



Effects of ozone-vegetation interactions on meteorology and air quality in China using a two-way coupled land-atmosphere model

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15 **Abstract.** Tropospheric ozone (O₃) is one of the most important air pollutants in China and is projected to continue to increase in the near future. O₃ and vegetation closely interact with each other and such interactions may not only affect plant physiology (e.g., stomatal conductance and photosynthesis) but also influence the overlying meteorology and air quality through modifying leaf stomatal behaviors.

20 Previous studies have highlighted China as a hotspot in terms of O₃ pollution and O₃ damage to vegetation. Yet, few studies have investigated the effects of O₃-vegetation interactions on meteorology and air quality in China, especially in the light of recent severe O₃ pollution. In this study, a two-way coupled land-atmosphere model was applied to simulate O₃ damage to vegetation and the subsequent effects on meteorology and air quality in China. Our results reveal that O₃ causes up to 16% enhancement in

25 stomatal resistance, whereby large increases are found in Henan, Hebei and Shandong provinces. O₃ damage causes a more than 20% reduction in photosynthesis rate, and at least 5% and 20% decrease in leaf area index (LAI) and gross primary production (GPP), respectively, and hotspot areas appear in the northeastern and southern China. The associated reduction in transpiration causes a 5–30 W m⁻² decrease (increase) in latent heat (sensible heat) flux, which induces a 3% reduction in surface relative humidity,

30 0.2–0.8 K increase in surface air temperature, and 40–120 m increase in boundary layer height in China. We also found that the meteorological changes further induce a 2–6 ppb increase in O₃ concentration in northern and south-central China mainly due to enhanced isoprene emission following increased air temperature, demonstrating that O₃-vegetation interactions can lead to a strong positive feedback that can amplify O₃ pollution in China. Our findings emphasize the importance of considering the effects of

35 O₃ damage and O₃-vegetation interactions in air quality simulations, with ramifications for both air quality and forest management.

1. Introduction

40 Tropospheric ozone (O₃) is a secondary air pollutant, which is mainly formed from the photochemical oxidation of carbon monoxide (CO), methane (CH₄) and non-methane volatile organic compounds



(VOCs) by hydroxyl radicals (OH) in the presence of nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$). O_3 is known as the third most important greenhouse gas with an estimated radiative forcing of 0.41 W m^{-2} for the period of 1750–2010 (IPCC, 2013; Stevenson et al., 2013). As an air pollutant, O_3 is also shown to be harmful to not only human health but also vegetation and crop health (Anenberg et al., 2010; Cohen et al., 2017). Various field experiments and numerical modeling studies have already demonstrated that O_3 can not only reduce gross primary production (GPP) of natural vegetation as well as crop yields (Ainsworth et al., 2012; Lombardozzi et al., 2012; Tai et al., 2014; Feng et al., 2015; Yue et al., 2017; Li et al., 2018), but also decrease transpiration (Arnold et al., 2018), decrease runoff (Li et al., 2016) on larger scales and therefore affect the global carbon and water cycle (Lombardozzi et al., 2015).

Vegetation can in turn modulate O_3 concentration through influencing the sources and sinks of O_3 . Dry deposition of O_3 onto vegetation is a major sink for O_3 , mainly via stomatal uptake. Stomata are the pores on plant leaves; they control water exiting and carbon entering the leaf interior and hence influence the water and carbon exchange between the land and atmosphere. When vegetation is exposed to enhanced O_3 levels, cellular and tissue damage can result in a decrease in photosynthesis rate, thus altering CO_2 assimilation. Stomata conductance may decrease subsequently in response to O_3 exposure, thus reducing the dry-depositional sink of O_3 (Sadiq et al., 2017; Zhou et al., 2018), but some studies also suggest that O_3 exposure can cause stomata to respond more sluggishly to changing environmental conditions, such as drought, with complex overall effects on stomatal behaviors and dry deposition (e.g., Huntingford et al., 2018). Vegetation also affects the sources of O_3 ; the most abundant biogenic VOC (BVOC) species emitted by vegetation is isoprene (C_5H_8), which is a major precursor for O_3 formation in polluted, high- NO_x environments, but removes O_3 by ozonolysis or by sequestering NO_x in more pristine, low- NO_x regions (Hollaway et al., 2017). Isoprene production is known to be highly coupled with photosynthesis and by extension to stomatal conductance (Arneth et al., 2007). Moreover, transpiration, which is modulated by stomatal behaviors, significantly regulates surface meteorology including water vapor content and air temperature, which further influence the production and loss of O_3 . Therefore, through influencing plant ecophysiology (e.g., photosynthesis and stomata behaviors), O_3 -vegetation interactions can modulate boundary-layer meteorology, climate, and may further affect O_3 air quality via a series of feedback mechanisms. It is therefore essential to fully understand the O_3 -vegetation interactions and the following climatic and biospheric impacts especially in areas with high O_3 concentrations and vegetation density.

In many land surface and biospheric models, such as Noah-MultiParamaterization (Noah-MP) or Community Land Model (CLM), the Farquhar-Ball-Berry model (FBB, Farquhar et al., 1980; Ball et al., 1987) is commonly used in to simulate stomatal conductance and photosynthetic rate. In the FBB model, the calculation of stomata conductance is based on the calculation of photosynthesis, which makes them tightly coupled with each other. Therefore, in several land surface models that consider O_3 damage effect on vegetation, the photosynthetic rate is modified firstly and the stomatal conductance is modified subsequently, which means stomata conductance and photosynthesis will change collinearly under chronic O_3 exposure (Sitch et al., 2007; Yue and Unger, 2014). However, field experiments have shown that, under chronic O_3 exposure, stomata conductance decreases with a smaller magnitude than photosynthetic rate does, which makes the simulations of stomata conductance and photosynthetic rate as well as the following water and carbon cycles in the above models less accurate (Lombardozzi et al., 2012). Modifying stomata conductance and photosynthesis separately in land surface models is therefore



more reasonable. Lombardozzi et al (2012) modified the stomata conductance and photosynthetic rate separately based on the cumulative uptake of O_3 into leaves and has shown a better representation of plant responses to O_3 exposure. Efforts have been made to investigate the effects of O_3 exposure on land biosphere based on the above O_3 damage schemes. For example, based on an off-line process-based vegetation model, Yue and Unger (2014) found that O_3 damage decrease GPP by 4–8% on average in the eastern US and leads to significant decreases of 11–17% in east coast hot spots. Using the offline CLM model, Lombardozzi et al. (2015) estimated that the present O_3 exposure reduces GPP and transpiration globally by 8–12% and 2.0–2.4%, respectively.

Several modeling studies conducted so far have demonstrated the importance of considering the interactions and feedbacks between atmosphere and biosphere. By dynamically coupling O_3 and LAI but without considering the meteorological feedbacks of O_3 -vegetation interactions to O_3 , Zhou et al. (2018) found that O_3 -induced damage on LAI can lead to changes in O_3 concentrations by -1.8 to +3 ppb in boreal summer. By considering the interactions between atmospheric chemistry with biosphere in a two-way coupling model, Lei et al. (2020) quantified the damaging effects of O_3 on vegetation and found a global reduction of annual GPP by 1.5–3.6 %, with regional extremes of 10.9–14.1 % in the eastern US and eastern China. Based on the CESM model with fully interactive atmospheric chemistry, biogeochemical and biogeophysical cycles, Sadiq et al. (2017) estimated that surface O_3 is 4–6 ppb higher in Europe, North America and China in simulations with O_3 -vegetation coupling comparing the surface O_3 concentrations without O_3 -vegetation coupling. Based on modified WRF-Chem model, Li et al (2016, 2018) investigated the effect of O_3 exposure on hydroclimate and crop productivity in the US, and highlighted O_3 damage effects on meteorological fields and surface energy balance as well as the crop yields, but the feedbacks of changing meteorology onto surface O_3 were not investigated. Arnold et al (2018) examined the global climate response to O_3 exposure and found O_3 damage on vegetation can induce widespread surface warming and changes in clouds, which could be critical on regional scales. Although the interactions between O_3 and vegetation are critical to our environment, adequate representation of O_3 -vegetation interactions is still missing in most atmospheric models used for climate and atmospheric chemistry simulations, at least in part due to incomplete coupling capacities with land surface or biospheric model components at high resolutions, and in part due to limited observations to optimize O_3 damage schemes for wider regional applicability.

