

1 **Cloud Drop Number Concentrations over the Western North Atlantic Ocean: Seasonal**
2 **Cycle, Aerosol Interrelationships, and Other Influential Factors**

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27 **Abstract.** Cloud drop number concentrations (N_d) over the western North Atlantic Ocean
28 (WNAO) are generally highest during the winter (DJF) and lowest in summer (JJA), in contrast to
29 aerosol proxy variables (aerosol optical depth, aerosol index, surface aerosol mass concentrations,
30 surface cloud condensation nuclei [CCN] concentrations) that generally peak in spring (MAM)
31 and JJA with minima in DJF. Using aircraft, satellite remote sensing, ground-based in situ
32 measurements data as well as reanalysis data, we characterize factors explaining the divergent
33 seasonal cycles and furthermore probe into factors influencing N_d on seasonal time scales. The
34 results can be summarized well by features most pronounced in DJF, including features associated
35 with cold air outbreak (CAO) conditions such as enhanced values of CAO index, planetary
36 boundary layer height (PBLH), low-level liquid cloud fraction, and cloud-top height, in addition
37 to winds aligned with continental outflow. Data sorted into high and low N_d days in each season,
38 especially in DJF, revealed that all of these conditions were enhanced on the high N_d days,
39 including reduced sea level pressure and stronger wind speeds. Although aerosols may be more
40 abundant in MAM and JJA, the conditions needed to activate those particles into cloud droplets
41 are weaker than in colder months, which is demonstrated by calculations of strongest (weakest)
42 aerosol indirect effects in DJF (JJA) based on comparing N_d to perturbations in four different
43 aerosol proxy variables (total and sulfate aerosol optical depth, aerosol index, surface mass
44 concentration of sulfate). We used three machine learning models and up to 14 input variables to
45 infer about most influential factors related to N_d for DJF and JJA, with the best performance
46 obtained with gradient boosted regression tree (GBRT) analysis. The model results indicated that
47 cloud fraction was the most important input variable, followed by some combination (depending
48 on season) of CAO index and surface mass concentrations of sulfate and organic carbon. Future
49 work is recommended to further understand aspects uncovered here such as impacts of free
50 tropospheric aerosol entrainment on clouds, degree of boundary layer coupling, wet scavenging
51 and giant CCN effects on aerosol- N_d relationships, updraft velocity, and vertical structure of cloud
52 properties such as adiabaticity that impact the satellite estimation of N_d .

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56 **1. Introduction**

57 Aerosol indirect effects remain the dominant source of uncertainty in estimates of total
58 anthropogenic radiative forcing (Boucher et al., 2013; Myhre et al., 2013). Central to these effects
59 is knowledge about cloud drop number concentration (N_d), as it is the connection between the
60 subset of particles that activate into drops (cloud condensation nuclei, CCN) and cloud properties.
61 It is widely accepted that warm clouds influenced by higher number concentrations of aerosol
62 particles have elevated N_d and smaller drops (all else held fixed), resulting in enhanced cloud
63 albedo at fixed liquid water path (Twomey, 1977), and potentially suppressed precipitation
64 (Albrecht, 1989) and increased vulnerability to overlying air resulting from enhanced cloud top
65 entrainment (Ackerman et al., 2004).

66 Reducing uncertainty in how aerosols and clouds interact within a given meteorological
67 context requires accurate estimates of N_d and aerosol concentrations and properties. Since
68 intensive field studies struggle to obtain broad spatial and temporal coverage of such data, satellite
69 remote sensing and reanalysis datasets are relied on for studies examining intra- and interannual
70 features over large spatial areas. Limitations of satellite retrievals are important to recognize. N_d
71 is not directly retrieved but derived using other parameters (e.g., cloud optical depth, cloud drop
72 effective radius, cloud top temperature) and with assumptions about cloud adiabatic growth and
73 N_d being vertically constant (Grosvenor et al., 2018). Aerosol number concentrations are usually
74 represented by a columnar parameter such as aerosol optical depth (AOD) and thus not directly
75 below clouds, which is the aerosol layer most likely to interact with the clouds. Furthermore,
76 aerosol data are difficult to retrieve in cloudy columns. Reanalysis datasets circumvent issues for
77 the aerosol parameters as they provide vertically-resolved data (e.g., surface layer and thus below
78 clouds) and are available for cloudy columns.

79 Of special interest in this work is the western North Atlantic Ocean (WNAO) where
80 decades of extensive research have been conducted for topics largely unrelated to aerosol-cloud
81 interactions (Sorooshian et al., 2020), thereby providing opportunity for closing knowledge gaps
82 for this area in a region with a wide range of aerosol and meteorological conditions (Corral et al.,
83 2021; Painemal et al., 2021). Past work showed different seasonal cycles of AOD and N_d in this
84 region (Grosvenor et al., 2018; Sorooshian et al., 2019), which partly motivates this study to
85 unravel why N_d behaves differently on seasonal time scales. A previous study investigating
86 seasonal cycles of N_d in the North Atlantic region found that cloud microphysical properties were
87 primarily dependent on CCN concentrations while cloud macrophysical properties were more
88 dependent on meteorological conditions (e.g., Sinclair et al., 2020). However, due to the
89 complexity of interactions involved and the co-variability between individual components, the
90 magnitude and sign of these feedbacks remain uncertain.

91 This study uses a multitude of datasets to characterize the N_d seasonal cycle and factors
92 related to N_d variability. The structure of the results and discussion are as follows: (i) case study
93 flight highlighting the wide range of N_d in wintertime and factors potentially affecting that
94 variability; (ii) seasonal cycle of N_d and aerosol concentrations based on different proxy variables;
95 (iii) seasonal cycles of factors potentially influential for N_d such as aerosol size distribution,
96 vertical distribution of aerosol, humidity effects, and aerosol-cloud interactions; (iv) composite
97 analysis of influential factors on “high” and “low” N_d days in each season; (v) modeling analysis
98 to probe more deeply into N_d relationships with other parameters for winter and summer seasons;
99 and (vi) discussion of other factors relevant to N_d unexplored in this work.

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102 2. Methods

103 2.1 Study Region

104 We focus on the WNAO, defined here as being bounded by 25° – 50°N and 60° – 85°W.
105 A subset of the results focuses on 6 individual sub-domains representative of different parts of the
106 WNAO (shown later), with five just off the East Coast extending from south to north (South = S,
107 Central-South = C-S, Central = C, Central-North = C-N, North = N) and one over Bermuda.

108 2.2 Datasets

109 2.2.1 Satellite Observations (CERES-MODIS/CALIPSO)

110 Relevant cloud parameters were obtained from the Clouds and the Earth’s Radiant Energy
111 System (CERES) edition 4 products (Minnis et al., 2011; Minnis et al., 2020), which are based on
112 the application of CERES’s retrieval algorithms on the radiances measured by the MODerate
113 resolution Imaging Spectroradiometer (MODIS) instrument aboard the Aqua satellite. Aqua
114 observations used to estimate N_d were from the daytime overpasses of the satellite around 13:30
115 (local time). Level 3 daily cloud properties at $1^\circ \times 1^\circ$ spatial resolution (listed in Table 1) were
116 used for the period between January 2013 and December 2017 from CERES-MODIS edition 4
117 Single Scanning Footprint (SSF) products (Loeb et al., 2016). The CERES-MODIS SSF Level 3
118 product includes $1^\circ \times 1^\circ$ averaged data according to the cloud top pressure of individual pixels:
119 low (heights below 700 hPa), mid-low (heights within 700–500 hPa), mid-high (heights within
120 500–300 hPa), and high (heights above 300 hPa) level clouds. For this study, we only use low-
121 cloud averages.

122 N_d is estimated based on an adiabatic cloud model (Grosvenor et al., 2018):

$$123 \quad N_d = \frac{\sqrt{5}}{2 \pi k} \left(\frac{f_{ad} C_w \tau}{Q_{ext} \rho_w r_e^5} \right)^{1/2} \quad (1)$$

124 where τ is cloud optical depth and r_e is cloud drop effective radius, both of which are obtained
125 from CERES-MODIS for low-level (i.e., surface to 700 hPa) liquid clouds. Q_{ext} is the unitless
126 extinction efficiency factor, assumed to be 2 for liquid cloud droplets, and ρ_w is the density of
127 water (1 g cm^{-3}). Methods described in Painemal (2018) were used to estimate parameters in Eq.
128 1 as follows: (i) adiabatic water lapse rate (C_w) was determined using cloud top pressure and
129 temperature provided by CERES-MODIS; (ii) the N_d estimation is often corrected for the sub-
130 adiabatic profile by applying the adiabatic value (f_{ad}), but in this work, a value of $f_{ad} = 1$ was
131 assumed due to both lack of consensus on its value and its relatively minor impact on N_d estimation
132 (Grosvenor et al., 2018); and (iii) k parameter representing the width of the droplet spectrum was
133 assumed to be 0.8 over the ocean. Statistics of N_d are often estimated after screening daily
134 observations based on cloud fractions (Wood, 2012; Grosvenor et al., 2018). The purpose of such
135 filters is to reduce the uncertainties associated with the estimation of N_d (Eq. 1) driven by the errors
136 in the retrieval of r_e and τ from MODIS’s observed reflectance in a highly heterogeneous cloud
137 field. However, this may unwantedly mask the effects of cloud regime on aerosol-cloud
138 interactions by only including certain low-level cloud types in the analyses (e.g., closed-cell
139 stratocumulus). Therefore, we use all N_d data regardless of cloud fraction with exceptions being
140 Sections 3.5 and 4.2 where a filter of low-level liquid cloud fraction (i.e., $CF_{low-liq.} \geq 0.1$) was
141 applied.

142 The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) instrument aboard the
143 Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) provides data on

147 the vertical distribution of aerosols (Winker et al., 2009). Nighttime extinction profiles were
148 acquired from Level 2 version 4.20 products (i.e., 5 km aerosol profile data), between January
149 2013 and December 2017. We averaged the Level 2 daily extinctions in different $4^\circ \times 5^\circ$ sub-
150 domains (shown later) to obtain the seasonal profiles after applying the screening scheme outlined
151 in Tackett et al. (2018).

Table 1: Summary of various data products used in this study.

Parameter	Data Source	Spatial resolution	Vertical level	Date Range	Spatial Area	Temporal resolution
Cloud optical thickness	CERES-MODIS	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Cloud effective radius	CERES-MODIS	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Cloud fraction	CERES-MODIS	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Cloud top temperature	CERES-MODIS	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Cloud effective height	CERES-MODIS	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Cloud top pressure	CERES-MODIS	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Precipitation	PERSIANN-CDR	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Aerosol extinction (532 nm)	CALIPSO/CALIOP	5 km	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Total aerosol extinction AOT (550 nm)	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Total aerosol Angstrom parameter (470- 870 nm)	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Sulfate extinction AOT (550 nm)	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Sea-salt extinction AOT (550 nm)	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Dust extinction AOT (550 nm)	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Organic carbon extinction AOT (550 nm)	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Black carbon extinction AOT (550 nm)	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Sulfate surface mass concentration	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Sea-salt surface mass concentration	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Dust surface mass concentration	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Organic carbon surface mass concentration	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Black carbon surface mass concentration	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Sea level pressure	MERRA-2	1°x1°	Surface	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Geopotential height	MERRA-2	1°x1°	850 hPa	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Air temperature	MERRA-2	1°x1°	Sea surface	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Relative humidity	MERRA-2	1°x1°	Surface, 850, 700 hPa	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Wind speed	MERRA-2	1°x1°	1000-500 hPa	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Planetary boundary layer height	MERRA-2	1°x1°	2 meter, 950 hPa	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Vertical pressure velocity	MERRA-2	1°x1°	NA	01-Jan-2013 31-Dec-2017	60°-85°W; 25°-50°N	Daily
Aerosol/Cloud	Airborne: ACTIVATE	-	800 hPa	22-Feb-2020	72.31°-76.64°W; 34.08°-37.16°N	1 Second
CCN-Cape Cod	Ground based measurement	Point measurement	Surface	16-Jul-2012 04-May-2013	70.30°W; 41.67°N	1 Second

153 2.2.2 MERRA-2

154 Aerosol data were obtained from the Modern-Era Retrospective analysis for Research and
155 Applications-Version 2 (MERRA-2) (Gelaro et al., 2017). MERRA-2 is a multidecadal reanalysis
156 where meteorological and aerosol observations are jointly assimilated into the Goddard Earth
157 Observation System version 5 (GEOS-5) data assimilation system (Buchard et al., 2017; Randles
158 et al., 2017). Aerosols in MERRA-2 are simulated with a radiatively coupled version of the
159 Goddard Chemistry, Aerosol, Radiation, and transport model (GOCART; Chin et al., 2002;
160 Colarco et al., 2010). GOCART treats the sources, sinks, and chemistry of 15 externally mixed
161 aerosol mass mixing ratio tracers, which include sulfate, hydrophobic and hydrophilic black and
162 organic carbon, dust (five size bins), and sea salt (five size bins). MERRA-2 includes assimilation
163 of bias-corrected Collection 5 MODIS AOD, bias-corrected AOD from the Advanced Very High
164 Resolution Radiometer (AVHRR) instruments, AOD retrievals from the Multiangle Imaging
165 SpectroRadiometer (MISR) over bright surfaces, and ground-based Aerosol Robotic Network
166 (AERONET) direct measurements of AOD (Gelaro et al., 2017). In this study we used total and
167 speciated (i.e., sea-salt, dust, black carbon, organic carbon, and sulfate) AOD at 550 nm between
168 January 2013 and December 2017 at times relevant to Aqua's overpass time (13:30 local time).
169 Aerosol index was calculated as the product of AOD and Ångström parameter. MERRA-2 also
170 provides surface mass concentrations of aerosol species including sea-salt, dust, black carbon,
171 organic carbon, and sulfate, which were used as a measure of aerosol levels in the planetary
172 boundary layer (PBL).

173 MERRA-2 data were also used for environmental variables including both thermodynamic
174 (e.g., temperature and relative humidity) and dynamic parameters (e.g., sea-level pressure (SLP)
175 and geopotential heights) (Gelaro et al., 2017) listed in Table 1. Bilinear interpolation was applied
176 to transfer all MERRA-2 variables (Table 1) from their original $0.5^\circ \times 0.625^\circ$ spatial resolution to
177 the equivalent $1^\circ \times 1^\circ$ grid in CERES-MODIS Level 3 data.

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179 2.2.3 Precipitation Data

180 Daily precipitation data were obtained from Precipitation Estimation from Remotely
181 Sensed Information using Artificial Neural Networks–Climate Data Record (PERSIANN-CDR)
182 data product (Ashouri et al., 2015; Nguyen et al., 2018). Bilinear interpolation was applied to
183 convert the PERSIANN-CDR data from its native spatial resolution (i.e., $0.25^\circ \times 0.25^\circ$) to
184 equivalent $1^\circ \times 1^\circ$ grids in CERES-MODIS Level 3 data. It is important to note that we use daily
185 averaged PERSIANN-CDR precipitation and, therefore, there is some temporal mismatch with the
186 daily N_d value from MODIS-Aqua that comes at one time of the day. This can contribute to some
187 level of uncertainty for the discussions based on analyses involving relationships between
188 precipitation and N_d .

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191 2.2.4 Surface-based CCN Data

192 Cloud condensation nuclei (CCN) data were obtained from the U.S. Department of
193 Energy's Two-Column Aerosol Project (TCAP) (Berg et al., 2016) to examine the seasonal
194 variations in CCN number concentration at a representative site by Cape Cod, Massachusetts
195 (41.67°N , 70.30°W) over the U.S. East Coast. TCAP was a campaign conducted between June
196 2012 and June 2013 to investigate aerosol optical and physicochemical properties and interactions
197 between aerosols and clouds (Berg et al., 2016; Liu and Li, 2019). CCN data were available
198 between July 2012 and May 2013 at multiple supersaturations with some gaps in the data collection

199 (i.e., November-December); for simplicity, we focused on CCN data measured at a single
200 supersaturation of 1% owing to relatively better data coverage as compared to lower
201 supersaturations. We note that this higher supersaturation is not necessarily representative of that
202 relevant to the clouds of interest, but is still insightful for understanding the seasonal cycle of CCN
203 concentration. The qualitative seasonal cycle of CCN concentration at 1% matches those at lower
204 supersaturations (e.g., 0.15% – 0.8%).
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206 **2.2.5 Airborne In-Situ Data**

207 We used airborne in-situ data collected during the fifth research flight (RF05) of the
208 Aerosol Cloud meTeorology Interactions oVer the western ATlantic Experiment (ACTIVATE)
209 campaign. One flight is used both for simplicity and because it embodied conditions relevant to
210 the discussion of other results. The mission concept involves joint flights between the NASA
211 Langley UC-12 King Air and HU-25 Falcon such that the former flies around 8 – 10 km and the
212 latter flies in the boundary layer to simultaneously collect data on aerosol, cloud, gas, and
213 meteorological parameters in the same column (Sorooshian et al., 2019). The Falcon flew in a
214 systematic way to collect data at different vertical regions relative to cloud, including the following
215 of relevance to this study: BCB = below cloud base; ACB = above cloud base, BCT = below cloud
216 top, Min. Alt = minimum altitude the plane flies at (500 ft).

