

1 Environmental Effects on Aerosol-Cloud Interaction in non-precipitating MBL 2 Clouds over the Eastern North Atlantic

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15 **Abstract.** Over the eastern north Atlantic (ENA) ocean, a total of 20 non-precipitating single-layer
16 marine boundary layer (MBL) stratus and stratocumulus cloud cases are selected to investigate the
17 impacts of the environmental variables on the aerosol-cloud interaction (ACI_r) using the ground-based
18 measurements from the Department of Energy Atmospheric Radiation Measurement (ARM) facility at
19 the ENA site during 2016 – 2018. The ACI_r represents the relative change of cloud-droplet effective
20 radius r_e with respect to the relative change of cloud condensation nuclei (CCN) number concentration
21 at 0.2% supersaturation ($N_{CCN,0.2\%}$) in the water vapor stratified environment. The ACI_r values vary from
22 -0.01 to 0.22 with increasing sub-cloud boundary layer precipitable water vapor (PWV_{BL}) conditions,
23 indicating that r_e is more sensitive to the CCN loading under sufficient water vapor supply, owing to the
24 combined effect of enhanced condensational growth and coalescence processes associated with higher
25 N_c and PWV_{BL} . The principal component analysis shows that the most pronounced pattern during the
26 selected cases is the co-variations of the MBL conditions characterized by the vertical component of
27 turbulence kinetic energy (TKE_w), decoupling index (D_i), and PWV_{BL} . The environmental effects on
28 ACI_r emerge after the data are stratified into different TKE_w regimes. The ACI_r values, under both
29 relatively lower and higher PWV_{BL} conditions, increase more than double from the low TKE_w to high
30 TKE_w regime. It can be explained by the fact that stronger boundary layer turbulence maintains a well-
31 mixed MBL, strengthening the connection between cloud microphysical properties and the below-cloud
32 CCN and moisture sources. With sufficient water vapor and low CCN loading, the active coalescence

33 process broadens the cloud droplet size spectra, and consequently results in an enlargement of r_e . The
34 enhanced activation of CCN and the cloud droplet condensational growth induced by the higher below-
35 cloud CCN loading can effectively decrease r_e , which jointly presents as the increased ACI_r . This study
36 examines the importance of environmental effects on the ACI_r assessments and provides observational
37 constraints to future model evaluations on aerosol-cloud interactions.

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40 **1. Introduction**

41 Clouds are one of the most important parts of the Earth's climate system. They can impact the global
42 climate by modulating the radiative balance in the atmosphere. Moreover, the radiative effects of cloud
43 adjustments due to aerosols remain one of the largest uncertainties in climate modeling (IPCC, 2013).
44 Over the oceanic area, the lower troposphere is dominated by marine boundary layer (MBL) clouds.
45 MBL clouds can persistently reflect the solar radiation by their long-lasting nature maintained by cloud-
46 top radiative cooling, and therefore act as a major modulator of the Earth's radiative budget (Seinfeld et
47 al., 2016). The climatic importance of MBL cloud radiative properties is primarily induced by cloud
48 microphysical properties such as cloud-droplet number concentration (N_c) and effective radius (r_e), and
49 has been intensively investigated by many researchers (Garrett and Zhao, 2006; Rosenfeld, 2007; Wood
50 et al., 2015; Seinfeld et al., 2016). The ambient aerosol conditions can influence these cloud
51 microphysical properties via the aerosol-cloud interaction (ACI). Compared to the clean regions, clouds
52 under the regions having relatively higher below-cloud aerosol concentrations exhibited smaller cloud
53 droplets (reduced r_e and increased N_c) and enhanced both cloud liquid water contents and optical depths
54 (McComiskey et al., 2009; Chen et al., 2014; Wang et al., 2018). The changes of MBL cloud
55 microphysical properties induced by aerosols have been investigated from previous studies using in-situ
56 measurements, ground- and satellite-based observations, and model simulations in multiple oceanic areas
57 such as the eastern Pacific and eastern Atlantic (Twohy et al., 2005; Lu et al., 2007; Hill et al., 2009;
58 Costantino and Bréon, 2010; Mann et al., 2014; Dong et al., 2015; Diamond et al., 2018; Yang et al.,
59 2019; Zhao et al., 2019; Wang et al., 2020).

60 The assessments of ACI, particularly using ground-based remote sensing, vary in terms of the
61 quantitative values, which represent the different cloud susceptibilities to aerosol loadings. Owing to the
62 numerous approaches in assessing the ACI, such as the spatial and temporal scales, N_c and r_e retrieval
63 methods, and more importantly, the different aerosol proxies used in the ACI quantification, different
64 ACI results could be achieved. For example, the studies using total aerosol number concentration and

65 aerosol scattering/extinction coefficients to represent the aerosol loadings would result in relatively lower
66 ACI values (Pandithurai et al., 2009; Liu et al., 2016). This is primarily attributed to the inclusion of
67 aerosol species with different abilities to activate, which is determined by their physicochemical
68 properties, and thus will cause non-negligible uncertainties in capturing the information of aerosol
69 intrusion to the cloud (Feingold et al. 2006; Logan et al., 2014). While some studies found relatively
70 higher ACI values using cloud condensation nuclei (CCN) number concentration (N_{CCN}), presumably
71 due to the fact that CCN represents the portion of aerosols that can be activated and possesses the
72 potential ability to further grow into cloud droplets, this favorably yields a more straightforward
73 assessment of ACI (McComiskey et al., 2009; Qiu et al., 2017; Zheng et al., 2020). It is noteworthy that
74 the ACI variations have been found to have both increasing and decreasing trends in response to changing
75 environmental water availability (Martin et al., 2004; Kim et al., 2008; McComiskey et al., 2009;
76 Pandithurai et al., 2009; Martin et al., 2011; Liu et al., 2016; Zheng et al., 2020). Although these
77 contradicting results have been postulated due to multiple factors such as cloud adiabaticity,
78 condensational growth, collision coalescence, and atmospheric thermodynamics and dynamics, the
79 underlying mechanisms in altering the ACI and causing the uncertainties in the ACI assessments remain
80 unclear. Therefore, further studies are necessary (Fan et al., 2016; Feingold and McComiskey, 2016;
81 Seinfeld et al., 2016).

82 The Eastern North Atlantic (ENA) is a remote oceanic region that features persistent but diverse
83 subtropical MBL clouds, owing to complex meteorological influences from the semi-permanent Azores
84 High and prevailing large-scale subsidence (Wood et al., 2015). The ENA has become a favorable region
85 to study the aerosol indirect effects on MBL clouds under a relatively clean environment with occasional
86 intrusions of long-range transport of continental air mass (Logan et al., 2014; Wang et al., 2020). The
87 atmospheric radiation measurement (ARM) program established the ENA permanent observatory site on
88 the northern edge of Graciosa Island, Azores, in 2013, which continuously provides comprehensive
89 measurements of the atmosphere, radiation, cloud, and aerosol from ground-based observation
90 instruments. Owing to the location of the site, which sits in between the boundaries of mid-latitude and
91 subtropical regimes, the ENA is under the mixed influence of diverse meteorological conditions. In terms
92 of the aerosol influence on the cloud properties, the roles of meteorological factors on cloud formation
93 and development are not negligible and hence are being explored in this study. The large-scale
94 thermodynamic variables of the lower troposphere are widely used, such as the lower tropospheric
95 stability (LTS), where the higher LTS values are found to be associated with a relatively shallow and
96 well-mixed marine boundary layer, and are prone to stratiform cloud formations with higher cloud
97 fractions (Klein and Hartmann, 1993; Wood, 2012; Wood and Bretherton, 2006; Yue et al., 2011;

98 Rosenfeld et al., 2019), especially over the subtropical ocean such as the northeast Atlantic. Over the
99 ENA site, the spatial gradient of the LTS has been studied to be associated with the contribution terms
100 of MBL turbulence and the wind directional change (Wu et al., 2017).

101 In the cloud-topped MBL which is maintained by cloud-top radiative cooling, the buoyancy
102 generation and shear contribute most to the turbulence kinetic energy (TKE) production (Nicholls, 1984;
103 Hogan et al., 2009), where the intensity of turbulence denotes the coupling of MBL clouds to the below-
104 cloud boundary layer. In terms of the cloud droplet growth process, especially in a clean environment
105 with low N_{CCN} below the cloud layer, the cloud droplets at the cloud base experience rapid growth via
106 the diffusion of water vapor, and subsequently enter the regime of active coalescence (Rosenfeld and
107 Woodley, 2003; Martins et al., 2011). The intensive turbulence effectively modulates the cloud droplet
108 growth by strengthening the coalescence process and the cloud cycling (Feingold et al., 1996, 1999;
109 Pawlowska et al., 2006). In particular, the unique topography of Graciosa Island induces an island effect
110 which could cause disturbances in the updraft and hence impact the MBL turbulence, depending on the
111 surface wind directions (Zheng et al., 2016). The environmental effects on the MBL cloud formation and
112 development processes and cloud microphysical properties have been widely implemented and
113 considered in climate modeling (Medeiros and Stevens, 2011; West et al., 2014; Zhang et al., 2016).
114 Thus, it is important to provide observational constraints on the environmental effects. The assessment
115 of ACI from the ground-based perspective highly relies on the sensitivities of cloud droplet number
116 concentrations and size distribution to the changing of below-cloud CCN loadings. Hence, studying the
117 relationship between the environmental effect and the MBL cloud microphysical responses is a nontrivial
118 task.

119 In this study, we target the non-precipitating single-layer MBL stratus and stratocumulus clouds
120 during the period between September 2016 and May 2018 and examine the role of thermodynamical and
121 dynamical variables on ACIs. This study aims to advance the understanding of ACI by disentangling
122 the environmental effects and providing observational constraints on quantifying the ACI when modeling
123 aerosol effects on MBL clouds. The ground-based observations and retrievals, and the reanalysis are
124 introduced in section 2. Section 3 describes the aerosol, cloud and meteorological properties, and the
125 variations of cloud microphysical properties under different environmental regimes. Moreover, the ACIs
126 under given water vapor conditions and the roles of environmental effects on ACI are discussed in
127 Section 3. The conclusion of the key findings and the future work are presented in section 4.

128

129 **2. Data and methods**

130 **2.1 Cloud and aerosol properties**

131 The cloud boundaries at the ARM ENA site are primarily determined by the ARM Active Remotely-
132 Sensed Cloud Locations (ARSCL) product, which is a combination of data detected by multiple active
133 remote-sensing instruments, including the Ka-band ARM Zenith Radar (KAZR) and laser ceilometer.
134 The KAZR has an operating frequency at 35 GHz and is sensitive in cloud detection with very minimum
135 attenuation up to the cloud top height (Widener et al., 2012). The temporal and vertical resolutions of
136 KAZR reflectivity are 4 seconds and 30 m, respectively. The ceilometer operates at 910 nm and its
137 attenuated backscatter data can be converted to the cloud base height up to 7.7 km with an uncertainty
138 of ~10 m (Morris, 2016). Combining both KAZR and ceilometer measurements, the cloud base (z_b) and
139 top (z_t) heights can be identified accordingly. The single-layer low cloud is defined as having a cloud
140 top height lower than 3 km, with no additional cloud layer in the atmosphere above (Xi et al., 2010).

