Response to Comments of Editor

(Comments in *italics*)

Manuscript number: acp-2021-119

obtained in the manuscript.

Title: Impacts of aerosol-photolysis interaction and aerosol-radiation feedback on surface-layer ozone in North China during a multi-pollutant air pollution episode

The reviewer makes some constructive suggestions which are generally in line with my earlier comments. The paper has improved from the earliest submissions, and I think that it can still improve with attention to these comments. **Response:**

Additional simulations have been conducted following the Reviewer's comments, and the results from these new simulations also support the general conclusion we

As described below in our point-to-point responses, we have carefully revised the manuscript and addressed the Reviewer's comments.

Response to Comments of Reviewer #2

(Comments in *italics*)

Manuscript number: acp-2021-119

Title: Impacts of aerosol-photolysis interaction and aerosol-radiation feedback on surface-layer ozone in North China during a multi-pollutant air pollution episode

This revised paper is well written and well reasoned but in previous round of review, several key issues were pointed out. Specifically in the last round of review, the editor posed three key questions that the authors needed to address in the manuscript with which I agree.

1) What is the goal of the paper - to consider high air pollution conditions, and for what purpose?

2) Why were the episodes chosen, and what do we hope to learn more generally for other conditions?

3) How do the conclusions based on the CAPA episodes relate with other conditions during the years studied (2014-16) and to what extent are they relevant for other years before or after?

I believe that the authors have fully answered question 1 and the clear motivation has improved the manuscript. However, the current revision only partially answers questions 2 and 3. My major and minor comments are as follows:

Response:

Thanks to the Reviewer for the valuable comments and suggestions which are helpful for improving our manuscript. We have revised the manuscript carefully, as described in our point-to-point responses to the comments.

Major Comments:

1a) The authors addressed the questions about other conditions by conducting 3 additional simulations with conditions other than complex air pollution episodes. These simulations are important and reveal some interesting findings. Particularly, the O3 decrease in a high PM episode from API and ARF is close to double the impact in a complex episode and in cases without high PM pollution the impact is close to half the impact of a complex episode. However, the authors treat these cases as an afterthought with them being mentioned only in the last few lines of the conclusion/supplementary material. These cases need to be incorporated into the main text as another results section and they need more descriptive names such as: High PM2.5 (HI_PM), Low Pollution (LOW_POL), and High O3 (HI_O3).

Response:

The main purpose of this study is to quantify the respective/combined impacts of ARF and API on surface O₃ concentrations during complex air pollution episodes, as

stated in the title of the manuscript. We thank the Reviewer for the positive comment on the motivation of this study.

In order to make the conclusions more general, another six simulations under different air pollution conditions (i.e., $PM_{2.5}$ pollution alone, O₃ pollution alone, and neither $PM_{2.5}$ nor O₃ exceed air quality standard), as suggested by the Editor and the Reviewer, are conducted. All the results confirm the same conclusion that the reduction in O₃ by API is larger than that by ARF.

In the revised manuscript, we have changed the names of these additional simulations following the suggestion of the Reviewer. In order not to distract from the major purpose of the article, we have added a new section of 'Discussions' before the Conclusions to present the results from these additional simulations.

"We presented above the results from our simulations of multi-pollutant air pollution episodes. In order to make the conclusion be more general, we carried out simulations for three additional air pollution conditions, i.e., (1) PM_{2.5} pollution alone (High_PM, with daily mean PM_{2.5} concentration larger than 75 μ g m⁻³), (2) O₃ pollution alone (High_O₃, with the maximum daily 8-h average O₃ concentration larger than 80 ppb), and (3) neither PM_{2.5} nor O₃ exceeded air quality standard (Low_POL, with daily mean PM_{2.5} and the maximum daily 8-h average O₃ concentrations smaller than 75 μ g m⁻³ and 80 ppb, respectively). For each condition of air pollution, we examined two episodes.

Figures S12 and S13 show the temporal variations of observed and simulated PM_{2.5} and O₃ concentrations during 7-12 October 2014 (High_PM_Episode1), 7-11 April 2014 (High_PM_Episode2), 15-21 June 2017 (High_O₃_Episode1), 12-17 July 2017 (High_O₃_Episode2), 13-18 June 2016 (Low_POL_Episode1), and 13-17 July 2016 (Low_POL_Episode2). The temporal variations of observed PM_{2.5} can be well captured by the model with IOAs of 0.63, 0.82, 0.56, 0.42, 0.76 and 0.54, and NMBs of 7.4%, 20.3%, -21.7%, -25.9%, 14.7% and -29.3% during High_PM_Episode1, High_PM_Episode2, High_O₃_Episode1, High_O₃_Episode2, Low_POL_Episode1, and Low_POL_Episode2, respectively. The model also simulates well the diurnal variation of O₃ over the North China, with IOAs of 0.87, 0.80, 0.87, 0.90, 0.84 and 0.86, and NMBs of -9.4%, -29.5%, -15.2%, -9.4%, 11.6% and 18.0% in these six episodes, respectively.

Figure 9 shows changes in daytime surface-layer O_3 due to API, ARF, and the combined effects (denoted as ALL) of High_PM_Episode1, High_PM_Episode2, High_O_3_Episode1, High_O_3_Episode2, Low_POL_Episode1, and Low_POL_Episode2. As summarized in Table 2, all the simulations confirm the same conclusion that the reduction in O₃ by API is larger than that by ARF. Averaged over the entire domain, the percentage reductions in O₃ by API and ARF are, respectively, 29.3% and 6.2% in High_PM_Episode1, 16.9% and 4.7% in High_PM_Episode2, 5.3% and 0.1% in High_O_3_Episode1, 4.5% and 0.1% in High_O_3_Episode2, 6.8% and 1.0% in Low_POL_Episode1, and 2.9% and 0.7% in Low_POL_Episode2. It's worth noting that the percentage reductions in O₃ from both API and ARF in High_PM episodes are 1.6~3.2 times the impacts in the complex episodes, while the impacts in cases of Low_POL and High_O₃ are 0.3~0.7 times the impacts of complex episodes."

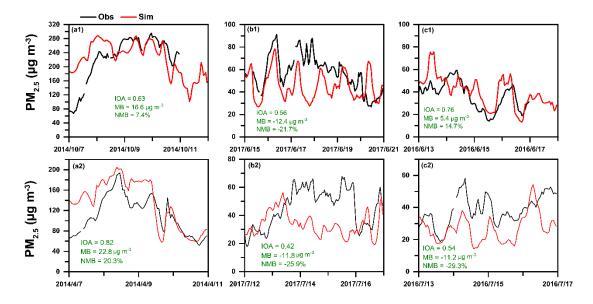


Figure S12. Time series of observed (black) and simulated (red) hourly surface-layer PM_{2.5} concentrations averaged over the thirty-two observation sites in Beijing, Tianjin, and Baoding during 7-12 October 2014 (High_PM_Episode1), 7-11 April 2014 (High_PM_Episode2), 15-21 June 2017 (High_O₃_Episode1), 12-17 July 2017 (High_O₃_Episode2), 13-18 June 2016 (Low_POL_Episode1), and 13-17 July 2016 (Low_POL_Episode2). The index of agreement (IOA), mean bias (MB), and normalized mean bias (NMB) are also shown.

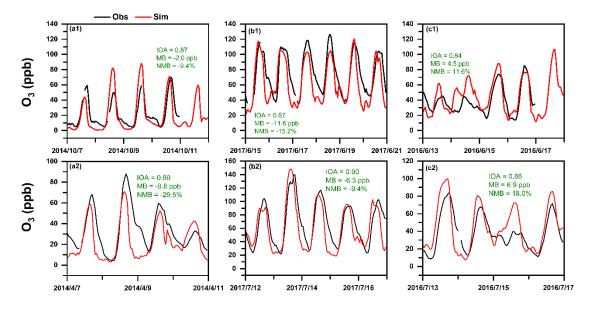


Figure S13. The same as Fig. S12, but for O₃.

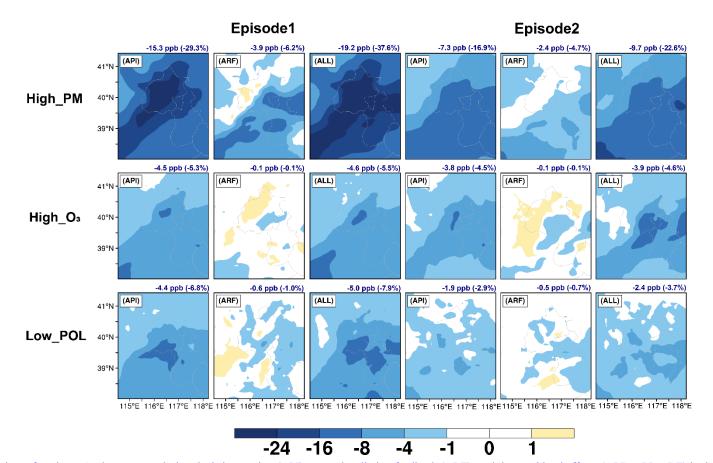


Figure 9. The changes in surface-layer O₃ due to aerosol-photolysis interaction (API), aerosol-radiation feedback (ARF), and the combined effects (ALL, API+ARF) in the daytime (08:00-17:00 LST) of 7-12 October 2014 (High_PM_Episode1), 7-11 April 2014 (High_PM_Episode2), 15-21 June 2017 (High_O₃_Episode1), 12-17 July 2017 (High_O₃_Episode2), 13-18 June 2016 (Low_POL_Episode1), and 13-17 July 2016 (Low_POL_Episode2). The changes (percentage changes) in O₃ concentrations caused by API, ARF and ALL avaraged over the entire simulated domain are also shown at the top of each panel.

