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On the Use of Satellite Observations to Fill Gaps in the Halley Station Total Ozone Record

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- 15 Abstract. Measurements by the Dobson ozone spectrophotometer at the British Antarctic Survey's (BAS) Halley research station form a record of Antarctic total column ozone that dates back to 1956. Due to its location, length, and completeness, the record has been, and continues to be, uniquely important for studies of long-term changes in Antarctic ozone. However, a crack in the ice shelf on which it resides forced the station to abruptly close, leading to a gap of two ozone hole seasons in its historic record. We develop and test a method for filling in the record of Halley total ozone by combining and adjusting
- 20 overpass data from a range of different satellite instruments. Tests suggest that our method reproduces the monthly groundbased Dobson total ozone values to within an average of 2 Dobson units. We show that our approach improves on the overall performance as compared to simply using the raw satellite average or data from a single satellite instrument. The method also provides a check on the consistency of the provisional data from the automated Dobson used at Halley after 2018 with earlier manual Dobson data, and suggests that there was a significant difference between the two. The filled Halley dataset provides
- 25 further support that the Antarctic ozone hole is healing, not only during September, but also in January.

1 Introduction

Using the Halley Dobson record, Farman et. al. (1985) were the first to identify the austral springtime Antarctic ozone hole, a discovery that would change the fundamental scientific understanding of atmospheric ozone chemistry and contribute to environmental policy at the international level via the Montreal Protocol (Birmpili, 2018). The length of the Halley Dobson

30 record as well as Halley station's particular location relative to the polar vortex and solar terminator have made it not only historically important but also uniquely valuable to modern studies of Antarctic total ozone.





This remarkable record now has been interrupted. In February of 2017, Halley station was forced to cease operations due to risks associated with the structural stability of the Brunt ice shelf upon which it rests (https://www.bas.ac.uk/media-post/halley-research-station-antarctica-to-close-for-winter/). No ozone data were taken during the austral springs of 2017 or 2018, breaking

- 35 the continuity of this unique record of the springtime ozone hole. The measurement season at Halley typically spans August through April of each year (although there are a few missing months in years before the ice crack issue, discussed further below). No routine ozone data are available at Halley in the Antarctic winter months of May, June, and July, when the sun is below the horizon. Halley is now only staffed during the Antarctic summer season with automated instrumentation operating throughout the year, including the automated Dobson instrument. The transition from manual year round operation to automated operation is reflected in the gaps in the Halley ozone record shown in Fig. 1 (which also shows satellite data for
- comparison, discussed further below).

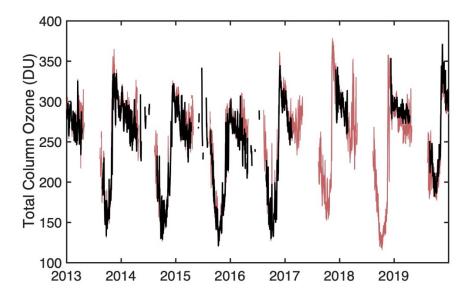


Figure 1: Total column ozone measurements by Dobson instruments at Halley station (in black) overlaid on top of the available satellite measurements (in red) from 2014-2019.

- 45 In the first decades of the satellite observing, overpass comparisons with the ground-based Dobson network were used for validation: e.g., to identify problems with different satellite systems such as calibration drifts or performance under cloudy conditions (Bojkov et al., 1988; McPeters and Labow, 1996). As the satellite observing system matured, satellite/Dobson comparisons could be used in the opposite sense: for example to find particular Dobson stations that were inconsistent with the rest of the ozone observing system (e.g., Fioletov et al., 1998). With the advanced multi-satellite observing system now
- 50 well-tested, we undertook the development of an approach to fill in missing periods in a specific Dobson ozone dataset. The recent gap in the Halley record limits its use for studying the full record of Antarctic ozone, particularly the current era of ozone healing, as global chlorofluorocarbon concentrations slowly decline. Satellite records of total ozone began in the 1970s (Heath et al., 1973) and provide complementary information, with shorter data records than those of the historic ground-based