141 The cloud microphysical properties are retrieved from a combination of ground-based observations,
142 including KAZR, ceilometer, and microwave radiometer. The detailed retrieval methods and procedures
143 are described in Wu et al. (2020a). The retrieved cloud microphysical properties, both in time series and
144 vertical profiles, have been validated using the collocated aircraft in-situ measurements during the
145 Aerosol and Cloud Experiments in the Eastern North Atlantic field campaign (ACE-ENA). The retrieval
146 uncertainties are estimated to be ~15% for cloud droplet effective radius (r_e), ~35% for cloud droplet
147 number concentration (N_c), and ~30% for the cloud liquid water content (LWC) (Wu et al., 2020a).
148 Furthermore, the cloud adiabaticity is calculated using the retrieved in-cloud vertical profile of LWC and
149 the adiabatic LWC_{ad} . The LWC_{ad} is given by $LWC_{ad}(z) = \Gamma_{ad}(z - z_b)$, following the method in Wu et
150 al. (2020b), where Γ_{ad} denotes the linear increase of LWC with height under an ideal adiabatic condition
151 (Wood, 2005). The cloud adiabaticity (f_{ad}) is defined as the ratio of LWC to LWC_{ad} .

152 The surface CCN number concentrations (N_{CCN}) are measured by the CCN-100 (single-column)
153 counter. Since the supersaturation (SS) levels cycle between approximately 0.10% and 1.10% within
154 one hour, N_{CCN} under a relatively stable supersaturation level has to be carefully calculated to rule out
155 the impact of supersaturation on N_{CCN} . This study adopts the interpolation method given by $N_{CCN} = cSS^k$
156 (Twomey, 1959), where parameters c and k are fitted by a power-law function for every periodic cycle.
157 In this study, the supersaturation level of 0.2% is used because it represents typical supersaturation
158 conditions of boundary-layer stratiform clouds (Hudson and Noble, 2013; Logan et al., 2014; Wood et
159 al., 2015; Siebert et al., 2021), and N_{CCN} at 0.2% supersaturation (hereafter $N_{CCN,0.2\%}$) is interpolated to
160 a 5-min temporal resolution.

161

162 **2.2 Environmental conditions and cloud case selections**

163 The integrated precipitable water vapor (PWV) is obtained from a 3-channel microwave radiometer
 164 (MWR3C), which operates at three frequency channels of 23.834, 30, and 89 GHz. The uncertainty of
 165 PWV is estimated to be ~0.03 cm (Cadeddu et al., 2013). To capture the information of MBL water vapor
 166 more accurately, the sub-cloud boundary layer integrated precipitable water vapor (PWV_{BL}) is calculated
 167 using the interpolated sounding product following:

$$168 \text{PWV}_{\text{BL}} = \frac{1}{\rho_w} \sum (z_{i+1} - z_i) * (\rho_{v,i+1} + \rho_{v,i}) / 2, \quad (1)$$

169 where the ρ_w is the liquid water density and the ρ_v is the water vapor density collected from the
 170 Interpolated Sounding and Gridded Sounding Value-Added Products (Toto and Jensen, 2016), the
 171 subscripts i and $i + 1$ represent the bottom and top of each interpolated sounding height layer. Both
 172 PWV and PWV_{BL} are temporally collocated to 5-min resolutions and plotted against each other in Fig.
 173 S1a to test the contribution of PWV_{BL} to PWV. The Pearson correlation coefficient of 0.85 shows that
 174 the PWV_{BL} are strongly positively correlated with PWV, while the distribution of the percentage ratio of
 175 PWV_{BL} to PWV (Fig. S1b) indicates that, on average, PWV_{BL} contributes to ~58% of PWV. Considering
 176 the cloud-topped MBL, the majority of cases (~74%) associate with a relatively moist boundary layer
 177 compared to the amount of water vapor in the free troposphere, where PWV_{BL} already contributed over
 178 50% of the total column PWV. In contrast, only ~9% of cloud samples occur under a relatively dry
 179 boundary layer and moist free troposphere, where PWV_{BL} contributions are less than 40%. In general,
 180 PWV can well capture the variation of PWV_{BL}. In the rest of the study, PWV_{BL} are used, as it represents
 181 the sub-cloud boundary layer water vapor availability which is more closely related to the MBL cloud
 182 processes.

183 The LTS parameter is used as a proxy of large-scale thermodynamic structure and is defined as the
 184 difference between the potential temperature at 700 hPa and surface ($\theta_{700} - \theta_{sfc}$). The LTS values are
 185 calculated from European Centre for Medium-Range Weather Forecasts (ECMWF) model outputs of
 186 potential temperature, by averaging over a grid box of $0.56^\circ \times 0.56^\circ$ centered at the ENA site. To match
 187 the temporal resolutions of the other variables, the original 1-hour LTS data are downsampled to 5-min
 188 under the assumption that the large-scale forcing would not have significant changes within an hour.

189 The boundary layer decoupling condition is represented by the decoupling index (D_i), which is
 190 given by $D_i = (z_b - z_{LCL}) / z_b$, where the z_{LCL} is the lifting condensation level calculated analytically
 191 following the method in Romps (2017), with an uncertainty of around 5 m. The surface temperature,
 192 pressure, relative humidity, and mass fraction of water vapor are used in the z_{LCL} calculation, as long as

193 the vector-averaged wind directions (in 360° coordinate) over the ENA site are obtained from the ARM
194 surface meteorology systems (ARM MET handbook, 2011).

195 As for the boundary layer dynamics, the higher-order moments of vertical velocity are widely used
196 in different model parameterization practices, such as higher-order turbulence closure and probability
197 density function methods (Lappen and Randall, 2001; Zhu and Zuidema, 2009; Ghate et al., 2010). The
198 vertical velocity variance can be used to represent the turbulence intensity in the below-cloud boundary
199 layer (Feingold et al., 1999). In this study, the vertical component of the turbulence kinetic energy (TKE_w)
200 is used, which is defined as:

$$201 \quad TKE_w = \frac{1}{2} \overline{(w')^2}, \quad (2)$$

202 where the $(w')^2$ is the variance of vertical velocity measured from the Doppler lidar standard 10-min
203 integration, which is collected in the Doppler Lidar Vertical Velocity Statistics Value-Added Product
204 (Newson et al., 2019). The noise correction has been applied to reduce the uncertainty of the variance to
205 ~10% (Hogan et al., 2009; Pearson et al., 2009). In this study, the mean value of TKE_w in the sub-cloud
206 boundary layer proportion of the Doppler lidar range is used, and the data temporal resolution is further
207 downsampled to 5-min for temporal collocation purposes.

208 In this study, the non-precipitating cloud periods are determined when the KAZR reflectivity at the
209 ceilometer-detected cloud base height range does not exceed -37 dBZ (Wu et al., 2015, 2020b), which
210 extensively rules out the wet-scavenging depletion on below-cloud CCN (Wood, 2006) and ensures the
211 accuracy in capturing the below-cloud CCN loadings. Both retrieved cloud microphysical properties and
212 CCN data are available from September 2016 to May 2018 and confine this period in this study.

213

214 **3. Result and Discussion**

215 **3.1 Aerosol, cloud, and meteorological properties of selected cloud cases**

216 A total of 20 non-precipitating cloud cases are selected in this study, with the detailed time periods
217 listed in Table 1, including 1143 samples with temporal resolutions of 5-min, which corresponds to ~95
218 hours. Among the selected cases, there are three, eight, five, and four cases for Spring, Summer, Fall,
219 and Winter seasons, respectively. MBL clouds often produce precipitation in the form of drizzle (Wood
220 2012, Wu et al., 2015, 2020b). A recent study of the seasonal variation of the drizzling frequencies (Wu
221 et al., 2020b) showed that the MBL clouds in the cold months (Oct-Mar) have the highest drizzling
222 frequency of the year (~70%), while the clouds in the warm months (Apr-Sept) are found to have a lower
223 chance of drizzling (~45%). Therefore, the selection of a non-precipitating single-layer low cloud case

224 that lasts at least 2 hours is limited, with only 6 cases found in the cold months and 14 cases found during
225 the warm months.

226 The probability distribution functions (PDFs) of the aerosol and cloud properties, and the
227 environmental conditions for the selected cases are shown in Fig. 1. The PDF of $N_{CCN,0.2\%}$ presents a
228 normal distribution with a mean value of 215 cm^{-3} and median value of 217 cm^{-3} . About 97% of the
229 $N_{CCN,0.2\%}$ samples lie below 350 cm^{-3} and represents a relatively clean environment (Logan et al., 2014,
230 2018). A few instances of aerosol intrusions ($\sim 3\%$) with higher $N_{CCN,0.2\%}$ were likely a result of
231 continental air mass transport from North America, Europe, and Africa (Logan et al., 2014; Wang et al.,
232 2020). As for the cloud microphysical properties, the cloud-layer mean N_c and r_e (Fig. 1b and 1c) are
233 also both normally distributed with median values close to the mean values. The majority of the N_c
234 values ($\sim 91\%$) are lower than 125 cm^{-3} with a mean value of 86 cm^{-3} , and the r_e distribution peaks
235 between 9 - 11 μm with a mean value of 10.1 μm . Both N_c and r_e values fall in the typical ranges of the
236 non-precipitating MBL cloud characteristics over the ENA site (Dong et al., 2014; Wu et al., 2020b).
237 The distribution of f_{ad} is slightly skewed to the left with a median value of 0.66 (Fig. 1d), indicating that
238 the bulk of cloud samples are close to adiabatic environments, while the left tail denotes a wide range of
239 cloud sub-adiabaticities, which allows us to investigate the role of cloud adiabaticities on the cloud
240 microphysical variations.

241 For all selected cases, the LTS, which represents the large-scale thermodynamic structure, is
242 distributed bimodally across the range from 14K to 23K with mean and median values of 19.1K in Fig.
243 1e. A higher LTS magnitude represents a relatively stable environment and is favorable to the formation
244 of marine stratocumulus (Medeiros and Stevens, 2011; Gryspeerd et al., 2016). Note that the median
245 LTS of 19.1 K in this study is close to the separation threshold of 18.55K suggested by prior studies to
246 distinguish the marine stratocumulus from a global assessment of marine shallow cumulus clouds
247 (Smalley and Rapp, 2020). Therefore, leveraging the demarcation line at 19.1K may allow us to
248 investigate the aerosol-cloud relationships under contrasting thermodynamic regimes. The PDF of D_i
249 parameter spreads widely with a median value of 0.34 for the selected cases (Fig. 1f), which provides an
250 opportunity to study the cloud sample behaviors under MBL conditions range from well-mixed to
251 decoupled. Higher D_i values indicate more decoupled MBL with weaker turbulence which cannot
252 sufficiently maintain the well-mixed MBL, while lower D_i values often associate with stronger
253 turbulence which maintains a coupled MBL (Jones et al., 2011). As an indicator of the below-cloud
254 boundary layer turbulence, the TKE_w values present a gamma distribution that is highly skewed to the
255 right (Fig. 1e), with a mean value of 0.11 and a median value of $0.08 \text{ m}^2\text{s}^{-2}$. About half of the cloud

256 samples are observed within a relatively less turbulent environment (which is also implied by the higher
257 half of D_i), suggesting weak connections between the cloud layer and the below-cloud boundary layer.
258 The other half of the cloud samples, with relatively higher TKE_w values up to $0.4 \text{ m}^2/\text{s}^2$, imply tighter
259 connections between cloud microphysical properties and below-cloud boundary layer accompanied by
260 intensive turbulent conditions, which is favorable to enhance cloud droplet growth (Albrecht et al., 1995;
261 Hogan et al., 2009; Ghate et al., 2010; West et al., 2014; Ghate and Cadetdu, 2019).

