



# Estimation of isentropic stirring and mixing and their diagnosis for the stratospheric polar vortex

Zhiting Wang<sup>1</sup>, Nils Hase<sup>2</sup>, Wenshou Tian<sup>1</sup>, Mengchu Tao<sup>3</sup>

1. College of Atmospheric Science, Lanzhou University, 730000 Lanzhou, China

5           2. Institute of Environmental Physics, University of Bremen, Bremen, Germany

3. Carbon neutrality research center, Institute of Atmospheric Physics, Chinese Academy of  
Science, China

10

15

*Corresponding author:* Zhiting Wang, [wangzt@lzu.edu.cn](mailto:wangzt@lzu.edu.cn)

20



## Abstract

25 Isentropic stirring and mixing are important processes that determine the distribution of long-lived  
trace gases in the stratosphere. Stirring stretches tracer contours into filaments and mixing dissipates  
tracer variance. The combined effects on tracer transport by stirring and mixing are quantified by  
the effective diffusivity in the modified Lagrangian-mean (MLM) theory that diagnoses tracer  
transport in an areal coordinate. Here a method is developed to diagnose transport processes based  
30 on tracer contours in geographic coordinates. Compared to the MLM theory this method has  
resolving ability along tracer contours and quantifies stirring and mixing separately. Also, the  
influence of diabatic motion on the diagnosed stirring is reduced, which is useful for stratospheric  
analysis where diabatic motion is uncertain. The developed method is validated in a methane  
simulation experiment. The diagnosed stirring effects are consistent with established knowledge  
35 about stratospheric dynamics. Finally, stirring and mixing effects on trace gases in the polar vortex  
are diagnosed during a northern polar vortex period. According to the diagnosis stirring and mixing  
always increase the methane concentration in the polar vortex. However, their effects are reversed  
by vortex movement and deformation in most cases. Only in a few cases, planetary waves can  
penetrate into the vortex and stirring increases the methane concentration in the vortex. The  
40 developed method is readily applicable to diagnose stratospheric transport processes from satellite  
observed trace gas distributions.

## 1 Introduction

Distributions of long-lived trace gases in the stratosphere are determined mostly by the residual  
circulation and isentropic stirring and mixing (Haynes, 2004). Air following the residual circulation  
45 ascends around the tropics, moves polewards and descends in high latitudes. Most long-lived trace  
gases have chemical sinks in the stratosphere. These chemical sinks decay the tracer and produce  
gradients in its distribution that reflect the residence time of the air mass in the stratosphere. As a  
result, the residual circulation always tends to produce a tropics-to-pole gradient in the tracer  
distribution on each isentropic surface. Along isentropic surfaces, wave disturbances, e.g. planetary  
50 waves, stretch and deform the contours of the trace gas. This process is referred to as isentropic  
stirring. Mixing describes the effect of small-scale motions such as turbulent and molecular



diffusion that lead to an irreversible fusion of air masses. Stirring can enhance mixing by producing long, filamentary contours and stronger gradients across the contour. In contrast to the residual circulation, isentropic stirring and mixing tend to decline the tropics-to-pole gradient in the trace gas distributions.

One of the theories that describe these physical processes is the modified Lagrangian-mean (MLM) framework (Nakamura, 1995 and 1996). The MLM approach defines an areal/mass coordinate for each value of the mixing ratio as the area/mass enclosed by the corresponding contour. In this way, a functional relationship between the mixing ratio and the areal coordinate can be established. In the two-dimensional case, e.g. along isentropic surfaces, the advection-diffusion equation with respect to spatial coordinates transforms into a one-dimensional diffusion equation with respect to the areal coordinate. In this diffusion equation the combined effect of stirring and mixing is represented by a single parameter referred to as effective diffusivity. It consists of the constant diffusivity of the advection-diffusion equation and a variable scaling referred to as equivalent length. The diffusivity can be estimated by means of the tracer variance equation (Allen and Nakamura, 2001).

The effective diffusivity has been interpreted to diagnose transport barriers and regions with strong mixing in the atmosphere and the ocean (Nakamura and Ma, 1997; Haynes and Shuckburgh, 2000; Allen and Nakamura, 2001; Marshall et al. 2006; Abernathy et al. 2010). One advantage of the MLM theory is that fluxes along the areal coordinate are diagnosed based on the contour of the tracer without using the wind field. This characteristic is valuable in stratospheric applications since accurate wind information are much sparser than trace gas observations in the stratosphere. Further developments of the MLM theory include partitioning of the fluxes into opposing directions (Nakamura, 2004), incorporating diabatic diffusion into the effective diffusivity (Leibensperger and Plumb, 2014) and extending the concept of effective diffusivity to the troposphere (Chen and Plumb, 2014).

A shortcoming of the MLM theory is that the diagnosis based on the areal/mass coordinate does not have resolvability along the tracer contours. This aspect is inconvenient when the theory is applied to trace gases in the stratosphere whose mixing ratios usually present extremes in the tropics. A separate application of the MLM theory to each hemisphere leads to confusion in the tropics since the rising branch of the residual circulation moves North and South during a year. Moreover, trace gases like water vapor and ozone have additional minima in the polar vortex, especially the southern one. In this case, diagnosis defined on the contour of trace gases are averages over well separated regions and not easy to interpret. Attempts to adapt the Lagrangian-mean formalism to a regional mixing diagnostic include Nakamura (2001) and D'Ovidio et al. (2009). The diagnostic



85 'mixing efficiency' proposed in Nakamura (2001) is the Eulerian-mean counterpart to the  
 equivalent length in the MLM theory. The mixing efficiency relies on a spatial averaging operator  
 over latitudes and longitudes and only represents stirring processes with scales smaller than those of  
 the averaging operator. In contrast, the equivalent length includes all scales above turbulence. The  
 diagnostic by D'Ovidio (2009) combines the tracer-based effective diffusivity and the particle-  
 90 based Lyapunov exponent calculation and requires more meteorological data than the MLM  
 method.

In this study, we develop a method that diagnoses transport processes with respect to the geographic  
 coordinate based on the tracer contour. The diagnosis has resolvability along the tracer contour and  
 differentiates stirring and mixing. Stirring and mixing have different roles in distributing trace gases  
 95 in the stratosphere and are controlled by horizontal wind and turbulent processes, respectively. Also,  
 the developed method reduces the influence of diabatic motion on the diagnosed stirring, which is  
 advantageous for stratospheric analysis where observations of diabatic heating are rare.

