

1 **Evaluation of aerosol optical depths and clear-sky radiative fluxes of the**  
2 **CERES Edition 4.1 SYN1deg data product**

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27 Abstract

28 Aerosol optical depths (AOD) used for the Edition 4.1 Clouds and the Earth's Radiant  
29 Energy System (CERES) Synoptic (SYN1deg) are evaluated. AODs are derived from  
30 Moderate Resolution Imaging Spectroradiometer (MODIS) observations and assimilated  
31 by an aerosol transport model (MATCH). As a consequence, clear-sky AODs closely  
32 match with those derived from MODIS instruments. AODs under all-sky conditions are  
33 larger than AODs under clear-sky conditions, which is supported by ground-based  
34 AERONET observations. When all-sky MATCH AODs are compared with Modern-Era  
35 Retrospective Analysis for Research and Applications (MERRA2) AODs, MATCH  
36 AODs are generally larger than MERRA2 AODS especially over convective regions (e.g.  
37 Amazon, central Africa, and eastern Asia). The difference is largely caused by MODIS  
38 AODs used for assimilation. Including AODs with larger retrieval uncertainty makes  
39 AODs over the convective regions larger. When AODs are used for clear-sky irradiance  
40 computations and computed downward shortwave irradiances are compared with ground-  
41 based observations, the computed instantaneous irradiances are 1% to 2% larger than  
42 observed irradiances. The comparison of top-of-atmosphere clear-sky irradiances with  
43 those derived from CERES observations suggests that AODs used for surface radiation  
44 observation sites are larger by 0.01 to 0.03, which is within the uncertainty of  
45 instantaneous MODIS AODs. However, the comparison with AERONET AOD suggests  
46 AODs used for computations over desert sites are 0.08 larger. The cause of positive  
47 biases of downward shortwave irradiance and AODs for the desert sites are unknown.

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50        **1. Introduction**

51            Accurate estimates of the radiative effects of clouds and aerosols are essential for  
52 an understanding the radiative forcing to the Earth's climate system (Bauer and Menon,  
53 2012, Boucher et al. 2013). In addition, through the reflection and absorption of solar  
54 radiation, and the absorption and emission of terrestrial thermal radiation, clouds and  
55 aerosols affect the radiative heating of both the atmosphere and the surface, which in turn  
56 governs the atmospheric circulation and the hydrological cycle (e.g. Stephens et al. 2020,  
57 L'Ecuyer et al. 2015). Under the Earth Observing System (EOS) program, the National  
58 Aeronautics and Space Administration (NASA) has placed into orbit a series of satellites  
59 devoted to long term observations of the climate state. Among these are Terra and Aqua,  
60 the flagship satellites of the EOS. Central to observation of climate evolution are  
61 Moderate Resolution Imaging Spectroradiometer (MODIS) and the Clouds and the  
62 Earth's Radiant Energy System (CERES) instrument pairs that fly on both the Terra  
63 (March 2000 - present) and the Aqua (July 2002 - present) platforms (Wielicki et al.  
64 1996). Additional CERES instruments were launched (October 2011) upon the Suomi  
65 National Polar-orbiting Partnership (NPP) satellite along with the MODIS successor, the  
66 Visible Infrared Imager Radiometer Suite (VIIRS), and on the NOAA-20 satellite  
67 (November 2017). In addition to observations from these satellites, the CERES mission  
68 also integrates observations from the Geostationary Operational Environmental Satellites  
69 (GOES) (West and East), as well as other geostationary satellites around the globe, for  
70 full diurnal coverage of clouds and radiation.

71            The CERES instruments measure broadband radiances over the solar spectrum  
72 (shortwave), the thermal infrared (longwave radiance is obtained from a total channel

73 minus the shortwave channel), and the near infrared atmospheric window, with frequent  
74 on-board calibration. CERES measurements, in conjunction with MODIS information,  
75 are used to infer broadband irradiances through empirical angular distribution models  
76 (ADMs). Geosynchronous satellite imagery observes the diurnal cycle of clouds, which is  
77 not fully sampled by the polar orbiting satellites upon which CERES and MODIS reside.

78 While top-of-atmosphere (TOA) irradiances are derived from broadband  
79 radiances measured by CERES instruments (Loeb et al. 2005; Su et al. 2015), surface and  
80 in atmosphere irradiances are computed with a radiative transfer model. Inputs used for  
81 the computations include cloud properties derived from MODIS and geostationary  
82 satellites, aerosol optical depth derived from MODIS radiances, and surface albedo  
83 derived from MODIS and CERES observations (Rutan et al. 2009). Temperature and  
84 humidity profiles are provided by a reanalysis product produced by the NASA Goddard  
85 Modeling and Assimilation Office (GMAO).

86 Irradiances at the surface produced by the CERES team have been compared with  
87 surface observations (Rutan et al. 2015; Kato et al. 2013, 2018). These comparisons are  
88 for all-sky conditions (i.e. including any clouds). Irradiances under clear-sky conditions  
89 are not explicitly separated from all-sky conditions in the evaluations. There are several  
90 reasons that impede efforts at rigorous validation of clear-sky irradiances with surface  
91 observations; 1) a clear-sky condition at a given site does not persist over a long time  
92 (e.g. a month or longer), 2) there are mismatches of clear-sky conditions determined by  
93 satellite- and ground-based instruments, and 3) field-of-view size between CERES  
94 instruments and ground-based radiometers differ.

95           Despite these difficulties for evaluating computed clear-sky irradiances, clear-sky  
96 irradiances play an important role in quantifying aerosol and cloud radiative effects (Loeb  
97 and Su 2010; Soden and Chung 2017). Therefore, the uncertainty in surface irradiances  
98 need to be understood in order to assess the uncertainty in aerosol and cloud radiative  
99 effect. This work is the first attempt by the CERES team to evaluate clear-sky surface  
100 irradiances provided by its data products. One of the essential variables in computing  
101 clear-sky irradiances is aerosol optical depth. In this paper, we evaluate aerosol optical  
102 depth used for irradiance computations in the CERES project and analyze how the error  
103 propagates to clear-sky surface irradiances. Computations of surface irradiances provided  
104 by Edition 4.1 SYN1deg data products use aerosol optical depth derived by a chemical  
105 transport model [The Model for Atmospheric Transport and Chemistry (MATCH, Collins  
106 et al. 2001)] that assimilates MODIS-derived aerosol optical depth. In Section 2, we  
107 explain in some detail the MATCH aerosol transport. In Section 3, the assimilation of  
108 aerosol optical depth in the model is discussed. Sections 4 and 5 compares aerosol optical  
109 depths used by the CERES team with, those from MERRA2 and the Aerosol Robotic  
110 Network (AERONET, Holben et al. 1998).

111

## 112           **2. Description of MATCH model**

113           The Model for Atmospheric Transport and Chemistry (MATCH) is a transport  
114 model of intermediate complexity driven by offline meteorological fields from the  
115 National Centers for Environmental Prediction (NCEP) reanalysis. It is run on a 194×96  
116 (1.9°×1.9°) spatial grid with a vertical resolution of 28 sigma-p levels. Temporally, the  
117 meteorological fields are linearly interpolated to 30-minute times at which time the

118 chemical processes are run. One exception is that the sulfur model is interpolated again to  
119 run at 2-min subscale time steps. MATCH is one of the many aerosol transport models  
120 that participated in the AeroCom model inter-comparison project (Textor et al., 2006;  
121 Kinne et al. 2006; Textor et al. 2007) and the AeroCom carbon inter-comparison project  
122 (Koch et al., 2009; Huneus et al., 2011).

123 Aerosol types included in MATCH are dust, sulfate, sea salt, soot, sulfates,  
124 carbon, and volcanic particles (**Table 1**). Model physics included in MATCH are  
125 parameterizations for convection and boundary layer processes that include prognostic  
126 cloud and precipitation schemes for aqueous chemistry and the scavenging of soluble  
127 species. MATCH also includes the ability to resolve the transport of aerosols via  
128 convection, boundary layer transport, and scavenging and deposition of soluble gases and  
129 aerosols. MATCH can simulate most cloud processes currently in use in a GCM (eg.  
130 cloud fraction, cloud water and ice content, fraction of water converted to rain and snow,  
131 and evaporation of condensate and precipitate). It also includes vertical turbulent eddy  
132 processes. These processes are then used for convective transport, wet scavenging, wet  
133 deposition and dry deposition of the MATCH aerosols. These various parameterizations  
134 were developed, originally, for the NCAR Community Climate Model (CCM) and  
135 subsequently incorporated into the MATCH model. Descriptions of these  
136 parameterizations are given by Rasch et. al (1997, 2001), Collins et. al (2001) and  
137 additional papers described therein.

138 The MATCH aerosol suite includes a detailed mineral dust scheme in the Dust  
139 Entrainment and Deposition model, (Zender et al., 2003), and a diagnostic  
140 parameterization for sea-salt aerosol based on the 10m wind speed (Blanchard and

141 Woodcock, 1980). The sulfur cycle and the chemical reactions for sulfate aerosol creation  
 142 rely on monthly climatological oxidant fields and emission inventories (**Table 1**) for  
 143 sulfur oxides and oceanic dimethyl sulfide (photochemistry and nitrate aerosol are  
 144 omitted). The reaction scheme is similar to that of the Model for Ozone and Related  
 145 Chemical Tracers (MOZART), (Emmons et al., 2010). Carbon aerosols (both organic  
 146 compounds and soot) evolve with simple mean lifetime e-foldings from surface fluxes  
 147 specified through natural, biomass burning and fossil fuel burning emission inventories  
 148 (also monthly climatologies given in **Table 1**).  
 149

Table 1. Aerosol Types & Climatological Sources

<i>Aerosol Type</i>	<i>Source</i>	<i>Description</i>
<i>Sea Salt</i>	Blanchard and Woodcock, 1980	Wind Driven
<i>Dust</i>	Ginoux et al. (2001); Zender et al. (2003)	NCEP soil moisture, wind driven
<i>Sulfate (natural &amp; anthropogenic)</i>	Benkovitz et al. (1996); Barth et al. (2000)	monthly climatological
<i>Carbon (organic &amp; Soot)</i>	Liousse et al. (1996)	monthly climatological
<i>Volcanic</i>	Episodic inclusion of Sulfur dioxide	Processed by model

150  
 151 The optical properties of the various aerosol types (e.g. mass extinction  
 152 coefficient, single scatter albedo), which are key parameters for aerosol assimilation, are  
 153 drawn from the standard Optical Properties of Clouds and Aerosols (OPAC, Hess et al.  
 154 1998) database. However, scattering properties of maritime and dust aerosols used  
 155 in the radiative transfer calculations in the SYN1deg are not from MATCH. Instead,  
 156 aerosol types from MATCH are mapped to a similar set of scattering properties, per  
 157 Table 2, embedded in the Langley Fu & Liou radiative transfer (LFLRT) code (Fu and

158 Liou, 1993; Fu et. al 1998; Rose et. al 2013). These include OPAC as in MATCH for all  
 159 but the small and large dust particles. Dust scattering and absorption properties in the  
 160 LFLRT code are from Sinyuk et al. (2003).  
 161

Table 2. Mapping of MATCH aerosol types into Radiative Transfer code.

MATCH Constituent	Langley Fu & Liou Constituent	Langley Fu & Liou Spectral Properties
Sea Salt	Maritime	d’Almeida 1991
Hydrophobic Organic Carbon	Insoluble	OPAC
Hydrophilic Black Carbon	Soot	OPAC
Hydrophobic Black Carbon		
Hydrophilic Organic Carbon	Water Soluble (WASO)	OPAC
Tropospheric Sulfate		
Volcanic	Suspended Organic (SUSO)	OPAC
Stratospheric Sulfate		
Dust < 1.0 $\mu$ m	“Small” Dust	Sinyuk et al. (2003)
Dust 1.0 -2.5 $\mu$ m	“Large” Dust	Sinyuk et al. (2003)
Dust 2.5-5.0 $\mu$ m		
Dust 5.0-10.0 $\mu$ m		

162

163 **Figure 1** shows the single scatter albedo (SSA) and asymmetry parameter (ASY)  
 164 for the seven constituents in the LFLRT code at 500 $\mu$ m. Constituents with constant SSA  
 165 and/or ASY are given as numbers while those that vary with relative humidity are  
 166 plotted. The spectral properties for sea salt shown in Figure 1 were taken directly from  
 167 tables in d’Almeida et al., (1991). Note that the asymmetry parameter of maritime  
 168 aerosol decreases with humidity. This is likely an error in the original Table A.30 of  
 169 d’Almeida et al. (1991).



