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# Water vapor anomaly over the tropical western Pacific in El Niño winters from radiosonde and satellite observations

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20 **Abstract.** Using radiosonde observations at five stations in the tropical western Pacific and reanalysis data  
21 for 15 years from 2005 to 2019, we report an extremely negative anomaly in atmospheric water vapor  
22 during the super El Niño winter of 2015/16, and compare the anomaly with that in the other three El Niño  
23 winters. Strong specific humidity anomaly is concentrated below 8 km of the troposphere with a peak at  
24 2.5-3.5 km, and column integrated water vapor mass anomaly over the five radiosonde sites has a large  
25 negative correlation coefficient of -0.63 with oceanic Niño3.4 index, but with a lag of about 2-3 months.  
26 In general, the tropical circulation anomaly in the El Niño winter is characterized by divergence  
27 (convergence) in the lower troposphere over the tropical western (eastern) Pacific, thus the water vapor  
28 decreases over the tropical western Pacific as upward motion is suppressed. The variability of the Hadley  
29 circulation is quite small and has little influence on the observed water vapor anomaly. The anomaly of the  
30 Walker circulation makes a considerable contribution to the total anomaly in all the four El Niño winters,  
31 especially in the 2006/07 and 2015/16 eastern-Pacific (EP) El Niño events. The monsoon circulation  
32 shows a remarkable change from one to the other event, and its anomaly is large in the 2009/10 and  
33 2018/19 central-Pacific (CP) El Niño winters and small in the two EP El Niño winters. The observed water  
34 vapor anomaly is caused mainly by the Walker circulation anomaly in the supper EP event of 2015/16 but  
35 by the monsoon circulation anomaly in the strong CP event of 2009/10. Owing to the anomalous decrease  
36 in upward transport of water vapor during the El Niño winter, less cloud amount and more outgoing  
37 longwave radiation over the five stations are clearly presented in satellite observation.

38

### 39 **1 Introduction**

40 Water vapor is a variable trace composition of the atmosphere, whereas it has a profound impact on  
41 global energy budgets not only through latent heat release upon phase transitions (Held and Soden, 2000),



42 but also through cloud formation that reflects long-wave radiation from below and short wave radiation  
43 from above (Stevens et al., 2017), thus water vapor plays a substantial role in the climate system as a  
44 dominant greenhouse gas in the troposphere. The tropical Pacific is a major convection center and  
45 abundant water vapor region. Sea surface temperature (SST) anomaly in the tropical Pacific has an  
46 important influence on water vapor transport, cloud cover and precipitation distribution due to the  
47 tropical circulation changes caused by El Niño-Southern Oscillation (ENSO). ENSO is characterized by  
48 anomalous SST in the tropical Pacific. During ENSO, there is significant precipitation variability in the  
49 Euro-Mediterranean (López-Parages and Rodríguez-Fonseca, 2012), Middle East (Sandeep and  
50 Ajayamohan, 2018), southwest central Asia (Mariotti, 2007), western Africa (Okazaki et al., 2015),  
51 Pacific Ocean (Quartly et al., 2000) and America (Lee et al., 2014). ENSO has an effect on seasonal  
52 rainfall in East Asian by inducing a weaker and later onset of the Indian monsoon circulation (Dai and  
53 Wigley, 2000; Zhao et al., 2010; Yan et al., 2018). Vertical cloud anomalies in the tropical Atlantic from  
54 Aqua Moderate Resolution Imaging Spectroradiometer are linked to ENSO-induced shift and weakening  
55 of the Walker circulation and Hadley cell near the equator (Madenach et al., 2019). The strong 1997/98  
56 El Niño resulted in cloud structure anomalies and their radiative property changes over the tropical  
57 Pacific (Sun et al., 2012), and increased upper tropospheric cirrus over the mid-Pacific but decreased  
58 cirrus over Indonesia (Massie et al., 2000). Numerical investigation also indicated that warm water  
59 volume transport and precipitation change are associated with ENSO (Ishida et al., 2008; Hill et al.,  
60 2009).

61 El Niño is generally classified into central-Pacific (CP) El Niño, also known as El Niño Modoki, and  
62 eastern-Pacific (EP) El Niño based on distinct spatial distributions of warming SST anomaly averaged  
63 over the Niño4 and Niño3 regions (Ashok et al., 2007; Yu and Kao, 2009; Yeh et al., 2009), respectively.



64 The 2006/07 and 2015/16 events are the EP El Niño because of the stronger SST anomaly during the  
65 boreal winter (December to February, as DJF) in the Niño3 region than in the Niño4 region, while  
66 correspondingly, the 2009/10 and 2018/19 events are categorized as the CP El Niño (Yeh et al., 2009).  
67 The two types of El Niño have different effects on precipitation, surface temperature, moisture transport  
68 and carbon cycle over many parts of the world (Weng et al., 2008; Kug et al., 2009; Wang et al., 2013;  
69 Yeh et al., 2014; Gu and Adler, 2016; Wang et al., 2018). Su and Jiang (2013) and Takahashi et al. (2013)  
70 suggested that water vapor anomaly over the tropical ocean is mainly controlled by thermodynamic  
71 process during the 2006/07 EP El Niño, but by both dynamic and thermodynamic processes during the  
72 2009/10 CP El Niño.

73 The EP El Niño in 2015/16 winter is one of the strongest ENSO events on record. Compared to the  
74 strong 1982/83 and 1997/98 El Niños, the 2015/16 El Niño shows distinct aspects that the largest SST  
75 anomalies are extended toward the central Pacific (Paek et al., 2017; L'Heureux et al., 2017). As the  
76 unusual characteristics, the global effects of the 2015/16 event have attracted much attention. Palmeiro et  
77 al. (2017) proposed that an early stratospheric final warming over the polar region and anomalous  
78 precipitation over southern Europe in 2016 were related to the 2015/16 super El Niño. Li et al. (2018)  
79 revealed that the combined effect of the 2015 ENSO warm phase and Madden-Julian Oscillation  
80 (MJO)-4 index negative phase caused a significant deficit of precipitation on the Canadian Prairies in  
81 May and June 2015. A striking freshwater anomaly was observed in the equatorial Pacific during the  
82 onset of 2015/16 event (Gasparin and Roemmich, 2016), and rainfall  $\delta^{18}\text{O}$  in the southern Papua was  
83 generally enriched by 1.6‰–2‰ during the 2015 El Niño than during the 2013/14 ENSO-normal period  
84 (Permana et al., 2016). Owing to convection anomaly during the 2015/16 El Niño, water vapor in the  
85 tropical lower stratosphere was increased by hydration of the lower stratosphere through convectively



86 detrained cloud ice (Avery et al., 2017), and quasi-biennial oscillation in the tropical stratospheric wind  
87 was disrupted because of dramatic relocation of deep convection (Dunkerton, 2016; Newman et al.,  
88 2016). Hence, the 2015/16 El Niño has the important influences on the circulation and composition  
89 transport and the mass exchange between the troposphere and stratosphere. In this paper, we investigate  
90 water vapor anomaly over the tropical western Pacific in the CP and EP El Niño events from radiosonde  
91 and satellite observations, in particular, extreme anomaly in the 2015/16 super El Niño winter, and  
92 explore the contributions of the tropical Hadley, Walker and monsoon circulation changes to the observed  
93 water vapor anomalies in the different El Niño events.

94 The data used are briefly described in section 2. In section 3, water vapor anomalies in four El Niño  
95 winters are presented, and the relationship between the ENSO intensity and the water vapor anomaly at  
96 the observational stations is discussed. In section 4, we decompose the tropical circulation into the  
97 Hadley, Walker and monsoon circulation components, and estimate the roles of these circulations in the  
98 water vapor variation. Tropical cloud and outgoing longwave radiation (OLR) are investigated in section  
99 5, and a summary is provided in section 6.

