# 1 The author's responses to the reviewer's comments are merged below, and the revised manuscript 2 is attached.

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# 4 **Response to Reviewer #1**

5 6 We appreciate your time for carefully reviewing our manuscript. We would like to thank you for the 7 constructive comments and suggestions, which encourage and help us to improve the manuscript. The 8 manuscript has been revised accordingly. In the response below, your comments are provided in black 9 text and our responses are provided in blue text.

10

# 11 **Response:**

Using a total of 20 non-precipitating single-layer marine boundary layer (MBL) stratus and 12 13 stratocumulus cloud cases over the eastern north Atlantic (ENA) ocean, this study investigates the 14 impacts of the environmental variables on the aerosol-cloud interaction (ACIr). Interesting results have 15 been found with valuable discussions. For example, it shows that the ACIr values vary from -0.004 to 0.207 with increasing precipitable water vapor (PWV) conditions, indicating that re is more sensitive to 16 17 the CCN loading under sufficient water vapor supply, owing to the combined effect of enhanced 18 condensational growth and coalescence processes associated with higher cloud droplets and PWV. The 19 paper is also well written. I would recommend its acceptance for publication after necessary minor 20 revisions.

21 Detailed comments;

22 Line 41-44, two "verbs" exist for this sentence, which should be rephrased. Also, a few more studies are

23 recommended here, particularly the longwave radiative property change of clouds by aerosols, such as

24 Garrett and Zhao (2006, Doi:10.1038/nature04636).

25 The sentence is rephrased, and the citation is added.

- 26 Line 48-52, a few similar studies have also been carried out over the western pacific regions, which might
- 27 be worthy to mention, such as Zhao et al. (2019, Doi:10.3390/atmos10010019), and Yang et al.
- 28 (2019, Doi:10.1016/j.atmosres.2019.01.027).
- 29 The citations are added.
- Line 66-69, Qiu et al. (2017, Doi:10.1016/j.atmosenv.2017.06.002) showed negative relationship between cloud re and aerosol amount for low precipitable water vapor condition in spring, fall and winter at southern great plain site, but positive relationship between cloud re and aerosol amount for high precipitable water vapor condition, which could be also cited here. Similar findings have also been found
- 34 over other locations, such as western pacific region near Hebei province, China.
- 35 The citation is added.

- 36 Line 281-283, similar height normalization method has been proposed and used by Zhao et al. (2018,
- 37 Doi:10.1002/2017EA000346), which is worthy to mention here. Also, Similar findings (Line 283-
- 38 287) have been found earlier in several studies, including the study mentioned here.

39 The citation is added, in section 3.5.1 of the revised manuscript.

- 40 Line 319, Eq. (2). Earlier studies often define this for fixed LWC. How could the different definition41 affect the results?
- 42 The LWC/LWP describes the liquid water (i.e., existing cloud droplets), so physically linked to the  $r_e$
- 43 and  $N_c$ . Mathematically, they have interdependent relationship in the cloud retrieval procedures, and
- 44 hence to a certain extent, share the co-variabilities with the cloud microphysical properties (Dong et al.,
- 45 1998; Wu et al., 2020a). In this study, by using the PWV as a sorting variable, we are trying to capture
- 46 the role of ambient available water vapor in the cloud droplet growth process (especially the water vapor
- 47 diffusional growth), using measurement independent to the cloud retrievals.
- 48 The discussion above is added, in section 3.3 of the revised manuscript.
- 49

50 Line 332-343, These are interesting findings and explainations. I wonder if this is related to the 51 supersaturation adoped for CCN observed, or related to the true supersaturation status within clouds.

52 In order to investigate the theoretical implication of supersaturation conditions on the aerosol-53 cloud interaction observed here in the MBL stratiform clouds, the ACI<sub>r</sub> values are calculated with respect 54 to the surface  $N_{CCN}$  theoretically at two additional high supersaturation levels (0.5% and 1.2%), under 55 all PWV<sub>BL</sub> conditions. The results in Table 3 show that the ACI<sub>r</sub> signals are both weak and do not have 56 significant changes under relatively lower PWV<sub>BL</sub> conditions, while the ACI<sub>r</sub> signals tend to strengthen 57 with the increase of supersaturation under the relatively higher PWV<sub>BL</sub>. Base on the Köhler theory, if the 58 supersaturation exceeds the critical point for the given droplet, the droplet will thus experience continued 59 growth, so theoretically the ACI should increase with the supersaturation under same aerosol number 60 concentration. However, the observed limited water vapor cannot support this ideal droplet growth, 61 results in weak responses of cloud droplets to aerosol intrusion. With the increase of observed water 62 vapor, the continued growth of cloud droplets becomes more plausible, hence the high supersaturation 63 yields larger droplets with low number of aerosols, more efficient droplet activation with a large number 64 of aerosols, and in turns, larger ACI<sub>r</sub> (even out of the theoretical bounds). However, considering these 65 high supersaturation environments are unphysical in the observed MBL cloud layers, and estimating the 66 real supersaturation conditions using ground-based remote-sensing is beyond the scope of this study, we

- 67 chose the supersaturation level of 0.2% because it represents the most typical supersaturation conditions
- 68 of MBL stratiform clouds.

Table 3. ACI <sub>r</sub> calculated with respect to $N_{CCN}$ theoretically at different supersaturation levels, under all PWV <sub>BL</sub> conditions
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			-	_						
PWV <sub>BL</sub> (cm)	0.4-0.6	0.6-0.8	0.8-1.0	1.0-1.2	1.2-1.4	1.4-1.6	1.6-1.8	1.8-2.0	2.0-2.2	2.2-2.4
ACI <sub>r</sub> ( <i>N<sub>CCN</sub></i> @0.2%SS)	0.020	0.057	0.002	-0.014	0.108	0.076	0.145	0.151	0.221	0.175
(N <sub>CCN</sub> @0.5%SS)	0.023	0.057	0.0002	0.024	0.129	0.121	0.309	0.136	0.293	0.159
(N <sub>CCN</sub> @1.2%SS)	0.023	0.045	0.002	0.072	0.125	0.123	0.323	0.175	0.347	0.186

- 70 The discussion above is added, in the last paragraph of section 3.3 of the revised manuscript.
- 71

69

- 72 Line 358-376, The mechanism proposed here is valuable. If possible, I would suggest the authors
- 73 illustrate the mechanism proposed here with a diagram.
- 74 The diagram is added as Figure 8 in the revised manuscript as follows:



**Figure 8.** Theoretical mechanism of the responses of cloud droplet size distributions to different CCN intrusion, under relative insufficient (low  $PWV_{BL}$ ) versus sufficient (high  $PWV_{BL}$ ) water vapor availabilities.

- 75 Line 390, "that more close to adiabatic" shuold be "that are more close to adiabatic"
- 76 This sentence is removed in the revised manuscript.
- The time 432, "to narrows the DSD" should be "to narrow the DSD"
- 78 The word 'narrows' is changed to 'narrow'.

#### 79 **Response to Reviewer #2**

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We appreciate your time for carefully reviewing our manuscript. We would like to thank you for the constructive comments and suggestions, which encourage and help us to improve the manuscript. The manuscript has been revised accordingly. In the response below, your comments are provided in black text and our responses are provided in blue text.

#### 86 **Response:**

87 As the title suggests, this paper describes an aggregated analysis of aerosol-cloud interaction (ACI) in 88 non-precipitating marine boundary layer clouds at the Eastern North Atlantic ARM remote sensing 89 supersite. A relatively narrow view of ACI is taken in which the bivariate relationship between aerosol 90 and cloud drop number concentration and the ACI index were calculated numerous times, compositing 91 by various column-mean or column-integral quantities (e.g., water vapor path, cloud adiabaticity, lower 92 tropospheric, turbulence). My main concern with the study is that each of these purported controlling 93 factors is analyzed in isolation, which implicitly assumes no covariability among them. This assumption 94 is not valid and no attempt to address this issue was given. As such, I find it difficult to accept many of 95 the mechanistic arguments made by the authors. They cannot demonstrate cause and effect, and there are 96 clearly confounding variables that limit their ability to draw stronger conclusions (for example, lines 97 243-244: "the coincidence of high NCCN and PWV does not necessarily imply a physical relationship"). 98 I therefore recommend the manuscript be rejected and the authors encouraged to resubmit after 99 broadening their analysis. The premise of evaluating ACI with the authors' retrieval product is promising, 100 but to understand the role of the controlling factors, they must be analyzed in a multi-dimensional framework (principal component analysis, k-means clustering, etc.) that allows the authors to identify 101 102 and, more importantly, interpret co-variability among environmental factors. As it currently stands, the 103 conclusions of this study point vaguely toward correlations with large-scale variables but give no clear 104 guidance.

105

106 Thanks for the constructive suggestions. To better address the reviewer's concern about the co-107 variabilities between the environmental variables and to more clearly shed light on their impacts on ACI, 108 we have now conducted the principal component analysis (PCA). The variables of sub-cloud precipitable 109 water vapor (PWV<sub>BL</sub>), the boundary layer decoupling index  $(D_i)$ , the vertical component of the 110 turbulence kinetic energy (TKE<sub>w</sub>), the lower tropospheric stability (LTS) and the surface wind directions 111 in terms of northerly and southerly  $(W_{dir,NS})$  are constructed as the input of the eigenanalysis. Results 112 show that the first three PCs can describe the majority (~84%) of the variance among the selected 113 variables. Where the most explanatory PC1 (account for 43.72% contribution) strongly correlated with 114  $PWV_{BL}$ ,  $D_i$  (both negatively) and  $TKE_w$  (positively), and hence describe the co-variation of the boundary layer conditions. While the PC2 and PC3 (account for 22.01% and 18.26% contributions, respectively) 115 116 are strongly correlated with the LTS and  $W_{dir,NS}$ , which likely indicates the variations of the Azores 117 High position and strength. By projecting the variables onto PC1 and PC2, the PCA loading analysis shows that the TKE<sub>w</sub> are strongly negatively correlated with  $D_i$ , which as expected since a more 118 119 decoupled MBL is often separated into two layers where the lower one can cap the surface moisture, while the higher TKE<sub>w</sub> denote sufficient turbulence that maintains the well-mixed MBL. Additionally, 120 121 the island effect is also indicated by the eigenanalysis, where the surface northerly wind would induce 122 additional updraft velocity and hence disturb the TKE<sub>w</sub>, owing to the topographic effect of the cliff north 123 of the ENA site. Upon the PCA results, the role of cloud adiabaticities on the behaviors of  $CCN-N_c$ 124 conversion is further examined using both binning and eigenanalysis. And the factors that have the most 125 influence on the explanatory PCs are selected as the sorting variables in the ACI<sub>r</sub> assessments.

126

127 The detailed discussions on the multi-dimensional PCA have been added to the section 3.4 of the revised128 manuscript as follows:

## 129 **3.4** The co-variabilities of the meteorological factors

The environmental conditions over the ENA have been widely studied as not independent but 130 131 entangled with each other (Wood et al., 2015; Zheng et al., 2016; Wu et al., 2017; Wang et al., 2021). 132 To better understand the dependencies and the co-variabilities of the meteorological factors, a principal 133 component analysis (PCA) is performed targeting on the following variables: (1) PWV<sub>BL</sub> denotes the 134 water vapor availabilities within the boundary layer; (2)  $D_i$  describes the boundary layer coupling conditions; (3) TKE<sub>w</sub> represents the strength of boundary layer turbulence; (4)  $W_{dir,NS}$  reflects the 135 136 surface wind directions in terms of northerly and southerly; and (5) LTS infers the large-scale thermodynamic structures. Note that the  $W_{dir,NS}$  are taken as  $W_{dir,NS} = abs(W_{dir} - 180^\circ)$ , so that the 137 original  $W_{dir}$  (0-360°) can be transformed to  $W_{dir,NS}$  (0-180°) where the values smaller than 90° are 138 close to the southerly wind, and those greater than 90° are close to the northerly wind. The  $W_{dir.ns}$  are 139 140 transformed as such to capture the island effects better, because the cliff is located north of the ENA site.

The input data metric is constructed from the above five variables to apply the PCA, and the principal components (PCs) that serving to explain the variation of those dependent variables can be output from the eigenanalysis. The result shows that for the five selected meteorological factors, the proportions of the total intervariable variance explained by the PCs are 43.72%, 22.01%, 18.26%, 8.95% and 7.06%, and the eigenvalues are 2.19, 1.10, 0.91, 0.45, and 0.35, respectively. Note that the first three 146 PCs have the highest eigenvalues and explain most (~84%) of the total variance, which indicates that 147 they can capture the significant variation patterns of the selective meteorological factors.

148 To determine the relative contributions of the variables to PCs, all the five selected meteorological 149 variables are projected to the first three PCs and the Pearson correlation coefficients between them are 150 listed in Table 4. For the first PC (PC1) which accounts for the highest proportion (43.72%) of the total variance, the PC1 is strongly negatively correlated with PWV<sub>BL</sub> (-0.84) and  $D_i$  (-0.73), but strongly 151 positively correlated with  $TKE_w$  (0.69). These results suggest that PC1 mainly represents the boundary 152 layer conditions, and the co-variations of the boundary layer water vapor and turbulence are the most 153 154 distinct environmental patterns for the selected cloud cases. The PC2 and PC3 are most correlated with 155 LTS (0.58 and 0.65 for PC2 and PC3, respectively) and  $W_{dir,NS}$  (0.60 and -0.50 for PC2 and PC3, respectively), indicating that the PC2 and PC3 mainly describe the variations in large-scale 156 157 thermodynamic and the surface wind patterns, which are likely associated with the variations of the 158 Azores High position and strength (Wood et al., 2015).

