

# Measurement report: CCN activity and its variation with organic oxidation level and volatility observed during aerosol life cycle intensive operational period (ALC-IOP)

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**Abstract.** Cloud condensation nuclei (CCN) spectrum and the CCN activated fraction of size selected aerosols (SR-CCN) were measured at a rural site on Long Island during the Department of Energy (DOE) Aerosol Life Cycle Intensive Operational Period (ALC-IOP) from July 15 to August 15, 2011. During the last week of the ALC-IOP, the dependence of the activated fraction on aerosol volatility was characterized by sampling downstream of a thermodenuder (TD) operated at temperatures up to 100 °C. Here we present aerosol properties, including aerosol total number concentration, CCN spectrum, and the CCN hygroscopicity for air masses of representative origins during the ALC-IOP. The hygroscopicity of organic species in the aerosol is derived from CCN measurements and chemical composition. The dependence of organic hygroscopicity on the organic oxidation level (e.g., atomic O:C ratio) agrees well with theoretical predictions and results from previous laboratory and field studies. The derived  $\kappa_{org}$  and O:C ratio ~~first increase as~~ <sup>increases with</sup> TD temperature ~~increases~~ <sup>also with</sup> from 20 °C (i.e., ambient temperature) to 50 or 75 °C, ~~then decrease as~~ <sup>eventually decreasing</sup> TD temperature further increases to 100 °C. The initial increases of O:C and  $\kappa_{org}$  with TD temperature below 50 °C are likely due to evaporation of ~~more~~ <sup>high</sup> volatile organics with relatively lower O:C and hygroscopicity such as primary OA. At the high TD temperatures, the decreases of O:C and  $\kappa_{org}$  indicate that evaporated organics were more oxygenated and had lower molecular weights. These trends are different from previous laboratory experiments and field observations, which reported that organic O:C increased monotonically with increasing TD temperature whereas  $\kappa_{org}$  decreased with the TD temperature. One possible reason is that previous studies were either focused on laboratory generated SOA or based on field observations at locations more dominated by SOA.

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## 1. Introduction

As a critical element in cloud formation, atmospheric aerosols indirectly influence the global energy budget by affecting the atmospheric boundary structure and changing clouds' lifetime and coverage. For example, an increase in cloud condensation nuclei (CCN) concentration leads to smaller cloud droplet sizes and higher cloud albedo at the same liquid water path (i.e., first indirect effect, Twomey, 1977). Additionally, smaller cloud droplets suppress precipitation, causing increases in cloud lifetime and coverage (i.e., second indirect effect, Albrecht, 1989). Presently, the aerosol indirect effects remain the most uncertain components in simulated radiative forcing since the pre-industrial era (Pachauri et al., 2014). This considerable uncertainty is due to an incomplete understanding of the aerosol-cloud interactions and the perturbation of aerosol properties due to anthropogenic emissions (Rosenfeld et al., 2014).

Quantifying the aerosol indirect effects requires the knowledge of aerosol particles' ability to form cloud droplets at atmospherically relevant supersaturations (i.e., CCN activity). Under a given supersaturation, whether a particle can activate and form a cloud droplet depends on its size and hygroscopicity parameter (Andreae and Rosenfeld, 2008a; Petters and Kreidenweis, 2007; Wang et al., 2008). The Hygroscopicity parameter describes the tendency of particles to uptake water. It is a function of thermodynamic properties, including molar volume, activity coefficient, and surface activity of the chemical species inside the aerosol particles (McFiggans et al., 2006). The number of inorganic species in ambient aerosol particles is quite limited, and their hygroscopicities are well understood (Petters and Kreidenweis, 2007). On the other hand, atmospheric aerosol often consists of a large number of organic species (Zhang et al., 2007). These organic species collectively dominate the composition of sub-micron particles, which make up the majority of CCN. The hygroscopicity parameters of these organic species may depend on water solubility, molecular weight, oxidation level, surface activity, mixing state, and/or phase state (Kuwata et al., 2013; Lambe et al., 2011; Mei et al., 2013a; Ovadnevaite et al., 2017; Wang et al., 2019), and exhibit a wide range of values from zero for hydrophobic chemical species to ~0.3 for some of low molecular weight water soluble organics (e.g., Petters et al., 2009; Moore et al., 2012; Latham et al., 2013).

Earlier studies show that the simulated CCN concentration can be strongly correlated to the hygroscopicity of organics in the particles (e.g., McFiggans et al., 2006; Mei et al., 2013b) in addition to particle size distribution (Dusek et al., 2006), mixing state (Lance et al., 2013; Mei et al., 2013c; Wang et al., 2010), and volume fraction of organics in particles (Wang et al. 2008). The high sensitivity of simulated CCN concentration to organic hygroscopicity is more prevalent during the pre-industrial era when anthropogenic sulfate concentration was lower, and organics represented a substantially larger fraction of the submicron aerosol mass (Mei et al., 2013b; Liu and Wang, 2010). As a result, neglecting the variation in organic hygroscopicity can lead to substantial bias in aerosol indirect forcing estimation (i.e., the change in radiation flux due to the increased aerosol concentration since the pre-industrial era) (Liu and Wang, 2010). These results highlight the importance of understanding organic hygroscopicity variability and accurately representing it in climate models. Earlier studies show that organic hygroscopicity for CCN activation generally increases with oxidation level (Duplissy et al., 2011; Lambe et al., 2011; Massoli et al., 2010; Mei et al., 2013a; Mei et al., 2013c; Thalman et al., 2017), suggesting a promising approach to efficiently

parameterize the overall hygroscopicity of a large number of organic species in aerosol particles. Wang et al. (2019) show that for secondary organic aerosols (SOA), the observed increasing organic hygroscopicity with oxidation level is likely due to the following two reasons. First, SOA formed from smaller precursor molecules are more oxidized and have lower average molecular weight. Second, fragmentation reactions during oxidation reduce average organic molecule weight, leading to increased hygroscopicity. At present, field measurements of organic hygroscopicity and its variation with oxidation level are still quite limited. The formation of SOA strongly depends on the volatility of organic species. There have been very few studies on the variation of hygroscopicity with both oxidation level and volatility of organics, especially for ambient aerosols (Cerully et al., 2015; Kuwata et al., 2011).