The remainder of the article is organized as follows: the method is developed and described in Sect.  
 2. In Sect. 3 we describe the numerical setup that we use as a test case for our method. The analysis  
 100 of this numerical scenario is described in Sect. 4. A discussion of the method follows in Sect. 5  
 before we close with a conclusion.

## 2 Method

In the stratosphere trace gas contours along isentropic surfaces are modified continuously by  
 horizontal motion along the isentropic surfaces, diabatic motion across the isentropic surfaces,  
 105 diffusion and chemical sources and sinks. In this section we derive an expression that describes the  
 stretching and deformation of the contour by horizontal motion along isentropic surfaces. We refer  
 to this quantity as (isentropic) stirring. The quantity is based on the evaluation of the contour and  
 does not require isentropic wind information. The derivation of the diagnostic formulas for  
 isentropic stirring is based on the modified Lagrangian-mean framework (e.g. Nakamura, 1995),  
 110 which we briefly review in the following paragraph.

### 2.1 Modified Lagrangian-mean framework

Consider a long-lived atmospheric trace gas distribution with mixing ratio  $q=q(x, y, \theta, t)$ ,  
 where  $x$  and  $y$  are geographic coordinates,  $\theta$  is the vertical potential temperature coordinate and  
 $t$  is time. The temporal evolution of  $q$  is described by the advection-diffusion equation



$$115 \quad \frac{\partial q}{\partial t} = -\vec{v} \cdot \nabla q - \dot{\theta} \frac{\partial q}{\partial \theta} + \dot{q}, \quad (1)$$

where the first term describes advection by isentropic wind along the isentropic surface, the second term describes advection by diabatic (vertical) motion  $\dot{\theta}$  and  $\dot{q}$  summarizes all nonconservative processes, particularly turbulent and molecular diffusive mixing, sources and sinks.

120 Following the concept of the modified Lagrangian-mean (Nakamura, 1995) the density-weighted areal integral of a function  $f$  over the area enclosed by the contour line  $q$  on the isentropic surface  $\theta$  is defined by

$$M(f)(q, \theta, t) = \iint_{q'(x, y, \theta, t) \leq q} f(x, y, \theta, t) \sigma d(x, y),$$

125 where  $\sigma = -g^{-1} \partial p / \partial \theta$  is the potential temperature coordinate density and  $d(x, y)$  is an infinitesimal area on the  $xy$ -plane. Note that the mass enclosed by the contour line and the isentropic surfaces  $\theta$  and  $\theta + \delta \theta$  is just  $m \delta \theta$ , where  $m$  is

$$m(q, \theta, t) = M(1)(q, \theta, t).$$

Generally, the relationship between  $m$  and  $q$  on an isentropic surface  $\theta$  at any time  $t$  is one-to-one mapping. This property allows to establish the inverse relationship, i.e.  $q = q(m, \theta, t)$ . As a  
 130 consequence, functions of  $q$  can also be interpreted as functions of  $m$ , e.g.

$$M(f)(m, \theta, t) = M(f)(q(m, \theta, t), \theta, t).$$

For our derivation the function  $m(x, y, \theta, t) = m(q(x, y, \theta, t), \theta, t)$  will be of special importance. It can be interpreted as scaled version of  $q$  with the time-varying scaling given by the relation  $m(q, \theta, t)$  (see Fig. 1 for an illustration).

135 The modified Lagrangian-mean approach observes the evolution of the atmospheric tracer with respect to this mass coordinate  $m$ , rather than in geographic coordinates  $x$  and  $y$ . The evolution equation of  $q$  with respect to  $m$  is given by (Nakamura, 1995, Eq. 2.7 (a,b,c))

$$\frac{\partial q}{\partial t} \Big|_{m, \theta} = \left( \frac{\partial M(\dot{q})}{\partial q} \Big|_{\theta, t} + \frac{\partial M(\dot{\theta})}{\partial \theta} \Big|_{m, t} \right) \frac{\partial q}{\partial m} \Big|_{\theta, t} - \hat{\theta} \frac{\partial q}{\partial \theta} \Big|_{m, t}, \quad (2)$$

where  $\hat{\theta} = \frac{\partial M(\dot{\theta})}{\partial m} \Big|_{\theta, t}$  is a weighted average with weighting corresponding to the mass between  
 140 the contour and a neighbouring contour with an infinitesimally different value of  $m$ . It states that the relation  $q(m)$  in an isentropic layer changes by nonconservative processes  $\dot{q}$  and diabatic motion



$\dot{\theta}$ . For a volume bounded by the contour and two isentropic surfaces, the first term on the right hand side represents the diffusive flux across the bounding contour while the other two describe the divergence of diabatic mass flux and vertical transport.

145 In the following,  $q$  is referred to different sets of variables, i.e.  $(x, y, \theta, t)$ ,  $(q, \theta, t)$  or  $(m, \theta, t)$  whenever required. The overline operator,  $\overline{(f)} = \int f d(x, y) / \int d(x, y)$ , denotes the global area-weighted average over an isentropic surface.

## 2.2 Derivation of the diagnostic method

Based on the Lagrangian-mean framework, we derive expressions for isentropic stirring and  
 150 mixing. After a partly technical derivation we present the final forms of both quantities at the end of this section.

