#### haze-driven secondary production **Evidence** of supermicrometer aerosol nitrate and sulfate in size distribution data in South Korea

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Abstract. This study reports measurements of size-resolved aerosol composition at a site in Incheon along with other aerosol characteristics for contrast between Incheon (coastal) and Seoul (inland), South Korea, during a transboundary pollution event during the early part of an intensive sampling period between 4 and 11 March 2019. Anthropogenic emissions were dominant in the boundary layer over the study region between 4 and 6 March, with much smaller contributions from dust, smoke, and sea salt. The meteorology of this period (shallow boundary layer, enhanced humidity, and low temperature) promoted local heterogeneous formation of secondary inorganic and organic species, including atypically high nitrate (NO<sub>3</sub>-) relative to sulfate (SO<sub>4</sub><sup>2</sup>-). Seoul exhibited higher PM<sub>2.5</sub> levels than Incheon likely due to local emissions. The following findings point to secondary aerosol formation and growth sensitivity to water vapor during this pollution event: (i) significant concentrations of individual inorganic and organic acids in the supermicrometer range relative to their full size range (~40%) at higher humidity; (ii) high correlation (r = 0.95) between oxalate and SO<sub>4</sub><sup>2</sup>, a marker of secondary aqueous production of oxalate; (iii) increased sulfur and nitrogen oxidation ratios as a function of humidity; and (iv) matching composition apportionment (for soluble ions) between the PM<sub>1</sub> and PM<sub>2.5-1</sub> size fractions. The last finding confirms that PM<sub>1</sub> aerosol composition measurements fully capture PM<sub>2.5</sub> composition apportionment (for soluble ions) during haze events and, therefore, may be reliably applied in modeling studies of such events over the full PM<sub>2.5</sub> size range. The study period was marked by relatively low temperatures that made NO<sub>3</sub> the most abundant species detected, pointing to the sensitivity of PM2.5 levels and composition as a function of season during such transboundary events. For instance, other such events in previous studies exhibited more comparable levels between SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub>- coincident with higher temperatures than the current study. This dataset can contribute to future evaluation of model PM2.5 composition to better support regulatory efforts to control PM2.5

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#### 1 Introduction

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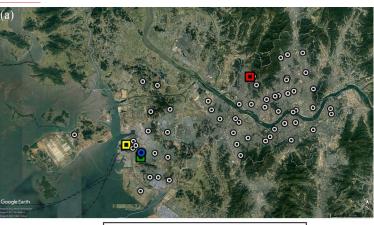
South Korea has been the focus of extensive air quality research in recent years owing to continuing challenges as it is a growing metropolitan center with extensive sources of pollution both locally and regionally (Lee and Kim, 2007;Guttikunda et al., 2003). Although direct emission control policies have reduced primary pollutant concentrations over time (e.g., lead, carbon monoxide, sulfur dioxide), there has been less success to reduce levels of secondarily formed pollutants associated with particulate matter with diameters (D) less than or equal to 2.5  $\mu$ m (PM<sub>2.5</sub>) (Kim and Lee, 2018). A common phenomenon leading to significant aerosol concentrations over large parts of South Korea is transboundary pollution events stemming from areas such as China (Choi et al., 2019b;Choi et al., 2019a;Peterson et al., 2019;Lee et al., 2019b;Eck et al., 2020;Cha et al., 2019) that impact both coastal and inland parts of the peninsula. These events include dust (Heim et al., 2020;Kim et al., 2012), industrial and agricultural burning emissions (Lamb et al., 2018), sea salt (Lee et al., 2018), and wildfire plumes from Siberia (Lamb et al., 2018) that are superimposed on the local pollution sources that include logenic emissions, urban and vehicular emissions, shipping emissions, industrial activities, and biomass burning (Park et al., 2021;Lamb et al., 2018).

While the influence of long-range transport on the Korean peninsula's air quality has been demonstrated (Lee et al., 2021; Koo et al., 2018), a question remains about the relative contributions of transboundary versus local emissions. Results from the Megacity Air Pollution Studies-Seoul (MAP-Seoul) study (May - June 2015) indicated that advected pollution from China mostly affects western coastal sites and that local emissions are significant in accounting for Seoul's radiation-absorbing aerosol particles (Lee et al., 2018). Others have pointed to the importance of domestic emissions during transboundary pollution events, especially when transport rates are below ~250 km day1 (Lee et al., 2019b). Studies from the Korea-United States Air Quality (KORUS-AQ) campaign (1 May - 10 June 2016) showed that during transboundary pollution episodes, Seoul (inland) exhibited significantly higher levels of PM<sub>2.5</sub> than coastal areas (Eck et al., 2020). Nault et al. (2018) showed with in-situ measurements that local emissions are the primary contributor to secondary organic aerosol (SOA) over Seoul. Furthermore, diurnal variations in aerosol optical depth (AOD) are also more significant at inland sites versus coastal sites (Lennartson et al., 2018). In their analysis of the same pollution episode as our study in early March 2019, Lee et al. (2019a) showed that the foreign contribution to  $PM_{2.5}$  in Seoul was 78.8% as compared to 21.2% from domestic sources based on the Community Multiscale Air Quality (CMAQ) model. It is important to note that such apportionments are sensitive to the modeled composition of PM<sub>2.5</sub> as discussed in Choi et al. (2019a) and Jordan et al. (2020). Hence, comparisons of measured and modeled PM2.5 composition are needed to validate apportionment between domestic and transported pollutants.

Local and upwind meteorology have been shown to play a major role in modulating temporal trends in PM and gas pollutant levels over South Korea (Seo et al., 2018; Cho et al., 2021; Jordan et al., 2020; Koo et al., 2020; Peterson et al., 2019; Ryu et al., 2021). Models have yet to adequately capture sulfate (SO<sub>4</sub><sup>2</sup>-) formation in East Asian haze events (Shao et al., 2019) as they generally underestimate SO<sub>4</sub><sup>2</sup> in haze, while the KORUS-AQ study also revealed that nitrate (NO<sub>3</sub>) formation in haze may be simultaneously overestimated resulting in errors in aerosol liquid water content and other modeled properties (Jordan et al., 2020). Other recent studies of East Asian haze have pointed to the importance of secondary aerosol formation, primarily ammonium sulfate and nitrate salts, with additional SOA (Hu et al., 2014; Huang et al., 2014; Cheng et al., 2016; Li et al., 2018; Zhang et al., 2017). Moisture and thus aerosol-laden water have been shown to be especially important to promote the formation of these secondary species (Wu et al., 2018; Zhang et al., 2018; Wang et al., 2016; Zhang et al., 2017). The May-June 2016 period of KORUS-AQ offered a detailed view of frontal passages transporting pollution from China to the Korean peninsula under cloudy and humid conditions that promoted haze and fog formation within a shallow stable boundary layer (Jordan et al., 2020; Peterson et al., 2019). Sulfate and NO<sub>3</sub> formation was observed to be efficient owing to heterogeneous processing with the former fueled by local and transported sulfur dioxide (SO<sub>2</sub>) and the latter from local nitrogen oxides (NO<sub>x</sub>) and enhanced nocturnal NO<sub>3</sub> radical reactions. A positive feedback was suggested whereby increased water uptake by particles increased gas-to-particle partitioning, which in turn further increased water uptake. Furthermore, clouds reduced transmission of solar radiation to the surface, thereby reducing mixing and leading to more PM accumulation in a shallow boundary layer. Jordan et al. (2020) called for more detailed aerosol and meteorological measurements co-located with AirKorea sites (https://www.airkorea.or.kr/eng) that routinely monitor PM2.5 and other basic pollutants (PM10, O3, SO<sub>2</sub>, NO<sub>2</sub>, CO) in order to advance knowledge of aerosol lifecycle behavior over the Korean peninsula.

Aerosol composition provides important evidence for impacts of meteorology and atmospheric circulation on PM, which are sometimes challenging to reproduce in models. The current study exploits an Incheon dataset of size-resolved composition during a major pollution episode in March 2019 to expand on the evidence for these impacts and to help interpret observations from the larger network of ongoing Korean observations. Data were collected at both Incheon near the western coast of South Korea and at the inland city of

Seoul (Fig. S41), which allows for a critical look at how  $PM_{2.5}$  levels compare between the sites and if Seoul has higher levels (suggesting local  $PM_{2.5}$  production) as described by Eck et al. (2020) and Jordan et al. (2020). This study examines the role for meteorological parameters like humidity in impacting size-resolved aerosol composition. This is especially important since past studies have mainly focused on bulk  $PM_{1}$ ,  $PM_{2.5}$  or  $PM_{10}$  (Park et al., 2018;Ryu and Min, 2021;Seo et al., 2018;Won et al., 2020). Size-resolved composition data are pertinent to improve understanding of how particles in the region impact cloud formation, visibility, and public health, all of which are sensitive to size-specific aerosol properties.



