

1 **Contrasting effects of secondary organic aerosol formations on organic aerosol**  
2 **hygroscopicity**

3 **Ye Kuang<sup>1,2</sup>, Shan Huang<sup>1,2\*</sup>, Biao Xue<sup>1,2</sup>, Biao Luo<sup>1,2</sup>, Qicong Song<sup>1,2</sup>, Wei Chen<sup>3</sup>, Weiwei Hu<sup>3</sup>,**  
4 **Wei Li<sup>1,2</sup>, Pusheng Zhao<sup>4</sup>, Mingfu Cai<sup>1,2</sup>, Yuwen Peng<sup>1,2</sup>, Jipeng Qi<sup>1,2</sup>, Tiange Li<sup>1,2</sup>, Sihang**  
5 **Wang<sup>1,2</sup>, Duohong Chen<sup>5</sup>, Dingli Yue<sup>5</sup>, Bin Yuan<sup>1,2</sup>, Min Shao<sup>1,2\*</sup>**

6 <sup>1</sup> Institute for Environmental and Climate Research, Jinan University, Guangzhou, China.

7 <sup>2</sup> Guangdong-Hongkong-Macau Joint Laboratory of Collaborative Innovation for Environmental  
8 Quality, Guangzhou, China.

9 <sup>3</sup> State Key Laboratory of Organic Geochemistry and Guangdong Key Laboratory of Environmental  
10 Protection and Resources Utilization, Guangzhou Institute of Geochemistry, Chinese Academy of  
11 Sciences, Guangzhou 510640, China

12 <sup>4</sup> Institute of Urban Meteorology, China Meteorological Administration, Beijing 100089, China

13 <sup>5</sup> Guangdong Ecological and Environmental Monitoring Center, State Environmental Protection Key  
14 Laboratory of Regional Air Quality Monitoring, Guangzhou 510308, China

15 \*Correspondence to: Shan Huang (shanhuang\_eci@jnu.edu.cn) and Min Shao (mshao@pku.edu.cn)

16

17 **Abstract**

18 Water uptake abilities of organic aerosol under sub-saturated conditions play critical roles in direct  
19 aerosol radiative effects and atmospheric chemistry, however, field characterizations of organic aerosol  
20 hygroscopicity parameter  $\kappa_{OA}$  under sub-saturated conditions remain limited. In this study, a field  
21 campaign was conducted to characterize  $\kappa_{OA}$  at relative humidity of 80% with hourly time resolution  
22 for the first time in the Pearl River Delta region of China. Observation results show that during this  
23 campaign secondary organic aerosol (SOA) dominated total organic aerosol mass (mass fraction >70%  
24 on average), which provides a unique opportunity to investigate influences of SOA formation on  $\kappa_{OA}$ .  
25 Results demonstrate that the commonly used organic aerosol oxidation level parameter O/C was  
26 weakly correlated with  $\kappa_{OA}$  and failed in describing the variations of  $\kappa_{OA}$ . However, the variations  
27 in  $\kappa_{OA}$  were well reproduced by mass fractions of organic aerosol factor resolved based on aerosol  
28 mass spectrometer measurements. The more oxygenated organic aerosol (MOOA) factor, exhibiting  
29 the highest average O/C (~1) among all organic aerosol factors, was the most important factor driving  
30 the increase of  $\kappa_{OA}$  and was commonly associated with regional air masses. The less oxygenated

31 organic aerosol (LOOA, average O/C of 0.72) factor, revealed strong daytime production, exerting  
32 negative effects on  $\kappa_{OA}$ . Surprisingly, the aged biomass burning organic aerosol (aBBOA) factor also  
33 formed quickly during daytime and shared a similar diurnal pattern with LOOA, but had much lower  
34 O/C (0.39) and had positive effects on  $\kappa_{OA}$ . The correlation coefficient between  $\kappa_{OA}$  and mass  
35 fractions of aBBOA and MOOA in total organic aerosol mass reached above 0.8. The contrasting  
36 effects of LOOA and aBBOA formation on  $\kappa_{OA}$  demonstrates that volatile organic compound (VOC)  
37 precursors from diverse sources and different SOA formation processes may result in SOA with  
38 different chemical composition, functional properties as well as microphysical structure, consequently,  
39 exerting distinct influences on  $\kappa_{OA}$  and rendering single oxidation level parameters (such as O/C)  
40 unable to capture those differences. Aside from that, distinct effects of aBBOA on  $\kappa_{OA}$  was observed  
41 during different episodes, suggesting that the hygroscopicity of SOA associated with similar sources  
42 might also differ much under different emission and atmospheric conditions. Overall, these results  
43 highlight that it is imperative to conduct more researches on  $\kappa_{OA}$  characterization under different  
44 meteorological and source conditions, and examine its relationship with VOC precursor profiles and  
45 formation pathways to formulate a better characterization and develop more appropriate  
46 parameterization approaches in chemical and climate models.

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## 49 **1 Introduction**

50 Organic aerosol (OA) composed of hundreds to thousands of organic species is one of the  
51 dominant aerosol components in the atmosphere and exert significant effects on climate and  
52 environment (Jimenez et al., 2009). The water uptake ability of atmospheric organic aerosol plays key  
53 roles in aerosol direct radiative effects and aerosol-cloud interactions (Rastak et al., 2017; Liu and  
54 Wang, 2010), and also aerosol liquid water content (Li et al., 2019; Jin et al., 2020) thus atmospheric  
55 chemistry. However, the hygroscopicity parameter  $\kappa_{OA}$  that describes the water uptake abilities of  
56 organic aerosol remains poorly quantified and mechanisms behind  $\kappa_{OA}$  variations are not well  
57 understood (Kuang et al., 2020b). Atmospheric OA is usually composed of both primary or secondary  
58 organic aerosol components. Primary OA (POA) is directly emitted from anthropogenic and natural  
59 sources such as biomass burning, coal and fossil fuel combustion, cooking and biogenic emissions.

60 Whereas secondary OA (SOA) is typically formed through atmospheric oxidation of volatile organic  
61 compounds (VOCs) or aging processes of POA. It is commonly thought that OA becomes more  
62 oxidized during its evolution in the atmosphere and will in general be more hygroscopic after aging  
63 processes (Jimenez et al., 2009). A few studies have investigated the relationship between  $\kappa_{OA}$  and  
64 aerosol oxidation state parameters such as O/C ratio or f44 (fraction of m/z 44 in OA measurements of  
65 aerosol mass spectrometers). Some results, especially those from laboratory studies, demonstrated that  
66  $\kappa_{OA}$  was highly correlated with O/C (Jimenez et al., 2009;Massoli et al., 2010;Kuang et al.,  
67 2020a;Zhao et al., 2016;Lambe et al., 2011), however, other researches demonstrated that  $\kappa_{OA}$  was  
68 not or only weakly correlated with O/C (Cerully et al., 2015;Lathem et al., 2013;Yeung et al.,  
69 2014;Alfarra et al., 2013). As the research continues, it was revealed that many factors can have  
70 significant impacts on  $\kappa_{OA}$ , such as different functional groups, carbon chain length and aerosol liquid  
71 water content, etc. (Rickards et al., 2013;Suda et al., 2014;Petters et al., 2017;Marsh et al., 2017;Liu  
72 et al., 2018). Kuang et al. (2020b) recently reviewed laboratory and field measurements of  $\kappa_{OA}$  and  
73 concluded that O/C is not enough in parameterizing  $\kappa_{OA}$  and that additional parameters are needed.  
74 Therefore, it is worthwhile and imperative to endeavor on  $\kappa_{OA}$  quantifications and parametrizations,  
75 especially, considering that OA might play more critical roles in atmospheric environment and climate  
76 for decades to come under strict control on anthropogenic emissions.

77 Most previous studies on  $\kappa_{OA}$  focused on laboratory studies, usually investigating  $\kappa_{OA}$  of SOA  
78 produced from laboratory chamber systems, which might be far different from real atmospheric SOA  
79 spectral. Quantifications of  $\kappa_{OA}$  based on field measurements remain relatively limited and are also  
80 urgently needed to yield complementary information, which in turn might provide guidance for the  
81 design of future laboratory studies. It is important to conduct more researches on  $\kappa_{OA}$  spatiotemporal  
82 distributions and examine its relationship with OA profiles to reach a better characterization and give  
83 rise to more appropriate parameterization approaches in chemical and climate models. China is a  
84 country that has been experiencing severe aerosol pollution and has been undergoing rapid changes  
85 under drastic air pollution control measures. However, despite the importance of organic aerosol  
86 hygroscopicity, only few studies attempted to quantify  $\kappa_{OA}$  based on field measurements (Wu et al.,  
87 2016;Li et al., 2019;Hong et al., 2018;Gunthe et al., 2011), mainly focusing on the North China Plain  
88 (NCP). The Pearl River Delta (PRD) region is much cleaner than the NCP in terms of particulate matter  
89 pollution, suggesting that distinct regions in China are at different stages of air pollution controls (Xu

90 et al., 2020). The composition of PM<sub>2.5</sub> (particulate matter with aerodynamic diameter less than 2.5  
91  $\mu\text{m}$ ) also differs much among regions, for example, OA and SOA fractions are much higher in the PRD  
92 than those in the NCP and their precursors are also much different (Zhou et al., 2020a). More  
93 investigations on  $\kappa_{OA}$  based on field studies in regions other than the NCP are urgently required.

94 In addition, most field studies on  $\kappa_{OA}$  only gave an estimate of the average  $\kappa_{OA}$  (Gunthe et  
95 al., 2011) or an average statistical relationship between  $\kappa_{OA}$  and O/C (Wu et al., 2013) and only few  
96 studies have reported  $\kappa_{OA}$  of higher time resolution featuring its diurnal variation characteristics  
97 (Deng et al., 2019), and almost no studies have reported  $\kappa_{OA}$  with high time resolution. Kuang et al.  
98 (2020a) proposed a new method to estimate  $\kappa_{OA}$  based on aerosol optical hygroscopicity  
99 measurements and bulk aerosol chemical composition measurements, which yielded  $\kappa_{OA}$  estimates  
100 at hourly time resolution. It revealed that variations in  $\kappa_{OA}$  were highly correlated with mass fractions  
101 of oxygenated organic aerosol in OA. In this study, the same method was applied to the dataset acquired  
102 from field measurements at a background site of the PRD region. High time resolution characterization  
103 of  $\kappa_{OA}$  and aerosol chemical properties were also achieved, which enabled us to dig deeper on what  
104 factors other than O/C drove the variations of  $\kappa_{OA}$  and to further elucidate on the complexity and  
105 possible approaches in parameterizing  $\kappa_{OA}$  based on field measurements. We described details on  
106 aerosol measurements and the  $\kappa_{OA}$  estimation method in measurements and method part. In the  
107 results and discussion section, we first sketched out the overview of campaign measurements and then  
108 discussed the  $\kappa_{OA}$  variation characteristics as well as its influencing factors, and in the last part, the  
109 complexity regarding  $\kappa_{OA}$  parameterization was further demonstrated and elucidated. The summaries  
110 are provided in the conclusion part.