217 This study makes use of the HU-25 Falcon data from the following instruments: Fast Cloud
218 Droplet Probe (FCDP; $D_p \sim 3 - 50 \mu\text{m}$) (SPEC Inc.) aerosol and cloud droplet size distributions for
219 quantification of cloud liquid water content (LWC), N_d , and aerosol number concentrations with
220 D_p exceeding $3 \mu\text{m}$ in cloud-free air (termed FCDP-aerosol); Two Dimensional Stereo (2DS; D_p
221 $\sim 28.5 - 1464.9 \mu\text{m}$) (SPEC Inc.) probe for estimation of rain water content (RWC) by integrating
222 raindrop ($D_p \geq 39.9 \mu\text{m}$) size distributions; Cloud Condensation Nuclei (CCN; DMT) counter for
223 CCN number concentrations; Laser Aerosol Spectrometer (LAS; TSI Model 3340) and
224 Condensation Particle Counter (CPC; TSI model 3772) for aerosol number concentrations with D_p
225 between $0.1 - 1 \mu\text{m}$ and above 10 nm , respectively; High-Resolution Time-of-Flight Aerosol Mass
226 Spectrometer (AMS; Aerodyne) for submicrometer non-refractory aerosol composition (DeCarlo
227 et al., 2008), operated in 1 Hz Fast-MS mode and averaged to 25-second time resolution; Turbulent
228 Air-Motion Measurement System (TAMMS) for winds and temperature (Thornhill et al., 2003).

229 CCN, LAS, CPC, and AMS data were collected downstream of an isokinetic double
230 diffuser inlet (BMI, Inc.), whereas the AMS and LAS also sampled downstream of a counterflow
231 virtual impactor (CVI) inlet (BMI, Inc.) when in cloud (Shingler et al., 2012). However, a filter
232 was applied to remove LAS data when the CVI inlet was used. Measurements from the CCN
233 counter, LAS, CPC, and FCDP-aerosol are only shown in cloud-free and rain-free conditions,
234 distinguished by $\text{LWC} < 0.05 \text{ g m}^{-3}$ and $\text{RWC} < 0.05 \text{ g m}^{-3}$, respectively, and also excluding data
235 collected 20 seconds before and after evidence of rain or cloud. Estimation of supermicrometer
236 particles from FCDP measurements was performed after conducting the following additional
237 screening steps to minimize cloud droplet artifacts: (i) only samples with $\text{RH} < 98\%$ were included,
238 (ii) data collected during ACB and BCT legs were excluded. CCN, LAS, CPC, and AMS
239 measurements are reported at standard temperature and pressure (i.e., 273 K and 101.325 kPa)
240 while FCDP and 2DS measurements correspond to ambient conditions.
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242 **2.3 Regression Analyses**

243 Regression modeling was conducted to investigate relationships between environmental
244 variables and N_d . The Gradient Boosted Regression Trees (GBRT) model, classified as a machine

245 learning (ML) model, is used, consisting of several weak learners (i.e., regression trees with a fixed
246 size) that are designed and subsequently trained to improve prediction accuracy by fitting the
247 model's trees on residuals rather than response values (Hastie et al., 2009). Desirable
248 characteristics of the GBRT model include both its capacity to capture non-linear relationships and
249 being less vulnerable to overfitting (Persson et al., 2017; Fuchs et al., 2018; Dadashazar et al.,
250 2020). Two separate GBRT models were trained using daily CERES-MODIS N_d data ($1^\circ \times 1^\circ$) in
251 winter (DJF) and summer (JJA) to reveal potential variables impacting N_d . Winter and summer
252 are chosen as they exhibit the highest and lowest N_d concentrations, respectively, among all
253 seasons over the WNAO.

254 Many variables were picked as input parameters (Table 2) for the GBRT model,
255 categorized as either being aerosol, dynamic/thermodynamic, or cloud variables. Aerosol
256 parameters included MERRA-2 surface mass concentrations for sulfate, sea-salt, dust, and organic
257 carbon. Black carbon concentration was removed from input parameters because of its high
258 correlation ($R^2 = 0.6$) with organic carbon. The following is the list of thermodynamic/dynamic
259 input parameters derived from MERRA-2: vertical pressure velocity at 800 hPa (ω_{800}), planetary
260 boundary layer height (PBLH), cold-air outbreak (CAO) index, wind speed and wind direction at
261 2 m ($wind_{2m}$ and $wind-dir_{2m}$), relative humidity (RH) in the PBL and free troposphere represented
262 by RH_{950} and RH_{800} , respectively. CAO index is defined as the difference between skin potential
263 temperature (θ_{skt}) and air potential temperature at 850 hPa (θ_{850}) (Papritz et al., 2015). Updraft
264 velocity plays a crucial role in the activation of aerosol into cloud droplets in warm clouds
265 (Feingold, 2003; Reutter et al., 2009). Since the direct representation of updraft speed is not
266 available from reanalysis data, near-surface wind speed (i.e., $wind_{2m}$) is used as a representative
267 proxy parameter as an input parameter to the regression models. CERES-MODIS cloud parameters
268 include liquid cloud fraction and cloud top height for low-level clouds. In addition, PERSIANN-
269 CDR daily precipitation (Rain) was included as a relevant cloud parameter.

270 Data were split into two sets: training/validation (70%) and testing (30%). Five-fold cross-
271 validation was implemented to train the GBRT model using the training/validation data.
272 Furthermore, both performance and generalizability of the trained models were tested via the aid
273 of the test set, which was not used in the training process. Hyperparameters of the GBRT models
274 were optimized through a combination of both random and grid search methods. Table S1 shows
275 the list of important hyperparameters of the GBRT model and associated ranges tested via random
276 and grid search methods. The optimized model hyperparameters can also be found in Table S1.
277 The GBRT models were performed using the scikit-learn module designed in Python (Pedregosa
278 et al., 2011).

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280 **Table 2: List of input parameters used as predictor variables in the GBRT and linear models.**
281 **Variables are grouped into three general categories.**

Parameter	
Aerosol	Sulfate surface mass concentration (Sulfate _{sf-mass})
	Sea-salt surface mass concentration (Sea-salt _{sf-mass})
	Dust surface mass concentration (Dust _{sf-mass})
	Organic carbon surface mass concentration (OC _{sf-mass})
Cloud	Low-level liquid cloud fraction (CF _{low-liq.})
	Low-level liquid cloud-top effective height (Cloud-top _{low-liq.})
	Precipitation rate (Rain)
Dynamic/ Thermodynamic	Cold-air outbreak index (CAO _{index}): $\theta_{skt} - \theta_{850}$
	Relative humidity at 950 hPa (RH ₉₅₀)
	Relative humidity at 800 hPa (RH ₈₀₀)
	Vertical pressure velocity at 800 hPa (ω_{800})
	Wind speed at 2 m (Wind _{2m})
	Wind direction at 2 m (Wind-dir _{2m})
	Planetary boundary layer height (PBLH)

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*Skin potential temperature

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The regression analyses were not performed solely to construct and provide a highly accurate model useful for prediction, but rather to disclose and examine the possible effects of the relevant input variables on N_d considering all the shortcomings of such analyses. For instance, there is some level of interdependency between input variables. To reduce unwanted consequences of correlated features, the interpretation of the results was done with the aid of accumulated local effect (ALE) plots, which are specifically designed to be unbiased to the correlated input variables (Apley and Zhu, 2020). ALE plots illustrate the influence of input variables on the response parameter in ML models. The ALE value for a particular variable S at a specific value of x_s (i.e., $f_{s,ALE}(x_s)$) can be calculated as follows:

$$f_{s,ALE}(x_s) = \int_{z_{0,1}}^{x_s} \int_{x_c} f^s(z_s, x_c) P(x_c|z_s) dx_c dz_s - constant \quad (2)$$

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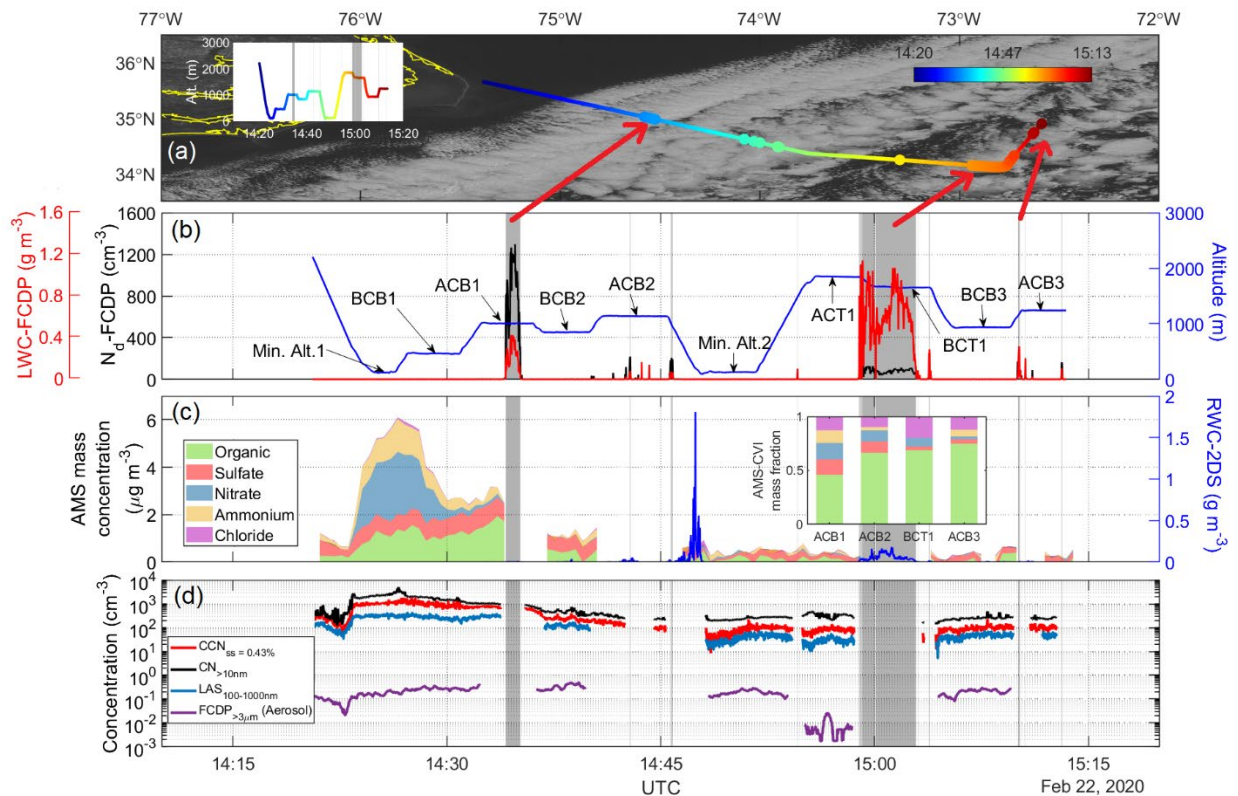
where $f^s(z_s, x_c)$ is the gradient of model's response with respect to variable S (i.e., local effect) and $P(x_c|z_s)$ is the conditional distribution of x_c where C denotes the other input variables rather than S and x_c is the associated point in the variable space of C. $z_{0,1}$ is chosen arbitrarily below the smallest observation of feature S (Apley and Zhu, 2020). The steps in Eq. 2 can be summarized as follows (Molnar, 2019; Apley and Zhu, 2020): (i) the average change in the model's prediction is calculated using the conditional distribution of features; (ii) the average change will then be accumulated by integrating it over feature S; and (iii) a constant will be subtracted to vertically center (i.e., the average of ALE becomes zero) the ALE plot. The aforementioned steps, although seemingly complex, assure the avoidance of undesired extrapolation (especially an issue for correlated variables) occurring in alternative approaches such as partial dependence (PD) plots. The value of $f_{s,ALE}(x_s)$ can be viewed as the difference between the model's response at x_s and the average prediction. We used the source code available in <https://github.com/blent-ai/ALEPython> for the calculation of ALE plots.

3. Results and Discussion

312 **3.1 Aircraft Case Study of N_d Gradient**

313 ACTIVATE Research Flight 5 (RF05) on 22 February 2020 demonstrates the wide range
 314 in N_d offshore in the PBL (≈ 1.6 km) over the WNAO (Figure 1). On this day, the ACTIVATE
 315 study region was dominated by a surface high pressure system centered over the southeastern U.S.,
 316 with a significant ridge axis extending from the main high to the east-northeast off the Virginia-
 317 North Carolina coast and into the WNAO. Aloft, the flight region was located in northwesterly
 318 flow behind a trough offshore. This setup led to subsidence in the region and generally clear skies,
 319 except where scattered to broken marine boundary layer clouds formed along and east of the Gulf
 320 Stream. Two day NOAA HYSPLIT (Stein et al., 2015; Rolph et al., 2017) back trajectories using
 321 the “model vertical velocity” method and “REANALYSIS” meteorology data indicate air in the
 322 flight region (between 0-3 km) had wrapped around the surface high from the north and left the
 323 New England coast 12-24 hours beforehand (with a descending profile). Along the flight segment
 324 shown, winds were approximately 6 m s^{-1} , out of the north/northwest during the initial descent,
 325 Min. Alt. 1, and BCB1 legs and primarily from the northeast for the other sections of the flight. Sea
 326 surface temperatures were $6 - 9^\circ\text{C}$ near the coast during the descent and Min. Alt. 1 leg (readers
 327 are referred to Fig. 1’s caption for the definition of different legs), $21 - 25^\circ\text{C}$ over the Gulf Stream
 328 during the BCB1, ACB1, and BCB2 legs, and $17 - 20^\circ\text{C}$ for the remainder of the flight segment
 329 shown. The majority of the segment was in or below the boundary layer clouds, with cloud base
 330 around $900 - 1100 \text{ m}$ and cloud top around 1750 m . Note that the initial BCB1 leg was much
 331 lower at around 460 m , likely reflecting a shallower marine boundary layer and cloud base near
 332 the much colder waters close to the coast. Static air temperature ranged between $0 - 10^\circ\text{C}$, except
 333 for the BCT1 leg where temperatures were around -2.3°C .

334



335

336 **Figure 1: Time series of selected parameters measured by the HU-25 Falcon aircraft during**
337 **a selected segment of RF05 on 22 February 2020: (a) overlaid flight track on GOES 16**
338 **visible imagery obtained at 14:55:04 UTC; (b) altitude, cloud liquid water content (LWC),**
339 **and N_d , with the latter two obtained from the FCDP; (c) rain water content (RWC) measured**
340 **by 2DS probe, AMS speciated mass concentration in cloud/rain-free air, and AMS mass**
341 **fractions for droplet residual particles in cloud as measured downstream of a CVI inlet; (d)**
342 **number concentrations for CCN at 0.43% supersaturation and particles for three diameter**
343 **ranges: above 10 nm (CPC), 100-1000 nm (LAS), and above 3 μm (FCDP). Shaded gray areas**
344 **in (b)-(d) highlight cloudy periods identified as having $\text{LWC} \geq 0.05 \text{ g m}^{-3}$. Locations of the**
345 **cloudy regions are pointed to with red arrows in the satellite imagery. Level legs are defined**
346 **as follows: BCB = below cloud base, ACB = above cloud base, Min. Alt. = minimum altitude**
347 **the plane flies at (500 ft), ACT = above cloud top, BCT = below cloud top.**
348

349 N_d values from the FCDP ranged from a maximum value of 1298 cm^{-3} closer to the coast
350 during the ACB1 leg (35.00° N , 74.55° W) to a minimum of 19 cm^{-3} farther away in the BCT1 leg
351 (34.32° N , 72.73° W). The minimum N_d value in the ACB3 leg was 85 cm^{-3} (34.11° N , 72.80° W),
352 which is a fairer comparison to the ACB1 leg as compared to the BCT1 leg in terms of being closer
353 to cloud base. The mean N_d values (cm^{-3}) in the cloudy portions of the ACB1, BCT1, and ACB3
354 legs were as follows: 849, 77, 143.

