

# 1 Modelling the influence of biotic plant stress on atmospheric aerosol 2 particle processes throughout a growing season

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13 **Abstract.** Most trees emit volatile organic compounds (VOCs) continuously throughout their life, but the rate of emission,  
14 and spectrum of emitted VOCs, become substantially altered when the trees experience stress. Still, models to predict the  
15 emissions of VOCs do not account for perturbations caused by biotic plant stress. Considering that such stresses have  
16 generally been forecast to increase in both frequency and severity in future climate, the neglect of plant stress-induced  
17 emissions in models might be one of the key obstacles for realistic climate change predictions, since changes in VOC  
18 concentrations are known to greatly influence atmospheric aerosol processes. Thus, we constructed a model to study the  
19 impact of biotic plant stresses on new particle formation and growth throughout a full growing season. We simulated the  
20 influence on aerosol processes caused by herbivory by European gypsy moth (*Lymantria dispar*) and autumnal moth  
21 (*Epirrita autumnata*) feeding on pedunculate oak (*Quercus robur*) and mountain birch (*Betula pubescens* var. *pumila*),  
22 respectively, and also fungal infections of pedunculate oak and balsam poplar (*Populus balsamifera* var. *suaveolens*) by oak  
23 powdery mildew (*Erysiphe alphitoides*) and poplar rust (*Melampsora larici-populina*), respectively. Our modelling results  
24 indicate that all the investigated plant stresses are capable of substantially perturbing both the number and size of aerosol  
25 particles in atmospherically relevant conditions, with increases in the amount of newly formed particles by up to about one  
26 order of magnitude and additional daily growth of up to almost 50 nm. We also showed that it can be more important to  
27 account for biotic plant stresses in models for local and regional predictions of new particle formation and growth during the  
28 time of infestation/infection than significant variations in e.g. leaf area index, and temperature and light conditions, which  
29 are currently the main parameters controlling predictions of VOC emissions. Our study, thus, demonstrates that biotic plant  
30 stress can be highly atmospherically relevant. To validate our findings, field measurements are urgently needed to quantify  
31 the role of stress emissions in atmospheric aerosol processes and for making integration of biotic plant stress emission  
32 responses into numerical models for prediction of atmospheric chemistry and physics, including climate change projection  
33 models, possible.

## 34 1 Introduction

35 Formation and subsequent growth of atmospheric aerosol particles is globally a major source of cloud condensation nuclei  
36 (CCN) (Spracklen et al., 2008; Merikanto et al., 2009; Dunne et al., 2016). CCN impact various cloud processes, such as  
37 cloud formation, albedo and lifetime (Twomey, 1977; Albrecht, 1989; Makkonen et al., 2009; Kerminen et al., 2005), and  
38 atmospheric aerosol particles are thereby able to influence our climate indirectly, in addition to interacting directly with

incoming solar radiation. Though atmospheric aerosol particles provide the single largest cooling effect on our climate, they are also connected with the greatest uncertainty in climate change projections (IPCC, 2013). Part of this uncertainty is caused by limited knowledge about the aerosol precursor molecules.

Oxidation products of certain volatile organic compounds (VOCs) participate in both the formation of new particles (Donahue et al., 2013; Schobesberger et al., 2013; Kulmala et al., 2014; Riccobono et al., 2014; Kirkby et al., 2016; Tröstl et al., 2016) and growth of existing particles via gas-to-particle condensation (Riipinen et al., 2012; Ehn et al., 2014; Bianchi et al., 2019). Globally, and especially in forested regions, the majority of these organic compounds originate from terrestrial vegetation (Kanakidou et al., 2005; Jimenez et al., 2009). Thus, increases in the emissions of certain biogenic VOCs can lead to enhanced formation of atmospheric aerosol particles and subsequently to a rise in CCN concentration (Kerminen et al., 2012; Paasonen et al., 2013).

Many plants emit VOCs constitutively, i.e. that they emit VOCs regardless of the experience of stress. Biotic plant stress (i.e. stress caused to a plant by living species such as e.g. herbivores and pathogens) is known to substantially alter both the rates of emission and spectrum of VOCs emitted constitutively (Holopainen and Gershenzon, 2010; Niinemets, 2010; Niinemets et al., 2013; Faiola and Taipale, 2020). For example, constitutively emitted isoprene, which is thought to suppress the formation of new atmospheric aerosol particles (Kiendler-Scharr et al., 2009, 2012; Lee et al., 2016; McFiggans et al., 2019; Heinritzi et al., 2020), is usually reduced in response to such stress (e.g. Brilli et al., 2009; Copolovici et al., 2014, 2017; Jiang et al., 2016), while the emissions of other VOCs are greatly increased. Emissions of VOCs which are increased, or started to be emitted, in response to plant stress, are referred to as induced plant volatile emissions. A large fraction of stress-induced compounds (e.g. monoterpenes, sesquiterpenes, 4,8-dimethyl-1,3,7-nonatriene (DMNT) and methyl salicylate) has a high potential to produce and grow atmospheric aerosol particles (e.g. Mentel et al., 2013; Joutsensaari et al., 2015; Yli-Pirilä et al., 2016; Ylisirniö et al., 2020), while other induced compounds (e.g. methanol and lipoxygenase oxidation products (LOX), which mostly include C<sub>6</sub> aldehydes, alcohols and esters) are anticipated to suppress aerosol processes (e.g. Mentel et al., 2013).

While much attention has been given to representing constitutive emissions of VOCs in numerical models, VOC emissions caused by stress, and in particular biotic stress, have been mostly excluded (Grote et al., 2013; Faiola and Taipale, 2020), despite the fact that biotic plant stress is largely ubiquitous. This is mainly due to a lack of measurements, combined with the fact that variations in emission responses are highly stressor-specific (e.g. Holopainen and Gershenzon, 2010; Niinemets, 2010; Faiola and Taipale, 2020). Thus, no consistent mechanism for the emissions of VOCs from plants under stress exists. Though the most extensively used biogenic emissions model, MEGAN (Guenther et al., 2012), considers a stress emission category, the treatment is not quantitative. The emission factor for stress VOCs is, for example, the same for all plant functional types and is supposed to represent a large range of different types of stresses. Recently, Grote et al. (2019) proposed a new modelling framework for estimating emissions of VOCs induced by both biotic and abiotic plant stresses, while Douma et al. (2019) developed a model to predict both the emissions and concentrations of stress-induced VOCs, which was parameterized to simulate a gypsy moth infested black poplar canopy. Both are promising tools, but in their current states, they exclude important storage emissions which are usually released upon wounding (e.g. Blande et al., 2009; Faiola et al., 2018; Kari et al., 2019), and they do not fully (Grote et al., 2019) - or at all (Douma et al., 2019) - consider how the constitutive emissions of isoprene are modulated. This is as such understandable considering that emissions of isoprene might be either reduced (e.g. Brilli et al., 2009; Copolovici et al., 2017) or increased (e.g. Schaub et al., 2010; Ye et al., 2019) in response to biotic plant stress, but nevertheless problematic since isoprene is globally the VOC that is emitted in largest quantities (Guenther et al., 2012) and it is thought to suppress the formation of aerosol particles (see above). Whilst Grote et al. (2019) assumed a linear response to the degree of damage, which has been shown not always to be true, especially at severe stress levels (e.g. Niinemets et al., 2013; Jiang et al., 2016; Yli-Pirilä et al. 2016; Copolovici et al., 2017; Faiola and Taipale, 2020), it is not obvious how the model results by Douma et al. (2019) depend on the degree of damage, as they operate with “number of larvae” rather than a stand level of defoliation. Additionally, Grote et al. (2019) did not

83 account for an explicit dependency of the emissions on temperature, which is usually considered as one of the most  
84 important environmental parameters for emissions of VOCs (e.g. Grote et al., 2013). Common for both studies is that they  
85 only simulate rather short time scales (i.e. a few days).

86 Since measurements have clearly illustrated that biotic plant stress is able to significantly influence the amount and  
87 size of formed atmospheric aerosol particles over short periods at least (Mentel et al., 2013; Joutsensaari et al., 2015;  
88 Yli-Pirilä et al., 2016; Faiola et al., 2019, 2018) via perturbations in VOC emissions, there is an urgent need to quantify the  
89 atmospheric importance of biotic plant stress. This need is amplified by the fact that insect outbreaks and fungal diseases  
90 generally are expected to increase in both frequency and severity in the future (Cannon, 1998; Bale et al., 2002; Harrington  
91 et al., 2007; Pautasso et al., 2012; Boyd et al., 2013). Unfortunately, such quantitative estimates are currently very scarce,  
92 connected with a large degree of uncertainty, and not necessarily reaching the same conclusions. For example, Berg et al.  
93 (2013) used bark beetle-induced monoterpene emission responses and several years of bark beetle-induced tree mortality  
94 data from western North America as input to a global model in order to investigate the impact of bark beetle attacks on  
95 regional secondary organic aerosol (SOA) formation. The authors found that the concentration of SOA might increase  
96 regionally by up to 40 % or 300 % in case of bark beetle attacks on lodgepole pine and spruce trees, respectively (Berg et al.,  
97 2013). At the same time, Berg et al. (2013) concluded that the enhancement in the concentrations of SOA is in most cases  
98 small in comparison to the impact of wildfires on total organic aerosol in western North America. Bergström et al. (2014), on  
99 the other hand, used a regional chemical transport model to simulate the impact of *de novo* emissions, induced by aphid  
100 infestation, on SOA formation, and estimated that these induced emissions currently account for 20-70 % of total biogenic  
101 SOA in northern and central European forests. Meanwhile, Joutsensaari et al. (2015) calculated a local increase of up to 480  
102 % in aerosol mass and 45 % in CCN concentration, when it was assumed that 10 % of the boreal forest area experienced  
103 stress which increased constitutive monoterpenes emission rates by an order of magnitude. Using satellite observations,  
104 Joutsensaari et al. (2015) also found a 2-fold increase in aerosol optical depth over Canadian pine forests during a bark beetle  
105 outbreak. Thus, the degree of necessity of considering biotic plant stress emissions for predictions of new particle formation  
106 in the atmosphere is still uncertain, and therefore there is a great need for field observations of different scales to constrain  
107 and quantify the role of stress emissions in SOA formation, but also for innovative model approaches which improve the  
108 quantitative representation of the emissions. To our knowledge, no one has previously considered the dynamics of insect  
109 herbivory when simulating the emitted VOCs and produced and grown aerosols from stressed plants. Additionally, there has  
110 so far been no attempts to measure nor model the impact of pathogenic infections on atmospheric aerosol processes.

111 We constructed a conceptual model to investigate the atmospheric impacts of biotic plant stresses. We used this  
112 model to simulate formation and growth of atmospheric aerosol particles throughout a growing season in pure oak, poplar  
113 and birch forest stands in stress-free conditions and under herbivory or fungal stress. By considering the dynamics of insect  
114 herbivory and pathogenic infections in combination with seasonal changes in environmental parameters, our aim was to  
115 contribute to a discussion about whether biotic plant stress perturbs atmospheric aerosol processes sufficiently to warrant  
116 their inclusion in larger scale models.

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## 118 2 Materials and methods

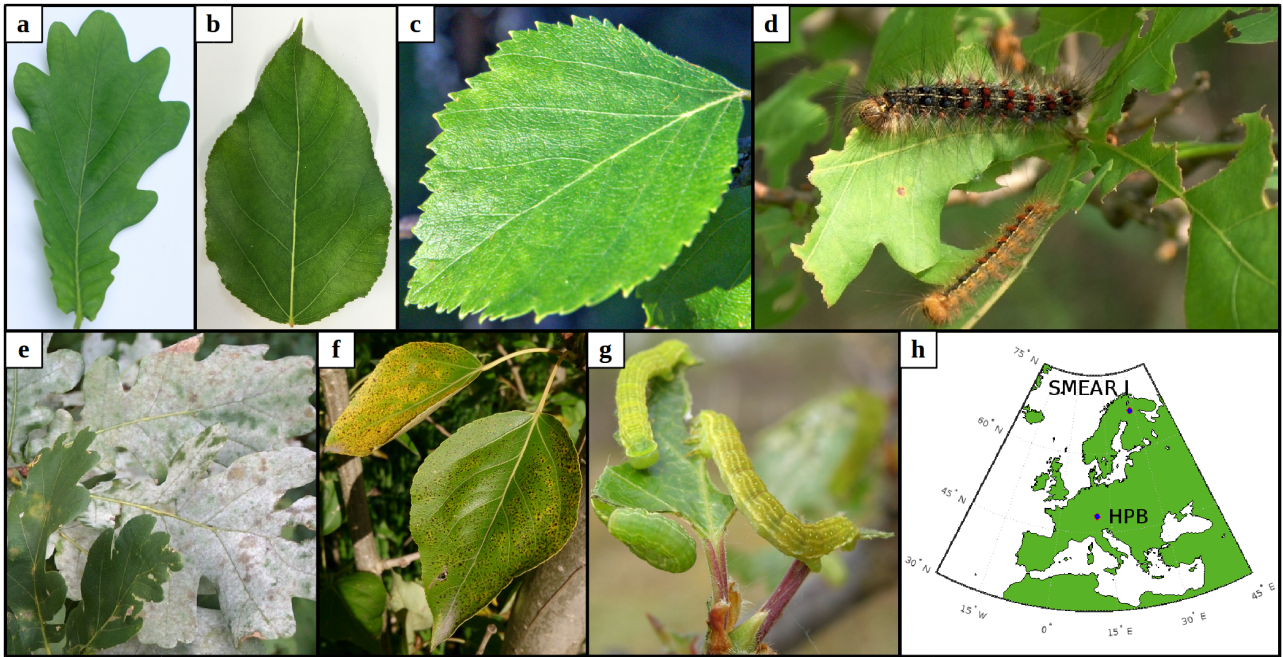
119 We constructed a 0D box model that includes modules for emissions of VOCs from stress-free and biotically stressed tree  
120 species (Sec. 2.4), boundary layer meteorology (Sec. 2.5), atmospheric chemistry (Sec. 2.6) and aerosol dynamics (Sec. 2.7).  
121 The calculated canopy VOC emissions from biotically stressed trees depend on the dynamics of the biotic stressors of  
122 interest (Sec. 2.1 and 2.2) and changes in the leaf area index (Sec. 2.3). The model is programmed in Fortran and the time  
123 step for each module is 60 s. The model was simulating one day at a time. The purpose of our study was to simulate the  
124 influence of low-volatility organic compounds on the evolution of aerosol number size distribution via new particle

formation and subsequent particle growth. Thus, we were interested in changes in the nucleation mode dynamics, and not in aerosol mass concentration. The individual processes included in the model (Sec. 2.1-2.7) are aimed to imitate our best mechanistic understanding of those processes. The descriptions of several of the individual processes have been evaluated separately in earlier studies by either us or by other researchers (following the references provided in the sections below). The model's ability to reproduce the influence of organic compounds on aerosol formation and growth in biotically stress-free conditions has furthermore been tested by constraining and validating the model with observations from the SMEAR II station (the Station for Measuring Ecosystem-Atmosphere Relations II) in Hyytiälä, Finland (Taipale, in prep).

## 2.1 Simulations of larval infestation dynamics

Whereas mountain birch (*Betula pubescens* var. *pumila*; former *spp. czerepanovii*, Fig. 1c) is the main host for autumnal moths (*Epirrita autumnata*, Fig. 1g, Klemola et al., 2004; Ammunét et al., 2011), European gypsy moth (*Lymantria dispar*, Fig. 1d) is one of the major defoliating insects feeding on pedunculate oak (*Quercus robur*, Fig. 1a, <https://www.cabi.org/isc/datasheet/31807#tohostsOrSpeciesAffected>, last accessed 11th of June, 2021). The larval eggs of both moths hatch in spring synchronously with bud burst (Kaitaniemi et al., 1997; Kaitaniemi and Ruohomäki, 1999; Spear, 2005; McManus et al., 1989). Both sexes have five larval stages (instar), though female gypsy moths have six. These stages are separated by periods of molting where the larvae do not feed. A complete defoliation of vast areas can occur within 4-6 weeks by autumnal moth (Kaitaniemi and Ruohomäki, 1999) and within 6-8 weeks by gypsy moth (McManus et al., 1989). Several thousands of square kilometres of birch forests have previously been reported to become defoliated due to just a single outbreak of autumnal moth in Fennoscandinavia (Tenow 1975; Nikula 1993), while gypsy moth, in North America alone, is estimated to have defoliated >95 million acres of forest during years 1920 to 2020 (Coleman et al., 2020). Adults do not feed on leaves (Tammaru et al., 1996; Waring and Townsend, 2009). For simulations of autumnal moth infested mountain birch, our model incorporates atmospheric and ecological conditions observed at the Station for Measuring Ecosystem-Atmosphere Relations (SMEAR I, Fig. 1h), Värriö, Eastern Finnish Lapland (e.g. Hari et al., 1994), due to the high data quality and availability, and since autumnal moth infested mountain birch is common at the site (Hunter et al., 2014). In our simulations, bud burst occurs on 6<sup>th</sup> of June, the subsequent full leaf state is attained on 10<sup>th</sup> of June (dates are based on long-term observations from the station), and senescence onsets on 20<sup>th</sup> of August (Gill et al., 2015). We assumed that the larvae feed for five weeks, starting on 6<sup>th</sup> of June and they pupate on 11<sup>th</sup> of July (Kaitaniemi and Ruohomäki, 1999). The larvae dynamics (i.e. relative leaf consumption and time spent in each larval stage) that is incorporated in our model is based on Lempa et al. (2004). For simulations of European gypsy moth infested pedunculate oak, our model incorporates mainly atmospheric conditions observed at the Meteorological Observatory Hohenpeißenberg (e.g. Birmili et al., 2003), rural southern Germany (Fig. 1h), since this station has been classified as a representative measurement location for central Europe (Naja et al., 2003), where oak is a very common species. In our simulations, bud burst occurs on 15<sup>th</sup> of May, the subsequent full leaf state is attained 20 days later on 4<sup>th</sup> of June, and senescence onsets on 20<sup>th</sup> of September (Gill et al., 2015). Durations of the various developmental states of the larvae are based on Zúbrik et al. (2007), which is also in agreement with Stoyenoff et al. (1994). Though the length of the larval state of female larvae feeding on pedunculate oak is typically a few days longer than the total duration of the male larval state (e.g. Zúbrik et al., 2007), we did not differentiate between the two genders, but utilised the length of the female larval state due to simplification and since the female is the main consumer (Miller et al., 1991). We assumed that the larvae feed for 41 days (Zúbrik et al., 2007), starting on 15<sup>th</sup> of May and they pupate on 25<sup>th</sup> of June. The relative leaf consumption within the different larval stages is based on Kula et al. (2013). The ratio in relative leaf consumption between 4<sup>th</sup> and 5<sup>th</sup> instar is also within the range that is reported by Stoyenoff et al. (1994) (where no other ratios were provided). We neglected periods of molting, as those are typically in the order of less than one day (Ayres and MacLean, 1987). We assumed that either 30 % or 80 % of the total leaf area in the forest stand was consumed by the end of the feeding period (Fig. 2a,b).



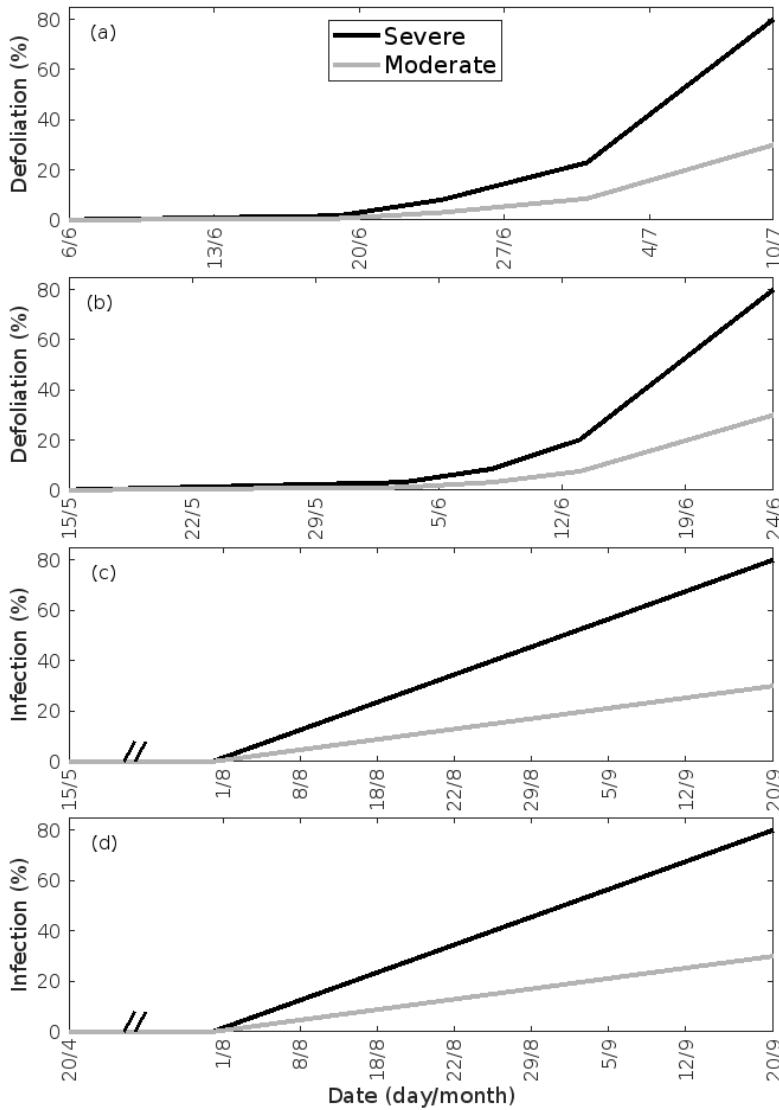


**Figure 1.** The plant species and biotic stresses we considered together with locations. Non-infected leaves; **(a)** pedunculate oak (*Quercus robur*), **(b)** balsam poplar (*Populus balsamifera* var. *suaveolens*) and **(c)** mountain birch (*Betula pubescens* var. *pumila*; former spp. *czerepanovii*). Fungal infected or moth infested leaves; pedunculate oak infested by **(d)** European gypsy moth (*Lymantria dispar*) or infected by **(e)** oak powdery mildew (*Erysiphe alphitoides*), **(f)** poplar infected by rust fungus (*Melampsora larici-populina*) and **(g)** mountain birch infested by autumnal moth (*Epirrita autumnata*). **(h)** location of the two sites that serve as boundary conditions in our simulations. Photo courtesy: a+c: Juho Aalto, b: Yifan Jiang, d+e: Haruta Ovidiu, University of Oradea, Bugwood.org, f: Ülo Niinemets, g: Tero Klemola.