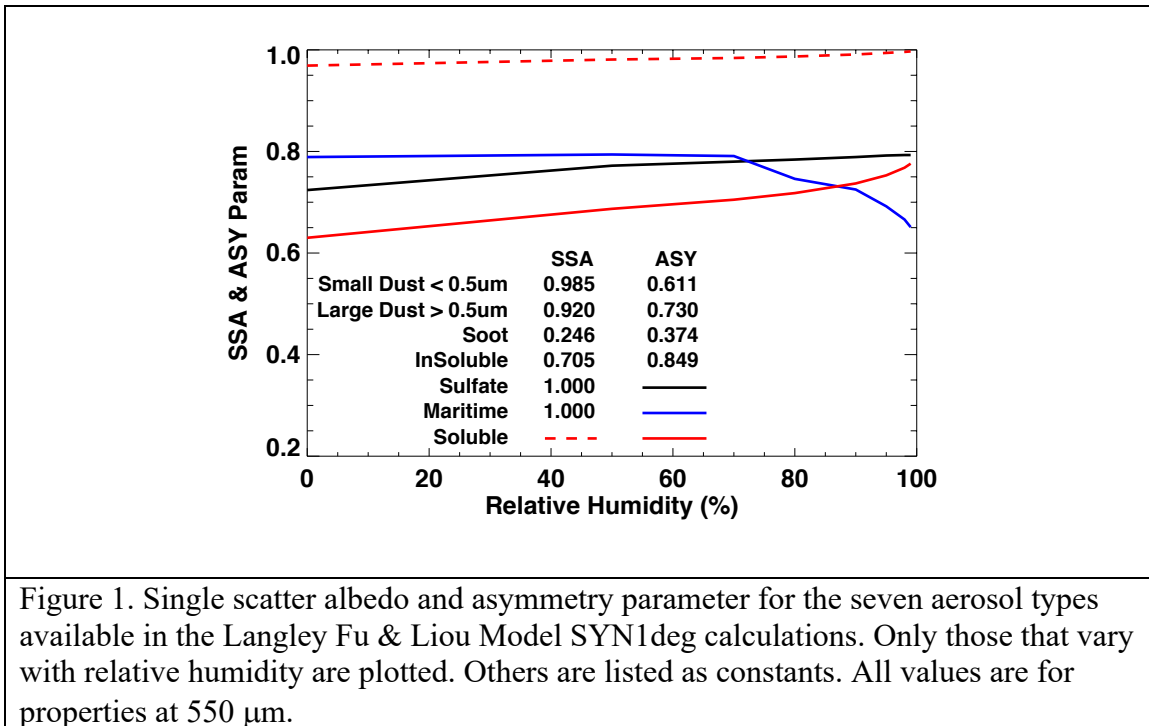


Figure 1. Single scatter albedo and asymmetry parameter for the seven aerosol types available in the Langley Fu & Liou Model SYN1deg calculations. Only those that vary with relative humidity are plotted. Others are listed as constants. All values are for properties at 550  $\mu\text{m}$ .

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## 172 2.1 MATCH Assimilation of MODIS Aerosol Optical Depths

173 One major advantage of the MATCH model is its ability to reliably assimilate  
 174 satellite-based retrievals of aerosol optical depth (AOD) to constrain the climatologically  
 175 forced aerosols generated within the chemical transport portion of the code. Edition 4  
 176 MATCH algorithms ingest MODIS Collection 6.1 AOD (Remer et al., 2005), beginning  
 177 in March 2000 from the Terra satellite and June 2002 from both Terra and Aqua  
 178 satellites. The MATCH assimilates MODIS AOD at the green wavelength of 550 nm.  
 179 MATCH combines AOD derived by the Dark Target (Levy et al. 2013) and Deep Blue  
 180 algorithms (Hsu et al., 2006). A global daily mean AOD in a  $1.9^\circ \times 1.9^\circ$  grid is derived  
 181 from Terra and Aqua observations by simply averaging available Terra and Aqua dark  
 182 target and deep blue derived AODs in a grid box. Unlike dark target and deep blue

183 merged product (MOD08), we do not use a quality assurance confidence (QAC) score to  
184 screen AOD.

185         The assimilation process begins by combining the dark target and deep blue AOD  
186 from MODIS (both Terra and Aqua when available) and creating daily averages. As  
187 MATCH progresses through time the AOD at local solar noon are assimilated by taking a  
188 15° longitude width of retrieved AOD from the daily mean map. Examples of the  
189 magnitude of AOD adjustments by the assimilation are shown in **Fig. 2. Figure 2a** shows  
190 hourly AOD field differences, 4 UT minus 3 UT on February 1<sup>st</sup>, 2020. Similarly, **Figure**  
191 **2b** shows 10 UT minus 9 UT of the same day. The 15° vertical band is clearly visible  
192 where red (blue) colors indicate total column aerosol is increased (decreased) by the  
193 MODIS AOD assimilation. Following the AOD adjustment, aerosol masses in the  
194 atmospheric column through the troposphere are scaled to closely match the AOD  
195 derived from MODIS. Neither the vertical profile nor the relative abundance of the  
196 aerosol species is adjusted. Once aerosol mass is adjusted at the local noon for the regions  
197 where MODIS AOD is available, the adjusted aerosol mass is carried on to the next time  
198 step. Besides the MODIS adjustments, wind driven sea-salt creation and deposition are  
199 found along frontal boundaries in the North Atlantic and Southern Oceans. The maps also  
200 indicate hourly increases and decreases in high aerosol loading areas such as those found  
201 around China and SE Asia.

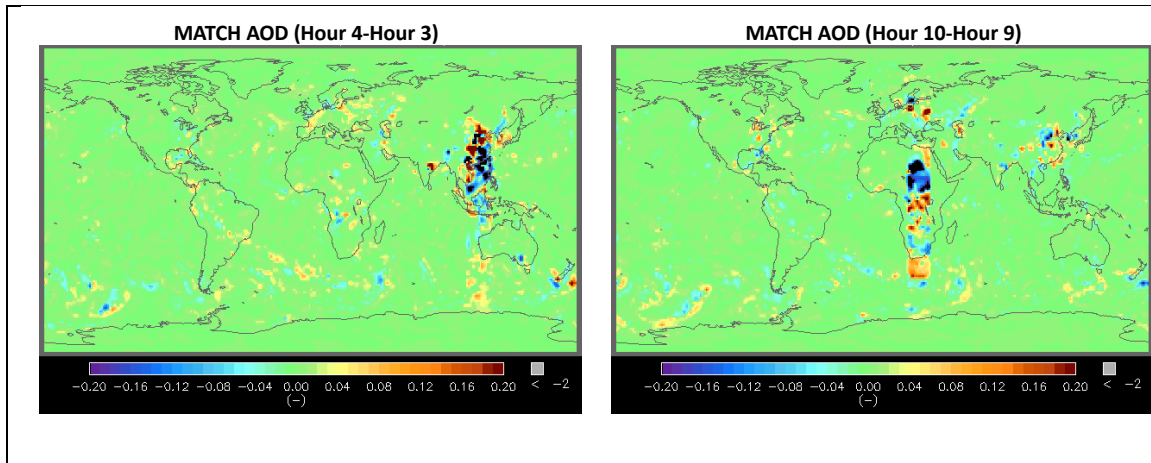


Figure 2. Difference of MATCH AOD due to the assimilation of MODIS AOD. The left plot is 4 UT minus 3 UT and right plot is 10 UT minus 9 UT on February 1, 2020. AOD is adjusted at the local solar noon within the 15° longitudinal band by the MODIS AOD assimilation. Wind-blown dust and sea salts differences are also apparent outside the 15° longitudinal band.

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203            Episodic events such as intense fires or volcanic eruptions are not specifically  
 204 included in the MATCH aerosol package. Such events are captured by the assimilation of  
 205 MODIS AOD and total column aerosol loading is adjusted upward. The adjustment is  
 206 applied to AOD only. The aerosol type (and so scattering properties) is not adjusted to  
 207 reflect the reality of the scattering or absorbing aerosol during such an event.

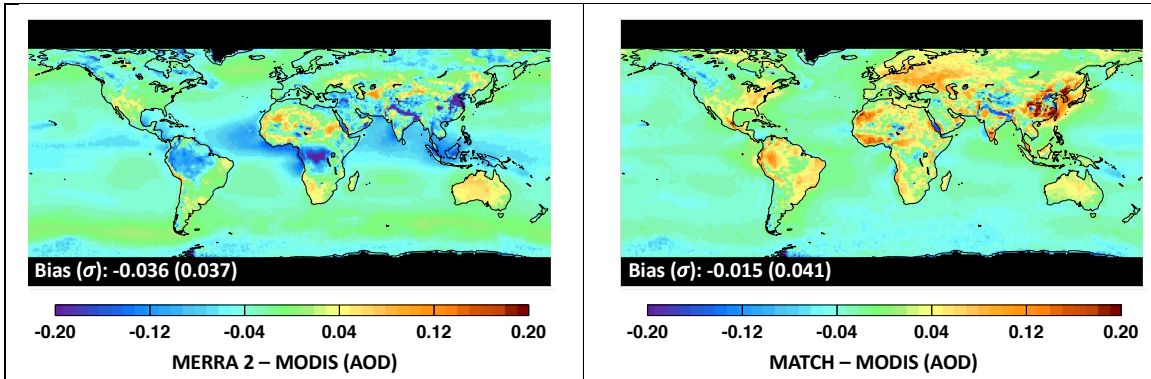
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## 210 **2.2 MATCH and MERRA2 comparison**

211            In this section, we compare AODs between MATCH and MERRA2 (Randles et  
 212 al., 2017) in which MODIS clear-sky radiances are assimilated. MERRA2 also  
 213 assimilates surface observed AOD by AERONET and ship born AOD observations as  
 214 well as AVHRR and MISR retrievals for the years 2000-2002 and 2000-2014  
 215 respectively. We compare AODs in two different ways. First, MATCH and MERRA2  
 216 AODs are compared with MODIS AODs. The first comparison tests the consistency of

217 daily means when MODIS aerosol optical depth is available (i.e. clear somewhere in the  
 218 grid box at Terra and Aqua overpass time). Second, MATCH and MERRA2 AODs are  
 219 compared under all-sky conditions, which is only possible with modeled AODs.



**Figure 3:** Climatological mean aerosol optical thicknesses (AOD, i.e.  $\langle AOD_{MODIS}^{clr} \rangle$  see texts for the definition) difference of left) MERRA2 – MODIS and right) MATCH – MODIS averaged over Mar 2000 through Feb 2020. MERRA2 and MATCH daily mean AODs are sampled when daily mean MODIS AOD from the same  $1^\circ \times 1^\circ$  grid is available. Sampled daily mean AODs are subsequently averaged. MODIS AODs are averages of MODIS dark target and deep blue algorithms from both Terra (MOD08) and Aqua (MYD08) data products.