355 Based on the nearest BCB legs adjacent to the maximum and minimum N_d values (BCB1
356 = 35.31° N , 74.95° W ; BCB3 = 34.41° N , 72.70° W), there was a significant offshore gradient in
357 LAS submicrometer particle number concentration and AMS non-refractory aerosol mass, ranging
358 from as high as 424 cm^{-3} and $5.60 \mu\text{g m}^{-3}$ (during BCB1) to as low as 21 cm^{-3} and $0.27 \mu\text{g m}^{-3}$
359 (during BCB3). The mean values of submicrometer particle number concentration and AMS non-
360 refractory aerosol for the two BCB legs were as follows: $277 \text{ cm}^{-3}/3.64 \mu\text{g m}^{-3}$ (BCB1) and 48 cm^{-3}
361 $/0.42 \mu\text{g m}^{-3}$ (BCB3). The higher N_d value (1298 cm^{-3}) relative to LAS aerosol concentration (424
362 cm^{-3}) at the near-shore point is suggestive of aerosol smaller than $0.1 \mu\text{m}$ activating into drops.
363 This is supported by the fact that both CCN (supersaturation = 0.43%) and CPC number
364 concentrations with $D_p > 10 \text{ nm}$ exhibited mean values of 980 and 1723 cm^{-3} in the BCB1 leg,
365 respectively, dropping to 98 and 260 cm^{-3} in the BCB3 leg. For the duration of the flight portion
366 shown in Figure 1, supermicrometer concentrations varied over two orders of magnitude ($0.002 -$
367 0.51 cm^{-3}) and expectedly did not exhibit a pronounced offshore gradient as it is naturally emitted
368 from the ocean.

369 Closer to shore during the Min. Alt. 1 leg, nitrate was the dominant aerosol species ($\sim 70\%$
370 mass fraction). Farther offshore during both the BCB1 leg and cloud-free portion of the ACB1 leg,
371 organics were the dominant constituent ($\sim 46\%$ mass fraction), whereas farther during the BCB3
372 leg, the mean mass fraction of sulfate was the highest (75%). Droplet residual particle data show
373 a greater contribution of organics farther offshore, increasing from 46% to 75% between the ACB1
374 and ACB3 legs, respectively. These composition results, albeit limited to the non-refractory
375 portion of submicrometer aerosol particles, reveal significant changes with distance offshore
376 indicative of varying chemical properties of particles activating into droplets.

377 The cloudy portions of ACB1 are characterized as having little or no rain with maximum
378 RWC value of 0.02 g m^{-3} and mean value of 0.003 g m^{-3} . There is a notable RWC peak at the
379 beginning of the Min. Alt. 2 leg, reaching as high as 1.81 g m^{-3} associated with clouds aloft. The
380 precipitation occurrence was also evident in a subsequent BCT1 leg where RWC reached as high
381 as 0.18 g m^{-3} . GOES satellite imagery of the study region (Fig. 1) also reflects the effect of

382 precipitation on cloud morphology where clouds farther offshore resemble open-cell structures.
383 Associated scavenging of particles through the washout process is presumed to contribute to the
384 decline in aerosol concentrations with distance offshore.

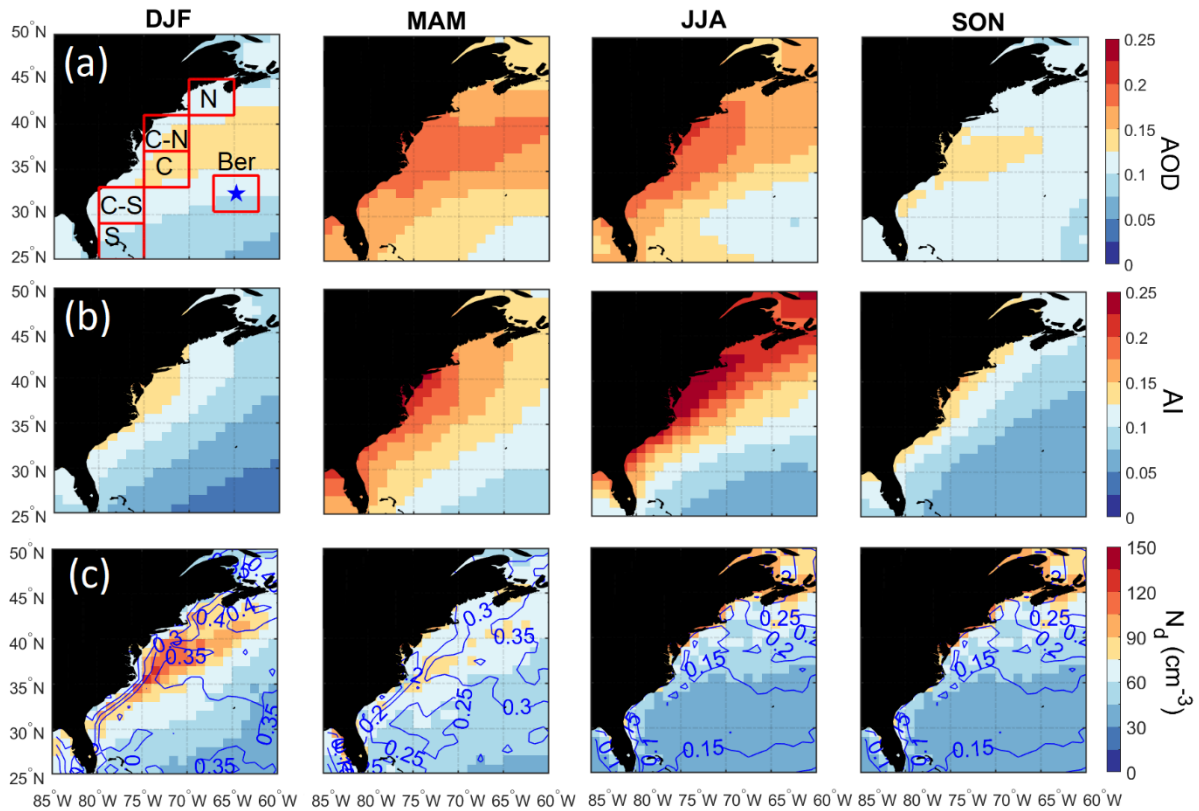
385 Figure 1 shows changes in aerosol characteristics coincident with the large gradient in N_d .
386 While ACTIVATE airborne data collection is ongoing to build flight statistics over multiple years,
387 the wide changes in microphysical properties in RF05 motivate looking at other datasets with
388 broader spatiotemporal coverage to learn about potential seasonally-dependent drivers of N_d ,
389 including meteorological parameters that vary throughout the year. Furthermore, other datasets
390 can provide insight into the source(s) of seasonal discrepancy between columnar aerosol remote
391 sensing parameters and N_d .

392

393 **3.2 Seasonal Cycles of N_d and AOD**

394 Figure 2 illustrates the seasonal differences in MERRA-2 AOD and CERES-MODIS N_d
395 over the WNAO that partly motivate this study. Seasonal mean values (\pm standard deviation) of
396 AOD/ N_d (cm^{-3}) were as follows for the entire WNAO: DJF = $0.11 \pm 0.03/64.1 \pm 18.0$; MAM =
397 $0.16 \pm 0.03/60.4 \pm 13.1$; JJA = $0.15 \pm 0.03/49.1 \pm 10.1$; SON = $0.11 \pm 0.03/50.3 \pm 13.9$. In contrast
398 to AOD, N_d values and low-cloud fraction (Figure 2c) were highest in DJF and lowest in JJA. DJF
399 showed notably high N_d near the coast, qualitatively consistent with the airborne data. The seasons
400 with the greatest AOD values, accompanied by the most pronounced spatial gradient offshore,
401 were JJA and MAM. The offshore gradient owes to continental pollution outflow (Corral et al.,
402 2021 and references therein). In contrast, DJF and SON exhibited lower AOD values with a distinct
403 area of higher AOD values offshore between $\sim 35^\circ - 40^\circ$ N accounted for by sea salt. MERRA-2
404 speciated AOD data (Figure 3) indicate that sea salt and sulfate dominate total AOD regardless of
405 season and that sulfate, organic carbon, and black carbon most closely follow the offshore gradient
406 pattern owing to continental sources. Dust and sea salt have different spatial distributions with the
407 former derived from sources such as North Africa leading to enhanced AODs $< 30^\circ$ N especially
408 in JJA, and sea salt being enhanced offshore especially in JJA.

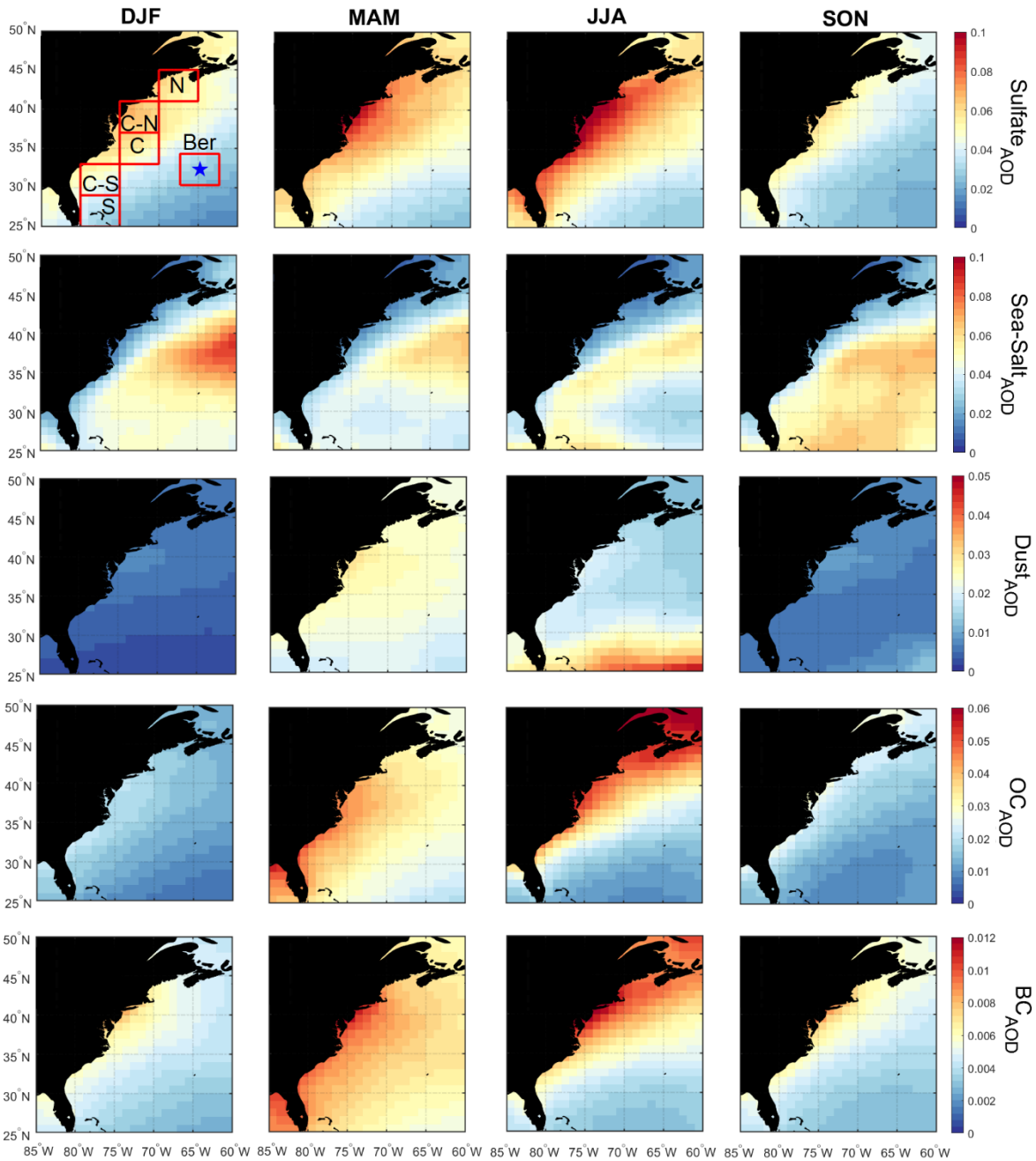
409



410
 411 **Figure 2: Seasonal spatial maps for (a) MERRA-2 aerosol optical depth (AOD), (b) MERRA-**
 412 **2 aerosol index (AI), and (c) cloud drop number concentration (N_d) over the western North**
 413 **Atlantic Ocean (WNAO). Contours in (c) represent low-level (cloud top pressure > 700 hPa)**
 414 **liquid cloud fraction ($CF_{low-liq.}$). Cloud data are based on daily Level 3 data from CERES-**
 415 **MODIS. The maps are based on data between January 2013 and December 2017. The boxes**
 416 **in top left panel represent sub-domains examined in more detail throughout the study, with**
 417 **the blue star denoting Bermuda.**

418
 419 Table 3 probes deeper into individual WNAO sub-domains to compare seasonal AOD and
 420 N_d values. For the six sub-domains in Figure 2, MERRA-2 AOD peaks in MAM and JJA, while
 421 N_d peaks in DJF. The Bermuda sub-domain was unique in that mean N_d was slightly higher in
 422 MAM (53 cm^{-3}) as compared to DJF (48 cm^{-3}). We attribute the slightly different seasonal cycle
 423 over Bermuda to its remote nature leading to differences in meteorology and aerosol sources
 424 between seasons.

425 One factor that could bias AOD towards higher values with disproportionately less impact
 426 on N_d is aerosol hygroscopic growth in humid conditions. Table 3 summarizes mean MERRA-2
 427 RH values in the PBL and free troposphere (FT). Results show that while RH is highest in JJA
 428 (except for FT of DJF in sub-domain N), differences between seasons were not very large. The
 429 maximum difference among the four seasons when considering mean RH in the PBL and FT for
 430 all sub-domains ranged between 3% – 9% and 7% – 25%, respectively. Consequently, humidity
 431 effects on remotely sensed aerosol parameters are less likely to be sole explanation of the dissimilar
 432 seasonal cycle of N_d and AOD, but can plausibly contribute to some extent.



433
 434 **Figure 3: Seasonal maps of MERRA-2 speciated AOD based on data between January 2013**
 435 **and December 2017. The boxes in top left panel represent sub-domains examined in more**
 436 **detail throughout the study, with the blue star denoting Bermuda.**
 437

438 One factor that could drive the seasonal variation in N_d is the unwanted effects of retrieval
 439 errors in the estimation of N_d at low cloud coverage conditions. Uncertainty associated with the
 440 estimation of N_d from MODIS observation increases as cloud fraction decreases (Grosvenor et al.,
 441 2018). This is mainly because of the overestimation of droplet effective radius (r_e) in the retrieval
 442 algorithm due to the interference of cloud-free pixels and also high spatial inhomogeneity in low
 443 cloud coverage conditions that violates horizontal homogeneity assumptions in the retrieval of r_e

444 and τ from radiative transfer modeling (Zhang et al., 2012; Zhang et al., 2018). To test whether
445 retrieval errors in N_d are the main driver of seasonal trends, Figure S1 shows the seasonal cycle of
446 N_d at various low-level liquid cloud fractions. The results show that as cloud fraction increases the
447 average N_d increases, regardless of season. Perhaps the more important result is that the seasonal
448 trend in spatial maps of N_d remains similar regardless of cloud fraction. This finding is important
449 as confirms that the seasonal cycle in N_d cannot be solely explained by the uncertainties associated
450 with the retrieval of N_d at low cloud fraction.

