

1 Clustering diurnal cycles of day-to-day temperature change to 2 understand their impacts on air quality forecasting in mountain- 3 basin areas

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20 **Abstract.** Air pollution is substantially modulated by meteorological conditions, and especially their diurnal variations may
21 play a key role in air quality evolution. However, the behaviors of temperature diurnal cycles along with the associated
22 atmospheric condition and their effects on air quality in China remain poorly understood. Here, for the first time, we
23 examine the diurnal cycles of day-to-day temperature change and reveal their impacts on winter air quality forecasting in
24 mountain-basin areas. Three different diurnal cycles of the preceding day-to-day temperature change are identified and
25 exhibit notably distinct effects on the day-to-day changes in atmospheric dispersion conditions and air quality. The diurnal
26 cycle with increasing temperature obviously enhances the atmospheric stability in the lower troposphere and suppresses the
27 development of the planetary boundary layer, thus deteriorating the air quality on the following day. By contrast, the diurnal
28 cycle with decreasing temperature in the morning is accompanied by a worse dispersion condition with more stable
29 atmosphere stratification and weaker surface wind speed, thereby substantially worsening the air quality. Conversely, the
30 diurnal cycle with decreasing temperature in the afternoon seems to improve air quality on the following day by enhancing
31 the atmospheric dispersion conditions on the following day. The findings reported here are critical to improve the
32 understanding of air pollution in mountain-basin areas and exhibit promising potential for air quality forecasting.

33

34 1. Introduction

35 Air pollution is not only affected by anthropogenic emissions (Streets et al., 2001; Zhang et al., 2009; Kelly and Zhu, 2016),
36 but also controlled by atmospheric dispersion conditions (Wei et al., 2011; Li et al., 2015; Ye et al., 2016; Zhang et al.,
37 2020). Stagnant meteorological conditions significantly contribute to the formation and maintenance of heavy air pollution
38 as they play important roles in regulating the increment of air pollutants concentrations (Deng et al., 2014; Bei et al., 2016;
39 Zhang et al., 2016; Wang et al., 2018). It is noted that atmospheric dispersion capacity is substantially modulated by synoptic
40 patterns and hence the evolutions of large-scale synoptic systems can lead to the improvement or deterioration of air quality
41 (Yarnal, 1993; Miao et al., 2017; Ning et al., 2019; Dong et al., 2020; Ning et al., 2020). In China, high anthropogenic
42 emissions from coal-fired heating (Xiao et al., 2015), frequent temperature inversion (Xu et al., 2019; Feng et al., 2020; Guo
43 et al., 2020), and shallow planetary boundary layer (PBL) structure (Li et al., 2017; Miao et al., 2018; Su et al., 2020) result
44 in frequent occurrence of heavy air pollution events in winter. These factors highlight the significance of further revealing
45 the physical mechanism of atmospheric dispersion evolutions.

46
47 The behaviors of diurnal cycles of atmospheric dispersion conditions and their effects on air quality remain poorly
48 understood ~~despite-although~~ air pollution significantly modulated by atmospheric dispersion conditions has been well
49 demonstrated. For instance, as a typical synoptic process occurring in winter in China, the cooling process could cause rapid
50 changes in meteorological and environmental conditions. Cooling processes induce significant day-to-day temperature
51 variations and thus result in substantial changes in air quality (Hu et al., 2018; Ning et al., 2018b; Kang et al., 2019). Many
52 previous studies revealed that cooling processes could remove air pollutants by invading lots of cold fresh airflows
53 (Kalkstein and Corrigan, 1986; Gimson, 1994; Hu et al., 2018; Ning et al., 2018b) or exacerbate air pollution by transporting
54 air pollutants (Fu et al., 2008; Ding et al., 2013; Luo et al., 2018; Kang et al., 2019). Nevertheless, most of these studies did
55 not consider the influences of diurnal cycles of cooling processes on air quality. Are the influences of cooling processes
56 occurring during daytime and nighttime on air quality similar or different? There are two key questions. The first one is The
57 ~~key questions include~~ what are the behaviors of the diurnal cycles of atmospheric dispersion conditions and the second one is
58 how these behaviors affect air quality, especially how the diurnal cycles of day-to-day temperature change affect air
59 pollution. Exploring the answers to these questions is critical for fully understanding ~~of~~ winter air pollution and is also
60 urgently needed for improving air quality forecasting in China.

61
62 Sichuan Basin (SCB) is one of the heaviest air pollution areas in China (Zhang et al., 2012; Ning et al., 2018a). With a high
63 population density in SCB, its heavy air pollution thus poses serious health hazards to ~~local~~ residents (Liao et al., 2017; Qiu
64 et al., 2018; Zhu et al., 2018; Zhao et al., 2018). It is noted that SCB has a unique topography, with Qinling-Daba and Wu
65 mountains in the north and east and with Qinghai-Tibet Plateau and Yunnan-Guizhou Plateau in the west and south of the
66 basin (**Fig. 1**). The combination of these complex topography results in unique weather and climate, like the southwest

67 vortex and the Huaxi Autumn rain season etc. The southwest vortex, southern branch, and Qinghai-Tibet high pressure are
68 often formed over SCB or Tibetan plateau and the complex synoptic systems significantly affect atmospheric dispersion
69 conditions (Wang et al., 1993; Wei et al., 2014; Feng et al., 2016; Yu et al., 2016; Ning et al., 2019; Ning et al., 2020).
70 Therefore, both the physical mechanism of atmospheric conditions' effects on air pollution and the air quality forecasting in
71 SCB are more complicated than these in the eastern plain regions of China (Chen and Xie, 2012; Wang et al., 2014; Ning et
72 al., 2019; Zhang et al., 2019). To better understand the formation mechanism of air pollution and improve air quality
73 forecasting in mountain-basin areas, the effects of diurnal variations of atmospheric dispersion conditions on winter air
74 quality in SCB call for urgent examinations.

75

76 The scientific goals of this study are to first cluster the typical diurnal cycles of day-to-day temperature change in SCB
77 during wintertime and then to examine the mechanisms underlying the effects of the identified typical diurnal cycles on the
78 following day-to-day air quality changes. ~~Our study is expected~~We expect our study to better understand the physical
79 mechanism of air quality evolutions and improve air pollution forecasting in mountain-basin areas. The rest of this paper is
80 organized as below. Data and methodology are introduced in section 2. Section 3 describes the results of our study.
81 Discussion related to our findings is given in section 4. Our conclusions are summarized in section 5.

82 **2. Data and methodology**

83 **2.1 Air quality data**

84 Hourly concentrations of surface PM_{2.5} (particulate matter with an aerodynamic diameter equal to or less than 2.5 μm), PM₁₀
85 (particulate matter with an aerodynamic diameter equal to or less than 10 μm), SO₂ (sulfur dioxide), NO₂ (nitrogen dioxide),
86 and CO (carbon monoxide) in the winters (December–February) from December, 2014 to February, 2020 in 18 cities of SCB
87 (**Fig. 1**) are obtained from the Ministry of Ecology and Environment of the People's Republic of China
88 (<http://www.mee.gov.cn/xxgk2018/>). We calculate the city-wide average concentrations of the five air pollutants by
89 arithmetically averaging their concentration at the national air quality monitoring sites located in the urban areas of that city,
90 based on the technical regulation for ambient air quality assessment (on trial) (MEP, 2013; Ning et al., 2020). Among the 18
91 cities in SCB, ten (Leshan, Meishan, Ziyang, Guangyuan, Bazhong, Ya'an, Dazhou, Suining, Guangan, and Neijiang) began
92 monitoring air quality ~~since on~~ January 1, 2015. Hence, the starting date of air quality data for these 10 cities is December 1,
93 2015. The starting date of air quality data for the rest 8 cities (Chengdu, Deyang, Mianyang, Zigong, Yibin, Luzhou,
94 Nanchong and Chongqing) is December 1, 2014.

95 **2.2 Meteorological observational data**

96 Hourly winter surface temperature data observed at 105 meteorological stations in SCB (**Fig. 1**) from December 2006 to
97 February 2020 are also collected. Their regional averages are used to determine the diurnal cycles of day-to-day temperature

98 change. Additionally, daily mean surface wind speed in the 18 cities of SCB is also collected. To explore the thermodynamic
99 structure of the lower troposphere, daily potential temperature profiles at 20:00 Beijing time (BJT, UTC+8 h) from four
100 sounding stations in SCB are also obtained. Four sounding stations, including Chengdu, Yibin, Dazhou, and Chongqing, are
101 located in the northwest, southwest, northeast and southeast of the basin, respectively (See the orange dots in **Fig.1**). All
102 these surface meteorological observations are obtained from the China Meteorological Administration (CMA)
103 (<http://data.cma.cn/data/>).

104 **2.3 ERA-5 reanalysis data**

105 To obtain winter lower troposphere stability and reveal the possible mechanism of the formation of diurnal cycles of day-to-
106 day temperature change, 700 hPa temperature ~~and~~, air pressure and air temperature at 2 m above the ground, total cloud
107 cover, u -component wind and vertical velocity (w) on multiple-pressure levels from December 2014 to February 2020 are
108 collected from daily ERA-5 reanalysis data (0.25°×0.25° grids) (<https://cds.climate.copernicus.eu/cdsapp#!/dataset>). We
109 collect the reanalysis data at four times each day (UTC 00:00, 06:00, 12:00 and 18:00) to calculate their daily mean values.
110 The PBL height (PBLH) data at UTC 06:00 (14:00 BJT) are also obtained. PBLH is defined as the lowest model level where
111 the bulk Richardson number first reaches the threshold value of 0.25 (Beljaars, 2006).