With the rapid urbanization and industrialization in the recent decades, China has experienced increasingly severe O_3 pollution, which is expected to continue to worsen in the near future. O_3 concentration in China has been observed to exceed ambient air quality standard by 100–200% (Wang et al., 2017) with the maximum 8-hour mean concentration of O_3 (MDA8 O_3) increasing by 4.6% per year from 2015 to 2017 (Silver et al., 2018). Lu et al. (2018) showed that urban surface O_3 in China during 2013–2017 was significantly higher than that in other regions around the world, and thus vegetation exposure to O_3 is also higher in China. Li et al. (2018) also revealed the increasing trend of O_3 in megacity clusters of China during 2013–2017, which is closely related with meteorology, anthropogenic emissions and $PM_{2.5}$ concentrations. Global-scale studies have highlighted China as a hotspot of O_3 pollution and damage to vegetation compared with other regions (Sadiq et al., 2017; Arnold et al., 2018; Lei et al., 2020). However, a comprehensive study of how O_3 affects meteorology and air quality through O_3 -vegetation interactions in China at high spatial resolutions, especially under the severe O_3 pollution during 2014–2017, is still limited but highly warranted.



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This study, therefore, first adopted and implemented a semi-mechanistic O₃ damage scheme in a widely used regional atmosphere-land modeling framework and hence used it to simulate and assess the impacts of O₃-vegetation interactions on boundary-layer meteorology and air quality in China at a high spatial resolution. Specifically, O₃-induced damage to vegetation, changes in meteorology in China due to O₃-vegetation coupling, and the subsequent feedback effects onto O₃ concentration itself are examined, which is crucial to fully understand the O₃-vegetation interactions and the following impacts on climate, biosphere, and air quality in areas with both high O₃ concentrations and high vegetation coverage.

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2. Methods

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2.1 WRF-Chem Model Setup

The Weather Research and Forecasting (WRF) model is a state-of-the-art mesoscale nonhydrostatic meteorological model. An atmospheric chemistry module that includes various gas-phase chemistry and aerosol mechanisms has been implemented into and fully coupled with WRF to create the WRF-Chem model (Grell et al., 2005; Fast et al., 2006). In WRF-Chem, both the air quality and meteorological components use the same transport scheme, model grid, subgrid-scale transport physics and time step. WRF-Chem has been widely used in previous air quality studies (e.g., Li et al., 2016; Li et al., 2018; Liu et al., 2018; Liu et al., 2020). In this study, we applied our revised WRF-Chem model based on version 3.8.1 to simulate meteorological fields and O₃ concentration over China. For the land surface component within WRF, we used Noah-MP, which will be described in the next subsection.

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The model domain was configured at a horizontal resolution of 27 km on the Lambert Conformal projection, centered at 37°N, 108.1°E and covering the whole China. The model has 26 vertical layers, with the lowest layer at 0.17 km and the highest layer at 17.67 km. The meteorological initial and boundary conditions are provided by the 6-hourly Final Operational Global Analysis (FNL) dataset at a horizontal resolution of 1°×1°. The chemical initial and boundary conditions were generated from the Model for Ozone and Related Chemical Tracer version 4 (MOZART-4; Emmons et al., 2010) that are available at a horizontal resolution of 1.9°×2.5° with 56 layers and updated for every 6 hours.

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Anthropogenic emissions were from the Multi-resolution Emission Inventory for China (MEIC) compiled at a spatial resolution of 27 km and an hourly temporal resolution that were suitable for our research domain. Biogenic emissions were calculated online by the Model of Emissions of Gases and Aerosol from Nature (MEGAN) (Guenther et al., 2006). Biomass burning emissions were extracted from the Fire Inventory from NCAR (FINN) version 1.5 datasets (Wiedinmyer et al., 2010). Dust emissions were generated online by the Goddard Global Ozone Chemistry Aerosol Radiation and Transport model (GOCART; Ginoux et al., 2001). Gas-phase chemistry was simulated with second generation Regional Acid Deposition Model (RADM2; Stockwell et al., 1990) mechanism, and the Modal Aerosol Dynamics Model for Europe (MADE; Ackermann et al., 1998), which is coupled with Secondary Organic Aerosol Model (SORGAM; Schell et al., 2001) for aerosol treatment. Detailed physics schemes used in the simulations are shown in Table S1.

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2.2 Description of Noah-MP model

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Noah-MP is a land surface model that uses multiple options for key land-atmosphere interaction processes (Niu et al., 2011). Noah-MP contains a separate vegetation canopy defined by a canopy top and bottom, crown radius, and leaves with prescribed dimensions, orientation, density, and radiometric properties. The canopy employs a two-stream radiation transfer approach along with shading effects necessary to achieve proper surface energy and water transfer processes (Dickinson, 1983). Noah-MP is capable of distinguishing between C₃ and C₄ photosynthesis pathways and defines vegetation-specific parameters for plant photosynthesis and respiration.

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Noah-MP is available for prognostic vegetation growth that combines a Ball-Berry photosynthesis-based stomatal resistance (Farquhar et al., 1980; Ball et al., 1987) that allocates carbon to various parts of vegetation (leaf, stem, wood and root) and soil carbon pools (fast and slow). GPP, leaf area index (LAI) and canopy height are then predicted downstream from photosynthesis. The dynamic LAI and canopy height calculation will further affect surface energy fluxes, which will then affect the boundary-layer meteorology when coupling with the atmosphere model in WRF-Chem.

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In this study, the O₃ concentration simulated by the chemical module of the WRF-Chem model was also dynamically passed onto the Noah-MP land surface model at every time step to modify the photosynthesis and stomatal conductance due to O₃ damage. The land surface variables simulated by Noah-MP were also dynamically passed back onto the atmospheric components, thus allowing immediate, two-way feedback effects onto meteorological fields, O₃ and other atmospheric chemical constituents. In this way, land surface processes, atmospheric dynamics, and atmospheric chemistry in the WRF-Chem model were fully coupled.

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2.3 O₃ damage parameterization

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In Noah-MP, the Farquhar model (Farquhar et al., 1980) was used to calculate photosynthetic rate, whereas Ball-Berry model was used to calculate stomatal conductance (Ball et al., 1987). The photosynthesis rate, A ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), is calculated separately for sunlit and shaded leaves and is limited by either one of three limiting factors and can be calculated as

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$$A = \min(W_c, W_j, W_e) I_{gs} \quad (1)$$

where W_c is the Rubisco-limited photosynthesis rate, W_j is the light-limited photosynthesis rate, and W_e is the export-limited photosynthesis rate. I_{gs} is the growing season index with values ranging from 0 to 1.

