# 1 Tracer-based source apportioning of atmospheric organic carbon and

# 2 the influence of anthropogenic emissions on secondary organic aerosol

# **3 formation in Hong Kong**

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9 Abstract. Here we conducted comprehensive chemical characterization and source apportionment of 49 PM<sub>2.5</sub> samples 10 collected in Hong Kong. Besides the major aerosol constituents, 39 polar organic species, including 14 secondary organic 11 aerosol (SOA) tracers of isoprene, monoterpenes,  $\beta$ -caryophyllene, and naphthalene, were quantified using gas 12 chromatography-mass spectrometry (GC-MS). Six factors, i.e., SOA, secondary sulfate (SS), biomass burning (BB)/SOA, sea 13 salt, marine vessels, and vehicle emissions, were apportioned by positive matrix factorization (PMF) as the major sources of 14 ambient organic carbon (OC) in Hong Kong. The secondary formation, including OC from SOA, SS, and aging of BB plume, 15 was the leading contributor to OC (51.4%, 2.15±1.37 µgC m<sup>-3</sup>) throughout the year. We then applied a tracer-based method 16 (TBM) to estimate the SOA formation from the photo-oxidation of four selected precursors, and monoterpene SOA was the 17 most abundant. A Kintecus kinetic model was used to examine the formation channels of isoprene SOA, and the aerosol-phase 18 ring-opening reaction of isoprene epoxydiols (IEPOX) was found to be the dominant formation pathway. Consistently, IEPOX tracers contributed 94% of total GC-MS quantified isoprene SOA tracers. The TBM-estimated secondary organic carbon 19 20 (SOC<sub>TBM</sub>) and PMF-resolved SOC (SOC<sub>PMF</sub>) showed similar temporal trends; however, SOC<sub>TBM</sub> only accounted for 26.5% of SOC<sub>PMF</sub>, indicating a large fraction of ambient SOA was from other reaction pathways/precursors. Results of Pearson's R and 21 22 multivariate linear regression analysis showed that NOx processing played a key role in both daytime and nighttime SOA 23 production in the region. Moreover, sulfate had a significant positive linear relationship with SOC<sub>PMF</sub> and SS-related SOC, and 24 particle acidity was significantly correlated with SOC from the aging of BB.

#### 25 1 Introduction

26 Organic aerosol (OA) is a significant component of ambient fine particulate matter (PM2.5). It accounts for 20%-60% of the 27 total PM<sub>2.5</sub> mass on a global scale (Kanakidou et al., 2005; Van Dingenen et al., 2004; Zhang et al., 2007), and even up to 90% 28 in rural areas (Kanakidou et al., 2005; Roberts et al., 2001; Zhang et al., 2007). OA is either directly emitted into the atmosphere 29 from natural (e.g., vegetative detritus, volcano activity) and anthropogenic sources (e.g., biomass burning (BB), vehicle 30 exhaust, and cooking), or secondarily formed through the oxidation of biogenic and anthropogenic gas-phase precursors and 31 the subsequent partition process or particle-phase reactions (Gelencsér et al., 2007; Hildemann et al., 1996; Hu et al., 2010; 32 Zheng et al., 2014). Given the varying emission sources, meteorological conditions, and anthropogenic activities worldwide 33 and their influences on ambient OA composition, aerosol scientists have put many efforts to investigate the atmospheric 34 processes of OA and their primary and secondary sources, which aid the development of more targeted control policy of PM2.5 pollution (Hu et al., 2010; Huang et al., 2014; Schauer et al., 2007; Simoneit, 1999; Stone et al., 2009; Zheng et al., 2005). 35 36 Huang et al. (2014) applied positive matrix factorization (PMF) to apportion the sources of OA at four urban locations in China, 37 i.e., Beijing, Shanghai, Guangzhou, and Xi'an. They found that secondary formation accounted for a predominant fraction of 38 OA (44-71%) at all four sites. Hong Kong, a megacity located on the southern coast of China in the PRD region and a hub port

for the South Asian Pacific region, has its unique OC source characteristics. Hu et al. (2010) incorporated biogenic and anthropogenic SOA tracers and some POA markers into PMF and resolved seven OA sources in Hong Kong. They found that 41 45% of OC in Hong Kong during summertime was from secondary formation, and the number could reach up to 65% on sampling days under regional pollution from the PRD area.

43 All these studies have illustrated the importance of secondary formation to OA in the ambient atmosphere. However, due 44 to SOA's complex chemical composition and formation mechanisms, a precise prediction of SOA load from individual 45 precursors at both regional and global scale is still challenging. An SOA tracer based method (TBM) has been developed to 46 partially solve this problem, which estimates the amount of SOA and SOC formed from the atmospheric oxidation of selected 47 VOCs (i.e., isoprene, monoterpenes,  $\beta$ -caryophyllene, toluene, and naphthalene) using the mass ratios of tracer-to-SOA/SOC 48 obtained from laboratory smog chamber experiments (Kleindienst et al., 2007, 2012). However, TBM can only capture SOC 49 formation from the above-listed VOC precursors, and it may underestimate the actual SOC levels in the ambient atmosphere 50 due to the lack of SOA tracer-to-SOC ratio values of a broader range of OA precursors. Therefore, besides the SOA tracer 51 based method, we have also applied PMF to evaluate the contributions of SOC and primary emissions to OA in the region.

52 Many studies have reported the observational evidence of biogenic SOA enhancement induced by anthropogenic emissions, 53 such as nitrogen oxides (NO<sub>x</sub>) and sulfur dioxide (SO<sub>2</sub>) (Huang et al., 2014; Xu et al., 2015; Rattanavaraha et al., 2016). NO<sub>x</sub> 54 is one of the critical drivers of SOA formation through the photochemical oxidation of VOCs via peroxy radical pathways 55 (Finlayson-Pitts and Pitts, 2000). Nitrogen dioxide (NO<sub>2</sub>) reacts with ozone (O<sub>3</sub>) to form NO<sub>3</sub> radical, a critical nighttime gas 56 oxidant. Several laboratory studies have reported high SOA yields from the oxidation of biogenic VOCs (BVOCs) by NO<sub>3</sub> 57 radical (Fry et al., 2009; Ng et al., 2008). Some field studies also revealed that SOA formation from NO<sub>3</sub> oxidation of BVOCs 58 occurs during both daytime and nighttime (Brown et al., 2013; Rollins et al., 2013). The effect of SO<sub>2</sub> on SOA formation was 59 often explained in the context of particle acidity in laboratory studies, which promotes SOA production through acid-catalyzed 60 heterogeneous reactions (Jang et al., 2002; Surratt et al., 2010). Sulfate was also suggested to enhance isoprene-SOA formation 61 by acting as the nucleophiles, providing active aerosol surface area, and through the salting-in effect (Rattanavaraha et al., 62 2016; Xu et al., 2015). Recently, Wang et al. (2016) proposed a new sulfate formation pathway in aqueous aerosols through 63 NO<sub>2</sub> oxidation and ammonium neutralization, and synchronous enhancements of both nitrate and SOA production in aqueous 64 aerosols were reported. These laboratory and field monitoring studies have shown that the abundance and chemical nature of 65 ambient OA are significantly influenced by the complex interactions among source emissions, anthropogenic activities, 66 atmospheric physical/chemical processes, and meteorological conditions (An et al., 2019).

In this study, we collected 49 PM<sub>2.5</sub> samples at an urban site in Hong Kong during a whole year period. Concentration levels of 39 polar organic species were quantified using gas chromatography-mass spectrometry (GC-MS), and their temporal/meteorological variations were evaluated. With the input of SOA tracers and primary source markers into PMF, we quantitatively assessed the contributions of various primary and secondary sources to OC. SOC formed from individual biogenic (i.e., isoprenes, monoterpenes, and  $\beta$ -caryophyllene) and anthropogenic VOCs (i.e., naphthalene) were estimated using the TBM. Finally, the impacts of anthropogenic pollutants (e.g., NO<sub>2</sub>, O<sub>3</sub>, NO<sub>3</sub>, SO<sub>2</sub>, and tropospheric odd oxygen (O<sub>X</sub>)) and PM<sub>2.5</sub> constituents (e.g., sulfate, acidity, and liquid water content) on total and individual SOCs estimated by both TBM and PMF were evaluated using Pearson's R analysis and multi-linear regression model. This study provides comprehensive information on the sources of OA and SOA in Hong Kong as well as direct evidence of anthropogenic influences on the SOA formation in the region, which may serve as the scientific basis for the formulation of the PM<sub>2.5</sub> mitigation policy in the region.

#### 77 **2 Method**

#### 78 2.1. Sample collection

The PM<sub>2.5</sub> samples were collected on the 12<sup>th</sup> floor of Science Tower in the Campus of Hong Kong Baptist University (114°15E, 22°13N, ~40 m above the ground), which is a typical urban site. PM<sub>2.5</sub> samples were collected from September 6, 2011, to August 16, 2012, and a total of 49 samples were collected. A high-volume air sampler was used to collect PM<sub>2.5</sub> onto a quartz fiber filter (20 cm × 25 cm) at a flow rate of 1.13 m<sup>3</sup> min<sup>-1</sup> for 24 h. The quartz fiber filters were prebaked at 550°C for 24 h to remove organic contaminants. After sampling, the filters were immediately transferred to the laboratory and stored at -18°C until analysis.

#### 85 2.2. Chemical analysis

For EC and OC analysis, a  $1 \times 1$  cm<sup>2</sup> filter was cut and analyzed using a thermal and optical transmittance aerosol carbon analyzer (Sunset Laboratory, Tigard, OR, USA). Major ions (i.e., Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, C<sub>2</sub>O<sub>4</sub><sup>2-</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, NH<sub>4</sub><sup>+</sup>) were identified and quantified by ion-chromatography (IC, DX500, Dionex, Sunnyvale, CA, USA). Vanadium (V) and Nickle (Ni) were analyzed using an Agilent 7900 ICP-MS. Detailed analytical methods for the measurements of EC, OC, and ions were described in our previous work (Hu and Yu, 2013; Ma et al., 2019).

91 Thirty-nine polar organic species were identified and quantified using an Agilent 7890A-5975C GC-MS with prior BSTFA 92 derivatization (N, O-Bis-(trimethylsilyl)trifluoroacetamide, with 1% trimethylchlorosilane, TMCS). For each aerosol sample, 93 20 cm<sup>2</sup> of the filter was cut into small pieces and sonicated for 10 min with 10 mL of distilled acetonitrile (HPLC grade); the 94 extraction was repeated three times. The extracts were combined and filtered through a Millipore 0.45-µm PTEE hydrophobic 95 Teflon filter into a 50 mL round flask, concentrated to  $\sim 0.5$  mL by rotary evaporation, and transferred into a 5 mL reaction 96 vial. The round flask was rinsed with 1 mL of acetonitrile for three times, and the rinsing solvent was transferred into the 97 reaction vial as well. The final extract was blown to dryness under a gentle stream of pure nitrogen gas at 40 °C and then 98 derivatized with 100 µL of BSTFA and 50 µL of pyridine at 70 °C for 2 h. After the reaction vial cooled down to room

temperature,  $30 \ \mu\text{L}$  of tetracosane-d<sub>50</sub> (internal standard,  $50 \ \mu\text{g} \ \text{mL}^{-1}$  in hexane) was added. The derivatives were analyzed by GC-MS. Two microliters of the derivatized sample or standard were injected and separated on an HP-5MS capillary column ( $30.0\text{m}\times250\ \mu\text{m}\times0.25\ \mu\text{m}$ , Agilent J&W). The temperature program and instrument settings were adapted from the method used by Hu et al. (2008).