Туре	Episode	Time	PM _{2.5} pollution (μg m ⁻³)	O ₃ pollution (ppb)	API (ppb)	ARF (ppb)	ALL (ppb)
Complex air	Episode2	2015.7.8-2015.7.13	79.3	89.6	-10.3 (-11.8%)	-1.0 (-1.1%)	-11.3 (-13.0%)
pollution	Episode3	2016.6.5-2016.6.11	76.5	87.6	-9.1 (-11.2%)	-0.9 (-1.0%)	-10.0 (-12.3%)
	Episode4	2017.6.28-2017.7.3	75.4	113.8	-11.4 (-12.2%)	0.7 (0.5%)	-10.7 (-11.6%)
II: h DM	Episode1	2014.10.7-2014.10.12	223.5	46.9	-15.3 (-29.3%)	-3.9 (-6.2%)	-19.2 (-37.6%)
High_PM	Episode2	2014.4.7-2014.4.11	111.7	54.8	-7.3 (-16.9%)	-2.4 (-4.7%)	-9.7 (-22.6%)
High_O ₃	Episode1	2017.6.15-2017.6.21	61.9	103.6	-4.5 (-5.3%)	-0.1 (-0.1%)	-4.6 (-5.5%)
	Episode2	2017.7.12-2017.7.17	45.6	100.4	-3.8 (-4.5%)	-0.1 (-0.1%)	-3.9 (-4.6%)
Low_POL	Episode1	2016.6.13-2016.6.18	36.5	62.4	-4.4 (-6.8%)	-0.6 (-1.0%)	-5.0 (-7.9%)
	Episode2	2016.7.13-2016.7.17	38.3	55.9	-1.9 (-2.9%)	-0.5 (-0.7%)	-2.4 (-3.7%)

Table 2. Detailed information of the analyzed episodes, including the impacts of API, ARF and ALL on O₃ concentrations under different air pollution conditions. The numbers in bold indicate the concentrations exceeded the Class II limit of the National Ambient Air Quality Standards of China. The numbers in parentheses indicate the percentage changes in O₃ concentration.

1b) The authors should also consider possibly simulating an additional case with each of these conditions to see if the differences between them and the CAPAs are robust, or alternatively they should demonstrate that the episodes they selected are representative of those conditions throughout the period of interest (2014-2017).

Response:

Following the Reviewer's suggestion, we have carried out simulation of one more case for each of air pollution conditions (i.e., High_PM_Episode2, High_O₃_Episode2, and Low_POL_Episode2). Please see our responses to your major comment 1a) for the results from these new simulations. All the results confirm the same conclusion that the reduction in O_3 by API is larger than that by ARF (Table 2).

2) The authors have still not addressed historical conditions before 2014. The authors have stated this is because national observations are not available to pin-point complex air pollution episodes before 2013. However, the authors have also stated "Air pollution in China was characterized by high concentrations of PM2.5 before 2014 (Li et al., 2019a; Zhang et al., 2019) and by synchronous occurrence of high PM2.5 and O3 or high levels of O3 alone after 2017 (Dai et al., 2021; Li et al., 2019b; Li et al., 2020; Qin et al., 2021)." If there are no observations before 2013 to justify this statement, how is this statement supported? At a minimum, the authors should point out the lack of data before their period of interest in the manuscript as a reason they cannot explore the magnitudes of API and ARF before 2014. However, if the authors are at least aware of a high PM2.5 case from the 2001-2005 period it would be worth comparing that with the current HI_PM case to see if the magnitude of the API and ARF impacts have decreased in a significant way.

Response to "If there are no observations before 2013 to justify this statement, how is this statement supported?"

The open official national data are not available before 2013 as the China National Environmental Monitoring Center (CNEMC) network was established in 2013 (Chinese State Council, 2013). Before 2013, only the US Embassy had publicly accessible and continuous PM_{2.5} observation data in Beijing. Daily PM_{2.5} from the US Embassy in Beijing is available between 18 February 2009 and 30 June 2017 (http://www.stateair.net/web/historical/1/1.html) and is shown in Fig. R1 below. PM_{2.5} concentrations were very high before 2014 (from 18 February 2009 to 31 December 2013), with 935 days (54%) above 75 µg m⁻³ and 345 days (20%) above 150 µg m⁻³ in Beijing, respectively. However, there were no observations of O₃ from the US Embassy, which make it difficult to select the time and location of complex air pollution events and to evaluate the model results before 2013.

The general situation of air pollution from 2013 to 2019 was presented by Li et al. (2020). As shown in Fig. R2 (see below, taken from Li et al. (2020)), since the implementation of the Chinese governmental Air Pollution Action Plan in 2013, the concentrations of $PM_{2.5}$ decreased significantly, whereas the concentrations of O_3 increased steadily. Meanwhile, few episodes of complex air pollution events were

detected in north China in 2013 due to the high concentrations of $PM_{2.5}$ and low concentrations of O₃. The observed annual mean concentrations of $PM_{2.5}$ decreased from 82.0 µg m⁻³ in 2014 to 49.8 µg m⁻³ in 2018 over the North China Plain (NCP) (Chen et al., 2020), which still exceeded the National Ambient Air Quality Standards (35 µg m⁻³). Meanwhile, the summertime mean MDA8 O₃ concentrations frequently reached or exceeded the 80 ppb in NCP after 2017 (Fig. R2). That's why we say "Air pollution in China was characterized by high concentrations of PM_{2.5} before 2014, and by synchronous occurrence of high PM_{2.5} and O₃ or high levels of O₃ alone after 2017".

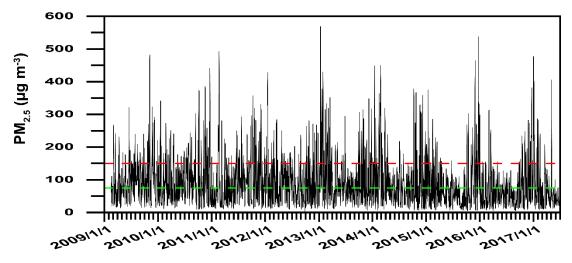


Figure R1. Time series of the observed daily $PM_{2.5}$ concentrations in Beijing from the US Embassy from 18 February 2009 to 30 June 2017. The green and red dash lines represent the concentrations of 75 and 150 μ g m⁻³, respectively.

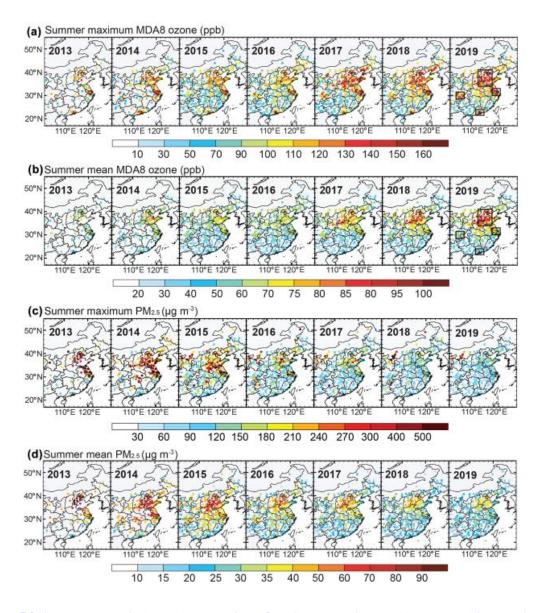


Figure R2. Summer (June-July-August) concentrations of maximum MDA8 ozone (a), mean MDA8 ozone (b), maximum PM_{2.5} (c), and mean PM_{2.5} (d) for 2013–2019 at the network operated by the China Ministry of Ecology and Environment (MEE). Rectangles denote the four megacity clusters: the North China Plain (NCP; 34–41 °N, 113–119 °E), the Yangtze River Delta (YRD; 30–33 °N, 119–122 °E), the Pearl River Delta (PRD; 21.5–24 °N, 112–115.5 °E), and the Sichuan Basin (SCB; 28.5–31.5 °N, 103.5–107 °E). (Li et al., 2020)

Response to "At a minimum, the authors should point out the lack of data before their period of interest in the manuscript as a reason they cannot explore the magnitudes of API and ARF before 2014."

According to the Reviewer's comments, the limitation section is updated in our revised manuscript: "(2) The CNEMC network was built in 2013. Before 2013, the national observations of PM_{2.5} and O₃ concentrations were not available, which make it difficult to select the time and location of complex air pollution events and to evaluate the model results. Based on observation data, we were mainly focused on impacts of ARF and API on surface O₃ during complex air pollution episodes from 2014 to 2017. Additional simulations of High_PM, High_O₃, and Low_POL support the conclusion

obtained from the complex air pollution episodes that the reduction in O_3 by API is larger than that by ARF."

Response to "However, if the authors are at least aware of a high PM2.5 case from the 2001-2005 period it would be worth comparing that with the current HI_PM case to see if the magnitude of the API and ARF impacts have decreased in a significant way."

Thanks to the Reviewer's suggestion. The comparison is limited by the availability of observational data, as we explained above.

Minor comments:

1. Line 78: field not "filed"

Response:

Changed.

2. Line 84: Should the unit be $\mu g m$ -3 or ppb?

Response:

The unit is $\mu g \text{ m}^{-3}$ in Xing et al. (2017) paper.

3. Line 206: done instead of "did"

Response:

We have changed the "did" to "done" in the revised manuscript.

4. Line 291: should be "was caused"

Response:

Corrected.

5. Line 414: How can API contribute more than 100% to a reduction in O3? The word contribution implies "percent of" not "percent change". If these values are a relative difference (i.e., percent change) then a word other than contribute needs to be used.

Response:

We have revised the sentence as follows: "The changed meteorological variables and weakened photochemistry reaction further reduced surface-layer O_3 concentration by 10.0~11.4 ppb, with relative changes of 74.6%~106.5% by API and of -6.5%~25.4% by ARF."

6. Line 430: during "the" warm season

Response:

Corrected.