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Stomatal conductance (g_s) is computed based on the photosynthesis rate from the Farquhar model as

$$g_s = \frac{1}{r_s} = m \frac{A}{c_s e_i} P_{atm} + b \quad (2)$$

where g_s is the leaf stomatal conductance ($\mu\text{mol m}^{-2} \text{ s}^{-1}$); r_s is the leaf stomatal resistance ($\text{s m}^2 \mu\text{mol}^{-1}$); m is an empirical parameter that relates stomatal conductance and photosynthesis with values ranging from 5 to 9; A is the photosynthesis rate as described above; c_s is the CO₂ partial pressure at the leaf

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surface (Pa); e_s is the vapor pressure at the leaf surface (Pa); e_i is the saturation vapor pressure inside the leaf (Pa); P_{atm} is the atmospheric pressure (Pa); and b is the minimum stomatal conductance.

As mentioned above, following Lombardozzi et al. (2015), an O_3 damage scheme was implemented in Noah-MP embedded in WRF-Chem model version 3.8.1. The photosynthesis rate and stomatal conductance are modified independently using two sets of O_3 impact factors, F_{pO_3} and F_{cO_3} , respectively, which are then multiplied to the initial A and g_s calculated by the Farquhar-Ball-Berry model, respectively. Lombardozzi et al. (2012) found that independently modifying stomatal conductance and photosynthesis can improve the model prediction of plant response to O_3 damage. The two damage factors are calculated based on the cumulative uptake of O_3 (CUO), which integrates the O_3 flux inside leaves through the stomata throughout the growing season. The CUO (mmol m^{-2}) is calculated as

$$\text{CUO} = 10^{-6} \sum \frac{[O_3]}{k_{O_3} r_s + r_a + r_b} \Delta t \quad (3)$$

Where $[O_3]$ is the surface O_3 concentration (nmol m^{-3}); $k_{O_3} = 1.61$ is the ratio of leaf resistance to O_3 to leaf resistance to water (Uddling et al., 2012); r_s is the stomatal resistance, r_a is the aerodynamic resistance and r_b is the boundary-layer resistance (s m^{-1}); Δt is the model time step (s). CUO is only accumulated when LAI is larger than 0.4 and O_3 flux is larger than a threshold value of $0.8 \text{ nmol } O_3 \text{ m}^{-2} \text{ s}^{-1}$ to consider the detoxification effect of plants to O_3 damage.

The two damage factors have linear relationships with CUO and can be calculated as follows:

$$F_{pO_3} = a_p \times \text{CUO} + b_p \quad (4)$$

$$F_{cO_3} = a_c \times \text{CUO} + b_c \quad (5)$$

where F_{pO_3} is the O_3 damage factor for photosynthesis and F_{cO_3} is the O_3 damage factor for stomatal conductance; a_p , b_p , a_c , and b_c are empirical slopes and intercepts of three different plant groups (broadleaf trees, needleleaf trees, and grasses or crops) from Lombardozzi et al. (2015). The values of these slopes and intercepts are shown in Table 1. The original photosynthesis and stomatal conductance are then multiplied with the two damage factors, respectively to get the modified photosynthesis and stomatal conductance under O_3 exposure.

Table 1. Slopes (per mmol m^{-2}) and intercepts (unitless) used for O_3 damage factors in Eqs. (4) and (5), following Lombardozzi et al. (2015).

	Photosynthesis		Conductance	
	Slope (a_p)	Intercept (b_p)	Slope (a_c)	Intercept (b_c)
Broadleaf	0.0000	0.8752	0.0000	0.9125
Needleleaf	0.0000	0.8390	0.0048	0.7823
Grasses and crops	-0.0009	0.8021	0.0000	0.7511



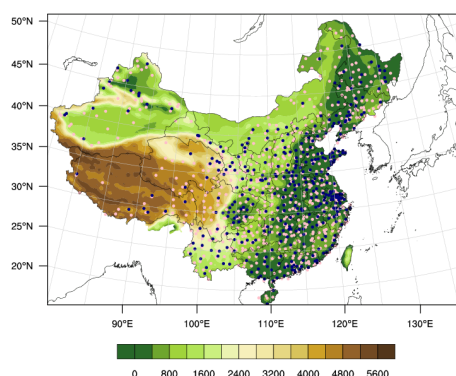
2.3 Model Experiments and Evaluation

Two sets of experiments were conducted in this study. We performed a control simulation (simu_withoutO₃) without O₃ damage on vegetation and a production simulation (simu_withO₃) with O₃ damage on vegetation. Detailed information of the experiments is shown in Table 2. In the simu_withO₃ experiment, the O₃ concentration simulated by the chemical module of the model is dynamically passed onto the land surface model at every time step to modify the photosynthesis and stomatal conductance. The differences between the two sets of experiments including vegetation physiology, meteorological fields and O₃ concentration can thus be attributed to O₃-vegetation interactions. In this work, each simulation was conducted from 24 May to 1 September every year from 2014 to 2017 and the days in May were discarded as spin-up. The 4-year June-July-August (JJA) averaged results were analyzed and compared. These years were selected based on the high O₃ concentrations that were pointed out in previous studies (Li et al., 2018; Lu et al., 2018; Silver et al., 2018). JJA was selected because of the most severe O₃ pollution in this season and because it is the active growing season of plants.

Table 2. Description of the two sets of model experiments.

Experiment name	Year	Anthropogenic Emission	Meteorological ICs and BCs
simu_withoutO ₃	2014–2017 JJA	Year 2014	FNL
simu_withO ₃	2014–2017 JJA	Year 2014	FNL

The simulated meteorological variables and air pollutant concentrations were evaluated using available in-situ observations in China. The daily meteorological observations including temperature at 2 meter (T_{2m}), relative humidity at 2 meter (RH_{2m}), and wind speed at 10 meter (WS_{10m}) above displacement height were from the National Meteorological Information Center. There are 698 stations in the study domain. The air pollutant observations were provided by the China National Environmental Monitoring Center (CNEMC) network, which offers hourly concentrations of particulate matter with an aerodynamic diameter of less than 2.5 μm (PM_{2.5}) and 10 μm (PM₁₀), carbon monoxide (CO), O₃, sulfur dioxide (SO₂) and nitrogen dioxide (NO₂). The locations of meteorological stations and the sites of CNEMC network are shown in Figure 1. The statistical parameters including mean values (Mean) of observations and simulated variables, their standard deviations (SD), indices of agreement (IOA), mean biases (MB), and correlation coefficients (CORR) were computed to evaluate the model performance in this study.





285 **Figure 1.** Site locations of air quality monitoring sites (blue dots) and the meteorological monitoring
 sites (pink dots) with the underlying is the terrain height (m).

3. Results

290 3.1 Model evaluation

Table 3 shows the city-averaged evaluation results of meteorological variables. The information of the
 major cities used for evaluation is shown in Table S2. From Table 3, we can find that T_{2m} is
 underestimated with MB values ranging from $-1.00\text{ }^{\circ}\text{C}$ in year 2017 to $-0.70\text{ }^{\circ}\text{C}$ in year 2014. The IOA
 295 and CORR are generally higher than 0.8, indicating that the model could reasonably simulate the
 variations of T_{2m} . Unlike temperature, relative humidity is overestimated by the model simulations with
 MB values ranging from 5.94 in year 2014 to 9.32 in year 2016, but the CORR values with observations
 are still high ($\text{CORR} > 0.7$). Wind speed is also overestimated by more than 0.38 m s^{-1} , which might be
 caused by the underestimation of terrain height as reported in other WRF modeling studies (Brunner et
 300 al., 2015; Liu et al., 2020). The detailed evaluation results for each city are shown in Table S3-S5. As
 shown in these tables, the model can reasonably capture the spatial distribution of these meteorological
 variables. For example, the larger values of T_{2m} and RH_{2m} in cities from southern China comparing with
 the cities in northern China (Table S3 and S4). We also found that the model simulations have better
 performance in northern China than in southern China in terms of IOA and CORR as shown in these
 305 tables.