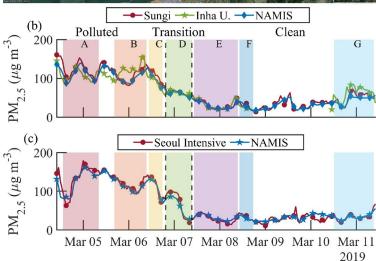


Figure 1. (a) Spatial map showing the 17 and 40 National Ambient air quality Monitoring Information System (NAMIS) stations in Incheon and Seoul, respectively, along with the three main surface sites relied on for this study (yellow = Incheon meteorological site, green = Inha University, blue = Sungi [also a NAMIS station], red = Seoul Intensive Monitoring Station). PM2.5 comparison between (b) city-wide Incheon mean values and those for Sungi and Inha University, and between (c) city-wide Seoul mean values and those for Seoul Intensive Monitoring Station. Coefficients of determination (R<sup>2</sup>) between the

data points: (b) (Inha University) R<sup>2</sup> = 0.82 and (Sungi) R<sup>2</sup> = 0.98; (c) R<sup>2</sup> = 0.96. Shaded regions of panels b-c are labeled with individual DLPI<sup>+</sup> sets overlapping in time. All times are reported in Korea standard time (KST), where KST is UTC + 9 hrs.

# 2 Datasets and Methods

During the period between 4 and 11 March 2019, there was a major regional haze pollution event that impacted Incheon and Seoul monitoring sites. The haze event lasted for approximately the first three days and then the air quality improved between 7 and 11 March (FigFigs. 1-2). We consider the period from 4 March 10:00 – 6 March 19:00 as "polluted", from 6 March 19:00 – 7 March 09:15 as "transition", and 7 March 09:15 – 11 March 10:00 as "clean"; these time definitions are chosen based on start/stop times of size-resolved impactor measurements conducted in Incheon (Sect. 2.1.1) during periods with higher, intermediate, and lower PM concentrations (e.g., FigFigs. 1-2). Times in this study are in Korea standard time (KST), where KST = UTC + 9 hours. This study relies on a variety of datasets summarized below.

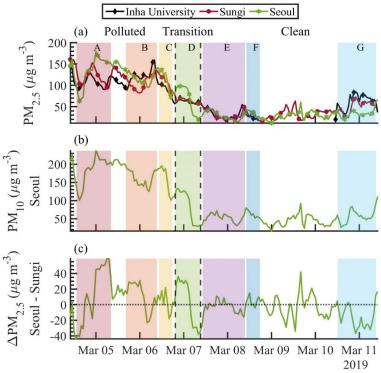


Figure  $4\underline{2}$ . Time series of the following parameters: (a)  $PM_{2.5}$  measured at Inha University, Sungi, and Seoul; (b)  $PM_{10}$  measured at Seoul; and (c)  $PM_{2.5}$  difference between Seoul and Sungi. The dashed black vertical lines separate the (left) polluted, (middle) transition, and (right) clean periods. The horizontal line in (c) denotes a  $PM_{2.5}$  difference of zero to clearly show positive and negative deviations from that value. Shaded regions are labeled with individual  $DLPI^+$  sets (see Table 2) overlapping in time.

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## 2.1 Aerosol and Gas Monitoring

The focus of this study is on three specific monitoring sites in Incheon and Seoul<sub>5</sub> (~30 km apart), which were compared to a wide network of other stations in those cities to confirm agreement in temporal variability and concentrations. Incheon has a population of 2,936,367 in contrast to Seoul having 9,565,990 (Korea, 2021). The three primary sites include Inha University and Sungi in Incheon, and the Seoul Intensive Monitoring Station (hereafter referred to as Seoul site) in Seoul (locations in Fig. S+1). Measurements at these sites are described below and summarized in Table 1. Figure S11 shows the location of the other 17 and 40 National Ambient air quality Monitoring Information System (NAMIS) stations in Incheon and Seoul, respectively, which were operational during the study period with data provided online by AirKorea; Sungi is one of those sites in Incheon but the Inha and Seoul sites are not part of that network. The rationale for including two primary sites in Incheon is because Inha provided unique data not typically measured by NAMIS, and Sungi was closest to Inha among the NAMIS options. Furthermore, the The Seoul site had very comprehensive measurements itself and thus it was sufficient for this study.

Table 1. Sample site name, elevation in meters above sea level (MASL), latitude and longitude coordinates of each site, and the parameters measured at each site.

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Site	Elevatio n (MASL)	Latitude	Longitude	Measurements
Incheon: Inha University	23	37°27'2.08"N	126°39'20.87" E	Aerosol: PM <sub>2.5</sub> , 467/528/652 nm wavelength absorption coefficient (α), size-resolved particulate mass samples
Incheon: Sungi	46	37°27'34.74"N	126°39'27.31" E	Aerosol: PM <sub>2.5</sub> Gas: Ozone (O <sub>3</sub> ), nitrogen dioxide (NO <sub>2</sub> ), carbon monoxide (CO), sulfur dioxide (SO <sub>2</sub> )
Incheon Meteorologica l Site	<u>70</u>	37°28'39.85"N	126°37'28.40" E	Meteorological: Ambient temperature (T), wind speed and direction, ambient relative humidity (RH), ambient pressure (P), rain
Seoul Intensive Monitoring Station	<u>30</u>	37°36'38.40"N	126°56'1.36"E	Aerosol: PM <sub>10</sub> , PM <sub>2.5</sub> ; speciated PM <sub>2.5</sub> concentrations of sulfate (SO <sub>4</sub> - <sup>2</sup> ), nitrate (NO <sub>3</sub> -), chloride (Cl <sup>-</sup> ), sodium (Na <sup>+</sup> ), ammonium (NH <sub>4</sub> +), potassium (K <sup>+</sup> ), magnesium (Mg <sup>2+</sup> ), calcium (Ca <sup>2+</sup> ), organic carbon (OC), elemental carbon (EC), silicon (Si), titanium (Ti), vanadium (V), manganese (Mn), iron (Fe), nickel (Ni), copper (Cu), zinc (Zn), Arsenic (As), selenium (Se), lead (Pb) Gas: SO <sub>2</sub> , NO <sub>2</sub> , O <sub>3</sub> , CO Meteorological: T, wind speed and direction, RH, P, rain

# 2.1.1 Inha University (Incheon)

Aerosol composition measurements were conducted on a building rooftop (30023 m ASL; 37° 27' 2.08"N, 126° 39' 20.87"E) on the Inha University campus, which is a residential area ~3 km away from Incheon harbor. Three instruments were operated simultaneously during the study period from 4 March 2019 to 11 March 2019. Real-time PM<sub>2.5</sub> measurements were conducted using an optical particle counter (OPC-Grimm model 1.109, Grimm Aerosol Technik, Germany) with 1 min time resolution. The OPC measures the number concentration of aerosol particles for 31 channels with size ranging from 0.253 to 31.15 µm, which then get converted into a mass concentration via mathematical extrapolation with a correction factor specific to the Grimm 1177 software. Realtime monitoring of light absorbing aerosol particles was conducted with a tricolor absorption photometer (TAP model 2901, Brechtel Mfg. Inc., USA), which monitors light absorption by particles on a filter at three wavelengths (467, 528, 652 nm). In this study we focus on 652 nm data for simplicity. Lastly, size-resolved particulate matter samples were collected on Teflon filters (PTFE support, 0.1 µm pore, 25 mm, Zefon International) using a low pressure impactor (DLPI+, Dekati, Finland) with aerodynamic lower cutpoint diameters

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of 0.016, 0.030, 0.054, 0.094, 0.15, 0.25, 0.38, 0.60, 0.94, 1.6, 2.5, 3.6, 5.3, and 10  $\mu$ m. Daily filter sets were collected on the seven days of the sampling period with details shown in Table 2. Temperature and relative humidity varied slightly between sets (Table 2) with potential impacts on size of particles owing to hygroscopic growth and evaporation/condensation (e.g., Chen et al., 2018). As temperatures were low (4.5-9.1°C on average), evaporation is likely negligible. There was more potential for hygroscopic growth during the polluted and transition periods (66-79% RH) as compared to the clean period (47-52% RH), and this does not alter conclusions of this work as size shifts owing to water uptake are an important aspect of understanding regional haze events in the study region. Note as well that hygroscopic influences influence on size cut-off shifts in cascade impactors are most important for RHs above 80% and when sea salt is dominant (Chen et al., 2018), which dedoes not apply to this study. Particles were extracted from the filters in 10 mL of deionized water and placed in ultrasonic bath at 30°C for 60 min for subsequent analysis with ion chromatography (IC, Thermal Scientific Dionex ICS-2100 system) to speciate anions and cations.

