

# Response of atmospheric composition to COVID-19 lockdown measures during Spring in the Paris region (France)

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**Abstract.** Since early 2020, the COVID-19 pandemic has led to lockdowns at national scales. These lockdowns resulted in large cuts of atmospheric pollutant emissions, notably related to the vehicular traffic source, especially during Spring 2020. As a result, air quality changed in manners that are still currently under investigation. The robust quantitative assessment of the impact of lockdown measures on ambient concentrations is however hindered by weather variability. In order to circumvent this difficulty, an innovative methodology has been developed. The Analog Application for Air Quality (A<sup>3</sup>Q) method is based on the comparison of each day of lockdown to a group of analog days having similar meteorological conditions. The A<sup>3</sup>Q method has been successfully evaluated and applied to a comprehensive in-situ dataset of primary and secondary pollutants obtained at the SIRTA observatory, a suburban background site of the Paris megacity (France). The overall slight decrease of PM<sub>i</sub> concentrations (-14%) compared to business-as-usual conditions conceals contrasting behaviours. Primary traffic tracers (NO<sub>x</sub> and traffic-related carbonaceous aerosols) dropped by 42-66% during the lockdown period. Further, the A<sup>3</sup>Q method enabled us to characterize of changes triggered by NO<sub>x</sub> decreases. Particulate nitrate and secondary organic aerosols (SOA), two of the main springtime aerosol components in North-Western Europe, decreased by -45% and -25%, respectively. A NO<sub>x</sub>-relationship emphasizes the interest of NO<sub>x</sub> mitigation policies at the regional (i.e. city) scale, although long-range pollution advection sporadically overcompensated regional decreases. Variations of the oxidation state of SOA suggests discrepancies in SOA formation processes. At the same time, the expected ozone increase (+20%) underlines the negative feedback of NO titration. These results provide a quasi-comprehensive observation-based insight on mitigation policies regarding air quality in future low-carbon urban areas.

## 1. Introduction

With the worldwide spreading of the SARS-COV-2 coronavirus, the COVID-19 outbreak has been responsible of millions of premature deaths. In order to slow down contagion rates, social interactions have progressively been limited until the establishment of strict lockdowns at national scales (Anderson et al., 2020) enforced during several weeks, especially during Spring 2020 in Europe. The corresponding stay-at-home orders resulted in a sudden halt of economic activities, and, as a consequence, in an unprecedented drop of emission of pollution sources. To this perspective, and despite tragic death records, these lockdowns are unique

opportunities to characterize an extreme end of mitigation policy scenarios, and future low-carbon megacities from direct observations. Scientific initiatives are thriving across the globe in order to assess the impact of lockdowns on air quality. They report, for most, a sharp decrease of nitrogen oxides ( $\text{NO}_x$ ) concentrations, as well as an increase of tropospheric ozone (e.g. China: Le et al. (2020); India: Mahato et al. (2020); USA: Liu et al. (2020a); Europe: Sicard et al. (2020); Grange et al. (2020); South-America: Siciliano et al. (2020)) as a response to stay-at-home orders.

The increase of ozone is one counterintuitive example of the complex chemistry occurring within the atmosphere, although its link with the decrease of  $\text{NO}_x$  concentrations has been well established (eg Reis et al., 2000). As highlighted by Kroll et al. (2020), beyond  $\text{NO}_x$ ,  $\text{O}_3$  and  $\text{PM}_x$ , additional information are needed in order to further characterize the impacts of lockdown on the atmospheric chemical system. Indeed, PM is composed of several different fractions, from organic to inorganic, and from primary to secondary pollutants, with diverse sources and transformation processes. Any concentration change of PM may derive from various compensatory feedbacks which are not characterized, limiting therefore our understanding of the impacts of lockdown on air quality. Moreover, Springtime in North-Western Europe is usually associated with high PM pollution episodes dominated by secondary material (mainly ammonium nitrate and sulfate, and secondary organic aerosols -SOA) as shown in Bressi et al. (2021). Ammonium nitrate is formed in the atmosphere from the neutralization of nitric acid (formed through  $\text{NO}_x$  oxidation) with ammonia. The comprehensive characterization of SOA formation is also blurred by the overwhelming numbers of transformation pathways, precursors as well as oxidant availability. Thus far, only few studies have investigated the impacts of lockdown on PM chemistry and sources in Asia (eg Chang et al., 2020; Sun et al., 2020; Tian et al., 2021; Manchanda et al., 2021) by comparing the lockdown period with other periods (either a pre-lockdown period, or the same period of the year of previous years).

On the other end, the assessment of air quality implications of large cuts in urban pollutant emissions is strongly hampered by meteorological variability, which is one of the main drivers of air pollution temporality. For instance, unfavourable meteorology has previously been associated to increase of PM concentrations in various urban areas worldwide (eg Dupont et al., 2016; Wang et al., 2020). Sun et al. (2020) also highlighted severe hazes during lockdown in China, linked to stagnant meteorological conditions. Therefore, without climatologically representative values, specific care must be considered when comparing concentrations observed during and outside the lockdown period. The robustness of this assessment depends on the way meteorology is handled and on what “reference period” is chosen to compare with the “lockdown period”. A recent review by Gkatzelis et al. (2021) pointed out that, despite the luxuriance of scientific literature, more than half of examined articles didn’t take meteorology into account. Advances on machine-learning (ML) approaches have however enabled to disentangle the contributions of meteorological conditions on the temporal variations of primary and secondary PM components (e.g. Stirnberg et al., 2021). ML has successfully been applied mainly on  $\text{NO}_x$  and  $\text{O}_3$  in various European urban areas (Petetin et al., 2020; Grange et al., 2020). But weather-corrected studies of PM chemistry are still scarce, especially in Western-Europe.

75 The present study aims at reconciling a robust and innovative methodology with a quasi-comprehensive in-situ dataset, acquired within the Paris region (France). The 12-million inhabitants of the region, representing around 20% of the total French population, were placed under lockdown from March 17<sup>th</sup>, 2020 to May 10<sup>th</sup>, 2020, further designated as LP2020.

## 80 **2. In-situ characterization of the atmospheric composition**

### **2.1. Instrumentation**

In-situ measurement datasets used in this study have been primarily obtained at the SIRTA atmospheric observatory (2.15°E, 48.71°N; Haeffelin et al., 2005), a facility which contributes to the EU-research infrastructure ACTRIS (<https://www.actris.eu>), following its Quality assurance / Quality control guidelines.

85 The chemical composition of major submicron non-refractory species has been monitored since the end of 2011 using a Quadrupole Aerosol Chemical Speciation Monitor (ACSM, Ng et al., 2011), constituting the longest ACSM dataset worldwide. Measurement principles of the ACSM are extensively described elsewhere (Budisulistiorini et al., 2014; Zhang et al., 2019; Poulain et al., 2020). Here, 30-min concentrations of submicron Organic Aerosols (OA), Nitrate (NO<sub>3</sub>), Sulfate (SO<sub>4</sub>), Ammonium (NH<sub>4</sub>) and Chloride (Cl) were corrected with a  
90 time-dependent collection efficiency (Middlebrook et al., 2012) (CE). The ACSM at SIRTA was regularly calibrated using 300-nm ammonium nitrate and ammonium sulfate particles to derive Ionization Efficiencies (IE), and showed satisfactory performances during ACTRIS intercomparaison exercises (Crenn et al., 2015; Freney et al., 2019).

The black carbon dataset consists in Aethalometer measurements (Drinovec et al., 2015). It is composed of  
95 subsequent and harmonized datasets obtained from AE31 (01/2011-03/2013) and AE33 , 03/2013-06/2020) devices and applying a common validation procedure (Petit et al., 2017b). Briefly, for each elementary measurement data point (5-min and 1-min time-base for AE31 and AE33, respectively), BC<sub>λ</sub> concentrations were set as invalid when below -LoD (Limit of Detection, 100 ng/m<sup>3</sup>); for BC<sub>950nm</sub> ≥ 200 ng/m<sup>3</sup>, the spectral dependence was calculated from the linear regression of ln(λ) versus ln(B<sub>atn</sub>). Measurements were considered  
100 valid for a r<sup>2</sup> (of this linear regression) higher than 0.9 and Aerosol Angström Exponent (AAE) comprised between 0.8 and 3.

Daily concentrations of nitrogen monoxide (NO) and nitrogen dioxide (NO<sub>2</sub>) were retrieved from 1-min measurements performed with a T200UP Teledyne instrument, equipped with a blue light photolytic converter and a Nafion dryer. The instrument has been regularly calibrated with a reference standard from  
105 National Physics Laboratory (Teddington, UK) and NO and NO<sub>2</sub> concentrations have been corrected from ozone interference. The NO<sub>x</sub> analyzer has participated to the 2 ACTRIS intercomparaison exercices organized at Hohenpeissenberg in 2012 and 2016 and has shown a good comparability with other instruments. Other NO<sub>x</sub> observations throughout the Paris region between 2012 and 2020 were retrieved from the regional air quality monitoring structure (Airparif, <https://www.airparif.asso.fr>). To this respect, urban NO<sub>x</sub>  
110 concentrations refer here to the average of all urban background stations measuring NO<sub>x</sub>.