100

## 101 **2. Data**

102 In present study, we investigate the atmospheric water vapor by using radiosonde observations at five  
103 tropical stations for 15 years from January 2005 to December 2019, which are provided by the national  
104 oceanic and atmosphere administration (NOAA) at the website of  
105 <ftp://ftp.ncdc.noaa.gov/pub/data/ua/rrs-data/>. The five radiosonde stations are at Koror (7.33°N,  
106 134.48°E), Yap (9.48°N, 138.08°E), Guam (13.55°N, 144.83°E), Truk (7.47°N, 151.85°E) and Ponape  
107 (6.97°N, 158.22°E), located in the western Pacific warm pool. Balloon was launched twice daily at 0000



108 UT and 1200 UT, and during balloon ascent, sensing payload on balloon can obtain many meteorological  
109 parameters, such as atmospheric pressure, temperature, relative humidity, and wind speed and direction.  
110 We plot daily temperature, relative humidity, and wind speed time series observed by radiosonde to  
111 identify potential outliers, and then the high resistant asymmetric biweight technique is applied to weed  
112 out the outliers (Lanzante, 1996). The radiosonde data is linearly interpolated to a vertical grid of 50 m,  
113 and the interpolated data below 10 km is utilized to analyze the atmospheric water vapor variation. Burst  
114 height of balloon is usually more than 30 km, thus the data availability below 10 km is high. In the period  
115 that we focus on, the data are missing for about 4, 2, 1 and 4 months over Yap, Guam, Truk and Ponape,  
116 respectively, and they are almost entirely from the several gaps of observations.

117 Specific humidity can be derived from the profile of meteorological parameters observed by  
118 radiosonde. The saturated vapor pressure  $e_s$  is calculated according to a modified version of the  
119 Magnus formula as follows (Murray, 1967),

$$120 \quad e_s = 6.1078 \times \exp \left[ \frac{17.269(T - 273.16)}{T - 35.86} \right] \quad (1)$$

121 where  $T$  is the temperature in units of K. And then, the specific humidity  $q$  ( $\text{g kg}^{-1}$ ) is determined  
122 from the following equations,

$$123 \quad e = RH \times e_s \quad (2)$$

$$124 \quad q = \frac{0.622e}{p - 0.378e} \quad (3)$$

125 where  $e$  is the vapor pressure;  $RH$  is the relative humidity; and  $p$  is the pressure with units of hPa.

126 In addition, we use the monthly specific humidity, horizontal winds from surface to 300 hPa during  
127 the period of 2005-2019, obtained from the European centre for medium-range weather forecasts  
128 (ECMWF) ERA5 reanalysis data, to investigate the water vapor anomaly and tropical atmospheric



129 circulation in the region of the radiosonde stations. The reanalysis data is produced by a sequential 4D  
130 variational data assimilation scheme, with a latitudinal and longitudinal resolution of  $0.25^\circ \times 0.25^\circ$  at 37  
131 pressure levels from 1000 to 1 hPa (Hersbach et al., 2020). The data is available at the website of  
132 <https://cds.climate.copernicus.eu/cdsapp#!/home/>.

137 Oceanic Niño index (ONI) is applied to discuss the correlation between the ENSO and the observed  
138 water vapor anomaly. ONI is the measurement of ENSO strength, which is provided by the NOAA at  
139 <https://catalog.data.gov/dataset/climate-prediction-center-cpc-oceanic-nino-index/>. The ONI is defined as  
140 a 3-month moving average of extended reconstructed sea surface temperature (ERSST) V5 sea surface  
141 temperature anomalies in the Niño3.4 region at  $5^\circ\text{N}$ - $5^\circ\text{S}$  and  $120^\circ$ - $170^\circ\text{W}$  (Huang et al., 2017).

142 Cloud occurrence probability and OLR flux are also examined since they are sensitive to water vapor  
143 variation (Stevens et al., 2017; Soden et al. 2008). The OLR data is measured by the NOAA-18 satellite.  
144 We use the monthly OLR data between 2005 and 2019 from the NOAA archives with a latitudinal and  
145 longitudinal grid of  $2.5^\circ \times 2.5^\circ$  (Liebmann and Smith, 1996), which can be accessed through the website  
146 of [https://www.esrl.noaa.gov/psd/data/gridded/data.interp\\_OLR.html/](https://www.esrl.noaa.gov/psd/data/gridded/data.interp_OLR.html/). Cloud-aerosols lidar and infrared  
147 pathfinder satellite observations (CALIPSO) are able to clearly identify cloud vertical structure (Winker  
148 et al., 2007). Here, we use the CALIPSO Version 1.00 lidar level 3 cloud occurrence monthly data in a  
149 latitudinal and longitudinal grid of  $2^\circ \times 2.5^\circ$  with an altitude resolution of 60 m above the mean sea level,  
150 and the available data is from June 2006 to December 2016, downloaded from the website of the national  
151 aeronautics and space administration (NASA) at  
152 [https://eosweb.larc.nasa.gov/project/calipso/cloud\\_occurrence\\_table/](https://eosweb.larc.nasa.gov/project/calipso/cloud_occurrence_table/).

153

154 **3 Water Vapor Anomaly**



### 155 3.1 Water Vapor Anomaly during El Niño Winter

156 We derive the profile of specific humidity from the radiosonde observations according to Eqs. (1-3),  
157 and then calculate the monthly mean specific humidity. The monthly mean specific humidities in all the  
158 same months are further averaged to obtain the monthly climatic normal, thus the monthly mean water  
159 vapor anomaly is determined from the monthly mean series by subtracting the corresponding month  
160 climatic normal. Figure 1 shows the monthly mean specific humidity anomaly based on the radiosonde  
161 observations at Koror, Yap, Guam, Truk and Ponape from January 2005 to December 2019. Atmospheric  
162 water vapor is mainly concentrated below 8 km, thus the large water vapor anomaly also occurs below 8  
163 km. It can be seen from Fig. 1 that the water vapor anomaly is remarkably negative over the five stations  
164 in the super El Niño winter of 2015-2016. The negative anomaly in the water vapor reaches the peak  
165 values of  $-2.06 \text{ g kg}^{-1}$  around 3 km in January at Koror,  $-3.2 \text{ g kg}^{-1}$  around 3 km in February at Yap,  $-2.39$   
166  $\text{g kg}^{-1}$  around 2.5 km in January at Guam,  $-2.29 \text{ g kg}^{-1}$  around 3.5 km in February at Truk and  $-2.66 \text{ g kg}^{-1}$   
167 around 2.5 km in February at Ponape, respectively. In the 2006/07, 2009/10 and 2018/19 El Niño winters,  
168 the observed water vapor anomalies also exhibit negative throughout the lower troposphere. Hence, the  
169 El Niño events can lead to the obvious reduction of water vapor in the region.

170 With the help of the ERA5 reanalysis data, we investigate the distribution of the abnormal water vapor  
171 during the four El Niño events. Here, we introduce an important scalar of column integrated water vapor  
172 mass (CWV), also called precipitable water, which is expressed as (Viswanadham, 1981),

$$173 \quad Q = \frac{1}{g} \int_{p_z}^{p_0} q dp \quad (4)$$

174 where  $Q$  is the CWV in units of  $\text{kg m}^{-2}$ ;  $g = 9.8 \text{ m s}^{-2}$  is the acceleration due to gravity; and the  
175 pressures  $p_0$  and  $p_z$  denote the bounds of integration, respectively. Considering that atmospheric





176 water vapor is mainly distributed below 8 km in the tropics due to the rapid decrease of water vapor with  
177 height (Mapes et al., 2017), we choose  $p_0 = 1000$  hPa on the ground and  $p_z = 300$  hPa corresponding  
178 to a height of about 9 km. According to Eq. (4), we calculate the CWV between 30°S and 30°N from  
179 January 2005 to December 2019 based on the reanalysis data. Similarly, the monthly mean CWV and its  
180 anomaly can be derived from the CWV series. Figure 2 presents the mean CWV anomalies in the four El  
181 Niño winters. In the 2006/07 and 2015/16 EP El Niño events, the positive CWV anomalies appear in the  
182 equatorial central and eastern Pacific, while in 2009/10 and 2018/19 CP El Niño events, the positive  
183 anomalies concentrate in the central Pacific. This is consistent with previous studies (Kug et al., 2009;  
184 Takahashi et al., 2013; Xu et al., 2017). The negative anomalies occur in the tropical western Pacific and  
185 some tropical latitudes off the equator in both hemispheres. In the region of the five radiosonde stations,  
186 the CWV anomaly is evidently negative and comparable between the 2009/10 and 2015/16 events  
187 although the two events are classified into different El Niño types. Whereas in the other two events, the  
188 water vapor anomaly is weak, which is in rough agreement with the radiosonde observation in Fig. 1.