## 111 **2 Measurements**

### 112 **2.1 Sampling site**

113 Physical, optical and chemical properties of ambient aerosol particles as well as meteorological  
114 parameters and gas pollutants such as CO, O<sub>3</sub>, and NO<sub>x</sub> were continuously measured during autumn  
115 (from 30<sup>th</sup> September to 17<sup>th</sup> November 2018) at a rural site in Heshan county, Guangdong province,  
116 China. This site was located at a small mountain (22°42'N, 112°55'E, altitude of 55 m), about 55 km  
117 away from the megacity Guangzhou and was surrounded by villages and small residential towns and  
118 thus was little influenced by local industrial sources. The location of this site is shown in Fig.S1. This

119 site is also a supersite operated by the provincial environmental monitoring authority, therefore  
120 provides qualified meteorological parameters and pollutants measurements such as PM<sub>2.5</sub>, CO, O<sub>3</sub> and  
121 NO<sub>x</sub>. Acetonitrile was measured by a proton transfer reaction time-of-flight mass spectrometer (PTR-  
122 ToF, Ionicon Analytik GmbH, Innsbruck, Austria).

## 123 **2.2 Aerosol physical properties measurements**

124 During this field campaign, instruments were placed in an air-conditioned room. Two inlets were  
125 housed on the roof of the three-floors building for aerosol sampling and both inlets were about 1.8 m  
126 above the floor. One of the inlets was a PM<sub>10</sub> impactor with a 1.8 m-long Nafion drier that lowers the  
127 sample relative humidity (RH) down to less than 30% placed downstream of it. A flow splitter was  
128 placed below the drier and instruments downstream of this splitter included an Aerodynamic Particle  
129 Sizer (APS, TSI Inc., Model 3321, flow rate of 5 L/min), which measured the size distribution of  
130 ambient aerosol particles of aerodynamic diameter about 600 nm to 20 μm; an AE33 aethalometer  
131 (Drinovec et al., 2015) with a flow rate of 5 L/min, which measured aerosol absorption coefficients at  
132 seven wavelengths; a humidified nephelometer system with a flow rate of about 6 L/min. The total  
133 flow rate of these instruments was about 16 L/min, which was quite close to the flow rate of 16.7 L/min  
134 required by the PM<sub>10</sub> impactor. Thus, these instruments measured the physical and optical properties  
135 of PM<sub>10</sub> particles.

136 The humidified nephelometer system was a laboratory self-assembled one, including two Aurora  
137 3000 nephelometers. One nephelometer measured the aerosol scattering properties (scattering and  
138 back scattering coefficients at three wavelengths: 450 nm, 525 nm, 635 nm) at a reference RH (called  
139 dry Nephelometer because the sampling RH is lower than 30%), and another nephelometer measured  
140 the aerosol scattering properties under a fixed RH of 80% (called wet Nephelometer and the actual  
141 sampling RH fluctuates within ±1%). Details on the humidifier and control algorithm could be found  
142 in Kuang et al. (2020a). To make sure the accuracy of the measured RH in the sensing volume of the  
143 wet Nephelometer, three Vaisala HMP110 sensors ( $\pm 0.2$  °C and  $\pm 1.7$  % for RH between 0 to 90%)  
144 were used to monitor the RH at different parts of the wet nephelometer. Two sensors were placed at  
145 the inlet and outlet of the wet nephelometer, one was placed in the sensing volume. The water vapor  
146 pressure calculated from these three sensors must be strictly consistent with each other (relative

147 difference between any two of the sensors must be less than 2 %). Then the sampling RH of the wet  
148 nephelometer was calculated using the averaged water vapor pressure and the sample temperature  
149 measured by the sensor placed in the sensing volume of the wet nephelometer.

150 Another inlet was connected with a PM<sub>2.5</sub> impactor (BGI SCC2.354, cut diameter of 2.5 μm with  
151 a flow rate of 8 L/min) and was also equipped with a Nafion drier lowering the sampling RH down to  
152 less than 30%. Downstream of this inlet were a soot particle aerosol mass spectrometer (SP-AMS,  
153 Aerodyne Research, Inc., Billerica, MA, USA) and a scanning mobility particle sizer (SMPS; TSI  
154 model 3080), which measured the particle number size distribution (PNSD) ranging from 10 nm to  
155 760 nm.

### 156 **2.3 SP-AMS measurements and data analysis**

157 The SP-AMS was deployed to measure the size-resolved chemical composition for submicron  
158 aerosol particles. The SP -AMS is a high-resolution time-of-flight aerosol mass spectrometer (HR-  
159 ToF-AMS) combining a laser vaporization device, i.e., soot particle (SP) mode. The instrument  
160 principle has been provided in previous papers (Canagaratna et al., 2007; Onasch et al., 2012). In brief,  
161 HR-ToF-AMS containing a tungsten vaporizer can provide information of those particulate species  
162 vaporized under around 600C°. By adding a Nd:YAG (1064nm) laser module inside of the HR-ToF-  
163 AMS, the vaporizing temperature can increase to around 4000°C, enabling the SP-AMS to detect  
164 refractory compositions such as black carbon (BC) and metals. After vaporized, the gaseous  
165 components were ionized in electron impact (70eV) way and then quantitatively measured by a time-  
166 of-flight mass spectrometer. The air flow in the AMS was first controlled by the orifice and then  
167 focused through the aerodynamic lens of SP-AMS, and then particles with diameter in sub-micrometer  
168 range were detected. During the Heshan Campaign, SP-AMS was located next to a SMPS to minimize  
169 the sampling discrepancy. The SP-AMS alternately switched between the V-mode (only tungsten  
170 vaporizer) and SP-mode (laser and tungsten vaporizer). The original time resolution of SP-AMS data  
171 was 1 min (per run), and 15 min average values were used in this study to avoid disturbance from  
172 mode switching. During the campaign, the calibration system for SP-AMS was not available and we  
173 used the values of ionization efficiency (IE) and relative ionization efficiency (RIE) of different species  
174 from the latest successful calibration. The applied RIEs for default SP-AMS species are: 1.1 for nitrate,

175 4 for ammonium, 1.2 for sulfate, 1.4 for organics and 1.3 for chloride. The composition dependent  
176 collection efficiency (CDCE) was applied to mentioned species following the instruction of  
177 Middlebrook et al.(2012). Refractory BC from SP-AMS was calculated by mass concentration of  
178 family  $C_x$  ions from high resolution mass spectrometer times a scaling factor (8) derived by comparison  
179 with equivalent BC mass concentration from AE33. SP-AMS data evaluation was performed by  
180 specific software Squirrel (v1.61) for unit mass resolution and Pika (v1.21) for high resolution based  
181 on Igor Pro (v6.37, WaveMetrics, Inc., Oregon, USA). Aside from the good consistency between the  
182 aerosol from derived from SMPS and SP-AMS components as mentioned in Sect.3.2 (Fig.S5), the  
183 resulting mass concentrations from SP-AMS were further validated by consistency with the results  
184 from external measurements in the same site, e.g., offline  $PM_{2.5}$  filter measurements and online  
185 measurements for total  $PM_{2.5}$  mass and individual components using gas aerosol collection system  
186 (GAC) with ion chromatography operated (Figure S7, S8, and S9). More details of SP-AMS data  
187 quality assurance will be provided in a parallel paper (Huang et al., in preparation).

188 The source apportionment of organic aerosols (OA) was performed by positive matrix  
189 factorization (PMF) based on high resolution OA data collected in V-mode (only tungsten vaporizer).  
190 The principle of PMF has been described in previous papers (Paatero, 1997;Paatero and Tapper, 1994).  
191 In this study, PMF using high resolution AMS data including two matrices (organic ion mass  
192 concentrations and their uncertainties) were conducted by an Igor Pro-based panel, i.e., PMF  
193 Evaluation Tool (PET, v2.06, Ulbrich et al., 2009), following the instruction in Ulbrich et al. (2009).  
194 Isotopes and ions with  $m/z > 120$  were excluded to minimize the interference from repeatedly  
195 calculated uncertainties and noise signals. In total, 454 ions were considered in PMF. After  
196 investigating different solutions with factor number from 2 to 10, a six-factor solution was chosen  
197 based on the best performance shown by PMF quality parameters and most reasonable source  
198 identification. Two primary OA factors were identified including a hydrocarbon-like OA (HOA,  
199 containing cooking emissions) and a biomass burning OA (BBOA). The other four factors were related  
200 to secondary formation or ageing process: 1) more oxygenated OA (MOOA, regional transport), 2)  
201 less oxygenated OA (LOOA, related to daytime photochemical formation), 3) nighttime-formed OA  
202 (Night-OA), and 4) aged BBOA (aBBOA). The mass spectral profile and time series of OA factors  
203 were shown in Fig.S6, and OA factors with identified sources will be discussed in Set. 4. More details  
204 on PMF solution selection and source identification will be provided in a parallel paper (Huang et al.,

205 in preparation).