103 Saccharides, di- and tricarboxylic acids, 4-nitrocatechol, and cholesterol were identified and quantified using authentic 104 standards. The SOA tracers were identified using surrogate compounds with similar structures and functional groups (Hu et 105 al., 2008; Hu and Yu, 2013), and the detailed information was provided in Table 1. Recovery tests of these organic species 106 were carried out by spiking the mixture of standards onto blank quartz filters, followed by the same sample extraction and 107 analysis processes. Recoveries of the polar compounds were within the range of 80% to 120%. Analysis of hopanes has been 108 reported in our previous study (Ma et al., 2019). Four hopanes, including  $17\alpha$ , 21 $\beta$ -hopane,  $17\alpha$ , 21 $\beta$ -22R-homhopane, 109  $17\alpha$ , 21 $\beta$ -22S-homhopane, and  $17\alpha$ , 21 $\beta$ -30-norhopane, were measured using an Agilent 6890N-5975 GC-MS with thermal 110 desorption (TD) method. Recoveries of four hopane standards ranged from 83% to 98%.

### 111 3 Results

112 Hourly meteorological and air quality data (i.e., temperature, relative humidity (RH), O<sub>3</sub>, SO<sub>2</sub>, and NO<sub>2</sub>) in the vicinity of 113 the sampling collected site were by Hong Kong Environmental Protection Department 114 (HKEPD) (http://envf.ust.hk/dataview/gts/current/). During the sampling period, the ambient temperature ranged from 14.52 115 to 31.01 °C, with an annual average of 24.17±5.00 °C. The daily average of RH ranged from 52.94% to 97.02%, with a yearly 116 average of 79.87±10.54%. Heavy rains are common in Hong Kong during summer, which effectively washes out the PM 117 pollutants.

118 Hong Kong is located at the southeast edge of the Pearl River Delta (PRD) region. PRD is a rapidly developing area with 119 intensive industrial activities. As described in our previous studies on the analysis of HULIS and water-soluble PM<sub>2.5</sub>-induced 120 oxidative potential using the same set of  $PM_{2.5}$  samples (Ma et al., 2019; Cheng et al., 2021), we carefully examined the air 121 mass backward trajectories and categorized all sampling days into three groups, i.e., days mainly influenced by the regional 122 pollution from the PRD region (regional days), days influenced by long-regional transport of air mass from the northern and 123 eastern China (LRT days), and days dominated by the locally generated pollutants (local days). The concentration levels of 124 both PM<sub>2.5</sub> and O<sub>3</sub> and the spatial distribution patterns of SO<sub>2</sub> over the 18 Hong Kong air quality monitoring stations 125 (http://envf.ust.hk/dataview/gts/current/) on each sampling day were also checked to assist the classification. A summary of 126 the classification of sampling days and the typical air mass backward-trajectories under the three meteorological categories 127 were presented in Table S2 and Figure S2 in Ma et al. (2019), respectively.

128 The gas pollutants, i.e., O<sub>3</sub>, NO<sub>2</sub>, O<sub>X</sub>, and SO<sub>2</sub>, showed significantly higher average concentrations on regional days than

129 those on LRT local days (Table 2). The annual mean concentrations of O<sub>3</sub>, NO<sub>2</sub>, and SO<sub>2</sub> were 14.85±8.69 ppb, 37.15±9.76 130 ppb, and  $4.45\pm2.57 \ \mu g \ m^{-3}$ , respectively. Given that  $O_3$  and  $NO_2$  undergo a rapid photochemical conversion in the ambient 131 atmosphere, the tropospheric odd oxygen  $O_X$  (the sum of  $O_3$  and  $NO_2$ ) was calculated as an indicator of atmospheric oxidation capacity. As shown in Table 1,  $O_X$  ranged from 49.72 to 145.90 µg m<sup>-3</sup>, with a mean value of 99.31±27.42 µg m<sup>-3</sup>, indicating 132 133 a high oxidation capacity of the Hong Kong atmosphere. The annual average concentrations of OC and EC were 4.18±2.37 134 and 1.02±0.54 µgC m<sup>-3</sup>, respectively. Ambient OC levels observed on regional days (6.15±2.51 µgC m<sup>-3</sup>) were about two times 135 higher than those on LRT and local days; as for EC, it exhibited relative constant levels throughout the year (0.14-2.75 µgC 136 m<sup>-3</sup>). This confirms that EC is mainly emitted locally in Hong Kong, and OC has some regional sources. Moreover, the OC/EC 137 ratios of the collected samples ranged from 1.51 to 10.91, with an annual average value of 4.61, indicating secondary formation 138 could be a dominant source of OA in this region (Mancilla et al., 2015). Our previous study has observed that SOC contributed 139 45% of OC in Hong Kong during the summer of 2006 (Hu et al., 2010). Here the analysis was expanded to samples taken 140 during the 1-yr period to obtain a more comprehensive understanding of sources and their contributions to ambient OA in 141 Hong Kong, and the factors that impact ambient SOA formation.

#### 142 3.1 Characterization of SOA tracers and other polar oxygenated organic compounds

The concentration levels of 39 organic species, including 14 SOA tracers, 12 saccharides, 11 di- and tricarboxylic acids, 4 nitrocatechol, and cholesterol, under different meteorological conditions, were listed in Table 1.

#### 145 3.1.1 SOA tracers of isoprene, monoterpenes, β-caryophyllene, and naphthalene

Seven isoprene SOA (Isop\_SOA) tracers, i.e., 2-methylglyceric acid, two methyltetrol isomers (2-methylthreitol and 2-146 147 methylerythritol), three C<sub>5</sub>-alkene triol isomers (cis-2-methyl-1,3,4-trihydroxy-1-butene, 3-methyl-2,3,4-trihydroxy-1-butene, 148 tans-2-methyl-1,3,4-trihydroxy-1-butene), and 3-MeTHF-3,4-diols (including both cis- and trans-3and methyltetrahydrofuran-3,4-diols) were identified and quantified. The sum of all Isop\_SOA tracers ranged from 1.67 to 117.17 149 150 ng m<sup>-3</sup>, with the annual mean value of  $22.78\pm26.06$  ng m<sup>-3</sup>. Among the Isop <sub>SOA</sub> tracers, methyltetrols and C<sub>5</sub>-alkene triols 151 were the most abundant, and they are suggested to be formed through the acid-catalyzed ring-opening reactions of IEPOX 152 under low-NO<sub>X</sub> condition (Chan et al., 2010; Surratt et al., 2010). Higher concentrations of Isop <sub>SOA</sub> tracers were measured in 153 summer and autumn than in winter and spring. This could be caused by the higher temperature, stronger solar radiation, and 154 higher emission of isoprene in summer and autumn than in the other two seasons, which promoted the SOA formation from 155 isoprene. This seasonal pattern is consistent with what were observed in other studies (Ding et al., 2012; Kleindienst et al., 156 2007; Lewandowski et al., 2008). However, if we compare the levels of isoprene tracers monitored at different sites during 157 summer, the total amount of Isop SOA tracers measured in Hong Kong was about five times lower than those measured in 158 several cities in the U.S. and a rural site (WQS site) in the PRD area (Ding et al., 2012; Kleindienst et al., 2007; Lewandowski 159 et al., 2008). This may be due to the different levels of isoprene, OH radical, and NO<sub>X</sub> at these sampling sites. The 3-MeTHF-160 3,4-diols, tracers formed through the intermolecular rearrangement of isoprene epoxydiols (IEPOX) under acidic conditions, 161 was identified in Hong Kong PM<sub>2.5</sub> samples for the first time. It has an annual mean concentration of 0.23±0.10 ng m<sup>-3</sup>, which 162 was about 70 times lower than that in Birmingham, U.S. (Rattanavaraha et al., 2016), but was comparable to what was observed 163 at the WQS site in the PRD area (He et al., 2018). 2-Methylglyceric acid, an isoprene tracer formed from methacrylic acid 164 epoxide (MAE) and hydroxymethyl-methyl-α-lactone (HMML) under high-NO<sub>x</sub> conditions (Lin et al., 2013; Nguyen et al., 165 2015), presented a quite different temporal trend from those of the other six Isop SOA tracers, with the highest concentration in 166 winter, and then autumn, summer, and spring. Chamber studies suggested that MAE is an oxidation product resulting from the 167 OH addition to methacryloylperoxynitrate (MPAN) and its production is temperature dependent (Roberts and Bertman, 1992; 168 Worton et al., 2013). Under higher temperatures, the loss of MPAN is dominated by thermal decomposition, which does not 169 produce SOA tracers through the NO/NO<sub>2</sub> pathway. Under lower temperature, thermal decomposition of MPAN is limited and 170 more MPAN reacts with OH to generate MAE. Therefore, the lower temperatures in winter would favor the production of 171 MAE and the MAE Isop\_soA tracers, such as 2-methylglyceric acid. Moreover, all Isop\_soA tracers exhibited higher 172 concentrations on regional days than LRT and local days. On regional days, air masses transported from the PRD area worsened 173 the air quality in Hong Kong, and the higher levels of gaseous pollutants, e.g., O<sub>3</sub>, NO<sub>2</sub>, O<sub>X</sub>, and SO<sub>2</sub> (Table 2), promoted SOA 174 formation.

175 Generally speaking, at an urban location with anthropogenic NO<sub>X</sub> emissions from automobiles and power plants, the 176 generation of Isop SOA tracers from the MAE NO/NO2 pathway should be more favored than the IEPOX HO2 channel. 177 However, in this study, 94% of the total mass of the quantified Isop\_SOA tracers were produced through the IEPOX HO2 178 pathway. A similar phenomenon was observed at the WQS site in the PRD region (He et al., 2018). Therefore, to better 179 understand the influences of environmental factors on isoprene SOA formation in the region, we applied the kinetic models 180 described by Eddingsaas et al. (2010), Worton et al. (2013), and Birdsall et al. (2014) to investigate the fate of both IEPOX 181 and MAE in the atmosphere. Besides their degradation through acid-catalyzed ring-opening reactions on particles, IEPOX and 182 MAE can also be oxidized in the gas phase or removed by dry deposition (Eddingsaas et al., 2010). We applied the Kintecus 183 kinetic model (Ianni, 2015) to quantitatively evaluate the fractions of these two Isop SOA intermediates that undergo gas-184 phase oxidation, aerosol-phase acid-catalyzed ring-opening reaction, and dry deposition processes. Details of the model 185 calculations were provided in the appendices.