## 2.2 Simulations of fungal infection dynamics

Oak powdery mildew (*Erysiphe alphitoides*) is one of the main foliar diseases of pedunculate oak (*Quercus robur*) in Europe (Desprez-Loustau et al., 2011). Among the *Melampsora* species, *Melampsora larici-populina* is the most widespread poplar rust (Vialle et al., 2011). *M. larici-populina* has five morphologically and functionally different spore stages during its life cycle, where the two first stages are retrieved on larch and the last three on poplar (Vialle et al., 2011). The pathogenic fungal infections do not decrease the leaf area of their victim, but as they cover the leaf, they absorb nutrients from the cells of the leaf (Glawe, 2008) and change the physiology of the leaf (e.g. Major et al., 2010; Voegelé and Mendgen, 2003; El-Ghany et al., 2009). The severity and spread of fungal infections depend largely on weather and growth conditions (e.g. Åhman, 1998; Johansson and Alström, 2000; Covarelli et al., 2013), where especially high rainfall in the beginning of the summer greatly enhances both the severity, but also the onset of infection (Covarelli et al., 2013; Pinon et al., 2006). The onset of attack by oak powdery mildew is limited by its morphological development, hence the infection usually starts to appear between the end of June and August (in France; Marçais et al., 2009; Marçais and Desprez-Loustau, 2014; Bert et al., 2016). *M. larici-populina* has been observed to attack young poplar trees as early as June (in Italy, Covarelli et al., 2013), though generally the disease emerges between July and September (in France and Italy, Gérard et al., 2006; Covarelli et al., 2013). In our simulations, we assumed that both fungi started to infect their host on 1<sup>st</sup> of August. In the case of *M. larici-populina* we only simulated the attack on poplar as the host (and not larch). *Populus balsamifera* var. *suaveolens* was chosen as the poplar species due to the availability of suitable published VOC emissions measurements. Based on Bert et al. (2016), we assumed that the severity of infection increases linearly with time, starting on 1<sup>st</sup> of August and ending on 20<sup>th</sup> of September. We assumed that either 30 % or 80 % of the total leaf area in the forest stands was covered by fungi by the end of the

growing season (Fig. 2c,d). For these simulations, our model incorporates the same atmospheric conditions as for simulations of gypsy moth infested oak. Poplar bud burst occurs on 20th of April in our model (Tripathi et al., 2016) and we assumed the same timing of senescence as for simulations of oak (Gill et al., 2015; Tripathi et al., 2016).

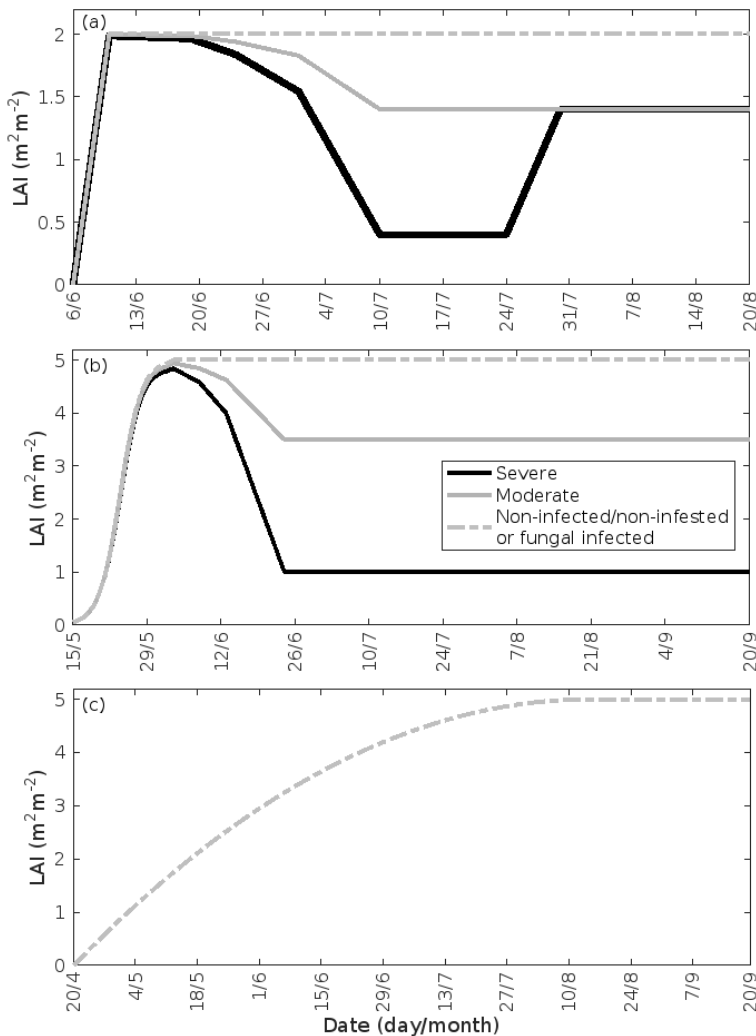


**Figure 2.** Infection dynamics. **(a)** birch infested with autumnal moth larvae, **(b)** oak infested with European gypsy moth larvae, **(c)** oak infected by oak powdery mildew and **(d)** poplar infected by rust fungus. The infection dynamics of oak powdery mildew and rust fungus is assumed to be similar, but the duration of growth of the two tree species is different. The dynamics are specific to the locations of Lapland **(a)** and central Europe **(b-d)**. 30 % (moderate infection scenario) or 80 % (severe infection scenario) of the total leaf area in the forest stands is assumed to be consumed by the end of the feeding period or infected by fungi by the onset of senescence. Note the different time axes.

### 2.3 Treatment of the leaf area index

Low soil temperatures usually prevent growth of a second leaf flush in birch trees (Aphalo et al., 2006). Thus, we assumed that the leaf area index (LAI) of a non-infested mountain birch stand in Lapland increases linearly from 0 – 2.0 m<sup>2</sup> m<sup>-2</sup> (Dahlberg et al., 2004) in the time period 6<sup>th</sup>-10<sup>th</sup> of June (bud burst to full leaf), and stays constant until the onset of senescence (Fig. 3a). The LAI of an infested stand decreases proportionally with the level of defoliation (Fig. 3a). Refoliation only occurs in totally, or near-totally, defoliated mountain birch trees (Kaitaniemi et al., 1997). Hence, we

assumed that the LAI of a heavily defoliated stand resumes to 70 % of the original LAI within three weeks of defoliation (personal communication with adj. Prof. Dr. Pekka Kaitaniemi, University of Helsinki, Fig. 3a). Poplar trees produce leaves throughout the season, and we therefore assumed that the LAI of poplar stands increases quadratically from 20<sup>th</sup> of April (bud burst) until 15<sup>th</sup> of August (Fig. 3c, Tripathi et al., 2016). Oak, on the other hand, usually only produces one significant leaf flush, hence we assumed that the LAI of oak stands increases with a sigmoid shape from 15<sup>th</sup> of May (bud burst) until 4<sup>th</sup> of June (full leaf state attained) (Fig. 3b, Oláh et al., 2012). In our simulations, the maximum LAI of poplar and oak is 5.0 m<sup>2</sup> m<sup>-2</sup>. The LAI of a gypsy moth infested oak stand decreases proportionally with the level of defoliation (Fig. 3b). The fungal infections do not decrease the leaf area of their host, nor do they prevent the tree from producing multiple flushes of leaves (Marçais and Desprez-Loustau, 2014). The LAI might, however, in reality be less in an infected stand than in a non-infected stand, though this depends highly on the specific genotypes and their individual fungal resistance (Verlinden et al., 2013; Shifflett et al., 2016), but naturally also on the timing of infection. Since most summer leaves already appear before the onset of infection, we did not assume a decrease in LAI. Severe powdery mildew infection (>50 %) has been shown to greatly reduce the infected leaf lifespan (Hajji et al., 2009). The median time before shedding of deformed oak leaves has been estimated to be 10-31 days (Hajji et al., 2009). In our scenario of a heavily infected stand (80 % of the stand leaf area is infected by the end of the season), an infection level of 50 % is reached on 1<sup>st</sup> of September. Since senescence is assumed to onset on 20<sup>th</sup> of September, we excluded an earlier shedding of leaves. Hence, in our simulations of fungal infections, we assumed that the LAI is the same as in a non-infected stand (Fig. 3b,c).



**Figure 3.** Leaf area index throughout the growing season in infected and non-infected forest stands. **(a)** mountain birch, **(b)** oak and **(c)** poplar. 30 % (moderate infection scenario) or 80 % (severe infection scenario) of the total leaf area in the forest

stands is assumed to be consumed by the end of the feeding period in simulations of herbivory, while fungal infections do not decrease the leaf area. Note the different time axes.

## 2.4 Plant emissions of volatile organic compounds

The plant emissions ( $F_i$ ) of individual VOCs ( $i$ ) from various pure stands were computed as:

$$F_i = \varepsilon_i \times LAI \times \gamma_L \times \gamma_T \quad (1)$$

where  $\varepsilon_i$  is the emission rate of  $i$  at standard conditions (25 °C, 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), LAI is the one-sided leaf area index and treated as mentioned in Sec. 2.3, and  $\gamma_L$  and  $\gamma_T$  are the activity factors that account for changes in light and temperature from standard conditions. This expression is adopted from Guenther et al. (2012), Eq. 1-2, when we assume that the soil moisture and ambient  $\text{CO}_2$  concentration in our stands are optimal. Generally, we excluded the effect of leaf age on the emissions of VOCs, since we do not know the effect of leaf age on stress-induced emissions. However, we also tested whether the impact of leaf maturity would be able to change the conclusions of our study when making certain assumptions about the leaf age effect. The treatment of the leaf age effects and the results of these tests are presented in Appendix B and discussed in Sec. 3.2.

Similarly to e.g. Simpson et al. (1999; 2012) and Bergström et al. (2014), we utilised a simple non-canopy approach, where ambient and leaf temperature are assumed to equal, and where the use of branch-level emission factors accounts for the canopy shading effect (Guenther et al., 1994). We utilised emission rates, reported as a function of the degree of damage, from Copolovici et al. (2017; 2014), Jiang et al. (2016), and Yli-Pirilä et al. (2016). The emission rates reported by Copolovici et al. (2017; 2014) and Jiang et al. (2016) were all retrieved by leaf-level measurements, hence we decreased the reported emission rates by a factor of 0.57, since branch-level emission factors for light sensitive emissions are typically a factor of 1.75 smaller than the corresponding leaf-level values (Simpson et al., 1999; 2012). We did not decrease the emission rates reported by Yli-Pirilä et al. (2016), since these were based on measurements of the whole plant. Instead, the emission rates from mountain birch seedlings (Yli-Pirilä et al., 2016) were upscaled in order to represent the emissions from mature trees, assuming a leaf mass area of 75  $\text{g m}^{-2}$  for leaves growing on mature mountain birch trees (Riipi et al., 2005). Since emission response measurements are usually stopped, at a maximum, a few days after the herbivore activity has ceased, we assumed that the effect of stress on the emissions of VOC stops the day that the larvae pupate (e.g. Yli-Pirilä et al., 2016), in order to not overestimate the impact of the stress. The light and temperature dependent emission activity factors are computed using Eq. 2 in Guenther (1997), since none of the considered broadleaved species poses storages and birch has specifically been shown to only emit *de novo* (Ghirardo et al., 2010). Similarly to Guenther et al. (2012), we assumed that stress-induced emissions are controlled by light and temperature in a similar way as constitutive emissions, thus the used emission rates from the literature were standardised according to Eq. 1. Copolovici et al. (2014) and Jiang et al. (2016) have also shown that the emissions of isoprene from oak powdery mildew and rust infected oaks and poplars have the same response to light as control plants. Copolovici et al. (2014) additionally demonstrated that the emissions of monoterpenes from oak powdery mildew infected oak depend strongly on light, even though the majority of emitted monoterpenes were not e.g. ocimene and linalool, which are known to be light dependent (Niinemets et al., 2002; Arimura et al., 2008). LOX (lipoxygenase pathway volatile) compounds, on the other hand, are released shortly after damage of leaf tissue, independent of the light conditions (Arimura et al., 2008). LOX compounds do not contribute to the formation and growth processes of atmospheric aerosol particles, but they were included in the model in order to illustrate the changes in the atmospheric concentrations of LOX as a function of stress severity and stress type, and for evaluating the reliability of our modelling results, since LOX, in reality, affect the atmospheric concentration of OH, which was constrained in the model. The equations, which we used in the model for linking the emission factors to the severity of stress, are provided in Table 1 together with the parameters needed for the equations. The emission factors at a few different degrees of stress are written



out in Table A1 in Appendix A. It was assumed that the emitted VOCs were instantaneously evenly distributed within the mixing volume, which is defined by the boundary layer height.

**Table 1.** Equations to calculate the emission factors ( $\epsilon_{i,\mathcal{A}}$ , in unit  $\text{nmol m}^{-2}$  one-sided LAI  $\text{s}^{-1}$ ), as a function of the degree of stress ( $\mathcal{A}$ ), together with the parameters needed for the equations. The equations are valid for infection levels ranging from 0 % to 80 % unless otherwise stated. The emission factors for oak and poplar are presented without the downscaling by a factor of 0.57 (see bulk text in Sec. 2.4).  $\text{LMA}_f$  is the fraction of the leaf mass area of leaves growing on mature mountain birch / growing on mountain birch seedlings, which is included so that the emission factors for mountain birches are also representative of mature trees. ISO = isoprene, MT = monoterpenes, MeSa = methyl salicylate, LOX = lipoxygenase pathway volatile compounds, DMNT = 4,8-dimethyl-1,3,7-nonatriene, MeOH = methanol, SQT = sesquiterpenes,  $\alpha$ -Eud =  $\alpha$ -Eudesmol.

Infestation of pedunculate oak ( <i>Quercus robur</i> ) by European gypsy moth ( <i>Lymantria dispar</i> ) based on Copolovici et al. (2017).						
VOC	$\epsilon_{i,\mathcal{A}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	$\epsilon_{i,0}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	A ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	B	C ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	
ISO	$\epsilon_{i,0} + \frac{\mathcal{A} \times A}{B + \mathcal{A}}, \quad 0 \leq \mathcal{A} \leq 60$ $\epsilon_{i,60} \times 0.5, \quad 60 < \mathcal{A} \leq 80$	30.26	-47.40	34.48		
MT	$\epsilon_{i,0} + \frac{\mathcal{A} \times A}{B + \mathcal{A}}$	$4.0 \cdot 10^{-2}$	9.22	33.42		
MeSa	$\mathcal{A} \times A$		$3.5 \cdot 10^{-3}$			
LOX	$A \times \mathcal{A}^2 + C \times \mathcal{A}$		$1.1 \cdot 10^{-3}$		$4.7 \cdot 10^{-2}$	
DMNT	$A \times \mathcal{A}^2 + C \times \mathcal{A}$		$1.0 \cdot 10^{-5}$		$1.3 \cdot 10^{-3}$	
Infection of pedunculate oak ( <i>Quercus robur</i> ) by oak powdery mildew ( <i>Erysiphe alphitoides</i> ) based on Copolovici et al. (2014)						
VOC	$\epsilon_{i,\mathcal{A}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	$\epsilon_{i,0}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	A ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	B ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	C ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	
ISO	$\epsilon_{i,0} + A \times \mathcal{A} + B \times \mathcal{A}^2 + C \times \mathcal{A}^3$	10.6	-0.244	$3.69 \cdot 10^{-3}$	$-2.05 \cdot 10^{-5}$	
MT	$\epsilon_{i,0} + A \times \mathcal{A} + B \times \mathcal{A}^2 + C \times \mathcal{A}^3$	$4.0 \cdot 10^{-2}$	$8.7 \cdot 10^{-3}$	$-7.1 \cdot 10^{-5}$	$3.7 \cdot 10^{-7}$	
MeSa	0, $\mathcal{A} = 0$ $A \times \frac{\epsilon_{MT,\mathcal{A}}}{\epsilon_{MT,60}}, \quad 0 < \mathcal{A} \leq 80$		0.437			
LOX	$A + B \times \mathcal{A}$		$2.13 \cdot 10^{-3}$	$6.24 \cdot 10^{-3}$		
Infection of balsam poplar ( <i>Populus balsamifera</i> var. <i>suaveolens</i> ) by poplar rust ( <i>Melampsora larici-populina</i> ) based on Jiang et al. (2016)						
VOC	$\epsilon_{i,\mathcal{A}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	$\epsilon_{i,0}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	A ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	B ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	C	E ( $\text{nmol m}^{-2} \text{s}^{-1}$ )

ISO	$A + \frac{B}{C + \mathcal{A}}$		12.3	366.8	4.98	
MT	0.0625, $\mathcal{A} = 0$ $A + B \times \mathcal{A} + E \times \mathcal{A}^2$ , $0 < \mathcal{A} \leq 80$		0.112	$1.84 \cdot 10^{-3}$		$1.5 \cdot 10^{-4}$
MeSa	$A \times \mathcal{A} + B \times \mathcal{A}^2 + E \times \mathcal{A}^3$		$6.32 \cdot 10^{-3}$	$-8.6 \cdot 10^{-5}$		$5.75 \cdot 10^{-7}$
LOX	0.4814, $\mathcal{A} = 0$ $(A + B \times \mathcal{A} + E \times \mathcal{A}^2) \times C$ , $0 < \mathcal{A} \leq 80$		2.51	$-2.51 \cdot 10^{-2}$	0.76, $0 < \mathcal{A} < 30$ 0.85, $30 \leq \mathcal{A} < 60$ 0.93, $60 \leq \mathcal{A} \leq 80$	$3.25 \cdot 10^{-3}$
DMNT	$\epsilon_{DMNT,60} \times \frac{\epsilon_{MeSa,\mathcal{A}}}{\epsilon_{MeSa,60}}$ , $0 \leq \mathcal{A} < 60$ $\epsilon_{MeSa,\mathcal{A}} \times C$ , $60 \leq \mathcal{A} \leq 80$				0.36	
MeOH	$\epsilon_{i,0} + A \times \mathcal{A} + B \times \mathcal{A}^2$	16.9	-0.338	$1.36 \cdot 10^{-2}$		
SQT	$\epsilon_{SQT,60} \times \frac{\epsilon_{MeSa,\mathcal{A}}}{\epsilon_{MeSa,60}}$ , $0 \leq \mathcal{A} < 60$ $\epsilon_{MeSa,\mathcal{A}} \times C$ , $60 \leq \mathcal{A} \leq 80$				2.414	
$\alpha$ -Eud	$\epsilon_{\alpha-Eud,60} \times \frac{\epsilon_{MeSa,\mathcal{A}}}{\epsilon_{MeSa,60}}$ , $0 \leq \mathcal{A} < 60$ $\epsilon_{MeSa,\mathcal{A}} \times C$ , $60 \leq \mathcal{A} \leq 80$				0.397	
Infestation of mountain birch ( <i>Betula pubescens</i> var. <i>pumila</i> ) by autumnal moth ( <i>Epirrita autumnata</i> ) based on Yli-Pirilä et al. (2016)						
VOC	$\epsilon_{i,\mathcal{A}}$ (nmol m <sup>-2</sup> s <sup>-1</sup> )	A	B	C	E (nmol m <sup>-2</sup> s <sup>-1</sup> )	LMA <sub>f</sub>
MT	$\left( A + \frac{\mathcal{A} \times B}{\sqrt{1 + \frac{B^2 \times \mathcal{A}^2}{C^2}}} \right) \times E \times LMA_f$	$7.65 \cdot 10^{-2}$	$9.33 \cdot 10^{-3}$	0.2146	0.769	2.23
LOX	$(A \times \mathcal{A} + B) \times E \times LMA_f$	$6.325 \cdot 10^{-3}$	$4.868 \cdot 10^{-2}$		0.8	2.23
DMNT	$\left( A + \frac{\mathcal{A} \times B}{\sqrt{1 + \frac{B^2 \times \mathcal{A}^2}{C^2}}} \right) \times E \times LMA_f$	$7.11 \cdot 10^{-4}$	$3.39 \cdot 10^{-4}$	$8.63 \cdot 10^{-3}$	0.769	2.23
SQT	$\frac{\epsilon_{MT,\mathcal{A}}}{3}$					

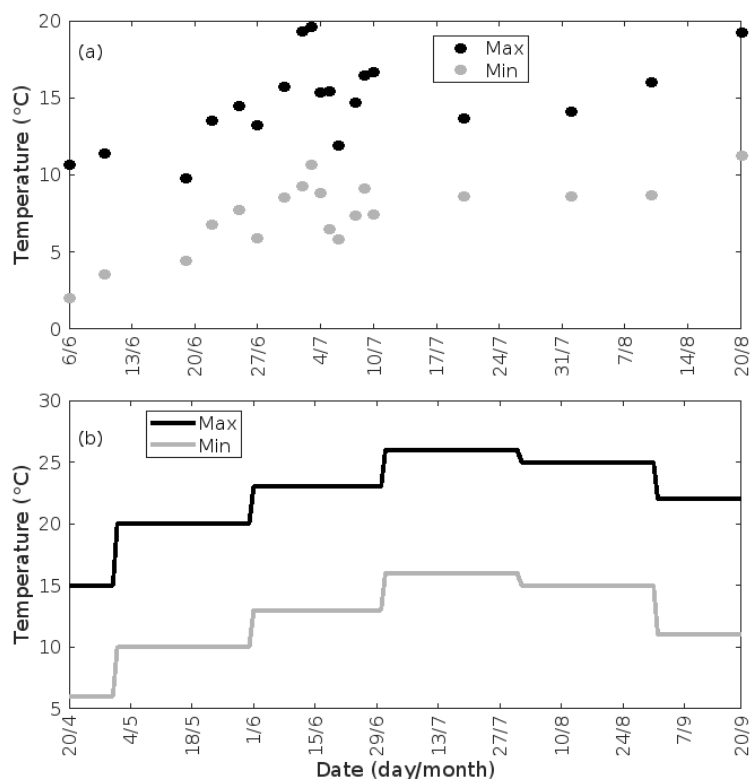
## 2.5 Meteorological conditions

The daily maximum radiation during the entire growing season was fixed to 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$  (Table 2), which corresponds to the average maximum photosynthetic photon flux density (PPFD) observed at the SMEAR I station during the growing seasons of 2015-2017 (Aalto et al., 2019). The daily pattern of PPFD then follows the solar zenith angle. For simulations of mountain birch, we utilised the maximum and minimum mean temperatures on every day in the growing season during 2015-2017 observed at 9 m at the SMEAR I station (Aalto et al., 2019). The daily maximum and minimum temperatures ranged from 9.8 to 19.6 °C, and from 2.0 to 11.3 °C, respectively, in the time period of interest (6<sup>th</sup> of June - 20<sup>th</sup> of August, Fig. 4a). For simulations of oak and poplar, we utilised the maximum and minimum temperatures for southern Germany

averaged over the past three decades (data obtained via <https://www.currentresults.com/Weather/Germany/average-annual-temperatures.php>). This was done due to availability and restriction of data obtained at the Hohenpeißenberg Meteorological Observatory and since our aim was not as such to simulate the atmospheric impact at Hohenpeißenberg, but instead at any relevant location, i.e. where oaks and poplars, including the biotic stresses of interests, are common. The monthly averaged daily maximum and minimum temperatures ranged from 15 to 26 °C, and from 6 to 16 °C, respectively, in the time period of interest (April - September, Fig. 4b). For simplicity, the daily temperature pattern followed that of the solar zenith angle with a forward shift of 1 h. The default daytime mixing length was kept constant to a value of 700 m (simulations of mountain birch) and 2000 m (simulations of oak and poplar) above ground level (Seidel et al., 2012) (Table 2).