### 189 **3.2 Relation between CWV Anomaly and ONI**

190 We choose the reanalysis CWV anomalies at the five radiosonde stations to discuss the relationship  
191 between the water vapor anomaly and the ENSO. The monthly mean CWV anomaly averaged at the five  
192 stations is derived from the radiosonde and reanalysis data from January 2005 to December 2019.  
193 Considering that the ONI is a 3-month smoothed value, the monthly mean CWV anomaly is also  
194 smoothed in a 3-month moving window. Figure 3 depicts the ONI and monthly mean CWV anomalies  
195 from the radiosonde and reanalysis data. The CWV anomalies show a similar temporal evolution  
196 between the observation and the reanalysis with a significant correlation coefficient  $R=0.83$ , but tends to  
197 vary in opposite to the ONI but with a delay of about several months. The correlation coefficient between



198 the CWV anomaly and the ONI is calculated to be -0.63 (-0.62) with a lag of 3 (2) months. One can note  
199 from Fig. 3 that when a strong La Niña occurs with ONI=-1.64 in November 2010, the water vapor  
200 anomaly reaches the positive maximum in February and March 2011 from the observation and reanalysis  
201 data, respectively. However, for the 2015/16 super El Niño event with the peak of ONI=2.6 in December  
202 2015, an extremely negative anomaly appears in both the observation and reanalysis. The negative  
203 anomaly attains as large as -5.39 and -5.75 kg m<sup>-2</sup> in February 2016 from the radiosonde and reanalysis  
204 data, respectively. Similarly, the 2009/10 event has a large index of ONI=1.6 in November 2009, which  
205 leads to the strong CWV anomalies of -2.45 and -3.94 kg m<sup>-2</sup> in January 2010 from the radiosonde and  
206 reanalysis data, respectively. Hence, the ENSO or SST anomaly plays an important role in the water  
207 vapor variation in the tropical western Pacific.

208

## 209 **4 Contribution from Tropical Circulations**

### 210 **4.1 Tropical Atmospheric Circulations**

211 Besides the SST effect, evaporated sea water is carried to higher levels by the upward flow, thus the  
212 water vapor variability in the troposphere is closely related to the atmospheric circulation. In the tropics,  
213 there are several well-known circulations, i.e. Hadley, Walker and monsoon circulations, and each  
214 circulation has its own features and driving force though these circulations may be highly coupled with  
215 each other. In this way, we attempt to estimate the contributions of each tropical circulation to the  
216 observed water vapor anomalies in the El Niño events. According to the Helmholtz's theorem, horizontal  
217 wind velocity can be decomposed into the rotational and divergent winds,

$$218 \quad \mathbf{V}_H = \mathbf{V}_\Psi + \mathbf{V}_\Phi = \mathbf{k} \times \nabla \Psi - \nabla \Phi \quad (5)$$

219 where  $\Psi$  is the stream function,  $\Phi$  is the velocity potential;  $\mathbf{k}$  is the unit vector in the vertical



220 direction; and  $\vec{V}_H$ ,  $\vec{V}_\psi$  and  $\vec{V}_\phi$  are the horizontal, rotational and divergent wind velocities,  
221 respectively. Thermal driving force resulted from differential heating and temperature contrast is  
222 essential to cause atmospheric convergence-divergence and vertical motion and then the formation of  
223 atmospheric circulation. The stream function involved in the rotation field has no contribution to the  
224 atmospheric vertical motion, while the velocity potential may be chosen as the indicator of the  
225 atmospheric circulations since it is in connection with the atmospheric convergence-divergence  
226 associated with the upward and downward motions in the tropical region (Kanamitsu and Krishnamurti,  
227 1978; Newell et al., 1996; Wang, 2002). Thus we selected the velocity potential at 850 hPa to represent  
228 the characteristics of the tropical circulations in the lower troposphere. The divergence and velocity  
229 potential fields are calculated by using the ECMWF reanalysis horizontal winds at 850 hPa according to  
230 the following equation (Krishnamurti, 1971; Tanaka et al., 2004),

$$231 \quad D = \nabla \cdot \vec{V}_H = -\nabla^2 \Phi \quad (6)$$

232 where  $D$  is the divergence of horizontal wind. In Eq. (6), the negative sign means that the divergent wind  
233 flows from the large velocity potential to the small velocity potential.

234 Based on the different driving mechanisms and movement features, Tanaka et al. (2004) introduced  
235 the definitions of the Hadley, Walker and monsoon circulations, which have an advantage to  
236 quantitatively evaluate the intensity of the three tropical circulations by means of the separation of the  
237 velocity potential into three orthogonal spatial patterns. Thus, we follow the definitions and methodology  
238 proposed by Tanaka et al. (2004) to obtain these tropical circulations for investigating their contributions  
239 to the observed water vapor anomaly in the four El Niño events. The velocity potential is divided as  
240 (Tanaka et al., 2004),

$$241 \quad \Phi(x, y, t) = [\Phi(t, y)] + \overline{\Phi^*}(x, y) + \Phi''(x, y, t) \quad (7)$$



242 where  $x$ ,  $y$  and  $t$  are the longitude, latitude and time, respectively. The square brackets and asterisk denote  
243 the zonal mean and the deviation from the zonal mean, respectively, and the overbar and prime denote the  
244 annual mean and the departure from the annual mean, respectively. The first term on the right of Eq. (7) is  
245 the zonal mean component of the velocity potential field, defined as the Hadley circulation because this  
246 circulation, driven by the large-scale meridional differential heating, may be treated as axisymmetric. The  
247 second and third terms on the right are the annual mean of the deviation from the zonal mean and the  
248 deviation from the annual mean, respectively. The third term is regarded to be the monsoon circulation  
249 since the monsoon circulation has the conspicuous seasonal variability as the sea-land heat contrast  
250 changes. The second term is referred to as the Walker circulation. The separation is not perfect for the  
251 Walker circulation without seasonal variation, as pointed out by Tanaka et al. (2004). The Walker  
252 circulation is induced by the different SST along the equator. Considering that the El Niño usually lasts for  
253 more than a year with the maximum ONI in winter, we chose the period of June to the next May to  
254 estimate the Walker circulation, and then obtain the Walker circulation anomaly during El Niño relative to  
255 its climatic average. In this way, the problem may not be very serious. The definitions and decomposition  
256 of the tropical circulations have extensively been used to study the influences of SST warming pattern on  
257 the interannual variation and long-term trend of the Hadley, Walker and monsoon circulations in  
258 association with hydrological cycle (Tanaka et al., 2005; Park and Sohn, 2008; Li and Feng, 2013; Ma and  
259 Xie, 2013).

