

**Distinct evolutions of haze pollution from winter to following spring over the
North China Plain: Role of the North Atlantic sea surface temperature anomalies**

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Abstract

This study reveals that haze pollution (HP) over the North China Plain (NCP) in winter can persist to following spring during most years. The persistence of HP_{NCP} is attributed to maintenance of an anticyclonic anomaly (AA) over northeast Asia and southerly wind anomalies over the NCP. Southerly wind anomalies over the NCP reduce surface wind speed and increase relative humidity, which are conducive to above-normal HP_{NCP} both in winter and spring. However, there exist several years when above-normal HP_{NCP} in winter are followed by below-normal HP_{NCP} in the following spring. The reversed HP_{NCP} in winter and spring in these years is due to the inverted atmospheric anomalies over northeast Asia. In particular, AA over northeast Asia in winter is replaced by a cyclonic anomaly (CA) in the following spring. The resultant spring northerly wind anomalies over NCP are conducive to below-normal HP_{NCP} . These two distinctive evolutions of HP_{NCP} and atmospheric anomalies over northeast Asia from winter to spring are attributed to the different evolutions of sea surface temperature anomalies (SSTA) in the North Atlantic. In the persistent years, warm North Atlantic SSTA in winter maintains to following spring via positive air-sea interaction process and induces a negative spring North Atlantic Oscillation (NAO)-like pattern, which contributes to the AA over northeast Asia via atmospheric wave train. By contrast, in the reverse years, cold SSTA in the North Atlantic is maintained from winter to spring, which induces a positive spring NAO-like pattern and leads to CA over northeast Asia via atmospheric wave train. ~~The findings suggest that~~
Hence, this study improves our understanding of the characteristic of haze

pollution evolution from winter to the following spring, and suggests the potential role of North Atlantic SSTA plays crucial roles in modulating the distinct evolutions of HP_{NCP} from winter to succeeding spring, which can be served as an important preceding signal for haze pollution evolution prediction by one-season ahead over the North China Plain.

Keywords: Evolution of Haze pollution; North China Plain; North Atlantic sea surface temperature; North Atlantic Oscillation; Atmospheric circulation

1. Introduction

Haze pollution has become a serious air quality issue in China accompanying the rapid urbanization and fast economic development (e.g. Ding and Liu 2004; Wang and Chen 2016; Zhang et al. 2018). It has been well recognized that the occurrences of haze pollution events can exert substantial impacts on the human health, air transportation, ground traffic, agriculture production, and regional climate change (e.g. Koren et al. 2012; Zhang and Crooks 2012; Fu et al. 2014; Wang et al. 2014a, 2014b; Wu et al. 2016; Tie et al. 2016; Cohen et al. 2017; Guo et al. 2018; Zhang et al. 2018; Lu et al. 2019). For example, Cohen et al. (2017) reported that near 4.2 million premature deaths in the world in 2015 were attributed to the overexposure of PM_{2.5}. In addition, haze pollution is suggested to result in a decrease of about 1.2%-3.8% of the annual Gross National Product (GNP, Zhang and Crooks 2012). Furthermore, increasing concentration of anthropogenic aerosol, which is related to the enhanced haze pollution, could exert significant impacts on the atmospheric circulation and regional precipitation change (Koren et al. 2012; Wang et al. 2014a). Considering the notable impacts of haze pollution, it is of great scientific importance to improve our understanding of the factors contributing to haze pollution and the associated mechanisms.

A number of previous studies have investigated the factors responsible for the variations of haze pollution in China on multiple timescales. The long-term increasing trend of haze pollution in China is generally attributable to the rapid increases in anthropogenic emissions (e.g. Che et al. 2009; Ding and Liu 2014; Zhao et al. 2016;

Cheng et al. 2019). For example, Zhao et al. (2016) showed that the notable increasing trend of haze pollution in winter over eastern China has a close relationship with the Gross Domestic Product (GDP) in China. Several studies suggested that changes in the meteorological conditions due to global warming also play a role in the long-term trend of haze pollution in China (e.g. Cai et al. 2017; Liu et al. 2017; Ding et al. 2017; Zhang et al. 2020).

On the interannual and interdecadal timescales, variations of the haze pollution in China are suggested to be mainly controlled by the meteorological conditions. For instance, Dang and Liao (2019) reported that the changes of meteorological conditions accounted for about 70% of the variation of the annual haze days in the Beijing-Tianjin-Hebei region. Zhao et al. (2016) suggested that the Pacific Decadal Oscillation could exert marked impacts on the interdecadal variation of the haze pollution in eastern China via inducing large-scale atmospheric circulation anomalies over East Asia. Pacific Decadal Oscillation is the first leading mode of sea surface temperature anomalies (SSTA) in the North Pacific on the interdecadal timescale (Mantua et al., 1997; Zhang et al., 1997; Duan et al. 2013). Xiao et al. (2014) showed that the Atlantic Multidecadal Oscillation modulates haze pollution in China via triggering atmospheric wave train over Eurasia. Atlantic Multidecadal Oscillation is the dominant mode of SSTA in the North Atlantic on the multidecadal timescale (Kerr 2000). Compared to the interdecadal variation, much more studies have examined the factors for the interannual variation of haze pollution in China, mainly concentrating on boreal winter. It is shown that interannual variation of haze pollution in eastern

China can be impacted by the Arctic Oscillation (Yin et al. 2015), East Asian winter Monsoon (Li et al. 2016; Chen et al. 2020), El Niño-Southern Oscillation (Guo and Li 2015; Chang et al. 2016; Liu et al. 2017; Li et al. 2017; He et al. 2019), North Atlantic SSTA (Xiao et al. 2014), Arctic sea ice (Wang et al. 2015; Yin and Wang 2017), Eurasian snow cover (Yin and Wang 2018), and the East Atlantic-Western Russian (EAWR) teleconnection pattern (Yin and Wang 2017; Chen et al. 2020). A recent study has examined the factors modulating the interannual variation of springtime haze pollution in the North China Plain Region (NCPR) (Chen et al. 2019). Note that NCPR is one of the most important regions in China with very dense population, large traffic activities and highly developed economy. In addition, NCPR is also the most polluted region in China (Yin et al. 2015). ~~Chen et al. (2019)~~ It is indicated that North Atlantic SSTA and the North Atlantic Oscillation (NAO, the first leading mode of interannual atmospheric variability over the North Atlantic region; Hurrell 1995) play important roles in determining the haze pollution over NCPR via modulating atmospheric circulation anomalies over northeast Asia through triggering atmospheric wave train extending from North Atlantic across Europe to East Asia (Chen et al. 2019). However, Chen et al. (2019) mainly focused on investigating the physical mechanism and was limited to one season of spring. Although there are Previous many previous studies mainly investigating the interannual variations of haze pollution over the NCPR, they mainly separate winter and spring independently in winter and spring separately. However, impacts of haze pollution may depend strongly on the time period of seasonal persistence. Hence, an important question is

119 raised: whether there exists a relation between interannual variation of haze pollution
120 over the NCPR in winter and following spring? In particular, could the wintertime
121 haze pollution maintain from winter to the following spring? If so, what are the
122 plausible factors contributing to the across-season persistence of haze pollution over
123 the NCPR from winter to succedent spring? Understanding the evolution features of
124 the haze pollution from winter to spring and the associated mechanisms would have
125 important implications for the seasonal prediction of haze pollution over the NCPR.
126 In this study, the issues raised above will be investigated and addressed.

127 The remainder of this paper is organized as follows. Section 2 describes the data
128 and methods used in this study. Section 3 examines relation of interannual variations
129 between winter and spring haze pollution over the NCPR, and compares the two
130 distinct types of haze evolutions found in this paper. Section 4 examines the factors
131 responsible for the different evolutions of haze pollution over NCPR from winter to
132 the following spring. Summary and discussion are provided in section 5.

134 **2. Data and methods**

135 ***2.1 Data***

136 Monthly mean horizontal winds, geopotential height, relative humidity, surface
137 wind speed, surface heat fluxes are obtained from the National Centers for
138 Environmental Prediction-National Center for Atmospheric Research (NCEP-NCAR)
139 reanalysis (Kalnay et al. 1996;
140 <https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.html>), which are available from

January 1948 to the present. Surface heat fluxes are the sum of the surface latent and sensible heat fluxes, surface shortwave and longwave radiations. Atmospheric data from the NCEP-NCAR reanalysis have a horizontal resolution of $2.5^{\circ} \times 2.5^{\circ}$ in the longitude-latitude grids, while surface heat fluxes are on T62 Gaussian grids. Monthly mean SST data are derived from the National Oceanic and Atmospheric Administration (NOAA) Extended Reconstructed SST version 5 (ERSSTV5) from January 1854 to the present (Huang et al. 2017; <https://psl.noaa.gov/data/gridded/data.noaa.ersst.v5.html>), with a horizontal resolution of $2^{\circ} \times 2^{\circ}$ in the longitude-latitude grids. Atmospheric teleconnection indices, including the EAWR index and NAO index, are provided by the NOAA Climate Prediction Center (<https://www.cpc.ncep.noaa.gov/data/>).

Surface data of visibility and relative humidity observed at 748 meteorological stations are extracted from the National Meteorological Information Center of China from 1979 to 2012. These meteorological observations are measured daily at 0200, 0800, 1400 and 2000 Beijing time (UTC+8). Following previous studies (Guo et al. 2017; Chen et al. 2019, 2020), a series of quality control techniques are applied to this meteorological data to ensure its quality and consistency. In particular, we exclude the observation station if it contains any missing values throughout the whole analysis period. In addition, the data has been removed when precipitation, snow events, and dust storms occurred. After the above quality control, there remain 218 stations over Eastern China (Fig. 1a). Furthermore, following previous studies (Che et al. 2009; Guo et al. 2017, Chen et al. 2019; 2020), we only use the data at 1400 Beijing time, as

this time may be the most representative of the daily visibility compared to other times. It should be mentioned that the atmospheric visibility, which is traditionally measured by human visual observation, starts to be determined by the automatic visibility instruments since the year 2014. Due to the changes of the observation methods, large uncertainties have emerged due to the issues of heterogeneity as reported by Li et al. (2018). Thus, this study does not employ the visibility data after the 2014.

Long-term trends of all variables have been removed to avoid the impact of the global warming signal and to focus on the interannual variation of haze pollution. Anomalies are calculated by subtracting the monthly climatology from the original data. Significance levels of correlation coefficient and composite differences are estimated based on the two-tailed Student's t test.

2.2 Dry Extinction coefficient of aerosol

As in previous studies (Li et al. 2018; Guo et al. 2017; Chen et al. 2019, 2020), this study employs the dry extinction coefficient (DECC) of aerosol to represent the haze pollution. The DECC is defined based on the Koschmieder relationship (Koschmieder 1926):

$$DECC = \frac{K}{Vis_{dry}} \quad (1)$$

where K is equal to 3.912, Vis_{dry} indicates atmospheric visibility after removing the effect of relative humidity. It is noted that atmospheric visibility is not only impacted by the dry particles, but also affected by the amount of water uptake. For instance,

high humidity associated with fog could lead to reduction of atmospheric visibility. Previous studies suggested that the visibility needs to be corrected in the presence of a relative humidity ranging from 40 to 90% (e.g. Rosenfeld et al. 2007), which is expressed as follows:

$$Vis_{dry} = \frac{Vis_{obs}}{0.26 + 0.4285 \log(100 - RH)} \quad (2)$$

where Vis_{obs} indicates the observed visibility. Note that all visibility data are discarded when the relative humidity (RH) is higher than 90% to remove the influence of fog events, non-linear aerosol and water interactions (Craig and Faulkenberry 1979; Guo et al. 2017; Chen et al. 2019, 2020).

2.3 Wave activity flux

We use the wave activity flux defined by Takaya and Nakamura (2001) to examine the stationary Rossby wave propagation, which can be expressed as follows:

$$W = \frac{1}{2|U|} \begin{pmatrix} U(v'^2 - \psi'v'_x) + V(-u'v' + \psi'u'_x) \\ U(-u'v' + \psi'u'_x) + V(u'^2 + \psi'u'_y) \\ \frac{f_o R_a p}{N^2 H_o} \{U(v'T' - \psi'T'_x) + V(-u'T' - \psi'T'_y)\} \end{pmatrix} \quad (3)$$

where $\mathbf{U} = (U, V)$ is the climatological wind vector. $\mathbf{V} = (u', v')$ denotes geostrophic winds anomalies. ψ' is geostrophic stream function anomalies. H_o , p , and N represent scale height, pressure normalized by 1000-hPa, and Brunt-Vaisala frequency, respectively. R_a , T' , and f_o denote gas constant of the dry air, air temperature anomalies, and the Coriolis parameter at 45°N, respectively. Subscripts x and y are the derivatives in the zonal and meridional directions, respectively. Climatological mean is calculated over the 1980–2010 period.