112 **2.4 Quantitative measurements of meteorological and air quality variables**

113 **2.4.1 Lower troposphere stability**

114 The lower troposphere stability (LTS) is defined as the differences in potential temperature between 700 hPa and the surface
115 (Slingo, 1987). LTS can describe the thermal state of the lower troposphere and thus can ~~be used to~~ evaluate the vertical
116 mixing of air pollutants in the lower troposphere (Guo et al., 2016a; Guo et al., 2016b). A larger LTS indicates a stronger
117 stability in the lower troposphere and a weaker vertical mixing of air pollutants.

118 **2.4.2 Day-to-day changes in meteorological conditions and air quality**

119 The day-to-day temperature change for each hour of a given day is defined by the hourly temperature differences between
120 two neighboring days (Karl et al., 1995):

$$121 \Delta T = T_i - T_{i-1} \quad (1)$$

122 where ΔT refers to day-to-day temperature change, T_i and T_{i-1} are the hourly temperatures at the specific time of the day and
123 the previous day, respectively. To reveal the possible mechanism of the formation of diurnal cycles of day-to-day
124 temperature change, we calculate the day-to-day changes in total cloud cover at 06:00 BJT and 14:00 BJT, and also calculate
125 the vertical west-east cross-sections of the day-to-day changes in wind vectors (synthesized by u and w) at 14:00 BJT.

126

127 To investigate the effects of diurnal cycles of day-to-day temperature change on air quality, we also calculate the day-to-day
128 changes in air pollutants concentrations and atmospheric dispersion conditions following the temperature change within one
129 day. The following day-to-day changes in air pollutants concentrations (or atmospheric dispersion conditions) are defined by
130 the differences in air pollutants concentrations (or meteorological conditions) between the next day and the current day:

$$131 \Delta PC = PC_{i+1} - PC_i \quad (2)$$

132 where PC represents PBLH, LTS, vertical potential temperature profiles (PT), surface wind speed (WS), or the
133 concentrations of $PM_{2.5}$, PM_{10} , SO_2 , NO_2 , and CO. ΔPC represents the following day-to-day changes in PBLH, LTS, PT,
134 WS, and five air pollutants concentrations. PC_{i+1} is the daily mean LTS, WS, and air pollutants concentrations, or the PBLH
135 at 14:00 BJT and PT at 20:00 BJT on the next day. PC_i is the daily mean LTS, WS, and air pollutants concentrations, or the
136 PBLH at 14:00 BJT and PT at 20:00 BJT on the current day.

137 2.5 K-means clustering

138 Clustering methods divide the objects into specific groups, with the goal that all data objects assigned to the same cluster
139 have common characteristics while different clusters have distinct characteristics (Darby, 2005). The clustering methods
140 have been widely used in climate and environmental researches (Bardossy et al., 1995; Cavazos, 2000; Luo and Lau, 2017;
141 Bernier et al., 2019). In this study, the regional average values of day-to-day temperature change in SCB and the K-means
142 clustering method (MacQueen, 1967) are selected to classify the diurnal cycles of day-to-day temperature change, because of
143 the simplicity and convergence characteristics of K-means clustering method. The details of K-means clustering method can
144 refer to MacQueen (1967) and (Mokdad and Haddad, 2017) and is also provided in the supplementary document.
145 Additionally, the Calinski-Harabasz criterion, also known as the variance ratio criterion, is utilized to determine the optimal
146 number of clusters (Caliński and Harabasz, 1974). The ultimate goal of Calinski-Harabasz criterion is to maximize the
147 variance measure ratio of homogeneity within a cluster and heterogeneity between clusters (Chikumbo and Granville, 2019).

148 3. Results

149 3.1 Diurnal cycles of day-to-day temperature change

150 The selection of optimal number of clusters is illustrated in **Fig. 2**, which shows Calinski-Harabasz values associated with
151 the numbers of clusters ranging from two to ten. The Calinski-Harabasz value with three clusters reaches the highest value,
152 indicating that the optimal number of clustering is three. Three dominant diurnal cycles of day-to-day temperature change
153 are therefore identified in SCB. The three typical diurnal cycles of day-to-day temperature change are depicted in **Fig. 3**. The
154 days for *Cluster 1*, *Cluster 2*, and *Cluster 3* are 455 (accounting for 36.9 % of total days), 413 (33.5%), and 365 days
155 (29.6%), respectively, indicating that the differences in the occurrence frequency among the three diurnal cycles are not

156 noticeable. However, the diurnal cycles of day-to-day temperature change among the three clusters exhibit obvious
157 differences.

158

159 In particular, *Cluster 1* (diurnal cycle with increasing temperature), all the temperature changes are positive for 24 hours
160 throughout all day, indicating that temperature increases during the past 24-hour and exhibits a maximum change
161 approaching 1.5 °C between 16:00 BJT and 17:00 BJT. *Cluster 2* (diurnal cycle with decreasing temperature in the
162 afternoon), the temperature changes show negative values after 12:00 BJT and drop to trough between 16:00 BJT and 17:00
163 BJT with the minimum value of -1.5 °C, indicating that the cooling process is obvious in the afternoon. After 17:00 BJT, the
164 absolute values of temperature change begin to decrease. The most prominent feature of *Cluster 2* is that the obvious
165 decrease in temperature appears in the afternoon. *Cluster 3* (diurnal cycle with decreasing temperature in the morning), all
166 temperature changes are negative for 24 hours throughout all day, and the obviously cooling process appears from 00:00 BJT
167 to 09:00 BJT. The temperature changes show the minimum value approaching -1.5 °C between 07:00 BJT and 09:00 BJT.
168 After 09:00 BJT, the absolute values of temperature change gradually reduce and are nearly close to zero in the afternoon.
169 The most prominent feature of *Cluster 3* is that the obvious decrease in temperature appears in the morning.

170

171 To reveal the underlying mechanism of the formation of the above three diurnal cycles of day-to-day temperature change, we
172 also investigate the nighttime and daytime day-to-day changes in total cloud cover that could play a key role in temperature
173 changes by modulating atmospheric radiations. Fig 4 shows the nighttime and daytime day-to-day changes in total cloud
174 cover associated with the three diurnal cycles. Corresponding to the diurnal cycle with increasing temperature (*Cluster 1*),
175 the total cloud exhibits slightly increase in the eastern of SCB, while decrease in the western of SCB (Fig 4a). The dipole
176 spatial distribution could result in a weak changes in the regional average temperature across SCB during nighttime (Fig 3).
177 During daytime, negative changes in total cloud cover are observed in the entire basin (Fig 4d) that are beneficial to the
178 obviously increasing in temperature in the afternoon (Fig 3). On the contrary, both the nighttime and daytime changes in
179 total cloud cover are positive in the entire basin for *Cluster 2* (Fig 4b and e), which could induce the increasing temperature
180 during nighttime and decreasing temperature during afternoon (Fig 3). Corresponding to the diurnal cycle with decreasing
181 temperature in the morning (*Cluster 3*), obviously decreasing in the total cloud cover are observed in the entire basin during
182 nighttime (Fig 4c) that are beneficial to the temperature decreasing.

183

184 Moreover, SCB is located in the eastern Tibetan Plateau and the complex topography could play the key role in modulating
185 the temperature changes over SCB (Ning et al., 2018b; Ning et al., 2019). Therefore, the vertical west–east cross-sections of
186 the day-to-day changes in wind vectors (synthesized by u and w) at 14:00 BJT are also investigated to uncover the physical
187 and dynamics reasons of the formation of the above diurnal cycles of day-to-day temperature change. As shown in Fig 5b, a
188 significantly ascending motion is observed over SCB that could induce the obviously decreasing temperature in the
189 afternoon for *Cluster 2* (Fig 3). On the contrary, the descending motion prevails over SCB for *Cluster 1* and *Cluster 3*, which

190 is beneficial to the temperature increasing in the afternoon and thus plays a key role in the day-to-day temperature change for
191 these two diurnal cycles.

192 **3.2 Air quality in relation to the identified diurnal cycles**

193 Heavy air pollution during winter in SCB is mainly caused by high concentrations of particulate matter (PM_{2.5} and PM₁₀)
194 (Ning et al., 2018a). Therefore, the day-to-day changes in PM_{2.5} and PM₁₀ concentrations following the three identified
195 diurnal cycles within one day and the percentage values of the changes to the PM_{2.5} and PM₁₀ concentrations in current day
196 are investigated and are shown in Fig. 6 and Fig. S1. Fig. 4-6 depicts the spatial distributions of the following day-to-day
197 changes in PM_{2.5} and PM₁₀ concentrations associated with the three typical diurnal cycles. Under the diurnal cycle with
198 increasing temperature (*Cluster 1*), nearly all parts of SCB experience increases in PM_{2.5} and PM₁₀ concentrations on the
199 following day (**Fig. 4a-6a** and **d**) and the increases are up to about 10% of the PM_{2.5} and PM₁₀ concentrations on the current
200 day (Fig. S1a and d). The regional average changes in PM_{2.5} and PM₁₀ concentrations are up to +3.95 µg/m³ and +5.89
201 µg/m³, respectively.