260 According to Eq. (6), we calculate the time series of the divergent wind and velocity potential at 850  
261 hPa from 2005 to 2019 by using the reanalysis horizontal wind data, and then their monthly climatic  
262 normal is derived from their time series, respectively. Figure 4 presents the climatic means of the velocity  
263 potential and divergent wind fields in DJF. We choose the velocity potential as the proxy of the



264 circulation intensity, thus the intensity of the tropical circulation in winter can clearly be seen from Fig. 4.  
265 The prominent negative peak of about  $-90 \times 10^5 \text{ m}^2 \text{ s}^{-1}$  in the velocity potential is situated in the western  
266 Pacific warm pool, thus there is the convergence center of horizontal wind field, which induces the rising  
267 motion in the lower troposphere over the region, including the five radiosonde stations. Hence, the  
268 atmospheric water vapor is abundant in this region due to the transportation by the strong ascending flow.  
269 On the contrary, the maximum (second) velocity potential of  $44 \times 10^5$  ( $42 \times 10^5$ )  $\text{m}^2 \text{ s}^{-1}$  appears in the  
270 southeast Pacific (Indian) ocean, meaning the divergence center and the sinking motion over there, as  
271 well as less water vapor relative to the western Pacific warm pool region.

#### 272 4.2 Atmospheric Circulation Anomalies

273 Next, we focus on the tropical circulation anomaly in the four El Niño events. Figure 5 illustrates the  
274 velocity potential and divergent wind anomalies at 850 hPa in the four winters. Here, we define the  
275 velocity potential value as the circulation index with the units measured by  $10^5 \text{ m}^2 \text{ s}^{-1}$ , and accordingly,  
276 the velocity potential anomaly is regarded as the index of the circulation anomaly. As a consequence, the  
277 positive index of the circulation anomaly indicates the weakened convergence and rising motion or the  
278 strengthened divergence and sinking motion, and vice versa for the negative index of the circulation  
279 anomaly. Hence, the positive and negative indices mean the decrease and increase of water vapor in the  
280 troposphere due to the vertical transport change, respectively. In Fig. 5, the positive index of the  
281 circulation anomaly occurs in the western Pacific, especially in the 2009/10 and 2015/16 El Niño winters,  
282 thus the ascending motion is suppressed over there, and the negative water vapor anomalies are recorded  
283 in the radiosonde observation. On the contrary, there is the negative index in the equatorial eastern  
284 Pacific, which causes that the descending flow is suppressed. Correspondingly, the positive CWV  
285 anomaly over the equatorial eastern Pacific can be seen from Fig. 2.



286 According to Eq. (7), we calculate the velocity potential of the Hadley, Walker and monsoon  
287 circulations and their anomaly indices at 850 hPa from the reanalysis data. Figure 6 presents the velocity  
288 potential and anomaly index of the Hadley circulation in the four El Niño winters. Now that the Hadley  
289 circulation is a tropical circulation driven by the meridional differential heating in the global radiative  
290 process (Oort and Yienger, 1996), this large-scale circulation is very similar in different winters with the  
291 circulation index increasing from the negative peak at about 12°S to positive peak at 23°N, and is little  
292 affected by El Niño with the anomaly index less than  $2 \times 10^5 \text{ m}^2 \text{ s}^{-1}$ , or 2 units. Even so, the pattern of the  
293 Hadley circulation anomaly is distinguished between the EP El Niño and CP El Niño. During the CP El  
294 Niño winters, the index of the Hadley circulation anomaly is positive over the entire tropics with the  
295 maximum of 1.74 (1.65) units at 3°N (2°N) in the 2018/19 (2009/10) winter. Whereas, in the 2006/07  
296 and 2015/16 EP El Niño winters, the positive index is located at about 5°N-30°N, and the negative index  
297 occurs over about 30°S-5°N. At the five radiosonde sites, the averaged anomaly index is 0.29, 1.56, 0.65  
298 and 1.37 units in the 2006/07, 2009/10, 2015/06 and 2018/19 winters, respectively, indicating that the  
299 Hadley circulation is too stable to have a significant impact on the water vapor variation.

300 Figure 7 depicts the velocity potential and anomaly index of the Walker circulation at 850 hPa in the  
301 El Niño winters. Relative to the Hadley circulation, the Walker circulation is the local circulation formed  
302 over the tropical Pacific with intense ascending flow in the western Pacific and descending flow in the  
303 eastern Pacific, thus the circulation has a high variability with the SST anomaly caused by ocean current.  
304 As the Walker circulation is directly related to ENSO, the scenario of the Walker circulation anomalies is  
305 roughly consistent with each other among the four El Niño events. In general, the positive and negative  
306 indices of the Walker circulation anomaly are located in the western and eastern Pacific, opposite to the  
307 circulation index, respectively, which illustrates that the Walker circulation anomaly in El Niño



308 suppresses the strong rising in the western Pacific and sinking in the eastern Pacific. Nevertheless, the  
309 strength of the circulation anomaly is the significant difference among the four events. In the 2015/16  
310 winter, the Walker circulation anomaly, with the peak indices as large as 26.8 and -27.7 units in the  
311 equatorial Pacific, are much stronger than in the other three winters. Hence, the Walker circulation  
312 variation plays a key role in the CWV anomaly during the 2015/16 supper El Niño event.

313 The velocity potential and anomaly index of the monsoon circulation in the four El Niño winters are  
314 plotted in Fig. 8. The monsoon circulation in the lower atmosphere blows from the land to the sea in  
315 winter, thus it can be seen from Fig. 8 that the pattern of the monsoon circulation is evidently different  
316 from that of the Walker circulation shown in Fig. 7. The anomaly of the monsoon circulation is sensitive  
317 to the type of El Niño, which is also distinguished from that of the Walker circulation. Early studies  
318 showed that the CP and EP El Niños have different effects on the Indian and eastern Asian monsoon  
319 rainfall (Weng et al., 2008; Wang et al., 2013). The monsoon circulation anomaly in the radiosonde  
320 stations has the index around zero in the EP El Niño events, which is far weaker relative to the large  
321 positive index in the CP El Niño events, similar to previous investigation (Fan et al, 2017). In the  
322 2009/10 El Niño event, the pronounced anomaly with the peak index of 17.8 units takes place in the  
323 western Pacific, which implies that the monsoon circulation anomaly has an important influenced on the  
324 negative water vapor anomaly in the radiosonde observation.

#### 325 **4.3 Contribution to Water Vapor Anomaly**

326 We estimate the contributions of the Hadley, Walker and monsoon circulation anomalies to the  
327 water vapor anomaly observed by the radiosonde in the four El Niño events by means of comparing the  
328 indices of the circulation anomalies. Figure 9 illustrates the indices of the circulation anomalies at 850  
329 hPa and the CWV anomalies derived from the radiosonde and reanalysis data, and these circulation



330 anomaly indices and CWV anomalies are the values averaged at the five radiosonde sites in winter.  
331 Although there is some difference in the intensity of the CWV anomaly between the reanalysis and  
332 radiosonde data, both of them increase with the increasing index of the total circulation anomaly. As  
333 discussed above, the contribution of the Hadley circulation anomaly is very small with the maximum of  
334 only 1.56 units in the 2009/10 event. The anomaly of the Walker circulation makes a considerable  
335 contribution in each case, especially for the EP El Niño events, it is the strongest in the three tropical  
336 circulation anomalies. The index of the Walker circulation anomaly counts for 92.3% of the total  
337 anomaly index (23.89 units) in the 2015/16 El Niño winter, and even exceeds the total index in the  
338 2006/07 event owing to the negative anomaly of the monsoon circulation. The anomaly of the monsoon  
339 circulation shows an evident change from one to the other event because it is sensitive to the local heat  
340 contrast and the El Niño shift. In the western Pacific, the CP El Niño can lead to the obvious positive  
341 anomaly of the monsoon circulation. The index of the monsoon circulation anomaly is about 69.7%  
342 (44.7%) of the total anomaly index in the 2009/10 (2018/19) CP El Niño winter. Consequently, for the  
343 two intense El Niño events, the water vapor anomaly is caused mainly by the Walker circulation anomaly  
344 in the 2015/16 EP event but by the monsoon circulation anomaly in the 2009/10 CP event, respectively.  
345 The Walker and monsoon circulation anomalies nearly equally (oppositely) contribute to the CWV  
346 anomaly in the 2018/19 (2006/07) event. Therefore, the Hadley, Walker and monsoon circulation  
347 anomalies may have the remarkable differences in the contributions to the water vapor variation in  
348 different El Niño events.