Figure 1 showed the comparison of the three elimination processes of IEPOX and MAE during the sampling period in Hong Kong. Given the high volatility of MAE (vapor pressure:  $9.2 \times 10^{-5}$  atm) (Worton et al., 2013), it has a low tendency to partition onto the particle phase and its uptake onto aqueous particles is mainly governed by Henry's law constant ( $k_{\rm H}^{\rm cp}$ ). 189 Worton et al. (2013) estimated the  $k_{\rm H}^{\rm cp}$  value of MAE to be 7.5×10<sup>6</sup> M atm<sup>-1</sup>, which is 20 times lower than that of IEPOX 190  $(1.3 \times 10^8 \text{ M atm}^{-1})$ . Moreover, Riedel et al. (2015) suggested that the heterogeneous reactive uptake coefficient of MAE ( $\gamma =$ 191 4.9×10<sup>4</sup>) through the ring-opening reaction was a factor of 30 lower than that of IEPOX. Therefore, as shown in Figure 1, 192 MAE was primarily eliminated by dry deposition (> 80%) in the gas phase, and only a trivial fraction was degraded through 193 the ring-opening reactions ( $\leq 2\%$ ). Our results on the fate of MAE were similar to those observed at the University of 194 California-Blodgett Forest Research Station (UC-BFRS) (Worton et al., 2013). However, our results on the relative 195 contributions of these three degradation pathways to IEPOX loss were quite different from theirs, indicating a more sensitive 196 response of IEPOX than MAE to the change of environmental oxidants and conditions. Given the high liquid water content 197 (LWC; mean:  $57.20\pm37.15 \ \mu g \ m^{-3}$ ) and particle acidity (H<sub>p</sub><sup>+</sup>; mean:  $-0.28\pm0.42$ ) of PM<sub>2.5</sub> samples in this study (Table 2), particle-phase ring-opening reaction (Frop) was the dominant degradation pathway of IEPOX in the Hong Kong atmosphere 198 199 (average: 97.6%), and its loss through dry deposition and gas-phase oxidation is almost negligible. The  $F_{rop}$  of IEPOX reported 200 by Worton et al. (2013) was only 0.02%, mainly due to the much lower LWC (mean: 0.4  $\mu$ g m<sup>-3</sup>) and weaker H<sub>p</sub><sup>+</sup> (pH mean: 201 4.4) of their PM<sub>2.5</sub> samples. These results demonstrated that particle-phase LWC and  $H_{p^+}$  played a more significant role in the 202 atmospheric degradation of IEPOX than MAE. Results from the kinetic model simulation were strongly supported by the 203 experimental finding of IEPOX tracers as the dominant Isop SOA tracers measured in Hong Kong. The average ratio of IEPOX 204 tracers to MAE tracers was 16.54 (ranged from 3.00 to 71.58), and the average value of  $F_{rop-IEPOX}/F_{rop-MAE}$  was 191.92, 205 confirming that the IEPOX HO<sub>2</sub> channel is the major formation pathway of isoprene SOA in the region.

206 Five SOA tracers of monoterpenes (Mono soA), i.e., 3-hydroxyglutaric acid, 3-hydroxy-4,4-dimethylglutaric acid, 3-207 methyl-1,2,3-butanetricarboxylic acid, 3-isopropylpentanedioic acid, and 3-acetyl pentanedioic acid, were identified and 208 quantified. Their summed concentrations ranged from 2.54 to 32.57 ng m<sup>-3</sup>, with an annual average value of 10.76±8.04 ng m<sup>-</sup> 209 <sup>3</sup>, comparable to that reported at the WQS site in the PRD region but lower than that measured in the U.S. (Ding et al., 2012; 210 Kleindienst et al., 2007; Lewandowski et al., 2008). All Mono SOA tracers showed the highest level on regional days (mean: 211  $18.00\pm9.28$  ng m<sup>-3</sup>), followed by LRT (mean:  $10.31\pm7.33$  ng m<sup>-3</sup>) and local days (mean:  $6.41\pm2.75$  ng m<sup>-3</sup>) (Table 1). Although 212 a higher emission and faster photochemical degradation of monoterpenes are expected in summer due to the intense solar 213 radiation and high temperature, higher levels of Mono SOA tracers were monitored in autumn and winter than in the other two 214 seasons, similar to what observed at the WQS site (Ding et al., 2014). This seasonal trend of monoterpene SOA tracers may 215 be partly due to the lower mixing height and temperature during autumn/winter, which favored the partition of Mono soa 216 tracers onto the aerosol phase. Moreover, most of the regional days were identified in autumn and winter. The higher levels of 217 NO<sub>X</sub>, O<sub>3</sub>, O<sub>3</sub>, O<sub>x</sub>, and SO<sub>2</sub> on regional days (Table 2) are also responsible for the enhanced monoterpene SOA production in autumn 218 and winter. Among Mono SOA tracers, 3-hydroxyglutaric acid (3HGA) was the most abundant, contributing ~60% of the total 219 mass of Mono SOA tracers. Smog chamber experiments showed that the production yield of 3-methyl-1,2,3-butanetricarboxylic

- 220 acid (MBTCA) from  $\alpha$ -pinene/NOx oxidation was significantly higher than those from the  $\beta$ -pinene/NOx and d-limonene/NO<sub>X</sub>
- experiments (Jaoui et al., 2005). Therefore, the ratio of 3HGA/MBTCA was used as a criterion to differentiate SOA from  $\alpha$ pinene and other monoterpenes (Ding et al., 2014). The value of this ratio was obviously higher on regional days (8.58±2.69) than those on LRT (6.64±3.63) and local days (5.62±3.14), indicating that monoterpenes other than  $\alpha$ -pinene, such as  $\beta$ -pinene and d-limonene, might have a more significant contribution to SOA on regional days in the region.

225 beta-Caryophyllinic acid is the SOA (Cary <sub>SOA</sub>) tracer of  $\beta$ -caryophyllene, and it ranged from 0.49 to 5.82 ng m<sup>-3</sup>, with 226 an average annual mean value of  $1.53\pm1.07$  ng m<sup>-3</sup>. Similar to the other SOA tracers,  $\beta$ -caryophyllinic acid showed the highest 227 concentrations on regional days (mean: 2.33±1.21 ng m<sup>-3</sup>) than LRT (1.73±1.16 ng m<sup>-3</sup>) and local days (0.94±0.41 ng m<sup>-3</sup>) 228 (Table 1). For its seasonal trend,  $\beta$ -caryophyllinic acid also exhibited the highest concentration in autumn and winter than the 229 other two seasons. The SOA tracer of toluene, 2,3-dihydroxy-4-oxopentanoid acid, was undetectable in this study, mainly due 230 to its trace level in the Hong Kong atmosphere (Hu et al., 2008) and the limited sensitivity of GC-quadruple MS. Even in our previous study on a batch of summer PM2.5 samples using a more sensitive GC-ion trap MS, it was barely quantified with a 231 232 concentration of less than 1 ng m<sup>-3</sup> in most samples (Hu et al., 2008). Phthalic acid was suggested as the SOA tracer of 233 naphthalene, given its abundance in both naphthalene-SOA and ambient OA (Kleindienst et al., 2012). With the awareness of 234 the potential uncertainties, e.g., the primary origin of phthalic acid from biomass burning, we adopted phthalic acid as the SOA 235 tracer of naphthalene representing the SOA formation from anthropogenic VOCs. The concentration levels of phthalic acid 236 ranged from 0.80 to 16.42 ng m<sup>-3</sup>, with an average of  $4.31\pm3.39$  ng m<sup>-3</sup>. Similar to the other SOA tracers, it also showed the 237 highest concentrations on regional days (7.16±3.61 ng m<sup>-3</sup>) than LRT (4.97±3.30 ng m<sup>-3</sup>) and local days (2.26±1.38 ng m<sup>-3</sup>) 238 (Table 1).

#### 239 3.1.2 Saccharides and dicarboxylic acids

Twelve saccharides, i.e., levoglucosan, arabitol, fructose, meso-erythritol, sucrose, galactosan, mannitol, sorbitol, galactose, glucose, xylose, and xylitol, have been quantified. Of the 12 saccharides, levoglucosan, the tracer of BB, was by far the most abundant (range: 0.64-474.15 ng m<sup>-3</sup>; mean:  $75.02\pm111.43$  ng m<sup>-3</sup>). It showed the highest levels on regional days (about 6 times higher than that on local days), especially during winter when BB activities in the PRD region were most frequent. Two primary saccharides, i.e., fructose and xylose, also exhibited the highest levels on regional days. They showed good correlations with levoglucosan ( $R^2$ = 0.65 and 0.93), suggesting that they could be from BB as well.

Among the identified dicarboxylic acids, oxalic acid was the most abundant, followed by terephthalic acid, phthalic acid, malic acid, succinic acid, and others. Most dicarboxylic acids, including the five most abundant ones, showed higher levels on regional days; they were found with higher levels in winter and autumn as well. This temporal trend is similar to what we have observed for Mono\_SOA tracers and most saccharides, indicating that regional pollution had a dominant influence on the 250 abundance of both primary and secondary aerosols in Hong Kong, far exceeding the influence of other environmental 251 parameters, such as temperature and solar radiation. Atmospheric dicarboxylic acids have various sources. For example, oxalic 252 acid was suggested to be secondarily formed from biogenic emissions and anthropogenic sources (e.g., BB and automobile 253 exhaust) through both gas-phase reactions and in-cloud processing (Yu et al., 2005). Malic acid was suggested to be the photo-254 degradation product of both succinic acid and biogenic SOA compounds (Hu and Yu, 2013). In this study, malic acid was 255 found to be strongly correlated with 3HGA ( $R^2=0.96$ ) and  $\Sigma$ Mono <sub>SOA</sub> tracers ( $R^2=0.95$ ) throughout the year, providing more 256 evidence to the hypothesis that malic acid is a late-stage oxidation product of BVOCs, especially monoterpenes (Hu and Yu, 257 2013). Ambient terephthalic acid was mainly directly emitted from plastic wastes incineration (Simoneit et al., 2005) and was 258 used as a marker of waste incineration.

259 Besides dicarboxylic acids, two benzenetricarboxylic acids (i.e., 1,2,3- and 1,2,4-benzenetricarboxylic acids), 4-260 nitrocatechol, and cholesterol were also quantified. The two benzenetricarboxylic acids were suggested to be the photo-261 degradation products of polycyclic aromatic hydrocarbons (PAHs) emitted from the combustion activities (Kautzman et al., 262 2010). We have previously identified them in the water-soluble humic-like substances (HULIS) extracts of  $PM_{2.5}$  samples 263 collected in Beijing and Hong Kong (Ma et al., 2018, 2019). The annual mean concentrations of 1,2,3- and 1,2,4-264 benzenetricarboxylic acids measured in this study were  $2.27\pm1.97$  ng m<sup>-3</sup> (range: 0.47-9.50 ng m<sup>-3</sup>) and  $3.13\pm2.68$  ng m<sup>-3</sup> (0.47-9.50 ng m<sup>-3</sup>) and  $3.13\pm2.68$  ng m<sup>-3</sup>) and  $3.13\pm2.68$  ng m<sup>-3</sup> (0.47-9.50 ng m<sup>-3</sup>) and  $3.13\pm2.68$  ng m<sup>-3</sup>) and 3.13\pm2.68 ng m<sup>-3</sup>) and  $3.13\pm$ 265 12.54 ng m<sup>-3</sup>), respectively, which were comparable to what measured at the other four sites in the PRD region (He et al., 2018). 266 The 4-nitrocatechol, which was secondarily generated from the photo-oxidation of naphthalene, was suggested as the tracer of 267 atmospheric aging of BB plume (Kitanovski et al., 2012). It strongly correlated with levoglucosan ( $R^2$ =0.88) and exhibited 268 higher levels on regional days and during winter, which further confirmed its BB origin in the region. Therefore, the two 269 benzenetricarboxylic acids and 4-nitrocatechol were included in PMF analysis as the SOA tracers of BB aging.