2.4 Barotropic model

The present study employs a linear barotropic model to investigate the role of the SST anomalies (SSTA) over the subtropical and tropical North Atlantic in triggering atmospheric Rossby wave train over mid-high latitudes of Eurasia. Previous studies have demonstrated that cold (warm) SSTA in the subtropical and tropical regions are able to induce convergence (divergence) anomalies at the upper-troposphere that act as effective sources of atmospheric stationary Rossby wave (Hodson et al. 2010; Watanabe 2004; Zuo et al. 2013; Wu et al. 2011; Chen et al. 2016, 2020). Based on a simple barotropic vorticity equation (Watanabe 2004; Sardeshmukh and Hoskins 1988; Chen et al. 2020), the barotropic model is established by:

$$\partial_t \nabla^2 \psi' + J(\bar{\psi}, \nabla^2 \psi') + J(\psi', \nabla^2 \bar{\psi} + f) + \alpha \nabla^2 \psi' + \nu \nabla^6 \psi' = S' \quad (4)$$

where ψ' and $\bar{\psi}$ are the perturbation stream function and basic state stream function, respectively. f and J represent the Coriolis parameter and Jacobian operator, respectively. S' represents the vorticity source generated by the atmospheric divergence. The barotropic model consists a biharmonic diffusion and a linear damping that indicate the Rayleigh friction. Note that solution of the above Equation associated with the barotropic model is determined by the vorticity perturbation (S') and the basic state. In the present analysis, the basic state is chosen at the 300-hPa level over 1979-2010 using the NCEP-NCAR reanalysis data. O'Reilly et al. (2018) reported that results of the barotropic model experiments are insensitive to the basic states chosen from the upper troposphere (e.g., from 350-hPa to 200-hPa). It should

be mentioned that the basic state is chosen from the upper troposphere because the strongest convergence/divergence anomalies generated by the tropical and subtropical SST cooling/warming tend to be observed at the upper troposphere (e.g., Sun et al. 2015; Krishnamurti et al. 2013; O'Reilly et al. 2018; Chen et al. 2020).

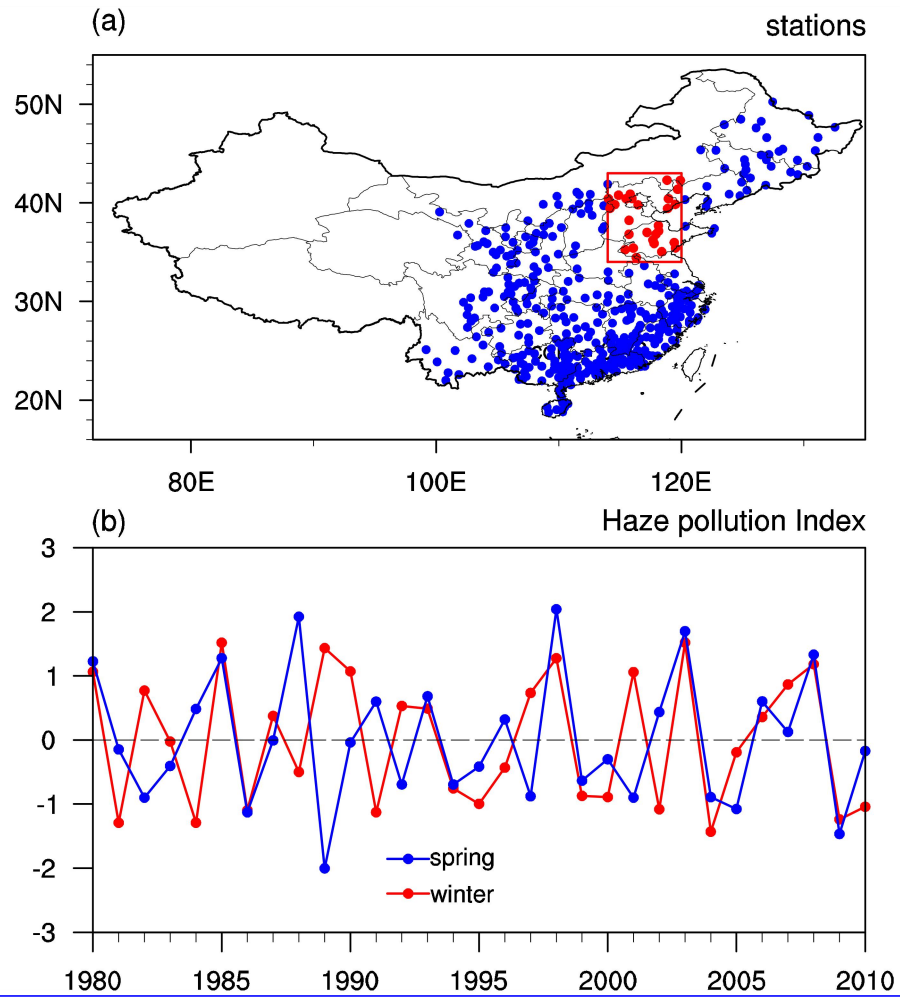


Figure 1. (a) Geographical locations of the meteorological stations (denoted by dots) in China. Red dots represent the meteorological stations in the NCPR. (b) Standardized time series of the NDVI in winter (December-January-February-mean, D(0)JF(1) for short) and its following spring (March-April-May-mean, MAM(1) for short) over 1980-2010.

3. Connection of haze pollution over NCPR in winter and spring

Following previous analyses (Yin and Wang 2016; Chen et al. 2019, 2020), the

NCPR corresponds to the region spanning 34°–43°N, 114°–120°E (Fig. 1a). Slight changes of the region to represent NCPR don't affect the main results of this study. Figure 1a shows that there are a total of 268 meteorological observational stations in the NCPR (red dots in the box). As in previous studies (Yin and Wang 2016; Chen et al. 2019, 2020), this analysis defines a NCPR DECC index (NDI for short) by averaging the DECC anomalies over the above 28 stations to describe variation of haze pollution over the NCPR (Fig. 1b). We have examined the first Empirical Orthogonal Function mode (EOF1) of interannual variations of DECC over the NCPR in winter and spring (Figs. 2a and 2b). Spatial patterns of the EOF1 in winter and spring are featured by same-sign DECC anomalies over the NCPR, except for small patch of regions (Figs. 2a and 2b). In addition, the correlation coefficient between the principal component (PC) time series corresponding to the EOF1 of winter DECC anomalies (Fig. 2c, red curve) and the winter NDI (Fig. 1b, red curve) is as high as 0.86, significant at the 99.9% confidence level. Similarly, the correlation coefficient between the PC1 time series of the spring DECC anomalies (Fig. 2c, blue curve) and the spring NDI (Fig. 1b, blue curve) reaches 0.93. Above evidences suggest that the 28 stations in the NCPR can generally be considered as whole.

~~As in previous studies (Yin and Wang 2016; Chen et al. 2019, 2020), this analysis defines a NCPR DECC index (NDI for short) by averaging the DECC anomalies over the above 26 stations to describe variation of haze pollution over the NCPR.~~

~~Figure 1b shows year-to-year variations of the NDI in winter and the following~~

~~spring over 1980–2011.~~ The correlation coefficient between the winter and spring NDI over 1980–2011 is 0.30, exceeding the 90% confidence level according to the two-tailed Student's t test, ~~which suggests a marginal in-phase variation of the haze pollution in winter and the following spring.~~ Note that we have also employed the Monte Carlo method to evaluate the robustness of the winter-spring Haze connection by constructing 10,000 random realizations of spring haze time series. The correlation coefficient with permutation of 90% (95%) confidence is about 0.23 (0.3). Therefore, the Monte Carlo permutation tests demonstrate that the linkage between the winter and spring haze can pass the 95% confidence level. From Fig.1b, 20 out of 32 years with positive or negative winter Haze pollution anomalies are followed by same-sign anomalies of Haze pollution in the following spring over the North China Plain (Fig. 1b). This indicates that the portion is about 62.5% for the persistent relationship between the winter and spring haze. In particular, most of the positive (negative) values of winter NDI are followed by extremely large (small) values of the spring NDI (for example, years in 1980, 1985, 1986, etc.). Above evidences collectively suggest a close in-phase variation of the haze pollution in winter and the following spring. In particular, if haze pollution in winter is less (more) serious over the NCPR, air condition over the NCPR in the following spring also tends to be better (worse) than normal.

~~In particular, most of the positive (negative) values of winter NDI are followed by extremely large (small) values of the spring NDI (for example, years in 1980, 1985, 1986, etc.). This suggests that air condition over the NCPR in the following spring~~

tends to be better (worse) than normal if haze pollution in preceding winter is less (more) serious over the NCPR. As shown in Fig. 1b, however, there also exists several years when values of the winter and following spring NDI are strongly opposite, showing out-of-phase variation. In these years, large negative (positive) values of winter NDI are followed by large positive (negative) spring NDI (Fig. 1b). For instance, in 1984 and 1991, the winter NDIs are significantly negative, while the following spring NDIs are significantly positive. In 1982 and 1989, large positive values of winter NDI are followed by large negative values of spring NDI.

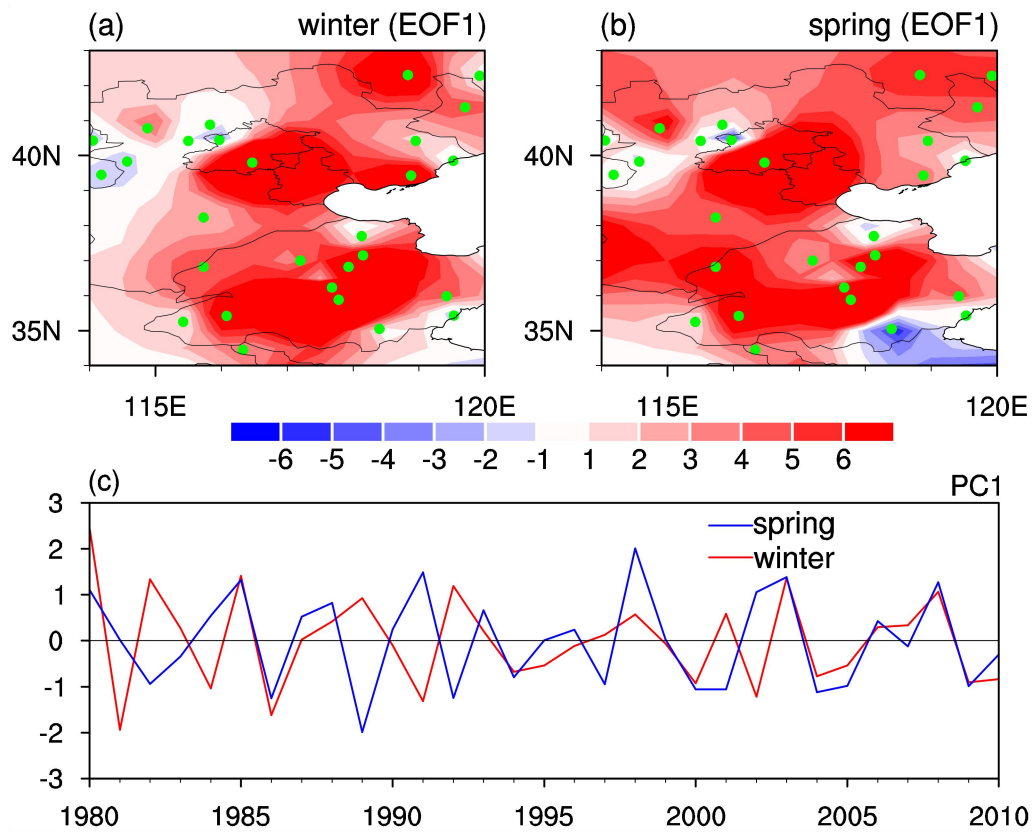


Figure 2. The first EOF mode (EOF1) of interannual anomalies of DECC in (a) winter and (b) spring over the NCPR (i.e. 34°-43°N and 114°-120°E) for the period of 1979-2010. (c) The corresponding PC time series of EOF1 of interannual anomalies of DECC in winter (red curve) and spring (blue curve). The green dots in (a-b) indicate the stations in the NCPR.