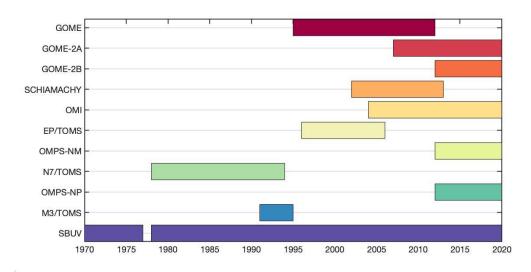


stations such as Halley, but complete global coverage and routine day-to-day observations. Here we examine a technique to combine satellite Halley overpass observations from a variety of different available satellite instruments to provide as complete a record of Halley total ozone as possible. Using satellite data, we develop and test a method to fill in the record of Halley total ozone as would have been measured by the Dobson instrument. Our goal is not to obtain the "most accurate" value for total ozone over Halley, but rather to reproduce what the Dobson instrument would have observed, had it been in operation. We focus on the gaps from 2017 to 2019, but also apply the method where possible to fill in missing months in the earlier historical data.

2 Methods

2.1 Data

All Halley obtained British Dobson data were directly from the Antarctic Survey (https://legacy.bas.ac.uk/met/jds/ozone/index.html#data). For observations between 1956 and 1971, only daily averages are currently available. Provisional individual Dobson measurements of total column ozone at Halley are available from 1972 65 onwards and were used to compute daily averages. Data from the automated instrument for 2018 onwards are particularly likely to require revision as cross-calibration only takes place during the short summer season.



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Figure 2: Timeline showing years with available measurements from each satellite instrument considered for filling the gaps of the Halley Dobson total ozone record.

The different satellite datasets use a variety of spectral ranges, scan widths, ozone absorption cross sections, and retrieval algorithms to determine total ozone. In this study, we analyze Halley overpass data from the following eleven instruments: GOME, GOME-2A, GOME-2B, SCIAMACHY, EP-TOMSOMI, OMPS-NP, OMPS-NM, SBUV, N7/TOMS, M3/TOMS, and EP/TOMS (Fig. 2). Comparison of the satellite average overpass data with Halley Dobson over 2013–2019 is shown in





75 Figure 1, highlighting the missing Halley Dobson data during the 2017 and 2018 austral springs. All instruments use only UV wavelengths in their ozone retrieval even though some also have spectral information at other wavelengths. Total ozone data from the EP-TOMS, SBUV, TOMS, OMI, and OMPS instruments are available from NASA (<u>https://acd-ext.gsfc.nasa.gov/anonftp/toms/</u>).

The concept for the GOME instrument (see Burrows et al., 1999) and GOME-2 Instruments Munro et al., 2015) instrument

80 was a nadir sounding spin off of the SCIAMACHY limb instrument (Burrows et al., 1995). The GOME-2 instruments on EUMETSAT were a subsequent operational realization of a somewhat improved GOME-instrument on MetOP (Munro et al., 2015 and references therein.). Overpass data are available upon request.

The SBUV record is the longest satellite record and includes measurements from 9 satellite instruments starting from Backscatter Ultraviolet (BUV) on Nimbus-4 followed by Solar Backscatter Ultraviolet (SBUV) instrument on Nimbus-7 and