206

## 207 **3 Methodology**

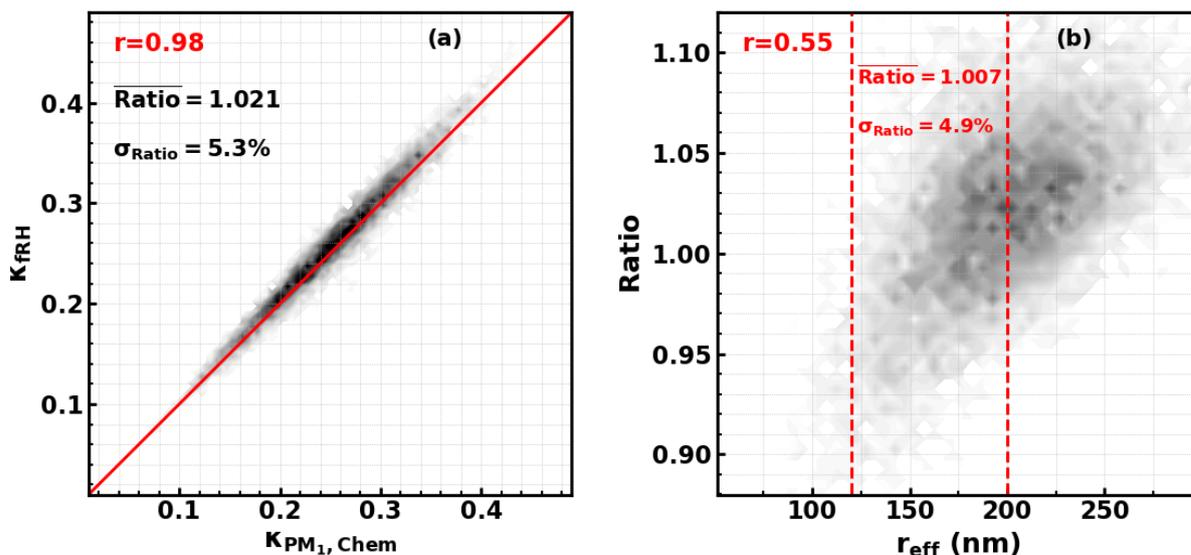
### 208 **3.1 Aerosol hygroscopicity derivation from aerosol light scattering measurements**

209 The aerosol light scattering enhancement factor  $f(RH, \lambda) = \frac{\sigma_{sp}(RH, \lambda)}{\sigma_{sp}(dry, \lambda)}$ ,  $\sigma_{sp}(RH, \lambda)$  is the  
210 aerosol scattering coefficient at light wavelength of  $\lambda$  and condition of RH, and was only measured  
211 at 80% RH. Thus, the aerosol hygroscopicity parameter  $\kappa_{f(RH)}$  was derived from  $f(80\%, 525 \text{ nm})$   
212 and  $\kappa_{f(RH)}$  represents a diameter independent hygroscopicity parameter  $\kappa$  that fits the observed  
213  $f(80\%, 525 \text{ nm})$  best and solved through iteration algorithm. Although Kuang et al. (2017) proposed  
214 a simple method for deriving  $\kappa_{f(RH)}$  based only on measurements of the humidified nephelometer  
215 system, in this study, the more traditional method described therein was adopted to retrieve  $\kappa_{f(RH)}$ ,  
216 which uses measurements of PNSD as inputs of Mie theory and the  $\kappa$ -Köhler theory. The idea of  
217 deriving  $\kappa_{f(RH)}$  from aerosol light scattering measurements was first proposed by Chen et al. (2014),  
218 however, the physical understanding of  $\kappa_{f(RH)}$  was not mathematically interpreted until the study of  
219 Kuang et al. (2020a). Briefly,  $\kappa_{f(RH)}$  can be approximately understood as the overall hygroscopicity  
220 of aerosol particles with aerosol scattering coefficient contribution as the weighting function for size-  
221 resolved  $\kappa$  distribution. Results of Kuang et al. (2020a) demonstrated that for typical continental  
222 aerosols  $\kappa_{f(RH)}$  represents the overall hygroscopicity of aerosol particles with a dry diameter range  
223 between 200 and 800 nm, thus no matter if  $\kappa_{f(RH)}$  values were retrieved based on aerosol light  
224 scattering enhancement factor measurements downstream of a PM<sub>10</sub> or a PM<sub>1</sub> impactor, they are almost  
225 the same, which was confirmed by direct measurements in Kuang et al. (2020a) (observed average  
226 relative difference about 3.5% ).

### 227 **3.2 Organic aerosol hygroscopicity derivation based on aerosol chemical composition and optical** 228 **hygroscopicity measurements**

229 The aerosol hygroscopicity parameter  $\kappa$  can be calculated from aerosol chemical composition  
230 measurements ( $\kappa_{chem}$ ) on the basis of volume mixing rule, thus the organic aerosol hygroscopicity

231 parameter  $\kappa_{OA}$  were usually estimated through closure between measured  $\kappa$  and estimated  $\kappa$  using  
 232 aerosol chemical measurements. In this study, the size-resolved aerosol chemical compositions of  $PM_{10}$   
 233 were measured using the SP-AMS, however, the overall aerosol hygroscopicity was only derived based  
 234 on aerosol light scattering measurements of  $PM_{10}$  bulk aerosols. Results of Kuang et al. (2020a)  
 235 demonstrated that  $\kappa_{chem}$  calculated based on bulk chemical compositions of  $PM_{10}$  are quite consistent  
 236 with  $\kappa_{f(RH)}$  of  $PM_{10}$  ( $\kappa_{chem,PM_{10}}$ ) therefore also consistent with  $\kappa_{f(RH)}$  of  $PM_{10}$  ( $\kappa_{f(RH),PM_{10}}$ ).



**Figure 1.** Simulated  $\kappa_{chem,PM_{10}}$  and  $\kappa_{f(RH),PM_{10}}$ , red texts give correlation coefficients,  $\text{Ratio}=\kappa_{f(RH),PM_{10}}/\kappa_{chem,PM_{10}}$ ,  $r_{\text{eff}}$  is the effective radius of the aerosol populations, dashed red lines show the  $r_{\text{eff}}$  range during the field campaign of this study.

237

238 However, simulation results in Kuang et al. (2020a) demonstrated that the ratio between  
 239  $\kappa_{chem,PM_{10}}$  and  $\kappa_{f(RH),PM_{10}}$  varies with PNSD and size-resolved  $\kappa$  distributions, and the applicability  
 240 of this conclusion under varying aerosol chemical compositions and size distributions need further  
 241 clarification. Thus, we have designed a simulation experiments, to simulate the ratio between  
 242  $\kappa_{chem,PM_{10}}$  and  $\kappa_{f(RH),PM_{10}}$  considered wide ranges of aerosol chemical compositions and size  
 243 distributions, details of the simulation are introduced in Part 2 of the supplement. The simulated results  
 244 are shown in Fig.1. The results shows that the average relative difference ( $\frac{\kappa_{f(RH),PM_{10}}-\kappa_{chem,PM_{10}}}{\kappa_{chem,PM_{10}}} \times$   
 245 100%) was  $2.1 \pm 5.3\%$ , which demonstrates that in general  $\kappa_{f(RH),PM_{10}}$  can be used to represent

246  $\kappa_{chem,PM_1}$  under varying atmospheric conditions. The results also show that the  
 247 ratio= $\kappa_{f(RH),PM_{10}}/\kappa_{chem,PM_1}$  is positively correlated with the effective radius of the aerosol population,  
 248 which means that different levels of bias may exist under different PNSD conditions, and for effective  
 249 radius range of this field campaign, the average relative difference is  $0.7\pm 4.9\%$ . Given this, we have  
 250 further simulated the  $\kappa_{f(RH)}$  of  $PM_{10}$  and  $\kappa_{chem}$  of  $PM_1$  under different PNSDs of this campaign  
 251 coupled with different size-resolved  $\kappa$  distribution scenarios (as shown in Fig.S4a). As shown in the  
 252 results in Fig.S4b,  $\kappa_{chem,PM_1}$  and  $\kappa_{f(RH),PM_{10}}$  are quite close to each other and the simulated average  
 253 relative difference was  $-0.4\pm 3\%$ . Thus,  $\kappa_{f(RH),PM_{10}}$  was used as the measured  $\kappa_{chem,PM_1}$  in the  
 254 following discussions.

255 The SP-AMS measures size-resolved  $PM_1$  mass concentrations of  $SO_4^{2-}$ ,  $NO_3^-$ ,  $NH_4^+$ ,  $Cl^-$  and  
 256 organic aerosol, thus provides their bulk mass concentrations. A simplified ion pairing scheme was  
 257 used to derive mass concentrations of different inorganic salts (as listed in Tab.1) based on measured  
 258 bulk ion mass concentrations (Gysel et al., 2007;Wu et al., 2016). Note that the hygroscopicity  
 259 parameter was measured at RH of 80%, the  $\kappa$  values of ammonium sulfate and ammonium nitrate at  
 260 80% RH were predicted using the Extended Aerosol Inorganic Model (E-AIM), whose predictions for  
 261 ammonium nitrate and ammonium sulfate has been proven to be consistent with laboratory results  
 262 (Luo et al., 2020;Jing et al., 2018), and those of potassium chloride and ammonium bisulfate were  
 263 consistent with Liu et al. (2014)

264 **Table 1.** Densities ( $\rho$ ) and hygroscopicity parameters ( $\kappa$ ) of inorganic salts used in this study

Species	$NH_4NO_3$	$NH_4HSO_4$	$(NH_4)_2SO_4$	$KCl$
	(AN)	(ABS)	(AS)	(PC)
$\rho$ ( $g\ cm^{-3}$ )	1.72	1.78	1.769	1.98
$\kappa$	0.56	0.56	0.56	0.89

265 Note that  $Cl^-$  was coupled with  $K^+$  due to that biomass burning events prevailed during this field  
 266 campaign. The simple volume mixing rule called Zdanovskii–Stokes–Robinson (ZSR) was usually  
 267 used for  $\kappa_{chem}$  calculations, that is, bulk  $\kappa_{chem}$  of  $PM_1$  can be calculated on the basis of volume  
 268 fractions of different compounds (Petters and Kreidenweis, 2007) using the following equation:

269 
$$\kappa_{chem} = \sum_i \kappa_i \cdot \varepsilon_i \quad (1)$$

270 Where  $\kappa_i$  is hygroscopicity parameter  $\kappa$  of compound  $i$ , and  $\varepsilon_i$  is volume fraction of compound  $i$   
 271 in the mixture ( $V_i/V_{tot}$ ,  $V_i$  and  $V_{tot}$  are volume of compound  $i$  and total aerosol volume of  $PM_{10}$  ).  
 272 Based on Eq.2 and Tab.1,  $\kappa_{chem}$  can be formulated as follows:

$$273 \quad \kappa_{chem} = \kappa_{AS}\varepsilon_{AS} + \kappa_{AN}\varepsilon_{AN} + \kappa_{ABS}\varepsilon_{ABS} + \kappa_{PC}\varepsilon_{PC} + \kappa_{BC}\varepsilon_{BC} + \kappa_{OA}\varepsilon_{OA} + \kappa_X\varepsilon_X \quad (2)$$

