

1 **Investigation of near-global daytime boundary layer height**  
2 **using high-resolution radiosondes: First results and**  
3 **comparison with ERA5, MERRA-2, JRA-55, and NCEP-2**  
4 **reanalyses**

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## Abstract

31 The planetary boundary layer (PBL) governs the vertical transport of mass, momentum  
32 and moisture between the surface and the free atmosphere, and thus the determination  
33 of PBL height (BLH) is recognized as crucial for air quality, weather and climate  
34 analysis. Although reanalysis products can provide important insight into the global  
35 view of BLH in a seamless way, the *in situ* observed BLH on a global scale remains  
36 poorly understood due to the lack of high-resolution (1-s or 2-s) radiosonde  
37 measurements. The present study attempts to establish a near-global BLH climatology  
38 at synoptic times (0000 and 1200 UTC) and in the daytime using high-resolution  
39 radiosonde measurements over 300 radiosonde sites worldwide for the period 2012 to  
40 2019, which is then compared against the BLHs obtained from four reanalysis datasets,  
41 including ERA5, MERRA-2, JRA-55, and NCEP-2. The variations of daytime BLH  
42 exhibit large spatial and temporal dependence, and as a result the BLH maxima are  
43 generally discerned over the regions such as Western United States and Western China,  
44 in which the balloon launch times mostly correspond to the afternoon. The diurnal  
45 variations of BLH are revealed with a peak at 1700 local solar time (LST). The most  
46 promising reanalysis product is ERA5, which underestimates BLH by around 130 m as  
47 compared to radiosondes released during daytime. In addition, MERRA-2 is a well-  
48 established product and has an underestimation of around 160 m. JRA-55 and NCEP-2  
49 might produce considerable additional uncertainties, with a much larger  
50 underestimation of up to 400 m. The largest bias in the reanalysis data appears over the  
51 Western United States and Western China and it might be attributed to the maximal  
52 BLH in the afternoon when the PBL has grown up. Statistical analyses further indicate  
53 that the biases of reanalysis BLH products are positively associated with orographic  
54 complexity, as well as the occurrence of static instability. To our best knowledge, this  
55 study presents the first near-global view of high-resolution radiosonde derived  
56 boundary layer height and provides a quantitative assessment of the four frequently  
57 used reanalysis products.

58 **Keywords.** Radiosonde; boundary layer height; reanalysis; sensible heat flux

## 59 1. Introduction

60 The planetary boundary layer (PBL) is where most of exchanges of heat, moisture,  
61 momentum and mass take place between the free atmosphere and ground surface (Stull,  
62 1988; Liang and Liu, 2010). The spatial and temporal variability of PBL, through a  
63 variety of physical processes, has a profound influence on research fields such as air  
64 quality (Stull, 1988; Li *et al.*, 2017), convective storm (Oliveira *et al.*, 2020) and global  
65 warming (Davy and Esau, 2016), among others. It is well known to be influenced by  
66 radiative cooling at night and by downward solar radiation reaching the ground surface  
67 at daytime, respectively, forming a stable boundary layer (SBL) and convective  
68 boundary layer (CBL), with a typical PBL depth (BLH) of less than 500 m and 1–3 km  
69 (Zhang *et al.*, 2020a), respectively. For climate models, most of the PBL processes  
70 occur at sub-grid scales and thus are either underrepresented or not fully represented  
71 (von Engeln and Teixeira, 2013). Meanwhile, there are many problems in elucidating  
72 the PBL processes using numerical model simulations (Martins *et al.*, 2010), even over  
73 the relatively homogeneous ocean (Belmonte and Stoffelen, 2019), which is likely due  
74 to the scarcity of fine-scale vertical observations of the atmosphere.

75 Over the oceans Belmonte and Stoffelen (2019) performed a climatological  
76 comparison between state-of-the-art reanalysis and scatterometer surface winds in the  
77 PBL, revealing mean and transient PBL model errors. Houchi *et al.* (2010), based on  
78 high-resolution radiosondes, verified the climatological wind profiles and found in  
79 particular a factor of 2–3 lower wind shear simulated by the European Centre for  
80 Medium-Range Weather Forecasts (ECMWF) model. Wind shear is recognized to be  
81 able to significantly modulate turbulent mixing of atmospheric pollutants (Zhang *et al.*,  
82 2020b), and thus the inabilities of the model in this regard may have repercussions for  
83 air quality prediction.

84 The critical interaction between PBL turbulence and vertical structures of  
85 thermodynamic variables, as the heart of PBL physics, makes the determination of BLH  
86 a big challenge, due largely to the difficulty for those instruments with coarse vertical

87 resolution in resolving the sharp gradients of temperature and water vapor at the top of  
88 the PBL, and estimating PBL-top entrainment and lateral entrainment (Teixeira *et al.*,  
89 2021). Thus, this highlights the importance of high-resolution vertical measurements of  
90 thermodynamic variables. The temporal and spatial variations in BLH have been  
91 extensively assessed in previous studies at a regional or national scale, such as the  
92 contiguous United States (Seidel *et al.*, 2012; Zhang *et al.*, 2020a), Europe (Palarz *et*  
93 *al.*, 2018), Arctic and Antarctic (Zhang *et al.*, 2011), which are mainly implemented by  
94 low-resolution radiosonde measurements, reanalysis or both. Fortunately, a few  
95 pioneering studies in characterizing BLH have adopted high-resolution measurements  
96 at a national scale over China (Guo *et al.* 2016; Zhang *et al.*, 2018, Su *et al.*, 2018) and  
97 United States (Seidel *et al.*, 2010). Notable diurnal and seasonal cycles have been  
98 revealed (e.g., Guo *et al.*, 2016; Short *et al.*, 2019). Besides the regional results, several  
99 attempts have been made to provide global-scale retrievals of BLH using the Global  
100 Positioning System radio occultation (GPS RO) and Integrated Global Radiosonde  
101 Archive (IGRA) version 2 (Seidel *et al.*, 2010; Gu *et al.*, 2020; Ratnam and Basha,  
102 2010), in which seasonal variations and maritime-continental contrasts of BLHs have  
103 been achieved. The measurements of GPS RO, at a vertical resolution of 100 m around  
104 the PBL top, are typically used to determine BLH by searching for the altitude with a  
105 sharp gradient in the refractivity profile (Basha *et al.*, 2018). However, such sharp  
106 gradient of refractivity might overestimate BLH compared to other methods that the  
107 community usually used, such as the parcel method (Seidel *et al.*, 2010). Compared  
108 with high-resolution soundings, IGRA is sparsely sampled in the vertical (about 10-30  
109 layers below 500 hPa), which could result in large uncertainties in estimating BLH.  
110 Likewise, additional errors could be introduced in reanalysis products for their sparse  
111 vertical resolutions (about 6-42 layers below 500 hPa), which are equivalent to or  
112 bigger than IGRA. A large spread emerges in the explicit determination of BLH from  
113 a variety of instruments, in spite of that the BLH detection based on radiosonde is the  
114 most accepted methodology for deriving CBL and SBL (Seidel *et al.*, 2012; de Arruda  
115 Moreira *et al.*, 2018).

116 A wide range of reanalysis products, such as those from the fifth generation  
117 ECMWF atmospheric reanalysis of the global climate (ERA5), the National  
118 Aeronautics and Space Administration (NASA) Modern-Era Retrospective-analysis for  
119 Research and Applications version 2 (MERRA-2), Japanese 55-year Reanalysis (JRA-  
120 55), and the NCEP climate forecast system version 2 (NCEP-2), provide a rich  
121 ensemble of climate data products (Saha *et al.*, 2014; Hersbach *et al.*, 2020; Kobayashi  
122 *et al.*, 2015; Gelaro *et al.*, 2017), but are sensitive to both empirical parameterizations  
123 and the diagnostic method chosen, while verification by direct observations of BLH are  
124 sparse (Seibert *et al.*, 2000). Some inter-comparisons between instruments or model  
125 data, such as radiosonde, CALIOP, and ERA-interim reanalysis have been previously  
126 conducted, and a good consistency has been yielded in seasonal and spatial variation  
127 (e.g., Guo *et al.*, 2016; Zhang *et al.*, 2016). However, Basha *et al.* (2018) demonstrate  
128 that ERA-interim can underestimate BLH by around 900 m compared to GPS RO. This  
129 underestimation may be caused by different kinetic or thermodynamic assumptions use.  
130 For instance, ERA-interim is implemented with a bulk Richardson number method  
131 (Palm *et al.*, 2005), which is believed to be suitable for all atmospheric conditions  
132 (Anderson, 2009). It is worth highlighting that the state-of-art reanalysis could be one  
133 of the most promising data sources for obtaining the synoptic or climatological features  
134 of BLH.

135 Despite much progress made in developing the BLH products, there are still some  
136 unresolved issues in quantifying the variability of BLH from a global perspective.  
137 These issues include: the worldwide variation of BLH by high-resolution vertical  
138 soundings, the inter-comparisons among reanalysis datasets, and further evaluations  
139 with radiosonde observations, especially in the daytime based on the same retrieval  
140 algorithm. To this end, this study seeks to address the following scientific questions: (1)  
141 a climatological distribution of near-global BLH by using high-resolution radiosonde  
142 measurements; (2) inter-comparisons of ERA5, MERRA-2, JRA-55, and NCEP-2 with  
143 additional evaluation with radiosondes; and (3) investigate potential sources for the  
144 biases of BLH between observation and reanalysis. The rest of the paper is organized

145 as follows. The descriptions of high-resolution radiosonde data, reanalysis products,  
146 and the bulk Richardson number method are given in Section 2. Section 3 presents the  
147 spatial distributions of BLH by radiosonde and reanalyses and their inter-comparisons.  
148 A brief conclusion and remarks are finally outlined in Section 4.

## 149 **2. Data descriptions and BLH retrieval method**

### 150 *2.1 High-resolution radiosonde measurements*

151 In 2018, IGRA provided atmospheric soundings at around 445 radiosonde sites  
152 across the globe, including pressure, temperature, humidity and wind vector. The  
153 number of pressure levels below 500 hPa is around 10-30. By comparison, for high-  
154 resolution radiosondes, the sampling rate is 1-s or 2-s, corresponding to a vertical  
155 resolution of approximately 5–10 meters throughout the atmosphere. The high-  
156 resolution radiosonde measurements used in the present study are obtained from 342  
157 sites around the world, which are provided by several organizations, including the  
158 China Meteorological Administration (CMA), the National Oceanic and Atmospheric  
159 Administration (NOAA) of United States, the German Deutscher Wetterdienst (Climate  
160 Data Center), the Centre for Environmental Data Analysis (CEDA) of United Kingdom,  
161 the Global Climate Observing System (GCOS) Reference Upper Air Network  
162 (GRUAN), and University of Wyoming.