- a series of SBUV/2 sensors on NOAA-9, 11, 14, 16, 17, 18, and 19. These measurements have been cross-calibrated and processed with the same retrieval algorithm (Bhartia et al., 2013) to produce a consistent, climate-quality record of ozone profiles and total columns (Frith et al., 2014). The Total Ozone Mapping Spectrometer (TOMS) on Nimbus-7 provided the first maps of total ozone over Antarctica from space (Stolarski et al., 1986; Bhartia and McPeters, 2018). Two additional TOMS instruments were later launched on the Meteor-3 (M3) and Earth Probe (EP) satellites.
- 90 The Dutch-Finnish Ozone Monitoring Instrument (OMI) is a nadir-looking, push broom UV/Visible solar backscatter spectrometer on NASA's Aura satellite that measures the Earth's radiance spectrum from 270 to 500 nm with a spatial resolution of 13 km x 24 km at nadir (Levelt et al., 2006). The OMI total ozone dataset used here is produced with a variation of the same algorithm used for the TOMS instruments and validation of the record has shown OMI to be stable for studies of ozone trends (McPeters et al., 2008, 2015).
- 95 OMPS-NM and OMPS-NP are both from the Ozone Mapping and Profiler Suite on board of Suomi National Polar Partnership (NPP) satellite. The OMPS Nadir Mapper (NM) has a wide swath to provide global daily maps of total ozone columns with a spatial resolution at nadir of 50 x 50 km. The OMPS Nadir Profiler (NP) sensor measures the complete spectrum from 260 nm to 310 nm and in combination with OMPS Nadir Mapper enables profile and total ozone retrievals for nadir direction only with a spatial resolution of 250 x 250 km (McPeters et al., 2019, Kramarova et al., 2014).
- 100 The total ozone columns from the European sensors GOME-2A and GOME-2B as well as SCIAMACHY are retrieved using the weighting function differential optical absorption spectroscopy (WFDOAS) technique in the spectral window 325-335 nm (Coldewey-Egbers et al., 2005, Weber et al., 2005). Recent updates in the retrieval algorithm account for molecular filling-in of the Ring effect and atmospheric polarization effect using look-up tables. These datatsets have been used in studies of longterm ozone trends (Chehade et al. 2012, Weber et al. 2018).
- 105 Below, we first focus on the following six instruments: GOME-2A, GOME-2B, OMI, OMPS-NP, OMPS-NM, and SBUV, all of which were in operation during the period from 2013 to 2019 (spanning the period of missing Halley data from 2017 to 2019). We then include other instruments as appropriate for other periods. As with the Dobson data, individual overpass data of total column ozone were used to compute daily averages.





2.2 Data Analysis

110 From the individual satellite instruments, a "satellite average" daily total column ozone dataset was constructed, which represents the mean of all available satellite daily averages for each day.

Absolute and relative differences as well as the root mean square difference (RMSD) between satellite data with respect to the Halley Dobson were computed using daily values for each satellite individually, from which the satellite average was obtained. All comparisons and difference calculations were only considered on coincident days of satellite and Dobson measurements.

115 With all measurements and differences in the form of averaged daily values, data were categorized according to their corresponding month and day of year (DOY). Initial comparisons revealed the value of our method for identifying outliers in the Dobson data. In particular, lunar Dobson measurements from August 24th, 2015 were excluded due to unusually high differences compared to satellite values observed on that day.

2.3 Delta Characterization and Adjustment

- Biases between Halley and satellite data were characterized individually for each instrument by day of year, over the entire period of available observations. Note that the use of the word "bias" is not meant to imply an error, but rather a difference relative to the Halley Dobson. To avoid confusion, we will henceforth use the Greek letter Δ to denote this difference. Using only coincident days, the Δ value for each day of year is the average of the absolute differences between each satellite and Dobson for that day of year, across all years in each satellite series. To provide the value that would be seen by the Dobson,
- 125 the corresponding Δ was then subtracted from each satellite's daily average. The delta-adjusted satellite average is the mean after each instrument's dataset has been individually delta-adjusted. Uncertainty for the delta-adjustment of the satellite average was calculated by combining, in quadrature, the standard error of the mean for each satellite.

2.4 Filling in Missing Halley Data

Daily Dobson measurements at Halley typically begin in the last week of August and end in the third week of April (August
 27th to April 16th). For months when Dobson observations are not available, the delta-adjusted satellite average was used to fill in daily averages for the days that Halley would typically be in operation. No attempt was made to fill in individual missing days within months for which Dobson data do exist, but rather only those months when Halley measurements are lacking.

3 Results and Discussion

Table 1: Root mean square differences in DU between the total column of O3 retrieved from the Halley Dobson instrument and135those retrieved from the monthly measurements by GOME-2A, GOME-2B, OMI, OMPS-NM, OMPS-NP, SBUV, and the average
for the period from 2013.