451

452 **3.3 Contrasting AOD and Aerosol Index**

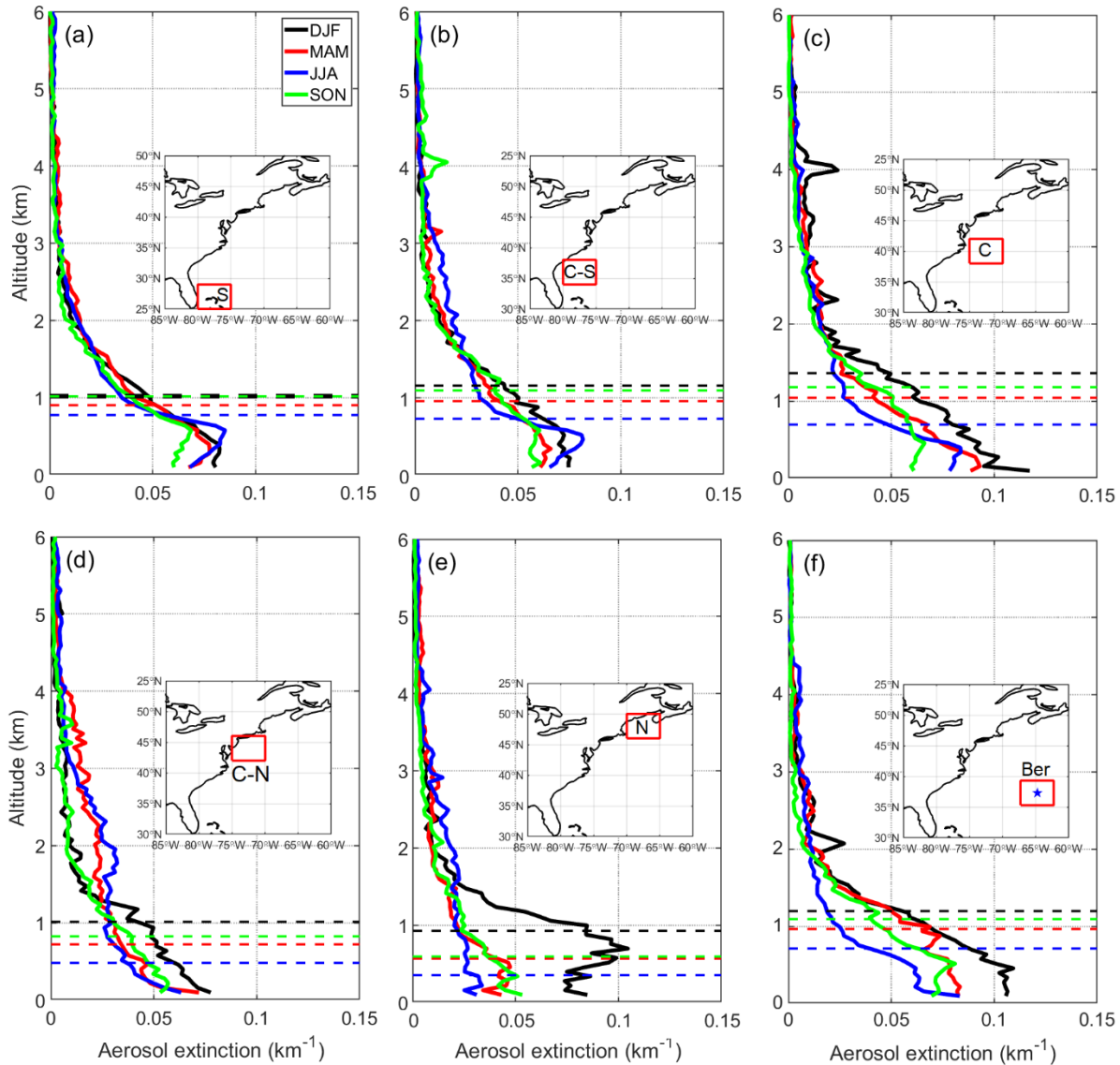
453 While previous studies have pointed to the limitations of AOD as an aerosol proxy (e.g.,
454 Stier, 2016; Gryspeerdt et al., 2017; Painemal et al., 2020), the N_d -AOD anticorrelation at seasonal
455 scale over the WNAO is at odds with findings for other regions supporting the relationship between
456 these two parameters (Nakajima et al., 2001; Sekiguchi et al., 2003; Quaas et al., 2006; Quaas et
457 al., 2008; Grandey and Stier, 2010; Penner et al., 2011; Gryspeerdt et al., 2016) and also that
458 between sulfate and N_d (Boucher and Lohmann, 1995; Lowenthal et al., 2004; Storelvmo et al.,
459 2009; McCoy et al., 2017; McCoy et al., 2018; MacDonald et al., 2020). Values of N_d are
460 influenced by the number concentration of available CCN, which is determined by aerosol
461 properties (size distribution and composition) and supersaturation level. AOD is an imperfect CCN
462 proxy variable because it does not provide information about composition and size distribution,
463 and is sensitive to relative humidity. Aerosol index (AI) is more closely related to CCN as it
464 partially accounts for the size distribution of aerosols (Deuze et al., 2001; Nakajima et al., 2001;
465 Breon et al., 2002; Hasekamp et al., 2019). The sensitivity of AI to size is evident in spatial maps
466 for each season showing more of an offshore gradient (like sulfate AOD in Figure 3) in each season
467 and lacking both the offshore peak in sea salt between $\sim 35^\circ - 40^\circ$ N and the maximum AOD for
468 dust south of 30° N in JJA. However, when comparing absolute values between the four seasons in
469 Figure 2b, AI exhibits a similar seasonal cycle as AOD, thereby indicating that size distribution
470 alone cannot explain diverging seasonal cycles for N_d and AOD. We next compare N_d to aerosol
471 data in the PBL where CCN more relevant to droplet activation are confined. Size distribution
472 effects in the PBL can instead be more of a factor especially as sea salt is abundant.

473

474 **3.4 Aerosol Size Distribution and Vertical Aerosol Distribution**

475 Vertical profiles of aerosol extinction coefficient estimated from CALIOP nighttime
476 observations are shown in Figure 4 for the six sub-domains. Shown also are the seasonally
477 representative planetary boundary layer heights (PBLHs) from MERRA-2, with numerical values
478 of both PBLH and fractional AOD contributions to the PBL and FT in Table 3. Although here we
479 used nighttime observations from CALIOP because of having higher signal to noise ratio than
480 daytime observations, we expect the general seasonal trends discussed here to remain the same
481 regardless of the observation time. The CALIOP results indicate that aerosol extinction more
482 closely follows the N_d seasonal cycle with the highest (lowest) values in the PBL during DJF (JJA).
483 However, aerosol extinction coefficient is sensitive to aerosol size distribution and a plausible
484 scenario is that DJF extinction in the PBL is primarily contributed by coarse sea salt particles,
485 which are especially hygroscopic, but do not contribute significantly to number concentration as
486 demonstrated clearly by airborne observations (i.e., FCDP $_{>3\mu m}$ time series shown in Figure 1d).
487 This is supported in part by how DJF is marked by the highest fractional AOD contribution from
488 the PBL (59 – 72%) where sea salt is concentrated. In contrast, JJA has the lowest fractional AOD
489 contribution from the PBL (11.3 – 52.6%). It is also possible that the higher fractional AOD

490 contribution from the PBL in winter partly owes to aerosol particles being more strongly confined
 491 to the PBL as compared to the summer. Sub-domains C-N and N exhibit the greatest changes in
 492 AOD fraction in the PBL between seasons with a maximum in DJF (59 – 61%) and a minimum in
 493 JJA (11 – 19%) suggesting they are relatively more sensitive to the aerosol vertical distribution in
 494 leading to contrasting AOD and N_a seasonal cycles. Bermuda stands out as having the highest
 495 AOD fractional contributions in the PBL in DJF (72%) and SON (69%) and among the highest
 496 seasonal total AODs in those two seasons (0.14 in DJF and 0.10 in SON) assisted in large part
 497 from sea salt (Figure 3) (Aldhaif et al., 2021), coincident with high seasonal wind speeds (Corral
 498 et al., 2021).
 499



500
 501 **Figure 4: Vertical profiles of CALIPSO aerosol extinction for different seasons in (a-f) six**
 502 **different sub-domains of the WNAO. Average seasonal planetary boundary layer heights**
 503 **(PBLH) from MERRA-2 are denoted with dashed lines.**

504 To explore aerosol number concentration characteristics in the PBL in different seasons, we next
 505 discuss results from an opportune dataset over the U.S. East Coast (Cape Cod, MA) providing an
 506 annual profile of CCN concentration at 1% supersaturation (Figure 5). Cape Cod is a coastal
 507 location representative of the outflow providing an important fraction of the CCN impacting
 508 offshore low-level clouds. As the supersaturation examined is relatively high (1%), the measured
 509 CCN include smaller particles representing high number concentrations that would not appreciably
 510 contribute to the high aerosol extinctions from CALIOP in the PBL in direct contrast to sea salt
 511 (i.e., high extinction due to fewer but larger particles). Seasonal mean CCN values do not follow
 512 the seasonal cycle of N_d nor CALIOP extinction in the PBL, with values being as follows: DJF =
 513 1436 cm^{-3} ; MAM = 1533 cm^{-3} ; JJA = 1895 cm^{-3} ; SON = 1326 cm^{-3} . These results suggest the
 514 following: (i) size distribution effects are significant in the PBL when comparing extinction to
 515 number concentration; and (ii) aerosol vertical distribution behavior cannot alone explain the
 516 divergent seasonal cycles of N_d and aerosol parameters (e.g., AOD, AI, surface number
 517 concentrations).

518

519 **Table 3: Average drop number concentration (N_d), MERRA-2 AOD, and vertically resolved**
 520 **AOD characteristics from CALIOP for each season over the sub-domains shown in Figure**
 521 **2. Total CALIOP AOD is shown outside parentheses and numbers inside are the percent**
 522 **AOD fraction in the planetary boundary layer followed by in the free troposphere. Also**
 523 **shown are PBLHs (shown in Figure 4) and the relative humidity in the PBLH and FT.**

	AOD _{MERRA-2} / N_d (cm^{-3})					
	S	C-S	C	C-N	N	Bermuda
DJF	0.10/56	0.11/74	0.13/91	0.12/97	0.11/78	0.10/48
MAM	0.14/55	0.17/62	0.18/72	0.19/75	0.16/70	0.14/53
JJA	0.14/41	0.16/43	0.17/47	0.19/68	0.17/73	0.11/37
SON	0.11/42	0.12/53	0.13/62	0.13/74	0.11/73	0.11/36
	AOD _{CALIOP} (%PBL,%FT)					
DJF	0.11 (64,36)	0.11 (67,33)	0.15 (68,32)	0.09 (61,39)	0.13 (59,41)	0.14 (72,28)
MAM	0.11 (54,46)	0.10 (53,47)	0.12 (58,42)	0.10 (30,70)	0.07 (30,70)	0.12 (58,42)
JJA	0.11 (53,47)	0.11 (44,56)	0.10 (46,54)	0.11 (20,80)	0.08 (11,89)	0.08 (49,51)
SON	0.09 (63,37)	0.10 (57,43)	0.10 (65,35)	0.08 (47,53)	0.07 (35,65)	0.10 (69,31)
	PBLH (m)/RH _{PBL} (%)/RH _{FT} (%)					
DJF	1018/78/37	1156/76/43	1364/79/46	1013/76/52	926/76/58	1198/80/43
MAM	903/77/41	955/72/43	1043/75/48	722/72/53	568/79/55	966/79/50
JJA	775/81/62	725/81/60	697/81/59	481/78/53	351/85/55	713/82/58
SON	1018/80/50	1094/76/45	1181/76/42	825/71/43	593/77/51	1095/81/48

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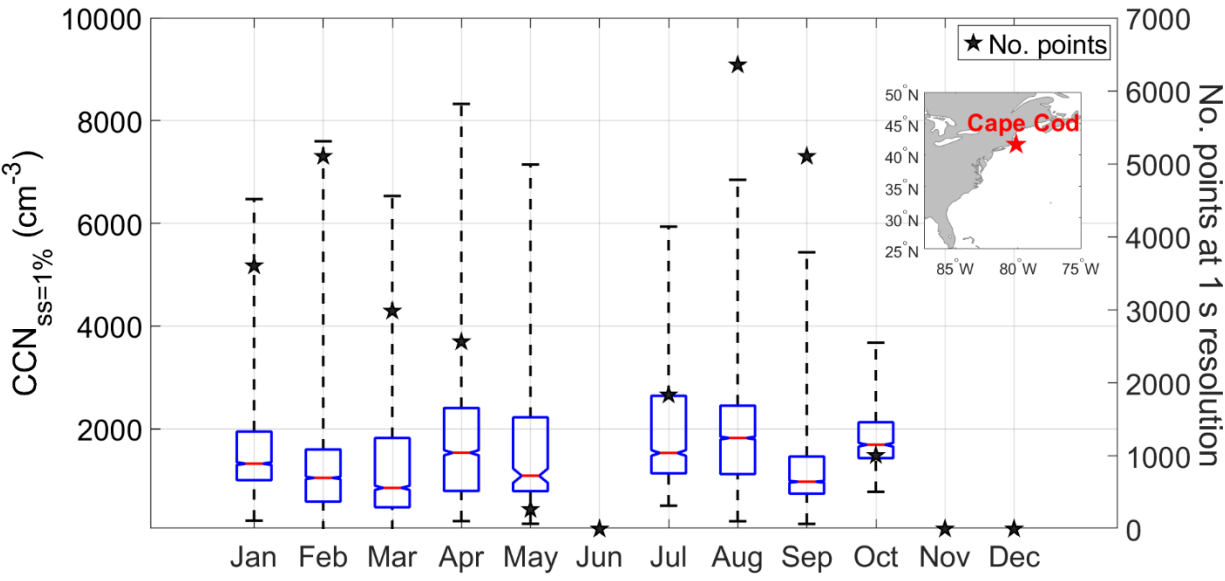
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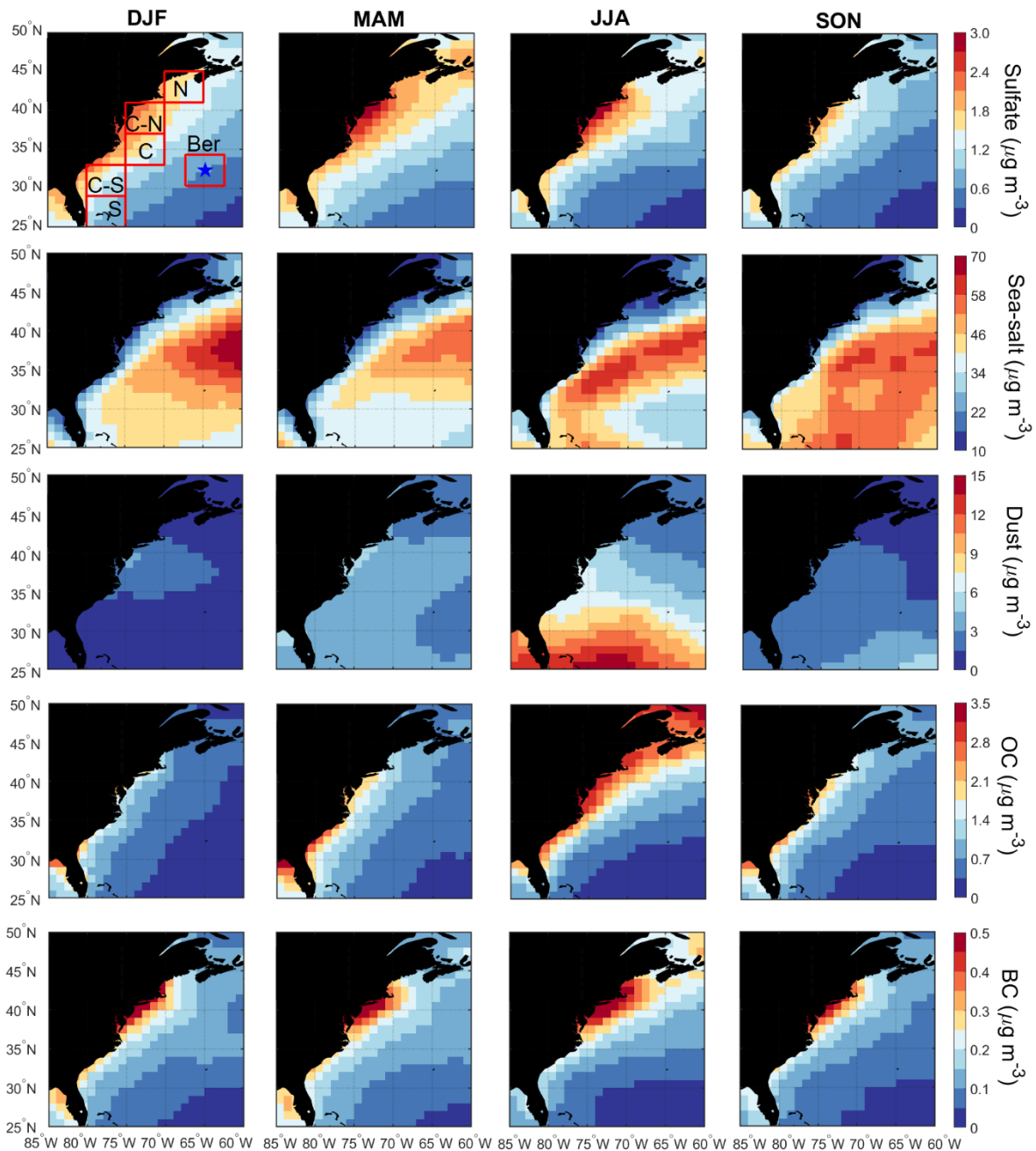
We next compare MERRA-2 speciated aerosol concentrations at the surface (Figure 6) to those of speciated AOD (Figure 3). Surface mass concentrations have the limitation of being biased by larger particles (similar to extinction). The seasonal cycle of mean values for speciated AOD