**Table 2.** Model inputs. Representative summer time conditions in rural central Europe (here indicated by HPB: the Hohenpeißenberg Meteorological Observatory) and Lapland (here indicated by the SMEAR I station), used as default model input. The conditions are chosen such that they are realistic and representative, but they do not inhibit the formation of new particles. The concentrations of OH and sulfuric acid are provided as daily maxima in the table, but their concentrations decrease as a function of the decrease in solar light in the simulations. The concentration of ozone and the condensation sink (CS) are both kept constant throughout the simulations. **BLH** is the planetary boundary layer height. The photosynthetic photon flux density (PPFD) is provided as the daily maximum in the table, but the daily pattern of PPFD follows the solar zenith angle in the model.

	HPB	SMEAR I
[O <sub>3</sub> ] (ppb)	45	30
[OH] <sub>max</sub> (molec cm <sup>-3</sup> )	6·10 <sup>6</sup>	8·10 <sup>5</sup>
[H <sub>2</sub> SO <sub>4</sub> ] <sub>max</sub> (molec cm <sup>-3</sup> )	1·10 <sup>7</sup>	2.5·10 <sup>6</sup>
CS (s <sup>-1</sup> )	2.5·10 <sup>-3</sup>	7·10 <sup>-4</sup>
BLH (m)	2000	700
PPFD <sub>max</sub> (μmol m <sup>-2</sup> s <sup>-1</sup> )	1000	



**Figure 4.** Daily maximum and minimum temperatures throughout the growing season at **(a)** SMEAR I, Lapland, and **(b)** Southern Germany, used as default model input. Note the different time axes.

## 2.6 Atmospheric gas phase chemistry

We constrained the concentrations of atmospheric oxidants within the model, though in reality, the concentration of atmospheric oxidants can decrease or increase depending on changes in the concentrations of individual specific VOCs (Table 3). This was done, partly because it is difficult to accurately predict the concentration of oxidants (e.g. Di Carlo et al., 2004; Sinha et al., 2010; Mogensen et al., 2011, 2015; Nölscher et al., 2012, 2016; Zannoni et al., 2016; Praplan et al., 2019; Lelieveld et al., 2008; Taraborrelli et al., 2012), and partly because accounting for varying oxidant concentrations is not necessary for the objectives of our study (Sec. 3.2, Fig. 11a-b,f-g,k-l,p-q). Thus, in our simulations, the default daily maximum concentration of OH is therefore fixed to  $6 \cdot 10^6$  molec  $\text{cm}^{-3}$  (Petäjä et al., 2009) and  $8 \cdot 10^5$  molec  $\text{cm}^{-3}$  (calculated using observed summertime UVB radiation from the SMEAR I station and the proxy presented by Petäjä et al. (2009)) for simulations of Hohenpeißenberg and Lapland, respectively (Table 2). The daily pattern of the OH concentration then follows the solar zenith angle. The concentration of ozone is kept constant to a value of 45 ppb (Naja et al., 2003) and 30 ppb (Ruuskanen et al., 2003) for simulations of oak and poplar (Hohenpeißenberg conditions) and mountain birch (SMEAR I conditions), respectively (Table 2).  $\text{NO}_3$  was not considered, since emission and atmospheric processes were only simulated during day time, when the concentration of  $\text{NO}_3$  is insignificant.

The only source of sulfuric acid ( $\text{H}_2\text{SO}_4$ ), in our model, is the reaction between OH and  $\text{SO}_2$ , while the only sink is the condensation sink. The concentration of  $\text{SO}_2$  is chosen such that the default daytime maximum concentration of  $\text{H}_2\text{SO}_4$  is  $1 \cdot 10^7$  molec  $\text{cm}^{-3}$  in Hohenpeißenberg (Petäjä et al., 2009; Birmili et al., 2003) and  $2.5 \cdot 10^6$  molec  $\text{cm}^{-3}$  in Lapland (Kyrö et al., 2014) (Table 2). The size distribution of the pre-existing particle population is kept fixed during the simulations, so the number concentration of pre-existing particles is defined by the condensation sink (CS), which is kept constant to a value of  $2.5 \cdot 10^{-3} \text{ s}^{-1}$  (in rural southern Germany) and  $7 \cdot 10^{-4} \text{ s}^{-1}$  (in Lapland; Dal Maso et al., 2007; Vana et al., 2016) (Table 2).

We included reactions for the atmospheric oxidation of SO<sub>2</sub> and the emitted VOCs (Appendix C). Certain VOCs, and especially VOCs with endocyclic double bonds, can form HOM (highly oxygenated organic molecules) upon oxidation by, in particular O<sub>3</sub>, but also OH and NO<sub>3</sub> (e.g. Ehn et al., 2012, 2014; Jokinen et al., 2015; Berndt et al., 2016; Bianchi et al., 2019; Zhao et al., 2020). HOM have been found to be a major component of secondary organic aerosol (e.g. Ehn et al., 2014; Mutzel et al., 2015). HOM yields are specific to individual molecules and isomers and most yields have not been investigated for the exact compounds, which are emitted from the tree species considered in this study. Thus, the yields applied for the production of HOM in the model (Appendix C) are connected with a large degree of uncertainty. The influence of changing HOM yields on our results was therefore also investigated (Sec. 3.2, Fig. 11e,j,o,t). Formation of oxygenated organics from oxidation of sesquiterpenes and methyl salicylate are also included (Appendix C). The sum of all organic compounds, which contribute to aerosol processes, is referred to as “OxOrg”. The chemistry was solved by the ordinary differential equation solver DLSODE (Radhakrishnan and Hindmarsh, 1993), and it was assumed that the concentrations of all considered atmospheric molecules were homogeneously distributed within the mixing volume.

**Table 3.** Common changes in the atmospheric concentrations of volatile organic compounds (VOC) and OH during biotic plant stress. LOX are lipoxygenase pathway volatile compounds.

	Change in VOC concentration	Change in OH concentration	Reference
Stress↑	[LOX]↑ [methyl salicylate]↑ [methanol]↑ [monoterpenes]↑	[OH]↓	Mentel et al. (2013) Calvert et al. (2000) Atkinson et al. (1992) Hakola et al. (1994)
	[sesquiterpenes]↑	[OH]↑	Atkinson and Arey (2003) Winterhalter et al. (2009)
	[isoprene]↓	[OH]?, but most probably [OH]↑	Lelieveld et al. (2008) Taraborrelli et al. (2012) Wells et al. (2020)

## 2.7 Calculation of the formation and growth of secondary organic aerosol particles

The clustering and activation of new particles are expressed by a formation rate of neutral 2 nm sized clusters,  $J_2$  (cm<sup>3</sup> s<sup>-1</sup>), which is computed by Eq. 20, using coefficients ( $\alpha_{1-3}$ ) from Table 3, both found in Paasonen et al. (2010):

$$J_2 = \alpha_1 \times [H_2SO_4]^2 + \alpha_2 \times [H_2SO_4] [OxOrg] + \alpha_3 \times [OxOrg]^2 \quad (2)$$

It is here assumed that new particles are formed via heteromolecular homogeneous nucleation between sulfuric acid and oxidised organic compounds (OxOrg) as well as via homogeneous nucleation of sulfuric acid and OxOrg alone. For simplification, we only operated with one growing aerosol mode and therefore included a unit-less correction term (KK), which determines how large a fraction of the activated clusters reaches the growing mode (Kerminen and Kulmala, 2002):

$$KK = \exp(\eta \times [1/D_p - 1/D_{clus}]) \quad (3)$$

where  $D_p$  and  $D_{clus}$  are the diameters of the growing mode and clusters, respectively, and  $D_{clus} = 2$  nm as stated above. Further,  $\eta$  (nm) is (Eq. 11-12 and Table 1 in Kerminen and Kulmala, 2002):

$$\eta = 1830 \text{ nm}^2 \cdot \text{s} \cdot \text{h}^{-1} \times CS/GR \quad (4)$$

where CS (s<sup>-1</sup>) is the condensation sink. When used together with Eq. 3, the value of CS is that of sulfuric acid. The condensational particle diameter growth rate (GR, nm h<sup>-1</sup>) of newly formed 2-3 nm particles is calculated according to Nieminen et al. (2010):

$$GR_{2-3 \text{ nm}} = 0.5 \text{ nm} \cdot \text{h}^{-1} \times CC \times 10^{-7} \text{ cm}^3 \quad (5)$$



where CC is the concentration of condensable vapours which we assumed to be the sum of sulfuric acid and OxOrg. In addition, we assumed that the molar mass of OxOrg are 3.5 times larger than that of sulfuric acid (Ehn et al., 2014), hence:

$$CC = [H_2SO_4] + [OxOrg] \times 3.5^{1/3} \quad (6)$$

It is a complex matter to model nanoparticle growth, especially in forested environments, since thousands of individual molecules with different vapour pressures contribute to the growth, but particle growth rates have been observed to be strongly size-dependent in the field (Hirsikko et al., 2005; Yli-Juuti et al., 2011; Häkkinen et al., 2013). Hence, we accounted for this size-dependency by enhancing the growth rates of particles larger than 3 nm, as according to Hirsikko et al. (2005) and Yli-Juuti et al. (2011):

$$GR_{3-7 \text{ nm}} = 2 \times GR_{2-3 \text{ nm}} \quad (7)$$

$$GR_{>7 \text{ nm}} = 2.3 \times GR_{2-3 \text{ nm}} \quad (8)$$

The increase in the diameter of the growing mode ( $D_p$ ) is defined by the growth rate:

$$\Delta D_p / \Delta t = GR / 3600 \text{ s} \cdot h^{-1} \quad (9)$$

while the increase in the number of new particles ( $N_p$ ,  $\text{cm}^{-3}$ ) is determined by the formation of new particles which reaches the growing mode and the coagulation of particles in the growing mode by:

$$\Delta N_p / \Delta t = J_2 \times KK - CoagS \times N_p \quad (10)$$

where the coagulation sink ( $CoagS$ ,  $\text{s}^{-1}$ ) is calculated according to (Lehtinen et al., 2007):

$$CoagS = CS \times (0.71 \text{ nm} / D_p)^{1.6} \quad (11)$$

### 3 Results and discussion

#### 3.1 Simulations of biotically stressed and non-infected forest stands throughout a growing season

Simulation results of one full growing season from various non-infected, and moderately and severely infected forest stands are presented in Figs. 5-9. In the figures, emissions, concentrations, the isoprene-to-monoterpenes carbon concentration ratio ( $R = [\text{isoprene C}] / [\text{monoterpenes C}] = 0.5 \cdot [\text{isoprene}] / [\text{monoterpenes}]$ ), the formation and growth rates, and number of newly formed particles are expressed as median values during 10:00-16:00 local time, while the particle diameter of the growing mode is provided as the daily maximum. We underline that our modelling study is of a conceptual character and that the modelling results therefore also should be treated accordingly. Modelling results are compared in detail to observations in the sections below in order to put our findings into perspective.

##### 3.1.1 Canopy emissions of VOCs

The emissions of VOCs (Figs. 5a,c,e,g, 7a,b, 8a,b, 9a-c) change throughout the season due to variations in temperature, light, LAI and infection severity. The impact of leaf maturity development on emission predictions are presented in Appendix B and its atmospheric relevance discussed in Sec. 3.2. Canopy emissions of VOCs are highly different from non-infected and infected forest stands, due to plant stress responses, but also due to a decrease in LAI in case of larval infestations (Figs. 5a,c,e,g, 9a-c). Constitutive isoprene emitters (i.e. oak and poplar) decrease their emissions of isoprene significantly during the episodes of biotic stress, and a stronger reduction is observed as a function of an increase in stress severity (Figs. 5a, 7a, 8a). All investigated stresses cause the plants to increase their emissions of monoterpenes greatly (Figs. 5c, 7b, 8b, 9a). The induction in the emissions of monoterpenes increases as a function of stress severity per unit leaf area, but the LAI simultaneously decreases in case of larval infestations, which result in smaller canopy scale emissions from severely defoliated stands compared to moderately stressed stands (Figs. 5c, 9a). Also the emissions of compounds, and groups of

compounds, such as methyl salicylate, LOX, methanol, DMNT, sesquiterpenes and oxygenated sesquiterpenes are significantly increased as a result of biotic plant stress (Figs. 5e,g, 7a,b, 8a,b, 9b,c). These compounds, together with monoterpenes, are in most cases not emitted constitutively at all or only in very small abundances from the considered tree species. Though the emissions of all induced VOCs increase as a function of the degree of damage, the responses to the level of stress severity are not necessarily the same for all VOCs and all individual stresses. This difference can, for example, be seen in the emissions of monoterpenes (Fig. 5c) and LOX compounds (Fig. 5e) from gypsy moth infested oak forests, which do not peak at the same time in the season.

### 3.1.2 Ambient concentrations of VOCs and OxOrg

Since the concentrations of OH and O<sub>3</sub> are constrained within the simulations, the VOC emission patterns are reflected in the concentration patterns (Figs. 5b,d,f,h, 7c-e, 8c-e, 9e-g). All VOCs, except LOX and methanol, contribute to the formation of OxOrg (Figs. 6a, 7c, 8c, 9d), but the contributions from oxidation of the individual VOCs, or groups of VOCs, vary between the various stress cases and infection severity levels due to differences in emission rates and OxOrg forming yields (Appendix C). For example, in herbivory infested stands, OxOrg originating from monoterpenes make up by far the largest fraction of total OxOrg. This is mainly due to the fact that the induced emissions of monoterpenes are significantly higher than the emissions of other VOCs which contribute to OxOrg formation (Figs. 5c,g, 9a,c). In case of oak powdery mildew infected oak, HOM from monoterpenes and oxygenated organics from methyl salicylate reactions contribute about evenly to the total OxOrg. The reason for this is that the canopy emissions of these VOCs are roughly similar (Fig. 7a,b), the OxOrg yield of methyl salicylate is significantly higher than that of monoterpenes, but oxidation of methyl salicylate is correspondingly slower (Appendix C). The main contributor to the total OxOrg in poplar rust infected poplar stands is sesquiterpenes. Contributions from methyl salicylate, DMNT and  $\alpha$ -Eudesmol to total OxOrg are individually rather small, but together, they are close to matching the contribution from monoterpenes. When emissions of sesquiterpenes are omitted from simulations of a severely rust infected poplar stand, the concentration of OxOrg decreases with ~46 %, while, in comparison, the concentration of OxOrg decreases with ~30 % if the emissions of monoterpenes are instead excluded.

In the simulations, the daily median (10:00-16:00) ambient concentration of OxOrg is at maximum  $\sim 4.2 \times 10^7 \text{ cm}^{-3}$  in a gypsy moth infested oak stand (Fig. 6a),  $\sim 1.1 \times 10^7 \text{ cm}^{-3}$  in an oak powdery mildew infected oak stand (Fig. 7c),  $\sim 4.2 \times 10^7 \text{ cm}^{-3}$  in a rust infected poplar stand (Fig. 8c), and  $\sim 3.3 \times 10^7 \text{ cm}^{-3}$  in an autumnal moth infested mountain birch stand (Fig. 9d). The ambient concentration of OxOrg is much higher in gypsy moth infested oak stands, than in a non-infested oak stand, during the period of stress (Fig. 6a). When the period of feeding has been concluded, the concentration of OxOrg is higher in non-infested oak stands than in stands that have been exposed to stress due to a higher LAI. However, the concentration of OxOrg is then only ~15-20 % of the OxOrg concentration during the period of stress and it is almost exclusively composed of HOM originating from isoprene. The concentration of OxOrg increases as a function of fungal infection severity, and in our simulations the concentration of OxOrg is higher in fungally infected stands by a factor of up to ~6.9 (oak powdery mildew infected oak stands, Fig. 7c) and ~3.3 (poplar rust infected poplar stands, Fig. 8c). Since the investigated poplar species is a great constitutive isoprene emitter, relatively high concentrations of OxOrg are predicted for the non-infested poplar stand (Fig. 8c). In mountain birch stands, the concentration of OxOrg is up to 2-2.5 times higher in autumnal moth infested stands during the feeding period than in a non-infested birch stand (Fig. 9d). The difference in OxOrg concentration between moderately and severely infested birch stands is small, due to the combined effects of stress response (which is a function of the degree of damage) and LAI reduction (Fig. 9d), but towards the conclusion of the feeding period, the concentration of OxOrg is significantly higher in the less defoliated stand.

### 3.1.3 Formation of new particles

New particles are assumed to be formed from OxOrg and sulfuric acid (Sec. 2.7, Eq. 2). Since the concentration of sulfuric acid is constrained within the simulations, the concentration pattern of OxOrg is reflected in the seasonal pattern of the formation rates (Figs. 6c-e, 7f, 8f, 9h,i). Thus, in case of gypsy moth infested oak, the formation rates are much higher (increase by up to a factor of  $\sim 5$  ( $J_2$ ),  $\sim 7$  ( $J_3$ ), and  $\sim 11$  ( $J_{10}$ )) in stressed stands than in non-infested stands during the period when the plants are exposed to stress (Figs. 6c-e). The predicted  $J_2$  in gypsy moth infested oak stands is comparable to e.g. observations from Melpitz, Germany ( $\sim 9.4 \text{ cm}^{-3} \text{ s}^{-1}$ , Paasonen et al., 2010) and San Pietro Capofiume, Italy ( $\sim 13 \text{ cm}^{-3} \text{ s}^{-1}$ , Paasonen et al., 2010). Both Melpitz and San Pietro Capofiume are rural sites influenced by anthropogenic pollution (Paasonen et al., 2010). The modelled  $J_2$  in a non-infested oak stand is comparable to observations from Hohenpeissenberg ( $\sim 2.3 \text{ cm}^{-3} \text{ s}^{-1}$ , Paasonen et al., 2010) and similar or even higher than typical formation rates measured in the boreal Scots pine forest in Hyytiälä, Finland ( $\sim 1\text{--}2 \text{ cm}^{-3} \text{ s}^{-1}$ , Paasonen et al., 2010; Kulmala et al., 2012, 2013; Vana et al., 2016) and in the hemiboreal forest in Järveljä, Estonia ( $\sim 1.09 \pm 1.06 \text{ cm}^{-3} \text{ s}^{-1}$ , Vana et al., 2016). By analysing data from Hyytiälä and Järveljä, Vana et al. (2016) showed that the values of  $J_3$  were in general about 60–80 % of those of  $J_2$ . Our simulations of a non-infested oak stand follow this threshold, thus, the predicted  $J_3$  in a non-infested oak stand is somewhat higher than observations from Hyytiälä ( $\sim 0.6 \text{ cm}^{-3} \text{ s}^{-1}$ , Kulmala et al., 2012, 2013; Nieminen et al., 2014; Vana et al., 2016) and Järveljä ( $\sim 0.8 \text{ cm}^{-3} \text{ s}^{-1}$ , Vana et al., 2016).  $J_3$  in gypsy moth infested oak stands is high, but similar values have occasionally been observed in Hyytiälä (up to about  $10 \text{ cm}^{-3} \text{ s}^{-1}$ , Nieminen et al., 2014). Formation rates of 5 nm particles ( $J_5 = 1.0 \pm 1.1 \text{ cm}^{-3} \text{ s}^{-1}$ , Yu et al., 2014) measured in an oak forest in Missouri, USA, are much less than  $J_{10}$  in our simulated infested stands, and so are e.g. also formation rates of 10 nm particles ( $J_{10} = 1.2 \text{ cm}^{-3} \text{ s}^{-1}$ , Yli-Juuti et al., 2009) measured in a mixed forest in K-puszt, rural Hungary. Thus, the predicted formation rates in a non-infested oak stand are comparable, and in the case of gypsy moth infested oak stands, often much higher than observations from forests with intense new particle formation events.

The formation rates of new particles are always higher in oak powdery mildew infected oak stands than in a non-infested oak stand (Fig. 7f), though the fungus is not able to perturb the formation rates as strongly (increase by up to a factor of  $\sim 2.3$  ( $J_2$ ),  $\sim 3.0$  ( $J_3$ ), and  $\sim 5.3$  ( $J_{10}$ )) as herbivory by gypsy moth larvae.