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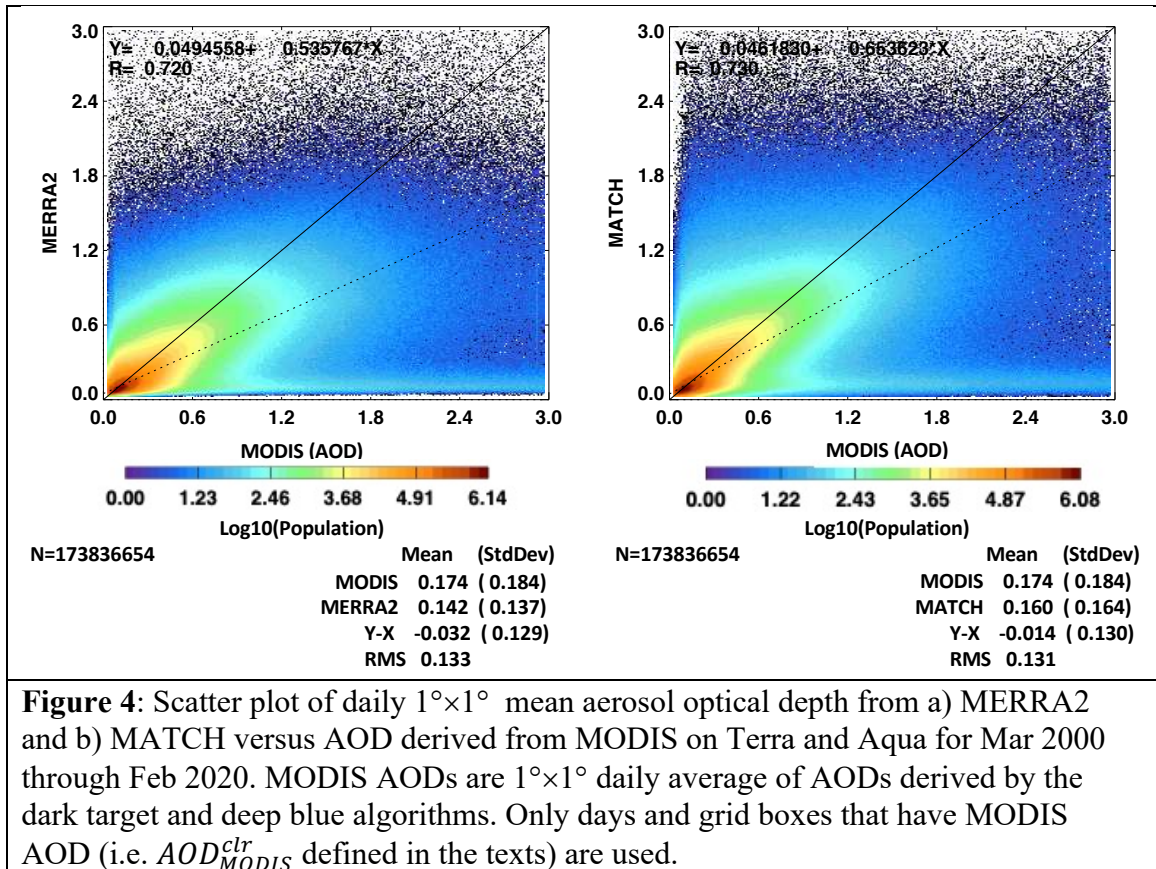
221 **Figure 3** shows differences of climatological mean AOD between MERRA2 and  
 222 MODIS on the left and MATCH and MODIS on the right. To compute the monthly mean  
 223 AOD differences, both MERRA2 and MATCH daily mean AODs are sampled when  
 224 daily mean MODIS AOD (MODIS products MOD08 and MYD08) from the same  $1^\circ \times 1^\circ$   
 225 grid is available (hereinafter  $AOD_{MODIS}^{clr}$ ). Sampled daily mean AODs ( $AOD_{MODIS}^{clr}$ ) are  
 226 subsequently averaged (hereinafter  $\langle AOD_{MODIS}^{clr} \rangle$ , where the bracket indicates a simple  
 227 arithmetic mean). Although both products assimilate MODIS observations, each shows  
 228 fairly significant differences from MODIS values. Differences arise because MODIS  
 229 daily mean AOD is clear sky at Terra and Aqua overpass time while MERRA2 and  
 230 MATCH daily mean AOD includes AOD from other times of the day. When the non-  
 231 overpass time is also clear, MATCH  $AOD_{MODIS}^{clr}$  should be close to MODIS  $AOD_{MODIS}^{clr}$ .

232 However, when clouds are present in MATCH during non-overpass times, modeled AOD  
233 are used, hence the daily mean AOD can deviate from MODIS  $AOD_{MODIS}^{clr}$ . In addition,  
234 AOD differences for MERRA2 at Terra and Aqua overpass times might be larger than  
235 MATCH even for clear-sky conditions as MERRA2 assimilates observed AOD data  
236 other than MODIS AOD when and where these events might occur.

237 While MATCH shows large positive differences over land, especially China and  
238 southeast Asia, Australia, Amazon, and north Africa, MERRA2 shows significant  
239 negative differences over major rain-forest regions of south America, Africa, and the  
240 tropical western Pacific. Both products are closer to MODIS AOD over ocean compared  
241 to  $\langle AOD_{MODIS}^{clr} \rangle$  over land except MERRA2 shows a negative difference across the Indian  
242 ocean and off the west coast of Africa in the Atlantic Ocean. When MODIS  $AOD_{MODIS}^{clr}$  is  
243 available in the grid box, MATCH weighs MODIS AOD heavily in its assimilation at  
244 local solar noon so that MATCH AOD is nearly identical to MODIS AOD at the local  
245 noon under clear-sky regions. Consequently, the difference of climatological global mean  
246 MATCH and MODIS  $AOD_{MODIS}^{clr}$  (-0.015) is smaller than the difference of MERRA2 and  
247 MODIS  $AOD_{MODIS}^{clr}$  (-0.036).

248 **Figure 4** shows the difference of  $AOD_{MODIS}^{clr}$  more clearly. In **Fig. 4**  $AOD_{MODIS}^{clr}$  are  
249 compared directly in a log-density plot where each point represents a comparison for the  
250 daily average of a given grid box; MERRA2 versus MODIS on the left and MATCH  
251 versus MODIS on the right. **Figure 4** indicates that MATCH  $AOD_{MODIS}^{clr}$  has a smaller  
252 bias with respect to the MODIS AOD than the MERRA2 AOD but has approximately the  
253 same RMS compared to the MERRA2  $AOD_{MODIS}^{clr}$ .

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**Figure 4:** Scatter plot of daily  $1^\circ \times 1^\circ$  mean aerosol optical depth from a) MERRA2 and b) MATCH versus AOD derived from MODIS on Terra and Aqua for Mar 2000 through Feb 2020. MODIS AODs are  $1^\circ \times 1^\circ$  daily average of AODs derived by the dark target and deep blue algorithms. Only days and grid boxes that have MODIS AOD (i.e.  $AOD_{MODIS}^{clr}$  defined in the texts) are used.

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We now consider more directly, differences between the MATCH and MERRA2

259 climatological AOD fields for all-sky and estimated clear sky conditions. **Figure 5** shows

260  $1^\circ \times 1^\circ$  climatological mean maps of MATCH AOD on the left and its difference from

261 MERRA2 on the right for all sky (top maps) and estimated clear sky (bottom maps)

262 conditions for March 2000 through February 2020. A clear-sky area weighted monthly

263 mean aerosol optical depth is derived by averaging daily mean aerosol optical depth

264 weighted by clear fraction (hereinafter  $\overline{AOD_{MODIS}^{clr}}$ , overbar indicates monthly mean),

265 where the clear fraction is derived from MODIS on Terra and Aqua (Loeb et al. 2020,

266 Minnis et al. 2020). MATCH all-sky AOD (hereinafter  $\overline{AOD^{all}}$ ) is larger than MERRA2

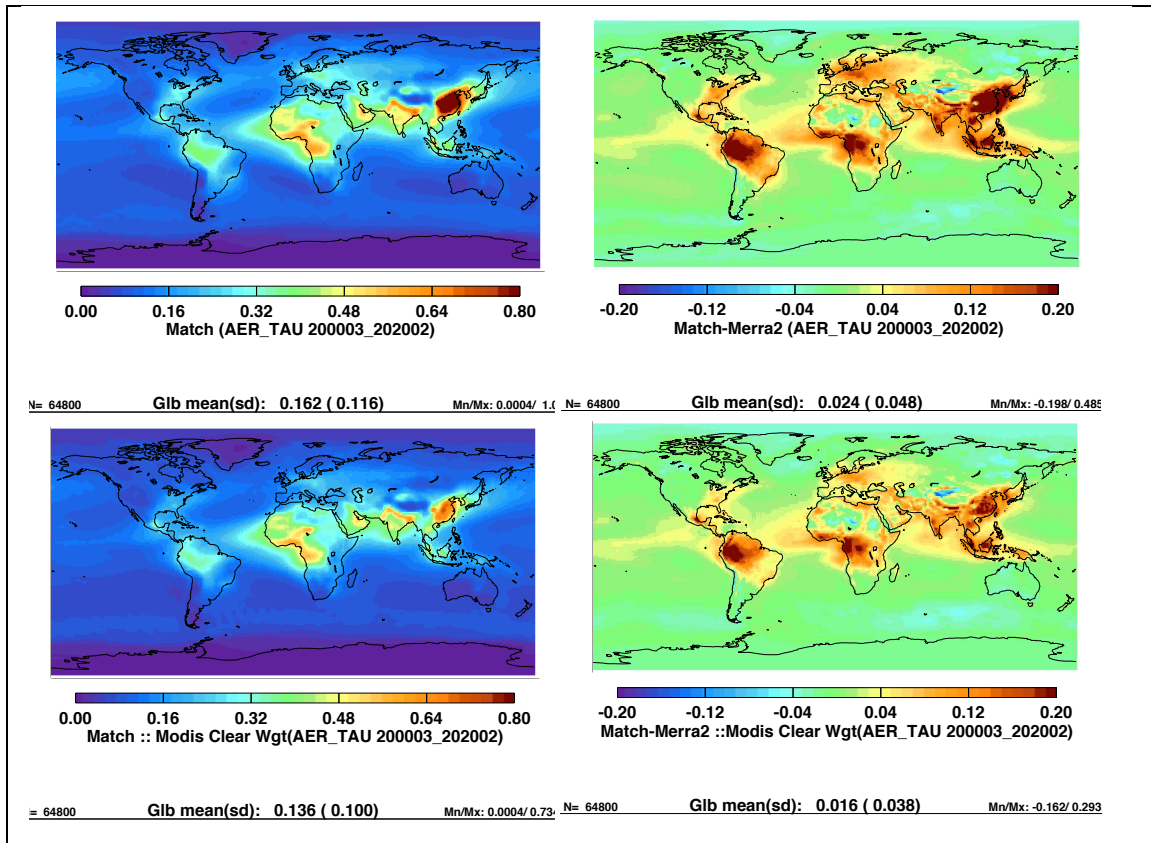
267  $\overline{AOD^{all}}$ , particularly over the rain forest regions of the globe as well as India and China.

268 Although the difference is smaller, the difference of  $\overline{AOD_{MODIS}^{clr}}$  shows a similar spatial  
269 pattern (**Fig. 5** bottom right) to the all-sky difference. This is consistent with **Fig. 3**,  
270 showing that MERRA2  $AOD_{MODIS}^{clr}$  underestimates AOD with respect to MODIS  
271  $AOD_{MODIS}^{clr}$ . A larger difference in MATCH AOD over convective regions (e.g. Amazon,  
272 central Africa, and south east Asia) is caused by how dark target and deep blue AOD are  
273 merged. As mentioned earlier, we do not use QAC to screen AOD. Convective clouds  
274 introduce a larger uncertainty to AOD because of a 3D radiation effect or poor fit to  
275 observations with retrieved AOD (personal communication with R. Levy 2020). For  
276 these situations, AODs associated with QA confidence scores less than 2 are screened out  
277 in the MOD08 dark target and deep blue merged product (Levy et al. 2013).

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**Figure 5.** Left) Climatological mean aerosol optical depth from MATCH and right) the difference between MATCH and MERRA2 (MATCH – MERRA2). Top maps are for all-sky (i.e.  $AOD_{MODIS}^{all}$ , bottom maps are for clear-sky area weighted average of AOD (i.e.  $AOD_{MODIS}^{clr}$ ). Clear-sky weighted monthly mean AOD is derived by averaging daily mean aerosol optical depth weighted by daily  $1^\circ \times 1^\circ$  gridded mean clear fraction where the clear fraction is derived from MODIS based cloud fraction on Terra and Aqua.

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### 282 2.3 Comparison with AERONET

283 The above results indicate that both MATCH  $AOD_{MODIS}^{clr}$  and MERRA2

284  $\overline{AOD_{MODIS}^{clr}}$  are generally smaller than MODIS  $\overline{AOD_{MODIS}^{clr}}$ . Larger difference between

285 MATCH and MERRA2  $\overline{AOD_{MODIS}^{all}}$  over convective regions originated from merged AOD

286 product used for the assimilation. Of primary importance to radiative transfer calculations

287 within the SYN1deg product is the ability of the MATCH model to accurately represent

288 total column aerosol optical depth. To test the overall accuracy, we use observations from

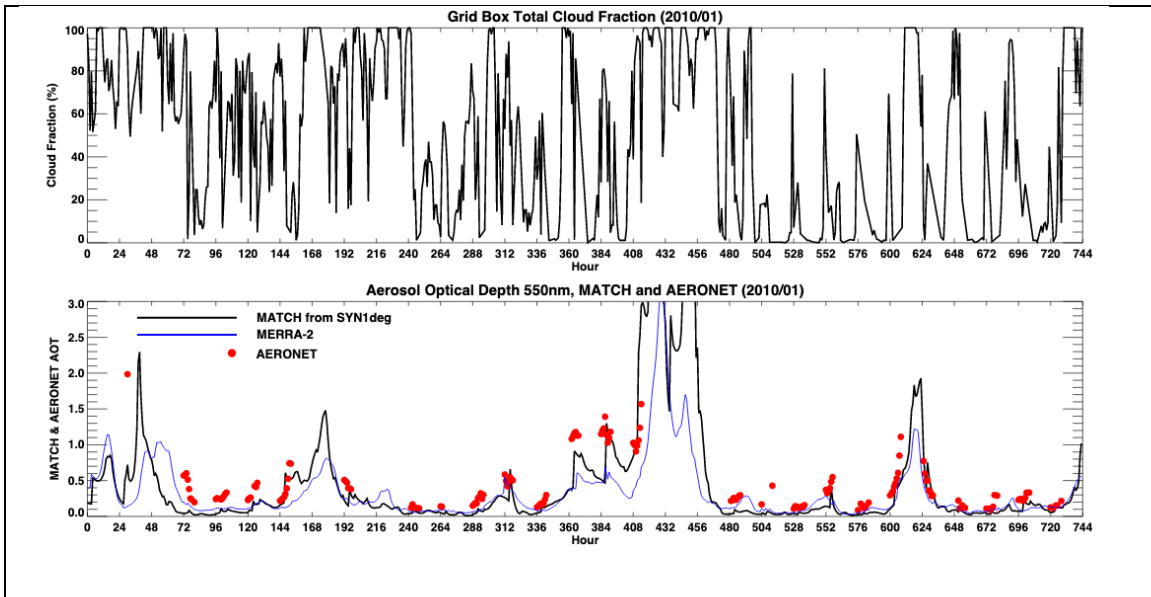
289 the AERosol RObotic NETwork (AERONET). AERONET is a global federation of



290 ground-based remotes sensing sites developed by NASA and now supported by a number  
291 of institutions around the world (Holben et al. 1998). Each site maintains a CIMEL sun-  
292 photometer that scans the daytime sky every 20 minutes. Collected data are processed  
293 according to standards of calibration and processing maintained by the AERONET  
294 project. Here we utilize Level 2.0, data that have been screened for clouds and quality  
295 assured (Smirnov et al. 2000).

