

# Specified dynamics scheme impacts on wave-mean flow dynamics, convection, and tracer transport in CESM2 (WACCM6)

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**Abstract.** Specified dynamics schemes are ubiquitous modeling tools for isolating the ~~role~~-roles of dynamics and transport on chemical weather and climate. They typically constrain the circulation of a chemistry-climate model to the circulation in a reanalysis product through linear relaxation. However, recent studies suggest that these schemes create a divergence in chemical climate and the meridional circulation between models and do not accurately reproduce trends in the circulation. In this study we perform a systematic assessment of the specified dynamics scheme in the Community Earth System Model version 2, Whole Atmosphere Community Climate Model version 6 (CESM2 (WACCM6)), which proactively nudges the circulation toward the reference meteorology. Specified dynamics experiments are performed over a wide range of nudging timescales and reference meteorology frequencies, with the model's circulation nudged to its own free-running output - a clean test of the specified dynamics scheme. Errors in the circulation scale robustly and inversely with meteorology frequency, and have little dependence on nudging timescale. However, the circulation strength and errors in tracers, tracer transport, and convective mass flux scale robustly and inversely with nudging timescale. A 12 to 24 hour nudging timescale at the highest possible reference meteorology frequency minimizes errors in tracers, clouds, and the circulation, even up to the practical limit of one reference meteorology update every timestep. The residual circulation and eddy mixing integrate tracer errors and accumulate them at the end of their characteristic transport pathways, leading to elevated error in the upper troposphere/lower stratosphere and in the polar stratosphere. Even in the most ideal case, there are non-negligible errors in tracers introduced by the nudging scheme. Future development of more sophisticated nudging schemes may be necessary for further progress.

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## 1 Introduction

Anthropogenic and natural emissions of gases and aerosols have substantial human and ecological consequences by virtue of atmospheric transport. In the troposphere, sporadic convection in the tropics rapidly lofts boundary layer air to high altitudes where it can slowly ascend through the tropical tropopause layer into the middle atmospheric Brewer-Dobson circulation (Fueglistaler et al., 2009; Butchart, 2014). Some of this air is swept through the shallow branch of the circulation, where it

quickly returns to the troposphere (Birner and Bönisch, 2011; Abalos et al., 2013; Garny et al., 2014). But for the air that ascends up through the depth of the stratosphere, its fate is tied to the tug-of-war of the seasons (Ploeger and Birner, 2016).  
25 Throughout the annual cycle the residual circulation reverses course from north to south, to south to north, sloshing the air back and forth on a long, multi-year journey to the poles where it finally seeps back down to the troposphere. Mixing by breaking waves recirculates some of this air, increasing its stratospheric residence time beyond what would be predicted by residual circulation trajectories alone (Garny et al., 2014). In the troposphere, air is rapidly mixed throughout the extratropics (Waugh et al., 2013; Yang et al., 2019), with nearly half of the residual circulation mass transport occurring via moist diabatic ascent in  
30 the storm tracks (Pauluis et al., 2008).

This global transport is especially important in the context of halogens, radiatively-active aerosols like black carbon and sulfates, and health-relevant species including ground-level particle matter, ozone, and ozone precursors including carbon monoxide. Oceanic emissions of reactive chlorine and bromine species mediate tropospheric ozone (Yang et al., 2005) and can be transported up to the stratosphere where they contribute to spring ozone loss (Daniel et al., 1999; Salawitch et al., 2005; Sinnhuber et al., 2009; Hossaini et al., 2017). Additionally, anthropogenic emissions of CFC-11 in apparent violation of the Montreal Protocol have been transported up to the stratosphere and have delayed the recovery of the ozone hole (Montzka et al., 2018; Dhomse et al., 2019).

In the troposphere, carbon monoxide and particulate matter produced by combustion contribute to premature death and chronic health problems (Brook et al., 2010; Levy, 2015). As a tropospheric ozone precursor (Seinfeld, 1989), carbon monoxide can also drive variations in global radiative forcing. These constituents have a sufficiently long lifetime that they can be transported across ocean basins and impact air quality on other continents (Jaffe et al., 1999; Prospero, 1999). Similarly, long-range transport of black carbon aerosols can have profound impacts on Arctic climate (Shindell et al., 2008), and may be a major driver of observed tropical expansion (Allen et al., 2012; Kovilakam and Mahajan, 2015; Zhao et al., 2020). However, transport can occur along different pathways depending upon whether the emissions occur over land or ocean, and can be especially sensitive to the configuration of the large-scale atmospheric circulation (Yang et al., 2019). Recent work suggests that transport processes make substantial contributions to hazardous peaks in summertime ozone (Kerr et al., 2019), and that variations in the latitude of the tropospheric jet project directly onto surface ozone (Barnes and Fiore, 2013; Kerr et al., 2020).  
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The particular nature of this transport is difficult to quantify because ~~its characteristics span~~ it spans multiple orders of magnitude in time and space.

50 A recent exchange in the literature illustrates the degree to which circulation uncertainty can lead to opposing conclusions. Ball et al. (2018) reported that two chemistry-climate models forced by reanalysis meteorology in so-called “specified dynamics” configurations were unable to reproduce the recently observed decline in lower stratospheric ozone. If true, it would open up roles for novel chemistry or unaccounted-for emissions of ozone depleting substances. Shortly thereafter, Chipperfield et al. (2018) reported that a chemical transport model was able to reproduce the ozone trends when using the same meteorology.  
55 ~~Additionally, Wargan et al. (2018)~~ Wargan et al. (2018) also reported that a replay model simulation could reproduce most of the lower stratospheric ozone loss using reanalysis meteorology, though not in the deep tropics.

Specified dynamics schemes are ~~one flavor of modeling techniques that a modeling technique to~~ constrain known circulation variability ~~to isolate the role of the atmospheric circulation and isolate its role~~ in driving chemical weather and climate. They are also important tools for evaluating ~~different chemical chemistry~~ and physics schemes, interpreting field campaign observations, and performing chemical forecasts. Specified dynamics schemes typically consist of a linear relaxation of fields such as temperature and horizontal winds to a reference meteorology, which is almost always a reanalysis product. More sophisticated techniques include NASA's replay, in which the model is replayed after an initial integration with the tendencies needed to match the reference meteorology (Orbe et al., 2017; Wargan et al., 2018). A chemical transport model is wholly different. While it ~~will include~~ includes parameterizations of physical processes, it is devoid of a true dynamical core as the reference meteorology is ~~simply~~ ingested directly (see, for a relevant example, Chipperfield (1999, 2006)). The exchange in the aftermath of Ball et al. (2018) indicates that one ~~of or~~ more of these methods is deficient.

Kinnison et al. (2007) showed that tracer distributions in a chemical transport model were highly sensitive to arguably minor differences in the circulation ~~across three reference meteorologies among reference meteorologies from WACCM1b and the European Centre for Medium Range Forecasting operational analysis and EXP471 reanalysis~~. Analyses of the models in the Chemistry-Climate Model Intercomparison (CCMI) indicate that the intermodel spread in the climatological meridional circulation in specified dynamics simulations is as large or larger than ~~the intermodel spread in that in corresponding~~ free-running simulations (~~Orbe et al., 2018; Chrysanthou et al., 2019~~) (Orbe et al., 2018; Chrysanthou et al., 2019; Orbe et al., 2020). Unfortunately, the different models in CCMI use different specified dynamics techniques and reference meteorologies, so it is difficult to isolate the cause of this divergence in the circulation.

There is evidence that ~~the relaxation timescale impacts transport (Merryfield et al., 2013; Orbe et al., 2017; Hardiman et al., 2017)~~ shorter relaxation timescales lead to an improved simulation of temperature variability (Merryfield et al., 2013), but at the expense of damping convective transport (Orbe et al., 2017) and reducing tropical stratospheric upwelling (Hardiman et al., 2017). Some studies explicitly nudge the rotational part of the flow on a faster timescale than the divergent part of the flow (Löffler et al., 2016; van Aalst et al., 2004), the goals being to constrain the more certain aspect of the circulation and to allow the ~~model physics the freedom to dictate the divergent part of the flow~~ convection scheme more freedom. Temperature nudging ~~aets like functions as~~ diabatic heating and modulates the strength of the meridional circulation, ~~leading which leads~~ to systematic errors in the circulation and in tracers such as ozone (Miyazaki et al., 2005; Akiyoshi et al., 2016). More exotic nudging techniques, such as climatological anomaly (Zhang et al., 2014) and zonal anomaly nudging (Davis et al., 2020), have revealed that the nudging of zonal mean temperatures can be a major source of error. However, it is often desirable to nudge temperatures to ensure that temperature-dependent chemistry, water vapor, and microphysical processes in the model ~~is~~ are consistent with the real atmosphere (Solomon et al., 2015, 2016; Froidevaux et al., 2019). We cannot just forsake temperature nudging.

In this study, we perform a clean test of a the specified dynamics scheme in CESM2 (WACCM6) in which we nudge the model to reference meteorology created by itself. In this configuration, we fully eliminate errors and uncertainty associated with using reference meteorology from a different modeling system, but also expand the possible phase space of our analysis to its practical limits. An exhaustive set of simulations in which both the nudging timescale and meteorology frequency are varied reveals coherent, global patterns of circulation error that project onto errors in stratospheric and tropospheric

~~carbon monoxide and ozone.~~ ozone and carbon monoxide. These tracers have contrasting source and loss regions. Ozone is photochemically produced in the tropical stratosphere, while carbon monoxide is produced at the surface by combustion and photochemically produced in the mesosphere/lower thermosphere. Together they may provide a comprehensive sample of circulation impacts on tracer transport. While we highlight one particular configuration that minimizes errors in the circulation, clouds, and ~~constituentstracers~~, substantial room for improvement remains and will likely require innovating beyond linear relaxation of the full meteorology.

## 2 Model configuration

The CESM2.0 (WACCM6) finite volume dynamical core (Gettelman et al., 2019) is run at 1 degree horizontal resolution with 110 vertical levels from the surface to approximately 140 km in the lower thermosphere for one year from January 1, 2018 to December 31, 2018. We run so-called “F” compset cases, with prescribed sea surface temperatures and sea ice and prescribed vegetation phenology based on satellite observations. Solar forcings, greenhouse gas lower boundary conditions, volcanic emissions, and other surface emissions are prescribed according to the CMIP6 SSP5-8.5 scenario (O’Neill et al., 2016). Anthropogenic emissions of volatile organic, non-methane volatile organic, and other compounds are prescribed by the Copernicus Atmosphere Modeling Service 81 dataset (Granier et al., 2019), while fire emissions are prescribed by the Fire INventory from NCAR version 1 dataset (Wiedinmyer et al., 2011). The quasi-biennial oscillation is not prescribed, but is instead spontaneously driven by internally-generated waves (Garcia and Richter, 2019). All simulations have identical initial conditions derived from the same spin-up run on January 1, 2018.

There are two specified dynamics implementations in CESM2. “SD” compsets are configured to apply nudging only within the finite volume dynamical core as described in Kunz et al. (2011), with the applied tendencies controlled via the “met” namelist (see section 6.4 of the CAM6 user’s guide, ~~url~~URL in the *Code and data availability* section). Here, however, we use ~~the an~~ alternative dynamical-core-independent ~~method~~ scheme that applies nudging as physics tendencies controlled via the “nudging” namelist (see section 9.6 of the CAM6 user’s guide). For a nudged variable,  $x$ , the nudging tendency is applied as a linear relaxation of the form

$$\frac{\partial x}{\partial t_{sd}} = -W \frac{(x - x_{ref})}{\tau}, \quad (1)$$

where  $x_{ref}$  is the reference meteorology value at the next reference meteorology update step,  $\tau$  is the relaxation timescale, and  $W$  is a window function that limits the spatial domain over which the tendency is applied. In this formulation, the nudging proactively pulls the model state toward the next instantaneous reference meteorology value. The “SD” scheme instead calculates  $x_{ref}$  as a linear interpolation between the two nearest reference meteorology values, which attempts to correct the future state based on present disagreement.