163 The CMA maintains the China Radiosonde Network (CRN), which contains 120  
164 operational stations homogeneously distributed across mainland China with a vertical  
165 sampling rate of 1 second (5–8 m resolution), since 2011 (Guo *et al.*, 2016; 2019; Zhang  
166 *et al.*, 2016; 2018; Su *et al.*, 2020). The NOAA started the Radiosonde Replacement  
167 System (RRS) program in 2005, which involved 89 sites with a vertical resolution of 5  
168 m (Zhang *et al.*, 2019). The German Deutscher Wetterdienst (Climate Data Center) has  
169 been sharing the radiosonde measurements at 14 sites with a sampling rate of 2 seconds  
170 since 2010. Moreover, the 10 m resolution soundings at 12 sites was provided by the  
171 CEDA, which began to share soundings since 1990, and 8 radiosonde sites were shared

172 by GRUAN with a vertical resolution smaller than 10 m. An additional 93 sites came  
173 from the University of Wyoming, which started in 2017, with a sampling rate of 2-s or  
174 1-s. In total, over 678,000 soundings at 342 stations are used here for the period of  
175 January 2012 to December 2019 in total of eight years, including 633,000 soundings at  
176 the regular release times of 0000 and 1200 UTC and 43,000 more irregular observations  
177 during intensive observation period (IOP).

178 Radiosonde measurements are taken twice per day following the World  
179 Meteorological Organisation (WMO) protocol for synoptic times at 0000 and 1200  
180 UTC (Seibert *et al.*, 2000), except for special field campaign observations at specified  
181 stations or time ranges during IOPs. The protocol implies that stations at different  
182 longitudes sample the diurnal cycle differently. For instance, stations near 0°E (London)  
183 and 180°E (Samoa) sample at midnight and midday, while stations near 90°E  
184 (Bangladesh) and 90°W (Chicago) sample at dawn and dusk, with intermediate  
185 longitudes at linearly varying intermediate local solar times (LSTs) of day. For  
186 wintertime regions near 90°W and 90°E, the release times are insufficient for evaluating  
187 the BLH during daytime. Hence, the BLH estimates from regular radiosondes will vary  
188 with longitude and season (McGrath-Spangler and Denning, 2012). Generally, the  
189 principal PBL mechanism at night is associated with an SBL, which gradually  
190 transitions into CBL in the morning (Stull, 1988; Zhang *et al.*, 2018). The transition  
191 from SBL to CBL is generally quick and occurs swiftly after sunrise, but the reverse  
192 process can be slow in the late evening (Taylor *et al.*, 2014). Despite the dominance of  
193 CBL during the daytime, an SBL still occurs, especially in the event of overcast sky  
194 (Zhang *et al.*, 2018; 2020) and near strong divergence in moist convective downbursts  
195 (King *et al.*, 2017). To illustrate the daytime variation of BLH, we only selected the  
196 soundings that are launched 2 hours after sunrise and 2 hours before sunset. The sunrise  
197 and sunset times are gauged in a longitude bin size of 15 degrees and based on the  
198 latitude of station and the calendar day of the release. Using this definition, a total of  
199 190,013 profiles including soundings launched at both synoptic times and during IOP,  
200 spanning January 2012 to December 2019, are used to obtain the BLHs in the daytime.

201 The spatial distribution of file number for each site is displayed in Figure S1, in which  
202 the sites with less than 10 matches are excluded.

### 203 2.2 ERA5, MERRA-2, JRA-55 and NCEP-2 reanalysis datasets

204 ERA5 is the successor of ERA-interim and has undergone a variety of improvements,  
205 including more recent parameterization schemes and data assimilation system, better  
206 spatial resolution, both horizontally and vertically (137 levels), and improved  
207 representation of evaporation balance, cyclones, soil moisture, and global precipitation  
208 (Hersbach *et al.*, 2020). The BLH is composited in the ERA5 product on a 1440×721  
209 grids with 0.25° longitude and 0.25° latitude resolution. It is computed by the bulk  
210 Richardson number method, with a temporal resolution of 1 hour.

211 MERRA-2 is the latest atmospheric reanalysis of the modern satellite era  
212 produced by NASA's Global Modeling and Assimilation Office (GMAO). It includes  
213 aerosol data assimilation, improvements on ozone, and cryospheric processes (Gelaro  
214 *et al.*, 2017). In this product, the BLH is packaged and defined by identifying the lowest  
215 level at which the heat diffusivity drops below a threshold value (McGrath-Spangler  
216 and Denning, 2012). The formula for calculating BLH is as follows:

$$217 \quad \text{BLH(MERRA2\_packaged)} = 44308 \times (1 - (P_{PBLtop}/P_{Surface})^{0.1903}) \quad (1)$$

218 where BLH(MERRA2\_packaged) is in unit of meter,  $P_{PBLtop}$  the BLH (packaged  
219 parameter in MERRA-2, in unit Pa), and  $P_{Surface}$  the surface pressure (in unit Pa).  
220 However, to preclude the uncertainty raised by different methods adopted, the BLH by  
221 MERRA-2 is extracted by bulk Richardson number method, by utilizing the parameters  
222 of horizontal wind, temperature, geopotential height, relative humidity (RH), and  
223 surface pressure as inputs. These input data are provided on a grid of 576×361 points  
224 with 0.625° longitude and 0.5° latitude resolution and has 42 pressure levels (about 16  
225 layers below 500 hPa), with a temporal resolution of 3 h.

226 JRA-55 is the second Japanese global atmospheric reanalysis commissioned by  
227 the Japan Meteorological Agency (JMA) (Kobayashi *et al.*, 2015). Data contains 37



228 pressure levels between 1 hPa and 1000 hPa (16 layers below 500 hPa), provided on a  
 229 grid of  $288 \times 145$  points, with a horizontal spacing of  $1.25^\circ \times 1.25^\circ$  and a temporal  
 230 resolution of 6 hours. The parameters, including geopotential height, temperature,  
 231 horizontal wind, surface pressure, and RH, are used to assess BLH as before.

232 NCEP-2 has the coarsest model resolution than ERA5 (Rinke *et al.*, 2019), with a  
 233 spatial resolution of  $2.5^\circ$  longitude and  $2.5^\circ$  latitude. The total level is 17 (6 layers  
 234 below 500 hPa), which is substantially less than MERRA-2, JRA-55 or ERA5, and the  
 235 temporal resolution is 6 hours. Similar parameters to JRA-55 are preserved to compute  
 236 BLH. It is noteworthy that all model times include 0000 and 1200 UTC and hence  
 237 collocate well with the synoptic radiosonde times.

### 238 2.3 Bulk Richardson number method

239 In the spirit of a like-for-like comparison, the BLHs derived from radiosonde and  
 240 reanalysis data (MERRA-2, JRA-55, and NCEP-2) are calculated using the bulk  
 241 Richardson number (BRN), which also serves as the built-in algorithm in ERA5 for  
 242 BLH products. The BRN, an algorithm used to reflect how strongly buoyancy is  
 243 coupled to the vertical momentum (Scotti, 2015), has been widely used for the  
 244 climatological study of BLH from radiosonde measurements thanks to its applicability  
 245 and reliability for all PBL regimes (Anderson 2009; Seidel *et al.*, 2012; Guo *et al.*,  
 246 2019). It determines the BLH by identifying the level at which the bulk Richardson  
 247 number, represented by  $Ri(z)$ , reaches its critical value (Palm *et al.*, 2005) and is  
 248 formulated as:

$$249 \quad 250 \quad Ri(z) = \frac{\left(\frac{g}{\theta_{vs}}\right)(\theta_{vz} - \theta_{vs})z_{AG}}{(u_z - u_s)^2 + (v_z - v_s)^2 + (bu_*^2)} \quad (2)$$

251 where  $g$  is the gravitational acceleration,  $z_{AG}$  the height above ground level (AGL),  
 252  $\theta_v$  the virtual potential temperature,  $u_*$  the surface friction velocity, and  $u$  and  $v$  the  
 253 horizontal wind components and  $b$  a constant, which is usually set to zero due to the  
 254 fact that friction velocity is much weaker compared with the horizontal wind (Seidel *et*

255 *al.*, 2012). The subscripts of  $z$  and  $s$  denote the parameters at  $z$  height above ground  
256 and ground level, respectively.

257 It is known that  $Ri(z)$  increases with increasing free flow stability (Zilitinkevich  
258 and Baklanov, 2002). Below a critical value of 0.25, the flow is dynamically unstable  
259 and likely cause turbulent motion. Nevertheless, since turbulence can also occur away  
260 from this critical value (Haack *et al.*, 2014), care must be taken in that the critical value  
261 might not be well defined, leading to uncertainty in estimating BLH. Meanwhile, the  
262 BLH estimates were found not to change very much by differing the input of critical  
263 values ( $Ri = 0.2; 0.25; 0.3$ ) (Guo *et al.*, 2016). Therefore, for a given discrete  $Ri$   
264 profile, here we identify the BLH as the interpolated height at which the  $Ri(z)$  firstly  
265 crosses the critical value of 0.25 starting upward from the ground surface. Besides, it is  
266 well recognized that the vertical resolution of radiosonde measurement has large impact  
267 on the BLH estimated. For instance, BLHs are usually lower for a sparser vertical  
268 resolution (Seidel *et al.*, 2012). Therefore, factors that cause uncertainty in estimating  
269 BLH by using the bulk Richardson method include, but not limited to, meteorological  
270 parameters, the surface friction, vertical resolution of data and the critical value of  $Ri$ .

#### 271 *2.4 Collocation procedure and a case study*

272 In contrast to the reanalysis data, the longitude, and latitude distributions of high-  
273 resolution radiosonde are irregular. A precise comparison between reanalysis data and  
274 sounding is required for consistency in time, latitude, and longitude. The matching  
275 procedures implemented in this present study go as follows. (1) A latitudinal and  
276 longitudinal matching procedure is carried out by finding the geographical grid cell of  
277 the reanalysis product that contains the radiosonde station. (2) Time matching for ERA5  
278 is to find the exact UTC time (hour) of the weather balloon launch. (3) For MERRA-2,  
279 NCEP-2, and JRA-55 datasets, the requirement is to limit the time difference with the  
280 weather balloon launch time to 1 hour.

281 A case at 0600 UTC 06 Jun 2016, Chongqing (29.6°N, 106.4°E, 541 m) is shown  
282 in Figure 1. In this case, BLH obtained by sounding is 1,337 m and is closest to that by

283 ERA5, which underestimates the height by 72 m. Compared with the radiosonde profile,  
284 MERRA-2 can capture the main vertical structures and the magnitude of wind speed  
285 (WS), RH, and temperature, but not the fine-scale vertical variations (Figure 1b). It also  
286 slightly undervalues the BLH by 125 m. The basic parameters outlined by NCEP-2, for  
287 instance, RH (5% larger than sounding), temperature (3°C less than sounding), and  
288 wind speed (4.5 m/s larger than sounding), all have notable differences with the  
289 sounding (Figure 1c). Eventually, The NCEP-2 derived BLH is considerably  
290 underestimated by 729 m. By and large, the profiles from JRA-55 are not as accurate  
291 as those from MERRA-2. More specifically, the wind speed at some heights,  
292 prominently above 2 km, is underestimated (Figure 1d); the mean RH is 4% less than  
293 that from the sounding. As a result, JRA-55 substantially underestimates BLH by 399  
294 m. Based on this case, we can note that the performances of ERA5 and MERRA-2 are  
295 obviously better than those from JRA-55 and NCEP-2 in terms of the BLH. The  
296 remarkable underestimation by NCEP-2 can be attributed to the underestimations in  
297 near-surface virtual potential temperature (roughly 2.46 K less than sounding) and  
298 temperature. By comparison, the smaller BLH in JRA-55 could be attributed to the  
299 underestimated RH.