296 **Figure 6** shows an hourly time series of AOD from MATCH, MERRA2 and  
297 AERONET for January 2010 at the Beijing China AERONET site. The top plot shows  
298 cloud fraction time series derived from MODIS and GEOs from the SYN1deg Ed4.1  
299 product (Rutan et al. 2015), and the bottom plot shows AOD time series. Generally, both  
300 models produce a large variability of AOD at this site fairly well over the course of the  
301 month. While both MERRA2 and MATCH AODs increase near times when cloud  
302 fraction approaches 100%, the increase of MATCH AOD, which correlates with the  
303 increase of AERONET AOD relatively well, is larger than the increase of MERRA2  
304 AOD. Although the temporal correlation coefficient of the MATCH and AERONET  
305 AODs is smaller at this site during summer months than during winter months (not  
306 shown), a good temporal correlation between MATCH and AERONET AODs is  
307 consistent across most locations and times we considered. To show this statistically, in  
308 the following, we extend this analysis to a number of AERONET sites grouped  
309 geographically based on general aerosol type.

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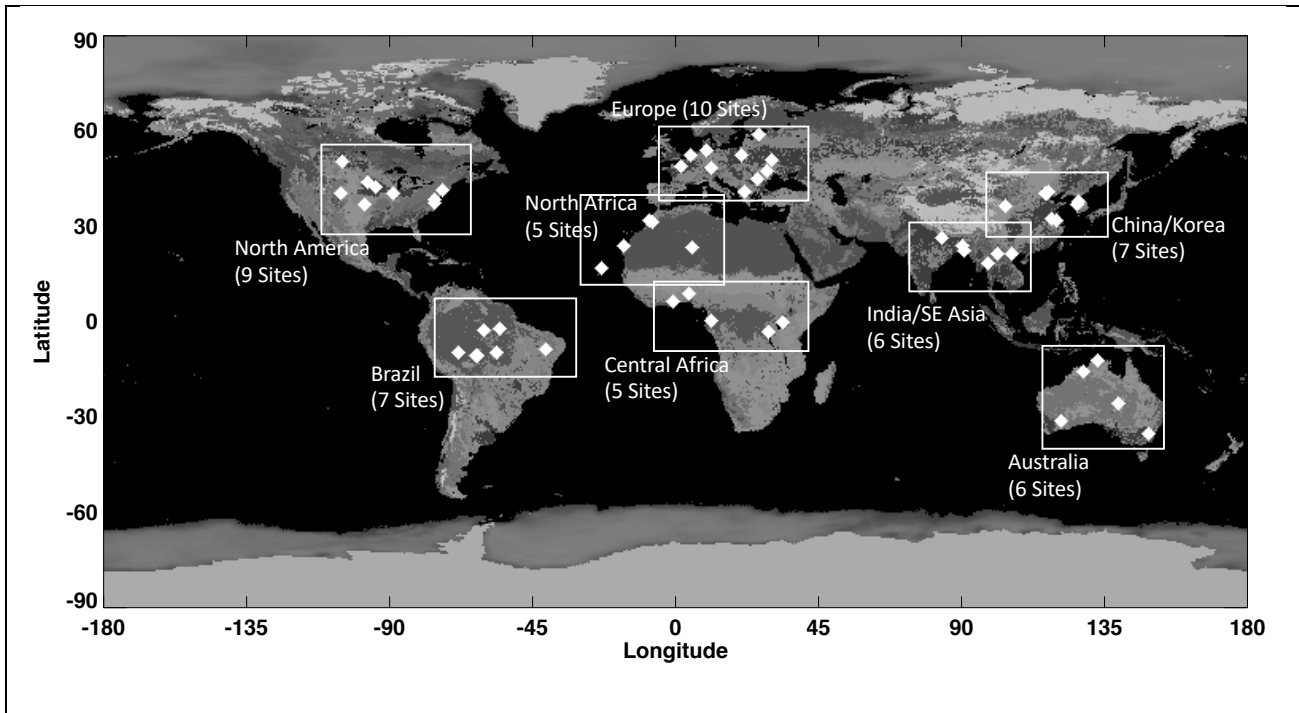


**Figure 6.** Hourly time series of grid box cloud fraction (top) from SYN1deg Ed4.1 CERES product and Aerosol Optical Depths (bottom). Results from the grid box containing the AERONET Beijing, CH site. Black line MATCH, blue line MERRA-2, red dots, AERONET observations. MATCH and, to a lesser degree MERRA-2 often have large increases in AOD when cloud fraction nears 100%.

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313           Aerosol optical depths from AERONET are nominally provided at 8 spectral  
314 channels, every 20 minutes given favorable conditions. We use two channels to derive  
315 observed AOD at 550 nm to compare to the AOD provided by the MATCH model.  
316 Because the SYN1deg radiative transfer calculation is done hourly, we average any  
317 observations within a given hour period centered at the 30<sup>th</sup> minute for each site  
318 collocated within a SYN1deg grid box. AERONET sites chosen are shown in **Fig. 7** with  
319 a complete listing of all sites in Appendix 1. Though we examine 55 sites over 20+ years,  
320 we aggregate the statistics within continental regions which naturally isolates them by  
321 general climatic conditions. Tables 3 and 4 show comparisons for each site grouping,  
322 respectively, for clear sky (less than 1% cloud identified by MODIS and geostationary  
323 satellites in the SYN1deg grid box) conditions and for all sky (any cloud condition within

324 the SYN1deg grid box) conditions. Using clear-sky scenes identified by MODIS only  
 325 gives the same statistical results with fewer number of samples. Statistics shown in  
 326 Tables 3 and 4 are the average observed value, mean bias (MATCH – Observation), root  
 327 mean square (RMS) difference and the correlation coefficient (R) over the time period  
 328 from March 2000 through February 2020. The actual time period varies depending on the  
 329 site due to AERONET data availability. The RMS difference and correlation coefficient  
 330 are computed by each site with hourly mean values where observations are available  
 331 from March 2000 through February 2020. For comparison purposes we show the same  
 332 statistics derived from observations compared to MERRA2 AODs using the identical  
 333 hours. We note, however, that MERRA2 assimilates AERONET while MATCH AODs  
 334 are independent from AERONET AODs.



**Figure 7.** Location of AERONET sites and how they grouped for calculations of mean/bias/RMS with respect to MATCH and MERRA-2 optical depths found in tables 3 and 4.

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336 MATCH AOD for the Brazil group is biased high by 0.02, and the China/Korea  
337 group has no appreciable bias compared with AERONET AODs. These two regions have  
338 relatively large bias of  $\langle AOD_{MODIS}^{clr} \rangle$  from MATCH compared with MODIS AODs (**Fig. 3**  
339 right). In contrast, negative bias of MERRA2 AODs compared with AERONET AODs  
340 for Brazil, central Africa, and China/Korea groups are consistent with negative bias of  
341 MERRA2  $\langle AOD_{MODIS}^{clr} \rangle$  compared with MODIS AODs (**Fig. 3** left). For the China/Korea  
342 group, the RMS difference between MATCH AODs and AERONET AODs is 0.18 and  
343 correlation coefficient is 0.7. These are worse than the counterpart values of MERRA2  
344 versus AERONET AODs because summertime agreement between MATCH and  
345 AERONET AODs is worse if a similar plot as **Fig. 6** is plotted for summertime when  
346 hygroscopic aerosols are dominant under high relative humidity conditions.

347 The sign of the MATCH AODs compared to AERONET AODs for all-sky  
348 conditions is generally consistent with the sign of clear-sky counterparts. The RMS  
349 difference under all-sky conditions is generally larger than the clear-sky RMS difference  
350 while the correlation coefficient is nearly the same. The biases for MERRA2  
351 comparisons are generally comparable to MATCH though RMS for MERRA2 tend to be  
352 slightly smaller and correlations tend to be higher due in part to the assimilation of  
353 AERONET into the MERRA2 model.

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Table 3. Hourly AERONET station statistics for MATCH and MERRA-2.  
Continental Groups, Clear Sky conditions<sup>1</sup>

Site	MATCH						MERRA-2		
	Predominant Aerosol Type	Number	Observed Average	Bias	RMS	R <sup>2</sup>	Bias	RMS	R <sup>2</sup>
Australia (5 Sites)	Dust Smoke	20925	0.06	0.01	0.06	0.4	0.03	0.05	0.7
Brazil (7 Sites)	Smoke Polluted	6554	0.14	0.02	0.10	0.8	-0.02	0.08	0.9
Central Africa (5 Sites)	Smoke	2139	0.70	-0.10	0.24	0.9	-0.10	0.24	0.9
North Africa (5 Sites)	Dust	10047	0.17	0.07	0.15	0.7	0.02	0.10	0.8
China/Korea (8 Sites)	Polluted	2827	0.26	-0.00	0.18	0.7	-0.03	0.15	0.8
India/SE Asia (6 Sites)	Smoke Polluted	3010	0.51	-0.09	0.28	0.6	-0.10	0.24	0.8
North America (9 Sites)	Continental Polluted	21429	0.10	-0.00	0.07	0.7	0.00	0.06	0.8
Europe (10 Sites)	Continental Polluted	10211	0.13	0.01	0.07	0.7	-0.02	0.05	0.8

<sup>1</sup>The time period used is from Mar 2000 through Apr 2020. Actual period varies by site depending on AERONET data availability. Clear Sky is identified by MODIS and geostationary satellites and the cloud fraction is less than 1% over a SYN1deg grid box.

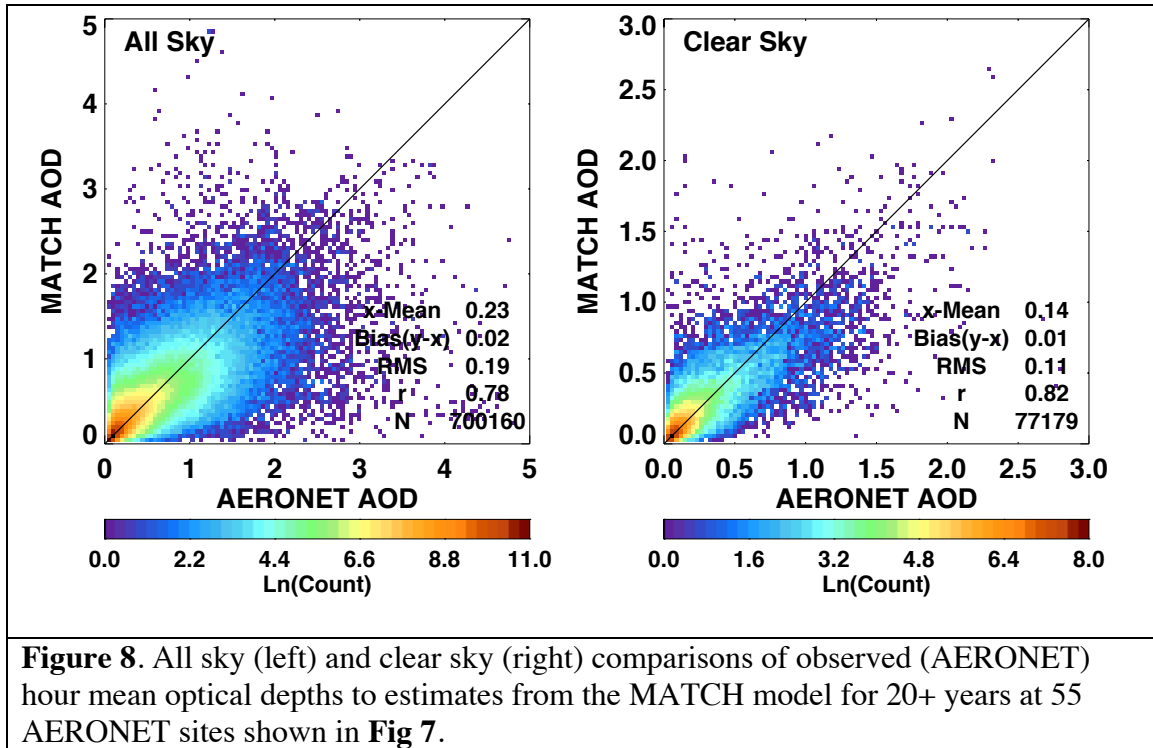
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Table 4. Hourly AERONET station statistics for MATCH and MERRA-2.  
Continental Groups, All Sky Conditions<sup>1</sup>