Table 4. The first three principal components from eigenanalysis					
Eigenanalysis	PC1	PC2	PC3		
Eigenvalues	2.17	1.10	0.91		
Proportion of variance explained (%)	43.72	22.01	18.26		
Cumulative proportion (%)	43.72	65.73	83.99		
Correlations (Variables vs. PCs)	PC1	PC2	PC3		
$PWV_{BL}$	-0.84	0.20	-0.11		
D <sub>i</sub>	-0.73	-0.48	-0.20		
TKE <sub>W</sub>	0.69	0.35	-0.44		
W <sub>dir,ns</sub>	0.52	0.60	-0.50		
LTS	-0.43	0.58	0.65		

<b>Table 4.</b> The first three principal of	components from	n eigenanalysis
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160 To further understand the correlations between the meteorological variables, the principal 161 component loadings plot is constructed by projecting the variables onto PC1 and PC2 as shown in Fig. 162 4. Each point denotes the variable correlations with PC1 (x-coordinate) and PC2 (y-coordinate), so that each vector represents the strength and direction of the original variable influences on the pair of PCs. 163 164 The angle between the two vectors represents the correlation between each other. In Fig. 4, both TKE<sub>w</sub> and  $W_{dir,NS}$  vectors are located in the same quadrant (positive in both PC1 and PC2) and close to each 165 other with a small degree of an acute angle, which means the TKE<sub>w</sub> are strongly correlated with the 166  $W_{dir,NS}$ . When the surface wind is coming from the north side of the island, the topographic lifting effect 167 168 of the cliff would induce additional updraft over the ENA site (Zheng et al., 2016), so that the wind closer 169 to the northerly wind (larger  $W_{dir,NS}$ ) is more correlated with higher TKE<sub>w</sub>. Note that TKE<sub>w</sub> and  $D_i$ 170 vectors are almost in an opposite direction, which denotes a strongly negative correlation between the two variables. The angles of PWV<sub>BL</sub> with  $D_i$  (~45°) and TKE<sub>w</sub> (~142°) suggest that PWV<sub>BL</sub> is 171 moderately positively correlated with  $D_i$  but negatively correlated with TKE<sub>w</sub>. A higher  $D_i$  indicates a 172 173 more decoupled MBL, where MBL is not well-mixed and separated into a radiative-driven layer and a 174 surface flux driven layer that caps the surface moisture (Jones et al., 2011). This situation is more likely to associate with a relatively higher PWV<sub>BL</sub> and weaker TKE<sub>w</sub> condition. As for the LTS parameter, the 175 close to 90° angle with TKE<sub>w</sub> suggests no correlation between them, since the LTS is mostly capturing 176 177 the large-scale thermodynamical structures and is obtained from a coarser temporal resolution. Thus, the 178 LTS does not essentially have correspondence to the strength of boundary layer turbulence and can be 179 treated as independent to TKE<sub>w</sub> over the ENA site. The loading plot intuitively tells us the directions and 180 strengths of the co-variabilities of the selected meteorological variables, and sheds the light on determining the key factors that are feasible to use in examining the environmental impacts on the 181 182 aerosol-cloud interactions.



183

**Figure 4.** The projections of TKE<sub>w</sub> (purple),  $W_{dir,NS}$  (red), LTS (orange), PWV<sub>BL</sub> (blue) and  $D_i$  (green) onto the first principal component (PC1) and the second principal component (PC2). The x-coordinates denote variables' correlations with PC1, and the y-coordinates denote variables' correlations with PC2.

- In addition, the detailed results and discussions on the impacts of meteorological factors on aerosol and cloud properties, and aerosol-cloud interactions can be found in the section 3.5 of the revised manuscript.
- 188
- 189
- 190 I have a number of other concerns the authors may also wish to consider:
- How good of a proxy is PWV for PBL relative humidity? Are there cases when non-drizzling
   stratocumulus occur with a relatively moister free troposphere? Perhaps you could estimate the
   fraction of PWV in the PBL using the interpolated sonde product or Raman lidar (note: Raman
   will only get you subcloud vapor)?

195 Thanks for the comment and suggestions. In the revise manuscript, we changed to use the sub-cloud 196 boundary-layer PWV (PWV<sub>BL</sub>), and tested the contribution of PWV<sub>BL</sub> to column PWV. The discussion 197 has been added to the section 2.2 in the revised manuscript as follows:

198

To capture the information of MBL water vapor more accurately, the sub-cloud boundary layer
 integrated precipitable water vapor (PWV<sub>BL</sub>) is calculated using the interpolated sounding product
 following:

202 
$$PWV_{BL} = \frac{1}{\rho_{w}} \sum (z_{i+1} - z_i) * (\rho_{v,i+1} + \rho_{v,i})/2,$$
 (1)

where the  $\rho_w$  is the liquid water density and the  $\rho_v$  is the water vapor density collected from the 203 204 Interpolated Sounding and Gridded Sounding Value-Added Products (Toto and Jensen, 2016), the 205 subscripts *i* and i + 1 represent the bottom and top of each interpolated sounding height layer. Both 206 PWV and PWV<sub>BL</sub> are temporally collocated to 5-min resolution and plotted against each other in Fig. 207 S1a to test the contribution of PWV<sub>BL</sub> to the PWV. The Pearson correlation coefficient of 0.85 shows that the PWV<sub>BL</sub> are strongly positively correlated with the PWV, while the distribution of the percentage 208 209 ratio of PWV<sub>BL</sub> to PWV (Fig. S1b) indicates that, on average, the PWV<sub>BL</sub> contribute to ~58% of the 210 PWV. Considering the cloud-topped MBL, the majority of cases (~74%) associate with a relatively moist boundary layer compared to the amount of water vapor in the free troposphere, where the PWV<sub>BL</sub> already 211 212 contributed over 50% of the total column PWV. In contrast, only ~9% of cloud samples occur under a 213 relatively dry boundary layer and moist free troposphere, where  $PWV_{BL}$  contributions are less than 40%. 214 In general, the PWV can well capture the variation of the PWV<sub>BL</sub>. In the rest of the study, the PWV<sub>BL</sub>

- are used, as it represents the sub-cloud boundary layer water vapor availabilities which are more closely
- 216 related to the MBL cloud processes.



*Figure S1.* (*a*) *Scatterplot of PWV versus PWV<sub>BL</sub>*; and (*b*) *distribution of the percentage ratio of PWV<sub>BL</sub>/PWV*.

- 218
- 219
- Not enough information is given about how the vertical velocity variance TKEw is calculated. Is
   it a PBL average? A Doppler lidar column-deep average? Column max. value? And what
   Doppler lidar product are you using to get variance? The standard 10-minute integration? The
   median value seems low for surface-coupled stratocumulus cases. Are you evaluating any
   decoupled cases? There is also a diurnal and season cycle of turbulence at this site (at least,
   when sampling an undisturbed marine airmass; see more below), which may also be affecting
   your statistics.

In this study, the vertical component of the turbulence kinetic energy (TKE<sub>w</sub>) are used, which is defined as:

229 
$$\text{TKE}_{\text{w}} = \frac{1}{2} \overline{(w')^2}$$
, (2)

where the  $(w')^2$  is the variance of vertical velocity measured from the Doppler lidar standard 10-min integration, which collected in the Doppler Lidar Vertical Velocity Statistics Value-Added Product (Newson et al., 2019). The noise correction has been applied to reduce the uncertainty of the variance to ~10% (Hogan et al., 2009; Pearson et al., 2009). In this study, the mean value of TKE<sub>w</sub> in the sub-cloud boundary layer proportion of the Doppler lidar range is used, and the data temporal resolution is further
downscaled to 5-min for temporal collocation purposes.

- 236 The description of TKE<sub>W</sub> above has been added to the section 2.2 of the revised manuscript.
- 237

We have also included the decoupling index  $(D_i)$  given by:  $D_i = (z_b - z_{LCL})/z_b$ , where the  $z_{LCL}$ is the lifting condensation level calculated analytically following the method in Romp (2017), with an uncertainty of around 5 m. The surface temperature, pressure, relative humidity, and mass fraction of water vapor that used in the  $z_{LCL}$  calculation, as long as the vector-averaged wind directions (in 360° coordinate) over the ENA site are obtained from the ARM surface meteorology systems (ARM MET handbook, 2011).

In this study, we are trying the examine the environmental effects on  $ACI_r$  under the diverse conditions and whether the  $ACI_r$  can be distinguished by them, so that we did not have prior selection on any particular environmental factors (except only the non-precipitating stratiform cloud cases), and thus the samples including strongly decoupled, moderate-to-loosely decoupled and coupled MBL conditions.

From the PCA, the TKE<sub>w</sub> has been found to be strongly positively correlated with  $W_{dir,NS}$  and negatively correlated with  $D_i$ , which means the values of TKE<sub>w</sub> already account for the co-variabilities in these variables. Therefore, treating TKE<sub>w</sub> as the sorting variables would lead to a more physical process-orientated assessment. And the corresponding discussion is revised in section 3.5.2 of the revised manuscript.

253

- Have you controlled for wind direction in your analysis? It has been shown that there is an island
   effect when the surface wind is from the island (e.g., Zheng, Rosenfeld and Li 2016). Overland
   flow affects boundary layer turbulence and may also impact surface fluxes, PBL depth and
   CCN composition.
- We have considered the potential impact of the wind direction on the boundary layer turbulence, and added to the PCA. In addition, the following summary on the island effects has been added to section 3.4 of the revised manuscript:

In Fig. 4, both TKE<sub>w</sub> and  $W_{dir,NS}$  vectors are located in the same quadrant (positive in both PC1 and PC2) and close to each other with a small degree of an acute angle, which means the TKE<sub>w</sub> are strongly correlated with the  $W_{dir,NS}$ . When the surface wind is coming from the north side of the island,

- the topographic lifting effect of the cliff would induce additional updraft over the ENA site (Zheng et al.,
- 265 2016), so that the wind closer to the northerly wind (larger  $W_{dir,NS}$ ) is more correlated with higher TKE<sub>w</sub>.
- 266 Therefore, the values of  $TKE_w$  already account for the co-variation of  $TKE_w$  and  $W_{dir,NS}$ .
- 267

268 • How much does LTS tell us at a site like ENA, and what physical motivation do you have for 269 including it as a sorting variable? I always envision LTS as having the most meaning in the 270 subtropical eastern boundary current (EBC) areas, i.e., northeast/southeast Pacific and 271 southeast Atlantic. The Azores are more of a mixed subtropical/midlatitude site that has much 272 warmer SST than in the traditional EBC areas where MBL clouds are studied, and much of the 273 cloud cover at ENA occurs in transient postfrontal subsidence vs. longer-lasting large-scale 274 subsidence where the spatial gradient (of both subsidence and SST) matters more in defining 275 cloud type transitions.

We agree with your comment that the LTS might not be a feasible variable to use over ENA site, we included the LTS as it is orthogonal to the  $TKE_w$  from the PCA and thus can be treated as independence. We have added the relative discussion in section 3.5.2 of the revised manuscript:

279 Combining LTS and PWV<sub>BL</sub> as sorting variables, the ACI<sub>r</sub> values for four regimes are shown in Fig. S4. The ACI<sub>r</sub> differences between low and high PWV<sub>BL</sub> regimes are still retained. In the low PWV<sub>BL</sub> 280 281 regime, the ACI<sub>r</sub> values are limited to 0.016 and 0.056 for low and high LTS regimes, respectively. In 282 the high PWV<sub>BL</sub> regime, the ACI<sub>r</sub> values are 0.150 and 0.171 for low and high LTS regimes, respectively, 283 which is about 3-5 times greater than those in low PWV<sub>BL</sub> regime. However, the ACI<sub>r</sub> in different LTS 284 regimes cannot be distinctly differentiated (ACI<sub>r</sub> differences between LTS regimes are  $\sim 0.02$  and  $\sim 0.04$ ), and the main difference in ACI<sub>r</sub> are still induced by the PWV<sub>BL</sub>. Owing to the location of the ENA site 285 286 where it locates near the boundary of mid-latitude and subtropical climate regimes, the MBL clouds over 287 the ENA are found to be often under the influences of cold fronts associated with mid-latitude cyclones, 288 where the cloud evolutions are subject to the combine effects of post-frontal and large-scale subsidence 289 (Wood et al., 2015; Zheng et al., 2020; Wang et al., 2021). Therefore, over the ENA, although the spatial 290 gradient of LTS is studied to be associated with the production of MBL turbulence and the change in 291 wind direction (Wu et al., 2017), the LTS value itself is examined to has a weak impact on the aerosol-292 cloud interaction from this study.

293 • For arguments you make about the relationship between entrainment, collision-coalescence and 294 number concentration, it is problematic that your retrieval assumes constant Nc throughout the 295 cloud layer. When entrainment-induced evaporation and/or collision-coalescence are active, 296 this assumption is broken. In general, I don't understand your argument that entrainment is a 297 sink of Nc.

298 The Wu et al. (2020a) retrieval works as separating the reflectivity to the contributions of cloud 299  $(Z_c)$  and drizzle, the cloud procedure assumes an initial guess of the representative layer-mean  $N_c$  based on the climatology over ENA sites (Dong et al., 2014), and such allows the first guess of the vertical 300 profile of LWC based on  $N_c$  and  $Z_c$ , and then constrains back the  $N_c$  and LWC using the LWP from 301 MWR, finally output  $r_e$  (Fig.3 in Wu et al., 2020a). Therefore, the final retrieved  $N_c$  is updated to in 302 303 response to the cloud microphysical processes within this time-step. From the aircraft in-situ 304 measurements during the ACE-ENA, we used the in-situ measurement during ACE-ENA to validate the 305 retrieval outputs and found that the observed  $N_c$  profile is near-constant in middle part of the cloud, with 306 the signal of entrainment-induced depletion near the cloud top, even in the drizzling cloud where the 307 collision-coalescence processes are more active (Wu et al., 2020a). However, it is hard and beyond the 308 scope of the ground-based retrieval to compare the vertical dependency of depletion rate within one time-309 step. Therefore, as the retrieval currently work as representing the layer-mean information from the given 310 time-step, the preferred method in this study is to compare  $N_c$  at different times, where in this case are the adiabatic versus sub-adiabatic conditions which hence yields different  $N_c$  that we retrieved from the 311 312 ground-based snapshot perspective. From the PCA and binning analysis, the effect of cloud adiabaticities on CCN-N<sub>c</sub> conversions may shed light on interpreting the aerosol-cloud interaction under different 313 314 environmental effects.

315 We have added the above discussion in section 3.5.1 of the revised manuscript.

316

• High CCN events at ENA are not only from North America. They have also been traced to North 317 Africa and Europe.