202
203 On the contrary, negative changes in PM_{2.5} and PM₁₀ concentrations are observed in the entire basin for the diurnal cycle
204 with decreasing temperature in the afternoon (*Cluster 2*) (**Fig. 4b-6b** and **e**) and account about 8% of the current day
205 concentrations (Fig. S1b and e), indicating the improvement of air quality on the following day. The regional average
206 changes in PM_{2.5} and PM₁₀ concentrations are up to -8.93 µg/m³ and -11.50 µg/m³, respectively. Under the diurnal cycle with
207 decreasing temperature in the morning (*Cluster 3*), all parts of SCB experience increases in PM_{2.5} and PM₁₀ concentrations
208 (**Fig. 4e-6c** and **f**) and these increases account 15% of current day concentrations (Fig. S1c and f), indicating the
209 deterioration of air quality on the following day. It is noted that opposite changes in PM_{2.5} and PM₁₀ concentrations are
210 observed between *Cluster 3* and *Cluster 2* even though both of the two diurnal cycles show decreasing temperature.
211 Compared with the diurnal cycle with increasing temperature (*Cluster 1*), the increases in PM_{2.5} and PM₁₀ concentrations are
212 larger for *Cluster 3*, and the regional average changes in PM_{2.5} and PM₁₀ concentrations are up to +5.36 µg/m³ and +5.91
213 µg/m³, respectively.

214
215 The contributions of gaseous pollutants in SCB to winter air pollution are also very important as SCB has a large number of
216 motor vehicles and industries (Ning et al., 2018a). Therefore, the following day-to-day changes in three major gaseous (SO₂,
217 NO₂, and CO) concentrations associated with the three diurnal cycles are also investigated. Similar to particulate matter, the
218 relationships between the following day-to-day changes in gaseous pollutants concentrations and the three diurnal cycles are
219 consistent with the results showed in Fig. 4 about PM_{2.5} and PM₁₀. As shown in **Fig. 6 g-o** and **Fig. S1 g-o**, nearly all parts of
220 SCB experience increases in SO₂, NO₂, and CO concentrations on the following day for *Cluster 1* (diurnal cycle with
221 increasing temperature) and *Cluster 3* (diurnal cycle with decreasing temperature in the morning). On the contrary, negative

222 changes in SO₂, NO₂, and CO concentrations are observed in the entire basin for *Cluster 2* (diurnal cycle with decreasing
223 temperature in the afternoon).

224

225 **Figs. 4-6** and **5-S1** collectively indicate that the air quality in SCB corresponding to *Cluster 1* and *Cluster 3* will deteriorate
226 on the following day, while the air quality corresponding to *Cluster 2* will improve. These results suggest that the
227 modulations of diurnal cycles of day-to-day temperature change on the following day-to-day changes in winter air quality
228 are obvious and important. Thus, the diurnal cycles of day-to-day temperature change exhibit promising potential for winter
229 air quality forecasting on the following day in SCB.

230 **3.3 Mechanism of the identified diurnal cycles effects on air quality**

231 To reveal the potential influence mechanism of the diurnal cycles of day-to-day temperature change on the following day-to-
232 day changes in air quality, the atmospheric dispersion conditions corresponding to the three identified diurnal cycles are
233 investigated. Firstly, the following day-to-day changes in PT vertical profiles at four sounding stations in SCB (**Fig. 67**) are
234 examined to explore the thermodynamic structure in the lower troposphere. Then, the following day-to-day changes of the
235 three meteorological parameters related to atmospheric dispersion conditions, including LTS (**Fig. 7a8a-c**), PBLH (**Fig.**
236 **7d8d-f**), and WS (**Fig. 7g8g-i**) are also investigated to evaluate the evolutions of atmospheric dispersion capacity.

237

238 Under the diurnal cycle with increasing temperature (*Cluster 1*), three sounding stations (Yibin, Dazhou, and Chongqing)
239 experience increases in PT between 950 hPa to 800 hPa on the following day (**Fig. 6d7d, g, and j**). In Chengdu, decreased
240 PT is observed below 900 hPa, while increased PT appears between 900 hPa to 750 hPa (**Fig. 6a 7a**). All the PT profiles over
241 the four sounding stations show higher temperature change in the ~~higher level between middle~~-level (800-850 hPa) than the
242 lower level (900-950 hPa), which could enhance the atmospheric stability in the lower troposphere. As shown in **Fig. 7a8a**,
243 increased LTS are observed in most of the cities in SCB, indicating the atmospheric stratification in the lower troposphere
244 becomes more stable. The stable atmospheric stratification inhibits the vertical mixing of the atmosphere and suppresses the
245 development of PBL (Karppinen et al., 2001; Bei et al., 2016). As shown in **Fig. 7d8d**, obviously decreased PBLH are
246 observed in all 18 cities of SCB.

247

248 Additionally, we also analyzed the following day-to-day changes in surface wind speed as the wind speed can represent the
249 horizontal dispersion capacity of air pollutants (Lu et al., 2012; Deng et al., 2014). No noticeable decreases in wind speed
250 appear in SCB (**Fig. 7g8g**). These results suggest that the diurnal cycle with increasing temperature (*Cluster 1*) enhances
251 atmospheric stability in the lower troposphere, which can weaken the vertical exchange of airflow and then suppress the
252 development of PBL, resulting in a small dispersion space of air pollutants and poor air quality in SCB on the following day.
253 Compared with *Cluster 1*, opposite vertical structure of PT changes (**Fig. 6b7b, e, h, and k**) is observed for the diurnal cycle
254 with decreasing temperature in the afternoon (*Cluster 2*), which could weaken the atmospheric stability in the lower

255 troposphere. As shown in **Fig. 7b8b**, negative changes in LTS appear in all parts of SCB, enhancing the vertical exchange of
256 airflow and facilitating the development of PBL. As a result, increased PBLH is observed in all parts of SCB (**Fig. 7e8e**),
257 and the regional average increment is up to 93.0 m. At the same time, the weakened atmospheric stability in the lower
258 troposphere is also conducive to the development of surface wind speed. As shown in **Fig. 7h8h**, the surface wind speed in
259 the entire SCB is strengthened obviously, indicating the horizontal dispersion capacity of air pollutants is also improved.
260 These results suggest that the diurnal cycle with decreasing temperature in the afternoon weakens atmospheric stability in the
261 lower troposphere and creates good vertical mixing of airflow, which can promote the development of PBL and surface wind
262 speed, facilitating the improvement of air quality on the following day.

263

264 For the Cluster 3, the PT changes are not noticeable below 850 hPa over the four sounding stations. As shown in **Fig. 6e7c, f,**
265 **i, and l**, decreased PT is observed between 850 hPa and 700 hPa, while obviously increased PT appears above 700 hPa. This
266 vertical structure of PT changes suggests that the atmospheric stability is enhanced above PBL over SCB, which is
267 demonstrated playing a key role in the formation of winter heavy air pollution events in the basin (Ning et al., 2018b; Ning et
268 al., 2019). As shown in **Fig. 7e8c**, increased LTS appears in the entire SCB, and the increments of LTS are obviously larger
269 than those for Cluster 1 (**Fig. 7a8a**), inhibiting the vertical mixing of atmosphere and suppressing the development of PBL.
270 As a result, decreased PBLH is observed in all parts of SCB. Compared with Cluster 1, the enhanced atmospheric stability
271 above PBL also suppresses the development of surface wind speed. As shown in **Fig. 7i8i**, all parts of SCB experience
272 decreases in surface wind speed, weakening the horizontal dispersion capacity of air pollutants. These results suggest that
273 both the vertical and horizontal dispersion capacity of air pollutants corresponding to Cluster 3 are worse than those
274 corresponding to Cluster 1. The differences in the atmospheric dispersion conditions between Cluster 3 and Cluster 1 can
275 explain well that the air quality deterioration is more serious for Cluster 3 than Cluster 1 (**Fig. 4-6** and **Fig. 5S1**).

276 4. Discussion

277 It's worth noting that the following day-to-day air quality changes between Cluster 2 and Cluster 3 in mountain-basin areas
278 are opposite, even though both of the two diurnal cycles are associated with cooling processes. In the cases of the cooling
279 process mainly occurring in the afternoon (Cluster 2), the atmospheric dispersion conditions are obviously improved,
280 resulting in air quality improvement on the following day. On the contrary, the atmospheric dispersion conditions are
281 obviously inhibited when the cooling process mainly appears in the morning (Cluster 3), resulting in air quality deterioration
282 on the following day. These findings could improve our understanding of the effects of cooling processes on air quality
283 (Kalkstein and Corrigan, 1986; Gimson, 1994; Hu et al., 2018; Ning et al., 2018b; Kang et al., 2019) and suggest that
284 comprehensive investigations for the effects of diurnal cycles of atmospheric dispersion conditions on air quality are
285 urgently needed in the future to fully understand the physical mechanism of air quality evolutions.

286

287 Additionally, both *Cluster 1* and *Cluster 3* are associated with weakened atmospheric dispersion conditions and lead to air
288 quality deterioration on the following day. However, obvious differences in PT vertical profiles (**Fig. 67**) between *Cluster 1*
289 and *Cluster 3* are observed. Especially for *Cluster 3*, decreased PT is observed between 850 hPa and 700 hPa, while
290 obviously increased PT appears above 700 hPa (**Fig. 6e7c, f, i, and l**). This special vertical structure of PT is closely related
291 to the foehn that is formed under the synergistic effects of cooling processes and the Tibetan Plateau (Ning et al., 2019),
292 indicating a stable layer exists above PBL and acts as a lid covering the PBL (Ning et al., 2018b; Ning et al., 2019). The
293 vertical structure of PT are demonstrated playing key roles in the formation of winter heavy air pollution events in mountain-
294 basin areas by inhibiting the development of secondary circulation and PBL (Ning et al., 2018b; Ning et al., 2019). These
295 features suggest that the physical processes related to air pollution are more complex in mountain-basin areas than in the
296 areas with flat terrain and urgently need to be further explored in the future.