References:

- Chen, L., Zhu, J., Liao, H., Yang, Y., and Yue, X.: Meteorological influences on PM2.5 and O3 trends and associated health burden since China's clean air actions, Sci. Total Environ., 744, https://doi.org/10.1016/j.scitotenv.2020.140837, 2020.
- Chinese State Council: Release of PM2.5 monitoring information in China, available at: http://www.gov.cn/jrzg/2013-01/01/content_2303447.htm, 2013 (in Chinese).
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1	Impacts of aerosol-photolysis interaction and aerosol-radiation
2	feedback on surface-layer ozone in North China during multi-
3	pollutant air pollution episodes
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5	Hao Yang ¹ , Lei Chen ¹ , Hong Liao ¹ , Jia Zhu ¹ , Wenjie Wang ² , Xin Li ²
6	
7	¹ Jiangsu Key Laboratory of Atmospheric Environment Monitoring and Pollution
8	Control, Jiangsu Collaborative Innovation Center of Atmospheric Environment and
9	Equipment Technology, School of Environmental Science and Engineering, Nanjing
10	University of Information Science & Technology, Nanjing 210044, China
11	² State Joint Key Laboratory of Environmental Simulation and Pollution Control,
12	College of Environmental Sciences and Engineering, Peking University, Beijing
13	100871, China
14	
15	Correspondence: Lei Chen (chenlei@nuist.edu.cn) and Hong Liao
16	(hongliao@nuist.edu.cn)

17

18 Abstract

We examined the impacts of aerosol-radiation interactions, including the effects of 19 aerosol-photolysis interaction (API) and aerosol-radiation feedback (ARF), on surface-20 layer ozone (O₃) concentrations during four multi-pollutant air pollution episodes 21 characterized by high O₃ and PM_{2.5} levels during 28 July to 3 August 2014 (Episode1), 22 8-13 July 2015 (Episode2), 5-11 June 2016 (Episode3), and 28 June to 3 July 2017 23 24 (Episode4) in North China, by using the Weather Research and Forecasting with Chemistry (WRF-Chem) model embedded with an integrated process analysis scheme. 25 Our results show that API and ARF reduced the daytime shortwave radiative fluxes at 26 the surface by 92.4 \sim 102.9 W m⁻² and increased daytime shortwave radiative fluxes in 27 the atmosphere by 72.8~85.2 W m⁻², as the values were averaged over the complex air 28 29 pollution areas (CAPAs) in each of the four episodes. As a result, the stabilized atmosphere decreased the daytime planetary boundary layer height and 10 m wind 30 speed by 129.0~249.0 m and 0.05~0.15 m s⁻¹, respectively, in CAPAs in the four 31 episodes. Aerosols were simulated to reduce the daytime near-surface photolysis rates 32 of J[NO₂] and J[O¹D] by $1.8 \times 10^{-3} \sim 2.0 \times 10^{-3} \text{ s}^{-1}$ and $5.7 \times 10^{-6} \sim 6.4 \times 10^{-6} \text{ s}^{-1}$, 33 respectively, in CAPAs in the four episodes. All the four episodes show the same 34 conclusion that the reduction in O₃ by API is larger than that by ARF. API (ARF) was 35 simulated to change daytime surface-layer O₃ concentrations by -8.5 ppb (-2.9 ppb), -36 10.3 ppb (-1.0 ppb), -9.1 ppb (-0.9 ppb) and -11.4 ppb (+0.7 ppb) in CAPAs of the four 37 episodes, respectively. Process analysis indicated that the weakened O3 chemical 38 production made the greatest contribution to API effect, while the reduced vertical 39 mixing was the key process for ARF effect. Our conclusions suggest that future PM_{2.5} 40 reductions may lead to O₃ increases due to the weakened aerosol-radiation interactions, 41 which should be considered in air quality planning. 42

43

44 **1 Introduction**

The characteristics of air pollution in China during recent years are changing from 45 the single pollutant (e.g., PM_{2.5}, particulate matter with an aerodynamic equivalent 46 diameter of 2.5 µm or less) to multiple pollutants (e.g., PM_{2.5} and ozone (O₃)) (Zhao et 47 al., 2018; Zhu et al., 2019), and the synchronous occurrence of high PM_{2.5} and O₃ 48 concentrations has been frequently observed, especially during the warm seasons (Dai 49 50 et al., 2021; Qin et al., 2021). Qin et al. (2021) reported that the co-occurrence of PM_{2.5} and O₃ pollution days (days with PM_{2.5} concentration > 75 μ g m⁻³ as well as maximum 51 daily 8 h average ozone concentration > 80 ppb) exceeded 324 days in eastern China 52 during 2015-2019. Understanding the complex air pollution is essential for making 53 54 plans to improve air quality in China.

55 Aerosols can influence O₃ by changing meteorology through absorbing and scattering solar radiation (defined as aerosol-radiation feedback (ARF) in this work) 56 (Albrecht et al., 1989; Haywood et al., 2000; Lohmann et al., 2005), which influences 57 58 air quality by altering the chemical reactions, transport and deposition of the pollutant 59 (Gao et al., 2018; Qu et al., 2021; Xing et al., 2017; Zhang et al., 2018). Many studies 60 examined the feedback between aerosols and meteorology (Gao et al., 2015; Gao et al., 2016a; Qiu et al., 2017; Chen et al., 2019; Zhu et al., 2021). For example, Gao et al. 61 (2015) used the WRF-Chem model to investigate the feedbacks between aerosols and 62 meteorological variables over the North China Plain in January 2013, and pointed out 63 that aerosols caused a decrease in surface temperature by 0.8-2.8 °C but an increase of 64 0.1-0.5 °C around 925 hPa. By using the same WRF-Chem model, Qiu et al. (2017) 65 reported that the surface downward shortwave radiation and PBLH were reduced by 66 54.6 W m⁻² and 111.4 m, respectively, due to aerosol direct radiative effect during 21-67 27 February 2014 in the North China Plain. Such aerosol-induced changes in 68 meteorological fields are expected to influence O₃ concentrations during multi-69 pollutant episodes with high concentrations of air pollutants. 70

Aerosols can also influence O₃ by altering photolysis rates (defined as aerosolphotolysis interaction (API) in this work) (Dickerson et al., 1997; Liao et al., 1999; Li 73 et al., 2011; Lou et al., 2014). Dickerson et al. (1997) reported that the presence of pure 74 scattering aerosol increased ground level ozone in the eastern United States by 20 to 45 ppb, while the presence of strongly absorbing aerosol reduced ground level ozone by 75 up to 24 ppb. Wang et al. (2019) found that aerosols reduced the net ozone production 76 77 rate by 25% by reducing the photolysis frequencies during a comprehensive filed field observation in Beijing in August 2012. Such aerosol-induced changes in photolysis 78 rates are expected to influence O₃ concentrations during multi-pollutant episodes with 79 80 high concentrations of air pollutants.

Few previous studies quantified the effects of ARF and API on O₃ concentrations. 81 Xing et al. (2017) applied a two-way online coupled WRF-CMAQ model and reported 82 that the combination of API and ARF reduced the surface daily maximum 1 h O₃ 83 (MDA1 O₃) by up to 39 μ g m⁻³ over China during January 2013. Qu et al. (2021) found, 84 by using the UK Earth System Model (UKESM1), that ARF reduced the annual average 85 surface O₃ by 3.84 ppb (14.9%) in the North China Plain during 2014. Gao et al. (2020) 86 analyzed the impacts of API on O₃ by using the WRF-Chem model and reported that 87 88 API reduced surface O₃ by 10.6 ppb (19.0%), 8.6 ppb (19.4%), and 8.2 ppb (17.7%) in Beijing, Tianjin, and Shijiazhuang, respectively, during October 2018. However, these 89 previous studies mostly examined either ARF or API and did not examine their total 90 and respective roles in O₃ pollution in China. Furthermore, these previous studies 91 92 lacked process understanding about the impacts of ARF and API on O₃ pollution under co-occurrence of PM_{2.5} and O₃ pollution events. 93

The present study aims to quantify the respective/combined impacts of ARF and 94 API on surface O₃ concentrations by using the WRF-Chem model, and to identify the 95 96 prominent physical and/or chemical processes responsible for ARF and API effects by 97 using an integrated process rate (IPR) analysis embedded in the WRF-Chem model. We carry on simulations and analyses on four multi-pollutant air pollution episodes 98 (Episode1: 28 July to 3 August 2014; Episode2: 8-13 July 2015; Episode3: 5-11 June 99 2016; Episode4, 28 June to 3 July 2017) in North China with high O₃ and PM_{2.5} levels 100 (the daily mean PM_{2.5} and the maximum daily 8-h average O₃ concentration are larger 101

than 75 μ g m⁻³ and 80 ppb, respectively). These episodes are selected because (1) these 102 events with high concentrations of both PM_{2.5} and O₃ are the major subjects of air 103 pollution control, (2) high concentrations of both PM_{2.5} and O₃ allow one to obtain the 104 strongest signals of ARF and API, (3) the measurements of J[NO₂] during 2014 and 105 2015 from Peking University site (Wang et al., 2019) can help to constrain the simulated 106 photolysis rates of NO₂, and (4) selected events cover different years of 2014 to 2017 107 during which the governmental Air Pollution Prevention and Control Action Plan was 108 109 implemented (the changes in emissions and observed PM_{2.5} in the studied region during 2014-2017 are shown in Fig. S1). We expect that the conclusions obtained from 110 multiple episodes represent the general understanding of the impacts of ARF and API. 111

The model configuration, numerical experiments, observational data, and the integrated process rate analysis are described in section 2. Section 3 shows the model evaluation. Results <u>and discussions</u> are <u>presentedexhibited</u> in section 4, and the conclusions and discussions are <u>summarizedprovided</u> in section 5.

116 **2 Methods**

117 **2.1 Model configuration**

The version 3.7.1 of the online-coupled Weather Research and Forecasting with 118 Chemistry (WRF-Chem) model (Grell et al., 2005; Skamarock et al., 2008) is used in 119 this study to explore the impacts of aerosol-radiation interactions on surface-layer O₃ 120 in North China. WRF-Chem can simulate gas phase species and aerosols coupled with 121 meteorological fields, and has been widely used to investigate air pollution over North 122 China (Gao et al., 2016a; Gao et al., 2020; Wu et al., 2020). As shown in Fig. 1, we 123 124 design two nested model domains with the number of grid points of 57 (west-east) \times 41 (south-north) and 37 (west-east) × 43 (south-north) at 27 and 9 km horizontal 125 resolutions, respectively. The parent domain centers at (39 °N, 117 °E). The model 126 contains 29 vertical levels from the surface to 50 hPa, with 14 levels below 2 km for 127 the fully description of the vertical structure of planetary boundary layer (PBL). 128

129 The Carbon Bond Mechanism Z (CBM-Z) is selected as the gas-phase chemical 130 mechanism (Zaveri and Peters, 1999), and the full 8-bin MOSAIC (Model for Simulating Aerosol Interactions and Chemistry) aerosol module with aqueous chemistry is used to simulate aerosol evolution (Zaveri et al., 2008). The photolysis rates are calculated by the Fast-J scheme (Wild et al., 2000). Other major physical parameterizations used in this study are listed in Table 1.