318 The corresponding sentence is changed to 'A few instances of aerosol intrusions (~3%) with higher  $N_{CCN,0.2\%}$  were likely a result of continental air mass transport from North America, Europe, and Africa 319 320 (Logan et al., 2014; Wang et al., 2020).'

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<ul> <li>Zheng, Y., Rosenfeld, D. and Li, Z.: A More General Paradigm for Understanding th</li> <li>Stratocumulus-Topped Boundary Layers: The Importance of Horizontal Temper</li> </ul>	1 0
<ul> <li>334 Geophys. Res. Lett., doi:10.1029/2020GL087697, 2020.</li> </ul>	Tature Auvection,
335 Geophys. Res. Lett., doi:10.1029/2020GE007097, 2020.	
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#### 353 **Response to Reviewer #3**

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We appreciate your time for carefully reviewing our manuscript. We would like to thank you for the constructive comments and suggestions, which encourage and help us to improve the manuscript. The manuscript has been revised accordingly. In the response below, your comments are provided in black text and our responses are provided in blue text.

#### 360 **Response:**

The authors analyze the impact of the environment on the aerosol-cloud interactions (ACI) from ground observations over the eastern north Atlantic. They find that both lower-trosospheric stability and turbulent kinetic energy influence the connection between water vapor, cloud-microphysics, and subsiquently ACI. For instance, they find that higher lower-tropospheric stability leads to higher cloud drop concentrations and ACI.

366 Overall, I think this paper is both well thoughout and written. However, I do have a number of issues 367 that I would appreciate clarification on. Note that, even though I split my comments between major and 368 minor, this is more of just a distinction between general and technical comments. Therefore, I recommend 369 publication once these comments are addressed.

370 Major:

Line 147: Is LTS the most appropriate variable to use over the northeast Atlantic, considering the muchlarger influence of midlatitude cyclones compared to subtropical regions?

We agree with your comment and the other reviewer's comment that the LTS might not be a feasible variable to use over ENA site, and thus we have added the relative discussion in section 3.5.2 of the revised manuscript:

376 Combining LTS and PWV<sub>BL</sub> as sorting variables, the ACI<sub>r</sub> values for four regimes are shown in 377 Fig. S4. The ACI<sub>r</sub> differences between low and high PWV<sub>BL</sub> regimes are still retained. In the low PWV<sub>BL</sub> 378 regime, the ACI<sub>r</sub> values are limited to 0.016 and 0.056 for low and high LTS regimes, respectively. In 379 the high PWV<sub>BL</sub> regime, the ACI<sub>r</sub> values are 0.150 and 0.171 for low and high LTS regimes, respectively, 380 which is about 3-5 times greater than those in low PWV<sub>BL</sub> regime. However, the ACI<sub>r</sub> in different LTS 381 regimes cannot be distinctly differentiated (ACI<sub>r</sub> differences between LTS regimes are  $\sim 0.02$  and  $\sim 0.04$ ), 382 and the main difference in ACI<sub>r</sub> are still induced by the PWV<sub>BL</sub>. Owing to the location of the ENA site 383 where it locates near the boundary of mid-latitude and subtropical climate regimes, the MBL clouds over 384 the ENA are found to be often under the influences of cold fronts associated with mid-latitude cyclones, 385 where the cloud evolutions are subject to the combine effects of post-frontal and large-scale subsidence

386 (Wood et al., 2015; Zheng et al., 2020; Wang et al., 2021). Therefore, over the ENA, although the spatial

387 gradient of LTS is studied to be associated with the production of MBL turbulence and the change in

388 wind direction (Wu et al., 2017), the LTS value itself is examined to has a weak impact on the aerosol-

cloud interaction from this study.

390

Line 171: How many potential non-precipitating cloud cases were there, and do your results suggest thatmost MBL clouds produce precip over the northeast Atlantic?

During the study period we found 20 valid non-precipitating single-layer low cloud case that fit in our criteria and also lasting at least longer than 2 hours. And yes, our results support the previous study that over the ENA site, the annual mean drizzle frequency is 55%, with 70% in winter and 45% in summer (Wu et al., 2020).

Line 193: You could highlight that the median LTS of 19.1 K is close to the value (18.55 K) used byprior studies to separate stratocumulus from shallow cumulus.

399 The result from prior study is highlighted as follows:

400 Note that the median LTS of 19.1 K in this study is close to the separation threshold of 18.55K suggested

401 by prior studies to distinguish the marine stratocumulus from a global assessment of marine shallow 402 cumulus clouds (Smalley and Rapp, 2020).

403 Line 226: You compare the logarithmic ratio that you find to other studies, but I don't understand what404 it actually means.

The ratio reflects the relative conversion efficiency of cloud droplets from the CCN, regardless of the water vapor availabilities. Theoretically it has the boundaries of 0 - 1, where the lower bound means no change of  $N_c$  with  $N_{CCN}$ , and the upper bound indicates a linear relationship that every CCN would result in one cloud droplet. Our result is comparable with the previous studies that also targeting the MBL stratiform clouds, indicates a certain similarity of the bulk cloud microphysical responses with respect to aerosol intrusion in those type of cloud and over different marine environments, further support that the assessment in this study is valid.

#### 412 The discussion above is added in section 3.2 in the revised manuscript.

Figures 5 - 7: There doesn't appear to be much of a trend in the scatter plots, so what is the R<sup>2</sup> value for these regressions? Maybe this could be fixed by constraining your axes to closer to the limits of your datapoints?

In the revise manuscript, we changed to use the sub-cloud  $PWV_{BL}$  in sorting the data, as suggested by reviewer #2. We have constrained the plotting axes to be closer to the data points. Since the values of ACI<sub>r</sub> have a theoretical upper bound of 0.33 (McComiskey et al., 2009), so even the largest ACI<sub>r</sub> will probably not showing a steep trend in the scatterplot. However, the slopes of regression can be distinguished, all linear regressions for those groups of data have been tested by two-tailed T statistic and pass the 95% significant level.

422 Minor:

- 423 Line 77: "relatively shallower" should be "relatively shallow"
- 424 The word is changed to 'shallow'.
- 425 Line 78: I think "and is prone to" should be and "are prone to"
- 426 It is changed to 'are prone to'.
- 427 Line 80: "marine boundary layer maintained by" should be "marine boundary layer which is maintained428 by"
- 429 The 'which is' is added to the sentence.
- 430 line 85: "regime of active coalescence process" should either be "regime of the active coalescence
- 431 process" or "regime of active coalescence"
- 432 It is changed to 'regime of active coalescence'.
- 433 line 106: "particularly disentangling" should be "particularly by disentangling"
- 434 It is changed to 'particularly by disentangling'.

- 435 line 121: "operates at 910 nm laser beam" doesn't make sense, and maybe could be "operates at 910nm"
- 436 It is changed to 'operates at 910 nm'.
- 437 line 159: "from Doppler lidar" should either be "from a Dopplar lidar" or "from the Dopplar lidar"
- 438 It is changed to 'from the Doppler lidar'.
- 439 line 183: "lay" should be "lie"
- 440 It is changed to 'lie'.
- 441 line 388: Unless I missed something why is Figure 5b discussed before Figure 5a, could you just flip442 those subpanels?
- 443 The figure subpanel is flipped.
- 444 Figure 1: This may just be my printout, but the median dashed lines are difficult to see. Could you use a 445 thicker line or a different color?
- 446 The median value is now displayed directly on each subpanel in Figure 1.
- 447

#### 448 **Reference:**

- 449 McComiskey, A, Feingold, G., Frisch, A. S., Turner, D. D., Miller, M., Chiu, J. C., Min, Q. and Ogren,
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  2020.
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# 462 Environmental Effects on Aerosol-Cloud Interaction in non-precipitating MBL 463 Clouds over the Eastern North Atlantic

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476 Abstract. Over the eastern north Atlantic (ENA) ocean, a total of 20 non-precipitating single-layer 477 marine boundary layer (MBL) stratus and stratocumulus cloud cases are selected to investigate the 478 impacts of the environmental variables on the aerosol-cloud interaction (ACI<sub>r</sub>) using the ground-based 479 measurements from the Department of Energy Atmospheric Radiation Measurement (ARM) facility at 480 the ENA site during 2016 - 2018. The ACI<sub>r</sub> represents the relative change of cloud-droplet effective radius  $r_e$  with respect to the relative change of cloud condensation nuclei (CCN) number concentration 481 482 at 0.2% supersaturation ( $N_{CCN,0,2\%}$ ) in the water vapor stratified environment. The ACI<sub>r</sub> values vary from 483 -0.01 to 0.22 with increasing sub-cloud boundary layer precipitable water vapor (PWV<sub>BL</sub>) conditions, indicating that  $r_{\rho}$  is more sensitive to the CCN loading under sufficient water vapor supply, owing to the 484 485 combined effect of enhanced condensational growth and coalescence processes associated with higher 486  $N_c$  and PWV<sub>BL</sub>. The principal component analysis shows that the most pronounced pattern during the 487 selected cases is the co-variations of the MBL conditions characterized by the vertical component of 488 turbulence kinetic energy (TKE<sub>w</sub>), decoupling index  $(D_i)$  and PWV<sub>BL</sub>. The environmental effects on 489 ACI<sub>r</sub> emerge after the data are stratified into different TKE<sub>w</sub> regimes. The ACI<sub>r</sub> values, under both 490 relatively lower and higher PWV<sub>BL</sub> conditions, increase more than double from the low TKE<sub>w</sub> to high 491 TKE<sub>w</sub> regime. It can be explained by the fact that stronger boundary layer turbulence maintains a wellmixed MBL, strengthening the connection between cloud microphysical properties and underneath CCN and moisture sources. With sufficient water vapor and low CCN loading, the active coalescence process broadens the cloud droplet size spectra, and consequently results in an enlargement of  $r_e$ . The enhanced  $N_c$  conversion and condensational growth induced by more intrusions of CCN effectively decrease  $r_e$ , which jointly presents as the increased ACI<sub>r</sub>. This study examines the importance of environmental effects on the ACI<sub>r</sub> assessments and provides observational constraints to future model evaluations on aerosol-cloud interactions.

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## 501 **1. Introduction**

502 Clouds are one of the most important parts of the Earth's climate system. They can impact the global 503 climate by modulating the radiative balance in the atmosphere. Moreover, the radiative effects of cloud 504 adjustments due to aerosols remain one of the largest uncertainties in climate modeling (IPCC, 2013). 505 Over the oceanic area, the lower troposphere is dominated by marine boundary layer (MBL) clouds. 506 MBL clouds can persistently reflect the solar radiation by their long-lasting nature maintained by cloud-507 top radiative cooling, and therefore act as a major modulator of the Earth radiative budget (Seinfeld et 508 al., 2016). The climatic importance of MBL cloud radiative properties is primarily induced by cloud 509 microphysical properties, namely the cloud-droplet number concentration  $(N_c)$ , and effective radius  $(r_e)$ , 510 and has been intensively investigated by many researchers (Garrett and Zhao, 2006; Rosenfeld, 2007; 511 Wood et al., 2015; Seinfeld et al., 2016). The ambient aerosol conditions can influence these cloud 512 microphysical properties via the aerosol-cloud interaction (ACI). Compared to the clean regions, clouds 513 under the regions having relatively higher below-cloud aerosol concentrations exhibited more small 514 cloud droplets (reduced  $r_e$  and increased  $N_c$ ) and enhanced both cloud liquid water contents and optical 515 depths (McComiskey et al., 2009; Chen et al., 2014; Wang et al., 2018). The changes of MBL cloud 516 microphysical properties induced by aerosols have been investigated from previous studies using in-situ 517 measurements, ground- and satellite-based observations, and model simulations in multiple oceanic areas 518 such as the eastern Pacific and eastern Atlantic (Twohy et al., 2005; Lu et al., 2007; Hill et al., 2009; 519 Costantino and Bréon, 2010; Mann et al., 2014; Dong et al., 2015; Diamond et al., 2018; Yang et al., 520 2019; Zhao et al., 2019; Wang et al., 2020).

521 The assessments of ACI, particularly using ground-based remote sensing, vary in terms of the 522 quantitative values, which represent the different cloud susceptibilities to aerosol loadings. Owing to the 523 numerous approaches in assessing the ACI, such as the spatial and temporal scales,  $N_c$  and  $r_e$  retrieval 524 methods, and more importantly, the different aerosol proxies used in the ACI quantification, different 525 ACI results could be achieved. For example, the studies using total aerosol number concentration and 526 aerosol scattering/extinction coefficients to represent the aerosol loadings would result in relatively lower 527 ACI values (Pandithurai et al., 2009; Liu et al., 2016). This is primarily attributed to the inclusion of 528 aerosol species with different abilities to activate, which is determined by their physicochemical 529 properties, and thus will cause non-negligible uncertainties in capturing the information of aerosol 530 intrusion to the cloud (Feingold et al. 2006; Logan et al., 2014). While some studies found relatively 531 higher ACI values using cloud condensation nuclei (CCN) number concentration ( $N_{CCN}$ ), presumably 532 due to the fact that CCN represents the portion of aerosols that can be activated and possesses the 533 potential ability to further grow into cloud droplets, this favorably yields a more straightforward 534 assessment of ACI (McComiskey et al., 2009; Qiu et al., 2017; Zheng et al., 2020). It is noteworthy that 535 the ACI variations have been found to have both increasing and decreasing trends in response to changing 536 environmental water availability (Martin et al., 2004; Kim et al., 2008; McComiskey et al., 2009; 537 Pandithurai et al., 2009; Martin et al., 2011; Liu et al., 2016; Zheng et al., 2020). Although these 538 contradicting results have been postulated due to multiple factors such as cloud adiabaticity, 539 condensational growth, collision coalescence, and atmospheric thermodynamics and dynamics, the 540 underlying mechanisms in altering the ACI and causing the uncertainties in the ACI assessments remain 541 unclear. Therefore, further studies are necessary (Fan et al., 2016; Feingold and McComiskey, 2016; 542 Seinfeld et al., 2016).

