance enhancements were calculated as the change in the integrated area of absorbance from 41 350 to 550 nm. The increase of light absorption at this wavelength range, where VL and GUA did 42 not initially absorb light, suggests the formation of light-absorbing compounds (Zhou et al., 2019).

43

Text S3. UHPLC-PDA analyses. 44

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An ultra-high performance liquid chromatography system (UHPLC, Waters Acquity H-46 47 Class, Waters, Milford, USA) coupled to a photodiode array (PDA) detector (Waters, Milford, 48 USA) was used for the quantification of VL and GUA concentrations. The drawn solutions were 49 first filtered through a 0.2 µm Chromafil [®]Xtra PTFE filter (Macherey-Nagel GmbH & Co. KG, 50 Germany). Briefly, the separation of products was performed using an Acquity HSS T3 column 51 (1.8 µm, 2.1 mm × 100 mm; Waters Corp.). The column oven was held at 30 °C, and the 52 autosampler was cooled at 4 °C. The injection volume was set to 5 µL. The binary mobile phase 53 consisted of A (water) and B (acetonitrile). The gradient elution was performed at a flow rate of 54 0.2 mL/min: 0-1 min, 10% eluent B; 1-25 min, linear increase to 90% eluent B; 25-29.9 min, 55 hold 90% eluent B; 29.9–30 min, decrease to 10% eluent B; 30–35 min, re-equilibrate at 10% 56 eluent B for 5 min. Standard solutions of VL and GUA ranging from 10 to 130 µM were analyzed 57 along with samples and blanks using the channels with UV absorption at 300 and 274 nm, 58 respectively. The calibration curves for VL and GUA standard solutions are shown in Figure S2.

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Text S4. IC analyses of small organic acids.

62 The small organic acids were analyzed using an ion chromatography system (IC, Dionex 63 ICS-1100, Sunnyvale, CA) equipped with a Dionex AS-DV autosampler (Sunnyvale, CA). The separation was achieved using an IonPacTM AS15 column (4 \times 250 mm) with an AG15 guard 64 65 column (4 \times 50 mm). The isocratic gradient was applied at a flow rate of 1.2 mL/min with 38 mM 66 sodium hydroxide (NaOH) as the eluent. The total run time was set at 20 min. The standard 67 solutions (1–50 µM) of formic, succinic, and oxalic acid were analyzed three times along with the samples and water blank. Formic, succinic, and oxalic acid had retention times of 3.6 min, 8.3 min, 68 69 and 11.9 min, respectively.

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Text S5. UHPLC-qToF-MS analyses.

The characterization of reaction products was performed using a UHPLC system (ExionLCTM AD, ABSciex, Concord, Canada) coupled to a quadrupole time-of-flight mass spectrometer (qToF-MS) (TripleTOF 6600+, ABSciex). The settings (e.g., column, mobile phase, gradient, oven temperature) in the UHPLC system were the same as those used in UHPLC-PDA 77 (Text S3). The mass spectrometer was equipped with an electrospray ionization (ESI) source and 78 operated in the positive ion mode (the negative ion mode signals were too low for our analyses) at 79 a resolving power (full width at half-maximum (fwhm) at m/z 300) of 30000 in MS and 30000 in 80 MS/MS (high-resolution mode). Information-dependent acquisition (IDA) scanning was adapted 81 for product identification. The acquisition using IDA consisted of a ToF-MS scan and information-82 dependent trigger events. The ToF-MS scan had an accumulation time of 250 ms and covered a 83 mass range of m/z 30–700 with a declustering potential (DP) of 40 and collision energy (CE) of 84 10 eV. The accumulation time for the IDA experiment was 100 ms, and the MS/MS scan range 85 was set from m/z 30–700 in high-resolution mode. The IDA criteria were as follows: 5 most intense 86 ions (number of IDA experiments) with an intensity threshold above 50 cps, isotope exclusion was 87 switched off, and dynamic background subtraction was switched on. The automated calibration 88 device system (CDS) was set to perform an external calibration every four samples. The ESI source 89 conditions were as follows: temperature, 500 °C; curtain gas (CUR), 25 psi; ion source gas 1 at 50 90 psi; ion source gas 2 at 50 psi; and ion-spray voltage floating (ISVF) at 4.5 kV.

91 All parameters in the liquid chromatography system and mass spectrometer were controlled 92 using Analyst TF Software 1.8 (ABSciex). The high-resolution LC-MS data were processed with 93 PeakView and Analyst in the SCIEX OS software 1.5 (ABSciex). Peaks from the blank sample 94 were subtracted from the sample signals. In addition to a minimum signal-to-noise ratio of 30, a 95 peak was determined as a product if the difference in peak area between the samples before and 96 after irradiation is ≥ 10 times. The formula assignments were carried out using the MIDAS 97 molecular formula calculator (http://magnet.fsu.edu/~midas/) with the following constraints: $C \leq C$ 98 35, H \leq 70, N \leq 5, O \leq 20, Na \leq 1, and the mass error was initially set as 10 ppm. The nitrogen 99 atom was removed in the constraints for the experiments without AN or SN. The detected adducts

100 in ESI positive ion mode have several types (e.g., [M+H]⁺, [M+Na]⁺), and their formation can be 101 influenced by the sample matrix (Erngren et al., 2019). For simplification purposes, we mainly 102 considered $[M+H]^+$ adducts for formula assignments, except for specific experiments with AN or 103 SN in which [M+NH₄]⁺ adducts and [M+Na]⁺ adducts were observed. The final assigned formulas 104 were constrained by a mass error mostly < 5 ppm, which is a requirement for product identification 105 using positive ion mode (Roemmelt et al., 2015). The double bond equivalent (DBE) values and 106 carbon oxidation state (OS_c) of the neutral formulas were calculated using the following equations 107 (Koch and Dittmar, 2006):