Here, we report aerosol hygroscopicity, mixing state, and organic hygroscopicity derived from size-resolved CCN activated fraction and chemical composition measured at a rural site on Long Island during the Aerosol Life Cycle Intensive Operational Period (ALC-IOP). Aerosol properties are presented in Section 3.1 for representative air mass types, which are classified based on the analysis of air mass back-trajectories (Zhou et al., 2016). The hygroscopicity of activated aerosol particles is presented for each air mass type, and the influence from the characteristic emission sources and atmospheric processing is discussed. In section 3.2, the hygroscopicity of organic species in the aerosol is derived from particle hygroscopicity and chemical composition. The variation of organic hygroscopicity with air mass type is presented. The relationship between organic hygroscopicity and oxidation level is examined, and the relationship is compared with results from earlier studies. Finally, in Section 3.3, the variation of organic hygroscopicity with volatility and oxidation level is studied using the measurements downstream of a thermal denuder operated at temperatures ranging from ambient temperature to 100 °C.

## **2. Experimental method**

### **2.1. Measurements and the site**

The Aerosol Life Cycle Intensive Operational Period (ALC-IOP), a field study sponsored by the Department of Energy (DOE), took place at Brookhaven National Laboratory (BNL, 40.871°N, 72.89°W) on Long Island, New York from July 15 to August 15, 2011. Aerosol properties at this location were influenced by complex interaction among anthropogenic, biogenic, and marine emissions with the extent of atmospheric processing, which also depended on air mass trajectories and atmospheric transport time. The measurements related to this study include CCN activated fraction of size-classified particles, CCN spectrum, aerosol size distribution, and size-resolved chemical composition of non-refractory submicron aerosols (NR-PM<sub>1</sub>). Measurements included both ambient aerosols and those processed by a digitally-controlled thermodenuder (TD) to examine the variation of aerosol properties with volatility (Zhou et al., 2016). All of the above measurements were taken at the ground level and are reported at ambient conditions, and local time (UTC minus 4 hrs) is used throughout this study.

The CCN activated fraction was measured using a size-resolved CCN counter system (SR-CCN). The SR-CCN is detailed in Mei et al. (2013a, 2013b) and is briefly described here. Ambient aerosol particles are first dried to below 20% relative humidity (RH), brought to a steady-state charge distribution in a Kr-85 aerosol neutralizer (model 3077, TSI), and subsequently classified by a differential mobility analyzer (DMA, model 3081, TSI). The total number and CCN concentrations of the size-classified aerosol are then simultaneously characterized by a condensation particle counter (CPC, model 3071, TSI) and a CCN counter (CCN-100, DMT), respectively (Roberts and Nenes, 2005; Lance et al., 2006; Rose et al., 2008). During the ALC-IOP, the DMA's aerosol sample flow and sheath flow were maintained at 0.8 and 8 L min<sup>-1</sup>, respectively. The total flow of CPC was reduced to 0.5 L min<sup>-1</sup> within a critical orifice inline and the sample flow of the CCN counter was maintained at 0.3 L min<sup>-1</sup>. The measurement sequence is illustrated in Figure S1 (Supplementary Information). The longitudinal temperature gradient of the CCN counter was stepped through 4, 4.5, 5.5, 6.5, 8, 10, 12, 18°C (Fig. S1b). Based on calibrations using ammonium sulfate particles, the corresponding supersaturations (*S*) derived from  $\kappa$ -Köhler theory (using a constant van't Hoff factor of 2.5) were 0.12%, 0.15%, 0.20%, 0.25%, 0.32%, 0.41%, 0.50% and 0.79%, respectively. The supersaturation was maintained inside the CCN counter at each value for approximately 9 minutes. The classified particle diameter (by the DMA) was scanned between 60 nm and 250 nm four times (128 seconds per scan) (Wang and Flagan, 1990). The CCN counter was stepped through the temperature gradients in a "sawtooth" pattern (Fig. S1b) and provided measurements at the above eight *S* values approximately every 80 minutes. Before July 23, the CCN counter was operated with the first seven supersaturation setpoints. The aerosol size distribution was derived by inverting the particle concentration measured by the CPC using a routine described in Collins et al. (2002). A similar procedure was also applied to measured CCN concentration to obtain size-resolved CCN concentration. The ratio of the above two concentrations provided size-resolved CCN activated fractions (*E*). In addition to size-resolved CCN activated fraction, CCN concentration spectrum was measured using a second CCN counter also operated at a flow rate of 0.3 L min<sup>-1</sup>, and at seven supersaturations of 0.11%, 0.13%, 0.17%, 0.23%, 0.32%, 0.40% and 0.48% corresponding to longitudinal temperature gradients of 4.3, 4.8, 5.5, 6.5, 7.9, 10, and 12 °C, respectively. The temperature gradient was stepped through the eight values every 32 minutes, as shown in Figure S1a.

The measurement of the CCN concentration spectrum was carried out for ambient aerosol during the entire ALC-IOP. Before August 10, the SR-CCN sampled ambient aerosol only. From August 10 to 15, 2011, the SR-CCN was operated downstream of the TD (custom). The design and operation of the TD were improved by Fierz (Fierz et al., 2007) and were also discussed by Zhou et al. (2016). During this period, the DMA inside SR-CCN was scanned between 80 nm and 250 nm four times (66 secs per scan) at each supersaturation setpoint. Every 40 minutes, the measurements were stepped through 7 supersaturations, similar to the measurement sequence before August 10, except without the setpoint at 0.41% (i.e., the temperature gradient of 10°C). From August 10 to 15, The temperature setting of the TD was cycled through 50, 75, and 100 °C. The TD was operated with an automated switching valve to allow for measurements of ambient aerosol particles (bypass (BP) mode) for 20 mins

and then processed by the TD (TD mode) for 20 mins. A complete cycle included ambient aerosol measurements, TD processed measurements at 50 °C (or 75 °C, or 100 °C) alternatively.