543 The Eastern North Atlantic (ENA) is a remote oceanic region that features persistent but diverse 544 subtropical MBL clouds, owing to complex meteorological influences from the semi-permanent Azores 545 High and prevailing large-scale subsidence (Wood et al., 2015). The ENA has become a favorable region 546 to study the aerosol indirect effects on MBL clouds under a relatively clean environment with occasional 547 intrusions of long-range transport of continental air mass (Logan et al., 2014; Wang et al., 2020). The 548 atmospheric radiation measurement (ARM) program established the ENA permanent observatory site on 549 the northern edge of Graciosa Island, Azores, in 2013, which continuously provides comprehensive 550 measurements of the atmosphere, radiation, cloud, and aerosol from ground-based observation 551 instruments. Owing to the location of the site, where sits in between the boundaries of mid-latitude and 552 subtropical regimes, the ENA is under the mixed influence of diverse meteorological conditions. So that 553 in terms of the aerosol influence on the cloud properties, the roles of meteorological factors on cloud 554 formation and development are not negligible and hence are being explored in this study. The large-scale 555 thermodynamic variables of the lower troposphere are widely used, such as the lower tropospheric 556 stability (LTS), where the higher LTS values are found to be associated with a relatively shallow and well-mixed marine boundary layer, and are prone to stratiform cloud formations with higher cloud fractions (Klein and Hartmann, 1993; Wood, 2012; Wood and Bretherton, 2006; Yue et al., 2011; Rosenfeld et al., 2019), especially over the subtropical ocean such as the southeast Atlantic. Over the ENA site, the spatial gradient of the LTS has been studied to be associated with the contribution terms of MBL turbulence and the wind directional change (Wu et al., 2017).

562 In the cloud-topped MBL which is maintained by cloud-top radiative cooling, the buoyancy 563 generations and shears contribute most to the turbulence kinetic energy (TKE) production (Nicholls, 564 1984; Hogan et al., 2009), where the intensity of turbulence denotes the coupling of MBL clouds to the 565 below-cloud boundary layer. In terms of the cloud droplet growing process, especially in a clean environment with low  $N_{CCN}$  below the cloud layer, the cloud droplets at the cloud base experience rapid 566 567 growth via the diffusion of water vapor, and subsequently enter the regime of active coalescence 568 (Rosenfeld and Woodley, 2003; Martins et al., 2011). The intensive turbulence effectively modulates the 569 cloud droplet growth by strengthening the coalescence process and the cloud cycling (Feingold et al., 570 1996, 1999; Pawlowska et al., 2006). And particularly giving the unique topography of the Graciosa 571 Island, the island effect would cause disturbances on the updraft and hence impact the MBL turbulence, 572 depending on the surface wind directions (Zheng et al., 2016). The environmental effects on the MBL 573 cloud formation and development processes and cloud microphysical properties have been widely 574 implemented and considered in climate modeling (Medeiros and Stevens, 2011; West et al., 2014; Zhang 575 et al., 2016). Thus, it is important to provide observational constraints on the environmental effects. The 576 assessment of ACI from the ground-based perspective highly relies on the sensitivities of cloud droplet 577 number concentrations and size distribution to the changing of below-cloud CCN loadings. Hence, 578 studying the relationship between the environmental effect and the MBL cloud microphysical responses 579 is a nontrivial task.

580 In this study, we target the non-precipitating single-layer MBL stratus and stratocumulus clouds 581 during the period between September 2016 and May 2018 and examine the role of thermodynamical and 582 dynamical variables on ACIs. This study aims to advance the understanding of ACI by disentangling 583 the environmental effects and providing observational constraints on quantifying the ACI when modeling 584 aerosol effects on MBL clouds. The ground-based observations and retrievals, and the reanalysis are 585 introduced in section 2. Section 3 describes the aerosol, cloud and meteorological properties, and the variations of cloud microphysical properties under different environmental regimes. Moreover, the ACIs 586 587 under given water vapor conditions and the roles of environmental effects on ACI are discussed in 588 Section 3. The conclusion of the key findings and the future work are presented in section 4.

589

## 590 **2. Data and methods**

# 591 **2.1 Cloud and aerosol properties**

592 The cloud boundaries at the ARM ENA site are primarily determined by the ARM Active Remotely-593 Sensed Cloud Locations (ARSCL) product, which is a combination of data detected by multiple active 594 remote-sensing instruments, including the Ka-band ARM Zenith Radar (KAZR) and laser ceilometer. 595 The KAZR has an operating frequency at 35 GHz and is sensitive in cloud detection with very minimum 596 attenuation up to the cloud top height (Widener et al., 2012). The temporal and vertical resolutions of 597 KAZR reflectivity are 4 seconds and 30 m, respectively. The ceilometer operates at 910 nm and its 598 attenuated backscatter data can be converted to the cloud base height up to 7.7 km with an uncertainty 599 of ~10 m (Morris, 2016). Combing both KAZR and ceilometer measurements, the cloud base  $(z_h)$  and top  $(z_t)$  heights can be identified accordingly. The single-layer low cloud is defined as having a cloud 600 top height lower than 3 km, with no additional cloud layer in the atmosphere above (Xi et al., 2010). 601

602 The cloud microphysical properties are retrieved from a combination of ground-based observations, 603 including KAZR, ceilometer, and microwave radiometer. The detailed retrieval methods and procedures 604 are described in Wu et al. (2020a). The retrieved cloud microphysical properties, both in time series and 605 vertical profiles, have been validated using the collocated aircraft in-situ measurements during the 606 Aerosol and Cloud Experiments in the Eastern North Atlantic field campaign (ACE-ENA). The retrieval 607 uncertainties are estimated to be ~15% for cloud droplet effective radius ( $r_e$ ), ~35% for cloud droplet 608 number concentration ( $N_c$ ), and ~30% for the cloud liquid water content (LWC) (Wu et al., 2020a). 609 Furthermore, the cloud adiabaticity is calculated using the retrieved in-cloud vertical profile of LWC and the adiabatic LWC<sub>ad</sub>. The LWC<sub>ad</sub> is given by LWC<sub>ad(z)</sub> =  $\Gamma_{ad}(z - z_b)$ , following the method in Wu et 610 al. (2020b), where  $\Gamma_{ad}$  denotes the linear increase of LWC with height under an ideal adiabatic condition 611 612 (Wood, 2005). The cloud adiabaticity  $(f_{ad})$  is defined as the ratio of LWC to LWC<sub>ad</sub>.

The surface CCN number concentrations ( $N_{CCN}$ ) are measured by the CCN-100 (single-column) 613 614 counter. Since the supersaturation (SS) levels are set to cycling between 0.10% and 1.10% approximately 615 within one hour,  $N_{CCN}$  under a relatively stable supersaturation level has to be carefully calculated to rule 616 out the impact of supersaturation on  $N_{CCN}$ . This study adopts the interpolation method given by  $N_{CCN}$  = cSS<sup>k</sup> (Twomey, 1959), where parameters c and k are fitted by a power-law function for every periodic 617 cycle. In this study, the supersaturation level of 0.2% is used because it represents typical supersaturation 618 619 conditions of boundary-layer stratiform clouds (Hudson and Noble, 2013; Logan et al., 2014; Wood et 620 al., 2015; Siebert et al., 2021), and  $N_{CCN}$  at 0.2% supersaturation (hereafter  $N_{CCN,0.2\%}$ ) is interpolated to 621 5-min temporal resolution.

622

## 623 **2.2 Environmental conditions and cloud case selections**

The integrated precipitable water vapor (PWV) is obtained from a 3-channel microwave radiometer (MWR3C), which operates at three frequency channels of 23.834, 30, and 89 GHz. The uncertainty of PWV is estimated to be ~0.03 cm (Cadeddu et al., 2013). To capture the information of MBL water vapor more accurately, the sub-cloud boundary layer integrated precipitable water vapor (PWV<sub>BL</sub>) is calculated using the interpolated sounding product following:

629 
$$PWV_{BL} = \frac{1}{\rho_w} \sum (z_{i+1} - z_i) * (\rho_{v,i+1} + \rho_{v,i})/2,$$
(1)

630 where the  $\rho_w$  is the liquid water density and the  $\rho_v$  is the water vapor density collected from the 631 Interpolated Sounding and Gridded Sounding Value-Added Products (Toto and Jensen, 2016), the subscripts *i* and i + 1 represent the bottom and top of each interpolated sounding height layer. Both 632 633 PWV and PWV<sub>BL</sub> are temporally collocated to 5-min resolution and plotted against each other in Fig. S1a to test the contribution of PWV<sub>BL</sub> to the PWV. The Pearson correlation coefficient of 0.85 shows 634 635 that the PWV<sub>BL</sub> are strongly positively correlated with the PWV, while the distribution of the percentage 636 ratio of PWV<sub>BL</sub> to PWV (Fig. S1b) indicates that, on average, the PWV<sub>BL</sub> contribute to ~58% of the 637 PWV. Considering the cloud-topped MBL, the majority of cases (~74%) associate with a relatively moist 638 boundary layer compared to the amount of water vapor in the free troposphere, where the  $PWV_{BL}$  already 639 contributed over 50% of the total column PWV. In contrast, only ~9% of cloud samples occur under a 640 relatively dry boundary layer and moist free troposphere, where  $PWV_{BL}$  contributions are less than 40%. 641 In general, the PWV can well capture the variation of the  $PWV_{BL}$ . In the rest of the study, the  $PWV_{BL}$ 642 are used, as it represents the sub-cloud boundary layer water vapor availabilities which are more closely 643 related to the MBL cloud processes.

The LTS parameter is used as a proxy of large-scale thermodynamic structure and is defined as the difference between the potential temperature at 700 hPa and surface ( $\theta_{700} - \theta_{sfc}$ ). The LTS values are calculated from European Centre for Medium-Range Weather Forecasts (ECMWF) model outputs of potential temperature, by averaging over a grid box of  $0.56^{\circ} \times 0.56^{\circ}$  centered at the ENA site. To match the temporal resolutions of the other variables, the original 1-hour LTS data are downscaled to 5-min under the assumption that the large-scale forcing would not have significant changes within an hour.

The boundary layer decoupling condition is represented by the decoupling index  $(D_i)$ , which is given by  $D_i = (z_b - z_{LCL})/z_b$ , where the  $z_{LCL}$  is the lifting condensation level calculated analytically following the method in Romp (2017), with an uncertainty of around 5 m. The surface temperature, pressure, relative humidity, and mass fraction of water vapor that used in the  $z_{LCL}$  calculation, as long as the vector-averaged wind directions (in 360° coordinate) over the ENA site are obtained from the ARM surface meteorology systems (ARM MET handbook, 2011).

As for the boundary layer dynamics, the higher-order moments of vertical velocity are widely used in different model parameterization practices, such as higher-order turbulence closure and probability density function methods (Lappen and Randall, 2001; Zhu and Zuidema, 2009; Ghate et al., 2010). The vertical velocity variance can be used to represent the turbulence intensity in the below-cloud boundary layer (Feingold et al., 1999). In this study, the vertical component of the turbulence kinetic energy (TKE<sub>w</sub>) are used, which is defined as:

662 
$$\text{TKE}_{w} = \frac{1}{2} \overline{(w')^2}$$
, (2)

where the  $(w')^2$  is the variance of vertical velocity measured from the Doppler lidar standard 10-min integration, which is collected in the Doppler Lidar Vertical Velocity Statistics Value-Added Product (Newson et al., 2019). The noise correction has been applied to reduce the uncertainty of the variance to ~10% (Hogan et al., 2009; Pearson et al., 2009). In this study, the mean value of TKE<sub>w</sub> in the sub-cloud boundary layer proportion of the Doppler lidar range is used, and the data temporal resolution is further downscaled to 5-min for temporal collocation purposes.

In this study, the non-precipitating cloud periods are determined when the KAZR reflectivity at the ceilometer-detected cloud base height range does not exceed -37 dBZ (Wu et al., 2015, 2020b), which extensively rules out the wet-scavenging depletion on below-cloud CCN (Wood, 2006) and ensures the accuracy in capturing the below-cloud CCN loadings. Both retrieved cloud microphysical properties and CCN data are available from September 2016 to May 2018 and confine this period in this study.

674

#### 675 **3. Result and Discussion**

# 676 3.1 Aerosol, cloud, and meteorological properties of selected cloud cases

677 A total of 20 non-precipitating cloud cases are selected in this study, with the detailed time periods listed in Table 1, including 1143 samples with temporal resolution of 5-min, which corresponds to ~95 678 679 hours. Among the selected cases, there are three, eight, five, and four cases for Spring, Summer, Fall, 680 and Winter seasons, respectively. MBL clouds often produce precipitation in the form of drizzle (Wood 681 2012, Wu et al., 2015, 2020b). A recent study of the seasonal variation of the drizzling frequencies (Wu 682 et al., 2020b) showed that the MBL clouds in the cold months (Oct-Mar) have the highest drizzling 683 frequency of the year ( $\sim 70\%$ ), while the clouds in the warm months (Apr-Sept) are found to have a lower 684 chance of drizzling (~45%). Therefore, the selection of a non-precipitating single-layer low cloud case that lasts at least 2 hours is limited, with only 6 cases found in the cold months and 14 cases found duringthe warm months.

687 The probability distribution functions (PDFs) of the aerosol and cloud properties, and the environmental conditions for the selected cases are shown in Fig. 1. The PDF of  $N_{CCN,0,2\%}$  presents a 688 normal distribution with a mean value of 215 cm<sup>-3</sup> and median value of 217 cm<sup>-3</sup>. About 97% of the 689  $N_{CCN.0.2\%}$  samples lie below 350 cm<sup>-3</sup> and represents a relatively clean environment (Logan et al., 2014, 690 2018). A few instances of aerosol intrusions (~3%) with higher  $N_{CCN,0.2\%}$  were likely a result of 691 692 continental air mass transport from North America, Europe, and Africa (Logan et al., 2014; Wang et al., 2020). As for the cloud microphysical properties, the cloud-layer mean  $N_c$  and  $r_e$  (Fig. 1b and 1c) are 693 also both normally distributed with median values close to the mean values. The majority of the  $N_c$ 694 values (~91%) are lower than 125 cm<sup>-3</sup> with a mean value of 86 cm<sup>-3</sup>, and the  $r_e$  distribution peaks at 695 9 - 11 µm with a mean value of 10.1 µm. Both  $N_c$  and  $r_e$  values fall in the typical ranges of the non-696 697 precipitating MBL cloud characteristics over the ENA site (Dong et al., 2014; Wu et al., 2020b). The distribution of  $f_{ad}$  is slightly skewed to the left with a median value of 0.66 (Fig. 1d), indicates that the 698 699 bulk of cloud samples are close to adiabatic environments, while the left tail denotes a wide range of 700 cloud sub-adiabaticities, which allows us to investigate the role of cloud adiabaticities on the cloud 701 microphysical variations.