The initial and boundary meteorological conditions are provided by the National 135 Centers for Environmental Prediction (NCEP) Final Analysis data with a spatial 136 resolution of $1^{\circ} \times 1^{\circ}$. In order to limit the model bias of simulated meteorological fields, 137 the four-dimensional data assimilation (FDDA) is used with the nudging coefficient of 138 3.0×10^{-4} for wind, temperature and humidity (no analysis nudging is applied for the 139 inner domain) (Lo et al., 2008; Otte, 2008). Chemical initial and boundary conditions 140 are obtained from the Model for Ozone and Related chemical Tracers, version 4 141 (MOZART-4) forecasts (Emmons et al., 2010). 142

Anthropogenic emissions in these four episodes are taken from the Multi-143 resolution Emission Inventory for China (MEIC) (http://www.meicmodel.org/) (Li et 144 al., 2017a). These emission inventories provide emissions of sulfur dioxide (SO_2) , 145 146 nitrogen oxides (NO_x), carbon monoxide (CO), non-methane volatile organic compounds (NMVOCs), carbon dioxide (CO₂), ammonia (NH₃), black carbon (BC), 147 organic carbon (OC), PM₁₀ (particulate matter with aerodynamic diameter is 10 µm and 148 less) and PM_{2.5}. Emissions are aggregated from four sectors, including power 149 generation, industry, residential, and transportation, with $0.25^{\circ} \times 0.25^{\circ}$ spatial 150 resolution. Biogenic emissions are calculated online by the Model of Emissions of 151 152 Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2006).

153 **2.**

2.2 Numerical experiments

To quantify the impacts of API and ARF on O_3 , three experiments have been conducted: (1) BASE – the base simulation coupled with the interactions between aerosol and radiation, which includes both impacts of API and ARF; (2) NOAPI – the same as the BASE case, but the impact of API is turned off (aerosol optical properties are set to zero in the photolysis module), following Wu et al. (2020); (3) NOALL – both the impacts of API and ARF are turned off (removing the mass of aerosol species when 160 calculating aerosol optical properties in the optical module), following Qiu et al. (2017).
161 The differences between BASE and NOAPI (i.e., BASE minus NOAPI) represent the
162 impacts of API. The contributions from ARF can be obtained by comparing NOAPI and
163 NOALL (i.e., NOAPI minus NOALL). The combined effects of API and ARF on O₃
164 concentrations can be quantitatively evaluated by the differences between BASE and
165 NOALL (i.e., BASE minus NOALL).

All the experiments in Episode1, Episode2, Episode3 and Episode4 are conducted from 26 July to 3 August 2014, 6-13 July 2015, 3-11 June 2016, and 26 June to 3 July 2017, respectively, with the first 40 hours as the model spin-up in each case. Simulation results from the BASE cases of the four episodes are used to evaluate the model performance.

171 **2.3 Observational data**

Simulation results are compared with meteorological and chemical measurements. 172 The surface-layer meteorological data (2 m temperature (T_2) , 2 m relative humidity 173 174 (RH_2) , and 10 m wind speed (WS_{10})) with the temporal resolution of 3 h at ten stations S1) are obtained from NOAA's National Climatic Data Center (Table 175 (https://gis.ncdc.noaa.gov/maps/ncei/cdo/hourly). The radiosonde data of temperature 176 at 08:00 and 20:00 LST in Beijing (39.93 °N, 116.28 °E) are provided by the University 177 of Wyoming (http://weather.uwyo.edu/). Observed hourly concentrations of PM2.5 and 178 O₃ at thirty-two sites (Table S2) in North China are collected from the China National 179 Environmental Monitoring Center (CNEMC). The photolysis rate of nitrogen dioxide 180 (J[NO₂]) measured at the Peking University site (39.99 °N, 116.31 °E) is also used to 181 182 evaluate the model performance. More details about the measurement technique of J[NO₂] can be found in Wang et al. (2019). The aerosol optical depth (AOD) at Beijing 183 (39.98°N, 116.38°E) is provided by AERONET 184 site (level 2.0, http://aeronet.gsfc.nasa.gov/). The AOD at 675 nm and 440 nm are used to derive the 185 186 AOD at 550 nm to compare with the simulated ones.

187 **2.4 Integrated process rate analysis**

18

Integrated process rate (IPR) analysis has been widely used to quantify the 188 contributions of different processes to O₃ variations (Goncalves et al., 2009; Gao et al., 189 2016b; Tang et al., 2017; Gao et al., 2018). In this study, four physical/chemical 190 processes are considered, including vertical mixing (VMIX), net chemical production 191 (CHEM), horizontal advection (ADVH), and vertical advection (ADVZ). VMIX is 192 initiated by turbulent process and closely related to PBL development, which influences 193 O₃ vertical gradients. CHEM represents the net O₃ chemical production (chemical 194 195 production minus chemical consumption). ADVH and ADVZ represent transport by winds (Gao et al., 2016b). In this study, we define ADV as the sum of ADVH and ADVZ. 196

197

3 Model evaluation

198 Reasonable representation of observed meteorological and chemical variables by 199 the WRF-Chem model can provide foundation for evaluating the impacts of aerosols 200 on surface-layer ozone concentrations. The model results presented in this section are 201 taken from the BASE cases in the four episodes. The concentrations of air pollutants 202 are averaged over the thirty-two observation sites in Beijing, Tianjin and Baoding. To 203 ensure the data quality, the mean value for each time is calculated only when 204 concentrations are available at more than sixteen sites, as <u>did-done</u> in Li et al. (2019a).

205 **3.1 Chemical simulations**

Figure 2 shows the temporal variations of observed and simulated PM_{2.5} and O₃ 206 concentrations over North China for the four episodes. As shown in Fig. 2, the temporal 207 208 variations of observed PM_{2.5} can be well performed by the model with index of agreement (IOA) of 0.68, 0.68, 0.67 and 0.44 and normalized mean bias (NMB) of -209 210 19.2%, 4.1%, 30.4% and 13.9% during Episode1, Episode2, Episode3 and Episode4, respectively. The model also tracks well the diurnal variation of O₃ over the North 211 China, with IOA of 0.89, 0.94, 0.92 and 0.87 and NMB of -12.0%, -0.4%, 1.6% and -212 213 13.8% for Episode1, Episode2, Episode3 and Episode4, respectively.

Figure S2 shows the correlation between observed and simulated AOD at 550 nm in Beijing. In the WRF-Chem model, the AOD at 550 nm are calculated by using the

values at 400 and 600 nm according to the Angstrom exponent. Analyzing Fig. S2, the 216 model can reproduce the observed AOD with R of 0.7 and NMB of 7.9%. 217

218

3.2 Meteorological simulations

Figure 3 shows the time series of observed and simulated T₂, RH₂, WS₁₀ and J[NO₂] 219 during the four episodes. The observed T_2 , RH_2 , WS_{10} are averaged over the ten 220 meteorological observation stations, and the J[NO₂] are measured at Peking University. 221 222 Most of the monitored J[NO₂] in Episode3 and Episode4 are unavailable, so the comparison of J[NO₂] in Episode3 and Episode4 is not shown. Generally, the model 223 224 can depict the temporal variations of T₂ fairly well with IOA of 0.94~0.98 and the mean bias (MB) of -1.9~-0.6 °C. For RH₂, the IOA and MB are 0.90~0.98 and -6.5%~1.9%, 225 226 respectively. Although WRF-Chem model overestimates WS_{10} with the MB of 0.6~1.0 227 m s⁻¹, the IOA for WS₁₀ is $0.70 \sim 0.83$ and the root-mean-square error (RMSE) is $0.9 \sim 1.5$ m s⁻¹, which is smaller than the threshold of model performance criteria (2 m s^{-1}) 228 proposed by Emery et al. (2001). The positive bias in wind speed can also be reproduced 229 230 in other studies (Zhang et al., 2010; Gao et al., 2015; Liao et al., 2015; Qiu et al., 2017). 231 The predicted $J[NO_2]$ agrees well with the observations with IOA of 0.98~0.99 and 232 NMB of 6.8%~6.9%. We also conduct comparisons of observed and simulated temperature profiles at 08:00 and 20:00 LST in Beijing during the four episodes (Fig. 233 S3). The vertical profiles of observed temperature can be well captured by the model in 234 these four complex air pollution episodes. Generally, the WRF-Chem model can 235 reasonably reproduce the temporal variations of observed meteorological parameters. 236

4 Results 237

238 We examine the impacts of aerosol-radiation interactions on O₃ concentrations with a special focus on the complex air pollution areas (CAPAs, Fig. S4) in the four 239 episodes, where the daily mean simulated PM2.5 and MDA8 (maximum daily 8-h 240 average) O_3 concentrations are larger than 75 µg m⁻³ and 80 ppb, respectively, based 241 on the National Ambient Air Quality Standards (http://www.mee.gov.cn). 242

4.1 Impacts of aerosol-radiation interactions on meteorology 243

Figure 4 shows the impacts of aerosol-radiation interactions on shortwave 244 radiation at the surface (BOT_SW), shortwave radiation in the atmosphere (ATM_SW), 245 PBLH, and WS₁₀ during the daytime (08:00-17:00 LST) from Episode1 to Episode4. 246 Analyzing the results of the interactions between aerosol and radiation (the combined 247 impacts of API and ARF), BOT SW is decreased over the entire simulated domain in 248 the four episodes with the decreases of 93.2 W m⁻² (20.5%), 100.3 W m⁻² (19.5%), 92.4 249 W m⁻² (19.2%) and 102.9 W m⁻² (20.7%) over CAPAs, respectively. Contrary to the 250 changes in BOT SW, ATM SW is increased significantly in the four episodes with the 251 increases of 72.8 W m⁻² (25.3%), 85.2 W m⁻² (29.0%), 73.7 W m⁻² (26.4%) and 76.9 W 252 m⁻² (25.8%) over CAPAs, respectively. The decreased BOT SW perturbs the near-253 surface energy flux, which weakens convection and suppresses the development of PBL 254 255 (Li et al., 2017b). The mean PBLHs over CAPAs are decreased by 129.0 m (13.0%), 249.0 m (20.9%), 224.6 m (19.0%) and 227.0 m (20.9%), respectively. WS₁₀ exhibits 256 overall reductions over CAPAs and is calculated to decrease by 0.12 m s^{-1} (3.6%), 0.05 257 m s⁻¹ (1.6%), 0.12 m s⁻¹ (3.0%) and 0.15 m s⁻¹ (4.3%), for the four episodes, respectively. 258 259 We also examine the changed meteorological variables caused by API and ARF respectively. As shown in Fig. S5 and S6, API has little impact on meteorological 260 variables; which means the major contributor to the meteorology variability is ARF. 261