Simulations of poplar stands suggest that particles will be formed at high rates in the range  $\sim 3.6\text{--}11.4 \text{ cm}^{-3} \text{ s}^{-1}$  ( $J_2$ ) and  $\sim 2.7\text{--}10.6 \text{ cm}^{-3} \text{ s}^{-1}$  ( $J_3$ ) during the late summer when the full leaf state has been attained, and our simulations suggest that new particles will be formed the fastest in severely rust infected stands (increase by up to a factor of  $\sim 3.2$  ( $J_2$ ), and  $\sim 3.9$  ( $J_3$ )).

In our simulations, herbivory by autumnal moth increases the formation rates of new particles in mountain birch stands by up to a factor of  $\sim 2.5$  ( $J_2$ ) and  $\sim 2.6$  ( $J_3$ ). The formation rates of 2 and 3 nm particles are predicted to vary between  $0.38 \text{ cm}^{-3} \text{ s}^{-1}$  and  $2.5 \text{ cm}^{-3} \text{ s}^{-1}$  ( $J_2$ ), and  $0.31 \text{ cm}^{-3} \text{ s}^{-1}$  and  $2.5 \text{ cm}^{-3} \text{ s}^{-1}$  ( $J_3$ ) in stressed stands, and between  $0.32 \text{ cm}^{-3} \text{ s}^{-1}$  and  $1.1 \text{ cm}^{-3} \text{ s}^{-1}$  ( $J_2$ ), and  $0.26 \text{ cm}^{-3} \text{ s}^{-1}$  and  $0.99 \text{ cm}^{-3} \text{ s}^{-1}$  ( $J_3$ ) in non-infested stands. The higher end of these values is comparable to rates observed in Hohenpeissenberg and Hyytiälä (see above). Kyrö et al. (2014) reported that the monthly averaged formation rate of 3 nm particles during 2005 – 2011, at the SMEAR I station in Värriö, varied throughout the year by  $0.04\text{--}0.45 \text{ cm}^{-3} \text{ s}^{-1}$ , and by  $0.16\text{--}0.23 \text{ cm}^{-3} \text{ s}^{-1}$  during the summer months. Analysis of years 2013 and 2014, also in Värriö, led to a median formation rate of  $0.14 \pm 0.05 \text{ cm}^{-3} \text{ s}^{-1}$  ( $J_3$ ) (Vana et al., 2016). Thus, the predicted formation rates in, especially, non-infested mountain birch stands in Lapland are generally within range, but often somewhat higher than observations from the same location. It should, though, be mentioned that these literature values cannot be used to validate our simulation results, since Scots pines, and not mountain birches, dominate the SMEAR I site (Kyrö et al., 2014), and the LAI of mountain birches at the station is significantly less than  $2 \text{ m}^2 \text{ m}^{-2}$  (Ylivinkka et al., 2020). The modelled formation rates are not compared to observations from the mountain birch dominated areas in Lapland, since such observations do not, to our knowledge, exist.

A very recent investigation of long-term field observations (25 years) from the SMEAR I station (Ylivinkka et al., 2020), where autumnal moth larvae are prominent defoliators of mountain birches, did, however, not find any evidence that herbivory by autumnal moth would enhance the formation, nor growth, of atmospheric aerosol particles during the summer of infestation. Instead there was some evidence of elevated total particle concentrations for a few years after summers with larval infestation, which was speculated to be caused by delayed defense responses of mountain birches. It is, however, possible that the total foliage mass of mountain birches in the area is too small, or that the level of infestation was too low during the investigated time period, in order to cause detectable changes in aerosol variables (Ylivinkka et al., 2020).

The amount of newly formed particles is predicted to be up to about one order of magnitude higher in a gypsy moth infested oak stand than in a non-infested oak stand, with a 10:00-16:00 median of up to  $\sim 1.4 \times 10^5 \text{ cm}^{-3}$  in an infested stand (Fig. 6f). Such a high production of new particles is comparable to observations from e.g. Melpitz (Größ et al., 2018). The number of produced particles in a non-infested oak stand ( $\sim 1.1 \times 10^4 \text{ cm}^{-3}$ ; Fig. 6f) is comparable to e.g. the number of new particles produced during a typical new particle formation event in Hyytiälä ( $\sim 1\text{--}2 \times 10^4 \text{ cm}^{-3}$ ; Dal Maso et al., 2008; Nieminen et al., 2014), but significantly higher than observations from a Missouri oak forest, where sub-5 nm particles were measured to be up to  $\sim 2 \times 10^4 \text{ cm}^{-3}$ , and 5-25 nm particles to  $\sim 3000 \text{ cm}^{-3}$ , during typical new particle formation events (Yu et al., 2014). After the period of stress, the number of particles in the growing mode is predicted to range between  $\sim 7 \times 10^3 \text{ cm}^{-3}$  and  $\sim 17 \times 10^3 \text{ cm}^{-3}$  in a non-infested stand,  $\sim 6 \times 10^3 \text{ cm}^{-3}$  and  $\sim 12 \times 10^3 \text{ cm}^{-3}$  in a 30 % defoliated stand and between  $\sim 3 \times 10^3 \text{ cm}^{-3}$  and  $\sim 5 \times 10^3 \text{ cm}^{-3}$  in a 80 % defoliated oak stand (Fig. 6f). Oak powdery mildew is predicted to enhance the number of particles in the growing mode by up to a factor of  $\sim 4$  compared to the corresponding non-infected stand, resulting in a maximum of  $\sim 1.7 \times 10^4 \text{ cm}^{-3}$  in an infected stand, under the used border conditions (Fig 7g). Under the same environmental conditions, a severely poplar rust infected poplar stand is predicted to produce up to about five times as many new particles as a non-infected poplar stand, leading to a maximum of about  $1.1 \times 10^5 \text{ cm}^{-3}$  in a severely infected stand (Fig 8h). Finally, it is predicted that herbivory by autumnal moth enhances the amount of produced particles by up to a factor of  $\sim 2.7$ , with a maximum number of particles in the growing mode of  $\sim 3 \times 10^4 \text{ cm}^{-3}$  in an infested birch stand (Fig. 9j). The predicted amount of particles in a non-infested mountain birch stand is in the same order as observations from Finnish Lapland (Komppula et al., 2006).

### 3.1.4 New particle growth

New particles are assumed to grow by sulfuric acid and OxOrg (Sec. 2.7, Eq. 5-8), hence the seasonal patterns of formation rates and OxOrg concentration are reflected in the pattern of the growth rates (Figs. 6g, 7h, 8g, 9k), and therefore also in the season pattern of the number (Figs. 6f, 7g, 8h, 9j) and size (Figs. 6h, 7g, 8g, 9l) of the growing particle mode. We predict that the 10:00-16:00 median growth rate in a gypsy moth infested oak stand is at maximum  $\sim 5.9 \text{ nm h}^{-1}$  under the assumed boundary conditions, whereas the corresponding growth rate in a non-infested oak stand is around  $1.6 \text{ nm h}^{-1}$ , when the full leaf state has been attained (Fig. 6g). For comparison, the growth rate of new particles has been reported to range from 0.5 to  $12 \text{ nm h}^{-1}$  in Hyytiälä (Dal Maso et al., 2007), with median values of  $2.1 \text{ nm h}^{-1}$  (Vana et al., 2016),  $2.5 \text{ nm h}^{-1}$  (Dal Maso et al., 2007), and  $3.3 \text{ nm h}^{-1}$  (Paasonen et al., 2010), depending on which years the data covered. Dal Maso et al. (2007) reported that the growth rate of new small particles in Aspvreten, a rural site in Sweden dominated by deciduous and conifer forests and some farmlands, ranged between 1 and  $11 \text{ nm h}^{-1}$ , with a median value of  $3.4 \text{ nm h}^{-1}$ . The growth rate was found to range from 2.1 to  $22.9 \text{ nm h}^{-1}$ , with a median value of  $7.25 \text{ nm h}^{-1}$  during spring in a mixed deciduous forest area close to Heidelberg in Germany, under influence of anthropogenic pollution (Fiedler et al., 2005). Growth rates from an oak forest in Missouri, USA, were in the range  $1.6\text{--}11.2 \text{ nm h}^{-1}$  (Yu et al., 2014). Median values for the growth rate have been reported to be  $4.2 \text{ nm h}^{-1}$  in Melpitz (Paasonen et al., 2010),  $4.6 \text{ nm h}^{-1}$  in Järveljä (Vana et al., 2016),  $4.8 \text{ nm h}^{-1}$  in Hohenpeißenberg (Paasonen et al., 2010) and  $9.5 \text{ nm h}^{-1}$  in San Pietro Capofiume (Paasonen et al., 2010). Thus, we can conclude that our

predicted growth rates are comparable to atmospheric observations from several different rural sites. Growth rates obtained from areas influenced by anthropogenic pollution are generally higher than our simulated rates, but this is expected, since our model is constrained by conditions representative for rural sites.

Growth rates are predicted to be lower in an oak powdery mildew infected oak forest, than in a gypsy moth infested oak forest. The rates are predicted to, at maximum, be  $\sim 2.0 \text{ nm h}^{-1}$  (80 % of leaf area covered by mildew),  $\sim 1.6 \text{ nm h}^{-1}$  (30 % of leaf area covered by mildew) and  $\sim 1.2 \text{ nm h}^{-1}$  (non-infected, in the same environmental conditions as the infected trees) (Fig. 7h). Thus, the growth rates are similar to the lower end of the observed range.

The growth of small particles in non-infected and rust infected poplar stands are predicted to range between  $\sim 2.1 \text{ nm h}^{-1}$  and  $\sim 5.7 \text{ nm h}^{-1}$ , during the late summer when the full leaf state has been attained, with the fastest growth in a heavily rust infected forest stand (Fig. 8g). This range in growth rates is thus similar to simulation results of herbivory infested oak (see above; Fig. 6g).

The predicted growth rates are smallest in simulations of non-infested mountain birch stands in Lapland. The 10:00-16:00 median growth rate is at maximum predicted to be  $\sim 1.4 \text{ nm h}^{-1}$  in an infested stand and varies between  $\sim 0.6 \text{ nm h}^{-1}$  and  $\sim 2.0 \text{ nm h}^{-1}$  in a non-infested stand (Fig. 9k). These values are in line with observations from Värriö (median:  $1.6 \pm 0.9 \text{ nm h}^{-1}$ , Vana et al., 2016; monthly summer mean:  $3.7\text{--}4.4 \text{ nm h}^{-1}$ , Kyrö et al., 2014; range:  $1\text{--}10 \text{ nm h}^{-1}$ , median:  $2.4 \text{ nm h}^{-1}$ , Dal Maso et al., 2007) and from Pallas, Finnish Lapland (median:  $2.0 \text{ nm h}^{-1}$ , daily range:  $0.5\text{--}11 \text{ nm h}^{-1}$ , Dal Maso et al., 2007; monthly range:  $1.9\text{--}4.6 \text{ nm h}^{-1}$ , Asmi et al., 2011).

According to our predictions, new particles will grow up to about 46 nm larger in an oak gypsy moth infested oak stand compared to a non-infested oak stand within one day (Fig. 6h). Simulation results for the other species/stressors show that new particles will grow up to about 8 nm more in an oak powdery mildew infected stand (Fig. 7g),  $\sim 28 \text{ nm}$  more in a poplar rust infected poplar stand (Fig. 8g), and  $\sim 26 \text{ nm}$  larger in an autumnal moth infested mountain birch stand (Fig. 9l), within one day, compared to their corresponding non-infested stands. In our simulations, the newly formed particles in non-infested oak stands are always mainly formed and grown by sulfuric acid (Figs. 6h, 7g), but in modelling of non-infested poplar, more than half of the formation and growth is due to HOM originating from isoprene (Fig. 8g), while HOM formed from monoterpenes account for a large fraction of the predicted formation and growth in non-infested birch stands (Fig. 9l).

### 3.1.5 R: the isoprene-to-monoterpenes carbon concentration ratio

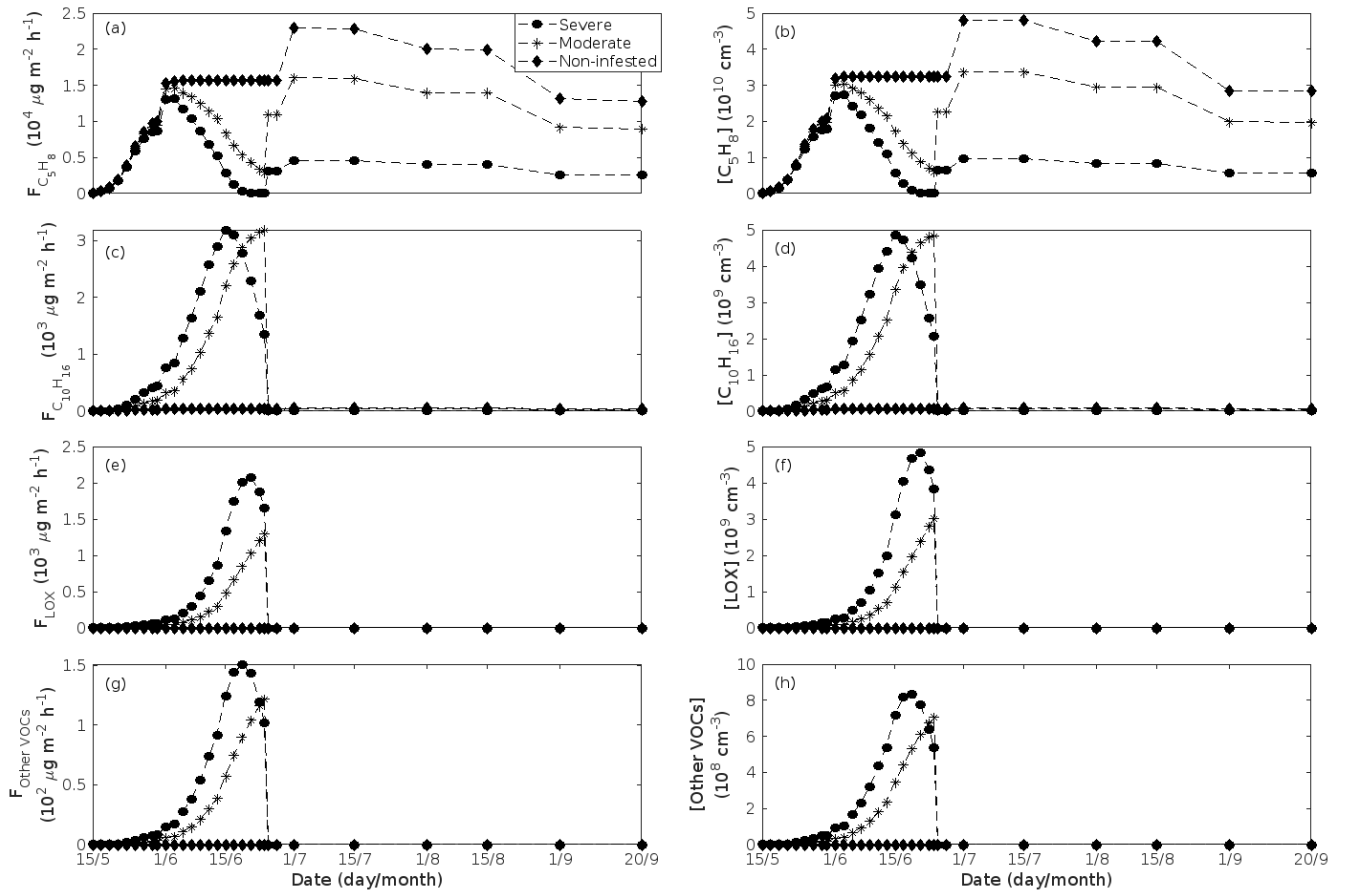
Previous chamber studies (Kiendler-Scharr et al., 2009, 2012; McFiggans et al., 2019; Heinritzi et al., 2020) have suggested that isoprene suppresses the formation of new particles from monoterpenes when the isoprene-to-monoterpene carbon concentration ratio (R) becomes too high. New particle formation has rarely been observed in the field when  $R > 1$  (e.g. Kanawade et al., 2011; Pöschl et al., 2010; Pöhlker et al., 2012; Lee et al., 2016). For example, Yu et al. (2014) observed formation of sub-5 nm particles during 64 % of the measured days in an oak forest, though R was  $15.3 \pm 7.2$  during the campaign period. However, since the formation of new particles occurs on a regional scale, the authors suggested that the detected particles could have been formed at lower R and advected to their measurement site. Contrarily, it has earlier been proposed that oxidation products of isoprene (e.g. IEPOX) promote the growth of existing new particles ( $D_p > 3 \text{ nm}$ , e.g. Surratt et al., 2010; Lin et al., 2013), while Heinritzi et al. (2020) observed the growth of particles above 3.2 nm to be unaffected by the concentration of isoprene. It is thus likely that the zone of R values, inside which the probability for new particle formation to occur changes, is influenced by other environmental factors and is therefore location and/or season dependent.

New particle formation has also been observed in the upper troposphere in tropical regions (Andreae et al., 2018; Williamson et al., 2019) where isoprene dominates the emission spectrum greatly. The hypothesis is that isoprene is



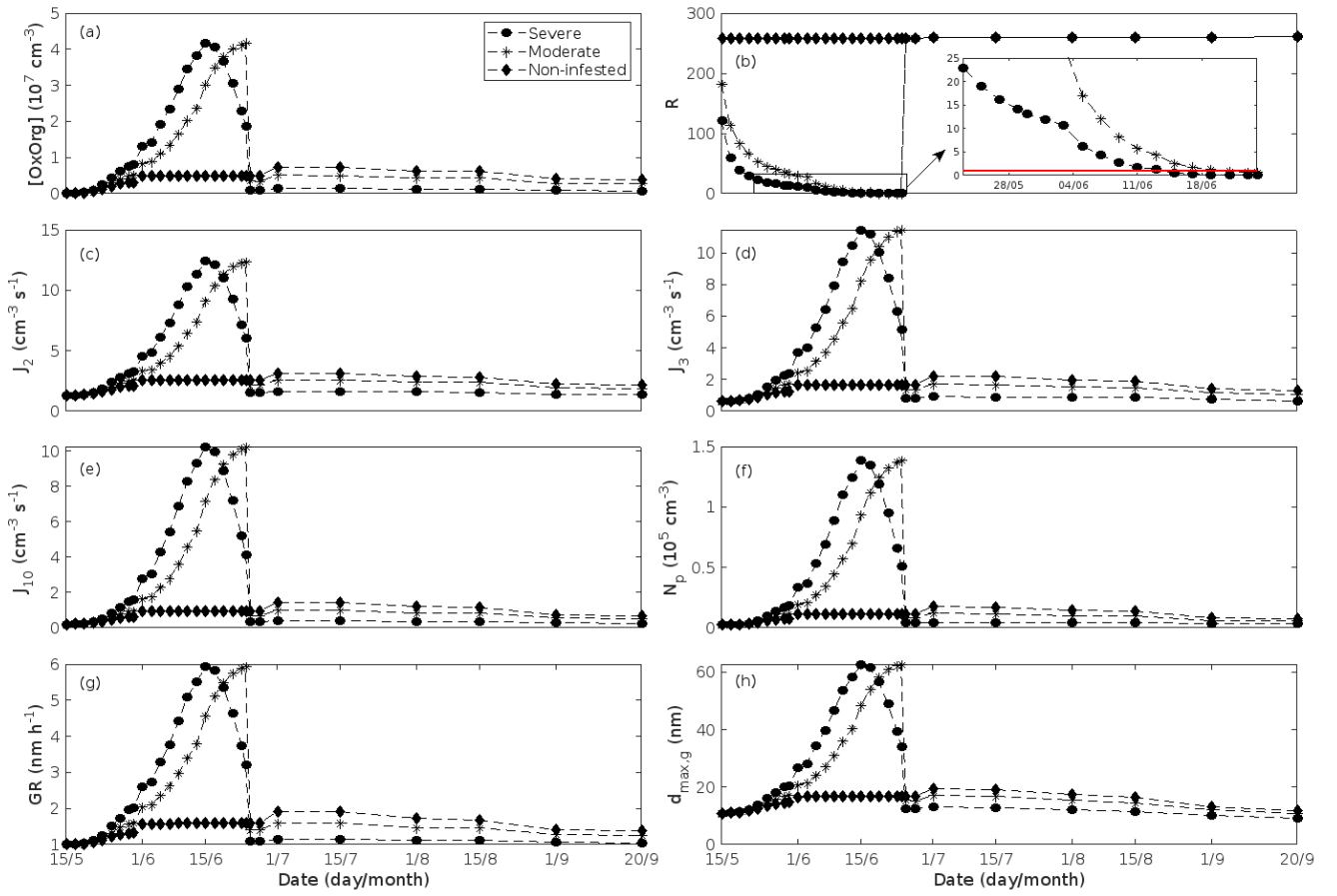
vertically transported via strong convection and new particles are formed from isoprene oxidation products, which is possible due to lower temperature conditions in the upper troposphere.

The concentration ratio of isoprene-to-monoterpene carbon is very high in non-infected oak and poplar stands and in oak stands which are no longer exposed to herbivory (Figs. 6b, 7d, 8d), and it is therefore questionable whether particles will be formed at all in the atmospheric boundary layer from these stands when they are not experiencing stress. Biotic stress greatly reduces  $R$  in all three cases.  $R$  is most significantly decreased to a minimum 10:00-16:00 median value of 0.004 in simulations of gypsy moth infested oak stands (Fig. 6b), but the period with low  $R$  values is rather short. For example,  $R < 1$  during only 11 and 4 days, respectively, while  $R < 22.5$  (probably the highest ratio at which new particles formation has been observed in the field, Yu et al., 2014) during 32 and 21 days, respectively, in our simulations of a severely and moderately European gypsy moth infested oak stand (Fig. 12e).  $R$  is predicted to be close to 1, though never below 1, in simulations of both oak powdery mildew infected oak stands and rust infected poplar stands. The duration where  $R$  is e.g. less than 22.5 is 39 days in a severely mildew infected oak stand, 31 days in a moderately mildew infected oak stand, and 27 days in a severely rust infected poplar stand (Fig. 12e). For comparison,  $R$  is never predicted to be less than 22.5 in a moderately infected poplar stand (Fig. 8d). Even if new particles are not formed from oak powdery mildew or poplar rust infected stands in the boundary layer, then both the potential to form new particles in the upper troposphere (Figs. 7f,g, 8f,h) and the potential to grow already existing particles, which are formed in nearby stands and horizontally transported to the infected stands (Figs. 7g,h, 8g), are still predicted to be greater than in our simulations of the correspondingly non-infected stands.  $R$  is not relevant in the case of mountain birch, since this tree species does not emit isoprene constitutively, nor in response to herbivory stress by autumnal moth larvae (Yli-Pirilä et al., 2016; Rieksta et al., 2020).