108

109
$$DBE = C - H/2 + N/2 + 1$$
 (Eq. S1)

110
$$OS_c = 2 \times O/C - H/C$$
 (Eq. S2)

111

where C, H, O, and N correspond to the number of carbon, hydrogen, oxygen, and nitrogen atoms in the neutral formula, respectively. Based on the identified products, the average oxygen to carbon (O:C) ratios, $\langle O:C \rangle$: ($\langle 0:C \rangle = \sum_i (abundance_i)O_i / \sum_i (abundance_i)C_i$) and average hydrogen to carbon (H:C) ratios, $\langle H:C \rangle$: ($\langle H:C \rangle = \sum_i (abundance_i)H_i / \sum_i (abundance_i)C_i$) after the reactions were further estimated using the signal-weighted method (Bateman et al., 2012). The average OS_c ($\langle OS_c \rangle$) was calculated as follows:

118
$$\langle OS_c \rangle = 2 \times \langle O:C \rangle - \langle H:C \rangle$$
 (Eq. S3)

119

Based on the typical MS/MS fragmentation behavior for individual functional groups (Table S1)
and DBE values, examples of structures for products identified from VL (and GUA) photooxidation experiments were proposed (Table S23).

125

124 **Text S6.** Photon flux measurements.

126 In this work, 2-nitrobenzaldehyde (2NB), a chemical actinometer, was used to determine 127 the photon flux in the aqueous photoreactor. We first measured the relative intensity of light 128 passing through the empty reactor, then the reactor containing 50 µM 2NB using a high sensitivity 129 spectrophotometer (Brolight Technology Co. Ltd, Hangzhou, China) equipped with an optical 130 fiber (Brolight). Then, the average relative intensity absorbed by 2NB solution as a function of 131 wavelength was calculated. Briefly, the photolysis of 50 µM 2NB in the reactor was monitored by 132 determining its concentration every 5 min for a total of 35 min, during which 2NB was almost 133 completely decayed. The concentration of 2NB was measured using UHPLC-PDA, and the 134 settings (e.g., column, mobile phase, gradient, oven temperature) were the same as those for VL 135 decay analysis (Text S3). The channel with UV absorption at 254 nm was used for the 136 quantification of 2NB. The concentration of 2NB in the reactor followed exponential decay, and 137 its decay rate constant was determined using the following equation:

138
$$ln\left(\frac{[2NB]_t}{[2NB]_0}\right) = -j(2NB) \times t$$
 (Eq. S4)

139

where $[2NB]_t$ and $[2NB]_0$ are the 2NB concentrations at time *t* and 0, respectively. The calculated 2NB decay rate constant, *j*(2NB), was 0.0026 s⁻¹. The following equation can also be used to calculate *j*(2NB):

$$\begin{vmatrix} 143 & j(2NB) = 2.303 \times (10^3 \text{ cm}^3 \text{ L}^{-1} \times 1 \text{ mol/N}_A \text{ mlc}) \times \sum \left(I_{\lambda} \times \Delta \lambda \times \varepsilon_{2NB,\lambda} \times \Phi_{2NB} \right) \ (Eq. 144 \ S5)$$

145	where N _A is Avogadro's number, I_{λ} is the actinic flux (photons cm ⁻² s ⁻¹ nm ⁻¹), $\Delta\lambda$ is the
146	wavelength interval between actinic flux data points (nm), and $\varepsilon_{2NB,\lambda}$ and $\Phi_{2NB,\lambda}$ are the base-10
147	molar absorptivity (M^{-1} cm ⁻¹) and quantum yield (molecule photon ⁻¹) for 2NB, respectively.
148	Values of $\varepsilon_{2NB,\lambda}$ (in water) at each wavelength under 298 K and a wavelength-independent Φ_{2NB}
149	value of 0.41 were adapted from Galbavy et al. (2010). Similar to Smith et al. (2014, 2016), we
150	measured the spectral shape of the photon output of our illumination system (i.e., the relative flux
151	at each wavelength) using a high-sensitivity spectrophotometer (Brolight Technology Co. Ltd,
152	<u>Hangzhou, China). Using a scaling factor (SF), this measured relative photon output, $I_{\lambda}^{\text{relative}}$, is</u>
153	related to I'_{λ} as follows:
154 155 156 157	$I'_{\lambda} = I^{\text{relative}}_{\lambda} \times \text{SF}$ (Eq. S6)
158 159 160	Substitution of Eq. S6 into Eq. S5 and rearrangement yields:
161	$SF = \frac{j(2NB)}{2.303 \times (10^3 \text{ cm}^3 \text{ L}^{-1} \times 1 \text{ mol/N}_A \text{ mlc}) \times \sum (I_\lambda^{\text{relative}} \times \Delta\lambda \times \varepsilon_{2NB,\lambda} \times \Phi_{2NB})} $ (Eq. S7)
162 163 164 165 166	and substitution of Eq. S6 into Eq. S7 yields: $I_{\lambda}' = I_{\lambda}^{\text{relative}} \frac{j(2\text{NB})}{2.303 \times (10^3 \text{ cm}^3 \text{ L}^{-1} \times 1 \text{ mol/N}_{\text{A}} \text{ mlc}) \times \sum (I_{\lambda}^{\text{relative}} \times \Delta \lambda \times \varepsilon_{2\text{NB},\lambda} \times \Phi_{2\text{NB}})} $ (Eq. S8)
167	$I_{\lambda} = I_{\lambda}$ $2.303 \times (10^{3} \text{ cm}^{3} \text{ L}^{-1} \times 1 \text{ mol/N}_{\text{A}} \text{ mlc}) \times \sum (I_{\lambda}^{\text{relative}} \times \Delta \lambda \times \varepsilon_{2\text{NB},\lambda} \times \Phi_{2\text{NB}})$ (Eq. 50)
168	Finally, I_{λ} was estimated through Eq. S ⁸⁵ . The estimated photon flux in the aqueous reactor is
169	shown in Figure S12.
170	The actinic flux during a haze event over Beijing (40° N, 116° E) on January 12, 2013, at
171	12:00 pm (GMT+8) (Che et al., 2014) estimated using the National Center for Atmospheric
172	Research Tropospheric Ultraviolet-Visible (TUV) Radiation Model