4.2 Impacts of aerosol-radiation interactions on photolysis

Figure 5 shows the spatial distributions of mean daytime surface-layer PM_{2.5} 263 concentrations simulated by BASE cases and the changes in J[NO₂] and J[O¹D] due to 264 aerosol-radiation interactions from Episode1 to Episode4. When the combined impacts 265 (API and ARF) are considered, J[NO₂] and J[O¹D] are decreased over the entire domain 266 in the four episodes, and the spatial patterns of changed J[NO₂] and J[O¹D] are similar 267 to that of simulated PM_{2.5}. Analyzing the four simulated episodes, the surface J[NO₂] 268 averaged over CAPAs are decreased by $1.8 \times 10^{-3} \text{ s}^{-1}$ (40.5%), $2.0 \times 10^{-3} \text{ s}^{-1}$ (36.8%), 269 $1.8 \times 10^{-3} \text{ s}^{-1}$ (36.0%), and $2.0 \times 10^{-3} \text{ s}^{-1}$ (38.0%), respectively. The decreased surface 270 J[O¹D] over CAPAs are $6.1 \times 10^{-6} \text{ s}^{-1}$ (48.8%), $6.3 \times 10^{-6} \text{ s}^{-1}$ (41.4%), $5.7 \times 10^{-6} \text{ s}^{-1}$ 271 (44.6%), and 6.4×10^{-6} s⁻¹ (46.9%), respectively. Figure S7 exhibits the impacts of API 272

and ARF on surface J[NO₂] and J[O¹D]. Conclusions can be summarized that J[NO₂]
and J[O¹D] are significantly modified by API and little affected by ARF.

4.3 Impacts of aerosol-radiation interactions on O3

Figure 6 shows the changes in surface-layer O₃ due to API, ARF, and the combined 276 277 effects (denoted as ALL) from Episode1 to Episode4. As shown in Fig. 6(a1-a4), API alone leads to overall surface O₃ decreases over the entire domain with average 278 279 reductions of 8.5 ppb (10.2%), 10.3 ppb (11.8%), 9.1 ppb (11.2%), and 11.4 ppb (12.2%) over CAPAs in the four episodes, respectively. The changes can be explained by the 280 substantially diminished UV radiation due to aerosol loading, which significantly 281 weakens the efficiency of photochemical reactions and restrains O₃ formation. However, 282 the decreased surface O₃ concentrations due to ARF are only 2.9 ppb (3.2%, Fig. 6(b1)), 283 1.0 ppb (1.1%, Fig. 6(b2)) and 0.9 ppb (1.0%, Fig. 6(b3)) for the Episode1 to Episode3 284 but ARF increased surface O₃ concentrations by 0.7 ppb (0.5%, Fig.6(b4)) during 285 286 Episode4, which was caused by the enhancement of chemical production (Fig. S10 and 287 Section 4.4). All the episodes show same conclusion that the reduction in O₃ by API is 288 larger than that by ARF. Fig. 6(c1-c4) presents the combined effects of API and ARF. 289 Generally, aerosol-radiation interactions decrease the surface O₃ concentrations by 11.4 ppb (13.7%), 11.3 ppb (13.0%), 10.0 ppb (12.3%) and 10.7 ppb (11.6%) averaged over 290 291 CAPAs in the four episodes, respectively.

292 4.4 Influencing mechanism of aerosol-radiation interactions on O₃

Figure 7a shows mean results of the four episodes (Episode1, Episode2, Episode3 293 and Episode4) in diurnal variations of simulated daytime surface-layer O3 294 295 concentrations from BASE, NOAPI and NOALL cases averaged over CAPAs. All the experiments (BASE, NOAPI and NOALL) present O3 increases from 08:00 LST. It is 296 shown that the simulated O₃ concentrations in BASE case increase more slowly than 297 that in NOAPI and NOALL cases. To explain the underlying mechanisms of API and 298 ARF impacts on O₃, we quantify the variations in contributions of different processes 299 (ADV, CHEM, and VMIX) to O₃ by using the IPR analysis. 300

301 Figure 7b shows hourly surface O₃ changes induced by each physical/chemical

process (i.e., ADV, CHEM, and VMIX) in BASE case averaged from Episode1 to 302 Episode4. The significant positive contribution to the hourly variation in O_3 is 303 contributed by VMIX, and the contribution reaches the maximum at about 09:00 LST. 304 Since VMIX increases the surface O₃ concentrations by transporting O₃ from aloft 305 (where O₃ concentrations are high) to the surface layer (Tang et al., 2017; Xing et al., 306 2017; Gao et al., 2018). The CHEM process makes negative contributions at around 307 09:00 and 16:00 LST, which means that the chemical consumption of O₃ is stronger 308 309 than the chemical production. At noon, the net chemical contribution turns to be positive due to stronger solar UV radiation. The contribution from all the processes 310 (NET, the sum of VMIX, CHEM, and ADV) to O₃ variation is peaked at the noon and 311 then becomes weakened. After sunset (17:00 LST), the NET contribution turns to be 312 negative over CAPAs, leading to O₃ decrease. 313

Figure 7c shows the changes in hourly process contributions caused by API 314 averaged from Episode1 to Episode4. The chemical production of O₃ is suppressed 315 significantly due to aerosol impacts on photolysis rates. The weakened O₃ chemical 316 317 production decreases the contribution from CHEM, and results in a negative value of CHEM DIF (-3.44 ppb h⁻¹). In contrast to CHEM DIF, the contribution from changed 318 VMIX (VMIX DIF) to O₃ concentration due to API is always positive, and the mean 319 value is +3.26 ppb h⁻¹. The positive change in VMIX due to API may be associated with 320 the different vertical gradient of O3 between BASE and NOAPI cases (Gao et al., 2020), 321 as shown in Fig. 8a. The impact of API on ADV process is relatively small (-0.26 ppb 322 h⁻¹). NET DIF, namely the sum of VMIX DIF, CHEM_DIF and ADV_DIF, indicates 323 the differences in hourly O₃ changes caused by API. As shown in Fig. 7c, NET DIF is 324 325 almost negative during the daytime over CAPAs with the mean value of -0.44 ppb h⁻¹. This is because the decreases in CHEM and ADV are larger than the increases in VMIX 326 caused by API; the O₃ decrease is mainly attributed to the significantly decreased 327 contribution from CHEM. The maximum difference in O₃ between BASE and NOAPI 328 appears at 11:00 LST with a value of -12.5 ppb (Fig. 7a). 329

330

Figure 7d shows the impacts of ARF on each physical/chemical process

contribution to the hourly O₃ variation averaged from Episode1 to Episode4. At 08:00 331 LST, the change in VMIX due to ARF is large with a value of -3.5 ppb h⁻¹, resulting in 332 a net negative variation with all processes considered. The decrease in O₃ reaches the 333 maximum with the value of 5.0 ppb at around 08:00 LST over CAPAs (Fig. 7a). During 334 09:00 to 16:00 LST, the positive VMIX DIF (mean value of +0.10 ppb h⁻¹) or the 335 positive CHEM DIF (mean value of +0.75 ppb h⁻¹) is the major process to positive 336 NET DIF. The positive VMIX DIF is related to the evolution in boundary layer during 337 338 the daytime. The VOCs/NO_x ratio is calculated to classify sensitivity regimes and to indicate the possible O₃ responses to changes in VOCs and/or NO_x concentrations. O₃ 339 production is VOC-limited if the ratio is less than 4, and is NO_x-limited if the ratio is 340 larger than 15 (Edson et al., 2017; Li et al., 2017c). The ratio of VOCs/NO_x ranging 341 around 4-15 indicates a transitional regime, where ozone is nearly equally sensitive to 342 both species (Sillman, 1999). As shown in Fig. S8, (a-f), O₃ is mainly formed under the 343 VOC-limited and the transition regimes in CAPAs. As shown in Figs. S8(g-i) and S8(j-344 1), both the surface concentrations of VOCs and NO_x are increased when the impacts of 345 346 ARF are considered. Thus, the contribution of CHEM in NOAPI is larger than that in NOALL. 347

When both impacts of API and ARF are considered, the variation pattern of the difference in hourly process contribution shown in Fig. 7e is similar to that in Fig. 7c, which indicates that API is the dominant factor to surface-layer O₃ reduction.

Figure 8 presents the vertical profiles of simulated daytime O₃ concentrations in three cases (BASE, NOAPI, and NOALL), and the differences in contributions from each physical/chemical process to hourly O₃ variations caused by API, ARF and the combined effects averaged over CAPAs from Episode1 to Episode4. As shown in Fig. 8a, the O₃ concentration is lower in BASE than that in other two scenarios (NOAPI and NOALL), especially at the lower 12 levels (below 801.8 m), owing to the impacts of aerosols (API and/or ARF).

The changes in each process contribution caused by API are presented in Fig. 8b. The contribution from CHEM_DIF is -2.1 ppb h^{-1} for the first seven layers (from 25.6

to 318.5 m). Conversely, the contribution from VMIX DIF shows a positive value 360 under the 318.5 m (between the first layer to the seventh layer) with the mean value of 361 +1.8 ppb h⁻¹. The positive variation in VMIX due to API may be associated with the 362 different vertical gradient of O₃ between BASE and NOAPI again. The contributions 363 of changed advections (ADVH DIF and ADVZ DIF) are relatively small, with mean 364 values of +0.03 and -0.18 ppb h⁻¹ below the first seven layers, which may result from 365 small impact of API on wind filed (Fig. S6(a4-d4)). The net difference is a negative 366 367 value (-0.45 ppb h^{-1}); API leads to O₃ reduction not only nearly surface but also aloft.