Table 1. Lists of the persistent and reverse years.

<u>Persistence (11 years)</u>	<u>Reverse (9 years)</u>
<u>1980, 1985, 1986, 1993, 1994, 1998,</u> <u>1999, 2003, 2004, 2008, 2009</u>	<u>1982, 1984, 1988, 1989, 1991, 1992,</u> <u>1997, 2001, 2002</u>

Figure 1. (a) Geographical locations of the meteorological stations (denoted by dots) in China. Red dots represent the meteorological stations in the NCPR. (b) Standardized time series of the NDI in winter (December-January-February-mean, D(0)JF(1) for short) and its following spring (March-April-May-mean, MAM(1) for short) over 1980-2010.

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<u>Persistence (11 years)</u>	<u>Reverse (9 years)</u>
<u>1980, 1985, 1986, 1993, 1994, 1998,</u> <u>1999, 2003, 2004, 2008, 2009</u>	<u>1982, 1984, 1988, 1989, 1991, 1992,</u> <u>1997, 2001, 2002</u>

From Fig. 1b, there also exists several years when values of the winter and following spring NDI are strongly opposite, showing out-of-phase variation. In these years, large negative (positive) values of winter NDI are followed by large positive (negative) spring NDI (Fig. 1b). For instance, in 1984 and 1991, the winter NDIs are significantly negative, while the following spring NDIs are significantly positive (Fig. 1b). In 1982 and 1989, large positive values of winter NDI are followed by large negative values of spring NDI (Fig. 1b). In the following, positive (negative) phases of the winter and spring NDIs are identified when the normalized NDIs are larger (less) than 0.43. Previous studies indicated that value of ± 0.43 standard deviation can separate a time series into three portions (positive and negative phases, and

normal condition) with nearly the same sample sizes. Note that a use of ± 0.5 standard deviation as the threshold to define anomalous NDI years leads to similar results, but with smaller sample sizes. Table 1 presents the years when winter and spring NDIs are in-phase and out-of-phase. According to Table 1, there are a total of 11 (9) years for the in-phase (out-of-phase). ~~Relatively less number of out-of-phase years than in-phase years is found during 1980–2011, in concert with the evidence that winter NDI has a marginal positive correlation with the spring NDI.~~ In the following, out-of-phase (in-phase) years are called (reverse) persistent years for convenience of descriptions.

We employ composite analysis to compare evolutions of DECC and atmospheric anomalies between the persistent and reverse years. Note that in performing the composite analysis, we reversed the anomalies when the winter NDI is negative since, to a large extent, the DECC and atmospheric circulation anomalies over the NCPR are symmetric between the positive and negative phases of the winter NDI. Hence, the descriptions below are corresponding to the positive phases of the winter NDI, but also apply to the negative phases except with opposite signs.

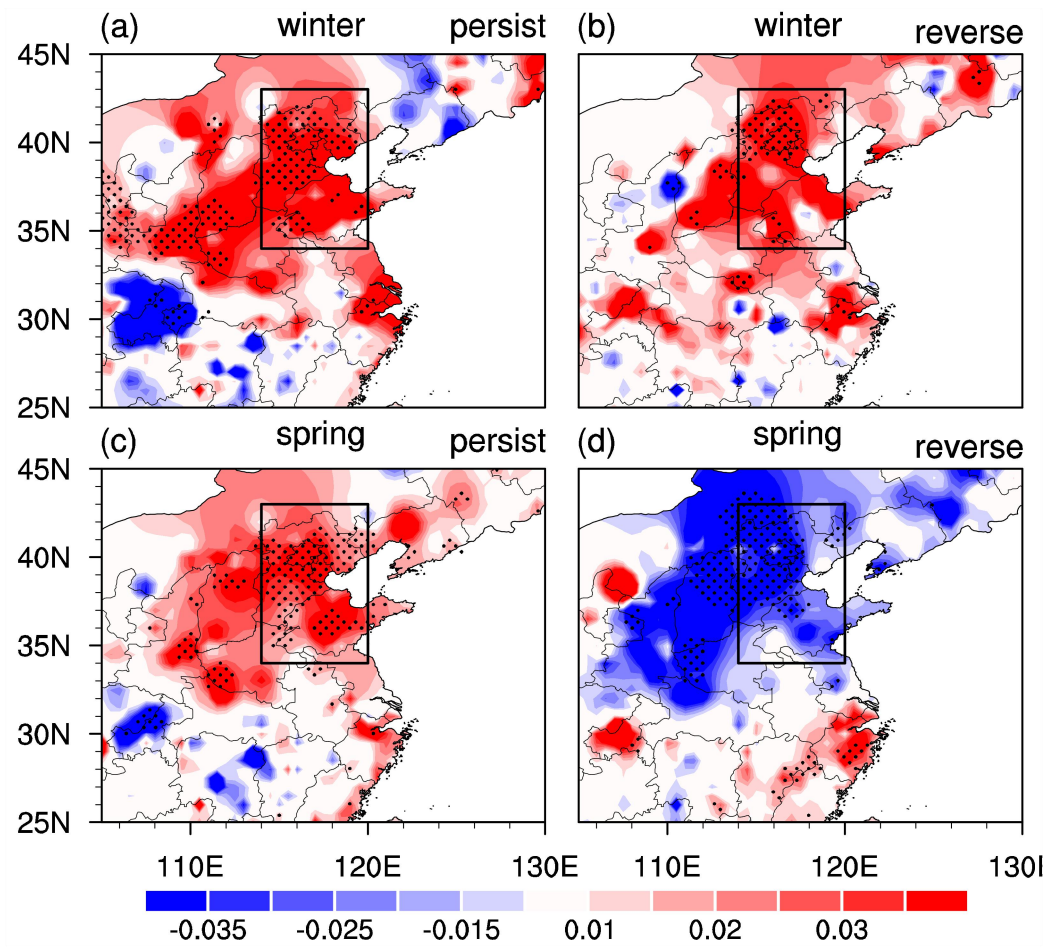


Figure 23. Composite anomalies of DECC (unit: km^{-1}) in (a, b) winter and (c, d) spring in the (left column) persistent years and the (right column) reverse years. Stippling regions indicate anomalies significant at the 5% level.

Figure 23 shows composite anomalies of DECC in winter and following spring in the persistent and reverse years. For the persistent years, large positive DECC anomalies (indicating more serious haze pollution) are seen over the NCPR and surrounding regions (Fig. 23a). DECC anomalies in winter over southern China are weak, suggesting a weak relation of the haze pollution between northern and southern China, consistent with previous studies (e.g. Li et al. 2017; He et al. 2019). Large positive DECC anomalies over the NCPR are maintained to the succedent spring with comparable amplitude (Figs. 23a and 23c). For the reverse years, large positive DECC

anomalies also appear over the NCPR in winter (Fig. 23b). However, in the following spring, the NCPR and surrounding regions are dominated by significantly negative values of DECC (Fig. 23d) (indicating air condition in spring becomes better), which is in sharp contrast to that for the persistent years (Fig. 23c).

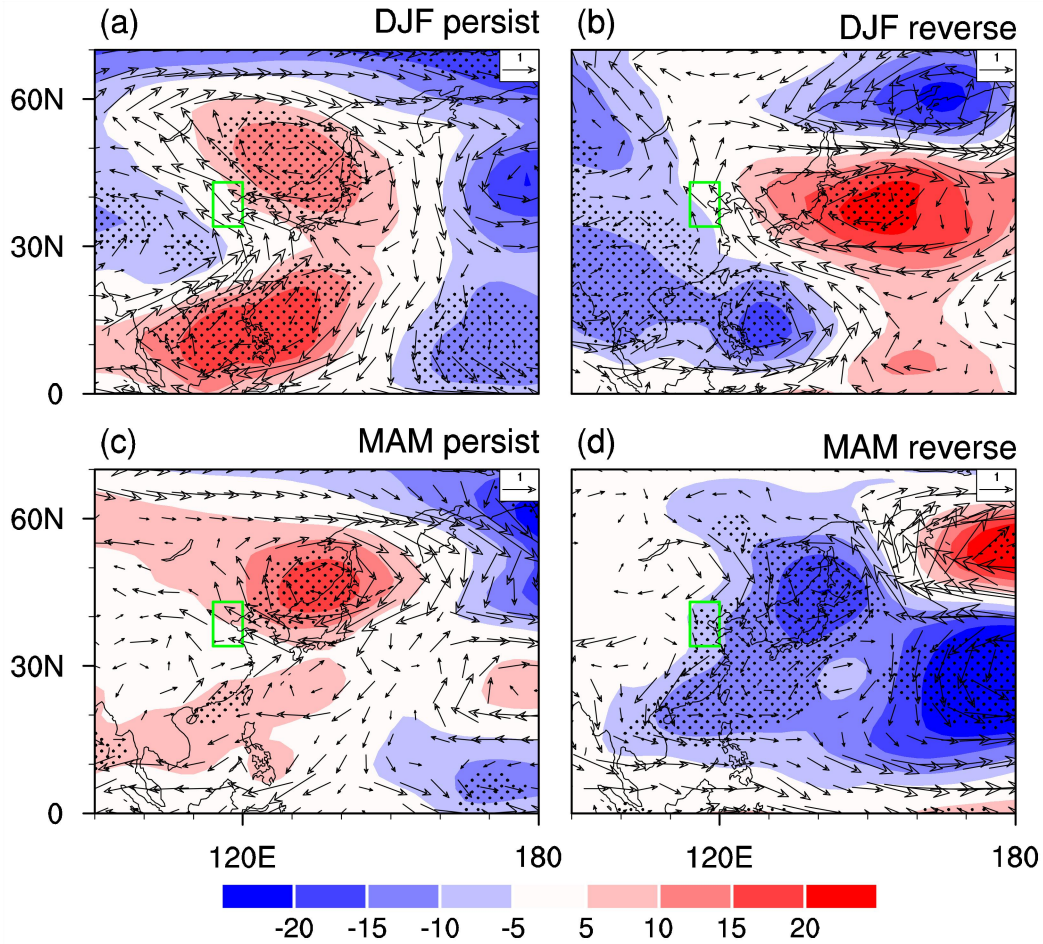


Figure 34. Composite anomalies of 850-hPa winds (vectors, unit: m s^{-1}) and streamfunction (shadings; unit: $10^5 \text{ m}^2 \text{ s}^{-1}$) in (a, b) winter and (c, d) spring in the (left column) persistent years and the (right column) reverse years. Stippling regions indicate streamfunction anomalies that are statistically significant at the 5% level.

Studies have demonstrated that meteorological conditions related to the atmospheric anomalies can explain above 66% of interannual and interdecadal variations of haze pollution over most parts of Eastern China (Zhang et al. 2014; Chen et al. 2019; He et al. 2019; Dang and Liao 2019; Ma and Zhang 2020). Hence, it is

357 expected that different evolutions of the DECC anomalies from winter to following
358 spring over the NCPR may be associated with the distinct evolutions of atmospheric
359 anomalies. Composite anomalies of winds and streamfunction at 850hPa in winter and
360 following spring for the persistent and reverse years are shown in Fig. 34. In the
361 persistent years, a significant anticyclonic anomaly is seen over northeast Asia,
362 accompanied by strong southerly winds anomalies over NCPR, and northerly wind
363 anomalies over mid-latitudes North Pacific (Fig. 34a). In addition, another marked
364 anticyclonic anomaly appears over south China sea and Philippine sea, leading to
365 strong southerly wind anomalies over southern China (Fig. 34a). The anomalous
366 anticyclone over northeast Asia and associated southerly wind anomalies over NCPR
367 are maintained to following spring (Fig. 34c).

368 For the reverse years, a strong anticyclonic anomaly also exists over northeast
369 Asia, but with a southeastward displacement (Fig. 34b) compared to that in the
370 persistent years. Note that NCPR is also dominated by strong southerly wind
371 anomalies (Fig. 34b). In contrast, the south China sea and Philippine sea are covered
372 by an anomalous cyclone, together with northerly wind anomalies over southern
373 China (Fig. 34b). Moreover, an anticyclonic anomaly occurs around the Russian Far
374 East, accompanied by westerly wind anomalies to the north of Japan (Fig. 34b). In the
375 following spring, the pronounced anticyclonic anomaly over northeast Asia and
376 associated southerly wind anomalies over the NCPR are replaced by a marked
377 cyclonic anomaly and northerly wind anomalies (Fig. 34d).