$W$  is set to 1 below 1 hPa and linearly tapers to 0 between 1 and 0.1 hPa to avoid numerical instabilities related to nudging in the presence of atmospheric tides and large gravity wave tendencies. In the simulations in this study we nudge the full zonal wind, meridional wind, and temperature, although the model can be configured to nudge any combination of these variables, as well as water vapor.

125 In computing the tendencies there are three relevant stepping intervals:  $N_{step} = 48$ , the number of dynamical timesteps per day,  $N_{obs}$ , the number of times per day that reference meteorology is available, and  $N_{update}$ , the number of times per day the nudging tendency in Eq. 1 is updated. We set  $N_{update}$  to 48 so that the nudging tendency is updated every dynamics timestep with the most recent value of  $x$  from the [nudging-specified dynamics](#) simulation. Reanalysis output is typically provided every 6 hours ( $N_{obs} = 4$ ) or 3 hours ( $N_{obs} = 8$ ). Here, a free running simulation is used to generate reference meteorology  
130 every 30 minute dynamics timestep. [For cases other than  \$N\_{obs} = 48\$ , we subsample the instantaneous output at equally-spaced intervals. While there is some evidence that the use of averaged fields may produce more realistic stratospheric transport \(Orbe et al., 2017\), it isn't clear whether this would apply to the very high frequency meteorology examined here.](#)

A suite of specified dynamics simulations are then used to explore how variations in the nudging parameters  $N_{obs}$ , the reference meteorology frequency, and  $\tau$ , the nudging timescale, shape the errors in the resulting simulation. This is advantageous  
135 because our analysis will not be obscured by differences in topography, physics, and dynamical cores if we were to nudge our model to reanalysis output. It will also allow us to explore the parameter  $N_{obs}$  over a larger range of values up to the limit of a new reference meteorology value every timestep.

To validate the [nudging-method-specified dynamics scheme](#), a null test with a 30 minute nudging timescale in which the reference [data-meteorology](#) is sampled at every 30 minute model timestep ( $N_{obs} = 48$ ) and the tendencies are updated ~~at~~ every  
140 timestep ( $N_{update} = 48$ ) must return nudging tendencies that remain zero and model states that perfectly match the evolution of the reference meteorology. The [method-scheme](#) fails this null test in the current implementation of CESM2 because while the reference meteorology is generated at the end of the dynamics step and before the physics step, the nudging tendencies are applied at the end of the physics step when all other parameterizations have already modified the model state. If the physics order is modified so that the nudging tendencies are calculated and applied at the start of the physics step, the model passes  
145 this null test. Future versions of CESM2 will contain this correction to the physics order.

We test six different nudging timescales: 2 hours, a very short timescale to constrain high frequency variability; 4 and 6 hours, short timescales to constrain sub-diurnal variability; 12 and 24 hours, moderate timescales to constrain diurnal variability; and 48 hours, a longer timescale to constrain synoptic variability. We also test five different meteorology frequencies: 1 update per day, the arbitrary limit of the nudging scheme; 4 updates per day, equivalent to 6-hourly reanalysis meteorology; 8 updates  
150 per day, equivalent to 3-hourly reanalysis meteorology; 24 updates per day; and 48 updates per day, the practical limit of an update every timestep. Combinations of nudging parameters that require an increase in the “nsplit” parameter, the finite volume advection subcycling, to run without ~~advection~~ errors are considered here to be unstable. 2 and 4 hour nudging at 1, 4, and 8 updates per day, and 6, 12, and 24 hour nudging at 1 and 4 updates per day require an increase in advection subcycling, so they are not considered viable configurations. They are generally cases in which the nudging timescale is close to or faster than the  
155 meteorology frequency. All other combinations are stable, resulting in 18 distinct specified dynamics simulations. [See Fig. 1 for a summary of all of these configurations and their stability.](#)

For temperature, convective mass flux, and ozone and carbon monoxide mole fraction we archive the output at every model timestep to ensure that our error analysis captures the full variability in each field. For wave-mean flow dynamics and transport we archive daily average fields, including daily average eddy fluxes of heat, momentum, and tracers. All eddy fluxes are cal-

160 culated online in the model every timestep, such that their daily average is the true average. See the *Code and data availability* section for information on the modifications to the source code necessary for this output.

While the El Niño-Southern Oscillation was generally neutral in 2018, the reference simulation generated a sudden warming on February 21, which was remarkably close to the observed sudden warming on February 18th and may impact the results for the Northern Hemisphere.

### 165 3 Methods

Model performance is assessed using three measures of disagreement: the root-mean-square temporal error (RMSTE), the root-mean-square spatial error (RMSSE), and the sign-adaptive mean error (SAME). The root-mean-square temporal error of a variable  $x$  is defined as

$$RMSTE(p, \phi, \lambda) = \sqrt{\overline{(x_{s.d.}(t, p, \phi, \lambda) - x_{ref}(t, p, \phi, \lambda))^2}}, \quad (2)$$

170 where  $t$ ,  $p$ ,  $\phi$ , and  $\lambda$  are the time, pressure, latitude, and longitude coordinates, the overbar indicates the time mean,  $x_{s.d.}$  is the value of  $x$  in the specified dynamics simulation, and  $x_{ref}$  is the value of  $x$  in the reference simulation. In some cases we vertically and meridionally average this error. The vertical and meridional average of some variable  $x$  between two pressure levels  $p_1$  and  $p_2$ , with  $p_1 > p_2$ , is given as

$$\langle x \rangle = \frac{1}{(p_1 - p_2)} \int_{p=p_2}^{p_1} \left( \frac{1}{2} \int_{\phi=-90}^{90} [x] \cos(\phi) d\phi \right) dp, \quad (3)$$

175 where  $\phi$  is latitude and brackets indicate the zonal mean. Errors are averaged from ~~200 hPa~~ [the tropopause pressure](#) to 1000 hPa for a tropospheric average, averaged from 1 hPa to ~~200 hPa~~ [the tropopause pressure](#) for a stratospheric average ([approximating the stratopause as 1 hPa](#)), and averaged from 1 hPa to 1000 hPa for a global average. The root-mean-square spatial error is defined as

$$RMSSE(t) = \sqrt{\left\langle \left( [x_{s.d.}(t, p, \phi)] - [x_{ref}(t, p, \phi)] \right)^2 \right\rangle}. \quad (4)$$

180 The root-mean-square temporal error, which we will refer to hereafter as “temporal error”, quantifies the average error in temporal variability, while the root-mean-square spatial error, which we will refer to hereafter as “spatial error”, quantifies the error in the zonal mean structure at each time step.

To quantify the mean bias for fields that are not single-signed, we modify the formula for the mean bias to account for the average sign of the field in the reference meteorology to derive the sign-adaptive mean error (SAME),

$$185 \text{ SAME}(p, \phi, \lambda) = \left( \bar{x}_{s.d.}(p, \phi, \lambda) - \bar{x}_{ref}(p, \phi, \lambda) \right) \frac{\bar{x}_{ref}(p, \phi, \lambda)}{|\bar{x}_{ref}(p, \phi, \lambda)|}. \quad (5)$$

~~Negative~~ [Positive](#) values of the SAME ~~indicate that~~ [greater in magnitude than the reference climatology indicate](#) the field is ~~weaker in magnitude than it is in the reference simulation – up to and including opposite in sign~~ [greater in magnitude and](#)

of the same sign. Negative values of the SAME indicate cases where the field is weaker in magnitude but of the same sign as the reference climatology, to cases where the field is greater in magnitude than and of the opposite sign to the reference climatology. We will periodically display the actual mean bias and refer to both as "mean errors", but will always use the SAME to calculate averaged-global average mean errors.

In principle, the spatial and temporal error can be corrected for the mean bias (see, for example, Murphy and Epstein (1989)). However, this would require a reasonable estimate of the long term climatology of this particular model configuration, for which we only have a single year. While our discussion will address the spatial, temporal, and mean error as separate terms, it should be noted that they are not orthogonal. In all cases we examine the time-mean of all errors and fields over the entire one year simulation period.

### 3.1 Transformed Eulerian Mean dynamics

We will use the transformed Eulerian mean (TEM) framework to more directly delineate the relationships between errors in eddy-mean flow dynamics and chemical transport. The TEM zonal mean zonal momentum equation in log-pressure coordinates is given by

$$\frac{\partial[u]}{\partial t} = \frac{1}{\rho_0 a \cos(\phi)} \nabla \cdot \frac{1}{\rho_0 a \cos(\phi)} [\nabla] \cdot \mathbf{F} - \frac{g}{2\pi \rho_0 a \cos^2(\phi)} \frac{1}{2\pi a^2 \rho_0 \cos^2(\phi)} \nabla \cdot ((\mathbf{R}^{90} \nabla \Psi^*)[M]) + [X], \quad (6)$$

where  $u$  is the zonal wind,  $F$  is the Eliassen-Palm (EP) flux vector,  $\mathbf{R}^{90} = \{0, -1; 1, 0\}$  is the 90-degree rotation matrix,  $\Psi^*$  is the TEM residual streamfunction,  $M$  is the angular momentum per unit mass,  $X$  is a catch-all for non-conservative forces including friction,  $a$  is the radius of the earthEarth, and  $\nabla$  is the zonal mean divergence operator in spherical coordinates given as

$$\nabla = \left\{ \frac{1}{a \cos(\phi)} \frac{\partial}{\partial \phi} \cos(\phi), \frac{\partial}{\partial z} \right\}. \quad (7)$$

The log-pressure height  $z$  is given by the transformation  $z = -H \ln(p/p_r)$ , where  $p$  is the pressure,  $p_r = 1000$  hPa is the reference surface pressure, and the scale height  $H$  is taken as 6800 m. Log-pressure density  $\rho_0$  is given by  $\rho_0 = \rho_r(p/p_r) = p/(Hg)$ , where  $g$  is the acceleration due to gravity.

The angular momentum per unit mass is

$$M = a \cos(\phi) (u + \Omega a \cos(\phi)) \quad (8)$$

where  $\Omega = 7.292 \times 10^{-5} \text{ s}^{-1}$  is the rotation rate of Earth.

The EP flux is

$$\mathbf{F} = \{F^\phi, F^z\} = \left\{ \rho_0 \left( \frac{\partial[M]}{\partial z} \frac{[v'\theta']}{\partial\theta/\partial z} - [M'v'] \right), \rho_0 \left( \frac{1}{a} \frac{\partial[M]}{\partial\phi} \frac{[v'\theta']}{\partial[\theta]/\partial z} - [M'w'] \right) \right\}, \quad (9)$$

where  $v$  and  $w$  are the meridional and vertical velocities,  $\theta$  is the potential temperature, and primes denote deviations from the zonal mean. The EP flux corresponds-is parallel to the group velocity of steady, linear Rossby waves and traces Rossby

wave propagation in the meridional plane (Edmon et al., 1980). By virtue of their intrinsic easterly phase speeds, Rossby waves gather easterly momentum from their source regions and deposit easterly momentum where they dissipate, respectively leading to acceleration of and drag on westerly flow.

220 The TEM residual streamfunction is given by

$$\Psi^* = \frac{2\pi a \cos(\phi)}{g} - 2\pi a \cos(\phi) \int \frac{p_0^* [v^*] dp_\infty^z [v^*] \rho_0 dz}{g}, \quad (10)$$

where  $[v^*]$  is the TEM residual circulation meridional velocity,

$$[v^*] = [v] - \frac{\partial}{\partial z} \left( \frac{[v'\theta']}{\partial\theta/\partial z} \right). \quad (11)$$

As it is equivalent to the Eulerian mean flow in the absence of meridional eddy heat fluxes, the TEM residual circulation can be interpreted as that part of the meridional flow with the ~~meridional-eddy-heat-flux-induced-adiabatic-recirculations~~ adiabatic recirculations driven by the meridional eddy heat flux removed. This can also be deduced from the equivalence between the TEM meridional circulation and the Eulerian mean meridional circulation in isentropic coordinates (Juckes, 2001). It is therefore a "quasi Lagrangian" approximation of zonal mean parcel trajectories, which gives it greater utility for examining transport than the Eulerian mean.