Figure 8c shows the differences in O₃ budget due to ARF. When the ARF is 368 considered, the vertical turbulence is weakened and the development of PBL is 369 inhibited, which makes VMIX DIF negative at the lower seven layers (below the 318.5 370 m) with a mean value of -0.69 ppb h⁻¹, but the variation in CHEM caused by ARF is 371 positive with a mean value of +0.86 ppb h⁻¹. The enhanced O₃ precursors due to ARF 372 can promote the chemical production of O₃ (Tie et al., 2009; Gao et al., 2018). The 373 changes of ADVZ and ADVH (ADVZ DIF and ADVH DIF) caused by ARF are 374 375 associated with the variations in wind filed. When ARF is considered, the horizontal wind speed is decreased (Fig. S9(a)), which makes ADVH DIF positive at the lower 376 twelve layers with a mean value of +0.30 ppb h⁻¹. However, ADVZ DIF is negative at 377 these layers with a mean value of -0.26 ppb h⁻¹ because aerosol radiative effects 378 decrease the transport of O₃ from the upper to lower layers (Fig. S9(b)). 379

In Fig. 8d, the pattern and magnitude of the differences in process contributions between BASE and NOALL are similar to those caused by API, indicating the dominate contributor of API on O₃ changes. The impacts of API on O₃ both near the surface and aloft are greater than those of ARF.

Figure S10 and S11 detailed show the influencing mechanism of aerosol-radiation interactions on O₃ in each episode. Similar variation characteristics can be found among the four episodes as the mean situation discussed above, with the larger impacts of API on O₃ both near the surface and aloft than those of ARF, indicating the role of API is much larger than that of ARF during all the simulated episodes. 389 4.5 Discussions

390 We presented above the results from our simulations of multi-pollutant air 391 pollution episodes. In order to make the conclusion be more general, we carried out simulations for three additional air pollution conditions, i.e., (1) PM_{2.5} pollution alone 392 (High PM, with daily mean PM_{2.5} concentration larger than 75 μ g m⁻³), (2) O₃ pollution 393 alone (High O₃, with the maximum daily 8-h average O₃ concentration larger than 80 394 ppb), and (3) neither PM_{2.5} nor O₃ exceeded air quality standard (Low POL, with daily 395 396 mean PM_{2.5} and the maximum daily 8-h average O₃ concentrations smaller than 75 µg m⁻³ and 80 ppb, respectively). For each condition of air pollution, we examined two 397 398 episodes.

399 Figures S12 and S13 show the temporal variations of observed and simulated PM_{2.5} and O₃ concentrations during 7-12 October 2014 (High PM Episode1), 7-11 400 April 2014 (High PM Episode2), 15-21 June 2017 (High O₃ Episode1), 12-17 July 401 2017 (High O₃ Episode2), 13-18 June 2016 (Low POL Episode1), and 13-17 July 402 403 2016 (Low POL Episode2). The temporal variations of observed PM_{2.5} can be well captured by the model with IOAs of 0.63, 0.82, 0.56, 0.42, 0.76 and 0.54, and NMBs 404 of 7.4%, 20.3%, -21.7%, -25.9%, 14.7% and -29.3% during High PM Episode1, 405 High PM Episode2, High O₃ Episode1, High O₃ Episode2, Low POL Episode1, 406 and Low POL Episode2, respectively. The model also simulates well the diurnal 407 variation of O₃ over the North China, with IOAs of 0.87, 0.80, 0.87, 0.90, 0.84 and 0.86, 408 and NMBs of -9.4%, -29.5%, -15.2%, -9.4%, 11.6% and 18.0% in these six episodes, 409 410 respectively.

Figure 9 shows changes in daytime surface-layer O₃ due to API, ARF, and the
combined effects (denoted as ALL) of High PM_Episode1, High PM_Episode2,
High_O₃_Episode1, High_O₃_Episode2, Low_POL_Episode1, and
Low_POL_Episode2. As summarized in Table 2, all the simulations confirm the same
conclusion that the reduction in O₃ by API is larger than that by ARF. Averaged over
the entire domain, the percentage reductions in O₃ by API and ARF are, respectively,
29.3% and 6.2% in High_PM_Episode1, 16.9% and 4.7% in High_PM_Episode2, 5.3%

and 0.1% in High_O₃ Episode1, 4.5% and 0.1% in High_O₃ Episode2, 6.8% and 1.0%
in Low_POL_Episode1, and 2.9% and 0.7% in Low_POL_Episode2. It's worth noting
that the percentage reductions in O₃ from both API and ARF in High_PM episodes are
1.6~3.2 times the impacts in the complex episodes, while the impacts in cases of
Low POL and High O₃ are 0.3~0.7 times the impacts of complex episodes."

423 **5 Conclusions and Discussions**

424 In this study, the fully coupled regional chemistry transport model WRF-Chem is applied to investigate the impacts of aerosol-radiation interactions, including the 425 impacts of aerosol-photolysis interaction (API) and the impacts of aerosol-radiation 426 feedback (ARF), on O₃ during summertime complex air pollution episodes during 28 427 July to 3 August 2014 (Episode1), 8-13 July 2015 (Episode2), 5-11 June 2016 428 (Episode3) and 28 June to 3 July 2017 (Episode4). Three sensitivity experiments are 429 designed to quantify the respective and combined impacts from API and ARF. Generally, 430 the spatiotemporal distributions of observed pollutant concentrations and 431 432 meteorological parameters can be captured fairly well by the model with index of agreement of 0.44~0.94 for pollutant concentrations and 0.70~0.99 for meteorological 433 parameters. 434

Sensitivity experiments show that aerosol-radiation interactions decrease 435 BOT SW, WS₁₀, PBLH, J[NO₂], and J[O¹D] by 92.4~102.9 W m⁻², 0.05~0.15 m s⁻¹, 436 $129.0 \sim 249.0 \text{ m}, 1.8 \times 10^{-3} \sim 2.0 \times 10^{-3} \text{ s}^{-1}$, and $5.7 \times 10^{-6} \sim 6.4 \times 10^{-6} \text{ s}^{-1}$ over CAPAs, and 437 increase ATM SW by 72.8~85.2 W m⁻², respectively. The changed meteorological 438 variables and weakened photochemistry reaction further reduce surface-layer O₃ 439 440 concentrations by up to 10.0~11.4 ppb, with relative changes of 74.6%~106.5% by API and of -6.5%~25.4% by ARF-contributing 74.6%~106.5% and -6.5%%~25.4%, 441 respectively. 442

We further examine the influencing mechanism of aerosol-radiation interactions on O_3 by using integrated process rate analysis. API can directly affect O_3 by reducing the photochemistry reactions within the lower several hundred meters and therefore amplify the O_3 vertical gradient, which promotes the vertical mixing of O_3 . The reduced 447 photochemistry reactions of O_3 weaken the chemical contribution and reduce surface 448 O_3 concentrations, even though the enhanced vertical mixing can partly counteract the 449 reduction. ARF affects O_3 concentrations indirectly through the changed 450 meteorological variables, e.g., the decreased PBLH. The suppressed PBL can weaken 451 the vertical mixing of O_3 by turbulence. Generally, the impacts of API on O_3 both near 452 the surface and aloft are greater than those of ARF, indicating the dominant role of API 453 on O_3 reduction related with aerosol-radiation interactions.

This study provides a detailed understanding of aerosol impacts on O₃ through 454 aerosol-radiation interactions (including both API and ARF), with the general 455 conclusion summarized as follows: when the impacts of aerosol-radiation interactions 456 are considered, the changed meteorological variables and weakened photochemistry 457 458 reaction can change surface-layer O₃ concentrations during the warm season, and the API is the dominant factor for O₃ reduction. The results can also imply that future PM_{2.5} 459 reductions may lead to O3 increases due to weakened aerosol-radiation interactions. A 460 recent study emphasized the need for controlling VOCs emissions to mitigate O₃ 461 462 pollution (Li et al., 2019b). Therefore, tighter controls of O₃ precursors (especially VOCs emissions) are needed to counteract future O3 increases caused by weakened 463 aerosol-radiation interactions. 464

There are some limitations in this work. (1) In the current CBMZ and MOSAIC 465 schemes, the formation of SOA (secondary organic aerosol) is not included (Gao et al., 466 2015; Chen et al., 2019). The absence of SOA can underestimate the impacts of API 467 468 and ARF on O₃. Meanwhile, the lack of SOA may lead to weaker heterogeneous reactions to result in higher O₃ concentrations (Li et al., 2019c). The net effect of the 469 470 two processes will be discussed and quantified in our future study. (2) The CNEMC network was built in 2013. Before 2013, the national observations of PM_{2.5} and O₃ 471 concentrations were not available, which make it difficult to select the time and area of 472 complex air pollution events and to evaluate the model results. Based on observation 473 474 data, we were mainly focused on impacts of ARF and API on surface O3 during complex air pollution episodes from 2014 to 2017. Additional simulations of High PM, High O₃, 475

476 and Low_POL support the conclusion obtained from the complex air pollution episodes
477 that the reduction in O₃ by API is larger than that by ARF.

478

479 There are some limitations in this work:

(1) In the current CBMZ and MOSAIC schemes, the formation of SOA (secondary organic aerosol) is not included (Gao et al., 2015; Chen et al., 2019). The absence of SOA can underestimate the impacts of API and ARF on O₃. Meanwhile, the lack of SOA may lead to weaker heterogeneous reactions to result in higher O₃
484 concentrations (Li et al., 2019c). The net effect of the two processes will be discussed and quantified in our future study.