378 Hence, there appears prominent difference in the atmospheric anomalies over

northeast Asia between the persistent and reverse years. Specifically, in the persistent years, the anomalous anticyclone over northeast Asia and southerly wind anomalies over NCPR are maintained from winter to following spring. By contrast, in the reverse years, the wintertime anticyclonic anomaly is replaced by a cyclonic anomaly over northeast Asia, accompanied by reversal of meridional wind anomalies over NCPR from winter to the succedent spring.

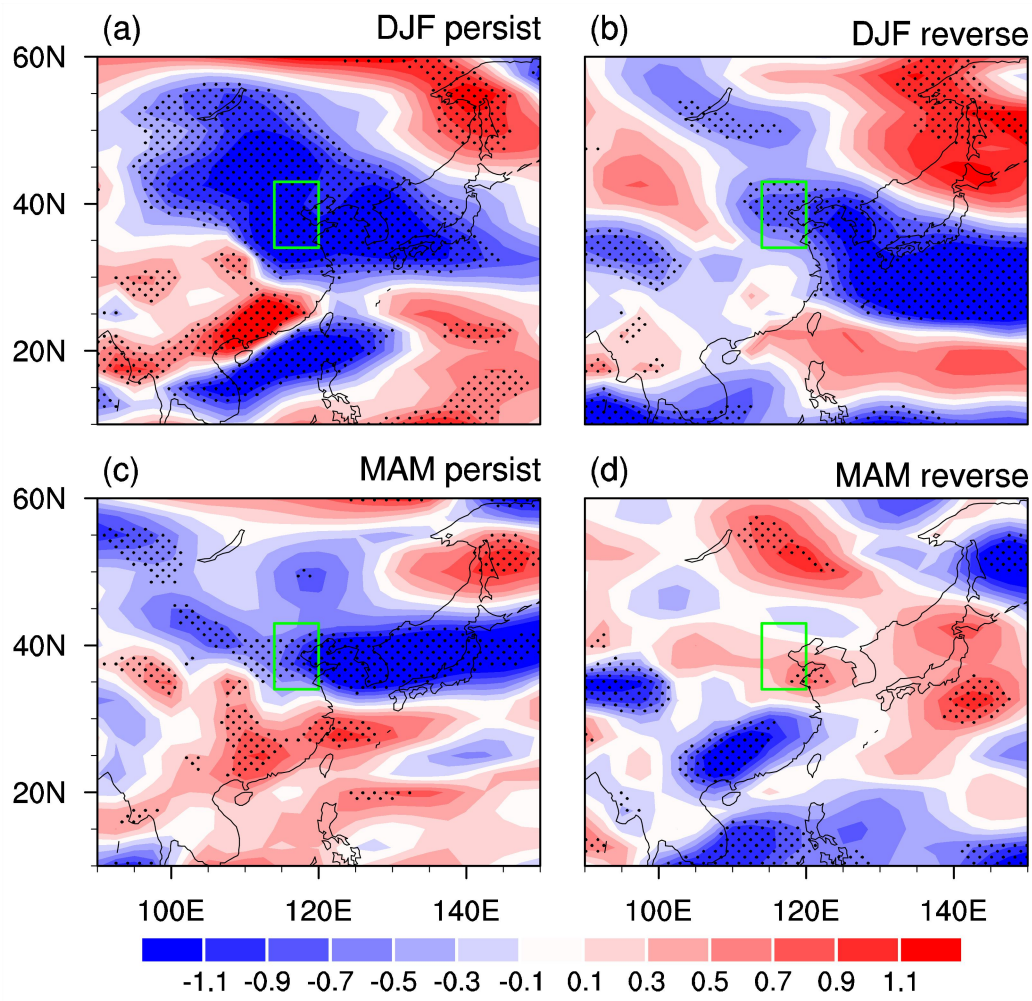


Figure 45. Composite anomalies of 850-hPa wind speed (unit: m s^{-1}) in (a, b) winter and (c, d) spring in the (left column) persistent years and the (right column) reverse years. Stippling regions indicate anomalies that are statistically significant at the 5% level.

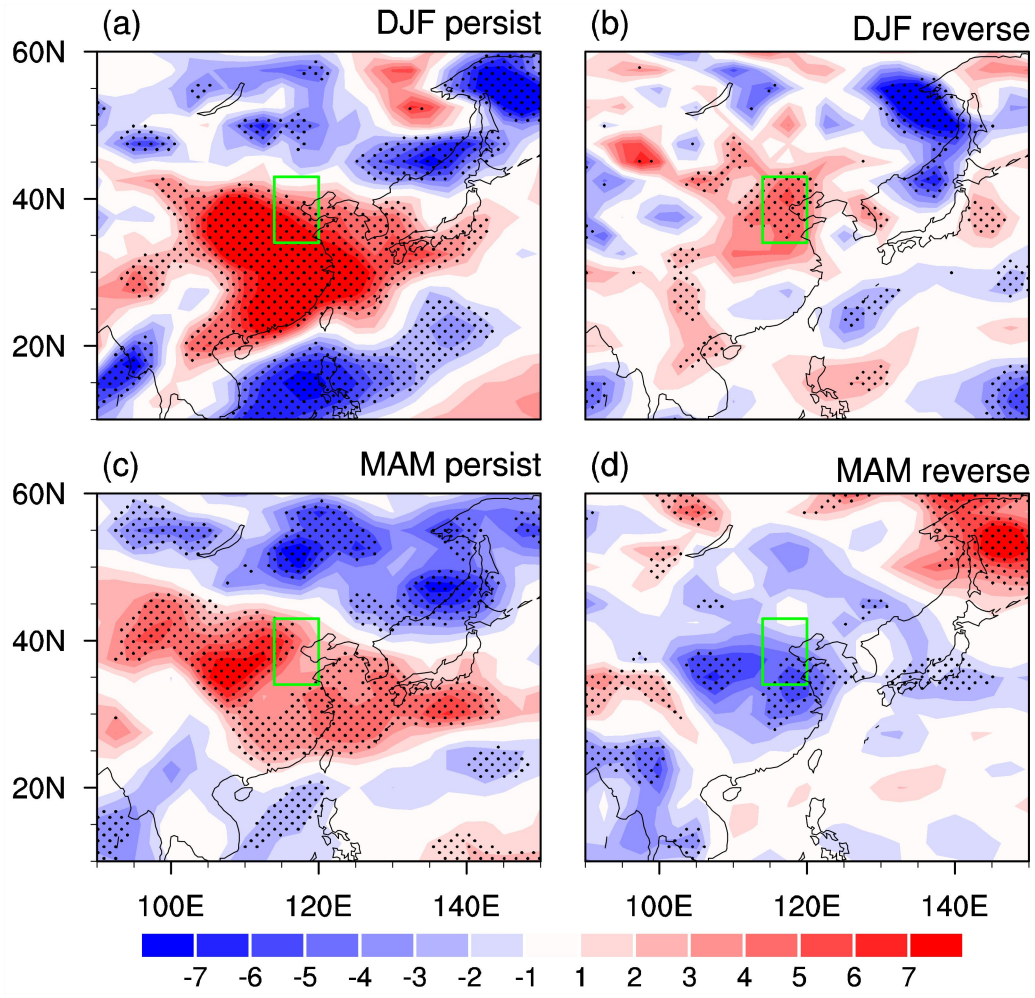


Figure 56. Composite anomalies of 850-hPa relative humidity (unit: %) in (a, b) winter and (c, d) spring in the (left column) persistent years and the (right column) reverse years. Stippling regions indicate anomalies that are statistically significant at the 5% level.

The atmospheric anomalies can impact haze pollution over NCPR in winter and spring via modulating surface wind speed, ~~and~~ relative humidity and boundary layer height (BLH) (e.g. Hang et al. 2013; Chen et al. 2019, 2020; He et al. 2019; Dang and Liao 2019; Li et al. 2020). The increase (decrease) in the surface wind speed is (not) conducive to horizontal diffusion of pollutants, thus contributing to below (above) normal DECC and less (more) serious haze pollution (Chen et al. 2019; Li et al. 2020). Increase (decrease) in the BLH tends to favor (suppress) vertical diffusion of pollutant,

and thus results in below (above) normal DECC (Zhang et al. 2016; Wang et al. 2018). Furthermore, ~~Additionally,~~ large (small) relative humidity is (not) conducive to the generation of secondary organic compounds and secondary aerosol species (such as SO_4^{2-} and NO_3^-), which contribute to more (less) serious haze pollution over NCPR (Yu et al. 2005; Hennigan et al. 2008; Chen et al. 2019; Li et al. 2020; Ma and Zhang 2020).

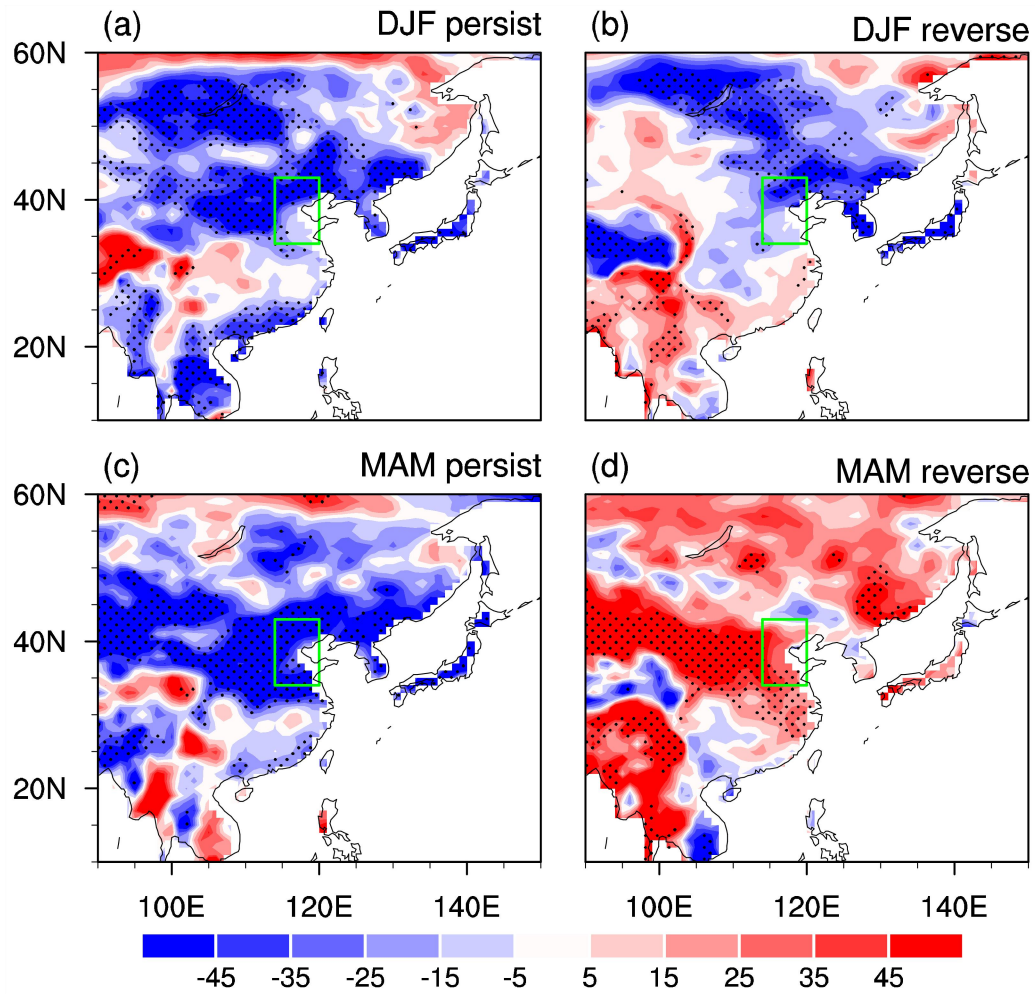


Figure 7. Composite anomalies of boundary layer height (BLH, unit: m) in (a, b) winter and (c, d) spring in the (left column) persistent years and the (right column) reverse years. Stippling regions indicate anomalies that are statistically significant at the 5% level.