As the ozone instrument from SIRTA experienced a major breakdown in 2020, daily ozone (O<sub>3</sub>) concentrations were obtained between 2012 and 2020 from a peri-urban station in Les Ulis (2.165°E, 48.68°N), operated by Airparif. This station is located around 10 km away from SIRTA and O<sub>3</sub> from Les Ulis has shown a good comparability with O<sub>3</sub> from SIRTA during the period of common measurements.

115 Meteorological variables at SIRTA (wind speed and direction, temperature, relative humidity and pressure) were provided from the ReObs database (Chiriaco et al., 2018).

## 2.2. Source apportionment of carbonaceous aerosols

Fossil-fuel (BC<sub>ff</sub>) and Biomass burning (BC<sub>wb</sub>) fractions were estimated from aethalometer measurements  
120 (Sandradewi et al., 2008). Since the choice of  $\alpha_{ff}$  and  $\alpha_{wb}$  is critical and given the size of our dataset, their determination was based on the statistical hourly distribution of the AAE (Fig. S1). The value of 1.85 was chosen for  $\alpha_{wb}$ , corresponding to a maximum frequency during the night. This value is close to the values used previously at SIRTA (Zhang et al., 2019), and from the recommended value of 1.72 (Zotter et al., 2016). PM<sub>ff</sub> and PM<sub>wb</sub> were estimated from BC<sub>ff</sub> and BC<sub>wb</sub> concentrations, respectively, following the conversion factors of 2  
125 and 10.3 found for SIRTA during the same season (Petit et al., 2014).

A source apportionment study of OA was carried out by Positive Matrix Factorisation (PMF, Paatero and Tapper, 1994) from January to May 2020. The analysis has been carried out seasonally (January-February and March-April-May) in order to prevent from the seasonality of the profiles of secondary factors (Canonaco et al., 2015). Profiles of Hydrocarbon-like Organic Aerosols (HOA), Biomass Burning Organic Aerosols (BBOA) were constrained with a random a-value approach (Canonaco et al., 2013), a third factor being left unconstrained (Oxygenated Organic Aerosol, OOA). The criteria approach of SoFi Pro (Canonaco et al., 2020) was then used to select satisfactory solutions over 100 runs, from the R-Pearson correlation of HOA vs BC<sub>ff</sub>, HOA vs NO<sub>x</sub> and BBOA vs BC<sub>wb</sub>. Results obtained here enrich the existing timeseries (Zhang et al., 2019) from  
135 June 2011 to March 2018.(where MO-OOA and LO-OOA were summed as OOA). Both PMF outputs were obtained with the same reference profiles of HOA and BBOA (Fröhlich et al., 2015), and similar a values for HOA and BBOA were used (on average 0.26 and 0.32 for HOA and BBOA, respectively; 0.21 and 0.22 in Zhang et al., 2019). As a result, HOA and BBOA profiles are very consistent, with slope and r<sup>2</sup> higher than 0.9.

The oxidation properties (Kroll et al., 2011) of secondary organic aerosols were characterized by removing the  
140 contribution of primary factors to the OA matrix, as follows:

$$f_i^{SOA} = \frac{m/z_i - (f_i^{HOA} \cdot [HOA] + f_i^{BBOA} \cdot [BBOA])}{[OA] - ([HOA] + [BBOA])}$$

From there, O:C<sub>SOA</sub>, H:C<sub>SOA</sub> and OSC<sub>SOA</sub> were calculated from the Improved Aiken (Aiken et al., 2008) equations provided in Canagaratna et al. (2014), using m/z 29, 43 and 44. Given the unit mass resolution of the instrument, it is important to underline that these equations provide only qualitative information for ACSM  
145 data. Absolute values, most probably associated with significant uncertainties will therefore not be discussed here. Nevertheless, it is sufficient to characterize a change, since they are uniformly applied throughout the dataset.

The full timeseries between 2012 and 2020 is presented in Figure S2.

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### 2.3. Backtrajectory calculation

120-h backtrajectories ending at SIRTA (49.15°E, 2.19°N) at 500m a.g.l. were calculated every 6h from 2012 to 2020 with the PC-based version of HYSPLIT (Stein et al., 2015) using 1°x1° Global Data Assimilation System (GDAS) files. Calculations using HYSPLIT executables were automatically controlled by ZeFir (Petit et al., 2017a), a user-friendly interface based on Igor Pro 6.3 (Wavemetrics®). The cluster analysis presented in section 3.1 was also applied from HYSPLIT executables, controlled by ZeFir. Five clusters were used (Fig. S3a), in accordance with the Total Spatial Variance (TSV). The two oceanic cluster were summed as one.

## 160 3. Methodology to estimate the impact of lockdown measures : an attempt to compare apples to apples

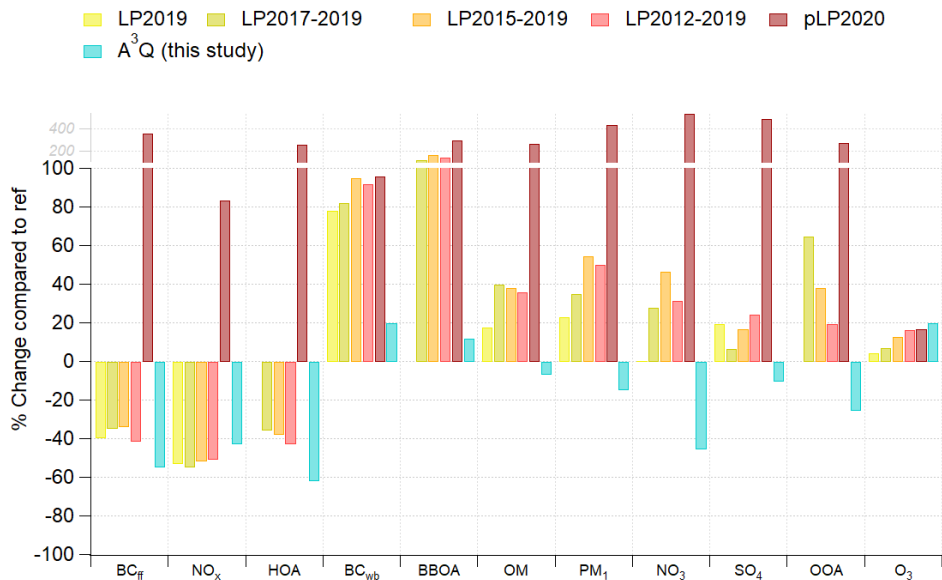
### 3.1. Choice of the comparison reference period

The assessment of lockdown impact on air quality lies on the use of a reference period, which is assumed to be representative of business-as-usual conditions during LP2020, following:

$$\%change = 100 \cdot \frac{LP_{2020} - ref}{ref} \quad \text{equation 1}$$

In the current literature, different “reference periods” are used, from a “pre-lockdown” period (pLP2020, Toscano and Murena, 2020; Dantas et al., 2020; Otmani et al., 2020), to the weeks corresponding to LP of previous years (e.g. 17/03 to 11/05 during 2017-2019 is LP2017-2019). Nevertheless, in the case of SIRTA, applying these methodologies unquestioningly, without verifying the inherent hypothesis that data are comparable, can lead to significant variability, and counterintuitive results. Figure 1 presents concentration relative changes for the SIRTA dataset, using pLP2020, LP2019, LP2017-2019, LP2015-2019 and LP2012-2019 as references. Significant increases for all pollutants (e.g., + 83% in NO<sub>x</sub>, +439% in PM<sub>1</sub>) are found with pLP2020, which seems to contradict the observed drop of traffic. For the other reference periods, results reveal a substantial decrease for the concentrations of pollutants related to traffic emissions (i.e., BC<sub>ff</sub>, HOA and NO<sub>x</sub>), but clear increases of all other investigated pollutants, especially secondaries.

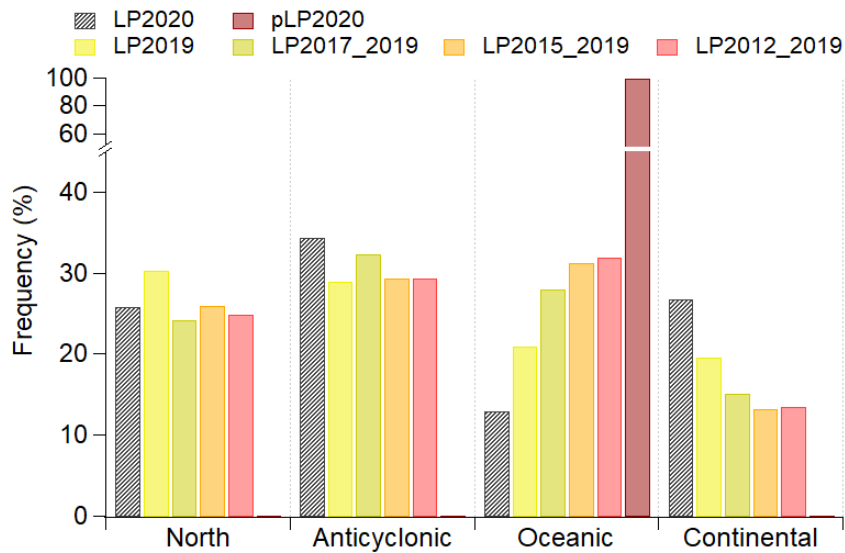
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Figure 1 : Relative concentration change (%) of each specie used in this study following different reference periods, as well as from the A<sup>3</sup>Q approach presented in this article.