The number size distribution of ambient aerosol was measured with a custom-made scanning mobility particle spectrometer (SMPS) during the entire ALC-IOP. The SMPS consists of an <sup>85</sup>Kr neutralizer (Model 3077A, TSI Inc), a DMA (Model 3080, TSI Inc.), and a CPC (Model 3771, TSI, Inc.). The SMPS was operated for the mobility diameter range of 10 - 610 nm with a time resolution of 120 seconds. The number size distribution of ambient aerosol measured by the SMPS was inverted using the routine described in Collins et al. (2002), which explicitly accounts for multiply charged particles.

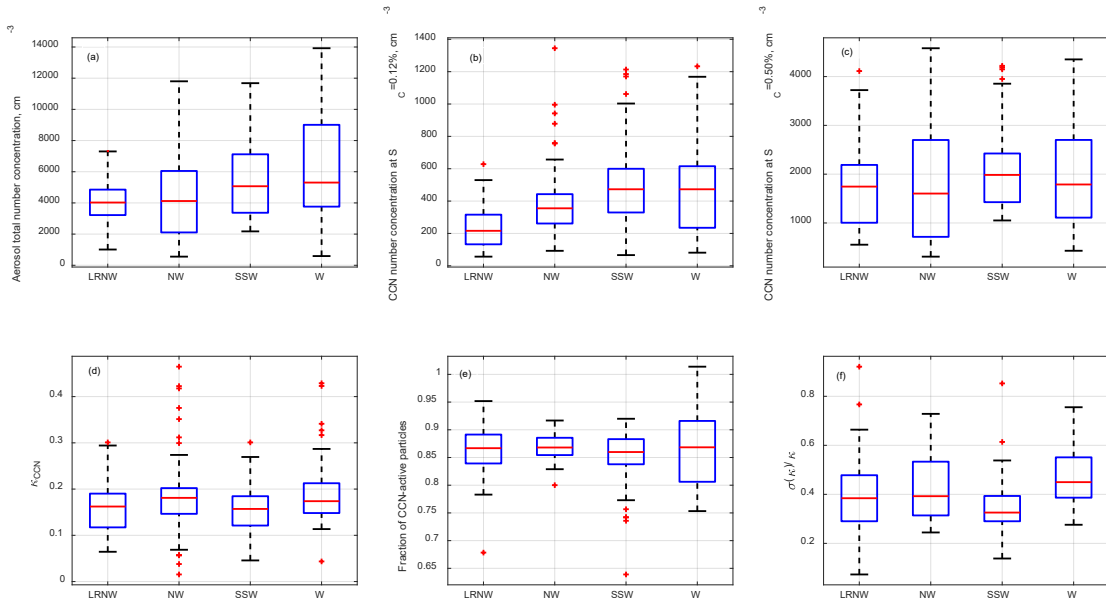
### 2.3. Volatility-resolved chemical composition of submicron aerosols

The mass concentrations of NR-PM<sub>1</sub> organic and inorganic (nitrate, sulfate, ammonium, chloride) species and their volatility distributions were measured by combining the HR-ToF-AMS (Aerodyne Research Inc., from now on AMS for short) (DeCarlo et al., 2006) with the TD. The AMS sampled ambient aerosols and those processed by the TD alternately during the entire ALC-IOP. Positive Matrix Factorization (PMF) analysis of the AMS organic matrix resolved three distinct organic aerosol (OA) factors, including (1) a fresher, semivolatile oxygenated organic aerosol (SV-OOA; O/C = 0.54; 63% of OA mass) representing SOA formed through the interactions between local biogenic VOCs and anthropogenic emissions in transported urban plumes from the New York metropolitan region; (2) a regional, more aged, low-volatility OOA (LV-OOA; O/C = 0.97; 29%) influenced by aqueous-phase processing; and (3) a nitrogen-enriched OA (NOA; O/C = 0.19; N/C = 0.185; 8%) likely composed of amine salts formed from acid-base reactions in industrial emissions (Zhou et al., 2016). The volatilities of the OA were determined based on their thermal profiles. Details of the AMS operation, data reduction and processing, PMF analysis, and data interpretation are available in Zhou et al. (2016).

## 3. Discussions and results

### 3.1. Overview of aerosol properties in different air masses

The back-trajectories of air masses arriving at the site were classified into five clusters (Zhou et al., 2016). The 72 hours back trajectories of air masses were shown on the map (Figure 1(a) in Zhou et al. (2016)). Because the aerosols that originated from the North Atlantic Ocean area likely had a substantial contribution from sea spray particles that could not be quantitatively measured by the AMS, the analysis of CCN activity and its relationship with the aerosol composition are focused on the other four clusters, which are briefly described here. The air mass of cluster 1 had a relatively long-range transportation influence from the northwest Canadian forest (LRNW, 15.1% of all trajectories). The air mass of cluster 2 was mainly from the northwest (NW, 21.6%) region. Cluster 3 included air mass circulating along the south-southwest, with some passing over Philadelphia and Washington metropolitan areas (SSW, 26.4%). Cluster 4 represents the air mass passing over the polluted west NYC metro area (W, 14.8%).



**Figure 1. The averaged aerosol properties were observed for each air mass cluster at the BNL site from July 15 to August 9, 2012. (a) aerosol total number concentration; (b) CCN concentrations measured at supersaturations of 0.12% and (c) 0.50%; the derived properties for the CCN active particles ranging from 80 to 250 nm (d) overall aerosol hygroscopicity; (e) maximum activation fraction, and (f) dispersion of size-selected CCN active particles. The ends of the whiskers represent the minimum and maximum of data except for the outlines (red cross), which are defined as points outside of  $\pm 2.7\sigma$ . The bottom and the top of the box are the 25<sup>th</sup> and 75<sup>th</sup> percentiles, the line inside the box is the 50<sup>th</sup> percentile.**

Fig. 1a shows the total aerosol number concentration, integrated from the aerosol size distribution ranging from 10 to 610 nm measured by the SMPS, and Fig. 1b and 1c present the measured CCN concentrations at two supersaturations of 0.12% and 0.50%, respectively. The CCN concentration at a supersaturation of 0.12% is dominated by accumulation mode particles, whereas both Aiken mode and accumulation mode particles contribute to the CCN at 0.50% supersaturation. The highest total number concentration was observed when the air mass was from the West (i.e., W cluster) following by the air masses from SSW, mainly due to the strong anthropogenic emissions in the New York metropolitan and Boston metropolitan areas. Figure 1b indicates that the air masses in W and SSW clusters also had the highest average accumulation mode number concentration, consistent with the influence of urban emissions in Pennsylvania and New York metropolitan areas. This trend also agrees with aerosol mass loading measured by the AMS (Zhou et al., 2016).