Composite anomalies of low-level (850-hPa) wind speed, ~~and~~ relative humidity

414 [and BLH](#) in winter and spring are shown in [Fig. 4](#) and [Figs. 5-7-5](#), respectively. In
 415 winter, low-level wind speed is significantly decreased over the NCPR with a
 416 northwestward extension to the Lake Baikal and an eastward extension to western
 417 North Pacific for both the persistent and reverse years (Figs. [45a](#) and [45b](#)). The
 418 southerly wind anomalies to the western side of the anticyclonic anomaly over
 419 northeast Asia (Figs. [34a](#) and [34b](#)) as opposite to the climatological northerly winds
 420 dominated by East Asian winter monsoon (not shown), [and](#) lead to decreases in the
 421 total wind speed (Figs. [45a](#) and [45b](#)), which contributes to more serious haze pollution
 422 (Figs. [23a](#) and [23b](#)). In addition, southerly winds anomalies tend to bring more water
 423 vapor northward from Southern Ocean and result in an increase in the relative
 424 humidity (Figs. [56a](#) and [56b](#)), which are ~~also~~ conducive to formation of secondary
 425 aerosol species (Yu et al. 2005; Hennigan et al. 2008; Chen et al. 2019) and contribute
 426 to more serious haze pollution over NCPR in winter (Figs. 2a and 2b). [Moreover,](#)
 427 [large decrease in the BLH over the NCPR, which is associated with the anomalous](#)
 428 [anticyclone there, also contributes to above normal DECC via suppressing the vertical](#)
 429 [diffusion of pollutant \(Figs. 7a and 7b\) \(Zhang et al. 2016; Wang et al. 2018\).](#) In the
 430 persistent years, sustenance of the anticyclonic anomaly over northeast Asia and
 431 southerly wind anomalies over NCPR to the following spring (Fig. [34c](#)) contributes to
 432 above normal DECC in spring (Fig. [23c](#)) via reducing surface wind speed [and BLH](#)
 433 (Figs. [45c](#) and [7c](#)), and increasing relative humidity (Fig. [56c](#)). By contrast, in the
 434 reverse years, reversal of atmospheric anomalies over northeast Asia from
 435 anticyclonic anomaly in winter (Fig. [34b](#)) to cyclonic anomaly in the following spring

(Fig. 34d) results in the inverted DECC anomalies over NCPR (Figs. 23b and 23d). In spring, the cyclonic anomaly over northeast Asia ~~northerly wind anomalies~~ increase the low-level total wind speed (Fig. 45d) and BLH (Fig. 7d), which are conducive to the horizontal and vertical dispersion of pollutants and contribute to a better air condition. Additionally, the anomalous northerly winds (Fig. 34d) lead to decrease in the relative humidity (Fig. 56d) via carrying colder and drier air from higher latitude, suppressing generation of secondary organic compounds and secondary aerosol species, and also contributing to mitigation of haze pollution (Fig. 23d). Above evidences suggest that the distinct evolutions of haze pollution over NCPR between the persistent and reverse years are closely related to the different evolutions of atmospheric anomalies over northeast Asia.

Atmospheric anomalies over northeast Asia related to interannual variations of haze pollution over NCPR are closely associated with upstream atmospheric wave train over North Atlantic and mid-high latitudes Eurasia. Studies have demonstrated that atmospheric wave trains originated from North Atlantic across Eurasia to East Asia have a strong contribution to interannual variations of haze pollution and climate anomalies over North China (Yin and Wang 2016, 2017; Zhao et al. 2019; Chen et al. 2019, 2020). Composite anomalies of geopotential height at 500-hPa over larger areas in winter and succedent spring for the persistent and reverse years are presented in Fig. 68. To examine the possible sources of the atmospheric wave trains over Eurasia, we also present the wave activity fluxes in Fig. 68, which describe propagation directions of the atmospheric Rossby waves. Spatial structures of the geopotential height

anomalies at 850-hPa and 200-hPa (not shown) are highly similar to those at 500-hPa in Fig. 68, indicating a vertically barotropic structure of the atmospheric anomalies over mid-high latitudes of North Atlantic and Eurasia.

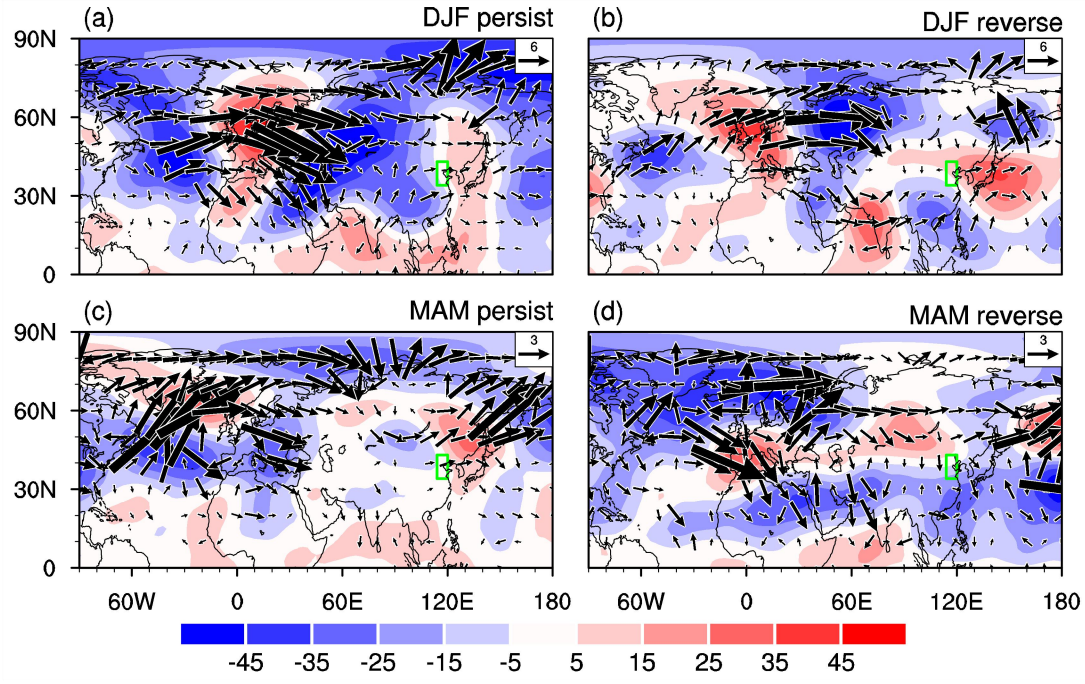


Figure 68. Composite anomalies of 500-hPa geopotential height (shadings, unit: m) and wave activity fluxes (vectors; unit: $\text{m}^2 \text{s}^{-2}$) in (a, b) winter and (c, d) spring in the (left column) persistent years and the (right column) reverse years.

In the persistent years, an EAWR-like teleconnection pattern is obviously observed extending from North Atlantic across Europe to East Asia, with negative geopotential height anomalies (corresponding to cyclonic anomalies) over mid-high latitudes North Atlantic and central Eurasia, and positive geopotential height anomalies (corresponding to anticyclonic anomalies) over west Europe and northeast Asia (Fig. 68a). The pattern correlation coefficient between the EAWR-related 500-hPa geopotential height anomalies and those in Fig. 68a over the North Atlantic and Eurasian regions (i.e. 20° – 90° N and 70° W– 130° E) reaches 0.65, significant at the

99.9% confidence level. Hence, in the persistent years, the EAWR teleconnection contributes largely to the formation of the anticyclonic anomaly over northeast Asia in winter. In the reverse years, spatial structure of the 500-hPa geopotential height anomalies over mid-high latitudes of North Atlantic and Eurasia (Fig. 68b) bears a close resemblance to that for the persistent years (Fig. 68a), and also resembles the EAWR teleconnection pattern. We have also calculated the pattern correlation coefficient between the 500-hPa geopotential height anomalies in Fig. 68b and those related to the winter EAWR over the ~~similar~~same region of 20°–90°N and 70°W–130°E. The pattern correlation coefficient is as high as 0.85, slightly higher than that in the persistent years ($r=0.65$), suggesting that the EAWR teleconnection pattern also has a strong contribution to the formation of the wintertime anticyclonic anomaly over Northeast Asia and haze pollution over the NCPR in the reverse years. Above results are consistent with Yin and Wang (2017) and Chen et al. (2020). Yin and Wang (2017) demonstrated that the EAWR teleconnection is the most important atmospheric wave train modulating haze pollution over North China. Chen et al. (2020) reported that the winter EAWR teleconnection ~~have~~has a stable and strong impact on the interannual variation of haze pollution over the NCPR via calculating the running correlation coefficients between the winter EAWR index and NDI. Note that there exist several differences in the spatial structure of the wintertime EAWR teleconnection between the persistent and reverse years (Figs. 68a and 68b). In particular, the center of negative geopotential height anomalies over central Eurasia in the persistent years (Fig. 68a) is stronger and shifts southward compared to that in the

reverse years (Fig. 68b). In addition, negative geopotential height anomalies over western North Atlantic extend more southwestward for the reverse years (Figs. 68a and 68b). Differences in the spatial structure of the winter EAWR between the persistent and reverse years may be partly due to differences in the background mean circulation (Chen and Wu 2017; Wang et al. 2019). Detailed investigation of the factors for the changes of the spatial pattern of the winter EAWR is out of the scope of this study. Furthermore, it is interesting to note that an atmospheric Rossby wave exists over subtropical region propagating along the subtropical Jet stream to extend from north Africa across south Asia and then turn northeastward to northeast Asia in the reverse years (Fig. 68b). This subtropical wave train also has a contribution to the formation of the anticyclonic anomaly over Northeast Asia and interannual variation of haze pollution over the NCPR as has been indicated by Chen et al. (2020).

In spring, a negative NAO-like pattern appears over North Atlantic in the persistent years, featured by negative geopotential height anomalies around 40°-50°N and positive anomalies over 60°-70°N in the persistent years (Fig. 68c). The pattern correlation coefficient between the spring NAO-related 500-hPa geopotential height anomalies and the composited 500-hPa geopotential height anomalies in Fig. 6c over North Atlantic region (30°–80°N and 20°W–60°W) is as high as -0.75. This result is consistent with Chen et al. (2019), which indicated that negative (positive) phase of the spring NAO contributes to formation of an anomalous anticyclone (cyclone) over Northeast Asia and leads to more (less) serious haze pollution over NCPR via eastward propagating wave train. However, in the reverse years, there exists a positive

NAO-like pattern over the North Atlantic (Fig. 68d), which is in sharp contrast to that in the persistent years (Fig. 68c). In particular, the pattern correlation between the 500-hPa geopotential height anomalies in Fig. 68c and spring NAO-related anomalies over 30°–80°N and 20°W–60°W reaches 0.6. As indicated by Chen et al. (2019), the spring positive NAO would contribute to below-normal DECC over the NCPR.

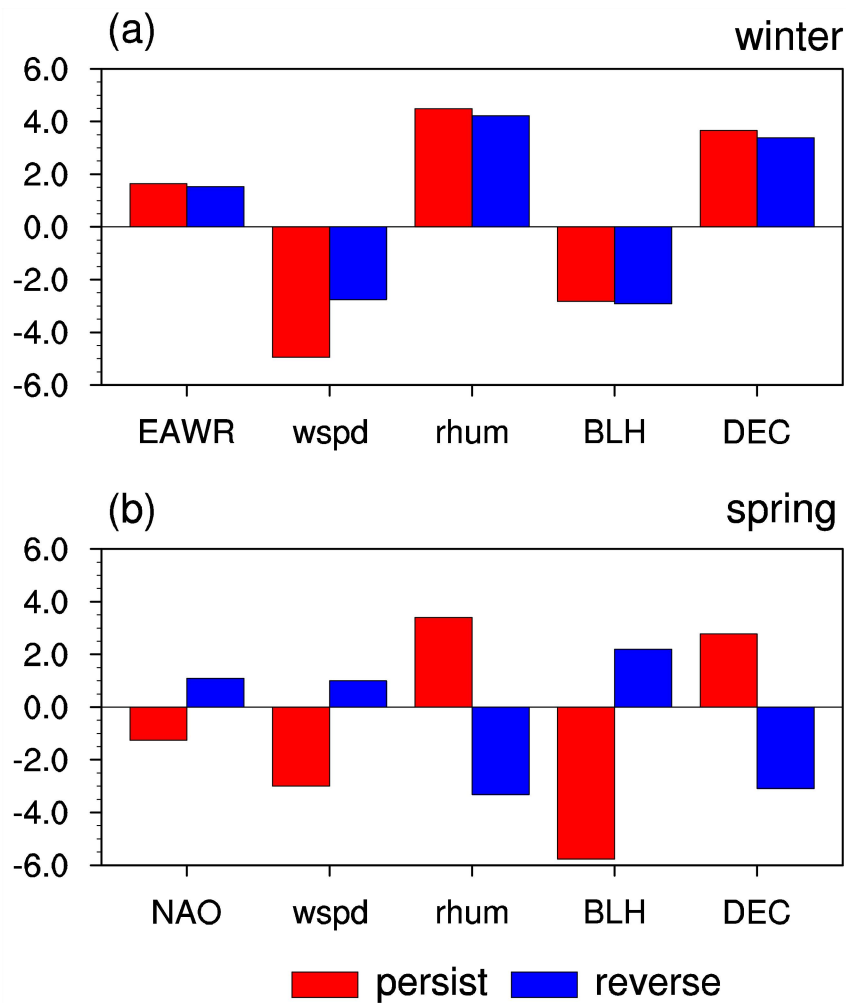


Figure 79. (a) Composite anomalies of the EAWR index, wind speed at 850-hPa (4^{-1} m s^{-1}), relative humidity at 850-hPa (%), [BLH \(\$10^1\$ m\)](#), and DECC (10^{-2} km $^{-1}$) averaged over NCPR in winter for the persistent years (red bars) and the reverse years (blue bars). (b) Composite anomalies of the NAO index, wind speed at 850-hPa (m s^{-1}), relative humidity at 850-hPa (%), [BLH \(\$10^1\$ m\)](#), and DECC (km $^{-1}$) averaged over NCPR in spring for the persistent years (red bars) and the reverse years (blue bars).