As reference periods, they assume meteorological conditions representative of LP2020. However, April 2020  
 180 in France was exceptionally warmer (+4.5°C), drier (-43% of precipitation in the Paris region) and sunnier (i.e. hours of sunshine during the day; +60%) than usual (1981-2010 climatological reference values). Table S1 presents the meteorological variability of the different reference periods (in terms of ambient temperature, RH, pressure and wind speed), and shows that they don't reproduce the meteorology of LP2020 (in terms of min, max and average, especially T and RH), and also fail at reproducing its temporality (low r values).  
 185 Moreover, from a trajectory cluster analysis (Fig. S3a), it appears that they misrepresent the variability of air mass origin. The unrealistic features of pLP2020 can indeed be explained by a drastic change of Western Europe meteorological conditions (from low-pressure to high-pressure system) concomitantly with the application of lockdown policy measures in France (Fig. 2). For the other reference periods, they still under-represent the continental sector (13-18%) compared to LP2020 (28%), and inversely over-represent oceanic  
 190 air masses. Given the fact that, for instance, NO<sub>3</sub>, SO<sub>4</sub> and OOA exhibit highest concentrations with continental air masses, these methodologies at SIRTA can most likely underestimate business-as-usual concentrations, and therefore lead to erroneous results.



195 Figure 2. Frequency of trajectory clusters for each LP periods.

To overcome all these issues and account for the strong synergy between PM chemical composition, emission sources and meteorology, we developed the Analog Application for Air Quality (A<sup>3</sup>Q) method, which is described below.

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### 3.2. The “Analog Application for Air Quality” (A<sup>3</sup>Q) approach

#### 3.2.1. Description

Analogs of atmospheric circulation (Yiou et al., 2013; Lorenz, 1969; Van Den Dool, 1994; Zorita and Storch, 1999; Cattiaux et al., 2012) have been widely used for different climatological purposes, notably for atmospheric reconstructions and in the characterization of the role of synoptic circulation in extreme meteorological events (Yiou et al., 2013; Vautard et al., 2018). Circulation analogs are generally computed from daily pressure spatial distributions. Here, we built the analogy based on three successive layers:

*Synoptic.* Circulation analogs are computed similarly to previous studies. We used daily sea-level pressure (SLP) data, to better characterize near-surface atmospheric circulation as our study covers near-surface pollutants. The SLP data is extracted from NCEP/NCAR reanalysis data (Kalnay et al., 1996) along the historical period that covers 2012 to 2019. The SLP fields considered here have a horizontal resolution of 2.5 x 2.5° and cover a spatial domain ranging -20°W to +15°E in longitude and +40°N to +60°N in latitude. This region is chosen because it includes atmospheric pressure patterns that influence near-surface wind (Raynaud et al., 2017) in our area of study. Fifty best circulation analogs (minimum spatial correlation of 0.5) are sought for each day of the study period, using the spatial correlation as a way to measure similarity between SLP fields. The calendar distance between the day in the study period and days in the historic period is maximum 30 days. Out of 50 potential days with analog atmospheric circulation, only those with a spatial correlation higher than 0.6 (representing 97.8% of all analog days, 23.2 analogs/day on average) were kept.

*Regional.* Air mass trajectory (AMT) of each synoptic analog was compared to the AMT of the corresponding lockdown day. For each day, trajectory density (log of the occurrence of trajectory endpoints) was calculated

over a 0.5°x0.5° grid covering Western-Europe. The distribution of spatial correlation values between each day of LP and each analog day is presented in Fig. S4. Since this distribution is rather spread out, a low threshold at 0.2 (representing 70% of analog days, 16.3 analogs/day on average) was selected in order to remove the worst analog AMT, but also to keep sufficient variability. An example of satisfactory and unsatisfactory analogs is shown in Fig. S5.

*Local.* A specific constrain on local ambient temperature and Relative Humidity (RH) was implemented. Indeed, both variables are key drivers of the partitioning of semi-volatile material, such as ammonium nitrate. Therefore, a satisfactory representation of local meteorological conditions by the analogs is needed in order to robustly capture and characterize any change of concentration. Over the study period, the analog performance regarding temperature and RH respectively ranges from -15°C to +15°C, and from -36% to +64%. Concretely, analogs that are much colder and wetter (higher RH) than the observation day may be associated to enhanced condensation of semi-volatile and/or hygroscopic compounds, which would lead to an overestimation of the estimated decrease of e.g. nitrate. This specifically occurred on April 21<sup>st</sup>, 22<sup>nd</sup> and 23<sup>rd</sup> 2020. To avoid that issue, we excluded from the list the analogs having the 5% worst performance. Acceptable ranges were therefore between the 5<sup>th</sup> and 95<sup>th</sup> percentiles (excluded) of  $\Delta T$  and  $\Delta RH$  values, which were respectively ]-9.3, 6[ and ]-19, 35[. Despite these relatively wide ranges, the A<sup>3</sup>Q methodology allows to efficiently reconstruct meteorological conditions during the lockdown period. Indeed, Figure S11 presents, for the Jan.-May 2020 period, the temporal variations of observed and estimated Temperature, RH and Pressure. It shows low Mean Bias values, as well as satisfactory correlation coefficients (r value of 0.78, 0.82 and 0.63, respectively), which indicates a satisfactory analogy. Sensitivity tests presented below also demonstrate that stricter ranges do not significantly change the analog results.

Daily Absolute Concentration change (DAC) and Median Relative Change (MRC) for each species are defined as:

$$DAC_i^j = Obs_i^j - Analog_i^j \quad (\text{eq. 2})$$

$$MRC_j = \frac{M_j^{obs} - M_j^{analog}}{M_j^{analog}} \quad (\text{eq. 3})$$

Where  $Obs_i^j$  and  $Analog_i^j$  are the daily concentration at  $t_i$  of species  $j$  measured and calculated from A<sup>3</sup>Q, respectively.  $M_j^{obs}$  and  $M_j^{analog}$  are the median concentration during lockdown, measured, and calculated from A<sup>3</sup>Q, respectively.

### 3.2.2. Data preparation

Daily averages have been computed for each variable. A valid average is considered if at least 75% of the day is covered.

Because the dataset consists in multi-year observations, long-term trends may impact the estimated concentration change due to lockdown. To that end, a seasonal Mann-Kendall test was performed on each variable on the 01/2012-02/2020 period. The Mann-Kendall R package was used (Bigi and Vogt, 2020; Collaud Coen et al., 2020), which includes three pre-whitening approaches in order to reduce the weight of



autocorrelation. Results are summarized in Table 1. From this analysis, NO<sub>x</sub>, OA, HOA, OOA, O<sub>3</sub>, BC<sub>ff</sub> concentrations (2012-2020, including lockdown) were linearly corrected on a daily basis.

260 **Table 1. Sen's slope (in  $\mu\text{g}/\text{m}^3/\text{year}$ ) and MK p-value for each variable of the study. No slope means that the result doesn't reach the 95% confidence interval. Slope is statistically significant for  $p < 0.05$ .**

Variable	Sen's slope ( $\mu\text{g}/\text{m}^3/\text{year}$ )	MK p-value (95% confidence interval)
NO <sub>x</sub>	-0.156	0.009
NO <sub>3</sub>	-0.037	0.22
SO <sub>4</sub>	-	-
OA	-0.068	<0.001
HOA	-0.019	0.049
BBOA	-0.004	0.18
OOA	-0.068	<0.001
O <sub>3</sub>	0.459	0.01
PM <sub>1</sub>	-	-
BC <sub>wb</sub>	-0.002	0.067
BC <sub>ff</sub>	-0.018	<0.001

In order to limit the unwanted weight of positive outliers which have poor statistical representativity, the 1% highest daily concentrations of each variable were removed.

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#### 3.2.4. Sensitivity tests

The results presented here primarily depend on the list of analog days that is calculated. The overall analog number is at first determined by the strictness of the correlation coefficients of atmospheric circulation and air mass origin. Selection of best analogy leads to poor statistical representativeness (Fig. S6), with a low number of analog days. It is instead preferable to remove analog days associated with worst trajectory correlation (Fig. S4). It is noteworthy that little change in the correlation coefficients (Table 2, Scenario 1-4) has little impact on the results (for all variables) presented in this manuscript (Fig. S7). This can be mainly related to the reasonable change in the number of analog days.