### 270

#### **3.2** Source apportionment of organic aerosols

271 In this study, the US EPA PMF 5.0 was used to determine the major OA sources and quantify their contributions to OC. 272 Eighteen species were input into PMF, including EC, OC, Ni, V, major ions, and various primary and secondary organic tracers. 273 Given their similar origins, some organic tracers were lumped together, and the lumped species were used as the fitting species 274 in PMF. They were (1)  $C_5$ -alkene triols, sum of the three  $C_5$ -alkene triols isomers; (2) IsopT, the sum of two methyltetrol 275 isomers and 2-methyl glyceric acid; (3) MonoT, the sum of the five monoterpenes SOA tracers; and (4) Hopane, the sum of 276 the four hopanes. Since C<sub>5</sub>-alkene triols were not in the SOA tracers list of the TBM (Kleindienst et al., 2007), the lumped C<sub>5</sub>-277 alkene triols were used as a separated fitting species in PMF. PMF solutions were tested with 4 to 8 factors. A hundred base 278 runs were performed in each modeling run, and the run with the minimum Q value was selected. The uncertainty values of 279 each input species were calculated using the method described in our previous studies (Hu et al., 2010; Ma et al., 2016), which

280 were set to be 20% of the mean concentrations for OC and EC, and 40% of mean values for cations, and all organic 281 species. An extra modeling uncertainty of 10% was used to account for possible temporal changes in the source profiles. The 282 Q<sub>Robust</sub>/Q<sub>True</sub> ratio was 1.00, and scaled residuals were normally distributed between -0.2 and 0.2, indicating no influence of 283 outliers on the solution. A hundred bootstrap runs were performed with a minimum correlation R-value to examine the base 284 run solution's stability and uncertainty. All bootstrapped factors were explicitly mapped to factors resolved in base solution 285 with no exception. In the displacement (DISP) assessment, no error was found, and the drop of Q value was less than 1%, 286 suggesting a stable solution. No swap factor appeared at  $dQ_{max}=4$ , indicating there was no considerable rotational ambiguity 287 in the solution. Rotations were introduced to the solutions by adjusting the FPEAK value from -1 to +1, and the non-rotated 288 solutions (FPEAK=0.0) were considered to be the most interpretable ones. Moreover, a strong linear correlation between the 289 measured and PMF-predicted OC (OC<sub>PMF</sub>) (R<sup>2</sup>=0.92) was observed, which also suggested a reliable PMF solution.

290 As shown in Figure 2, the first factor was distinguished by high loadings of oxalate and biogenic SOA tracers, suggesting 291 the secondary origin of this source. The second factor was dominated by large amounts of  $SO_4^{2-}$  and  $NH_4^+$ , suggesting the 292 process of secondary sulfate formation. In the third factor, about 90% of levoglucosan was resolved into it, accompanied by 293 4-nitrocatechol, phthalic acid, and the two benzenetricarboxylic acids, indicating both the primary emission and aging of BB 294 plume. Therefore, this factor was defined as BB and SOA (BB/SOA). The fourth factor was identified as vehicular emissions 295 due to the large amounts of hopanes and EC resolved. The fifth factor has large amounts of Ni and V, which are signatures of 296 residual oil combustion from the marine vessel (Viana et al., 2009). It is well known that Hong Kong is one of the busiest 297 container ports globally, which handles 50% of the PRD's total cargo throughput. Therefore, the fifth factor was identified as 298 marine vessels. The sixth factor has a high loading of Na<sup>+</sup>, Mg<sup>2+,</sup> and Ca<sup>2+</sup>, indicating the sea salt source.

The amount of OC apportioned to each factor in PMF analysis was considered as the contribution of that source to ambient OC. Therefore, the two leading sources contributing to ambient OC in Hong Kong were BB (including both primary emission and aging process,  $OC_{BB}$ : 27.9%, 1.17±1.99 µgC m<sup>-3</sup>) and SOA ( $SOC_{SOA}$ : 27.5%, 1.15±0.82 µgC m<sup>-3</sup>), followed by marine vessels ( $OC_{marine}$ : 15.6%, 0.65±0.58 µgC m<sup>-3</sup>), SS ( $SOC_{SS}$ : 14.5%, 0.60±0.46 µgC m<sup>-3</sup>), vehicle emissions ( $OC_{vehicle}$ : 10.5%, 0.44±0.42 µgC m<sup>-3</sup>), and sea salt ( $OC_{sea}$ : 4.0%, 0.17±0.19 µgC m<sup>-3</sup>) (Table 2 and Fig. 3). Since a fraction of SOA from the aging of BB ( $SOC_{BB}$ ) was resolved into the BB/SOA factor, we calculated SOC<sub>BB</sub> using the following equation:

$$SOC_{BB} = OC_{BB} - \frac{[LEVO_{BB}]}{0.082}$$
 (1)

where  $OC_{BB}$  and  $[LEVO_{BB}]$  are the amounts of OC and levoglucosan resolved in the BB/SOA factor. Using levoglucosan as the tracer of primarily emitted BB OA, we calculated the amounts of POC from BB (POC\_{BB}) by dividing  $[LEVO]_{BB}$  with 0.082, where 0.082 is the average ratio of levoglucosan to POC from the burning of major types of Chinese cereal straws (i.e., rice, wheat, and corn) obtained in the combustion chamber experiments (Zhang et al., 2007). As cereal straws are one of the most common BB fuels in China, the above ratio (0.082) has been used to estimate BB contribution to POC in both Beijing 311 (Zhang et al., 2008) and Hong Kong (Sang et al., 2011). Therefore, it was adopted to calculate POC<sub>BB</sub> in this study.

312 Based on PMF results, the source-specific contributions to OC were presented in Table 2 and demonstrated in Fig. 3. The 313 total SOC apportioned by PMF (SOC<sub>PMF</sub>), i.e., the sum of SOC<sub>SOA</sub>, SOC<sub>SS</sub>, and SOC<sub>BB</sub>, accounted for 51.4% ( $2.15\pm1.37$  µgC 314  $m^{-3}$ ) of OC in Hong Kong, with the secondary organic-rich sources (i.e., SOC<sub>SOA</sub>+SOC<sub>BB</sub>) contributing 36.9% (1.54±1.13 µgC m<sup>-3</sup>) of total OC. Huang et al. (2014) also reported that secondary organic-rich sources accounted for 30-40% of OC in 315 316 Guangzhou, another PRD site. A higher level of  $SOC_{PMF}$  and its contribution to OC were observed on regional days ( $3.27\pm1.18$ 317 μgC m<sup>-3</sup>, 57.4%) than on LRT (2.36±1.54 μgC m<sup>-3</sup>, 53.0%) and local days (1.36±0.81 μgC m<sup>-3</sup>, 43.6%). An even starker 318 difference in the amounts of SOC<sub>BB</sub> between regional and local days was observed, which was eight times higher on the 319 regional days. This suggested that non-local sources were the dominant contributors to SOC<sub>BB</sub>. BB activities were intensive in 320 the PRD region, especially during fall and winter. On regional days, freshly emitted and aged gaseous and aerosol phase 321 pollutants from the open burning of rice straws and other crops were transported from the northern PRD region into Hong 322 Kong (Hu et al., 2010). Huang et al. (2014) examined the aging of BB plume at low temperatures. They found that the 323 production of BB SOA was rapid at a typical OH radical concentration of wintertime China, and the amount of BB SOA may 324 exceed BB POA in 4-14 h even at -10 °C. Given that the average temperature in Hong Kong during autumn and winter was 325 26.15 °C and 17.76 °C, the formation of BB SOA should be even fastly achieved during the regional transport. As expected, 326 SOC<sub>SOA</sub> also showed a higher average concentration on regional days  $(1.75\pm0.75 \ \mu gC \ m^{-3})$  than on LRT  $(1.14\pm0.82 \ \mu gC \ m^{-3})$ 327 and local days (0.78±0.65 µgC m<sup>-3</sup>), which is consistent with the trends of all SOA tracers. Although SOC from secondary 328 inorganic-rich source (SOC<sub>SS</sub>) exhibited the highest levels ( $0.82\pm0.38 \ \mu gC \ m^{-3}$ ) on regional days as well, its contribution to 329 OC was relatively stable under the three synoptic conditions (Fig. 3). Several studies showed that SO<sub>2</sub> transported from the 330 northern PRD region promoted secondary sulfate formation in Hong Kong through both gas-phase and in-cloud oxidation 331 pathways (Lu and Fung, 2016; Yu et al., 2005; Yuan et al., 2006). A recent study proposed that the sulfate formation in aqueous 332 aerosols through NO<sub>2</sub> oxidation and ammonium neutralization can simultaneously enhance the production of both nitrate and 333 SOA (Wang et al., 2016), which helps explain the considerable amount of SOC<sub>SS</sub> apportioned.

334 OC from the four primary sources, i.e., POC<sub>BB</sub>, OC<sub>marine</sub>, OC<sub>vehicle</sub>, and OC<sub>sea</sub>, accounted for 48.6% of total OC throughout 335 the year. Similar to SOC<sub>BB</sub>, POC<sub>BB</sub> showed a higher level (1.38±1.75 µgC m<sup>-3</sup>) on regional days due to a large number of 336 emissions from BB activities in the northern PRD area. OCvehicle remained a higher contribution on local days (15.6%, 337  $0.49\pm0.46 \ \mu gC \ m^{-3}$ ), consistent with our previous finding that vehicle emission is a local pollution source (Hu et al., 2010). 338 Similarly, marine vessels accounted for a greater amount and larger fraction of OC on local days (32.0%, 1.00±0.63 µgC m<sup>-3</sup>) 339 than LRT (5.2%, 0.23 $\pm$ 0.19 µgC m<sup>-3</sup>) and regional days (6.5%, 0.37 $\pm$ 0.21 µgC m<sup>-3</sup>). On local days, the southeastern to 340 southwestern wind brought pollutants from residual oil combustion from the ocean into Hong Kong, leading to a higher 341 OC<sub>marine</sub>.

In summary, both secondary aerosol sources and air mass origins play important roles in atmospheric OC in Hong Kong. On regional days, air mass transported from the northern PRD area brought large amounts of air pollutants into Hong Kong, which promoted the SOA production from both anthropogenic emissions and BVOCs and resulted in a fraction of 57.4% of OC being secondarily formed. On the other hand, local sources, including vehicle emissions and marine vessels, became more critical and significantly contributed to OC (56.4%) on local days.