Table 2. Summary of the DLPI $^+$  sample sets including sample set name, pollution category, the start and end date in Korea standard time (KST = UTC + 9 hr), as well as the sample averages of T, wind speed and direction, RH, and P. Sample flow rate was 9.71 L min $^{-1}$  for all sample sets.

Set	Pollution Category	Start Time (KST)	End Time (KST)	T (°C)	Wind Speed (m s <sup>-1</sup> )	Wind Direction (°)	RH (%)	P (hPa)
A	Polluted	3/4/2019 13:21	3/5/2019 08:10	7.2	3.0	236	79	1009
В	Polluted	3/5/2019 16:24	3/6/2019 09:19	6.4	1.7	224	74	1010
С	Polluted	3/6/2019 10:32	3/6/2019 17:48	9.1	2.7	307	66	1007
D	Transition	3/6/2019 19:26	3/7/2019 09:15	4.5	3.9	221	75	1008
Е	Clean	3/7/2019 10:21	3/8/2019 09:28	5.7	2.9	295	52	1014
F	Clean	3/8/2019 10:19	3/8/2019 17:34	8.9	3.2	244	47	1017
G	Clean	3/10/2019 12:14	3/11/2019 09:08	8.3	2.8	220	51	1002

The IC analysis has been described in other works (Stahl et al., 2020;MacDonald et al., 2018;Cruz et al., 2019), with limits of detection (LOD) for each species examined shown in Table S1. Values measured below the LOD are replaced with LOD/2, with the percent of such samples per species provided in Table S1. For anion analysis, an AS11-HC 250 mm column and potassium hydroxide eluent were used with the following gradient program with a suppressor (AERS 500e) current of 28 mA: begin at 2 mM, increase to 8 mM from 0 to 20 minutes, and then increase from 8 to 28 mM from 20 to 30 minutes. Cation analysis involved a CS12A 250 mm column and methanesulfonic acid eluent with the following program with a suppressor (CERS 500e) current of 22 mA: start at 5 mM, isocratic from 0 to 13 minutes, increase from 5 to 18 mM from 13 to 16 minutes, and then isocratic at 18 mM from 16 to 30 minutes. Sample concentrations were corrected using background sample concentrations for individual species, which included sodium (Na $^+$ ), ammonium (NH $^+$ ), potassium (K $^+$ ), magnesium (Mg $^+$ ), calcium (Ca $^+$ ), chloride (Cl $^-$ ), NO $^+$ , SO $^+$ 2, methanesulfonate (MSA), adipate, maleate, oxalate, and phthalate. The latter five species were summed in parts of the analysis and referred to as "organic acids" with the caveat that they represent the dissociation anion of either sulfonic or organic acids.

Concentrations for SO<sub>4</sub><sup>2</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, and Ca<sup>2+</sup> refer to their non-sea salt (NSS) values based on calculations relying on the measured concentrations of Na<sup>+</sup> and its ratio with these species in pure sea salt (Seinfeld and Pandis, 2016). The mean percentage of sea salt mass removed for those four species relative to total speciated mass of each filter set (excluding the sea salt portion of those four species) was 2%. Those species for which more than 15% of samples were below the LOD (i.e., Mg<sup>2+</sup>, maleate, phthalate, adipate, MSA) are not discussed on an individual basis in this study but are used in calculations dependent on the cumulative dataset such as the overall charge balance; the exception is bromide (Br), which is fully removed from calculations as it was always below the LOD

#### 2.1.2 Sungi Site (Incheon)

We use  $PM_{2.5}$ ,  $O_3$ , CO,  $NO_2$ , and  $SO_2$  data at one hour time intervals collected at Sungi (4146 m ASL; 37° 27' 34.74"N, 126° 39' 27.31"E) by NAMIS. These parameters are measured based on the following methods:  $PM_{2.5} = Beta$ -ray absorption method Attenuation Monitoring (BAM; MetOne Ins.),  $SO_2 = pulsed$  ultraviolet fluorescence method, CO = non-dispersive infrared method,  $NO_2 = chemilluminescent method, <math>O_3 = ultraviolet$  photometric method. The gases were monitored by Teledyne API products ( $SO_2 = 100E$ ,  $NO_2 = 200E$ ,  $O_3 = 300E$ , CO = 400E). Of note is that the  $PM_{2.5}$  measured at Sungi and Inha University with independent techniques generally agreed well during the study period (Fig. 1) with a coefficient of determination ( $R^2$ ) of 0.82.

#### 2.1.3 Seoul Intensive Monitoring Station

Gas and aerosol ( $PM_{10}$  and  $PM_{2.5}$ ) data are used from the Seoul site (6730 m ASL;  $37^{\circ}$  36' 38.40''N,  $126^{\circ}$  56' 1.36''E), which is independent from the NAMIS network. The following parameters were measured:  $PM_{2.5}$  and  $PM_{10}$  = Beta-ray absorption method Attenuation Monitoring (BAM), ionic species concentrations ( $SO_4^{-2}$ ,  $NO_3$ ', Cl',  $Na^{+}$ ,  $NH_4$ ',  $K^{+}$ ,  $Mg^{2^{+}}$ ,  $Ca^{2^{+}}$ ) = Ambient Ion Monitor (URG 9000D, URG Co.) and ion chromatography (Dionex, DX-1 000; IonPac AS14A and the CS12A columns), organic carbon (OC) and elemental carbon (EC) = semi-continuous OC/EC analyzer (Sunset Laboratory) based on the thermal/optical reflectance (TOR) method, elemental concentrations (Si, Ti, V, Mn, Fe, Ni, Cu, Zn, As, Se, Pb) = online X-ray fluorescence (XRF) analyzer (Xact-420, Cooper Environmental Co.),  $SO_2$  = pulsed ultraviolet fluorescence method, CO = non-dispersive infrared method, CO = chemiluminescent method, CO = ultraviolet photometric method. Gases were monitored with the same techniques as at the Sungi site. All speciated aerosol information at Seoul are for  $PM_{2.5}$ .

#### 2.2 Meteorological data

Meteorological data for winds, temperature (T), pressure (P), relative humidity (RH), and rain were used from monitoring stations in Incheon and Seoul. The Korea Meteorology Administration provided data from an Automated Synoptic Observing System (ASOS) for the Incheon station (70 m ASL; 37° 28' 39.85"N, 126° 37' 28.40"E) (kma.go.kr), which is located ~5 km northwest of the Inha University sampling site. Meteorological data were collected at the same site described for Seoul in Sect. 2.1.3. Specific humidity (q) was calculated using measured values of T, P, and RH (Bolton, 1980).

Planetary boundary layer height (PBLH) data are used from Modern Era Retrospective-analysis for Research and Application version 2 (MERRA-2) (Gelaro et al., 2017). MERRA-2 uses the Goddard Earth Observing System Data Assimilation System Version 5 (GEOS-5) and is hosted and maintained by the National Aeronautics and Space Administration (NASA) Global Modeling and Assimilation Office (GMAO). The MERRA-2 PBLH product is provided as part of the surface flux diagnostics dataset (GMAO, 2015). The resolution of the PBLH product is 0.5° x 0.625° and is assimilated on an hourly timescale. All four sample sites described above are within the same grid point (37.500°N, 126.875°E) in the MERRA-2 PBLH product.