The derivation starts by expressing the Eulerian partial time-derivative in Lagrangian-mean coordinates, i.e.

$$\frac{\partial q(x, y, \theta, t)}{\partial t} \Big|_{x, y, \theta} = \frac{\partial q(m, \theta, t)}{\partial m} \Big|_{\theta, t} \frac{\partial m}{\partial t} \Big|_{x, y, \theta} + \frac{\partial q(m, \theta, t)}{\partial t} \Big|_{m(x, y, \theta, t), \theta} \quad (3)$$

155 The left hand side of the equation denotes local changes to the trace gas mixing ratio  $q$  described by the processes of the Eulerian evolution equation (1). The second term on the right hand side only represents processes that change the distribution of  $q$  with respect to the mass coordinate in the Lagrangian-mean evolution equation (2). These nonconservative processes include sources and sinks, diffusive mixing and advection by air flow across isentropic surfaces. Among other

160 processes, isentropic stirring is included in the term  $\frac{\partial m}{\partial t} \Big|_{x, y, \theta}$ . To derive an expression for stirring, we rearrange the equation and replace the Eulerian and modified Lagrangian-mean time derivatives with the right hand sides of the evolution equation (1) and (2), respectively. We have

$$\begin{aligned} \frac{\partial m}{\partial t} \Big|_{x, y, \theta} &= \left( \frac{\partial q}{\partial t} \Big|_{x, y, \theta} - \frac{\partial q}{\partial t} \Big|_{m, \theta} \right) \frac{\partial m}{\partial q} \Big|_{\theta, t} \\ &= \left[ -\vec{v} \cdot \nabla q - \dot{\theta} \frac{\partial q}{\partial \theta} \Big|_{x, y, t} + \dot{q} - \left( \frac{\partial M(\dot{q})}{\partial q} \Big|_{m, t} + \frac{\partial M(\dot{\theta})}{\partial \theta} \Big|_{m, t} \right) \frac{\partial q}{\partial m} \Big|_{\theta, t} + \hat{\theta} \frac{\partial q}{\partial \theta} \Big|_{m, t} \right] \frac{\partial m}{\partial q} \Big|_{\theta, t} \end{aligned} \quad (4)$$

where  $q$  is a function of either  $(x, y, \theta, t)$  or  $(m, \theta, t)$  wherever needed. As described,  $\vec{v}$   
 165 denotes the isentropic wind,  $\nabla$  the gradient operator within isentropic surfaces,  $\dot{\theta}$  the diabatic motion and  $\dot{q}$  nonconservative processes such as diffusive mixing, sources and sinks. We further manipulate the equation using



$$\frac{\partial M(\dot{q})}{\partial q}\bigg|_{\theta,t} \frac{\partial q}{\partial m}\bigg|_{\theta,t} = \frac{\partial M(\dot{q})}{\partial m}\bigg|_{\theta,t} \equiv \hat{q} \quad , \quad \frac{\partial m}{\partial q}\bigg|_{\theta,t} (\vec{v} \cdot \nabla q) = \vec{v} \cdot \nabla m \quad \text{and}$$

$$\frac{\partial q(x, y, \theta, t)}{\partial \theta}\bigg|_{x, y, t} = \frac{\partial q}{\partial m}\bigg|_{\theta,t} \frac{\partial m(x, y, \theta, t)}{\partial \theta}\bigg|_{x, y, t} + \frac{\partial q}{\partial \theta}\bigg|_{m(x, y, \theta, t), t} \quad .$$

170 With these changes Eq. 4 transforms to

$$\frac{\partial m}{\partial t}\bigg|_{x, y, \theta} = -\vec{v} \cdot \nabla m - \dot{\theta} \frac{\partial m}{\partial \theta}\bigg|_{x, y, t} - \frac{\partial M(\dot{\theta})}{\partial \theta}\bigg|_{m, t} - (\dot{\theta} - \hat{\theta}) \frac{\partial q}{\partial \theta}\bigg|_{m, t} \frac{\partial m}{\partial q}\bigg|_{\theta, t} + (\dot{q} - \hat{q}) \frac{\partial m}{\partial q}\bigg|_{\theta, t} \quad , \quad (5)$$

The equation describes the processes that modify the contour of  $m$ . These are motion along isentropic surfaces, horizontal divergence motion due to air expansion and convergence of diabatic mass flux, diabatic advection, and diffusion and chemical reactions, respectively.

175 The goal is to isolate processes that describe isentropic stirring from those that describe nonconservative and diabatic processes. Recall that we refer to stirring as contour deformation due to motion along isentropic surfaces. The effects by nonconservative processes and diabatic advection should be subtracted from both sides of the equation. After this manipulation there is

$$\begin{aligned} \frac{\partial m}{\partial t}\bigg|_{x, y, \theta} - \frac{\partial m}{\partial q}\bigg|_{\theta, t} (\dot{q} - \hat{q}) + (\dot{\theta} - \hat{\theta}) \frac{\partial q}{\partial \theta}\bigg|_{m, t} \frac{\partial m}{\partial q}\bigg|_{\theta, t} \\ = -\vec{v} \cdot \nabla m - \dot{\theta} \frac{\partial m}{\partial \theta}\bigg|_{x, y, t} - \frac{\partial M(\dot{\theta})}{\partial \theta}\bigg|_{m, t} \quad . \quad (6) \end{aligned}$$

180 It is clear that modification to  $m(x, y, \theta, t)$  by nonconservative processes and diabatic advection occurs only when these processes are nonuniform along the contour of  $q$ . Eq. 6 is an expression for the contour modification caused by processes other than diffusion, chemical reactions and diabatic advection. Except for vertical advection, diabatic motion can also modify  $m(x, y, \theta, t)$  by changing mean air density over isentropic surfaces. The mean air density variation does not occur  
 185 explicitly on the right-hand side of Eq. 6. In the following, further analysis is conducted to show that the right-hand side of Eq. 6 consists of horizontal advection along isentropic surfaces and effects by mean air density variations only.

By definition of the operator  $M$  and by use of the Leibniz integral rule we have

$$\begin{aligned} \frac{\partial M(\dot{\theta})}{\partial \theta}\bigg|_{m, t} &= \frac{\partial}{\partial \theta} \iint_{q'(x, y, \theta, t) \leq q(m, \theta, t)} \sigma \dot{\theta} d(x, y)\bigg|_{m, t} \\ &= \iint_{q'(x, y, \theta, t) \leq q(m, \theta, t)} \frac{\partial \sigma \dot{\theta}}{\partial \theta}\bigg|_{x, y, t} d(x, y) - \oint_{q'(x, y, \theta, t) = q(m, \theta, t)} \sigma \dot{\theta} \frac{\partial m}{\partial \theta}\bigg|_{x, y, t} \frac{1}{|\nabla m|} dl \quad . \quad (7) \end{aligned}$$