297

298 Our study highlights that the following day-to-day air quality changes in mountain-basin areas are notably affected by the
299 diurnal cycles of day-to-day temperature changes. We find that the identified diurnal cycles of day-to-day temperature
300 variation in our study can explain well the evolutions of atmospheric dispersion conditions and air quality on the following
301 day and thus could be useful for air quality forecasting in mountain-basin areas. Currently, numerical models (including
302 WRF-Chem model and CMAQ model) (Grell et al., 2005; Byun and Ching, 1999) and statistical models (including statistical
303 analysis, machine learning, and the hybrid linear–nonlinear method, etc.) (Huang, 1992; Chelani and Devotta, 2006; Borse,
304 2020) are the two typical methods that have been widely used to forecast air quality by combining weather conditions and
305 emission sources (Gidhagen et al., 2005). In the future, our findings should therefore be combined with numerical models or
306 statistical models to improve air quality forecasting in mountain-basin areas.

307 **5. Conclusions**

308 Taking SCB as an example, this study is the first examination of the behaviors of diurnal cycles of day-to-day temperature
309 change using hourly temperature observations and their effects on the following day-to-day air quality changes in mountain-
310 basin areas. Three diurnal cycles of day-to-day temperature change are identified, which notably affect the following day-to-
311 day air quality changes. Among them, two diurnal cycles (i.e., *Clusters 1 & 3*) inhibit atmospheric dispersion conditions by
312 enhancing atmospheric stability, suppressing PBL, and weakening surface wind speed, thus leading to air quality
313 deterioration on the following day.

314

315 Compared with the diurnal cycle with increasing temperature (i.e., *Cluster 1*), the atmospheric dispersion conditions are
316 worse for the diurnal cycle with decreasing temperature in the morning (i.e., *Cluster 3*) and cause more serious deterioration
317 of air quality. On the contrary, atmospheric dispersion condition with weakened atmospheric stability, deepened PBL, and
318 enhanced surface wind speed is obviously improved for this type of diurnal cycle with decreasing temperature in the

319 afternoon (i.e., *Cluster 2*), which improves the air quality on the following day. These results suggest that the identified
320 diurnal cycles can explain well the evolutions of atmospheric dispersion conditions and air quality on the following day. Our
321 findings exhibit promising potential for air quality forecasting in mountain-basin areas.

322 **Data availability**

323 The hourly air quality data were collected from the Ministry of Ecology and Environment of the People’s Republic of China
324 (<http://www.mee.gov.cn/xxgk2018/>). The meteorological observation data and the ERA-5 reanalysis data were obtained
325 from the China Meteorological Administration (CMA) (<http://data.cma.cn/data/>) and the European Centre for Medium-
326 Range Weather Forecasts (<https://cds.climate.copernicus.eu/cdsapp#!/dataset>), respectively,~~the meteorological observation~~
327 ~~data, and the ERA-5 reanalysis data were obtained from the websites described in Sections. 2.1-2.4 and from the scientists~~
328 ~~listed in the acknowledgement. They are available from these upon request.~~

329 **Author contributions**

330 DK performed data analysis, prepared the figures, and wrote original draft with contributions from all co-authors. GN
331 designed the research and wrote the manuscript. SW, ML, XN, and MM provided interpretation and editing of the
332 manuscript. JC performed data analysis and provided useful comments.