# 2.3 Trajectory and Chemical Transport Modeling

We use the NOAA HYSPLIT model (Rolph et al., 2017; Stein et al., 2015) for air mass back-trajectory information. We rely on the Global Data Assimilation System (GDAS) at  $0.5^{\circ} \times 0.5^{\circ}$  resolution and the model vertical velocity method for treating vertical motion. Four day back-trajectories were calculated for each hour between 4-11 March 2019. We use an ending altitude of 500 m AGL at the Inha University sampling site, which was sufficient to represent the predominant sources impacting the various sample sites during the week of focus owing to their close proximity. This ending altitude has proved to be successful for other surface air quality studies in other regions (e.g., Aldhaif et al., 2021; Crosbie et al., 2014; Mora et al., 2017; Hersey et al., 2015) and nearby in southeast Asia (e.g., AzadiAghdam et al., 2019). We obtained data for the following parameters along trajectories: ambient temperature (°C), rainfall (mm hr¹), mixed layer depth (m), RH (%), and downward solar radiation flux (W m²)

For large-scale background aerosol information we rely on the Navy Aerosol Analysis and Prediction System (NAAPS) (Lynch et al., 2016) accessible at <a href="https://www.nrlmry.navy.mil/aerosol/">https://www.nrlmry.navy.mil/aerosol/</a>. We specifically use the reanalysis version of NAAPS, called NAAPS-RA. This is a chemical transport model with 25 vertical levels using a terrain-following sigma-pressure coordinate system that provides data in 1° × 1° grids every 6 hours. The model is driven by the Navy Global Environmental Model (NAVGEM) for meteorological information (Hogan et al., 2014). The final reanalysis results are created after assimilating Moderate Resolution Imaging Spectroradiometer (MODIS) and Multi-angle Imaging Spectro Radiometer (MISR) data into the model (Zhang and Reid, 2006;Hyer et al., 2011). Reanalysis output is provided for dust, sea salt, open biomass burning smoke,

and "anthropogenic and biogenic fine (ABF)" that includes secondarily produced species (i.e.,  $SO_4^2-\frac{\pi}{2}$  and SOA) and primary organic aerosols, and SOA mainly confined to the fine mode (< 1  $\mu$ m).

#### 3 Time Series of PM Concentrations

March 2019 was characterized by a major transboundary pollution event impacting the Korean peninsula with visual satellite imagery (Fig. \$251) clearly showing the presence and then absence of significant haze. Owing to their proximity to one another, the Inha and Sungi stations in Incheon revealed similar values and changes in PM<sub>2.5</sub> (R<sup>2</sup> = 0.82 for the full study period) with a significant reduction from polluted (~115  $\mu$ g m<sup>-3</sup>) to transition (~63  $\mu$ g m<sup>-3</sup>) and clean (~40  $\mu$ g m<sup>-3</sup>) periods (Table 3 and FigFigs. 1-2). Both Inha and Sungi PM<sub>2.5</sub> levels were strongly correlated with the mean of data from 17 NAMIS stations across Incheon (Fig. \$41: R<sup>2</sup> of 0.82 and 0.98, respectively).

Table 3. Average Incheon meteorological, aerosol, and gas parameters for the polluted, transition, and clean time periods, with standard deviations shown in parentheses. Times in KST: Polluted = 4 March 10:00 - 6 March 19:00; Transition = 6 March 19:00 - 7 March 09:15; Clean = 7 March 09:15 - 11 March 10:00. Speciated concentrations from Inha represent mass for particles with diameters above 0.016 µm (i.e., full size range of DLPI\* sampler) and are weighted by sample duration when calculating the time period average; species with concentrations below their respective LOD in more than 50% of samples (see Table S1) are not shown. Standard deviations are not shown for the transition period speciated data owing to there only being one sample set.

Site	Parameter	Polluted	Transition	Clean	
	T (°C)	7.5 (2.1)	4.7 (1.0)	6.7 (3.2)	
	Wind Speed (m s <sup>-1</sup> )	2.5 (0.9)	3.7 (1.3)	2.6 (1.2)	
	Wind Direction (°)	247 (45)	236 (150)	219 (126)	
Incheon Meteorological	RH (%)	73 (15)	77 (8)	51 (20)	
Meteorological Site	$q (g kg^{-1})$	4.63 (0.61)	4.07 (0.64)	2.95 (0.81)	
Site	P (hPa)	1009 (2)	1008 (1)	1011 (5)	
	PBLH (km)	0.45 (0.56) 1.87 (1.28) 0 (0) 0 (0) 110 (17.9) 61.8 (3.9) 18.9 (5.1) 11 (1.9) 32.2 (12.1) 10.3 (-) 19.2 (7.7) 8.2 (-)	0.53 (0.63)		
	Rain (mm)	0 (0)	0 (0)	0 (0)	
	PM <sub>2.5</sub> (μg m <sup>-3</sup> )	110 (17.9)	61.8 (3.9)	43.6 (20.7)	
	$\alpha  (\mathrm{Mm^{\text{-}1}})$	18.9 (5.1)	11 (1.9)	8.6 (3.1)	
	$NO_3^-(\mu g m^{-3})$	32.2 (12.1)	10.3 (-)	3.2 (1.1)	
	$NH_4{}^+(\mu g\;m^{\text{-}3})$	19.2 (7.7)	8.2 (-)	5.6 (3.8)	
Inha	$SO_4^{2-}(\mu g m^{-3})$	15.4 (5.2)	7.0 (-)	1.8 (0.6)	
University	$K^+$ (µg m <sup>-3</sup> )	0.7 (0.3)	0.7 (-)	0.2 (0.05)	
	$Ca^{2+}(\mu g m^{-3})$	2.0 (0.4)	1.9 (-)	1.7 (0.6)	
	Cl <sup>-</sup> (µg m <sup>-3</sup> )	2.0 (1.1)	1.6 (-)	0.8 (0.1)	
	$Na^+(\mu g m^{-3})$	1.1 (0.2)	1.5 (-)	0.8 (0.1)	
	Oxalate (µg m <sup>-3</sup> )	0.7 (0.4)	0.3 (-)	0.2 (0.01)	
Sungi	$PM_{2.5} (\mu g m^{-3})$	117.3 (20.5)	64.6 (6.6)	36.2 (14.1)	
	CO (ppmppb)	<del>0.</del> 927 ( <del>0.</del> 197)	<del>0,</del> 800 ( <del>0.068</del> <u>68</u> )	<del>0,</del> 578 ( <del>0,</del> 154)	
	NO <sub>2</sub> (ppmppb)	0.045 (0.019 <u>45 (19</u> )	0.032 (0.01432 (14)	<del>0.037 (0.016</del> 37 (16)	
	O <sub>3</sub> (ppmppb)	0.037 (0.016 <u>37 (16</u> )	0.031 (0.01131 (11)	<del>0.027 (0.016</del> 27 (16)	
	SO <sub>2</sub> (ppmppb)	<del>0.007 (0.002</del> 7 (2 <b>)</b>	0.006 (0.001 <u>6</u> (1 <b>)</b>	0.008 (0.004 <u>8</u> (4 <b>)</b>	

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Table 4. Average meteorological, aerosol, and gas parameters for the polluted, transition, and clean time periods measured at the Seoul sampling site, with standard deviations shown in parentheses. Note that organic aerosol (OA) concentration was calculated by multiplying the OC concentration by a factor of 1.8 (i.e., OA = OC  $\times$  1.8).

Parameter	Polluted	Transition	Clean
T (°C)	9.3 (3.0)	5.7 (2.0)	7.6 (4.2)
Wind speed (m s <sup>-1</sup> )	1.9 (0.8)	1.8 (1.0)	1.6 (1.0)
Wind direction (°)	240 (59)	261 (104)	178 (128)
RH (%)	55 (17)	69 (5)	36 (14)
$q (g kg^{-1})$	3.80 (0.66)	3.87 (0.31)	2.17 (0.64)
P (hPa)	1018 (3)	1016 (1)	1019 (5)
PBLH (km)	0.45 (0.56)	1.87 (1.28)	0.53 (0.63)
Rain (mm)	0 (0)	0 (0)	0 (0)
$PM_{10} (\mu g m^{-3})$	176.0 (35.0)	90.8 (43.8)	50.3 (16.6)
PM <sub>2.5</sub> (μg m <sup>-3</sup> )	127.2 (27.5)	70.6 (32.5)	30.5 (9.9)
$PM_{10}/PM_{2.5}$	1.39 (0.09)	1.27 (0.12)	1.69 (0.38)
$NO_3^- (\mu g m^{-3})$	43.3 (12.0)	17.3 (9.1)	7.1 (3.4)
$NH_4^+ (\mu g m^{-3})$	21.7 (5.0)	11.1 (5.2)	3.2 (1.3)
$SO_4^{2-}(\mu g m^{-3})$	19.8 (4.2)	12.3 (5.7)	2.5 (1.3)
OA (μg m-3)	17.0 (3.9)	15.3 (5.6)	10.0 (3.4)
OC (μg m <sup>-3</sup> )	9.4 (2.1)	8.5 (3.1)	5.6 (1.9)
$EC (\mu g m^{-3})$	2.9 (0.7)	2.2 (0.8)	1.2 (0.5)
Cl <sup>-</sup> (µg m <sup>-3</sup> )	1.4 (0.6)	0.7 (0.3)	0.5 (0.2)
$K^+(\mu g m^{-3})$	0.3 (0.1)	0.2 (0.1)	0.12 (0.05)
$Ca^{2+}(\mu g m^{-3})$	0.2 (0.1)	0.08 (0.04)	0.07 (0.03)
$Na^+(\mu g m^{-3})$	0.12 (0.04)	0.04 (0.02)	0.05 (0.03)
$Mg^{2+}(\mu g m^{-3})$	0.08 (0.01)	0.03 (0.02)	0.02 (0.02)
Fe (ng m <sup>-3</sup> )	447 (94)	243 (125)	185 (70)
Si (ng m <sup>-3</sup> )	422 (201)	222 (131)	84 (72)
$Zn (ng m^{-3})$	113 (40)	47 (22)	47 (33)