Table 3. Evaluation results for the temperature at 2 meter (T_{2m}), relative humidity at 2 meter (RH_{2m}) and
 wind speed at 10 meter (WS_{10m}) in China. Mean_obs (Mean_simu) is the mean value of observation
 (model simulation); SD_obs (SD_simu) is the standard deviation of the observation (model simulation);
 310 IOA is the index of agreement; CORR is the correlation coefficient; MB is the mean bias.

	Year	Mean_obs	SD_obs	Mean_simu	SD_simu	IOA	CORR	MB
T_{2m} ($^{\circ}\text{C}$)	2014	25.41	2.61	24.71	2.27	0.86	0.87	-0.70
	2015	25.41	2.56	24.67	2.24	0.86	0.89	-0.74
	2016	26.35	2.82	25.44	2.61	0.85	0.85	-0.91
	2017	26.29	3.17	25.28	3.16	0.81	0.78	-1.00
RH_{2m} (%)	2014	74.77	10.22	80.71	8.44	0.67	0.71	5.94
	2015	73.34	10.75	82.16	8.16	0.65	0.74	8.82
	2016	74.14	10.81	83.46	9.20	0.67	0.73	9.32
	2017	73.24	11.65	81.56	9.18	0.67	0.69	8.32
WS_{10m} (m s^{-1})	2014	1.84	0.66	2.22	1.16	0.54	0.40	0.38
	2015	2.00	0.74	2.48	1.35	0.55	0.44	0.48
	2016	1.99	0.70	2.47	1.32	0.54	0.45	0.48
	2017	2.02	0.72	2.51	1.42	0.53	0.45	0.50



Table 4 shows the city-averaged evaluation results of six air pollutants. The information of the major cities used for air pollutant evaluation is shown in Table S6. From Table 4, positive MB values for O₃, PM_{2.5}, SO₂, NO₂, and negative MB values for CO are found. The overestimations of O₃ by WRF-Chem are also reported by Hu et al. (2016) and Gao et al (2020). For PM₁₀, both positive and negative MB values are found for different years. The results indicate general overestimation by the model of most air pollutants except for CO. The IOA of air pollutant concentration ranges from 0.36 (SO₂) to 0.63 (O₃). The correlation coefficient of air pollutants ranges from 0.14 (PM₁₀) to 0.66 (O₃). Detailed evaluation results for each city are shown in Table S7-S12. Our results are generally consistent with the evaluation results of CMAQ simulation over China by Liu et al. (2020). MBs of SO₂, NO₂ and CO are consistent in both magnitude and sign with Liu et al. (2020), while the MBs of PM and O₃ are larger than Liu et al. (2020). Correlation coefficients of air pollutants are also at similar magnitude with Liu et al. (2020), showing that our model results can well capture the temporal variations of air pollutants. Overall, there are systematic biases in simulated variables especially the air pollutant concentrations, but the spatial distribution of both meteorological variables and air pollutant concentrations are reasonably simulated by the model, lending credence to the use of the model for sensitivity studies to examine the effects of O₃-vegetation interactions on the atmospheric environment.

Table 4. Evaluation results for the air pollutants in China. Mean_obs (Mean_simu) is the mean value of observation (model simulation); SD_obs (SD_simu) is the standard deviation of the observation (model simulation); IOA is the index of agreement; CORR is the correlation coefficient; MB is the mean bias.

	Year	Mean_obs	SD_obs	Mean_simu	SD_simu	IOA	CORR	MB
O₃ (ppb)	2014	29.79	9.95	51.49	18.60	0.48	0.57	22.13
	2015	32.04	10.16	48.98	18.27	0.54	0.55	16.95
	2016	33.28	10.59	48.47	18.18	0.56	0.58	15.14
	2017	35.74	11.71	49.50	19.61	0.63	0.66	13.82
PM_{2.5} (μg m⁻³)	2014	46.30	21.52	63.28	27.15	0.52	0.33	18.61
	2015	38.52	17.30	55.56	24.85	0.55	0.42	16.66
	2016	31.86	13.96	56.70	25.69	0.47	0.40	24.54
	2017	28.82	12.23	56.34	25.70	0.40	0.30	27.65
PM₁₀ (μg m⁻³)	2014	80.79	31.62	71.74	28.65	0.47	0.22	-7.51
	2015	72.03	29.74	63.83	26.29	0.50	0.26	-8.93
	2016	59.68	22.21	65.01	27.29	0.49	0.24	4.65
	2017	57.83	22.18	64.78	27.25	0.41	0.14	6.95
SO₂ (ppb)	2014	6.11	2.36	8.41	3.22	0.48	0.41	2.36
	2015	4.78	1.89	8.39	3.26	0.44	0.45	3.64
	2016	4.17	1.57	8.08	3.16	0.41	0.36	3.92
	2017	3.83	1.33	8.58	3.52	0.36	0.42	4.78
NO₂ (ppb)	2014	17.20	4.51	17.23	4.63	0.41	0.26	0.06
	2015	16.01	4.47	17.37	4.98	0.43	0.31	1.43
	2016	15.29	4.29	17.35	5.11	0.43	0.31	2.06
	2017	15.83	4.37	17.84	5.12	0.43	0.32	2.02
CO (ppm)	2014	0.76	0.19	0.44	0.11	0.48	0.42	-0.32
	2015	0.67	0.15	0.45	0.11	0.49	0.42	-0.22



2016	0.65	0.14	0.45	0.11	0.50	0.45	-0.2
2017	0.64	0.12	0.46	0.11	0.47	0.38	-0.18

3.2 Responses of vegetation to O₃ damage

O₃ can adversely affect photosynthesis rate and stomatal conductance and therefore interfere with vegetation growth, productivity and transpiration. To understand the O₃-induced damage on vegetation physiology, the spatial distribution and changes in stomatal resistance (RS), photosynthesis rate (PSN), LAI, GPP, and transpiration rate (TR) during 2014–2017 summer (June–July–August) were analyzed.

Figure 2a and 2d display the spatial distribution of sunlit stomatal resistance (RSSUN) and shaded stomatal resistance (RSSHA) from the `simu_withoutO3` experiment, respectively. The absolute and relative changes in RSSUN (RSSHA) between `simu_withO3` and `simu_withoutO3` experiments are shown in the middle and the right panel of Figure 2, separately. In general, simulated stomatal resistance in eastern China is larger than that in western China. Both RSSUN and the RSSHA are enhanced in response to O₃ damage to vegetation. The maximum increases in RSSUN and RSSHA can be up to $1.0 \times 10^3 \text{ s m}^{-1}$, which is equivalent to a ~16% increase compared to the `simu_withoutO3` simulation. Comparing the changes in RSSUN vs. RSSHA, the changes in RSSHA are larger than that in RSSUN, reflecting the larger sensitivity of shaded leaves to O₃ damage. Northern China experiences larger changes in stomatal resistance generally, especially in Henan, Hebei, and Shandong provinces, where the changes in stomatal resistance are twice as much as the changes in stomatal resistance over other regions.