275 Similarly, the impact of subsequent filtering with temperature and relative humidity were also investigated. To this end, two additional scenarios were considered (Scenario 5-6, Table 2). Scenario 5 and Scenario 6 have limited impact on traffic-related variables (Fig. S7), on the contrary to wood-burning tracers and secondary compounds. This especially highlights the essential role of meteorological representativeness in order to characterize the changes of secondary pollution. Indeed, when no temperature and RH filtering is performed (Scenario 5), highest decrease of NO<sub>3</sub> is linked to analog days that are associated to higher RH (Fig. S8a) and lower temperature (Fig. S8b), which favor the partitioning of nitrate in the particulate phase. Scenario 6 has a stricter filtering than the Base one, and exhibits very good performance regarding the reconstruction of meteorological conditions (Table 3). However, we show that both scenarios have very similar daily NO<sub>3</sub> concentration change despite slight discrepancies in meteorological performance. This underlines that the thresholds used in the Base scenario are sufficient to provide robust results.

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**Table 2. Acceptability thresholds used in different scenarios to evaluate the sensitivity of our methodology.**

	Synoptic analog R	Trajectory analog R	RH acceptability range	T acceptability range	Min. Analog nb
Base	0.6	0.2	]-19, 35[	]-9.3, 6[	5
Scenario 1	0.6	0.3	]-19, 35[	]-9.3, 6[	5
Scenario 2	0.6	0.1	]-19, 35[	]-9.3, 6[	5
Scenario 3	0.5	0.2	]-19, 35[	]-9.3, 6[	5
Scenario 4	0.7	0.2	]-19, 35[	]-9.3, 6[	5
Scenario 5	0.6	0.2	-	-	5
Scenario 6	0.6	0.2	]-19, 17[	]-8, 6[	3

**Table 3. Performance (expressed as Mean Biases) of different scenarios to predict meteorological parameters during lockdown**

	RH Mean Biases (%)	Temperature Mean Biases (°C)	Wind Speed Mean Biases (m/s)	Pressure Mean Biases (hPa)
Base	-8.7	+1.52	-0.17	-1.3
Scenario 5	-10.5	+2.1	-0.14	-0.52
Scenario 6	-1.95	+1.2	-0.21	-2.35

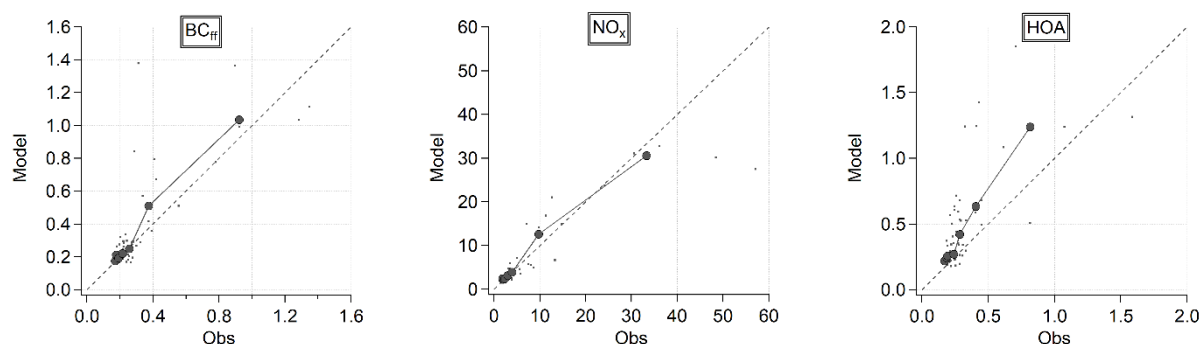
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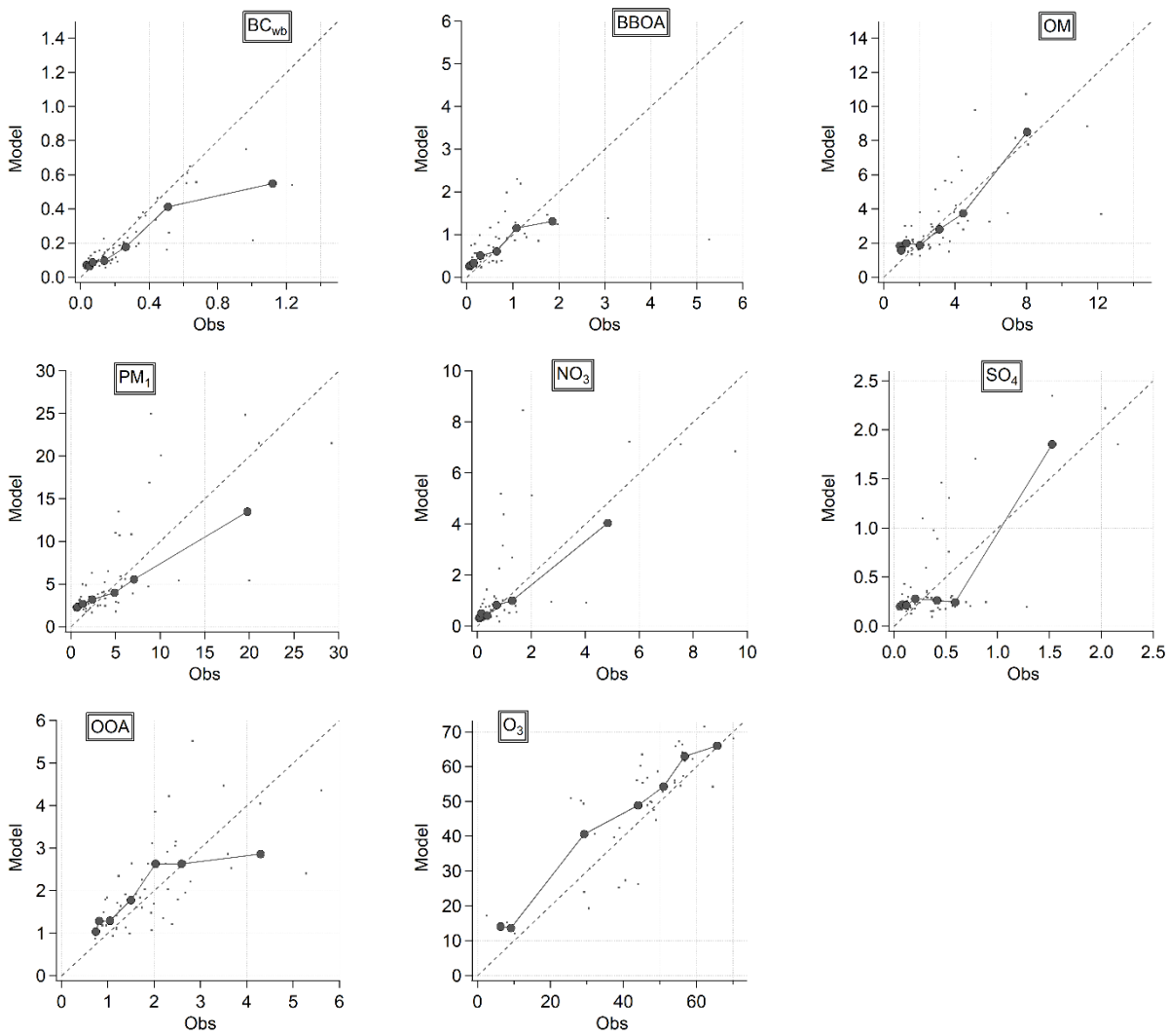
### 3.2.5. Performance evaluation

Furthermore, the performance of the analog methodology has been evaluated on a business-as-usual period, from January 1<sup>st</sup>, 2020 to March 1<sup>st</sup>, 2020, similarly to the work of Petetin et al. (2020) and Grange et al. (2020). The construction of the analog list went through the same steps, with the same thresholds. The acceptability range for  $\Delta T$  and  $\Delta RH$  moved to  $]-5, 4[$  and  $]-16, 19[$ , respectively. This can be primarily related to the less extreme climatological conditions of Jan.-Feb. 2020. Mean Bias (MB), Normalised Mean Bias (NMB), Pearson correlation coefficient ( $r$ ), and the fraction of data within a ratio of 2 (FAC2) have been used for the evaluation. Scatter plots and metric values are respectively presented in Figure 3 and Table 4. Results indicate satisfactory performance of all variables during the evaluation period, although the range of observed concentrations remains rather low.

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The lower performance of BBOA in terms of co-variations ( $r=0.45$ ) may be related to the absence of 2019 data, where fewer analog days could lead to higher dispersion, but also to the fickleness of the wood-burning source. Still, as presented in the Results section, BBOA variations are consistent with  $BC_{wb}$ .