Pb (ng m <sup>-3</sup> )	42 (10)	34 (17)	15 (7)
Mn (ng m <sup>-3</sup> )	28 (7)	14 (8)	10 (5)
Ti (ng m <sup>-3</sup> )	23 (6)	13 (6)	10 (3)
Cu (ng m <sup>-3</sup> )	14 (7)	6 (3)	8 (6)
V (ng m <sup>-3</sup> )	8 (5)	3 (2)	1(1)
As (ng m <sup>-3</sup> )	7 (2)	8 (6)	3 (2)
Se (ng m <sup>-3</sup> )	4(1)	2(1)	1(1)
Ni (ng m <sup>-3</sup> )	3 (2)	1(1)	0.5 (0.5)
CO ( <del>ppm</del> ppb)	<del>1.104 (0.<u>1104 (</u>175)</del>	<del>1.036 (0.</del> <u>1036 (</u> 145)	<del>0.</del> 655 ( <del>0.</del> 139)
O <sub>3</sub> ( <del>ppmppb</del> )	<del>0.038 (0.018</del> 38 (18)	<del>0.029 (0.012</del> 29 (12)	<del>0.023 (0.016</del> <u>23</u>
оз ( <del>рип<u>рре</u>)</del>	0.030 (0.010 <u>30 (10</u> )	0.029 (0.012 <u>29 (12</u> )	<u>(16)</u>
NO <sub>2</sub> (ppmppb)	<del>0.037 (0.014</del> <u>37 (14</u> )	<del>0.030 (0.013</del> 30 (13)	0.038 (0.016 <u>38</u> (16)
			0.006 (0.0026
SO <sub>2</sub> ( <del>ppm</del> ppb)	<del>0.007 (0.001<u>7 (1</u>)</del>	<u>5 (</u> 0. <del>005 (0.000</del> )	(2)

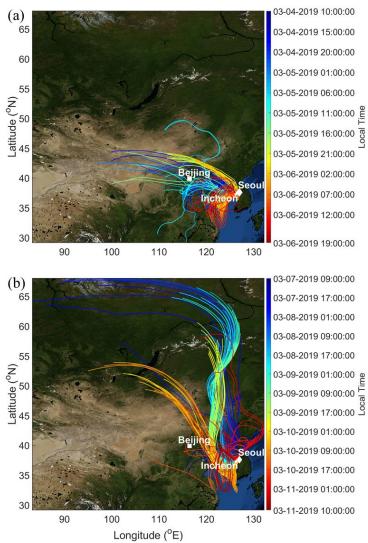


Figure 23. Four-day back-trajectories based on hourly data during the (a) polluted and (b) the clean portion of the study period. The ending point of trajectories is at 500 m AGL at Incheon, with environmental parameters along the trajectories summarized in Fig. \$554. Images retrieved from https://worldview.earthdata.nasa.gov/.

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The  $PM_{10}$ :  $PM_{2.5}$  ratio is helpful to examine whether a divergence in values occurs that would suggest a strong source of dust as compared to typical background conditions. More specifically, higher values of this ratio could potentially suggest enhanced dust influence owing to mass concentrations of dust being abundant above 2.5  $\mu m$ . As  $PM_{10}$  was only measured at Seoul, Table 4 compares the mean ( $\pm$  standard deviation) value of this ratio for the three time periods: polluted =  $1.39 \pm 0.09$ , transition =  $1.27 \pm 0.12$ , clean =  $1.69 \pm 0.38$ . As will be discussed

more subsequently, dust did not drive the high PM concentrations during the polluted period, but rather it was driven in large part by secondarily produced species (i.e.,  $SO_4^{2^*}$ ,  $NO_3^-$ ,  $NH_4^+$ ) that grew into the  $1-2.5~\mu m$  range.

# 4 PM Composition

## 4.1 PM<sub>2.5</sub> Composition

Speciated  $PM_{2.5}$  data from Seoul (Table 4) reveal that the largest contributor was  $NO_3^-$  during the polluted and transition periods, with an average <u>concentration</u> during the polluted period of  $43.3~\mu g~m^{-3}$ . Estimating OA mass from the measured mass concentration of OC (OA  $[\mu g~m^{-3}] = 1.8 \times OC~[\mu g~m^{-3}]$  (Zhang et al., 2005)) indicates that OA dominated the  $PM_{2.5}$  mass during the clean period followed by  $NO_3^-$ . Of all species measured in Table 4,  $SO_4^{-2}$  exhibited the highest relative enhancement during the polluted period versus the clean period (factor of 7.9), whereas  $NH_4^+$  and  $NO_3^-$  were enhanced by factors of 6.8 and 6.1, respectively. OA was only enhanced by a factor of 1.7. In terms of mass concentrations, the difference between the polluted and clean periods for the sum of nitrate, sulfate, and ammonium was 72  $\mu g~m^{-3}$  versus the difference in OA of 7  $\mu g~m^{-3}$  (Table 4). The change in OA is < 10% of the change in the three major inorganic ions.

The strong enhancement of the inorganic constituents owes most likely to rapid production (both locally and in transport) in contrast to transported PM that was already produced upwind; the latter would tend to increase OA along with inorganic constituents more comparably than what was observed. In lesser abundance were Cl<sup>-</sup>, Na<sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, and Ca<sup>2+</sup>, which are linked to sea salt and dust (Seinfeld and Pandis, 2016) and thus expected to have appreciable concentrations above 2.5 µm. In terms of the elemental species, the most prevalent species in all three periods were the crustal tracer species Si and Fe, which were 5.0 and 2.4 times higher in concentration, respectively, during the polluted period versus the clean period (Table 4). Most of the crustal tracer species showed enhancements ranging from 1.8 – 2.8. Only Si, Se, and V showed greater enhancement ratios with the latter two enhanced by factors of 4 and 8, respectively.

The sum of the  $PM_{2.5}$  components using OC in Table 4 accounted for 79% (polluted), 75% (transition), and 68% (clean) of the total  $PM_{2.5}$ , which is partly due to unmeasured species and that OC includes only the carbon mass and not other elements associated with organic compounds. Using OA instead of OC yields improved  $PM_{2.5}$  closure: 85% (polluted), 85% (transition), 83% (clean). This decent level of closure may be largely attributed to the high relative abundance of more easily measured inorganic species, predominantly  $NO_3$ ,  $SO_4^{2-}$ , and  $NH_4^+$ .

# 4.2 Mass Size Distributions

The mass size distribution measurements in Incheon provide a unique look into a typical transboundary pollution event, with the ability to contrast it to the subsequent transition and clean periods. Insights gathered from this analysis have direct relevance to Seoul owing to close proximity to Incheon (~30 km) with the exception of any additional aerosol processing and formation that took place between Incheon and Seoul, including especially Seoul itself. Charge balance details can be found in Sect. S1 and Fig. \$3\$2, with a general anion deficit during the study period, including anions not speciated with the IC technique such as various types of organics.