Month	GOME-2A	GOME-2B	OMI	OMPS-	OMPS-NP	SBUV	Satellite
				NM			Average





January	7.1	8.8	8.9	9.0	10.6	10.0	8.5	
February	9.1	9.8	9.8	9.8	12.3	10.4	9.3	
March	16.9	18.1	13.4	11.7	12.8	12.9	13.1	
April	21.7	24.5	17.6	20.4	31.2	31.2	25.5	
August	NA	13.7	13.7	15.0	18.0	18.0	12.7	
September	13.2	14.4	12.5	13.3	14.9	14.6	12.4	
October	9.6	11.1	11.6	10.9	11.4	10.1	9.8	
November	10.5	12.8	13.5	12.6	15.2	13.0	11.3	
December	10.3	12.3	11.7	11.4	14.2	13.2	11.4	
Annual	11.6	13.2	12.0	12.0	14.9	13.8	12.3	

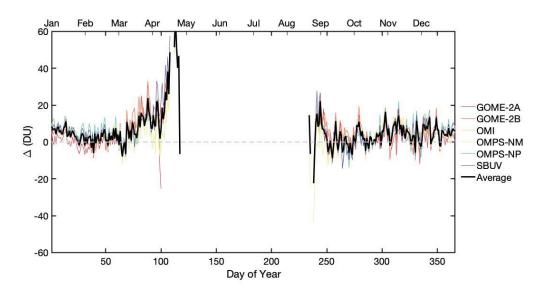
RMSD (root mean square differences) values provide a measure of how the satellite data compare to the Dobson instrument (Table 1). On average, GOME2A-, OMPS-NM, and OMI exhibit the lowest RMSD of the individual instruments while the

- 140 OMPS-NP instrument has the highest. Initial comparisons revealed that the use of the Serdyuchenko ozone absorption cross sections (Serdyuchenko et al., 2014) in the current GOME-2 data analysis method resulted in a 2-3% positive bias in total ozone when compared to the Bass and Paur cross sections (Paur and Bass, 1985) employed at Halley. For comparability with the other values, we adjusted GOME-2 data by a first order factor of 1.025 to account for the differences in absorption cross sections before performing the above analysis. OMI is the only one out of the six displayed to use the Bass-Paur ozone
- 145 absorption cross sections in its retrieval algorithm. The other NASA instruments—OMPS-NP, OMPS-NM, and SBUV—all use the Brion-Daumont-Malicet (BDM) cross sections (Malicet et al., 1995). While a scaling factor could be applied to adjust for the different cross sections used as was done for GOME-2, differences between OMPS-NM and OMPS-NP datasets would remain. The average of all satellite instruments consistently performs well relative to the individual instruments in all months except April, and in particular during the austral spring months of August, September and October. This supports the use of
- 150 the satellite average for this study and application.

All Δ values were then applied by day of year in each individual satellite dataset for all periods of observations. Multiple instruments were averaged for each period whenever available, in the manner discussed above, and used to form the best available delta-adjusted satellite averages over time throughout the record.







155 Figure 3: Average \triangle (over 2013-2018) between total O3 column retrieved from the measurements of the Halley Dobson and each satellite instrument by day of year, as well as the \triangle averaged across all instruments.

Characterizing Δ by day of year reveals trends across all instruments. Figure 3 shows that Δ is largest in the months of April and August, when solar zenith angles are large, as the station approaches and exits the polar night. The rapid and non-linear increase in Δ during spring and fall demonstrates the importance of defining the Δ in these seasons by average daily, rather than monthly differences.

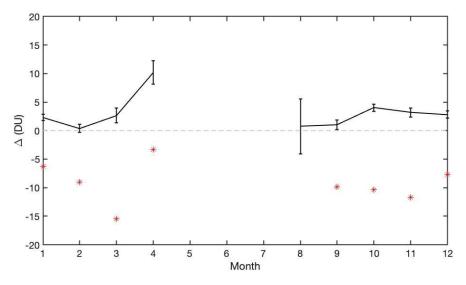


Figure 4: Average Δ over the entire data set (Fig. 2), excluding 2019, for each month with error bars (black) and monthly Δ values in 2019 (red).