305 **Figure 3. Scatter plots of observed versus estimated concentrations by A<sup>3</sup>Q during the evaluation period of Jan.-Feb. 2020. Dots represent daily values during the evaluation period, round markers are averages over ranges of observed concentrations.**

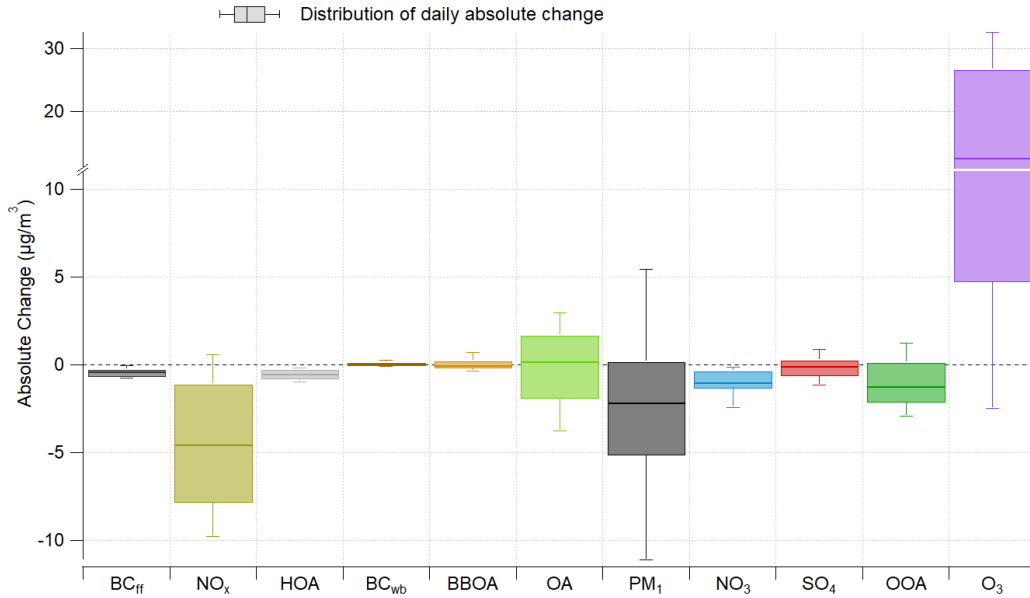
**Table 4. Metric values (MB, NMB, FAC2 and r) for the evaluation of A<sup>3</sup>Q during Jan.-Feb. 2020.**

	MB ( $\mu\text{g}/\text{m}^3$ )	NMB (%)	FAC2	R
BC <sub>ff</sub>	0.049	15.3	0.96	0.78
NO <sub>x</sub>	-0.53	-7.1	0.95	0.90
HOA	0.17	53.0	0.79	0.65
BC <sub>wb</sub>	-0.06	-24.1	0.82	0.82
BBOA	0.04	6.0	0.60	0.45
OM	0.03	0.8	0.93	0.69
PM <sub>1</sub>	0.89	17.2	0.68	0.73
NO <sub>3</sub>	0.38	36.1	0.54	0.71
SO <sub>4</sub>	0.03	7.9	0.46	0.70
OOA	0.19	9.8	0.98	0.63
O <sub>3</sub>	4.27	9.7	0.95	0.84

#### 4. Results and discussion

Figure 4 presents the distribution of absolute concentration change for each species during lockdown. Results are further discussed in the following subsections.

315



**Figure 4: Absolute changes of ambient concentrations of reactive gases and particulate pollutants due to lockdown. Boxplots represent the distribution of daily absolute change ( $\mu\text{g}/\text{m}^3$ ); 10<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup> and 90<sup>th</sup> percentiles were used. Values are presented in Table 5.**

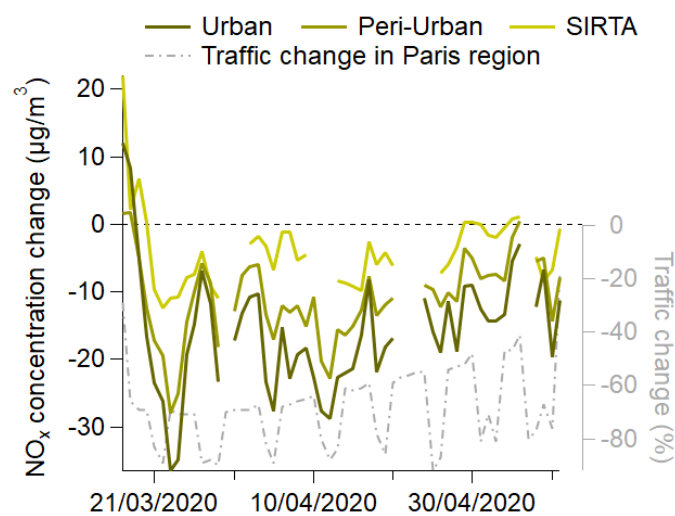
320

**Table 5. Distribution of absolute concentration change (10<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, 90<sup>th</sup> percentile has been used), as well as the median relative change (%). p-values (95% confidence interval) of the pairing Student t-test between Observations and Analog timeseries during lockdown**

	Absolute change ( $\mu\text{g}/\text{m}^3$ )					Median relative change (%)	p-value
	p10	p25	p50	p75	p90		
BC <sub>ff</sub>	-0.74	-0.65	-0.42	-0.28	-0.06	-54.8	$3.2 \times 10^{-15}$
NO <sub>x</sub>	-9.79	-7.81	-4.57	-1.1	0.58	-42.7	$2.14 \times 10^{-5}$
HOA	-0.98	-0.80	-0.52	-0.27	-0.17	-61.8	$1.2 \times 10^{-13}$
BC <sub>wb</sub>	-0.11	-0.03	0.04	0.13	0.24	20.1	0.003
BBOA	-0.36	-0.20	-0.03	0.22	0.72	12.0	0.44
OA	-3.77	-1.89	0.16	1.66	2.95	-6.6	0.71
PM <sub>1</sub>	-11.10	-5.13	-2.16	0.17	5.45	-14.9	0.02
NO <sub>3</sub>	-2.42	-1.33	-1.03	-0.37	-0.16	-45.5	0.0067
SO <sub>4</sub>	-1.14	-0.61	-0.11	0.28	0.90	-10.3	0.21
OOA	-2.92	-2.12	-1.26	0.12	1.21	-25.1	0.002
O <sub>3</sub>	-2.49	4.74	12.57	26.70	32.62	20.2	$4.45 \times 10^{-9}$

325 **4.1. Primary sources**

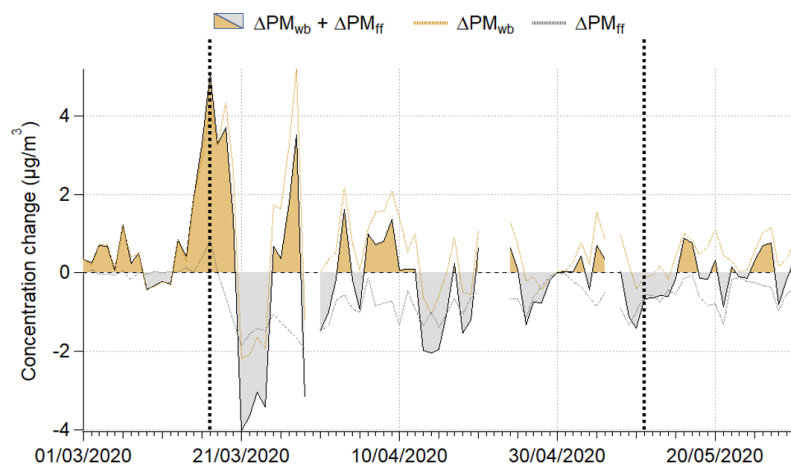
Species usually considered as markers for primary traffic emissions ( $\text{NO}_x$ ,  $\text{BC}_{\text{ff}}$  and HOA) exhibit at SIRTa a median decrease of concentrations by 42-62% (Table 5) at SIRTa. For  $\text{NO}_x$ , this is very consistent with previous results in the Paris region using machine learning approaches (-42%, Grange et al., 2020). Moreover, the  $\text{NO}_x$  decrease at SIRTa, a peri-urban background station, also matches the relative decrease  
 330 calculated for urban and peri-urban stations across the region (-42% and -39%, respectively), although absolute changes are graduated (-11  $\mu\text{g}/\text{m}^3$  and -16.4  $\mu\text{g}/\text{m}^3$  for urban and peri-urban stations, respectively). Although the intensity of the decrease has differed from site to site, the temporality of the change was uniform at the regional scale, including SIRTa (Fig. 5). It is also consistent with traffic counting data in the Paris region (<https://dataviz.cerema.fr/trafic-routier/>), with a slow traffic increase throughout the  
 335 lockdown period.