Figure 34 summarizes size-resolved composition for the polluted, transition, and clean periods of this study. Ions typically associated with primary natural aerosol sources such as sea salt and dust (Arimoto et al., 1992; Seinfeld and Pandis, 2016), including  $\text{Ca}^{2+}$ ,  $\text{Na}^+$ , and  $\text{Cl}^-$ , did not exhibit any significant enhancement during the polluted period (cumulative mass concentrations in Table 3), with varying size distribution peaks based on the species and period during the study. In contrast, the ions linked to secondary formation via gas-to-particle conversion processes (i.e.,  $\text{SO4}^2$ -,  $\text{NO3}^+$ ,  $\text{NH4}^+$ , and organic acids) were dramatically enhanced during the polluted period compared to the clean period (Fig. 34) with the transition in between. These species exhibited their highest concentrations during the polluted period between 0.38 and 3.60  $\mu$ m, a range which includes larger sizes for these secondarily produced species (especially  $\text{SO4}^{2-}$  and  $\text{NH4}^+$ ) as compared to other regions where their peaks are reported to be between 0.3 and 0.6  $\mu$ m (Maudlin et al., 2015;Cruz et al., 2019). During the transition period, the inorganic species exhibited maximum concentrations for particles with diameters between 0.38 and 1.60  $\mu$ m. The clean period was generally marked by these species exhibiting peak concentrations for particles between 0.25 and 0.38  $\mu$ m with a secondary peak from 0.6 and 0.94  $\mu$ m, albeit neither is pronounced.

The likely formation pathway for  $SO_4^{2^2}$ ,  $NO_5$ ,  $NH_4$ , and organic acids in the polluted period was secondary production, which was assisted in part by high humidity as discussed in more detail in Sect. 6.2. Their common formation mechanism is supported by significant correlations ( $r \ge 0.94$ ; see time series in Fig. S5) during the polluted period between  $SO_4^{2^2}$ ,  $NO_3$ ,  $NH_4$ , and oxalate, with the latter being the most abundant organic acid during the entire study period but especially in the polluted period (~70% of organic acid mass). Oxalate is produced efficiently via aqueous-phase chemistry (Sorooshian et al., 2007; Sorooshian et al., 2006; Wonaschuetz et al., 2012). The strong correlation between oxalate and  $SO_4^{2^2}$  during the polluted period is important as a strong

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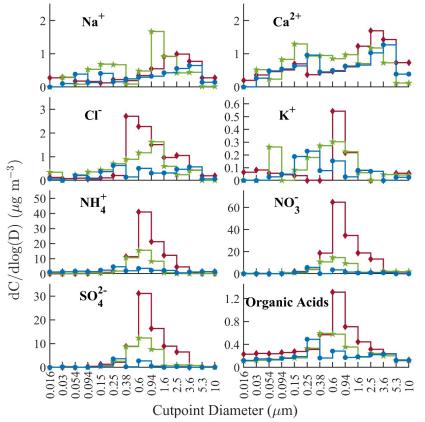


Figure 34. Size-resolved composition dC/dlog(D) for (red) polluted, (green) transition, and (blue) clear sample periods. Organic acids = sum of MSA, adipate, maleate, oxalate, phthalate. Sample set data were weighted by sample duration for the polluted and clean periods (note: transition period had just one set). Species that were below LOD in more than 50% of samples (see Table S1) are not shown.

We next examine if NH<sub>3</sub> was completely neutralized by HNO<sub>3</sub> and H<sub>2</sub>SO<sub>4</sub>. A charge balance between SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup> can indicate complete neutralization with a slope of unity, as has been tested by other studies (e.g., Lee et al., 2003). A charge balance using individual stages of the three polluted sets collected at Inha University (NH<sub>4</sub><sup>+</sup> on y-axis) between these three species (Fig. S4S3) yielded a best-fit line slope and y-intercept of 1.34 and -0.02, respectively (R<sup>2</sup> = 0.99, n = 20). Our data reveal an anion deficit and that there was sufficient NH<sub>3</sub> to fully neutralize HNO<sub>3</sub> and H<sub>2</sub>SO<sub>4</sub>. Furthermore, there likely was limited interaction of these acidic gases with coarse particles (e.g., dust and sea salt) that were relatively low in abundance.

#### 5 Regional Conditions Influencing PM

### 5.1 Atmospheric Circulation and Meteorology

According to previous meteorological analysis (Park et al., 2020a), the airmass history for the polluted period was influenced by the interaction between a Siberian high-pressure system and a migratory anticyclone system, which were located over the Korean peninsula. From 28 February to 1 March 2019, a high-pressure system was located to the west and low-pressure systems were located to the east, all conspiring to yield westerly and northwesterly winds. Lee et al. (2019a) examined a similar time period (27 February - 7 March 2019) and suggested that pollution between 3-5 March was transported to the Seoul area at low and high altitudes from the Shandong Peninsula and Beijing, respectively. Pollution levels were exacerbated over South Korea due to a weak high pressure system lingering over the Korean peninsula between 2 and 5 March (Park et al., 2020a). This is evident from the HYSPLIT back-trajectories (Fig. 2a3a) showing airmasses moving slower in the polluted period versus the subsequent clean period (Fig. 2b3b). Following the lingering period, a low-pressure system moved in over Russia associated with more clouds and rain upwind of the sampling sites (Fig. \$554). There were stronger winds that were northerly between 7 and 11 March. In both the polluted and clean periods, air masses descended from ~2.5 – 4 km four days earlier to within the mixing layer (Fig. \$5\$\frac{55}{2}\$). The NAAPS spatial maps of speciated optical depths (Fig. S6) and surface mass concentrations (Fig. S7) confirm the spatial extent of the regional haze event extending from the Korean peninsula to areas like Beijing with the ABF (Anthropogenic and Biogenic Fine = SO<sub>4</sub><sup>2</sup>, primary organic aerosols, and SOA) component being most prominent during the study period and the driver of the polluted period enhancements in PM, consistent with the dominance of SO<sub>4</sub><sup>2</sup>, NO<sub>3</sub>, and NH<sub>4</sub><sup>+</sup> from the in-situ measurements.

The local weather conditions at both Incheon (Table 3) and Seoul (Table 4) exhibited the following common characteristics: (i) generally low average temperatures (<  $10^{\circ}$ C) that decreased after the polluted period; (ii) low average wind speeds ( $2.5-3.7~m~s^{-1}$  in Incheon, <  $2~m~s^{-1}$  in Seoul); iii) highest mean PBLH during the transition period (1.87~m~m~m compared to  $\leq 0.53~m~m~m$  for the other periods); (iii) lowest average humidity in the clean period (RH  $\leq 51\%$  and  $q \leq 2.95~g~kg^{-1}$ ); and (iv) negligible rain. Although locally there was negligible rain, there was some precipitation along the trajectories arriving at these sites in the polluted and clean periods (Fig. \$5\$4), with some potential to reducedreduce aerosol concentrations via wet removal. The time series of these various environmental conditions at Incheon and Seoul are shown in (Fig. S8-and) demonstrate high temporal similarity and the similar characteristics noted above. The relatively low regional wind speeds during the polluted period (Figs.  $\frac{23}{3}$  and S8) indicate that transport was slow allowing for the accumulation and persistence of the haze over this whole domain.

## 5.2 Gas Concentrations

Gases (CO,  $O_3$ ,  $NO_2$ ,  $SO_2$ ) exhibited fairly similar values (Tables 3-4) and temporal patterns (Fig. S9) between Sungi and Seoul, with CO exhibiting the largest relative reduction between successive periods, followed by  $O_3$ .  $PM_{2.5}$  only exhibited a strong relationship with CO at Sungi and Seoul based on correlation coefficients during the full study period (r = 0.84 and 0.87, respectively). As CO is a tracer for anthropogenic emissions (Fishman and Seiler, 1983), its high correlation with  $PM_{2.5}$  at both sites supports the strong influence of anthropogenic aerosol at both sites. The reduction of CO from the polluted to the clean period is possibly due to Chinese influence; in contrast to South Korea, combustion efficiency has been shown to be worse in China (Halliday et al., 2019), which supports the high CO levels during the polluted period with air masses coming from China. GOCarbon monoxide concentrations in Seoul exceeded Incheon by 177 ppb on average during the polluted period, suggestive of added influence from local emissions in Seoul superimposed on top of the transported pollution. Carbon monoxide is commonly used in calculations related to aerosol transport studies (e.g., Dadashazar et al., 2021; Hilario et al., 2021b) as it is relatively insensitive to wet scavenging processes with a long lifetime in the atmosphere ( $\sim$ 1 month) compared to aerosol particles (Weinstock, 1969).