190 In Eq. 7  $\frac{\partial \sigma \dot{\theta}}{\partial \theta}|_{x,y,t}$  contains contributions by horizontal divergent motion caused by vertical convergence of diabatic motion and expansion of air, e.g. due to decreasing air density of uplifting air. Density changes are described by the mass continuity equation. Expressed in the potential temperature coordinate it reads

$$\frac{\partial \sigma}{\partial t}|_{x,y,\theta} + \nabla \cdot \sigma \vec{v} + \frac{\partial \sigma \dot{\theta}}{\partial \theta}|_{x,y,t} = 0 \quad .$$

195 Applying the areal average operator over an isentropic surface, which we denote by an overline, gives

$$\frac{\partial \bar{\sigma}}{\partial t}|_{\theta} + \frac{\partial \bar{\sigma \dot{\theta}}}{\partial \theta}|_{\theta} = 0 \quad .$$

This relation indicates that change in the mean density of an isentropic surface is related to diabatic mass divergence. The horizontal divergent wind  $\vec{v}_d$  that compensates the local diabatic mass  
 200 convergence and the expansion of air in an isentropic layer is characterized by

$$\nabla \cdot \sigma \vec{v}_d + \frac{\partial \sigma \dot{\theta}}{\partial \theta}|_{x,y,t} - \frac{\partial \bar{\sigma \dot{\theta}}}{\partial \theta}|_{\theta} = 0 \quad . \quad (8)$$

In combination with the condition  $\nabla \times \sigma \vec{v}_d = 0$  the horizontal divergent wind is uniquely defined. We use the horizontal divergent wind to reinterpret some terms in Eq. 6. A manipulation of Eq. 6 reads

$$\begin{aligned} & \frac{\partial m}{\partial t}|_{x,y,\theta} - \frac{\partial m}{\partial q}|_{\theta,t} \left( \dot{q} - \frac{\partial M(\dot{q})}{\partial m}|_{\theta,t} \right) + (\dot{\theta} - \hat{\theta}) \frac{\partial q}{\partial \theta}|_{m,t} \frac{\partial m}{\partial q}|_{\theta,t} \\ 205 & = -(\vec{v} - \vec{v}_d) \cdot \nabla m - \underbrace{\vec{v}_d \cdot \nabla m}_{D} - \dot{\theta} \frac{\partial m}{\partial \theta}|_{x,y,t} - \frac{\partial M(\dot{\theta})}{\partial \theta}|_{m,t} \quad . \quad (9) \end{aligned}$$

The term defined as  $D$  describes advection of  $m(x, y, \theta, t)$  by the horizontal divergent wind reduced by the horizontal expansion associated with vertical diabatic motion. As a result, the term represents the effect of convergence of diabatic motion. For further interpretation note that





$$\begin{aligned}
 \frac{\partial M(D)}{\partial m}|_{\theta,t} &= \frac{\partial}{\partial m} \iint_{q'(x,y,\theta,t) \leq q(m,\theta,t)} \sigma(-\vec{v}_d \cdot \nabla m - \dot{\theta} \frac{\partial m}{\partial \theta}|_{x,y,t}) d(x,y)|_{\theta,t} \\
 &= \frac{1}{\delta m} \oint_{q'(x,y,\theta,t)=q(m,\theta,t)} \sigma(-\vec{v}_d \cdot \nabla m - \dot{\theta} \frac{\partial m}{\partial \theta}|_{x,y,t}) \frac{\delta m}{|\nabla m|} dl \\
 &= \oint_{q'(x,y,\theta,t)=q(m,\theta,t)} -\sigma \vec{v}_d \cdot \vec{n}_m dl - \oint_{q'(x,y,\theta,t)=q(m,\theta,t)} \sigma \dot{\theta} \frac{\partial m}{\partial \theta}|_{x,y,t} \frac{1}{|\nabla m|} dl \\
 &= \iint_{q'(x,y,\theta,t) \leq q(m,\theta,t)} (-\nabla \cdot \sigma \vec{v}_d) d(x,y) - \oint_{q'(x,y,\theta,t)=q(m,\theta,t)} \sigma \dot{\theta} \frac{\partial m}{\partial \theta}|_{x,y,t} \frac{1}{|\nabla m|} dl \\
 &= \iint_{q'(x,y,\theta,t) \leq q(m,\theta,t)} \frac{\partial \bar{\sigma}}{\partial t}|_{\theta} d(x,y) + \iint_{q'(x,y,\theta,t) \leq q(m,\theta,t)} \frac{\partial \sigma \dot{\theta}}{\partial \theta}|_{x,y,t} d(x,y) - \oint_{q'(x,y,\theta,t)=q(m,\theta,t)} \sigma \dot{\theta} \frac{\partial m}{\partial \theta}|_{x,y,t} \frac{1}{|\nabla m|} dl \\
 &= S_m \frac{\partial \bar{\sigma}}{\partial t}|_{\theta} + \frac{\partial M(\dot{\theta})}{\partial \theta}|_{m,t}
 \end{aligned}$$

210 where  $\vec{n}_m$  is the unit vector parallel to the horizontal gradient of  $m(x, y, \theta, t)$  within isentropic surfaces and  $\delta m$  is a infinitesimal perturbation of  $m$ .  $S_m$  denotes the area enclosed by the contour line  $q(m)$ . We use the above relation and subtract the term  $S_m \frac{\partial \bar{\sigma}}{\partial t}|_{\theta}$  from both sides of Eq. 9 to receive an expression for isentropic stirring

$$\begin{aligned}
 \frac{\partial m}{\partial t}|_{x,y,\theta}^{stir} &= \frac{\partial m}{\partial t}|_{x,y,\theta} - \frac{\partial m}{\partial q}|_{\theta,t} (\dot{q} - \hat{q}) + (\dot{\theta} - \hat{\theta}) \frac{\partial q}{\partial \theta}|_{m,t} \frac{\partial m}{\partial q}|_{\theta,t} - S_m \frac{\partial \bar{\sigma}}{\partial t}|_{\theta} \quad (10a) \\
 &= -(\vec{v} - \vec{v}_d) \cdot \nabla m + D - \hat{D} \quad (10b)
 \end{aligned}$$

215 The first line represents the diagnostic formula for the stirring effect and the second line explains its physical content.