349 In the 2015/16 and 2018/19 winters, the reanalysis CWV anomalies of  $-4.34$  and  $-1.30 \text{ kg m}^{-2}$  are  
350 roughly consistent with  $-4.46$  and  $-1.54 \text{ kg m}^{-2}$  in the radiosonde observation, respectively. However, in  
351 the first two events, there is a distinct difference between the reanalysis and radiosonde data. At least in





352 the 2009/10 winter, we speculate that the reanalysis data may underestimate the tropospheric water vapor  
353 over the five stations, which can further be confirmed by the changes in the cloud and OLR data.

354

### 355 **5 Changes in Cloud and OLR**

356 Using the cloud occurrence from the CALIPSO during June 2006 to December 2016, we calculate  
357 tropical cloud fraction between 0°N and 15°N in the 2006/07, 2009/10 and 2015/16 winters and its  
358 climatic mean in winter, which is shown in Fig. 10. We also compute the OLR anomalies over  
359 30°S-30°N in the four El Niño winters based on the monthly OLR data between 2005 and 2019. Figure  
360 11 shows the OLR anomalies in the four El Niño events. In the western Pacific, the strong rising flow  
361 carries abundant water vapor to high level due to the convergence of horizontal wind field in winter, as  
362 shown in Fig. 4, and then the water vapor condenses to form clouds as it cools, thus there is cloudy over  
363 the tropical western Pacific. In the El Niño events, the cloud amount decreases from about 80°E to 160°E  
364 but tends to increase between about 160°E to 120°W because of the tropical circulation changes. Owing  
365 to the reflection effect of cloud on OLR, the OLR change is opposite to the variation of cloud amount. In  
366 the 2009/10 and 2015/16 strong El Niño winters, the OLR is obviously enhanced in the tropical  
367 northwest Pacific and significantly reduced in the equatorial mid-eastern Pacific as the cloud occurrence  
368 changes. Hence, the cloud and OLR have a clear response to the water vapor anomaly in the El Niño  
369 events.

370 As described above, the reanalysis CWV anomaly at the radiosonde stations in the 2009/10 winter  
371 has an almost same intensity as that in the 2015/16 winter, but the radiosonde observation indicates that  
372 the water vapor reduction is evidently less in the 2009/10 winter than in the 2015/16 winter. As shown in  
373 Figs. 10 and 11, the satellite observation shows that there exist less cloud occurrence and more OLR at



374 the radiosonde stations in the 2015/16 winter compared with in the 2009/10 winter. Therefore, this  
375 supports the radiosonde observation and our suggestion that the reanalysis data underestimates the  
376 tropospheric water vapor over the radiosonde stations in the 2009/10 winter.

377

## 378 **6 Summary**

379 In the paper, we report the significantly negative water vapor anomaly in the troposphere during the  
380 four El Niño winters at the five radiosonde stations in the tropical western Pacific based on the  
381 radiosonde and reanalysis data for 15 years from 2005 to 2019, and study the relationship between the  
382 water vapor anomaly and the El Niño index and the contribution of the different tropical circulation  
383 anomalies to the observed water vapor anomaly in the El Niño events.

384 The radiosonde observation shows that the negative water vapor anomaly arises in the El Niño  
385 winters, in particular, an extremely negative anomaly in the 2015/16 super El Niño event. The  
386 prominent specific humidity anomaly is concentrated below 8 km of the troposphere with the peak at the  
387 height of about 2.5-3.5 km. The local CWV anomaly has a large negative correlation coefficient of -0.63  
388 with the ONI in the Niño3.4 region, but with a lag of about 2-3 months. The reanalysis data reveals that  
389 the negative water vapor anomaly widely occurs in the tropical northwest Pacific, while correspondingly,  
390 the positive anomaly takes place in the equatorial mid-eastern Pacific. The 2015/16 El Niño event, with  
391 ONI=2.6, is the strongest during the 15 years, leading to the extreme anomaly in the water vapor over the  
392 tropical Pacific.

393 The atmospheric water vapor from tropical sea water evaporation is affected not only by the SST, but  
394 also by the vertical motion of the atmosphere which can transport the water vapor from the near-sea  
395 surface to the high level. By using the definitions and method introduced by Tanaka et al. (2004), we



396 decompose the tropical circulation into the Hadley, Walker and monsoon circulations to estimate their  
397 contributions to the observed water vapor anomaly in the four El Niño events. In general, the tropical  
398 circulation anomaly in the El Niño winter is characterized by divergence (convergence) at 850 hPa in the  
399 tropical western (eastern) Pacific, thus the CWV decreases over the tropical western Pacific as the  
400 ascending flow is suppressed. As the large-scale meridional circulation driven by the differential heating,  
401 the variation of the Hadley circulation is pretty small with the anomaly index less than 2 units. At the  
402 radiosonde stations, the anomaly of the Walker circulation makes a considerable contribution to the total  
403 anomaly in all the El Niño winters, especially in the 2006/07 and 2015/16 EP El Niño event. The  
404 monsoon circulation exhibits an obvious variability from one to the other event, and its anomaly is large  
405 in the 2009/10 and 2018/19 CP El Niño winters and small in the 2006/07 and 2015/16 EP El Niño  
406 winters. Therefore, the observed water vapor anomaly is caused mainly by the Walker circulation  
407 anomaly in the 2015/16 super EP event but by the monsoon circulation anomaly in the 2009/10 strong  
408 CP event, respectively.

409 Because of the reduction in the upward transport of water vapor over the tropical western Pacific in  
410 the El Niño events, the satellite observation shows that relative to the climatic means, the cloud decreases,  
411 and the OLR is accordingly strengthened, in particular, during the strong El Niño winters of 2009/10 and  
412 2015/16. In addition, both the radiosonde and satellite observations suggest that the tropospheric water  
413 vapor over the region is underestimated in the reanalysis data during the 2009/10 winter.

414

415

416 **Data availability.** The radiosonde observation is provided by the NOAA at the website of  
417 <ftp://ftp.ncdc.noaa.gov/pub/data/ua/rrs-data/>. The ERA5 reanalysis data is from the ECMWF at



418 <https://cds.climate.copernicus.eu/cdsapp#!/home/>. The Niño3.4 index is from the NOAA at  
419 <https://catalog.data.gov/dataset/climate-prediction-center-cpcoceanic-nino-index/>. The OLR data is from  
420 the NOAA at [https://www.esrl.noaa.gov/psd/data/gridded/data.interp\\_OLR.html/](https://www.esrl.noaa.gov/psd/data/gridded/data.interp_OLR.html/). The cloud occurrence  
421 monthly data is from the NASA at [https://eosweb.larc.nasa.gov/project/calipso/cloud\\_occurrence\\_table/](https://eosweb.larc.nasa.gov/project/calipso/cloud_occurrence_table/).

422

423 **Author contributions.** KH and MD proposed the scientific ideas. MD and KH completed the analysis and  
424 the manuscript. SZ, CH, YG and FY discussed the results in the manuscript.

425

426 **Competing interests.** The authors declare that they have no conflict of interest.