702 For all selected cases, the LTS, which represents the large-scale thermodynamic structure, is 703 distributed bimodally across the range from 14K to 23K with mean and median values of 19.1K in Fig. 704 1e. A higher LTS magnitude represents a relatively stable environment and is favorable to the formation 705 of marine stratocumulus (Medeiros and Stevens, 2011; Gryspeerdt et al., 2016). Note that the median 706 LTS of 19.1 K in this study is close to the separation threshold of 18.55K suggested by prior studies to 707 distinguish the marine stratocumulus from a global assessment of marine shallow cumulus clouds 708 (Smalley and Rapp, 2020). Therefore, leveraging the demarcation line at 19.1K may allow us to 709 investigate the aerosol-cloud relationships under contrasting thermodynamic regimes. The PDF of  $D_i$ 710 parameter spreads widely with a median value of 0.34 for the selected cases (Fig. 1f), which provides an 711 opportunity to study the cloud sample behaviors under MBL conditions range from well-mixed to 712 decoupled. Higher  $D_i$  values indicate more decoupled MBL with weaker turbulence which cannot sufficiently maintain the well-mixed MBL, while lower  $D_i$  values often associate with stronger 713 714 turbulence which maintains a coupled MBL (Jones et al., 2011). As an indicator of the below-cloud 715 boundary layer turbulence, the TKE<sub>w</sub> values present a gamma distribution that is highly skewed to the right (Fig. 1e), with a mean value of 0.11 and a median value of 0.08  $m^2s^{-2}$ . About half of the cloud 716

samples are under relatively less turbulent environment (which is also implied by the higher half of  $D_i$ ), suggesting weak connections between the cloud layer and the below-cloud boundary layer. The other half of the cloud samples, with relatively higher TKE<sub>w</sub> values up to 0.4 m<sup>2</sup>/s<sup>2</sup>, imply tighter connections between cloud microphysical properties and below-cloud boundary layer accompanied by intensive turbulent conditions, which is favorable to enhance cloud droplet growth (Albrecht et al., 1995; Hogan et al., 2009; Ghate et al., 2010; West et al., 2014; Ghate and Cadeddu, 2019).

723 It is noteworthy that PWV<sub>BL</sub> values exhibit a bimodal distribution with a median value of 1.2 cm 724 (Fig. 1f). About 49% of the samples have their PWV<sub>BL</sub> values in the range of 0.4 - 1.2 cm with the first 725 peak in 0.6 - 0.8 cm, and 51% of the samples have  $PWV_{BL}$  values higher than 1.2 cm with a second peak 726 in 1.6 - 1.8 cm, which may be due to the seasonal difference of the selected cases. Fig. S2 shows the 727 seasonal variation of the PWV<sub>BL</sub> from 2016 to 2018 when single-layered low clouds are present. The 728 monthly PWV<sub>BL</sub> values are as low as ~ 0.9 cm and remain nearly invariant from January through March, 729 then increase to ~ 2.0 cm (doubled) in September, and decrease dramatically to the winter months. The 730 selected cloud cases are distributed across the seasons, with  $\sim 34\%$  of the samples occurring during the 731 months with the lowest mean PWV<sub>BL</sub> (Jan-Mar), while ~43% of the samples fall in the highest PWV<sub>BL</sub> 732 months (Jun-Sept). These two different PWV<sub>BL</sub> regions will provide a great opportunity for us to further 733 examine the ACI under relatively lower and higher water vapor conditions.

734

## 735 **3.2 Dependent of cloud microphysical properties on CCN and PWV**BL

736 Figure 2 shows the cloud microphysical properties as a function of  $N_{CCN,0,2\%}$  and PWV<sub>BL</sub> for the samples from 20 selected cases. As illustrated in Fig. 2a, there is a statistically significant positive 737 correlation ( $R^2=0.9$ ) between  $ln(N_c)$  and  $ln(N_{CCN,0.2\%})$ . The linear fit of  $ln(N_c)$  to  $ln(N_{CCN,0.2\%})$  is then 738 mathematically transformed to a power-law fitting function of  $N_c$  to  $N_{CCN,0.2\%}$ , and plotted as dash lines 739 740 in Fig. 2a. The power-law fitting indicates that 90.3% of the variation in binned  $ln(N_c)$  can be explained 741 by the change in the binned  $ln(N_{CCN.0.2\%})$  and further suggests that with more available below-cloud CCN, higher number concentrations are expected. The logarithmic ratio  $\partial ln(N_c)/\partial ln(N_{CCN,0.2\%})$  is 742 computed to be 0.435 from our study. This ratio is very close to 0.48 found by McComiskey et al. (2009), 743 744 who also used ground-based measurements to study the marine stratus clouds over the California coast. 745 The logarithmic ratio (0.435) is also close to the result (0.458) of Lu et al. (2007) who used aircraft in-746 situ measured cloud droplet and accumulation mode aerosol number concentration for the marine stratus 747 and stratocumulus clouds over the eastern Pacific Ocean. The ratio reflects the relative conversion 748 efficiency of cloud droplets from the CCN, regardless of the water vapor availabilities. Theoretically, it has the boundaries of 0 - 1, where the lower bound means no change of  $N_c$  with  $N_{CCN}$ , and the upper bound indicates a linear relationship that every CCN would result in one cloud droplet. Our result is comparable with the previous studies targeting the MBL stratiform clouds, indicating a certain similarity of the bulk cloud microphysical responses with respect to aerosol intrusion in those types of cloud and over different marine environments, further support that the assessment in this study is valid.

754 The PWV<sub>BL</sub> values are represented as blue circles (larger one for higher PWV<sub>BL</sub>) in Fig. 2a in order 755 to study the role of water vapor availability on the CCN- $N_c$  conversion process. As demonstrated in Fig. 2a, the PWV<sub>BL</sub> values almost mimic the increasing  $N_{CCN,0.2\%}$  trend, which is also governed by the 756 seasonal  $N_{CCN,0.2\%}$  and the selected cloud cases. Fig. S3 shows the seasonal variation of  $N_{CCN,0.2\%}$  from 757 758 2016 to 2018. It is noticeable that the monthly  $N_{CCN,0.2\%}$  values, which mimic the monthly variation of 759 PWV<sub>BL</sub>, are much higher during warm months (May-Oct) than during cold months (Nov-Apr). This 760 seasonal  $N_{CCN,0.2\%}$  variation is also found in recent studies of MBL aerosol composition and number 761 concentration. During the warm months, the below-cloud boundary layer is enriched by the accumulation 762 mode of sulfate and organic particles via local generation and long-range transport induced by the semi-763 permanent Azores High, which are found to be hydrophilic and can be great CCN contributors (Wang et 764 al., 2020; Zawadowicz et al., 2020; Zheng et al., 2018, 2020). Therefore, the coincidence of high 765  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub> does not necessarily imply a physical relationship, but instead is the result of their similar seasonal trend. The potential co-variabilities between  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub>, and hence the 766 implication on the  $N_c$  variation will be further investigated in the latter section. When taking the PWV<sub>BL</sub> 767 into account,  $R^2$  increases from 0.903 to 0.982, and this new relationship suggests that the co-variability 768 769 between the binned  $ln(N_{CCN,0.2\%})$  and ln (PWV<sub>BL</sub>) are in a stronger correlation with the change in 770 binned  $ln(N_c)$ . Intuitively, if the CCN- $N_c$  relationship is primarily dominated by the diffusion of water vapor, more CCN and higher PWV<sub>BL</sub> should result in a continuously increasing of  $N_c$ . However, the 771 rapid increase of  $N_c$  (37 to 92 cm<sup>-3</sup>) in the first half of  $N_{CCN,0.2\%}$  bins (<250 cm<sup>-3</sup>) does not happen in 772 the second half of the  $N_{CCN,0.2\%}$  bins (>250 cm<sup>-3</sup>) where the slope of  $N_c$  increase (96 to 103 cm<sup>-3</sup>) 773 appears to be flattened for higher N<sub>CCN,0.2%</sub> and PWV<sub>BL</sub> bins. Furthermore, the joint power-law fitting of 774  $N_c$  (to  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub>) appears to be constantly lower than the single power-law fitting of  $N_c$  (to 775  $N_{CCN,0.2\%}$  solely) in each bin. The negative power of PWV<sub>BL</sub> in this relationship suggests that PWV<sub>BL</sub> 776 777 might play a stabilization role in the diffusional growth process, which will be further analyzed in the 778 following sections.

The relationship between  $r_e$  and  $N_{CCN,0.2\%}$  is shown in Fig. 2b where there is no significant relationship between  $r_e$  with  $N_{CCN,0.2\%}$  solely, given a near-zero slope and the low correlation coefficient 781 (fitted line not plotted). However, after applying a multiple linear regression to the logarithmic form of  $r_e$ ,  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub>, a significant correlation among those three variables is found. The  $r_e$  is 782 negatively correlated with N<sub>CCN.0.2%</sub> and positively correlated with PWV<sub>BL</sub>, and 73.7% of the variations 783 in binned  $ln(r_e)$  can be explained by the joint changes of the binned  $ln(N_{CCN,0.2\%})$  and ln (PWV<sub>BL</sub>). 784 This indicates that in the bulk part,  $r_e$  decreases with increasing  $N_{CCN,0.2\%}$  and enlarges with increasing 785 PWV<sub>BL</sub>. Notice that in the lower  $N_{CCN,0.2\%}$  bins (<150 cm<sup>-3</sup>) where the PWV<sub>BL</sub> values are the lowest 786 among all the bins (0.76 - 0.85 cm), the limitation of cloud droplet growth by competing for the available 787 water vapor is evident by the changes in  $N_c$  and  $r_e$ . For example, the  $N_{CCN,0.2\%}$  changes from 47 to 128 788 cm<sup>-3</sup>, the  $N_c$  increases from 37 to 71 cm<sup>-3</sup> and  $r_e$  only increases from 9.30 to 9.74 µm. In other words, 789 nearly tripling the CCN loading leads to roughly doubling  $N_c$ , while the  $r_e$  is only enlarged by 0.44 µm 790 791 (4.7%). In the relatively low available PWV<sub>BL</sub> regime, it is clear that even with more CCN being 792 converted into cloud droplets, the limited water vapor condition prohibits the further diffusional growth of those cloud droplets. However, in the higher  $N_{CCN,0.2\%}$  bins (>150 cm<sup>-3</sup>) with relatively higher 793 PWV<sub>BL</sub>, the binned  $r_e$  values fluctuate and decrease with increasing CCN bins under similar PWV<sub>BL</sub> (i.e., 794 the two  $N_{CCN,0.2\%}$  ranges from 200-400 cm<sup>-3</sup>, and from 400-500 cm<sup>-3</sup>). Since  $r_e$  essentially represents 795 the area-weighted information of the cloud droplet size distribution (DSD), this sorting method of  $r_e$ 796 797 inevitably entangles multiple cloud droplet evolution processes and environmental effects that can alter 798 the DSD, especially under the condition of sufficient water supply. Therefore, the further assessment of 799 the  $r_e$  responses to the  $N_{CCN,0,2\%}$  loading under the constraint of water vapor should be discussed in order 800 to untangle the impacts of different processes and environmental effects on  $r_e$ .

801

# 802 **3.3 Aerosol-cloud interaction under different water vapor availabilities**

As previously discussed above and suggested by earlier studies, the conditions of water vapor supply have a substantial impact on various processes from CCN- $N_c$  conversion to in-cloud droplet condensational growth and coalescence processes, hence effectively altering the cloud DSD (Feingold et al., 2006; McComiskey et al., 2009; Zheng et al., 2020). Moving forward to examine how  $r_e$  responds to the changes of  $N_{CCN,0.2\%}$  in the context of given water vapor availability, an index describing the aerosolcloud interaction process is introduced as follows:

809 
$$\operatorname{ACI}_{\mathrm{r}} = -\frac{\partial \ln \left(\mathrm{r}_{\mathrm{e}}\right)}{\partial \ln \left(N_{CCN,0.2\%}\right)}\Big|_{\mathrm{PWV}_{\mathrm{BL}}}.$$
(3)

810 The ACI<sub>r</sub> represents the relative change of  $r_e$  with respect to the relative change of  $N_{CCN,0.2\%}$ , where 811 positive ACI<sub>r</sub> denotes the decrease of  $r_e$  with increasing  $N_{CCN,0.2\%}$  under binned PWV<sub>BL</sub>. This 812 assessment of ACI<sub>r</sub> focuses on the relative sensitivity of the cloud microphysics response in the water 813 vapor stratified environment, while previous studies used the cloud liquid water path (LWP) as the 814 constraint (Twomey, 1977; Feingold et al., 2003; Garrett et al., 2004). LWP describes the liquid water 815 (i.e., existing cloud droplets) physically linked to  $r_e$  and  $N_c$  which have an interdependent relationship 816 in cloud retrieval procedures, and hence to a certain extent, share co-variabilities with cloud 817 microphysical properties (Dong et al., 1998; Wu et al., 2020a). In this study, by using the PWV as a 818 sorting variable, we are trying to capture the role of ambient available water vapor in the cloud droplet 819 growth process (especially the water vapor diffusional growth), using measurement independent to the 820 cloud retrievals. Fig. 3 shows the variation of ACI<sub>r</sub> under different PWV<sub>BL</sub> bins, and illustrates the calculation of ACI<sub>r</sub> in three different PWV<sub>BL</sub> ranges. Note that in Fig. 3a, the regressions are derived 821 822 from all points (statistically significant with a confidence level of 95%). As shown in Fig. 3a, the ACI<sub>r</sub> 823 values range from close-to-zero values (-0.01) to 0.22, with the mean value of  $0.117 \pm 0.052$ . The ACI<sub>r</sub> 824 range of this study agrees well with the previous studies of MBL cloud aerosol-cloud interactions 825 (McComiskey et al., 2009; Pandithurai et al., 2009; Liu et al., 2016). It is noteworthy that the variation 826 of ACI<sub>r</sub> with PWV<sub>BL</sub> suggests two different relationships under separated PWV<sub>BL</sub> conditions, as 827 discussed in the following two paragraphs.