### 300 *2.5 Normalized sensible/latent heat flux in the daytime*

301 The sensible heat flux represents the level of energy that induces CBL growth (Wei  
302 *et al.*, 2017), whereas the latent heat fluxes characterize the evaporation of moisture  
303 from the soil to the CBL, which feedbacks on the development of CBL and the  
304 formation of PBL cloud (Pal and Haeffelin, 2015). For a given amount of heat flux,  
305 small latent heat fluxes usually mean more energy being available for PBL growth  
306 (Chen *et al.*, 2016). When less energy is constrained by the moist ground, more energy  
307 is available to heat the air. Moreover, the surface heat flux is closely associated with  
308 near-surface meteorological variables. For instance, a lower RH usually indicates a  
309 larger sensible heat flux and lower latent heat flux (Guo *et al.*, 2019; Zhang *et al.*, 2013).  
310 Suppose that the heat supplied to the air at the radiosonde balloon launch time is the

311 area shaded under the heat flux curve (Fig.11.12 in Stull 1988), the normalized sensible  
312 heat flux in the daytime is defined by

$$313 \quad \overline{Q_H} \propto \int_{T_{sunrise}}^{T_{launch}} Q_H \rho^{-1} c_p^{-1} dt \quad (3)$$

314 where  $T_{sunrise}$  and  $T_{launch}$  are the sunrise time and radiosonde balloon launch  
315 time,  $Q_H$  the sensible heat flux,  $\rho$  the near-surface density and  $c_p$  equals 1004  
316  $J^\circ C^{-1}kg^{-1}$ . The similar principle is applied to the calculation of normalized latent heat  
317 flux as well.

### 318 **3. Results and discussion**

#### 319 *3.1 Overview of BLHs at two synoptic times and over the day*

320 The near-global mean BLHs at 0000 UTC from 2012 to 2019 by four reanalysis  
321 products are shown in Figure 2, in which the results obtained from radiosonde are  
322 overlaid by colored circles. The stations with sounding covering at least 2 continuous  
323 years are kept. The four reanalysis products yield an analogous result with respect to  
324 the spatial variation of BLHs, which are positively correlated with the sounding-derived  
325 BLH, with correlation coefficients of 0.90, 0.81, 0.47, 0.46 for ERA5, MERRA-2,  
326 NCEP-2, and JRA-55, respectively. It is evident that the BLHs from NCEP-2 over the  
327 continents of Africa, Asia, and South America are 300 m thicker than those of the other  
328 three products (Figure 2b). Furthermore, the BLH in Antarctic by ERA5 is notably 500  
329 m lower than that by NCEP-2 and MERRA-2 (Figure 2a). Most of the mean BLHs by  
330 radiosonde are consistent with the reanalysis products, except that the values from all  
331 four reanalysis products over the Pacific Ocean and the contiguous U.S. are  
332 underestimated by about 300 m. Moreover, it is worth to note here that the BLHs by  
333 JRA-55 are considerably underestimated by around 1 km over these regimes. For 0000  
334 UTC, the regions nearly from the east coast to the west coast of Pacific Ocean (UTC+8  
335 to UTC+12, and UTC-12 to UTC-8) are covered by sunshine, and thus are filled with  
336 deeper PBL.

337           Comparable results at 1200 UTC are presented in Figure S2. Africa, the Middle  
338 East, and the west of India and China, corresponding to local noon and afternoon, have  
339 maximal BLHs of around 1.8 km. Moreover, it is noteworthy that the values from  
340 NCEP-2 and JRA-55 over these areas are visibly lower than those from ERA5 and  
341 MERRA-2, particularly over Africa and the Middle East, whereas these low values can  
342 barely be validated with soundings due to their sparse distribution. Over these areas,  
343 the BLHs are underestimated by reanalysis by about 200 m relative to the sounding  
344 results. Notably, BLHs from NCEP-2 over the continents of Africa are 1 km lower than  
345 those from ERA5 and MERRA-2. According to the results at 0000 and 1200 UTC, the  
346 comparisons between reanalysis products and soundings demonstrate that the BLHs are  
347 well resolved in the nighttime but are underestimated at daytime by reanalysis datasets.

348           For the near-global variation of BLH at a certain synoptic time, daytime and  
349 nighttime appear on the map simultaneously, but as a function of longitude, which is  
350 displayed in Figure 2. Thus, the variations at a fixed synoptic time on the map create a  
351 picture of the diurnal BLH variation. Given the dominance of CBL in the daytime,  
352 investigating the BLHs in the daytime is thus favorable for unravelling the underlying  
353 causes for the discrepancies existed in the BLHs from both radiosonde and reanalysis.  
354 Therefore, the following results show the variations of daytime BLH only, unless  
355 otherwise noted.

356           The climatological mean variations in the daytime BLH from the soundings and  
357 four reanalysis products are drawn in Figure 3. The period spans from January 2012 to  
358 December 2019 for most of the stations provided by China, the U.S., Germany, and the  
359 U.K. As implied by the results from soundings (Figure 3e), the deepest PBL is observed  
360 over the Tibetan Plateau (TP) and the northwest of China, the south of Africa, and the  
361 west of U.S, with values as high as 1.7 km. The possible reason for this phenomenon is  
362 that the weather balloons over these regions are basically launched in the early  
363 afternoon of boreal summer (June–July–August) when the maximal BLH is usually  
364 observed (Collaud Coen *et al.*, 2014; Guo *et al.*, 2016). The BLHs over the Pacific  
365 Ocean are noticeably large, with values of 1.3 km. The longitudinal variation of BLH

366 is evident, likely due to LST variations of the soundings. Additionally, BLHs in the  
367 middle and low latitudes are larger than high latitudes, which is consistent with the  
368 findings in Gu *et al.* (2020).

369 By and large, the climatological results of BLH by radiosonde and four model  
370 products are comparable, indicating that both capture the spatial variations implied by  
371 the sounding LST times sampled. Among the model products, ERA5 shows the best  
372 prediction of BLH contrasted with radiosonde, with a correlation coefficient of 0.88  
373 (Figure 3a). Furthermore, the results from MERRA-2 are positively correlated with  
374 those from the soundings, with a correlation coefficient of 0.66 (Figure 3b). The  
375 performances of JRA-55 and NCEP-2 are significantly poorer than those of ERA5 and  
376 MERRA-2, with correlation coefficients of 0.4 and 0.41, respectively (Figure 3c, d).  
377 The values of BLH over the west of U.S and the west of China are seriously  
378 underestimated by NCEP-2 and JRA-55 by around 800 m. Thus, we note that ERA5  
379 and MERRA-2 are more robust in deriving the BLH, purely based on the climatological  
380 distribution of BLHs.

381 Figure 4 illustrates the diurnal variations in BLH at 0000 and 1200 UTC and  
382 during daytime. A notable diurnal variation can be noticed, with a minimum of 343 m  
383 at 0400 LST and a maximum of 1224 m at 17 LST (Figure 4a). The magnitude in BLH  
384 during daytime are essentially larger than that at 0000 and 1200 UTC and has a maximal  
385 value of 1926 m at 1700 LST (Figure 4b). It follows that most of soundings (about 78%)  
386 that are released at 0000 and 1200 UTC are excluded by the collocation procedure  
387 designed for collecting samples in the daytime. Note that the result during daytime will  
388 not significant change with/without IOP data.

### 389 *3.2 Correlations with near-surface meteorological variables and surface heat flux*

390 The PBL is the lowest part of the troposphere and evolves diurnally due to near-  
391 surface thermodynamic variables through turbulent exchanges of momentum, heat, and  
392 moisture (Pithan *et al.*, 2015). Thus, the surface meteorological variables depend on the  
393 underlying land surface and its coupling with the PBL, and they could act as a good

394 proxy for BLH under some specific circumstances (Zhang *et al.*, 2013; Zhang *et al.*,  
395 2018). An analysis of the correlation between the BLHs by radiosondes and near-  
396 surface meteorological variables is presented in Figure 5. The variables include near-  
397 surface air temperature at 2 m AGL ( $T_{2m}$ ), pressure (Ps), RH, and WS, which are  
398 extracted from the first level in sounding. The first level is assumed to be associated  
399 with the near-surface variables (Serreze *et al.*, 1992; Wang and Wang 2016). We note  
400 that BLH,  $T_{2m}$ , RH and WS all have substantial diurnal and seasonal variability as partly  
401 expressed in Eq. (2).

402 Moderate positive (negative) correlation coefficients can be noticed between BLH  
403 and  $T_{2m}$  (RH), with mean values of 0.39/-0.51 (Figure 5a, c), implying that both  $T_{2m}$   
404 and RH could be an adequate indicator for the temporal variation of BLH. Moreover,  
405 the correlations between BLH and WS are also positively notable, with a mean value  
406 of 0.24 (Figure 5d). By contrast, the correlation between Ps and BLH is negatively  
407 significant above most of the regions (Figure 5b).

408 The correlation analyses between BLH and normalized heat fluxes, which are  
409 assessed by ERA5 reanalysis products, are displayed in Figure 6. It is notable that  
410 positive/negative correlation coefficients usually exist in normalized sensible/latent  
411 heat flux, with a global mean of 0.29 and -0.31. This correlation is not high because  
412 BLH also depends on the radiative heating/cooling and the temperature profile in  
413 different stations (Yang *et al.*, 2004).

414 For the climatological variation of BLH, the near surface variables such as  $T_{2m}$ ,  
415 RH and WS, and the normalized sensible/latent heat flux could be a good indicator.  
416 Conversely, the development of BLH could also limit the magnitude of RH (McGrath-  
417 Spangler, 2016).

### 418 3.3 Comparisons with reanalysis products

419 The radiosonde stations are mainly dispersed over the U.S, China, Australia,  
420 Europe, the Pacific Ocean, and the polar region, and only a few stations contribute

421 over the rest of the world. The polar region contains a station with a latitude larger/lower  
422 than  $67.7^{\circ}\text{N}/^{\circ}\text{W}$ . Therefore, six regions are specifically examined in terms of the bias  
423 between radiosonde and model product.