274 where  $\kappa_{OA}$  and  $\varepsilon_{OA}$  are  $\kappa$  and volume fraction of entire organic aerosol populations,  $\kappa_X$  and  $\varepsilon_X$  are  
 275  $\kappa$  and volume fraction of aerosol constituents which are beyond the detection ability of the SP-AMS.  
 276 These unidentified aerosol species, in continental regions, likely be dust but still possible composed  
 277 of other components such as biogenic primary aerosol. On the basis of current literature reports, dust  
 278 is nearly hydrophobic and varies a lot, with  $\kappa$  of mineral dust and road dust as well as oil or coal fly  
 279 ash are in the range of 0.01 to 0.08 (Koehler et al., 2009; Peng et al., 2020). In this paper, the  
 280 unidentified part is assumed as dust and  $\kappa_X$  is arbitrarily specified as 0.05. The  $\varepsilon_X$  are estimated as  
 281 the  $PM_{10}$  volume concentration ( $V_{tot,PM_{10}}$ ) difference between measured by the SMPS and calculated  
 282 from volume concentration summation of chemical compounds listed in Tab.1 and volume  
 283 concentrations of BC and organic aerosol, and the estimated average contribution  $\varepsilon_X$  during this  
 284 campaign is 13% as shown in Fig.S6. In the volume concentration calculations of BC and organic  
 285 aerosol, BC density of  $1.7 \text{ g/cm}^3$  was assumed, and organic aerosol density is calculated based on the  
 286 density parameterization scheme proposed by Kuwata et al. (2012) using the organic aerosol elemental  
 287 ratios O:C and H:C measured by the SP-AMS as input parameters. In addition,  $\kappa_{BC}$  was set to zero  
 288 due to the hydrophobic property of BC particles. Then,  $\kappa_{OA}$  can be estimated based on measured  
 289  $\kappa_{chem}$  using the following formula:

$$290 \quad \kappa_{OA} = \frac{\kappa_{chem} - (\kappa_{AS}\varepsilon_{AS} + \kappa_{AN}\varepsilon_{AN} + \kappa_{ABS}\varepsilon_{ABS} + \kappa_{PC}\varepsilon_{PC} + \kappa_X\varepsilon_X)}{\varepsilon_{OA}} \quad (3)$$

291 The effects of  $\kappa_{chem}$  perturbations, aerosol mass concentrations,  $V_{tot,PM_{10}}$  as well as  $\kappa_X$   
 292 perturbations on  $\kappa_{OA}$  derivations are simulated using Monte-Carlo method for each data point of the  
 293  $\kappa_{OA}$  time series (1000 cases are randomly produced for each  $\kappa_{OA}$  data point) and average effects are  
 294 summarized in Table 2. The perturbation parameter of  $\kappa_{chem}$  is based on the simulation results using  
 295 PNSDs of this field campaign shown in Fig.S4. The perturbation parameters of aerosol mass  
 296 concentrations are consistent with Hong et al. (2018), and that of  $V_{tot,PM_{10}}$  is from Ma et al. (2011).  
 297 The perturbation parameter of  $\kappa_X$  is specified based on that  $\kappa$  of dust in general ranges from 0.01 to

298 0.08. The results show that the accuracy of using  $\kappa_{f(RH),PM_{10}}$  to represent  $\kappa_{chem,PM_1}$  affects most on  
 299  $\kappa_{OA}$  derivations.

300 **Table 2.** Effects of parameter perturbations on  $\kappa_{OA}$  derivations using Eq.3

Parameter	Uncertainty (3 standard deviations)	$\kappa_{OA}$ variations (1 standard deviation)
SO <sub>4</sub> mass concentration	20%	0.01
NO <sub>3</sub> mass concentration	20%	0.006
NH <sub>4</sub> mass concentration	20%	0.002
OA mass concentration	20%	0.003
$\kappa_{chem}$	9%	0.014
$V_{tot,PM1}$	25%	0.003
$\kappa_X$	0.03	0.003

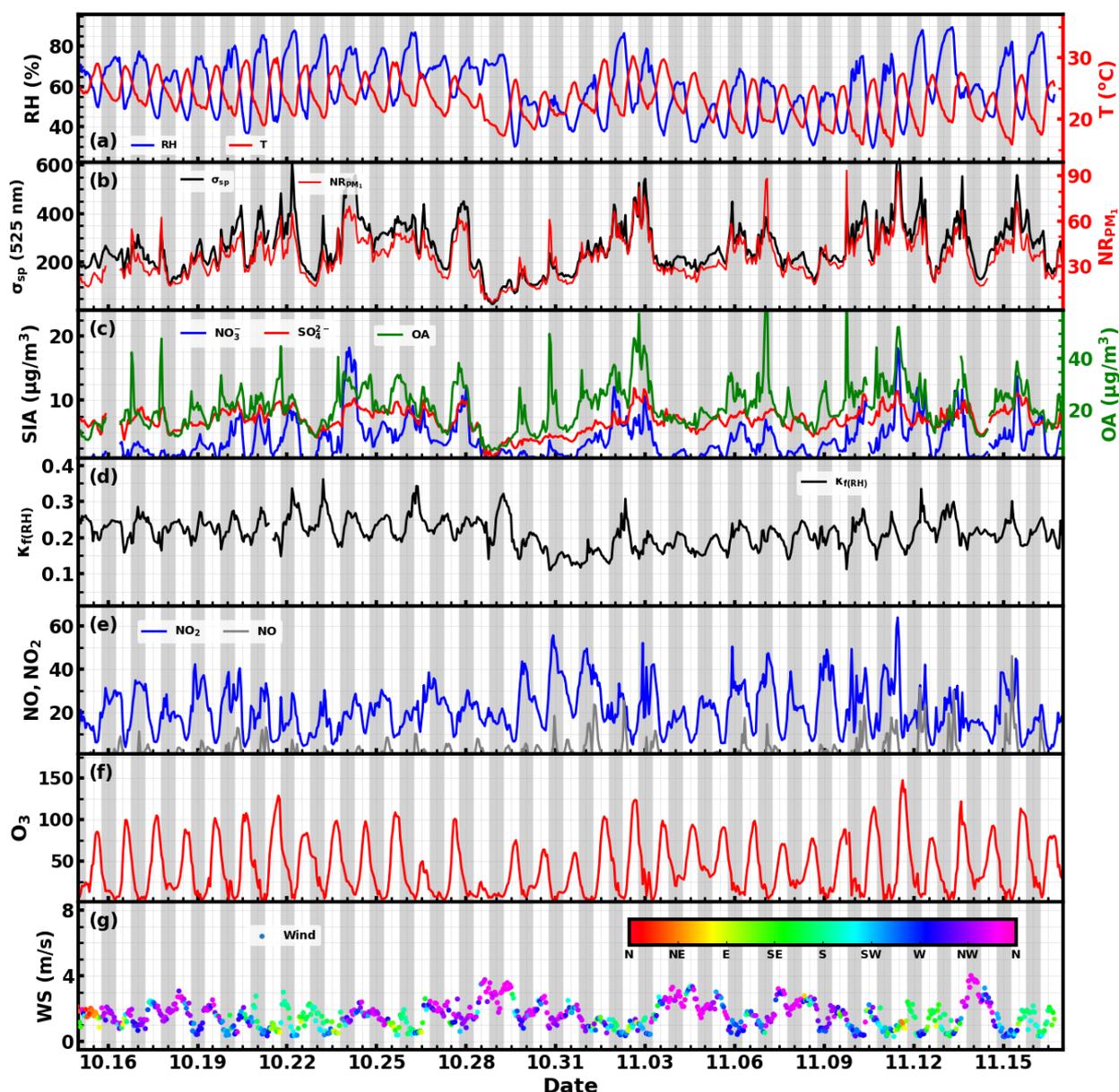
301

## 302 **4 Results and discussions**

### 303 **4.1 Overview of the campaign data**

304 The time series of meteorological parameters such as wind speed, wind direction, RH and ambient  
 305 air temperature, aerosol scattering coefficients, aerosol hygroscopicity parameter  $\kappa_{f(RH)}$ , mass  
 306 concentrations of aerosol components as well as gas pollutant concentrations are shown in Fig.2.  
 307 During this campaign, the RH mainly ranged from 50% to 80% with an average ( $\pm 1\sigma$ ) of  $60\pm 14\%$ ,  
 308 with the nighttime RH frequently reached beyond 70%, which favors the nighttime aqueous phase  
 309 chemistry. Temperatures mainly ranged from 18 to 28 °C, with an average ( $\pm 1\sigma$ ) of  $23.6\pm 3.3$  °C,  
 310 indicating a relatively warm state during this campaign though in the autumn. The aerosol scattering  
 311 coefficients at 525 nm ( $\sigma_{sp,525}$ ) shown in Fig.2b demonstrate  $\sigma_{sp,525}$  generally ranged between 20 to  
 312  $600 \text{ Mm}^{-1}$ , with an average ( $\pm 1\sigma$ ) of  $256\pm 102 \text{ Mm}^{-1}$ , indicating moderately polluted conditions during  
 313 this campaign. The non-refractory mass concentrations of PM<sub>1</sub> (NR-PM<sub>1</sub>) measured by the SP-AMS

314 ranged from 1 to 94  $\mu\text{g}/\text{m}^3$ , with an average ( $\pm 1\sigma$ ) of  $33\pm 14 \mu\text{g}/\text{m}^3$ . Nitrate, sulfate, ammonium and  
 315 organic aerosol contributed on average 19%, 11%, 9% and 58% to total NR-PM<sub>1</sub>, which was consistent



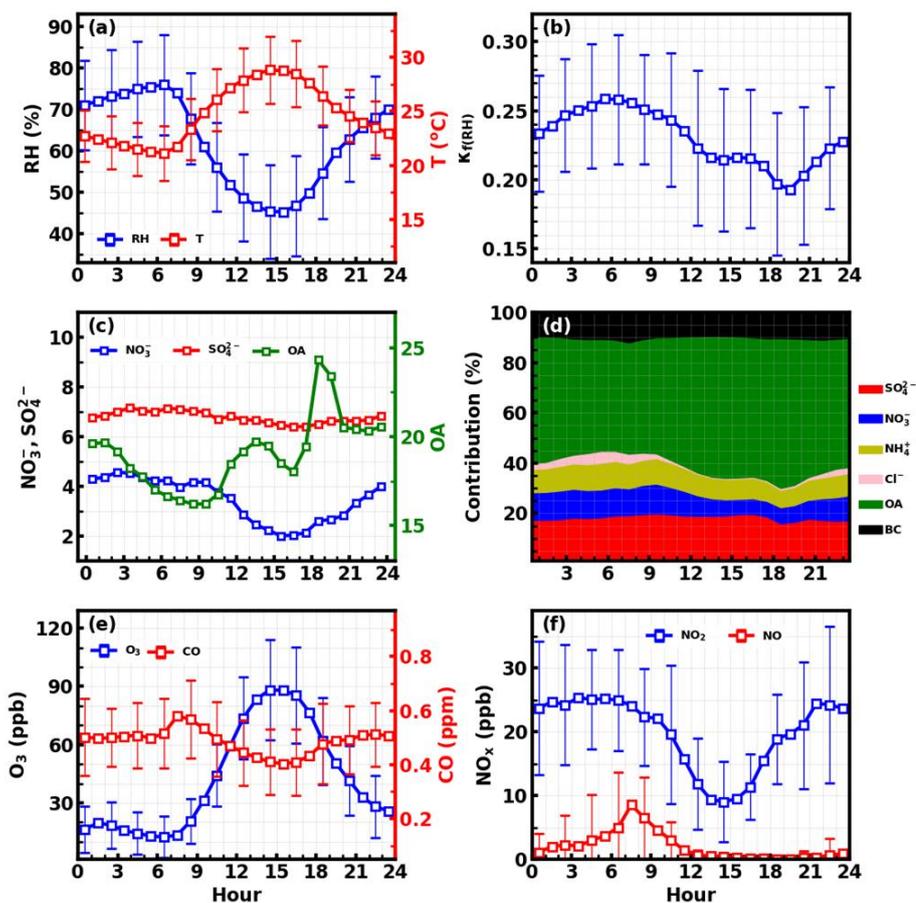
**Figure 2.** Time series of (a) RH and temperature; (b) aerosol scattering coefficient at 525 nm and mass concentrations of PM<sub>1</sub> non-refractory components; (c) mass concentrations of sulfate, nitrate and organic aerosol; (d) The hygroscopicity parameter  $\kappa$  retrieved from aerosol light scattering enhancement measurements; (e) NO and NO<sub>2</sub> concentrations; (f) O<sub>3</sub> concentration; (g) wind speed/direction. Shaded gray areas corresponding to nighttime periods.