## 

# 6 Evidence for Enhanced Local Secondary Aerosol Production

# 6.1 Differences Between Seoul and Incheon

We now consider  $PM_{2.5}$  differences between Incheon and Seoul, where the latter exhibits elevated levels during most of the polluted period (Fig. 42). KORUS-AQ research highlighted that while transport brings aerosol particles from upwind sources, high humidity and cloudiness concentrates local pollution in a shallow stable boundary layer, which promotes secondary aerosol production (Jordan et al., 2020). Jordan et al. (2020) showed that Seoul exhibited  $PM_{2.5}$  levels that were on average  $\sim 10~\mu g$  m $^{-3}$  higher than those at Incheon during the transport/haze period of KORUS-AQ that persisted for 7 days. The maximum daily mean enhancement of  $PM_{2.5}$  in Seoul from Incheon over that period was 24  $\mu g$  m $^{-3}$ , while the peak hourly mean enhancement reached 32  $\mu g$ 

 $m^{\text{-}3}$ . These observations were attributed plausibly to more local emissions in Seoul. The same explanation arguably applies to a large extent in our study period too, where the mean difference during the polluted period between Seoul and Incheon  $PM_{2.5}$  was  $10~\mu g~m^{\text{-}3}$  for Sungi and  $17~\mu g~m^{\text{-}3}$  for Inha (Tables 3 and 4) with the peak hourly enhancement between Seoul and Sungi being nearly  $60~\mu g~m^{\text{-}3}$  (Fig. 42). Here again, humidity (both q and RH) is elevated and PBLH is low compared to the subsequent clean period when PM levels were drastically lower. As the two sites are quite close to one another ( $\sim 30~km$ ), the most likely explanation for higher PM levels at Seoul is more local emissions rather than additional aging to produce secondary aerosol species via transport.

## 6.2 Role of Humidity

TheOne finding of this work is the significant amount of secondarily produced species in the supermicrometer range. More specifically, the relative fraction of  $SO_4^{2^*}$ ,  $NO_3^*$ ,  $NH_4^+$ , and organic acids in the supermicrometer range (i.e., technically  $D \ge 0.94~\mu m$ ) as compared to all sizes sampled at Inha ( $D \ge 0.016~\mu m$ ) during the polluted period was 43%, 44%, 42%, and 36%, respectively, which is appreciable and potentially influenced by the humid conditions. More specifically, it is hypothesized that in the polluted period there was both hygroscopic growth of particles and additional chemical uptake in those swollen particles with enhanced aerosolladen water to promote higher concentrations of these secondary species.

Of the meteorological parameters shown in Tables 3-4,  $PM_{2.5}$  levels at Inha University, Sungi, and Seoul were best correlated with q (r=0.66, 0.64,and 0.78,respectively) across the entire study period. The second-best relationship was with RH (r=0.53, 0.47,and 0.53,respectively) with minimal relationships with either temperature (r:0.02-0.17) or wind speed ( $-0.29 \le r \le 0.13$ ). This motivates an examination of the relationships between PM and humidity to assess the plausibility of a role for heterogeneous secondary aerosol production from local and transported gas-phase precursors.

One metric used to quantify such enhanced aerosol production is the oxidation ratio, specifically the sulfur and nitrogen oxidation ratios (SOR and NOR, respectively) where  $SOR = SO_4^{2-}/(SO_4^{2-} + SO_2)$  and  $NOR = SO_4^{2-}/(SO_4^{2-} + SO_2)$ NO<sub>3</sub>\*/(NO<sub>3</sub>\* + NO<sub>2</sub>) (Colbeck and Harrison, 1984). Higher values of SOR and NOR indicate that the gaseous precursors form higher relative amounts of SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub><sup>-</sup>, respectively (Kaneyasu et al., 1995). Previous studies have reported increased SOR and NOR as a function of RH inat several locations throughout China that includesincluding Nanjing, Beijing, Hangzhou, and Xi'an (Zhang et al., 2021;Quan et al., 2015;Wu et al., 2018; Huang et al., 2020; Ji et al., 2018). In particular, Huang et al. (2020) showed that SOR increased exponentially with aerosol water content when RH > 50% in Beijing during polluted periods in the wintertime. Given our finding that q is better correlated with PM<sub>2.5</sub> than RH, we compare SOR and NOR to q (Fig. 45). We evaluate these ratios for our Seoul data only as all the requisite data were measured at the same site. The mean (± standard deviation) of NOR and SOR during the three time periods of the study werewas as follows (NOR/SOR): polluted =  $0.39 \pm 0.1$ /; transition =  $0.22 \pm 0.10$ ; clean =  $0.09 \pm 0.04$ . Similarly, the mean ( $\pm$  standard deviation) of SOR was as follows: polluted =  $0.51 \pm 0.06$ ; transition =  $0.22 \pm 0.10/0.44 \pm 0.14$ ; clean =  $0.09 \pm 0.04/0.14 \pm 0.07$ . We find a positive relationship between NOR and SOR with q(RH), with R<sup>2</sup> values being 0.58(0.24) and 0.82(0.43), respectively. For context, during a polluted period in  $\overrightarrow{Xi}$  an, China, NOR and SOR values were 0.32and 0.33, respectively, with high R<sup>2</sup> values with aerosol water content (NOR = 0.55; SOR = 0.81) (Zhang et al., 2021). While the average q and RH were slightly higher for the transition period in Seoul relative to the polluted period (Table 4), the peak values of q, RH, SOR, and NOR all occurred during the beginning of the polluted period (Fig. S10).

This study's results suggest there was significant heterogeneous processing to produce species like  $SO_4^{2^2}$ ,  $NO_3$ °,  $NH_4$ °, and organic acids above  $\sim 1~\mu m$ . These species accounted for  $\sim 93\%$  of the total speciated ion mass at Inha during the polluted period (Table 3) and are strongly correlated with one another  $(0.93 \le r \le 1.00)$ . Heterogeneous production of inorganic species such as  $SO_4^{2^2}$  in cloudy and humid conditions is common for the study region (Jeon et al., 2021;Jordan et al., 2020;Park et al., 2020). Furthermore, significant secondary production of  $SO_4^{2^2}$  above 1 um at high RH has been noted in Beijing (Wang et al., 2020). Mechanisms potentially responsible include aqueous oxidation by  $O_3$ ,  $H_2O_2$ , and transition-metal ion-catalyzed  $O_2$ , and also heterogeneous oxidation on surfaces of aerosol particles and droplets via the same oxidants (Li et al., 2020). Table 4 shows that at Seoul the concentrations of elements such as Fe, Cu, Zn, and Pb were higher during the polluted period, which is assumed to be similar at Incheon, supporting the possibility of transition metal-catalyzed secondary production of secondary  $SO_4^{2^2}$ . Enhanced aerosol liquid water in more humid conditions also promotes partitioning of species to the aerosol-phase as has been documented for  $SO_3$ 0 in the study region (Seo et al.,  $SO_3$ 0 and is common for organic acid precursors (Hennigan et al.,  $SO_3$ 1, the study region and strong correlation with  $SO_4^{2^2}$ 1,  $SO_3$ 2, and oxalate is related to its key role in salt formation (Paciga et al.,  $SO_3$ 2) and Pandis,  $SO_3$ 3, and oxalate is related to its key role in salt formation (Paciga et al.,  $SO_3$ 2) and Pandis,  $SO_3$ 3, and oxalate is related to its key role in salt formation (Paciga et al.,  $SO_3$ 3, and oxalate is related to its key role in salt formation (Paciga et al.,  $SO_3$ 3, and oxalate is related to its key role in salt formation (Paciga et al.,  $SO_3$ 3, and oxalate is related to its key role in salt formation (Paciga et al.,

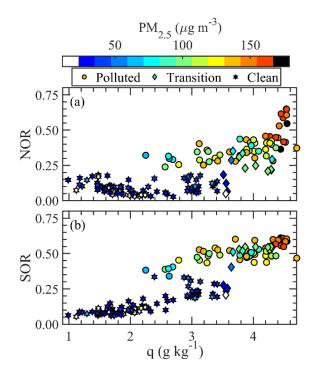


Figure 45. (a) Nitrogen oxidation ratio (NOR) and (b) sulfur oxidation ratio (SOR) as a function of q based on hourly Seoul data. Points are colored by  $PM_{2.5}$  with shapes assigned to the three time periods in the study.