530 and surface concentration for individual sub-domains generally agree with the exception that there
 531 was disagreement for sulfate in each sub-domain (see seasonal mean values in Table S2). Sulfate
 532 exhibited higher AODs in JJA but with surface concentrations usually highest in DJF or MAM;
 533 although differences in seasonal mean mass concentrations were relatively small ($< 1 \mu\text{g m}^{-3}$), a
 534 plausible explanation is enhanced secondary production of sulfate via oxidation of SO_2 or DMS
 535 convectively lifted to the free troposphere in JJA. An important result confirmed by the surface
 536 mass concentrations is that sea salt is an order of magnitude higher than the other species,
 537 supporting the previous speculation that sea salt dominates the aerosol extinction in the PBL from
 538 CALIOP.
 539



540
 541 **Figure 5: Monthly statistics of CCN concentration (1% supersaturation) measured at Cape**
 542 **Cod between July 2012 and May 2013. Red lines represent median, whiskers are the monthly**
 543 **range, and the top and bottom of boxes represent the 75th and 25th percentile, respectively.**
 544 **The notches in the box plots demonstrate whether medians are different from each other**
 545 **with 95% confidence. Boxes with notches that do not overlap with each other have different**
 546 **medians with 95% confidence.**

547



548
 549 **Figure 6: Seasonal maps MERRA-2 speciated aerosol concentrations at the surface based**
 550 **on data between January 2013 and December 2017. The boxes in top left panel represent**
 551 **sub-domains examined in more detail throughout the study, with the blue star denoting**
 552 **Bermuda.**

553
 554 **3.5 Aerosol-Cloud Interactions**

555 Studies of China's east coast have shown that the aerosol indirect effect is especially strong
 556 in wintertime, whereby pollution outflow leads to high N_d and suppressed precipitation (Berg et
 557 al., 2008; Bennartz et al., 2011). It is hypothesized that a similar effect is taking place off of North
 558 America's east coast, which could in part explain enhanced N_d without necessarily a significant

559 jump in aerosol parameter (e.g., AOD, AI) values. Grosvenor et al. (2018) suggested that high
560 cloud fractions in wintertime off these east coasts relative to other seasons are coincident with
561 strong temperature inversions usually associated with cold air outbreaks that serve to concentrate
562 and confine surface layer aerosols. We examine the relative seasonal strength of the aerosol
563 indirect effect via spatial maps of the following metric commonly used in aerosol-cloud interaction
564 (ACI) studies:

$$565 \text{ACI} = d\ln(N_d)/d\ln(\alpha) \quad (3)$$

566 where α represents an aerosol proxy parameter that is represented here as AI, AOD, the speciated
567 sulfate AOD (Sulfate_{AOD}), and sulfate surface mass concentration (Sulfate_{sf-mass}). The expected
568 range by common convention is 0 – 1, with higher values suggestive of greater enhancement in N_d
569 for the same increase in the aerosol proxy parameter.

570 Table 4 shows that DJF always exhibits the highest ACI values regardless of the aerosol
571 proxy used, consistent with a stronger aerosol indirect effect in DJF over East Asia. The mean ACI
572 values in DJF using AI, AOD, Sulfate_{AOD}, and Sulfate_{sf-mass} ranged from 0.25 to 0.55, 0.28 – 0.59,
573 0.25 – 0.53, and 0.22 – 0.47, respectively, depending on the sub-domain. Spatial maps of ACI
574 (Figure 7) do not point to significant geographic features. Coefficients of determination (R^2) for
575 the linear regression between $\ln(N_d)$ and $\ln(\alpha)$ when computing seasonal ACI values were
576 generally low (≤ 0.30), with spatial maps of R^2 and data point numbers in Figure S2. Poor
577 correlations are suggestive of the non-linear nature of aerosol-cloud interactions (e.g., Gryspeerdt
578 et al., 2017) and the influence of other likely factors such as dynamical processes and turbulence,
579 data spatial resolution and dataset size, cloud adiabaticity, wet scavenging effects, and aerosol size
580 distribution (McComiskey et al., 2009). The results of this section suggest though that aerosol
581 indirect effects could be strongest in DJF, meaning that N_d values increase more for the same
582 increase in aerosol. Factors that can contribute to higher ACI values in winter than summer include
583 seasonal differences in the following: (i) dynamical processes and turbulent structures of the
584 marine boundary layer; (ii) aerosol size distributions and consequently varying particle number
585 concentrations for a fixed mass concentration; and (iii) hygroscopicity of particles especially as a
586 result of changes in the composition of the carbonaceous aerosol fraction. Regarding dynamical
587 processes and the effects of turbulence, Figure 2 in Painemal et al. (2021) shows that heat fluxes
588 (i.e., latent and sensible fluxes) are strongest (lowest) in the winter (summer) over the WNAO.
589 The greater heat fluxes in DJF can contribute to more turbulent and coupled marine boundary layer
590 conditions in winter than summer, presumably resulting in more efficient transport and activation
591 of aerosol in the marine boundary layer leading to higher ACI values. Forthcoming work will probe
592 this issue in greater detail.

593
594
595
596

597 **Table 4: Estimated values of ACI calculated four ways ($\text{dlog}(N_d)/\text{dlog}(\text{AOD})$;**
598 **$\text{dlog}(N_d)/\text{dlog}(\text{AI})$; $\text{dlog}(N_d)/\text{dlog}(\text{Sulfate}_{\text{AOD}})$; $\text{dlog}(N_d)/\text{dlog}(\text{Sulfate}_{\text{sf-mass}})$) for the sub-**
599 **domains shown in Figure 2. The ACI values were obtained from log-log regression on**
600 **average daily values of N_d and each of the aerosol proxy variables including only the pixels**
601 **with $\text{CF}_{\text{low-liq}}$ greater than 0.1. Numbers in parentheses, in order, are R^2 and the number of**
602 **points used for linear regression. Statistically insignificant ACI values with p-value greater**
603 **than 0.05 are marked by bold font.**
604

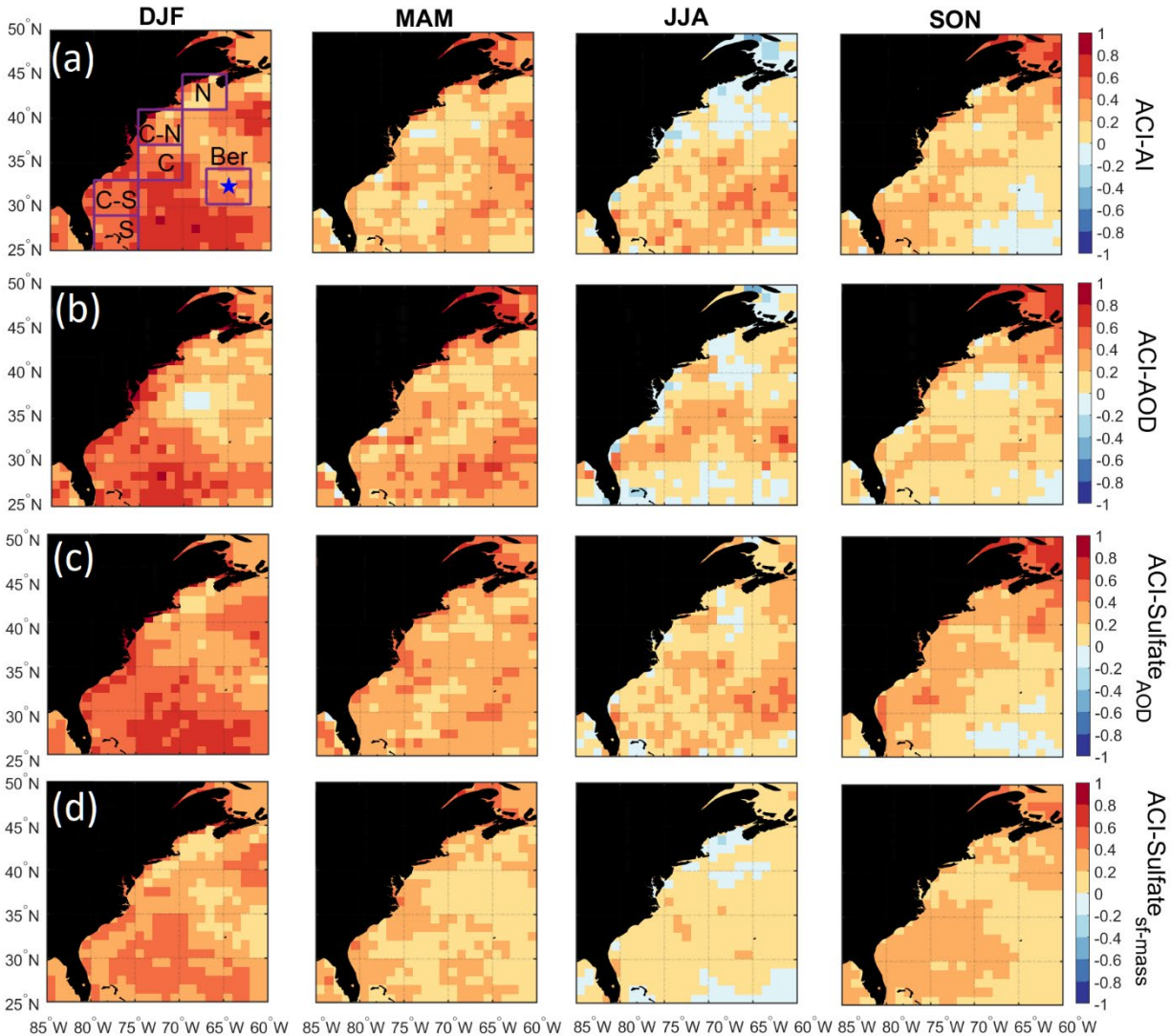
ACI-AI						
	S	C-S	C	C-N	N	Bermuda
DJF	0.55 (0.24,440)	0.53 (0.17,421)	0.53 (0.14,403)	0.33 (0.05,418)	0.25 (0.04,403)	0.42 (0.09,422)
MAM	0.21 (0.03,451)	0.13 (0.01,439)	0.30 (0.06,422)	0.17 (0.02,426)	0.31 (0.05,428)	0.28 (0.04,437)
JJA	0.25 (0.02,437)	0.20 (0.03,437)	0.28 (0.07,424)	0.11 (0.01,430)	-0.12 (0.01,408)	0.38 (0.09,443)
SON	0.23 (0.03,435)	0.20 (0.03,428)	0.26 (0.05,431)	0.19 (0.04,412)	0.24 (0.06,394)	0.00 (0.00,428)
all	0.27 (0.05,1763)	0.16 (0.02,1725)	0.22 (0.04,1680)	0.12 (0.01,1686)	0.12 (0.01,1633)	0.23 (0.04,1730)
ACI-AOD						
DJF	0.59 (0.13,440)	0.53 (0.12,421)	0.47 (0.10,403)	0.39 (0.06,418)	0.28 (0.04,403)	0.37 (0.08,422)
MAM	0.26 (0.02,451)	0.22 (0.01,439)	0.43 (0.07,422)	0.30 (0.04,426)	0.40 (0.06,428)	0.32 (0.03,437)
JJA	0.02 (0.00,437)	0.24 (0.02,437)	0.36 (0.07,424)	0.15 (0.01,430)	-0.06 (0.00,408)	0.30 (0.04,443)
SON	0.14 (0.01,435)	0.18 (0.02,428)	0.17 (0.02,431)	0.16 (0.02,412)	0.27 (0.05,394)	0.18 (0.02,428)
all	0.13 (0.01,1763)	0.12 (0.01,1725)	0.22 (0.03,1680)	0.15 (0.01,1686)	0.16 (0.02,1633)	0.31 (0.05,1730)
ACI-Sulfate _{AOD}						
DJF	0.53 (0.25,440)	0.53 (0.21,421)	0.53 (0.19,403)	0.37 (0.08,418)	0.25 (0.05,403)	0.43 (0.13,422)
MAM	0.29 (0.05,451)	0.27 (0.04,439)	0.42 (0.14,422)	0.32 (0.07,426)	0.41 (0.11,428)	0.34 (0.07,437)
JJA	0.21 (0.02,437)	0.19 (0.03,437)	0.33 (0.09,424)	0.20 (0.04,430)	0.04 (0.00,408)	0.39 (0.09,443)
SON	0.16 (0.02,435)	0.23 (0.04,428)	0.29 (0.07,431)	0.28 (0.09,412)	0.35 (0.13,394)	0.07 (0.00,428)
all	0.23 (0.04,1763)	0.19 (0.03,1725)	0.30 (0.07,1680)	0.23 (0.05,1686)	0.22 (0.05,1633)	0.25 (0.05,1730)
ACI-Sulfate _{sf-mass}						
DJF	0.44 (0.29,440)	0.41 (0.22,421)	0.47 (0.22,403)	0.22 (0.04,418)	0.23 (0.06,403)	0.32 (0.14,422)
MAM	0.24 (0.07,451)	0.25 (0.08,439)	0.29 (0.12,422)	0.24 (0.05,426)	0.36 (0.09,428)	0.16 (0.04,437)
JJA	0.11 (0.01,437)	0.12 (0.03,437)	0.23 (0.11,424)	0.19 (0.06,430)	-0.12 (0.01,408)	0.20 (0.07,443)
SON	0.32 (0.16,435)	0.36 (0.18,428)	0.34 (0.19,431)	0.19 (0.06,412)	0.21 (0.05,394)	0.17 (0.07,428)
all	0.32 (0.13,1763)	0.30 (0.12,1725)	0.36 (0.17,1680)	0.19 (0.04,1686)	0.15 (0.02,1633)	0.25 (0.11,1730)

605

606

607

608



609
 610 **Figure 7: Seasonal maps of the aerosol-cloud interaction (ACI) parameters over the WNAO**
 611 **using daily N_d and four different aerosol proxy parameters (AI, AOD, Sulfate_{AOD}, Sulfate_{sf-}**
 612 **mass) from CERES-MODIS and MERRA-2, respectively. ACI statistics associated with the**
 613 **six sub-domains shown are summarized in Table 4.**

614
 615 **4. Discussion of Potential Influential Factors**

616 We probe deeper into factors related to the N_d seasonal cycle by using (Section 4.1)
 617 composite analyses based on “high” and “low” N_d days, and (Section 4.2) advanced regression
 618 techniques tackling non-linear relationships. We focus the analyses on one sub-domain (C-N) both
 619 for simplicity and intriguing characteristics: (i) among the highest anthropogenic AOD values over
 620 the WNAO, (ii) significant seasonal changes in fractional AOD contribution to the PBL, (iii) close
 621 to the Cape Cod site where CCN data were shown, and (iv) the aerosol indirect effect (Table 4) is
 622 strongest (weakest) in DJF (JJA).
 623

624 4.1 Composite Analysis

625 Discussion first addresses the behavior of different environmental parameters on days with
626 the highest and lowest N_d values. Seasonal histograms of averaged daily N_d were generated for
627 sub-domain C-N. The histograms are based on the natural logarithm of N_d to better resemble a
628 normal distribution. We assign values as being low in each season if they are less than one standard
629 deviation below the seasonal value; conversely, high values are those exceeding one standard
630 deviation above the seasonal mean. Cut-off N_d values (cm^{-3}) are as follows (low/high): 33/153
631 (DJF), 29/118 (MAM), 38/100 (JJA), and 31/115 (SON). Next, composite maps for these groups
632 were created (Figures 8 – 12) for sea level pressure, near-surface wind, low-level cloud fraction,
633 cold-air outbreak index, and AOD. The figures contrast the low and high N_d maps with those
634 showing mean seasonal values to investigate potential factors that contribute to seasonal N_d
635 variability. Interested readers are referred to Figures S3 – S20 where similar composite map results
636 are shown for N_d itself and other parameters including those in Table 2.