424 The BLH differences between ERA5 and radiosonde are shown in Figure 7, in  
425 which we specify the differences over the six above-mentioned regions. As observed in  
426 Figure 7e, the BLH over most of the stations is underestimated to a slight extent, with  
427 a near-global mean of 131.96 m. As expected, the most underestimated regions cover  
428 the west of U.S, and southern China (Figure 7e), with a difference of around 200 m. In  
429 addition, it is worth mentioning that the BLHs over the Pacific Ocean are overestimated  
430 in four seasons, with a bias of around 400 m (Figure 7h). Among the six classified  
431 regions, BLHs in Europe, East Asia, and polar are reliably determined by ERA5, with  
432 an average bias of around 50 m (Figure 7b, c, i). The bias seems to exhibit a seasonal  
433 dependence, and it is around 62 m larger in the warm seasons compared to cool seasons  
434 in both hemispheres. Regardless of the small bias, the newest model product, ERA5,  
435 properly estimates the BLH, especially above the regions of Europe, the eastern U.S,  
436 East Asia, and polar.

437 Similarly, the BLHs by MERRA-2 are underestimated, with a near-global mean  
438 bias of 166.35 m (Figure 8), which is slightly larger than that of ERA5 (131.96 m). This  
439 could indicate that the MERRA-2-derived BLH is more dispersed than ERA5. The  
440 spatial distribution of bias value is broadly identical to that of ERA5, except that the  
441 BLHs over Europe, Australia, and polar region are well estimated by MERRA-2, due  
442 to much smaller mean biases at 42.78 m, 52.98 m, and 66.20 m, respectively (Figure  
443 8b, g, i).

444 In addition, the packaged BLH in MERRA-2 is also evaluated with radiosonde.  
445 BLH is as high as 3 km over the TP region at 0600 UTC (Figure S3), corresponding to  
446 an overestimation of 0.8 km over this region (Figure S4). Over the rest regions, BLH is  
447 slightly or moderately overestimated by around 50 m. However, The BLH difference  
448 among various methods could reach up to a kilometer or even more (Seidel *et al.*, 2010),



449 which is probably owing to the variety of kinetic or thermodynamic theories applied in  
450 different algorithms.

451 By comparison, the mean bias produced by JRA-55 is larger than those from  
452 ERA5 and MERRA-2, with a mean value of 351.49 m, as shown in Figure 9. The BLHs  
453 above most stations are underestimated by JRA-55, particularly for the sites over  
454 western China and western U.S, and the Pacific Ocean, with an underestimation of  
455 about 800 m. The most underestimated stations cluster at the latitude range of 40–45°N,  
456 with a mean difference of around 1 km (Figure 9f). Although the near-global mean of  
457 bias is significantly larger than ERA5 and MERRA-2, the estimations over Europe and  
458 the polar regions seem to be more in line with the observations, with mean values of  
459 174.99 m and 93.84 m, respectively (Figure 9b, i).

460 The mean bias by NCEP-2 is larger than that by JRA-55, with a mean value of  
461 420.86 m, as illustrated by Figure 10. The distribution results are similar to JRA-55,  
462 except for Europe and Australia, where the bias is about twice that of JRA-55.

463 In general, the comparison analysis of the daytime BLH results between soundings  
464 and four reanalysis datasets indicates that ERA5 reanalysis produces the BLH that is  
465 closest to the high-resolution soundings. Interestingly, MERRA-2 can provide a good  
466 spatial distribution of BLH. JRA-55 and NCEP-2 can only give a good prediction over  
467 some regions, most of which tends to produce a much larger BLH estimates compared  
468 to those from ERA5 and MERRA-2.

#### 469 *3.4 Potential sources for the bias between reanalysis products and radiosonde*

470 The possible sources for the difference between radiosonde and reanalysis could  
471 be rather complicated. From the spatial pattern of BLH discrepancy results between  
472 radiosonde and reanalysis (Figures 7–10), we can notice that the regions with large  
473 differences tend to be observed over regions with high elevation, such as the TP in  
474 China and Rocky mountain in the U.S. These regions generally have much more  
475 complex orography. Coincidentally, the soundings over the above-mentioned two regions  
476 are all obtained from afternoon, in which the PBL develops to the maximum (Figure 4).

477 As expected, highest biases generally are accompanied with peak BLHs, which has also  
478 been confirmed in our previous studies (cf. Figure 2c in Li *et al.*, 2017). Therefore, the  
479 biases depend on the LST when the weather balloon is launched, which at least could  
480 not be ruled out.

481 In addition, the large differences primarily appear in the low and middle latitudes,  
482 where thermal convection frequently occurs. Therefore, it is reasonable to infer that  
483 static stability could exert an influence on the comparison results. Then, we will analyze  
484 the probable influences from terrain and static stability on BLH differences.

485 We evaluate the influence from the orographic complexity around the sounding  
486 station and calculate the standard derivation (STD) of elevation within  $1^{\circ} \times 1^{\circ}$  grid, with  
487 the help of 30 arc second digital elevation model (DEM) dataset. Terrain is complex  
488 over the western China and western US where most of soundings are released in  
489 afternoon and large BLH biases are usually found. Therefore, for all soundings that are  
490 launched during the time period spanning from 1300 LST to 1800 LST we analyze the  
491 relationship between BLH biases and the standard derivation of the DEM (Figure 11).  
492 It follows that the influence from the orography appears instrumental, given the  
493 correlation coefficient varying from -0.84 to -0.95. Furthermore, the errors or  
494 uncertainties in ERA5 are less easily impacted by the orographic complexity given a  
495 much flatter fitted line (Figure 11a).

496 Based on the correlation between orographic complexity (manifested by the STD  
497 of the DEM) and the bias of a reanalysis relative to radiosonde measurements, it is  
498 likely that the performances of MERRA-2, JRA-55, and NCEP-2 might be restricted  
499 by the complex underlying terrains. One of the reasons could be because global  
500 reanalysis with coarse resolution that cannot resolve the sub-grid processes due to  
501 topography. However, ERA5 appears to be less dependent on terrain. In other words,  
502 the models used in ERA5 show sufficient capability and excellent performance in  
503 reproducing the atmospheres, particularly in the PBL over complex terrains.

504 Lower tropospheric stability (LTS) is an indicator to describe the thermodynamic  
505 state of the lower atmosphere and is defined by the differences in potential temperature  
506 at 700 hPa and 1000 hPa (Guo *et al.*, 2016). Typically, the smaller the LTS, the more  
507 unstable the low troposphere. The mean LTS over each station is defined by the  
508 ensemble mean by four reanalysis datasets, and its spatial distribution is depicted in  
509 Figure 12. The lower troposphere over the western United States and western China is  
510 more unstable compared to the rest of the world, with LTS of around 6K (Figure 11a),  
511 which is likely associated with afternoon launch time of weather balloons. According  
512 to the correlation between the bias of BLH and the mean LTS, it is clear that the  
513 underestimation in BLH by JRA-55 and NCEP-2 products are negatively correlated  
514 with LTS, with correlation coefficients of 0.32 and 0.36 (Figure 12b).

515 Besides the LTS, the role of lifted index could be another influential factor. The  
516 lifted index is a predictor of latent instability (Galway, 1956), and it is defined as the  
517 temperature difference between the environment temperature and an air parcel lifted  
518 adiabatically at 500 hPa. The index is computed by the air temperature, RH, and  
519 pressure profiles from radiosondes. We calculate the percentage of negative lifted index  
520 above each station, which represents the occurrence rate of latent instability that exists  
521 in the daytime (Figure 12c). The stations with high probability of strong instability,  
522 denoted by  $P(\text{lifted index} < 0)$ , are predominantly dispersed over the west U.S, the  
523 west and south of China, and the Pacific Ocean, reaching a percentage as high as around  
524 70%. These stations are regularly overlapped with great biases in the reanalysis  
525 products as shown in Figures 7–10. According to the analysis, it is clear that all four  
526 reanalysis products are positively associated with  $P(\text{lifted index} < 0)$ , with  
527 correlation coefficients ranging from -0.34 to -0.47 (Figure 12d). The positive (negative)  
528 correlation coefficients in lifted index suggests that the underestimation by reanalysis  
529 might be associated with the instability activity in the lower troposphere that has not  
530 been adequately represented or simulated by the models used in reanalyses. In light of  
531 the surface heating during the day and the growth of the PBL due to air ascent, it is also

532 inferred that afternoon BLHs suffer the greatest errors if this is caused by inadequate  
533 air mixing within the free troposphere in models.

#### 534 **4. Conclusions and summary**

535 A climatology of near-global BLH from high-resolution radiosonde measurements  
536 has been yielded for the daytime BLH. The high-resolution radiosonde data has a much  
537 finer spatial resolution of 5 m or 10 m, compared to that by IGRA, and can establish a  
538 finer and more precise structure of the PBL. In addition, direct comparisons among four  
539 well-established reanalysis model products have been conducted. The present study  
540 adopts over 300 sounding stations with high-resolution, spanning from 2012 to 2019,  
541 to investigate the climatological variation of near-global BLH in the daytime and  
542 evaluates four model products at the radiosonde sampling.

543 Notable spatial variation can be observed in the climatological mean of BLH at  
544 0000 and 1200 UTC. In the afternoon, the regions over the Western United States and  
545 Western China have the largest BLHs with values as high as 1.7 km, whereas 0000 and  
546 1200 UTC compare generally to earlier times of day (LST) in the rest of the world with  
547 hence lower BLH. In addition, BLHs in the middle and low latitudes are larger than  
548 those in high latitudes. The  $T_{2m}$  and RH, and the normalized sensible/latent heat flux  
549 are a good predictor for the spatio-temporal evolution of BLH. The most important  
550 result is we found that all the four reanalysis products generally underestimate the  
551 daytime BLH, with a near-global mean varying from around 132 m to 420 m. The  
552 largest bias in reanalysis appears over the Western United States and Western China,  
553 where the boundary layers grow vigorously in the afternoon. ERA5 and MERRA-2  
554 definitely have better performance than JRA-55 and NCEP-2 in terms of the magnitude  
555 of BLH and a higher correlation coefficient with the soundings. The newest version of  
556 reanalysis, ERA5, has the smallest bias and the highest positive correlation relative to  
557 radiosondes. The underestimation by NCEP-2 and JRA-55 is robust over some regions,  
558 for instance, western China and western U.S, with differences even exceeding 800 m.

559 However, all products can obtain a precise estimate over some regions, for instance,  
560 Europe, the eastern U.S, and polar, probably due to morning LST soundings and smaller  
561 daytime PBL development. The BLH over the Pacific Ocean is underestimated in all  
562 seasons and by all products. The underestimation tends to have a seasonal dependence,  
563 i.e., the warm season has a larger underestimation. However, BLH is moderately  
564 overestimated by the packaged BLH parameter in MERRA-2, possibly due to different  
565 BLH-deriving methods used.

566 We investigated two possible sources contributing to the biases, including  
567 topography and static stability. The analysis shows that the DEM spread does have a  
568 negative correlation with the bias, suggesting that the reanalysis data cannot provide a  
569 reliable simulation result under complex terrain conditions. In addition, reanalysis BLH  
570 errors tends to be negatively correlated with the occurrence rate of unstable air,  
571 suggesting that the reanalyses do not accurately determine BLH when the lower  
572 troposphere is unstable.