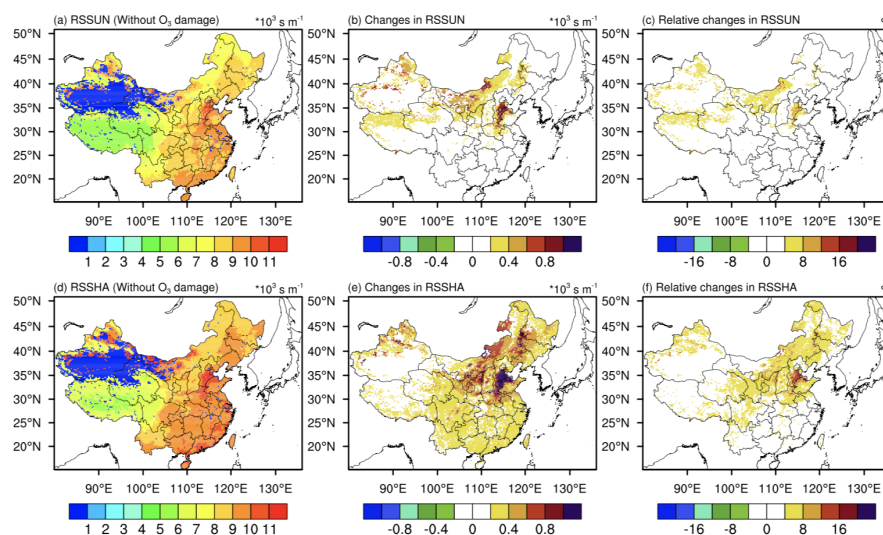


Figure 2. Spatial distribution of mean stomatal resistance in JJA of 2014–2017 for (a) sunlit leaves (RSSUN) and (d) shaded leaves (RSSHA) from the `simu_withoutO3` experiment. Absolute changes in (b) RSSUN and (e) RSSHA caused by O₃ damage. Relative changes in (c) RSSUN and (f) RSSHA caused by O₃ damage. Absolute changes are the RSSUN (RSSHA) from `simu_withO3` minus RSSUN (RSSHA) from `simu_withoutO3`. Relative changes are calculated by absolute changes over the RSSUN (RSSHA) from `simu_withoutO3`.



365 The spatial distribution of 2014–2017 JJA mean PSN, LAI and GPP from the simu_withoutO₃ simulations and their changes induced by O₃ damage are presented in Figure 3. From Figure 3a, we find that the PSN values are generally higher in eastern China compared with western China with the largest values of up to $\sim 7 \mu\text{mol CO}_2^{-1} \text{ m}^{-2} \text{ s}^{-1}$. Similar spatial distribution and hotspot areas can also be observed for LAI (Figure 3d) and GPP (Figure 3g), with LAI and GPP values in hotspot areas up to 3.6 and 10 g C m⁻² day⁻¹, respectively. We also find that Henan, Hebei, Shanxi and Shandong provinces have smaller values in PSN, LAI and GPP when compared with other provinces in eastern China.

375 With O₃ damage, PSN decreases in general, with absolute changes in PSN ranging from 0.6 to 3.6 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ (Figure 3b), representing 20–40% reductions in PSN. For northeastern and southern China, where the original PSN values are large, $\sim 20\%$ reductions in PSN are found (Figure 3c). While for regions where original PSN values are small, more than 40% of PSN is reduced due to O₃ damage (Figure 3c). In response to the PSN reductions, LAI and GPP also decrease. More than 0.4 reductions in LAI are found in central and northern China (Figure 3e), corresponding to more than 20% reductions in LAI; in other regions, 5–15% reductions in LAI are observed. More than 0.8 g C m⁻² day⁻¹ reductions in GPP are found generally in China. Similar to Figure 3c, we find that GPP decreases by $\sim 20\%$ in northeastern and southern China and decreases by more than 40% in other regions (Figure 3i). Based on offline models without considering atmosphere-biosphere coupling, O₃ damage was found to decrease GPP at most by 11–17% in the East Coast hotspots of the US (Yue and Unger, 2014). Using the offline CLM model, Lombardozzi et al. (2015) estimated that the present O₃ exposure reduces GPP globally by 8–12%. Based on RegCM-CHEM4 regional climate model coupled with YIBs terrestrial biosphere model, Xie et al. 385 (2019) revealed that O₃ damage induces a significant reduction ($12.1 \pm 4.4\%$) in the GPP, up to 35% in summer over China (Table S13). Comparing our results with previous studies, our results are broadly consistent with Xie et al. (2019) but the magnitude is larger than the studies conducted by Yue and Unger (2014) and Lombardozzi et al. (2015). Differences or uncertainties may arise from the different model settings. It appears that offline models as used by Yue and Unger (2014) and Lombardozzi et al. (2015) 390 generally found smaller damage than studies with two-way coupling between the atmosphere and biosphere as used by Xie et al. (2019) and our work; this could be due to the existence of positive biosphere-atmosphere feedbacks that potentially worsen O₃ damage, as will be discussed in subsequent sections. Different O₃ damage schemes employed in the models may also be a source of differences, although we note that both this work and Lombardozzi et al. (2015) used the same scheme, so the differences appear to arise more likely from the effect of coupling and other model settings than from the schemes alone.

400 The spatial distribution of dominant vegetation types in China are shown in Figure 4, where we can see that the croplands dominant in eastern China and especially in southern China suffer the greatest GPP reductions, indicating that crop yields in China would also be heavily affected by O₃ damage.

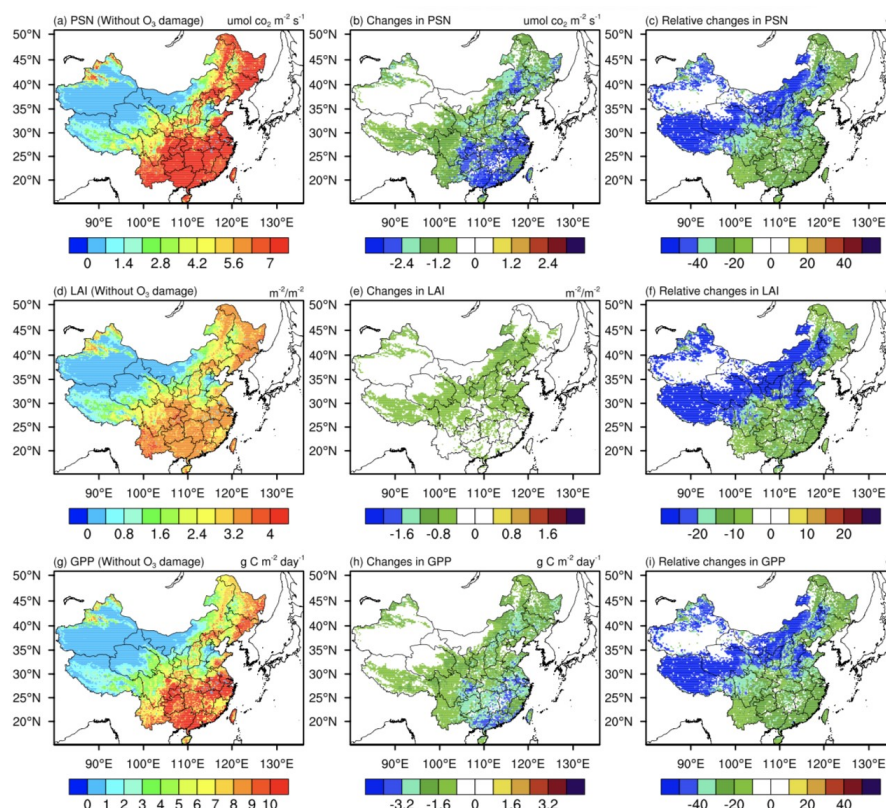


Figure 3. Spatial distribution of 2014–2017 JJA mean **(a)** photosynthesis rate (PSN), **(d)** leaf area index (LAI), and **(g)** gross primary productivity (GPP) from the simu_withoutO₃ experiment; absolute changes in **(b)** PSN, **(e)** LAI and **(h)** GPP caused by O₃ damage; and relative changes in **(c)** PSN, **(f)** LAI and **(i)** GPP caused by O₃ damage. Absolute changes are the results from simu_withO₃ minus results from simu_withoutO₃. Relative changes are calculated from the absolute changes over the results from simu_withoutO₃.