316 with the aerosol chemical compositions typically observed in the PRD region featuring organic aerosol  
 317 as the major constituent of NR-PM<sub>1</sub> and higher sulfate concentration than nitrate concentration (Zhou  
 318 et al., 2020b). However, the NR-PM<sub>1</sub> composition profile differed much from those recently observed

319 in urban Guangzhou (Guo et al., 2020), a megacity about 100 km away from Heshan, where sulfate  
320 concentrations were on average only slightly higher than nitrate concentrations during autumn and  
321 winter seasons of 2017. The large mass contribution of organic aerosol in PM<sub>1</sub> resulted in generally  
322 moderate ambient aerosol hygroscopicity, with  $\kappa_{f(RH)}$  ranging between 0.11 and 0.36 with an average  
323 ( $\pm 1\sigma$ ) of  $0.22\pm 0.04$ . The small standard deviation further suggests for relatively small variations in  
324 aerosol hygroscopicity. Sulfate concentrations showed much less daily and diurnal variations than  
325 those of nitrate and organic aerosol, suggesting that the sulfate level was determined by the regional  
326 scale background, while nitrate and organic aerosol concentration were significantly influenced by  
327 local production. Especially, the nitrate concentration usually experienced a sharp increase since sunset  
328 and peaks after mid night, sometimes even reached beyond sulfate mass concentration. The time series  
329 of NO<sub>2</sub>, NO and O<sub>3</sub> concentration are also shown in Fig.2e and Fig.2f. NO<sub>2</sub> concentration showed  
330 distinct diurnal variations, and ranged from 3.5 to 64 ppb with an average ( $\pm 1\sigma$ ) of  $20.5\pm 10.5$  ppb.  
331 The NO concentration ranged from almost 0 to 45 ppb with an average ( $\pm 1\sigma$ ) of  $2.2\pm 4.5$  ppb,  
332 indicating generally low concentrations of NO. O<sub>3</sub> concentrations ranged from 2 to 147 ppb with an  
333 average ( $\pm 1\sigma$ ) of  $41.5\pm 31.4$  ppb, frequently reaching over 90 ppb in the afternoon, indicating for  
334 strong daytime photochemistry, and dropped rapidly after sunset towards a very low concentration  
335 (usually below 5 ppb) after midnight.

336 The average diurnal variations of NO<sub>2</sub>, NO, O<sub>3</sub>, CO, aerosol chemical compositions,  $\kappa_{f(RH)}$  and  
337 meteorological parameters are shown in Fig.3. O<sub>3</sub> concentrations began to increase after sunrise,  
338 peaked near 15:00 and then began to decrease quickly but drops slower after midnight. Meanwhile,  
339 NO concentration began to decrease quickly after sunrise, reached and remained near zero after  
340 noontime, and began to slightly increase after 21:00. NO<sub>2</sub> concentration increased quickly after 15:00  
341 and reached a plateau after 21:00. Variation characteristics of NO, O<sub>3</sub>, and NO<sub>2</sub> suggest that the  
342 relatively low NO concentration resulted in weak titration effects on O<sub>3</sub>, where upon typical NO<sub>3</sub>  
343 chemistry and subsequent N<sub>2</sub>O<sub>5</sub> chemistry might occur, which might contribute to the observed nitrate  
344 increase after sunset. However, nitrate concentrations increased quickly after about 16:00 LT and  
345 peaked after midnight (about 03:00 LT), indicating that there must be a mechanism responsible for the

346 observed nitrate increase at least before sunset. To dig more into this, the possible pathways of nitrate



**Figure 3.** Average diurnal variations of (a) RH and T; (b)  $\kappa_{f(RH)}$ ; (c) sulfate, nitrate and organic aerosol; (d) mass fractions of different components; (e)  $\text{O}_3$  and CO; (f)  $\text{NO}_2$  and NO.

347 formation since 16:00 was simulated and discussed in Sect.3 of the supplement. The results  
 348 demonstrate that the repartitioning of  $\text{HNO}_3$  in gas and aerosol phase due to the temperature decrease  
 349 and RH increase can mainly explain the observed nitrate increase. And the strong daytime  
 350 photochemistry and decrease of  $\text{NO}_2$  concentration might result in significant production of gas phase  
 351 before about 16:00. However, the possible contribution of  $\text{N}_2\text{O}_5$  hydrolysis to nitrate formation cannot  
 352 be excluded.

353 Under the strong daytime photochemistry and nighttime increase of nitrate, evident diurnal  
 354 variations of aerosol hygroscopicity was observed. The overall aerosol hygroscopicity variation was  
 355 generally consistent with the variation pattern of inorganic aerosol fraction in NR- $\text{PM}_{10}$  as shown in  
 356 Fig.3d. In detail, the overall variations of nitrate and associated ammonium, as well as organic aerosol

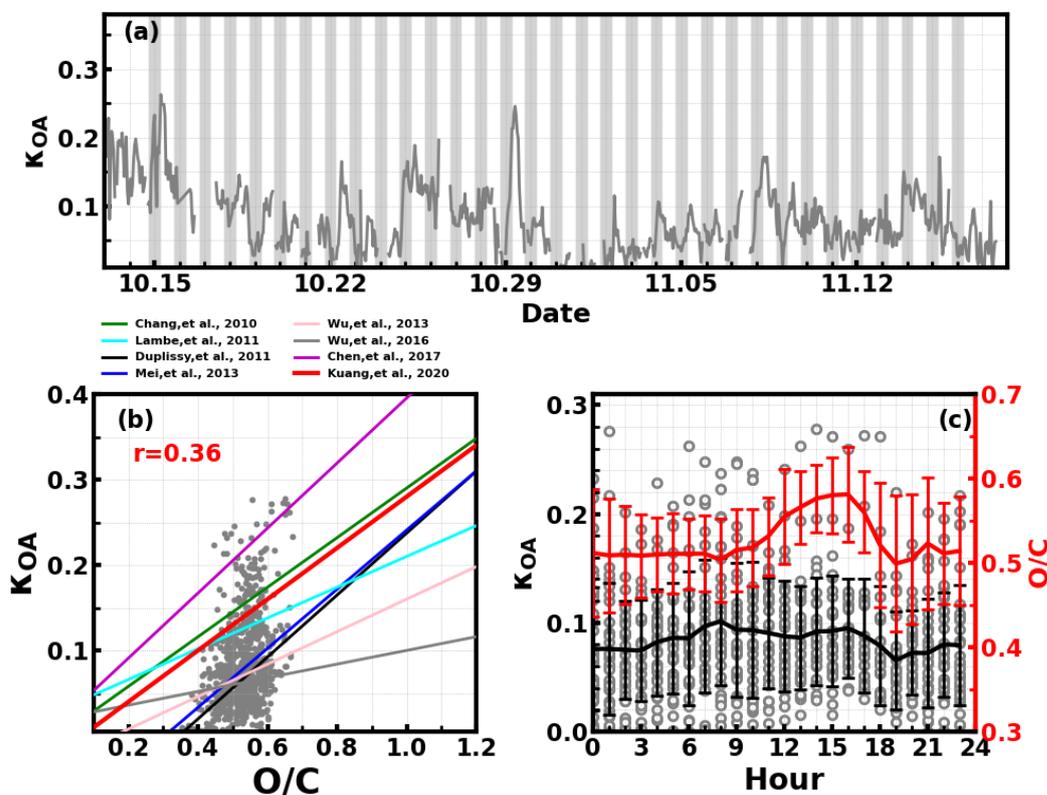
357 determines the general hygroscopicity variation pattern: the quick increase in organic aerosol between  
358 16:00 to 19:00 resulted in the quick  $\kappa_{f(\text{RH})}$  decrease during this period; since then the general  
359 decrease of organic aerosol and increase of nitrate resulted in the increase of  $\kappa_{f(\text{RH})}$  until the next  
360 morning; the daytime decrease of nitrate and increase of organic aerosol resulted in a  $\kappa_{f(\text{RH})}$  decrease  
361 before 13:00. Note that sulfate concentration remaining almost constant throughout the day further  
362 confirmed previous statement that local production likely contributed less to sulfate concentration,  
363 which can be an indicator of regional air mass status.

364 These results suggest that typical strong daytime photochemistry and nighttime  $\text{NO}_3$  chemistry  
365 characteristics occurred during this field campaign and played significant roles in diurnal variations of  
366 organic aerosol and nitrate, while aged regional air mass determined the sulfate concentration, which  
367 provides a good opportunity for investigating how typical daytime photochemistry and nighttime  
368 chemistry and aged regional organic aerosol components impact on organic aerosol hygroscopicity.