828 Under the relatively lower PWV<sub>BL</sub> condition (<1.2 cm), the low values of ACI<sub>r</sub> (-0.01 - 0.057) indicate that  $r_e$  is less sensitive to  $N_{CCN,0.2\%}$ , and the dependence on PWV<sub>BL</sub> is also insignificant given 829 830 by the flat regression line (green dashed line) and low correlation coefficient of 0.38 (Fig. 3a). As 831 discussed in section 3.2, the limited water vapor can weaken the ability of condensational growth of the cloud droplet converted from CCN, that is, the increase of CCN loading cannot be effectively reflected 832 by a decrease in  $r_e$ . For example, a 307% increase of  $N_{CCN,0.2\%}$  only leads to a 10% decrease in  $r_e$  in the 833 834  $PWV_{BL}$  range of 0.8-1.0 cm as shown in Fig. 3b. So that in this regime, even with a slight  $PWV_{BL}$  increase, the lack of a sufficient amount of large cloud droplets is favorable to the predominant condensational 835 growth process, which effectively narrows the cloud DSD and, in turn, confines the variable range of  $r_e$ 836 837 with respect to  $N_{CCN.0.2\%}$  (Pawlowska et al., 2006; Zheng et al., 2020). In this situation, the abilities of 838 CCN to cloud droplet conversion and the droplet condensational growth are limited by insufficient water 839 vapor, rather than an influx of CCN.

However, under the relatively higher  $PWV_{BL}$  regime (>1.2 cm), the  $ACI_r$  values become more positive and express a significant increasing trend with  $PWV_{BL}$  (correlation coefficient of 0.83, blue dashed line), which indicates that  $r_e$  is more susceptible to  $N_{CCN,0.2\%}$  in this regime. On the one hand, due to the sufficient water vapor supply, the enhanced condensational growth process allows more CCN 844 to grow into cloud droplets, so that the limiting factor of the droplet growth corresponds to the changes in CCN loading. On the other hand, the increased  $N_c$  values associated with higher water vapor supply 845 846 in the cloud effectively enhance the coalescence process. This results in broadening the cloud DSD and 847 increasing the variation range of  $r_e$  in response to the changes of  $N_{CCN,0,2\%}$ . To test our hypothesis of 848 active coalescence under higher water vapor conditions, Table 2 lists the occurrence frequencies of large  $r_e$  values (> 12 and 14 µm) under the six high PWV<sub>BL</sub> bins (1.2 – 2.4 cm), because this range of 12-14 849 µm can serve as the critical demarcation of an efficient coalescence process (Gerber, 1996; Freud and 850 851 Rosenfeld, 2012; Rosenfeld et al., 2012). As listed in Table 2, for the six high PWV<sub>BL</sub> bins, the 852 occurrence frequencies of  $r_e > 12 \ \mu m$  are 25.0%, 30.6%, 54.1%, 74.2%, 93.8% and 97.5%, and the 853 occurrence frequencies of  $r_e > 14 \,\mu\text{m}$  are 1.25%, 1.77%, 7.4%, 17.7%, 31.9% and 20.1%, respectively.

854 The increasing trends of large  $r_e$  occurrences mimic the trend of ACI<sub>r</sub> and suggest that with 855 increased PWV<sub>BL</sub>, cloud droplets have a greater chance to grow via the effective coalescence process and subsequently lead to an enlargement of ACIr. Although previous studies have brought up the 856 857 potential impacts of the cloud droplet coalescence process on ACI, it is rarely seen that the relationship 858 among them has been discussed in detail. Here we provide possible explanations on how the enhanced 859 coalescence process can enlarge ACI<sub>r</sub>. Quantitatively, ACI<sub>r</sub> is described by the log partial derivative ratio of  $r_e$  to  $N_{CCN,0.2\%}$ , thus a sharper decrease of  $r_e$  with respect to a given  $N_{CCN,0.2\%}$  range can result in a 860 steeper slope and in turn, larger ACI<sub>r</sub> (i.e., a 239% increase in  $N_{CCN,0,2\%}$  leads to a  $r_e$  decrease of 48% in 861 862 the 2.2-2.4 cm bin in Fig. 3b). Physically, this relies on how the cloud droplet size distribution (DSD) 863 would change with different CCN loadings. Therefore, particularly in low CCN conditions, sufficient 864 water vapor availability will allow cloud droplets to continuously grow via diffusion of water vapor (i.e., 865 condensational growth), and enter the active cloud-droplet coalescence regime. In contrast, the increase 866 in cloud droplet size can effectively reduce  $N_c$  via the process of large cloud droplets collecting small 867 droplets, and small droplets be coalesced into large droplets. Consequently, the cloud DSD becomes effectively broadened toward the large tail by the coalescence, so that  $r_e$  is enlarged. With more CCN 868 869 available, the cloud DSD is narrowed by the enhanced condensational growth and regresses toward the 870 small tail by increasing the amount of newly converted cloud droplets which result in decreased  $r_e$ . These interactions between CCNs and cloud droplets ultimately result in the broadened changeable range of  $r_e$ , 871 872 and in turn, the enlarged ACI<sub>r</sub>.

873 In order to investigate the theoretical implication of supersaturation conditions on the aerosol-cloud 874 interaction observed here in the MBL stratiform clouds, the ACI<sub>r</sub> values are calculated with respect to 875 the surface  $N_{CCN}$  theoretically at two additional high supersaturation levels (0.5% and 1.2%), under all 876 PWV<sub>BL</sub> conditions. The results in Table 3 show that the ACI<sub>r</sub> signals are both weak and do not have 877 significant changes under relatively lower PWV<sub>BL</sub> conditions, while the ACI<sub>r</sub> signals tend to strengthen with the increase of supersaturation under the relatively higher PWV<sub>BL</sub>. Base on the Köhler theory, if the 878 879 supersaturation exceeds the critical point for the given droplet, the droplet will thus experience continued 880 growth, so theoretically the ACI should increase with the supersaturation under same aerosol number 881 concentration. However, the observed limited water vapor cannot support this ideal droplet growth, 882 results in weak responses of cloud droplets to aerosol intrusion. With the increase of observed water 883 vapor, the continued growth of cloud droplets becomes more plausible, hence the high supersaturation 884 yields larger droplets with low number of aerosols, more efficient droplet activation with a large number 885 of aerosols, and in turns, larger ACI<sub>r</sub> (even out of the theoretical bounds). However, considering these high supersaturation environments are unphysical in the observed MBL cloud layers, and estimating the 886 887 real supersaturation conditions using ground-based remote-sensing is beyond the scope of this study, we 888 chose the supersaturation level of 0.2% because it represents the most typical supersaturation conditions 889 of MBL stratiform clouds.

#### 890

# 891 **3.4 The co-variabilities of the meteorological factors**

892 The environmental conditions over the ENA have been widely studied as not independent but 893 entangled with each other (Wood et al., 2015; Zheng et al., 2016; Wu et al., 2017; Wang et al., 2021). 894 To better understand the dependencies and the co-variabilities of the meteorological factors, a principal 895 component analysis (PCA) is performed targeting on the following variables: (1) PWV<sub>BL</sub> denotes the 896 water vapor availabilities within the boundary layer; (2)  $D_i$  describes the boundary layer coupling conditions; (3) TKE<sub>w</sub> represents the strength of boundary layer turbulence; (4)  $W_{dir,NS}$  reflects the 897 898 surface wind directions in terms of northerly and southerly; and (5) LTS infers the large-scale 899 thermodynamic structures. Note that the  $W_{dir,NS}$  are taken as  $W_{dir,NS} = abs(W_{dir} - 180^\circ)$ , so that the original  $W_{dir}$  (0-360°) can be transformed to  $W_{dir,NS}$  (0-180°) where the values smaller than 90° are 900 close to the southerly wind, and those greater than 90° are close to the northerly wind. The  $W_{dir.ns}$  are 901 902 transformed as such to capture the island effects better, because the cliff is located north of the ENA site.

The input data metric is constructed from the above five variables to apply the PCA, and the principal components (PCs) that serving to explain the variation of those dependent variables can be output from the eigenanalysis. The result shows that for the five selected meteorological factors, the proportions of the total intervariable variance explained by the PCs are 43.72%, 22.01%, 18.26%, 8.95% and 7.06%, and the eigenvalues are 2.19, 1.10, 0.91, 0.45, and 0.35, respectively. Note that the first three PCs have the highest eigenvalues and explain most (~84%) of the total variance, which indicates that
they can capture the significant variation patterns of the selective meteorological factors.

910 To determine the relative contributions of the variables to PCs, all the five selected meteorological 911 variables are projected to the first three PCs and the Pearson correlation coefficients between them are 912 listed in Table 4. For the first PC (PC1) which accounts for the highest proportion (43.72%) of the total 913 variance, the PC1 is strongly negatively correlated with PWV<sub>BL</sub> (-0.84) and  $D_i$  (-0.73), but strongly 914 positively correlated with  $TKE_w$  (0.69). These results suggest that PC1 mainly represents the boundary 915 layer conditions, and the co-variations of the boundary layer water vapor and turbulence are the most 916 distinct environmental patterns for the selected cloud cases. The PC2 and PC3 are most correlated with 917 LTS (0.58 and 0.65 for PC2 and PC3, respectively) and  $W_{dir,NS}$  (0.60 and -0.50 for PC2 and PC3, respectively), indicating that the PC2 and PC3 mainly describe the variations in large-scale 918 919 thermodynamic and the surface wind patterns, which are likely associated with the variations of the 920 Azores High position and strength (Wood et al., 2015).

921 To further understand the correlations between the meteorological variables, the principal 922 component loadings plot is constructed by projecting the variables onto PC1 and PC2 as shown in Fig. 923 4. Each point denotes the variable correlations with PC1 (x-coordinate) and PC2 (y-coordinate), so that 924 each vector represents the strength and direction of the original variable influences on the pair of PCs. 925 The angle between the two vectors represents the correlation between each other. In Fig. 4, both  $TKE_w$ 926 and  $W_{dir,NS}$  vectors are located in the same quadrant (positive in both PC1 and PC2) and close to each 927 other with a small degree of an acute angle, which means the TKE<sub>w</sub> are strongly correlated with the  $W_{dir,NS}$ . When the surface wind is coming from the north side of the island, the topographic lifting effect 928 929 of the cliff would induce additional updraft over the ENA site (Zheng et al., 2016), so that the wind closer to the northerly wind (larger  $W_{dir,NS}$ ) is more correlated with higher TKE<sub>w</sub>. Note that TKE<sub>w</sub> and  $D_i$ 930 931 vectors are almost in an opposite direction, which denotes a strongly negative correlation between the two variables. The angles of PWV<sub>BL</sub> with  $D_i$  (~45°) and TKE<sub>w</sub> (~142°) suggest that PWV<sub>BL</sub> is 932 moderately positively correlated with  $D_i$  but negatively correlated with TKE<sub>w</sub>. A higher  $D_i$  indicates a 933 934 more decoupled MBL, where MBL is not well-mixed and separated into a radiative-driven layer and a 935 surface flux driven layer that caps the surface moisture (Jones et al., 2011). This situation is more likely 936 to associate with a relatively higher PWV<sub>BL</sub> and weaker TKE<sub>w</sub> condition. As for the LTS parameter, the 937 close to 90° angle with TKE<sub>w</sub> suggests no correlation between them, since the LTS is mostly capturing 938 the large-scale thermodynamical structures and is obtained from a coarser temporal resolution. Thus, the 939 LTS does not essentially have correspondence to the strength of boundary layer turbulence and can be treated as independent to  $TKE_w$  over the ENA site. The loading plot intuitively tells us the directions and strengths of the co-variabilities of the selected meteorological variables, and sheds the light on determining the key factors that are feasible to use in examining the environmental impacts on the aerosol-cloud interactions.