The distinct evolutions of NCPR-average DECC, surface wind speed, relative humidity, the winter EAWR index, and spring NAO index are summarized in Fig. 79. In winter, positive phase of the EAWR teleconnection contributes to anticyclonic anomalies over northeast Asia and associated southerly wind anomalies over the NCPR, which further leads to positive DECC anomalies both in the persistent and reverse years via reducing surface wind speed and BLH, —and increasing relative humidity (Fig. 79a). In spring, negative (positive) phase of the spring NAO contributes to formation of the anomalous anticyclone (cyclone) over northeast Asia, and results in positive (negative) DECC anomalies over the NCPR via increasing (decreasing) the relative humidity and decreasing (increasing) the surface wind speed and BLH in the persistent (reverse) years (Fig. 9b). Above evidences strongly indicate that different evolutions of atmospheric anomalies over North Atlantic and mid-high latitude Eurasia plays a crucial role in the distinct evolutions of the haze pollution over NCPR.

4. Mechanism for the different evolutions of atmospheric anomalies over North Atlantic and Eurasia

What is the possible mechanism for the different evolutions of atmospheric anomalies over North Atlantic and Eurasia? Considering that the internal atmospheric process could not explain the connection of the atmospheric anomalies between two seasons, the evolution of atmospheric anomalies over North Atlantic may be related to the underlying SSTA. Previous studies have demonstrated that North Atlantic is the

region with strong air-sea interaction (Czaja et al. 2002; Czaja and Frankignoul 2002; Huang and Shukla 2005; Pan 2005; Peng et al. 2003; Wu et al. 2009; Chen et al. 2016, 2018). On one hand, atmospheric anomalies over North Atlantic could lead to SSTA via modulating surface heat fluxes (Czaja et al. 2002; Huang and Shukla 2005; Wu et al. 2009; Chen et al. 2015). The connection between the atmospheric anomalies and SSTA over North Atlantic is [the](#) closest when atmospheric anomalies lead SSTA by about one month (Czaja and Frankignoul 2002; Huang and Shukla 2005). On the other hand, SSTA in the North Atlantic have a strong feedback on the overlying atmospheric circulation via the heating-induced atmospheric Rossby wave response and the interaction between low frequency mean flow and synoptic-scale eddy (Peng et al. 2003; Pan 2005; Czaja and Frankignoul 2002; Chen et al. 2020). In particular, a number of studies have suggested that the development and evolution of atmospheric anomalies and SSTA over North Atlantic are attributed to the positive air-sea interaction process there (Czaja and Frankignoul 1999; Rodwell and Folland 2002; Visbeck et al. 2003; Czaja et al. 2003; Wu and Liu 2005; Hu and Huang 2006; Chen et al. 2019; Chen et al. 2020).

Evolutions of SSTA in the North Atlantic are examined in Fig. [810](#). In the persistent years, significant cold SSTA are seen in the central North Atlantic around 30°N and off the east coast of Canada, together with notable warm SSTA in subtropical eastern North Atlantic with a northeastward extension to the west coast of Europe (Fig. [810a](#)). The warm SSTA in the subtropical northeastern Pacific and the cold SSTA in the central North Atlantic are maintained to the following spring with an

increase in the amplitude. In addition, high latitude North Atlantic is covered by warm SSTA in spring. This forms a significant tripolar SSTA pattern in spring. Note that the tripolar SSTA pattern is also the first EOF mode of interannual variation of SSTA in the North Atlantic (not shown) (Chen et al. 2016, 2020). Studies have demonstrated that warm (cold) SSTA in the tropical and subtropical North Atlantic related to the tripolar SST anomaly pattern could induce a negative NAO-like pattern via the Rossby wave type atmospheric response and wave-mean flow interaction process according to the observational analysis and numerical experiments (Peng et al. 2003; Pan 2005; Czaja and Frankignoul 2002; Chen et al. 2016, 2020).

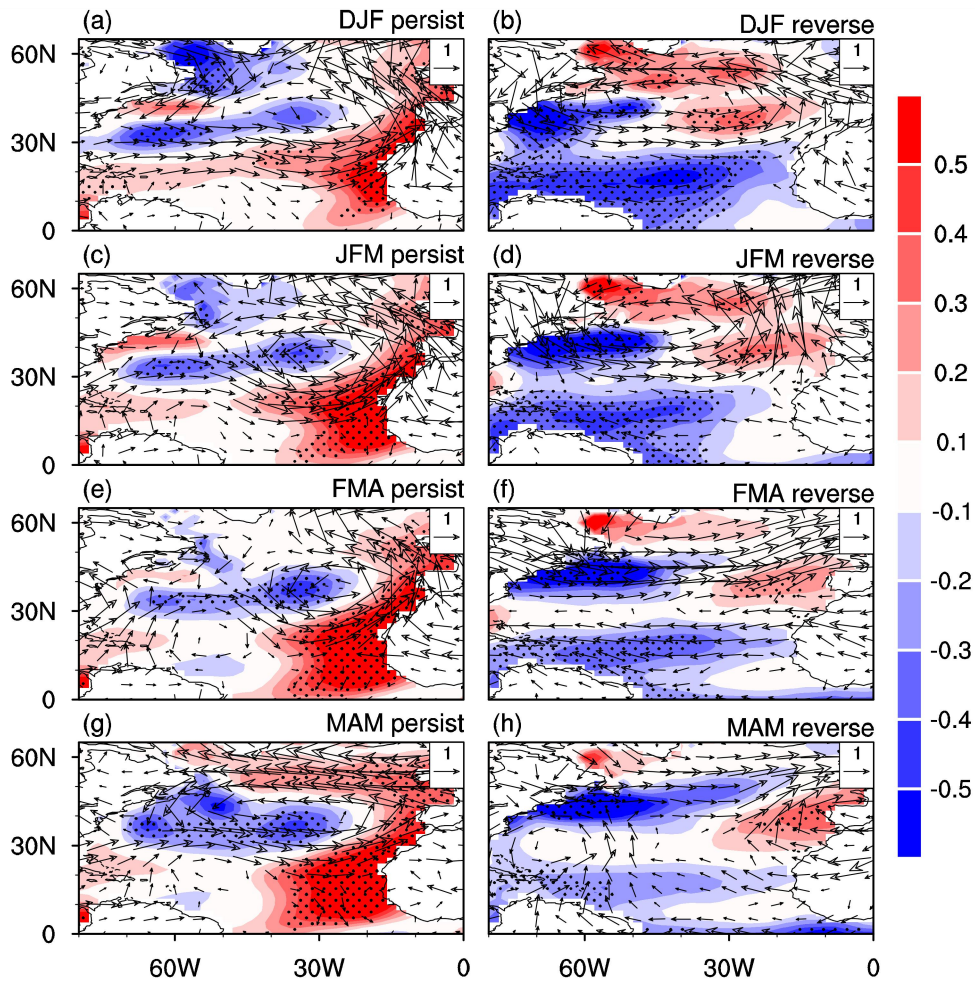


Figure 810. Composite anomalies of SST ($^{\circ}\text{C}$) and 850-hPa winds (m s^{-1}) in (a, b) D(0)JF(1), (c, d) JFM(1), (e, f) FMA(1), and (g, h) MAM(1) for (left column) the

persistent years and (right column) the reverse years. Stippling regions in the figure indicate SST anomalies that are statistically significant at the 5% level.

In the reverse years, significant cold SSTA are seen in the tropical and subtropical western North Atlantic in winter (Fig. 810b), which can maintain to following spring with a decrease in the amplitude (Figs. 810h), which are in sharp contrast to those in the persistent years (Figs. 810a, c, e, and g). It is reasonable to speculate that the opposite SSTA in the tropical and subtropical North Atlantic may be responsible for the opposite atmospheric anomalies over North Atlantic, which will be confirmed later based on the linear barotropic model.

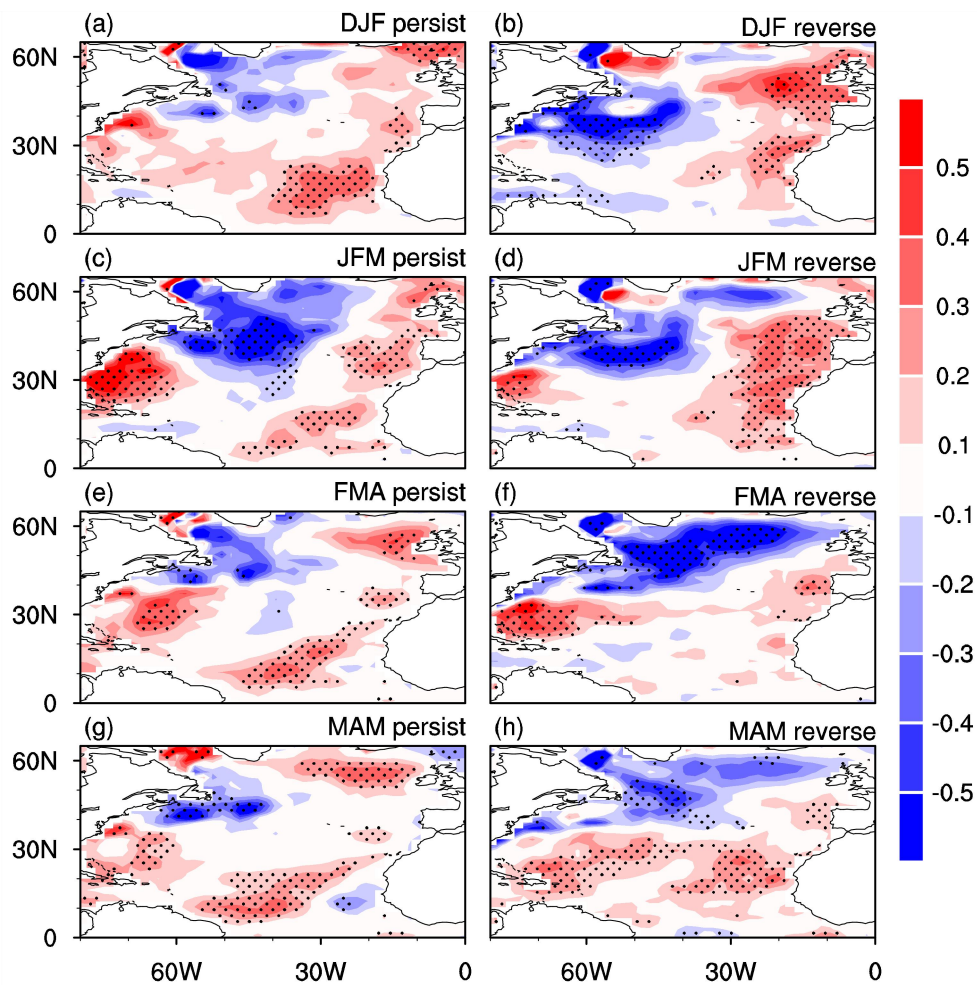


Figure 911. Composite anomalies of surface net heat fluxes (W m^{-2}) in (a, b) D(0)JF(1), (c, d) JFM(1), (e, f) FMA(1), and (g, h) MAM(1) for (left column) the

persistent years and (right column) the reverse years. Stippling regions in the figure indicate anomalies that are statistically significant at the 5% level.