#### 347 3.3 Estimation of SOC origin

348 To better understand the SOA precursors and their contributions to SOA/SOC in the region, we adopted a tracer-based 349 method (Kleindienst et al., 2007, 2012; Offenberg et al., 2007) to estimate the SOA/SOC formation from a group of selected 350 biogenic and anthropogenic hydrocarbons, i.e., isoprene, monoterpenes,  $\beta$ -caryophyllene, and naphthalene. The mass ratio of 351 tracer compounds to the total SOC (f<sub>SOC</sub>) generated from individual VOC precursors was derived from smog chamber experiments (Kleindienst et al., 2007; Offenberg et al., 2007). By assuming the same f<sub>SOC</sub> value of the precursor under smog 352 353 chamber conditions and in ambient air, one can use the quantified SOA tracer concentrations to estimate the amount of SOC 354 from that precursor in the real atmosphere. It has been well noted that results obtained from this tracer-based method are subject 355 to potential uncertainties from various aspects, e.g., the larger variation of precursor concentrations and more complicated 356 environmental conditions in the real atmosphere than in smog chamber experiments, the decay of some tracer compounds 357 during transport, mismatch of ambient and smog chamber generated SOA compositions, using surrogates other than ketopinic 358 acid for the quantification of tracer compounds, and so on (Ding et al., 2014; Hu et al., 2008; Kleindienst et al., 2012, 2007). 359 However, using the tracer-based method, we can at least have a rough estimation of the key SOA precursors in the region, 360 their contributions to ambient OC, and the amount of SOC from unknown precursors. Wang et al. (2013) noted that the SOA 361 tracer-based method would significantly underestimate SOC Mono in the PRD region. Ding et al. (2014) gave a reasonable 362 explanation that the mismatch of monoterpene tracers measured in ambient air and used to derive  $f_{SOC}$  of monoterpenes in 363 chamber studies may increase the uncertainty of SOC Mono. Thus they picked the five Mono SOA tracers measured in their 364 samples and derived the f<sub>SOC</sub> and f<sub>SOA</sub> values using the SOA tracers data and SOA/SOC concentrations reported by Offenberg 365 et al. (2007). In this study, we only measured five out of nine monoterpene SOA tracers in Offenberg et al. (2007). Similar to 366 Ding et al. (2014), to lower the uncertainty induced from the mismatch of SOA tracer compositions, we derived a f<sub>SOC mono</sub> 367 value of 0.047 based on Offenberg et al.'s experimental data (2007) and applied it to estimate SOC Mono. Many research groups 368 have adopted this tracer-based method to assess SOC productions from the five studied VOCs at various locations in the world, 369 and reasonable results have been obtained (Ding et al., 2012; Fu et al., 2014; Hu et al., 2008; Hu and Yu, 2013; Kleindienst et 370 al., 2012, 2007; Lewandowski et al., 2008).

371 As shown in Table 2, SOC estimated by the tracer-based method (SOC<sub>TBM</sub>) ranged from 0.11 to 1.53 μgC m<sup>-3</sup> in Hong

372 Kong, accounting for 3.8% to 22.7% of ambient OC levels. It exhibited the same trend as OC and SOC<sub>PMF</sub>, i.e., with higher 373 concentrations on regional days (0.81±0.35 µgC m<sup>-3</sup>) than on LRT (0.50±0.29 µgC m<sup>-3</sup>) and local days (0.28±0.13 µgC m<sup>-3</sup>). 374 Similar to our previous study, monoterpenes were found to be the most significant SOC contributor in the region, with 375 SOC Mono ranging from 0.05 to 0.69 µgC m<sup>-3</sup> and having an average concentration of 0.23±0.17 µgC m<sup>-3</sup>. SOC Iso and SOC Carv, 376 on the other hand, were about three times smaller than SOC\_Mono and were 0.08±0.09 µgC m<sup>-3</sup> and 0.07±0.05 µgC m<sup>-3</sup>, 377 respectively. Smog chamber experiments have been carried out to study the SOA yields from OH oxidation, ozonolysis, and 378 nitrate radical (NO<sub>3</sub>) oxidation of monoterpenes and isoprene, and monoterpenes were found to be more effective in SOA 379 production than isoprene (Lee et al., 2006a, 2006b). Highly oxygenated organic molecules with low and extremely low 380 volatility were formed from the oxidation of monoterpenes and observed in both laboratory experiments and field 381 measurements (Ehn et al., 2014; Jokinen et al., 2015; Zhang et al., 2018). Moreover, a synergistic  $O_3 + OH$  oxidation pathway 382 of monoterpenes was recently proposed, which leads to the formation of extremely low-volatility oligomers and may result in 383 even larger monoterpene SOA yields in the real atmosphere than what observed in the smog chamber experiments (Kenseth et 384 al., 2018). Tsui et al. (2009) reported a total BVOC emission of 8.6×109 gC yr<sup>-1</sup> in Hong Kong, with 40% from monoterpenes 385 and 30% from isoprene. The remaining 30% could be sequiterpenes (e.g.,  $\beta$ -caryophyllene) or other BVOCs. Therefore, the 386 predominance of monoterpenes SOA in BVOCs-derived SOC is likely due to the combined effects of their high SOA yields 387 and large emissions in the region. Like the SOA tracers, SOC from the four precursors all showed the highest level on regional 388 days than those on LRT and local days (Table 2). On regional days, large amounts of VOC precursors and gaseous oxidants 389 could be brought into Hong Kong through the regional transport of air masses from northern PRD and oxidized along the way. 390 Conversely, on local days, the ocean breeze brings clean air masses from the South China Sea into Hong Kong, leading to a 391 dilution effect of local air pollution. These results highlight that air mass origins play an important role in the SOC formation 392 from both biogenic and anthropogenic VOCs. Given that SOCTBM is calculated based on the concentration levels of individual 393 SOA tracers measured in the ambient aerosols, it is reasonable that SOC attributed to each VOC precursor showed the same 394 meteorological variations as their SOA tracers.

We observed similar temporal trends between  $SOC_{PMF}$  and  $SOC_{TBM}$  (R<sup>2</sup>=0.71). However,  $SOC_{TBM}$  only accounted for 26.5% of  $SOC_{PMF}$ , suggesting SOC must have been underestimated by the tracer-based method. A reasonable explanation is that secondary formation from nighttime reactions, multi-phase reactions, and other SOA precursors are not considered in the SOA tracer-based method. Parameters used in the tracer-based method were derived from pure gas-phase photo-oxidation of VOC precursors in smog chambers (Kleindienst et al., 2007, 2009). Therefore, it is better to be used as a complementary method with PMF in the source apportionment study of ambient OC, especially SOC.

#### 401 3.4 Effects of anthropogenic influences on secondary aerosol formation

Increasing evidence from laboratory studies and ambient observations has shown that anthropogenic emissions can significantly affect SOA formation from terpenoids through multiple chemical processes in both daytime and nighttime (Xu et al., 2013; Zhang et al., 2018). We conducted the Pearson's R correlation analysis of all SOC terms (i.e.,  $SOC_{Iso}$ ,  $SOC_{Mono}$ ,  $SOC_{Cary}$ ,  $SOC_{Nap}$ ,  $SOC_{TBM}$ ,  $SOC_{PMF}$ ,  $SOC_{BB}$ ,  $SOC_{SOA}$ , and  $SOC_{SS}$ ) with O<sub>3</sub>, NO<sub>2</sub>,  $SO_2$ , O<sub>X</sub>, NO<sub>3</sub>, sulfate, particle acidity (H<sub>P</sub><sup>+</sup>), and particle liquid water content (LWC<sub>P</sub>) (Table 3). Details on the calculation of H<sub>P</sub><sup>+</sup> and LWC<sub>P</sub> were presented in Appendix B. Since NO<sub>3</sub> was not directly monitored at HKEPD stations, its mixing ratio was estimated using the following equation:

$$[NO_3] = \frac{k_1[O_3][NO_2]}{\sum k_i [VOC_i]}$$
(2)

408

The numerator is the production of NO<sub>3</sub> (p[NO<sub>3</sub>]) from O<sub>3</sub> and NO<sub>2</sub>, and the denominator is the reactivity of NO<sub>3</sub> for NO<sub>3</sub>-VOCs reactions. From the IUPAC database, we obtained the temperature-dependent expression of  $k_1$  (cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup>, the production rate constant of NO<sub>3</sub>) as  $1.4 \times 10^{-13}$  exp(-2470/T), where T is the ambient temperature in Kelvin. Therefore, using  $k_1$ and the measured concentration levels of [O<sub>3</sub>] and [NO<sub>2</sub>], we calculated p[NO<sub>3</sub>] (Table 2). Brown et al. (2016) reported a NO<sub>3</sub>-VOCs reactivity of  $6.5 \pm 6.8 \times 10^{-3}$  s<sup>-1</sup> in Hong Kong with a corresponding NO<sub>3</sub> lifetime of 2.5 min. NO<sub>3</sub> was then calculated as the ratio of p[NO<sub>3</sub>] to this NO<sub>3</sub> reactivity value, and an annual mean level of 70±47 ppt was estimated.

415 As we mentioned earlier, O<sub>X</sub> is an indicator of atmospheric oxidation capacity. Five SOC terms, i.e., SOC Mono, SOC Nap, 416 SOC<sub>TBM.</sub> SOC<sub>SOA</sub>, and SOC<sub>PMF</sub>, showed significant positive correlations with O<sub>X</sub>, especially SOC<sub>SOA</sub> and SOC<sub>PMF</sub> (R>0.7, 417 P<0.01). However, only SOC<sub>SOA</sub> and SOC<sub>SS</sub> were found to be significantly correlated with O<sub>3</sub> (R>0.50, P<0.01). As for NO<sub>2</sub>, 418 another critical component of  $O_X$ , it exhibited statistically significant positive correlations with not only SOC<sub>SOA</sub> and SOC<sub>PME</sub>. 419 but also several TBM estimated SOCs, including SOCTBM, SOC Mono, SOC Nap, and SOC Carv. This may be because SOA tracers 420 used in TBM were produced from the photo-oxidation of these VOC precursors in the presence of NOx (Kleindienst et al., 421 2007). The significant positive correlations between  $NO_2$  and  $SOC_{SOA}$  and  $SOC_{PMF}$  also suggest that the daytime oxidation 422 processes involving NO<sub>X</sub> are critical SOA formation pathways in the region. Significant correlations with R>0.5 between NO<sub>3</sub> 423 and SOC Mono, SOC<sub>SOA</sub>, SOC<sub>PMF</sub>, and SOC<sub>SS</sub> were also observed. BVOCs were found to account for >80% of the NO<sub>3</sub> reactivity 424 in Hong Kong (Brown et al., 2016), with monoterpenes as the leading contributor. Both Zhang et al. (2018) and Xu et al. (2013) 425 have reported an enhancement of nighttime monoterpenes SOA in the southeastern U.S. by NO<sub>3</sub>-monoterpenes reactions. 426 Therefore, our findings indicate that SOA formation through nighttime NO<sub>3</sub> oxidation of biogenic VOCs, especially 427 monoterpenes, may have made a considerable contribution to the SOA loading in Hong Kong. However, more field 428 measurement data, e.g., quantification of the particle-phase organic nitrates using real-time online mass spectrometry 429 techniques, are needed to examine the impact of NOx processing on SOA formation in the region.