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#### 945 **3.5 Linking the meteorological factors to aerosol-cloud interaction**

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# 947 3.5.1 Relations of meteorological factors with aerosol and cloud properties

948 The PCs are, mathematically, the linear combination of the selected variables, and hence 949 independent of each other after the PCA. Therefore, treating the aerosol and cloud properties as 950 dependents and correlated with the PCs allows us to infer their co-variation with the meteorological factors statistically. A weakly negative correlation between  $N_{CCN,0.2\%}$  and PC1 ( $R_{PC1,CCN} = -0.35$ ) 951 suggests that the relatively higher  $N_{CCN,0.2\%}$  could be sometimes found under higher PWV<sub>BL</sub> and lower 952 953 TKE<sub>w</sub>. Though the correlation is low, the plausible contributions could come from the seasonal variations 954 of  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub> as discussed in the previous section, and the weaker TKE<sub>w</sub> might prevent the 955 vertical mixing of CCN and induce higher surface  $N_{CCN,0,2\%}$ . On the other hand, a weakly positive 956 correlation between  $N_{CCN,0.2\%}$  and PC2 ( $R_{PC2,CCN} = 0.21$ ) suggests that there are no fundamental 957 relationships between CCN with thermodynamic and the surface wind direction, and they are not the key 958 controlling factor of surface  $N_{CCN,0.2\%}$  variation because the surface CCN concentration is primarily 959 contributed by the accumulation-mode aerosols which come from the condensational growth of Aitkenmode aerosols (Zheng et al., 2018). As for the cloud properties, both  $N_c$  and  $f_{ad}$  are negatively correlated 960 with PC1 ( $R_{PC1,Nc} = -0.51$  and  $R_{PC1,fad} = -0.62$ , respectively), suggesting a moderate relationship 961 between  $N_c$ ,  $f_{ad}$ , and the boundary layer condition. Moreover, their low correlations with PC2 962 963  $(R_{PC2,Nc} = -0.10 \text{ and } R_{PC2,fad} = -0.17$ , respectively) indicate very weak relations with the large-scale 964 thermodynamic variables. Note that the same sign of correlations with PC1 statistically inferring the similar directional co-variation of  $N_{CCN,0.2\%}$ ,  $N_c$ , and  $f_{ad}$  to a certain extent. 965

To examine the physical relation between  $N_{CCN,0.2\%}$ ,  $N_c$  and  $f_{ad}$ , the profiles of cloud  $r_e$  and LWC are plotted in normalized height from cloud base  $(z_b)$  to cloud top height  $(z_t)$  (Fig. 5), which is given by  $z_n = (z - z_b) / (z_t - z_b)$ . The solid lines denote the mean values, and the shaded area represents one standard deviation at each normalized height  $z_n$ . The normalized  $r_e$  increases from ~8.6  $\mu m$  at the cloud base toward ~11  $\mu m$  near the upper part of the cloud where  $z_n$  is 0.7 (Fig. 5a), through condensational growth and coalescence processes, and then decreases toward the cloud top due to cloud972 top entrainment. Similar in-cloud vertical variation of  $r_e$  is also found by previous study using aircraft 973 in-situ measurements (Zhao et al., 2018; Wu et al. 2020a). Profiles of retrieved LWC and calculated adiabatic LWC<sub>ad</sub> (blue line) are presented in Fig. 5b. As demonstrated in Fig. 5b, the  $f_{ad}$  values, which 974 975 is the ratio of LWC to LWC<sub>ad</sub>, reach a maximum of 0.8 at the cloud base and a minimum of 0.38 at the 976 cloud top. The shaded areas of  $r_e$  and LWC denote the range from near-adiabatic to sub-adiabatic cloud 977 environments, where in the near-adiabatic cloud (higher  $f_{ad}$ ) the cloud droplets experience adiabatic growth and LWC should close to LWC<sub>ad</sub>. In contrast, in the sub-adiabatic cloud regime, the decrease of 978  $f_{ad}$  is largely due to cloud-top entrainment and coalescence processes even in non-precipitating MBL 979 980 clouds (Wood, 2012; Braun et al., 2018; Wu et al. 2020b). Furthermore, to understand the implication of cloud adiabaticity with respect to CCN- $N_c$  conversion, all of the  $f_{ad}$  samples are separated into two 981 982 groups by the median value of the layer-mean  $f_{ad}$  (0.66) for a further analysis.

Figure 6 shows  $N_c$  against the binned  $N_{CCN,0.2\%}$  for the near-adiabatic regime ( $f_{ad} > 0.66$ ) and 983 sub-adiabatic regime ( $f_{ad} < 0.66$ ). For the near-adiabatic regime,  $N_c$  increases from ~60 cm<sup>-3</sup> to 119 984 cm<sup>-3</sup> with increased  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub>, and both  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub> appear to play positive roles 985 986 in terms of the  $N_c$  increase. The result is as expected because the process of condensational growth is 987 predominant in the near-adiabatic clouds, that is, with increasing water vapor supply, the higher CCN 988 loading can effectively lead to more cloud droplets. However, in the sub-adiabatic cloud regime,  $N_c$ increases with increased  $N_{CCN,0.2\%}$  but possesses a negative correlation with PWV, which results in a 989 slower increase of  $N_c$  under higher  $N_{CCN,0.2\%}$  and PWV<sub>BL</sub> conditions. The mean reduction of  $N_c$  in the 990 991 sub-adiabatic regime is computed to be ~37% compared to that for the near-adiabatic clouds. As previously studied, the coalescence process contributes significantly to  $N_c$  depletion, even in a non-992 993 precipitating MBL clouds (Feingold et al., 1996; Wood, 2006). Thus, lower  $N_c$  in the sub-adiabatic 994 regime may be partly due to the combined effect of coalescence and entrainment (Wood, 2006; Hill et al., 2009; Yum et al., 2015; Wang et al., 2020). Note that the retrieved  $N_c$  is representing the cloud layer-995 996 mean information. In summary, the Wu et al. (2020a) retrieval works as separating the reflectivity to the 997 contributions of cloud  $(Z_c)$  and drizzle, the cloud procedure assumes an initial guess of the representative layer-mean  $N_c$  based on the climatology over ENA sites (Dong et al., 2014), and such allows the first 998 999 guess of the vertical profile of LWC based on  $N_c$  and  $Z_c$ , and then constrains back the  $N_c$  and LWC using 1000 the LWP from MWR, finally output  $r_e$  (Fig.3 in Wu et al., 2020a). Therefore, the final retrieved  $N_c$  is 1001 updated to in response to the cloud microphysical processes within this time-step. From the aircraft in-1002 situ measurements during the ACE-ENA, we used the in-situ measurement during ACE-ENA to validate 1003 the retrieval outputs and found that the observed  $N_c$  profile is near-constant in middle part of the cloud,

1004 with the signal of entrainment-induced depletion near the cloud top, even in the drizzling cloud where 1005 the collision-coalescence processes are more active (Wu et al., 2020a). However, it is hard and beyond 1006 the scope of the ground-based retrieval to compare the vertical dependency of depletion rate within one 1007 time-step. Therefore, as the retrieval currently work as representing the layer-mean information from the 1008 given time-step, the preferred method in this study is to compare  $N_c$  at different times, where in this case 1009 are the adiabatic versus sub-adiabatic conditions which hence yields different  $N_c$  that we retrieved from 1010 the ground-based snapshot perspective. From the PCA and binning analysis, the effect of cloud 1011 adiabaticities on CCN- $N_c$  conversions may shed light on interpreting the aerosol-cloud interaction under 1012 different environmental effects.

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# 1014 **3.5.2 The role of meteorological factors on ACI**<sub>r</sub> assessment

Since ACI<sub>r</sub> can only be calculated by the logarithmic derivatives from a set of  $N_{CCN,0.2\%}$  and  $r_e$ 1015 1016 data within a certain regime, it will be inappropriate to linearly correlate the data with PCs directly, in 1017 both mathematical and physical perspectives. Therefore, the meteorological factors which have the 1018 strongest influence on the most explanatory PCs, namely PWV<sub>BL</sub> and TKE<sub>w</sub> are selected to be the sorting 1019 variables in assessing the environmental impacts on the ACI<sub>r</sub>. In addition, LTS is also selected as it 1020 represents the large-scale thermodynamic factor and is independent to the boundary-layer environment 1021 conditions. The data samples are first separated into two regimes using the median values of the targeting 1022 factors, and then separated into four quadrants by the median PWV<sub>BL</sub> because ACI<sub>r</sub> is found to have 1023 significant differences under different water vapor availabilities. The ACI<sub>r</sub> values are further calculated 1024 for all quadrants to examine whether the ACI<sub>r</sub> can be distinguished by the targeting factors.

1025 Combining LTS and PWV<sub>BL</sub> as sorting variables, the ACI<sub>r</sub> values for four regimes are shown in 1026 Fig. S4. The ACI<sub>r</sub> differences between low and high PWV<sub>BL</sub> regimes are still retained. In the low PWV<sub>BL</sub> 1027 regime, the ACI<sub>r</sub> values are limited to 0.016 and 0.056 for low and high LTS regimes, respectively. In 1028 the high PWV<sub>BL</sub> regime, the ACI<sub>r</sub> values are 0.150 and 0.171 for low and high LTS regimes, respectively, 1029 which is about 3-5 times greater than those in low PWV<sub>BL</sub> regime. However, the ACI<sub>r</sub> in different LTS 1030 regimes cannot be distinctly differentiated (ACI<sub>r</sub> differences between LTS regimes are  $\sim 0.02$  and  $\sim 0.04$ ), 1031 and the main difference in ACI<sub>r</sub> are still induced by the PWV<sub>BL</sub>. Owing to the location of the ENA site 1032 where it locates near the boundary of mid-latitude and subtropical climate regimes, the MBL clouds over 1033 the ENA are found to be often under the influences of cold fronts associated with mid-latitude cyclones, 1034 where the cloud evolutions are subject to the combine effects of post-frontal and large-scale subsidence 1035 (Wood et al., 2015; Zheng et al., 2020; Wang et al., 2021). Therefore, over the ENA, although the spatial 1036 gradient of LTS is studied to be associated with the production of MBL turbulence and the change in 1037 wind direction (Wu et al., 2017), the LTS value itself is examined to has a weak impact on the aerosol-1038 cloud interaction from this study.

The TKE<sub>w</sub> has been found to be strongly positively correlated with  $W_{dir,NS}$  and negatively 1039 1040 correlated with  $D_i$  from the PCA, that is, the values of TKE<sub>w</sub> already account for the co-variabilities in 1041 these variables. Therefore, treating TKE<sub>w</sub> as the sorting variable would lead to a more physical process-1042 orientated assessment. Accordingly, to examine the role of the dynamical factors on ACI, the samples 1043 are separated into four regimes demarcated by the median values of PWV<sub>BL</sub> and TKE<sub>w</sub> (Fig. 7), and the mean values of  $D_i$  and  $f_{ad}$  in the four quadrants are also displayed in Fig. 7. The effect of PWV<sub>BL</sub> on 1044 1045  $ACI_r$  is demonstrated by the mean  $ACI_r$  values where they are much higher in the high PWV<sub>BL</sub> regime 1046 than those in the low PWV<sub>BL</sub> regime no matter what the TKE<sub>w</sub> regimes. Furthermore, the result illustrates 1047 that TKE<sub>w</sub> does play an important role in ACI<sub>r</sub>, because the ACI<sub>r</sub> values in the high TKE<sub>w</sub> regime are 1048 more than double than the values in the low  $TKE_w$  regime.

1049 In the regimes of high TKE<sub>w</sub> and PWV<sub>BL</sub>, which are closely associated with coupled MBL ( $D_i$  = 0.21) and more sub-adiabatic cloud conditions ( $f_{ad} = 0.52$ ),  $r_e$  is highly sensitive to CCN loading with 1050 1051 the highest ACI<sub>r</sub> of 0.259. The sufficient water vapor availability allows CCN to be converted into cloud 1052 droplets more effectively, while the relatively higher TKE<sub>w</sub> indicates stronger turbulence in the below-1053 cloud boundary layer and maintains a nearly well-mixed MBL. The CCN and moisture below-cloud layer 1054 are efficiently transported and mixed aloft via the ascending branch of the eddies (Nicholls, 1984; Hogan 1055 et al., 2009), hence are effectively connected to the cloud layer. Therefore, under the lower CCN loading 1056 condition, the active coalescence process (which indicated by the low  $f_{ad}$  values) results in the depletion 1057 of small cloud droplets and broadening of cloud DSD (Chandrakar et al., 2016), and in turn, leads to 1058 further enlarged  $r_e$ . However, with higher CCN intrusion into the cloud layer, the enhanced cloud droplet 1059 conversion and the subsequential condensational growth behave contradictorily to narrow the DSD 1060 (Pinsky and Khain, 2002; Pawlowska et al., 2006), which leads to decreased  $r_e$ . Therefore, the MBL 1061 clouds are distinctly susceptible to CCN loading under the environments of sufficient water vapor and 1062 strong turbulence in which the ACI<sub>r</sub> is enlarged.

Under high PWV<sub>BL</sub> but low TKE<sub>w</sub> conditions, the mean ACI<sub>r</sub> reduces to 0.101 (~ 39% of that under high TKE<sub>w</sub>). The MBL is more likely decoupled where  $D_i = 0.54$ , which indicates that the weaker turbulence loosens the connection between the cloud layer and the underlying boundary layer. This results in a less effective conversion of CCN into cloud droplets, while the more adiabatic cloud environment ( $f_{ad} = 0.75$ ) denotes the lack of coalescence growths and thus diminishes the  $r_e$  sensitivity
1068 to CCN. Although the constraints of insufficient water vapor on  $ACI_r$  are still evident, the  $ACI_r$  values 1069 increase from 0.008 in the low  $TKE_w$  regime to 0.024 in the high  $TKE_w$  regime. The  $ACI_r$  differences 1070 between the two  $TKE_w$  regimes attest that  $ACI_r$  strongly depends on the connection between the cloud 1071 layer and the below-cloud boundary layer CCN and moisture, that is, stronger turbulence can enhance 1072 the susceptibility of  $r_e$  to CCN.

1073 In this study, the relationship between turbulence and ACI is found to be valid in non-precipitating 1074 MBL clouds. Theoretically, the effect of turbulence on ACI<sub>r</sub> would appear to be artificially amplified, if 1075 in the presence of precipitation. The intensive turbulence can enhance the coalescence process and 1076 accelerate the CCN-cloud cycling, and subsequently, the CCN depletion due to precipitation and 1077 coalescence scavenging would result in quantitatively enlarged ACI<sub>r</sub> (Feingold et al., 1996, 1999; Duong 1078 et al., 2011; Braun et al., 2018). Though it is beyond the scope of this study, it would be of interest to 1079 perform such analysis on the aerosol-cloud-precipitation interaction using ground-based remote sensing 1080 and model simulations in the future study.

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## 1082 **4. Summaries and Conclusions**

Over the ARM-ENA site, a total of 20 non-precipitating single-layered MBL stratus and stratocumulus cloud cases have been selected in order to investigate the aerosol-cloud interaction (ACI). The distributions of CCN and cloud properties for selected cases represent the typical characteristics of non-precipitating MBL clouds in a relatively clean environment over the remote oceanic area. The diversity of boundary layer conditions and cloud adiabaticities among the selected cases enable the investigation of different environmental effects on ACI.

The overall variations of  $N_c$  with  $N_{CCN,0.2\%}$  show an increasing trend, regardless of the water vapor 1089 1090 condition, while the sufficient PWV<sub>BL</sub> appears to stabilize the CCN- $N_c$  conversion process. The water vapor limitation on cloud droplet growth is evident in the lower  $N_{CCN,0.2\%}$  up to 150 cm<sup>-3</sup> with low 1091 PWV<sub>BL</sub> values, where a near tripling of CCN loading leads to a near doubling of  $N_c$  but only 4.7% 1092 increase in  $r_e$ . When  $N_{CCN,0.2\%}$  is greater than 250 cm<sup>-3</sup> and PWV<sub>BL</sub> values are also relatively high,  $r_e$ 1093 appears to decrease with increasing  $N_{CCN,0.2\%}$  under similar water vapor conditions. As for bulk aerosol-1094 1095 cloud interaction, the ACI<sub>r</sub> values vary from -0.01 to 0.22 for different PWV<sub>BL</sub> conditions whereACI<sub>r</sub> 1096 appears to be diminished under limited water vapor availability due to the limited droplet activation and 1097 condensational growth process. While under relatively sufficient water supply condition,  $r_e$  shows more 1098 sensitive responses to the changes of  $N_{CCN,0,2\%}$ , due to the combined effect of condensational growth and 1099 coalescence processes accompanying the higher  $N_c$  and PWV<sub>BL</sub>.