262 It is noteworthy that PWV_{BL} values exhibit a bimodal distribution with a median value of 1.2 cm
263 (Fig. 1f). About 49% of the samples have their PWV_{BL} values in the range of 0.4 - 1.2 cm with the first
264 peak in 0.6 - 0.8 cm, and 51% of the samples have PWV_{BL} values higher than 1.2 cm with a second peak
265 in 1.6 - 1.8 cm, which may be due to the seasonal difference of the selected cases. Fig. S2 shows the
266 seasonal variation of the PWV_{BL} from 2016 to 2018 when single-layered low clouds are present. The
267 monthly PWV_{BL} values are as low as ~ 0.9 cm and remain nearly invariant from January through March,
268 then increase to ~ 2.0 cm (doubled) in September, and decrease dramatically to the winter months. The
269 selected cloud cases are distributed across the seasons, with $\sim 34\%$ of the samples occurring during the
270 months with the lowest mean PWV_{BL} (Jan-Mar), while $\sim 43\%$ of the samples fall in the highest PWV_{BL}
271 months (Jun-Sept). These two different PWV_{BL} regions will provide a great opportunity for us to further
272 examine the ACI under relatively lower and higher water vapor conditions.

273 274 **3.2 Dependent of cloud microphysical properties on CCN and PWV_{BL}**

275 Figure 2 shows the cloud microphysical properties as a function of $N_{\text{CCN},0.2\%}$ and PWV_{BL} for the
276 samples from 20 selected cases. As illustrated in Fig. 2a, there is a statistically significant positive
277 correlation ($R^2=0.9$) between $\ln(N_c)$ and $\ln(N_{\text{CCN},0.2\%})$. The linear fit of $\ln(N_c)$ to $\ln(N_{\text{CCN},0.2\%})$ is then
278 mathematically transformed to a power-law fitting function of N_c to $N_{\text{CCN},0.2\%}$, and plotted as dash lines
279 in Fig. 2a. The power-law fitting indicates that 90.3% of the variation in binned $\ln(N_c)$ can be explained
280 by the change in the binned $\ln(N_{\text{CCN},0.2\%})$ and further suggests that with more available below-cloud
281 CCN, higher number concentrations are expected. The logarithmic ratio $\partial \ln(N_c)/\partial \ln(N_{\text{CCN},0.2\%})$ is
282 computed to be 0.435 from our study. This ratio is very close to 0.48 as was shown by McComiskey et
283 al. (2009), who also used ground-based measurements to study the marine stratus clouds over the
284 California coast. The logarithmic ratio (0.435) is also close to the result (0.458) of Lu et al. (2007) who
285 used aircraft in-situ measured cloud droplet and accumulation mode aerosol number concentration for
286 the marine stratus and stratocumulus clouds over the eastern Pacific Ocean. The ratio reflects the relative
287 conversion efficiency of cloud droplets from the CCN, regardless of the water vapor availability.

288 Theoretically, it has the boundaries of 0 - 1, where the lower bound means no change of N_c with N_{CCN} ,
289 and the upper bound indicates a linear relationship that every CCN would result in one cloud droplet.
290 Our result is comparable with the previous studies targeting the MBL stratiform clouds, indicating a
291 certain similarity of the bulk cloud microphysical responses with respect to aerosol intrusion in those
292 types of cloud and over different marine environments, further support that the assessment in this study
293 is valid.

294 The PWV_{BL} values are represented as blue circles (larger one for higher PWV_{BL}) in Fig. 2a in order
295 to study the role of water vapor availability on the $CCN-N_c$ conversion process. As demonstrated in Fig.
296 2a, the PWV_{BL} values almost mimic the increasing $N_{CCN,0.2\%}$ trend, which is also governed by the
297 seasonal $N_{CCN,0.2\%}$ and the selected cloud cases. Fig. S3 shows the seasonal variation of $N_{CCN,0.2\%}$ from
298 2016 to 2018. It is noticeable that the monthly $N_{CCN,0.2\%}$ values, which mimic the monthly variation of
299 PWV_{BL} , are much higher during warm months (May-Oct) than during cold months (Nov-Apr). This
300 seasonal $N_{CCN,0.2\%}$ variation is also found in recent studies of MBL aerosol composition and number
301 concentration. During the warm months, the below-cloud boundary layer is enriched by the accumulation
302 mode of sulfate and organic particles via local generation and long-range transport induced by the semi-
303 permanent Azores High, which are found to be hydrophilic and can be great CCN contributors (Wang et
304 al., 2020; Zawadowicz et al., 2020; Zheng et al., 2018, 2020). Therefore, the coincidence of high
305 $N_{CCN,0.2\%}$ and PWV_{BL} does not necessarily imply a physical relationship, but instead is the result of their
306 similar seasonal trend. The potential co-variabilities between $N_{CCN,0.2\%}$ and PWV_{BL} , and hence the
307 implication on the N_c variation will be further investigated in the latter section. When taking the PWV_{BL}
308 into account, R^2 increases from 0.903 to 0.982, and this new relationship suggests that the co-variability
309 between the binned $\ln(N_{CCN,0.2\%})$ and $\ln(PWV_{BL})$ are in a stronger correlation with the change in
310 binned $\ln(N_c)$. Intuitively, if the $CCN-N_c$ relationship is primarily dominated by the diffusion of water
311 vapor, more CCN and higher PWV_{BL} should result in a continuously increasing of N_c . However, the
312 rapid increase of N_c (37 to 92 cm^{-3}) in the first half of $N_{CCN,0.2\%}$ bins ($<250 cm^{-3}$) does not happen in
313 the second half of the $N_{CCN,0.2\%}$ bins ($>250 cm^{-3}$) where the slope of N_c increase (96 to 103 cm^{-3})
314 appears to be flattened for higher $N_{CCN,0.2\%}$ and PWV_{BL} bins. Furthermore, the joint power-law fitting of
315 N_c (to $N_{CCN,0.2\%}$ and PWV_{BL}) appears to be constantly lower than the single power-law fitting of N_c (to
316 $N_{CCN,0.2\%}$ solely) in each bin. The negative power of PWV_{BL} in this relationship suggests that PWV_{BL}
317 might play a stabilization role in the diffusional growth process, which will be further analyzed in the
318 following sections.

319 The relationship between r_e and $N_{CCN,0.2\%}$ is shown in Fig. 2b where there is no significant
320 relationship between r_e with $N_{CCN,0.2\%}$ solely, given a near-zero slope and the low correlation coefficient
321 (fitted line not plotted). However, after applying a multiple linear regression to the logarithmic form of
322 r_e , $N_{CCN,0.2\%}$ and PWV_{BL} , a significant correlation among those three variables is found. The r_e is
323 negatively correlated with $N_{CCN,0.2\%}$ and positively correlated with PWV_{BL} , and 73.7% of the variations
324 in binned $\ln(r_e)$ can be explained by the joint changes of the binned $\ln(N_{CCN,0.2\%})$ and $\ln(PWV_{BL})$.
325 This indicates that in the bulk part, r_e decreases with increasing $N_{CCN,0.2\%}$ and enlarges with increasing
326 PWV_{BL} . Notice that in the lower $N_{CCN,0.2\%}$ bins ($<150 \text{ cm}^{-3}$) where the PWV_{BL} values are the lowest
327 among all the bins (0.76 – 0.85 cm), the limitation of cloud droplet growth by competing for the available
328 water vapor is evident by the changes in N_c and r_e . For example, the $N_{CCN,0.2\%}$ changes from 47 to 128
329 cm^{-3} , the N_c increases from 37 to 71 cm^{-3} and r_e only increases from 9.30 to 9.74 μm . In other words,
330 nearly tripling the CCN loading leads to roughly doubling N_c , while the r_e is only enlarged by 0.44 μm
331 (4.7%). In the relatively low available PWV_{BL} regime, it is clear that even with more CCN being
332 converted into cloud droplets, the limited water vapor condition prohibits the further diffusional growth
333 of those cloud droplets. However, in the higher $N_{CCN,0.2\%}$ bins ($>150 \text{ cm}^{-3}$) with relatively higher
334 PWV_{BL} , the binned r_e values fluctuate and decrease with increasing CCN bins under similar PWV_{BL} (i.e.,
335 the two $N_{CCN,0.2\%}$ ranges from 200-400 cm^{-3} , and from 400-500 cm^{-3}). Since r_e essentially represents
336 the area-weighted information of the cloud droplet size distribution (DSD), this sorting method of r_e
337 inevitably entangles multiple cloud droplet evolution processes and environmental effects that can alter
338 the DSD, especially under the condition of sufficient water supply. Therefore, the further assessment of
339 the r_e responses to the $N_{CCN,0.2\%}$ loading under the constraint of water vapor should be discussed in order
340 to untangle the impacts of different processes and environmental effects on r_e .

342 3.3 Aerosol-cloud interaction under different water vapor availabilities

343 As previously discussed above and suggested by earlier studies, the conditions of water vapor
344 supply have a substantial impact on various processes from CCN- N_c conversion to in-cloud droplet
345 condensational growth and coalescence processes, hence effectively altering the cloud DSD (Feingold et
346 al., 2006; McComiskey et al., 2009; Zheng et al., 2020). Moving forward to examine how r_e responds to
347 the changes of $N_{CCN,0.2\%}$ in the context of given water vapor availability, an index describing the aerosol-
348 cloud interaction process is introduced as follows:

$$349 \text{ACI}_r = - \left. \frac{\partial \ln(r_e)}{\partial \ln(N_{CCN,0.2\%})} \right|_{PWV_{BL}} . \quad (3)$$

350 The ACI_r represents the relative change of r_e with respect to the relative change of $N_{CCN,0.2\%}$, where
351 positive ACI_r denotes the decrease of r_e with increasing $N_{CCN,0.2\%}$ under binned PWV_{BL} . This
352 assessment of ACI_r focuses on the relative sensitivity of the cloud microphysics response in the water
353 vapor stratified environment, while previous studies used the cloud liquid water path (LWP) as the
354 constraint (Twomey, 1977; Feingold et al., 2003; Garrett et al., 2004). LWP describes the liquid water
355 (i.e., existing cloud droplets) physically linked to r_e and N_c which have an interdependent relationship
356 in cloud retrieval procedures, and hence to a certain extent, share co-variabilities with cloud
357 microphysical properties (Dong et al., 1998; Wu et al., 2020a). In this study, by using the PWV as a
358 sorting variable, we are trying to capture the role of ambient available water vapor in the cloud droplet
359 growth process (especially the water vapor diffusional growth), using measurement independent to the
360 cloud retrievals. Fig. 3 shows the variation of ACI_r under different PWV_{BL} bins, and illustrates the
361 calculation of ACI_r in three different PWV_{BL} ranges. Note that in Fig. 3a, the regressions are derived
362 from all points (statistically significant with a confidence level of 95%). As shown in Fig. 3a, the ACI_r
363 values range from close-to-zero values (-0.01) to 0.22, with the mean value of 0.117 ± 0.052 . The ACI_r
364 range of this study agrees well with the previous studies of MBL cloud aerosol-cloud interactions
365 (McComiskey et al., 2009; Pandithurai et al., 2009; Liu et al., 2016). It is noteworthy that the variation
366 of ACI_r with PWV_{BL} suggests two different relationships under separated PWV_{BL} conditions, as
367 discussed in the following two paragraphs.

368 Under the relatively lower PWV_{BL} condition (<1.2 cm), the low values of ACI_r (-0.01 - 0.057)
369 indicate that r_e is less sensitive to $N_{CCN,0.2\%}$, and the dependence on PWV_{BL} is also insignificant as given
370 by flat regression line (green dashed line) and low correlation coefficient of 0.38 (Fig. 3a). As discussed
371 in section 3.2, the limited water vapor can weaken the ability of condensational growth of the cloud
372 droplet converted from CCN, that is, the increase of CCN loading cannot be effectively reflected by a
373 decrease in r_e . For example, a 307% increase of $N_{CCN,0.2\%}$ only leads to a 10% decrease in r_e in the
374 PWV_{BL} range of 0.8-1.0 cm as shown in Fig. 3b. So that in this regime, even with a slight PWV_{BL} increase,
375 the lack of a sufficient amount of large cloud droplets is favorable to the predominant condensational
376 growth process, which effectively narrows the cloud DSD and, in turn, confines the variable range of r_e
377 with respect to $N_{CCN,0.2\%}$ (Pawlotska et al., 2006; Zheng et al., 2020). In this situation, the ability of
378 CCN to convert to cloud droplets as well as droplet condensational growth are limited by insufficient
379 water vapor, rather than an influx of CCN.