### 230 3.2 Chemical transport

Chemical transport is assessed with the TEM transport equation,

$$\frac{\partial[\chi]}{\partial t} = \frac{1}{\rho_0} \nabla \cdot \mathbf{F}_\chi - \frac{1}{2\pi a \rho_0 \cos(\phi)} (R^{90} \nabla \times \Psi^*) \cdot \nabla[\chi] + [S], \quad (12)$$

where  $\chi$  is the mole fraction of some chemical species,  $S$  is its source/sink, and  $\mathbf{F}_\chi$  is the TEM eddy transport vector given by

$$\mathbf{F}_\chi = \{F_\chi^\phi, F_\chi^z\} = \left\{ \rho_0 \left( \frac{\partial[\chi]}{\partial z} \frac{[v'\theta']}{\partial[\theta]/\partial z} - [v'\chi'] \right), \rho_0 \left( \frac{1}{a} \frac{\partial[\chi]}{\partial\phi} \frac{[v'\theta']}{\partial[\theta]/\partial z} + [w'\chi'] \right) \right\}. \quad (13)$$

235 It is not coincidental that this has the same form as the EP flux vector, as ~~that~~ the EP flux is simply the TEM eddy transport vector for angular momentum. The characteristic feature of the TEM is that for all tracers, the adiabatic transport by the mean flow induced by the meridional eddy heat fluxes is absorbed into the eddy transport itself.

## 4 Global errors in meteorology

Temporal (Fig. 2) and spatial (Fig. 3) errors in temperature, the EP flux divergence, and the TEM streamfunction decrease exponentially with increasing meteorology frequency and decrease slightly with decreasing nudging timescale. Recall that nudging nudging timescale refers to the linear relaxation timescale in Eq. 1, while meteorology frequency refers to the number of times per day that the reference meteorology is updated in the specified dynamics simulationscheme. The errors asymptote



toward the maximum possible meteorology frequency of 48 per day – exposing a lower limit of 10% temporal error and 0.1-10% spatial error relative to the temporal and spatial variability<sup>1</sup>. Mean errors do not scale with meteorology frequency at all, and instead decrease with increasing nudging timescale (Fig. 4; if one neglects the combination of 48 hour/1 per day, which is a major outlier for the circulation metrics). For temperature and convective mass flux, both thermodynamic quantities, the mean error disappears at longer nudging timescales (Fig. 4a,b), though for the circulation the mean errors reach a minimum at a 24 hour timescale (Fig. 4c,d). At a longer nudging timescale of 48 hours, the nudging is too gentle to have a material impact on the modeled climate. Temperature, the EP flux divergence, and the TEM streamfunction ~~become biased too high~~ biased high/strong by increasing nudging timescale, while ~~the convective mass flux becomes biased too weak~~ is biased weak. See Fig. S1-S3 in the supplementary information for errors in the zonal and meridional winds, which behave identically to the errors in temperature.

Temporal and spatial errors in the convective mass flux decrease exponentially with increasing meteorology frequency, but reach a minimum at a 12 hour nudging timescale (Fig. 2b, Fig. 3b). At shorter and longer nudging timescales the error increases. For parameterized processes such as clouds, nudging presents a conundrum. Cloud heating will be built in to the reference meteorology temperature, so that nudging to the reference meteorology temperature will effectively perform some fraction of the convective heating via the nudging itself. It is indeed the case that the global convective mass flux erroneously weakens with decreasing nudging timescale (Fig. 4b), which in the tropics manifests as a robust decrease below 500 hPa (Fig. 5a,b). Orbe et al. (2017) similarly show that a CESM1(WACCM4) simulation nudged to MERRA at a 5 hour nudging timescale has a weakened convective mass flux relative to a simulation nudged to MERRA at a 50 hour nudging timescale. There are time-average positive temperature nudging tendencies at the characteristic altitudes of shallow and deep convective heating that may be acting to suppress convection (Fig. 5c). Aloft, negative temperature nudging tendencies ~~that~~, which may be acting in lieu of cloud-top radiative cooling, scale with decreasing nudging timescale and are associated with increased convective mass flux, ~~which may be acting in lieu of cloud-top radiative cooling that would otherwise occur~~. Nudging, especially at a timescale shorter than 24 hours, incurs substantial and apparently unavoidable (Fig. 4b) penalties in convective mass flux.

On the other hand, nudging at too long or short a timescale leads to clouds occurring at different times (Fig. 2b) and in different places (Fig. 3b) than the reference meteorology, even though it may result in minimal global mean error at the longest nudging timescale (Fig. 4b). While spatial and mean errors in the convective mass flux asymptote at approximately 10% of the total variability, temporal errors asymptote at values equal to and larger than the variability.

The positive mean error of the EP flux divergence, indicating ~~generally~~ greater wave generation and wave drag, is ~~generally~~ consistent with the positive mean error in the ~~wave-driven~~ TEM streamfunction (Fig. 4c,d). The tropical stratospheric upwelling velocity is an especially important measure of the residual circulation, as it diagnoses the net transport through the tropical tropopause layer and into the stratosphere. ~~Mean-tropical-Tropical~~ upwelling in the lower stratosphere increases ~~consistently~~ with decreasing nudging timescale, with a peak increase of 3-5% at a 2 hour nudging timescale and no detectable difference at a 24 hour nudging timescale (Fig. 6).

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<sup>1</sup>This is the ratio between the error and the corresponding standard deviation.

## 5 Global errors in tracers

Errors in ozone and carbon monoxide mole fraction in the troposphere and stratosphere behave similarly to errors in the convective mass flux (Fig. 7, 8), with a minimum in spatial and temporal errors at 12 to 24 hour nudging timescales. While temporal errors are high at only the shortest and longest nudging timescales, spatial errors are especially high at nudging timescales shorter than 12 hours. The temporal errors asymptote at around 10-25% of the total variability, while spatial errors asymptote at a mere 0.1-5%. This is probably due to the first-order influence of photochemistry on the global distribution of these tracers. Spatial error is a relatively strong function of timescale, while temporal error tends to scale more consistently with meteorology frequency.

The mean errors in tropospheric/stratospheric carbon monoxide and stratospheric ozone mole fraction decrease with increasing nudging timescale (Fig. 9a,b,d), and all but disappear at a 48 hour nudging timescale. In both regions, carbon monoxide is biased ~~high, while in the troposphere ozone is biased~~ low. Stratospheric ozone displays a unique dependence on meteorology frequency, although it is still also governed by nudging timescale ~~and is biased high, unlike tropospheric ozone~~ (Fig. 9c). As for the spatial and temporal errors, the mean error in stratospheric ozone also reaches a minimum at the 12-24 hour nudging timescale. However, the mean errors are generally small ~~in all cases~~, ranging from 5% for stratospheric carbon monoxide to 0.1% for stratospheric ozone.

These mean errors in the troposphere are surprising given the ~~known influence of~~ errors in the convective mass transport. Deep convection rapidly transports boundary layer air to the upper troposphere. This air is relatively low in ozone and relatively rich in carbon monoxide, such that convection acts to reduce upper tropospheric ozone (Folkins et al., 2002; Doherty et al., 2005; Voulgarakis et al., 2009; Paulik and Birner, 2012) and increase upper tropospheric carbon monoxide (Kar et al., 2004; Park et al., 2009). The weakening convective mass flux with decreasing nudging timescale (Fig. 5) should lead to elevated free tropospheric ozone and reduced free tropospheric carbon monoxide in the tropics. However, the opposite occurs, with reduced ozone and increased carbon dioxide throughout the whole troposphere and the lower stratosphere (Fig.'s 10, 11). An alternative explanation is that the acceleration of the residual circulation with decreasing nudging timescale leads to anomalously negative ozone and anomalously positive carbon monoxide advection tendencies in the free troposphere, which propagate up through the tropical pipe (Fig. 10c, 11c). It is unclear why the broader but slower ascent by the residual circulation would exert a more dominant control than localized but more intense convective mass transport. It may be that changes in convective transport are more readily damped by horizontal advection than changes in zonal mean ascent.

The serious impact of nudging timescale and meteorology frequency on ozone and carbon monoxide warrants further investigation. Errors in the tropics ~~seem~~ are consistent with circulation differences rather than convective transport differences, so it seems reasonable to posit that differences in the resolved circulation are the dominant source of the error.

## 6 Errors in eddy-mean flow dynamics

The systematic variation of temporal, spatial, and mean errors with nudging timescale and meteorology frequency means that they can be reliably described by appropriate regressions across the parameter ~~phase~~-space. While temporal and spatial errors

in the circulation appear to be governed primarily by meteorology frequency (Fig. 2, 3), temporal and spatial errors in ozone  
310 and carbon monoxide appear to be governed by meteorology frequency and nudging timescale, respectively (Fig. 7, 8). Mean  
errors in all fields are primarily governed by nudging timescale (Fig. 4, 9). Therefore, we will focus on the regression of ~~TEM  
streamfunction and EP flux divergence spatial and temporal errors~~ temporal and spatial errors in the EP flux and its divergence  
and the TEM streamfunction on meteorology frequency, while we will focus on the regression of ~~ozone and carbon monoxide~~  
temporal and spatial errors in ozone and carbon monoxide on meteorology frequency and nudging timescale, respectively.  
315 For all fields, we will focus on the regression of mean error on nudging timescale. We will display the negative of all error  
regressions ~~for ease of discussion-~~ that is, for decreasing nudging timescale and decreasing meteorology frequency.

To examine the structure of temporal and mean errors, we simply project the zonal mean root-mean-square temporal error  
or mean error in each simulation onto either the logarithm of meteorology frequency or onto a one standard deviation change  
in nudging timescale (about 15 hours). For examining spatial errors, we first project each field onto the time series of its spatial  
320 error to obtain a zonal mean map, and then project the maps from each simulation onto either the logarithm of meteorology  
frequency or onto nudging timescale. One can interpret the first regression as the change in temporal or mean error per change  
in either meteorology frequency or nudging timescale. The second regression is more nuanced, as it is not the spatial error that  
is regressed but instead the pattern in the physical field associated with variations in spatial error. The second regression should  
therefore be interpreted as the (erroneous) pattern in the physical field associated with either meteorology frequency or nudging  
325 timescale. It is useful only as a visualization of the structures that produce spatial error and vary with nudging parameters –  
and not indicative of the change in the spatial error itself, which only has the dimension of time.

As meteorology frequency decreases, the temporal error in the TEM residual streamfunction increases in general proportion  
to the climatology (Fig. 12a). In the troposphere, temporal errors in the midlatitude Ferrel circulation, but not the Hadley cell,  
increase with decreasing meteorology frequency (Fig. 12a). The projection of TEM streamfunction spatial error is characterized  
330 by a single pole-to-pole, surface-to-stratopause cell (Fig. 12c), which suggests that the errors arise when the ~~solstieial~~ solstitial  
residual circulation is generally too strong and expansive in one hemisphere, and too weak and contracted in the other. In the  
troposphere, spatial error is especially concentrated in the tropics and the storm tracks where there is moist adiabatic ascent.

As meteorology frequency decreases the temporal errors in the stratospheric EP flux divergence increase poleward of the  
climatological divergence and deep within the polar vortices, associated with errors in the meridional propagation of Rossby  
335 waves (Fig. 12b). In the upper stratosphere the meridional position of the peak EP flux divergence dominates the spatial error  
in the Northern Hemisphere, while it is instead dominated by the total magnitude of the EP flux divergence in the Southern  
Hemisphere (Fig. 12d). In both hemispheres, this error is governed by a vertical redistribution of wave activity, rather than  
by changes in meridional propagation. In the troposphere, temporal errors in the EP flux divergence increase with decreasing  
meteorology frequency in the extratropics in proportion to the climatology. There is no planetary-scale structure to the spatial  
340 error in the troposphere, although it appears roughly antisymmetric about the equator (Fig. 12d). As in the stratosphere, this  
error is governed primarily by a vertical redistribution of wave activity.