Site	MATCH						MERRA-2		
	Predominant Aerosol Type	Number	Observed Average	Bias	RMS	R <sup>2</sup>	Bias	RMS	R <sup>2</sup>
Australia (5 Sites)	Dust Smoke	110523	0.09	0.00	0.09	0.5	0.02	0.07	0.8
Brazil (7 Sites)	Smoke Polluted	72656	0.25	0.03	0.23	0.8	-0.04	0.18	0.9
Central Africa (5 Sites)	Smoke	41193	0.55	-0.07	0.26	0.8	-0.10	0.26	0.9
North Africa (5 Sites)	Dust	43205	0.23	0.08	0.20	0.7	0.01	0.14	0.8
China/Korea (8 Sites)	Polluted	52287	0.45	0.01	0.31	0.7	-0.08	0.27	0.8
India/SE Asia (6 Sites)	Smoke Polluted	44534	0.61	-0.06	0.32	0.6	-0.10	0.32	0.7
North America (9 Sites)	Continental Polluted	160356	0.13	0.02	0.13	0.6	0.00	0.09	0.7
Europe (10 Sites)	Continental Polluted	175010	0.18	0.04	0.14	0.6	-0.02	0.08	0.8

<sup>1</sup> The time period used for the statistics is from March 2000 through April 2020. The actual period varies by site depending on AERONET data availability.

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Results for all points across all sites and times are shown in **Figure 8**. The color density plots are in log scale and indicate the vast majority of observations have an AOD of less than one for both clear and all sky conditions observed within the SYN1deg grid box. Biases are less than 10% of the mean value but RMS is large relative to the mean observed value. Overall correlation is approximately 0.8. The ‘clear sky’ hours (where SYN1deg estimated less than 1% cloud in the grid box based on MODIS and GEO observations) is a little more than 10% of the overall points. When MATCH AOD is compared to MERRA2 AOD (not shown) MATCH is biased approximately 10% higher.

374 **3. Discussion of AOD Differences**

375 In this section, we investigate the reason for the AOD differences shown in the  
376 previous section. In addition, we estimate the effect of the AOD differences to surface  
377 irradiances when MATCH AODs are used for surface irradiance computations.

378 Generally, cloud contamination in MODIS AODs is caused by unresolved sub-  
379 pixel scale clouds (Kaufman et al. 2005; Martins et al. 2002). The difference shown over  
380 convective regions, therefore, seems to be caused by the uncertainty due to 3D radiative  
381 effects that impact retrieved AODs by unknown amounts (Wen et al. 2007), by errors in  
382 estimating the fraction of hygroscopic aerosols or by the errors in estimating water uptake  
383 by hygroscopic aerosols (Su et al 2008). Larger AODs are screened out in the MOD08  
384 data product while the CERES team uses all retrieved AODs regardless of the QAC  
385 score. The comparison with AERONET AODs is not decisive to determine how to screen  
386 MODIS AODs because MATCH AODs are positively biased and MERRA2 AODs are  
387 negatively biased for the Brazil group. The result underscores the difficulty of deriving  
388 accurate AODs, which appear to involve requirements in addition to identification of  
389 clear-sky scenes. Levy et al. (2013) list reasons lowering the QAC score as 1) pixels are  
390 thrown out due to cloud masking, 2) retrieval solution does not fit the observation well,  
391 and 3) the solution is not physically plausible given the observed situation. Therefore,  
392 even though the difficulty of identifying clear-sky scenes is driven by cloud  
393 contamination by trade cumulus (Loeb et al. 2018), the difficulty of deriving AODs exists  
394 over convective regions (Varnai et al., 2017).

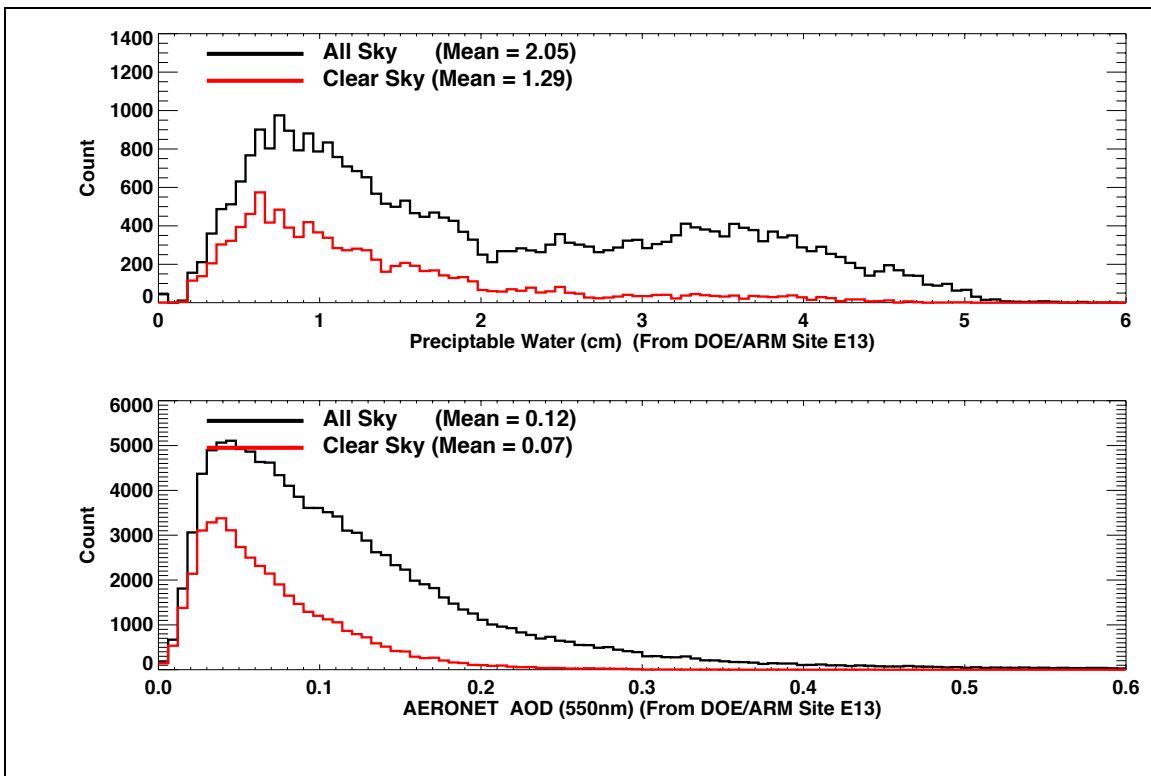
395 Larger positive biases of MATCH AODs compared with AERONET AODs exist  
396 over Africa (Tables 3 and 4). For North Africa, the bias is known to be caused by

397 excessive dust generated by the MATCH algorithm. Even though modeled aerosols are  
398 not often used over north Africa owing to the abundance of clear-sky conditions, the dust  
399 problem leads to a larger positive AOD bias. In addition, MATCH uses fixed aerosol  
400 sources in time. Therefore, it tends to miss large aerosol events, such as forest fires, until  
401 clear-sky conditions occur, allowing observations of the event by MODIS. This leads to a  
402 larger RMS difference and lower correlation coefficient with AERONET AODs  
403 compared with those from MERRA2 versus AERONET.

404         Because MODIS AOD are not generally available under overcast conditions, the  
405 reliance on modeled AOD increases as the cloud fraction over a  $1^\circ \times 1^\circ$  grid increases.  
406 **Figure 6**, which shows that AERONET AOD increases with cloud fraction derived from  
407 satellites, indicates that as the cloud fraction over a  $1^\circ \times 1^\circ$  grid increases, AOD over the  
408 clear-sky portion of the grid increases. In addition, **Fig. 6** suggests that modeled AODs  
409 under near overcast conditions are significantly larger than clear-sky AODs that are  
410 constrained by MODIS observations. Because we are not able to evaluate AODs under  
411 overcast conditions, here we only assess AOD changes with cloud fraction using ground-  
412 based observations. **Figure 9** shows the distribution of AERONET AODs for clear-sky  
413 and all-sky conditions, as well as precipitable water derived from a microwave  
414 radiometer separated by these two conditions. Clear-sky is identified by the Long-  
415 Ackerman algorithm (Long et al. 2006) that uses surface direct and diffuse irradiances.  
416 **Figure 9** shows that AOD and precipitable water under all-sky conditions are  
417 significantly larger than those under clear-sky conditions. When we use cloud fraction  
418 derived from satellite and plot AOD and precipitable water as a function of the cloud  
419 fraction using the same grid box where the ground site is located, AOD and precipitable

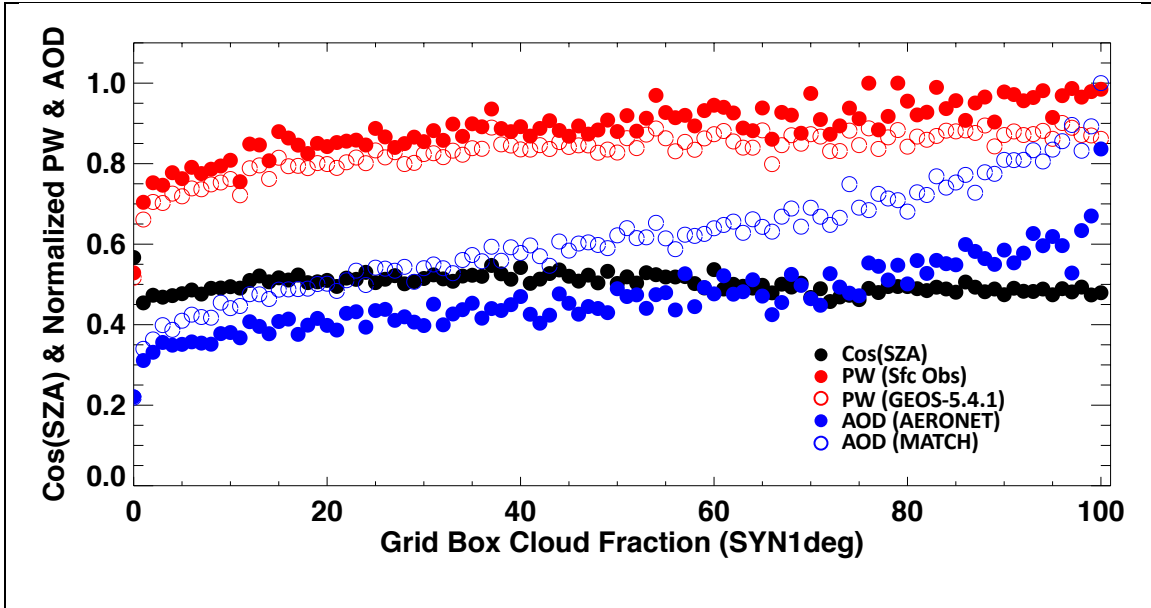


420 water increase with the cloud fraction (**Fig. 10**). Therefore, increasing AOD with cloud  
421 fraction shown in **Fig. 6** is qualitatively explained by increasing AOD of hygroscopic  
422 aerosols with relative humidity. However, **Fig. 10** indicates that either the growth of  
423 MATCH AOD is too strong or modeled MATCH AOD under all-sky conditions is too  
424 large.  
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**Figure 9.** a) 15-minute mean precipitable water distributions from Microwave radiometer observations at ARM/SGP E13 site under all sky and clear sky conditions. b) 15-minute mean aerosol optical depth distributions from AERONET sun-photometer at 550nm. 'Clear sky' is here defined as when a 15-minute time period where the SWFA, surface radiometry-based cloud fraction, equals 0.

