Measurement Report: A Multi-Year Study on the Impacts of Chinese New Year Celebrations on Air Quality in Beijing, China.

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26 ABSTRACT

27 This study investigates the influence of the Chinese New Year (CNY) celebrations on local air quality in Beijing 28 from 2013 through 2019. CNY celebrations include burning of fireworks and firecrackers, which consequently 29 has a significant short-term impact on local air quality. In this study, we bring together comprehensive 30 observations at the newly-constructed Aerosol and Haze Laboratory at Beijing University of Chemical Technology – West Campus (BUCT-AHL) and hourly measurements from twelve Chinese government air 31 32 quality measurement stations throughout the Beijing metropolitan area. These datasets are used together to provide a detailed analysis of air quality during the CNY over multiple years, during which the city of Beijing 33 prohibited the use of fireworks and firecrackers in an effort to reduce air pollution before CNY 2018. Datasets 34 35 used in this study include particulate matter mass concentrations (PM_{2.5} and PM₁₀), trace gases (NO_X, SO₂, O₃, and CO) and meteorological variables for 2013-2019, aerosol particle size distributions, and concentrations of 36 37 sulfuric acid and black carbon for 2018 and 2019. Studying the CNY over several years, which has rarely been 38 done in previous studies, can show trends and effects of societal and policy changes over time, and the results 39 can be applied to study problems and potential solutions of air pollution resulting from holiday celebrations. Our results show that during the 2018 CNY, air pollutant concentrations peaked during the CNY night (for 40 example, PM_{2.5} reached a peak around midnight of over 250 µg/cm³, compared to values of less than 50 µg/cm³ 41 42 earlier in the day). The pollutants with the most notable spikes were sulfur dioxide, particulate matter, and 43 black carbon, which are emitted in burning of firework and firecrackers. Sulfuric acid concentration followed 44 the sulfur dioxide concentration and showed elevated overnight concentration. Analysis of aerosol particle 45 number size distribution showed direct emissions of particles with diameters around 100 nm in relation to 46 firework burning. During the 2019 CNY, the pollution levels were somewhat lower (PM_{2.5} peaking to around 150 µg/cm³ at CNY compared to values around 100 µg/cm³ earlier in the day) and only minor peaks related to 47

48 firework burning were observed. During both CNYs 2018 and 2019 secondary aerosol formation in terms of

49 particle growth was observed. Meteorological conditions were comparable between these two years, suggesting

that CNY-related emissions were less in 2019 compared to 2018. During the 7-year study period, it appears

51 that there has been a general decrease in CNY-related emissions since 2016. For example, peak in $PM_{2.5}$ in

52 2016 was over 600 μ g/cm³, and in the years following, the peak was less each year, with a peak around 150 μ g/cm³ in 2019. This is indicative of the restrictions and public awareness of the air quality issues having a

- 54 positive effect on improving air quality during the CNY. Going into the future, long-term observations will
- 55 offer confirmation for these trends.
- 56

57 1 INTRODUCTION

58

Anthropogenic emissions associated with festivities, notably fireworks and firecrackers (hereafter simply fireworks), are known for their hazardous effects, and even short-term exposure can have significant impacts on human health (Bach et al., 2007; Chen et al., 2011; Jiang et al., 2015; Yang et al., 2014). Firework celebrations are found to increase the concentrations of trace gases and particle concentrations (Kong et al., 2015; Li et al., 2013). Furthermore, some studies have related these festivities to the occurrence of haze episodes in the days following a firework event (Li et al., 2013; Feng et al., 2012).

65

The Chinese New Year (CNY) is a traditional annual holiday occurring in wintertime - in January or in 66 67 February as the exact date is based on the lunar cycle. Because of the adverse impacts on health, pollution from fireworks during the CNY has gathered attention worldwide. For instance, studies including Yang et al. (2014) 68 69 in Jinan, Shi et al. (2014) in Tianjin, and Feng et al. (2012) and Zhang et al. (2010) in Shanghai have shown 70 that there is noticeable degradation in air quality associated with Chinese New Year celebrations in these cities. Wang et al. (2007) has shown that firework celebrations emit significant amounts of sulfur dioxide and black 71 72 carbon. The effects of fireworks on air pollution are known for various holidays in other countries as well. 73 Studies in India, for example, during the country's annual Diwali Festival in the late autumn have also shown 74 results of high pollution from firework use (Ravindra et al., 2003; Mönkkönen et al., 2004; Barman et al., 2007; 75 Singh et al., 2009; Yerramesetti et al., 2013). As another example, a study by Liu et al. (1997) in Southern

California, USA showed enhanced concentrations of particulate matter and trace gas pollutants during firework
 celebrations.

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Because of the rising awareness of air quality problems during holiday celebrations, the government of Beijing decided to implement a prohibition on firework burning within the 5th Ring Road of Beijing, in an effort to reduce air pollution, described in a study by Liu et al. (2019). Their study reported that the prohibition resulted in about a 40% decrease in the total number of fireworks and firecrackers sold in the city of Beijing during the

2018 CNY holiday compared to 2016. Furthermore, Liu et al. (2019) reported that observed concentrations of

air pollutants during the 2018 CNY was significantly less than that in 2016.

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Therefore, an aim of this study is to confirm the conclusions of the Liu et al. (2019) study, using not only a 2016 vs. 2018 comparison, but a longer study of each year between 2013 and 2019. Furthermore, this study offers a spatial comparison of the area where fireworks were prohibited (inside the 5th ring) with a region where there was no prohibition (outside the ring). Currently, there are no previous studies that perform such a sideby-side comparison of areas with different firework burning policies.

91

92 This manuscript provides a detailed view on how CNY celebrations have influenced air quality and atmospheric 93 chemistry in the Beijing metropolitan area. We start with an in-depth analysis of data from 2018 and 2019, and 94 then we expand with the longer 7-year dataset. Combined, these datasets provide perspective into the impacts 95 of the imposed restrictions on firework use in the Beijing area. The specific questions we aim to answer include: 96 1.) how the CNY celebrations and associated increase in precursor and aerosol emissions reflect in the 97 atmospheric concentrations of trace gases and particulate matter and particle number size distribution; 2.) how

98 these changes are connected with meteorological conditions; 3.) how the influence of CNY affects regional air 99 quality variation spatially over the Beijing area; and 4.) how the influence of CNY on Beijing air quality has

100 changed during the recent years, including the result of the firework prohibition beginning in 2018.

101

102 **2 METHODS**

103

104 The observations used in this study include measurements collected from the Beijing University of Chemical 105 Technology, Aerosol and Haze Laboratory (BUCT-AHL), an academic research station in Beijing China (Liu 106 et al., 2020); along with seven years of data from twelve measurement stations throughout the Beijing 107 metropolitan area, operated by the Chinese Ministry of Environmental Protection (MEP). The long-term 108 datasets also provide spatial context in the scale of the greater Beijing area, including a comparison of 109 measurements inside versus outside of the prohibition area. Here we investigated years 2013-2019. Although 110 data from the 2020 CNY is available, we have decided not to include it in this study because of the widespread 111 impacts of the COVID-19 virus that affected China during this time. Due to the unfortunate circumstance, many 112 Chinese citizens refrained from travel, public celebrations and time spent in public. Consequently, the 2020 113 CNY is not directly comparable to previous years.