The first term,  $-(\vec{v} - \vec{v}_d) \cdot \nabla m$ , describes the advection of  $m(x, y, \theta, t)$  by horizontal wind other than the horizontal divergent wind induced by convergence of diabatic motion. Particularly, the wind  $\vec{v} - \vec{v}_d$  includes zonal mean zonal wind and wave-related wind. The zonal mean zonal wind can stretch spikes of the contour produced by wave disturbances. The term  $D - \hat{D}$  describes the nonuniform part of the horizontal motion  $D$  associated with vertical convergent motion along the contour. The motion included in both terms modifies  $m(x, y, \theta, t)$  but conserves the mass enclosed by the contour  $q$  and is identified as stirring.

By including the definition of isentropic stirring (Eq. 10) and the evolution equation with respect to  
 225  $m$  (Eq. 2), Eq. 3 transforms to

$$\begin{aligned}
 \frac{\partial q}{\partial t}|_{x,y,\theta} &= \frac{\partial q}{\partial m}|_{\theta,t} \left( \frac{\partial m}{\partial t}|_{x,y,\theta}^{stir} + \frac{\partial m}{\partial t}|_{x,y,\theta} - \frac{\partial m}{\partial t}|_{x,y,\theta}^{stir} \right) + \frac{\partial q}{\partial t}|_{m,\theta} \\
 &= \frac{\partial q}{\partial m}|_{\theta,t} \frac{\partial m}{\partial t}|_{x,y,\theta}^{stir} + \dot{q} - \dot{\theta} \frac{\partial q}{\partial \theta}|_{m,t} + \left( \frac{\partial M(\dot{\theta})}{\partial \theta} \right)|_{m,t} + S_m \frac{\partial \bar{\sigma}}{\partial t}|_{\theta} \frac{\partial q}{\partial m}|_{\theta,t} \quad (11)
 \end{aligned}$$



The right hand side terms in the second line describe the effects of stirring, nonconservative processes, temporal variation of  $q$  caused by vertical motion and mean expansion of air, respectively. For a long-lived trace gas with negligible stratospheric sources and sinks, e.g. methane, molecular and turbulent diffusive mixing are the only nonconservative processes. We neglect the effect of diabatic diffusion and set  $\dot{q} = k_h \nabla_h^2 q$  with the isentropic diffusivity  $k_h$ . The effects of isentropic stirring and mixing on the trace gas concentration  $q$  are

$$\frac{\partial q}{\partial t}|_{x,y,\theta}^{\text{stir}} = \frac{\partial q}{\partial m}|_{\theta,t} \frac{\partial m}{\partial t}|_{x,y,\theta}^{\text{stir}}, \quad \frac{\partial q}{\partial t}|_{x,y,\theta}^{\text{mix}} = k_h \nabla^2 q. \quad (12)$$

Both diagnostic quantities, isentropic stirring and mixing, are based on the evaluation of the trace gas field  $q$  and its scaled version  $m$  and do not require isentropic wind information. A procedure to estimate the isentropic diffusivity  $k_h$  is presented in Section 2.4.

### 2.3 Remarks to isentropic stirring and mixing

The stirring effect,  $\frac{\partial q}{\partial t}|_{x,y,\theta}^{\text{stir}}$ , is diagnosed as residual between local temporal variation of  $m$ ,

$\frac{\partial m}{\partial t}|_{x,y,\theta}$ , and the nonconservative effects scaled by the function  $q(m)$ . The same residual can be directly calculated through the evolution equation of  $q$  in Eulerian coordinates (Eq. 1) instead of that of  $m$  (Eq. 5). However, the local temporal variation of  $m$  includes less contribution of diabatic motion compared to that of  $q$ . Only the nonuniform part of diabatic heating along the contour,  $\hat{\theta} - \bar{\theta}$ , can lead to variation of  $m$ . The reduced influence of diabatic data is useful for stratospheric applications where observations of diabatic heating are sparse. Some examples that show such a reduction are given in Sect. 4. In addition,  $\frac{\partial m}{\partial t}|_{x,y,\theta}$  does not include the

contribution of uniform divergent motion across the contour, unlike  $\frac{\partial q}{\partial t}|_{x,y,\theta}$ . Examples of such uniform divergent motion are the horizontal branches of the residual circulation. The uniform divergent motion can not be removed in an analysis based on the residual of local temporal variation of  $q$  and its nonconservative effects. From a practical viewpoint, the influence of observation errors on  $m$  is smaller than on  $q$  since  $m$  depends on the relative distribution of tracer mixing ratios only.

Similarly, only nonuniform diffusion along the contour can modify  $m$ . In cases where tracer isolines almost parallel latitudinal circles, e.g. in the tropics, the summer hemisphere and nearby the



boundary of a stable polar vortex, diffusive effects on  $m$  are reduced compared to those on  $q$ . The  
 255 influence of an inaccurately estimated diffusivity is then reduced.

## 2.4 Estimation of isentropic diffusivity

The isentropic and diabatic diffusivities  $k_h$  and  $k_\theta$  describe the diffusive mixing by molecular and  
 turbulent diffusion along and vertical to the isentropic surfaces. Turbulent diffusion parameterizes  
 the mixing effect from unresolved small scale processes. Global estimates can be inferred from the  
 260 tracer variance equation (e.g. Leibensperger and Plumb, 2014). Wang et al (2020) extended the  
 method to estimate the average diffusivities for a stratospheric region bounded by two isentropic  
 surfaces  $\theta_1$  and  $\theta_2$  by means of the spatially bounded tracer variance equation

$$\begin{aligned} \frac{1}{2} \frac{d\overline{q^2}}{dt} - \frac{1}{2} \iint_{\theta=\theta_1} \sigma \theta q^2 \frac{d(x,y)}{M} + \frac{1}{2} \iint_{\theta=\theta_2} \sigma \theta q^2 \frac{d(x,y)}{M} \\ = -k_\theta \left( \frac{1}{2} \iint_{\theta=\theta_1} \sigma \frac{\partial q^2}{\partial \theta} \frac{d(x,y)}{M} - \frac{1}{2} \iint_{\theta=\theta_2} \sigma \frac{\partial q^2}{\partial \theta} \frac{d(x,y)}{M} + \overline{\left( \frac{\partial q}{\partial \theta} \right)^2} \right) - k_h |\overline{\nabla q}|^2 - \frac{\overline{q^2}}{\tau_{chem}} \end{aligned} \quad (13)$$

In this equation  $M$  is the air mass of the volume between the two isentropic surfaces  $\theta_1$  and  $\theta_2$  and  
 265 here the overline denotes the mass-weighted average of that volume.  $k_h$  and  $k_\theta$  are the isentropic and  
 diabatic diffusivities, respectively, and  $\tau_{chem}$  is the chemical lifetime of the trace gas. These  
 parameters have the meaning of mass-weighted averages for the enclosed volume.