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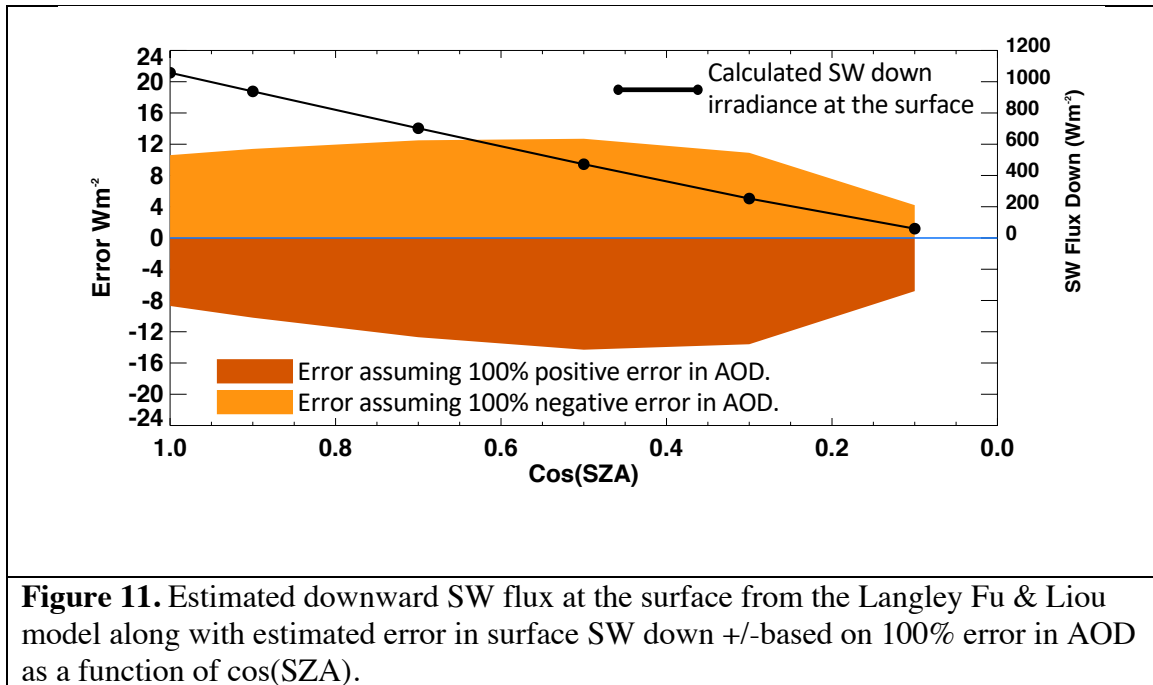
**Figure 10.** Aerosol optical depth (AOD) and precipitable water (PW) as a function of cloud fraction over the  $1^{\circ} \times 1^{\circ}$  grid box where the ARM/SGP E13 and SURFRAD Bondville IL sites are located. Closed and open blue circles are, respectively, AOD derived from AERONET and MATCH AOD. Closed and open red circles are, respectively, PW derived from microwave radiometer and CIMEL sun photometer and GEOS-5.4.1 PW. Cloud fractions are derived from MODIS and geostationary satellites. Black dots are mean cosine solar zenith angle of the time of AOD and PW observations. AOD and PW are normalized to their maximum value for display.

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### 435 3.1 Effect of AOD differences on surface irradiances

436 **Figure 11** shows a summary of a series of radiative transfer calculations using the  
 437 “On-Line Langley Fu & Liou radiative transfer code:

438 [https:// cloudsgate2.larc.nasa.gov/cgi-bin/fuliou/runfl.cgi](https://cloudsgate2.larc.nasa.gov/cgi-bin/fuliou/runfl.cgi)

439 with an open shrub spectral albedo (broadband albedo of 0.14 at  $\mu_0=1.0$ ), “continental”

440 aerosol, and no clouds. Values on the solid black line are the calculated surface

441 downward irradiances with an AOD of 0.09 at six different solar zenith angles.

442 Calculations were then done for AOD’s of 0.0 and 0.18, at the same solar zenith angles,

443 representing 100% error bounds of the mean AODs derived from AERONET (as seen in

444 Tables 3 and 4 for the Australia sites where the RMS is approximately equal to the

445 observed average of AOD). The orange and red shaded areas indicate potential bias of

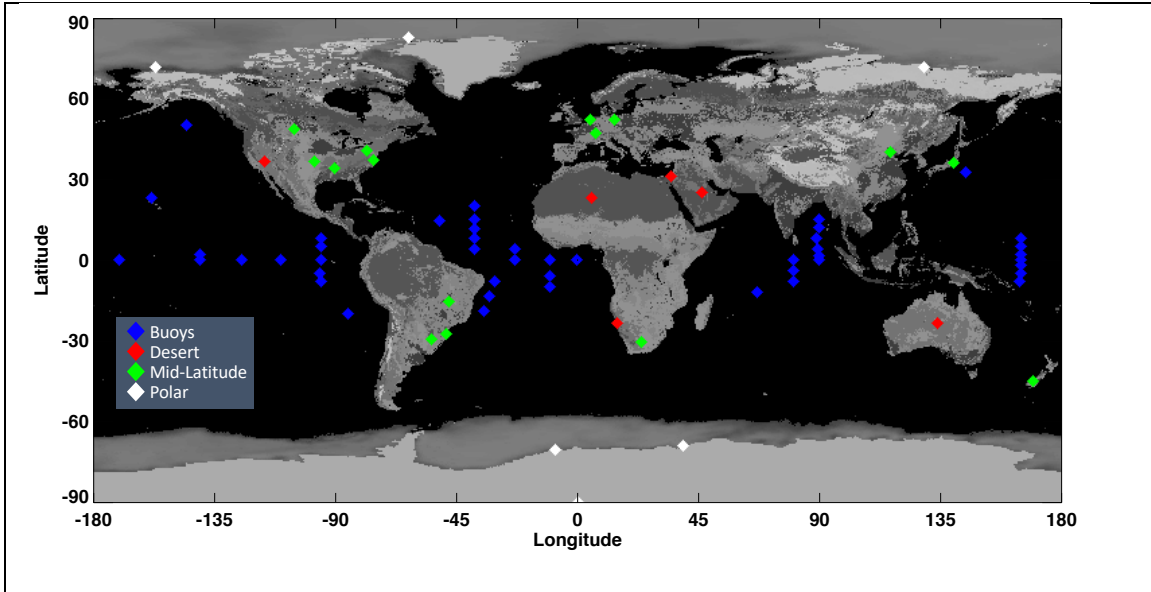
446 the downward shortwave irradiance at a given solar zenith angle. Irradiance values scale

447 nearly linearly between these limits. **Figure 11** shows the error remains nearly constant  
448 until a  $\mu_0=0.5$  where it begins to decrease as insolation decreases. However, due to longer  
449 path lengths at large solar zenith angles, the percentage error actually increases.

450

#### 451 **4. Clear Sky Comparisons of SYN1deg and Surface Observed Irradiances**

452 We complete our analysis of the impact of the MATCH aerosols on computed  
453 surface irradiances by comparing calculated hourly mean surface downward shortwave  
454 irradiance from the Ed4.1 SYN1deg-Hour product to observations of downward  
455 shortwave irradiances. In a  $1^\circ \times 1^\circ$  grid box with an approximate size of 111 km  $\times$  111 km,  
456 100% clear sky sampled over one hour as determined by MODIS or geostationary  
457 satellites is relatively rare. None the less, by grouping sites based on general surface  
458 conditions and analyzing 20 years of data sufficient samples are found. **Figure 12** shows  
459 the sites as grouped by color including 15 land sites labeled “Mid-Latitude” (Green  
460 triangles), 6 sites labeled “Desert” (Red), 6 sites labeled “Polar” (White) and 46 buoys  
461 (Blue). Surface observed SW irradiance from the land sites comes from the Baseline  
462 Surface Radiation Network (Ohmura et al. 1998; Dreimel et al. 2018) and buoy data are  
463 made available

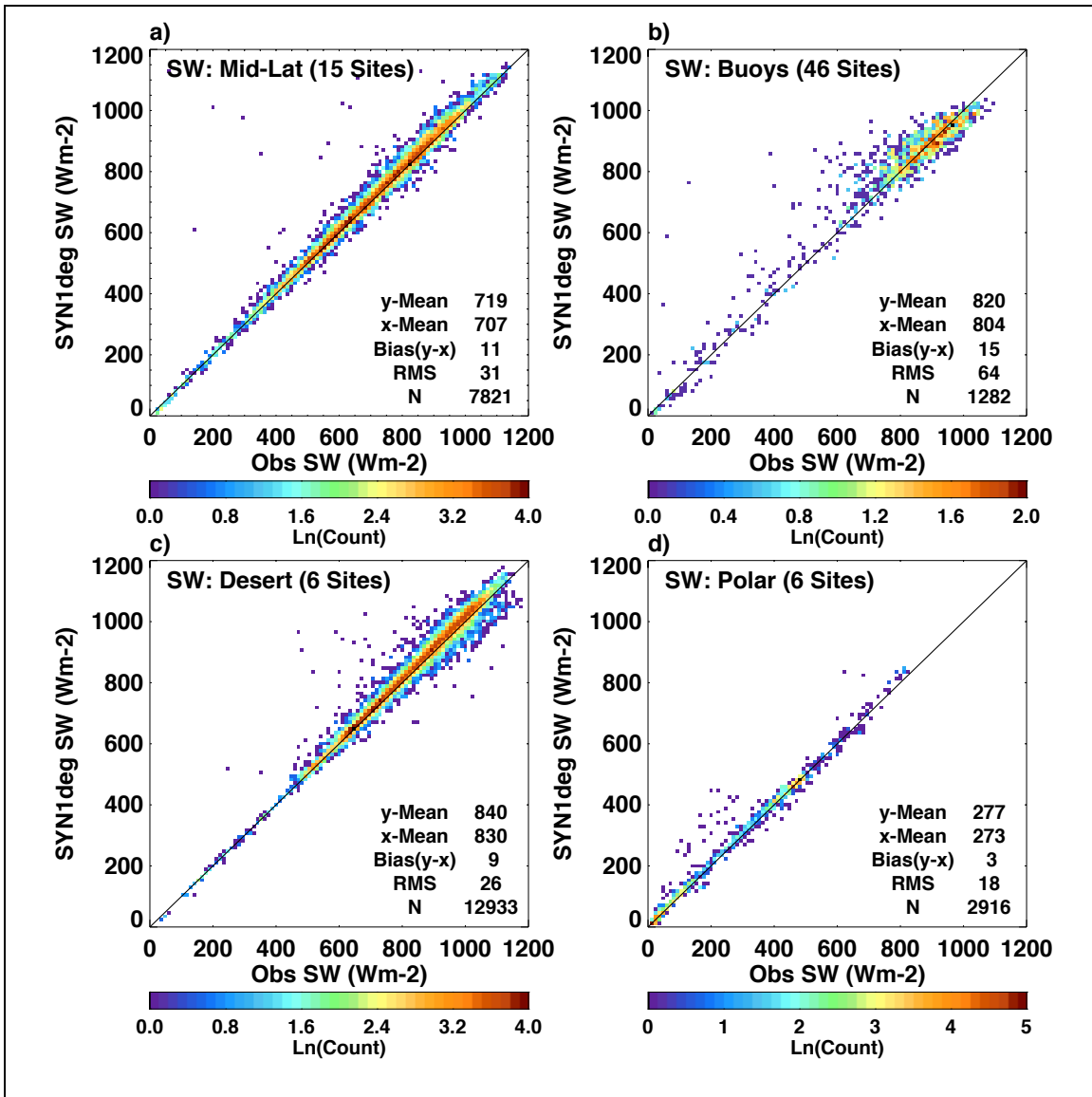


**Figure 12.** Location of surface observations of downwelling shortwave irradiance used to compare the SYN1deg Ed4.1 calculations to observations for all available hours (from Mar 2000 through Dec 2019) where the SYN1deg cloud analysis determines the hour to be 100% clear sky.

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465 from the Pacific Marine Environmental Lab (PMEL) (McPhaden et al. 2002, 2009) and  
 466 the Woods Hole Oceanographic Institute (WHOI) (Colbo and Weller, 2009). A complete  
 467 listing is given in Appendix A.

468 **Figure 13** shows hourly comparisons of computed clear-sky downward  
 469 shortwave irradiance compared to observations for the four groups of sites shown in **Fig.**  
 470 **12**. In general, the calculated irradiance is larger than observed downward shortwave  
 471 irradiance. There we find that in every grouping, SYN1deg calculations tend to be too  
 472 transmissive, overestimating the surface downwelling SW irradiance by between  $4 \text{ Wm}^{-2}$   
 473 (polar sites) and  $16 \text{ Wm}^{-2}$  (ocean buoys) with mid-latitude and desert sites each  
 474 overestimating DSF by  $\sim 10 \text{ Wm}^{-2}$ . It's notable that the smallest overestimation is in polar  
 475 regions where column AOD would be the smallest. This points to the possibility that  
 476 MATCH is weighted too far towards scattering aerosols and too few absorbing aerosols.



**Figure 13.** Comparisons of SW downward irradiance at the surface from the SYN1deg Ed4.1 calculations (y-axis for all plots) and BSRN and buoy surface sites (x-axis all plots). Data are from Mar 2000 through Feb 2020 and only include hours when a 1x1 grid box is 100% clear sky according to SYN1deg cloud fraction.