573 Although this study suffers from the inhomogeneous distribution of the radiosonde  
574 sites, the climatological BLHs at the near-global scale can help us understand the  
575 variation characteristics of BLH in different regions and for different LST. For the first  
576 time, we present near-global BLH estimates from high-resolution radiosondes, and  
577 further conduct a comprehensive comparison of BLH products for four widely used  
578 reanalysis datasets using the BLHs derived from the soundings. The findings provide  
579 insights into the limitations of reanalysis data and, more importantly, are expected to  
580 greatly benefit future research works related to applications of different kinds of  
581 reanalysis data in the future.

582

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597 <levels?tab=form>), GMAO for MERRA-2  
598 (<https://disc.gsfc.nasa.gov/datasets?keywords=MERRA-2&page=1>), NCAR and Japan  
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601 (<https://psl.noaa.gov/data/gridded/data.ncep.reanalysis2.html>). NASA for 30 arc  
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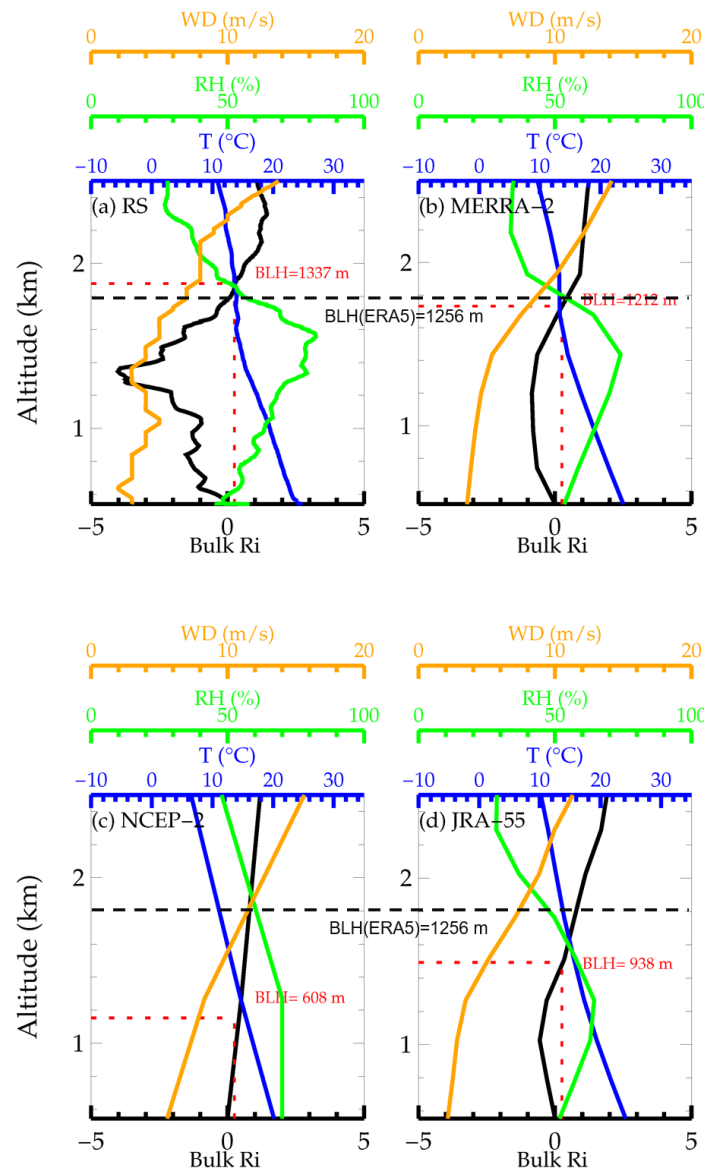
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798 **Figures:**

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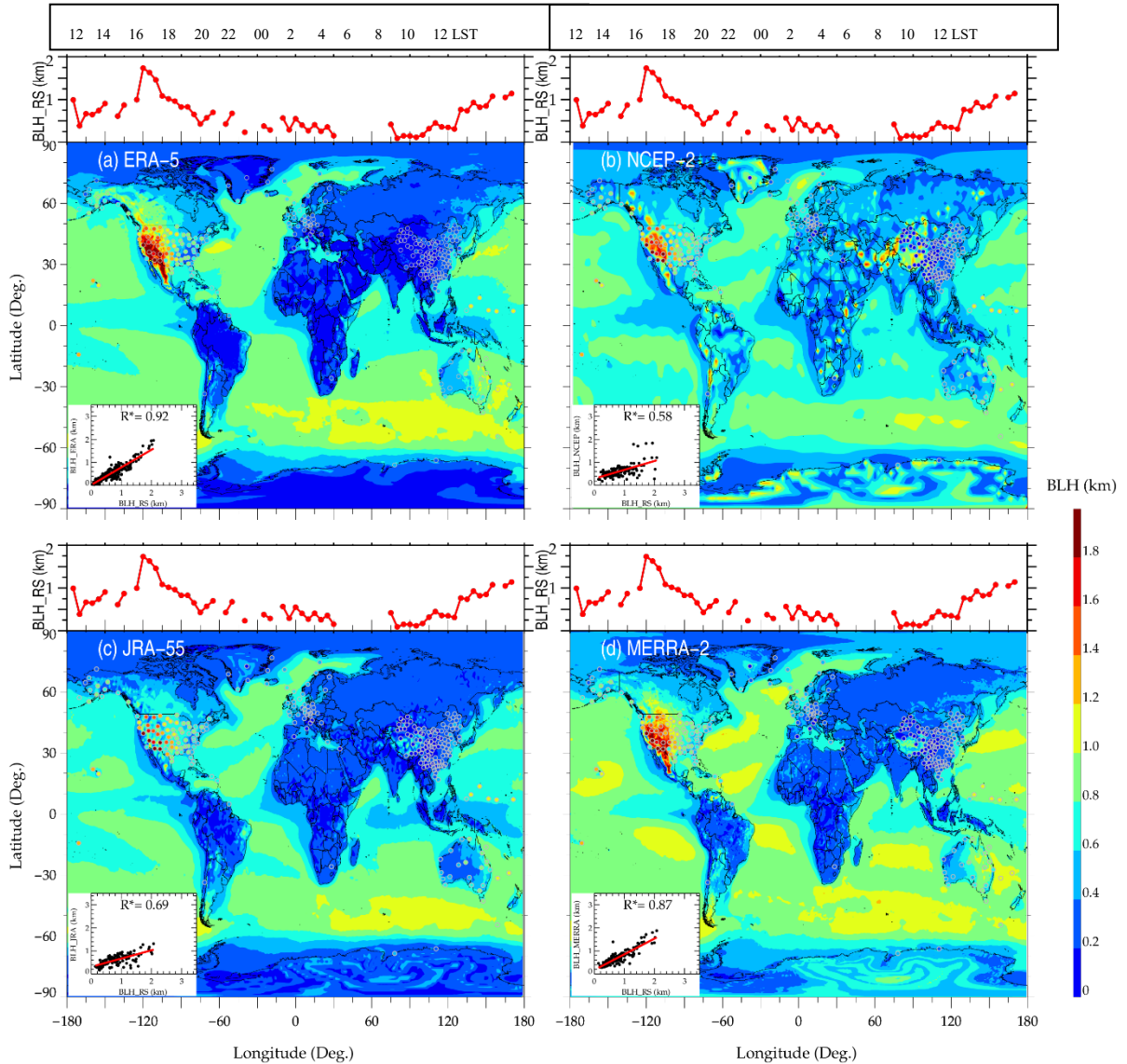
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801 **Figure 1.** Profiles of basic atmospheric parameters from the ground up to 2.5 km  
802 AGL, including wind speed (orange), bulk Ri (black), temperature (blue), and RH  
803 (green) at 0600 UTC (1400 LST) 06 Jun 2016 at Chongqing (29.6°N, 106.4°E, 541 m)  
804 from radiosonde (a), MERRA-2 (b), NCEP-2 (c), and JRA-55 (d) reanalysis datasets.  
805 The boundary layer height (BLH) in each subplot is marked as red dash lines and red  
806 texts, and the BLH for ERA5 is 1265 m in this case (black dash lines).

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812 **Figure 2.** The mean BLH estimated from ERA5 (a), NCEP-2 (b), JRA-55 (c), and

813 MERRA-2 (d) reanalysis data at 0000 UTC during years 2012 – 2019. The dots with

814 gray marginal lines in each map denote the mean BLH derived by sondes at 0000 UTC,

815 and the red dotted lines present the mean BLH derived by radiosonde on a grid with  $5^\circ$ 

816 longitude. Stations with less than 10 profiles are not included in the analysis. The 2D

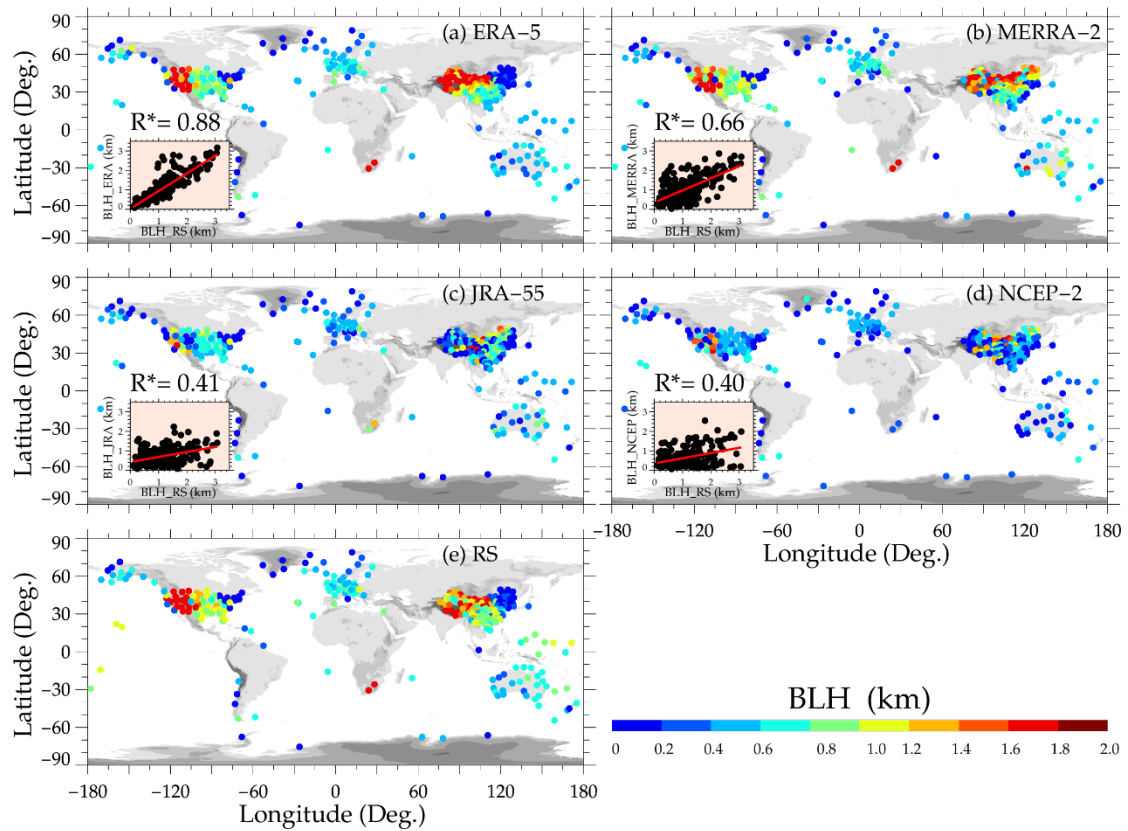
817 scatter plot in the left bottom corner of each panel illustrates the correlations between

818 reanalysis-derived and sonde-derived BLHs at 0000 UTC, where the asterisk (\*)

819 superscripts indicate that the correlation coefficients are statistically significant ( $p < 0.05$ )

820 and the red lines denote the least-squares regression line.