Given an observed trace gas field, the spatially bounded tracer variance equation can be reduced to  
 the form  $f(t) = -k_\theta a(t) - k_h b(t) - 1/\tau_{chem}$  by dividing both sides of Eq. 13 by  $\overline{q^2}$ . Then,  $f(t)$   
 270 refers to all terms on the left hand side and  $a(t)$  and  $b(t)$  represent coefficients of isentropic and  
 diabatic diffusivities on the right hand side of the  $\overline{q^2}$ -normalized equation. The diffusivities  $k_\theta$   
 and  $k_h$  can be estimated by linear regression of the forms  $f(t) = -k_\theta a(t) + c_1$  and  
 $f(t) = -k_h b(t) + c_2$  with constant offsets  $c_1$  and  $c_2$ .

## 3 Application

275 In this section the analysis framework derived in Sect. 2 is applied to estimate stratospheric stirring  
 and mixing from the distribution of a long-lived trace gas in a numerically generated methane  
 scenario. In the following we describe the details of the numerical experiment.



### 3.1 Experimental and numerical setup

We analyze the simulated methane with the standard version Chemical Lagrangian Model of the Stratosphere (CLaMS v1.0). CLaMS is a Lagrangian model for modelling chemistry and transport for trace gas species via stratospheric chemistry module (McKenna et al., 2002a), Lagrangian advection module based on 3-D forward trajectory calculation and parametrized mixing module driven by strong flow deformations (McKenna et al., 2002b; Konopka et al., 2004). The forward trajectory calculation is driven by the wind data from ERA5 reanalysis (Hersbach et al., 2020) and the total diabatic heating rates derived from ERA5 temperature tendency properties (Ploeger et al., 2021). The configuration as well as the model initialization follows the model setup described by Pommrich et al. (2014) with 100 km horizontal resolution and 400 m vertical resolution around the tropopause.

The following analysis is restricted to the stratospheric region between 500 K and 1800 K. For the numerical evaluation the modeled daily mean concentration fields are sampled on isentropic surfaces in that region. The estimation of isentropic mixing of the trace gas needs the evaluation of the Laplacian of its mixing ratio within isentropic surfaces. The Laplacian is calculated analytically by expressing the two-dimensional mixing ratio field as a series of spherical harmonics truncated by the triangular T75 truncation (e.g. Daley and Bourassa, 1978). The horizontal resolution of the triangularly truncated approximation is coarser than the model resolution because the effective resolution in simulated mixing ratio fields is typically reduced by diffusion.

We evaluate the stirring from the simulated methane fields using the derived diagnostic formula (Eq. 10a and 12). In that formula, time derivatives are expressed as finite differences of two adjacent daily mean  $\text{CH}_4$  contours and air density. The other terms require the construction of the function  $m(q) = M(1)$  along isentropic surfaces from daily mean  $\text{CH}_4$  fields. At each isentropic surface the range of methane mixing ratios is linearly discretized by 31 values  $q_j$ ,  $j = 1, \dots, 31$ . The function values  $m(q_j)$  are then calculated by summation of the mass located in model grid cells with mixing ratios smaller than  $q_j$ . The functions  $M(\dot{q})$  and  $M(\dot{\theta})$  are constructed in a similar way. For the analysis we neglect chemical sinks in the stratosphere and use the approximation  $\dot{q} = k_h \nabla^2 q$ . Diabatic heating rates and air densities are provided as output by the CLaMS model as a regridded and processed version of the ERA5 reanalysis fields.



## 4 Results

### 4.1 Estimated diffusivities

The isentropic and diabatic diffusivities  $k_h$  and  $k_\theta$  for the model setup are estimated using the linear regression approach described in Sect. 2.4. Figure 2 present the fitting effects by the forms  $f(t) = -k_\theta a(t) + c_1$  and  $f(t) = -k_h b(t) + c_2$ . As expected, the distributions of the points  $(x, y)$  with  $f(t)$  as  $y$ -coordinate and  $a(t)$  or  $b(t)$  as  $x$ -coordinate, respectively, show linear relations. This confirms the feasibility of the used method to estimate the diffusivities. The obtained diffusivities for the stratospheric region bounded by 500 K and 1800 K are  $k_h = 0.3205 \times 10^5 \text{ m}^2/\text{s}$  and  $k_\theta = 0.0003 \text{ K}^2/\text{s}$ .

### 4.2 Reduction of diabatic effects

Contributions by diabatic motion in local temporal variations of  $q$  and  $m$  are shown in Figure 3. The terms under comparison are  $\dot{\theta} \frac{\partial q}{\partial \theta}|_{x,y,t}$  and  $(\dot{\theta} - \hat{\theta}) \frac{\partial q}{\partial \theta}|_{m,t}$ , where the local temporal variation of  $m$  has been scaled by  $\frac{\partial q}{\partial m}|_{\theta,t}$ . As the influence of diabatic transport increases with altitude the reduction of such influence is more significant at high levels. For example, diabatic transport of  $q$  can be as high as 400 ppb/day in the local temporal variation of  $q$  but only around 100 ppb/day in that of  $m$  at 1638 K on Jan 27 of 2010. This reduction in diabatic contribution is helpful when deriving horizontal stirring information from satellite-observed trace gases. Due to scarce measurements vertical wind speeds in the stratosphere are highly uncertain and the wind speeds of the modeled residual circulation vary largely between models. The tracer concentration field is determined by 3-dimensional motion of air. The local temporal variation of  $m$  is less sensitive to vertical motion than that of  $q$ . Therefore, the diagnostic formula for isentropic stirring efficiently extracts horizontal stirring from temporal variations of trace gas concentration created by 3-dimensional motion.