380 However, under the relatively higher PWV_{BL} regime (>1.2 cm), the ACI_r values become more
381 positive and express a significant increasing trend with PWV_{BL} (correlation coefficient of 0.83, blue

382 dashed line), which indicates that r_e is more susceptible to $N_{CCN,0.2\%}$ in this regime. On the one hand,
383 due to the sufficient water vapor supply, the enhanced condensational growth process allows more CCN
384 to grow into cloud droplets, so that the limiting factor of the droplet growth corresponds to the changes
385 in CCN loading. On the other hand, the increased N_c values associated with higher water vapor supply
386 in the cloud effectively enhance the coalescence process. This results in broadening the cloud DSD and
387 increasing the variation range of r_e in response to the changes of $N_{CCN,0.2\%}$. To test our hypothesis of
388 active coalescence under higher water vapor conditions, Table 2 lists the occurrence frequencies of large
389 r_e values (> 12 and $14 \mu\text{m}$) under the six high PWV_{BL} bins ($1.2 - 2.4 \text{ cm}$), because this range of $12-14$
390 μm can serve as the critical demarcation of an efficient coalescence process (Gerber, 1996; Freud and
391 Rosenfeld, 2012; Rosenfeld et al., 2012). As listed in Table 2, for the six high PWV_{BL} bins, the
392 occurrence frequencies of $r_e > 12 \mu\text{m}$ are 25.0%, 30.6%, 54.1%, 74.2%, 93.8%, and 97.5%, and the
393 occurrence frequencies of $r_e > 14 \mu\text{m}$ are 1.25%, 1.77%, 7.4%, 17.7%, 31.9%, and 20.1%, respectively.

394 The increasing trends of large r_e occurrences mimic the trend of ACI_r and suggest that with
395 increased PWV_{BL} , cloud droplets have a greater chance to grow via the effective coalescence process
396 and subsequently lead to an enlargement of ACI_r . Although previous studies have brought up the
397 potential impacts of the cloud droplet coalescence process on ACI , it is rarely seen that the relationship
398 among them has been discussed in detail. Here we provide possible explanations on how the enhanced
399 coalescence process can enlarge ACI_r . Quantitatively, ACI_r is described by the logarithmic partial
400 derivative ratio of r_e to $N_{CCN,0.2\%}$, thus a sharper decrease of r_e with respect to a given $N_{CCN,0.2\%}$ range
401 can result in a steeper slope and in turn, larger ACI_r (i.e., a 239% increase in $N_{CCN,0.2\%}$ leads to a r_e
402 decrease of 48% in the 2.2-2.4 cm bin in Fig. 3b). Physically, this relies on how the cloud droplet size
403 distribution (DSD) would change with different CCN loadings. Therefore, particularly in low CCN
404 conditions, sufficient water vapor availability will allow cloud droplets to continuously grow via
405 diffusion of water vapor (i.e., condensational growth), and enter the active cloud-droplet coalescence
406 regime. In contrast, the increase in cloud droplet size can effectively reduce N_c via the process of large
407 cloud droplets collecting small droplets, and small droplets be coalesced into large droplets.
408 Consequently, the cloud DSD becomes effectively broadened toward the large tail by the coalescence,
409 so that r_e is enlarged. With more CCN available, the cloud DSD is narrowed by the enhanced
410 condensational growth and regresses toward the small tail by increasing the amount of newly converted
411 cloud droplets which result in decreased r_e . These interactions between CCNs and cloud droplets
412 ultimately result in the broadened changeable range of r_e , and in turn, the enlarged ACI_r .

413 In order to investigate the theoretical implication of supersaturation conditions on the aerosol-cloud
414 interaction observed here in the MBL stratiform clouds, the ACI_r values are calculated with respect to
415 the surface N_{CCN} theoretically at two additional high supersaturation levels (0.5% and 1.2%), under all
416 PWV_{BL} conditions. The results in Table 3 show that the ACI_r signals are both weak and do not have
417 significant changes under relatively lower PWV_{BL} conditions, while the ACI_r signals tend to strengthen
418 with the increase of supersaturation under the relatively higher PWV_{BL} . Based on Köhler theory, if the
419 supersaturation exceeds the critical point for the given droplet, the droplet will thus experience continued
420 growth, so theoretically the ACI should increase with the supersaturation under same aerosol number
421 concentration. However, the observed limited water vapor cannot support this ideal droplet growth,
422 results in weak responses of cloud droplets to aerosol intrusion. With the increase of observed water
423 vapor, the continued growth of cloud droplets becomes more plausible, hence the high supersaturation
424 yields larger droplets with low number of aerosols, more efficient droplet activation with a large number
425 of aerosols, and in turns, larger ACI_r (even out of the theoretical bounds). However, considering these
426 high supersaturation environments are unphysical in the observed MBL cloud layers, and estimating the
427 real supersaturation conditions using ground-based remote-sensing is beyond the scope of this study, we
428 chose the supersaturation level of 0.2% because it represents the most typical supersaturation conditions
429 of MBL stratiform clouds.

430

431 **3.4 The co-variabilities of the meteorological factors**

432 The environmental conditions over the ENA have been widely studied as not independent but
433 entangled with each other (Wood et al., 2015; Zheng et al., 2016; Wu et al., 2017; Wang et al., 2021).
434 To better understand the dependencies and the co-variabilities of the meteorological factors, a principal
435 component analysis (PCA) is performed comprising the following variables: (1) PWV_{BL} denotes the
436 water vapor availability within the boundary layer; (2) D_i describes the boundary layer coupling
437 conditions; (3) TKE_w represents the strength of boundary layer turbulence; (4) $W_{dir,NS}$ reflects the
438 surface wind directions in terms of northerly and southerly; and (5) LTS infers the large-scale
439 thermodynamic structures. Note that the $W_{dir,NS}$ are taken as $W_{dir,NS} = abs(W_{dir} - 180^\circ)$, so that the
440 original W_{dir} (0-360°) can be transformed to $W_{dir,NS}$ (0-180°) where the values smaller than 90° are
441 close to the southerly wind, and those greater than 90° are close to the northerly wind. The $W_{dir,ns}$ are
442 transformed as such to capture the island effects better, because the cliff is located north of the ENA site.

443 The input data metric of the PCA is constructed from the above five variables, thus the principal
444 components (PCs) that explaining the variations of those dependent variables can be output from the

445 eigenanalysis. The result shows that for the five selected meteorological factors, the proportions of the
446 total intervariable variance explained by the PCs are 43.72%, 22.01%, 18.26%, 8.95% and 7.06%, and
447 the eigenvalues are 2.19, 1.10, 0.91, 0.45, and 0.35, respectively. Note that the first three PCs have the
448 highest eigenvalues and explain most (~84%) of the total variance, which indicates that they can capture
449 the significant variation patterns of the selective meteorological factors.

450 To determine the relative contributions of the variables to PCs, all the five selected meteorological
451 variables are projected to the first three PCs and the Pearson correlation coefficients between them are
452 listed in Table 4. For the first PC (PC1) which accounts for the highest proportion (43.72%) of the total
453 variance, the PC1 is strongly negatively correlated with PWV_{BL} (-0.84) and D_i (-0.73), but strongly
454 positively correlated with TKE_w (0.69). These results suggest that PC1 mainly represents the boundary
455 layer conditions, and the co-variations of the boundary layer water vapor and turbulence are the most
456 distinct environmental patterns for the selected cloud cases. The PC2 and PC3 are most correlated with
457 LTS (0.58 and 0.65 for PC2 and PC3, respectively) and $W_{dir,NS}$ (0.60 and -0.50 for PC2 and PC3,
458 respectively), indicating that the PC2 and PC3 mainly describe the variations in large-scale
459 thermodynamic and the surface wind patterns, which are likely associated with the variations of the
460 Azores High position and strength (Wood et al., 2015).

461 To further understand the correlations between the meteorological variables, the principal
462 component loadings plot is constructed by projecting the variables onto PC1 and PC2 as shown in Fig.
463 4. Each point denotes the variable correlations with PC1 (x-coordinate) and PC2 (y-coordinate), so that
464 each vector represents the strength and direction of the original variable influences on the pair of PCs.
465 The angle between the two vectors represents the correlation between each other. In Fig. 4, both TKE_w
466 and $W_{dir,NS}$ vectors are located in the same quadrant (positive in both PC1 and PC2) and close to each
467 other with a small degree of an acute angle, which means the TKE_w are strongly correlated with the
468 $W_{dir,NS}$. When the surface wind is coming from the north side of the island, the topographic lifting effect
469 of the cliff would induce additional updraft over the ENA site (Zheng et al., 2016), so that the wind closer
470 to the northerly wind (larger $W_{dir,NS}$) is more correlated with higher TKE_w . Note that TKE_w and D_i
471 vectors are almost in an opposite direction, which denotes a strongly negative correlation between the
472 two variables. The angles of PWV_{BL} with D_i (~45°) and TKE_w (~142°) suggest that PWV_{BL} is
473 moderately positively correlated with D_i but negatively correlated with TKE_w . A higher D_i indicates a
474 more decoupled MBL, where MBL is not well-mixed and separated into a radiative-driven layer and a
475 surface flux driven layer that caps the surface moisture (Jones et al., 2011). This situation is more likely
476 to be associated with a relatively higher PWV_{BL} and weaker TKE_w condition. Note that the negative

477 correlation between D_i and TKE_w examined here might also be partly attributed to the diurnal cycle of
478 the turbulence, which is studied to be associated with the cloud-top longwave radiative cooling over the
479 ENA, especially for the drizzling clouds (Ghate et al., 2021; Zheng et al., 2016). However, this study
480 focuses on the non-precipitating clouds where the effect of drizzle on the cloud-top radiative cooling
481 driven turbulence is minimum, and examining the cloud-top radiative cooling rate from ground-based
482 remote sensing is beyond the scope of the current study. It would be with interest to get the accurate
483 cloud-top radiative cooling rate using a radiative transfer model to perform further study in the future.
484 As for the LTS parameter, the close to 90° angle with TKE_w suggests no correlation between them, since
485 the LTS is mostly capturing the large-scale thermodynamical structures and is obtained from a coarser
486 temporal resolution. Thus, the LTS does not essentially have correspondence to the strength of boundary
487 layer turbulence and can be treated as independent to TKE_w over the ENA site. The loading plot
488 intuitively tells us the directions and strengths of the co-variabilities of the selected meteorological
489 variables, and sheds the light on determining the key factors that are feasible to use in examining the
490 environmental impacts on the aerosol-cloud interactions.