114

115 This study is novel and unique in a few ways. First, it is one of only a few studies to not only show 116 measurements for a single CNY (or similar celebratory holidays in other countries), but it studies the holiday 117 over seven continuous years. This offers the ability to show trends and effects of, for example policy changes, 118 over time. Furthermore, this study uses data from multiple institutions, which demonstrates the value of 119 collaborations between different institutions when it comes to solving major global problems such as air 120 pollution. This study also compares the CNY inside the centre of the city to the greater Beijing area, which is 121 unique compared to any previous CNY (or similar holiday) air quality study that uses data at a single location. Our insights offer value to scientists and policy makers around the world who are interested in improving air 122 123 quality during holidays that involve firework celebrations. Improving air quality, even short-term, can have a 124 significant positive impact on health and wellbeing of citizens.

125

126 **2.1 Measurement sites**

127

128 This study uses data collected from two sources. First, we used data from the newly constructed station near 129 the third ring road of Beijing (39°56'N, 116°17'E; Figure 1; Liu et al., 2020). The station, known as the Aerosol and Haze Laboratory, is located at Beijing University of Chemical Technology West Campus, on the roof of a 130 131 five-floor building nearby to a busy highway. The station (BUCT-AHL) follows the concept of the Station for 132 Measuring Ecosystem-Atmosphere Relations (SMEAR) in Hyytiälä, Southern Finland (Hari and Kulmala, 133 2005). BUCT-AHL was built in collaboration with the Institute of Atmospheric and Earth System Research 134 (INAR) at the University of Helsinki as part of the effort to build a global SMEAR network (Kulmala, 2018), 135 with the aim to understand atmospheric chemical cocktail in megacity (Kulmala, 2015). In addition to collecting 136 data for in-depth air quality analysis, this joint work increases collaboration between atmospheric scientists in 137 China and Finland.



Figure 1: Location of the BUCT-AHL site within the Beijing metropolitan area. © OpenStreetMap contributors, CC BY-SA.

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In our analysis, the following datasets from BUCT-AHL during the 2018 and 2019 CNY are used: 1) Trace gas concentrations: nitrogen oxides (NO_X), sulfur dioxide (SO₂), ozone (O₃), and carbon monoxide (CO); 2) Black carbon mass concentration (BC); 3) Sub-micron aerosol particle number size distributions; 4) Gas-phase sulfuric acid (H₂SO₄) concentration; 5) Meteorological observations. Technical details of the instruments, including manufacturer, parameters measured, time resolution, and available time periods of measurements, can be found in Table S2 in Supplementary material. These details are also described in Liu et al. (2020).

Additionally, we obtained datasets from several national air quality monitoring sites within the Beijing metropolitan area (NAQMS; Song et al., 2017; Tao et al., 2016). These datasets were obtained from the Chinese Ministry of Environmental Protection (MEP), which contain the following: 1) Fine and coarse particulate matter mass concentrations ($PM_{2.5}$ and PM_{10}), 2) trace gases (NO_X , SO_2 , O_3 , and CO) from 2013 through 2019

for a multi-year comparison. This also provided insights into the spatial variability within the Beijing city and particularly contrasting the area where the ban for the fireworks was implemented against the urban background

- 157 air quality.
- 158

159 2.2 Instrumentation

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161 **2.2.1 Observations in BUCT-AHL station**

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163 Trace gas measurements

164 Concentrations of carbon monoxide (CO), sulfur dioxide (SO₂), ozone (O₃) and nitrogen oxides (NO_x) were 165 measured with Thermo Environmental Instruments models 48i, 43i-TLE, 42i, and 49i, respectively. They were 166 sampled through a common inlet through the roof of the building. The length of the sampling tube was 167 approximately 3 m long (Zhou et al., 2020). The time resolution of the measurements was 5 minutes, but to be 168 consistent with the MEP datasets, one-hour averages were used in this study.

169

170 Meteorological observations

171 Meteorological datasets for 2018-2019 at BUCT-AHL were collected with a Vaisala automatic weather station,

- AWS310, including wind speed and direction, ambient air temperature and relative humidity. Boundary layer
- height (BLH) was measured using a Vaisala CL-51 ceilometer. Meteorological and BLH measurements were
 taken on the rooftop of BUCT-AHL.
- 175

Archived meteorological data for Beijing from 2013-2017 was obtained from the Weather Underground
 website (<u>https://www.wunderground.com/history/daily/cn/beijing/ZBNY/</u>). The station used is the Beijing
 Nanyuan Airport (ICAO identifier ZBNY), a small airport located between the 4th and 5th Ring Road, south of

- 179 Beijing city center. The station is approximately 17 km from BUCT-AHL.
- 180

181 Sub-micron aerosol particle number size distributions and total number concentrations

- 182 Particle size distribution (PSD) between 3 nm and 1µm was measured using an instrument of the same name,
- 183 PSD (Liu et al., 2016). The instrument is composed of a nano-scanning mobility particle sizer (nano-SMPS, 3–

184 55 nm, mobility diameter), a long SMPS (25-650 nm, mobility diameter) and an aerodynamic particle sizer

185 (APS, 0.55–10 µm, aerodynamic diameter). It was fitted with a cyclone to remove particles larger than 10 µm

186 from entering the system. Sampling was done from the rooftop using a 3 m long sampling tube. Additional

- 187 information about the setup of these instruments can be found in Zhou et al. (2020).
- 188

189 Aerosol particle sizes have been further divided into four modes, based on particle diameter: cluster mode (sub-190 3 nm), nucleation mode (3-25 nm), Aitken mode (25-100 nm), and accumulation mode (100-1000 nm). The

- 191 method is described in Zhou et al. (2020).
- 192

193 Gas-phase sulfuric acid

194 Sulfuric acid was measured by a chemical ionization atmospheric-pressure interface time-of-flight mass

- 195 spectrometer equipped with a nitrate chemical ionization source (CI-APi-TOF, Jokinen et al., 2012). The 196 ionization was done with NO₃- as the reagent ion in ambient pressure (e.g., Petäjä et al., 2009). Nitrate reagent
- ions were produced by ionizing a mixture of 3 mL.min⁻¹ ultrahigh purity nitrogen flow containing nitric acid
- 198 with 20 mL.min⁻¹ zero air with an X-ray source. This mixture acted as the sheath flow and was introduced into

a coaxial laminar flow reactor concentric to the sample flow. The sample flow was 8.8 L min⁻¹ but only 0.8
L.min⁻¹ was drawn into the pinhole of the TOF. The sampling line was 1.6 m long stainless-steel tube having
an inner diameter of 3/4 inch and positioned horizontally. The instrument was calibrated with known
concentrations of sulfuric acid. Further information about the calibration procedure can be found in Kürten et
al. (2012).

204

205 Black carbon mass concentration

An aethalometer AE33 (Magee Scientific) monitored the light absorption related to the aerosol. Equivalent black carbon (eBC) was computed based on the change in time of the light attenuation using procedures presented in Virkkula et al. (2015).

209 210

211 2.2.2 Chinese MEP Data

212

Beginning in 2013, the Chinese Ministry of Environmental Protection (MEP) began installing a China-wide network of air quality monitoring stations to measure local and regional air quality. Real-time datasets from this sensor network are published hourly by the China Environmental Monitoring Center (CEMC), which includes PM_{10} , $PM_{2.5}$, SO_2 , NO_X and CO. There are over 1000 active sensors across China (Song et al., 2017; Tao et al., 2016).