### 4.3 General characteristics of stirring and mixing

Temporal variations of the trace gas mixing ratio due to mixing are proportional to the isentropic diffusivity and the Laplacian of the mixing ratio on isentropic surfaces. Mixing reduces the spatial inhomogeneity in the mixing ratio. The Laplacian presents maxima/minima at minima/maxima of the trace gas mixing ratio. Mixing ratios of  $\text{CH}_4$  are higher in the tropics than the polar regions as a result of the residual circulation. At the global scale, the rising branch of the residual circulation



335 produces maxima by uplifting  $\text{CH}_4$ -rich air. Sinking branches produce minima. At smaller scales, lots of wave disturbances result in local maxima/minima of the mixing ratio in the zonal direction.

Stirring is caused by nonuniform advection along the contour of the trace gas. Stirring effects on the trace gas mixing ratio are closely related to wave disturbances, e.g. planetary wave. Figure 4 shows the horizontal distribution of the trace gas  $\text{CH}_4$ , and temporal variations of the mixing ratio due to  
 340 stirring and mixing at 610 K and 1164 K on Jan 27 and Jul 16, 2010. According to the distribution of the  $\text{CH}_4$  mixing ratio, a wave breaking event can be recognized in a region of  $100^\circ$ - $200^\circ$  in the southern hemisphere on Jul 16, 2010. Correspondingly, temporal variations of the trace gas mixing ratio due to stirring present significant values along this wave disturbance. Isentropic stirring processes always produce filamentary structures in tracer concentration fields along the isentropic  
 345 surfaces. As mixing depends on the spatial inhomogeneity of the tracer concentration, regions where stirring is strong also show strong mixing. In contrast, there are no significant stirring effects in the northern hemisphere in July. The summer hemisphere is usually free from wave disturbances due to the presence of easterlies that prevent upward propagation of planetary waves. Similarly, strong wave disturbances occur in the northern hemisphere on Jan 27. In that instance, the polar  
 350 vortex is pushed off the pole and the northern extratropics show strong stirring.

Figures 5 and 6 show the evolution of the temporal variation of the trace gas mixing ratio at 781 K from 2009 to 2011 due to stirring and mixing. As explained above, stirring is closely related to wave disturbances. Wave disturbances in the stratosphere have seasonal cycles with stronger disturbances in the hemispheric winter and weaker ones during hemispheric summer. Temporal variations of  $\text{CH}_4$   
 355 mixing ratios due to stirring present significant values in the southern extratropics during Apr-Nov as indicated by Fig. 5. This observation is consistent with numerous wave disturbances in this region during summer and the breaking of polar vortices. Temporal variations of  $\text{CH}_4$  mixing ratios due to mixing in Fig. 6 show meridional maxima around the polar barrier and the subtropical barrier, and closely follow the barrier in the southern hemisphere. In the northern hemisphere only  
 360 the subtropical barrier can be recognized in  $25$ - $40^\circ$  according to meridional maxima of the mixing effect.

Zonal mean distributions of  $\text{CH}_4$  mixing ratio in the stratosphere are shown in Fig. 7. The zonal mean distribution is the result of the residual circulation and isentropic stirring and mixing. Air following the residual circulation rises in the tropics and sinks in the extratropics, with stronger  
 365 sinking in the winter hemisphere, and is driven by zonal forces produced by planetary wave breaking events. These wave breaking events occur in the winter stratosphere because planetary waves propagate upwards only in the westerlies and the zonal winds of the stratosphere show



dominant westerlies in the winter and easterlies in the summer (Andrews et al., 1987).  
Correspondingly, the rising branch of the residual circulation in the tropics biases towards the  
370 summer hemisphere.

CH<sub>4</sub> has sources at the surface and undergoes strong oxidation and photolysis reactions in the upper  
stratosphere and the mesosphere. As a result, upward motion increases and downward motion  
decreases CH<sub>4</sub> mixing ratios in the stratosphere. The rising branch of the residual circulation  
corresponds to the high-value CH<sub>4</sub> mixing ratio region that stretches upward in the tropics (see Fig.  
375 7). The sinking branches indicated by the low-value CH<sub>4</sub> mixing ratios stretch downwards from the  
extratropics towards the polar regions. The sinking branch in hemispheric winter extends to  
significantly lower altitudes than the sinking branch in hemispheric summer.

The effect of the stirring term on the temporal variation of the methane mixing ratio is calculated  
using Eqs. 10a and 12. Figure 8 shows the zonal mean distributions of temporal variations of CH<sub>4</sub>  
380 mixing ratios due to stirring. The dominant effect of stirring is the strengthening of CH<sub>4</sub> mixing  
ratios in sinking branches of the residual circulation. Effects by isentropic stirring in the tropical  
rising branch are minor. This reflects the fact that the tropical stratosphere is weakly disturbed by  
extratropical waves due to the subtropical barrier (Chen et al., 1994; Neu et al., 2003). In the  
extratropics, the most important dynamical processes are the build-up of the polar vortex during  
385 winter and its break down during spring. There are lots of wave disturbances during these periods.  
The northern polar vortex starts to build up in the middle of SON (Sep., Oct. and Nov.) and breaks  
down around the end of DJF (Dec., Jan., and Feb.). As a result, stirring effects are large during SON  
and DJF in the northern extratropics. Similarly, stirring effects are large during JJA (Jun., Jul. and  
Aug.) and SON in the southern extratropics.

390 Mixing effects as shown in Fig. 9 are large in regions where strong spatial variations in the trace gas  
mixing ratios occur, e.g. around transport barriers. In the southern extratropics mixing effects are  
largest during JJA when the southern polar vortex is stable, i.e. during the period large contrast is  
present in the trace gas mixing ratios between the inside and the outside of the polar vortex.  
Similarly, mixing effects are large during SON in the northern extratropics.

#### 395 4.4 Diagnostic of stirring and mixing of trace gas in the polar vortex

In this part the diagnostic method developed in Sect. 2 is applied to analyze effects of stirring and  
mixing on trace gas concentrations in the northern polar vortex during the period Nov 10, 2009 to  
Feb 05, 2010. This polar vortex is continuously disturbed by breaking planetary waves from lower  
latitudes and splits on Feb 04, 2010.



400 The evolution of the vortex area, the mean CH<sub>4</sub> mixing ratios in the polar vortex, and the effects of stirring and mixing on the mean CH<sub>4</sub> mixing ratios at 781 K are displayed in Fig. 10. The vortex boundary is defined as potential vorticity lines that correspond to the largest potential vorticity gradient with respect to the equivalent latitude. According to the results stirring mostly increases the mean CH<sub>4</sub> concentration in the vortex. Mixing increases the mean CH<sub>4</sub> concentrations as expected.