491 492 **3.5 Linking the meteorological factors to aerosol-cloud interaction**

493 494 **3.5.1 Relations of meteorological factors with aerosol and cloud properties**

495 The PCs are, mathematically, the linear combination of the selected variables, and hence independent of
496 each other after the PCA. Therefore, treating the aerosol and cloud properties as dependents and
497 correlated with the PCs allows us to infer their co-variation with the meteorological factors statistically.
498 A weakly negative correlation between $N_{CCN,0.2\%}$ and PC1 ($R_{PC1,CCN} = -0.35$) suggests that the
499 relatively higher $N_{CCN,0.2\%}$ could be sometimes found under higher PWV_{BL} and lower TKE_w . Though
500 the correlation is low, the plausible contributions could come from the seasonal variations of $N_{CCN,0.2\%}$
501 and PWV_{BL} as discussed in the previous section, and the weaker TKE_w might prevent the vertical mixing
502 of CCN and induce higher surface $N_{CCN,0.2\%}$. On the other hand, a weakly positive correlation between
503 $N_{CCN,0.2\%}$ and PC2 ($R_{PC2,CCN} = 0.21$) suggests that there are no fundamental relationships between
504 CCN with thermodynamic and the surface wind direction, and they are not the key controlling factor of
505 surface $N_{CCN,0.2\%}$ variation because the surface CCN concentration is primarily contributed by the
506 accumulation-mode aerosols which come from the condensational growth of Aitken-mode aerosols
507 (Zheng et al., 2018). As for the cloud properties, both N_c and f_{ad} are negatively correlated with PC1
508 ($R_{PC1,N_c} = -0.51$ and $R_{PC1,f_{ad}} = -0.62$, respectively), suggesting a moderate relationship between N_c ,

509 f_{ad} , and the boundary layer condition. These negative correlations suggest that under the higher PWV_{BL}
510 condition, the sufficient water vapor supply allows more CCN to become cloud droplets, as previously
511 discussed, and hence increases the cloud adiabaticity due to the dominant condensational growth process.
512 While in the situation of relatively higher TKE_w, the decrease in the N_c and f_{ad} might be partly attributed
513 to the association with the active in-cloud coalescence process and entrainment of dry air. However,
514 owing to the obstacle of retrieving in-cloud TKE_w from the ground-based remote sensing, the usage of
515 sub-cloud TKE_w in this study captures part of the relationship between turbulence and adiabaticity.
516 Therefore, in this situation, the cloud adiabaticity might depend more on PWV_{BL} and the boundary layer
517 decoupling state. Moreover, their low correlations with PC2 ($R_{PC2,N_c} = -0.10$ and $R_{PC2,f_{ad}} = -0.17$,
518 respectively) indicate very weak relations with the large-scale thermodynamic variables. These weak
519 correlations might likely be due to the subset of MBL single-layer stratocumulus in this study, as the
520 previous study over the ENA found that the sensitivity of MBL cloud adiabaticity largely depends on the
521 strength of cloud top inversion (which can be partially indicated by the increased LTS) and slightly
522 depends on the boundary layer decoupling (Terai et al., 2019; Zheng et al., 2020). Note that the same
523 sign of correlations with PC1 statistically infer the similar directional co-variation of $N_{CCN,0.2\%}$, N_c , and
524 f_{ad} to a certain extent.

525 To examine the physical relation between $N_{CCN,0.2\%}$, N_c and f_{ad} , the profiles of cloud r_e and
526 LWC are plotted in normalized height from cloud base (z_b) to cloud top height (z_t) (Fig. 5), which is
527 given by $z_n = (z - z_b) / (z_t - z_b)$. The solid lines denote the mean values, and the shaded area
528 represents one standard deviation at each normalized height z_n . The normalized r_e increases from ~ 8.6
529 μm at the cloud base toward $\sim 11 \mu m$ near the upper part of the cloud where z_n is 0.7 (Fig. 5a), through
530 condensational growth and coalescence processes, and then decreases toward the cloud top due to cloud-
531 top entrainment. Similar in-cloud vertical variation of r_e is also found by previous study using aircraft
532 in-situ measurements (Zhao et al., 2018; Wu et al. 2020a). Profiles of retrieved LWC and calculated
533 adiabatic LWC_{ad} (blue line) are presented in Fig. 5b. As demonstrated in Fig. 5b, the f_{ad} values, which
534 is the ratio of LWC to LWC_{ad}, reach a maximum of 0.8 at the cloud base and a minimum of 0.38 at the
535 cloud top. The shaded areas of r_e and LWC denote the range from near-adiabatic to sub-adiabatic cloud
536 environments, where in the near-adiabatic cloud (higher f_{ad}) the cloud droplets experience adiabatic
537 growth and LWC should be close to LWC_{ad}. In contrast, in the sub-adiabatic cloud regime, the decrease
538 of f_{ad} is largely due to cloud-top entrainment and coalescence processes even in non-precipitating MBL
539 clouds (Wood, 2012; Braun et al., 2018; Wu et al. 2020b). Furthermore, to understand the implication of

540 cloud adiabaticity with respect to CCN- N_c conversion, all of the f_{ad} samples are separated into two
541 groups by the median value of the layer-mean f_{ad} (0.66) for further analysis.

542 Figure 6 shows N_c against the binned $N_{CCN,0.2\%}$ for the near-adiabatic regime ($f_{ad} > 0.66$) and
543 sub-adiabatic regime ($f_{ad} < 0.66$). For the near-adiabatic regime, N_c increases from $\sim 60 \text{ cm}^{-3}$ to 119
544 cm^{-3} with increased $N_{CCN,0.2\%}$ and PWV_{BL} , and both $N_{CCN,0.2\%}$ and PWV_{BL} appear to play positive roles
545 in terms of the N_c increase. The result is as expected because the process of condensational growth is
546 predominant in the near-adiabatic clouds, that is, with increasing water vapor supply, the higher CCN
547 loading can effectively lead to more cloud droplets. However, in the sub-adiabatic cloud regime, N_c
548 increases with increased $N_{CCN,0.2\%}$ but possesses a negative correlation with PWV , which results in a
549 slower increase of N_c under higher $N_{CCN,0.2\%}$ and PWV_{BL} conditions. The mean reduction of N_c in the
550 sub-adiabatic regime is computed to be $\sim 37\%$ compared to that for the near-adiabatic clouds. As
551 previously studied, the coalescence process contributes significantly to N_c depletion, even in a non-
552 precipitating MBL clouds (Feingold et al., 1996; Wood, 2006). Thus, lower N_c in the sub-adiabatic
553 regime may be partly due to the combined effect of coalescence and entrainment (Wood, 2006; Hill et
554 al., 2009; Yum et al., 2015; Wang et al., 2020). Note that the retrieved N_c represents the cloud layer-
555 mean information. In summary, the Wu et al. (2020a) retrieval works to separate the reflectivity into the
556 contributions of cloud (Z_c) and drizzle. The retrieval assumes an initial guess of the representative layer-
557 mean N_c based on the climatology over ENA sites (Dong et al., 2014), and such allows the first guess of
558 the vertical profile of LWC based on N_c and Z_c , and then constrains the N_c and LWC using the LWP
559 derived from MWR, and finally output r_e values (Fig. 3 in Wu et al., 2020a). Therefore, the final
560 retrieved N_c is updated to in response to the cloud microphysical processes within this time-step. From
561 the aircraft in-situ measurements during the ACE-ENA, we found that the observed vertical profile of
562 N_c is near-constant in the middle part of the cloud (even in the drizzling cloud where the collision-
563 coalescence processes are more active), and the signal of entrainment-induced N_c depletion is shown
564 near the cloud top (Wu et al., 2020a). However, it is difficult and beyond the scope of the ground-based
565 retrieval to compare the vertical dependency of depletion rate within one time-step. Therefore, as the
566 retrieval currently works to represent the layer-mean information from the given time-step, the preferred
567 method in this study is to compare N_c at different times, where in this case are the adiabatic versus sub-
568 adiabatic conditions which hence yields different N_c that we retrieved from the ground-based snapshot
569 perspective. From the PCA and binning analysis, the effect of cloud adiabaticities on CCN- N_c
570 conversions may shed light on interpreting the aerosol-cloud interaction under different environmental
571 effects.

572

573 3.5.2 The role of meteorological factors on ACI_T assessment

574 Since ACI_T can only be calculated by the logarithmic derivatives from a set of $N_{CCN,0.2\%}$ and r_e
575 data within a certain regime, it will be inappropriate to linearly correlate the data with PCs directly, in
576 both mathematical and physical perspectives. Therefore, the meteorological factors which have the
577 strongest influence on the most explanatory PCs, namely PWV_{BL} and TKE_w are selected to be the sorting
578 variables in assessing the environmental impacts on the ACI_T . In addition, LTS is also selected as it
579 represents the large-scale thermodynamic factor and is independent to the boundary-layer environment
580 conditions. The data samples are first separated into two regimes using the median values of the targeting
581 factors, and then separated into four quadrants by the median PWV_{BL} because ACI_T is found to have
582 significant differences under different water vapor availabilities. The ACI_T values are further calculated
583 for all quadrants to examine whether the ACI_T can be distinguished by the targeting factors.

584 Combining LTS and PWV_{BL} as sorting variables, the ACI_T values for four regimes are shown in
585 Fig. S4. The ACI_T differences between low and high PWV_{BL} regimes are still retained. In the low PWV_{BL}
586 regime, the ACI_T values are limited to 0.016 and 0.056 for low and high LTS regimes, respectively. In
587 the high PWV_{BL} regime, the ACI_T values are 0.150 and 0.171 for low and high LTS regimes, respectively,
588 which is about 3-5 times greater than those in low PWV_{BL} regime. However, the ACI_T in different LTS
589 regimes cannot be distinctly differentiated (ACI_T differences between LTS regimes are ~ 0.02 and ~ 0.04),
590 and the main difference in ACI_T are still induced by the PWV_{BL} . Owing to the location of the ENA site
591 where it locates near the boundary of mid-latitude and subtropical climate regimes, the MBL clouds over
592 the ENA are found to be often under the influences of cold fronts associated with mid-latitude cyclones,
593 where the cloud evolutions are subject to the combine effects of post-frontal and large-scale subsidence
594 (Wood et al., 2015; Zheng et al., 2020; Wang et al., 2021). Therefore, over the ENA, although the spatial
595 gradient of LTS is studied to be associated with the production of MBL turbulence and the change in
596 wind direction (Wu et al., 2017), the LTS value itself is examined to have a weak impact on the aerosol-
597 cloud interaction from this study.

598 The TKE_w has been found to be strongly positively correlated with $W_{dir,NS}$ and negatively
599 correlated with D_i from the PCA, that is, the values of TKE_w already account for the co-variabilities in
600 these variables. Therefore, treating TKE_w as the sorting variable would lead to a more physical process-
601 orientated assessment. Accordingly, to examine the role of the dynamical factors on ACI , the samples
602 are separated into four regimes demarcated by the median values of PWV_{BL} and TKE_w (Fig. 7), and the
603 mean values of D_i and f_{ad} in the four quadrants are also displayed in Fig. 7. The effect of PWV_{BL} on

604 ACI_r is demonstrated by the mean ACI_r values where they are much higher in the high PWV_{BL} regime
605 than those in the low PWV_{BL} regime no matter what the TKE_w regimes. Furthermore, the result illustrates
606 that TKE_w does play an important role in ACI_r , because the ACI_r values in the high TKE_w regime are
607 more than double than the values in the low TKE_w regime.

608 In the regimes of high TKE_w and PWV_{BL} , which are closely associated with coupled MBL ($D_i =$
609 0.21) and more sub-adiabatic cloud conditions ($f_{ad} = 0.52$), r_e is highly sensitive to CCN loading with
610 the highest ACI_r of 0.259. The sufficient water vapor availability allows CCN to be converted into cloud
611 droplets more effectively, while the relatively higher TKE_w indicates stronger turbulence in the below-
612 cloud boundary layer and maintains a nearly well-mixed MBL. The CCN and moisture below-cloud layer
613 are efficiently transported and mixed aloft via the ascending branch of the eddies (Nicholls, 1984; Hogan
614 et al., 2009), hence are effectively connected to the cloud layer. Therefore, under the lower CCN loading
615 condition, the active coalescence process (which indicated by the low f_{ad} values) results in the depletion
616 of small cloud droplets and broadening of cloud DSD (Chandrakar et al., 2016), and in turn, leads to
617 further enlarged r_e . However, with higher CCN intrusion into the cloud layer, the enhanced cloud droplet
618 conversion and the subsequential condensational growth behave contradictorily to narrow the DSD
619 (Pinsky and Khain, 2002; Pawlowska et al., 2006), which leads to decreased r_e . Therefore, the MBL
620 clouds are distinctly susceptible to CCN loading under the environments of sufficient water vapor and
621 strong turbulence in which the ACI_r is enlarged.