In the troposphere the TEM streamfunction and EP flux divergence/convergence are invigorated by decreasing nudging  
timescale (Fig. 12e,f). The invigoration of the stratospheric TEM streamfunction is limited to the shallow branch of the cir-

345 culation, with error rapidly tapering off above 50 hPa. Global average mean errors in the circulation (Fig. 4c,d) are therefore reflective of the mean error almost everywhere in the troposphere, but virtually nowhere in the stratosphere (Fig. 4e,d).

The physical coupling between the wave-driven TEM streamfunction and EP flux divergence raises the question of which aspect of the circulation – the zonal mean or the eddies – is the source of their errors (Fig. 2c,d; 3c,d). A simple diagnostic is to “swap” either the zonal mean or eddy fields from the reference meteorology into the calculation of the TEM streamfunction and EP flux divergence in the nudged simulations and recalculate the errors. The reduction in error between the swapped and non-swapped simulations measures the impact of the swapped field on the error. It is only diagnostic, and does not entertain any feedbacks between the eddies and the mean flow.

355 Temporal, spatial, and mean errors in the TEM streamfunction are overwhelmingly due to the Eulerian-mean part of the circulation, while the errors in the EP flux divergence are entirely due to the eddy fields (Fig. 13). The eddy fluxes that comprise the EP flux divergence are merely scaled by their projection onto angular momentum and static stability, so it isn’t so surprising that the Eulerian mean contribution is negligible. It does seem surprising that the Eulerian mean dominates the errors in the TEM streamfunction, though. While the correction for the eddy recirculations is not a dominant component of the TEM streamfunction except in the extratropics, the errors they introduce are apparently vanishingly small. This result instead points to temperature nudging (Fig. 5c) directly invigorating the Eulerian mean part of the circulation (Fig. 6; and see also Miyazaki et al. (2005); Akiyoshi et al. (2016)).

## 360 7 Errors in ozone and ~~ozone transport~~ carbon monoxide, and their transports

~~The~~ These errors in the dynamics project strongly onto ~~errors in~~ ozone (Fig. 14, 15) and carbon monoxide (Fig. 16, 17). ~~To estimate transport errors, we simply~~ Here we sum the eddy and residual circulation ~~flux fluxes and~~ convergences on the right hand side of Eq. 11 and ~~apply the same regression technique perform the same regressions~~ as before. However, to more directly assess the impact of each type of transport error on ozone and carbon monoxide, we multiply the error in the combined TEM residual flux and TEM eddy flux convergence by the ~~e-folding e-folding~~ timescale of the corresponding tracer. The timescales are estimated by determining the lag at which each tracer’s autocorrelation drops to  $1/e$  in the reference run. The ~~e-folding e-folding~~ timescale for ozone ranges from 5 days in the tropical upper troposphere and lower stratosphere to 70 days in the extratropical stratosphere, while for carbon monoxide it ranges from ~~12-30~~ days in the tropical upper troposphere and lower stratosphere to 70 days in the extratropical stratosphere ~~–(see Fig. S4)~~. Both of these timescales have substantial vertical ~~structure, and in the troposphere are generally 10-20% shorter in the Northern Hemisphere~~ and horizontal structure.

375 With decreasing meteorology frequency, temporal errors in ozone and carbon monoxide peak at greater than 1% and 10% of the climatology, respectively, in the upper troposphere/lower stratosphere and in the polar stratosphere (Fig. 14a, Fig. 15a). This pattern is mirrored by ~~the an~~ increase in temporal errors in the ozone ~~flux convergence and carbon monoxide flux convergences~~ with decreasing meteorology frequency (Fig. 14b), ~~which themselves~~, Fig. 15b). As these errors reflect the temporal error in the EP flux divergence (Fig. 12b), ~~Locally, at least, the temporal errors in ozone itself are consistent with~~, they appear consistent with local errors in eddy mixing.

However, there is a strong signal in the temporal error in the ozone ~~flux and carbon monoxide fluxes~~, but not ~~convergence/convergences~~, in the tropical ~~stratosphere, implicating upper stratosphere. This implicates~~ the deep branch of the residual circulation. ~~This suggests that the residual circulation acts~~, which acts non-locally to accumulate errors in ~~ozone tracers~~ along the equator-to-  
380 pole transport pathway ~~downstream of photochemical ozone production, at which point errors in the eddies become dominant,~~ both up and down the tracer gradient.

~~The spatial error~~ ~~Ozone and carbon dioxide spatial errors~~ associated with nudging timescale ~~in ozone peaks~~ peak at up to 0.5% in the upper troposphere/lower stratosphere (Fig. 14c). ~~This~~, Fig. 15c). In the upper troposphere/lower stratosphere, this hemispherically-asymmetric pattern is ~~somewhat consistent with~~ loosely related to the spatial errors in ~~ozone transport their~~ transports (Fig. 14d). ~~In general, the anomalously~~, Fig. 15d). Erroneously high ozone in one hemisphere is associated with ~~anomalous erroneous~~ downward and equatorward transport from the middle stratosphere, while it is the opposite in the other hemisphere. ~~In the Northern Hemisphere~~ Likewise, erroneously low carbon monoxide in one hemisphere is associated with ~~erroneous flux divergence, and vice versa in the other hemisphere.~~

In the upper stratosphere, ~~erroneously lower ozone is associated with enhanced downward transport of ozone-poor air from~~ the mesosphere, while in the Southern Hemisphere polar lower stratosphere, anomalously high ozone though, the spatial errors in ozone and carbon monoxide are out of phase with their transport errors, which may indicate that the transport errors follow from the tracer errors. For example, the pattern of erroneously low midlatitude and high polar upper stratospheric ozone in the Northern Hemisphere is associated with a tripole of enhanced ozone flux divergence and flux convergence. Similarly, enhanced carbon monoxide in the polar upper stratosphere in the Northern Hemisphere is associated with ~~enhanced poleward~~ and downward transport, a dipole of enhanced flux divergence and convergence. Both of these flux divergence/convergence patterns are associated with downward transport from the mesosphere, where the circulation is unconstrained by the specified dynamics scheme.

The mean error in ozone is not just limited to the tropics (Fig. 10), as decreasing ~~Decreasing~~ nudging timescale leads to a reduction in ozone ~~and increase in carbon monoxide~~ throughout the troposphere (Fig. 14e). ~~This~~, Fig. 15e), with the largest changes in the tropics. The reduction in ozone is associated with weakened vertical transport in the troposphere relative to the climatology (Fig. 14f), and greater negative transport tendencies throughout the lower stratosphere as the ~~anomalously~~ erroneously ozone-poor air is spread around. ~~However, there out along the tropopause. There~~ is a region of ~~enhanced downward erroneous downward and poleward~~ transport in the Southern Hemisphere that seems to be associated with the invigoration of the shallow branch of the TEM residual streamfunction with decreasing nudging timescale (Fig. 12e). ~~Cancellation between this~~ midlatitude blob of erroneously high ozone and the erroneously low ozone lofted through the tropical tropopause layer likely leads to the lack of robustness in the relationship. ~~It does not seem to materially impact the scaling~~ between nudging timescale and stratospheric ozone ~~mean error~~, though (Fig. 9c). ~~Ozone transport error is greater in magnitude and more complicated in structure than the errors in ozone itself, suggesting that chemistry may considerably damp transport error. The increase in carbon monoxide is consistent with an upward shift in transport, with too much transport out of the troposphere into the lower~~ stratosphere (Fig. 15f).

We can quantify the sources of these errors in transport by using the same “swapping” technique as before. Here, we will swap in the reference zonal mean transport terms, the eddy transport terms, and the zonal mean tracer field (~~separate from all separately from the~~ other zonal mean terms) and recalculate the transport terms, the regression, and the conversion of the regression into an ozone ~~impact and carbon dioxide error~~ using the ozone ~~e-folding timescale and carbon dioxide e-folding~~ timescales.

The temporal error in transport is overwhelmingly due to eddy transport, which drives a global average 10% error in ozone and 4% error in carbon monoxide (Fig. ~~15a~~16a, Fig. 17a, white bars). Spatial and mean ~~error errors~~ due to transport ~~is are~~ more balanced between residual ~~and eddy mixing at 2-6% error. Temporal and mean errors in transport are only substantially reduced~~ circulation and eddy transport (Fig. 16b-c, Fig. 17b-c, white bars). Ozone and carbon monoxide transport temporal errors and  
420 ozone transport mean error are reduced only when using the reference eddy flux fields. Because of the strong covariance between the temporal error in ozone and carbon monoxide transport and the temporal error in the EP flux divergence (Fig. 14b, Fig. 15b, Fig. 12b), we can infer this is primarily probably due to errors in the eddy circulation itself. ~~No diagnostic swap reduces the errors in residual circulation transport, demonstrating that the residual circulation acts to accumulate errors along transport pathways, rather than producing them locally. circulations themselves.~~

## 425 8 **Errors in carbon monoxide and carbon monoxide transport**

Errors in carbon monoxide (Fig. 16) bear some resemblance to errors in ozone (Fig. 14). Carbon monoxide temporal error increases everywhere with decreasing meteorology frequency (Fig. 16a), with large errors in the upper troposphere/lower stratosphere and in the polar stratosphere, reflecting the behavior of temporal errors in ozone (Fig. 16b). However, the errors in carbon monoxide and the carbon monoxide flux convergence are more peaked in the upper stratosphere just below the stratopause.  
430 This upper stratospheric temporal error is driven by upward and poleward transport in the tropics characteristic of the residual circulation, and downward and equatorward transport at the poles indicative of both eddy mixing and residual circulation transport (Fig. 16a,b, Fig. 12b). In the upper troposphere/lower stratosphere, the error is generally driven by downward and poleward transport. There are several other small reductions in error, but none are especially noteworthy, and no diagnostic swap reduces the errors in residual circulation ozone transport. In general, then, the errors in ozone and carbon monoxide mole  
435 fractions cannot be understood as local errors. Instead, the global circulation acts to accumulate ozone and carbon monoxide errors along transport pathways.

Carbon dioxide spatial error associated with nudging timescale is characterized by a hemispherically-asymmetric pattern throughout the whole atmosphere on the order of 0.5-1% of the climatology (Fig. 16e), consistent with erroneous cross-hemispheric transport in the upper troposphere/lower stratosphere (Fig. 16d). Excess carbon monoxide over the pole (Fig. 16e) is fluxed  
440 from the pole to the midlatitudes (Fig. 16d), and vice versa in the other hemisphere. These patterns are out-of-phase with the carbon monoxide errors. Either the transport errors follow from the carbon monoxide errors, or chemistry damps the transport errors.

Carbon monoxide increases everywhere in the troposphere and lower stratosphere when nudging timescale is decreased (Fig. 16e), consistent with erroneously enhanced upward transport of carbon monoxide into the lower stratosphere and erroneously weakened upward transport in the middle troposphere (Fig. 16f).

As with ozone, the errors in carbon monoxide due to transport are generally dominated by the eddy component of the carbon monoxide flux convergence (Fig. 17). Use of the reference eddy field results in substantially reduced temporal error due to the eddy carbon monoxide flux convergence, similar to ozone. However, there is a moderate reduction in spatial error due to the residual circulation flux convergence when the reference eddy field is used, and likewise a small reduction in spatial error due to the eddy flux convergence when the reference zonal mean is used (Fig. 17b). The former implicates erroneous carbon monoxide transport associated with the adiabatic eddy recirculations, while the latter implicates regions with highly variable static stability or zonal wind, such as the upper troposphere/lower stratosphere and the stratopause. There is some indication that the mean error due to the residual circulation is reduced when using the reference tracer field, implicating transport through the upper troposphere-lower stratosphere where the mean error in carbon monoxide has its greatest impact on vertical gradients (Fig. 17e).