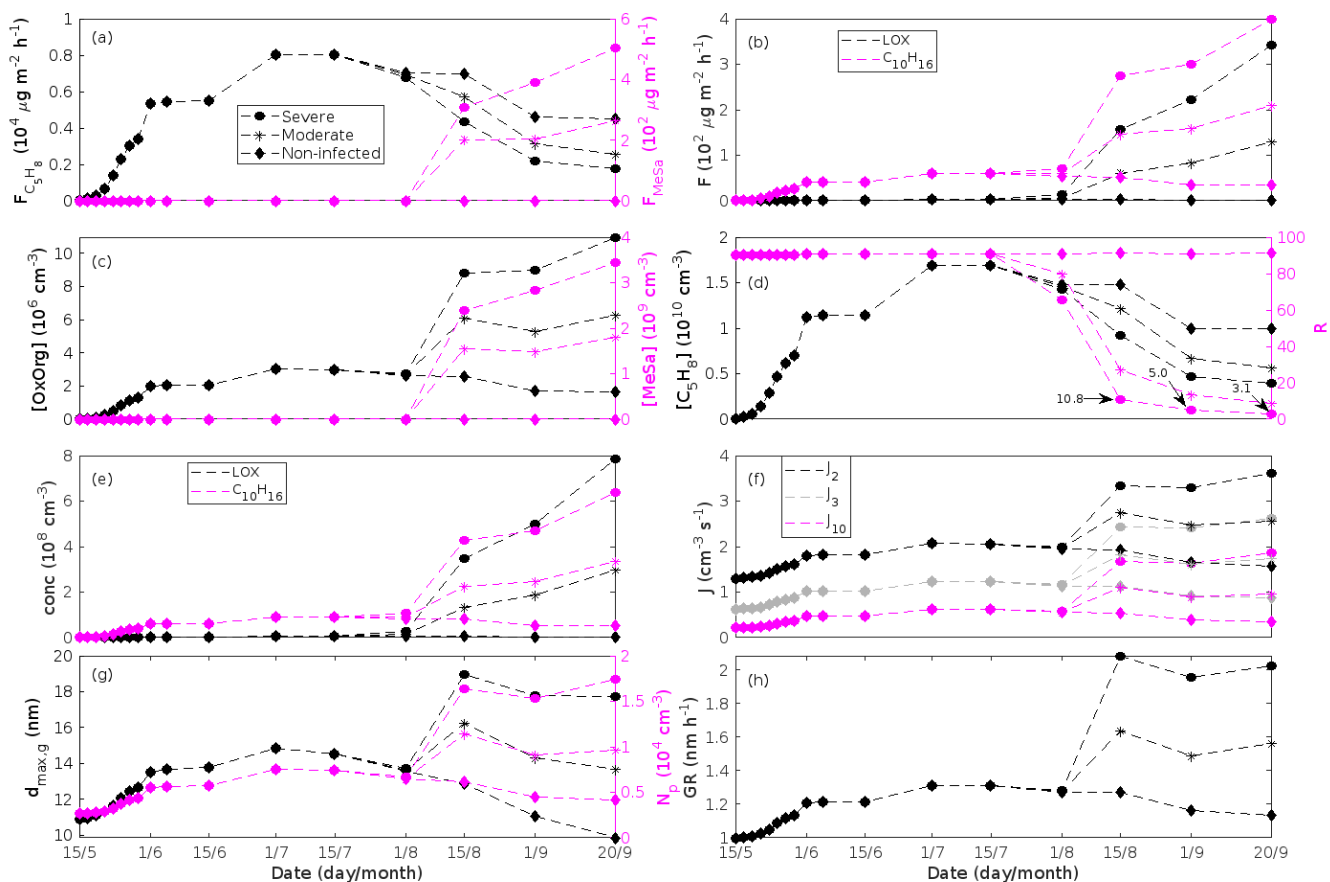


**Figure 5.** A pure oak stand infested with European gypsy moth larvae in comparison to a non-infested pure oak stand. Canopy emissions of (a) isoprene, (c) monoterpenes, (e) lipoxygenase pathway volatiles (LOX), and (g) the sum of other VOCs which contribute to OxOrg formation (here i.e. methyl salicylate and dimethyl-nonatriene). Atmospheric

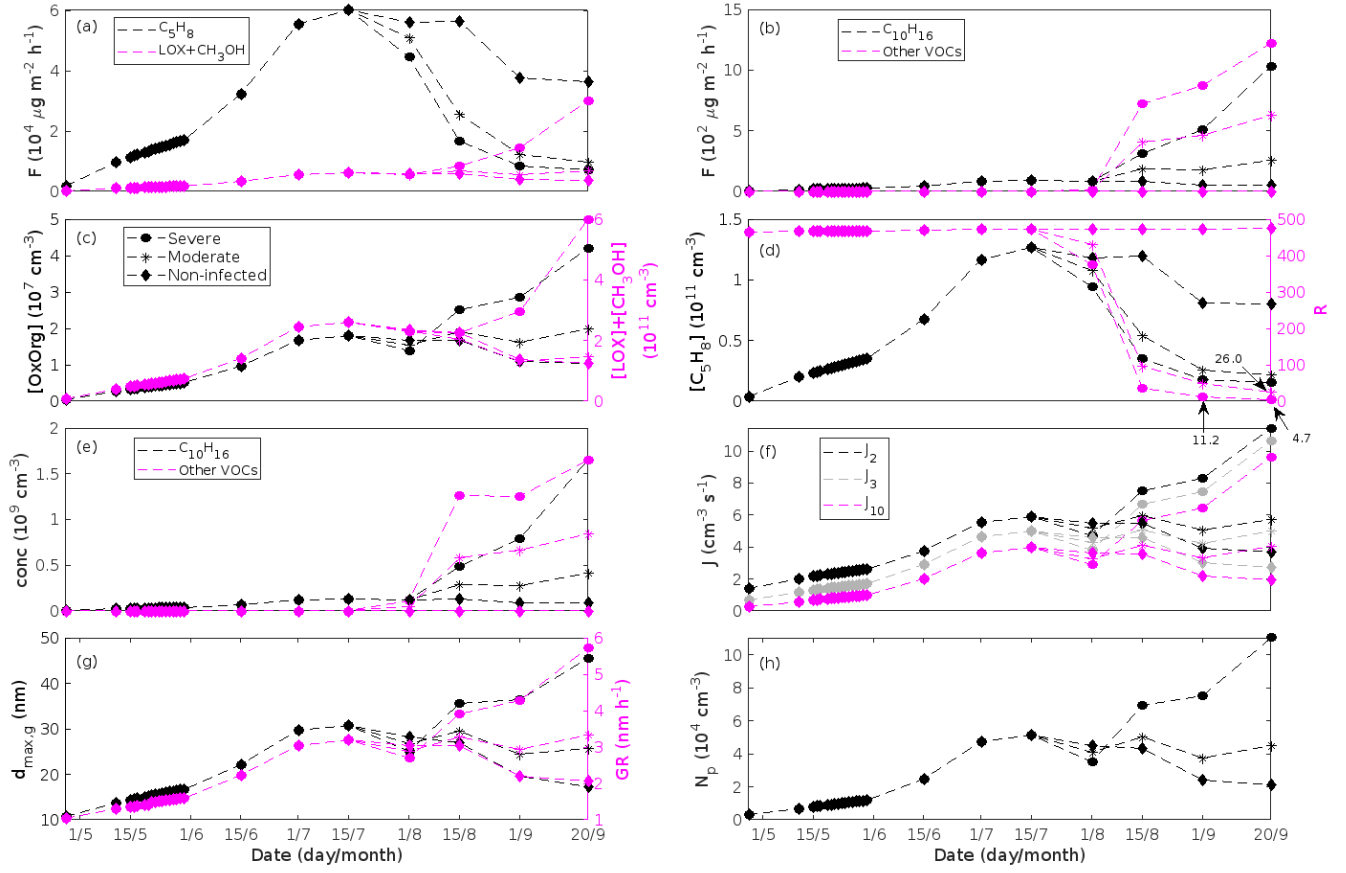
concentrations of **(b)** isoprene, **(d)** monoterpenes, **(f)** lipoxygenase pathway volatiles, and **(h)** the sum of other VOCs which contribute to OxOrg formation. “Moderately” and “severely” refer to 30 % and 80 %, respectively, of the leaf area that has been consumed by the end of the feeding period.



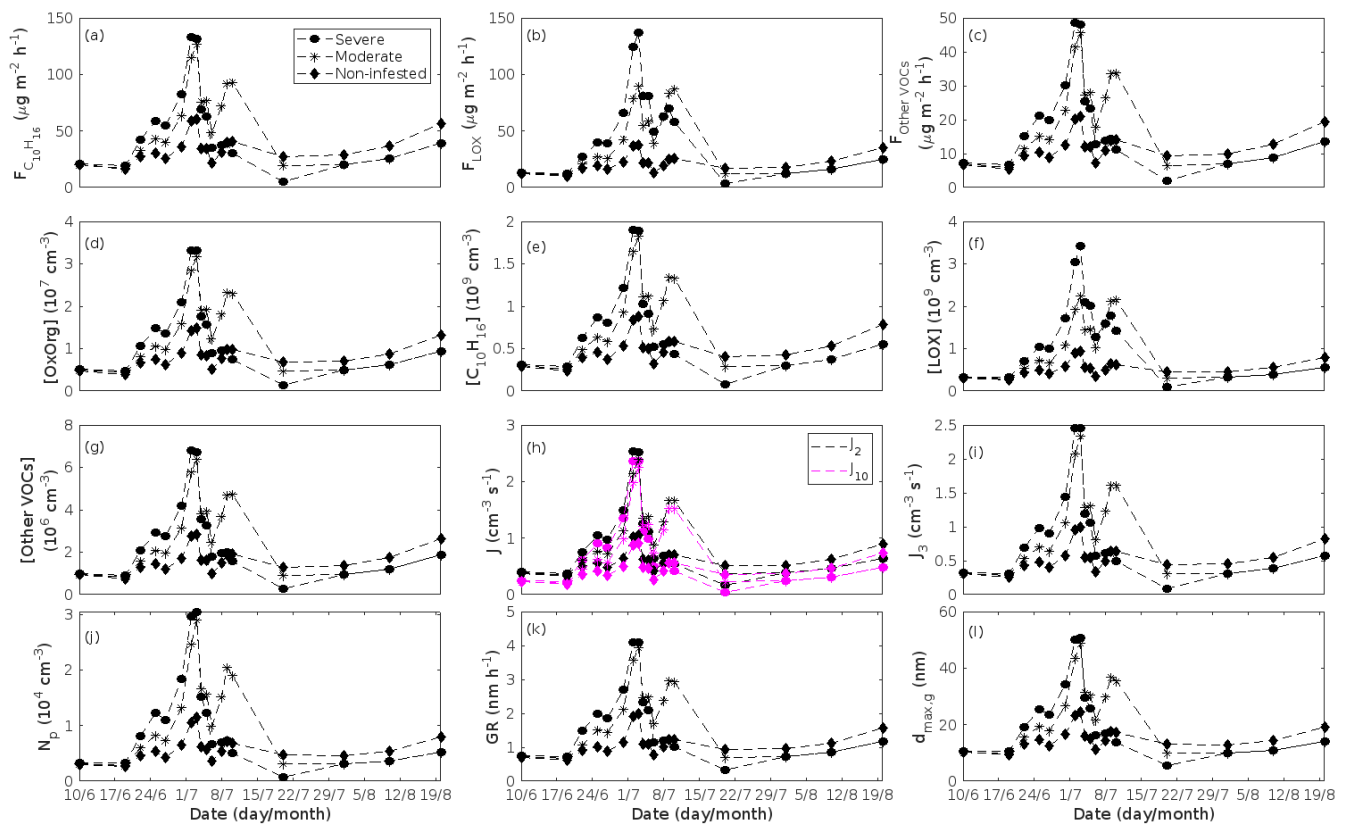
**Figure 6.** A pure oak stand infested with European gypsy moth larvae in comparison to a non-infested pure oak stand. **(a)** atmospheric concentrations of OxOrg. **(b)** the ratios of isoprene-to-monoterpenes carbon concentrations, where the red line indicates  $R = 1$ . Formation rates of **(c)** 2, **(d)** 3 and **(e)** 10 nm particles. **(f)** number concentrations of formed particles, **(g)** growth rates of newly formed particles, and **(h)** the daily maxima diameter of the growing particle mode. “Moderately” and “severely” refer to 30 % and 80 %, respectively, of the leaf area that has been consumed by the end of the feeding period.



**Figure 7.** A pure oak stand infected by oak powdery mildew in comparison to a non-infected pure oak stand. Canopy emissions of **(a, left axis)** isoprene, **(a, right axis)** methyl salicylate, **(b)** lipoxygenase pathway volatiles and monoterpenes. Atmospheric concentrations of **(c, left axis)** OxOrg, **(c, right axis)** methyl salicylate, **(d, left axis)** isoprene, and **(e)** lipoxygenase pathway volatiles and monoterpenes. **(d, right axis)** the ratios of isoprene-to-monoterpene carbon concentrations. **(f)** formation rates of 2, 3 and 10 nm particles. **(g, left axis)** daily maxima diameter of the growing particle mode, and **(g, right axis)** number concentrations of formed particles. **(h)** growth rates of newly formed particles. “Moderately” and “severely” refer to 30 % and 80 %, respectively, of the leaf area that has been infected by fungi by the onset of senescence.



**Figure 8.** A pure poplar stand infected by rust fungi in comparison to a non-infected pure poplar stand. Canopy emissions of (a) isoprene and the sum of lipoxigenase pathway volatiles and methanol, (b) monoterpenes and the sum of other VOCs which contribute to OxOrg formation (here i.e. methyl salicylate, dimethyl-nonatriene,  $\alpha$ -Eudesmol and sesquiterpenes). Atmospheric concentrations of (c, left axis) OxOrg, (c, right axis) the sum of lipoxigenase pathway volatiles and methanol, (d, left axis) isoprene, and (e) monoterpenes and the sum of other VOCs which contribute to OxOrg formation. (d, right axis) the ratios of isoprene-to-monoterpene carbon concentrations. (f) formation rates of 2, 3 and 10 nm particles. (g, left axis) daily maxima diameter of the growing particle mode, and (g, right axis) growth rates of newly formed particles. (h) number concentrations of formed particles. “Moderately” and “severely” refer to 30 % and 80 %, respectively, of the leaf area that has been infected by fungi by the onset of senescence.



**Figure 9.** A pure mountain birch stand infested with autumnal moth larvae in comparison to a non-infested pure mountain birch stand. Canopy emissions of (a) monoterpenes, (b) lipoxygenase pathway volatiles, and (c) the sum of other VOCs which contribute to OxOrg formation (here i.e. dimethyl-nonatriene and sesquiterpenes). Atmospheric concentrations of (d) OxOrg, (e) monoterpenes, (f) lipoxygenase pathway volatiles, and (g) the sum of other VOCs which contribute to OxOrg formation. Formation rates of (h) 2, 10 and (i) 3 nm particles. (j) number concentrations of formed particles. (k) growth rates of newly formed particles. (l) daily maxima diameter of the growing particle mode. “Moderately” and “severely” refer to 30 % and 80 %, respectively, of the leaf area that has been consumed by the end of the feeding period.

### 3.2 Estimating the reliability of our results

Since aerosol processes are very sensitive to changes in environmental conditions - conditions which can vary greatly, both interannually, but also from day to day, we investigated the influence of a wide range of realistic and relevant environmental conditions (Table D1 in Appendix D) on our model predictions (Figs. 10-11, D1-2 in Appendix D). Nine different sensitivity tests (ST1-9) were conducted for all plant species and infections, where only one parameter was changed at a time (Table D1). For these simulations, the default values listed in Table 2 were used, while the default maximum daily temperature at Hohenpeissenberg and SMEAR I were assigned to 25 °C and 20 °C, respectively, and the default LAI for oak/poplar and birch was assumed to be 5 m<sup>2</sup> m<sup>-2</sup> and 2 m<sup>2</sup> m<sup>-2</sup>, respectively. All aerosol parameters (formation and growth rates, diameter, number of particles) show a similar response to changes in the considered environmental parameters, thus only the impact on the number of newly formed particles (Figs. 10-11) and the rate at which new small particles grow (Figs. D1-2) is displayed.

As is also observed in nature, certain conditions suppress or prevent the formation of new particles, such as for example a high condensation sink (Fig. 11d,i,n,s; e.g. Hyvönen et al., 2005; Nieminen et al., 2015; Vana et al., 2016) and low sulfuric acid concentration (Fig. 11c,h,m,r; e.g. Boy et al., 2005; Nieminen et al., 2014), making the atmospheric relevance of the forest stands minor. Since we have assumed realistic conditions, but at the same time conditions which do not prevent the formation of new particles, in our simulations, the number of predicted days with occurring new particle formation is the



theoretical maximum for clean environments, which our aerosol theory is based on (Sec. 2.7). Though the absolute number of predicted new particles depend highly on the assumed environmental conditions (Figs. 10-11), the relative difference between non-infected and stressed stands of the same tree species is not impacted: e.g. the number of new particles is always significantly higher in gypsy moth infested, and oak powdery mildew infected, oak stands, than in non-infected oak stands, when the environmental conditions are assumed to be the same in all stands (Figs. 10a-h, 11a-j). Likewise, more particles are always formed in moderately, than severely, moth infested oak and birch stands, since the decrease in LAI is stronger than the increase in the stress-induced emission response per unit leaf area (Figs. 10a-d,m-p, 11a-e,p-t). This is emphasised in very severely infested mountain birch stands (e.g. 80 % defoliation), where the number of produced particles is always less than in its corresponding non-infested stand (Figs. 10m-p, 11p-t).

Sensitivity tests were also carried out in order to assess whether the simplifications made in the model are valid: (1) As mentioned earlier (Sec. 2.4), we did not incorporate a full canopy environment in the model - an approach which has also been taken by other investigators (e.g. Simpson et al., 1999, 2012; Bergström et al., 2014). In ST2 (Table D1, Figs. 10b,f,j,n, D1b,f,j,n) changes in light conditions exclusively impact the predicted emissions of VOCs. From Figs. 10b,f,j,n, D1b,f,j,n it is clear that even assuming extremely different light environments would not change our conclusions about the atmospheric importance of biotic plant stresses, since our results show that stressed forest with a maximum light availability down to 200  $\mu\text{mol m}^{-2} \text{s}^{-1}$  would still produce more new particles than its correspondingly non-infested stand at theoretically clear sky conditions (Fig. 10b,f,j,n). A highly autumnal moth stressed mountain birch stand (80 % defoliation) would possibly produce slightly more particles than a non-infested stand, if a full canopy environment would be considered. For example, the number of produced particles is slightly higher in a birch stand experiencing a stress level of 80 % under 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$  than a non-infested stand under 400  $\mu\text{mol m}^{-2} \text{s}^{-1}$  (Fig. 10n). However, the LAI of mountain birch stands is usually rather low (Heiskanen, 2006), making the difference in light environment between a non-infested and a highly defoliated stand small. Since mildew and rust do not decrease the leaf area of their host, a different treatment of the light environment would not influence the relative atmospheric importance of fungally infected oak and poplar vs their correspondingly non-infested stands (Fig. 10f,j).

(2) In ST3 (Table D1, Figs. 10c,g,k,o, D1c,g,k,o) and in our seasonal simulations (Figs. 5-9), the change of temperature only impacts the emission rates of VOCs. In reality, the vapour pressures of oxidised compounds increase non-linearly with an increase in temperature (e.g. Bilde et al., 2015), and less HOM, and other oxidised organic compounds, will therefore condense at higher temperatures, whereby the formation and subsequent growth of particles will decrease (Stolzenburg et al., 2018; Simon et al., 2020). Gas phase chemistry, including the formation of HOM, is also in reality temperature dependent (e.g. Quéléver et al., 2019). These effects have not been included in the model. Since the range of daily maximum temperatures throughout the growing season is assumed to be rather narrow (Fig. 4), this effect does not greatly impact our results (Sec. 3.1), but it means that the number of particles produced at high temperatures (Fig. 10c,g,k,o), and the growth rate at which they are produced (Fig. D1c,g,k,o), are overestimated for both non-infested and stressed forests.