In contrast, the 25%- 75% percentiles of CCN concentrations at 0.5% supersaturation ranged from 1,000 to 2,500 cm<sup>-3</sup> for all clusters, indicating no clear trend with air mass observed for CCN concentration at 0.5%. These results suggest different CCN spectrum profiles (i.e., the dependence of CCN concentration on SS) for aerosols from different regions.

Particle hygroscopicity is derived from the size-resolved CCN activated fraction following the approach detailed in Mei et al. (2013a and 2013b). In brief, the characteristic critical supersaturation ( $S^*$ ) of the size selected CCN is defined as the supersaturation at which the activated fraction reaches 50% of the maximum activation fraction ( $E$ ). The value of  $1-E$  represents the number fraction of non-CCN (e.g., particles consisting of non-hygroscopic species only) for the size-selected particles. The median hygroscopicity of the CCN ( $\kappa_{CCN}$ ) is given by:

$$\kappa_{CCN} = \frac{4A^3}{27D_p^3(S^*)^2} \quad (1)$$

Where  $A = \frac{4\sigma_w M_w}{RT \rho_w}$  represents the molecular weight of water,  $\sigma_w$  is the surface tension of pure water,  $\rho_w$  is the density of water,  $R$  is the gas constant, and  $T$  is the absolute temperature. The dispersion of CCN hygroscopicity (Fig. 1f) is defined as  $\sigma(\kappa)/\bar{\kappa}$ , where  $\sigma(\kappa)$  and  $\bar{\kappa}$  are the standard deviation and the average of the CCN hygroscopicity, respectively. The value of  $\sigma(\kappa)/\bar{\kappa}$  reflects the heterogeneity in hygroscopicity and composition of the activated particles. A lower  $\sigma(\kappa)/\bar{\kappa}$  suggests more homogeneous particle composition as in internally mixed aerosols.

The statistics of  $\kappa_{CCN}$  for particles ranging from 88 to 192 nm are shown for each of the four air mass clusters in Fig. 1d. While  $\kappa_{CCN}$  shows a wide range of values from near zero to 0.5, the 25%-75% percentiles of the  $\kappa_{CCN}$  values do not exhibit any significant differences among the four clusters and are between  $\sim 0.1$  and  $\sim 0.2$ . The median value of  $\kappa_{CCN}$  is  $\sim 0.15$  for all four clusters, substantially below 0.3 suggested for continental aerosol (Andreae and Rosenfeld, 2008b). The median  $E$  value is  $\sim 87\%$  for the four clusters, suggesting aerosols observed had a relatively minor contribution from freshly emitted non-hygroscopic particles. The W and NW clusters have the largest and the smallest variabilities in  $E$  values (as shown in Fig. 1e), respectively. The  $E$  values indicate that aerosols in the long-range transported NW air masses (i.e., LRNW cluster) were not all internal mixtures and included some contribution of freshly emitted non-hygroscopic aerosol particles. Statistically, the SSW aerosols show the lowest hygroscopicity dispersion (Fig. 1f), suggesting that CCN had similar chemical composition and were likely more aged at given sizes. The variety of aerosol sources along the LRNW trajectory paths likely contribute to the relatively large variability of the aerosol hygroscopicity dispersion for the cluster.

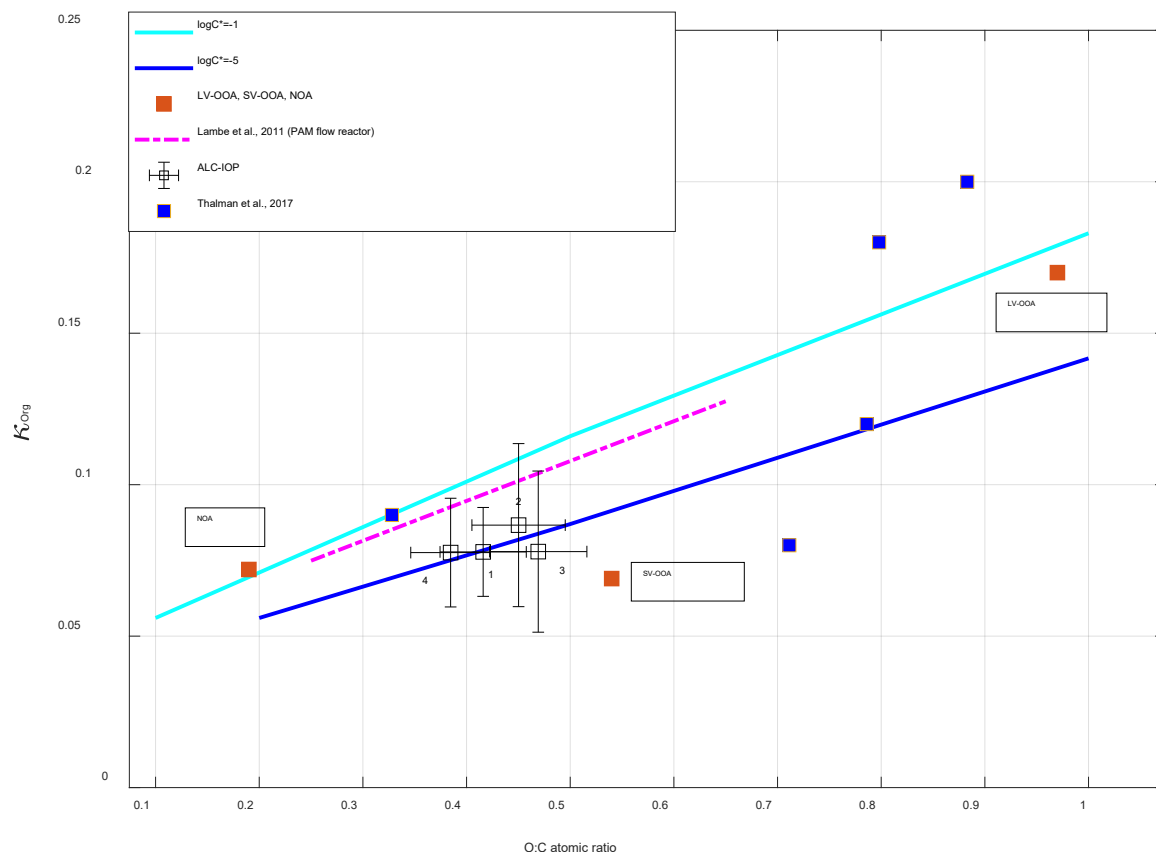
### 3.2. Relationship between $\kappa_{org}$ and organic oxidation level