## 8 Conclusions and Discussion

Through an analysis of 18 CESM2 (WACCM6) specified dynamics simulations over the course of one simulated year, we have found that:

1. Meteorology frequency is the primary contributor to ~~spatial and temporal~~ temporal and spatial errors in the resolved tropospheric and stratospheric circulation
  - (a) As meteorology frequency increases, spatial and temporal error decreases
  - (b) At a given meteorology frequency, nudging timescales shorter than 24-48 hours produce the lowest ~~spatial and temporal~~ error
2. Meteorology frequency is the primary dominant contributor to temporal error in ozone ~~and~~ carbon monoxide, and ~~their transports~~ convective mass flux; while nudging timescale is the primary contributor to ~~spatial error in ozone and carbon monoxide, and their transports~~ their spatial error
  - (a) As meteorology frequency increases, temporal error decreases
  - (b) As nudging timescale increases, spatial error generally decreases, but reaches a minimum at a 12-24 hour nudging timescale
3. Nudging timescale is the primary contributor to mean error in all fields, with the lowest mean error at 24-48 hour nudging timescales

Taken together, these results suggest that the maximum meteorology frequency possible, with a moderate nudging timescale of 12-24 hours, is an optimal configuration for CESM2 (WACCM6) 110-level specified dynamics simulations that balances the three different types of error across all of these fields.

475 Errors in tracers are generally the lowest in emission/production regions and highest at the end of characteristic transport pathways (Fig. 18). Convection and the tropospheric residual circulation create errors in ozone and carbon monoxide and accumulate them in the upper troposphere/lower stratosphere through rapid overturning, like similar to a conveyor belt. These errors propagate upward into the stratosphere via the residual circulation and get mixed horizontally by Rossby waves along the tropopause. Above this level, ~~these errors are errors are rapidly~~ damped by photochemistry. Similarly, the deep branch  
480 of the residual circulation creates errors in ozone downstream of photochemical production in the tropical stratosphere and accumulates them in the polar stratosphere, where they are redistributed and accentuated by errors in Rossby wave transport. Because the dynamics in the mesosphere cannot be reliably constrained without substantial instabilities, the photochemical production and downward transport of carbon monoxide through the mesosphere and into the upper stratosphere by the residual circulation (Minschwaner et al., 2010; Garcia et al., 2014) results in substantial errors in polar stratospheric carbon monoxide;  
485 ~~which are mixed toward the equator by Rossby waves.~~

As this model configuration is computationally expensive, our analysis only spans 1 year due to the practical need to sweep enough of the phase space of nudging parameters. We therefore believe that while the errors in the circulation are probably close to the value we would infer from longer simulations, the errors in the tracer fields should be seen as an underestimate a lower bound, especially in the middle atmosphere. Circulation errors integrated over at least the stratospheric age of air ~~timescale,~~  
490 which ranges from one to five years (Engel et al., 2017; Ploeger et al., 2019), could lead to sustained increases in tracer error. It also may be the case that production and loss processes are strong enough to damp this hypothetical increase. As ~~the transport errors imply a greater error in ozone and carbon monoxide than is ever realized, photochemistry acts as a major constraint on transport errors.~~

~~We can speculate how these results generalize to cases where the reference meteorology is produced by a different modeling system. Differences in cloud parameterizations and convective transport might lead to slightly different optimal nudging timescales for tracer transport. Recall that if a parameterization such as convection adjusts temperatures in the reference meteorology, temperature nudging will essentially do the work of the convective parameterization if the nudging timescale is short enough and lead to errors in the specified dynamics simulation's clouds a final caveat, the reference simulation generated a sudden stratospheric warming, which may have produced some of the hemispherically-asymmetric structures in the error~~  
500 (Fig. 5). ~~It seems unlikely that the timescale of processes like convection will be so different between modeling systems so as to change these results, though. Instead, we expect differences in the climatology between the reference meteorology and specified dynamics simulation to present the greater challenge (12, 14, 15).~~

The relatively strong dependence of errors in chemical tracers and clouds on both nudging timescale and meteorology frequency is especially concerning because specified dynamics simulations, by their ~~very~~ nature, are intended to constrain  
505 the circulation and isolate its role in chemical weather and climate. Few of the tracer errors can be tied to particular and



local processes, ~~highlighting the degree to which the circulation integrates errors~~. However, the high sensitivity of these errors suggests that any modeling interventions could have major impacts.

To gain some practical insight into how these results might generalize to the case where the reference meteorology is produced by a different modeling system, we performed a subset of specified dynamics simulations in which we nudged CESM2(WACCM6) to NASA's 3-hourly operational GEOS meteorology from January 1, 2018 through December 31, 2018. These simulations had: a nudging timescale of 12 hours and a meteorology frequency of 4 per day; a nudging timescale of 12 hours and a meteorology frequency of 8 per day; and a nudging timescale of 48 hours and a meteorology frequency of 8 per day (Fig. 19). We consider the configuration with a nudging timescale of 12 hours and a meteorology frequency of 8 per day as the best-case configuration, while we expect the other two configurations to produce higher errors. For these simulations, CESM2(WACCM6) is still run on its native horizontal and vertical grid, while GEOS U, V, and T are gridded to the CESM2(WACCM6) grid before nudging.

The temperature (Fig. 19a) and Eliassen-Palm flux divergence (Fig. 19c) errors generally scale as they do when the model is nudged to itself, with errors increasing with increasing timescale and decreasing meteorology frequency. The increase in mean error with nudging timescale probably reflects a difference between the climatologies of CESM2(WACCM6) and GEOS5, with CESM2(WACCM6) drifting toward its own climatology at a 48 hour nudging timescale.

Errors in the convective mass flux (Fig. 19b) and TEM residual streamfunction (Fig. 19d) are insensitive to variations in the nudging parameters. These configurations are centered on the minimum in error when CESM2(WACCM6) is nudged to itself (Fig. 2-4), so it could be that a greater variation in the parameters is needed to elicit distinct behavior. However, as before the errors are high relative to the background variability. The inability of the specified dynamics scheme to effectively constrain convection and the meridional circulation to their values in the input meteorology reflects previous work (Orbe et al., 2017, 2018; Chrysanthopoulos et al., 2018) and presents a major challenge, as both contribute to global transport.

It is worth asking ~~just just~~ how much we can reduce the errors in specified dynamics schemes based on ~~the a~~ linear relaxation of winds and temperatures. Nudging potential vorticity, rather than the individual components of the wind, may better constrain the divergent circulation (Li et al., 1998; Pulido, 2014) and curtail erroneous circulation responses (DeWeaver and Nigam, 1997). ~~However, potential vorticity cannot constrain the absolute temperature, which is critical for~~ It would still need to be paired with temperature nudging to ensure a proper representation of temperature-dependent chemistry, water vapor, and microphysics.

If the relative magnitude of the nudging tendencies at a given timestep can be used as a proxy for the instability of the current atmospheric state, analogous to bred vectors (Cai et al., 2003), our current constant-timescale linear relaxation could be ~~easily transformed/modified~~ into a flow-adaptive timescale. The flow-adaptive timescale could be ~~tuned/set~~ to nudge the meteorology at a fast timescale only when absolutely necessary, as meteorology errors are relatively insensitive to nudging timescales faster than 24 hours. It could also be made aware of active convection and either reduce the nudging timescale accordingly, or switch off temperature nudging to allow the convective physics the freedom to modify the temperature field and transport constituents. ~~Adaptive linear~~ Flow-adaptive nudging of potential vorticity and temperature may provide a tractable solution for further reducing errors in tracers and clouds, while still sufficiently constraining the circulation.

*Code and data availability.* Instructions for acquiring CESM source code can be found at <https://www.cesm.ucar.edu/>, with a user guide hosted at [https://ncar.github.io/CAM/doc/build/html/users\\_guide/index.html](https://ncar.github.io/CAM/doc/build/html/users_guide/index.html). Source code modifications are hosted at Zenodo for the online TEM tracer flux diagnostics (Davis, 2021a). Please note these were developed for CESM version 2.0, and may not work for other releases of the model. Model output necessary to replicate these results is hosted at Zenodo (Davis, 2021b). GEOS output is available at [https://gmao.gsfc.nasa.gov/GMAO\\_products/NRT\\_products.php](https://gmao.gsfc.nasa.gov/GMAO_products/NRT_products.php).

*Author contributions.* S. Tilmes conceived of the project and set up the model input data, P. Callaghan modified the physics order source code and wrote the nudging scheme description in the manuscript, N. A. Davis created the online tracer output source code, set up and ran the model experiments, performed the analysis, and wrote the manuscript, and S. Tilmes, P. Callaghan, and I. R. Simpson provided helpful comments and revisions.

550 *Competing interests.* The authors declare no competing interests are present.

*Acknowledgements.* [We thank three anonymous reviewers for their constructive comments and suggestions.](#) The CESM project is supported primarily by the National Science Foundation (NSF). This material is based upon work supported by the National Center for Atmospheric Research, which is a major facility sponsored by the NSF under Cooperative Agreement No. 1852977. Computing and data storage resources, including the Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided by the Computational and Information Systems Laboratory (CISL) at NCAR. We thank all the scientists, software engineers, and administrators who contributed to the development of CESM2.

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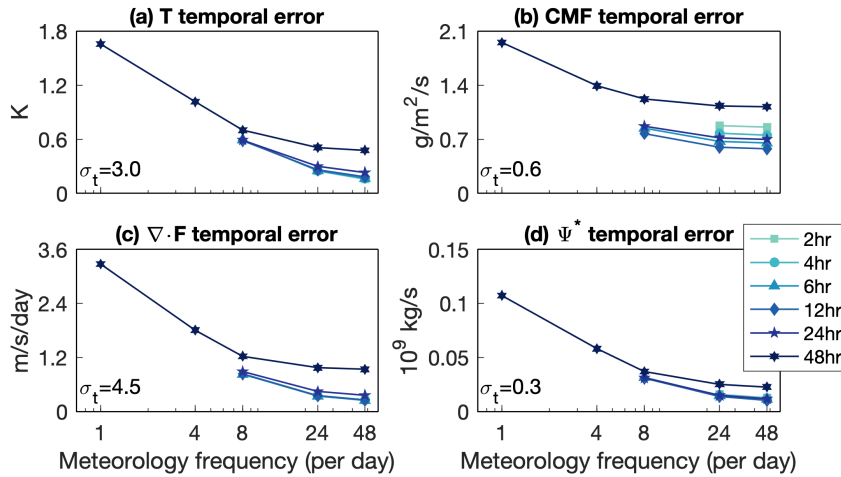
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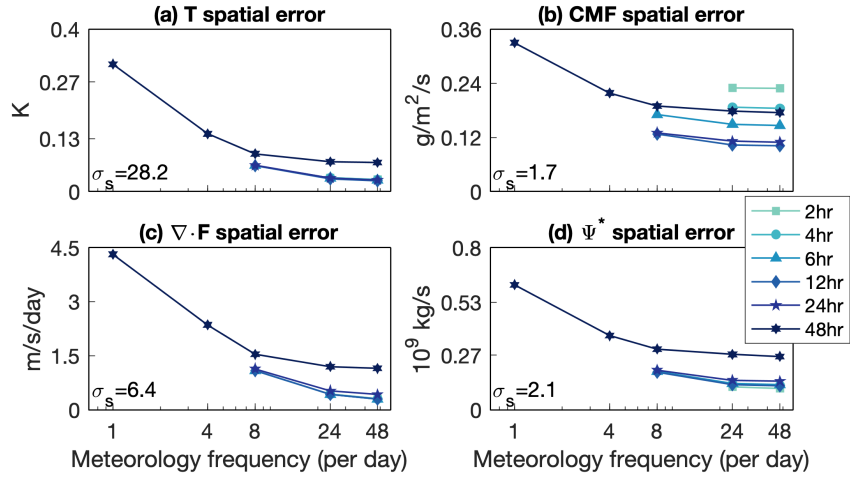
**Stability of specified dynamics configurations**