369

370 **4.2  $\kappa_{OA}$  derivations and its relationship with organic aerosol oxidation state**

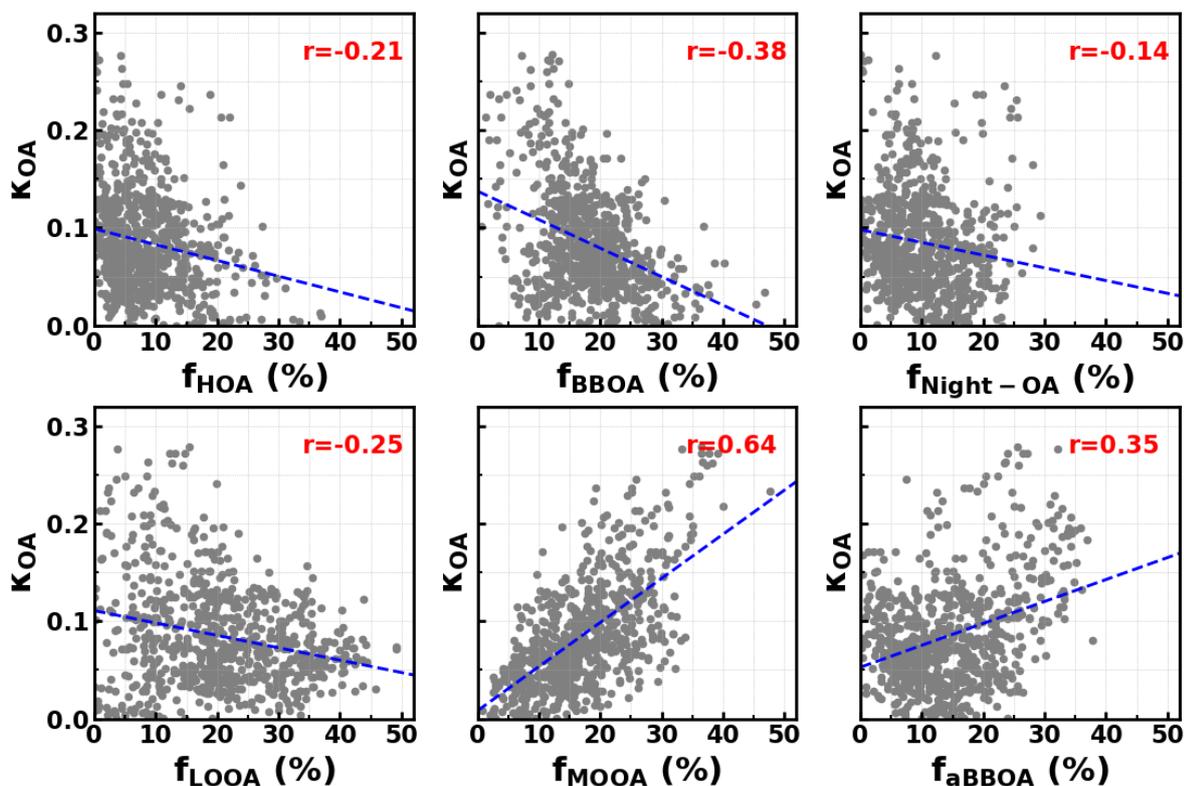
371 The organic aerosol hygroscopicity parameter  $\kappa_{OA}$  was derived according to the method  
 372 mentioned in Sect.3.2, and the results with hourly time resolution are shown in Fig.4a.  $\kappa_{OA}$  revealed  
 373 daily and diurnal variations, and ranged from almost zero to 0.28 with an average ( $\pm 1\sigma$ ) of  $0.085 \pm 0.05$ .  
 374 The relationship between  $\kappa_{OA}$  and O/C was further investigated and shown in Fig.4b. Results  
 375 demonstrated that  $\kappa_{OA}$  and O/C were weakly correlated during this campaign, with most data points  
 376 falling in the published  $\kappa_{OA}$  and O/C relationship band. During this campaign, O/C generally resided  
 377 in a small range (from about 0.4 to 0.6) with an average ( $\pm 1\sigma$ ) of  $0.53 \pm 0.06$ , indicating small  
 378 variations in O/C, however, featuring drastic variations in  $\kappa_{OA}$ . The average diurnal variations of O/C  
 379 and  $\kappa_{OA}$  are shown in Fig.4c. On average,  $\kappa_{OA}$  increased slowly during the nighttime and varied  
 380 even smaller during most of the daytime. Nevertheless, it experienced a relatively quicker decrease  
 381 from 17:00 to 19:00, which appeared to be coincident with the quick OA concentration increase as  
 382 shown in Fig.3. However, the O/C increased during the period when  $O_3$  concentration increased



**Figure 4.** (a) Time series of derived  $\kappa_{OA}$ ; (b) Correlations between O/C ratio and  $\kappa_{OA}$ , lines correspond to empirical relationships between  $\kappa_{OA}$  and O/C ratio reported in different studies; (c) Diurnal variations of  $\kappa_{OA}$  and O/C ratio;

383 quickly, suggesting that daytime photochemistry drove the OA oxidation during daytime. The key  
 384 point here is that the diurnal patterns of O/C and  $\kappa_{OA}$  differed much from each other, which is why  
 385 the variation in O/C failed to describe that of  $\kappa_{OA}$ .

386

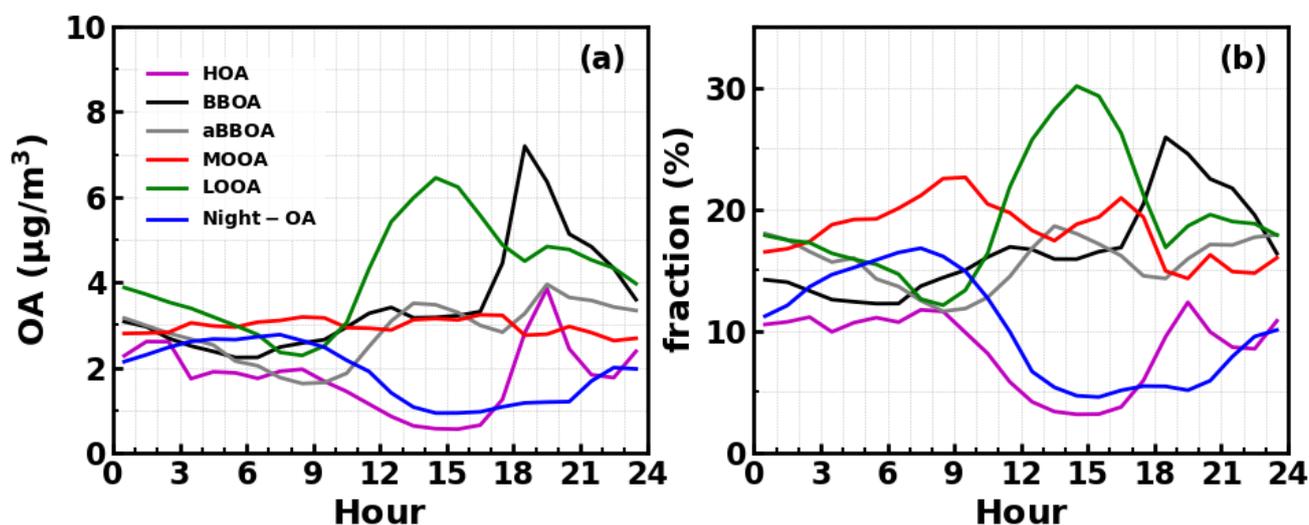


**Figure 5.** Correlations between  $\kappa_{OA}$  and mass fractions of OA factors in total OA mass.

387

388 The question remains which factors were controlling the variations of  $\kappa_{OA}$ . The relationships  
 389 between  $\kappa_{OA}$  and mass fractions of different PMF OA factors in total OA mass were further  
 390 investigated and shown in Fig.5. In general, the average ( $\pm 1\sigma$ ) mass fractions of HOA, BBOA, aBBOA,  
 391 LOOA, Night-OA, and MOOA were: 8.7% ( $\pm 7.8\%$ ), 16.5% ( $\pm 8.3\%$ ), 15.9% ( $\pm 10.5\%$ ), 19.1% ( $\pm$   
 392 10.9%), 10.4% ( $\pm 6.5\%$ ), 18.6% ( $\pm 12.2\%$ ), and it means that during this campaign SOA dominates  
 393 organic aerosol (SOA > 70%). Two primary OA factors, HOA and BBOA were related to vehicle  
 394 exhausts mixed with cooking emissions and to biomass burning emissions, respectively.  $\kappa_{OA}$  was  
 395 negatively correlated with both HOA and BBOA, which is consistent with previous literature reports  
 396 that primary OA components such as HOA and BBOA are generally hydrophobic (Kuang et al., 2020b).  
 397 The average diurnal variations of OA PMF factors shown in Fig.6 demonstrate that both BBOA and

398 HOA peaked near 18:00, which should be associated with the frequently observed biomass burning  
 399 events and supper cooking in villages near the site. This explained the sharp increase of OA mass and  
 400 the sharp decrease of  $\kappa_{OA}$  near 18:00 as shown in Fig.6c. However,  $\kappa_{OA}$  was also negatively  
 401 correlated with LOOA (Fig.5d), whose mass concentration increase rapidly after sunrise and are likely  
 402 secondary due to local photochemistry with potential precursors of both biogenic and anthropogenic  
 403 VOCs considering the observation site is surrounded by small towns and areas with high percentage  
 404 cover of trees (Fig.S1). The negative correlation between  $\kappa_{OA}$  and LOOA is contradictory with the  
 405 generally thought that secondary aerosol formation would result in increases of aerosol hygroscopicity.  
 406 The negative correlation between  $\kappa_{OA}$  and LOOA mass fraction explained why O/C failed to describe  
 407 diurnal variations of  $\kappa_{OA}$ : the O/C ratio for LOOA is 0.72, which is only lower than that of MOOA,



**Figure 6.** Average diurnal variations of mass concentrations (a) and their mass fractions (b) in total OA mass of different PMF OA factors.