333 **Competing interests**

334 The authors declare that they have no conflict of interest.

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344 Medium-Range Weather Forecasts. The authors are thankful to the anonymous reviewers who provided valuable comments
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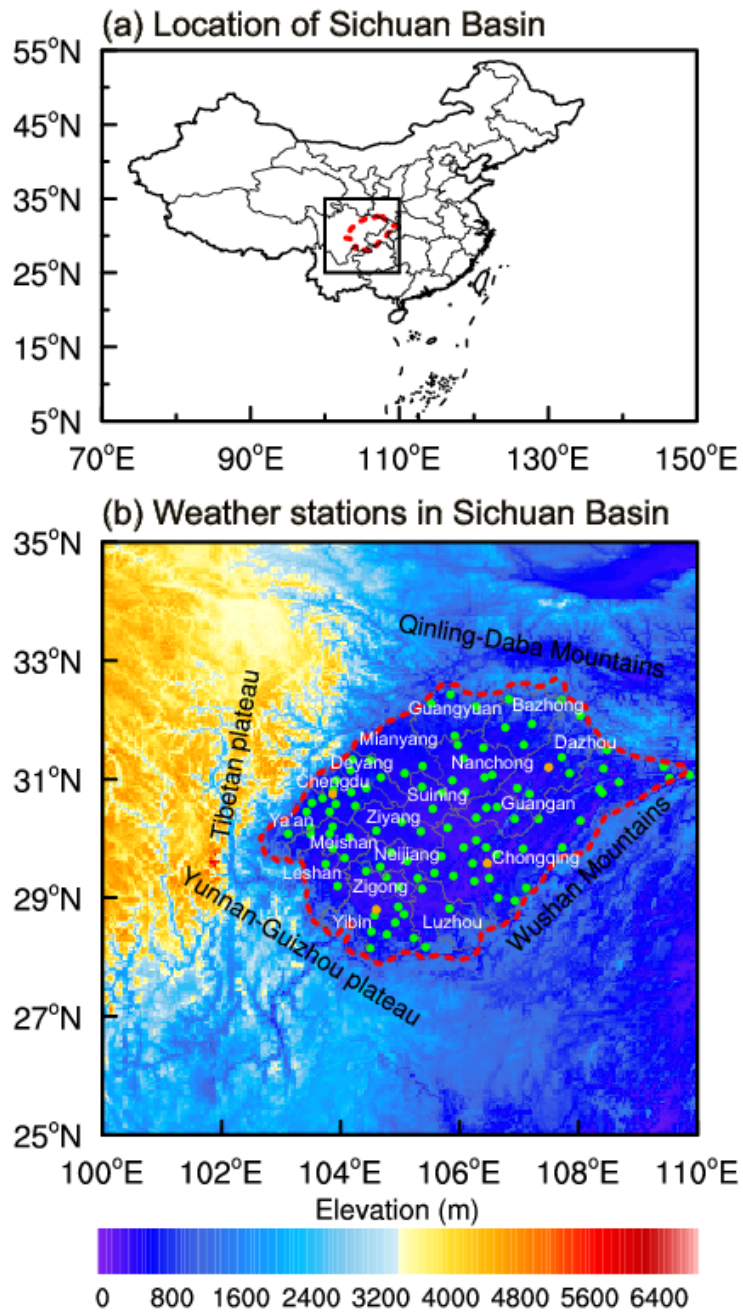
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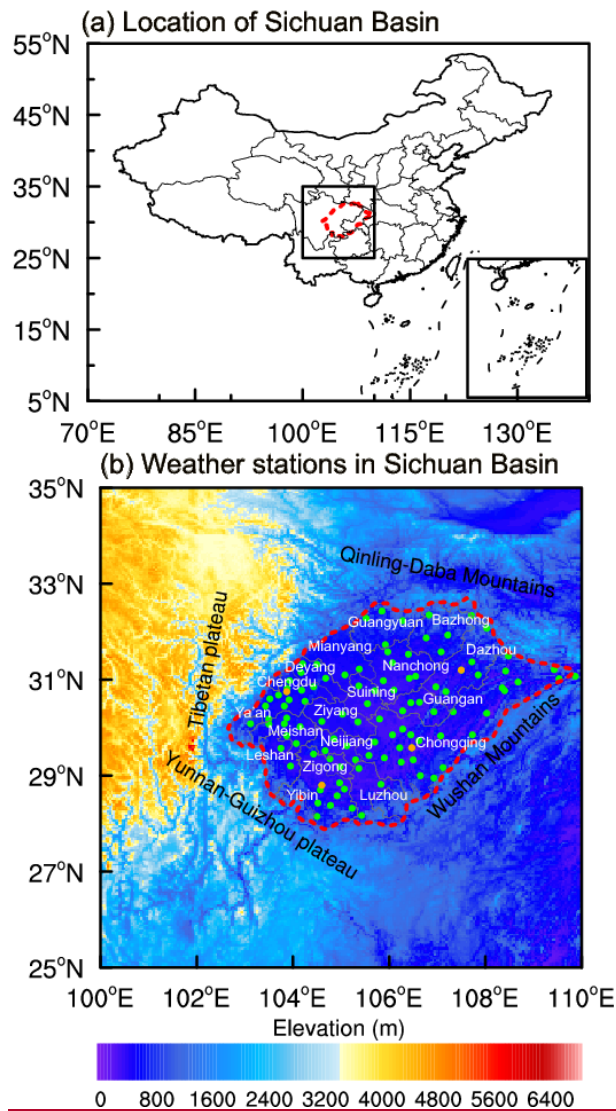
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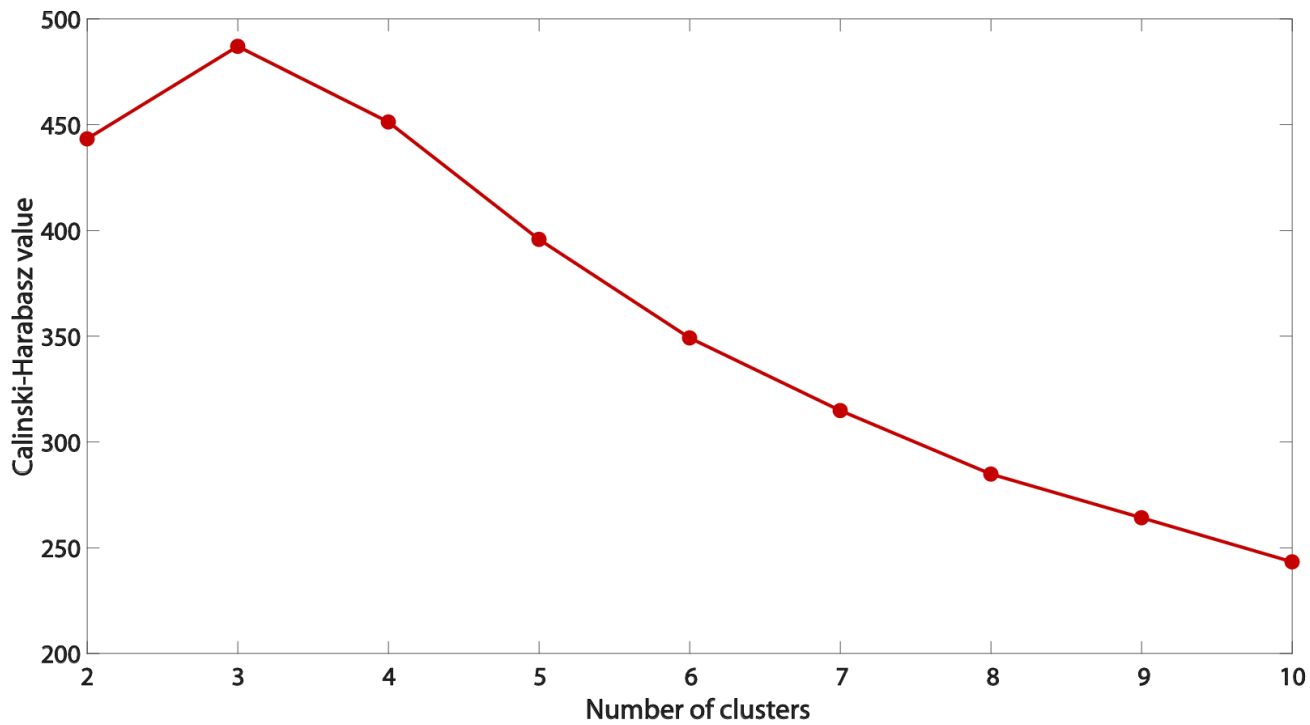
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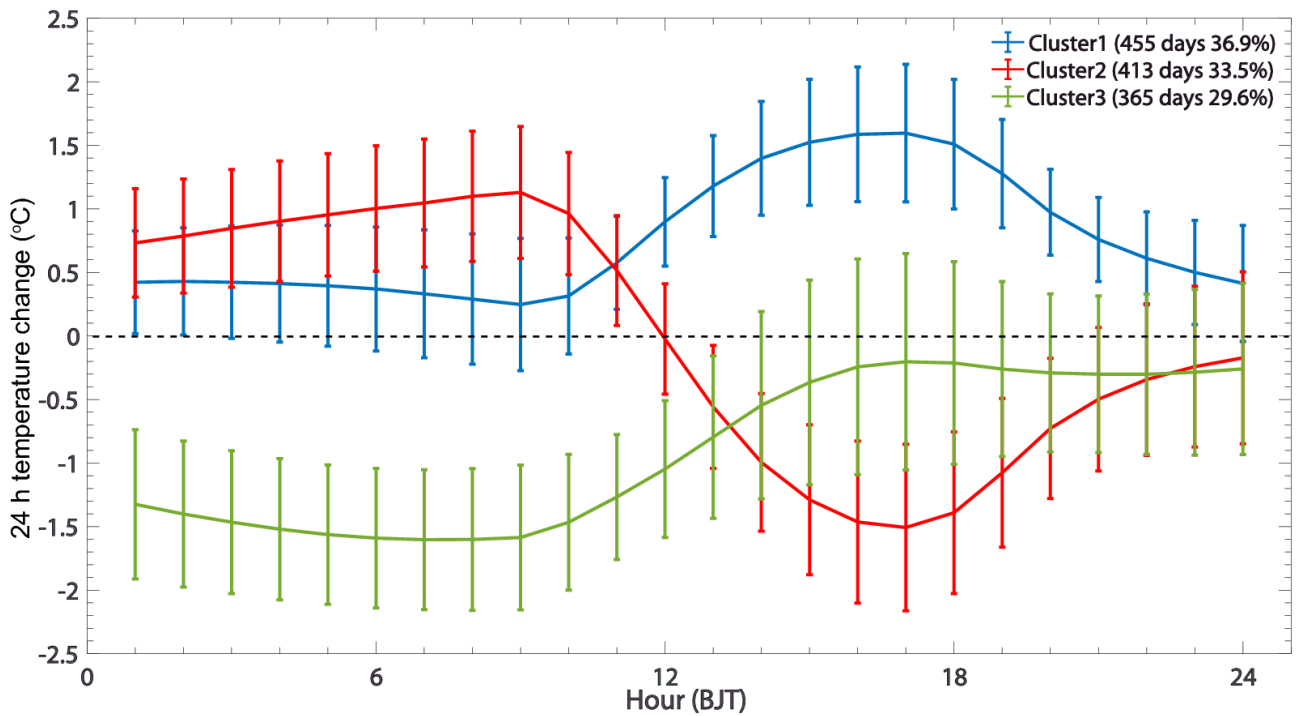
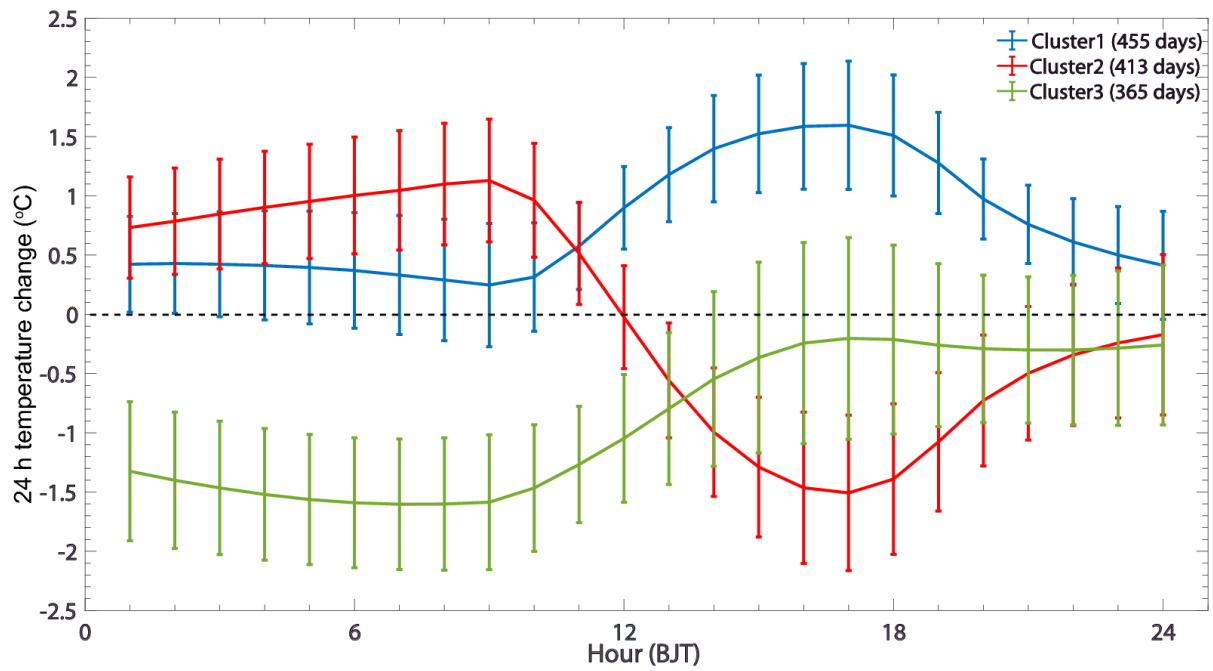
509

510 **Figure 1** Map of Sichuan Basin (SCB) in Southwest China. (a) Location of SCB; (b) Topography of SCB (shading) and the
 511 spatial distribution of 105 meteorological stations (dots) in SCB. The dashed red line indicates the border of SCB. The
 512 orange dots indicate the meteorological stations with radiosonde measurements. The white text indicate the name of the
 513 major cities in SCB.



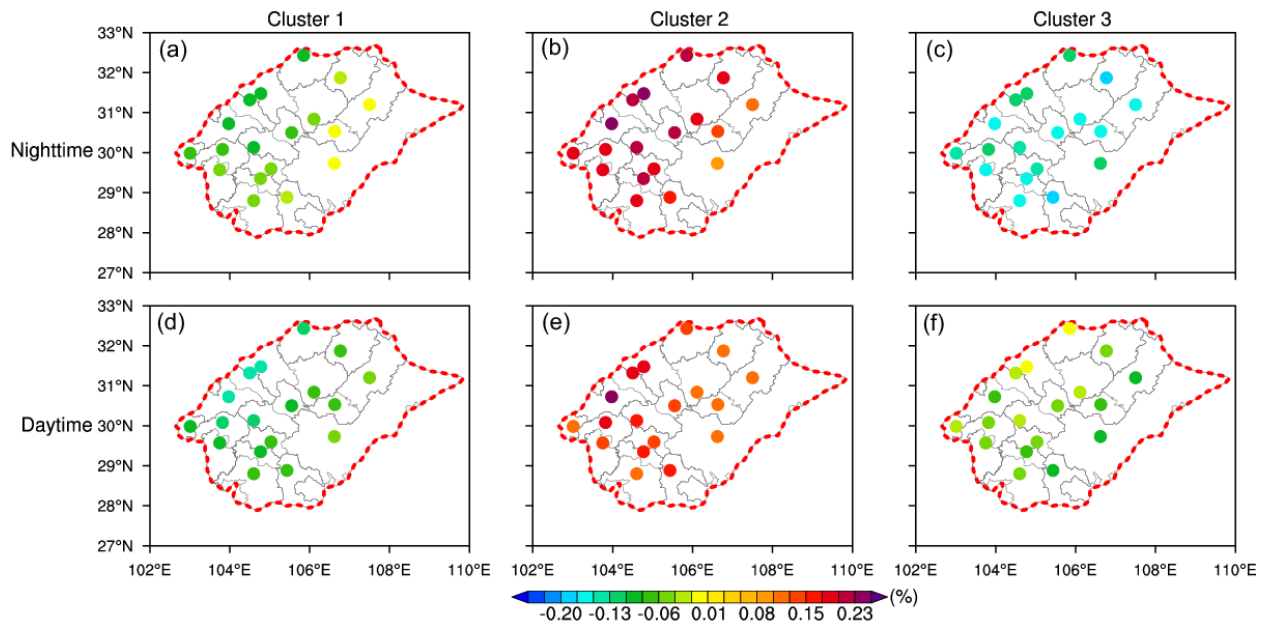
514

515 **Figure 2** Changes of Calinski-Harabasz values with different numbers of identified clusters.