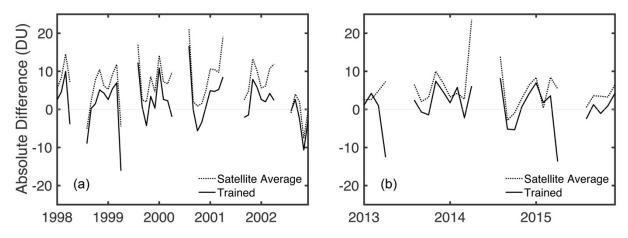
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While testing our method, we found that the provisional 2019 automated Dobson displayed larger negative Δ values compared

- 165 to the rest of the dataset (Fig. 4). This suggests possible inconsistencies between the automated instrument and earlier data. Therefore, we chose to exclude 2019 from our delta adjustment. Figure 4 illustrates the value of our method for testing Dobson measurements for potential inconsistencies, particularly following instrument changes when calibration procedures may still be underway.
- To test the fidelity of our method, we then omitted Halley Dobson measurements for selected time frames during which data 170 were available and evaluated how well our method could reproduce those values. In short, after excluding the selected years, instruments were "trained" over the available range for the satellite by determining the average Δ for each day of year between each of the satellites and Halley. We applied that Δ to the satellite data for the omitted period to define what the delta-adjusted satellite average suggests that Halley should have observed. These values were then compared to what the Halley Dobson observed. We were primarily interested in evaluating our method for a time frame when the same satellite instruments as the
- 175 ones in operation from 2017 to 2018 were available. Consequently, we chose to test the method for the years 2013 to 2015 by pretending data for those years did not exist and characterizing the monthly Δ values averaged over those years using the rest of the available data. To examine the performance of our method during periods when there were fewer available instruments, we also tested on 1998-2002.



180 Figure 5: The monthly mean of the absolute difference between the ozone columns, retrieved from Halley Dobson daily ozone averages and the satellite average (dotted) as well as the difference between the trained satellite average (solid) and the Dobson observations for the periods (a) 1998-2002 and (b) 2013-2015.

Figure 5 shows that, after excluding 2019 data, applying the results of training to the satellite average reproduced Halley Dobson monthly total ozone values to within an average of 1.8 ± 6.7 Dobson units (DU) for the period from 1998-2002 and 1.1 ± 6.2 DU for the period from 2013-2015. Further, in nearly all instances, the delta-adjusted satellite average displayed smaller differences than the raw average without including delta adjustment, showing that our method reproduced well what the Dobson would have observed compared to the performance of the satellite average, particularly in the austral fall.





Characterizing Δ values by month, rather than day of year, results in comparable accuracy (within 0.79 DU for 2013-2015) but decreased uncertainty (\pm 2.2 DU for 2013-2015) in reproducing Halley Dobson monthly total ozone values. This result is

- 190 expected, given that the day-of-year-characterized Δ values, when averaged over a month, should resemble the monthlycharacterized Δ . The decreased uncertainty in the monthly-characterized Δ is due to the greater number of data points averaged in the delta adjustment. The use of one characterization over the other should depend on the goal of a given study. When reproducing daily total ozone values, as we do in this paper, Δ values need to be characterized by day of year in order to capture rapid changes in SZA and, subsequently, total ozone in the late spring and early fall (Fig. 3).
- 195 The delta-adjusted satellite data were then used to complete the Halley Dobson record (Table 2), including not only the period of the ice crack but other months when Dobson data are occasionally missing. No satellite data exist prior to 1970, and in the early 1970s, only one instrument (Nimbus-4 BUV) is available to fill in certain months. Comparison between Table 2 and Fig. 1 shows which satellite instruments are available to fill in various periods.
- Table 2: Monthly total ozone averages at Halley. Bold italic indicates months with no available Halley Dobson observations or only200200provisional automated Dobson data, for which the delta-adjusted satellite average was used.