427

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430

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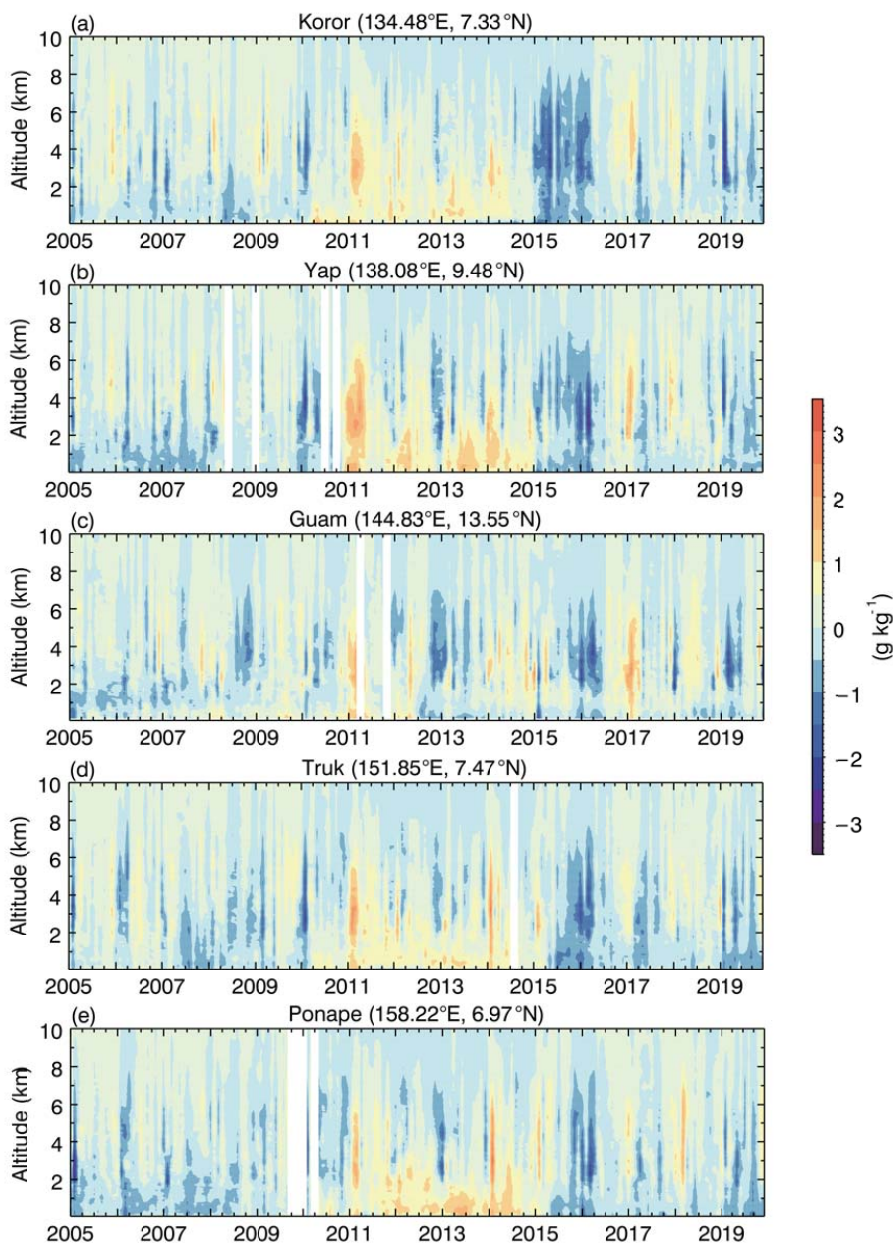
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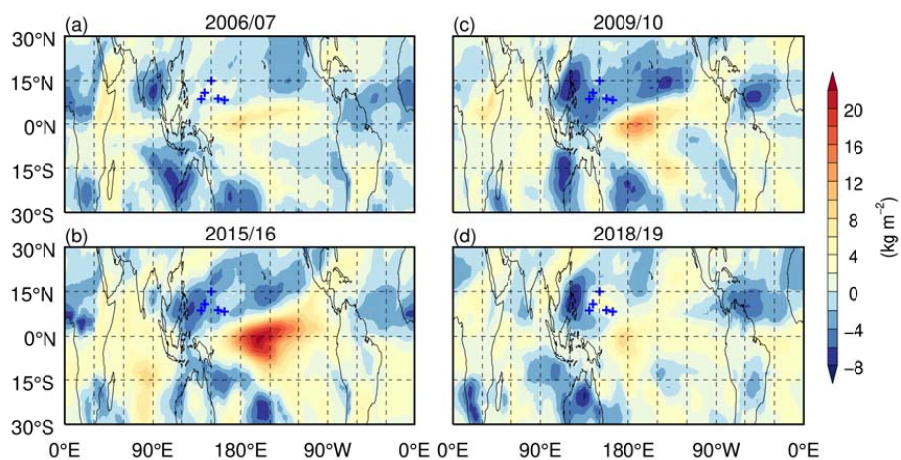
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589

590 **Figure 1.** Specific humidity anomaly between January 2005 and December 2019 derived from radiosonde

591 observations at (a) Koror, (b) Yap, (c) Guam, (d) Truk and (e) Ponape.

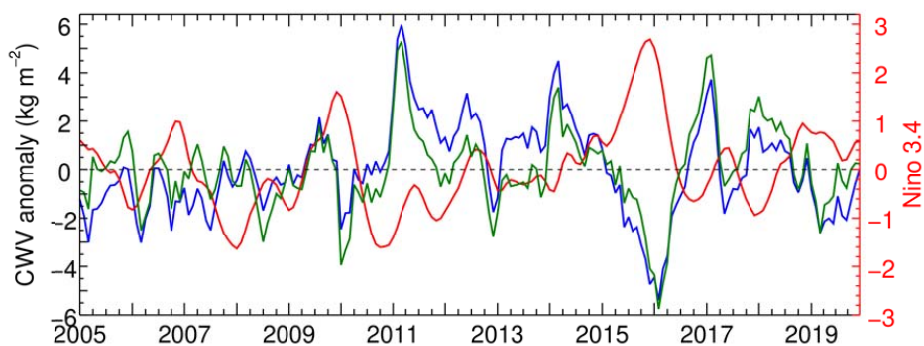


592

593 **Figure 2.** CWV anomalies averaged in (a) 2006/07, (b) 2015/16, (c) 2009/10 and (d) 2018/19 winters

594 derived from ECMWF reanalysis data. The blue plus denotes the five radiosonde stations. The four El

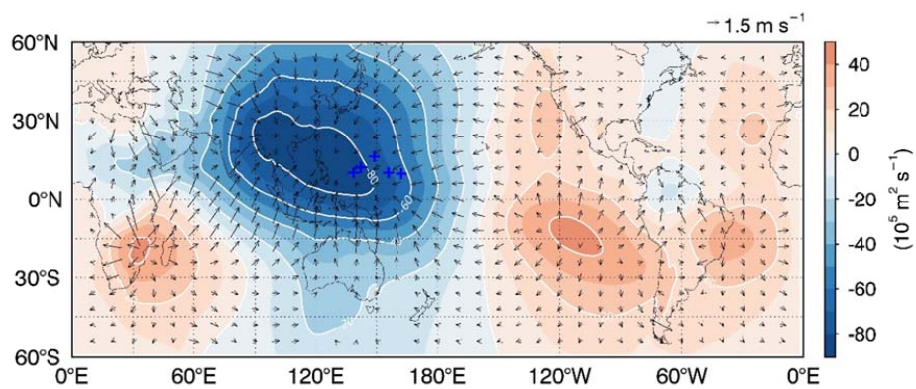
595 Niño events are classified into (left) EP El Niño and (right) CP El Niño.



596

597 **Figure 3.** Time series of (red) ONI index and monthly mean CWV anomalies derived from (blue)

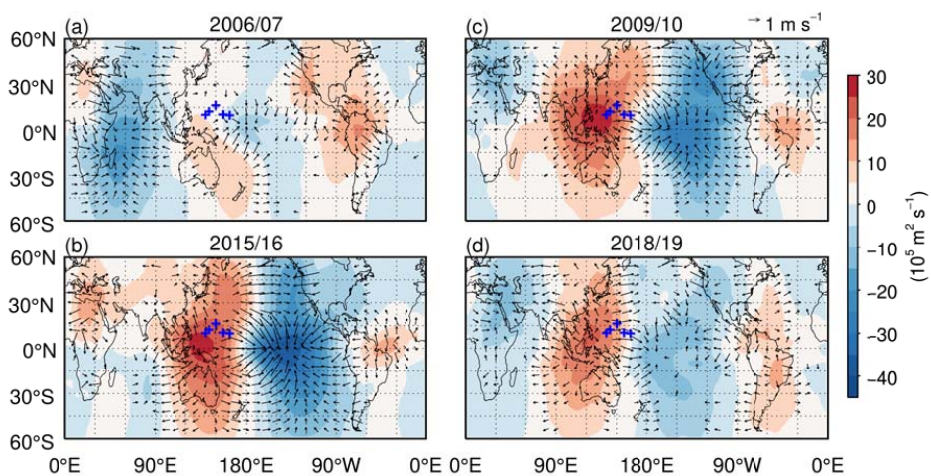
598 radiosonde observation and (green) reanalysis data at five radiosonde stations.