**Figure 5: Temporal variation of  $\text{NO}_x$  concentration changes at SIRTa, and urban and periurban background stations of the Paris region.**

340

On the other hand, wood burning tracers ( $\text{BC}_{\text{wb}}$  and BBOA) exhibit an increase of +20% and +58% respectively, which can be primarily related to the stay-at-home order, enhancing emissions of residential heating (Grange et al., 2020). Although absolute change is limited (Fig.4), by converting  $\text{BC}_{\text{wb}}$  to  $\text{PM}_{\text{wb}}$ , and  $\text{BC}_{\text{ff}}$  to  $\text{PM}_{\text{ff}}$ , increased  $\text{PM}_{\text{wb}}$  concentrations compensated or even exceeded the decrease of  $\text{PM}_{\text{ff}}$  during specific  
 345 days (Fig. 6). At the same time, the mean weekly variation of wood burning changed during lockdown (Fig. S9), with increased concentrations during the week, compared to the relatively flat variation in business-as-usual conditions (e.g. +67% on Fridays). Therefore, lockdown changed both intensity and temporality of the wood burning source in the Paris region.



350 **Figure 6 Temporal variations of  $\Delta PM_{wb} + \Delta PM_{ff}$  during lockdown. Brown shaded area shows compensation of wood burning. Individual  $PM_{wb}$  and  $PM_{ff}$  concentration change are also displayed with dotted lines. Black vertical lines delimit the start and the end of the lockdown period.**

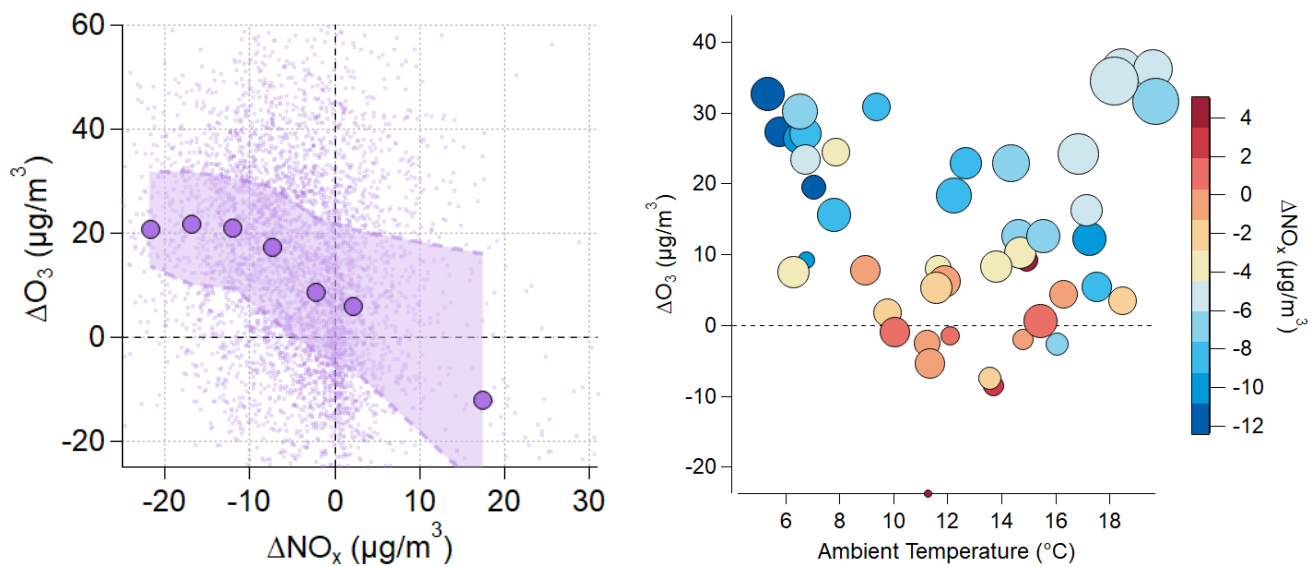
## 4.2. $NO_x$ -induced influence on secondary pollutants

### 355 4.2.1. Ozone

Nitrogen oxides play a central role within the atmospheric reactor, enabling the formation of secondary pollutants (Kroll et al., 2020) such as tropospheric ozone and secondary organic and inorganic aerosols (SOA and SIA, respectively). Ozone is found to increase by 20% (Fig. 4). This negative feedback, due to the titration effect of  $NO$ , is already well characterized (Reis et al., 2000), and the magnitude of the change inversely follows well the one of  $NO_x$  (Fig. 7a). A sharp-enough decrease of  $NO_x$  shall tip over ozone formation to a  $NO_x$ -limited system (Markakis et al., 2014), which may be seen from this relationship, where ozone concentration change stabilizes at  $+20 \mu g/m^3$  for  $\Delta NO_x$  below  $-10 \mu g/m^3$  at SIRTa. Nevertheless, it is worth highlighting that the climatological extreme of Spring 2020, with strong positive temperature anomalies, should have also contributed to increased  $O_3$  concentrations, as previously emphasized for Europe (Meleux et al., 2007). Even though meteorology is taken into account by the A<sup>3</sup>Q method, the unique character of this springtime heatwave inherently blurs the discrimination between ambient temperature and  $NO_x$  impacts on ozone formation. This limitation would also occur for any other statistical approaches, such as machine learning. Figure 7b shows indeed that some of the highest  $\Delta O_3$  values are associated to high temperatures (daily average  $> 18^\circ C$ ), concomitantly with substantial decrease of  $NO_x$  concentrations. On the other hand, positive  $\Delta O_3$  are also obtained for rather low temperature ( $< 10^\circ C$ ) and highest decreases of  $NO_x$ , which means that in this case the increase of  $O_3$  shall be only  $NO_x$ -related.

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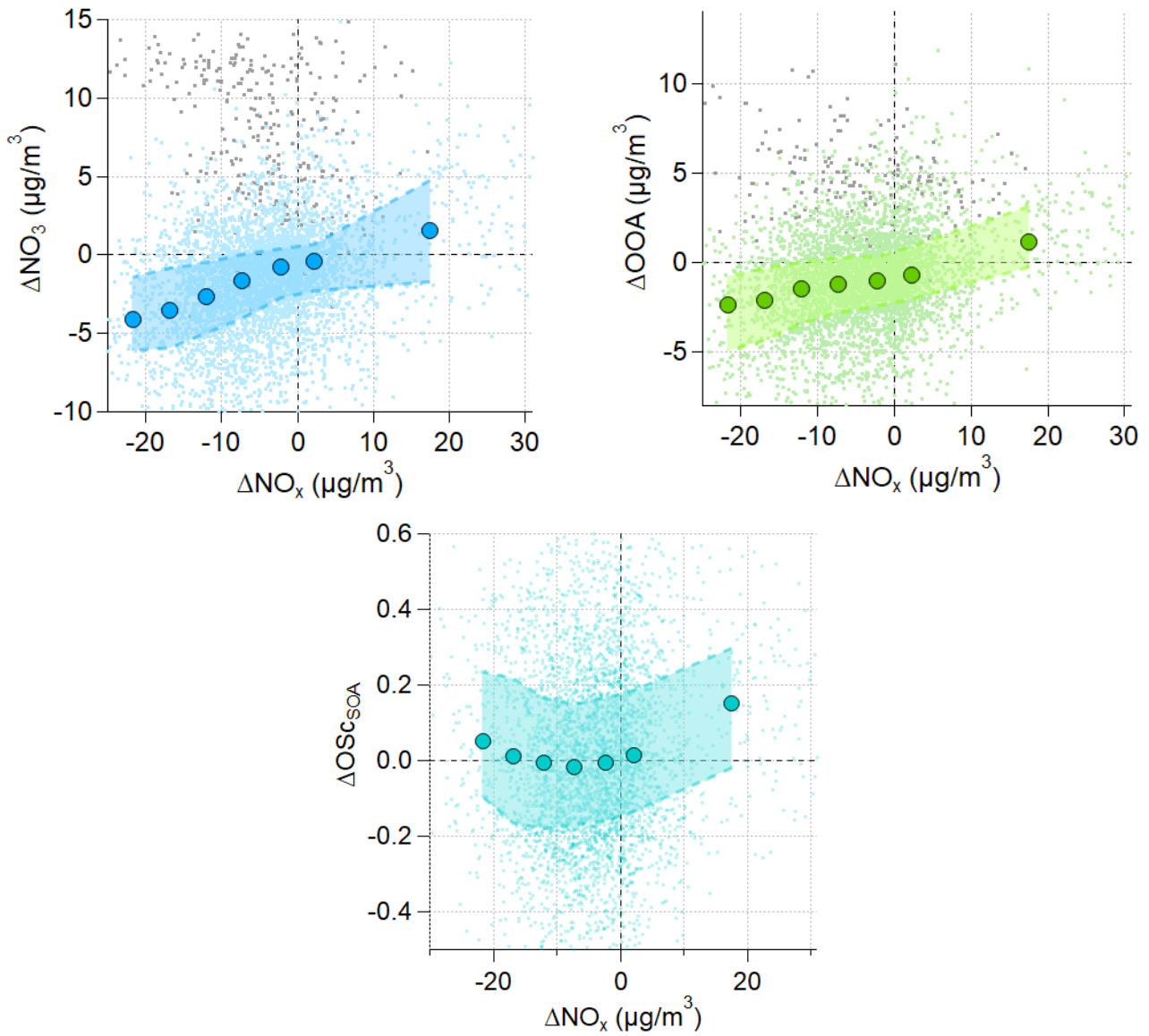
375 **Figure 7 a) Concentration change ( $\mu\text{g}/\text{m}^3$ ) of  $\text{O}_3$  versus concentration change of  $\text{NO}_x$  ( $\mu\text{g}/\text{m}^3$ ). Coloured dots correspond to a 100 resampling following the inverse normal distribution law, whose mid-height width is the standard deviation of each analog day. Markers represent the median, bottom and top and shaded area the 25<sup>th</sup> and 75<sup>th</sup> percentile, respectively. b) Concentration change of  $\text{O}_3$  versus ambient temperature. Marker's color and size are respectively function of  $\Delta\text{NO}_x$  and observed  $\text{O}_3$  concentrations.**