622 Under high PWV_{BL} but low TKE_w conditions, the mean ACI_r reduces to 0.101 (~ 39% of that
623 under high TKE_w). The MBL is more likely decoupled where $D_i = 0.54$, which indicates that the weaker
624 turbulence loosens the connection between the cloud layer and the underlying boundary layer. This
625 results in a less effective conversion of CCN into cloud droplets, while the more adiabatic cloud
626 environment ($f_{ad} = 0.75$) denotes the lack of coalescence growths and thus diminishes the r_e sensitivity
627 to CCN. Although the constraints of insufficient water vapor on ACI_r are still evident, the ACI_r values
628 increase from 0.008 in the low TKE_w regime to 0.024 in the high TKE_w regime. The ACI_r differences
629 between the two TKE_w regimes attest that ACI_r strongly depends on the connection between the cloud
630 layer and the below-cloud boundary layer CCN and moisture, that is, stronger turbulence can enhance
631 the susceptibility of r_e to CCN.

632 In this study, the relationship between turbulence and ACI is found to be valid in non-precipitating
633 MBL clouds. Theoretically, the effect of turbulence on ACI_r would appear to be artificially amplified, if
634 in the presence of precipitation. The intensive turbulence can enhance the coalescence process and
635 accelerate the CCN-cloud cycling, and subsequently, the CCN depletion due to precipitation and

636 coalescence scavenging would result in quantitatively enlarged ACI_r (Feingold et al., 1996, 1999; Duong
637 et al., 2011; Braun et al., 2018). Though it is beyond the scope of this study, it would be of interest to
638 perform such analysis on the aerosol-cloud-precipitation interaction using ground-based remote sensing
639 and model simulations in a future study.

640

641 **4. Summaries and Conclusions**

642 Over the ARM-ENA site, a total of 20 non-precipitating single-layered MBL stratus and
643 stratocumulus cloud cases have been selected in order to investigate the aerosol-cloud interaction (ACI).
644 The distributions of CCN and cloud properties for selected cases represent the typical characteristics of
645 non-precipitating MBL clouds in a relatively clean environment over the remote oceanic area. The
646 diversity of boundary layer conditions and cloud adiabaticities among the selected cases enable the
647 investigation of different environmental effects on ACI.

648 The overall variations of N_c with $N_{CCN,0.2\%}$ show an increasing trend, regardless of the water vapor
649 condition, while the sufficient PWV_{BL} appears to stabilize the CCN- N_c conversion process. The water
650 vapor limitation on cloud droplet growth is evident in the lower $N_{CCN,0.2\%}$ up to 150 cm^{-3} with low
651 PWV_{BL} values, where a near tripling of CCN loading leads to a near doubling of N_c but only 4.7%
652 increase in r_e . When $N_{CCN,0.2\%}$ is greater than 250 cm^{-3} and PWV_{BL} values are also relatively high, r_e
653 appears to decrease with increasing $N_{CCN,0.2\%}$ under similar water vapor conditions. As for bulk aerosol-
654 cloud interaction, the ACI_r values vary from -0.01 to 0.22 for different PWV_{BL} conditions where ACI_r
655 appears to be diminished under limited water vapor availability due to limited droplet activation and
656 condensational growth processes. While under relatively sufficient water supply conditions, r_e shows
657 more sensitive responses to the changes of $N_{CCN,0.2\%}$, due to the combined effect of condensational
658 growth and coalescence processes accompanying the higher N_c and PWV_{BL} .

659 The theoretical diagram describing the mechanism proposed above is shown in Fig. 8. Under the
660 relatively lower PWV_{BL} condition, the limited water vapor weakens the ability of condensational growth
661 of the cloud droplet converted from CCN, which results in both less newly converted as well as large
662 cloud droplets, with the lack of chance of coalescence processes under this circumstance. Therefore, the
663 variable range of r_e versus $N_{CCN,0.2\%}$ is narrowed and presented as small ACI_r . While under the relatively
664 higher PWV_{BL} condition, particularly in low CCN conditions, the sufficient water vapor availability
665 allows cloud droplets growing via the condensation of water vapor, and thus enter the active cloud-
666 droplet coalescence regime. In contrast, the increase in cloud droplet size can effectively reduce N_c via
667 the coalescence process and the size distributions are effectively broadened toward the large tail by the

668 coalescence, so that r_e is enlarged. Under a higher $N_{CCN,0.2\%}$ intrusion, the cloud droplet size distribution
669 is narrowed by the enhanced condensational growth and regresses toward the small tail by increasing the
670 amount of newly converted cloud droplets which results in decreased r_e . Combinedly, the interactions
671 between CCNs and cloud droplet growth processes ultimately result in a broadened changeable range of
672 r_e , and in turn, the enlarged ACI_r .

673 The co-variabilities among the environmental factors are examined using the multi-dimensional
674 PCA. The variables of PWV_{BL} , D_i , TKE_w , LTS and $W_{dir,NS}$ are constructed as the input of the
675 eigenanalysis. Results show that the first three PCs can describe the majority (~84%) of the variance
676 among the selected variables. The most explanatory PC1 (account for 43.72% contribution) strongly
677 correlated with PWV_{BL} , D_i (both negatively) and TKE_w (positively), and hence describe the co-variation
678 of the boundary layer conditions. While the PC2 and PC3 (account for 22.01% and 18.26% contributions,
679 respectively) are strongly correlated with LTS and $W_{dir,NS}$, which likely indicates the variations of the
680 Azores High position and strength. By projecting the variables onto PC1 and PC2, the PCA loading
681 analysis shows that TKE_w is strongly negatively correlated with D_i , which is what we expected. A
682 decoupled MBL cloud is often separated into two layers where the lower one can cap the surface moisture,
683 while the higher TKE_w denote sufficient turbulence that maintains the well-mixed MBL. Additionally,
684 the island effect is also indicated by the eigenanalysis, where surface northerly wind would induce
685 additional updraft velocity and hence disturb TKE_w , owing to the effect of the cliff north of the ENA site.
686 The role of cloud adiabaticities on the behaviors of $CCN-N_c$ conversion is examined using both binning
687 and eigenanalysis. In a near-adiabatic cloud vertical structure, the cloud droplet growth process is
688 dominated by condensational growth, thus the N_c responses to increased $N_{CCN,0.2\%}$ and PWV_{BL} are
689 strengthened. When the cloud layer becomes more sub-adiabatic, the effect of coalescence leads to the
690 depletion of N_c and thus results in the lower retrieved N_c from a ground-based snapshot perspective. The
691 competition between the condensational growth and coalescence processes strongly impacts the
692 variations of cloud microphysics to CCN loading.

693 To investigate the environmental effects on ACI_r , the factors having the most influence on the
694 explanatory PCs are selected as the sorting variables in the ACI_r assessments. The LTS sorting method
695 cannot distinguish the ACI_r values, which means the LTS values themselves have a weak impact on ACI_r
696 due to the MBL cloud cover over the ENA is mainly impacted by the mid-latitude cyclone systems. In
697 contrast, the intensity of boundary layer turbulence represented by TKE_w plays a more important role in
698 ACI_r , since the values of TKE_w already account for the co-variations of the MBL conditions, and hence
699 leads to a physical process-orientated assessment. The ACI_r assessments in four different TKE_w and

700 PWV_{BL} regimes show that the constraints of insufficient water vapor on the ACI_r are still evident, but in
701 both PWV_{BL} regimes the ACI_r values increase more than double from low TKE_w to high TKE_w regimes.
702 Noticeably, the ACI_r increases from 0.101 in the low TKE_w regime to 0.259 in the high TKE_w regime,
703 under high PWV_{BL} conditions. The intensive below-cloud boundary layer turbulence strengthens the
704 connection between the cloud layer and below-cloud CCN and moisture. So that with sufficient water
705 vapor, an active coalescence leads to further enlarged r_e , particularly for low CCN loading conditions,
706 while the enhanced N_c from condensational growth induced by increased $N_{CCN,0.2\%}$ can effectively
707 decrease r_e . Combining these processes together, the enlarged ACI_r is presented.

708 In this study, the non-precipitating MBL clouds are found to be most susceptible to the below-cloud
709 CCN loading under environments with sufficient water vapor and stronger turbulence. This study
710 examines the importance of the environmental effects on the ACI_r assessments, and provides the
711 observational constraints to the future model evaluations on the aerosol-cloud interactions. Future studies
712 will be focusing on exploring the role of environmental effects on the aerosol-cloud-precipitation
713 interactions in MBL stratocumulus through an integrative analysis of observations and model simulations.

714
715

716 *Data availability.* Data used in this study can be accessed from the DOE ARM's Data Discovery at
717 <https://adc.arm.gov/discovery/>

718

719 *Author contributions.* The original idea of this study is discussed by XZ, BX, and XD. XZ performed the
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722

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724

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727

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741

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Table 1. Dates and time periods of selected non-precipitating MBL cloud periods

Case No.	Start Date	Start UTC	End Date	End UTC	Valid Samples
1	20160915	2200	20160916	0020	24
2	20170219	2110	20170220	0520	87
3	20170222	0830	20170222	1200	38
4	20170605	1430	20170605	1900	54
5	20170616	1230	20170616	1510	32
6	20170617	0320	20170617	0520	24
7	20170627	0020	20170627	0250	28
8	20170630	0530	20170630	0930	42
9	20170630	1400	20170630	1700	34
10	20170706	0140	20170706	0900	62
11	20170707	0130	20170707	1000	91
12	20170910	2100	20170911	0600	94
13	20170911	1930	20170911	2150	24
14	20170912	0820	20170912	1100	32
15	20171006	2110	20171006	2320	26
16	20180130	1030	20180131	0500	152
17	20180203	1930	20180204	0500	72
18	20180324	0210	20180324	0600	46
19	20180508	0730	20180508	1110	42
20	20180513	2130	20180514	1200	139

Table 2. Occurrence frequencies of large in-cloud r_e * under relatively high PWV conditions

PWV (cm)	1.2- 1.4	1.4- 1.6	1.6- 1.8	2.8- 2.0	2.0- 2.2	2.2- 2.4
$r_e > 12 \mu\text{m}$ (%)	25.0	30.6	54.1	74.2	93.8	97.5
$r_e > 14 \mu\text{m}$ (%)	1.25	1.77	7.4	17.7	31.9	20.1

*The occurrence of large r_e is defined when the r_e is found to be larger than 12 μm or 14 μm using the retrieved in-cloud vertical profiles.