518 **Figure 3** Three identified diurnal cycles of day-to-day temperature change based on the K-means clustering method. The
 519 error bar denotes the standard deviation of day-to-day temperature change.

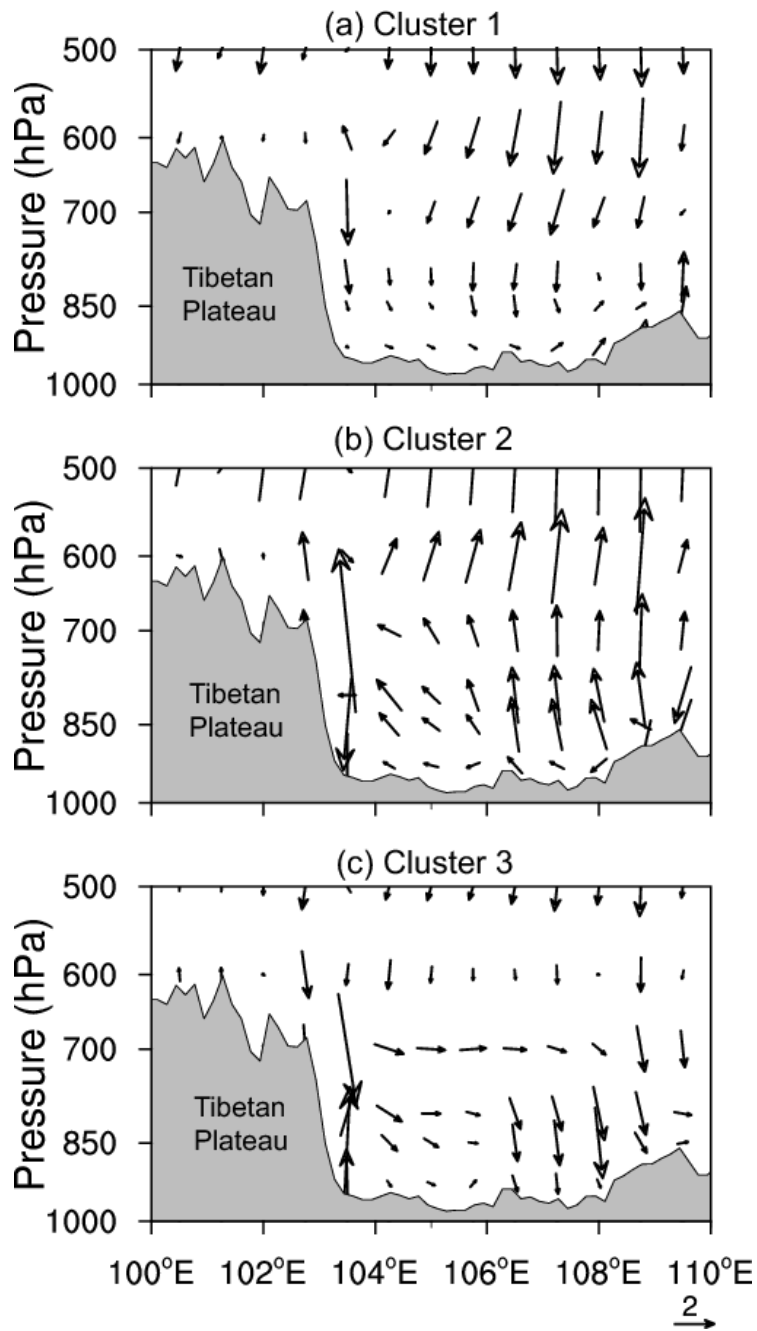
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522 **Figure 4** The nighttime (a-c) and daytime (d-f) day-to-day changes in total cloud cover associated with the three diurnal
 523 cycles.

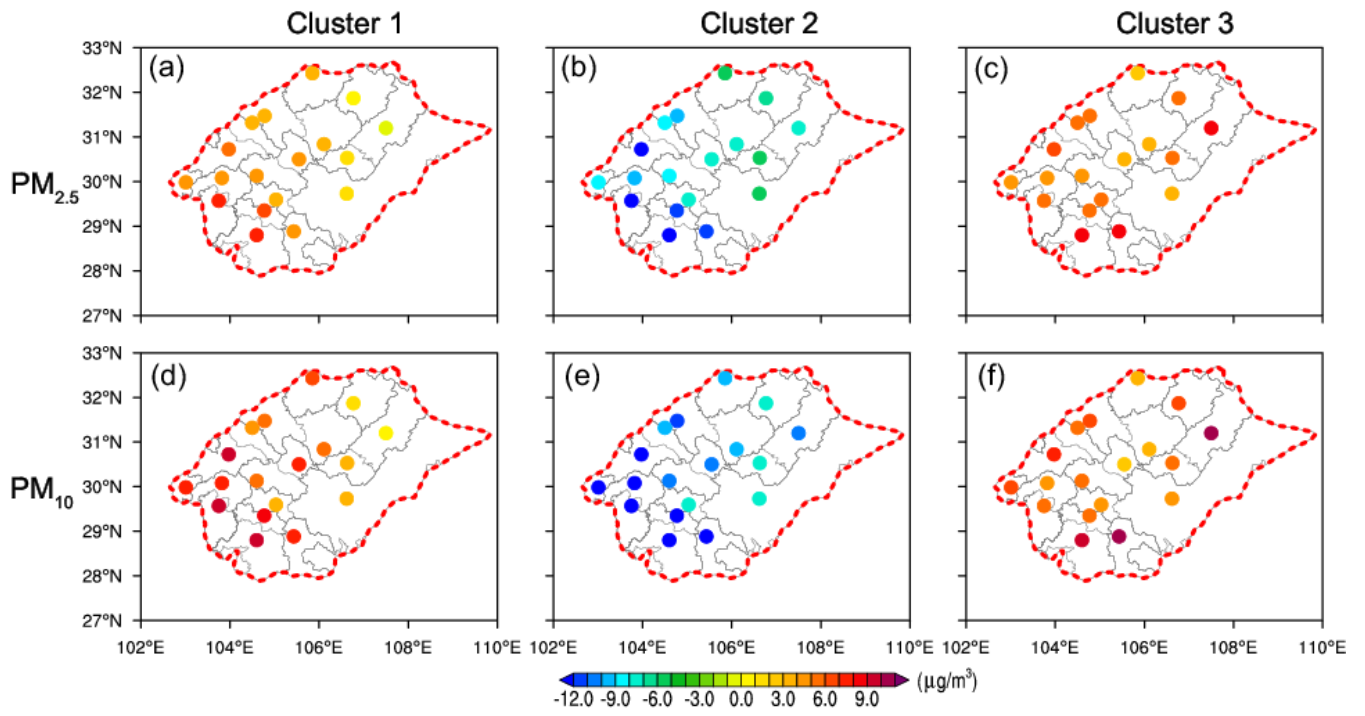
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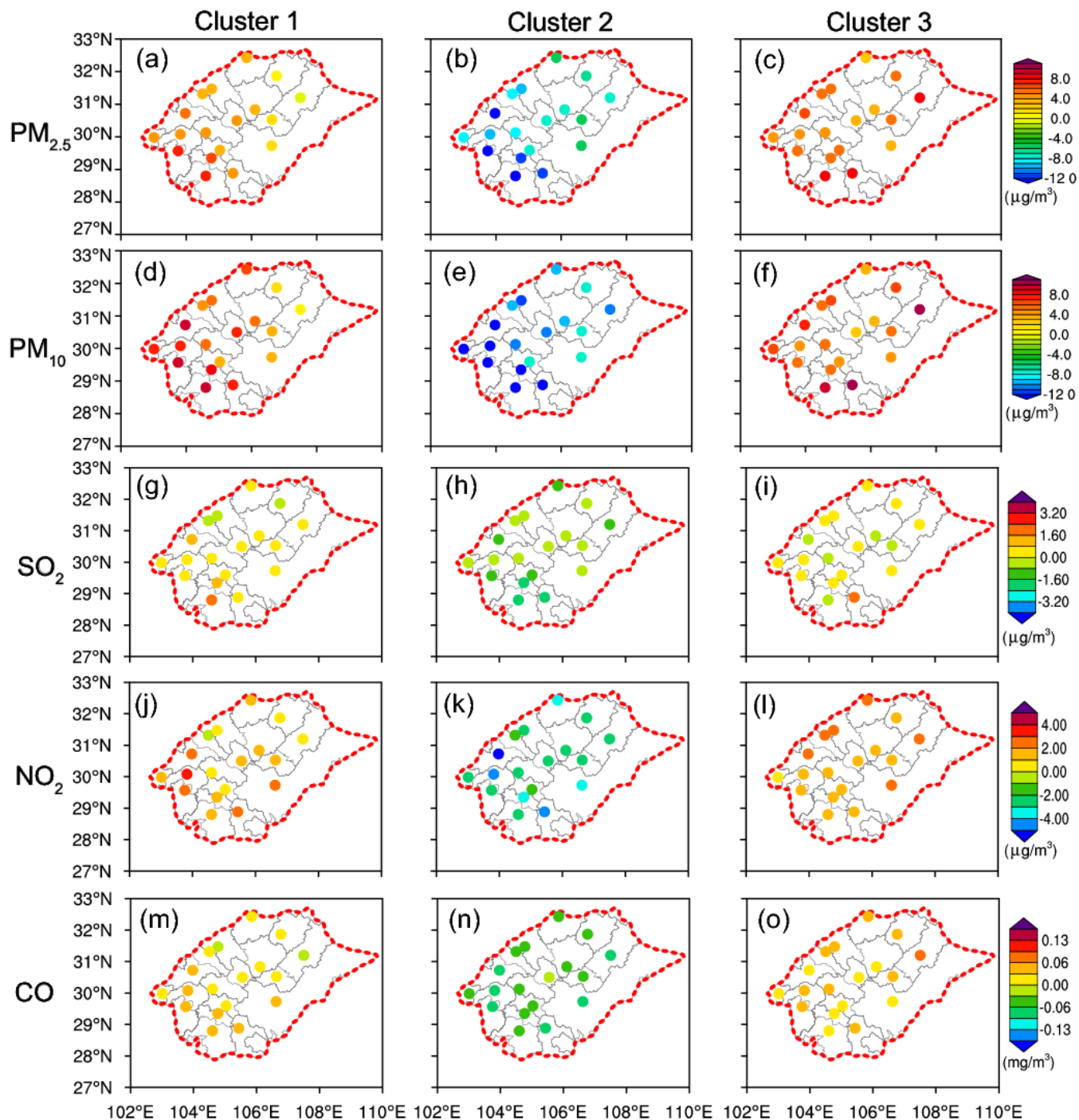
525