380

#### 4.2.2. Particulate Nitrate

Springtime in the Paris region is usually associated with PM pollution episodes that are mainly triggered by particulate ammonium nitrate (Beekmann et al., 2015) ( $\text{NH}_4\text{NO}_3$ ), resulting from the reaction between  $\text{HNO}_3$  ( $\text{NO}_x$  oxidation) and ammonia ( $\text{NH}_3$ ). For that matter, agricultural activities (the major source of  $\text{NH}_3$  in Western-Europe (Fortems-Cheiney et al., 2016)) were neither stopped nor restrained during lockdown. Therefore, business-as-usual ammonia concentrations can reasonably be assumed, and since the formation regime of nitrate in Paris has previously been found to be  $\text{NO}_x$ -limited (Petetin et al., 2016), a change of regime to  $\text{NH}_3$ -limited is highly unlikely (Viatte et al., 2021). On median, nitrate decreases by 45%. The decrease linearly follows the one of  $\text{NO}_x$  (Fig. 8), although both compounds differ in terms of reactivity and footprint.

390 A similar relationship is shown when using urban and peri-urban  $\text{NO}_x$  concentrations (Fig. S10), which is consistent with the temporal correlation of  $\Delta\text{NO}_x$  throughout the Paris region (Fig. 5). However, a slight shouldering of the decrease for urban  $\text{NO}_x$  can be noticed, which implies that this efficiency regarding  $\text{NO}_3$  is related to the amplitude of  $\text{NO}_x$  reduction.



395 **Figure 8** Same as Fig.7a, for  $\text{NO}_3$ , OOA and  $\text{OSc}_{\text{SO}_4}$ . Grey dots correspond to days with predominant long-range transport, identified by a positive  $\text{NO}_3$  and OOA concentration change concomitantly with a  $\text{SO}_4$  concentration peak (Fig. 9), which have been excluded from percentile calculations. Markers represent the median, bottom and top and shaded area the 25<sup>th</sup> and 75<sup>th</sup> percentile, respectively.

400 This result suggests the importance of rapid ammonium nitrate formation at a rather local scale (Petit et al., 2015; Wang et al., 2020), but shall not eclipse continental advection that can occur in Paris, especially during Springtime (e.g. Beekmann et al., 2015). To that end, sulphate shows little change (-8%) with no clear statistical significance ( $p > 0.05$ ), which indicates a similar influence of long-range pollution advection, and that  $\text{SO}_2$  sources in Eastern Europe (Pay et al., 2012) may not have experienced a significant decrease. Consistent information on that matter are unfortunately still rather scarce at the European scale. Filonchyk et al. (2020) recently showed an increase of  $\text{SO}_2$  in several Polish urban areas, although their methodology does not take meteorology into account. The Carbon Monitor initiative (Liu et al., 2020b) also records a decrease of 11.5% of the Power sector in Europe during 2020 compared to 2019. On specific days, positive peaks of  $\Delta\text{NO}_3$  are concomitant to higher  $\text{SO}_4$  concentrations (Fig. 9). Since  $\text{SO}_4$  has been previously found to be mainly advected in Northern France (eg Favez et al., 2021), also supported by the cluster analysis in Fig. S3b, this means that nitrate was in these cases mainly advected from long-range transport, despite a decrease of  $\text{NO}_x$ . It is also

410



concomitant with a higher Nitrogen Oxidation Ratio ( $NOR = NO_3 / (NO_2 + NO_3)$ ) values, and highest positive change (top panel of Fig. 9), suggesting a higher efficiency of  $HNO_3$  formation. Given the  $NO_x/NO_3$  relationship (Fig. 8a), and hypothesizing that a decrease of locally-formed  $NO_3$  is always associated to a decrease of  $NO_x$  concentration at the measurement site, long-range transported  $NO_3$  can be assumed to overcompensate the regional decrease (eq. 4).

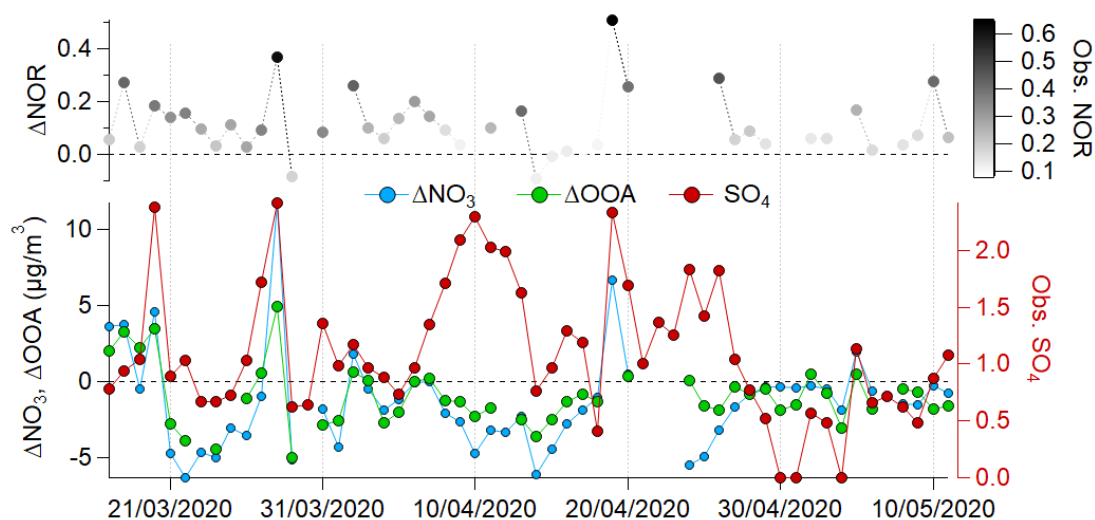
$$\Delta NO_3^{total} = \Delta NO_3^{advected} + \Delta NO_3^{local} \quad \text{equation 4}$$

Where  $\Delta NO_3^{total}$  is the daily concentration change at  $t_i$ , calculated from A3Q.  $\Delta NO_3^{local}$  is calculated from the relationship with  $\Delta NO_x$  (Figure 8).

420

For instance, on March 28<sup>th</sup> and April 19<sup>th</sup>, the total  $\Delta NO_3$  respectively of 11.7 and 6.7  $\mu g/m^3$  could be apportioned into a regional decrease of -5.5 and -3.7  $\mu g/m^3$ , with an advected contribution of 17.2 and 10.4  $\mu g/m^3$ , respectively. This result would need to be further investigated and confirmed from eg Chemistry Transport Model simulations, but still underlines the deleterious impact of long-range transport.

425



430 **Figure 9** Temporal variation during LP2020 of (bottom)  $\Delta NO_3$ ,  $\Delta OOA$  and  $SO_4$  concentrations ( $\mu g/m^3$ ); (top)  $\Delta NOR$  values, color-coded by observed NOR.

#### 4.2.3. Secondary Organic Aerosols

Secondary organic aerosols, proxied by OOA concentrations, exhibit a decrease of 25% compared to business-as-usual conditions. Given the multitude of SOA precursors and formation pathways, this decrease can be linked to numerous factors. Indeed, Srivastava et al. (2019) recently highlighted the complexity of the SOA fractions in the Paris region during Springtime. The lack of specific organic tracers prevents from thoroughly apportioning SOA over the long-term analysis period of 2012-2020. As a consequence, the apparent decrease of 25% may derive from several compensatory feedbacks, which cannot be individually

440 characterized here. Although SOA in Paris can't be only related to traffic (Crippa et al., 2013; Srivastava et al., 2019), the decrease of OOA seems to be also correlated with  $\Delta\text{NO}_x$  (Figure 8b).  $\text{NO}_x$  steps in SOA formation notably when reacting with peroxy radicals ( $\text{R-O}_2$ ), resulting from VOCs oxidation with the hydroxyl radical. The exceptional amount of sunshine during lockdown may have positively influenced the availability of  $\text{OH}^\bullet$  for the initialization of SOA formation. However, no direct observations available at SIRTA can support this  
445 assumption directly. The odd oxygen  $\text{O}_x$  ( $= \text{O}_3 + \text{NO}_2$ ), a conservative tracer of photochemical chemistry (Sun et al., 2020), shows a slight increase (+9%). But unlike the findings of Herndon et al. (2008) in Mexico-city during Spring, only a moderate correlation is found with OOA ( $r^2=0.4$ , slope = 0.068, following the recommendation of removing wood-burning and long range transport related episodes). This may be linked to the rather low  $\text{O}_x$  concentrations (60-110  $\mu\text{g}/\text{m}^3$ ) during the lockdown period.