173	(http://cprm.acom.ucar.edu/Models/TUV/Interactive_TUV/) is also shown in Figure S2. The
174	parameters used for the Quick TUV calculator were: Overhead Ozone Column: 300 du; Surface
175	Albedo: 0.1; Ground Elevation: 0 km asl; Measured Altitude: 0 km asl; Clouds optical depth: 0,
176	base: 4, top: 5; Aerosols optical depth: 2.5, single scattering albedo: 0.9, Angstrom exponent: 1;
177	Sunlight direct beam, diffuse down, diffuse up: 1; 4 streams transfer model. For clear days, the
178	actinic flux was estimated over Beijing (at the same date and time) using the default parameters.
179 180 181 182	Text S7. Estimation of the apparent quantum efficiency of guaiacol photodegradation.The apparent quantum efficiency of GUA photodegradation (ϕ_{GUA}) in the presence of
183	either VL or nitrate during simulated sunlight illumination can be defined as (Anastasio et al., 1996;
184	Smith et al., 2014, 2016):
185	$\Phi_{\rm GUA} = \frac{\rm mol\ GUA\ destroyed}{\rm mol\ photons\ absorbed} $ (Eq. S9)
186	Φ_{GUA} was calculated using the measured rate of GUA decay and rate of light absorption by either
187	VL or nitrate through the following equation:
188 189 190	$\Phi_{\rm GUA} = \frac{\text{rate of GUA decay}}{\text{rate of light absorption by VL or nitrate}} = \frac{k'_{\rm GUA} \times [\text{GUA}]}{\sum \left[\left(1 - 10^{-\varepsilon} \lambda^{[C]l} \right) \times l'_{\lambda} \right)} $ (Eq. S10)
191	where k' _{GUA} is the pseudo-first-order rate constant for GUA decay, [GUA] is the concentration of
192	<u>GUA (M), ε_{λ} is the base-10 molar absorptivity (M⁻¹ cm⁻¹) of VL or nitrate at wavelength λ, [C] is</u>
193	the concentration of VL or nitrate (M), l is the pathlength of the illumination cell (cm), and I_{λ} is
194	the volume-averaged photon flux (mol-photons L ⁻¹ s ⁻¹ nm ⁻¹) determined from 2NB actinometry:
195	
196	$j(2\text{NB}) = 2.303 \times \Phi_{2\text{NB}} \times l \times \Sigma_{300 nm}^{350 nm} (\varepsilon_{2\text{NB},\lambda} \times l'_{\lambda} \times \Delta\lambda) $ (Eq. S11)

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	ble S1. Typical fragmentation behavio	or observed in MS/MS	S spectra for in
	ups from Holčapek et al. (2010).		
210			
211			
212	Functional group	Fragment ions	MS/MS loss
112	Nitro (RNO ₂)	[M+H-OH] ^{+•}	-OH
213		$[M+H-H_2O]^+$	-H ₂ O
214		[M+H-NO] ^{+•}	-NO
		[M+H-NO ₂] ^{+•}	-NO ₂
215	Nitroso (RNO)	[M+H-NO] ⁺	-NO
216	Carboxylic acid (ROOH)	$[M+H-H_2O]^+$	-H ₂ O
		[M+H-CO ₂] ⁺	-CO ₂
217		$[M+H-H_2O-CO]^+$	-H ₂ O-CO
3 10	Phenol (ROH)	$[M+H-H_2O]^+$	-H ₂ O
218		[M+H-CO] ⁺	-CO
219	Methoxy (ROCH ₃)	[M+H-CH ₃] ^{+•}	-CH ₃
		$[M+H-CH_3O]^{+\bullet}$	-CH ₃ O
220		$[M+H-CH_3OH]^+$	-CH ₃ OH
221	\mathbf{E}_{res} (D ¹ COOD ²)	$[M+H-HCOH]^+$	-HCOH
	Ester (R ¹ COOR ²)	$[M+H-R^{2}OH]^{+}$ $[M+H-R^{2}OH-CO]^{+}$	$-R^2OH$
222		1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 +	-R ² OH-CO
	A residue		
223	Amine Aldehyde (RCHO)	$[M+H-NH_3]^+$ $[M+H-CO]^+$	-NH ₃ -CO

- ____

Table S2. Reaction conditions, initial VL (and GUA) decay rates, normalized abundance of products, and average carbon oxidation state ($\langle OS_e \rangle$) in each experiment. Except where noted, the

reaction systems consisted of VL (0.1 mM); GUA (0.1 mM), AN (1 mM); sodium nitrate (SN) (1
 mM); VOC (IPA) (1 mM) or inorganic anions (NaBC) (1 mM) under air-saturated conditions after

241 <u>6 h of simulated sunlight irradiation. Analyses were performed using UHPLC qToF MS equipped</u>

242 with an ESI source and operated in the positive ion mode.