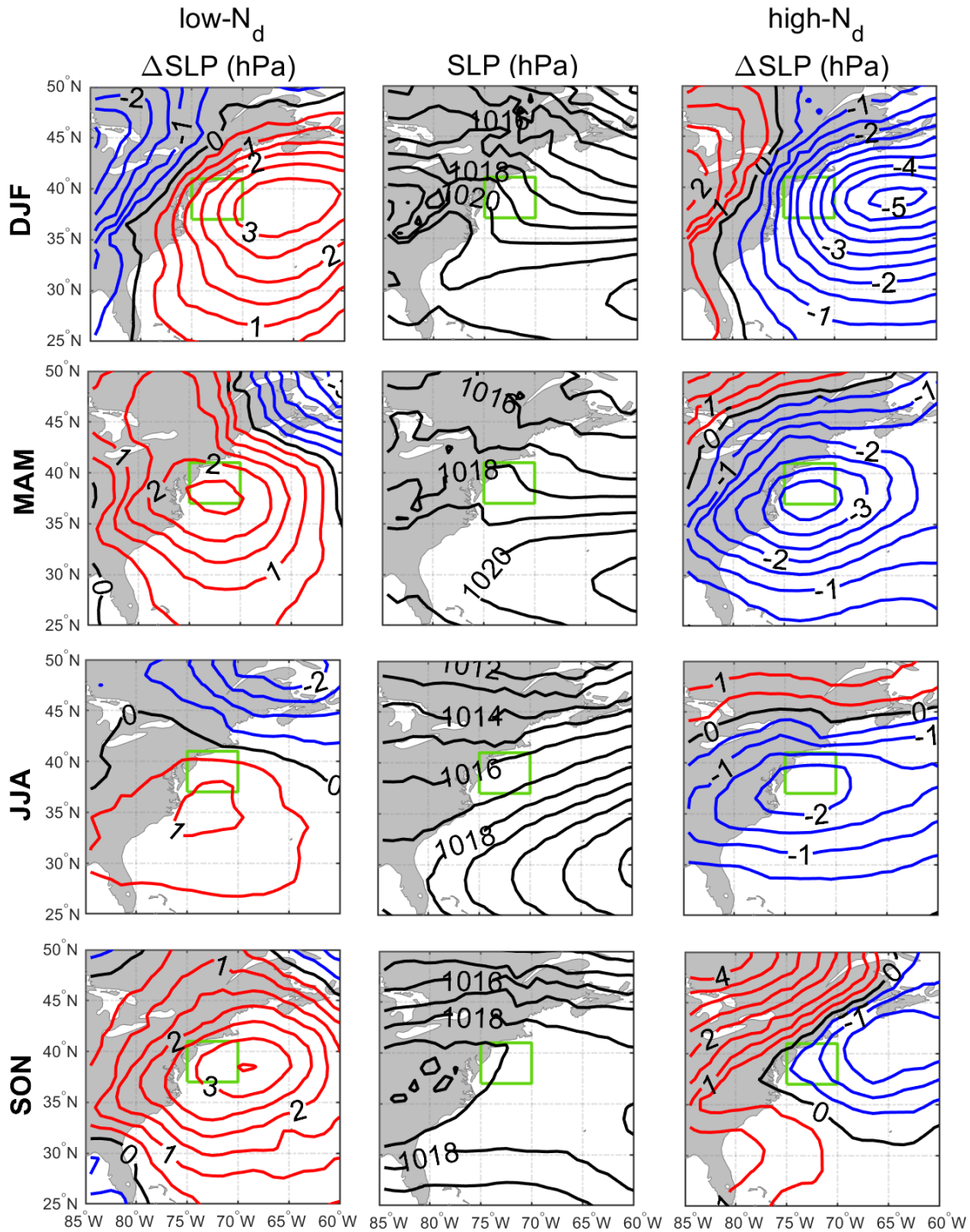
637
638 The resulting composite maps indicate high N_d days are characterized by (i) reduced SLP; (ii) more
639 northerly-northwesterly flow for all seasons (except JJA) and especially stronger winds in DJF and
640 SON; (iii) higher low-level liquid cloud fraction, especially in DJF; (iv) higher CAO index in the
641 seasons when CAO events occur more frequently (DJF, SON, MAM); and (v) enhanced AOD.
642 Low N_d days generally exhibited opposite conditions when compared to seasonal mean values: (i)
643 enhanced SLP; (ii) wind ranging from southerly to westerly without any significant wind speed
644 enhancement; (iii) reduced low-level liquid cloud fraction, especially in DJF; (iv) lower CAO
645 index in DJF, SON, and MAM; and (v) reduced AOD in DJF and MAM, enhanced AOD in JJA,
646 and limited change in SON. Noteworthy results from Figures S3 – S20 included the
647 enhancement/reduction of PBLH on high/low N_d days (least pronounced in JJA), higher/lower RH
648 at 950 and 800 hPa on high/low N_d days, and higher/lower sulfate AOD and surface concentrations
649 on high/low N_d days for DJF and MAM. Furthermore, there was a general reduction in rain on low
650 N_d days for most seasons except SON, with rain enhancement on high N_d days except for DJF
651 (Figure S6); this was unexpected as wet removal was hypothesized to be a reason for reduced N_d
652 for at least the low N_d days. This may be attributed to the rain product being for surface
653 precipitation (and thus not capturing all drizzle) and for all cloud types, including more heavily
654 precipitating clouds deeper and higher than the low-level clouds examined for N_d . Another factor
655 potentially contributing to the observed counterintuitive trends is the temporal offset between N_d
656 estimations from MODIS-Aqua and precipitation data from PERSIANN-CDR.

657 The mean seasonal climatological values and anomalies suggest that high N_d cases are
658 marked by continental outflow, high cloud fractions, high PBLH, and low SLP, all of which occur
659 most commonly in DJF and are associated with cold air outbreaks. These events are marked by
660 cold air over the warm ocean leading to strong surface heat fluxes, boundary layer deepening,
661 weakened inversion strength, in addition to high and deep clouds (Brummer, 1996; Kolstad et al.,
662 2009; Fletcher et al., 2016; Abel et al., 2017; Naud et al., 2018). Coincident with these features is
663 the Icelandic Low, which is a significant climatological feature of the North Atlantic whereby
664 subpolar low pressure builds in extratropic areas beginning in the fall with westerly winds in the
665 boundary layer that shift more to northerly in the winter (Sorooshian et al., 2020; Painemal et al.,
666 2021). This low-pressure system seems to be stronger on high N_d days resulting in more continental
667 outflow and high number concentrations of CCN; the greater CAO index values near the coast

668 promote high cloud coverage affording more opportunity for cloud processing of particles to
669 ultimately enhance droplet activation. While there can be considerable enhancement in N_d as cold
670 air outbreak air masses evolve over warmer waters, precipitation scavenging farther downwind
671 will be an efficient method of boundary layer aerosol (and N_d) removal (Abel et al., 2017; Lloyd
672 et al., 2018), which contributes at least in part to the sharp N_d gradients offshore demonstrated in
673 Figure 1.

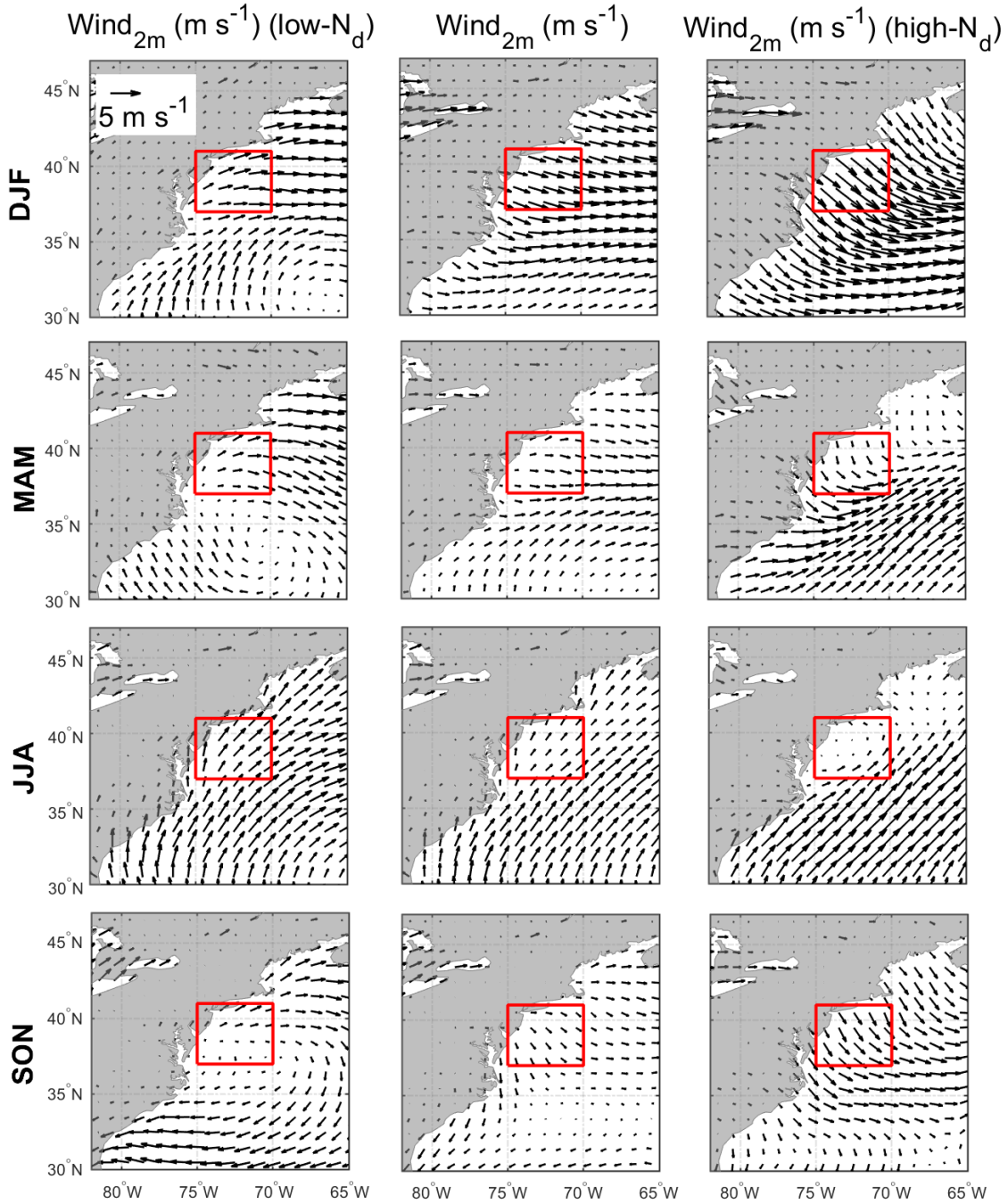
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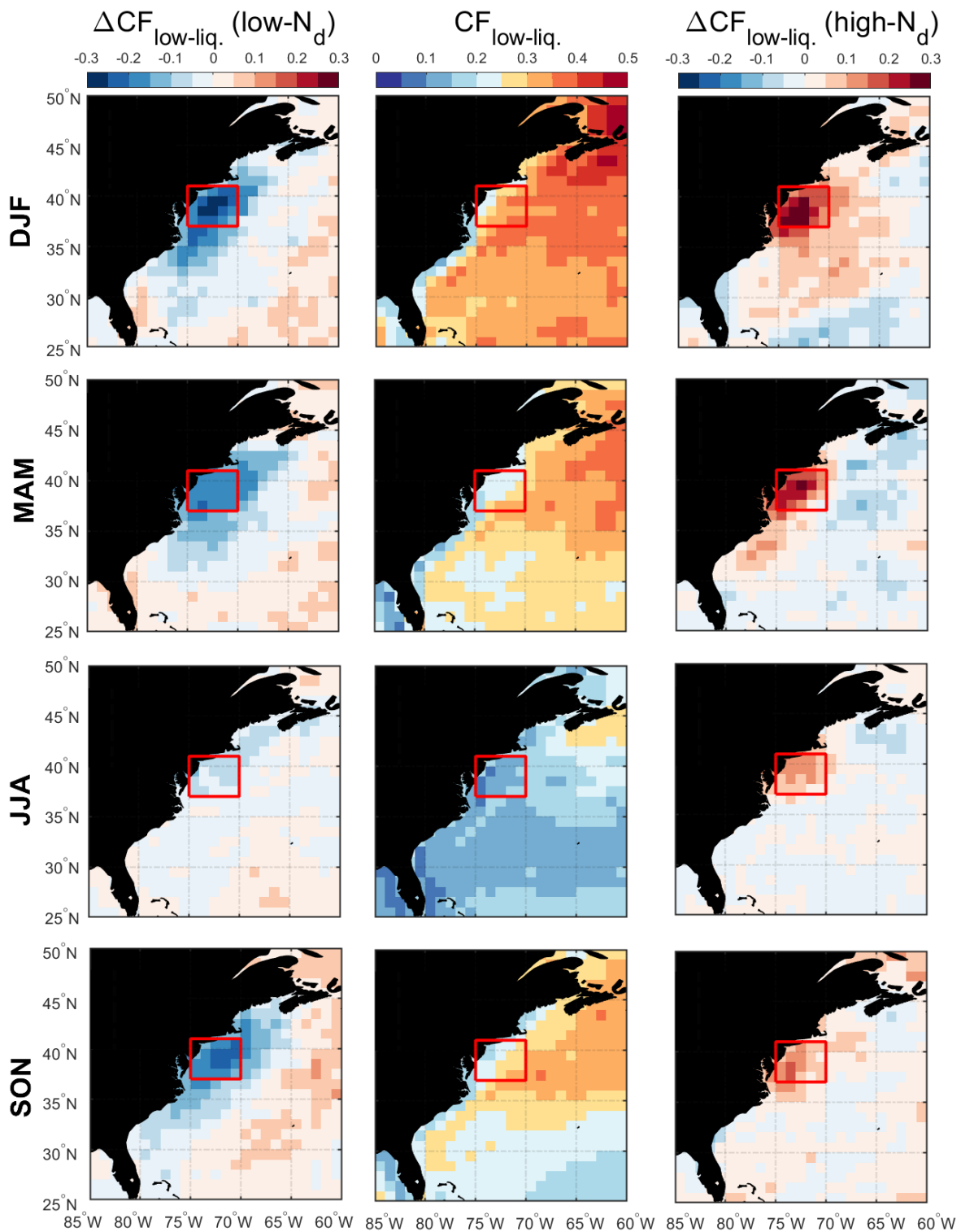
676

677 **Figure 8: Seasonal climatology of sea-level pressure (SLP) (middle column) and anomalies**
 678 **from seasonal averages for low- N_d days (left column) and high- N_d days (right column). In**
 679 **the left and right columns, red and blue contours are associated with positive and negative**
 680 **anomalies from the climatology, respectively. The green box represents sub-domain C-N for**
 681 **which the analysis was conducted.**