48	Stable	Stable	Stable	Stable	Stable
24	Unstable	Unstable	Stable	Stable	Stable
12	Unstable	Unstable	Stable	Stable	Stable
6	Unstable	Unstable	Stable	Stable	Stable
4	Unstable	Unstable	Unstable	Stable	Stable
2	Unstable	Unstable	Unstable	Stable	Stable
	1	4	8	24	48
	Meteorology frequency [per day]				

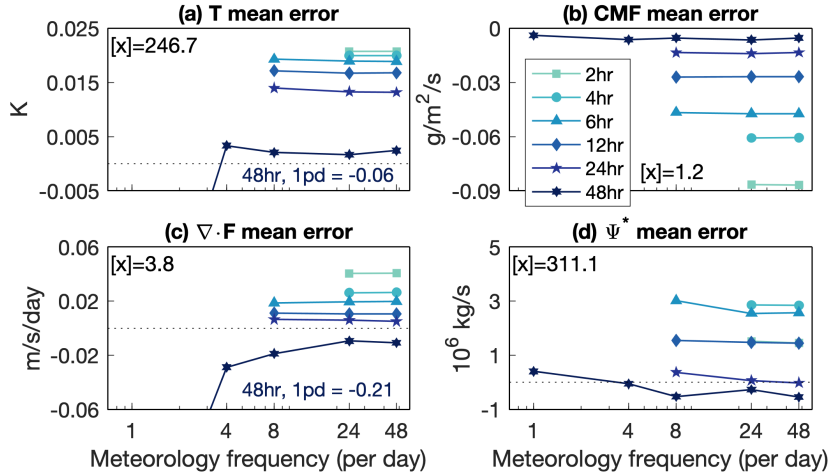
**Figure 1.** The stability of specified dynamics configurations over the full meteorology frequency/nudging timescale ranges examined here. "Stability" refers to whether the configuration requires an increase in the finite volume advection subcycling. See text for details.



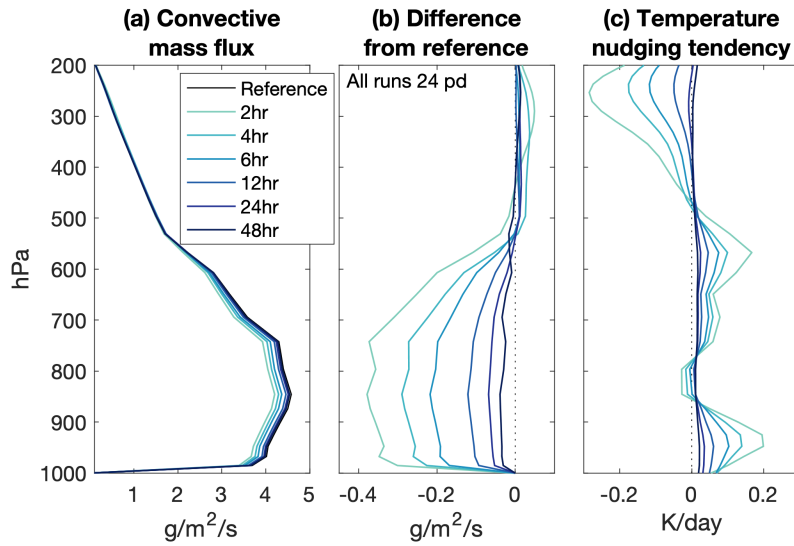
**Figure 2.** Globally- and vertically-averaged root-mean-square temporal error in (a) temperature, (b) convective mass flux, (c) EP flux divergence, and (d) the TEM streamfunction as a function of meteorology frequency (horizontal axis) and nudging timescale (see legend). For reference, the globally- and vertically-averaged temporal standard deviation of each field is shown in each panel.



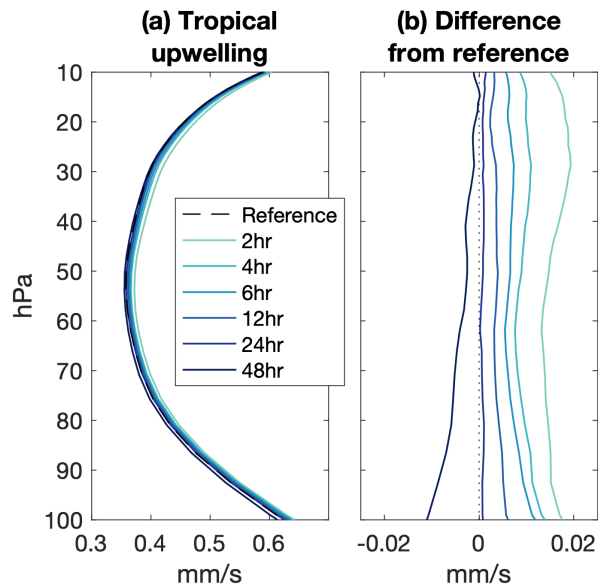
**Figure 3.** As in Fig. 12, but for the root-mean-square spatial error, and the globally- and vertically-averaged spatial standard deviation of each field is shown in each panel.



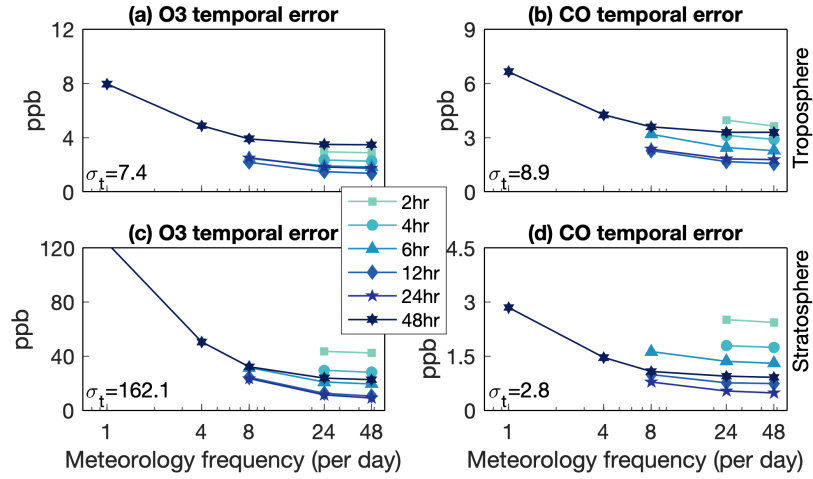
**Figure 4.** As in Fig. 12, but for the mean error, and the globally- and vertically-averaged absolute value of each field is shown in each panel.



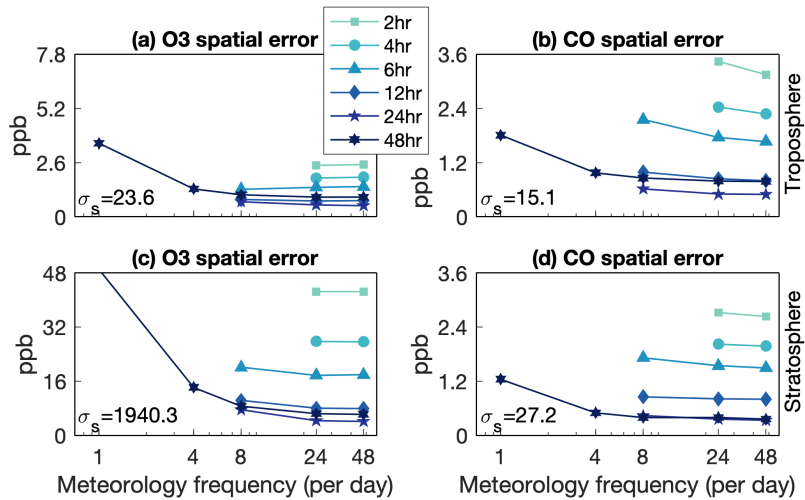
**Figure 5.** Tropical convective mass flux (a) mean and (b) difference from the reference meteorology, and (c) temperature nudging tendency for all nudging timescales at 24 meteorology updates per day. Average taken from 20S to 20N.



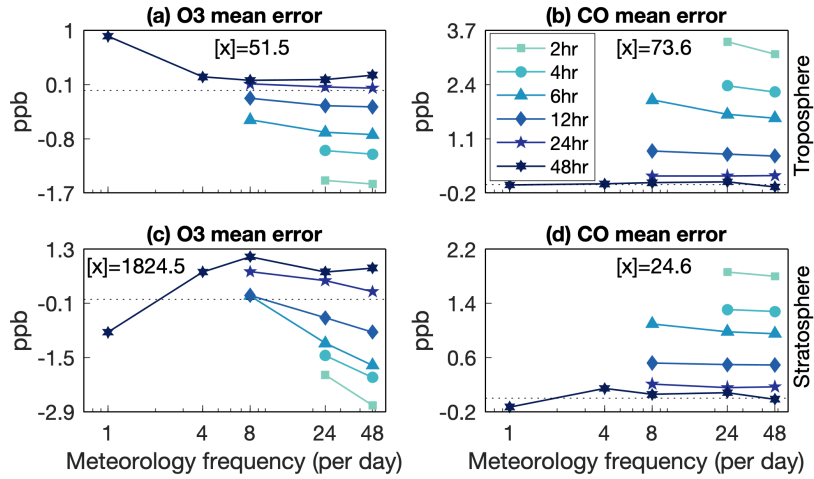
**Figure 6.** Tropical stratospheric upwelling (a) mean and (b) difference from the reference meteorology for all nudging timescales at 24 meteorology updates per day. Tropical stratospheric upwelling is defined as the area-average of all annual mean upwelling vertical velocities at each vertical level.



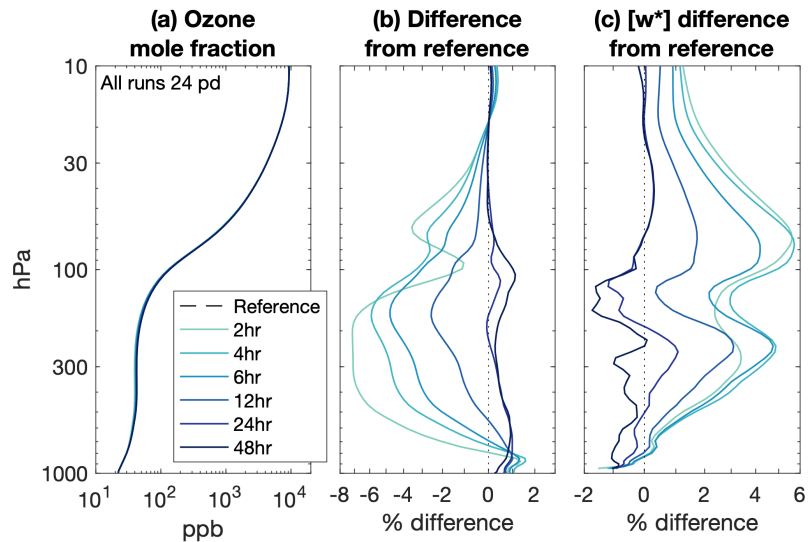
**Figure 7.** Globally- and vertically-averaged root-mean-square temporal error in tropospheric (a) ozone and (b) carbon monoxide and stratospheric (c) ozone and (d) carbon monoxide. For reference, the globally- and vertically-averaged temporal standard deviation of each field is shown in each panel.