218

In this study, data from 12 MEP sites throughout Beijing are used (See Table 1 in Supplementary Information for a list of these sites and their locations). The Guanyuan (GY) site is the closest site to BUCT-AHL, about 5 km east. The original data are available at http://106.37.208.233:20035/ and for this study we have removed the outliers with criteria presented by Wu et al. (2018).

223 2.2.3 Back-trajectories with Hysplit

224

225 Back trajectories to the BUCT-station were calculated using Hybrid Single-Particle Lagrangian Integrated 226 Trajectory (Hysplit). This model is developed by National Oceanic and Atmospheric Administration (NOAA) 227 Air Resources Laboratory and the Australian Bureau of Meteorology Research Centre, and it is one of the most 228 widely-used models to determine the origin of an air mass (Stein et al., 2015). In this work, Hysplit trajectories 229 were calculated for the CNY each year from 2013-2019, with the trajectories arriving between 18:00 and 06:00 230 local time (UTC+8) during the CNY. This adds value to the analysis in two ways: First, it can show whether 231 the air masses in Beijing originated over other urban areas in China, e.g. the greater Beijing-Tianjin-Hebei 232 (BTH) area, or whether the air mass came from more rural areas, e.g. Inner Mongolia or Mongolia. 233 Additionally, it gives a synoptic overview of the weather conditions leading up to CNY. This in turn provides 234 information on whether the air mass is more stagnant within the BTH area, which would result in higher 235 pollution buildup, or whether it originated farther away, which would mean it would be cleaner from the start 236 (Wang et al., 2010; Chen et al., 2015; Zhu et al., 2020).

237

238 3 RESULTS AND DISCUSSION

239

Higher atmospheric concentrations due to elevated pollutant emissions during the Chinese New Year were observed both at BUCT-AHL and the MEP sites during the analysis periods. The observed features include sudden spikes in concentrations of trace gases, aerosol particles, and BC. These observations agree with the previous studies showing a connection between holiday-related firework celebrations and degraded air quality (Jiang et al., 2015; Yang et al., 2014; Shi et al., 2014; Feng et al., 2012; Zhang et al., 2010). In the sections

below, we will delve into these results, which can broaden scientific understanding of the impacts of firework

246 celebrations on local and regional air quality, especially in the context of a wide metropolitan area over the 247 course of several years.

248

249 3.1 Characteristics of air quality during the Chinese New Years 2018 and 2019

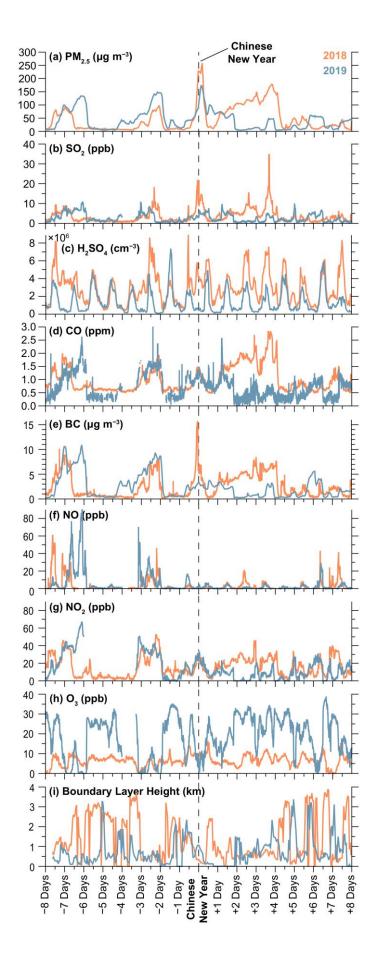
250

251 The CNY was on February 16, 2018 and February 5, 2019. Figure 2 shows a timeseries of air pollutant

252 concentrations from eight days before to eight days after the 2018 and 2019 CNY at BUCT-AHL (except for

253 $PM_{2.5}$, which is from the nearby MEP sites). We observed sharp peaks in Particulate Matter mass ($PM_{2.5}$), SO_2 , 254 sulfuric acid, CO, BC, NO, and NO₂ and ozone during firework events. In 2018 the peak in PM_{2.5} was over 250

- 255 μ g/m³, compared to less than 50 μ g/m³ half a day before, and in 2019 the peak of PM_{2.5} was over 150 μ g/m³
- 256 compared to less than 100 µg/m³ earlier in the day. Similar spikes in BC, gas phase sulfuric acid, and trace gas
- 257 concentrations of several times the values earlier in the day were observed in 2018 as well.
- 258



- Figure 2: Concentrations of main pollutants measured and Boundary Layer Height in Beijing during the 2018 CNY (orange) and 2019 CNY (blue)
- 262

In contrast, in 2019, $PM_{2.5}$ was observed to have less noticeable enhancement in concentration. While there was a noticeable spike in SO₂ overnight of the CNY in 2018 (a spike over 20 ppb compared to less than 5 ppb earlier in the day), shown in Figure 2, a much less noticeable enhancement of SO₂ was observed overnight of the 2019 CNY (a peak around 5 ppb compared to around 3 ppb earlier in the day).

267

268 The measurements showed elevated nighttime concentration of H_2SO_4 on CNY in 2018 exceeding 3.10⁶ cm⁻³ 269 during the whole night, which was an order of magnitude higher than typical nighttime H₂SO₄ concentrations 270 of $5 \cdot 10^5$ cm⁻³ (Dada et al., 2020). In 2019, there was no evident indication of anomalies in nighttime H₂SO₄ concentration during CNY. An unknown spike in H₂SO₄ was noticed at noon the day before CNY in 2018, and 271 272 its association with celebratory activities is unclear. Like with PM_{2.5} and SO₂, Figure 2 shows a distinctive spike in BC around midnight of the 2018 CNY. Although SO₂ and BC also originate from coal combustion and 273 274 other emission sources (Wang et al., 2018), because of the shortness of the peak, and the fact that it occurs at 275 exactly midnight, these simultaneous peaks of BC and SO₂ during the nighttime of CNY most likely originate 276 from firework burning.

277

However, there appeared to be little to no effect of CNY on BC in 2019. The measurements showed an elevated concentration of NO₂ overnight of the CNY in both years (45 ppb in 2018 and 20 ppb in 2019), yet no obvious spike in NO concentration. A high NO₂/NO_x ratio can be caused by accumulation of pollutants emitted the previous afternoon (Chou et al., 2009), but in case of CNY night it is straight forward to conclude it is due to firework burning, which has been shown to emit NO₂ but no NO (Jiang et al., 2015).

283

284 Figure 2 also shows that during the CNY celebrations in 2018 concentrations of the primary pollutants, SO₂, 285 CO, BC, NO and NO₂, were elevated, implying enhanced direct emissions during the CNY period. Secondary 286 pollutants are formed through chemical reactions (Seinfeld and Pandis, 2016) including, for instance, sulfuric 287 acid and ozone. The concentrations of these secondary pollutants were as expected: sulfuric acid concentration 288 increased due to enhanced formation rate with increased SO₂ concentration, and ozone concentration decreased with increased chemical sink by NO_x and CO (and probably other carbon compounds). However, in 2019, only 289 290 the concentrations of CO and NO₂ were observed to increase during CNY celebrations, leading to a decrease 291 in ozone concentration.