599

600 **Figure 4.** Climatic means of (shading) velocity potential and (arrow) divergent wind fields at 850 hPa in

601 DJF derived from reanalysis data during 2005-2019. The blue plus denotes the five radiosonde stations.

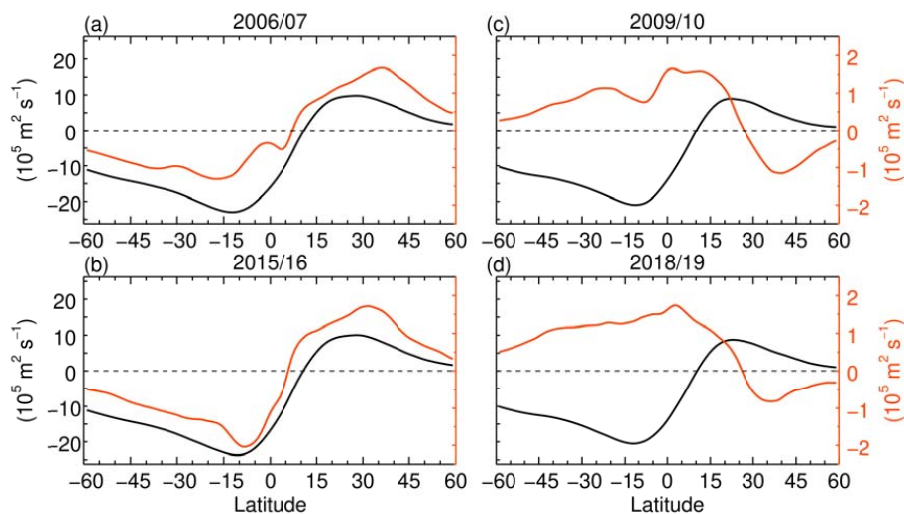


602

603 **Figure 5.** Anomalies of (shading) velocity potential and (arrow) divergent wind at 850 hPa in winters of (a)

604 2006/07, (b) 2015/16, (c) 2009/10 and (d) 2018/19. The blue plus denotes the five radiosonde stations.

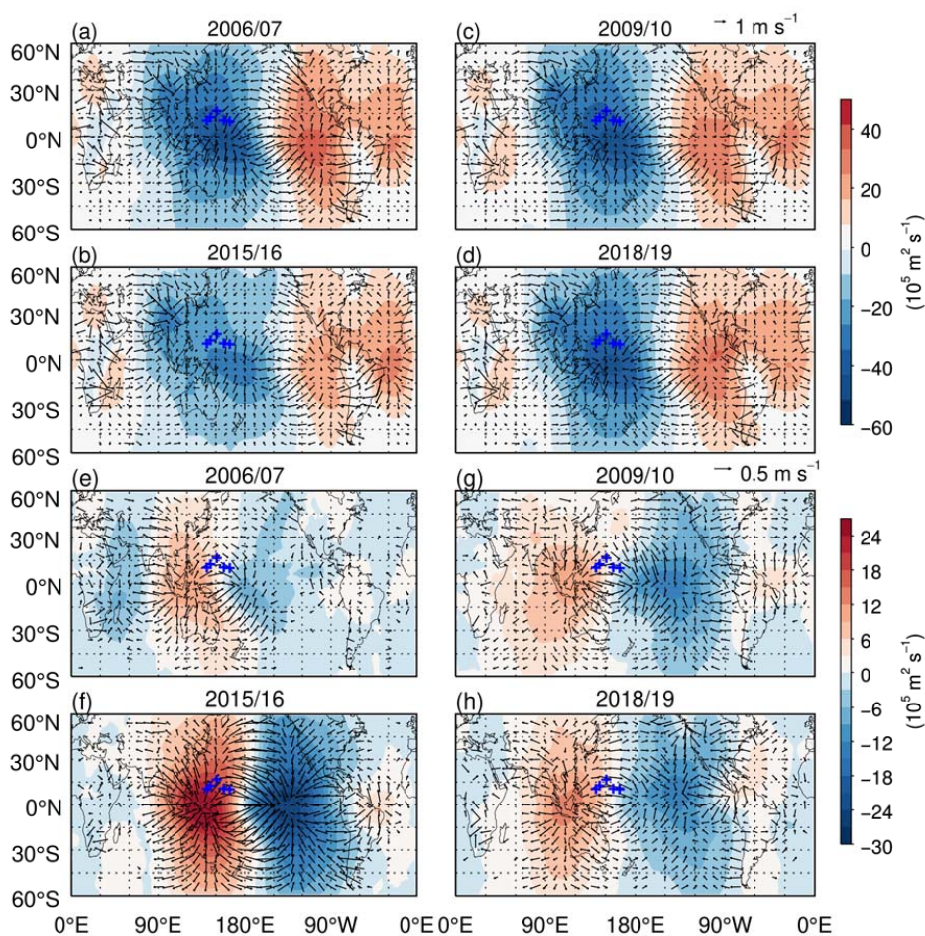




605

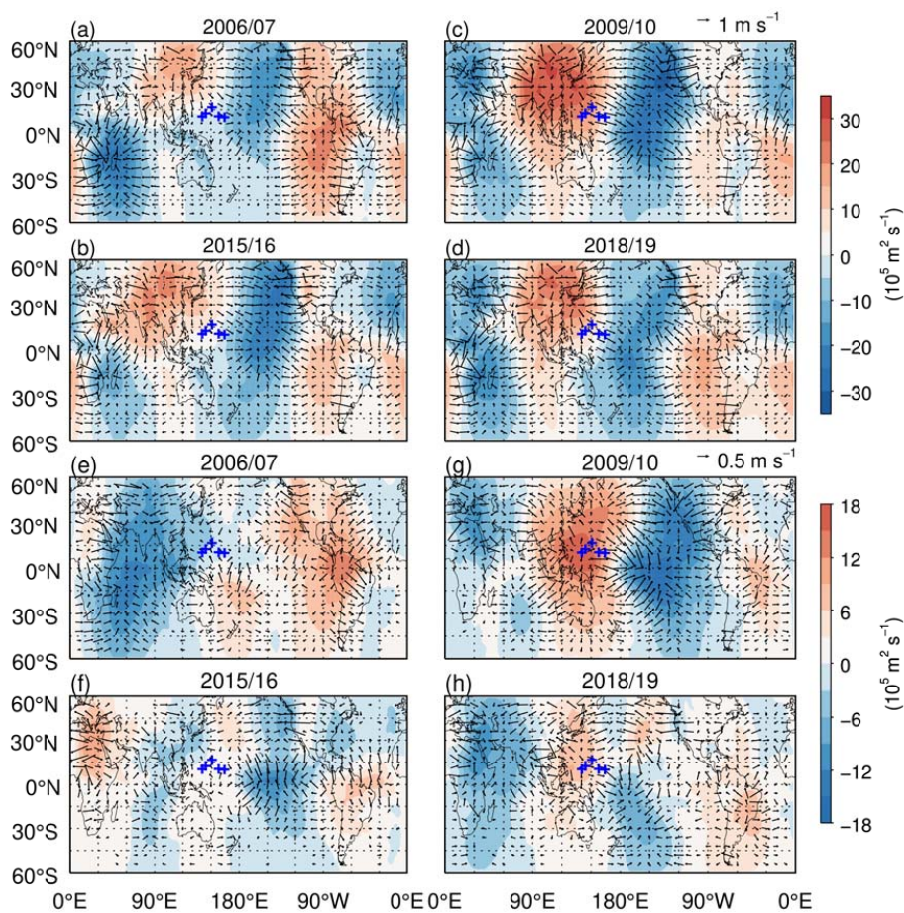
606 **Figure 6.** (Black)Velocity potential and (orange) anomaly index of Hadley circulation at 850 hPa in (a)

607 2006/07, (b) 2015/16, (c) 2009/10 and (d) 2018/19 winters.