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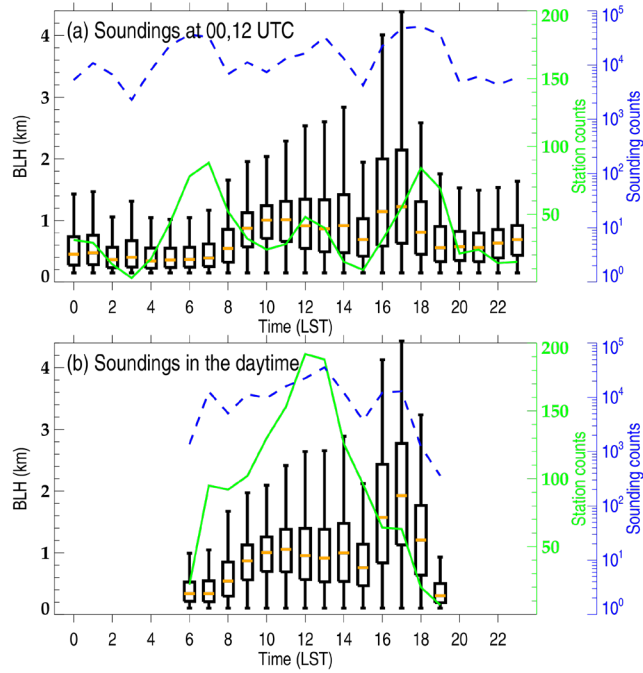
824 **Figure 3.** Spatial distributions of the mean BLHs determined at the near-global high-  
 825 resolution radiosonde observational network locations during the daytime for the period  
 826 2012 to 2019, which is extracted from ERA5 (a), MERRA-2 (b), JRA-55 (c), NCEP-2  
 827 (d), and radiosonde measurements (e), respectively. Similar to Figure 2, the scatter plot  
 828 illustrates the correlations between reanalysis-derived and sonde-determined BLHs in  
 829 the daytime.

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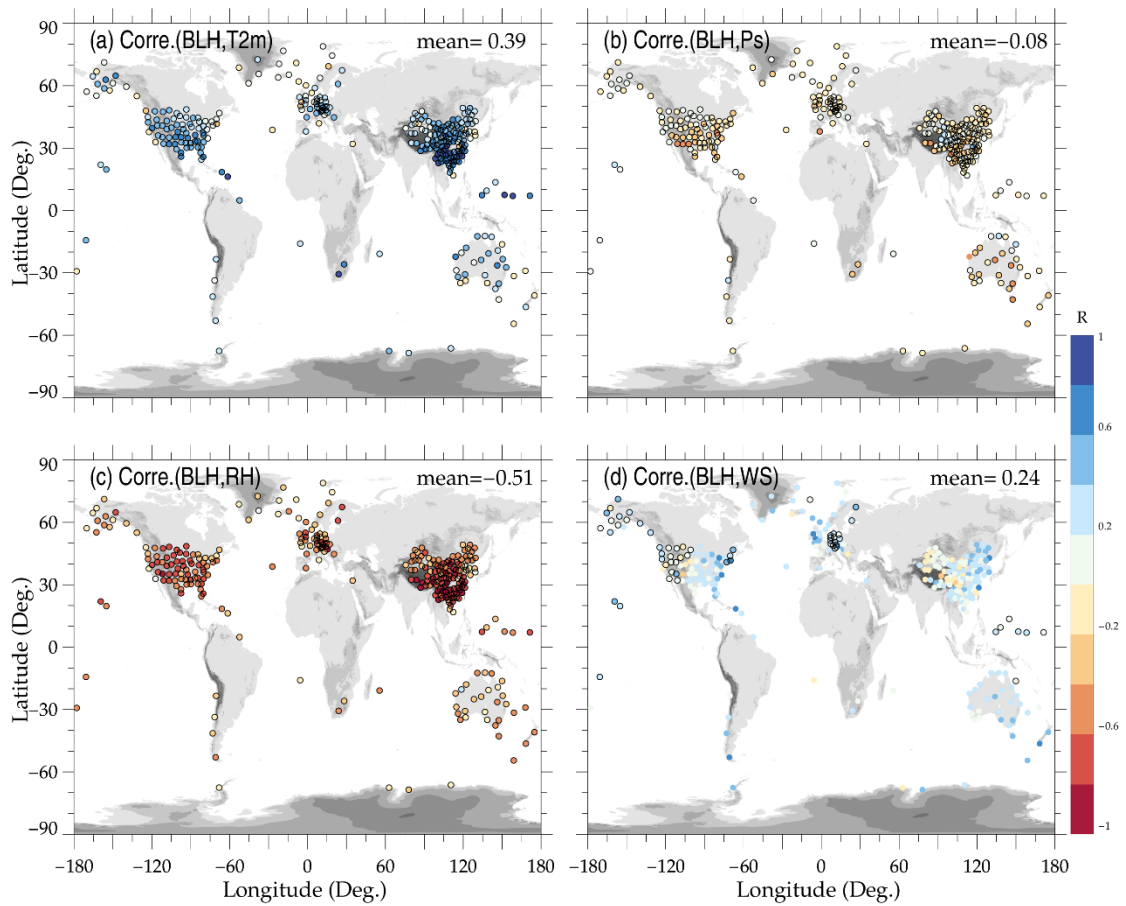




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834 **Figure 4.** Box and whisker plots of diurnal variation (in LST, 24 hours) of BLH  
 835 determined by all soundings operationally launched at 0000 and 1200 UTC (a) and by  
 836 the soundings launched at both synoptic times and intensive observation times that are  
 837 limited to the daytime alone (b). Solid green line and dotted blue line highlight the  
 838 number of sonde station and total sounding for each hour of day, respectively.

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841 **Figure 5.** Correlations between the radiosonde-derived BLHs and near-surface air  
 842 temperature at 2m AGL ( $T_{2m}$ ; a), near-surface pressure ( $P_s$ ; b), near-surface RH (c),  
 843 and near-surface wind speed (WS; d). Dots outlined in black denote that the correlation  
 844 coefficient values are statistically significant ( $p < 0.05$ ), and the mean correlations are  
 845 texted in the upper right corner of each panel.

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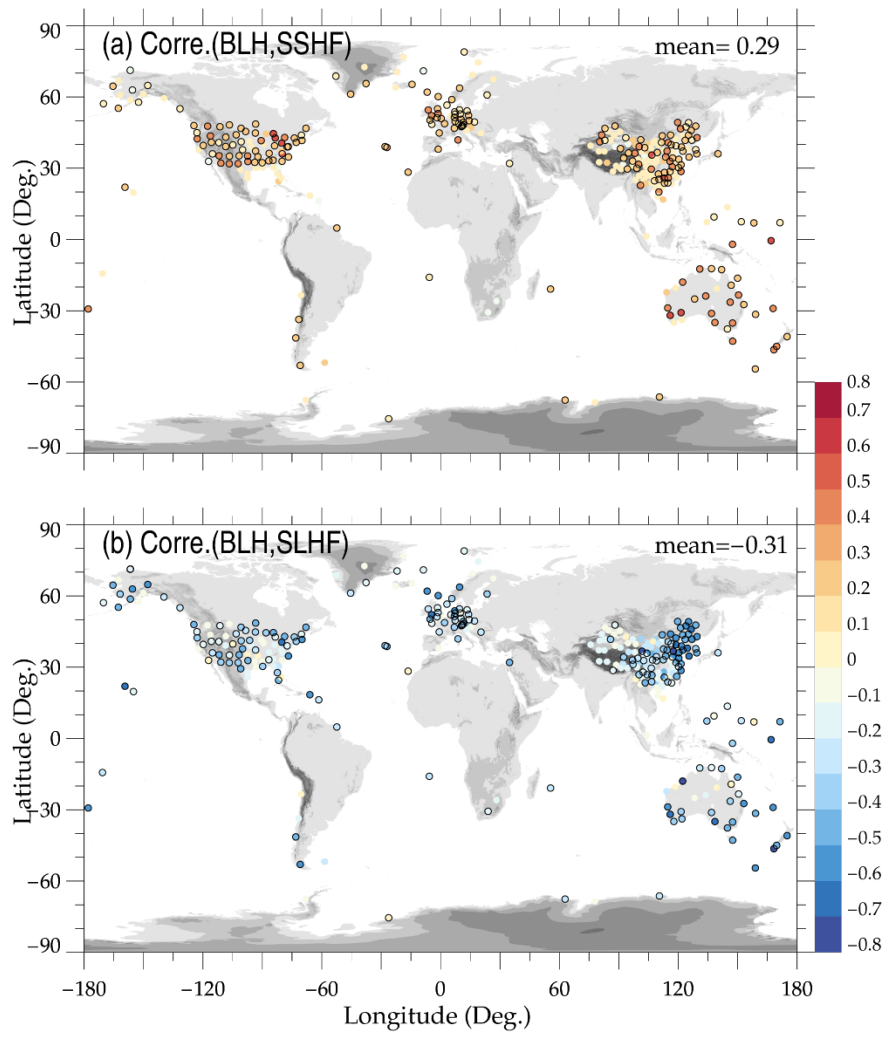
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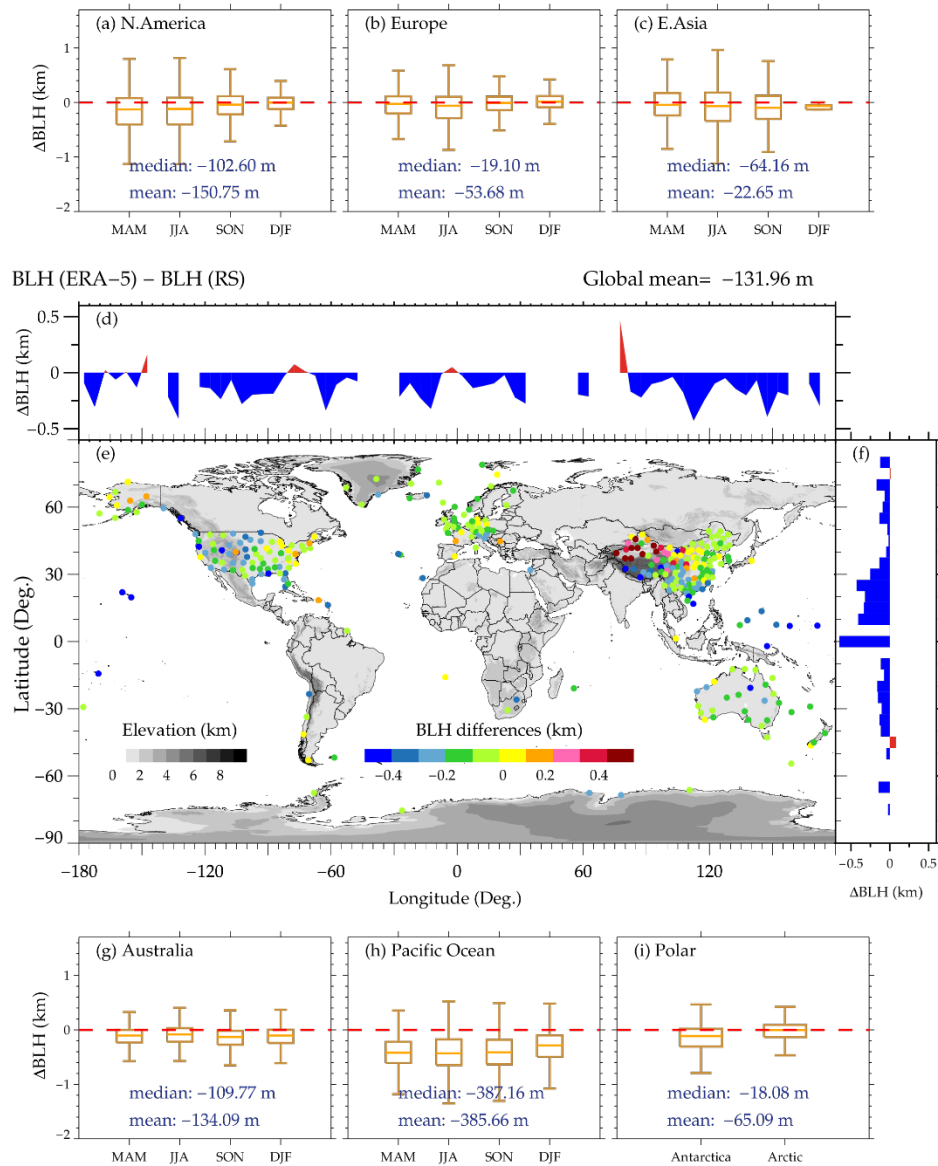
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858 **Figure 6.** Similar as Figure 5, but for the correlations between BLHs versus normalized  
859 surface sensible (a) and latent heat fluxes (b).