The relationship between the hygroscopicity of organic species in the aerosol particles ( $\kappa_{org}$ ) and the average oxidation level (i.e., atomic O:C ratio) is examined using the ALC-IOP measurements. On average, aerosols observed during the IOP were neutralized, and BC represented a negligible fraction of the total submicron aerosol volume (1.9%). It is expected that sea salt had a minor contribution to the submicron aerosol composition for air masses of the four clusters. Here we assume submicron aerosols consisted of ammonium sulfate, ammonium nitrate, and organics. The organic hygroscopicity  $\kappa_{org}$  is therefore derived by subtracting the contribution of the sulfate and nitrate from the CCN hygroscopicity  $\kappa_{CCN}$ :

$$\kappa_{org} = \frac{1}{x_{org}} (\kappa_{CCN} - x_{(NH_4)_2SO_4} \kappa_{(NH_4)_2SO_4} - x_{NH_4NO_3} \kappa_{NH_4NO_3}) \quad (2)$$

where  $x_i$  is the volume fraction of species  $i$  at the exact particle size of  $\kappa_{CCN}$ . Furthermore,  $\kappa$  values are 0.67 and 0.61 for  $(NH_4)_2SO_4$  and  $NH_4NO_3$ , respectively. To increase the signal-to-noise ratio and reduce the uncertainty in derived  $\kappa_{org}$  (Mei et al., 2013b), we average  $x_{(NH_4)_2SO_4}$  and  $x_{NH_4NO_3}$  over periods during which particle composition showed minimal variations and was dominated by organics. The criteria used to identify these periods include average  $x_{org}$  above 60% and are detailed in Mei et al., (2013b). A total of 47 such periods is identified. During 35 of the 47 periods, air mass showed consistent cluster type, and the periods are denoted as one of four clusters. The size-resolved  $\kappa_{org}$  at particle diameter ranging from 103 to 181 nm is first derived using Eq. (2) for the 35 periods and then averaged for each of the four cluster types. Size-resolved organic O:C ratios at the same diameter range are calculated from AMS measurements. The aerodynamic aerosol size measured by AMS was converted to the aerosol mobility size (Mei et al., 2013a; Mei et al., 2013c). The variation of the average  $\kappa_{org}$  with the corresponding O:C ratio is shown in Figure 2. The uncertainty of derived  $\kappa_{org}$  is derived following the same approach detailed in Mei et al. (2013b), which is based on error propagation and the uncertainties of the variables in Eq. (2). The uncertainty in derived O:C was estimated as 10% (Zhou et al., 2016). Figure 2 shows that the average  $\kappa_{org}$  generally increases with the O:C ratio, and the variation follows the trend reported by an earlier laboratory study (Lambe et al. 2011), but with a slightly different offset in the derived  $\kappa_{org}$ . Note that the O:C ratios from Lambe et al. (2011) were scaled by a factor of 1.27 to account for changes in the method of calculating the O:C ratio (Canagaratna et al., 2015). During the ALC-IOP, organic aerosol observed mainly was secondary. Wang et al. (2019) shows that for SOA, organic hygroscopicity is not limited by water solubility and is mainly controlled by the molecular weight of the organic species. The variation of  $\kappa_{org}$  with O:C can be predicted while the volatility of SOA is known. The variation of cluster average  $\kappa_{org}$  with O:C agreed with those predicted by Wang et al., (2019) for  $\log_{10}C^*$  values between -5 to -1, a typical range for ambient SOA. Here  $C^*$  ( $\mu\text{g}/\text{m}^3$ ) is the organic volatility.

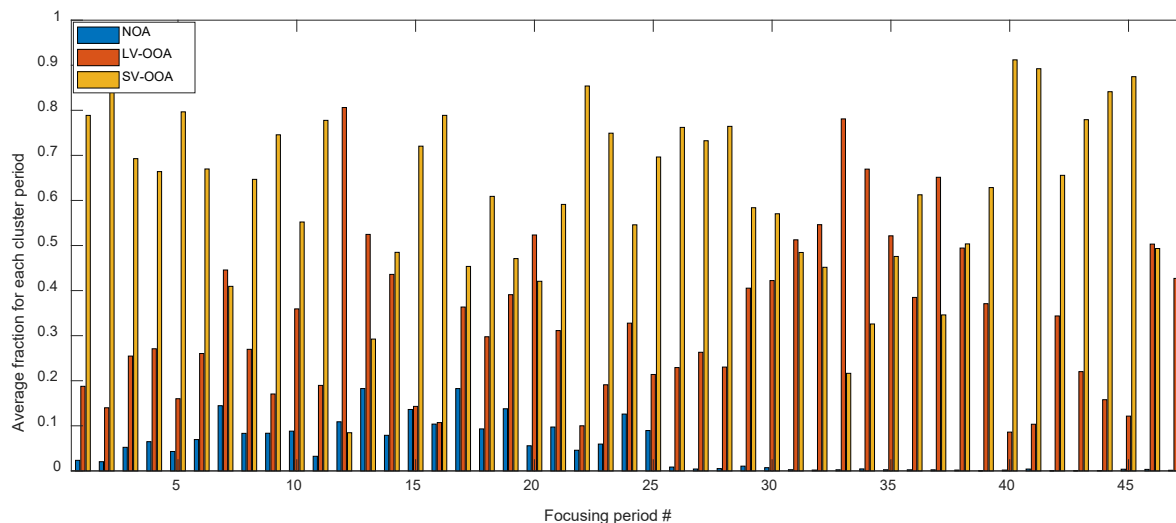




**Figure 2. Derived  $\kappa_{org}$  as a function of O:C atomic ratio for four clusters, and the  $\kappa_{org}$  values of SOA positive matrix factorization (PMF) factors (LV-OOA, SV-OOA, NOA) derived from measurements during the ALC-IOP. Also shown with the predicted variation for organics with a mean  $\log C^*$  equals to -1 and -5, and the  $\kappa_{org}$  values of SOA PMF factors from measurements during GoAmazon 2014/5 (Thalman et al., 2017), and the relationship between  $\kappa_{org}$  and O:C for SOA derived from a laboratory study (Lambe et al., 2011).**