Evolutions of SSTA in the North Atlantic from winter to the following spring are related to the air-sea interaction. Figure 911 shows composite anomalies of the surface net heat fluxes for the persistent and reverse years. Values of the surface heat fluxes have been taken to be positive (negative) when their directions are downward (upward), which contribute to warm (cold) SSTA. We have also examined composite anomalies of SST tendency (not shown). It shows that spatial patterns of anomalies of SST tendency in most parts of North Atlantic are similar to those of the surface net heat fluxes anomalies. This suggests that changes in the surface net heat fluxes can largely explain evolutions of SSTA in the North Atlantic from winter to the following spring. For example, in the persistent years, significant positive net heat flux anomalies are seen over the subtropical northeastern Atlantic from winter to spring (Figs. 911a, 911c, 911e, and 911g), which could explain the formation and enhancement of the positive SSTA there (Figs. 911a, 911c, 911e, and 911g). In addition, the negative surface net heat flux anomalies to the east of the Canada explain generation and maintenance of the negative SSTA there. Moreover, the positive surface net heat flux anomalies over high latitudes contribute to warm SSTA. In the reverse years, positive net heat flux anomalies appear off the west coast of west Europe (Figs. 911b, d, f, h), which explain maintenance of the warm SSTA (Figs. 810b, d, f, h). In addition, positive net surface heat flux anomalies over subtropical western North Atlantic in FMA and MAM (Figs. 911f and 911h) explain the decrease in the amplitude of the negative SSTA there (Figs. 810f and 810h).

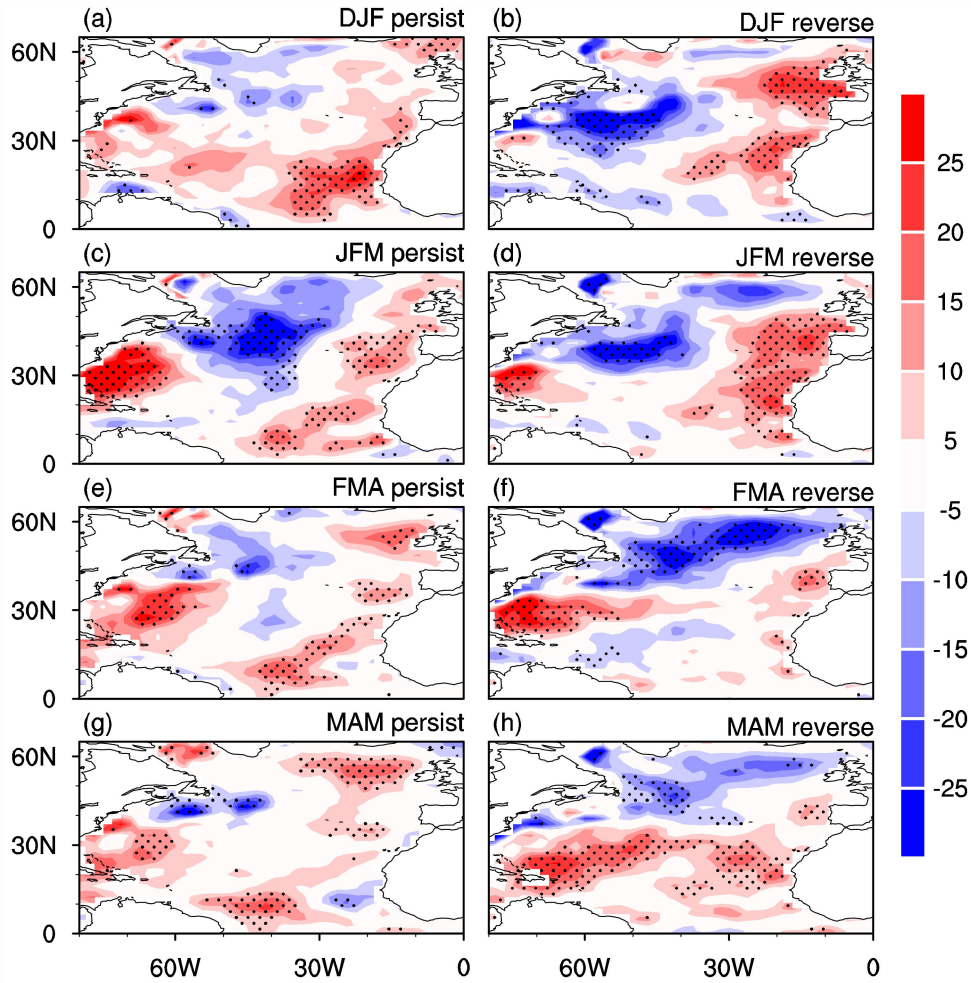


Figure 102. Composite anomalies of surface latent heat fluxes (W m^{-2}) in (a, b) D(0)JF(1), (c, d) JFM(1), (e, f) FMA(1), and (g, h) MAM(1) for (left column) the persistent years and (right column) the reverse years. Stippling regions in the figure indicate anomalies that are statistically significant at the 5% level.

Surface net heat flux anomalies are related to the overlying atmospheric circulation changes. Surface heat flux consists of four components, including the surface longwave and shortwave radiations, and surface latent and sensible heat fluxes. We find that surface net heat flux anomalies (Fig. 102) are dominated by changes in the surface latent heat flux (Fig. 102). Amplitudes of the surface sensible heat fluxes, and surface longwave and shortwave radiations are much weaker compared to that of the surface latent heat flux, and thus are not presented. In the persistent years, the

anomalous southwesterly winds over subtropical northeastern Atlantic in winter and
 spring oppose the climatological northeasterly winds (Figs. [810a](#) and [810g](#)). This
 results in decrease in the total wind speed and decrease in the upward latent heat flux
 (Figs. [102a](#) and [102g](#)) and thus contribute to warm SSTA (Figs. [810a](#) and [810g](#)). Note
 that the warm SSTA in the subtropical northeastern Atlantic could induce an
 anomalous cyclone to its northwestward direction via Rossby wave type atmospheric
 response (Czaja and Frankignoul 1999, 2002; Huang and Shukla 2005; Hu and Huang
 2006; Chen et al. 2016, 2020) and help maintain the anomalous cyclone over
 mid-latitude North Atlantic from winter to spring (Figs. [810a](#) and [810g](#)). Similarly, the
 anomalous easterly winds along 60°N over North Atlantic oppose the climatological
 westerly winds (Figs. [810a](#) and [810g](#)), which lead to warm SSTA there via reduction
 of wind speed and upward latent heat fluxes (Figs. [102a](#) and [102g](#)). By contrast, the
 anomalous northerly winds to the western flank of the cyclonic anomaly bring colder
 and drier air from higher latitude (Figs. [810a](#) and [810g](#)), which increase the upward
 latent heat flux and contribute to cold SSTA (Figs. [102a](#) and [102g](#)). In the reverse
 years, southerly wind anomalies off the west coast of west Europe carry warmer and
 wetter air northward from lower latitudes and lead to warm SSTA (Figs. [810b](#) and
[810h](#)) via reduction of upward latent heat flux (Figs. [102b](#) and [102h](#)). In winter,
 northerly wind anomalies over the subtropical western North Atlantic increase the
 trade wind (Fig. [810b](#)), which result in enhancement of surface latent heat flux (Fig.
[102b](#)) and partly contribute to cold SSTA (Fig. [810b](#)). The above analyses suggest that
 evolution of SSTA in the North Atlantic from winter to subsequent spring is closely

related to the air-sea interaction over the North Atlantic.

The notable differences in the SSTA in the tropical and subtropical North Atlantic may explain the different atmospheric anomalies over North Atlantic and Eurasia between the persistent and reverse years, with negative (positive) spring NAO-like pattern and anticyclonic (cyclonic) anomaly over northeast Asia in the persistent (reverse) years. Studies have demonstrated that springtime SSTA in the tropical and subtropical North Atlantic have a strong impact on the atmospheric circulation and associated climate anomalies over North Atlantic and Eurasia (Wu et al. 2009; Wu et al. 2011; Chen et al. 2016, 2020). In particular, SSTA in the tropical and subtropical regions could induce strong vertical motion and atmospheric heating anomalies reaching to the upper-level troposphere (Ting 1996; Wu et al. 2009; Hodson et al. 2010; Wu et al. 2011; Sun et al. 2015; Chen et al. 2020). Then, the divergent/convergent anomalies at the upper-level troposphere induced by the SSTA could be considered as effective sources for the generation of the atmospheric Rossby wave (Watanabe 2004; Chen and Huang 2012; Zuo et al. 2013; Chen et al. 2020). Considering that the atmospheric wave trains extending from the North Atlantic to the Eurasia in Figs. 6c and 6d resemble an atmospheric stationary Rossby wave with an equivalent barotropic vertical structure, the mechanism for their formation could be examined based on the barotropic vorticity equation (Wu et al. 2011; Zuo et al. 2013; Chen et al. 2016, 2020; O'Reilly et al. 2018). Hence, in the following, we perform model simulations with barotropic model (Sardeshmukh and Hoskins 1988; Watanabe 2004; O'Reilly et al. 2018) to confirm the possible roles of the spring SSTA in the

North Atlantic in the formation of atmospheric anomalies over the North Atlantic and Eurasia. Studies indicate that the barotropic model has a good performance in capturing the key dynamics of the atmospheric response to the atmospheric heating associated with the SSTA in the tropical and subtropical regions (Wu et al. 2011; Sun et al. 2015; Zuo et al. 2013; Chen et al. 2016, 2020). Three experiments are performed: the first experiment forced by the spring climatological mean vorticity (denoted as EXP_Ctrl); the second experiment forced by the spring climatological mean vorticity plus the given divergent anomalies over the subtropical northeastern Atlantic with a center at 20°N, 20°W and maximum intensity of $7 \times 10^{-6} \times s^{-1}$ according to the spatial pattern of spring SSTA in Fig. 810g (denoted as EXP_persist); the third experiment forced by the spring climatological mean vorticity plus the given convergent anomalies over the subtropical northwestern Atlantic with a center at 15°N, 60°W and maximum intensity of $7 \times 10^{-6} \times s^{-1}$ according to the spatial pattern of spring cold SSTA in tropical North Atlantic in Fig. 810h (denoted as EXP_reverse). Above three experiments are integrated for 40 days. ~~The barotropic model experiments can reach equilibrium state quickly with only several days (Sardeshmukh and Hoskins 1988; Zuo et al. 2013; Chen et al. 2016).~~

Figure 143a displays difference of atmospheric responses averaged during model days 31-40 between EXP_persist and EXP_Ctrl with green contours representing the prescribed divergent anomalies. In addition, difference of the responses between EXP_reverse and EXP_Ctrl is exhibited in Fig. 143b. It is noted that the barotropic model experiments can reach equilibrium state quickly with only several days

(Sardeshmukh and Hoskins 1988; Zuo et al. 2013; Chen et al. 2016). Hence, the atmospheric responses averaged during model days 31-40 are highly similar to those averaged during other model days (not shown) (e.g. 25-35 days and 20-40 days). In generally, the barotropic model experiments can well reproduce the distinct atmospheric anomalies between the persistent and reverse years.