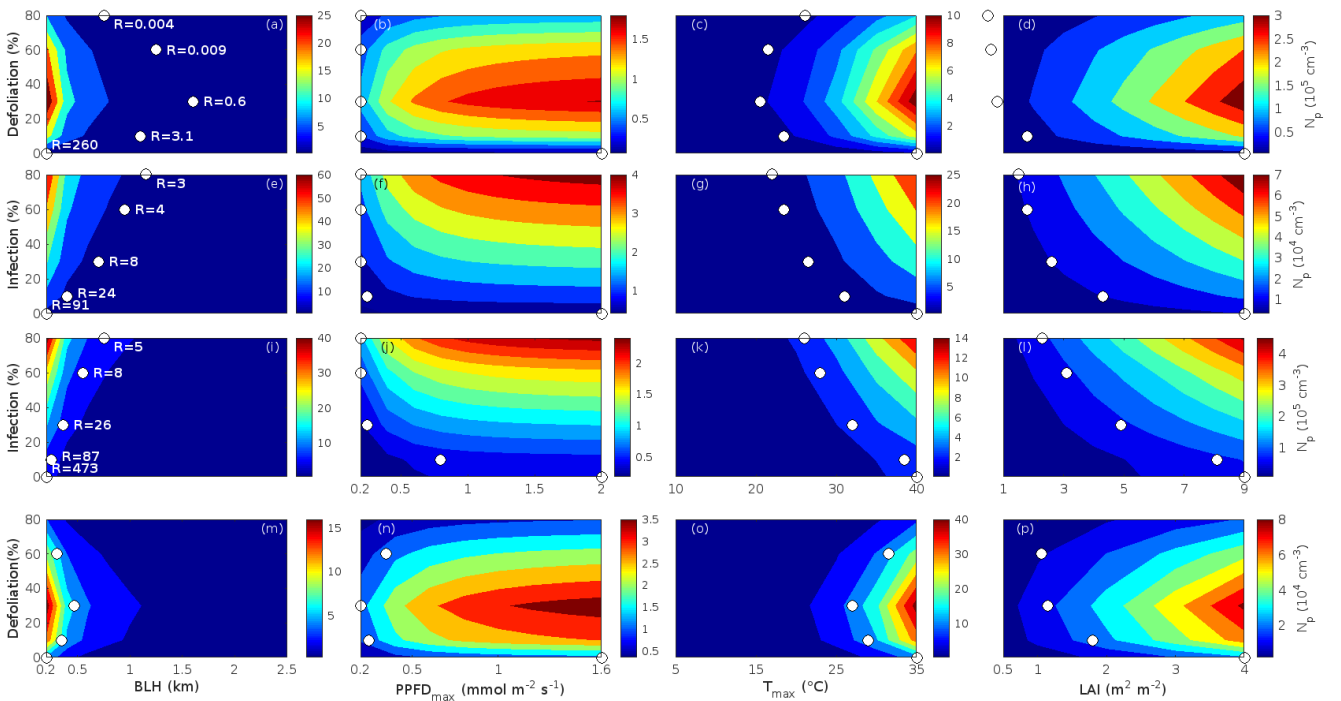
(3) The concentrations of ozone and OH were unaltered between simulations of non-infested forests and forests under varying degrees of infection (Sec. 2.6), though in reality, the atmospheric oxidation capacity is controlled by changes in the concentration of atmospheric trace gases, including VOCs. The total emission of VOCs from oak and poplar stands is greatly dominated by isoprene, but the emission of isoprene decreases as a function of biotic stress severity (Figs. 5a, 7a, 8a). In contrast, the emission of LOX, methyl salicylate, methanol, monoterpenes and sesquiterpenes increases as the level of stress increases (Figs. 5c,e,g, 7a,b, 8a,b). The oxidation of isoprene, LOX, methyl salicylate and methanol is primarily driven by reactions with OH, and also monoterpenes react with OH, which all leads to reductions in the concentration of OH (Table 3), though e.g. ozonolysis of monoterpenes also produce OH, which thus counters part of the reduction. When considering the reaction rates and emission rates of the considered VOCs in simulations of oak and poplar stands, the concentration of OH is mainly controlled by changes in the emission of isoprene. Thus, we expect that the concentration of OH will increase as the degree of stress increases, but even a strong shift in the concentration of OH, will not change the conclusion about the

relative atmospheric importance of stressed vs stress-free oak and poplar forests (Figs. 11b,g,l,q, D2b,g,l,q). The absolute number of predicted new particles in herbivory stressed oak stands will, however, be predicted to be smaller at higher levels of OH (Fig. 11b), because the oxidation of monoterpenes is then more strongly controlled by OH, which leads to a smaller production of HOM, as monoterpenes typically form HOM at a considerably lower yield from reactions with OH than ozone (Appendix C). A similar shift in the oxidation of monoterpenes is happening in case of oak powdery mildew infected oak, but the effect is counted by an increase in the formation of oxidised organic compounds from oxidation of methyl salicylate at high levels of OH, leading totally to higher predicted particle number concentrations (Fig. 11g). Considering the emissions from biotically stressed and non-infested mountain birch, we estimate that the concentration of OH should stay largely the same, or potentially decrease slightly at higher levels of infestation, which will enhance the oxidation of monoterpenes by ozone, which will lead to a larger production of HOM and thereby a slightly higher predicted number of new particles (Fig. 11q). In the atmosphere, the production of sulfuric acid is limited by the availability of OH, and it is therefore possible that the effects of changes in the concentration of OH (Fig. 11b,q) and sulfuric acid (Fig. 11c,r), in herbivory stressed stands, on the absolute number of predicted new particles, will cancel out or even lead to a stronger particle production than predicted. In case of oak powdery mildew infected oak, the two effects will enhance each other and result in an even higher number of predicted particles. In clean, low  $\text{NO}_x$  environments, which we aimed to simulate, the concentration of ozone is largely unaffected by the ambient concentration of isoprene (e.g. Jenkin et al., 2015). However, isoprene forms ozone progressively with an increased availability of  $\text{NO}_x$  (e.g. Jenkin and Clemitshaw, 2000). Higher ozone levels support enhanced formation of HOM, and thus aerosol processes, but the production of HOM is also known to decrease as a function of increased  $\text{NO}_x$  concentration (e.g. Ehn et al., 2014), whereby the formation and growth of new particles becomes suppressed (e.g. Yan et al., 2020; Pullinen et al., 2020).

(4) As mentioned earlier (Sec. 2.6), many HOM yields have not been investigated for the exact compounds which are emitted from the tree species, which are the focus of this study. From Fig. 11e,j,o,t it is obvious, that even if all the OxOrg yields used for simulations of only biotically stressed forests were to be decreased significantly - in case of moderately herbivory infested oak (30 % of leaf area defoliated) by down to about 95 % - biotically stressed oak, poplar and mountain birch forests would still, in most cases, produce more particles than non-infested forests of the same tree species. The yields, at which HOM are formed, have been treated as fixed values (Appendix C) in the seasonal simulations (Sec. 3.1), but the yields actually depend on several factors, such as e.g. the concentration of  $\text{NO}_x$  (point 3 above; Ehn et al., 2014), temperature (point 3 above; Quéléver et al., 2019; Simon et al., 2020) and the ambient blend of VOCs (Sec. 3.1.5; McFiggans et al., 2019). Exactly how the formation and growth of new particles depend on the VOC blend is still uncertain, but it has recently been demonstrated that a linear addition of the yields from the individual yields of components in the VOC mixture will result in an overestimation of both the number and size of particles (McFiggans et al., 2019). As we have followed a similar procedure, this effect might cause our predicted aerosol processes (Sec. 3.1) to be overestimated, but since the ratio of isoprene-to-monoterpenes carbon concentration is much higher in non-infested oak and poplar stands than in the correspondingly stressed stands (Figs. 6b, 7d, 8d), the overestimation is expected to be more pronounced in the non-infested stands (McFiggans et al., 2019). The difference in the atmospheric importance of non-infested and biotically stressed oak and poplar stands thereby widens (Fig. 11e,j,o).

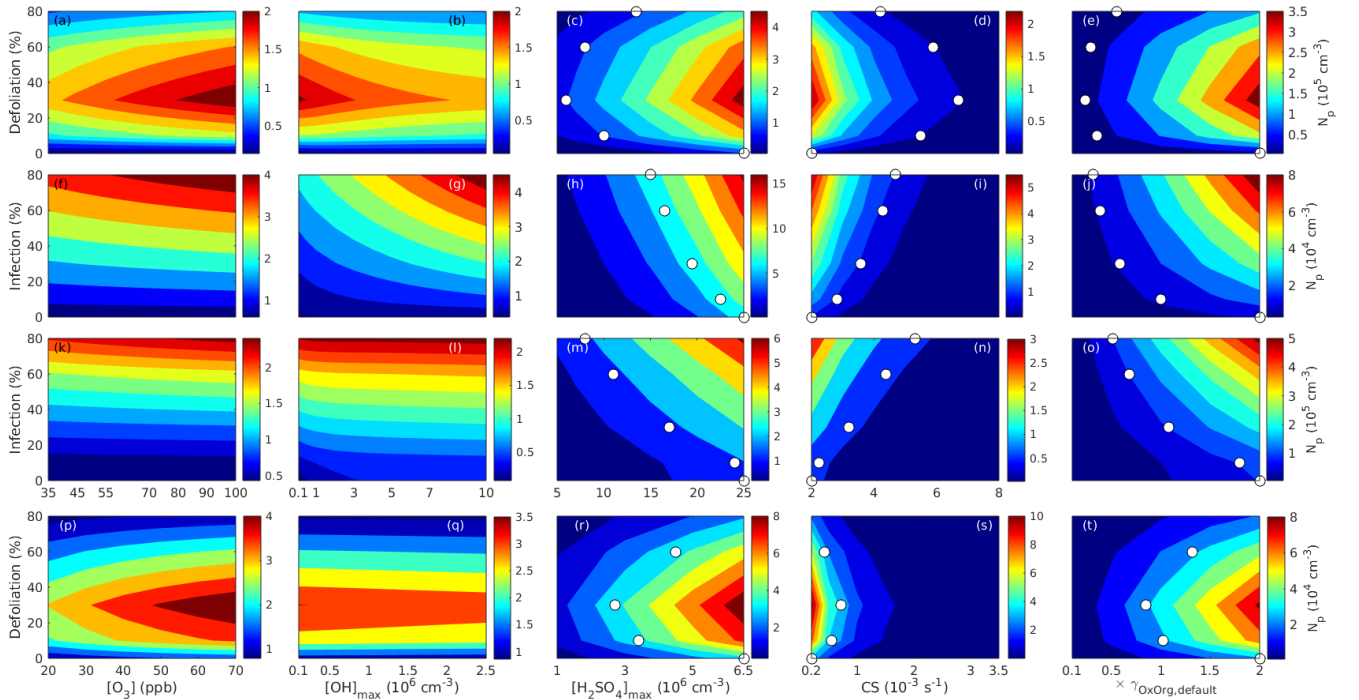
It is well known that the potential for foliage to emit VOCs depends on the age of the foliage: emerging and growing foliage usually emits isoprene at reduced rates (e.g. Guenther et al., 1991, 2012; Goldstein et al., 1998; Petron et al., 2001) and monoterpenes at enhanced rates (e.g. Guenther et al., 1991, 2012; Aalto et al., 2014; Taipale et al., 2020) compared to that of its corresponding mature foliage. Old leaves do usually additionally emit isoprene at decreased rates (Monson et al., 1994; Schnitzler et al., 1997; Sun et al., 2012). These effects were not considered in our simulations (Sec. 3.1), since the effect of leaf age on biotic plant stress emissions is unexplored. Considering a similar treatment of the impact of leaf maturity on the emissions of VOCs as Gunther et al. (2012) (see Appendix B) would only influence the predicted number and size of particles in herbivory stressed and non-infested oak forests insignificantly (Fig. B2). However, it would

decrease the ratio of isoprene-to-monoterpenes carbon so significantly in gypsy moth infested oak stands, that the possible suppression of aerosol processes by isoprene would disappear during most, or even the entire, duration of stress (Fig. B2b). Applying a similar leaf age effect as described in Gunther et al. (2012) on simulations of fungally infected oak and poplar forests would not decrease the ratio of isoprene-to-monoterpenes carbon sufficiently in order to avoid the possible suppression effect of isoprene, since Guenther et al. (2012) only assume a reduction of 10 % on the emissions of isoprene from old leaves. We investigated that the emission of isoprene from mildew infected oak would need to decrease by ~68-96 % (severity of stress ranging from 80 to 9 %), in comparison to simulations where the leaf age effect is not considered, in order to reach  $R \leq 1$ , whereas the emission of isoprene from non-infected oak would need to decrease by ~99 % (Fig. B3a). In comparison, the emission of isoprene from a non-infected poplar stand would need to decline by 99.8 %, and from a rust infected poplar stand by at least 79 %, in order to attain  $R \leq 1$  (Fig. B4a). In order to reach  $R \leq 22.5$ , the upper limit at which new particle formation has been observed in the atmosphere (Yu et al., 2014), the emission of isoprene from a non-infected poplar stand would need to decrease by ~95 %, whereas heavily rust infected poplar forest would already be below this limit without considering an age dependent reduction of the emission potential (Fig B4a). Simulations were not done for mountain birch forest stands, since no emissions are suppressed upon herbivory stress of mountain birch (Yli-Pirilä et al., 2016) and since Yli-Pirilä et al. (2016) did not provide age information on the leaves they measured.



**Figure 10.** Impact of changed boundary conditions on the number concentrations of newly formed particles in non-infected and biotically stressed forest stands. The number concentration of newly formed particles is expressed as a function of changes in the boundary layer height (a, e, i, m), light (b, f, j, n), temperature (c, g, k, o) and leaf area index (d, h, l, p) for non-infected and infected oak (a-d, gypsy moth, e-h, powdery mildew), poplar (i-l) and birch (m-p) stands. Light (b, f, j, n) and temperature (c, g, k, o) are given as the daily maxima, but in the simulations the parameters follow a daily cycle. The displayed LAI (d, h, l, p) is that of a non-infected stand, hence e.g. at LAI = 7 m<sup>2</sup> m<sup>-2</sup>, the simulation for a larval infestation level of 80 % has been conducted with LAI = 1.4 m<sup>2</sup> m<sup>-2</sup>, which is 20 % of the non-infected stand LAI value. Optimal conditions (i.e. leading to highest number concentrations) for non-infected stands are indicated with white markers at an infection level of 0 %. White markers located at various infection levels mark the conditions at which an identical or slightly higher number concentration, as produced by a non-infected forest stand at optimal conditions, is reached. No markers are used for 80 % defoliated mountain birch (m-p), since the corresponding number concentrations are always lower than in a non-infested birch stand at optimal conditions. Be aware that white markers in d and p are not located at the LAI of a

non-infected stand, but instead at the values used for the simulations. R values (**a, e, i**) indicate the ratio of isoprene carbon / monoterpene carbon at the locations of the write markers. Be aware that the x-axes are different for simulations in Hohenpeißenberg (**a-l**) and SMEAR I conditions (**m-p**) except in the case of changing boundary layer height (**a, e, i, m**).



**Figure 11.** Impact of changed boundary conditions on the number concentrations of newly formed particles in non-infected and biotically stressed forest stands. The number concentration of newly formed particles is expressed as a function of changes in the concentration of ozone (**a, f, k, p**), OH (**b, g, l, q**) and sulfuric acid (**c, h, m, r**), the condensation sink (**d, i, n, s**) and OxOrg yields (**e, j, o, t**) for non-infected and infected oak (**a-e**, gypsy moth, **f-j**, powdery mildew), poplar (**k-o**) and birch (**p-t**) stands. The concentrations of OH (**b, g, l, q**) and sulfuric acid (**c, h, m, r**) are given as the daily maxima, but in the simulations the parameters follow a daily cycle. White markers are used in a similar way as in Fig. 10. Be aware that the x-axes are different for simulations in Hohenpeißenberg (**a-o**) and SMEAR I conditions (**p-t**) except in the case of changing Oxorg yields (**e, j, o, t**).

### 3.3 Implications and remaining issues to be explored

Our simulation results (Figs. 5-9) illustrate that biotic plant stresses are capable of substantially perturbing both the number and size of atmospheric aerosol particles throughout a significant fraction of the year (summarised in Fig. 12). Considering that we calculated *daily* new particle growth, our results point to the direction that induced plant emissions will subsequently lead to more efficient CCN production in the atmosphere (Fig. 12), which will moreover affect cloud properties, such as cloud albedo and lifetime (Twomey, 1977; Albrecht, 1989; Gryspeerdt et al., 2014; Rosenfeld et al., 2014). The amplitude of the enhancement, however, depends strongly on the specific stressor and tree species which are attacked.

Naturally, both the duration of stress (Fig. 12e) and the predicted number (Fig. 12d) and size (Fig. 12c) of new particles depend highly on our assumptions about e.g. when the fungi start to attack their host, how fast the fungi spread, whether the larval eggs hatch simultaneously with budburst, how fast larval development occurs, and when senescence onsets - all which depend strongly on environmental conditions. It is furthermore probable that emissions are also induced from fungally infected leaves during senescence, which was not simulated here. The duration of stress can, thus, be

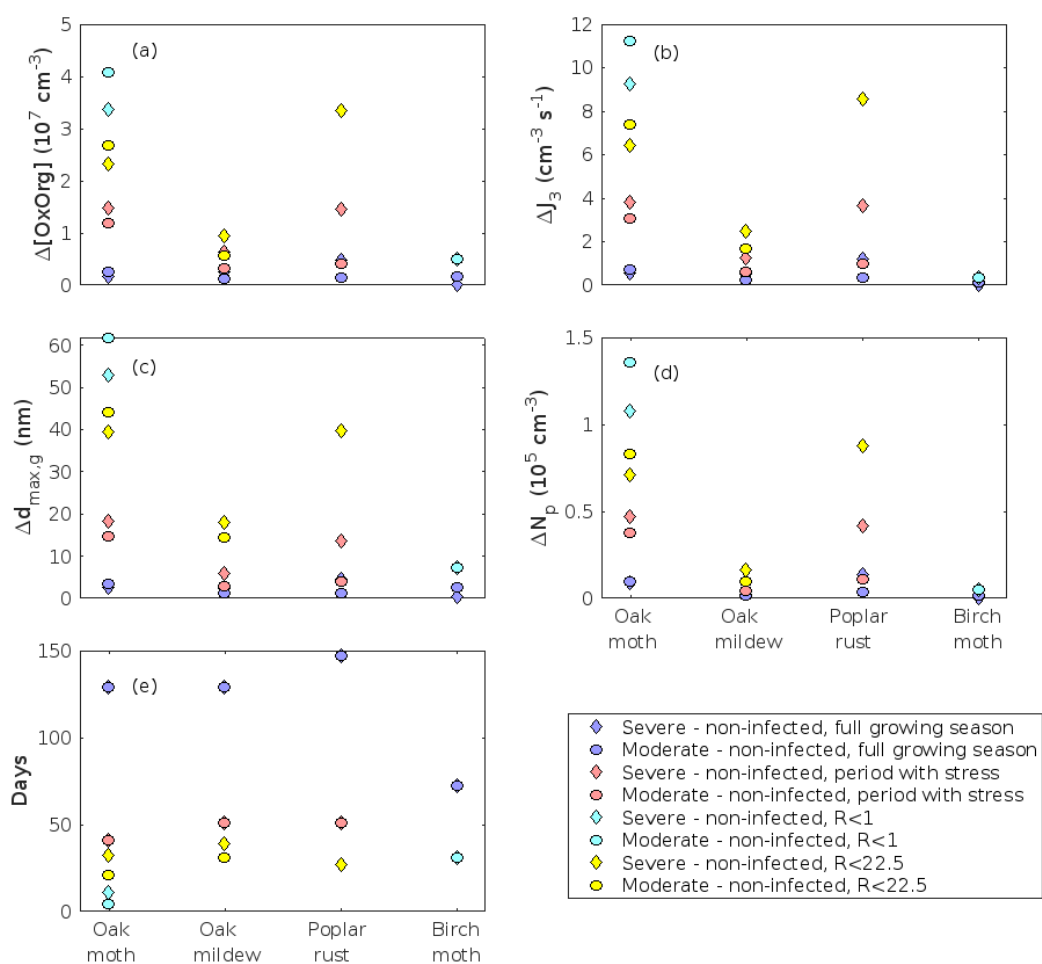
significantly longer than what is summarised in Fig. 12e, whereby also the post-defoliation period, in case of herbivory infestations, will be shorter, and the atmospheric importance of the stresses stronger.

We have also shown that it can be more important to account for biotic plant stresses in models for local and regional predictions of new particle formation and growth during the time of infestation/infection than significant variations in those environmental parameters which predictions of VOC emissions are currently controlled by, e.g. light conditions (Fig. 10b,f,j,n), temperature (Fig. 10c,g,k,o) and LAI (Fig. 10d,h,l,p). Considering changes in the emissions of VOCs caused by stress also seems to be more crucial than accounting for large changes in the concentrations of O<sub>3</sub> (Fig. 11a,f,k,p) and OH (Fig. 11b,g,l,q).

Considering the frequency and scale of the investigated biotic stresses is important in order to properly evaluate the impact of the stresses on the atmosphere and climate: Fungi are largely ubiquitous and e.g. yearly account for ~10 % of all recorded damage symptoms on trees growing in European forests (ICP Forests, 2020). To put that number in perspective, ~87 % of all investigated broadleaved trees (>50000) are yearly reported to have damage symptoms in European forests (ICP Forests, 2020). Considering the duration of stress, and the predicted increase in the number and size of atmospheric aerosol particles in response to fungal infections, together with the fact that especially oak powdery mildew is one of the most common plant diseases, with e.g. ~9 % of pedunculate and sessile oak reported to be infected by powdery mildew in Europe yearly (ICP Forests, 2020), our findings call for initiatives to account for fungal stress emission responses in numerical models in a robust manner. Though larvae are present every summer, the population density of both gypsy and autumnal moths is cyclic, with 8-10 years or 4-5 years between gypsy moth outbreaks, depending on the forest type (mesic vs xeric sites; Johnson et al., 2006), and 9-11 years between autumnal moth outbreaks (Haukioja et al., 1988; Ruohomäki et al., 2000; Ylivinkka et al., 2020). Gypsy moth, in North America alone, is estimated to have defoliated >38 million ha of forest during years 1920 to 2020 (Coleman et al., 2020), and yearly, gypsy moth larvae are usually reported to defoliate between ~0.2-0.8 million ha of forested land in the US, but with values as high as ~5 million ha/year (Karel and Man, 2017). In comparison, the total area of forested land in the US is ~333 million ha ([www.fs.usda.gov](http://www.fs.usda.gov)). In European forests, the mean level of defoliation of pedunculate and sessile oak is yearly reported to be ~27 %, while most of the trees are 10-25 % (~39 % of trees) or 25-40 % defoliated (~28 % of trees, ICP Forests, 2020). In comparison, only ~19 % of deciduous temperate oak trees in European forests are yearly reported to not be defoliated at all or that less than 10 % of the trees' foliage have been defoliated by herbivores (ICP Forests, 2020). Since European gypsy moth is one of the major defoliating insects feeding on pedunculate oak (<https://www.cabi.org/isc/datasheet/31807#tohostsOrSpeciesAffected>, last accessed 11th of June, 2021), it must be reasonable to assume that a significant fraction of the reported defoliation is caused by feeding by gypsy moth larvae, and thus it is likely that accounting for stress emissions in response to feeding by gypsy moth larval is important for realistic predictions of new particle formation and growth. It is also likely that the reported defoliation which is not caused by gypsy moth larvae, but other herbivores, also impacts new particle formation, though to which direction and with which amplitude is currently unknown. When the larval density of autumnal moth in Fennoscandia is low, the level of defoliation usually remains less than 15 % (Bylund 1995), but during outbreak years, large areas (in the order of several thousands of square kilometres) can become either completely or severely defoliated (e.g., Ruohomäki et al., 2000; Tenow 1975; Nikula 1993). Thus, considering the scale of autumnal moth infestation combined with our findings about both the order of the increase in atmospheric new particle formation and growth caused by autumnal moth infestations, and also the absolute number and size of newly formed particles, it could seem that the importance of accounting for autumnal moth infestation in models to predict aerosol formation is minor. It should, however, be emphasised that in our simulations we did not account for delayed defense responses, which mountain birches are known to possess (e.g., Kaitaniemi et al., 1998; Ruuhola et al., 2007), and which possibly cause elevated total particle concentrations for a few years after larval infestation (Ylivinkka et al., 2020). Also, we did not take multiple co-occurring stresses into account, which are often the rule in nature and which generally enhances the already induced emission response due to biotic plant stress (e.g. Blande et al., 2007; Vapaavuori et al., 2009; Holopainen and Gershenson, 2010; Kivimäenpää et al., 2016; Ghimire et al., 2017). For example, Li



et al. (2019) recently showed that warming significantly amplifies the emission response due to autumnal moth feeding. Thus, there is a great need for new field observations in order to validate modelling studies such as ours, and in general to quantify the role of stress emissions in the formation and subsequent growth of new particles. Especially because enclosure studies, which currently are close to the only published measurements studies which have investigated the ability of biotic plant stress to influence aerosol processes, often do not accurately represent what is observed on canopy to landscape scales. In addition to this, robust representations of stress emissions, and the drivers of the emissions, are needed before it is possible to integrate stress emissions into large scale models without introducing errors in the models.