526 **Figure 5** Vertical west-east cross-sections of the day-to-day changes in wind vectors (synthesized by u and w) at 14:00 BJT
 527 through the SCB (30.75°N) associated with the three diurnal cycles. Note that the vertical velocity is multiplied by -50 when
 528 plotting the wind vectors. The units for u and w are m/s and Pa/s, respectively. The complex terrain is marked by grey
 529 shading.

530



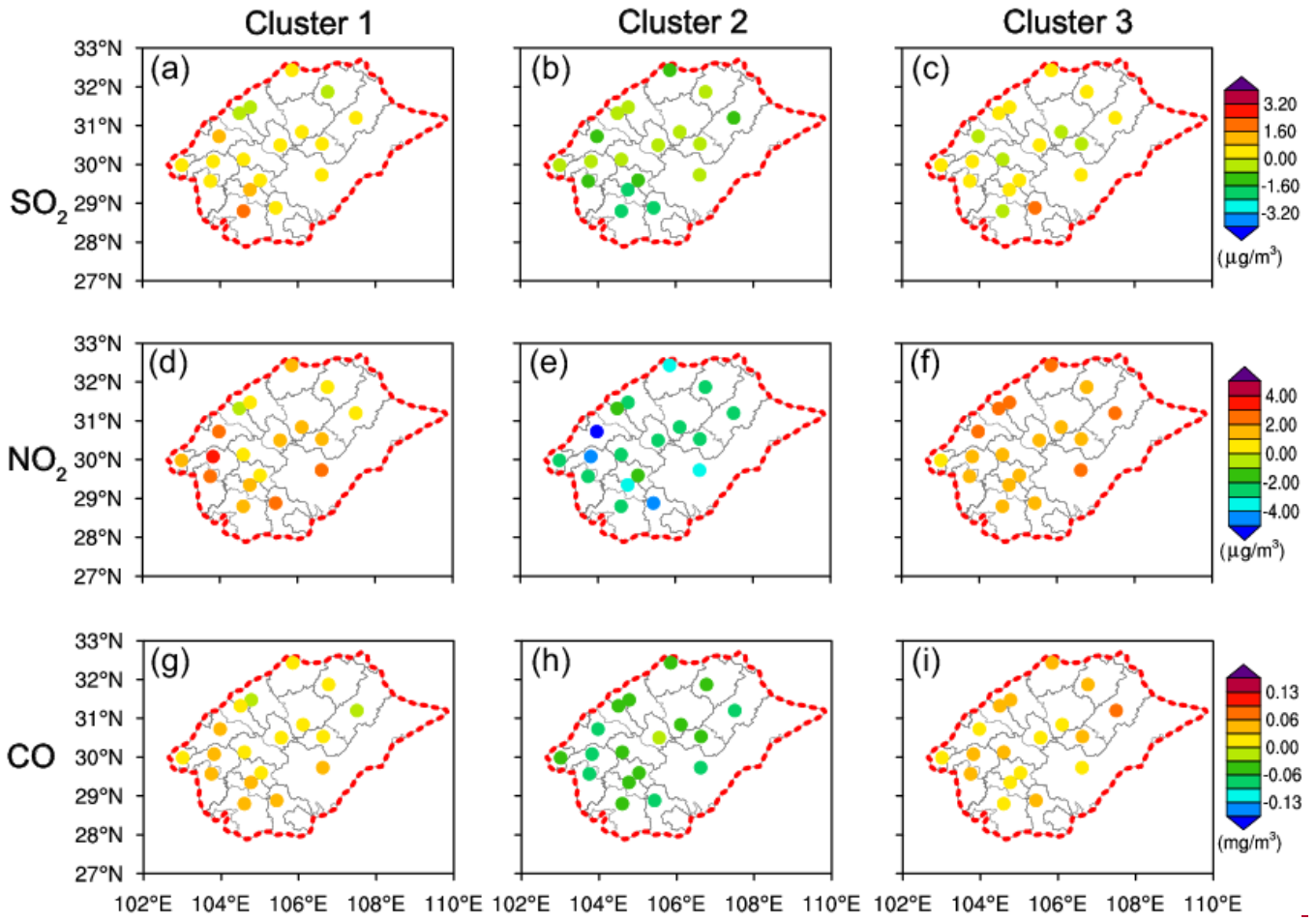
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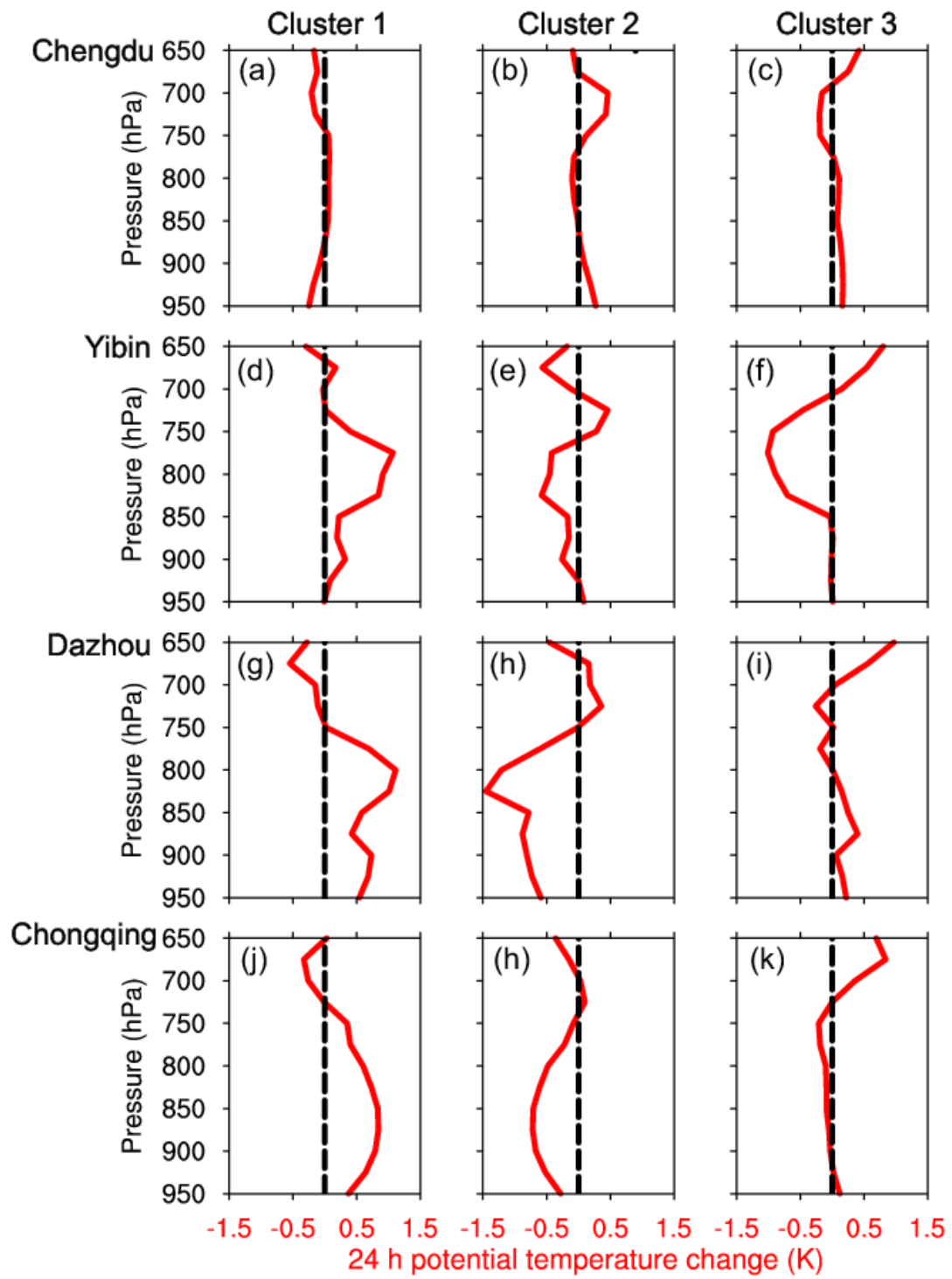
533 **Figure 4-6** Spatial distribution of the day-to-day changes in surface $PM_{2.5}$ (a-c), and PM_{10} (d-f), SO_2 (g-i), NO_2 (j-l), and