Table 3. ACI_r calculated with respect to N_{CCN} theoretically at different supersaturation levels, under all PWV_{BL} conditions

PWV_{BL} (cm)	0.4-0.6	0.6-0.8	0.8-1.0	1.0-1.2	1.2-1.4	1.4-1.6	1.6-1.8	1.8-2.0	2.0-2.2	2.2-2.4
ACI_r ($N_{CCN}@0.2\%SS$)	0.020	0.057	0.002	-0.014	0.108	0.076	0.145	0.151	0.221	0.175
($N_{CCN}@0.5\%SS$)	0.023	0.057	0.0002	0.024	0.129	0.121	0.309	0.136	0.293	0.159
($N_{CCN}@1.2\%SS$)	0.023	0.045	0.002	0.072	0.125	0.123	0.323	0.175	0.347	0.186

Table 4. The first three principal components from eigenanalysis

Eigenanalysis	PC1	PC2	PC3
Eigenvalues	2.17	1.10	0.91
Proportion of variance explained (%)	43.72	22.01	18.26
Cumulative proportion (%)	43.72	65.73	83.99
Correlations (Variables vs. PCs)	PC1	PC2	PC3
PWV _{BL}	-0.84	0.20	-0.11
D _i	-0.73	-0.48	-0.20
TKE _W	0.69	0.35	-0.44
W _{dir,ns}	0.52	0.60	-0.50
LTS	-0.43	0.58	0.65

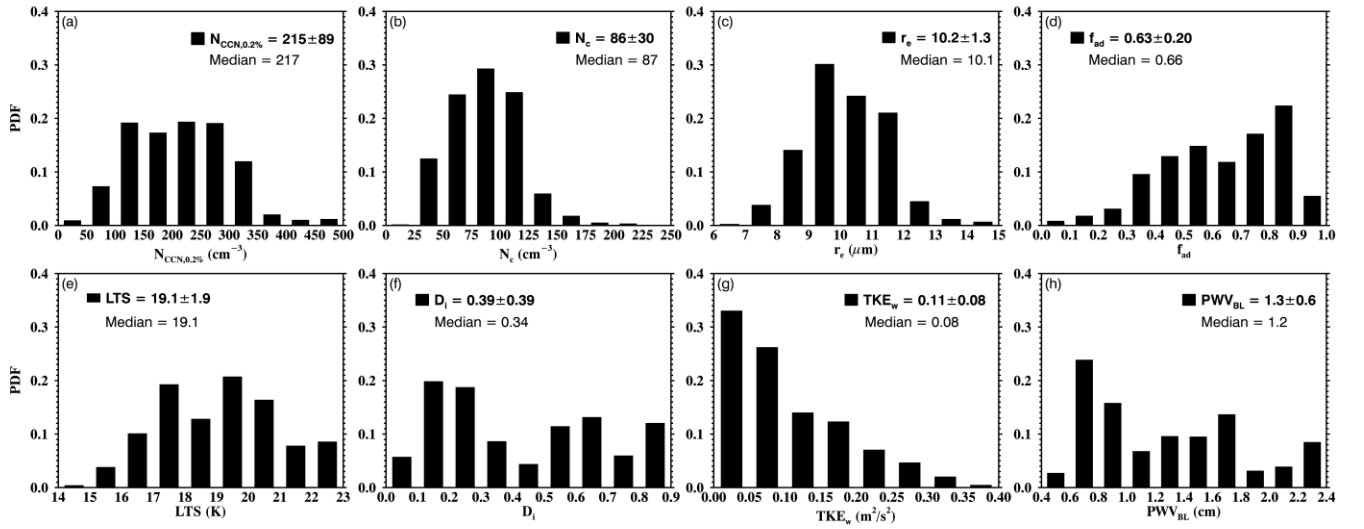


Figure 1. Probability distribution functions (PDFs), mean, standard deviation and median values of aerosol, cloud, and meteorological properties for 20 selected non-precipitating cloud cases at the DOE ENA site during the period 2016-2018. (a) Cloud condensation nuclei (CCN) number concentration at 0.2% supersaturation ($N_{CCN,0.2\%}$); (b) cloud-droplet number concentration (N_c); (c) cloud-droplet effective radius (r_e); (d) cloud adiabaticity (f_{ad}); (e) lower tropospheric stability (LTS); (f) decoupling index (D_i); (g) mean vertical component of turbulence kinetic energy (TKE_w); and (h) sub-cloud boundary-layer precipitable water vapor (PWV_{BL}).

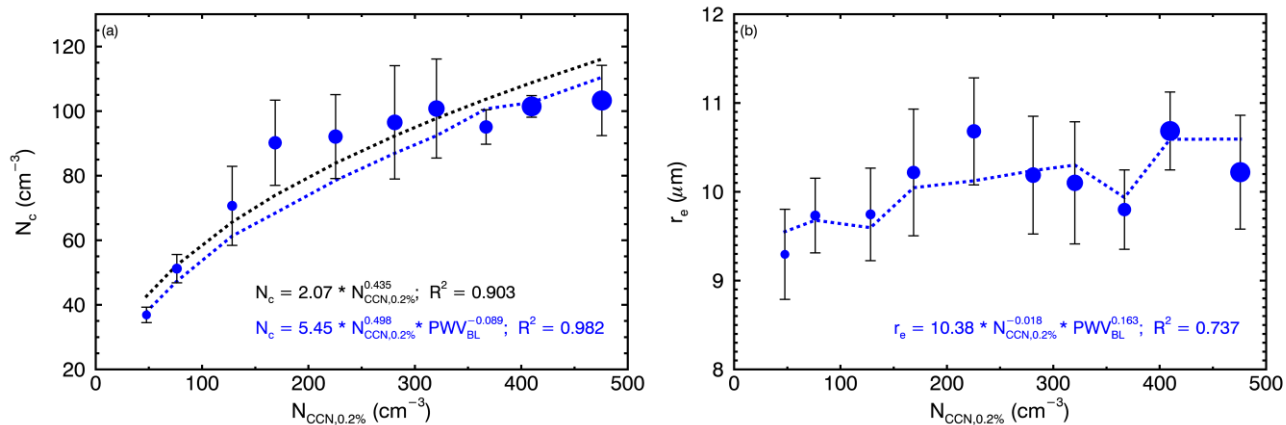


Figure 2. (a) N_c and (b) r_e as a function of $N_{\text{CCN},0.2\%}$ (x-axis) and PWV (blue filled circles) for all selected samples. The larger blue circles represent relatively higher PWV values. Whiskers denote one standard deviation for each bin.

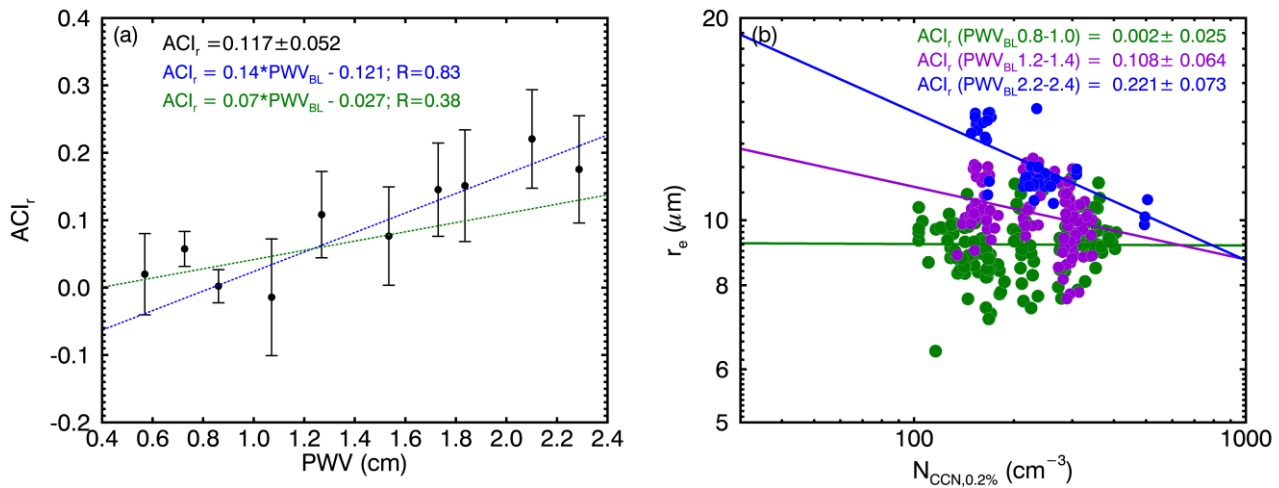


Figure 3. (a) Relationship of ACI_r (dots) to binned PWV_{BL} . Whiskers denote one standard deviation for each bin. Linear regressions are performed in relatively low PWV_{BL} regime (< 1.4 cm, green) and high PWV_{BL} regime (> 1.4 cm); and (b) illustration of ACI_r derived from r_e to $N_{CCN,0.2\%}$ in following three PWV_{BL} bins: 0.8-1.0 cm (green), 1.2-1.4 cm (purple), 2.2-2.4 cm (blue). The ACI_r represents the relative change of r_e with respect to the relative change of $N_{CCN,0.2\%}$, where positive ACI_r denotes the decrease of r_e with increased $N_{CCN,0.2\%}$ under binned PWV .

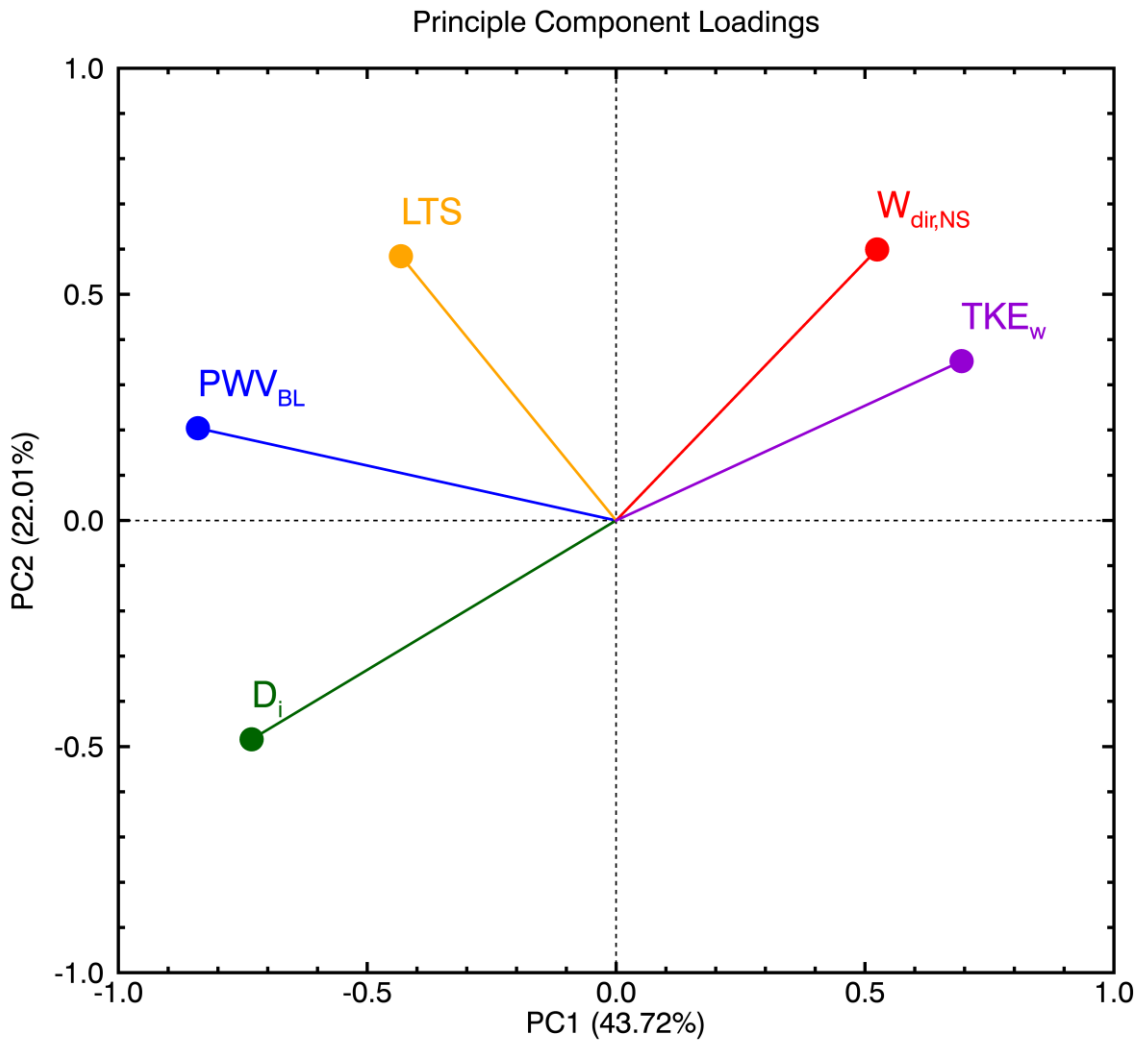


Figure 4. The projections of TKE_w (purple), $W_{dir,NS}$ (red), LTS (orange), PWV_{BL} (blue) and D_i (green) onto the first principal component (PC1) and the second principal component (PC2). The x-coordinates denote variables' correlations with PC1, and the y-coordinates denote variables' correlations with PC2.