1956 NA NA NA NA NA NA NA S <th< th=""><th>360</th></th<>	360
1958333302282257NANA3063511959343329298NANANA3033041960323299296NANA2882933471961320304305NANA2683093331962312298330NANANA323382	
1959343329298NANANA3033041960323299296NANA2882933471961320304305NANA2683093331962312298330NANANA323382	349
1960323299296NANA2882933471961320304305NANA2683093331962312298330NANANA323382	380
1961320304305NANA2683093331962312298330NANANA323382	341
1962 312 298 330 NA NA NA 323 382	377
	345
10/2 201 202 207 200 215 214 201 240	378
1963 321 303 306 288 315 NA 301 349	352
1964 318 301 326 304 272 NA 310 402	358
1965 316 295 297 NA NA NA 274 299	336
1966 300 290 284 287 NA 289 308 339	346
1967 300 285 269 NA NA NA 315 359	334
1968 320 286 290 281 285 281 293 387	350
1969 313 291 282 246 NA 286 275 298	316
1970 306 286 269 259 309 274 275 357	346
1971 319 314 275 279 303 280 291 375	346
1972 317 301 301 314 305 266 296 377	351
1973 306 293 286 277 272 263 271 326	334
1974 307 275 262 242 NA 244 272 337	351
1975 320 275 279 NA NA 267 303 309	338





1976	314	272	257	251	NA	265	283	326	335
1977	318	280	275	253	290	239	251	332	360
1978	310	305	282	253	NA	264	284	345	337
1979	295	283	278	283	265	232	263	323	352
1980	324	292	290	278	328	236	226	293	340
1981	299	280	253	268	278	241	237	285	326
1982	290	278	260	285	267	210	218	268	322
1983	308	292	278	266	253	228	195	289	325
1984	301	272	273	267	242	215	194	248	322
1985	301	269	263	245	247	217	185	215	304
1986	286	273	247	227	253	212	233	282	309
1987	301	278	274	274	254	182	150	188	287
1988	286	264	271	265	242	207	216	312	323
1989	284	281	260	274	270	186	150	255	295
1990	290	266	254	254	259	173	173	207	246
1991	281	257	263	233	204	163	137	232	296
1992	271	283	281	257	185	152	147	206	270
1993	284	275	277	256	209	167	122	179	285
1994	278	264	255	284	197	152	126	217	316
1995	278	269	256	254	218	160	130	164	252
1996	261	249	246	226	173	155	148	181	260
1997	278	265	247	243	218	171	141	210	286
1998	267	262	264	255	221	162	140	183	255
1999	272	259	254	267	205	172	143	172	254
2000	281	258	250	256	179	151	137	267	299
2001	286	261	251	245	224	148	138	209	265
2002	283	263	246	250	228	213	224	329	306
2003	282	280	268	246	205	155	158	229	292
2004	277	271	262	242	242	173	191	222	282
2005	275	262	253	242	207	158	155	253	290
2006	281	269	272	255	221	147	137	181	275
2007	286	281	270	255	186	150	159	214	290
2008	291	274	282	263	203	151	145	180	244





2009	286	264	249	234	200	153	165	216	293
2009	200	204	249	234	200	155	105	210	293
2010	293	275	254	267	222	188	184	222	271
2011	290	278	275	245	<i>197</i>	160	140	186	267
2012	284	262	252	243	209	175	179	302	310
2013	285	270	270	251	186	170	177	306	296
2014	292	279	265	255	205	173	148	195	294
2015	289	267	255	256	241	179	139	171	253
2016	274	261	258	234	213	175	155	245	307
2017	285	265	263	263	240	196	175	309	307
2018	297	280	263	255	208	165	132	214	300*
2019	286	280	268	261	204	208	19 7	293	300
2020	293	281	275	265	235	176	138	182	226

* Manual observations with Dobson 31 from December 10-31. May not be representative of the full month.