608

609 **Figure 7.** (shading) Velocity potential and (arrow) divergent wind of Walker circulation and their  
610 anomalies at 850 hPa in (a, e) 2006/07, (b, f) 2015/16, (c, g) 2009/10 and (d, h) 2018/19 winters. Figure  
611 7(a-d) denotes the velocity potential and divergent wind, and Figure 7(e-h) denotes their anomalies. The  
612 blue plus denotes the five radiosonde stations.



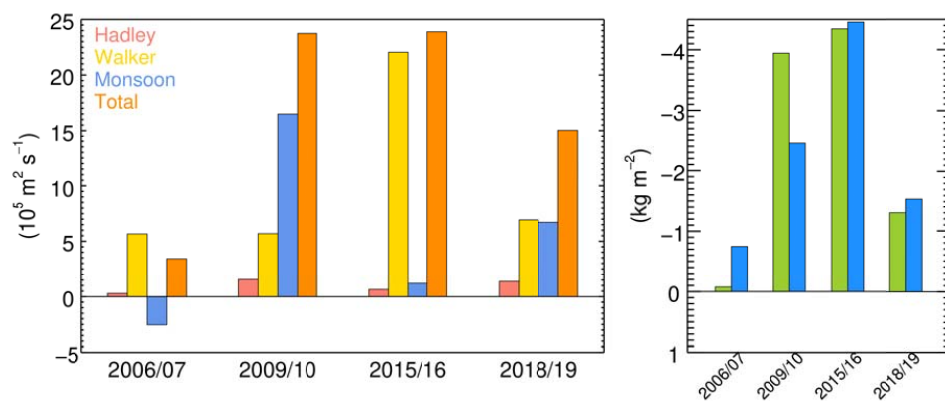
613

614 **Figure 8.** (shading) Velocity potential and (arrow) divergent wind of monsoon circulation and their

615 anomalies at 850 hPa in (a, e) 2006/07, (b, f) 2015/16, (c, g) 2009/10 and (d, h) 2018/19 winters. Figure

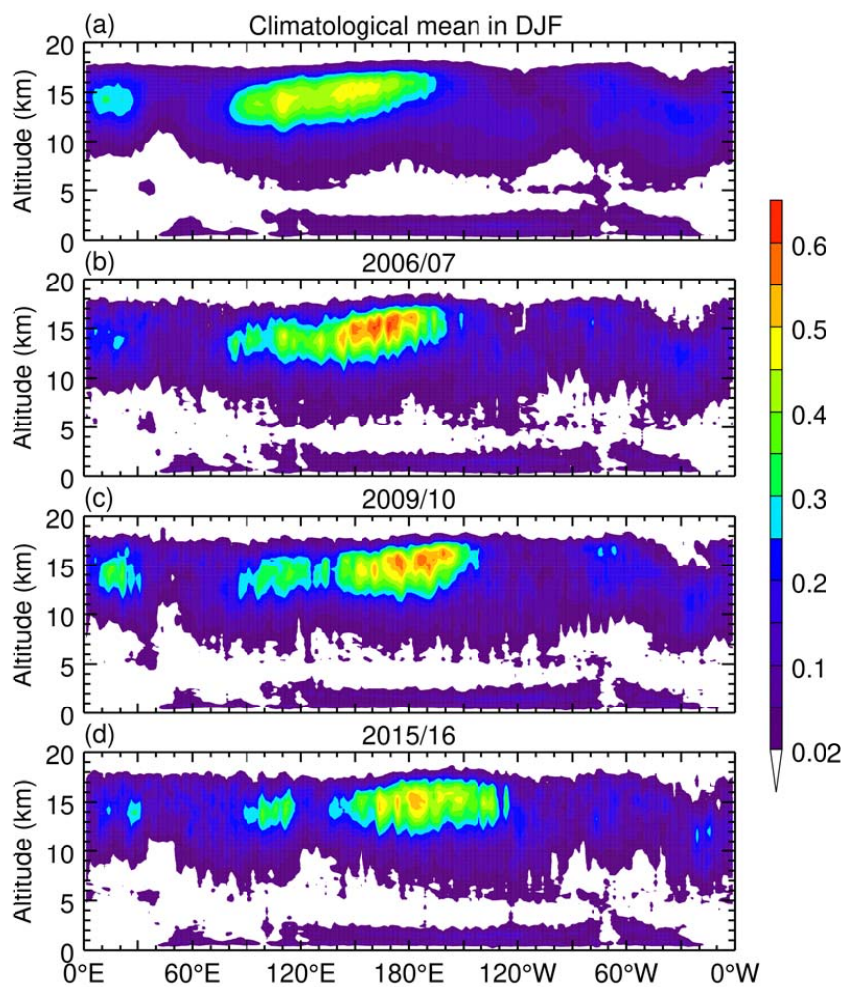
616 8(a-d) denotes the velocity potential and divergent wind, and Figure 8(e-h) denotes their anomalies. The

617 blue plus denotes the five radiosonde stations.



618

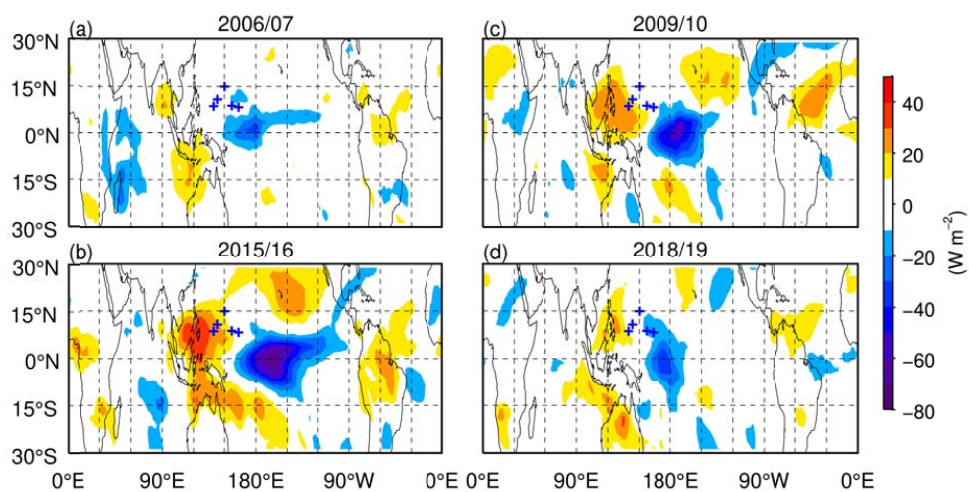
619 **Figure 9.** (Left) Indices of (red) Hadley, (yellow) Walker, (blue) monsoon and (orange) total circulation  
620 anomalies and (right) CWV anomalies derived from (azure) radiosonde and (green) reanalysis data at five  
621 radiosonde stations in four El Niño winters.



622

623 **Figure 10.** Distribution of cloud occurrence between 0°N and 15°N in (a) all winters, and (b) 2006/07, (c)

624 2009/10 and (d) 2015/16 winters derived from CALIPSO during June 2006 to December 2016.



625

626 **Figure 11.** OLR anomalies averaged in (a) 2006/07, (b) 2015/16, (c) 2009/10 and (d) 2018/19 winters.

627 The blue plus denotes the five radiosonde stations.