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862 **Figure 7.** Statistical results of BLH differences between ERA5 and radiosonde. The

863 spatial distribution of mean differences is highlighted in (e). Also shown are the

864 distributions of mean BLH differences as a function of longitude (d) and latitude (f).

865 The box and whisker plot of BLH differences over the six regions of interest (i.e., North

866 America, Europe, East Asia, Australia, Pacific Ocean, Polar) over four seasons are

867 displayed in (a-c), (g-i). The seasons are defined as follows: MAM, March–April–May;

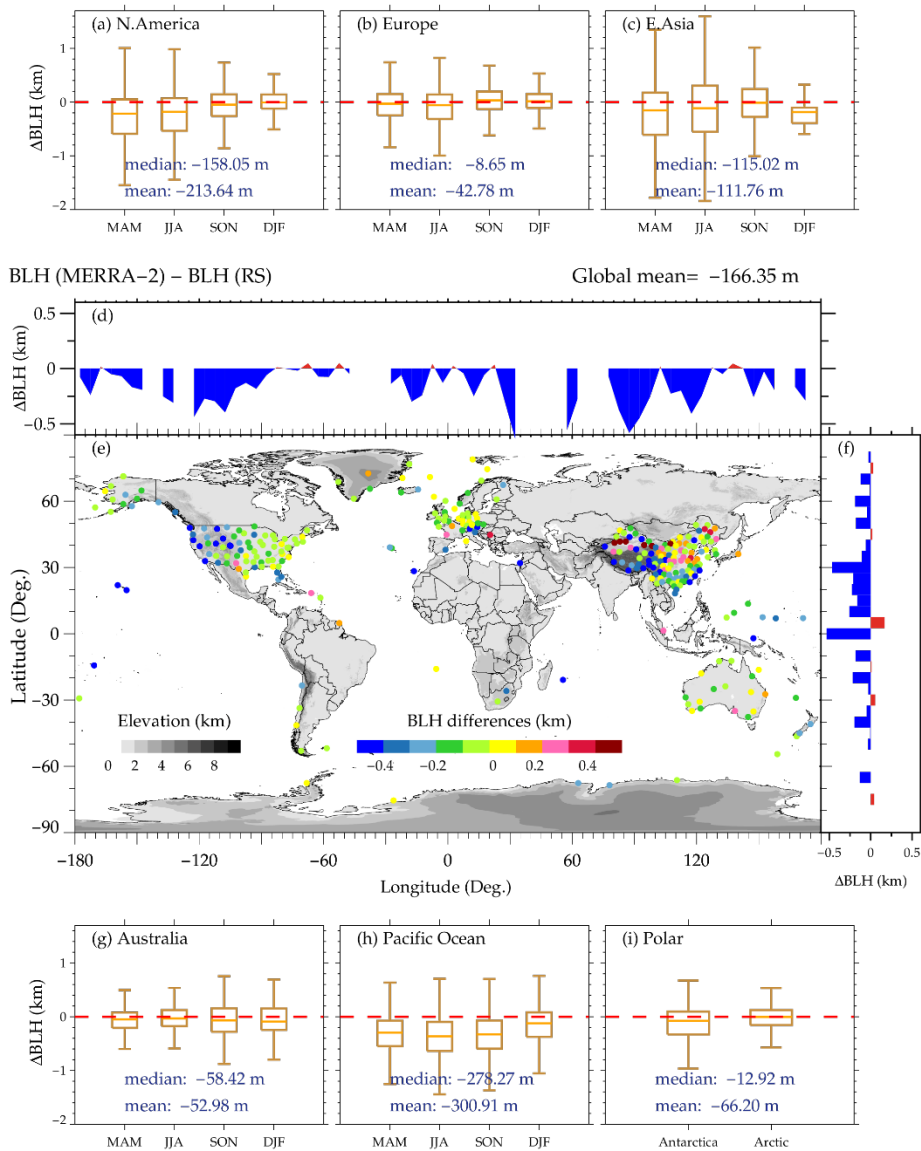
868 JJA, June–July–August; SON, September–October–November; DJF, December–

869 January–February.

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874 **Figure 8.** Similar as Figure 7, but for the differences between MERRA-2-derived BLHs  
 875 and radiosonde-determined BLHs.

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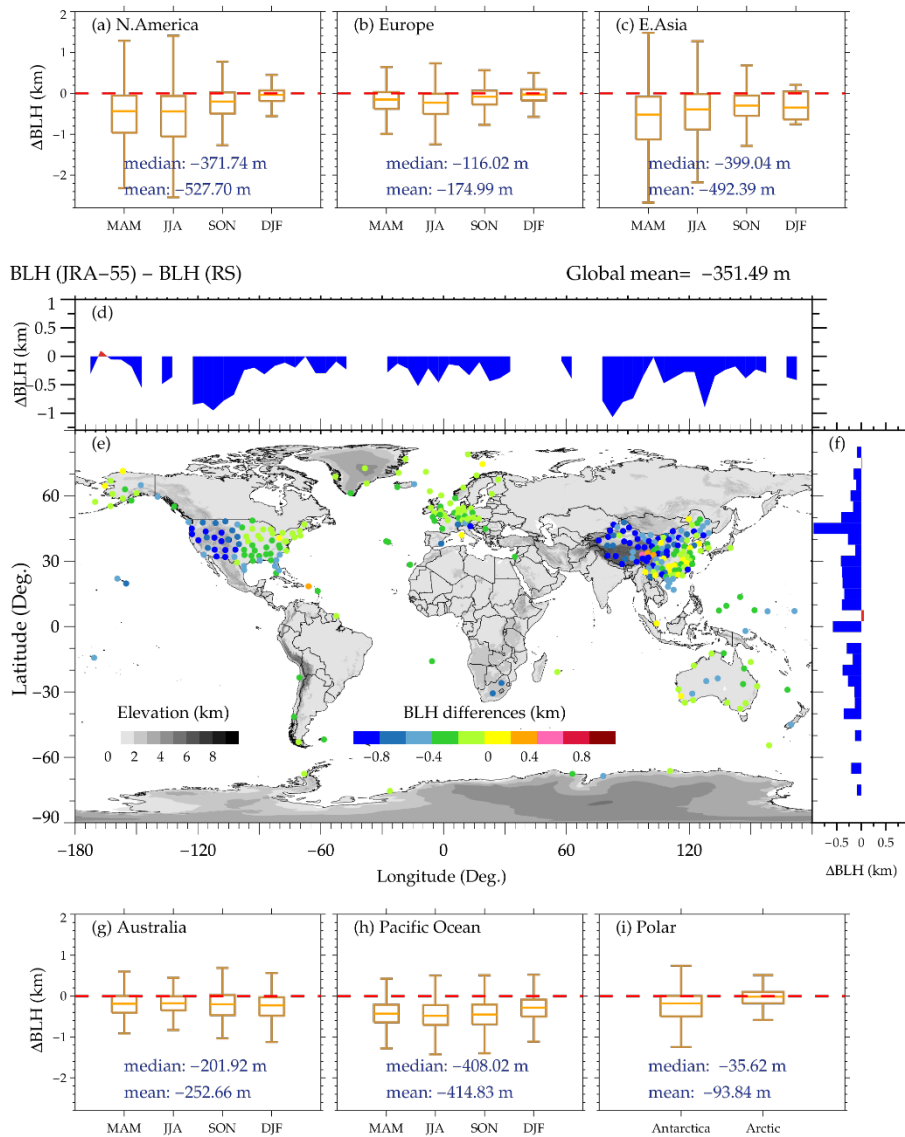
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888 **Figure 9.** Similar as Figure 7, but for the differences between JRA-55-derived BLHs

889 and radiosonde-determined BLHs.