Exp no.	рН	Reaction conditions	Initial VL (and GUA) decay rates (min ⁻¹) ^b	Ratio of 50 most abundant products to total products ^e	Normalized abundance of products ^d	Normalized abundance of N-containing compounds [#]	≪OS e≯ ^e
A1		VL*	2.8×10^{-3}	0.59	1.7	-	-0.05
<u>A2</u>	2.5	VL+AN	2.5×10^{-3}	0.63	1.4	5.3×10^{-2}	-0.04
A3		VL*	2.1×10^{-3}	0.53	1.9		-0.04
A4	3	VL+AN	2.1×10^{-3}	0.56	1.9	3.6×10^{-2}	-0.05
A5		VL*	$\frac{1.9 \times 10^{-3}}{10^{-3}}$	0.58	0.26		-0.16
A6		VL* (N₂-saturated)	4.6×10^{-4}	0.96	4.7×10^{-2}		-0.24
A7	4	VL +AN	1.9×10^{-3}	0.53	0.37	1.7×10^{-2}	-0.13
A8	4	VL+AN (N₂-saturated)	2.9 × 10⁻⁴	0.89	0.12	6.3×10^{-3}	-0.21
A13		VL+SN	_	0.51	0.42	1.7×10^{-2}	-0.07
A14		VL* (0.01 mM) #	_	0.90	0.37		-0.07

A15	VL (0.01 mM) + AN (0.01 mM)	_	0.77	0.40	8.6 × 10⁻³	0.12
A16	VL (0.01 mM) + AN	_	0.42	0.45	1.2×10^{-2}	-0.06
A17	GUA only	9.0×10^{-4}	0.77	-	-	-0.28
A18	GUA+VL	$\frac{\text{GUA: } 2.0 \times 10^{-3}}{\text{VL: } 6.2 \times 10^{-4}}$	0.60	2.2		-0.27
A19	GUA+AN	1.1×10^{-3}	0.77	—	1	-0.26

24	14	"Irradiation time for VL* (0.01 mM, A14) was 3 h. "The data fitting was performed in the initial
24	45	linear region. ^{-e} Ratio of the normalized abundance of the 50 most abundant products to that of total
24	16	products, except for direct GUA photodegradation (A17), GUA+VL (A18), and GUA+AN (A19)
24	17	whose ratios are based on the absolute signal area of products. ^d The normalized abundance of
24	18	products was calculated using Eq. 2. e° $<$ OS _e $>$ of the 50 most abundant products.
24	19	

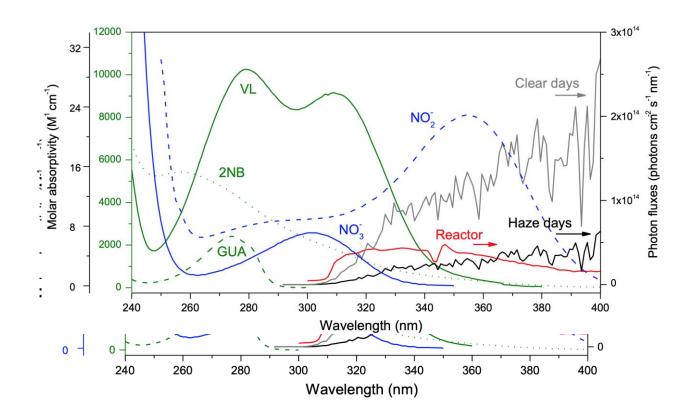
Table S23. Examples of proposed molecular structures for products identified from vanillin (and guaiacol) photo-oxidation experiments in this study.

No.	Formula	DBE	Proposed structure	MS/N	MS fragment i	ons
1	C ₈ H ₈ O ₃ (VL; the aqSOA precursor)	5	OH	-CO-CH₃OH	-CO	-CO- CH ₃ OH-CO
2	C ₈ H ₉ NO ₃	5	H ₂ N OH	-CO-CH ₃	-NH3	
<u>3</u> 3 C	C ₁₆ H ₁₀ N ₂ O ₉	Ц 13 ОН		-NO2		
<u>4</u>	<u>C10H10O5</u>	<u>6</u>	O O O OH	<u>-CH₃OH</u>	<u>-СН₃ОН- СО</u>	
4	C ₁₀ H ₁₀ O ₅	6		-CH ₃ OH	- CH₃OH- CO	

<u>5</u>	<u>C5H5N3O2</u>	<u>5</u>	O ₂ N	<u>-NH</u>		
<u>6</u> 5	C ₁₆ H ₁₄ O ₆	10		-CO-CH ₃ OH- CO	-CO- CH ₃ OH- CO-CH ₃ OH	-CO- CH3OH- CO-CO
7	<u>C₈H₆O4</u>	<u>6</u>	O H	<u>-CO</u>	<u>-CO-CO</u>	
<u>8</u>	<u>C15H12O8</u>	<u>10</u>		<u>-COOH</u>		
<u>9</u>	<u>C7H4N2O7</u>	7				
<u>10</u>	<u>C14H14O</u> 4	<u>8</u>	но-С-он			
<u>11</u> 6	<u>C₂₁H₂₀O₆C</u> 7 H4O4	<u>12</u> 6		- -CO	-CO-CO	