408 suggesting that the daytime LOOA formation and decrease of BBOA and HOA mass concentrations  
 409 drove the increase of daytime O/C but the  $\kappa_{OA}$  did not follow. The  $\kappa_{OA}$  was also negatively  
 410 correlated with Night-OA fraction, which increased during nighttime (Fig.6). The Night-OA factor was  
 411 highly correlated with nitrate concentrations (Figure S5), likely associated with the  $\text{NO}_3$  nighttime  
 412 chemistry as discussed in Sect. 4.1. Results of Suda et al. (2014) demonstrated that the addition of  $\text{NO}_3$   
 413 radical would exert negative impacts on  $\kappa_{OA}$ , consistent with the observations shown here. As shown  
 414 in Fig.5,  $\kappa_{OA}$  was positively correlated with both MOOA and aBBOA, especially with that of MOOA.  
 415 MOOA was highly correlated with sulfate and showed almost no diurnal variations, indicating that the  
 416 highly oxygenated (O/C  $\sim$ 1) MOOA was also more associated with regional air masses. The observed  
 417 small nighttime increase of  $\kappa_{OA}$  could be associated with the slight increase in MOOA mass fraction

418 as shown in Fig.6b. Similar to LOOA, the aBBOA increased during daytime, which revealed quick  
419 aging process of biomass burning related precursors or primary aerosols through photochemistry. Also,  
420 the aBBOA factor showed similar variation trend with  $C_6H_2NO_4^+$  ( $m/z$  151.998, see Fig. S5) which  
421 was found as a characteristic ion of a typical aged BBOA component nitrocatechol (Bertrand et al.,  
422 2018). However, the resolved average O/C ratio of aBBOA was only 0.39, which is even lower than  
423 that of BBOA ( $O/C \sim 0.48$ ), implying that BBOA were likely formed through oxidation of gaseous  
424 BBOA precursors rather than the direct oxidation of BBOA. The fact that nitrocatechol is more likely  
425 to be contributed by oxidation of gaseous precursors in biomass burning plumes rather than primary  
426 biomass burning emissions (Wang et al., 2019) rationalizes this speculation. The similar diurnal  
427 characteristics but contrasting effects of LOOA and aBBOA on  $\kappa_{OA}$  further explains the weak  
428 correlation coefficient between  $\kappa_{OA}$  and O/C. However, the weak but positive correlation between  
429  $\kappa_{OA}$  and O/C should have arose from the much stronger positive correlation between  $\kappa_{OA}$  and  
430 MOOA mass fractions. LOOA has relatively high O/C and its abundance usually reaches above that  
431 of MOOA during the afternoon, however, its negative effects on  $\kappa_{OA}$  was partially compensated by  
432 aBBOA which had lower O/C. In addition,  $\kappa_{OA}$  was mostly associated with mass fractions of MOOA  
433 with highest O/C, thus giving rise to the weak but positive relationship between  $\kappa_{OA}$  and O/C. As for  
434  $\kappa_{OA}$  diurnal variations, daytime increase of aBBOA and LOOA has compensating effects on  $\kappa_{OA}$ , and  
435 the HOA and Night-OA decrease further complicated its variations.

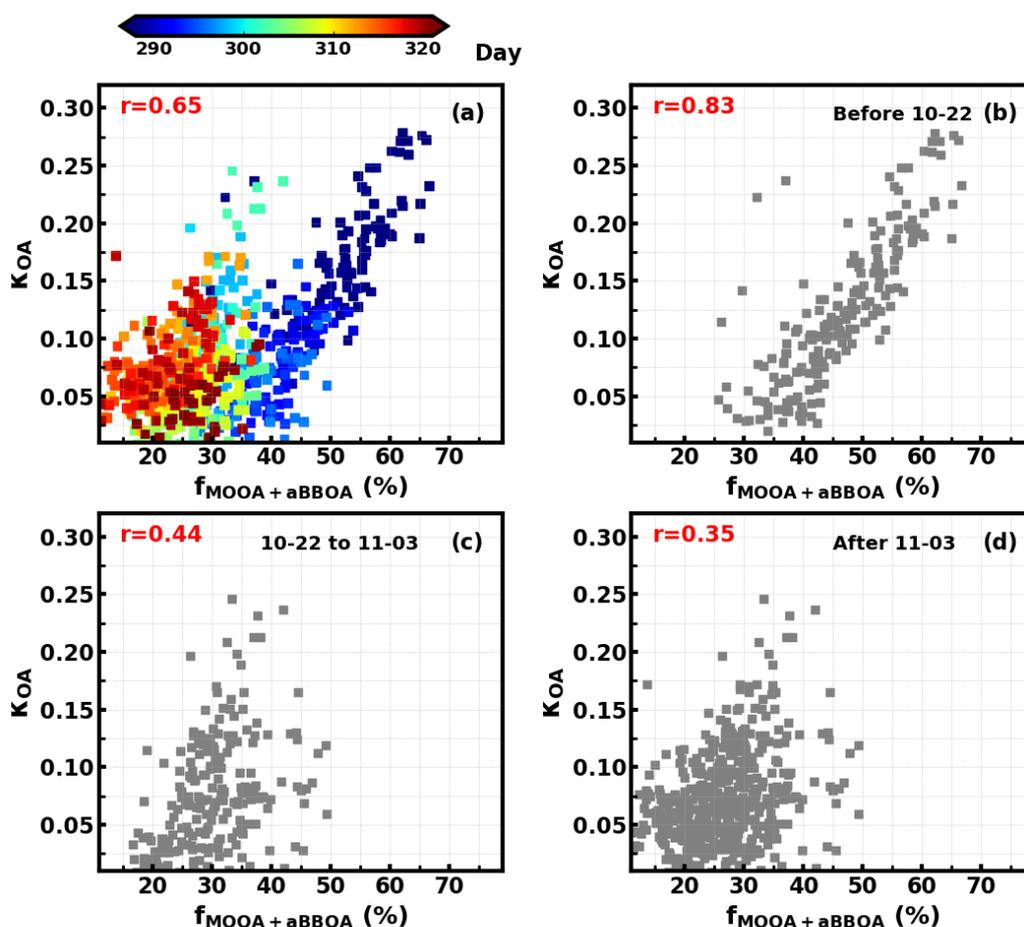
436 To test if effects of parameter perturbations on  $\kappa_{OA}$  derivations have significant effects on the  
437 relationships between  $\kappa_{OA}$  and organic aerosol PMF factors, we impose random perturbations on  
438 parameters listed in Table 2 in each  $\kappa_{OA}$  derivation. The comparison between originally derived  $\kappa_{OA}$   
439 and perturbed derivation of  $\kappa_{OA}$  results is shown in Fig.S10. The average difference between derived  
440  $\kappa_{OA}$  with and without random errors is 0, and the standard deviation is 0.03. However, the  
441 relationships between  $\kappa_{OA}$  derived with random errors and organic aerosol PMF factors changed only  
442 a little bit, and the results are shown in Fig.S11.

### 443 **4.3 Discussions on complexity of organic aerosol hygroscopicity parameterizations**

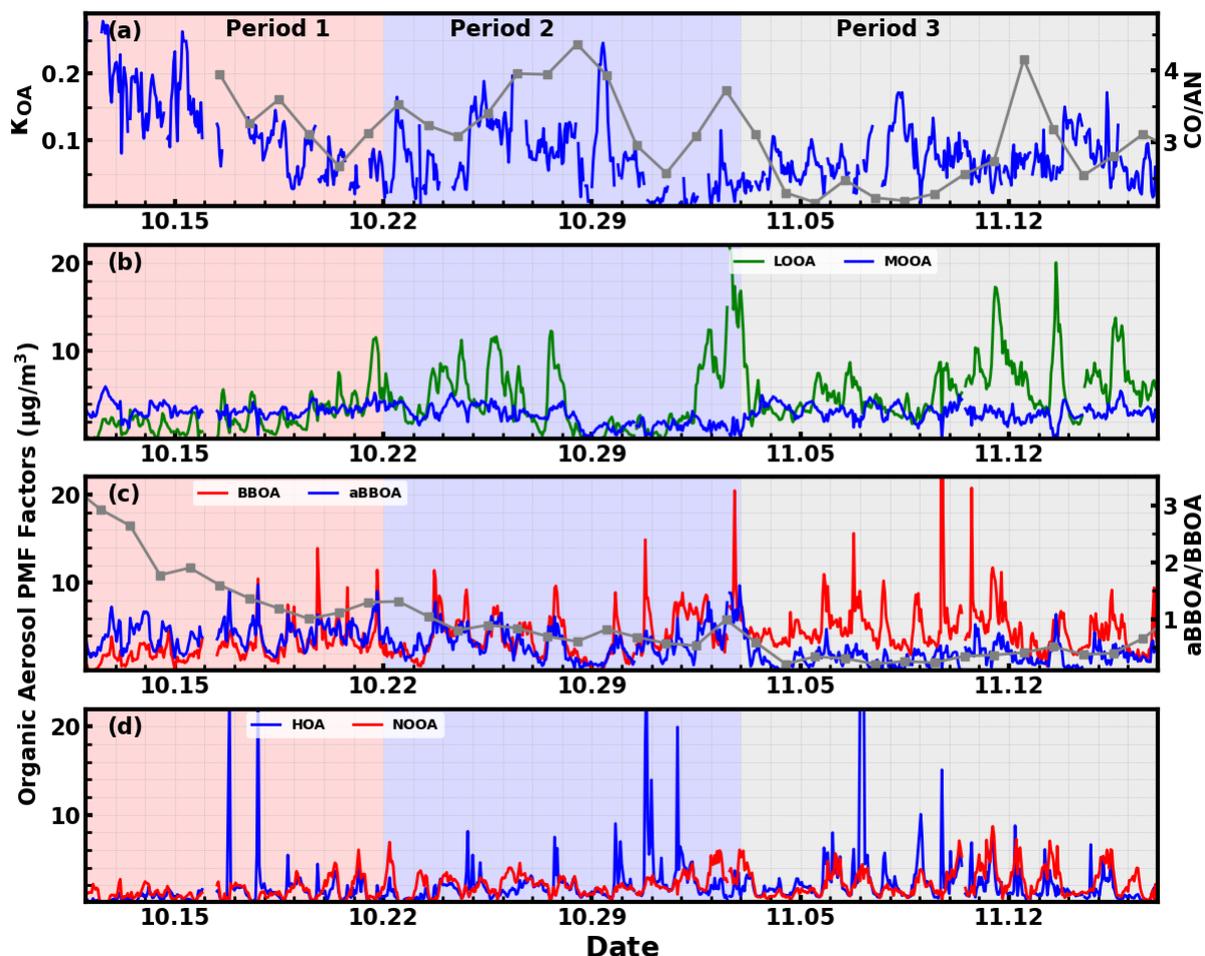
444 As demonstrated in Sect.4.2, the LOOA factor with higher O/C had negative impacts on  $\kappa_{OA}$ ,  
445 while aBBOA with much lower O/C had positive effects on  $\kappa_{OA}$ . These results suggested that O/C is