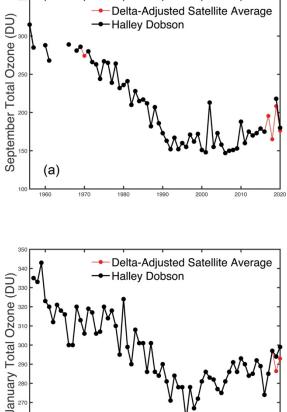
Figure 6 presents plots of September and January monthly mean total ozone at Halley, now with missing months filled in, illustrating the value of our method. For September, the now-complete long record from Halley is suggestive of ozone recovery at a rate of 1.34 ± 0.64 DU yr⁻¹ (p = 0.05) post-2000, although caution must be taken before drawing conclusions using single station data, due to potential systematic shifts of the location of the springtime polar vortex over time that has been noted in previous work (Hassler et al., 2011; Lin et al., 2009) and possibly other factors. This figure also shows that post-2000 January data also displays a positive trend of 0.44 ± 0.20 DU yr⁻¹ (p = 0.04). January does not display such shifts in the vortex; indeed, the vortex is essentially dissipated in this summer month. Fioletov and Shepherd (2005) showed that summer season total ozone is correlated with that in spring. The long records in September and January taken together hence support the view that

210 ozone recovery is occurring, and the figure demonstrates the application of our method towards future studies of long-term trends in Antarctic ozone.

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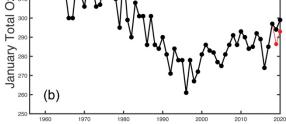


Figure 6: Monthly Halley ozone averages over time (black) for (a) September and (b) January, with the delta-adjusted satellite average (red) filled in for years with no or provisional Halley Dobson observations. Note that GOME and SBUV data are not yet available.

4 Conclusions

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We developed a method to fill in missing data in the historic Halley record of total ozone (Farman et al., 1985; Jones et al., 1995) using satellite overpass data, with a particular focus on the period of 2017-2018 when Halley station was abruptly closed for safety reasons associated with a crack in the ice shelf. We analyzed the suite of total ozone data from a range of available satellite total ozone instruments. Using the differences between daily Halley and satellite overpass data, we derived the differences (Δ) between the Dobson and each satellite for each day of the observing season (August to April) as well as the satellite average. Through this process, we found that the preliminary computed data from the automated instrument in 2019 displayed a considerably larger difference compared to the satellite average than the data taken earlier with the manual Dobson.



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This comparison illustrates that our method can be valuable in identifying potential calibration issues, particularly after instrument changes.

We found that the average of the available satellites over 2013-2018 displayed a smaller Δ relative to the Halley total ozone data than most of the individual satellites and performed especially well during months in the austral spring. We then tested our method using time periods when Halley data were actually available to see how well the technique would have worked if data were missing at those times. Our tests indicate that by accounting for Δ s between the daily satellite averages and Dobson

230 data, we could fill in missing months with a high degree of fidelity (within an average of 2 Dobson Units for monthly averages). We applied the method to all possible missing months of data in the Halley record, and the filled dataset will be available for use by other researchers.

The filled dataset allows study of the important question of the healing of the ozone hole due to the phaseout of new production of ozone depleting substances under the Montreal Protocol, which would otherwise be impeded by the years of the ice crack

- 235 interruption. The results better support the conclusion that healing of the ozone hole is beginning in the key month of September than would be possible without the data filling, although we note that data for a single station in September can be influenced by changes in the position and conditions of the polar vortex, as documented in other studies. However, we also show that the Halley data indicate ozone healing for January as well, a month when the vortex is very weak and essentially circumpolar. Because of COVID-19, several Antarctic stations are currently subject to reduced operations and staffing (Hughes and Convey,
- 2020). The COVID-19 pandemic underscores that long-term observations may be unexpectedly interrupted at any time, due not only to geophysical change such as the ice crack but also societal change. The method developed here could be applied to bridge missing data in other station records.

Code availability

MATLAB was used for data analysis and visualization. Scripts can be accessed at https://github.com/lnz0018/halley/

245 Data availability

Sources for all data used in this manuscript can be found in Sect. 2.1.

Author contribution

SS and KS conceptualized the project. The methodology was developed by SS, KS, and LZ and implemented by LZ with satellite and Halley Dobson data provided by the other co-authors. LZ prepared the manuscript with contributions from all coauthors.





Competing interests

The authors declare that they have no conflict of interest.

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