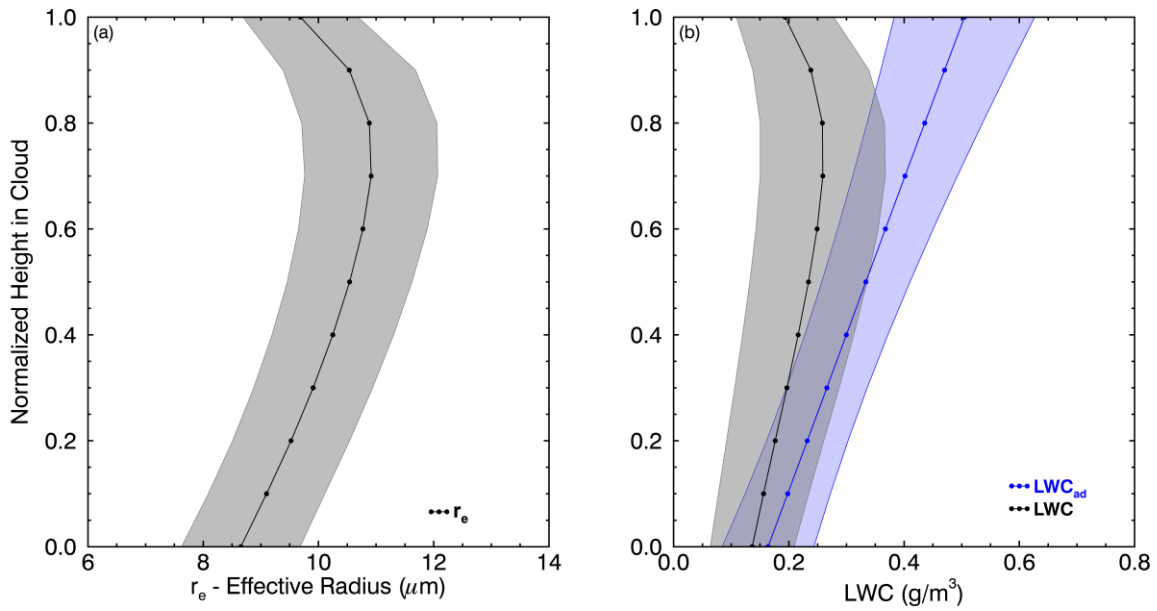


Figure 5. Normalized in-cloud vertical profiles of retrieved (a) r_e and (b) LWC (black) and calculated adiabatic LWC_{ad} (blue) for all selected cloud cases, 0 is cloud base and 1 is cloud top. Solid dotted lines denote mean values and shaded areas denote one standard deviation at each height.

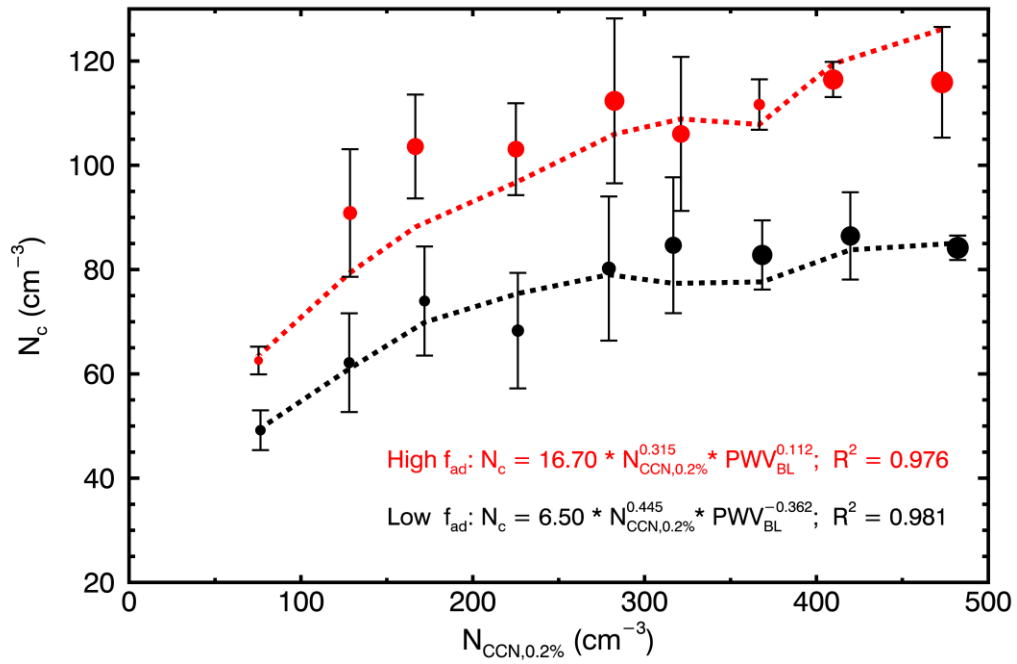


Figure 6. N_c as a function of $N_{CCN,0.2\%}$ (x-axis) and PWV (dots) for high adiabaticity f_{ad} (red) and low f_{ad} (black) regimes. The larger circles represent relatively higher PWV values. Whiskers denote one standard deviation for each bin.

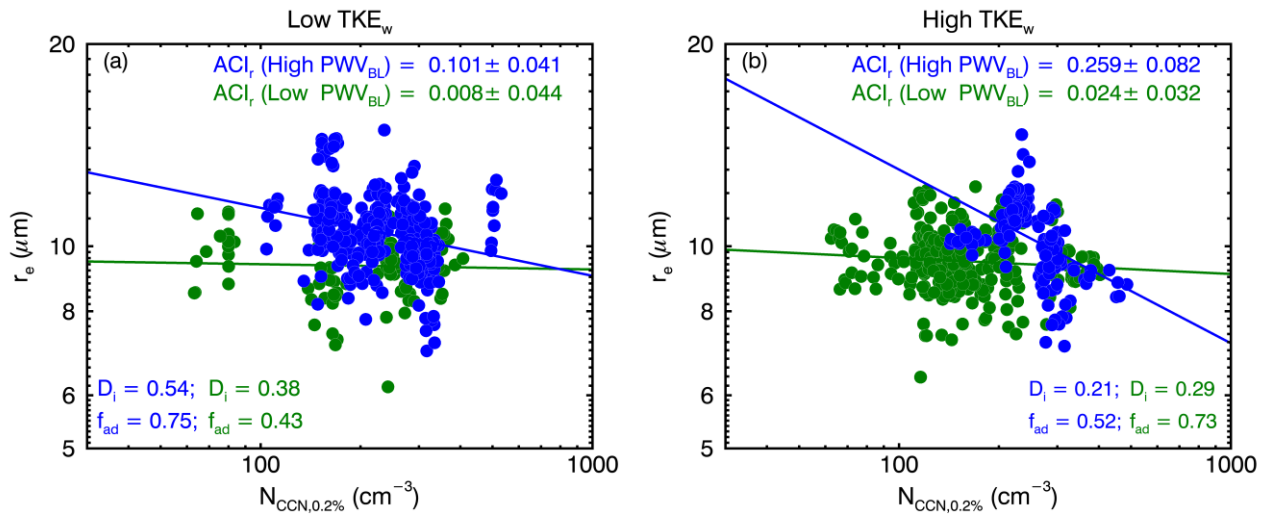


Figure 7. ACI_r derived from r_e to $N_{CCN,0.2\%}$ for (a) low TKE_w and (b) high TKE_w regimes. Samples in the low PWV regime are plotted in green, and samples in the high PWV regime are plotted in blue. The mean values of D_i and f_{ad} are displayed for each quadrant with the corresponding color-coded.

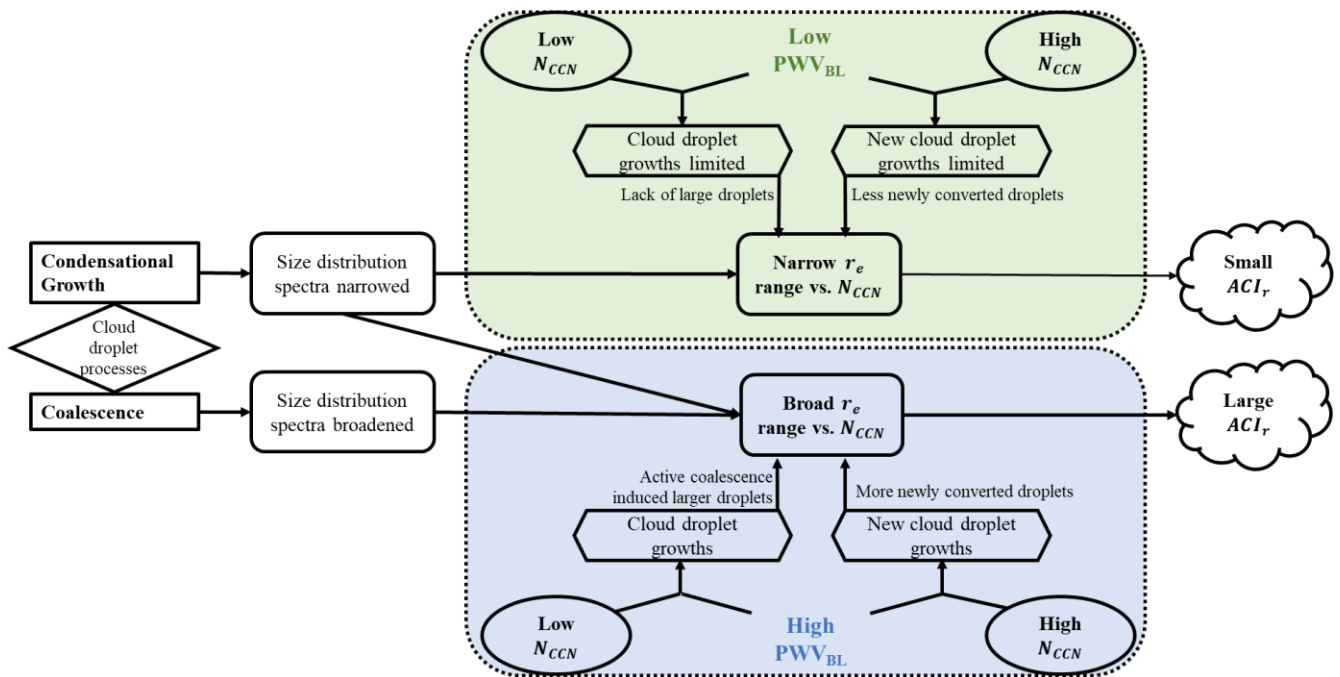


Figure 8. Theoretical mechanism of the responses of cloud droplet size distributions to different CCN intrusion, under relative insufficient (low PWV_{BL}) versus sufficient (high PWV_{BL}) water vapor availabilities.