<u>12</u> 7	$\frac{\underline{C_{28}\underline{H}_{24}\underline{O}_{8}}\underline{C}_{4}}{{}_{5}\underline{H}_{12}\underline{O}_{8}}$	<u>17</u> 10		- CO	- CH 3	
<u>13</u> 8	<u>C₇H₄O4</u> C ₈ H ₆ O4	<u>6</u> 6		<u>-CO</u> - CO	<u>-CO-CO</u> - CO-CO	
<u>14</u> 9	<u>C₈H₈O</u> 4C ₁₅ H ₁₂ O ₈	<u>5</u> 10		<u>-CO-CH3OH</u> - COOH	<u>-CO</u>	<u>-H2O</u>
10	C₅H₅N₃O₂	5	O ₂ N N H	- NH		
11	C 7H4N2O7	7				
12	C₁5 ₩₁4 O 8	9		- СО-СН ₃ ОН- СО-СО	- CO- CH₃OH- CO-H₂O	- СО- СН ₃ ОН-СО
13	<mark>€₈H₈O</mark> 4	5	O O H O H	-CO-CH₃OH	- CO	- <u>H</u> 2 O
14	C₈H 8 O 5	5		-CO-CH ₃ OH	- CO 2	- CH ₃ OH

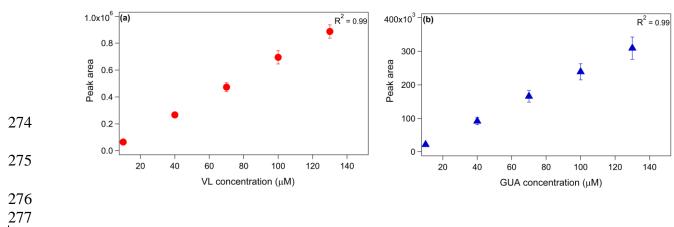
			ООН	
15	C₂₃H₁₈O9	15		
19	C ₁4 H ₁4 O 4	8	но-С-он	
20	C₂₁H₂₀O 6	12		
21	C₂₈H₂₄O 8	17		

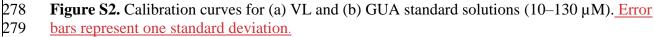


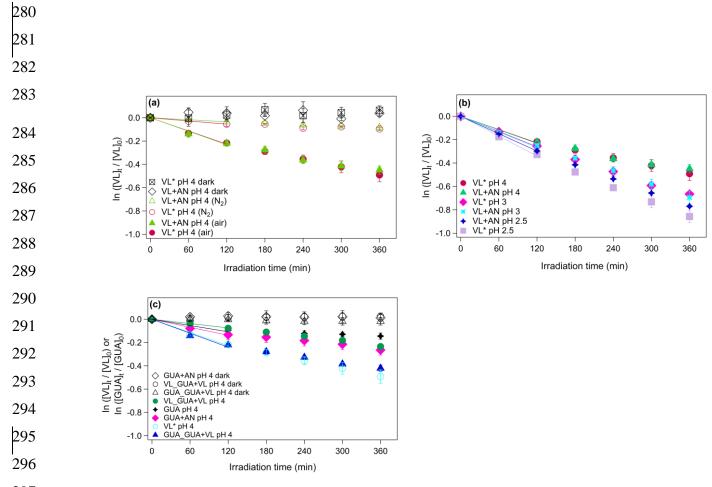


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Figure S1. The base-10 molar absorptivities (ε , M⁻¹ cm⁻¹) of vanillin (VL, green solid line), 2nitrobenzaldehyde (2NB, green dotted line), guaiacol (GUA, green dashed line), NO₂⁻ (blue dashed line), NO₃⁻ (blue solid line), and photon flux in the aqueous reactor (red line) during typical haze days (black line) or clear days (grey line) in Beijing, China. The ε values for 2NB and NO₂⁻ were adapted from Galbavy et al. (2010) and Chu and Anastasio (2007), respectively.

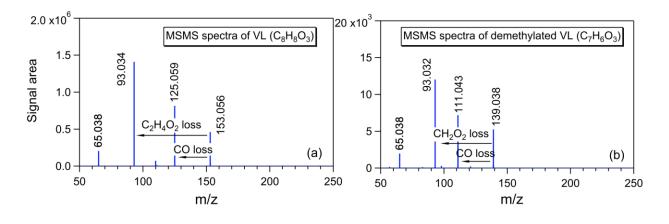






298 Figure S3. (a-cd) The decay of VL under different experimental conditions for direct 299 photosensitized oxidation of VL (VL *) and nitrate-mediated VL photo-oxidation (VL+AN): (a) 300 Effect of secondary oxidants from VL triplets on VL* and VL+AN at pH 4 under N₂- (A6, A8) 301 and air-saturated (A5, A7) conditions. (b) Effect of pH on VL* and VL+AN at pH 2.5 (A1, A2), 302 3 (A3, A4), and 4 (A5, A7) under air-saturated conditions. (c) Effect of the presence of VOCs and 303 inorganic anions: IPA (A9) and NaBC (A10) on VL* at pH 4 under air saturated conditions. (d) 304 Effect of the presence of VOCs and inorganic anions: IPA (A11) and NaBC (A12) on VL+AN at 305 pH 4 under air saturated conditions. (ce) The decay of VL (and GUA) during direct GUA 306 photodegradation (A137) and photo-oxidation of GUA in the presence of VL (GUA+VL; A148) 307 or nitrate (GUA+AN; A159) at pH 4 under air-saturated conditions after 6 h of simulated sunlight 308 irradiation. Error bars represent one-1 standard deviation; most error bars are smaller than the 309 markers.