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Clear-sky scenes used for **Fig. 13** are those identified by MODIS and

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geostationary satellites over the  $1^\circ \times 1^\circ$  grid where the ground site is located. That is, when

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the satellites did not detect clouds over the one-hour period within the grid box, we

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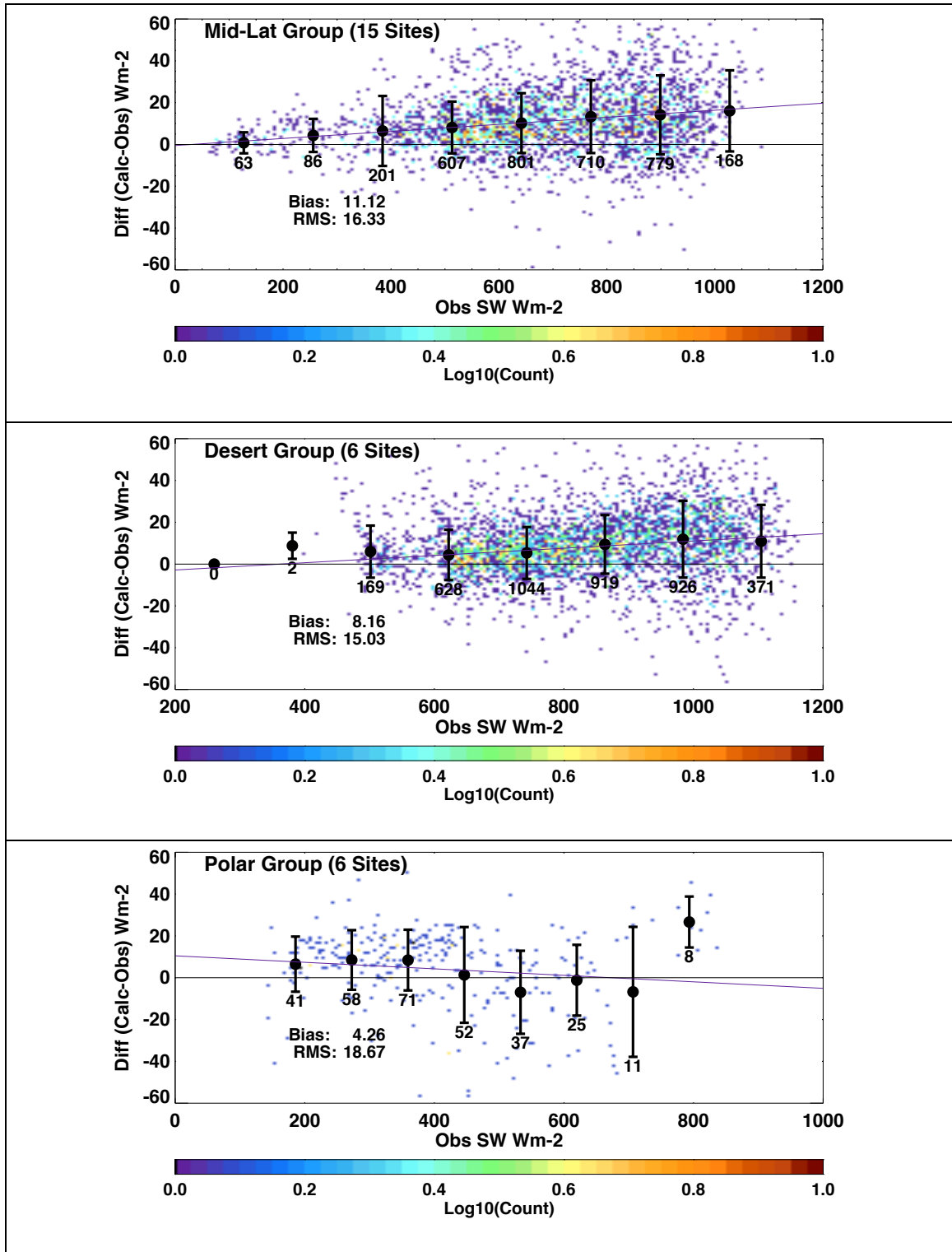
compared the computed and observed hourly mean downward shortwave irradiances.

482

Downward SW irradiance is nominally measured by a shaded pyranometer combined

483 with the direct insolation measured by a pyrliometer on a solar tracker. Though the  
484 satellite may indicate clear, clouds might have been present within the field-of-view of  
485 the pyranometer increasing diffuse radiation. This would increase the observed  
486 downward shortwave irradiance, hence the modeled irradiance would be smaller. To  
487 verify, we used the ground-based cloud screening algorithm developed by Long and  
488 Ackerman (Long et al. 2006) to further screen clouds. For the land groupings, plots  
489 showing the difference between calculation minus observation as a function of  
490 observation, utilizing both the satellite and surface based observed cloud fraction to 0.0,  
491 are shown in **Fig. 14**. As shown in the plot, mean bias did not change significantly.  
492 However, the RMS in both the Mid-Latitude and Desert sites was reduced by half due to  
493 the more stringent cloud screening (**Fig. 14**).

494



**Figure 14:** Difference of computed and observed clear-sky downward shortwave irradiance at the surface as a function of observed surface irradiances. Each data point is hourly mean irradiance. Clear-sky is identified by MODIS and ground based observations by the Long-Ackerman algorithm. Top, middle and bottom plots are for midlatitude, desert, and polar groups shown in Figure 11.



495 CERES instruments observe TOA irradiances, which can be used to assess the  
496 bias in computed irradiance. Global annual mean clear-sky TOA irradiances derived from  
497 CERES observation averaged over 20 years from March 2000 through February 2020 are  
498 53 Wm<sup>-2</sup> for reflected shortwave irradiance and 268 Wm<sup>-2</sup> for emitted longwave  
499 irradiance. Corresponding computed reflected shortwave flux is 51 Wm<sup>-2</sup> and emitted  
500 longwave flux is 267 Wm<sup>-2</sup>. Insight into the surface irradiance errors may be gained by  
501 considering how surface irradiance is modified via the tuning algorithm to match TOA  
502 irradiance in the CERES EBAF-surface product (Kato et al. 2018). After known biases  
503 are taken out, the adjustment of temperature and specific humidity profiles, surface and  
504 aerosol properties are derived based on their pre-assigned uncertainty and the difference  
505 of computed and observed TOA shortwave and longwave irradiance using the Lagrange  
506 multiplier approach. To match the computed shortwave and longwave fluxes, AOD is  
507 increased from 0.136 to 0.156 (global annual mean values) and precipitable water is  
508 decreased from 2.29 cm to 2.22 cm (global annual mean values). These adjustments  
509 change the downward shortwave irradiance from 244 Wm<sup>-2</sup> to 243 Wm<sup>-2</sup>.

510 To analyze how the EBAF tuning process changes surface irradiance, AOD and  
511 precipitable water, we computed the mean change separated by surface group shown in  
512 Fig 13. Generally, AOD increases and precipitable water decreases to increase reflected  
513 shortwave flux, which in turn decreases surface downward shortwave irradiance over  
514 these regions (**Table 5**). For the midlatitude group, on average, AOD is increased by  
515 0.02, precipitable water is decreased by 0.06 cm, and surface albedo is increased by 0.03.  
516 These adjustments reduce the diurnally averaged downward shortwave irradiance at the  
517 surface by 2 Wm<sup>-2</sup>. We do not have exact matches of BSRN and AERONET surface sites

518 but Tables 3 and 4 show MATCH AODs have either no bias (north America and China  
519 and southeast Asia) or slightly negatively biased by 0.01 (Europe). Therefore, increasing  
520 MATCH AODs by 0.02 on average for the mid-latitude group seems justifiable.  
521 However, decreasing  $2 \text{ Wm}^{-2}$  for the diurnally averaged downward shortwave is smaller  
522 than the  $12 \text{ Wm}^{-2}$  bias shown in the top right plot of **Fig. 11**, although instantaneous  
523 irradiances are used for **Fig. 10**. The positive bias found in the downward shortwave  
524 irradiance for the North Africa group (Fig 12c) is not consistent with the positive bias of  
525 aerosol optical depth shown in Table 3 under clear-sky conditions.

526         The adjustment made to match TOA shortwave irradiance, in the EBAF product,  
527 is within the uncertainty of MODIS-derived AOD of  $\pm 0.05$  over land and  $\pm 0.03$  over  
528 ocean (Remer et al. 2008; Levy et al. 2010, 2013). However, these are an expected error  
529 of instantaneous AOD retrieval derived from the comparison of AODs with AERONET.  
530 Therefore, the bias averaged over ground sites and many years is expected to be much  
531 smaller. Although, the 0.03 AOD adjustment over ocean might be the upper limit of the  
532 uncertainty of MODIS AODs over ocean,  $16 \text{ Wm}^{-2}$  bias in the instantaneous downward  
533 shortwave irradiance seems to be larger than the reduction by  $2 \text{ Wm}^{-2}$  in the diurnally  
534 averaged downward shortwave irradiance.

535         While we cannot identify the cause of the discrepancy between AOD comparison  
536 and downward shortwave irradiance comparison with surface observations, potential  
537 issues are following. 1) Aerosol type and optical properties used in irradiance  
538 computations, and 2) bias in downward shortwave irradiance measured by pyranometer,  
539 especially diffuse irradiance at smaller solar zenith angles. Because of the temperature  
540 gradient within pyranometer, the downward shortwave irradiance measured by a

541 pyranometer tends to be biased low under clear-sky condition (Haeffelin et al. 2001).  
 542 Note that a study by Ham et al. (2020) indicates that the bias of diurnally averaged  
 543 surface downward shortwave irradiance computed by a four-stream model should be  
 544 smaller than 1%.

545

546 **Table 5:** Radiative flux, aerosol optical depth (AOD), precipitable water, and surface  
 547 albedo change to match observed top-of-atmosphere radiative fluxes

		<u>Changes: adjusted - unadjusted</u>				
	<u>Observed TOA upward shortwave irradiance (Wm<sup>-2</sup>)</u>	<u>Clear-sky TOA upward shortwave irradiance (Wm<sup>-2</sup>)</u>	<u>Clear-sky surface downward shortwave irradiance (Wm<sup>-2</sup>)</u>	<u>Clear-sky AOD</u>	<u>Clear-sky precipitable water (cm)</u>	<u>Clear-sky surface albedo</u>
<u>Mid-latitude</u>	<u>63.3</u>	<u>3.9</u>	<u>-2.0</u>	<u>0.02</u>	<u>-0.06</u>	<u>0.03</u>
<u>Desert</u>	<u>92.3</u>	<u>3.4</u>	<u>-1.7</u>	<u>0.02</u>	<u>-0.04</u>	<u>0.01</u>
<u>Polar</u>	<u>86.5</u>	<u>8.2</u>	<u>-0.2</u>	<u>0.01</u>	<u>-0.03</u>	<u>0.10</u>
<u>Buoys</u>	<u>42.0</u>	<u>1.6</u>	<u>-2.0</u>	<u>0.03</u>	<u>-0.12</u>	<u>0.00</u>

548

549 **5. Conclusions**

550 We evaluated MATCH aerosol optical depth used to produce the CERES  
 551 SYN1deg product. Aerosol optical depths derived from Terra and Aqua by the dark target  
 552 and deep blue algorithms were merged to produce daily gridded AODs. Daily gridded  
 553 AODs were used for assimilation by MATCH at local solar noon. As a consequence,  
 554 monthly mean AODs under clear-sky conditions identified by MODIS closely agree with  
 555 those derived from MODIS, although MATCH uses climatological aerosol sources.  
 556 Because AODs are not screened by QAC, MATCH AODs are larger over convective  
 557 regions (e.g. Amazon, central Africa, and south east Asia) for both clear-sky and all-sky  
 558 conditions.

559 MATCH AODs under all-sky conditions are larger than those under clear-sky  
560 conditions. Time series of AERONET AODs indicate that AODs generally increase with  
561 cloud fraction, which is consistent with, primarily, water uptake by hygroscopic aerosols  
562 (Varnai et al, 2017). In addition, surface observations at the ARM SGP site suggest that a  
563 larger AODs and larger precipitable water under all-sky conditions than those under  
564 clear-sky conditions. Aerosol optical depth biases from AERONET AODs are  
565 comparable to biases of MERRA2 AOD biases from AERONET AODs for both all-sky  
566 and clear-sky conditions. However, MERRA2, which uses AERONET AODs to train the  
567 algorithm, has better temporal correlation with AERONET AODs than MATCH AODs.