(2) We presented above the results from our simulations of multi-pollutant air pollution 486 487 episodes. In order to show that the conclusion of this work can be applied to other conditions of air pollution, three additional situations are carried out, i.e., (1) PM_{2.5} 488 pollution alone (Episode_add1, the daily mean PM_{2.5} concentration is larger than 489 75 µg m⁻³), (2) neither PM_{2.5} nor O₃ exceed air quality standard (Episode add2, 490 491 the daily mean PM_{2.5} and maximum daily 8 h average O₃ concentration are smaller than 75 μ g m⁻³ and 80 ppb, respectively), and (3) O₃ pollution alone 492 (Episode add3, the maximum daily 8 h average O₃ concentration is larger than 80 493 ppb). Detailed information about these three additional episodes is listed in the 494 supporting information (Text S1 and Table S3). Analyzing Episode_add1, 495 Episode_add2 and Episode_add3 in Fig. S13, API alone is simulated to reduce 496 surface O₃ averaged over each episode and over the entire domain by 15.3 ppb 497 (29.3%), 4.4 ppb (6.8%) and 4.5 ppb (5.3%), respectively, and ARF alone reduces 498 surface O₃ by 3.9 ppb (6.2%), 0.6 ppb (1.0%), and 0.1 ppb (0.1%), respectively. 499 All the results confirm the same conclusion that the reduction in O₃ by API is 500 larger than that by ARF. 501

502

503 Data availability

The observed hourly surface concentrations of air pollutants are derived from the China 504 National Environmental Monitoring Center (http://www.cnemc.cn). The observed 505 surface meteorological data are obtained from NOAA's National Climatic Data Center 506 (https://gis.ncdc.noaa.gov/maps/ncei/cdo/hourly). The radiosonde data are provided by 507 the University of Wyoming (http://weather.uwyo.edu/). The photolysis rates of nitrogen 508 509 dioxide in Beijing are provided by Xin Li (li xin@pku.edu.cn). The aerosol optical depth in Beijing is obtained from the AERONET level 2.0 data collection 510 (http://aeronet.gsfc.nasa.gov/). The simulation results can be accessed by contacting 511 Lei Chen (chenlei@nuist.edu.cn) and Hong Liao (hongliao@nuist.edu.cn). 512

513

514 Author contributions

515 HY, LC, and HL conceived the study and designed the experiments. HY and LC 516 performed the simulations and carried out the data analysis. JZ, WW, and XL provided 517 useful comments on the paper. HY, LC, and HL prepared the paper with contributions 518 from all co-authors.

519

520 **Competing interests**

521 The authors declare that they have no competing interests.

522

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Options	Schemes			
Microphysics scheme	Lin (Purdue) scheme (Lin et al., 1983)			
Cumulus scheme	Grell 3D ensemble scheme			
Boundary layer scheme	Yonsei University PBL scheme (Hong et al., 2006)			
Surface layer scheme	Monin-Obukhov surface scheme (Foken, 2006)			
Land-surface scheme	Unified Noah land-surface model (Chen and Dudhia, 2001)			
Longwave radiation scheme	RRTMG (Iacono et al., 2008)			
Shortwave radiation scheme	RRTMG (Iacono et al., 2008)			

2 Table 2. Detailed information of the analyzed episodes, including the impacts of API, ARF and ALL on O₃ concentrations under different air

3 pollution conditions. The numbers in bold indicate the concentrations exceeded the Class II limit of the National Ambient Air Quality Standards

4 of China. The numbers in parentheses indicate the percentage changes in O₃ concentration.

<u>Type</u>	Episode	<u>Time</u>	<u>PM_{2.5} pollution</u> (μg m ⁻³)	O ₃ pollution (ppb)	<u>API</u> (ppb)	<u>ARF</u> (ppb)	<u>ALL</u> (ppb)
	Episode1	2014.7.28-2014.8.3	<u>113.3</u>	<u>80.0</u>	<u>-8.5 (-10.2%)</u>	<u>-2.9 (-3.2%)</u>	<u>-11.4 (-13.7%)</u>
<u>Complex air</u>	Episode2	2015.7.8-2015.7.13	<u>79.3</u>	<u>89.6</u>	<u>-10.3 (-11.8%)</u>	<u>-1.0 (-1.1%)</u>	<u>-11.3 (-13.0%)</u>
<u>pollution</u>	Episode3	2016.6.5-2016.6.11	<u>76.5</u>	<u>87.6</u>	<u>-9.1 (-11.2%)</u>	<u>-0.9 (-1.0%)</u>	<u>-10.0 (-12.3%)</u>
	Episode4	2017.6.28-2017.7.3	<u>75.4</u>	<u>113.8</u>	<u>-11.4 (-12.2%)</u>	<u>0.7 (0.5%)</u>	<u>-10.7 (-11.6%)</u>
High_PM	Episode1	2014.10.7-2014.10.12	<u>223.5</u>	46.9	-15.3 (-29.3%)	-3.9 (-6.2%)	<u>-19.2 (-37.6%)</u>
<u>111gn_1 1v1</u>	Episode2	2014.4.7-2014.4.11	<u>111.7</u>	<u>54.8</u>	-7.3 (-16.9%)	<u>-2.4 (-4.7%)</u>	<u>-9.7 (-22.6%)</u>
Uich O.	Episode1	2017.6.15-2017.6.21	<u>61.9</u>	<u>103.6</u>	<u>-4.5 (-5.3%)</u>	<u>-0.1 (-0.1%)</u>	<u>-4.6 (-5.5%)</u>
High_O ₃	Episode2	2017.7.12-2017.7.17	<u>45.6</u>	<u>100.4</u>	<u>-3.8 (-4.5%)</u>	<u>-0.1 (-0.1%)</u>	<u>-3.9 (-4.6%)</u>
	Episode1	2016.6.13-2016.6.18	<u>36.5</u>	<u>62.4</u>	<u>-4.4 (-6.8%)</u>	<u>-0.6 (-1.0%)</u>	<u>-5.0 (-7.9%)</u>
Low_POL	Episode2	2016.7.13-2016.7.17	<u>38.3</u>	<u>55.9</u>	<u>-1.9 (-2.9%)</u>	<u>-0.5 (-0.7%)</u>	<u>-2.4 (-3.7%)</u>

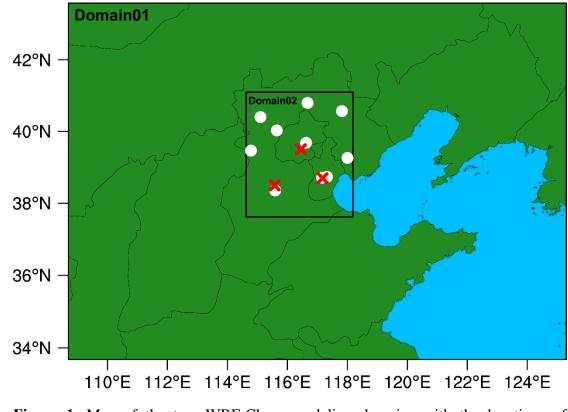


Figure 1. Map of the two WRF-Chem modeling domains with the locations of
meteorological (white dots) and environmental (red crosses) observation sites used for
model evaluation.

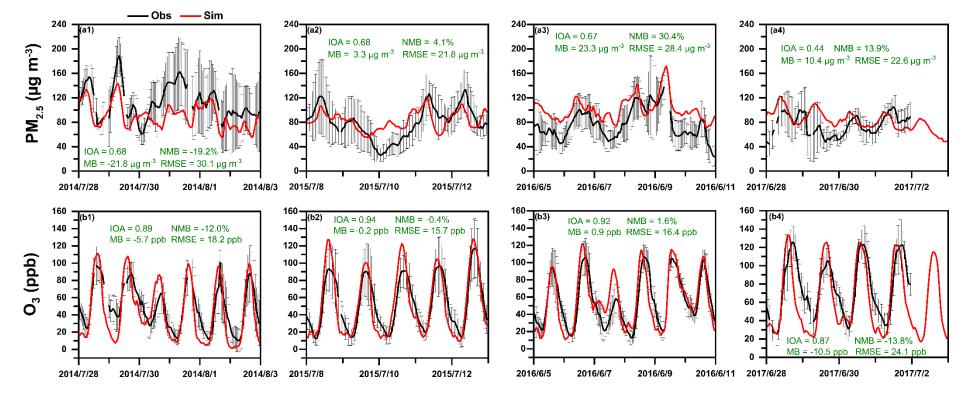


Figure 2. Time series of observed (black) and simulated (red) hourly surface (a) PM_{2.5} and (b) O₃ concentrations averaged over the thirty-two observation sites in Beijing, Tianjin, and Baoding during 28 July to 3 August 2014 (Episode1, a1-b1), 8-13 July 2015 (Episode2, a2-b2), 5-11 June (Episode3, a3-b3) and 28 June to 3 July 2017 (Episode4, a4-b4). The error bars represent the standard deviations. The calculated index of agreement (IOA), mean bias (MB), normalized mean bias (NMB) and root-mean-square error (RMSE) are also shown.

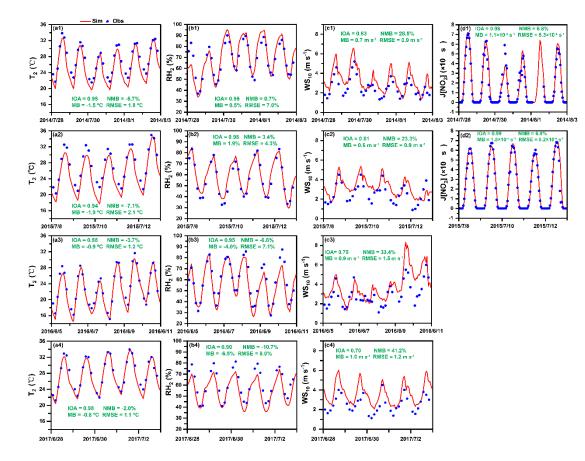
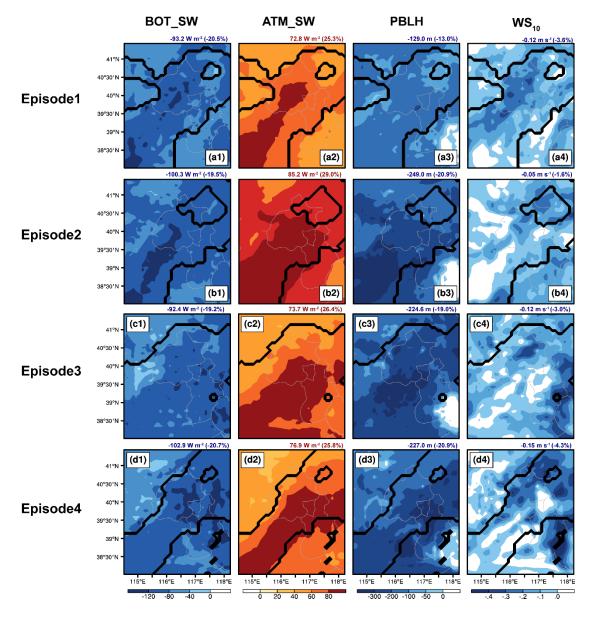
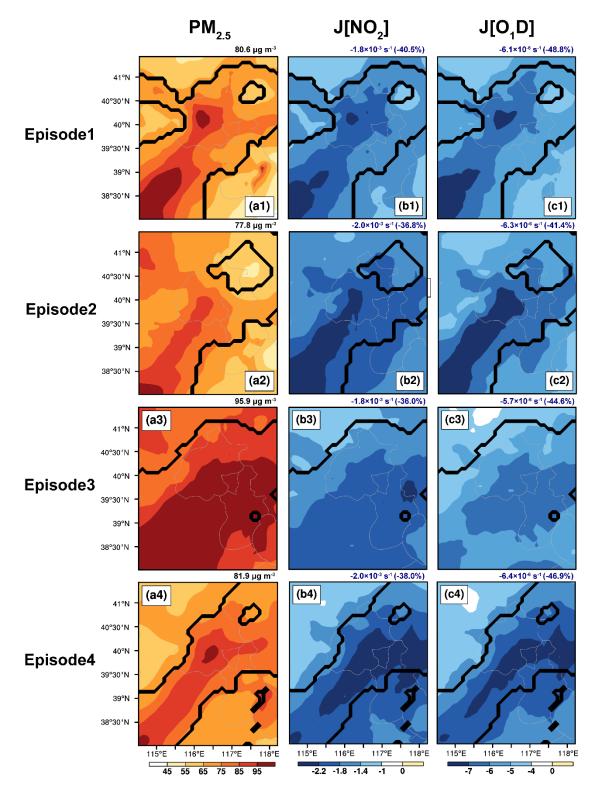