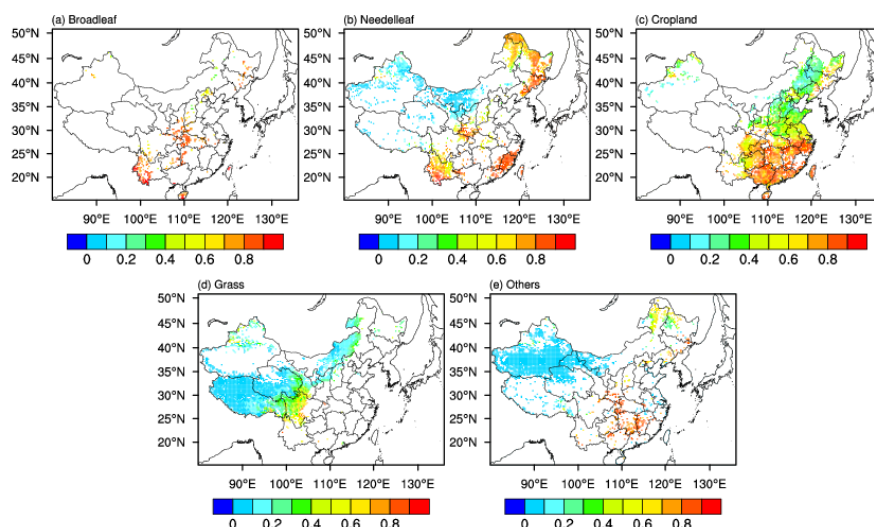


Figure 4. The vegetation fraction of the dominant vegetation types in China: (a) broadleaf, (b) needleleaf, (c) cropland, (d) grass, and (e) others (other vegetated types and non-vegetated areas).

Figure 5 depicts the spatial distribution of transpiration rate (TR) of vegetation and the changes in transpiration rate induced by O_3 damage. TR values are higher in eastern China where there is larger vegetation coverage (Figure 5a). As shown in Figure 5b, TR decreases by $0.2\text{--}1.0\text{ mm day}^{-1}$ generally in eastern China with large reductions in northern China, especially in Henan, Shandong, Anhui and Jiangsu provinces. In terms of relative changes, TR decreases by $\sim 12\%$ in northeastern and southern China, while more than 24% reductions are found in other regions. Transpiration is affected by the changes in both RS and LAI. With O_3 damage, both the increases in RS (Figure 2c and Figure 2f) and decreases in LAI (Figure 3f) cause TR to decrease, as shown in Figure 5b and 5c. Comparing the changes in RS (Figure 2c and Figure 2f), LAI (Figure 3f) and TR (Figure 5c), we can find that the distribution of changes in TR is more consistent with that of RS, reflecting the dominance of RS in controlling TR.

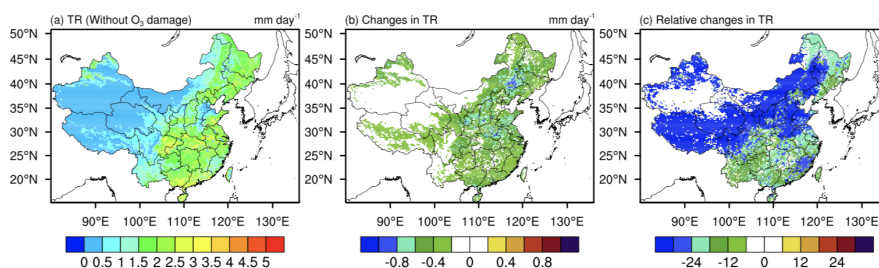


Figure 5. Spatial distribution of 2014–2017 JJA mean (a) transpiration rate (TR), and (b) absolute changes and (c) relative changes in TR caused by O_3 damage.



3.3 Changes in meteorology due to O₃-vegetation coupling

Through interacting with vegetation, O₃ has the potential to further affect the meteorological environment in China via modifying, e.g., surface heat fluxes, temperature, humidity, and boundary layer height. The distribution of meteorological variables from simulations with and without O₃ damage is thus compared and analyzed in this section.

Figure 6 shows the spatial distribution of latent heat (LH) flux and sensible heat (SH) flux, and the changes in LH and SH due to O₃-vegetation coupling. With O₃ included in the model simulations, the LH flux decreases by more than 4 W m⁻² (Figure 6b) on average following the decreases in transpiration rate. Hotspot areas are found in Henan, Shandong, Anhui and Jiangsu provinces, where reductions in LH can be up to 30 W m⁻². Meanwhile, 5–30 W m⁻² increases in SH flux are observed in central and northern China (Figure 6d). With O₃-vegetation coupling, more than 20% reductions in LH flux are found in central and northern China (Figure 6c), 20% increment in SH flux are found in similar regions (Figure 6f), indicating that O₃ damage shifts the energy balance toward more net radiation being dissipated by SH flux than LH flux, with ramifications for surface temperature.

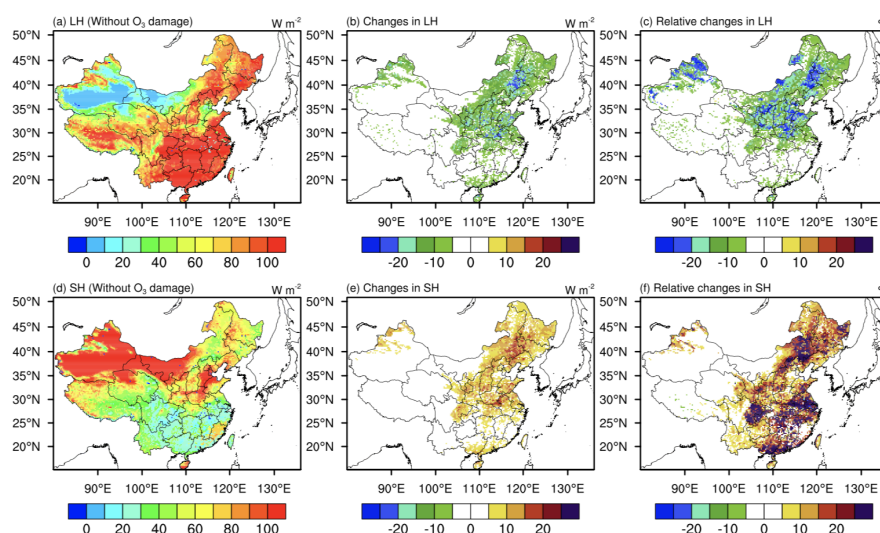


Figure 6. Spatial distribution of mean (a) latent heat flux (LH) and (d) sensitive heat flux (SH) from the simu_withoutO₃ experiment; absolute changes in (b) LH flux and (e) SH flux in JJA of 2014–2017 caused by O₃ damage; and relative changes in (c) LH flux and (f) SH flux caused by O₃ damage. Absolute changes are the LH (SH) flux from simu_withO₃ minus LH (SH) flux simu_withoutO₃. Relative changes are calculated by absolute changes over LH (SH) flux from simu_withoutO₃.