292

293 Interestingly, in addition to the short-term enhancement of pollutant concentrations, Figure 2 shows degraded 294 air quality between 16-20 February 2018, following the Chinese New Year, which closely resembles the 295 characteristics of a haze event as described in Zhao et al. (2013), Zhao et al. (2011) and Guo et al. (2020). Using 296 the data from BUCT-AHL, this period was quantifiably classified as a haze event using the algorithm in Zhou 297 et al. (2020). These haze events have elevated concentrations of pollution continuously for multiple days, and 298 concentrations gradually increase throughout the episodes. The haze eventually ends with sudden decline, often 299 caused by an arrival of a cold front or change in synoptic weather conditions. Several previous studies, 300 including Jiang et al. (2015) and Li et al. (2013), suggest that fireworks likely contribute to haze formation. It 301 is plausible that the increased level of pollutants observed overnight during the 2018 CNY likely contributed 302 to this subsequent haze period. However, the meteorological conditions and air mass origins are also important 303 for haze formation, which are discussed in Section 3.2.

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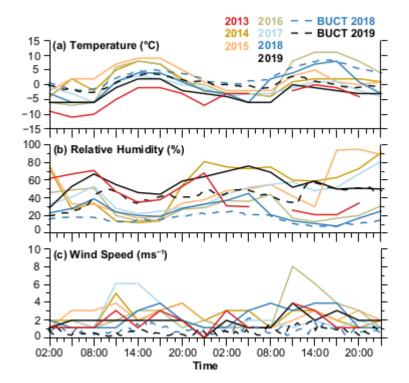
305 3.2 Effects of Meteorology and Boundary Layer Height

Because the meteorological conditions during CNY vary between different years, it is important to address the impact of local and synoptic scale meteorological parameters on air pollution when comparing different years to each other. Specifically, wind speed and direction, relative humidity (RH), boundary layer height, and precipitation can affect pollutant concentrations during and after the fireworks.

311

312 However, none of the measured local meteorological variables showed drastic differences between CNY nights 313 of 2018 and 2019. The wind speed during the night of the 2018 CNY peaked at ~ 2 m/s, and during the night of 314 the 2019 CNY, it remained to values less than ~1 m/s (Figure 3 and Figure S1 in Supplementary). Temperature 315 was between 0 and 5 °C on both years. Some difference was observed in relative humidity, as CNY 2018 took 316 place in very dry conditions (RH ~ 20%), whereas during CNY 2019 RH was roughly 40%. Precipitation was 317 not measured at BUCT-AHL in either year, and weather data measured at ZBNY show there was no 318 precipitation in the region during either of the years (data obtained from Weather Underground), which was 319 supported by observed RH values below 50%. The nocturnal boundary layer heights were less than 500 meters 320 in both years (Figure 2), which is unfavorable for vertical mixing of the pollutants. Due to the slightly lower wind speeds in 2019 than 2018, we would expect more efficient dispersion of pollutants, and thus lower 321 322 concentrations in 2018. Higher RH is also often related to higher concentrations of aerosol pollutants (Sun et 323 al., 2013). However, what we observed was that there were higher concentrations in 2018 than 2019. This 324 indicates that the reason for lower pollutant concentrations in 2019 is not due to differences in the local 325 meteorological conditions.

326



- 327
- **Figure 3:** Meteorological conditions during CNY night ± one day measured in Beijing from 2013-
- 2019.Solid lines are measurements from Beijing Nanyuan Airport (ZBNY). These measurements are
- every three hours. Dashed lines are measurements at BUCT-AHL during 2018-2019, with time
- 331 resolution of one hour.
- 332

The lower concentrations observed during the emission spike in 2019 can be either due to lower emission rates in the area with which the measured air mass is in contact, or due to a shorter exposure to roughly similar

emissions during both years. Figure 4 shows 96 hour back-trajectories by Hysplit, during the night of CNY in

336 2018 and 2019, showing the sources of the airmasses. This provides further insights into the history of the 337 airmasses in Beijing, including how clean we can expect the airmasses to be before CNY, and whether the 338 airmasses are stagnant around Beijing or whether clean air is being transported into the city.

339

340 These trajectories show the following: In 2018, the airmasses from six hours prior to CNY through CNY are

341 from the southwest, and from two through six hours after CNY, the airmass is from the west. In 2019, airmasses

342 from six hours prior to CNY through two hours prior to CNY the airmasses are from the east, and following

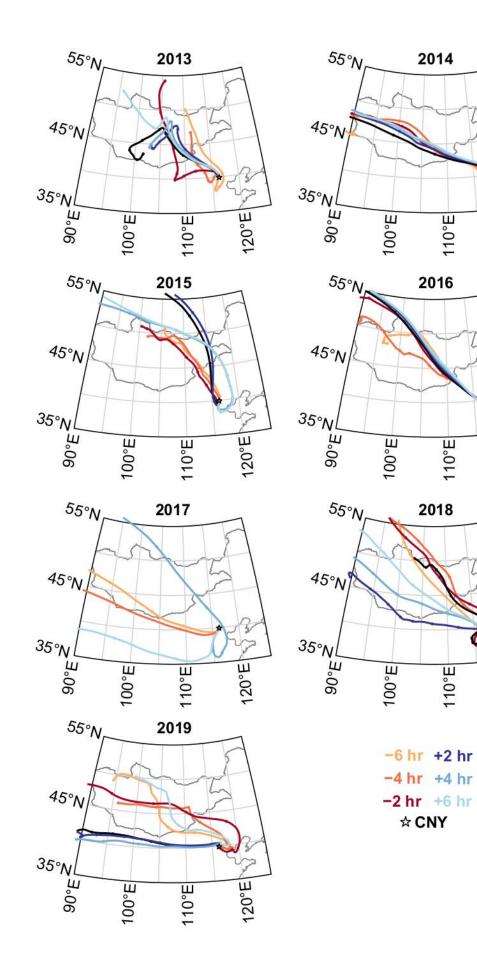
- 343 the CNY the airmasses are primarily from the west.
- 344

Based on Wang et al. (2019), airmasses from the east are expected to be cleaner than from the southwest due

to more diffusion and less emissions from industry. However, we observed the opposite: From six through two

hours prior to midnight (i.e. the background value before the spike in pollution), the background pollutant concentrations are higher in 2019 than in 2018. This gives further indication that the emission sources are likely

349 localized and short-term as opposed to long-range transport.