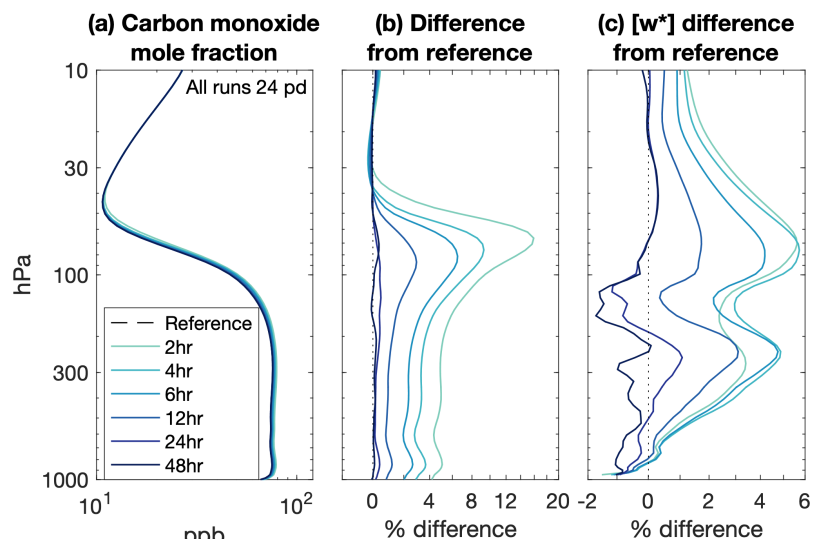
**Figure 8.** As in Fig. 67, for the root-mean-square spatial error, and the globally- and vertically-averaged spatial standard deviation of each field is shown in each panel.



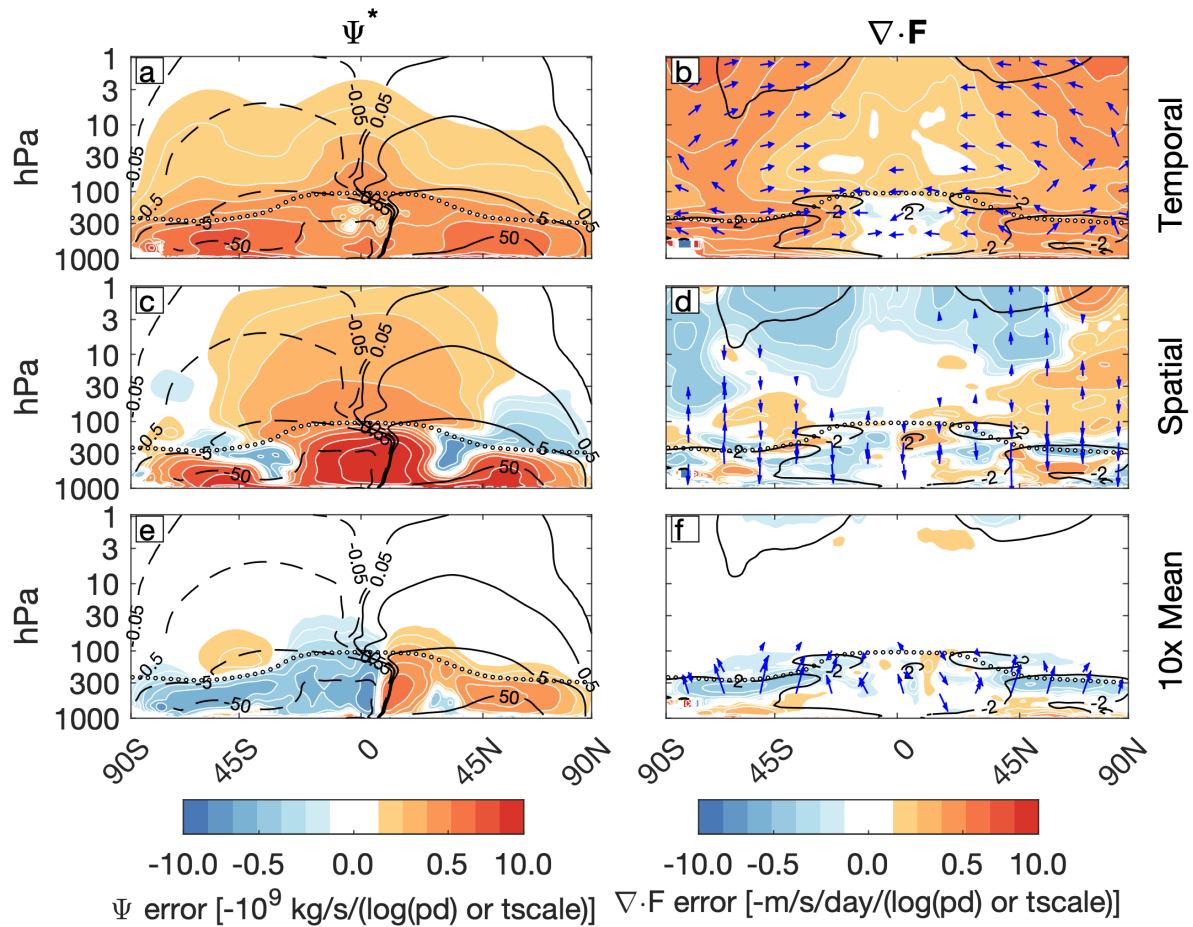
**Figure 9.** As in Fig. 67, but for the mean error, and the globally- and vertically-averaged absolute value of each field is shown in each panel.



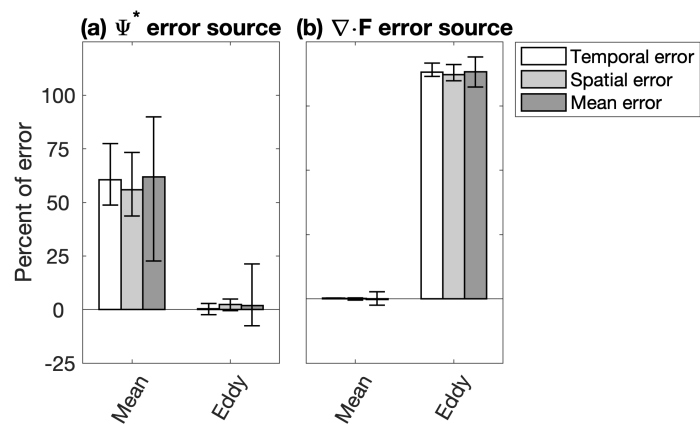
**Figure 10.** Tropical ozone (a) mean and (b) percent difference from the reference meteorology, and (c) residual vertical velocity for all nudging timescales at 24 meteorology updates per day. Average taken from 20S to 20N.



**Figure 11.** As in Fig. 10, but for carbon monoxide.

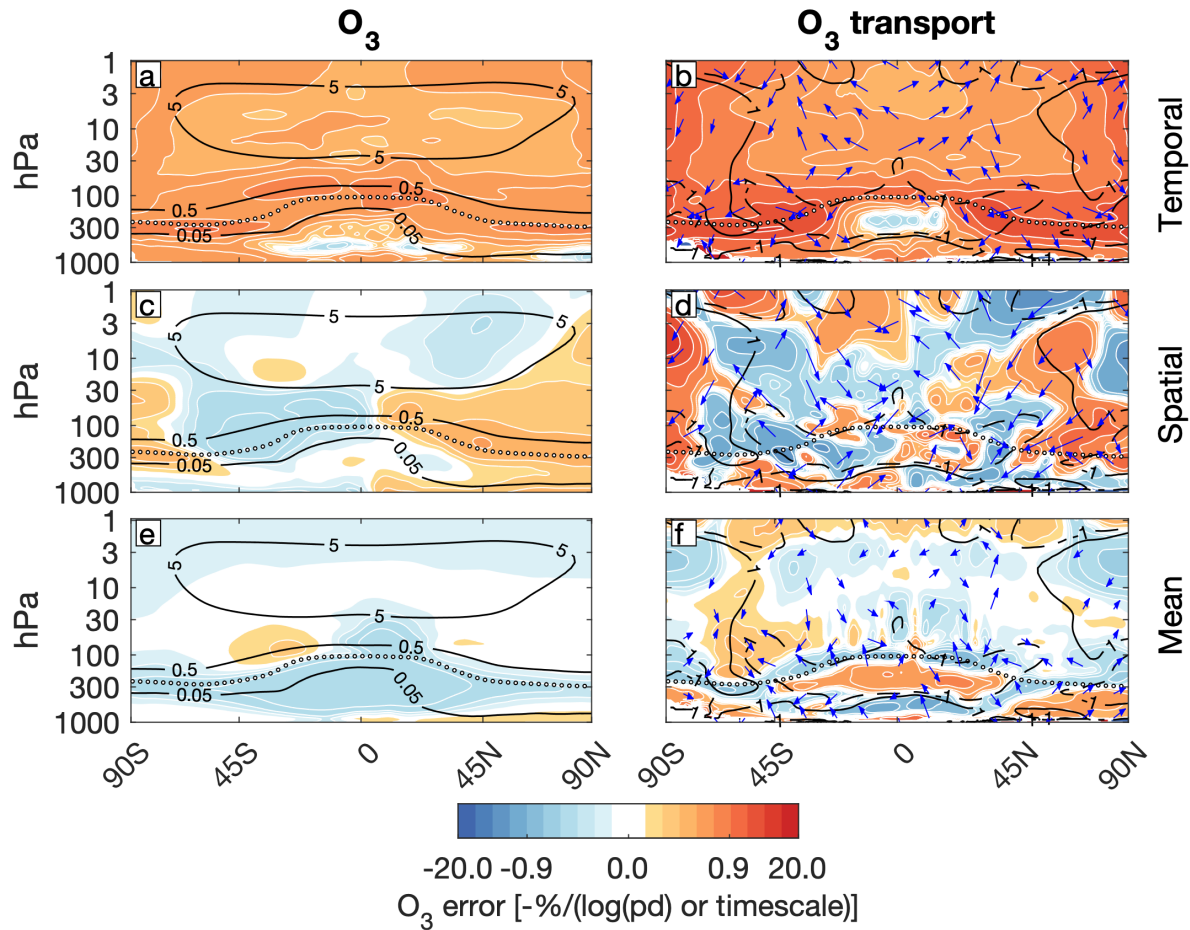


**Figure 12.** Negative of the projection of (top row) root-mean-square temporal error, (middle row) root-mean-square spatial error, and (bottom row) mean error in the (left column) TEM streamfunction and (right column) EP flux and divergence onto (top and middle rows) logarithm of meteorology frequency and (bottom row) nudging timescale. Projection in shading (logarithmic scale), climatology in contours, and EP flux in vectors, scaled as in Edmon et al. (1980). In (a), (c), and (e) the climatological TEM streamfunction is contoured 0.05, 0.5, 5, and  $50 \times 10^9$  kg/s, with positive values solid and negative values dashed. In (b), (d), and (f) the climatological EP flux divergence is contoured every 2 m/s/day, with negative values solid. The tropopause is shown by the black and white dotted contour.



**Figure 13.** Percent of the temporal, spatial, and mean error in the (a) TEM streamfunction and (b) EP flux divergence attributable to the zonal mean or eddy fields. Whiskers indicate the maximum and minimum across all nudging simulations.





**Figure 14.** Negative of the projection of (top row) root-mean-square temporal error, (middle row) root-mean-square spatial error, and (bottom row) mean error in (left column, shading) the ozone mole fraction and (right column, vectors) the combined TEM residual circulation and eddy ozone flux and (shading) convergence onto (top row) logarithm of meteorology frequency and (middle and bottom row) nudging timescale. Climatology in contours in (left column) parts-per-thousand and (right column) %/day, with negative values dashed. The tropopause is shown by the black and white dotted contour.