**Figure 12.** Differences in atmospheric response from various non-infected and biotically stressed plant species. **(a)** atmospheric concentrations of OxOrg, **(b)** formation rates of 3 nm particles, **(c)** daily maximum diameter of the growing particle mode, **(d)** number concentration of formed particles, and **(e)** amount of days considered. **a-d** are provided as the differences between the averaged parameter in a stressed and stress-free forest stand of the same plant species type. Differences and averages are considered based on the complete growing season, the period with stress, when the ratio of isoprene-to-monoterpenes carbon concentration is less than 1 or less than 22.5. Be aware that R is always zero in simulations of birch. In cases where R does not reach less than 1 or less than 22.5 in the atmosphere surrounding a non-infected forest stand, but it does in the case of the corresponding stressed stand, it is assumed that the atmospheric parameter in the non-infected stand is zero and hence the difference is given as the value of the stressed stand. The concentration differences of OxOrg, formation rates and number concentrations are calculated based on an average, for the period of interest, of the median values during 10:00-16:00 local time. “Severe” and “moderate” refer to that 80 % or 30 % of the total leaf area has

been consumed or infected by the end of the feeding/infection period, respectively. Southern Germany has been used as border conditions for simulations of oak and poplar, while SMEAR I, Finnish Lapland, has been used for modelling of birch.

## 4 Conclusions

We constructed a conceptual model to simulate new particle formation and growth in various broadleaved forest stands, in clean low  $\text{NO}_x$  environments, under biotically stressed and stress-free conditions, throughout a full growing season. Unsurprisingly, we found that the predicted atmospheric importance of biotic plant stress highly depends on the specific individual stressor and tree species which are attacked. Thus, the amount of newly formed particles was predicted to be up to about one order of magnitude higher in a gypsy moth infested oak stand than in a non-infested oak stand. In comparison, the number of new particles was simulated to be up to about a factor of 3, 4 and 5 higher in autumnal moth, oak powdery mildew and poplar rust infected mountain birch, pedunculate oak and balsam poplar stands, respectively. We furthermore predicted that the new particles will grow up to about 46, 28, 26 and 8 nm larger in an oak gypsy moth, poplar rust, autumnal moth and oak powdery mildew infected stand, respectively, compared to their corresponding non-infested stands within one day. To our knowledge, this study is the first to investigate the atmospheric impact of biotic plant stresses throughout a full growing season.

Our modelling results generally indicate that all the investigated plant stresses are capable of substantially perturbing both the number and size of atmospheric aerosol particles, and it is thus likely that the induced emissions will subsequently lead to more efficient CCN production in the atmosphere. We also showed that it can be more important to account for biotic plant stresses in models for local and regional predictions of new particle formation and growth during the time of infestation/infection than significant variations in e.g. LAI, and temperature and light conditions, which are currently the main parameters controlling predictions of VOC emissions. Considering our findings together with the fact that insect outbreaks and fungal diseases are generally expected to increase in both frequency and severity in the future, our study underlines the need for new field measurements to quantify the role of stress emissions in atmospheric aerosol processes and for making integration of biotic plant stress emission responses into numerical models possible.

*Data availability.* The model code is available upon reasonable request by contacting ditte.taipale[at]helsinki.fi. SMEAR I mountain birch leafing data can be obtained by contacting vesa.haataja[at]helsinki.fi. All other data used to constrain the model is publicly available following the provided references.

*Author contributions.* Idea and concept by ÜN. ÜN standardised the published emission rates. VM, MK and ME developed the theory for the aerosol module. DT developed the model code, conducted the simulations, and wrote the manuscript, with inputs from all authors. All authors discussed the results, and commented and edited the manuscript.

*Competing interests.* The authors declare that they have no conflict of interest.

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## **Appendix A: Emission factors at a few different degrees of stress**

The emission factors utilised in our simulations depend on the degree of stress. The equations to calculate the emission factors, as a function of the degree of stress, are presented in Table 1 in the main paper. In this appendix, the emission factors at a few different degrees of stress are shown (Table A1). 25 °C and 1000 μmol m<sup>-2</sup> s<sup>-1</sup> are here considered standard conditions (see Sec. 2.4).

**Table A1.** Emission factors ( $\varepsilon_{i,\mathcal{A}}$ , in unit  $\text{nmol m}^{-2}$  one-sided LAI  $\text{s}^{-1}$ ) at a few different degrees of stress ( $\mathcal{A}$ ). ISO = isoprene, MT = monoterpenes, MeSa = methyl salicylate, LOX = lipoxygenase pathway volatile compounds, DMNT = 4,8-dimethyl-1,3,7-nonatriene, MeOH = methanol, SQT = sesquiterpenes,  $\alpha$ -Eud =  $\alpha$ -Eudesmol.

Infestation of pedunculate oak ( <i>Quercus robur</i> ) by European gypsy moth ( <i>Lymantria dispar</i> ) based on Copolovici et al. (2017).					
$\mathcal{A}$ (%)	0	10	30	60	80
$\varepsilon_{\text{iso}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	30.3	19.6	8.21	0.161	0.0804
$\varepsilon_{\text{MT}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0400	2.16	4.40	5.96	6.54
$\varepsilon_{\text{MeSa}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0	0.0347	0.104	0.208	0.277
$\varepsilon_{\text{LOX}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0	0.577	2.37	6.63	10.5
$\varepsilon_{\text{DNMT}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0	0.0138	0.0475	0.113	0.167
Infection of pedunculate oak ( <i>Quercus robur</i> ) by oak powdery mildew ( <i>Erysiphe alphitoides</i> ) based on Copolovici et al. (2014)					
$\mathcal{A}$ (%)	0	10	30	60	80
$\varepsilon_{\text{iso}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	10.6	8.50	6.04	4.79	4.17
$\varepsilon_{\text{MT}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0400	0.120	0.247	0.386	0.471
$\varepsilon_{\text{MeSa}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0	0.136	0.279	0.437	0.533
$\varepsilon_{\text{LOX}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.00213	0.0645	0.189	0.377	0.501
Infection of balsam poplar ( <i>Populus balsamifera</i> var. <i>suaveolens</i> ) by poplar rust ( <i>Melampsora larici-populina</i> ) based on Jiang et al. (2016)					
$\mathcal{A}$ (%)	0	10	30	60	80
$\varepsilon_{\text{iso}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	86.0	36.8	22.8	17.9	16.6
$\varepsilon_{\text{MT}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0625	0.145	0.302	0.762	1.22
$\varepsilon_{\text{MeSa}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0	0.0552	0.128	0.194	0.249
$\varepsilon_{\text{LOX}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.481	1.96	3.96	11.8	19.8
$\varepsilon_{\text{DNMT}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0	0.0199	0.0460	0.0698	0.0898
$\varepsilon_{\text{MeOH}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	16.9	14.9	19.0	45.6	76.9
$\varepsilon_{\text{SQT}}$ ( $\text{nmol m}^{-2} \text{s}^{-1}$ )	0.0	0.133	0.308	0.468	0.602

$\varepsilon_{\alpha\text{-Eud}}$ (nmol m <sup>-2</sup> s <sup>-1</sup> )	0.0	0.0219	0.0507	0.0768	0.0989
Infestation of mountain birch ( <i>Betula pubescens</i> var. <i>pumila</i> ) by autumnal moth ( <i>Epirrita autumnata</i> ) based on Yli-Pirilä et al. (2016)					
$\mathcal{A}$ (%)	0	10	30	60	80
$\varepsilon_{\text{MT}}$ (nmol m <sup>-2</sup> s <sup>-1</sup> )	0.131	0.278	0.423	0.475	0.485
$\varepsilon_{\text{LOX}}$ (nmol m <sup>-2</sup> s <sup>-1</sup> )	0.0868	0.200	0.425	0.764	0.989
$\varepsilon_{\text{DNMT}}$ (nmol m <sup>-2</sup> s <sup>-1</sup> )	0.00122	0.00662	0.0125	0.0148	0.0153
$\varepsilon_{\text{SQT}}$ (nmol m <sup>-2</sup> s <sup>-1</sup> )	0.0437	0.0926	0.141	0.158	0.161

## Appendix B: Leaf age effect

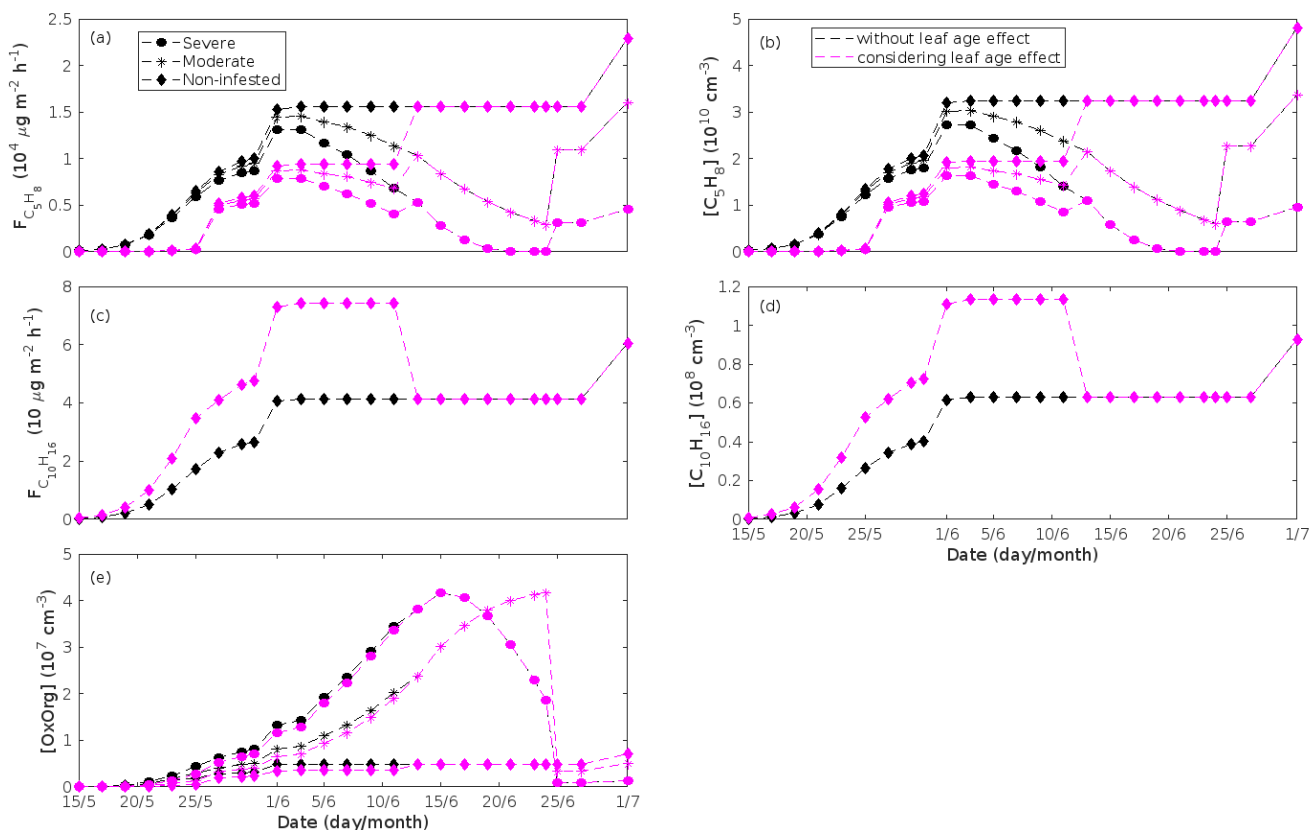
### Simulations of pedunculate oak infested with European gypsy moth larvae

It seems likely that Copolovici et al. (2017) conducted their measurements on leaves that emit isoprene at peak rate, since their reported emission rate of isoprene from non-infested leaves is comparable to the rates reported from mature leaves in previous studies (e.g. Smiatek and Steinbrecher, 2006; Perez-Rial et al., 2009; van Meeningen et al., 2016). The impact of leaf age was tested during the period with stress utilising the moderations shown in Table B1. The first period covers the number of days between budbreak and the induction of isoprene emission, while the second period ends when initiation of peak isoprene emission rates has been reached. The duration of these two periods were calculated using Eq. 18a and Eq. 19 in Guenther et al. (2006) and our assumptions about the ambient temperature conditions (Fig. 4b). Since isoprene does not show an induced response in emission upon gypsy moth herbivory, the emission rate of isoprene was reduced in simulations of both non-infested and infested oak forest. The applied factors used for reductions are from Guenther et al. (2012). The emission rate of monoterpenes was increased in the beginning of the growing season for simulations of a non-infested oak stand utilising the coefficients from Guenther et al. (2012). Stress-induced emissions were not altered, since we do not know the effect of leaf age on these types of emissions and Guenther et al. (2012) e.g. also assumed the same. The results are shown in Fig. B1-2.

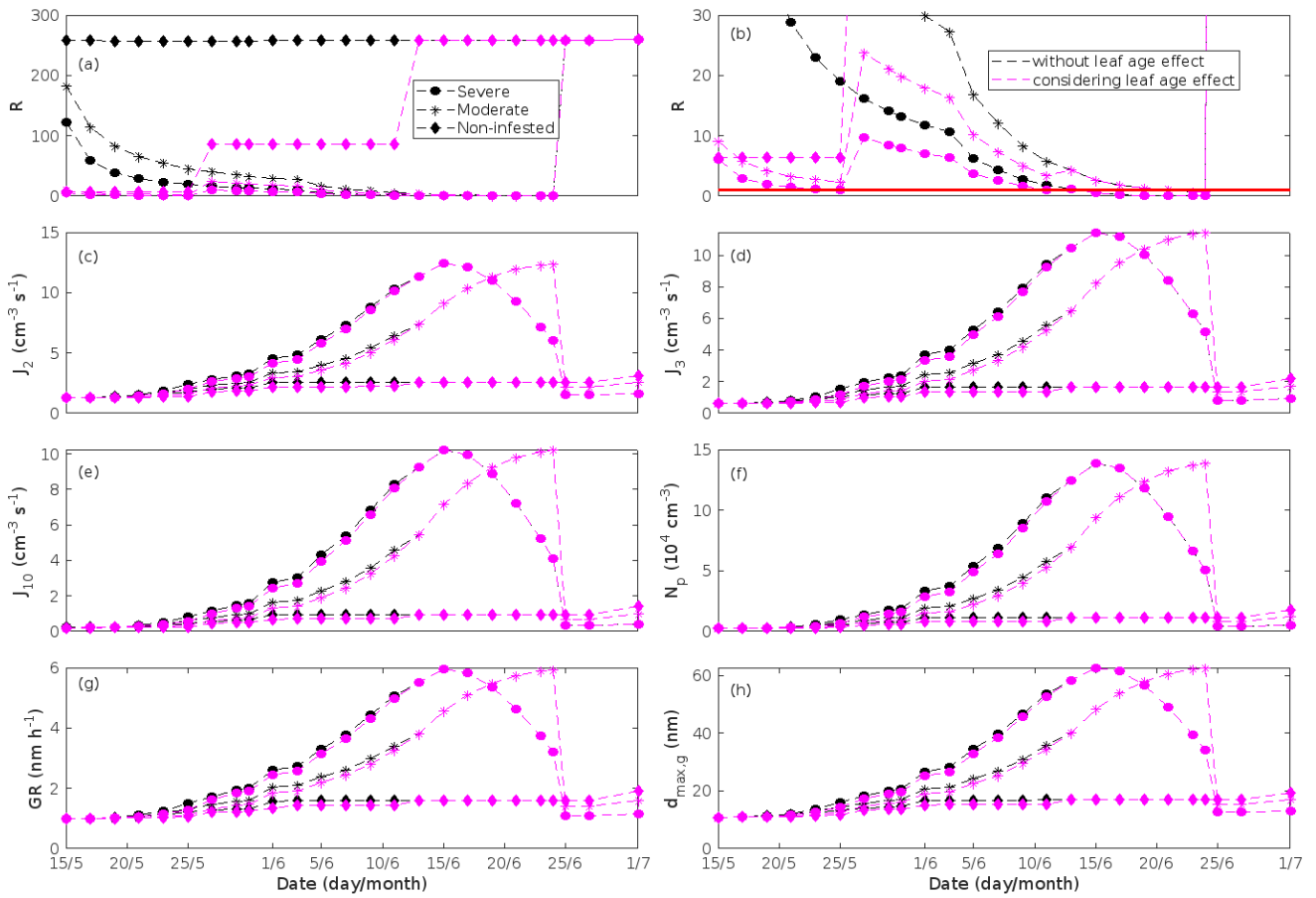
**Table B1.** Moderations made in order to consider the effect of leaf age.  $\varepsilon_{\text{isoprene}}$  and  $\varepsilon_{\text{monoterpenes}}$  are the emission factors of isoprene and monoterpenes, respectively, used in the simulations when the leaf age effect has been considered, while  $\varepsilon_{\text{iso,mature}}$  and  $\varepsilon_{\text{mono,mature}}$  are the emission factors of isoprene and monoterpenes, respectively, used in the default simulations (i.e. resulting in Fig. 5-6). The moderations have been applied to the simulations of either only non-infested oak or also stressed oak as indicated under “simulations”.

Period (day/month)	$\varepsilon_{\text{isoprene}}$	Simulations	$\varepsilon_{\text{monoterpenes}}$	Simulations
15-26/5	$0.05 \times \varepsilon_{\text{iso,mature}}$	Non-infested, moderate stress, severe stress	$2 \times \varepsilon_{\text{mono,mature}}$	Non-infested
27/5-11/6	$0.6 \times \varepsilon_{\text{iso,mature}}$	Non-infested, moderate stress, severe stress	$1.8 \times \varepsilon_{\text{mono,mature}}$	Non-infested

12/6-	$\varepsilon_{iso, mature}$	Non-infested, moderate stress, severe stress	$\varepsilon_{mono, mature}$	Non-infested, moderate stress, severe stress
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**Figure B1.** An oak stand infested with European gypsy moth larvae in comparison to a non-infested oak stand simulated with and without considering the impact of leaf age on the rates of emissions. Canopy emissions of **(a)** isoprene and **(c)** monoterpenes, atmospheric concentrations of **(b)** isoprene, **(d)** monoterpenes and **(e)** OxOrg. “Moderately” and “severely” refer to 30 % and 80 %, respectively, of the leaf area that has been consumed by the end of the feeding period. Black markers are for simulations where the effect of leaf age was not considered, while magenta markers are for simulations where the effect of leaf age was considered. Simulation results (independently of whether the effect of leaf age was considered or not) for “severe” is always indicated by circles, for “moderate” by asterisks, and for “non-infested” by diamonds.