120°E

120°E

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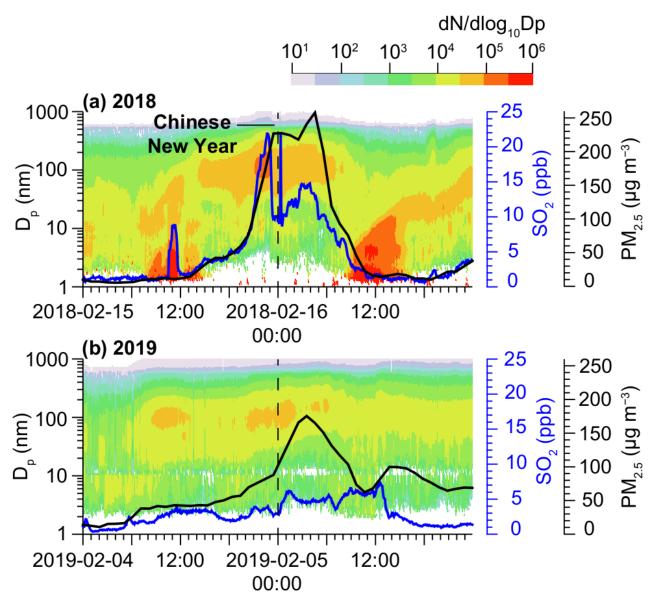
Figure 4: Hysplit 96 hour back-trajectories for airmasses arriving at BUCT-AHL between 18:00

- and 06:00 local time, the night of CNY in 2013 through 2019. The markers are every 12 hours.
- 353
- 354

3.3 Aerosol particle number concentrations and aerosol number size distribution

355

356 Further exploring the effects of the fireworks on air pollution, Figure 5 shows PSD at BUCT-AHL from the day before to the day after CNY. Shortly before midnight on CNY in 2018, an elevated concentration of aerosol 357 particles with diameters of roughly 100 nm was observed, simultaneous to the spike in SO₂ concentration. After 358 359 the spike, SO_2 concentration remained elevated until the next morning. $PM_{2.5}$ concentration increases 360 simultaneously with the SO₂ concentration but did not show the same spike as SO₂. $PM_{2.5}$ concentration remained high (>200 μ g/m³) until the next morning, when it decreased to low values (<30 μ g/m³) together with 361 362 decreasing SO_2 concentration. The nocturnal pollution episode showed a very similar pattern in both SO_2 and PM_{2.5}, despite the spike in SO₂ occurring together with increased number concentration of roughly 100 nm 363 particles and BC (Figure 2e). This is consistent with air pollution from firework burning. It might have 364 365 originated from a source nearby, but it can also be transported as a single strong plume from further away, e.g., from outside the 5th Ring Road which was the edge of the prohibited area for firework activity. The overnight 366 367 elevated concentration of PM_{2.5} and SO₂, excluding the SO₂ spike, may be related to accumulated mixture of 368 firework and other festivity related emissions, e.g., from traffic or cooking. The accumulation of PM_{2.5} seems 369 to be related to secondary aerosol formation, since the particle size distribution shows growth of particles in the dominant particle mode during the CNY night (concentration $dN/d(\log(d_P))$ over $3.3 \cdot 10^4$ between diameters 370 40 and 200 nm at around 8 pm and between diameters 60 and close to 300 nm at around 4 am). 371



373

Figure 5: Aerosol particle number size distribution (PSD) from one day before the CNY through one day
 following the CNY in 2018 and 2019, overlain with aerosol mass concentration PM_{2.5} (black lines) and SO₂
 (blue lines).

378 In 2019, secondary aerosol mass formation was also observed, as the particle mode grew in diameter steadily 379 between 6 pm and 6 am and the PM_{2.5} concentration increased simultaneously until 4 am. The peak PM_{2.5} 380 concentration was, however, much lower in 2019 than in 2018 (roughly 100 μ g/m³ and close to 250 μ m/m³, respectively). SO₂ increased steadily throughout the night and exhibited only a mild peak, from 3 to 6 ppb, 381 shortly after midnight. This peak was again accompanied with simultaneous increase in concentration of 382 383 particles with diameters around 100 nm and in BC concentration (Figure 2), which suggests contribution from 384 fireworks. However, since the SO_2 concentration showed only a mild peak and did not follow the $PM_{2.5}$ concentration, the contribution of nearby firework activity to the overall pollution was estimated to be 385 negligible. 386

387

Figure 6 shows the particle number concentrations in four size modes, specifically sub-3 nm cluster mode, 3-25 nm nucleation mode, 25-100 nm Aitken mode, and 100-1000 nm accumulation mode, as a function $PM_{2.5}$ 390 concentration measured at BUCT-AHL in 2018 and 2019. This figure starts 48 hours before CNY and runs 391 through 48 hours after the CNY. The filled circles mark the nighttime measurements on the CNY (9pm-5am). 392 The night-time mass concentrations are noticeably greater. The mass-to-number concentration comparison for 393 CNY follows the same general curve during nighttime as the full time period. The pattern, particularly the nighttime observations, is consistent with recent investigation by Zhou et al. (2020), which showed that in 394 general concentrations of pollutants are higher during nighttime, attributed to a lower boundary layer and 395 396 consequent high concentrations within the boundary layer. As noted in Section 3.1, the PM_{2.5} concentrations 397 during the CNY period in 2018 were nearly an order of magnitude higher than before and after this time. The 398 elevated PM2.5 concentration is directly connected to the elevated number concentration of accumulation mode 399 particles (Fig. 6 bottom right panel) and the CNY data points do not diverge from the overall coupling. This indicates that the typical sizes of particles contributing to PM2.5 remains similar during CNY than before and 400 401 after it. Since the accumulation mode particle concentrations form the main part of the total particle surface acting as a condensation sink for vapors forming new particles in the atmosphere and a coagulation sink for 402 403 small cluster and nucleation mode particles, it is natural that the concentrations of cluster and nucleation mode 404 decrease with increasing PM2.5 (Fig. 6, upper panels).

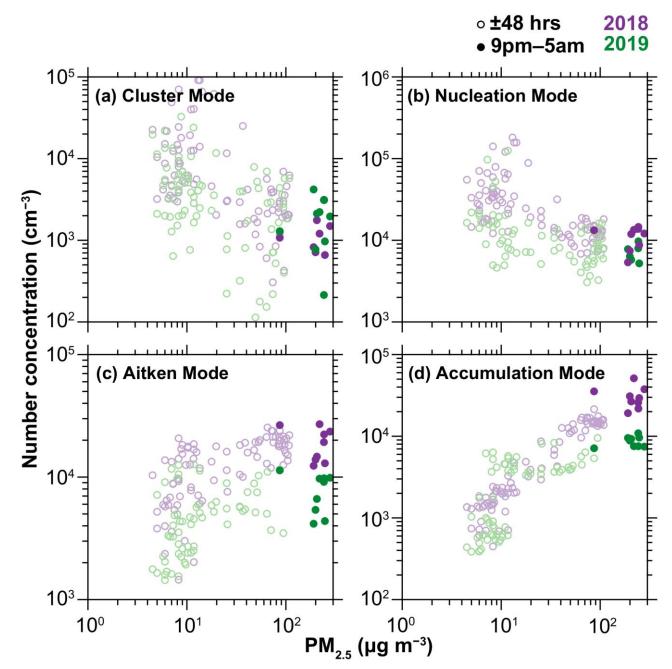


Figure 6: Aerosol particle number concentrations in cluster, nucleation, Aitken and accumulation modes as a function of PM2.5 mass concentration in 2018 (purple) and 2019 (green), separated from 9pm through 5am the night of the CNY (filled circles) and those of CNY \pm 48 hours (open circles). The data is from BUCT-AHL.

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413

Figure 7 depicts the cluster, nucleation, Aitken and accumulation mode particle number concentrations as a function of gas phase sulfuric acid concentration in 2018 and in 2019 inside and outside of the CNY period.