# 6.3 Does PM1 Composition Represent PM2.5 Composition?

The size-resolved composition data in Incheon allows for a comparison of how the composition of  $PM_{0.94}$  differs from the fraction of remaining material contributing to  $PM_{2.5}$  (denoted  $PM_{2.5-0.94}$ ). Figure 56 shows that while the transition and clean periods exhibit differences between the apportionment of the mass between  $PM_{0.94}$  and  $PM_{2.5-0.94}$ , during the polluted period the composition is essentially the same. This supports the argument that the presence of supermicrometer secondary inorganic species derives from the same processes the give rise to those compounds in  $PM_{0.94}$ . Hence, composition measurements using instruments that exclude supermicrometer particles can be used to investigate the composition and evolution of East Asian haze events. Further, models can reliably apply  $PM_1$  composition apportionment to the full  $PM_{2.5}$  size range in their assessments of sources and mitigation strategies for these events. A cautionary note is that these implications apply when  $PM_1$  is dominated by inorganics, as with our case, with a limitation of our analysis being the lack of comprehensive size-resolved OC measurements. However, the differences evident in the transition and clean periods imply that under other atmospheric conditions  $PM_1$  composition measurements will not fully reflect the apportionment of  $PM_{2.5}$  aerosols. Another important conclusion from Fig. 56 is that the relative amount of  $PM_{2.5-0.94}$  versus  $PM_{0.94}$  was highest in the polluted period (39% of speciated  $PM_{2.5}$  vs. 28% for transition and 21% for clean), further reinforcing that there was increased production of secondarily formed inorganic species in the coarse mode.

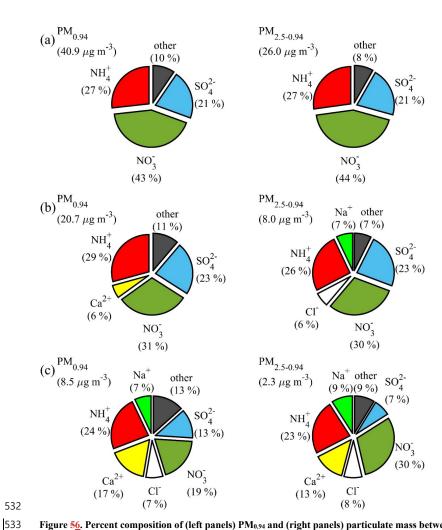


Figure 56. Percent composition of (left panels)  $PM_{0.94}$  and (right panels) particulate mass between 0.94 and 2.50  $\mu$ m ( $PM_{2.5-0.94}$ ) for the (a) polluted, (b) transition, and (c) clean periods. Any parameter with percent mass composition  $\leq 5\%$  is grouped into the 'other' category of each diagram. Mean mass concentrations associated with  $PM_{0.94}$  and  $PM_{2.5-0.94}$  for the three time periods (weighted by sample duration for each period) are provided next to each pie.

## 6.4 Atypically High Nitrate-dominated Haze Event

Contrasting this pollution event with another one investigated during KORUS-AQ (May – June 2016) points to a surprising difference in the relative amount of NO<sub>3</sub><sup>-</sup> versus SO<sub>4</sub><sup>2-</sup> (Jordan et al., 2020). The KORUS-AQ event exhibited comparable amounts between the two species. Compared to that event's concentrations, NO<sub>3</sub><sup>-</sup> is about an order of magnitude larger in this study, while SO<sub>4</sub><sup>2-</sup> is only about a factor of two greater. Gas-phase SO<sub>2</sub> is comparable between the two events. The difference is most likely explained by the much lower temperatures in the March 2019 event relative to the other study, which is thermodynamically more favorable for

  $\rm HNO_3$  partitioning to the particle phase to increase  $\rm NO_3$ -levels (Seinfeld and Pandis, 2016). The ratio  $\rm NO_3$ : $\rm SO_4^{2-}$  based on the full size distribution of the Inha filter sets was ~2.1 during the polluted period, where for the Seoul  $\rm PM_{2.5}$  data the ratio was ~2.2. The relative production of  $\rm NO_3$ - relative to  $\rm SO_4^{2-}$  likely varies seasonally with colder temperatures and higher humidity more conducive to higher  $\rm NO_3$ - and thus  $\rm PM_{2.5}$  levels. High  $\rm NO_3$ - events are were not particularly common, as shown especially for Beijing (Yang et al., 2017), requiring favorable conditions such as cold temperatures, high humidity, a shallow boundary layer, and high precursor levels. TheseHowever, with reductions in sulfate precursor emissions, high  $\rm NO_3$ - events are increasingly reported in the literature (e.g., Xu et al., 2019; Zhou et al., 2022). The factors all conspired togetherobserved here in the March 2019 transboundary pollution event led to yield such high  $\rm NO_3$ - levels.—

#### 7 Conclusions

This work relies on a unique set of datadataset collected during a major transboundary pollution event that impacted the Korean peninsula in March 2019. In-situ gas, aerosol, and meteorological data are compared between Incheon (coastal) and Seoul (inland) along with the use of HYSPLIT and NAAPS reanalysis data. The results reveal notable features that are important for both regulatory purposes and general understanding of aerosol transport and formation processes.

- The pollution event stemmed from westerly transport under meteorological conditions that promoted secondary inorganic aerosol production in Incheon and Seoul.
- Seoul exhibited significantly higher PM<sub>2.5</sub> levels than Incheon during the polluted period with the
  difference arising from some combination of local emissions and extensive secondary aerosol formation
  due to favorable environmental conditions: low temperatures, elevated q and RH, and a shallow boundary
  layer.
- Secondarily produced inorganic and organic acids exhibited significant mass concentrations above 0.94 µm during the polluted period (~40% of total mass), and their size-resolved concentrations were highly correlated (0.94 ≤ r ≤ 1.00). The lack of coarse particle influence in promoting concentrations of species like SO<sub>4</sub><sup>2</sup>, NO<sub>3</sub>, NH<sub>4</sub><sup>+</sup>, and oxalate provided added support for the role of secondary aerosol formation assisted by high humidity. PM<sub>2.5</sub> at Seoul and Incheon were best related to q and RH as compared to other examined meteorological parameters. The higher humidities during the polluted period were coincident with increased sulfur and nitrogen oxidation ratios. This highlights the importance of heterogeneous processing and hygroscopic growth in contributing to the high supermicrometer concentrations of inorganic and organic acids in the polluted period. Increased particle size with hygroscopic growth in the humid conditions likely led to increased chemical uptake.
- Atypically highHigh values of both NO<sub>3</sub><sup>-</sup> mass and NO<sub>3</sub><sup>-</sup>:SO<sub>4</sub><sup>2</sup>- mass ratios were observed at both Incheon and Seoul, likely due to low temperatures promoting efficient NO<sub>3</sub><sup>-</sup> formation.

The size distribution information from this work addresses specific concerns that have been raised about the applicability of  $PM_1$  composition datasets to understanding  $PM_{2.5}$  air quality exceedances in East Asian haze events. Here, we show that  $PM_{2.5}$  composition apportionment for water-soluble ions is fully captured by the  $PM_1$  fraction for this haze pollution\_event. Greater differences in the composition apportionment were observed for other atmospheric conditions- after the haze period of this study. The contrast in the dominance of  $NO_3$ - here (March 2019,  $T \approx 9^{\circ}C$ ) versus the comparable amounts of  $NO_3$ - and  $SO_4$ - observed during the KORUS-AQ campaign haze event (May 2016,  $T \approx 20^{\circ}C$ ) points to the importance of conducting measurements at different times of the year to more fully understand haze formation and its impacts on air quality. Equally important is the use of these data to rigorously test apportionment of  $PM_{2.5}$  composition in air quality models to ensure that the integrated impacts of transport and enhanced chemistry are adequately represented. This work contributes to the growing body of data required for ongoing model assessments of  $PM_{2.5}$  that will inform mitigation strategies to improve air quality in South Korea.

## Data Availability.

The sampled aerosol and meteorological data used in this study can be accessed at https://doi.org/10.6084/m9.figshare.16910686.v1. The NAAPS data used in this study can be accessed at https://nrlgodae1.nrlmry.navy.mil/cgi-bin/datalist.pl?dset=nrl naaps reanalysis&summary=Go.

Author contributions.

Joseph Schlosser, Armin Sorooshian, Carolyn Jordan, Katharine Travis, and James Crawford performed the analysis and Joseph Schlosser and Armin Sorooshian prepared the manuscript. Jong-sang Youn, Connor Stahl, and Yen Thi-Hoang Le, Hye-Jung Shin, and In-ho Song conducted sample collection and/or analysis. All authors provided editorial support.

Competing interests.

The authors declare that they have no conflict of interest.

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