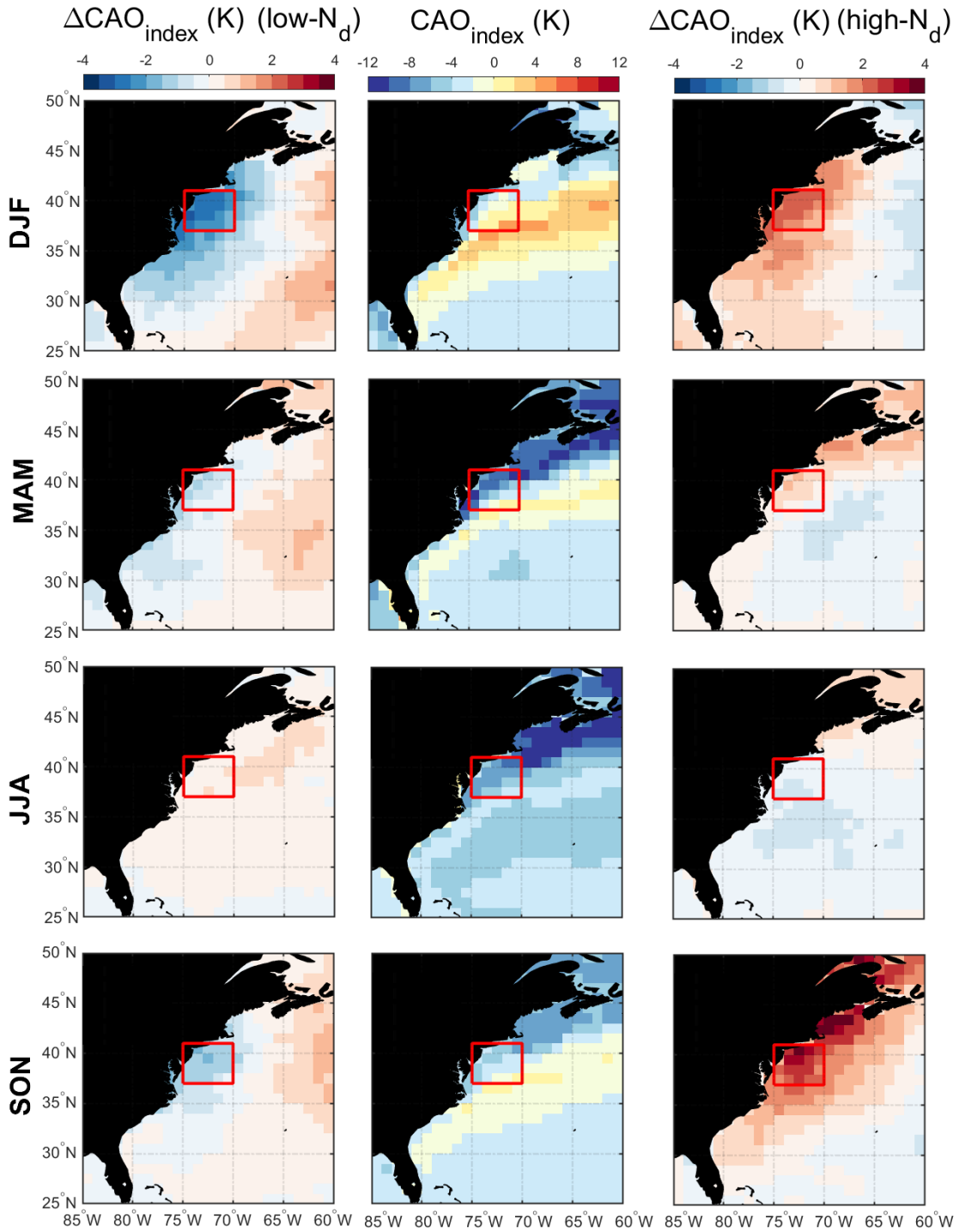


682

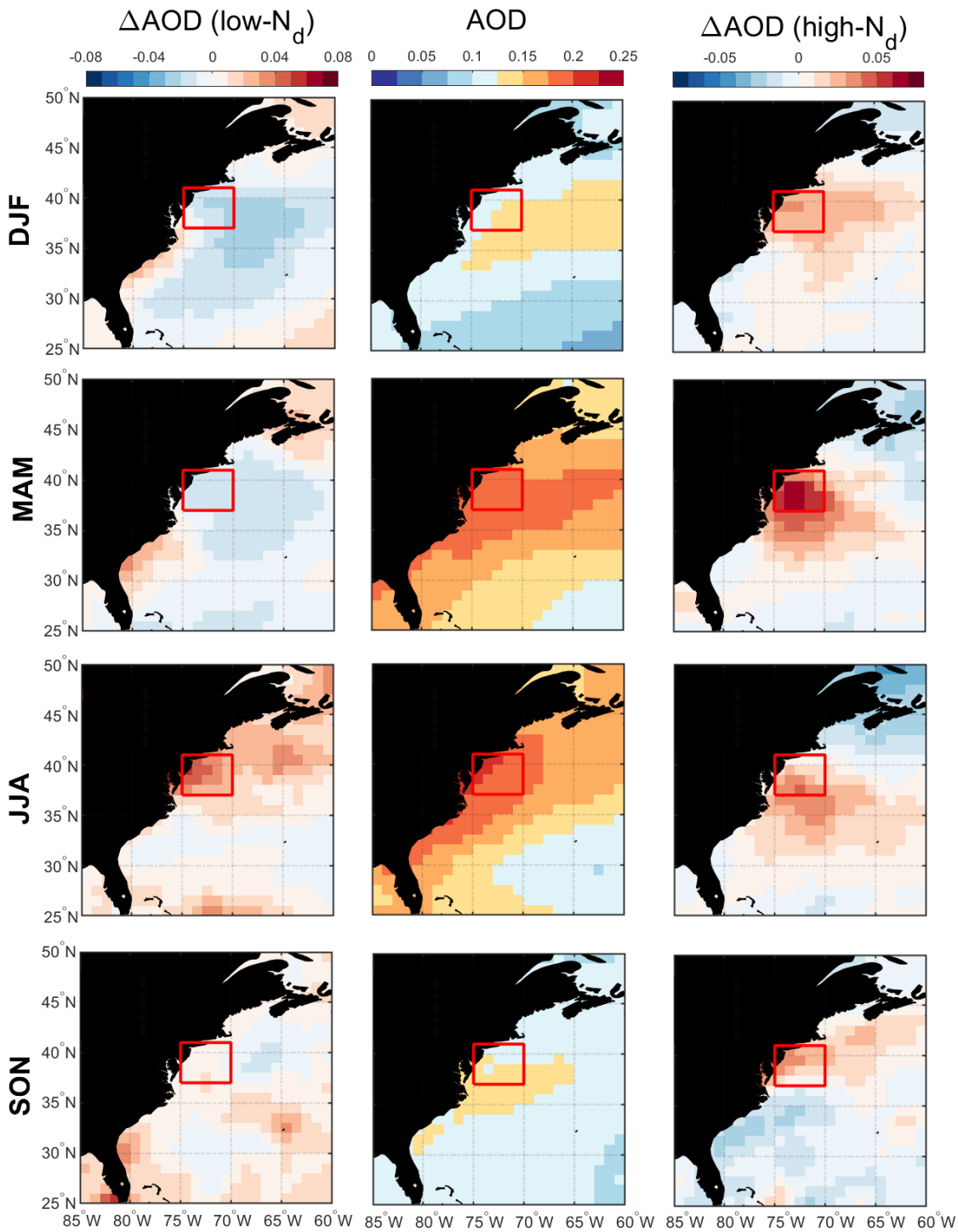
683 **Figure 9: Seasonal climatology of near-surface (2 m above ground) wind speed (middle**
 684 **column) and mean values for low-N_d days (left column) and high-N_d days (right column).**
 685 **The reference wind vector is shown on the top left panel. The red box represents sub-domain**
 686 **C-N for which the analysis was conducted.**



687
 688 **Figure 10: Seasonal averages of low-level liquid cloud fraction (middle column) and**
 689 **associated anomalies on low- N_d days (left column) and high- N_d days (right column). The red**
 690 **box represents sub-domain C-N for which the analysis was conducted.**



691
 692 **Figure 11: Seasonal averages of cold-air outbreak (CAO) index (middle column) and**
 693 **associated anomalies on low- N_d days (left column) and high- N_d days (right column). The red**
 694 **box represents sub-domain C-N for which the analysis was conducted.**



695
 696 **Figure 12: Seasonal averages of MERRA-2 AOD (middle column) and associated anomalies**
 697 **on low- N_d days (left column) and high- N_d days (right column). The red box represents sub-**
 698 **domain C-N for which the analysis was conducted.**
 699
 700

701 4.2 Multivariate Regression Analysis

702 Modeling analysis focuses on the two seasons (DJF and JJA) with the extremes in terms of
 703 seasonal mean values for N_d and aerosol parameters. Added motivation for examining those two
 704 seasons stems from spatial maps of R^2 based on ACI analysis (Figure S2). Using the surface sulfate
 705 concentration as the aerosol proxy generally yielded higher R^2 values in three seasons (DJF = 0.13,
 706 MAM = 0.05, SON = 0.08) except JJA (0.02) for which the choice did not matter owing to low R^2
 707 (≤ 0.03) values for all four aerosol proxy variables tested. Although the R^2 values are all generally
 708 low, DJF and JJA are the seasons when surface sulfate levels are the most and least capable in
 709 explaining N_d , with R^2 among the four proxy variables exhibiting the widest (DJF values: 0.07 –
 710 0.13) and narrowest range (JJA: 0.01 – 0.03) of values. We address here how much improvement
 711 is gained in modeling N_d by advancing from linear regressions based on one input variable to (i)
 712 adding more input variables, and (ii) moving to a more sophisticated model (GBRT) that captures
 713 non-linear relationships.

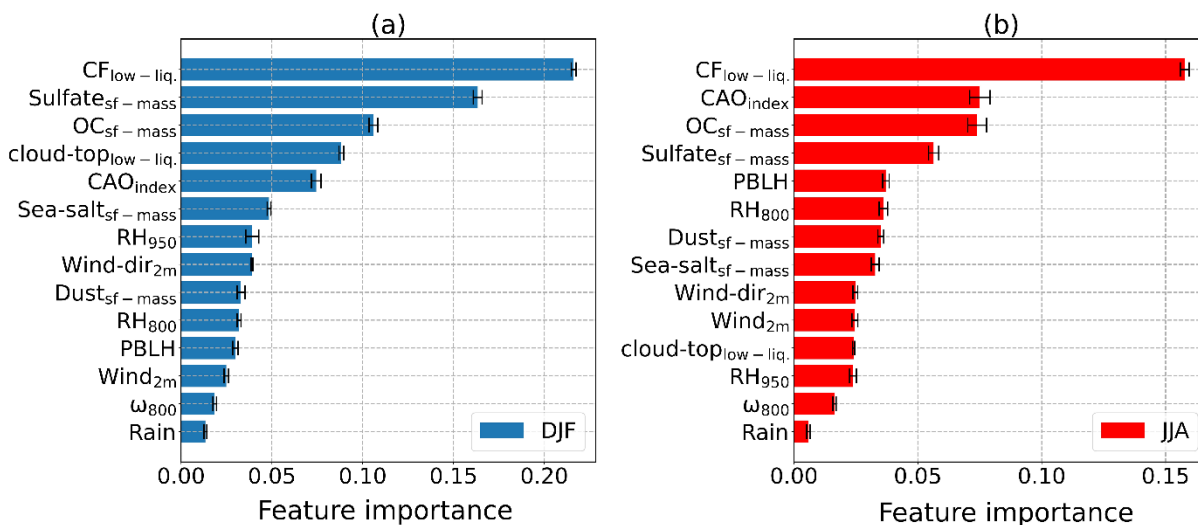
714 We show in Table 5 the performance of two linear models based on a single linear
 715 regression (with sulfate mass concentration), and a multi-regression that uses 14 input variables
 716 listed in Table 2. In addition, Table 5 also lists the performance of the GBRT model that ingests
 717 14 input variables, similar to the linear multi-regression model. The average R^2 scores of the test
 718 set for predicting N_d based on a linear regression using only sulfate surface mass concentration
 719 were 0.17 and 0.09 in DJF and JJA, respectively. In contrast, R^2 between the multi-regression
 720 linear model and the test dataset increased to 0.28 and 0.25 for DJF and JJA, respectively. This
 721 increase in predictive capability was helpful to reduce the gap between seasons by presumably
 722 accounting for factors more important in JJA aside from surface concentration of sulfate. The R^2
 723 scores increased even more to 0.47 and 0.43 for DJF and JJA, respectively, for the GBRT model.
 724 Therefore, accounting for non-linear relationships improved predictive capability in both seasons.
 725 It is important to note that the GBRT model was robust in terms of overfitting and especially
 726 generalizability as R^2 values of the test and validation sets were similar for both seasons.

727
 728 **Table 5: Performance of different models in predicting N_d assessed based on average R^2 -**
 729 **scores on both validation and test sets. The models were fitted separately for DJF and JJA**
 730 **seasons. Table 2 has the complete list of variables used in the GBRT model.**

Model	Model type	Number of predictor variables	R^2 -score (DJF/JJA)	
			Validation set	Test set
$N_d \sim f(\text{Sulfate}_{\text{sf-mass}})$	Linear	1	0.17/0.09	0.17/0.09
$N_d \sim f(\text{Sulfate}_{\text{sf-mass}}, \text{CF}_{\text{low-liq.}, \dots})$	Linear	14	0.27/0.24	0.28/0.25
$N_d \sim f(\text{Sulfate}_{\text{sf-mass}}, \text{CF}_{\text{low-liq.}, \dots})$	GBRT	14	0.48/0.43	0.47/0.43

733
 734 We next discuss the importance ranking of different parameters from Table 2 in terms of
 735 influencing N_d for DJF and JJA (Figure 13). Low-level liquid cloud fraction was the most
 736 important parameter in both seasons with some commonality in the next three parameters for both
 737 seasons. In DJF, sulfate surface mass concentrations were the second most important factor,
 738 followed by organic carbon surface concentrations and low-level liquid cloud-top effective height.
 739 As sulfate is secondarily produced via gas-to-particle conversion processes, this result is consistent
 740 with those from Figure 1 showing the presumed strong impact of particles smaller than 100 nm in

741 impacting N_d values close to shore. In JJA, the CAO index was the second most important,
 742 followed by organic carbon and sulfate surface concentrations. Also, our results throughout the
 743 study and supported by modeling are in agreement with Quinn et al. (2017) that sulfate particles
 744 contribute more to the CCN budget than sea salt particles. In DJF and JJA, the fifth most important
 745 factor was CAO index (2nd most important in JJA) and PBLH (11th most important in DJF),
 746 respectively.
 747
 748

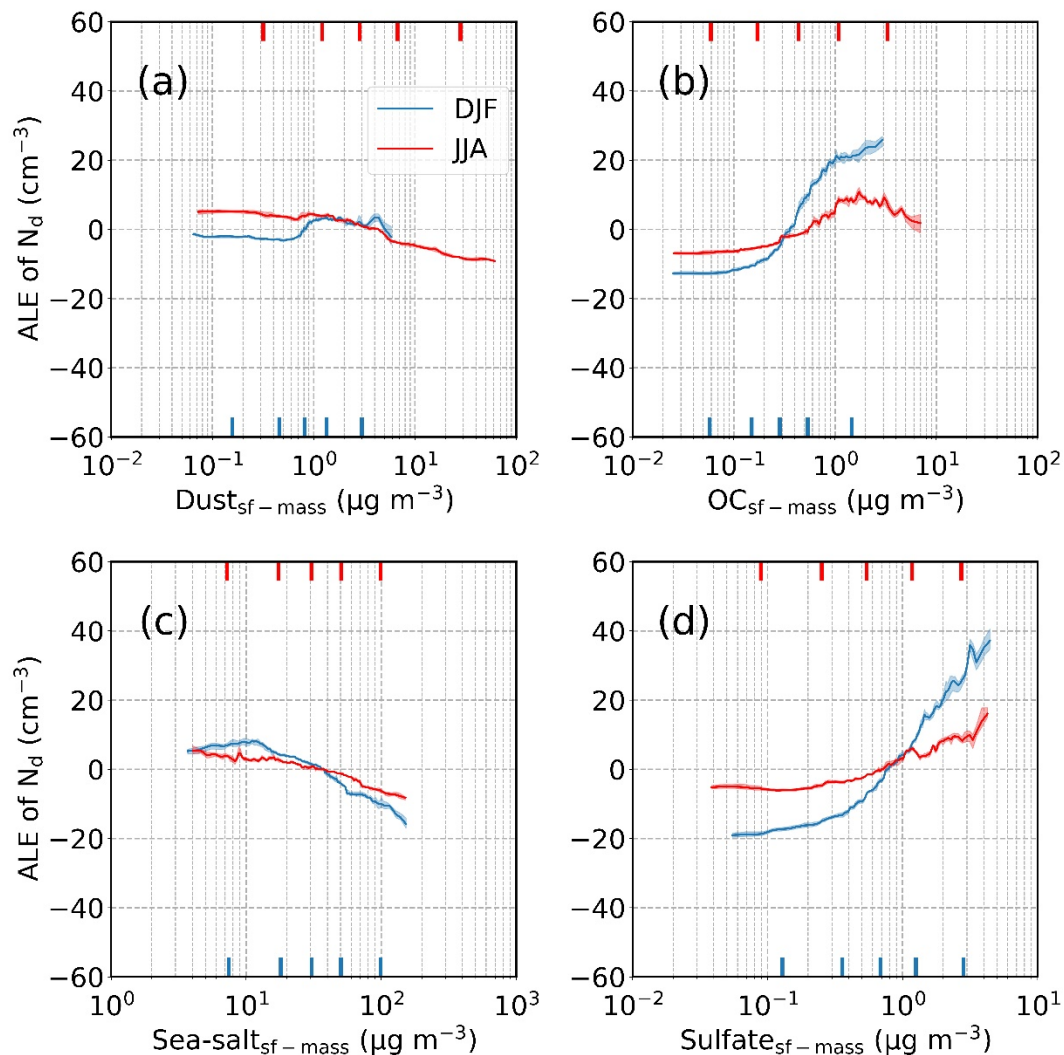


749
 750 **Figure 13: Average permutation feature importance of input parameters for (a) DJF and (b)**
 751 **JJA based on GBRT models trained in each season. Feature importance values were**
 752 **calculated based on using the test set. Error bars exhibit the range of feature importance**
 753 **values stemming from the variability of the obtained models from the cross-**
 754 **validation resampling procedure.**

755
 756 Figures 14 and 15 show accumulated local effect (ALE) plots for the various parameters
 757 ranked in Figure 13. In both seasons, but especially DJF, enhanced surface concentrations of
 758 sulfate and organic carbon coincide with higher N_d , whereas there was not any obvious positive
 759 association between N_d and either sea salt or dust (Figure 14). Dust in JJA and sea salt in DJF,
 760 seasons of which each respective aerosol type is most predominant, exhibited negative
 761 relationships with N_d . Such a negative relationship is plausibly related to differences between ACI
 762 when calculated using AOD versus AI (Painemal et al., 2021); for instance, coarse sea salt can
 763 expedite collision-coalescence and thus reduce N_d , which has the effect of reducing ACI (Eq. 3)
 764 and even possibly yielding negative values (Table 4). Negative values of other ACI constructs
 765 coincident with poor R^2 values have previously been attributed to potential effects of giant CCN
 766 (Terai et al., 2015; Dadashazar et al., 2017), but further research needs to examine this in more
 767 detail.