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313 Figure S4. MS/MS spectra of (a) VL and (b) demethylated VL. The arrows indicate possible

314 fragmentation pathways of VL and demethylated VL.

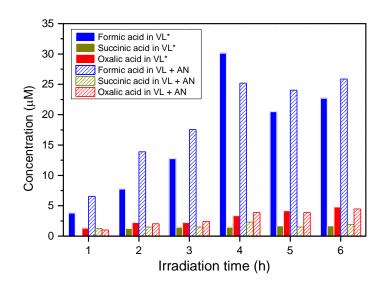


Figure S5. The concentration of formic, oxalic, and succinic acid at different reaction times for VL* (A5) and VL+AN (A7) at pH 4 under air-saturated conditions.

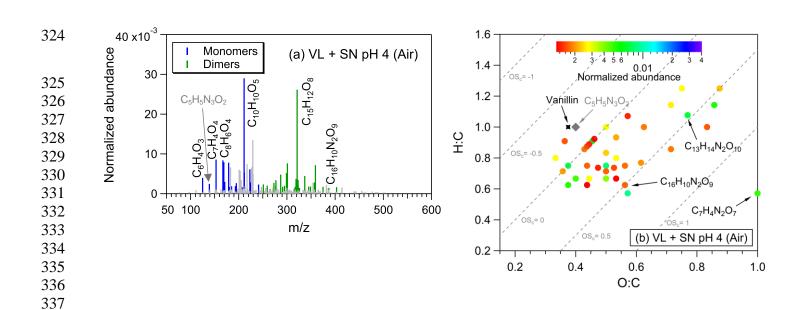


Figure S6. (a) Reconstructed mass spectra of assigned peaks and (b) van Krevelen diagram of the 50 most abundant products from VL+SN (A913) at pH 4 under air-saturated conditions after 6 h of simulated sunlight irradiation. The normalized abundance of products was calculated from the ratio of the peak area of the product to that of VL (Eq. 2). The 50 most abundant products contributed more than half of the total normalized abundance of products, and they were identified as monomers (blue) and dimers (green). Grey peaks denote peaks with low abundance or unassigned formula. Examples of high-intensity peaks were labeled with the corresponding neutral formulas. The color bar denotes the normalized abundance of products. The grey dashed lines indicate the carbon oxidation state values (e.g., $OS_c = -1, 0, and 1$). The grey arrows show where the potential imidazole derivative (C₅H₅N₃O₂) from VL+AN was observed.

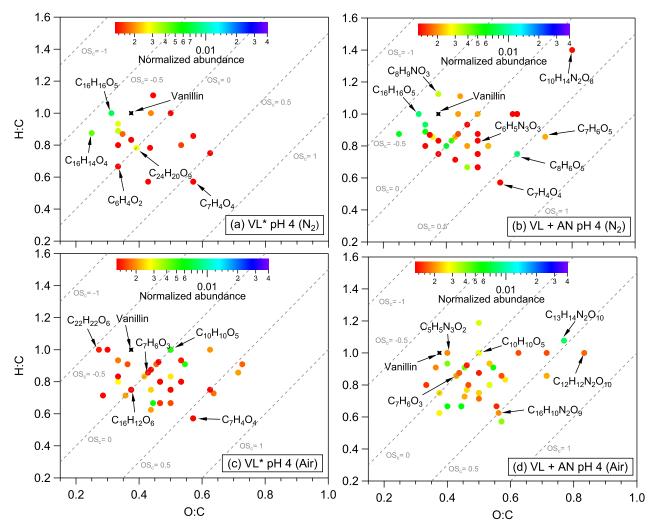


Figure S7. van Krevelen diagrams of the 50 most abundant products from (a) VL* (N₂-saturated; A6), (b) VL+AN (N₂-saturated; A8), (c) VL* (air-saturated; A5), and (d) VL+AN (air-saturated; A7) at pH 4 after 6 h of simulated sunlight irradiation. The color bar denotes the normalized abundance of products. The grey dashed lines indicate the carbon oxidation state values (e.g., OS_c = -1, 0, and 1).

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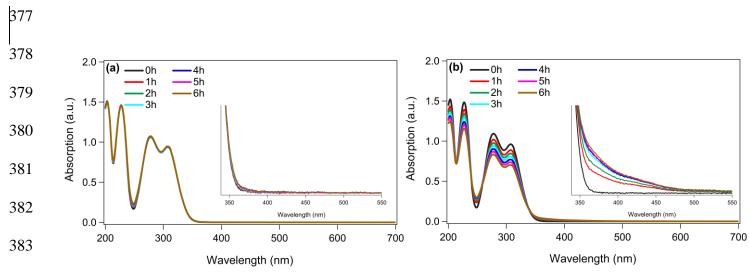
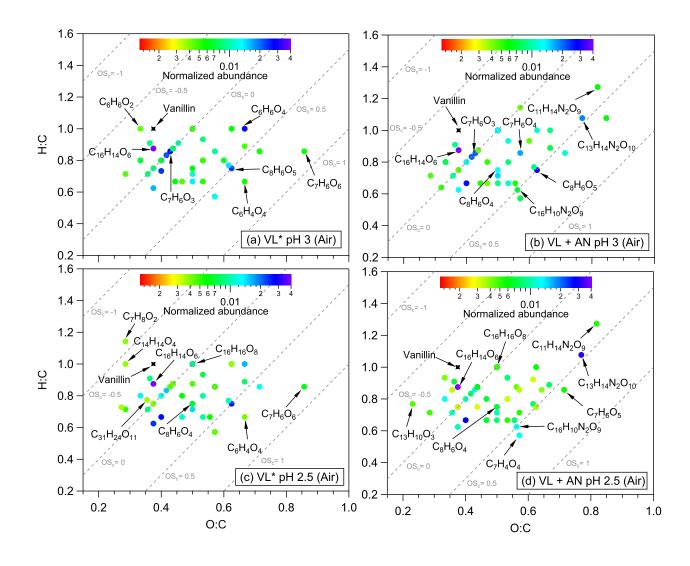