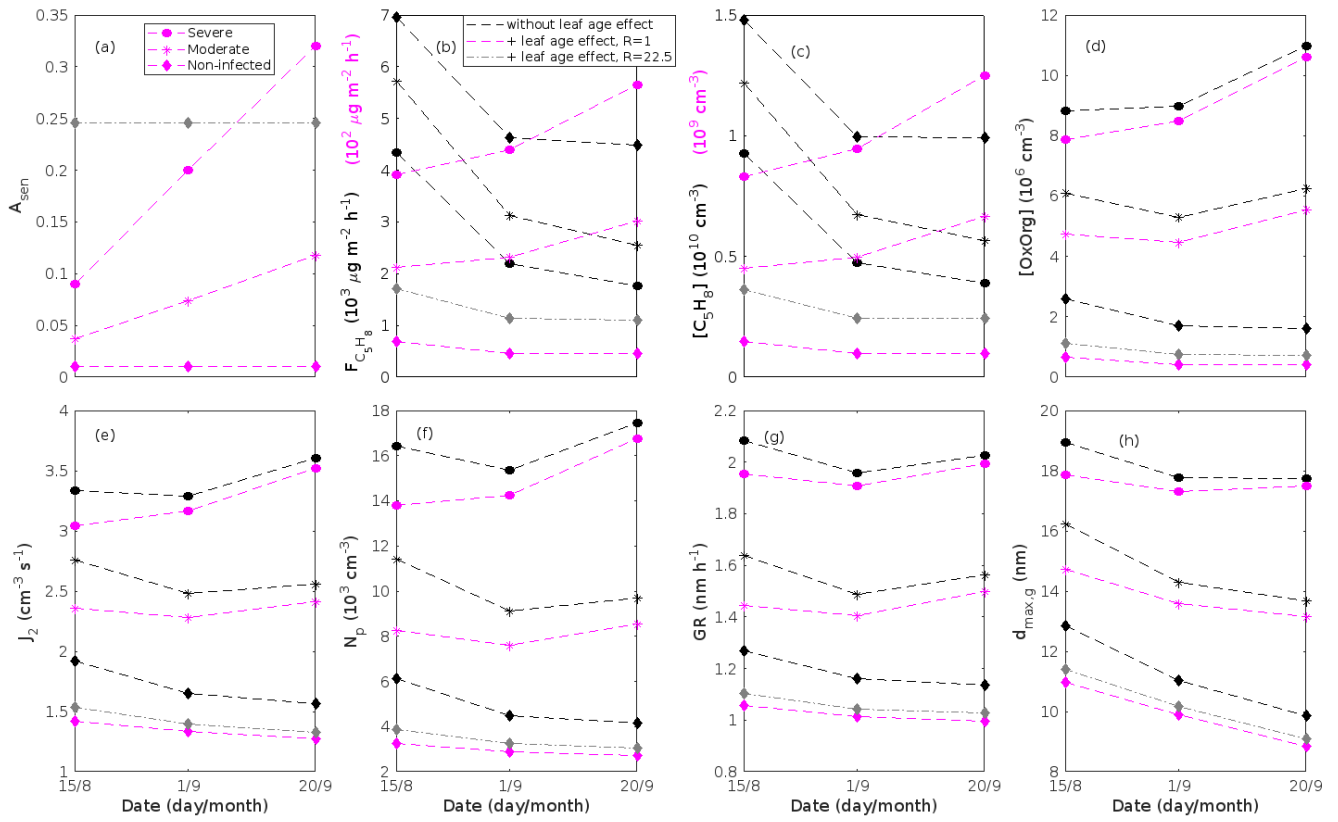


**Figure B2.** An oak stand infested with European gypsy moth larvae in comparison to a non-infested oak stand simulated with and without considering the impact of leaf age on the rates of emissions. **(a)** the ratios of isoprene-to-monoterpene carbon concentrations provided as a zoom in **(b)**, where the red line indicates  $R = 1$ . Formation rates of **(c)** 2, **(d)** 3 and **(e)** 10 nm particles. **(f)** number concentrations of formed particles, **(g)** growth rates of newly formed particles, and **(h)** the daily maxima diameter of the growing particle mode. Symbols mean the same as in Fig. B1.

### Simulations of pedunculate oak infected by oak powdery mildew and balsam poplar infected by rust fungi

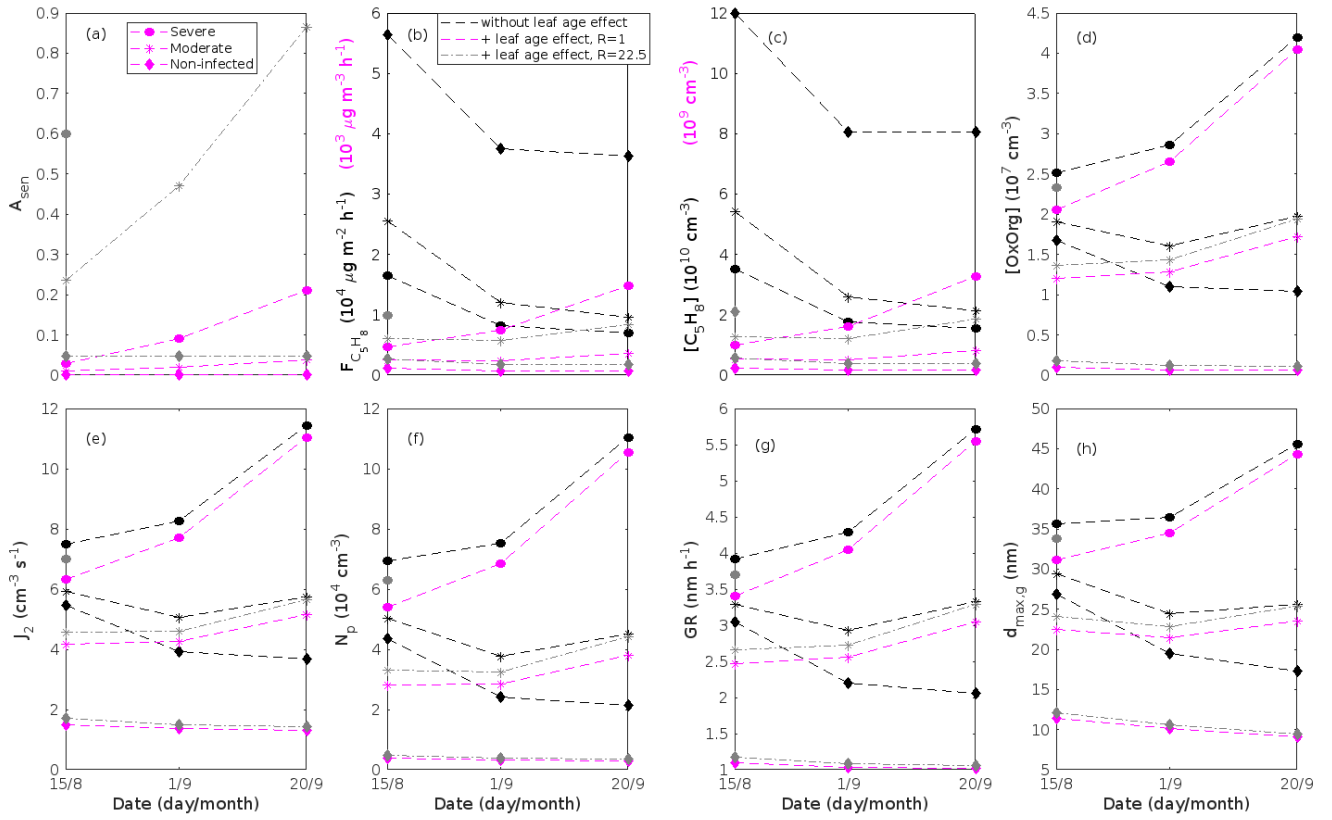
The emission rates used for simulations of oak and poplar, with and without pathogenic infection, were measured in the middle and beginning of September, respectively, in Estonia (Copolovici et al., 2014; Jiang et al. 2016). Representative photographs of control leaves indicate that the measured leaves were mature and without any visible signs of senescence (Copolovici et al., 2014; Jiang et al. 2016).

When leaves grow old, they eventually lose their ability to photosynthesise and produce isoprene (Monson et al., 1994; Schnitzler et al., 1997; Sun et al., 2012) and Guenther et al. (2012) e.g. assumed a reduction of 10 % in the emissions of isoprene from senescing leaves (compared to that of mature leaves). However, a reduction on such a scale (i.e. 10 %) is not sufficient to decrease the ratio of isoprene to monoterpene carbon concentration to less than one in our simulations of oak and poplar infected by fungi. The impact of leaf age was therefore tested during the period with stress by decreasing the emission rate of isoprene to such a degree that  $R$  was either just under 22.5 or just under 1. Since isoprene does not show an induced response in emission upon oak powdery mildew or rust infection, the emission rate of isoprene was reduced in simulations of both non-infested and stressed oak and poplar forest. The results are shown in Fig. B3-4.



**Figure B3.** An oak stand infected by oak powdery mildew in comparison to a non-infected oak stand simulated with and without considering the impact of leaf age on the rates of isoprene emissions. **(a)** the fraction of isoprene emitted in comparison to simulations where the leaf age effect was not considered. For example, in order to reach  $R = 1$  in simulations of a non-infected stand (magenta diamonds), the leaves are assumed to only emit 1 % of isoprene compared to our default simulations of a non-infected stand (black diamonds in Fig. 7a). The syntax is equivalent to that of Guenther et al. (2012, p. 1476). **(b)** canopy emissions of isoprene and atmospheric concentrations of **(c)** isoprene and **(d)** OxOrg. The units provided in black in **(b-c)** are connected to black and grey data points, while the units in magenta in **(b-c)** are connected to magenta data points. **(e)** formation rate of 2 nm particles, **(f)** number concentrations of formed particles, **(g)** growth rates of newly formed particles, and **(h)** the daily maxima diameter of the growing particle mode. The values of other parameters during these simulations are the same as in Fig. 7. “Moderately” and “severely” refer to 30 % and 80 %, respectively, of the leaf area being infected by fungi by the 20th of September. Black markers are for simulations where the effect of leaf age was not considered (see Fig. 7 for what  $R$  is then), while magenta markers are for simulations where the emission of isoprene was reduced sufficiently for  $R$  to be just under 1. Grey diamonds are used to illustrate simulation results of a non-infected oak stand, where the emission of isoprene has been reduced sufficiently for  $R$  to be just under 22.5. Simulation results (independently of whether the effect of leaf age was considered or not) for “severe” are always indicated by circles, for “moderate” by asterisks, and for “non-infected” by diamonds.

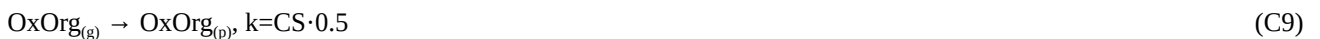
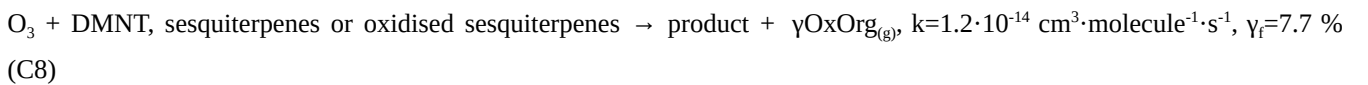
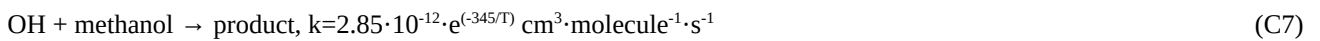
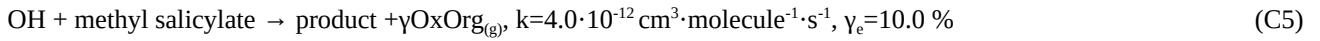
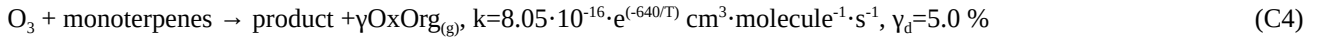
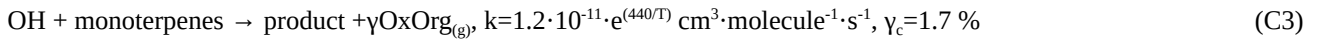
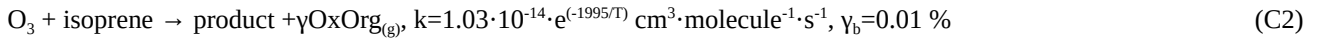
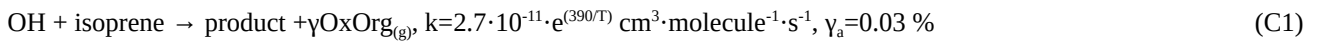




**Figure B4.** A poplar stand infected by rust in comparison to a non-infected poplar stand simulated with and without considering the impact of leaf age on the rates of isoprene emissions. **(a)** the fraction of isoprene emitted in comparison to simulations where the leaf age effect was not considered. **(b)** canopy emissions of isoprene and atmospheric concentrations of **(c)** isoprene and **(d)** OxOrg. The units provided in black in **(b-c)** are connected to black and grey data points, while the units in magenta in **(b-c)** are connected to magenta data points. **(e)** formation rate of 2 nm particles, **(f)** number concentrations of formed particles, **(g)** growth rates of newly formed particles, and **(h)** the daily maxima diameter of the growing particle mode. The values of other parameters during these simulations are the same as in Fig. 8. Grey markers are for simulations where the emission of isoprene was reduced sufficiently for R to be just under 22.5. Symbols are the same as in Fig. B3.

## Appendix C: Chemical reactions in the model

We considered the following chemical reactions in our model:



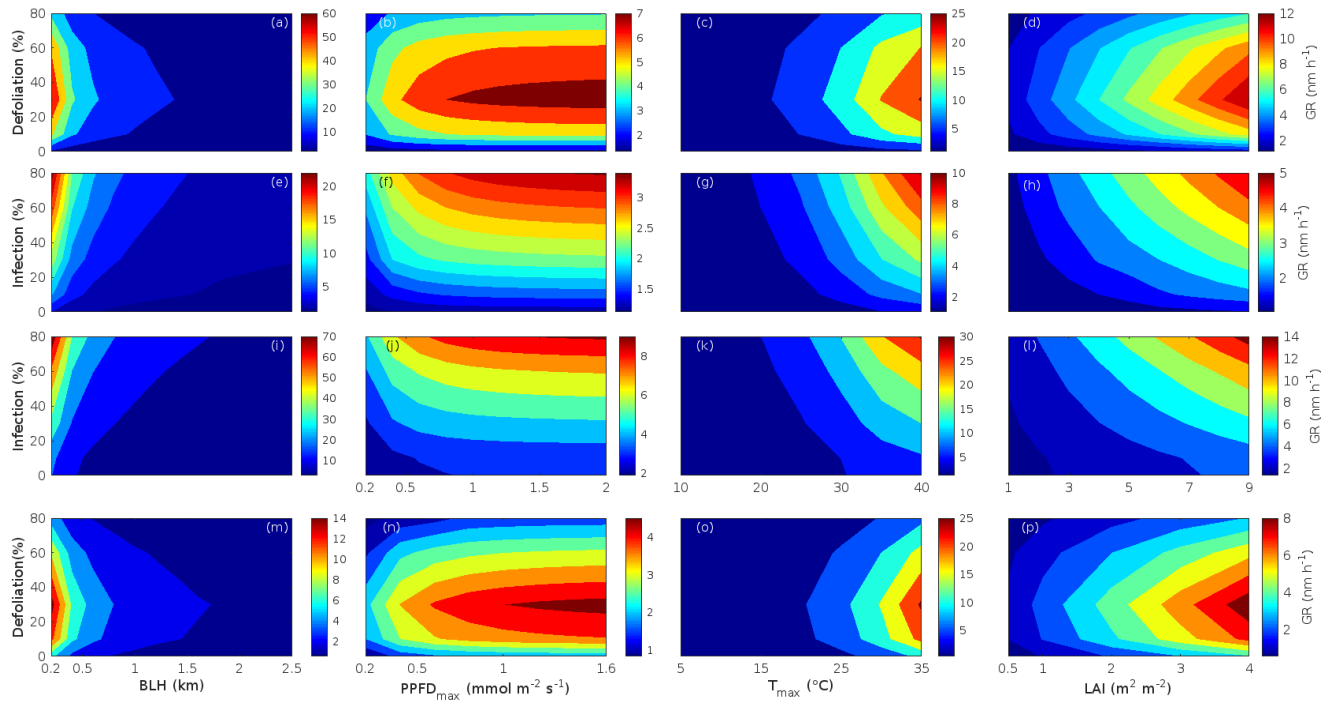


T is temperature (K), p indicates “particle phase”, CS is the condensation sink, while  $\gamma_i$  are the fractions of organic products which can partition to the particle phase (OxOrg). In practice,  $\gamma_i$  are either reported HOM yields, as defined in Ehn et al. (2014), or reported SOA yields / 2.2. SOA yields are decreased by a factor of 2.2 in order to account for the fact that SOA yields represent mass yields, and not molar yields as it is the case of HOM yields. We utilised HOM yields based on Jokinen et al. (2015) ( $\gamma_a, \gamma_b, \gamma_c, \gamma_d$ ), Berndt et al. (2016) ( $\gamma_c$ ), and Ehn et al. (2014) ( $\gamma_d$ ). We used SOA yields from Mentel et al. (2013) ( $\gamma_e, \gamma_f$ ).

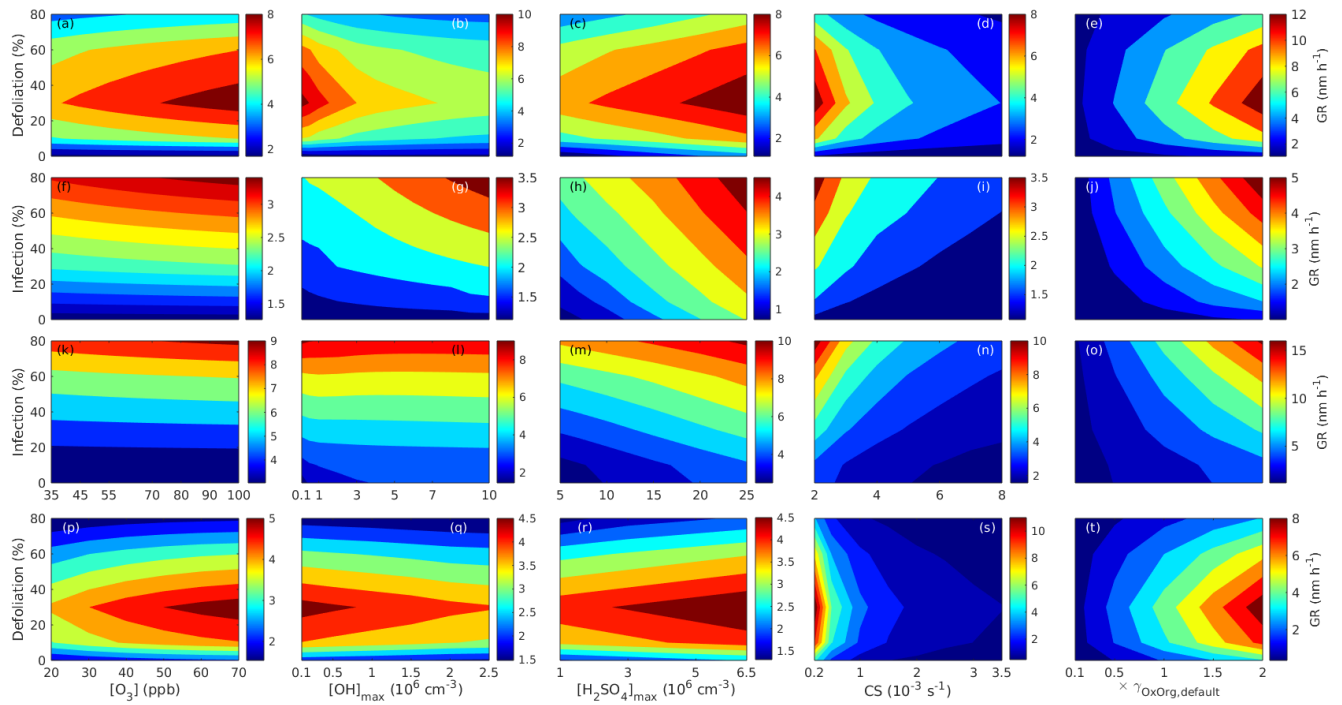
## Appendix D: Sensitivity tests

**Table D1.** Constrained parameters for sensitivity tests and their range of values. Nine different sensitivity tests (ST1-9) were conducted for all plant species and infections, where only one parameter was changed at a time. BHL is the planetary boundary layer height, PPFD<sub>max</sub> is the daily maximum photosynthetic photon flux density, T<sub>max</sub> is the maximum daily temperature, LAI is the leaf area index of non-infested leaves, CS is the condensation sink, and  $\gamma_{\text{OxOrg}}$  is the yield of OxOrg. “HPB” and “SMEAR I” refer to simulations conducted in Hohenpeissenberg (i.e. oak and poplar) and SMEAR I (i.e. birch) conditions, respectively.

Sensitivity test no.	Parameter that changes	HPB	SMEAR I	Notes and references
ST1	BLH (m)	200 - 2500		Classical textbook example.
ST2	PPFD <sub>max</sub> ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	200 – 2000	200 - 1600	The lower limit is based on observations at the SMEAR I station, the upper on the theoretical clear sky maxima.
ST3	T <sub>max</sub> (°C)	10 - 40	5 - 35	Based on observations and the IPCC 2014 predictions of the regional temperature increase.
ST4	LAI ( $\text{m}^2 \text{m}^{-2}$ )	1 - 9	0.5 – 4	The upper limit is based on Tripathi et al. (2016).
ST5	[O <sub>3</sub> ] (ppb)	35 - 100	20 - 70	Naja et al. (2003), Ruuskanen et al. (2003). The upper end for HPB simulations is similar to the highest values which are observed in the Amazon where concentrations of isoprene can be very high (e.g. Pacifico et al., 2015)
ST6	[OH] <sub>max</sub> (molec cm <sup>-3</sup> )	0.1-10·10 <sup>6</sup>	1-25·10 <sup>5</sup>	Petäjä et al. (2009), Berresheim et al. (2000), Rohrer and Berresheim (2006). The lower limit has not been observed in HPB, but is included in order to test the impact of potential OH depletion on our results.
ST7	[H <sub>2</sub> SO <sub>4</sub> ] <sub>max</sub> (molec cm <sup>-3</sup> )	5-25·10 <sup>6</sup>	1-6.5·10 <sup>6</sup>	Birmili et al. (2003), Kyrö et al. (2014).
ST8	CS (s <sup>-1</sup> )	2-8·10 <sup>-3</sup>	0.2-3.5·10 <sup>-3</sup>	Birmili et al. (2003), Vana et al. (2016), Kyrö et al. (2014).
ST9	$\gamma_{\text{OxOrg}}$	0.1 - 2· $\gamma_{\text{OxOrg, default}}$		Ehn et al. (2014), Jokinen et al. (2015), Bianchi et al. (2019), McFiggans et al. (2019).



**Figure D1.** Impact of changed boundary conditions on the growth rate of small particles in non-infected and biotically stressed forest stands. The subplots correspond to those in Fig. 10, except the subplots here display growth rate, and not number of particles. Thus we refer to Fig. 10 for further explanations.



**Figure D2.** Impact of changed boundary conditions on the growth rate of small particles in non-infected and biotically stressed forest stands. The subplots correspond to those in Fig. 11, except the subplots here display growth rate, and not number of particles. Thus we refer to Fig. 11 for further explanations.