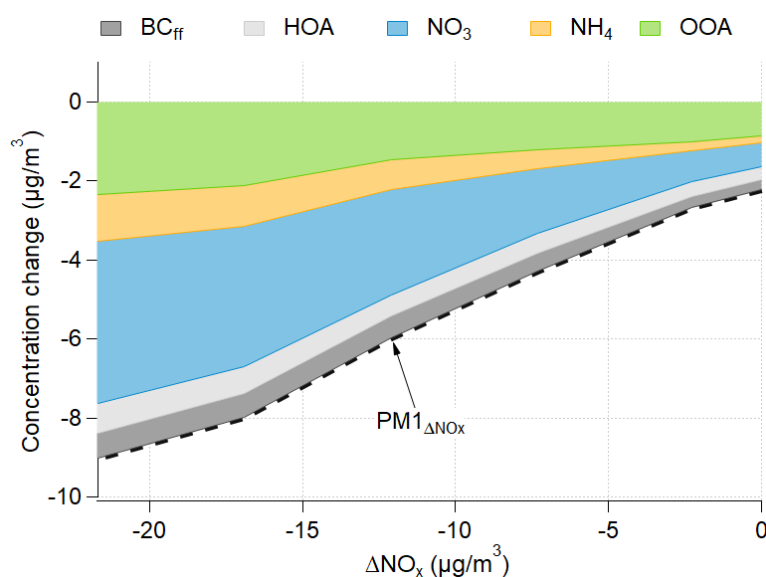
450 Despite very limited change on average (<1%), daily  $\text{OSc}_{\text{SOA}}$  is found to differ from business as usual conditions, adopting a comma-like shape, function of  $\Delta\text{NO}_x$  (Fig. 8c). Indeed, although  $\Delta\text{OSc}_{\text{SOA}}$  decreases until  $\Delta\text{NO}_x > -7 \mu\text{g}/\text{m}^3$ , it increases back for higher  $\text{NO}_x$  decreases, reaching a median of +0.05. Despite being moderate, this behaviour may reflect some changes in SOA chemistry, notably regarding gas-phase oxidation of anthropogenic precursors, as highlighted in Herndon et al. (2008). But at this stage, the whole equation  
455 comprises too many unknown variables (from VOC precursors to particulate end products) in order to fully describe the impacts of lockdown measures onto the numerous SOA formation mechanisms remains arduously apprehendable.

### 460 4.3. Implications for air quality

The lockdown enforced during Spring 2020 in Paris corresponds to a real-life emission scenario, representing the extreme case of a quasi-total interruption of the vehicular traffic source. Up to now, no mitigation policy could have gone that far. From the results presented here, the impact of  $\text{NO}_x$  control on submicron primary traffic-related aerosols ( $\text{BC}_{\text{ff}}$  and HOA) remains limited, mainly because both don't  
465 contribute much to  $\text{PM}_1$  concentrations during Spring (5.0 and 5.7% respectively). However, it is worth mentioning that a higher impact can be expected within  $\text{PM}_{10}$  in the vicinity of traffic, related to the decrease of non-exhaust emissions (brake/tire wear and resuspension). This fraction has recently been highlighted as particularly harmful for human health (Daellenbach et al., 2020); therefore  $\text{NO}_x$  control shall provide a significant co-benefit for that specific matter.

470 Reducing the concentrations of secondary compounds is an arduous task, because mitigation policies can inherently only focus on the reduction of primary pollutants. But regional  $\text{NO}_x$  reduction appears to have the potential to be an efficient mitigation policy regarding secondary aerosols (mainly  $\text{NO}_3$  and SOA to a lesser extent), which account on average for more than half of  $\text{PM}_1$  during Spring at SIRTA (respectively 28.9% and 31.4%). Moreover, although the traffic ban has been applied consistently over the lockdown period, the  
475 decrease of  $\text{NO}_x$  concentrations at SIRTA ranges from 0 to around  $-20 \mu\text{g}/\text{m}^3$ . Adding the impact of  $\text{NO}_x$  on  $\text{BC}_{\text{ff}}$ , HOA,  $\text{NO}_3$ ,  $\text{NH}_4$  (considering neutralized aerosols) and OOA together, the corresponding change of  $\text{PM}$ -related material ranges from  $-2$  to  $-9 \mu\text{g}/\text{m}^3$  (Fig. 10). The efficiency of  $\text{NO}_x$  mitigation shall therefore be put

in perspective with meteorological conditions (e.g. horizontal dispersion, since lowest  $\Delta\text{NO}_x$  values are associated to continental air masses), as well as the vertical atmospheric dynamic (Dupont et al., 2016). It is also worth mentioning that in the case of long-range pollution transport episodes, substantial efforts to reduce emissions at the city scale will not be enough to counterbalance additional advected material, as shown previously for  $\text{NO}_3$  and OOA.



485 **Figure 10 Impact of regional  $\text{NO}_x$  concentration change on PM-related species ( $\text{BC}_{\text{ff}}$ , HOA,  $\text{NO}_3$ ,  $\text{NH}_4$ , OOA).  $\Delta\text{NH}_4$  was estimated from  $\Delta\text{NO}_3$ , assuming aerosol neutralization (Petit et al., 2015).**

## 5. Conclusion

490 The COVID-19 pandemic led to life-changing restrictions, with strict stay-at-home orders in Western-Europe during Spring 2020. As a consequence, light-duty vehicular traffic was almost completely stopped in urban areas, such as the Paris region. Despite tragic death records, lockdowns represent an open-air mitigation experiment, in a period that is usually associated with PM pollution episodes dominated by secondary material. However, the characterization of a change in chemical composition is not straightforward because meteorology can strongly contribute to the temporal variability of atmospheric pollutants. To that end, a unique methodology was built in order to compare each day of lockdown with analog days, having similar meteorology. The analogy was based on three successive meteorological layers, where synoptic, regional and local meteorology was considered. This innovative approach was applied to a comprehensive in-situ dataset, acquired at the SIRTA observatory, a sub-urban station located 20km South-  
495 West of Paris. The  $\text{A}^3\text{Q}$  method provided satisfactory results over a business-as-usual period, which ensures a robust characterization of concentration changes in the Paris region during lockdown. Yet,  $\text{A}^3\text{Q}$  requires a 9+ long term dataset, otherwise results can rapidly suffer from shortfall of representativeness. Also the analogy needs to be carefully inspected, notably in terms of local meteorology. Indeed, the first synoptic layer appears to be not quite enough to capture all the specificity of the sampling site.  
500

505 The unprecedented drop of traffic commuting due to the stay-at-home order led to an expected decrease of  
primary traffic pollutants, NO<sub>x</sub> (-42%), HOA (-62%) and BC<sub>ff</sub> (-55%), as well as an increase of ozone  
concentrations due to the lesser contribution of the O<sub>3</sub> sink by NO. Concomitantly, primary particles related  
to residential wood-burning shows a slight increase (+12-20%). The decrease of NO<sub>x</sub> (-42%) triggered positive  
510 feedbacks regarding secondary aerosols especially nitrate and SOA, which are found to drop by 42% and 25%  
respectively. A NO<sub>x</sub> relationship suggests the significance of rather local pollution formation, contrasting with  
previous results in the region. The decrease of NO<sub>3</sub> was compensated sporadically during long-range  
transport episodes. Oxidation state of SOA is also seen to vary with NO<sub>x</sub> concentration change, but our  
understanding of the involved phenomena still remains limited, notably due to the lack of long-term VOC in-  
situ observations in the Paris region.

515

Finally, the proposed A<sup>3</sup>Q method may be considered as an efficient tool to monitor and quantify more  
precisely the impact of lockdowns in other urban areas. It could also be useful for the quantitative evaluation  
of emergency mitigation policies settled during pollution episodes.

520

525

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measurements at SIRTa. Y.Z. performed the source apportionment analysis between 2012 and 2020. R.V. provided the  
list of analog days from synoptic circulation. J.-C.D. and M.H. demonstrated the feasibility of the analog method on SIRTa  
in-situ data. J.-E.P. performed the additional analyses, with contributions from L.S. J.-E.P. wrote the paper with the  
530 assistance from all authors.

*Competing interests.* The authors declare no competing interests.

*Data availability.* In-situ measurements at SIRTa are available through the EBAS database (<https://ebas.nilu.no>). Ozone  
535 data from Airparif are available on <https://www.airparif-asso.fr>  
GDAS files for backtrajectory calculation are available on <https://www.arl.noaa.gov/hysplit/hysplit/>  
ZeFir procedure is available on <https://sites.google.com/site/zefirproject/>

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