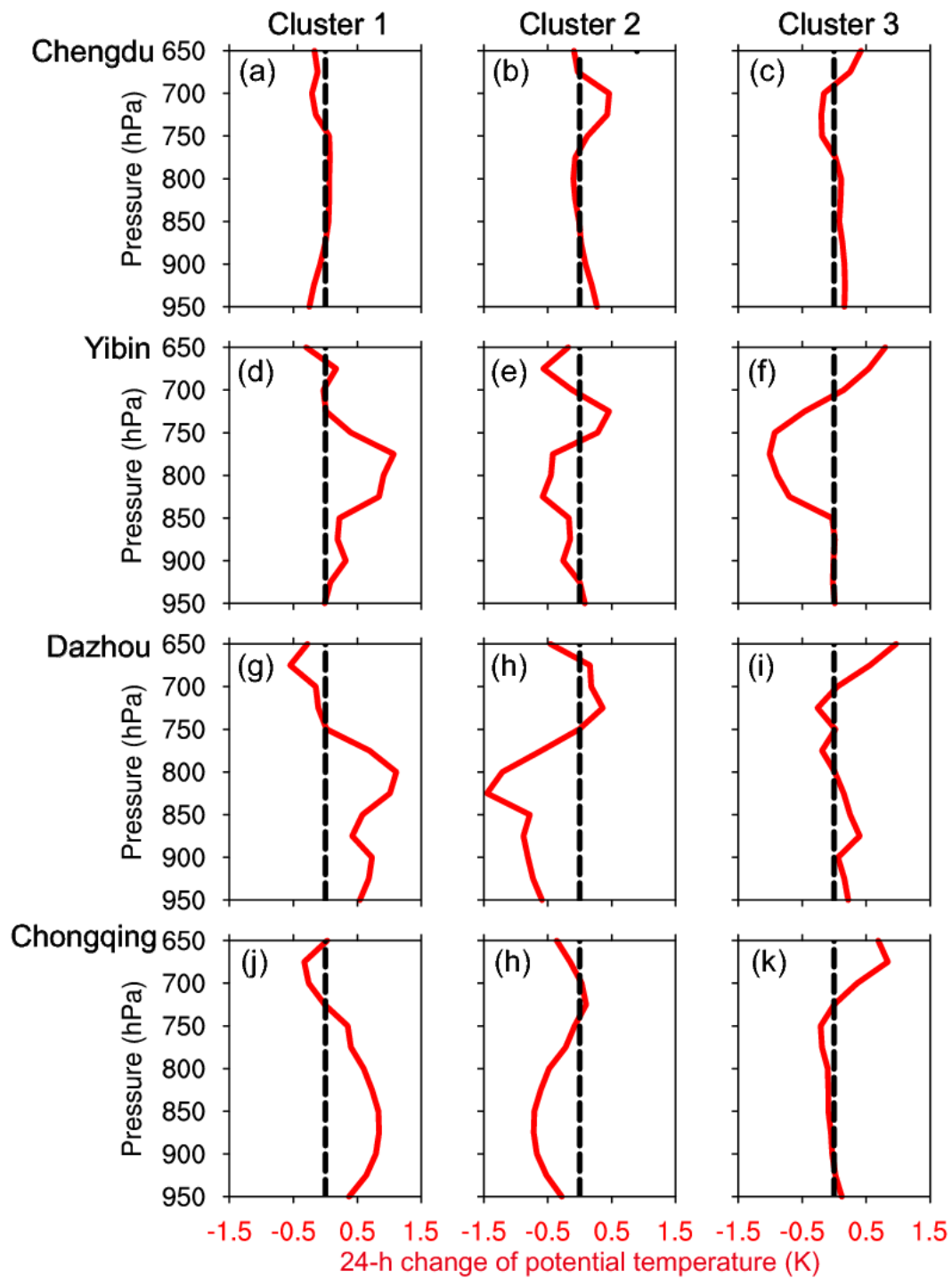
534 CO (m-o) concentrations following the three diurnal cycles within one day.



535

536 ~~Figure 5 Spatial distribution of the day to day changes in surface SO₂ (a-c), NO₂ (d-f), and CO (g-i) concentrations~~
 537 ~~following the three identified diurnal cycles within one day.~~

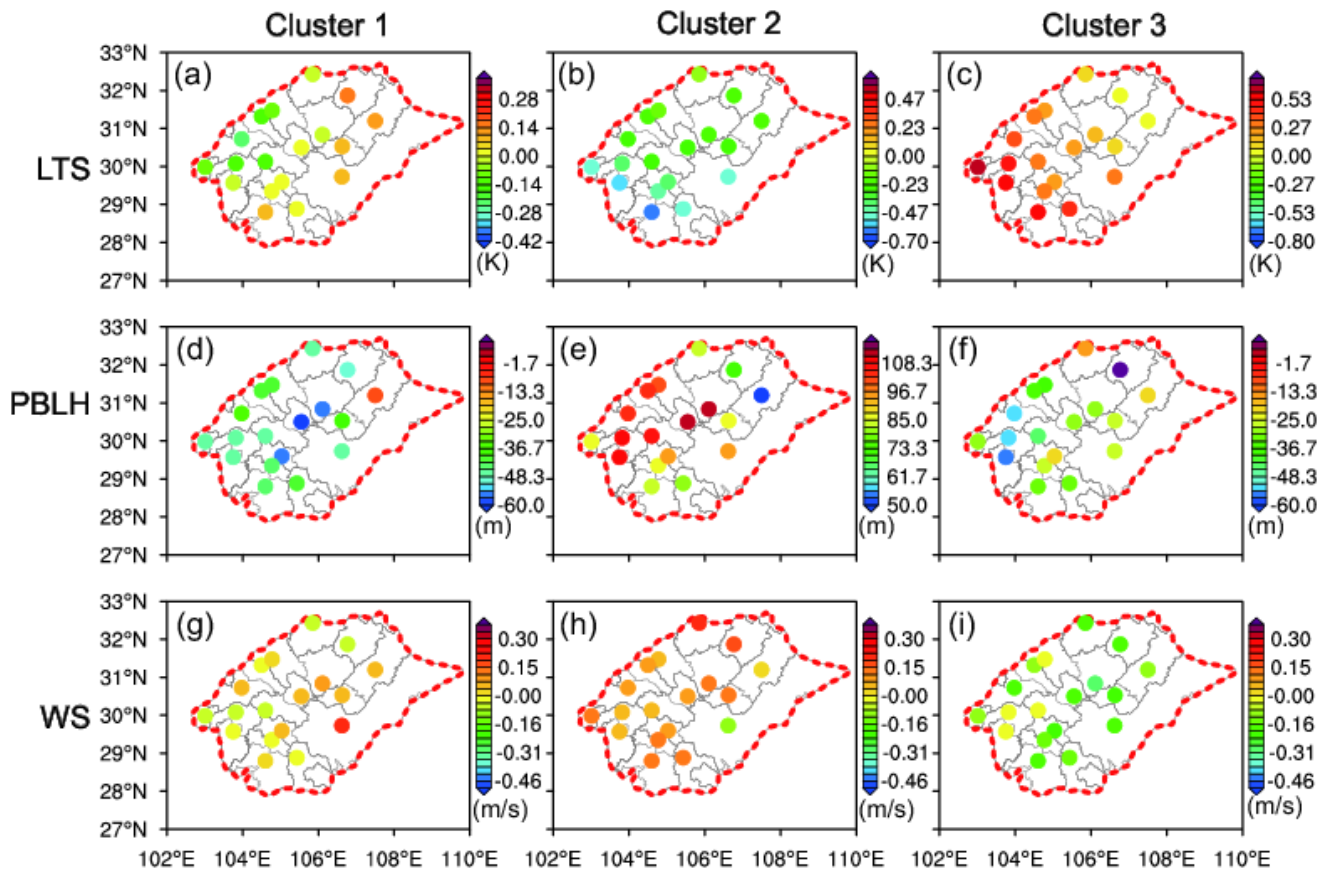




539

540 **Figure 6-7** Day-to-day changes in the PT vertical profiles at 20:00 BJT following the three identified diurnal cycles within

541 one day at four sounding stations. Chengdu (a–c), Yibin (d–f), Dazhou (g–i), and Chongqing (j–l).



542
 543 **Figure 7-8** Spatial distribution of the day-to-day changes in LTS (a–c), PBLH (d–f), and WS (g–i) following the three
 544 identified diurnal cycles within one day.

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