768 Figure 15 shows the similarity in the positive relationship between cloud fraction and N_d
 769 in both seasons. Only in DJF did cloud-top effective height exhibit a clear relationship with N_d
 770 (positive), likely linked to the common phenomenon of CAOs noted in Section 4.1 based on
 771 heightened CAO index values, deepening of the boundary layer, and weakened inversion strength.

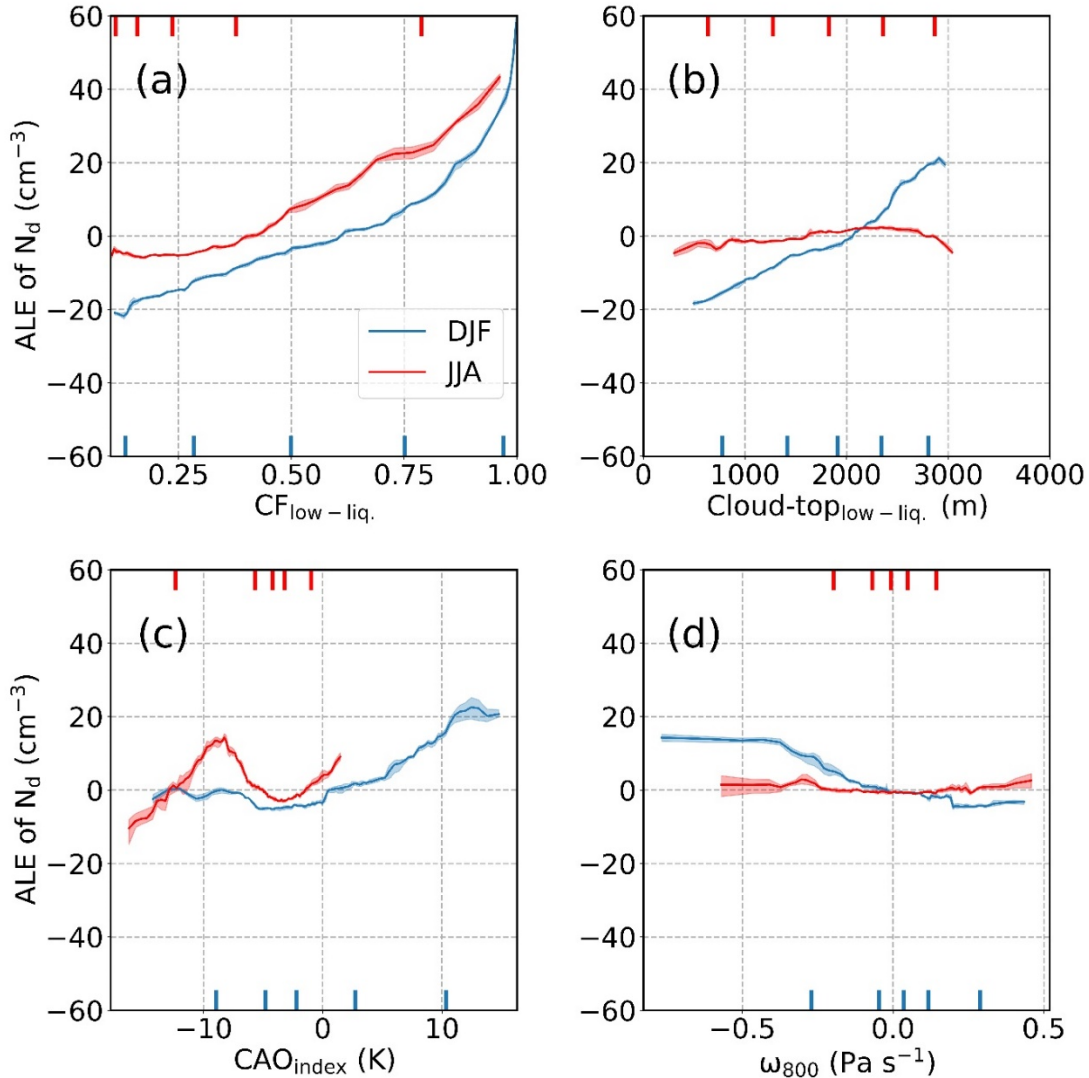
772 This is supported by enhanced N_d values coincident with negative values for ω_{800} (i.e., rising
 773 motion) and CAO index values above 0 in DJF without such relationships in JJA (Figure 15). The
 774 six parameters in Figure S21 (PBLH, RH₉₅₀, RH₈₀₀, Rain, Wind_{2m}, Wind-dir_{2m}) did not reveal very
 775 pronounced trends with N_d in either season consistent with how they did not rank highly in
 776 importance (Figure 13). Of particular interest is Wind_{2m}, which is used here as a proxy variable for
 777 updraft speed in the marine boundary layer, which is expected to have a high impact on N_d via its
 778 effect on in-cloud supersaturation. Although the ALE plot of Wind_{2m} suggested a small increase
 779 of about $\sim 10 \text{ cm}^{-3}$ in N_d as the wind speed increased, Wind_{2m} did not come out as a very important
 780 parameter in either seasons. This may be due to the fact that environmental conditions representing
 781 updraft speed were already included in parameters such as cloud fraction and CAO index. Another
 782 explanation can be the shortcomings and high uncertainties associated with the use of Wind_{2m} as a
 783 proxy for updraft speed.



784 **Figure 14: Average local accumulated effect (ALE) profiles based on GBRT modeling for**
 785 **surface mass concentrations of the following parameters: (a) dust, (b) organic carbon, (c)**
 786 **sea-salt, and (d) sulfate. Blue and red profiles represent ALEs of DJF and JJA,**
 787 **respectively. Shaded areas show the ALE ranges stemming from the variability of the**
 788

789 obtained models from the cross-validation resampling procedure. Markers on the bottom
 790 and top x-axes denote the values of 5th, 25th, 50th, 75th, and 95th percentiles for each input
 791 variable.

792



793

794 **Figure 15: Same as Figure 14 but for the following input parameters: (a) low-level liquid**
 795 **cloud fraction ($CF_{\text{low-liq}}$), (b) cloud-top effective height of low-level liquid cloud ($\text{cloud-top}_{\text{low-}}$**
 796 **liq.), (c) cold-air outbreak (CAO) index, and (d) vertical pressure velocity at 800 hPa (ω_{800}).**

797 The results of regression analysis highlight the high sensitivity of N_d to cloud fraction
 798 regardless of season. As discussed earlier, this can be attributed largely to two factors: (i) the
 799 relationship between cloud type (e.g., stratocumulus, shallow cumulus) and cloud fraction, which
 800 can, in turn, influence cloud microphysical properties like N_d ; and (ii) uncertainties associated with
 801 N_d estimates from satellite observations that can result in negative biases in N_d for low cloud
 802 coverage conditions. To further test the relative influence of various variables at different cloud

803 fractions, two sensitivity tests with GBRT modeling were conducted using subsets of data with
804 varying cloud fraction ($0.2 \leq CF_{\text{low-liq.}} \leq 0.4$ and $CF_{\text{low-liq.}} \geq 0.7$).

805 Beginning with results for $CF_{\text{low-liq.}} \geq 0.7$ (Figures S22-25), the average R^2 -scores for
806 validation and test sets for these runs were 0.47/0.39 (DJF/JJA) and 0.49/0.38 (DJF/JJA),
807 respectively. A feature that stands out is that for both DJF and JJA, surface mass concentrations
808 of sulfate became the most important factor. ALE plots presented in Fig. S23 suggest that N_d has
809 a very similar sensitivity to sulfate concentration in high cloud coverage conditions regardless of
810 season in contrast to the results of the original run where N_d was more sensitive to the changes in
811 sulfate level in DJF than JJA. These results are in agreement with previous studies where N_d values
812 for marine boundary layer clouds were highly sensitive to sulfate concentrations at the level close
813 to cloud base (Boucher and Lohmann, 1995; Lowenthal et al., 2004; Storelvmo et al., 2009; McCoy
814 et al., 2017; McCoy et al., 2018; MacDonald et al., 2020). The second most important factor for
815 DJF was the surface mass concentrations of organic carbon followed by $CF_{\text{low-liq.}}$ and sea-salt
816 surface mass concentrations. On the other hand, the second most important factor in JJA was CAO
817 index followed by $CF_{\text{low-liq.}}$ and wind direction. ALE plots presented in Figs. S23-25 showed
818 similar relationships between N_d and input parameters as observed for the original runs where full
819 datasets were used as the input.

820 Figure S26 shows the results of the GBRT model using input data with cloud fraction between
821 0.2 and 0.4, the condition relatively more prevalent in JJA than DJF. The average R^2 -scores for
822 validation and test sets for these runs were 0.30/0.30 (DJF/JJA) and 0.33/0.31 (DJF/JJA),
823 respectively. It is interesting to see that for both seasons, an aerosol parameter emerged as the most
824 important factor. Mass concentrations of OC appeared as the most important factor in JJA (the
825 fourth most important factor in DJF) while in DJF, sulfate concentration came out as the most
826 important factor (the fourth most important factor in JJA) consistent with the results of previously
827 discussed models for DJF. It should be noted that ALE plots also suggested less sensitivity of N_d
828 to sulfate in JJA than DJF, similar to the results observed in the original model run including all
829 the data points. The second most important factor in DJF turned out to be the cloud-top effective
830 height of low-level liquid clouds followed by CAO index. On the other hand, CAO index was the
831 second most important factor in JJA followed by PBLH. ALE plots presented in Figs. S27-29 also
832 showed similar qualitative trends observed in original and high cloud coverage runs.

833 834 **4.3 Unexplored Factors**

835 Additional factors impacting the relationship between aerosol and N_d seasonal cycles are
836 discussed here that warrant additional research with more detailed data at finer scales such as with
837 aircraft. We are cognizant that this list is not fully exhaustive. As low-level cloud fraction impacted
838 model results of Section 4.2 so substantially, the dynamics of the studied clouds require further
839 characterization. As cloud fraction and CAO index are well related, especially in DJF, aerosol-
840 cloud interactions likely are stronger than other seasons (as implied by Section 3.5) due in part to
841 enhanced surface fluxes and turbulence, and thus more droplet activation with higher cloud
842 supersaturations (Painemal et al., 2021); in contrast, the smaller shallow cumulus clouds in
843 summertime may be less favorable for droplet activation due to factors such as reduced turbulence
844 and more lateral entrainment.

845 Entrainment of free tropospheric aerosol can impact N_d values, with potentially varying
846 degrees of influence between seasons. It is presumed that with summertime convection, the more
847 broken cumulus scenes are less adiabatic through the cloudy column and more affected by
848 entrainment and mixing; hence, N_d values derived using data that remote sensors retrieve near
849 cloud top could be considerably lower than values lower by cloud base. Satellite remote sensing
850 studies of aerosol-cloud interactions presumably will be more challenging in winter periods versus
851 the summer with regard to the spatial and temporal mismatch between cloud and aerosol retrievals.
852 More specifically, it is easier to get nearly coincidental sampling in summertime due to lower
853 cloud fractions, while in winter the frontal regions with high cloud fractions make it challenging
854 to get aerosol retrievals. There is complexity in understanding how aerosols relate to N_d due to
855 how giant CCN can reduce N_d and also since wet scavenging can remove aerosols efficiently. As
856 aircraft data are limited and difficult to use for assessing seasonal cycles, new techniques of
857 retrieving CCN and N_d from space will greatly assist such types of studies in the future.

858

859 **5. Conclusions**

860 This work investigates the seasonal cycle of N_d over the WNAO region in terms of
861 concentration statistics and with discussion of potential influential factors. The results of this work
862 have implications for increased understanding of aerosol-cloud interactions and meteorological
863 factors influencing concentration of cloud droplets in the marine boundary layer. The results and
864 interpretations can be summarized as follows in the order of how they were presented:

865

- 866 • An ACTIVATE case flight during the DJF season shows a sharp offshore N_d gradient
867 ranging from $> 1000 \text{ cm}^{-3}$ to $< 50 \text{ cm}^{-3}$ explained in part by particles smaller than 100 nm
868 activating into drops during a cold air outbreak with post-frontal clouds. There were
869 significant changes in aerosol composition in cloud-free air and also in droplet residual
870 particles as a function of offshore distance. These changes included a sharp decrease in
871 aerosol number concentration, a decrease in mass fraction of sulfate in droplet residual
872 particles, and an increase in mass fraction of organic and chloride of droplet residual
873 particles moving offshore.
- 874 • N_d is generally highest (lowest) in DJF (JJA) over the WNAO but aerosol parameters such
875 as AOD, AI, surface-based aerosol mass concentrations for most species, and CCN
876 concentrations (1% supersaturation) are generally highest in JJA and MAM and are at (or
877 near) their lowest values in DJF. While aerosol extinction in the PBL is highest in DJF, it
878 is driven largely by sea salt (large but few in number), and thus cannot explain the N_d peak
879 in wintertime.
- 880 • While relative humidity was generally highest in JJA across the WNAO, the differences
881 between seasons in the PBL and FT were not sufficiently large to explain the divergent
882 seasonal cycles of AOD and N_d .
- 883 • The susceptibility of N_d to aerosols (Eq. 3) was strongest in DJF using four different proxy
884 variables for aerosols, suggestive of at least one reason why N_d can be highest when aerosol
885 proxy variables for concentration are typically near or at their lowest values.
- 886 • Composite maps of high versus low N_d days across the WNAO reveal that conditions
887 associated with the highest N_d days, regardless of season (but especially DJF) are reduced
888 sea level pressure, stronger winds aligned with continental outflow, high low-level liquid

889 cloud fraction, higher CAO index and PBLH, and enhanced AOD. Cold air outbreaks are
890 coincident with all of these conditions, especially in the colder months of DJF in sharp
891 contrast to JJA when N_d is lowest.

892 • Gradient boosted regression analysis shows that the most important predictors of N_d in DJF
893 and JJA vary to some extent, but with cloud fraction being the most important parameter,
894 followed by either (for DJF) surface mass concentrations of sulfate and organic carbon and
895 CAO index or (for JJA) CAO index, surface mass concentrations of organic carbon, and
896 sulfate concentrations. Accumulated local effect plots confirm that sulfate and organics
897 help drive the high N_d values via continental outflow, which is assisted in large part by
898 conditions associated with CAOs such as high cloud fraction and high CAO index.

899 Therefore, the combination of continental pollution outflow and turbulence changes
900 contributed by surface fluxes (manifested in strongest CAO index values in DJF and weakest in
901 JJA) markedly influence the N_d cycle, leading to differing annual cycles in cloud microphysics
902 and aerosols. More detailed data such as from aircraft and modeling can help extend this line of
903 research to confirm these findings and speculations such as how (i) the aerosol indirect effect is
904 strongest in DJF due to boundary layer dynamics such as with more turbulence and mixing than
905 other seasons (Painemal et al., 2021); (ii) enhanced giant CCN in forms such as sea salt and dust
906 can reduce N_d via expediting the collision-coalescence process; and (iii) substantial aerosol
907 removal can occur far offshore as postfrontal clouds associated with CAOs build and then begin
908 to precipitate. The latter hypothesis may help explain why Bermuda (> 1000 km offshore the U.S.
909 East Coast) was the only selected sub-domain in this study to not have a seasonal N_d peak in DJF.
910
911

912 *Data Availability.*

913 CERES-MODIS: <https://ceres.larc.nasa.gov/data/>

914 CALIPSO: <https://subset.larc.nasa.gov/calipso>

915 PERSIANN-CDR: <https://chrsdata.eng.uci.edu/>

916 MERRA-2: <https://disc.gsfc.nasa.gov/>

917 TCAP CCN: <https://adc.arm.gov/discovery>

918 ACTIVATE Airborne Data: <https://www-air.larc.nasa.gov/cgi-bin/ArcView/activate.2019>

919 *Author contributions.* HD, DP, and MA conducted the analysis. AS and HD prepared the
920 manuscript. All authors contributed by providing input and/or participating in airborne data
921 collection.

922 *Competing interests.* The authors declare that they have no conflict of interest.

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928 used in this work.

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