Figure 7 shows the distribution and the changes in surface relative humidity, temperature and planetary boundary layer height (PBLH) in response to O₃ damage. Reductions in transpiration rate can directly cause reductions in relative humidity. As shown in Figure 7b, relative humidity has at least 3% absolute reductions. Values of relative humidity decrease more in northern China than in southern China. Similar to the changes in TR (Figure 5b), larger reductions in relative humidity (3–9%) are found over Henan,



Hebei, Shandong, Anhui provinces. The decreases in LH flux and increases in SH flux following the changes in transpiration rate drive the increases in temperature and contribute to PBLH growth. As presented in Figure 7e and Figure 7h, the distribution and hotspot areas of the changes in temperature and PBLH are similar to those in relative humidity. Generally, northern China has larger increases of temperature and PBLH compared with other regions. Generally, temperature increases by 0.2–0.8 K and PBLH increases by 40–120 m for northern China. The hotspot areas experience at least 0.6 K increases in temperature, and 80 m increases in PBLH.

As shown in Table S13, our results are comparable with results from a regional simulation conducted by Li et al. (2016), which showed that O₃ damage decreases LH flux by 10–27 W m⁻² and O₃ damage increases temperature by 0.6 °C–2.0 °C in the US. However, in their study, Li et al. (2016) assumed that O₃ damage to plants happens when O₃ concentration is over a threshold of 20 ppb to imitate a weaker detoxifying effect of plants, instead of the 40 ppb threshold that was commonly used in other previous studies using the same ozone damage scheme (e.g., Lombardozzi et al., 2015; this study). Considering the severe O₃ air pollution in China, we resorted to use the more universal O₃ threshold of 40 ppb used by other studies to represent a more conventional detoxifying effect, instead of lowering the threshold value that would cause much larger changes in the surface fluxes and meteorological fields. Using a two-way coupling model and the same O₃ damage scheme, Arnold et al. (2018) revealed that O₃ causes less than 8 W m⁻² changes in surface heat fluxes regionally, which is smaller than the changes of surface heat fluxes in our study. One possible reason is that the simulated changes in O₃ and aerosol in Arnold et al. (2018) did not feedback onto radiation and climate simulation or affect LAI.

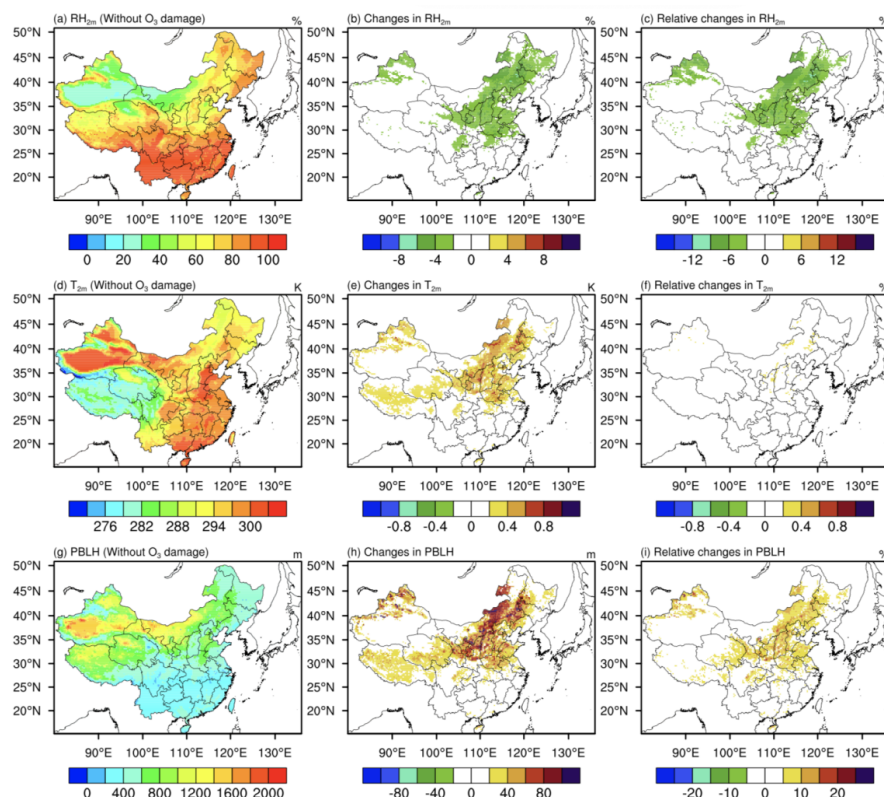


Figure 7. Spatial distribution of mean (a) 2-m relative humidity, (d) 2-m temperature at, and (g) planetary boundary layer height (PBLH) in JJA of 2014–2017 from the simu_withoutO₃ experiment; absolute changes in (b) RH_{2m} , (e) T_{2m} and (h) PBLH caused by O₃ damage; and relative changes in (c) RH_{2m} , (f) T_{2m} and (i) PBLH caused by O₃ damage. Absolute changes are the results from simu_withO₃ minus results from simu_withoutO₃. Relative changes are calculated by absolute changes over the results from simu_withoutO₃.

3.4 O₃-vegetation feedbacks on O₃ concentrations

O₃-induced changes in vegetation, surface fluxes and the overlying meteorology can also constitute important feedback effects onto O₃ concentration itself. Figure 8 shows the spatial distribution of surface O₃ concentration. As shown in Figure 8a, surface O₃ concentration is higher in central and northern China during summer. In terms of the feedbacks on O₃ concentration, we found generally enhancements in O₃ concentration when O₃-vegetation interactions are accounted for, thus representing a positive feedback that worsens O₃ air quality (Figure 8b). O₃ concentration increases the most (by up to 6 %) in Hebei, Shanxi and Henan provinces, with the maximum increment of 6 ppb. The enhancement in surface O₃ concentration from our study is at the similar magnitude with that from the study conducted by Sadiq et al. (2017), in which both biogeochemical and meteorological feedbacks from O₃-vegetation interactions to O₃ are considered. Without considering the meteorological feedbacks following the changes in



transpiration to O_3 concentrations, smaller feedbacks on surface O_3 concentrations are found by the following studies. For instance, by incorporating O_3 -LAI coupling in chemical transport model, Zhou et al. (2018) found an O_3 feedback of -1.8 to $+3$ ppbv globally. Another similar work conducted by Gong et al. (2020) showed that O_3 -induced inhibition in stomatal conductance increases surface O_3 by 2.1 ppbv in eastern China, while considering the addition effects of O_3 on isoprene emission slightly reduces surface O_3 concentrations by influencing the precursors. Together with previous findings, it is increasingly clear that meteorological feedback could be an important pathway whereby O_3 -vegetation interactions can further worsen O_3 air quality, almost doubling the effect of biogeochemical feedback alone (i.e., via changes in O_3 -relevant chemical fluxes alone).

Reduced dry deposition due to stomatal closure and reduced LAI, as well as increased isoprene emission, are all found to be the drivers for the overall positive O_3 feedback. Reductions in dry deposition velocity, following closely the corresponding reductions in transpiration rate as both processes are modulated by stomatal regulation, contribute in part to the O_3 enhancement. Figure 9 shows the spatial distribution of isoprene emission and its changes due to O_3 damage. We observe general increases in isoprene emission in eastern China, mainly due to increased surface temperature (Figs. 7e and 7f) that is more than enough to offset reduced isoprene caused by reduced LAI (Figs 4e and 4f). All in all, O_3 damage on vegetation can further enhance O_3 levels via an overall positive effect, due to not only the associated reductions in dry deposition velocity, but also the reductions in transpiration, LH flux and the resulting rise in surface temperature.