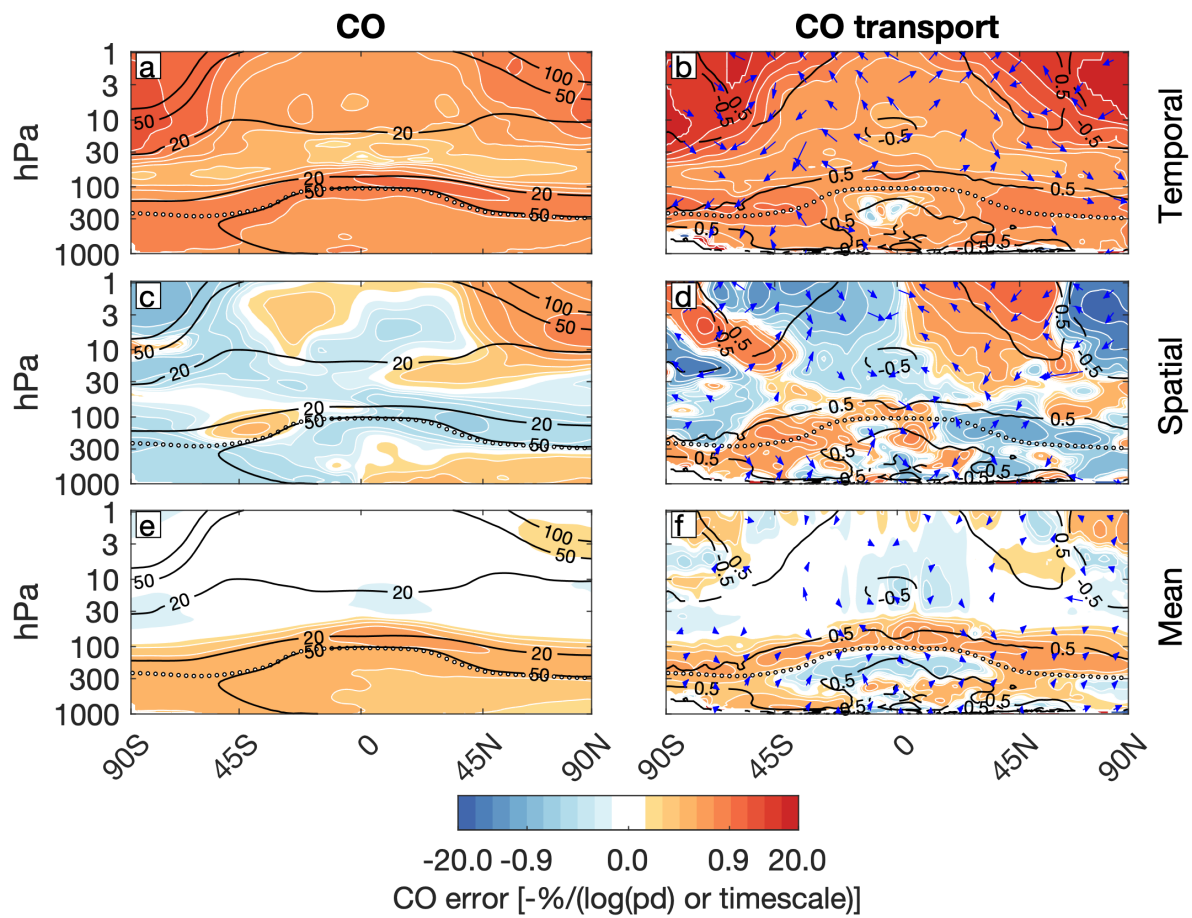
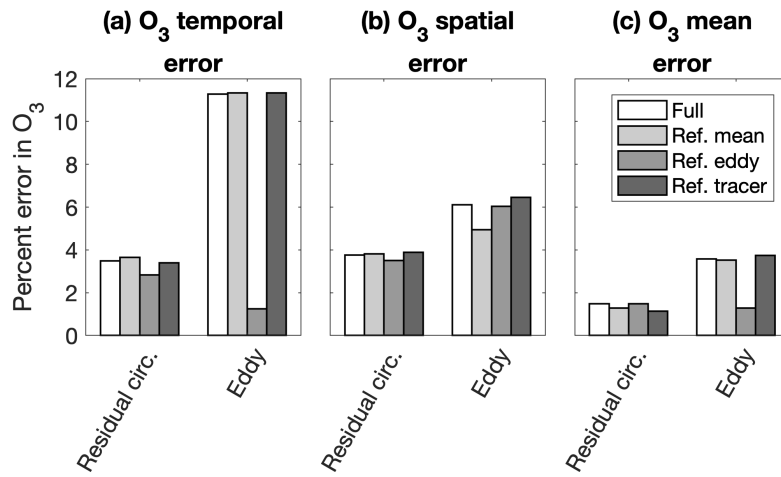
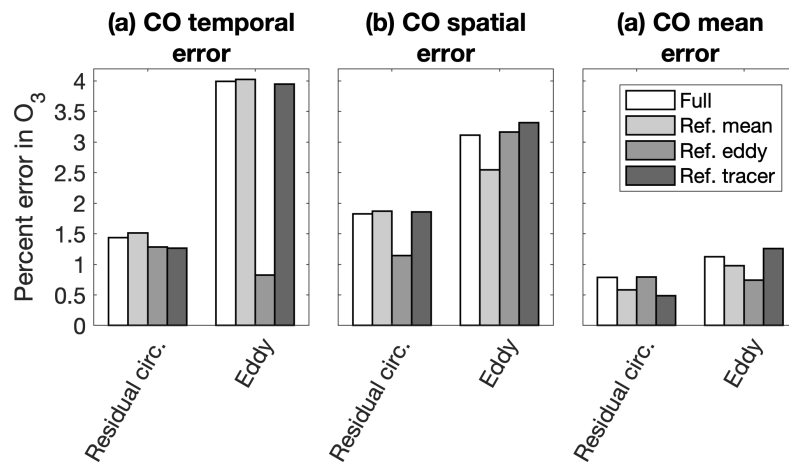


Figure 15. As in Fig. 14, but for carbon monoxide, and based on the projections in the right column of Fig. 16.

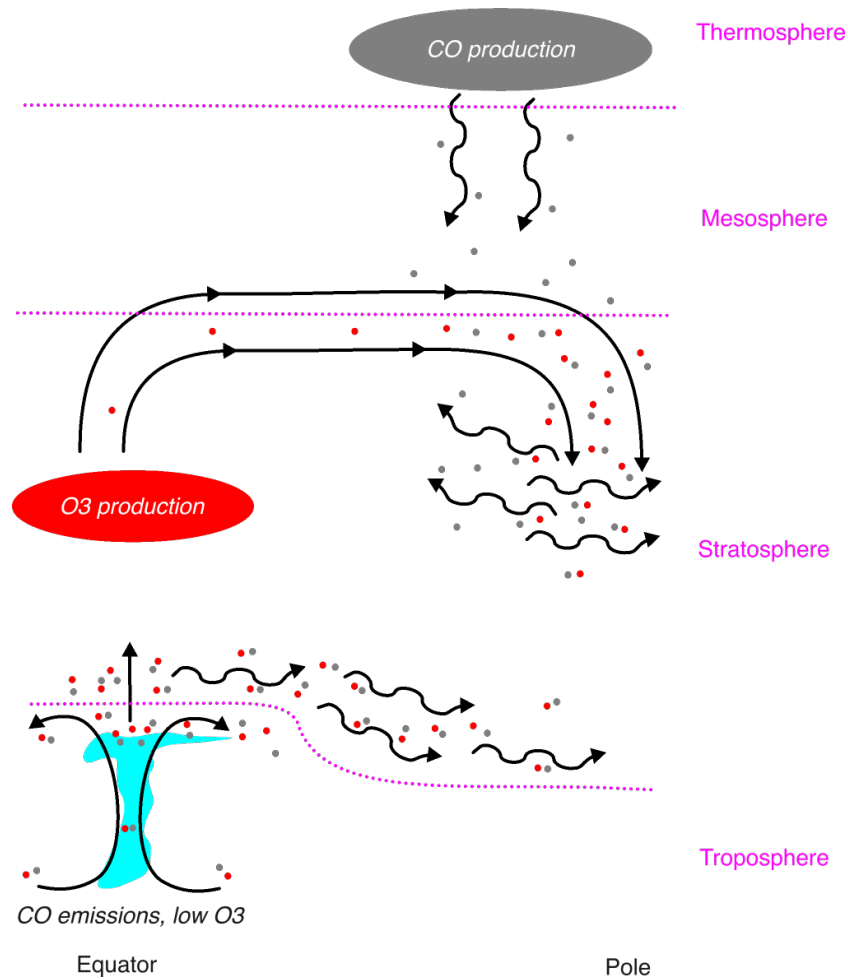


**Figure 16.** Global average (a) root-mean-square temporal error, (b) root-mean-square spatial error, and (c) sign-adaptive mean error in ozone attributable to the TEM residual circulation and TEM eddy flux convergences, based on the projections in the right column of Fig. 14

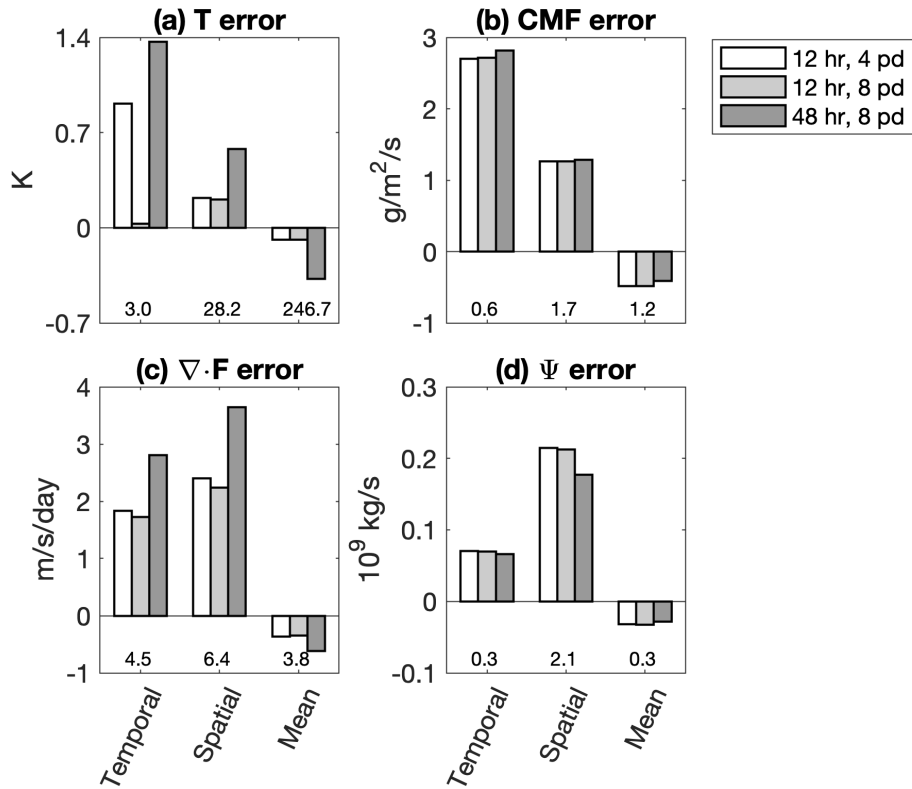
*As in Fig. 14, but for carbon monoxide.*



**Figure 17.** As in Fig. 15, but for carbon monoxide, and based on the projections in the right column of Fig. 16.



**Figure 18.** Schematic of transport errors produced by the specified dynamics scheme. The residual circulation is illustrated by the steady black arrows, while the eddies are illustrated by the squiggly black arrows. Convection is shown by the light blue cloud, while photochemical production regions are shown by the large bubbles for (red) ozone and (grey) carbon monoxide, with the concentration indicating the severity of the error. Errors are shown by the small dots for (red) ozone and (grey) carbon monoxide. Dotted lines delineate the tropopause and stratopause.



**Figure 19.** Global average temporal, spatial, and mean errors in (a) temperature, (b) convective mass flux, (c) Eliassen-Palm flux divergence, and (d) TEM residual streamfunction in specified dynamics simulations nudged to NASA GEOS5 meteorology, for three different nudging parameter configurations. Numbers under each set of bars indicates the global average temporal or spatial standard deviation or mean value for each field.