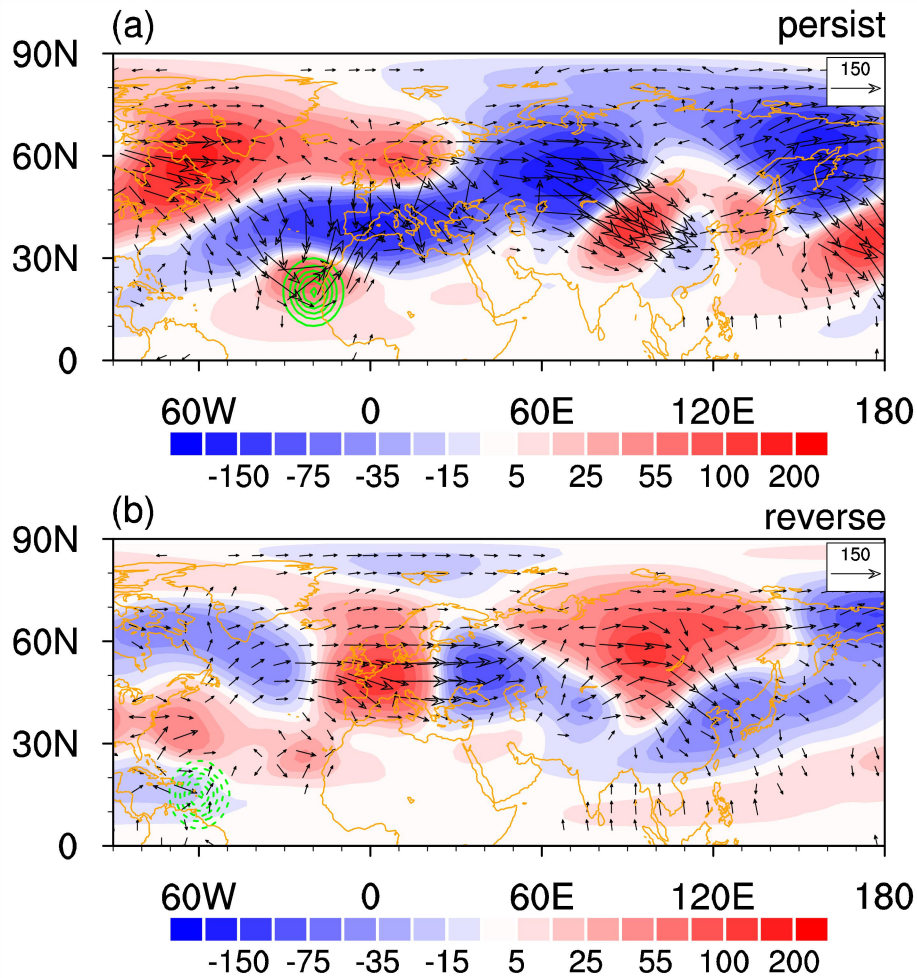


Figure 143. (a) Barotropic model height perturbation (unit: m) averaged from days 31 to 40 as a response to the given divergence anomaly (green contours with an interval of 10^{-6} s^{-1}) over the subtropical eastern North Atlantic with the center at 20°N , 20°W . (b) Barotropic model height perturbation (unit: m) averaged from days 31 to 40 as a response to the given convergence anomaly (green contours with an interval of 10^{-6} s^{-1}) over the subtropical western North Atlantic with the center at 15°N , 60°W . Vectors in (a)-(b) indicate the corresponding wave activity fluxes.

In response to the prescribed divergent anomalies over the subtropical northeastern Atlantic related to the warm SSTA there, there appears a positive NAO-like pattern with negative geopotential anomalies over mid-latitudes (along 30°N) and positive anomalies over high-latitudes (along 60°N) North Atlantic (Fig. 143a), largely similar to the spatial pattern of spring atmospheric anomalies in the persistent years in Fig. 68c. By contrast, in response to the prescribed convergent anomalies over the subtropical northwestern Atlantic associated with the cold SSTA, there exists a negative NAO-like pattern, with negative geopotential anomalies over high-latitudes (along 60°N) and negative anomalies over mid-latitudes (along 30°N) North Atlantic (Fig. 143b), in concert with the spatial distribution of the atmospheric anomalies in the reverse years in Fig. 68d. In addition, it is surprising to see that the barotropic model experiment well simulate the anticyclonic (cyclone) anomaly over northeast Asia and related southerly (northerly) wind anomalies over the NCPR in response to the prescribed forcing in the subtropical northeastern (northwestern) Atlantic as indicated in Fig. 143a (143b). This is consistent with the observed spring atmospheric anomalies over East Asia for the persistent (reverse) years, although the centers of the wave train over Eurasia in the barotropic experiments are not totally identical to those in the observations. In general, the above barotropic experiments further confirm the notion that the striking differences in the atmospheric anomalies over North Atlantic and Eurasia (including northeast Asia) between the persistent and reverse years can be attributable to the distinct SST anomalies in the North Atlantic.

5. Summary and discussions

This study examines different evolutions of haze pollution over NCPR from winter to the succedent spring according to the analyses based on observational data and reanalyses. It is found that interannual variation of haze pollution (as indicated by the DECC) over NCPR in winter has a marginal positive relation with that in the following spring, with a correlation coefficient of about 0.3 over 1980–2011 between the haze pollution index in winter and spring, significant at the 90% confidence level. This indicates that in most years when haze pollution over the NCPR is more (less) serious in winter, air condition in the following spring is also worse (better) than normal. Additionally, it is found that there appear some years when DECC anomalies in the following spring are significantly opposite to those in winter. We then focus on comparing atmospheric anomalies for the two types of years (i.e. persistent years and reverse years) to understand why there occur two completely different evolutions of haze pollution over the NCPR from winter to following spring, as schematically summarized in Fig. 124.

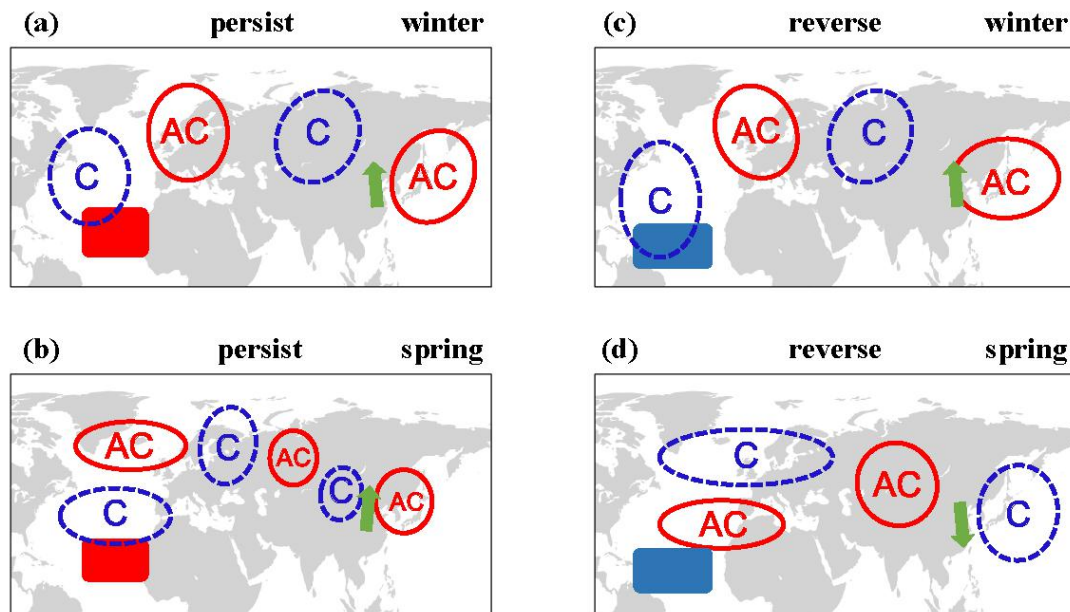


Figure 124. Schematic diagram showing evolutions of DECC, SST, and atmospheric circulation anomalies from winter to spring for (left column) the persistent cases and (right column) the reverse cases. Red solid contours (blue dashed contours) indicate anticyclonic circulation anomalies (cyclonic circulation anomalies). Red (blue) shadings in the North Atlantic indicate positive (negative) SST anomalies.

In the persistent years, above-normal DECC (indicating more serious haze pollution) over the NCPR could be maintained to the succedent spring (Figs. 124a and 124b). This is attributable to the persistence of the anticyclonic anomaly over northeast Asia and associated southerly wind anomalies to its west side over the NCPR (Figs. 124a and 124b). The southerly wind anomalies over the NCPR oppose the climatological mean northerly winds, reduce the surface wind speed and BLH, and decrease the horizontal dispersion of the pollutants, which finally lead to more serious haze pollution in winter and spring. In addition, the southerly wind anomalies carry wetter and warmer air from lower latitude, and lead to increase in the relative humidity, which are also conducive to haze pollution. As have been demonstrated by previous studies, the increase in the relative humidity is conducive to the generation

of secondary organic compounds and secondary aerosol species, which also has an important contribution to the occurrence of haze pollution event over NCPR (Yu et al. 2005; Hennigan et al. 2008). Formation of the anticyclonic anomaly over the northeast Asia in winter is closely related to the EAWR teleconnection pattern, while in spring it is related to the positive phase of spring NAO and warm SSTA in the subtropical northeastern Atlantic (Fig. 124a).

In the reverse years, an anticyclonic anomaly also appears over northeast Asia and associated southerly wind anomalies occur over NCPR in winter, which contribute to above-normal DECC (Fig. 124c). In addition, formation of the anomalous anticyclone over the northeast Asia is also related to the EAWR pattern (Fig. 124c). However, in the following spring, northeast Asia is covered by cyclonic anomaly which is related to the positive phase of the NAO and cold SSTA in the subtropical North Atlantic (Fig. 124d), which is in sharp contrast to those in the persistent years. The northerly wind anomalies over the NCPR to the west flank of the anomalous cyclone result in decrease in the DECC over the NCPR via reduction of relative humidity and increasing the surface wind speed (Fig. 124d).

The distinct evolutions of atmospheric anomalies over North Atlantic and Eurasia (including northeast Asia) are found to be closely related to the different evolutions of SSTA in the North Atlantic. In the persistent (reverse) years, positive (negative) SSTA in the subtropical northeastern (northwestern) Atlantic are maintained to the following spring due to the positive air-sea interaction process. Then, positive (negative) spring SSTA in the subtropical North Atlantic contribute to

the formation of negative (positive) NAO-like pattern over North Atlantic and the generation of anticyclonic (cyclonic) anomaly over northeast Asia, and the occurrence of associated southerly (northerly) wind anomalies over the NCPR via atmospheric Rossby wave train. Results of barotropic model simulations with three experiments further confirm the observed findings.

In this study, we find that negative SSTA in the subtropical northwestern Atlantic play an important role for the formation of the positive NAO-like atmospheric anomaly in the reverse years. It seems that wintertime surface heat flux changes induced by the EAWR-related atmospheric anomalies cannot fully explain the formation of strong cold SSTA in the subtropical northwestern Atlantic. This suggests that other factors may also be important for the formation of the negative SST anomalies, which remain to be explored. Studies indicated that ENSO-related SSTA in the tropical Pacific also has a strong impact on atmospheric anomalies over East Asia and haze pollution over eastern China (Wang et al. 2000; Li et al. 2017; Zhang et al. 2017; He et al. 2019). We have examined evolutions of SSTA in the tropical Pacific from winter to subsequent spring in the persistent and reverse years. Results show that SSTA in the tropical Pacific related to ENSO are weak both in the persistent and reverse years (not shown). This suggests that ENSO-related SSTA may not have a contribution to the interannual variation of haze pollution over the NCPR, which is consistent with a recent study by He et al. (2019). It is reported that ENSO-related SSTA in the tropical Pacific has a significant impact on the haze pollution over

southern China. By contrast, impact of ENSO on the haze pollution over North China is weak (He et al. 2019). Furthermore, previous studies indicated that Arctic sea ice ~~and snow cover~~ anomalies over Eurasia may also be important for the formation of the atmospheric anomalies over East Asia in association with the haze pollution over north China (Wang et al. 2015; Yin and Wang 2017). We have examined Arctic sea ice anomalies in winter and spring for the persistent and reverse years. We find that sea ice anomalies over most portions of Arctic are weak and statistically insignificant (results not shown). This suggests that Arctic sea ice changes are not likely to have an important role in the distinct evolutions of haze pollution from winter to subsequent spring over the NCPR for the persistent and reverse years. Whether snow cover and Arctic sea changes play a role in contributing to the distinct evolutions of atmospheric circulation anomalies over Eurasia and haze pollution over NCP remain to be explored in the future.

Code availability. Figures in this study are constructed with the NCAR Command Language (<http://www.ncl.ucar.edu/>). All codes used in this study are available from the corresponding author (S.C.).

Data availability: Atmospheric data are derived from the NCEP-NCAR reanalysis (<http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.html>, last access: 6 February 2021) (NCEP-NCAR, 2021). SST data are obtained from the <https://psl.noaa.gov/data/gridded/data.noaa.ersst.v5.html> (last access: 6 February 2021)

(NOAA, 2021). Atmospheric teleconnection indices are obtained from <https://www.cpc.ncep.noaa.gov/data/teledoc/telecontents.shtml> (last access: 6 February 2021) (CPC, 2021). Surface data of visibility and relative humidity can be obtained from the authors upon request.

Author contributions. Y.L. and C.S. designed the research, performed the analysis and wrote the paper. All the authors discussed the results and commented on the manuscript.

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