Since NO<sub>3</sub> is a key precursor of nighttime production of HNO<sub>3</sub>, and nitrate is a significant component of secondary inorganic aerosols, it rationalized the correlations between NO<sub>3</sub> and SOC<sub>SS</sub>. Six SOC terms, i.e., SOC<sub>Mono</sub>, SOC<sub>Nap</sub>, SOC<sub>TBM</sub>, SOC<sub>SOA</sub>, SOC<sub>SS</sub>, and SOC<sub>PMF</sub>, showed significant positive correlations with sulfate, especially SOC<sub>SS</sub> and SOC<sub>PMF</sub> (R $\geq$ 0.8, P<0.01). 433 Given that sulfate is the key component of secondary inorganic aerosol, such a strong correlation between SOC<sub>SS</sub> and sulfate

434 is expected. Moreover, several studies have suggested that sulfate also plays a dominant role in the production of aerosol-phase
435 organosulfates through both nucleophilic addition reactions and the salting-in effect (Lin et al., 2012; Riva et al., 2015; Xu et
436 al., 2015).

We then performed multivariate linear regression (MLR) analysis to obtain a quantitative and comprehensive understanding of the impacts of gaseous oxidants and aerosol characteristics on  $SOC_{TBM}$ ,  $SOC_{PMF}$ , and the individual PMF resolved SOCs (i.e.,  $SOC_{SS}$ ,  $SOC_{SOA}$ , and  $SOC_{BB}$ ). Six parameters, namely O<sub>3</sub>, NO<sub>2</sub>, NO<sub>3</sub>, sulfate, H<sub>P</sub><sup>+</sup>, and LWC<sub>P</sub>, were included in the preliminary runs. However, the MLR results showed that O<sub>3</sub> was an insignificant factor for all SOC terms, even with negative regression coefficients. Pearson's R analysis also showed that SOC was more NO<sub>2</sub> dependent than O<sub>3</sub>. Therefore, it was excluded from the final MLR analysis, and the results were shown in Table 4.

443 We found that two parameters, i.e., sulfate and NO<sub>3</sub> have statistically significant positive linear relationships ( $P \le 0.001$ ) 444 with SOC<sub>SS</sub>, and the regression coefficients were 0.913 and 0.234, respectively. The result is reasonable and consistent with 445 what was observed from Pearson's R analysis, given that sulfate is the critical component in the PMF resolved SS factor, and 446 NO<sub>3</sub> is the precursor of nitrate through HNO<sub>3</sub> formation at nighttime. As for SOC <sub>BB</sub>, three parameters, i.e., NO<sub>2</sub>, NO<sub>3</sub>, and 447  $H_{0}^{+}$ , showed significant linear relationships with it (P<0.01), with a regression coefficient of 0.639, -0.509, and 0.503, 448 respectively. This indicates that a 1 mol L<sup>-1</sup> increase in particle acidity was associated with a 0.503 µgC m<sup>-3</sup> increase in SOC 449 from BB aging. Phenols, which are produced from the combustion of lignin, are a typical class of gaseous compounds emitted 450 in large amounts from BB (Bruns et al., 2016; Schauer et al., 2001). Recent laboratory studies indicate that phenols can undergo 451 multi-phase photochemical reactions in the atmosphere with the formation of nitrophenols and nitrocatechols (Finewax et al., 452 2018; Yu et al., 2014). Vione et al. (2001) observed the aqueous phase photonitration of phenols, which was pH-dependent 453 with more nitro-compounds generated at lower pH. Given the strong particle acidity (pH annual mean: -0.28) observed in the 454 Hong Kong atmosphere, the formation of the 4-nitrocatechol and its analogs may be favored in the BB plume, which enhances 455 SOC<sub>BB</sub> formation.

456 Both sulfate and NO<sub>2</sub> were found as the statistically significant factors that positively correlated with SOC<sub>PMF</sub>, with 457 regression coefficients of 0.530 and 0.373, respectively (P<0.001, Table 4). This suggests reducing the sulfate level by 1 µg 458  $m^{-3}$  and NO<sub>2</sub> level by 1 ppb could lower the total PMF-apportioned SOC by 0.530 and 0.373  $\mu$ gC m<sup>-3</sup>, respectively. NO<sub>2</sub> was 459 also the most significant factor influencing  $SOC_{TBM}$ , with a regression coefficient of 0.383 (P<0.001), indicating that a decrease 460 of NO<sub>2</sub> by 1 ppb can reduce SOC<sub>TBM</sub> by 0.383 µgC m<sup>-3</sup>. As for SOC<sub>SOA</sub>, we found NO<sub>3</sub> as the most significant parameter 461 (P<0.01), and a decrease of 1 ppb NO<sub>3</sub> can lead to a reduction of SOC<sub>SOA</sub> by 0.384  $\mu$ gC m<sup>-3</sup> when holding other covariates 462 unchanged. These results are consistent with what was observed from Pearson's R analysis, indicating the importance of NOx 463 processing on both daytime and nighttime SOA production in the region.

#### 464 **4** Conclusions

465 In this study, we identified and quantitatively assessed the contributions of six primary and secondary sources to ambient 466 OC in Hong Kong, and secondary formation was found to be the leading contributor. Anthropogenic emissions, including NO<sub>2</sub> 467 O<sub>X</sub>, NO<sub>3</sub>, and sulfate, significantly influenced SOA formation in the region. In particular, NOx processing in both daytime and 468 nighttime has played a critical role. Although the ambient NO<sub>2</sub> level has dropped by 33.3% from 1999 to 2019 (the government 469 of HKSAR, https://www.info.gov.hk/gia/general/202001/20/P2020012000874.htm) and sulfate level in PM2.5 was also 470 lowered by about 30% from 2000 to 2016 (HKEPD, 2017), the roadside NO<sub>2</sub> level was still high. According to the 20-year air 471 pollutants monitoring data released by HKSAR, the annual average concentration of roadside NO<sub>2</sub> was much higher than the other gaseous pollutants, and it peaked during 2011-2013, which were 122 and 118 µg m<sup>-3</sup> in 2011 and 2012, respectively. 472 473 Although the annual ambient level of roadside NO<sub>2</sub> decreased to 80  $\mu$ g m<sup>-3</sup> in 2019, it is still two times higher than the annual 474 objective level set by the HKSAR government, indicating a continuous significant impact of NO<sub>X</sub> on SOA formation in Hong 475 Kong, especially in areas with heavy traffic load. Given that 90% of the roadside NO<sub>2</sub> was from commercial vehicles, such as 476 buses, trucks, minibuses, and so on, our results suggest that more stringent control of NO<sub>X</sub> emission from commercial vehicles 477 is needed. This will benefit the community by reducing not only the background NO<sub>X</sub> levels but also the SOA pollution in 478 Hong Kong.

#### 479 Appendices

#### 480 Appendix A: Kinetic model of loss of isoprene intermediates

481 In this study, we use Kintecus (Ianni, 2015), a kinetics simulation software, to investigate the degradation pathways of two 482 isoprene SOA intermediates, i.e., IEPOX and MAE, in the atmosphere. Simulation time was set to be 100 h to ensure the 483 completion of reactions. As described by Eddingsass et al. (2010) and Worton et al. (2013), IEPOX and MAE are removed 484 from the atmosphere mainly through three pathways, namely the gas-phase photo-oxidation, dry deposition, and aerosol phase 485 acid-catalyzed ring-opening reaction. Reaction constants that are involved in these three degradation processes were listed 486 below.

IEPOX:	MAE:
$k_{OX} = 5.78 \times 10^{-11} \cdot e^{-400/T} \cdot [OH] \ s^{-1}$	$k'_{OX} = 1.0 \times 10^{-12} \cdot [OH] \text{ s}^{-1}$
$k_{dd} = dv/blh s^{-1}$	$\dot{k_{dd}} = dv/blh s^{-1}$
$k_{H^+} = 5 \times 10^{-2} \cdot [H_P^+] s^{-1}$	$k_{H^+}^{'} = 5.91 \times 10^{-5} \cdot [H_P^+] s^{-1}$
$k_{\rm H}^{\rm cp} = 1.3 \times 10^8  \text{ M atm}^{-1}$	$k'_{H}^{cp} = 7.5 \times 10^{6} \text{ M atm}^{-1}$

The eight terms, i.e.,  $k_{0X}$  and  $k'_{0X}$ ,  $k_{dd}$  and  $k'_{dd}$ ,  $k_{H^+}$  and  $k'_{H^+}$ , and  $K'_{H}$  and  $K'_{H}$  are the gas-phase oxidation rate 487

488 constants, dry deposition rate constants, acid-catalyzed ring-opening rate constants, and Henry's law constants of IEPOX and 489 MAE, respectively. Given the annual average OH radical level in the PRD region was 5×106 molecules cm<sup>-3</sup> (Hofzumahaus et al., 2009),  $k_{0X}$  and  $k_{0X}^{'}$  were calculated to be 7.55×10<sup>-5</sup> s<sup>-1</sup> and 5.12×10<sup>-6</sup> s<sup>-1</sup> at 298 K.  $k_{dd}$  is estimated by the deposition 490 491 velocity (dv) and the boundary layer height (blh). Like Eddingsaas et al. (2010) and Worton et al. (2013), we assumed the same 492 deposition velocities for IEPOX and MAE as that for hydrogen peroxide (1-5 cm s<sup>-1</sup>). With the predicted boundary height in Hong Kong of 1100 m (Xie et al., 2012),  $k_{dd}$  and  $k_{dd}^{'}$  were calculated to be  $5.05 \times 10^{-5}$  s<sup>-1</sup>. Given the high volatility of MAE 493 494 vapor pressure  $(9.2 \times 10^{-5} \text{ atm})$  (Worton et al., 2013), it has a low tendency to partition onto the particle phase, and its uptake 495 onto aqueous particles is mainly governed by Henry's law constant ( $k_{H}^{ep}$ ). Worton et al. (2013) estimated the  $k_{H}^{ep}$  value of 496 MAE to be  $7.5 \times 10^6$  M atm<sup>-1</sup>, which is 20 times lower than that of IEPOX ( $1.3 \times 10^8$  M atm<sup>-1</sup>, Minerath et al., 2008). Moreover, 497 Riedel et al. (2015) suggested that the heterogeneous reactive uptake coefficient of MAE ( $\gamma = 4.9 \times 10^{-4}$ ) through the ring-498 opening reaction was a factor of 30 lower than that of IEPOX. The ring-opening rate constant ( $k_{H^+}$ ) for IEPOX and MAE were 499 estimated by Eddingsaas et al. (2010) and Birdsall et al. (2014), which are  $5 \times 10^{-2}$  M<sup>-1</sup> s<sup>-1</sup> and  $5.91 \times 10^{-5}$  M<sup>-1</sup> s<sup>-1</sup>, respectively. 500 We then inputted all these parameters into the Kintecus model and estimated the fractions of IEPOX and MAE degraded 501 through the above-mentioned three pathways.