446 not enough for parameterizing  $\kappa_{OA}$  and the question remains what additional parameters are needed  
447 or how should they be implemented. To further explore on this issue, the relationships between  $\kappa_{OA}$   
448 and mass fractions of aBBOA+MOOA in total OA mass ( $f_{MOOA+aBBOA}$ ) was further investigated to  
449 manifest the complexity of  $\kappa_{OA}$  variations and discuss potential impact factors, with results shown in  
450 Fig. 7a. As discussed in Sect. 4.2, both MOOA and aBBOA had positive effects on  $\kappa_{OA}$ , however, the  
451 relationship between  $\kappa_{OA}$  and  $f_{MOOA+aBBOA}$  does not yield a higher correlation coefficient than that  
452 between  $\kappa_{OA}$  and  $f_{MOOA}$ , and the results shown in Fig. 7a demonstrate that  $\kappa_{OA}$  and  $f_{MOOA+aBBOA}$   
453 might have different relationships during different periods. The relationships between  $\kappa_{OA}$  and  
454  $f_{MOOA+aBBOA}$  during three periods were further investigated and shown in Fig. 7b-d, which shows that  
455 during the first period from 10-12 to 10-22,  $\kappa_{OA}$  was highly correlated with  $f_{MOOA+aBBOA}$  ( $R=0.82$ ),  
456 with all points falling in a narrow band, suggesting that  $f_{MOOA+aBBOA}$  alone could describe the variations  
457 in  $\kappa_{OA}$  well. However, during the second period (from 10-23 to 11-02) and the third period (from 11-  
458 03 to 11-17) the correlation coefficients between  $\kappa_{OA}$  and  $f_{MOOA+aBBOA}$  were much lower. Obviously,  
459  $f_{MOOA+aBBOA}$  during the second and the third period was in general much lower than that during the  
460 first period. The timeseries of  $\kappa_{OA}$  and different PMF OA factors are shown in Fig. 8. MOOA  
461 displayed relatively small variations during this campaign, highlighting that the regional air mass did  
462 not experience tremendous variations, and suggesting that changes of other OA factors especially  
463 aBBOA have resulted in different relationships between  $\kappa_{OA}$  and  $f_{MOOA+aBBOA}$ . The results in Fig. 8c  
464 shows that the ratio between aBBOA and BBOA differs much during three periods and declines from  
465 the first period to the third period. During the first period, aBBOA was more abundant and was well  
466 correlated ( $R=0.57$ ) with BBOA. At the same time, aBBOA was positively correlated with HOA ( $R=$   
467  $0.49$ ) especially with the cooking emission tracer  $C_6H_{10}O^+$  ( $R=0.60$ ), which could be emitted together  
468 with biomass burning emissions, when residents in surrounding villages cooked with biomass fuels.  
469 BBOA and aBBOA had comparable levels during the second period, however, aBBOA concentration

470 was much lower than that of BBOA during the third period. It can also be noticed that aBBOA in the  
 471 second period showed higher correlation with BBOA ( $R = 0.45$ ) than that in the last period ( $R = 0.17$ ),  
 472 which was also the case with cooking emission tracer ( $R = 0.60$  for the 2<sup>nd</sup> period, 0.36 for the 3<sup>rd</sup>  
 473 period). These results suggest that the chemical and physical properties of aBBOA likely changed  
 474 much within the three periods despite similarities in PMF analysis. Both the primary gas pollutants



**Figure 7.** Relationships between  $\kappa_{OA}$  and  $f_{MOOA+aBBOA}$  during (a) the entire observation period; (b) 10-12 to 10-22; (c) 10-23 to 11-02; (d) 11-03 to 11-17. Colors of scatter points in (a) represents day of the year.



**Figure 8.** Time series of (a) derived  $\kappa_{OA}$  and the right y-axis represent the ratio between CO and AN (acetonitrile); (b) LOOA and MOOA; (c) BBOA and aged BBOA, and the right axis represents the ratio between aBBOA/BBOA; (d) HOA and NOOA

475 CO and acetonitrile are highly associated with biomass burning and are often used as indicators of  
 476 biomass burning events, and the ratio between them can somehow indicate the emission profile  
 477 changes of biomass burning thus the primary VOC profile changes. The time series of the ratio between  
 478 CO and acetonitrile (Fig.8a) differs much during the three periods, especially for the second and the  
 479 third period. This difference suggests that although the biomass burning event continued, their  
 480 emission profiles associated with the burning fuels and conditions likely changed a lot, indicating that  
 481 aBBOA precursors might have changed during different agricultural activities, thus changing their  
 482 formation pathways as well as their chemical and physical properties. Other than the aBBOA property  
 483 changes, changes in OA factor contributions (for example, relative contributions of OA factors other  
 484 than MOOA and aBBOA) may also impact on the relationship between  $\kappa_{OA}$  and  $f_{MOOA+aBBOA}$ . Also,  
 485 the chemical and physical properties of Night-OA and LOOA together with the VOC profile can also

486 have changed.

487 In general, the results shown here deliver the following key messages: (1) Although the O/C failed  
488 to describe variations in  $\kappa_{OA}$ , variations of OA factors that are more related to VOC sources or OA  
489 formation pathways could sometimes be found to explain the  $\kappa_{OA}$  variations; (2) MOOA, being  
490 highly oxygenated and associated with regional air mass, was the most important component that  
491 enhanced  $\kappa_{OA}$ , which is consistent with current understandings, i.e., organic aerosol aging processes  
492 have significant effects on  $\kappa_{OA}$ . However, the  $\kappa_{OA}$  of secondary organic aerosol does not depend on  
493 their O/C (contrary effects of aBBOA and LOOA on  $\kappa_{OA}$ ); (3) Organic aerosol hygroscopicity of SOA  
494 associated with similar sources might differ much under different conditions (effects of aBBOA on  
495  $\kappa_{OA}$  differ much during different periods).

496 These messages might be instructive to the parameterization of  $\kappa_{OA}$  in the following ways: (1)  
497 We might relate  $\kappa_{OA}$  to VOC precursors in laboratory studies, but the laboratory derived empirical  
498 relationship will likely fail in application of ambient aerosols due to the formation pathway or the  
499 existence of other VOC precursors might result in different chemical properties of ambient formed  
500 SOA, such as functional groups, from the laboratory case; (2) It seems more plausible to find  
501 parameters other than O/C ratio to parameterize  $\kappa_{OA}$ , which should be independent of sources and  
502 associated with the physical properties of OA, such as volatility (Kuwata et al., 2007; Asa-Awuku et  
503 al., 2009; Frosch et al., 2013; Kostenidou et al., 2018). Overall, these results further highlighted that  
504  $\kappa_{OA}$  parameterizations can be quite difficult and requires a lot of future efforts.

## 505 **5 Conclusions**

506 In this study, a field campaign was conducted to characterize  $\kappa_{OA}$  with high time resolution for  
507 the first time at a rural site in the PRD region. The observation results showed that both typical NO<sub>3</sub>  
508 night chemistry (indicated by extremely low nighttime NO concentration and quick nighttime O<sub>3</sub>  
509 concentration decrease) and strong daytime photochemical chemistry (indicated by high daytime O<sub>3</sub>  
510 concentration) prevailed during this field campaign. SOA dominated OA mass (mass fraction >70%  
511 on average), which provided us a unique opportunity to investigate influences of SOA formation on  
512 variations in organic aerosol hygroscopicity parameter  $\kappa_{OA}$ . Six OA factors were resolved by the AMS  
513 PMF analysis, including two primary OA factors HOA and BBOA and other four secondary OA factors  
514 MOOA, LOOA, aBBOA and Night-OA. The results demonstrated that mass increase in both two

515 primary OA factors had negative effects on  $\kappa_{OA}$ , which is consistent with current understandings that  
516 POA components have quite low hygroscopicity (usually assumed as hydrophilic), while SOA  
517 components had distinct effects on  $\kappa_{OA}$ . MOOA with the highest average O/C of 1 was the most  
518 important factor that drives the increase of  $\kappa_{OA}$ , probably related with regional air mass and local  
519 production contributes small. However, LOOA with average O/C slightly lower than that of MOOA  
520 (O/C  $\sim$  0.72), whose mass concentration increased dramatically during daytime due to local production,  
521 had negative effects on  $\kappa_{OA}$ . Surprisingly, aBBOA with similar diurnal patterns to that of LOOA, also  
522 formed quickly during daytime, but displayed much lower O/C (0.39), exerting positive effects on  
523  $\kappa_{OA}$ . In addition,  $\kappa_{OA}$  revealed weak negative correlation to Night-OA fraction, which increased  
524 during nighttime probably due to the NO<sub>3</sub> nighttime chemistry. This finding is in general consistent  
525 with results of Suda et al. (2014) that the addition of NO<sub>3</sub> radical would exert negative impacts on  
526  $\kappa_{OA}$ . As a result, the contrasting effects of LOOA and aBBOA on  $\kappa_{OA}$  resulted in the weak correlation  
527 coefficient between  $\kappa_{OA}$  and O/C.  $\kappa_{OA}$  was mostly associated with mass fractions of MOOA with  
528 highest O/C although its O/C is only a little higher than that of LOOA, which gave rise to the weak  
529 but positive relationship between  $\kappa_{OA}$  and O/C.

530 In general, the results presented in this study demonstrate that the O/C failed to describe variations  
531 in  $\kappa_{OA}$ , however, SOA factors with different VOC sources or from different OA formation pathways  
532 might have discrepant influences on the  $\kappa_{OA}$ . The contrasting effects of LOOA and aBBOA on  $\kappa_{OA}$   
533 demonstrated that VOC precursors from diverse sources and different SOA formation processes may  
534 result in SOA with different chemical composition, functional properties as well as microphysical  
535 structure, consequently influencing SOA hygroscopicity. On top of that, the hygroscopicity of SOA  
536 associated with similar sources might also differ much during different emission and atmospheric  
537 conditions. These results demonstrate that we might relate  $\kappa_{OA}$  to VOC precursors in laboratory  
538 studies, but the laboratory derived empirical relationships will likely fail in their application to ambient  
539 aerosols due to the more complex formation pathways or the existence of other VOC precursors in the  
540 ambient atmosphere, and thus difficult to apply in models. Overall, these results further highlighted  
541 that  $\kappa_{OA}$  parameterizations are quite complex, and it is important to conduct more researches on  $\kappa_{OA}$   
542 characterization under different meteorological and source conditions, and examine its relationship  
543 with OA and VOC precursor profiles to reach a better characterization and come up with a more  
544 appropriate parameterization approach for chemical and climate models.

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546 **Data availability.** The data used in this study are available from the corresponding author upon request

547 Shan Huang ([shanhuang\\_eji@jnu.edu.cn](mailto:shanhuang_eji@jnu.edu.cn)) and Min Shao ([mshao@jnu.edu.cn](mailto:mshao@jnu.edu.cn))

548 **Competing interests.** The authors declare that they have no conflict of interest.

549

550 **Author Contributions.** YK and SH designed the aerosol experiments. YK conceived this research  
551 and wrote the manuscript together with SH. YK, BL and BX conducted aerosol light scattering  
552 enhancement factor measurements. QS, WC, WL, SH and WH conducted the SP-AMS measurements.  
553 MC, YK and SH conducted the particle number size distribution measurements. MS and BY planned  
554 and funded this campaign. YP collected and managed criterial pollutants and meteorological  
555 parameters from Heshan supersite. PZ provided the humidified nephelometer system and contributed  
556 to discussions and revisions of the manuscript. DC and DY provided authority of conducting the  
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559

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575 **References**

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