The organic species were classified into three bulk SOA factors based on the PMF analysis, including a fresh semivolatile oxygenated organic aerosol (SV-OOA), which contributed 63% of OA mass and was strongly influenced by urban plumes transported from the W and SSW regions, a regional and more aged low-volatility oxygenated organic aerosol (LV-OOA), which was influenced by aqueous-phase processing, and a nitrogen-enriched OA (NOA), which likely composed of amine salts formed from acid-base reactions in industrial emissions (Zhou et al., 2016). The mass fractions of the three factors for each of the 47 periods are shown in Fig. 3. Among those periods, 10 and 14 of them were strongly influenced by the air masses from the NW and SSW, respectively. The LRNW air mass cluster dominated eight periods, and the W air mass cluster dominated three periods. The rest of the periods were strongly influenced by air mass from North Atlantic Ocean and are not included in the further analysis in Fig.2. The first 25 periods are before August 2, 2011, and NOA substantially contributed to

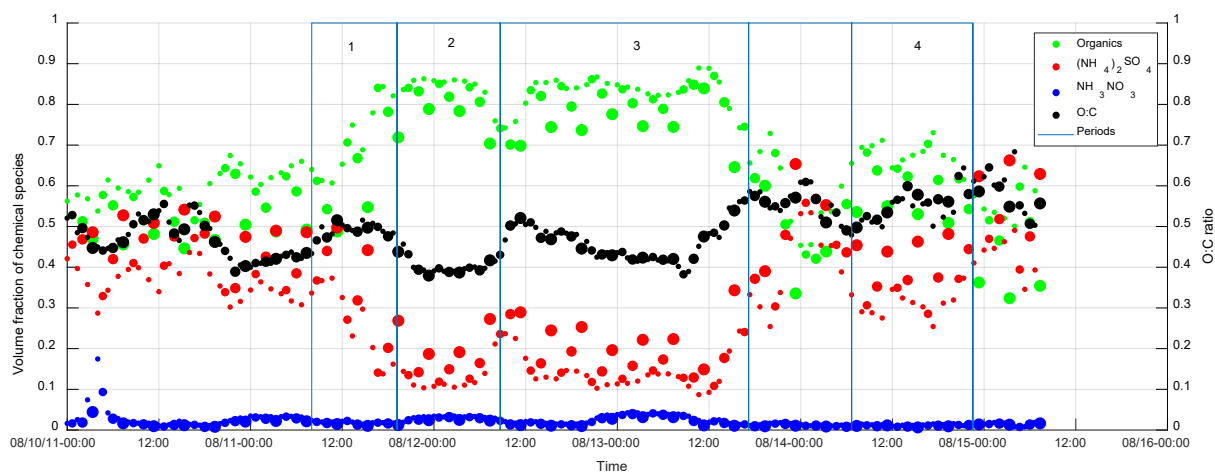
the aerosol mass concentration. The fraction of NOA during the remaining periods (after August 2, 2011, from periods 26-47) is less than 2% and negligible. For the same air mass cluster type, LV-OOA fractions are generally higher during the periods after August 2, 2011, when the site experienced more cloudy conditions and precipitation. The elevated LV-OOA fraction is likely due to a more substantial influence by aqueous-phase processing (Zhou et al., 2016).



**Figure 3. Average mass fraction of each PMF factor for the 47 focus periods.**

The three PMF factors are associated with different emission sources and atmospheric processes (Zhang et al., 2011; Zhou et al., 2016). Hygroscopicities of the three organic factors ( $\kappa_{LV-OOA}$ ,  $\kappa_{SV-OOA}$ , and  $\kappa_{NOA}$ ) were retrieved using multilinear regression of  $\kappa_{org}$  to the volume fractions of the organic factors (Thalman et al., 2017). This regression is based on the Levenberg-Marquardt algorithm and yields the hygroscopicities for LV-OOA, SV-OOA, and NOA as 0.170, 0.069, and 0.072, respectively. The O:C ratios of LV-OOA, SV-OOA, and NOA calculated using the Improved Ambient (IA) method (Canagaratna et al., 2015) are 0.97, 0.54, and 0.19, respectively. The retrieved  $\kappa_{LV-OOA}$ ,  $\kappa_{SV-OOA}$ , and  $\kappa_{NOA}$  show a general increasing trend with increasing O:C ratio (Fig. 2, purple squares), in agreement with those derived from an earlier field study (Thalman et al., 2017) and predicted by Wang et al. (2019). We note  $\kappa_{SV-OOA}$  is lower than the model prediction and the SOA hygroscopicity at the same O:C ratio reported by Lambe et al. 2011. One possible explanation is that the SV-OOA was mainly composed of organic compounds formed through oxidation of urban emissions, thus was enriched with oxidized yet relatively hydrophobic hydrocarbons (Zhou et al., 2016). As HOA is typically hydrophobic, the inclusion of HOA likely leads to a  $\kappa_{SV-OOA}$  value below the predicted and reported SOA hygroscopicity (Lambe et al., 2011, Wang et al., 2019).