Figure 3. Time series of 3-hourly observed (blue dots) and hourly simulated (red lines) (a) 2-m temperature (T_2), (b) 2-m relative humidity (RH_2), (c) wind speed at 10 m (WS_{10}) averaged over ten meteorological observation stations, and (d) surface photolysis rate of NO₂ ($J[NO_2]$) during 28 July to 3 August 2014 (Episode1, a1-d1), 8-13 July 2015 (Episode2, a2-d2), 5-11 June 2016 (Episode3, a3-c3) and 28 June to 3 July 2017 (Episode4, a4-c4). The calculated index of agreement (IOA), mean bias (MB), normalized mean bias (NMB) and root-mean-square error (RMSE) are also shown.



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Figure 4. The impacts of aerosol-radiation interactions on shortwave radiation at the 2 surface (BOT SW), shortwave radiation in the atmosphere (ATM SW), PBL height 3 (PBLH), and 10-m wind speed (WS₁₀) in the daytime (08:00-17:00 LST) during 28 July 4 5 to 3 August 2014 (Episode1), 8-13 July 2015 (Episode2), 5-11 June 2016 (Episode3) and 28 June to 3 July 2017 (Episode4). The regions sandwiched between two black 6 7 lines are defined as the complex air pollution areas (CAPAs) where the mean daily PM_{2.5} and MDA8 O₃ concentrations in BASE case are larger than 75 µg m⁻³ and 80 ppb. 8 The calculated changes (percentage changes) averaged over CAPAs are also shown at 9 the top of each panel. 10



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Figure 5. Spatial distributions of (a) simulated surface-layer PM_{2.5} concentrations in BASE cases, and the changes in surface (b) J[NO₂] and (c) J[O¹D] due to aerosolradiation interactions in the daytime (08:00-17:00 LST) during 28 July to 3 August 2014 (Episode1), 8-13 July 2015 (Episode2), 5-11 June 2016 (Episode3) and 28 June to 3 July 2017 (Episode4). The calculated values (percentage changes) avaraged over CAPAs are also shown at the top of each panel.

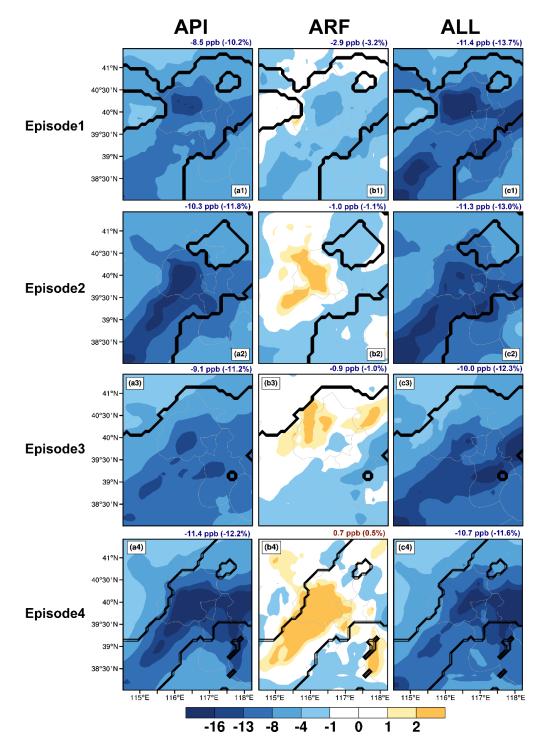
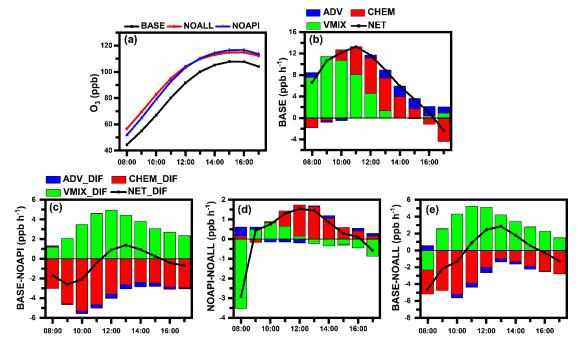


Figure 6. The changes in surface-layer ozone due to (a) aerosol-photolysis interaction (API), (b) aerosol-radiation feedback (ARF), and (c) the combined effects (ALL, defined as API+ARF) in the daytime (08:00-17:00 LST) during 28 July to 3 August 2014 (Episode1), 8-13 July 2015 (Episode2), 5-11 June 2016 (Episode3) and 28 June to 3 July 2017 (Episode4). The changes (percentage changes) in O₃ concentrations caused by API, ARF and ALL avaraged over CAPAs are also shown at the top of each panel.



2 Figure 7. Temporal evolution characteristics of aerosol-radiation interactions on O₃ averaged over the four episodes. (a) Diurnal variations of simulated surface O3 3 concentrations in BASE (black dotted line), NOAPI (blue dotted line), and NOALL 4 (red dotted line) cases over CAPAs. (b) The hourly surface O₃ changes induced by each 5 physical/chemical process using the IPR analysis method in BASE case. (c-e) Changes 6 in hourly surface O3 process contributions caused by API (BASE minus NOAPI), ARF 7 8 (NOAPI minus NOALL), and ALL (BASE minus NOALL) over CAPAs during the daytime (08:00-17:00 LST). The black lines with squares denote the net contribution 9 of all processes (NET, defined as VMIX+CHEM+ADV). Differences of each process 10 contribution are denoted as VMIX DIF, CHEM DIF, ADV DIF, and NET DIF. 11 12

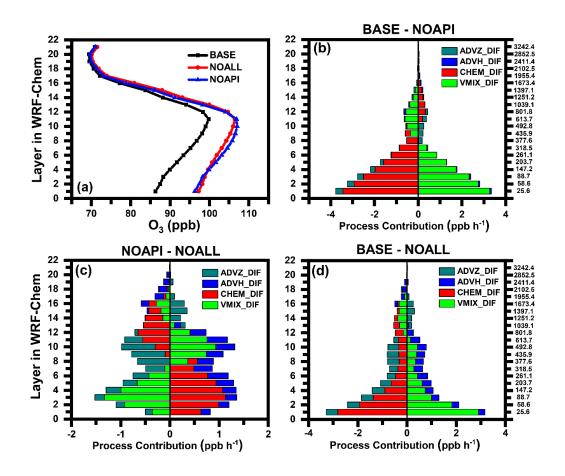


Figure 8. The impacts of aerosol-radiation interactions on vertical O₃ averaged over
the four episodes. (a) Vertical profiles of simulated O₃ concentrations in BASE (black
dotted line), NOAPI (blue dotted line), and NOALL (red dotted line) cases over CAPAs.
(b-d) Changes in O₃ budget due to API, ARF, and ALL over CAPAs during the daytime
(08:00-17:00 LST). Differences of each process contribution are denoted by
ADVZ_DIF, ADVH_DIF, CHEM_DIF, and VMIX_DIF.

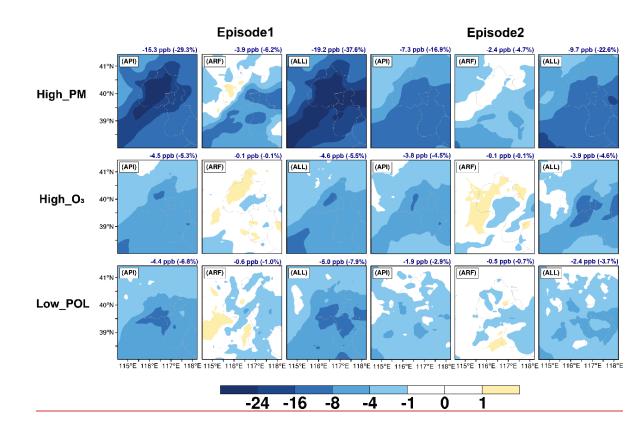


Figure 9. The changes in surface-layer O₃ due to aerosol-photolysis interaction (API), aerosol-radiation feedback (ARF), and the combined effects (ALL, API+ARF) in the daytime (08:00-17:00 LST) of 7-12 October 2014 (High_PM_Episode1), 7-11 April 2014 (High_PM_Episode2), 15-21 June 2017 (High_O₃_Episode1), 12-17 July 2017 (High_O₃_Episode2), 13-18 June 2016 (Low_POL_Episode1), and 13-17 July 2016 (Low_POL_Episode2). The changes (percentage changes) in O₃ concentrations caused by API, ARF and ALL avaraged over the entire simulated domain are also shown at the top of each panel.