Looking at the clusters, the results show a general strong dependency on the sulfuric acid as it is one of the

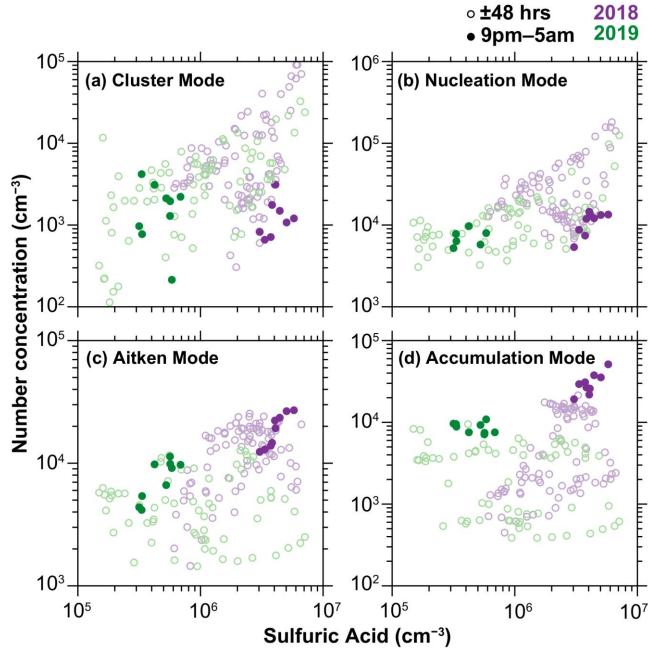
417 main pre-cursors driving the process of gas-to-particle conversion (e.g., Sipilä et al. 2010, Kulmala et al. 2013, 418 Yea et al. 2018). However, the high posturnal sulfuria acid concentration during CNV calebrations in 2018

418 Yao et al. 2018). However, the high nocturnal sulfuric acid concentration during CNY celebrations in 2018

In short, the CNY activities seem not to cause any major deviance for the typical aerosol dynamics other thanthe enhancement of the source of accumulation mode particles

does not lead to high cluster or nucleation mode concentration. In fact, the particle number concentrations in these modes deviates from the otherwise clear response to sulfuric acid concentrations. The reason for this is visible in the panel for accumulation mode concentration vs sulfuric acid concentration: during the CNY 2018 the high concentrations of accumulation mode particles correlates with sulfuric acid concentration thus plausibly neglecting the enhanced particle cluster and particle formation rates by enhanced coagulation sink as explained earlier.

425



426

Figure 7: Aerosol particle number concentrations in cluster, nucleation, Aitken and accumulation modes as a
function of gas phase sulfuric acid concentration in 2018 (purple) and 2019 (green), separated from 9pm
through 5am the night of the CNY (filled circles) and those of CNY ± 48 hours (open circles). The data is from
BUCT-AHL.

432 3.4 Multi-Year Variation of Chinese New Year Effects in Beijing

433

Fireworks were formally prohibited within the 5th Ring Road of Beijing beginning in 2018, whereas outside the 5th Ring Road, there were no prohibitions (Liu et al., 2019). Still, there was some evidence of firework burning observed BUCT-AHL, which is within the prohibition area.

437

A longer-term multi-year study can be useful in demonstrating whether or not the policy is effective in reducing firework-related pollution, and if there is an overall decreasing trend of pollution effects from fireworks over multiple years. To investigate this question, it is useful to compare the 2018 and 2019 CNY with previous years in Beijing. Datasets have been analyzed from 12 MEP stations in the Beijing area from 2013 through 2019.

442

443 Figure 8 shows that each year, there was a spike in pollution around midnight during the CNY. The highest 444 levels were observed in 2016, with the peak in PM_{25} around midnight of the CNY reaching almost 700 µg/cm³ while values earlier in the day were less than 100 μ g/cm³. The lowest levels of PM_{2.5} were in 2019 with the 445 overnight peak less than 200 µg/cm³ compared to daytime values around 50 µg/cm³. Observations from 2013, 446 2014, 2015, and 2017 also showed similarly high or higher levels of PM_{25} as in 2018 (unfortunately the 2017) 447 dataset is incomplete and does not extend beyond 00:00 of New Years day due to a network outage). The 448 449 measurements for all seven years are in agreement with other studies that have linked elevated air pollution levels to CNY celebrations (Yang et al., 2014; Shi et al., 2014; Feng et al., 2012; Zhang et al., 2010), and this 450 451 study further shows that the peak in 2019 is lower than in 2018, which is lower than in 2016 and 2017.

452

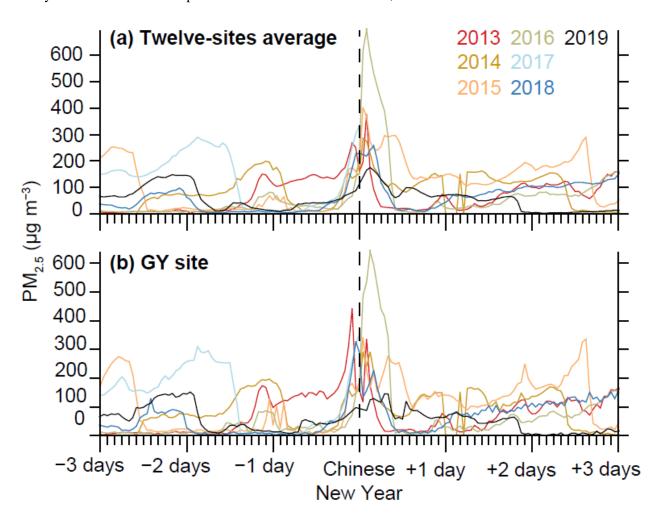


Figure 8: PM_{2.5} averaged from 12 MEP sites in Beijing (top) and from only the Guanyuan (GY) site, which is the closest MEP measurement site to BUCT-AHL (bottom), from three days before through three days after the 2013-2019 CNY. The highest peak of pollution during the CNY overnight was in 2016, and the lowest was in 2019.

458

459 Data from the CNYs have also been compiled into box plots in Figure 9, depicting the distributions of pollutant concentrations from 6:00 pm on CNY Eve to 6:00 am on the CNY day each year at all 12 MEP stations. The 460 461 highest PM concentrations during this time were in 2016, and the 75th and 99th percentile concentrations have 462 decreased after that. On the other hand, the median concentration remained high during 2017 and 2018 but 463 decreased in 2019 by roughly a factor of two. Concentrations of NO₂ and SO₂ show a more steady decrease 464 than $PM_{2.5}$, since the median concentration of both pollutants decreased steadily from 2016 (regarding NO₂ for 465 2017), but for CO there is no clear pattern. It should be noted that in 2017, the data is missing after midnight due to an unknown network outage. The more noticeable decrease in NO₂ and SO₂ is an expected outcome for 466 467 a ban on firework burning, since both are produced by fireworks and have shorter lifetimes than CO and PM_{2.5} (Seinfeld and Pandis, 2016; Lee et al., 2011). Thus, they are less affected by long range transport and 468 469 accumulation. The decrease in pollutant concentrations since 2016 agrees with the results obtained by Liu et 470 al. (2019). Since ozone is a secondary product and it reacts with several primary pollutants, its concentration

471 pattern being roughly opposite to those of primary pollutants is as expected.