#### 502 Appendix B: Calculation of particle acidity and total liquid water content

503 A thermodynamic *model* (*E-AIM model II*) was applied to estimate the hydrogen ion concentration in air  $(H^+_{air})$  and liquid 504 water content associated with inorganic species (LWC<sub>inorg</sub>). The liquid water content associated with organic species (LWC<sub>org</sub>) 505 was calculated using the following equation

506

$$LWC_{org} = \frac{m_{org}\rho_{w}}{\rho_{org}} \frac{k_{org}}{(1/RH - 1)}$$

where  $k_{org}$  is an organic hygroscopicity parameter and has a value of 0.1,  $m_{org}$  is organic mass concentration, and a factor of 2.1 was applied to convert OC to OM at the urban location.  $\rho_w$  is the water density, and a typical value of 1.4 g cm<sup>-1</sup> was applied for organic aerosols ( $\rho_{org}$ ). Since LWC is associated with both inorganic and organic species, the total particle water (LWC<sub>p</sub>) was calculated as the sum of LWC<sub>inorg</sub> and LWC<sub>org</sub> based on the assumption that particles were internally well mixed. Particle acidity was calculated using the following equation:

512 
$$H_p^+ = \frac{1000 H_{air}^+}{LWC_{org} + LWC_{inorg}}$$

where  $H_p^+$  (mol L<sup>-1</sup>) is the concentration of hydrogen ion in aerosol water, interpreted as particle acidity.  $H_{air}^+$  and LWC<sub>inorg</sub> were calculated by E-AIM model II using input values of inorganic ions, RH, and temperature.

515 Data availability. Raw data used in this study are archived at Hong Kong Baptist University, and are available upon request
516 by contacting the corresponding author.

- Author contributions. YBC and DH designed the study. YBC did all the experiments and most of the data analysis. YQM
  helped with regression analysis and data interpretation. YBC drafted the manuscript. DH helped with data analysis and
  interpretation and revised the manuscript.
- 520 **Competing interest.** The authors declare that they have no conflict of interest.
- 521 522

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781 Figure 1: Comparison of three degradation processes for IEPOX and MAE under the three synoptic conditions



Figure 2: PMF-resolved source contributions (% of total species) to ambient PM<sub>2.5</sub> samples collected in Hong Kong. Red
column: chemical markers for source identification.





Figure 3: Source-specific contributions to OC under different meteorological conditions. OC<sub>BB</sub> was split into POC<sub>BB</sub> and
 SOC<sub>BB</sub>.



790 Figure 4: Temporal variations of SOC<sub>PMF</sub> and SOC<sub>TBM</sub>.

## 791 Table 1: Concentrations of 15 SOA tracers, 25 polar organic compounds, and nine inorganic ions in PM<sub>2.5</sub> collected in Hong

792 Kong under three meteorological conditions.

	Local		ransport	Regional			
	(N=24)	D	(N=10)	D	(N=15)	D	
	Average	капде	Average	Kange	Average	Kange	
2 Methylalyceric acid	0 56+0 31	0 22 1 42	1 28±0.86	0 20 2 61	) 2 36±1 75	0 02 6 42	
2 Mothylthroital	$0.30\pm0.31$	0.22-1.42	$1.28\pm0.80$	0.29-2.01	$2.30 \pm 1.73$	0.02-0.42	
2-Methylurettoi	$2.34\pm 3.93$	0.53-18.79	2.88±2.97	1.09.22.59	$0.23\pm 3.09$	0.33-23.37	
cis-2-Methyl-1 3 4-	7.00±13.93	0.54-04.07	0.94±7.81	1.08-23.38	13.49±12.23	0.48-47.02	
trihydroxy-1-butene	0.87±1.25	0.15-6.06	$2.00\pm 2.56$	0.33-8.62	5.78±4.57	0.22-17.19	
3-Methyl-2,3,4-trihydorxy-1- butene	0.52±0.49	0.15-2.00	1.03±1.17	0.23-4.08	2.40±1.91	0.18-7.31	
trans-2-Methyl-1,3,4- trihydorxy-1-butene	1.28±1.25	0.15-5.08	5.25±5.59	0.48-18.68	10.52±8.19	0.37-25.23	
3-MeTHF-3,4-diols	$0.18\pm0.06$	0.15-0.34	0.21±0.07	0.15-0.32	0.29±0.12	0.15-0.60	
Σlsoprene tracers	2.68±2.52	0.45-8.89	8.27±8.92	1.20-31.37	18./1±13.14	0.78-40.08	
(exclude triols)	$10.06 \pm 18.09$	1.22-84.87	$11.23 \pm 11.18$	1.93-35.70	22.32±18.94	1.17-77.09	
∑Isoprene tracers	$12.74 \pm 20.13$	1.67-93.41	19.51±19.41	3.14-67.07	41.03±29.71	1.95-117.17	
		2	Tracers for monote	rpenes SOA (ng	m <sup>-3</sup> )		
3-Hydroxyglutaric acid	3.40±2.09	0.72-9.14	6.11±5.42	0.66-19.15	11.53±6.27	1.35-22.04	
3-Hydroxy-4,4- dimethylgutaric acid	0.53±0.12	0.42-0.93	0.71±0.30	0.43-1.39	0.91±0.28	0.41-1.39	
3-Methyl-1,2,3- butanetricarboxylic acid	0.59±0.19	0.40-1.18	$0.84 \pm 0.39$	0.45-1.76	$1.28 \pm 0.52$	0.42-2.14	
3-Isopropylpentanedioic acid	$1.07 \pm 0.38$	0.55-1.85	$1.52 \pm 0.86$	0.51-3.46	2.57±1.52	0.61-4.86	
3-Acetyl pentanedioic acid	$0.82 \pm 0.23$	0.45-1.19	$1.13 \pm 0.55$	0.49-2.42	$1.71 \pm 0.87$	0.54-3.20	
$\Sigma$ Monoterpenes tracers	6.41±2.75	2.63-13.49	$10.31 \pm 7.33$	2.54-28.17	$18.00 \pm 9.28$	3.33-32.57	
		Ti	racers for $\beta$ -caryop	hyllene SOA (ng	$(m^{-3})$		
β-Caryophyllinic acid	$0.94{\pm}0.41$	0.49-2.36	$1.73 \pm 1.16$	0.75-3.99	2.33±1.21	0.80-5.82	
			Tracers for Naphth	alene SOA (ng n	n <sup>-3</sup> )		
Phthalic acid	$2.26 \pm 1.38$	0.80-5.17	4.97±3.30	0.92-11.41	7.16±3.61	1.41-16.42	
			Dicarboxylic	acids (ng m <sup>-3</sup> )			
Succinic acid	$2.10{\pm}1.63$	0.65-6.23	4.56±4.80	0.80-14.18	5.27±3.43	0.68-12.19	
Maleic acid	$0.42 \pm 0.27$	0.14-1.47	$0.42 \pm 0.23$	0.14-0.84	$0.36{\pm}0.18$	0.15-0.78	
Malic acid	2.67±1.49	0.64-5.59	4.20±3.74	0.60-13.12	8.10±4.12	1.33-13.86	
Glutaric acid	$2.63 \pm 6.06$	0.82-30.89	2.36±1.73	0.79-4.99	2.85±1.53	0.67-6.05	
Citramalic acid	$0.76 \pm 0.23$	0.38-1.30	$0.86 \pm 0.32$	0.38-1.48	$1.23 \pm 0.47$	0.52-2.00	
Terephthalic acid	$9.28{\pm}7.49$	2.16-31.86	30.21±27.20	3.58-79.61	$36.89 \pm 23.84$	3.77-79.25	
Adipic acid	$1.34{\pm}1.42$	0.54-6.20	$1.20\pm0.46$	0.64-2.21	$1.48 \pm 0.66$	0.67-3.08	
Pimelic acid	$0.68 \pm 0.10$	0.51-0.93	$0.82 \pm 0.29$	0.52-1.47	$0.99 \pm 0.35$	0.52-1.94	
Oxalic acid (µg m <sup>-3</sup> )	$0.35 \pm 0.20$	0.11-0.86	$0.38 \pm 0.23$	0.09-0.72	$0.54{\pm}0.21$	0.29-0.94	
			Saccharid	les (ng m <sup>-3</sup> )			
Levoglucosan	22.51±41.16	0.64-161.16	$120.79 \pm 129.55$	3.21-362.74	$128.52{\pm}140.39$	8.64-474.15	
Meso-erythritol	$0.11 \pm 0.10$	0.03-0.43	$0.29{\pm}0.25$	0.03-0.74	$0.44{\pm}0.28$	0.07-1.22	
Xylitol	$0.29{\pm}0.11$	0.21-0.69	$0.50{\pm}0.28$	0.23-1.02	$0.52 \pm 0.22$	0.22-1.03	
Xylose	$1.24{\pm}1.08$	0.50-4.57	4.65±4.45	0.58-13.34	5.34±4.31	0.81-16.12	
Galactose	$1.82 \pm 2.02$	0.37-9.97	3.31±1.97	1.09-7.08	$3.51{\pm}1.71$	1.02-6.84	
Mannitol	$0.16{\pm}0.04$	0.12-0.26	$0.21 \pm 0.07$	0.13-0.37	$0.23{\pm}0.07$	0.13-0.37	
Fructose	2.30±3.19	0.26-15.58	3.64±3.89	0.38-13.41	4.32±2.54	1.65-9.32	
Galactosan	1.09±0.53	0.79-2.99	2.58±2.47	0.84-7.20	2.68±2.40	0.88-7.99	
Sorbitol	$1.45 \pm 0.37$	1.14-2.54	1.55±0.28	1.21-1.96	$1.70\pm0.40$	1.31-2.62	
Glucose	$1.55 \pm 0.89$	0.50-3.83	$1.20 \pm 0.61$	0.40-2.07	$1.51 \pm 0.92$	0.52-3.29	
Sucrose	$0.94{\pm}1.81$	0.42-9.43	$0.58 \pm 0.14$	0.42-0.91	$0.57{\pm}0.08$	0.45-0.76	
Arbitol	$0.25 \pm 0.10$	0.00-0.57	$0.40{\pm}0.20$	0.22-0.78	$0.42{\pm}0.17$	0.22-0.85	

Other compounds (ng m<sup>-3</sup>)