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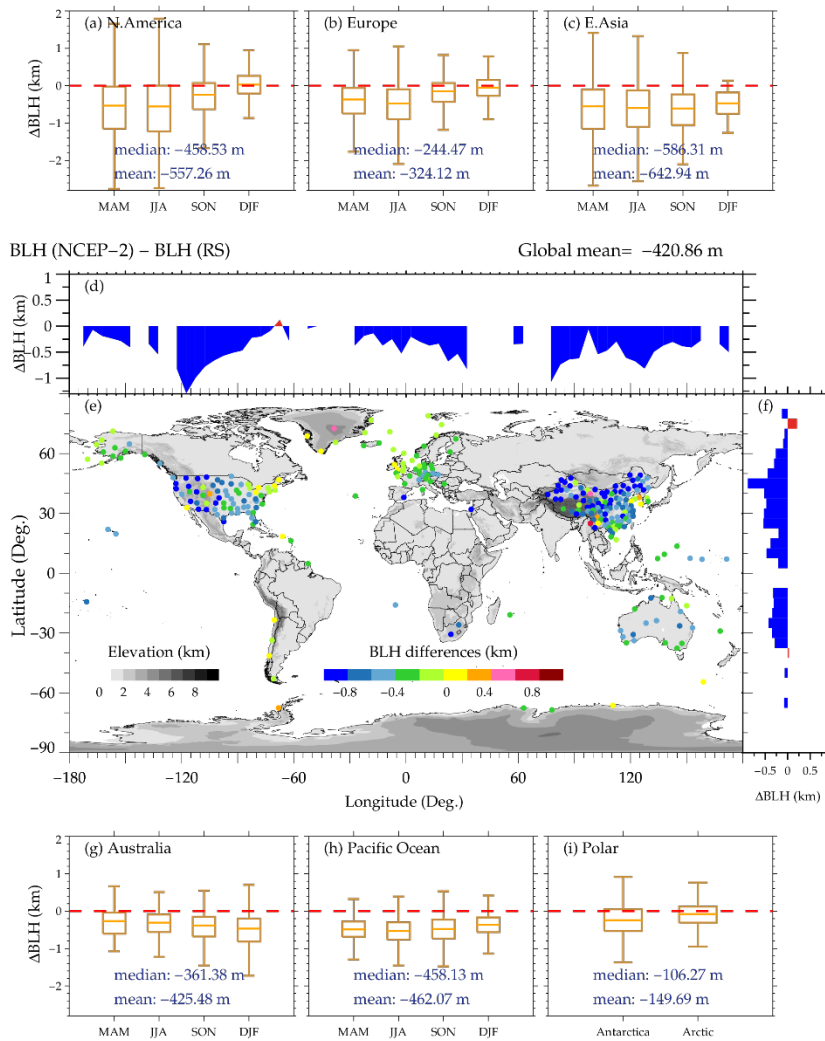
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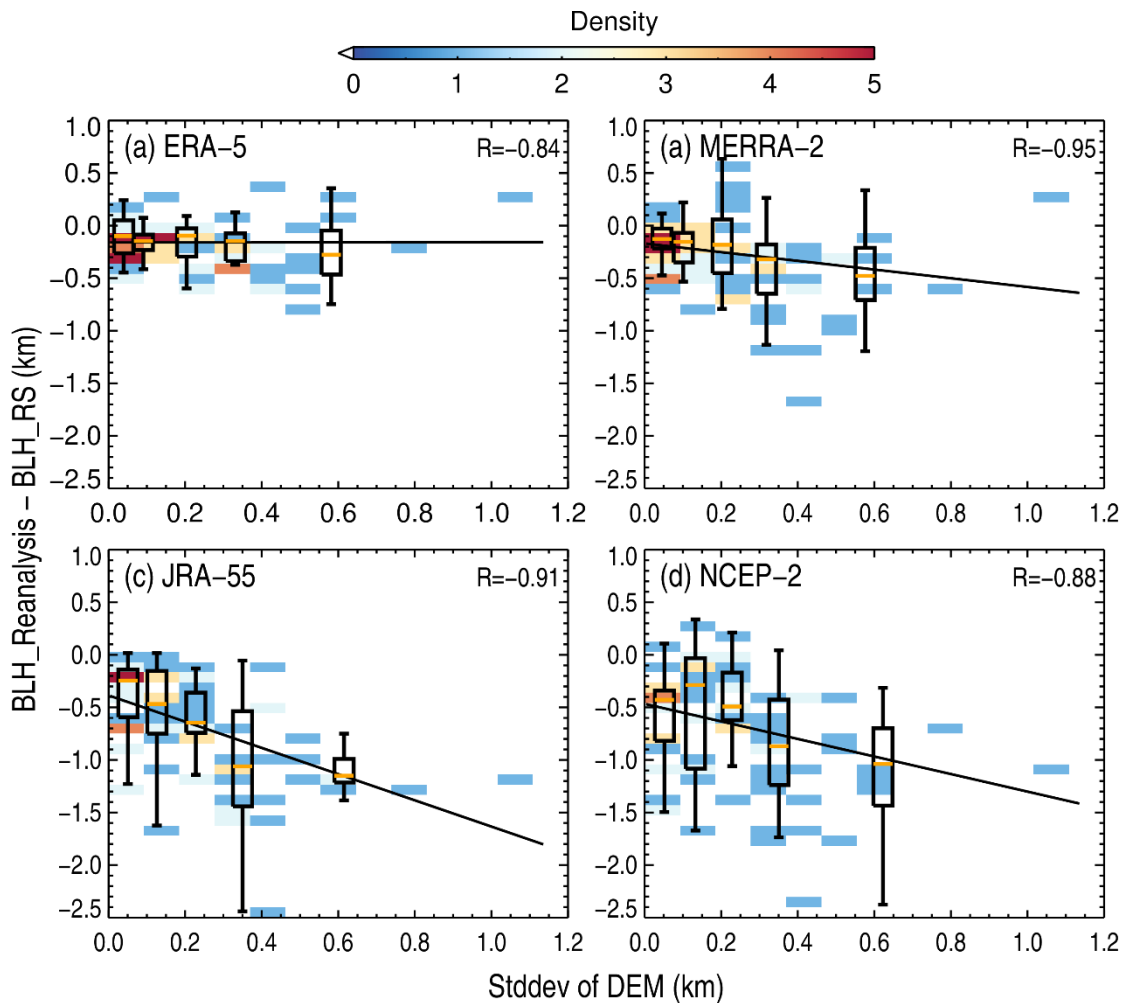
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**Figure 10.** Similar as Figure 7, but for the differences between NCEP-2-derived BLHs and radiosonde-determined BLHs.



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911 **Figure 11.** Density plots of the differences of BLHs between radiosonde and ERA5 (a),  
 912 MERRA-2 (b), JRA-55 (c), and NCEP-2 (d) as a function of the standard derivation of  
 913 the DEM, where the black lines denote the least-squares regression line. The box-and-  
 914 whisker plots of the anomalies of BLH in five evenly intervals are overlaid in each  
 915 panel, and the correlation coefficients are marked in the upper right corner of each panel.  
 916 Note that all samples are collected from soundings that are launched in the afternoon,  
 917 spanning from 1300 LST to 1800 LST.

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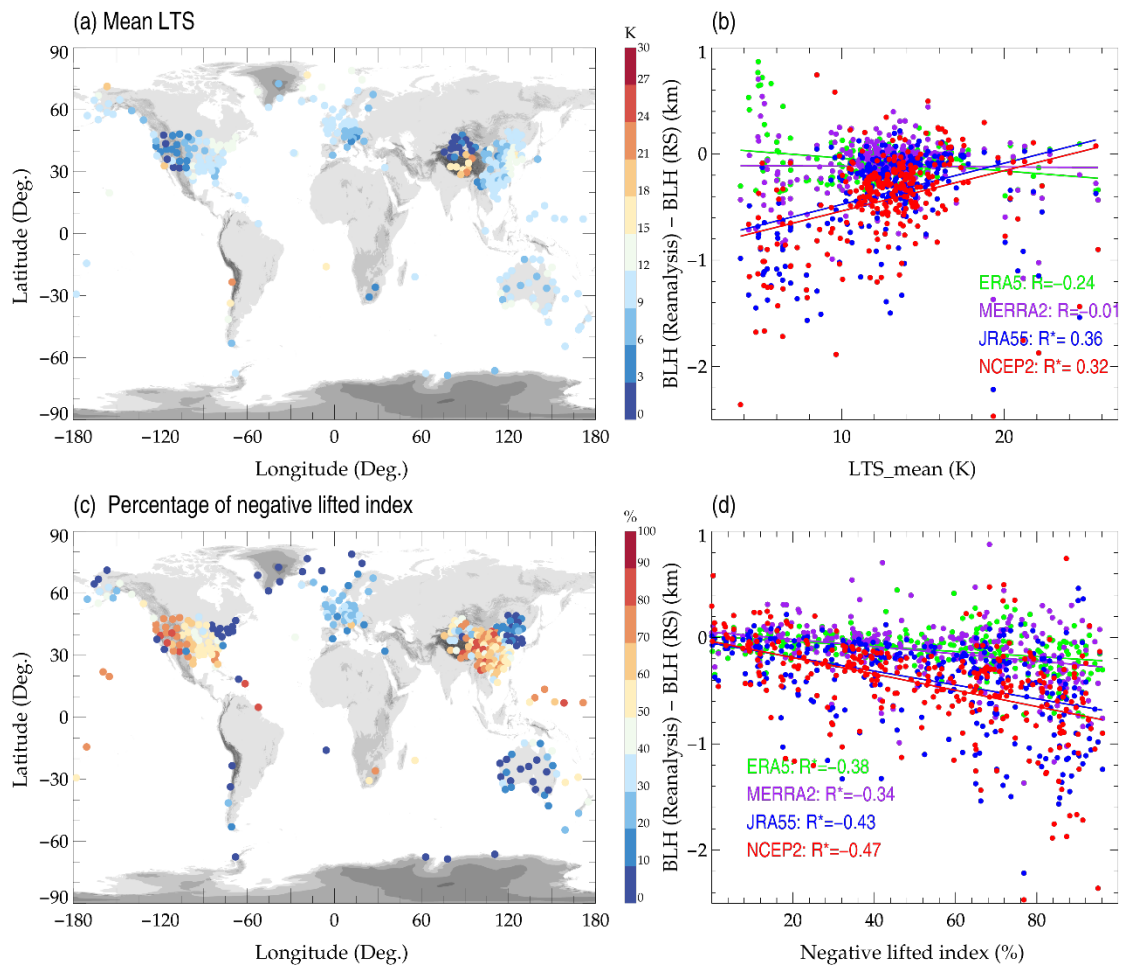
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925 **Figure 12.** Spatial distribution of the ensemble means of lower tropospheric stability in  
 926 the daytime (a). The scatter plots showing the difference of model- minus sounding-  
 927 derived BLHs from four reanalysis datasets versus the anomalies of LTS as derived  
 928 from four reanalysis relative to those from soundings (b). The variations in the  
 929 percentage of negative lifted index (c), and the anomalies of BLH as a function of  
 930 negative lifted index (d).