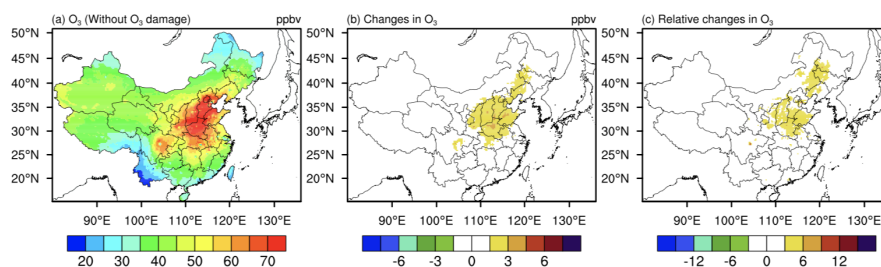


Figure 8. Same as Figure 5 but for surface O_3 concentration.

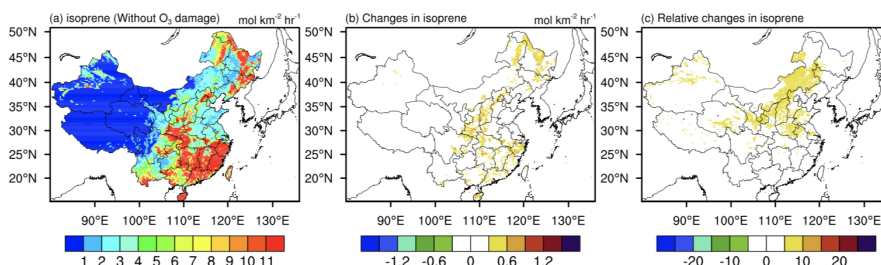


Figure 9. Same as Figure 5 but for isoprene emission.



4 Conclusions

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Tropospheric O₃ is one of the most concerning air pollutants due to its global warming effects and its ability to affect human health, vegetation and crops. O₃ and vegetation closely interact with each other and such interactions may not only affect plant physiology (e.g., stomatal conductance and photosynthesis) but also influence the overlying meteorology and air quality through modifying leaf stomatal behavior, plant structure (e.g., LAI) and subsequently land-atmosphere fluxes. According to previous field experiments and modeling works, China has been recognized as one of the hotspot areas suffering from severe O₃ pollution and the resulting damage on vegetation and crops, but the feedback effects onto air quality and climate have not been fully characterized. Therefore, in this study, we examined the effects of O₃-vegetation interactions on O₃ air quality and meteorology in China during 2014–2017 based on the two-way coupled WRF-Chem model simulations whereby O₃, meteorology and vegetation physiology and structure can co-evolve with each other in real time.

We found that in China stomatal resistance is enhanced by up to 16%, which is the direct response to O₃ damage. Northern China, especially Henan, Hebei, and Shandong provinces, is identified as a hotspot area. For photosynthesis, more than 20% reductions are observed in China. Large reductions ($>2.4 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) are found in northeastern and southern China. Following reduced photosynthesis, LAI shows relatively small reductions (5–15%), while GPP shows more than 20% reductions ($1.6 \text{ g C m}^{-2} \text{ day}^{-1}$). Changes in transpiration rate are due to both changes in stomatal resistance and changes in LAI. With the increases in stomatal resistance and decreases in LAI, transpiration decreases from 0.2 to 1.0 mm day⁻¹ in eastern China with the largest reductions occur in northern China. We also found that the distribution of changes in transpiration is consistent more with the distribution of stomatal resistance than with those of LAI, indicating the dominance of the former in contributing to the overall transpiration rate.

With O₃ damage, the LH fluxes decrease by more than 4 W m^{-2} on average, with hotspot areas appearing in Shandong, Anhui and Jiangsu provinces, in which the decreases can be up to 30 W m^{-2} following mostly the decreases in transpiration rate. SH fluxes increase in similar areas at comparable magnitudes ($10\text{--}25 \text{ W m}^{-2}$). The decreases in LH and the increases in SH cause the increases in temperature and PBLH. We found that northern China has larger decreases in relative humidity, temperature and PBLH compared with other regions. Generally, relative humidity shows at least 4% relative reductions, temperature increases by 0.2–0.8 K, and PBLH increases by 40–120 m for northern China. This indicates that O₃-vegetation interactions will cause a shift in the energy balance toward a state where available net radiation is dissipated more by SH flux than LH flux, with ramifications for surface temperature. This represents an additional pathway whereby anthropogenic O₃ pollution can worsen warming, in addition to O₃ being a greenhouse gas itself and O₃-induced plant damage diminishing the global net carbon sink (e.g., Sitch et al., 2007; Lombardozzi et al., 2015).

O₃ induces changes in vegetation, surface fluxes and meteorology, and in turn affects its own concentration. In this study, we found in China reduced dry deposition velocity mostly due to enhanced stomatal conductance, enhanced isoprene emissions mostly due to enhanced surface temperature, and the corresponding increases in O₃ concentration. O₃ concentration increases the most (up to 6%) in Hebei, Shanxi and Henan provinces, with the maximum value of 6 ppb. Our results demonstrate that O₃-



vegetation interactions can lead to a strong positive feedback that can amplify O₃ pollution in China, in agreement with the suggestions by previous studies focusing on a global scale (Sadiq et al., 2017; Zhou et al., 2018; Gong et al., 2020). We also found that fully considering the positive O₃-vegetation feedbacks, especially when meteorological changes are also accounted for, generates greater damage on vegetation productivity than found by studies that only considered “offline” O₃ damage on plants without feedbacks (Yue and Unger, 2014; Lombardozzi et al., 2015).

Uncertainty may arise from the O₃ scheme employed in this study even through this scheme has considered the decoupling between photosynthesis and stomatal conductance. Because our method following Lombardozzi et al. (2015) groups all the vegetation types into only three groups, which is maybe rough to investigate O₃ damage effect on local scale. Moreover, the value of CUO is heavily rely on the O₃ threshold, which may affect the calculation of O₃ damage. We employed the universal threshold (40 ppb) in our study instead of the smaller threshold (20 ppb) used by Li et al. (2016) considering the severe O₃ pollution and the overestimation of O₃ by WRF-Chem in our study. However, for different plant types, their detoxify to O₃ may varied. Zhou et al. (2018) pointed out that the work of Lombardozzi et al. (2015) treat tropical and temperate plants equivalently, which may lead to possible biases. Detailed studies of investigating the plants responses to O₃ and regional based CUO threshold should be conducted for more accurate simulation results for high resolution regional studies. Another uncertainty may from the ignorance of the direct effect of O₃ on isoprene emission, which may slightly weaken the positive O₃ feedback mechanism as pointed out by Gong et al., 2020. But the feedback of isoprene emission is quite uncertain, which needs a lot of further studies. Drought stress that may affect the O₃-vegetation coupling is also a major uncertainty in this study and a future direction for scholars to work on. Previous studies also indicate the importance of aerosol on O₃ concentration in China recently (Li et al., 2019), the O₃, aerosol and vegetation interactions on climate and air quality therefore should also be investigated in the future. Despite these uncertainties, our study provides detailed and comprehensive results that the O₃-vegetation impacts will adversely affect plant growth and crop production, contribute to global warming, worsen the severe O₃ air pollution in China, and identifies the hotspot areas in the country. Our findings clearly pinpoint the need to consider the O₃ damage effects in both air quality studies and climate change studies.

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