568 Once MATCH AODs are used for surface irradiance computations, downward  
569 shortwave irradiances are positively biased by 1% to 2% compared to those observed at  
570 surface sites. Top-of-atmosphere reflected clear-sky shortwave irradiances are negatively  
571 biased compared with those derived from CERES observations. Increasing AODs by  
572  $\sim 0.02$ , and surface albedos by 0.03, and decreasing precipitable water by 0.06 cm over  
573 mid-latitude surface sites makes computed reflected TOA irradiances agree with those  
574 derived from CERES. These adjustments reduce downward shortwave irradiances at the  
575 surface by  $2 \text{ Wm}^{-2}$ . Decreasing MATCH AODs for the desert group is needed to match  
576 computed reflected shortwave irradiances at TOA with those derived from CERES.  
577 However, decreasing MATCH AODs is not consistent with generally larger MATCH  
578 AODs compared with AERONET.

579 Although optical properties of aerosols (i.e. aerosol type) play a role in computing  
580 shortwave irradiance, changing aerosol type can alter the downward shortwave irradiance  
581 in the right direction. We did not investigate the error in aerosol type in this study.

582 Aerosol types used in irradiance computations rely on those modeled by MATCH. Biases  
583 in the fraction of each aerosol type and their optical properties can change TOA upward  
584 and surface downward shortwave irradiances without altering total AOD. Evaluation of  
585 aerosol type is left for the future study.

586

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589 validation could not have been accomplished without the help of the CERES TISA team.  
590 These data were obtained from the NASA Langley Research Center EOSDIS Distributed  
591 Active Archive Center. We also wish to acknowledge the hard work put in by the many  
592 dedicated scientists maintaining surface instrumentation in many diverse climates to  
593 obtain high quality observations of downwelling shortwave and longwave surface flux.  
594 Those groups are noted in Appendix A.

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### 596 **Appendix A. Surface Observation Sites Used for Validation**

597 A great deal of data used in this study was collected by dedicated site scientists  
598 measuring critical climate variables around the world. The tables included in this  
599 appendix outline the sites, in situ measurements taken and their locations and dates of  
600 available data. Table A1 lists the locations of the AERONET sites, our source for  
601 observed aerosol optical depth which can be found on-line at:  
602 [https://aeronet.gsfc.nasa.gov/new\\_web/index.html](https://aeronet.gsfc.nasa.gov/new_web/index.html).

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Table A1. AERONET Observation Sites

Region	Site	Location	Available Months
North Africa (6 Sites)	Saada, Morocco	31.6N, 8.2W	2004/07 - 2019/04
	Ouarzazate, Morocco	30.9N, 6.9W	2012/02 - 2015/06
	Tenerife Isl., Spain	28.3N, 16.5W	2004/07 - 2019/04
	Dhaka, Morocco	23.7N, 15.9W	2002/02 - 2005/11
	Tamanrasset, Algeria	22.8N, 8.2E	2004/07 - 2019/04
	Cape Verde Island	16.7N, 22.9W	2000/03 - 2018/12
Central Africa (5 Sites)	Ilorin, Nigeria	8.5N, 4.7E	2000/03 - 2019/09
	Koforidua, Ghana	6.1N, 0.3W	2012/12 - 2019/04
	Lope, Gabon	0.2S, 11.6E	2014/04 - 2018/02
	Mbita, Kenya	0.4S, 34.2E	2006/03 - 2017/17
	Bujumbura, Burundi	3.4S, 29.4E	2013/12 - 2019/04
China, Korea (8 Sites)	Xinglong, China	40.4N, 117.6E	2006/02 - 2014/11
	Beijing, China	39.9N, 116.4E	2001/03 - 2019/03
	Anymon Isl, S Korea	36.5N, 126.3E	2000/03 - 2019/11
	Yonsei Univ, S Korea	37.6N, 126.9E	2011/03 - 2019/01
	Cuiying Mt, China	35.9N, 104.1E	2006/07 - 2013/05
	Nanjing, China	32.2N, 118.7E	2008/03 - 2010/04
	Taihu, China	31.4N, 120.2E	2005/09 - 2016/08
	XiangHe, China	39.7N, 116.9E	2001/03 - 2017/05
India, SE Asia (8 Sites)	Gandhi College, India	25.8N, 84.1E	2006/04 - 2019/11
	Luang Namtha, Laos	20.9N, 101.4E	2001/04 - 2019/02
	Omkoj, Thailand	17.8N, 98.4E	2003/02 - 2018/03
	Dhaka Univ, Bangladesh	23.7N, 90.3E	2012/06 - 2019/07
	Bhola, Bangladesh	22.2N, 90.7E	2013/04 - 2019/04
	Nghia Do, Vietnam	21.0N, 105.8E	2010/11 - 2019/09
	Pune, India	18.5N, 73.8E	2004/10 - 2019/06
	Hanimaadhoo, Maldives	6.7N, 73.2E	2004/11 - 2019/09

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Table A1. AERONET Observation Sites (Continued)

Region	Site	Location	Available Months
Brazil (7 Sites)	Petrolina, Brazil	9.1S, 40.4W	2004/07 - 2016/11
	Abracos Hill, Brazil	10.7S, 62.4W	2000/03 - 2005/10
	Alta Floresta, Brazil	9.9S, 56.1W	2000/05 - 2019/02
	Belterra, Brazil	2.6S, 55.0W	2000/03 - 2005/04
	Ji Parana SE, Brazil	10.9S, 61.9W	2006/01 - 2017/10
	Manaus, Brazil	2.9S, 60.0W	2011/02 - 2019/05
	Rio Branco, Brazil	9.9S, 67.9W	2000/07 - 2017/10
Australia (6 Sites)	Jabiru, Australia	12.6S, 132.9E	2000/03 - 2019/09
	Lake Argyle, Australia	16.1S, 128.7E	2001/10 - 2019/09
	Canberra, Australia	35.3S, 149.1E	2003/01 - 2017/08
	Birdsville, Australia	25.9S, 139.3E	2005/08 - 2018/06
	Lucinda, Australia	18.5S, 146.4E	2009/10 - 2020/01
	Lake Lefroy, Australia	31.2S, 121.7E	2012/06 - 2019/12
North America (10 Sites)	Brats Lake, Canada	50.2N, 104.7W	2000/03 - 2013/02
	Sioux Falls, SD	43.7N, 96.6W	2001/06 - 2017/10
	Ames, IA	42.0N, 93.8W	2004/05 - 2019/03
	Boulder Tower	40.0N, 105W	2001/05 - 2016/07
	Bondville, IL	40.0N, 88.4W	2000/03 - 2017/10
	Brookhaven, NY	40.8N, 72.9W	2002/09 - 2020/01
	Wallops Island, VA	37.9N, 75.5W	2003/03 - 2020/03
	ARM/SGP E13	36.6N, 97.5W	2000/03 - 2018/05
	Chesapeake Light Tower	36.9N, 75.7W	2000/03 - 2016/01
	Table Mountain, CO	40.1N, 105.2W	2008/11 - 2017/12
Europe (10 Sites)	Cabauw, Netherlands	51.9N, 4.9E	2003/04 - 2017/11
	Palaiseau, France	48.7N, 2.2E	2000/03 - 2020/10
	Torevere, Estonia	58.2N, 26.5E	2002/06 - 2019/07
	Kishinev, Moldova	47.0N, 28.8E	2000/03 - 2018/11
	Belsk, Poland	51.8N, 20.8E	2004/01 - 2016/08
	Kyiv, Ukraine	50.3N, 30.5E	2007/04 - 2018/12
	Hamburg, Germany	53.5N, 9.9E	2000/06 - 2018/06
	Munich Univ, Germany	48.1N, 11.6E	2001/11 - 2019/05
	Thessaloniki, Greece	40.6N, 22.1E	2003/06 - 2020/03
	Bucharest, Hungary	44.3N, 26.0E	2000/10 - 2019/03

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Sources of surface observed downwelling irradiance are outlined in Tables A2 (land) and A3 (buoys). For land we utilize data from the Baseline Surface Radiation Network (BSRN) (Dreimel et al, 2018; Ohmura et al. (1998)), the US Dept. of Energy's Atmospheric Radiation Measurement (ARM) program and NOAA's SURFRAD network available from NOAA's Air Resources Laboratory/Surface Radiation Research Branch., Augustine et al. (2000). Buoy observations come from

632 two sources through four separate projects. The Upper Ocean Processes group at  
633 Woods Hole Oceanographic Institute have maintained the Stratus, North Tropical  
634 Atlantic Site (NTAS) and Hawaii Ocean Time Series (HOTS) buoys for more than a  
635 decade providing valuable time series of radiation observations in climatically  
636 important regions of the ocean. These data can be retrieved from:  
637 <http://uop.whoi.edu/index.html>. We would also like to acknowledge the Project  
638 Office of NOAA's Pacific Marine Environmental Labs (PMEL) where three groups of  
639 buoy data were downloaded: In the Pacific, the Tropical Atmosphere  
640 Ocean/Triangle Trans-Ocean Buoy Network (TAO/TRITON) (McPhaden, 2002) data,  
641 from the tropical Atlantic Ocean, the Prediction and Research Moored Array in the  
642 Tropical Atlantic (PIRATA) (Servain et al. 1998), and the Research Moored Array for  
643 African - Asian - Australian Monsoon Analysis and Prediction (RAMA) (McPhaden et  
644 al., 2009) in the Indian Ocean. Also downloaded from PMEL are the long-term buoy  
645 observations PAPA and Kuroshio Current observatory sites.

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Table A2. Surface Irradiance Validation Sites (Land)

Region	Site	Location	Source
Mid-Latitude (15 Sites)	Lindenberg, Germany	52.2N, 14.1E	BSRN
	Cabauw, Netherlands	51.9N, 4.9E	BSRN
	Fort Peck, MT	48.3N, 105.1W	BSRN
	Payerne, Switzerland	46.8N, 6.9E	BSRN
	Penn State, PA	40.7N, 77.9W	SURFRAD
	Beijing, China	39.9N, 116.3E	BSRN
	E13, Lamont, OK	36.6N, 97.5W	ARM
	Ches Light Tower, USA	36.9N, 75.7W	BSRN
	Tateno, Japan	36.1N, 140.1E	BSRN
	Goodwin Creek, MS	34.2N, 89.9W	SURFRAD
	De Aar, South Africa	30.6S, 24.0E	BSRN
	Lauder, New Zealand	45.0S, 169.7E	BSRN
	Florianapolis, Brazil	27.5S, 48.5W	BSRN
	Brasilia, Brazil	15.6S, 47.7W	BSRN
	Sao Martinho da Serra, Brazil	29.4S, 53.8W	BSRN
Desert (6 Sites)	Sede Boqer, Israel	30.8N, 34.7E	BSRN
	Saudi Solar Village	24.9N, 46.4E	BSRN
	Tamanrasset, Algeria	22.8N, 5.5E	BSRN
	Desert Rock, NV	36.6N, 116.1W	SURFRAD
	Alice Springs, Australia	23.7S, 133.8E	BSRN
	Gobabeb, Namibia	23.5S, 15.0E	BSRN
Polar (6 Sites)	Alert, Canada	82.5N, 62.4W	BSRN
	Tiksi, Russia	71.6N, 128.9E	BSRN
	Barrow, Alaska	71.3N, 156.7W	BSRN
	Syowa, Antarctica	69.0S, 39.5E	BSRN
	South Pole, Antarctica	90.0S, 0.5E	BSRN
	G. von Neumayer, Antarctica	-70.6S, 8.3W	BSRN

BSRN: Baseline Surface Radiation Network, <http://bsrn.awi.de/>SURFRAD: NOAA- SURFace RADIation Program, <http://www.esrl.noaa.gov/gmd/grad/surfrad/>ARM: US Dept of Energy, Atmospheric Radiation Measurement Program, <http://www.arm.gov/>

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Table A3. Surface Observation Sites for Ocean Buoy Locations

Program Name	Data Source	Locations
Upper Ocean Processes Group (UOP) 3 Buoys	Woods Hole Oceanographic Institute	Stratus Buoy -20.2N, 85.0W
		North Tropical Atlantic Buoy 14.5N, 51.0W
		Hawaii Ocean Time Series Buoy 22.5N, 158W
PIRATA Buoys 14 Buoys	Pacific Marine Environmental Laboratory (PMEL)	East Atlantic Ocean
RAMA Buoys 10 Buoys	PMEL	Tropical Indian Ocean
TAO Array Buoys 17 Buoys	PMEL	E & W Tropical Pacific Ocean
Kuroshio Extension Observatory Buoy	PMEL	NW Pacific, 32.4N, 144.6E
PAPA Sub-Arctic Ocean Buoy	PMEL	NE Pacific, 50.1N, 144.8W

UOP: <http://uop.whoi.edu/projects/projects.htm>PMEL: [http://www.pmel.noaa.gov/tao/data\\_deliv/deliv.html](http://www.pmel.noaa.gov/tao/data_deliv/deliv.html)

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