Figure S8. UV–Vis absorption spectra of VL* (A6, A5; pH 4) under (a) N₂- and (b) air-saturated
 conditions at different time intervals. The insets show the absorbance enhancement from 350 to
 550 nm.

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Figure S9. van Krevelen diagrams of the 50 most abundant products from (a) VL* pH 3 (A3), (b) VL+AN pH 3 (A4), (c) VL* pH 2.5 (A1), and (d) VL+AN pH 2.5 (A2) under air-saturated conditions after 6 h of simulated sunlight irradiation. The color bar denotes the normalized abundance of products. The grey dashed lines indicate the carbon oxidation state values (e.g., OS_c =-1, 0, and 1).

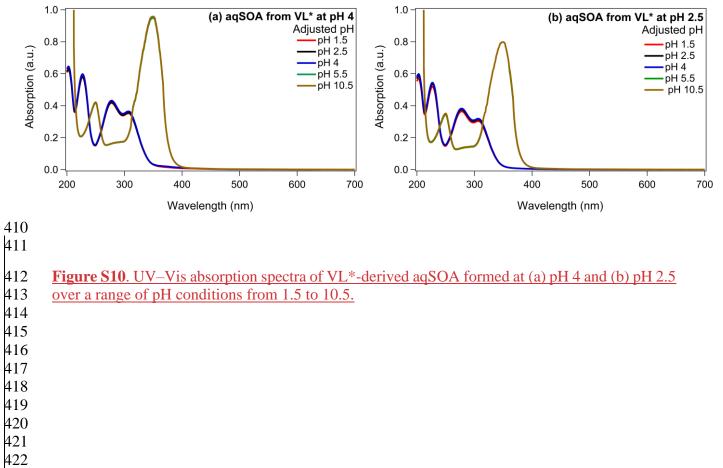
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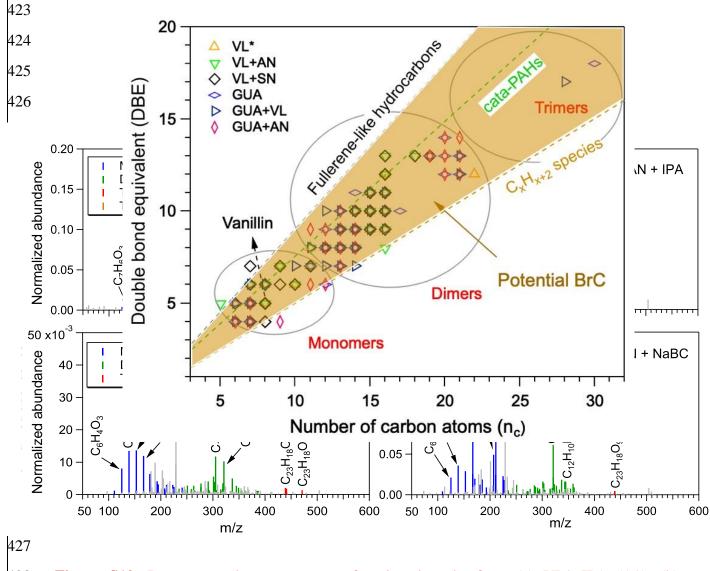
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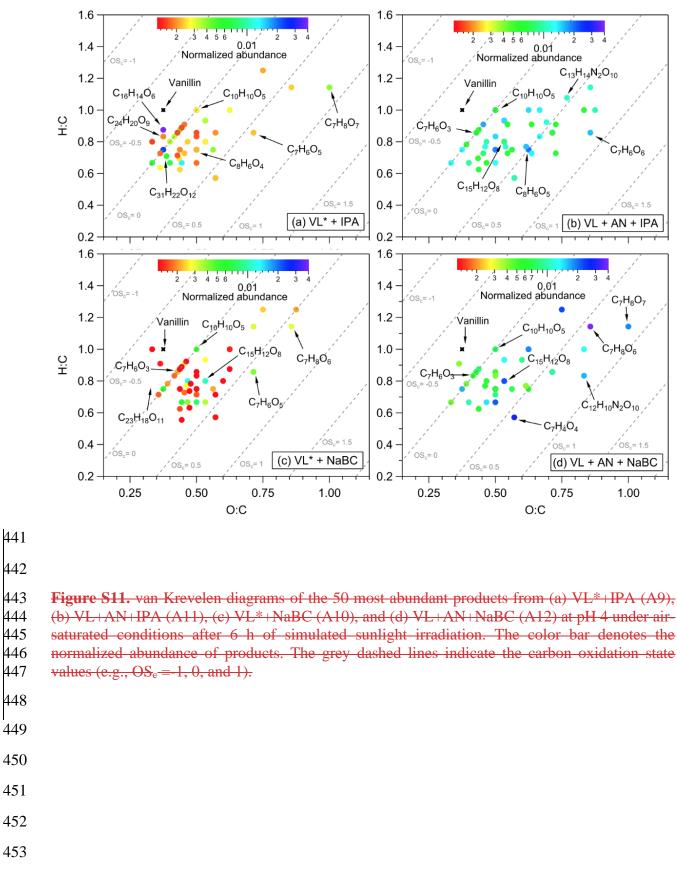
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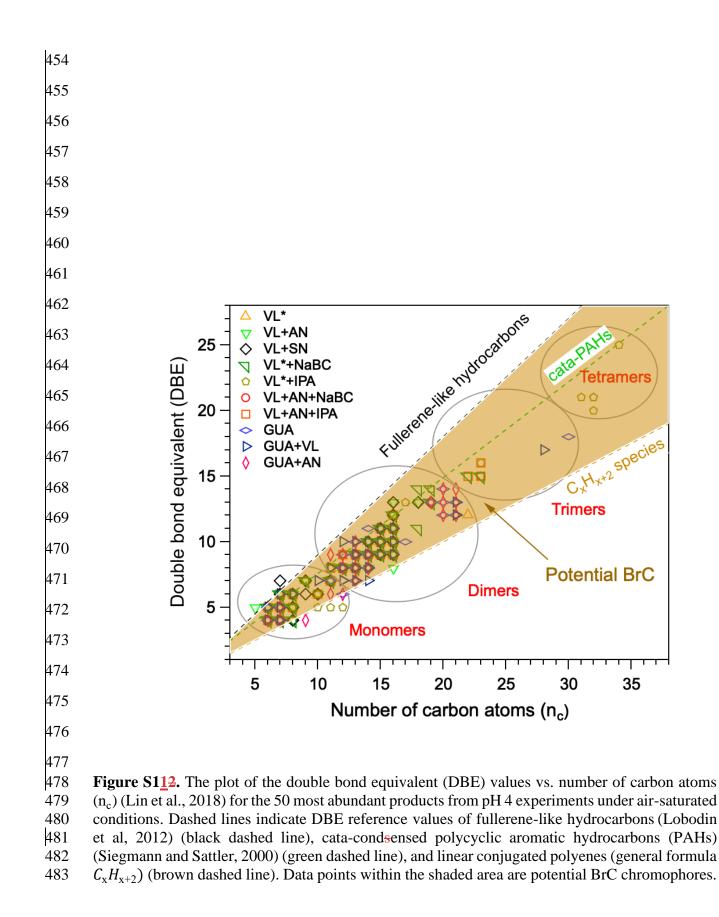