The bulk volumetric fractions of major species, including sulfate, nitrate, and organics, and the O:C ratio are shown in Fig. 4 as a function of time from August 10 to August 15 for ambient aerosol and those processed by the TD at 50 °C, 75 °C, and 100 °C. During the week, nitrate had a negligible contribution to aerosol composition (i.e., less than 5% in volume). Because sulfate is non-volatile at temperatures below 100 °C and some semivolatile organics were evaporated inside the TD, ammonium sulfate fraction increases with TD temperature. The variation of organic hygroscopicity with volatility is examined using measurements during four periods. Period 1 is from 08/11/08:00 to 08/11/19:10; period 2 is from 08/11/2011 19:10:00 to 08/12/2011 08:40:00; period 3 is from 08/12/2011 08:40 to 08/13/2011 17:10:00; and period 4 is from 08/14/2011 06:40:00 to 08/14/2011 22:32:00. These periods are chosen because of the high organic volume fraction (i.e., greater than 65%, Mei et al. 2013a and 2013b) and relatively constant particle composition and volatility. Aerosol properties, including particle hygroscopicity and species volume fractions, are averaged for the four periods to minimize the signal-to-noise ratio. The derivation of the particle hygroscopicity parameter  $\kappa_{CCN}$  and  $\kappa_{org}$  for each period follows the same approach described in sections 3.1 and 3.2, except that species volume fractions (i.e.,  $x_{(NH_4)_2SO_4}$  and  $x_{NH_4NO_3}$ ) are based on the bulk measurements due to the operation mode change in the TD period.

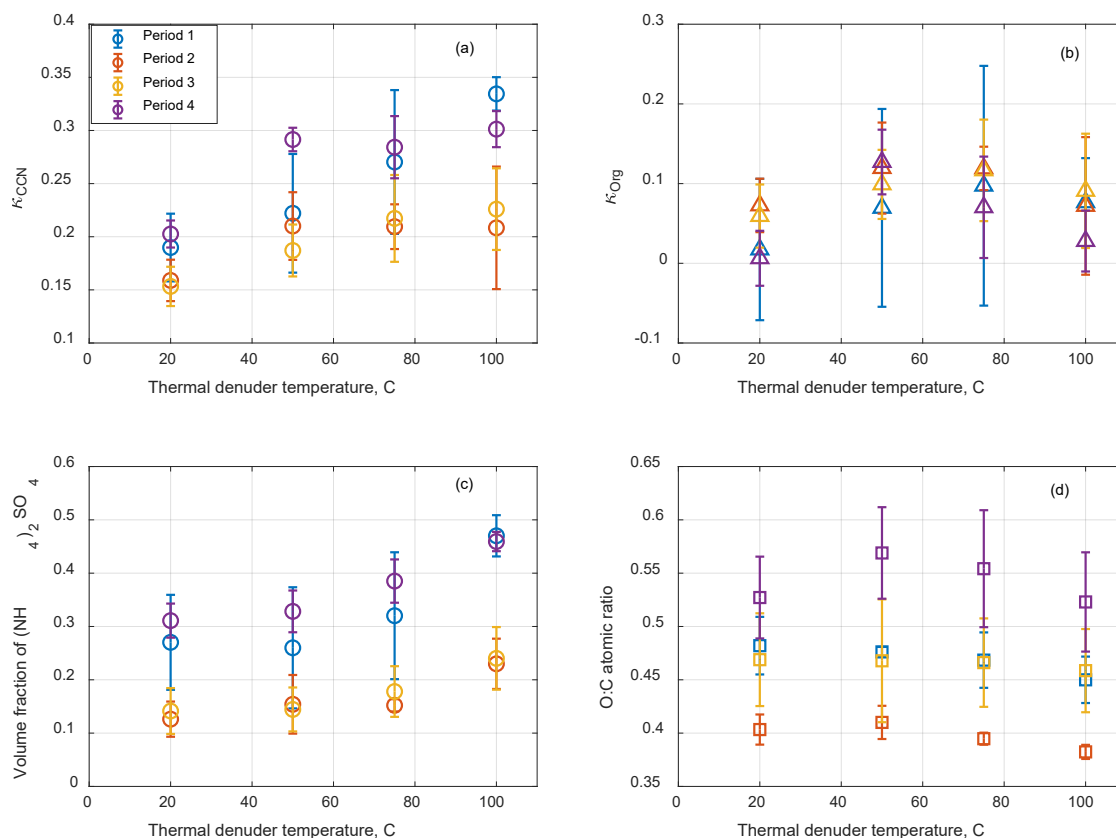


**Figure 4.** Time series of the volume fractions of organics,  $(NH_4)_2SO_4$ , and  $NH_4NO_3$  observed at the BNL site from August 10 to August 15, 2012.; Note that the increase of the symbol size represents the TD temperature increase from the ambient temperature to 100 °C. The green dot represents the organic species. The red dot represents ammonium sulfate. The blue dot represents ammonium nitrate. The black dot represents the O:C ratio. Periods 1, 2, 3 were influenced by the air mass from LRNW and period 4 was dominated by SSW air mass.

As shown in Fig. 5a,  $\kappa_{CCN}$  increases with increasing TD temperature, mainly due to the increased volume fraction of the ammonium sulfate. The TD processed aerosol particles with a higher inorganic fraction (period 1 and 4) exhibited a more

significant change of CCN hygroscopicity ( $\Delta\kappa_{CCN}\sim 0.10$ ) compared to the other two periods ( $\Delta\kappa_{CCN}\sim 0.05$ ) with the increase of TD temperature (Fig. 5c). The derived  $\kappa_{org}$  first increases as TD temperature increases from 20 °C (i.e., ambient temperature) to 50 or 75 °C, then decreases as TD temperature further increases to 100 °C. The O:C ratio shows a similar trend except during  
 285 Period 1, when O:C decreased monotonically with TD temperature due to the contribution of NOA. The variations of  $\kappa_{org}$  and O:C with TD temperature setting observed during ALC-IOP are different from previous laboratory experiments and field observations, which reported that organic O:C generally increased with increasing TD temperature (Huffman et al., 2009; Kuwata et al., 2011) whereas  $\kappa_{org}$  decreased monotonically with TD temperature (Asa-Awuku et al., 2009; Cain and Pandis, 2017; King et al., 2009; Kuwata et al., 2011). The heating inside the TD can lead to both evaporation and reaction (e.g.,  
 290 oligomerization) of particle-phase organic species. Whereas oligomerization is not expected to influence O:C appreciably, it leads to lower  $\kappa_{org}$  values due to the increase of molecular weight.