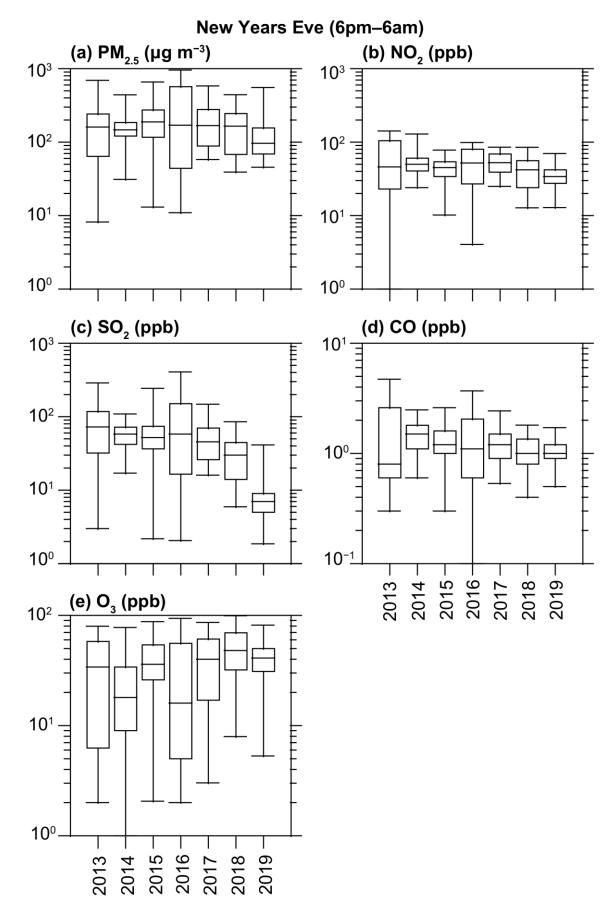


Figure 9: Boxplots of $PM_{2.5}$ and trace gases between 18:00 and 06:00 on the night of the Chinese New Year in the years 2013-2019. The boxplots show 1st, 25th, 50th, 75th, and 99th percentiles of the data across the 12 sites during this 12-hour period (13 time points, inclusively).

477

478 Based on Hysplit back-trajectories (Figure 4), we see that in 2013-2015 the airmasses spent longer in the BTH 479 area prior to arrival. This differs from the airmass sources in 2016-2017, where the airmasses come directly 480 from the northwest. These areas to the northwest of Beijing, including Inner Mongolia and Mongolia usually 481 contain less pollutants due to low anthropogenic emissions, and thus we can expect air masses from this region 482 to be cleaner (Xu et al., 2008). Based on the airmass history, if emissions were the same, then there should be 483 higher concentrations in 2013-2015; however, we see the highest concentrations of pollutants in 2016, followed 484 by a decline after that. In 2018 and 2019, the airmasses spent around two days in the BTH area leading up to 485 arrival at the station. Based on airmass source alone, we would have expected higher pollutant concentrations 486 in 2018 and 2019, but this is not the case. Thus, we can conclude that emissions must have been highest in 487 2016, with lower emissions in 2018 and 2019. This agrees with Liu et al. (2019).

488

489 3.5 Spatial variability based on MEP measurement network data

490

491 Next, we performed a spatial comparison of the MEP measurements across the Beijing region. This includes 492 comparing the observations inside the 5th Ring Road, where fireworks were prohibited, to outside the ring. 493 Figure 10 maps the 12 MEP stations in the Beijing region for 2013-2019, showing the ratio between mean 494 $PM_{2.5}$ concentration from 9 pm through 5 am during the night of CNY and the mean concentration within ± 48 hours of the CNY at each site. Figures S2-S13 in Supplementary Information show observations of PM_{2.5} from 495 496 the 12 individual MEP sites and the corresponding differences, year-by-year from 2013-2019. Based on Figure 497 10, we can see significant variation from year to year as to which station measures the highest pollution. It is important to note that the population density is greater closer to city center, and thus the population density 498 499 could impact the results. However, it is plausible to assume that the relative population density difference 500 between the city center and the surrounding areas do not change dramatically during the few years' time period.

PM2.5 Relative Differences: Overnight Average / Average of ± 48 hours

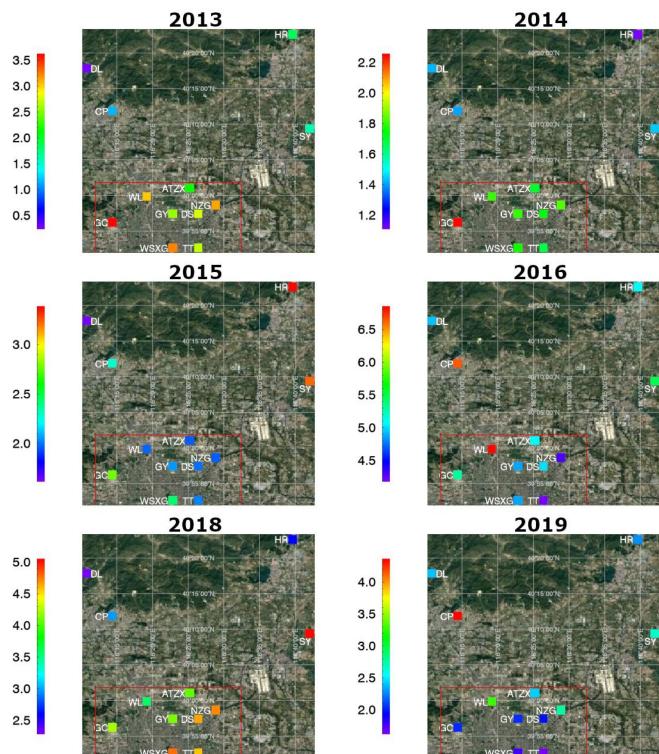


Figure 10: The 12 MEP sites mapped in the Beijing metropolitan area, showing the ratio of overnight $PM_{2.5}$ observations during the CNY (21:00-05:00) to all data during the period of 48 hours before through 48 hours after the CNY. The red line marks the approximate location of the 5th Ring Road. Note that the colorbars in each map are relative to only that year, and the colorbar range is not the same in different years. 2017 is omitted

507 from this figure because data after 00:00 was not available. A list of the sites' full names in English and Chinese,

508 along with their latitude and longitude coordinates can be found in Table S1 in Supplementary material.

- 509 Imagery: © Google Earth.
- 510

511 Figure 10 illustrates that in 2013 and 2014, the enhancement in PM_{2.5} concentrations during CNY is greater 512 inside the 5th ring than outside. In 2015, the enhancement is much greater at the two northeastern sites (HR and SY). In 2016, the differences vary, with no clear difference inside or outside the 5^{th} ring. In 2018, the 513 514 enhancement of PM_{2.5} is higher inside the 5th ring than outside, except for the SY site to the far northeast, which 515 had significantly high enhancement compared to the other sites. In 2019 the enhancement is overall less inside 516 compared to outside. The enhancement factors outside the 5th Ring road (excluding the single highest value) and at the Northern inside stations nearest to the Ring road are quite similar in 2019, roughly in range 2.5 to 3. 517 518 but the peak times of pollution are few hours earlier at the outside stations than the Northern inside stations 519 (Fig. S12). The measurement sites closer to central Beijing, on the other hand, show clearly lower enhancement 520 factors, of values of two or below. Based on these spatial and temporal differences, and on the northerly winds 521 observed at the time, it is possible that the higher enhancement factors inside but close to the 5th Ring road are 522 related to emissions from outside the Ring road.