428 Figure S10. Reconstructed mass spectra of assigned peaks from (a) VL*+IPA (A9), (b) 429 VL+AN+IPA (A11), (c) VL*+NaBC (A10), and (d) VL+AN+NaBC (A12) at pH 4 under air-430 saturated conditions after 6 h of simulated sunlight irradiation. The normalized abundance of 431 products was calculated from the ratio of the peak area of the product to that of VL (Eq. 2). The 432 50 most abundant products contributed more than half of the total normalized abundance of 433 products, and they were identified as monomers (blue), dimers (green), trimers (red), and tetramers 434 (orange). Grey peaks denote peaks with low abundance or unassigned formula. Examples of high-435 intensity peaks were labeled with the corresponding neutral formulas. Note the different scales on 436 the y-axes.

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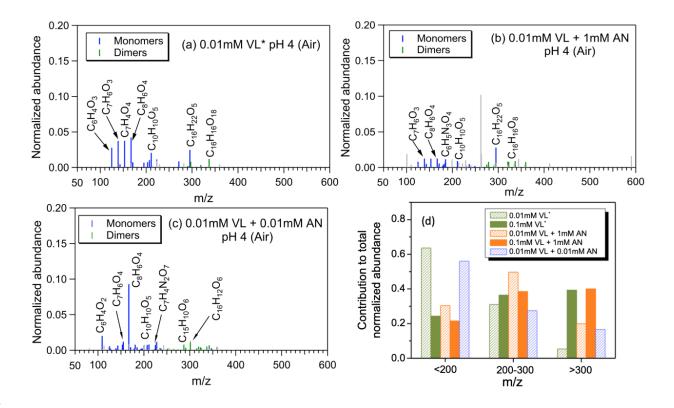
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484 Light grey circles show the classification of the data points as monomers, dimers, trimers, or 485 tetramers.

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488 Figure S123. Reconstructed mass spectra of assigned peaks from (a) 0.01 mM VL* (A104), (b) 489 0.01 mM VL + 1 mM AN (A116), and (c) 0.01 mM VL + 0.01 mM AN (A125) at pH 4 under air-490 saturated conditions after 6 h of simulated sunlight irradiation. The normalized abundance of 491 products was calculated from the ratio of the peak area of the product to that of VL (Eq. 2). The 492 50 most abundant products contributed more than half of the total normalized abundance of 493 products, and they were identified as monomers (blue) and dimers (green). Grey peaks denote 494 peaks with low abundance or unassigned formula. Examples of high-intensity peaks were labeled 495 with the corresponding neutral formulas. (d) Contributions of different m/z ranges to the 496 normalized abundance of products from experiments with low [VL] = 0.01 mM (A104-A126) and 497 high [VL] = 0.1 mM (A5 and A7) at pH 4 under air-saturated conditions after 6 h of simulated 498 sunlight irradiation.

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