4-Nitrocatechol	0.90±0.12	0.78-1.35	1.30±0.62	0.84-2.75	1.55±0.83	0.85-4.00
Cholesterol	1.29±0.25	0.94-1.81	$1.30 \pm 0.28$	1.01-1.93	1.20±0.27	0.95-1.89
1,2,3-Benzenetricarboxylic Acid	1.23±0.67	0.47-2.46	2.25±1.34	0.63-4.70	3.97±2.54	0.54-9.50
1,2,4-Benzenetricarboxylic Acid	1.77±1.28	0.47-6.17	3.32±2.34	0.88-6.77	5.16±3.30	0.73-12.54
			Major i	ion (μg m <sup>-3</sup> )		
Sulfate	$11.43 \pm 5.98$	3.28-30.32	13.02±9.25	1.49-29.25	$17.35 \pm 5.20$	8.90-29.29
Nitrate	0.89±1.17	0.05-3.39	$1.62 \pm 2.10$	0.08-5.84	1.41±1.51	0.38-5.49
Chloride	$0.18 \pm 0.17$	0.06-0.77	$0.17 \pm 0.15$	0.07-0.45	$0.14{\pm}0.09$	0.07-0.40
Ammonia	2.05±0.91	0.47-4.12	2.26±1.48	0.30-4.36	2.99±0.72	1.82-4.69
Potassium	$0.11 \pm 0.07$	0.03-0.36	$0.29{\pm}0.17$	0.05-0.49	$0.40{\pm}0.22$	0.15-0.94
Magnesium	$0.01 \pm 0.01$	0.00-0.03	$0.02{\pm}0.01$	0.00-0.04	$0.02{\pm}0.01$	0.00-0.04
Calcium	$0.03 \pm 0.03$	0.00-0.13	$0.08{\pm}0.07$	0.02-0.23	$0.08 \pm 0.04$	0.02-0.15
Sodium	$0.09 \pm 0.09$	0.01-0.40	$0.16\pm0.14$	0.03-0.52	$0.14 \pm 0.06$	0.08-0.30

## Table 2: PMF and TBM-resolved OCs, concentrations of gas pollutants, PM<sub>2.5</sub>, EC, OC, and major aerosol characteristics

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	Local		Long regional transport (N=10)		Regional		Annual (N-49)	
	Average	Range	Average	Range	Average	Range	Average	Range
PM <sub>2.5</sub> (µg m <sup>-3</sup> )	24.11±9.99	10.04-49.28	32.23±14.81	7.63-50.68	38.5±10.48	26.20-65.28	30.17±12.72	7.63-65.28
EC (µgC m <sup>-3</sup> )	$1.02{\pm}0.57$	0.47-2.75	$0.85 {\pm} 0.60$	0.14-2.10	$1.14{\pm}0.45$	0.50-2.12	$1.02{\pm}0.54$	0.14-2.75
$OC_{measured}$	2.94±1.11 PMF apportic	1.61-5.75 oned OC (μgC m <sup>-3</sup>	4.16±2.53	1.25-8.53	6.15±2.51	3.21-12.97	4.18±2.37	1.25-12.97
SOC <sub>SOA</sub>	0.78±0.65	0.00-2.27	$1.14 \pm 0.82$	0.18-2.72	$1.75\pm0.75$	0.65-3.29	$1.15 \pm 0.82$	0.00-3.29
SOC <sub>ss</sub>	$0.49{\pm}0.37$	0.00-1.74	$0.56{\pm}0.67$	0.00-1.81	$0.82{\pm}0.38$	0.24-1.65	$0.60{\pm}0.46$	0.00-1.81
$OC_{BB}$ (POC <sub>BB</sub> +SOC <sub>BB</sub> )	0.26±0.63	0.00-2.34	1.97±2.26	0.00-6.34	2.08±2.63	0.00-8.96	1.17±1.99	0.00-8.96
$OC_{Vehicle}$	$0.49 \pm 0.46$	0.00-2.07	$0.30 \pm 0.42$	0.00-1.26	$0.45 \pm 0.36$	0.01-1.26	$0.44{\pm}0.42$	0.00-2.07
OC <sub>Marine</sub>	$1.00 \pm 0.63$	0.04-2.97	$0.23 \pm 0.19$	0.00-0.51	$0.37 \pm 0.21$	0.08-0.71	$0.65 {\pm} 0.18$	0.00-2.97
OC <sub>Sea salt</sub>	$0.10{\pm}0.11$	0.00-0.53	0.25±0.33	0.00-1.13	0.22±0.16	0.00-0.62	$0.17{\pm}0.19$	0.00-1.13
SOC <sub>BB</sub>	$0.09{\pm}0.21$	0.00-0.79	$0.66 {\pm} 0.76$	0.00-2.13	$0.70{\pm}0.88$	0.00-3.01	$0.39{\pm}0.67$	0.00-3.01
SOC <sub>PMF</sub>	$1.36{\pm}0.81$	0.00-3.07	2.36±1.54	0.33-4.78	3.27±1.18	1.63-5.53	$2.15 \pm 1.37$	0.00-5.53
SOC <sub>PMF</sub> /OC (%)	43.0±16.8%	0.0%-66.5%	52.3±21.1%	30.0%-85.3%	60.2±13.7%	36.2%-78.8%	50.2±18.2%	0.0%-85.3%
	Tracer based	method estimated	OC (μgC m <sup>-3</sup> )					
SOC_Iso	$0.04 \pm 0.06$	0.01-0.24	$0.07 \pm 0.07$	0.01-0.23	$0.14 \pm 0.12$	0.01-0.49	$0.08 \pm 0.09$	0.01-0.49
$SOC_{Mono}$	$0.14 \pm 0.06$	0.06-0.29	$0.22 \pm 0.16$	0.05-0.60	$0.38 \pm 0.20$	0.07-0.69	$0.23 \pm 0.17$	0.05-0.69
SOC_Cary	$0.04 \pm 0.02$	0.02-0.10	$0.08{\pm}0.05$	0.03-0.17	$0.10{\pm}0.05$	0.03-0.25	$0.07 \pm 0.05$	0.02-0.25
SOC_Nap	$0.06 \pm 0.04$	0.02-0.13	$0.13 \pm 0.09$	0.02-0.30	$0.19{\pm}0.09$	0.04-0.43	$0.11 \pm 0.09$	0.02-0.43
SOC <sub>TBM</sub>	$0.28 \pm 0.13$	0.11-0.53	$0.50{\pm}0.29$	0.12-1.06	$0.81 \pm 0.35$	0.15-1.53	$0.49{\pm}0.34$	0.11-1.53
SOC <sub>TBM</sub> /OC	10.2±5.1%	3.8%-22.7%	13.0±4.6%	5.3%-20.7%	13.4±4.3%	4.7%-19.6%	11.8±4.9%	3.8%-22.7%
	Gas Pollutant	ts and other aeros	ol characteristic	S				
O <sub>3_average</sub> (ppb)	11.61±7.3	2.93-32.12	13.96±7.94	2.86-26.92	20.64±8.74	2.88-31.84	14.85±8.69	2.86-32.12
NO <sub>2_average</sub> (ppb)	34.56±10.66	16.7-54.32	34.59±7.62	21.74-42.85	42.98±7.10	32.72-60.37	37.15±9.76	16.70-60.37
SO <sub>2_average</sub> (µg m <sup>-3</sup> )	4.14±2.92	0.7-10.38	$3.81 \pm 1.88$	2.23-7.30	5.38±2.24	2.96-10.45	4.45±2.57	0.70-10.45
$O_X (\mu g m^{-3})$	87.45±26.26	49.72-138.49	93.18±21.37	61.66-125.79	122.39±17.70	69.54-145.90	99.31±27.42	49.72-145.90
p[NO <sub>3</sub> ] (ppb h <sup>-1</sup> )	$1.25 \pm 0.96$	0.30-4.17	$1.36 \pm 0.94$	0.31-3.29	$2.45 \pm 1.02$	0.23-3.76	$1.64 \pm 1.10$	0.23-4.17
$NO_{3\_average}(ppb)$	$0.05 \pm 0.04$	0.01-0.18	$0.06 \pm 0.04$	0.01-0.14	$0.10{\pm}0.04$	0.01-0.16	$0.07 {\pm} 0.05$	0.01-0.18
$H_{P}^{+}(M)$	$1.72{\pm}1.04$	0.02-3.78	$2.66{\pm}1.50$	0.49-5.43	3.22±0.79	2.31-4.76	2.37±1.25	0.02-5.43
pH	(-0.20)±0.52	(-0.58)-1.81	(-0.31)±0.32	(-0.74)-0.31	(-0.50)±0.10	(-0.68)-(-0.36)	(-0.28)±0.42	(-0.74)-1.81
LWC (µg m <sup>-3</sup> )	66.64±46.51	2.68-184.71	$42.88{\pm}28.80$	6.60-86.03	51.65±17.69	30.51-101.12	57.2±37.15	2.68-184.71

## 797 Table 3: Regression analysis (Pearson's R) of PMF and TBM-resolved SOCs, SO<sub>2</sub>, NO<sub>2</sub>, ozone (O<sub>3</sub>), particle acidity ( $H_P^+$ ),

total particle-phase liquate water content (LWC<sub>P</sub>), and sulfate \*\*: P<0.01; \*: P<0.05. Note: R>0.5 are bold.

	Pearson's R								
	SOC_Iso	SOC_Mono	SOC_Cary	SOC_Nap	SOC <sub>TBM</sub>	SOC <sub>BB</sub>	SOC <sub>SOA</sub>	SOCss	SOC <sub>PMF</sub>
O <sub>3</sub> (ppb)	0.374**	.401**	0.011	0.246	.374**	-0.111	.502**	.557**	.434**
NO <sub>2</sub> (ppb)	.064	.516**	.586**	.528**	.500**	.469**	.570**	0.165	.627**
SO <sub>2</sub> (ppb)	0.044	0.198	.463**	.296*	0.255	.357*	0.035	-0.052	0.179
$O_X (\mu g m^{-3})$	0.257	.600**	.433**	.535**	.577**	0.281	.707**	.445**	.711**
NO <sub>3</sub> (ppb)	.413**	.530**	0.101	.313*	.480**	-0.077	.637**	.574**	.538**
Sulfate (µg m <sup>-3</sup> )	.287*	.610**	.405**	.506**	.579**	0.23	.646**	.886**	.799**
$\operatorname{Hp}^{+}(M)$	0.249	.334*	.391**	.388**	.395**	.400**	0.164	0.24	.376**
LWC <sub>P</sub> (µg m <sup>-3</sup> )	-0.18	0.18	0.115	0.209	0.113	0.003	.413**	.438**	.397**

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800 Table 4: Results of multivariate linear analysis of PMF and TBM-resolved SOCs, NO<sub>2</sub>, NO<sub>3</sub>, sulfate, particle acidity (H<sub>P</sub><sup>+</sup>),

801	and total particle-phase liquate water content (LWC <sub>F</sub>	. **: P<0.01; *: P<0.05. Note: significant regressions are bold.
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	normalized $\beta$ -coefficient								
	SOC_Iso	SOC_Mono	SOC_Cary	SOC_Nap	SOC_TBM	SOCBB	SOC <sub>SOA</sub>	SOCss	SOCPMF
NO <sub>2</sub> (ppb)	-0.013	0.351**	0.660**	0.445**	0.383**	0.639**	0.270*	-0.303**	0.373**
NO <sub>3</sub> (ppb)	0.309	0.174	-0.382**	-0.077	0.101	-0.509**	0.384**	0.234**	0.059
Sulfate (µg m <sup>-3</sup> )	0.343	0.417*	0.240	0.202	0.393*	0.083	0.303	0.913**	0.530**
H <sub>P</sub> (M)	-0.138	0.047	0.378*	0.348*	0.129	0.503**	-0.053	-0.189*	0.151
LWC <sub>P</sub> (µg m <sup>-3</sup> )	-0.392	-0.135	-0.091	0.071	-0.171	-0.073	0.125	0.096	0.071