523

Figure 11 shows differences between the PM_{2.5} mean of the sites inside the 5th Ring Road and the mean of the 524 525 sites outside the 5th Ring (that is the mean of the 8 inside sites minus the mean of the outside 4 stations) 48 hours before through 48 hours after the CNY for 2013-2019. In 2013, 2014 and 2018, the enhancement of PM₂₅ 526 527 during the CNY overnight is greater inside than outside the 5th Ring Road. However, in 2015 and 2019, as well 528 as immediately after the CNY midnight in 2016, PM_{2.5} was lower inside than outside. While we were lacking 529 the detailed data on local meteorology during 2013-2016, we were still able to analyze the meteorological 530 condition in terms of air mass trajectories. Figure 4 shows that, similar to 2019 as discussed previously, in 2015 531 and CNY midnight of 2016, airmasses arriving to Beijing were from the cleaner West-North sector and arrived 532 with much higher velocity in comparison to years 2013, 2014 and 2018, during which the air masses made a turn in South or East before arrival to Beijing. Even though the CNYs during which the increase of PM2.5 533 enhancement inside the 5th Ring Road is less pronounced than outside seem to be related to faster arrival of 534 535 cleaner airmasses, we have no clear view for the reason of this difference and, due to the qualitative nature of 536 this comparison, it is well possible that this connection is pure coincidence. The similarity of years 2015 and 2019 in terms of the spatial variation of CNY midnight pollution peak suggests that meteorology may be at 537 least part of the reason for the lesser enhancement of pollution levels inside the 5th Ring Road than outside. 538 539 Nevertheless, the notably lower concentrations of PM_{2.5} and gaseous air pollutants in 2019 than in 2015 indicates that, even with similarities in spatial distribution of changes in concentrations, the most likely reason 540 541 for lower concentrations during CNY night are the lower emissions.

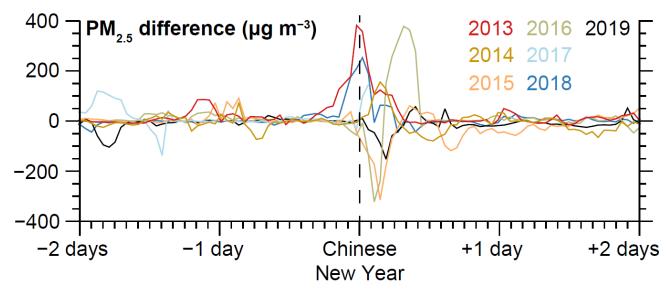


Figure 11: Differences between mean PM_{2.5} concentrations inside and outside the 5th Ring Road of Beijing
 from 2013 through 2019. Positive values indicate higher concentration inside 5th Ring Road.

546547 4 CONCLUSIONS

548

549 In this study, we looked at comprehensive measurements over CNY 2018 and 2019 at a measurement station 550 in Beijing, along with long-term datasets across the Beijing metropolitan area.

551

552 Our study confirms that CNY consistently impacts air quality in Beijing. Based on our observations at the 553 BUCT-AHL station in Beijing, in 2018, we detected higher than typical night-time concentrations of particulate 554 mass ($PM_{2.5}$), particle number, trace gas and sulfuric acid concentrations during the CNY. This was expected, 555 and these results are consistent with previous studies that have linked the CNY (and other similar holiday 556 celebrations involving firework burning around the world) to degraded air quality both locally and regionally.

557

558 Our results suggest that the regulations from CNY 2018 to limit firework use have improved the air quality 559 within the restriction zone inside the 5th Ring road in Beijing, and from 2016 to 2019 there has been a decrease 560 in the effects of holiday-related pollution, which offers an optimistic outlook to the air quality impacts caused 561 by CNY and the consequential public health concerns stemming from air pollution.

562

563 During the CNY night in 2018, we observed appearance of particles with diameters of roughly 100 nm that 564 seemed to be linked to enhanced sulfur dioxide, sulfuric acid and black carbon concentrations, most likely as a 565 result from firework burning. Based on the MEP data, the peaks in concentrations of different pollutants were 566 lower than in the previous years. In 2019, a peak in pollution was observed overnight, but it was significantly 567 lower than in 2018, while meteorological conditions were comparable in both years. The significant year-toyear variability depended presumably on the meteorological conditions. A common phenomenon for both 2018 568 and 2019 CNY nights was the accumulation of secondary aerosol throughout the night, seen as a diameter 569 570 growth of the dominant particle mode in particle number size distributions. Measurements at BUCT-AHL 571 showed that in 2018 a moderate haze episode began one day following the CNY, potentially related to the 572 firework burning.

573

574 Comparing the level of increase in pollutant concentrations during CNY night inside Beijing's 5th ring road 575 (firework prohibition area) to outside revealed that in 2019 the increase inside this area was smaller than

576 outside. During most – but not all – of the previous CNYs, the increase in concentration was higher inside than

577 outside. This was also the case in 2018. However, as also in previous years the ratio of inside and outside 578 concentrations during CNY has varied, it is unclear if this is related to efficacy of the emission prohibition or, 579 e.g., to larger scale air-mass movements, or simply due to the fact that fireworks are sporadic and localized 580 emission sources. Nonetheless, in terms of absolute concentrations, our results show a decrease of CNY 581 pollution within the prohibition area since 2016 and especially in 2019. This is in agreement with the previous 582 Liu et al. (2019) study, which compared the 2016 and 2018 CNY (before and after the prohibition took effect).

583

584 To conclude, this long-term analysis, which combines BUCT data with multiple years of Chinese government 585 data at 12 locations in the Beijing area, demonstrates the importance of analyzing multiple data sources to 586 determine overall trends, rather than making conclusions based on a single dataset. This also demonstrates the 587 usefulness of long-term measurements. Using these datasets together, we see excellent potential that can be 588 utilized to investigate the changes in a) atmospheric chemistry – such as ozone dynamics and sulfuric acid 589 formation; b) atmospheric gas-to-particle conversion; c) boundary layer dynamics and d) air quality. Using 590 CNY as a case study offers excellent insight into how rapid changes in emissions will affect air quality, health, and quality of life, especially in megacities such as Beijing. To confirm and quantify the influence of banning 591 592 the firework burning in Beijing and the impact of varying meteorological conditions, similar data from coming 593 CNYs is needed. Therefore, we suggest ongoing measurements at both BUCT-AHL and MEP sites into 594 multiple future years.

595

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607 Author Contributions

608

All BUCT affiliated authors, plus KD, BC, YW, TC, and PR contributed to measurement collection at BUCT. LW provided the quality-controlled MEP data. BF, LD, KD, TP, FB, PP and MK conceptualized and conducted the data analysis. TK, MoK, RP, and RB participated in the data analysis. TK and MoK provided the meteorology data. KD, TP, FB, PP and MK supervised the study. BF visualized the data with assistance from SG. BF wrote the original draft and prepared the manuscript. PP, TP and all other authors reviewed and edited the manuscript.

615

616 **Competing Interests**

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618 The authors declare that there are no conflicts of interest in this study.

620 Data Availability

621

Data from the BUCT station is available at request. Real time data from the MEP stations is available at <u>http://106.37.208.233:20035/</u>. Archived, quality-controlled MEP data may also be available upon request.

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