1100 The theoretical diagram describing the mechanism proposed above is shown in Fig. 8. Under the 1101 relatively lower PWV<sub>BL</sub> condition, the limited water vapor weakens the ability of condensational growth 1102 of the cloud droplet converted from CCN, which results in both less newly converted as well as large 1103 cloud droplets, with the lack of chance of coalescence process under this circumstance. Therefore, the 1104 variable range of  $r_e$  versus  $N_{CCN,0.2\%}$  is narrowed and presented as small ACI<sub>r</sub>. While under the relatively 1105 higher PWV<sub>BL</sub> condition, particularly in low CCN conditions, the sufficient water vapor availability 1106 allows cloud droplets growing via the condensation of water vapor, and thus enter the active cloud-1107 droplet coalescence regime. In contrast, the increase in cloud droplet size can effectively reduce  $N_c$  via 1108 the coalescence process and the size distributions are effectively broadened toward the large tail by the 1109 coalescence, so that  $r_e$  is enlarged. Under a higher  $N_{CCN.0.2\%}$  intrusion, the cloud droplet size distribution 1110 is narrowed by the enhanced condensational growth and regresses toward the small tail by increasing the 1111 amount of newly converted cloud droplets which results in decreased  $r_e$ . Combinedly, the interactions 1112 between CCNs and cloud droplet growing processes ultimately result in a broadened changeable range 1113 of  $r_e$ , and in turn, the enlarged ACI<sub>r</sub>.

1114 The co-variabilities among the environmental factors are examined using the multi-dimensional PCA. The variables of PWV<sub>BL</sub>,  $D_i$ , TKE<sub>w</sub>, LTS and  $W_{dir,NS}$  are constructed as the input of the 1115 1116 eigenanalysis. Results show that the first three PCs can describe the majority (~84%) of the variance 1117 among the selected variables. The most explanatory PC1 (account for 43.72% contribution) strongly 1118 correlated with PWV<sub>BL</sub>,  $D_i$  (both negatively) and TKE<sub>w</sub> (positively), and hence describe the co-variation 1119 of the boundary layer conditions. While the PC2 and PC3 (account for 22.01% and 18.26% contributions, 1120 respectively) are strongly correlated with LTS and  $W_{dir,NS}$ , which likely indicates the variations of the 1121 Azores High position and strength. By projecting the variables onto PC1 and PC2, the PCA loading 1122 analysis shows that  $TKE_w$  is strongly negatively correlated with  $D_i$ , which is what we expected. A 1123 decoupled MBL cloud is often separated into two layers where the lower one can cap the surface moisture, 1124 while the higher TKE<sub>w</sub> denote sufficient turbulence that maintains the well-mixed MBL. Additionally, 1125 the island effect is also indicated by the eigenanalysis, where surface northerly wind would induce 1126 additional updraft velocity and hence disturb TKE<sub>w</sub>, owing to the topographic effect of the cliff north of 1127 the ENA site. The role of cloud adiabaticities on the behaviors of CCN- $N_c$  conversion is examined using 1128 both binning and eigenanalysis. In a near-adiabatic cloud vertical structure, the cloud droplet growing process is dominated by condensational growth, thus the  $N_c$  responses to increased  $N_{CCN,0.2\%}$  and 1129 1130 PWV<sub>BL</sub> are strengthened. When the cloud layer becomes more sub-adiabatic, the effect of coalescence 1131 leads to the depletion of  $N_c$  and thus results in the lower retrieved  $N_c$  from a ground-based snapshot

perspective. The competition between the condensational growth and coalescence processes stronglyimpacts the variations of cloud microphysics to CCN loading.

1134 To investigate the environmental effects on ACI<sub>r</sub>, the factors having the most influence on the explanatory PCs are selected as the sorting variables in the ACI<sub>r</sub> assessments. The LTS sorting method 1135 1136 cannot distinguish the ACI<sub>r</sub> values, which means the LTS values themselves have a weak impact on ACI<sub>r</sub> 1137 due to the MBL cloud cover over the ENA is mainly impacted by the mid-latitude cyclone systems. In 1138 contrast, the intensity of boundary layer turbulence represented by TKE<sub>w</sub> plays a more important role in ACI<sub>r</sub>, since the values of TKE<sub>w</sub> already account for the co-variations of the MBL conditions, and hence 1139 1140 leads to a physical process-orientated assessment. The ACI<sub>r</sub> assessments in four different TKE<sub>w</sub> and 1141  $PWV_{BL}$  regimes show that the constraints of insufficient water vapor on the ACI<sub>r</sub> are still evident, but in 1142 both PWV<sub>BL</sub> regimes the ACI<sub>r</sub> values increase more than double from low TKE<sub>w</sub> to high TKE<sub>w</sub> regimes. 1143 Noticeably, the ACI<sub>r</sub> increases from 0.101 in the low TKE<sub>w</sub> regime to 0.259 in the high TKE<sub>w</sub> regime, 1144 under high  $PWV_{BL}$  conditions. The intensive below-cloud boundary layer turbulence strengthens the 1145 connection between the cloud layer and below-cloud CCN and moisture. So that with sufficient water 1146 vapor, an active coalescence leads to further enlarged  $r_e$ , particularly for low CCN loading condition, 1147 while the enhanced  $N_c$  from condensational growth induced by increased  $N_{CCN,0.2\%}$  can effectively 1148 decrease  $r_e$ . Combining these processes together, the enlarged ACI<sub>r</sub> is presented.

In this study, the non-precipitating MBL clouds are found to be most susceptible to the below-cloud CCN loading under environments with sufficient water vapor and stronger turbulence. This study examines the importance of the environmental effects on the  $ACI_r$  assessments, and provides the observational constraints to the future model evaluations on the aerosol-cloud interactions. Future studies will be focusing on exploring the role of environmental effects on the aerosol-cloud-precipitation interactions in MBL stratocumulus through an integrative analysis of observations and model simulations.

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Data availability. Data used in this study can be accessed from the DOE ARM's Data Discovery at
 https://adc.arm.gov/discovery/

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Author contributions. The original idea of this study is discussed by XZ, BX, and XD. XZ performed the analyses and wrote the manuscript. XZ, BX, XD, PW, YW and TL participated in further scientific discussions and provided substantial comments and edits on the paper.

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1164 *Competing interests.* The authors declare that they have no conflict of interest.

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- 1168

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Case	Start	Start	End	End	Valid
No.	Date	UTC	Date	UTC	Samples
1	20160915	2200	20160916	0020	24
2	20170219	2110	20170220	0520	87
3	20170222	0830	20170222	1200	38
4	20170605	1430	20170605	1900	54
5	20170616	1230	20170616	1510	32
6	20170617	0320	20170617	0520	24
7	20170627	0020	20170627	0250	28
8	20170630	0530	20170630	0930	42
9	20170630	1400	20170630	1700	34
10	20170706	0140	20170706	0900	62
11	20170707	0130	20170707	1000	91
12	20170910	2100	20170911	0600	94
13	20170911	1930	20170911	2150	24
14	20170912	0820	20170912	1100	32
15	20171006	2110	20171006	2320	26
16	20180130	1030	20180131	0500	152
17	20180203	1930	20180204	0500	72
18	20180324	0210	20180324	0600	46
19	20180508	0730	20180508	1110	42
20	20180513	2130	20180514	1200	139

**Table 1.** Dates and time periods of selected non-precipitatingMBL cloud periods

**Table 2.** Occurrence frequencies of large in-cloud  $r_e$  \* underrelatively high PWV conditions

PWV (cm)	1.2- 1.4	1.4- 1.6	1.6- 1.8	2.8- 2.0	2.0- 2.2	2.2- 2.4
r <sub>e</sub> > 12 μm (%)	25.0	30.6	54.1	74.2	93.8	97.5
r <sub>e</sub> > 14 μm (%)	1.25	1.77	7.4	17.7	31.9	20.1

\*The occurrence of large  $r_e$  is defined when the  $r_e$  is found to be larger than 12 µm or 14 µm using the retrieved in-cloud vertical profiles.

<b>Table 3.</b> ACI <sub>r</sub> calculated with respect to $N_{CCN}$ theoretically at different supersaturation levels, under all PWV <sub>BL</sub> conditions	nted with resp	ect to N <sub>CCN</sub> th	neoretically at	different supe	rsaturation lev	els, under all ]	PWV <sub>BL</sub> condit	ions		
PWV <sub>BL</sub> (cm) 0.4-0.6 0.6-0.8 0.5	0.4-0.6	0.6-0.8	0.8-1.0	1.0-1.2	8-1.0 1.0-1.2 1.2-1.4 1.4-1.6 1.6-1.8 1.8-2.0 2.0-2.2	1.4-1.6	1.6-1.8	1.8-2.0	2.0-2.2	2.2-2.4
$ACI_{r}$		20.0		100	0 100	260.0	0 1 1 5	0.151		361.0
$(N_{CCN} @0.2\%$ SS)	070.0	100.0	700.0	-0.014	0.100	0/0/0	0.140	1/1.0	177.0	C/ T.O
(N <sub>CCN</sub> @0.5%SS)	0.023	0.057	0.0002	0.024	0.129	0.121	0.309	0.136	0.293	0.159
(N <sub>ccN</sub> @1.2%SS)	0.023	0.045	0.002	0.072	0.125	0.123	0.323	0.175	0.347	0.186

Eigenanalysis	PC1	PC2	PC3
Eigenvalues	2.17	1.10	0.91
Proportion of variance explained (%)	43.72	22.01	18.26
Cumulative proportion (%)	43.72	65.73	83.99
Correlations (Variables vs. PCs)	PC1	PC2	PC3
$PWV_{BL}$	-0.84	0.20	-0.11
D <sub>i</sub>	-0.73	-0.48	-0.20
TKE <sub>W</sub>	0.69	0.35	-0.44
W <sub>dir,ns</sub>	0.52	0.60	-0.50
LTS	-0.43	0.58	0.65

**Table 4.** The first three principal components from eigenanalysis



**Figure 1.** Probability distribution functions (PDFs), mean, standard deviation and median values (dash lines) of aerosol, cloud, and meteorological properties for 20 selected non-precipitating cloud cases at the DOE ENA site during the period 2016-2018. (a) Cloud condensation nuclei (CCN) number concentration at 0.2% supersaturation ( $N_{CCN,0.2\%}$ ); (b) cloud-droplet number concentration ( $N_c$ ); (c) cloud-droplet effective radius ( $r_e$ ); (d) cloud adiabaticity ( $f_{ad}$ ); (e) lower tropospheric stability (LTS); (f) decoupling index ( $D_i$ ); (g) mean vertical component of turbulence kinetic energy (TKE<sub>w</sub>); and (h) sub-cloud boundary-layer precipitable water vapor (PWV<sub>BL</sub>).



**Figure 2.** (a)  $N_c$  and (b)  $r_e$  as a function of  $N_{CCN,0.2\%}$  (x-axis) and PWV (blue filled circles) for all selected samples. The larger blue circles represent relatively higher PWV values. Whiskers denote one standard deviation for each bin.



**Figure 3.** (a) Relationship of ACI<sub>r</sub> (dots) to binned PWV<sub>BL</sub>. Whiskers denote one standard deviation for each bin. Linear regressions are performed in relatively low PWV<sub>BL</sub> regime (< 1.4 cm, green) and high PWV<sub>BL</sub> regime (> 1.4 cm); and (b) illustration of ACI<sub>r</sub> derived from  $r_e$  to  $N_{CCN,0.2\%}$  in following three PWV<sub>BL</sub> bins: 0.8-1.0 cm (green), 1.2-1.4 cm (purple), 2.2-2.4 cm (blue). The ACI<sub>r</sub> represents the relative change of  $r_e$  with respect to the relative change of  $N_{CCN,0.2\%}$ , where positive ACI<sub>r</sub> denotes the decrease of  $r_e$  with increased  $N_{CCN,0.2\%}$  under binned PWV.



**Figure 4.** The projections of TKE<sub>w</sub> (purple),  $W_{dir,NS}$  (red), LTS (orange), PWV<sub>BL</sub> (blue) and  $D_i$  (green) onto the first principal component (PC1) and the second principal component (PC2). The x-coordinates denote variables' correlations with PC1, and the y-coordinates denote variables' correlations with PC2.



Figure 5. Normalized in-cloud vertical profiles of retrieved (a)  $r_e$  and (b) LWC (black) and calculated adiabatic LWC<sub>ad</sub> (blue) for all selected cloud cases, 0 is cloud base and 1 is cloud top. Solid dotted lines denote mean values and shaded areas denote one standard deviation at each height.



**Figure 6.**  $N_c$  as a function of  $N_{CCN,0.2\%}$  (x-axis) and PWV (dots) for high adiabaticity  $f_{ad}$  (red) and low  $f_{ad}$  (black) regimes. The larger circles represent relatively higher PWV values. Whiskers denote one standard deviation for each bin.



**Figure 7.** ACI<sub>r</sub> derived from  $r_e$  to  $N_{CCN,0.2\%}$  for (a) low TKE<sub>w</sub> and (b) high TKE<sub>w</sub> regimes. Samples in the low PWV regime are plotted in green, and samples in the high PWV regime are plotted in blue. The mean values of  $D_i$  and  $f_{ad}$  are displayed for each quadrant with the corresponding color-coded.



Figure 8. Theoretical mechanism of the responses of cloud droplet size distributions to different CCN intrusion, under relative insufficient (low  $PWV_{BL}$ ) versus sufficient (high  $PWV_{BL}$ ) water vapor availabilities.