Therefore, oligomerization likely played a relatively minor role when processed by the TD temperature at 50 °C. The initial increases of O:C and  $\kappa_{org}$  at TD temperature below 50 °C is likely due to the evaporation of more volatile organics with relatively lower O:C and hygroscopicity, such as primary OA. The evaporation of primary OA can also explain the difference  
 295 in the dependences of  $\kappa_{org}$  with TD temperature as previous studies were either focused on laboratory-generated SOA (Asa-Awuku et al., 2009; Cain and Pandis, 2017; King et al., 2009; Kuwata et al., 2011) or based on field observations at locations dominated by SOA (Cerully et al., 2015; Saha et al., 2017). The initial increase of O:C and  $\kappa_{org}$  could also be partially due to the evaporation of SOA with lower O:C. As  $\kappa_{org}$  of SOA mainly depends on molecular weight, the simultaneous increases of O:C and  $\kappa_{org}$  would indicate evaporation of more volatile secondary organics with relatively large molecule weight and low  
 300 O:C. At the upper TD temperature range (i.e., above 50 and 75 °C), the decrease of O:C indicates the evaporation of more oxygenated organics. Because the addition of oxygenated functional groups reduces the volatility of organic species, we observed the organics with relatively high O:C ratio evaporated at a high TD temperature in this study. This phenomenon is only expected when the evaporated organics have a smaller molecular weight (i.e., molecule size) compared to those remaining in the particle phase, consistent with the decreasing  $\kappa_{org}$ . While we have no direct evidence, oligomerization at high  
 305 temperature may also contribute to the decreasing of  $\kappa_{org}$ . The variations of  $\kappa_{org}$  and O:C with TD temperature setting depends on the distributions of oxidation level, molecular size, and volatility of organic species in the particle phase, which can lead to contrasting trends at different temperature ranges. These distributions can vary substantially with aerosol type and sampling location, leading to different trends in the variation of  $\kappa_{org}$  and O:C with the TD temperature setting.



310 **Figure 5. Averaged aerosol hygroscopicity( $\kappa_{CCN}$ ) (a), the derived  $\kappa_{Org}$  (b), the volume fraction of  $(NH_4)_2SO_4$  (c), and O:C ratio (d) as**  
**a function of the thermal denuder temperature. The error bar represents the standard deviation of the measurand values during**  
**each period.**

#### 4. Conclusions

This work focuses on the CCN activity and its variations with organic oxidation level (O:C ratio) and volatility using  
 315 measurements at the Brookhaven National Laboratory from July 15 to August 15, 2011, during ALC-IOP. Aerosol properties,  
 including aerosol total number concentration, CCN spectrum, and the CCN hygroscopicity, are examined for four air mass  
 clusters, <sup>representative of distinct</sup> ~~representing different ambient~~ aerosol emission sources, transformation pathways, and atmospheric processes.  
 Aerosols originated from the Pennsylvania metropolitan and New York metropolitan areas contained more CCN active  
 particles than aerosols in LRNW and NW air mass clusters. The 25%-75% percentiles of the CCN hygroscopicity ( $\kappa_{CCN}$ ) are  
 320 between ~0.1 and ~0.2 and show minor differences among the clusters. The median value of  $\kappa_{CCN}$  is ~0.15 for all four clusters,

and substantially below 0.3 <sup>suggestive of</sup> ~~suggested for~~ continental aerosols. Organic hygroscopicity  $\kappa_{\text{org}}$  was derived from  $\kappa_{\text{CCN}}$  and aerosol chemical composition. The variation of the cluster average  $\kappa_{\text{org}}$  with O:C ratio generally follows the trend reported by an earlier laboratory study (Lambe et al. 2011) and those predicted based on organic molecular weight and volatility (Wang et al., 2019). The organic aerosols observed during ALC-IOP were previously classified into three SOA factors using PMF analysis (Zhou et al., 2016). Hygroscopicities of the three factors ( $\kappa_{\text{LV-OOA}}$ ,  $\kappa_{\text{SV-OOA}}$ , and  $\kappa_{\text{NOA}}$ ) are retrieved using multilinear regression of  $\kappa_{\text{org}}$  to the volume fractions of the organic factors <sup>space</sup> (Thalman et al., 2017). The retrieved  $\kappa_{\text{LV-OOA}}$ ,  $\kappa_{\text{SV-OOA}}$ , and  $\kappa_{\text{NOA}}$  show a general increasing trend with an increasing O:C ratio, in agreement with those derived from the earlier field study (Thalman et al., 2017), and predicted by the Wang et al. (2019). From August 10 to 15, the CCN activities of both ambient aerosol and those processed by a TD were measured. The derived  $\kappa_{\text{org}}$  shows an initial increase as TD temperature increases from 20 °C (i.e., ambient temperature) to 50 or 75 °C, then decreases as TD temperature further increases to 100 °C. The O:C ratio follows a similar trend with the TD temperature setting. The variations of  $\kappa_{\text{org}}$  and O:C with TD temperature observed during ALC-IOP are different from previous laboratory experiments, which reported that organic O:C consistently increased with increasing TD temperature (Huffman et al., 2009; Kuwata et al., 2011) whereas  $\kappa_{\text{org}}$  decreased with TD temperature (Cain and Pandis, 2017). Field studies, however, observed more complex relationships between O:C and TD temperature, as some reported a monotonous increase of O:C for less volatile OA while others found a lack of correlation between O:C and OA volatility. The initial increases of O:C and  $\kappa_{\text{org}}$  at TD temperature below 50 °C are likely due to the evaporation of more volatile organics with relatively lower O:C hygroscopicity, such as primary OA. Above ~50°C, evaporated organics are more oxygenated and have a lower molecular weight (i.e., molecule size) compared to those remaining in the particle phase.

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### Data availability

350 All the raw data are archived by the ARM Data Center (<https://www.arm.gov/data/>) and freely available for the user community. The CCN processed data in the study are available upon reasonable request to Jian Wang ([jian@wustl.edu](mailto:jian@wustl.edu)). The other data are available from the ARM data archive: <https://www.arm.gov/research/campaigns/osc2011aerosolife>.

### Author contributions

JW and FM designed the research. FM, QZ, JX, and JW carried out the measurements. FM, SZ, SC, JW led the analyses, and FM led the writing, with major input from JW and further input from all other authors.

## 355 Competing interests

The authors declare that they have no conflict of interest.

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