405 However, the combined effects of stirring, mixing and vortex variation mostly decreases the mean CH<sub>4</sub> concentration in the vortex. This means that wave disturbances tend to penetrate into the vortex interior but the vortex tends to avoid such penetration through movement and deformation. Also the vortex loses airmasses with high CH<sub>4</sub> concentration by adjusting its boundary. In a few cases the penetration into the vortex succeeds and increases the mean CH<sub>4</sub> concentration in the vortex.

410 To explain this point further, Figure 11 shows frequency distributions of CH<sub>4</sub> mixing ratios in the vortex, variations of the frequency distribution and relevant contributors (stirring, mixing and vortex variation), spatial distributions of the mixing ratios at 781 K on Dec 08 and 09, 2009, and Jan 28 and 29, 2010. It should be noted that predicted variations of the frequency distribution according to the contributors do not match the variation of the frequency distribution in the model

415 simulation. The reason is that only stirring, mixing and vortex variability are taken into account while mean transport along the tracer isolines and vertical advection are not considered. On those two days stirring strengthens high values (> 600 ppb) in the frequency distribution. This strengthening by stirring is persistent even after taking into account effects of polar vortex movement and deformation. This persistence indicates that wave disturbances penetrate into the

420 interior of the vortex. The penetration can be clearly recognized from patterns of the CH<sub>4</sub> concentration fields on Jan 29, 2010 (see Fig. 11). On that day a long tail with high-value CH<sub>4</sub> mixing ratios penetrates into the vortex. The pattern confirms the diagnosed effect of stirring to increase the methane concentration in the vortex.

## 5 Discussion

425 According to Nakamura (1996) the temporal variation of the trace gas mixing ratio due to stirring and mixing in the two-dimensional case, i.e. within isentropic surfaces, is expressed as

$$\frac{\partial q(A,t)}{\partial t} = \frac{\partial}{\partial A} \left( k_h L_e^2(A,t) \frac{\partial q(A,t)}{\partial A} \right), \quad (14)$$

where  $k_h$  is a constant diffusion coefficient and  $L_e$  is a non-constant diffusion coefficient called equivalent length.  $A$  is the area enclosed by the contour line  $q$ . The variable diffusion coefficient can

430 amplify or block the diffusive flux along the areal variable  $A$ . The equivalent length is lower





bounded by the actual length of the contour (Haynes and Shuckburgh, 2000).  $L_e$  is proportional to the length of the contour and the magnitude of the gradient of the trace gas mixing ratio across the contour. The equivalent length is increased by stirring and decreased by diffusion. In this expression, diffusion is included in both the constant and the variable coefficients but stirring is included in the latter only. According to studies by Shuckburgh and Haynes (2003) and Marshall (2006), dependence of  $L_e$  on  $k_h$  varies according to the Pe number. The Pe number describes the relative magnitude of the advective to the diffusive time scale.  $L_e^2$  scales like  $k_h^{-1}$  when the Pe number is large and approaches a lower limit as the Pe number decreases. As Eq. 14 is expressed with respect to the areal coordinate, the diagnoses of the equivalent length only represents a weighted average along the tracer contour. When applied to methane fields with maxima in the tropics, diagnoses based on the MLM theory is only useful if applied to each hemisphere separately. Alternatively, a tracer whose concentration decreases/increases monotonically from North to South can be used, e.g. the potential vorticity (Manney and Lawrence, 2016) or an artificial tracer (Allen and Nakamura, 2001).

In the diagnostic quantities developed here, Eqs. 10-12, stirring and mixing are represented by separate terms. The expression for stirring, Eq. 10b, does not depend on the diffusion coefficient  $k_h$ . In addition, diagnosed stirring and mixing have resolvability along the contour of the trace gas. This resolvability can be explained as following.

The expression for the stirring effect on  $m(x, y, \theta, t)$  (Eq. 10b) includes two terms,  $-(\vec{v} - \vec{v}_d) \cdot \nabla m$  and  $D - \hat{D}$ . The first term represents the modification of  $m$  by wave disturbances. Clearly the wind  $\vec{v} - \vec{v}_d$  includes local information only. The gradient of  $m$ ,  $\nabla m$ , depends on the local structure of the tracer contour and the magnitude of  $m$  that is determined by the nonlocal distribution of the tracer isoline along the whole isentropic surface. After applying the transformation factor  $\frac{\partial q}{\partial m}|_{\theta, t}$ , as in Eq. 12, the gradient term becomes  $\nabla q$  and the nonlocal information is removed. Consequently, the first term of the expression of stirring,  $-(\vec{v} - \vec{v}_d) \cdot \nabla q$ , is a local term. The second term,  $D - \hat{D}$ , contains the nonuniform part of the divergent motion along the contour and is therefore nonlocal. Its calculation at a particular position is affected by nonlocal processes. In summary, the expression for stirring in Eq. 12 has resolvability along the tracer contour and is local to an extent that the divergent motion induced by vertical mass convergence can be neglected.



In Sect. 4 one constant diffusivity is applied to the whole stratospheric region between the isentropic surfaces at 500 K and 1800 K. However, the isentropic diffusivity varies vertically due to vertical variations of wind velocities (Allen and Nakamura, 2001). In their scenario, the estimation of diffusivity is less challenging due to the absence of flow in and out of the region. In addition, 465 diffusivity has inhomogeneities in the horizontal as well as anisotropy (Konopka et al., 2005). Their results reveal that mixing occurs only in regions where flow deforms and shears strongly and diffusivity along the wind is greater than that across the wind. A more precise estimation of diffusivity could be achieved by taking flow deformation into account.

## 6 Conclusion

470 The modified Lagrangian-mean method (MLM) that describes the tracer evolution in the tracer contour based coordinate is transformed into a form expressed with respect to the geographic coordinates latitude and longitude. In the transformed expression temporal variations of the tracer mixing ratio by isentropic stirring and mixing are included in two separate terms. Effects by stirring and mixing can be estimated by the tracer contour and diabatic heating rates, but do not require 475 horizontal wind information. Diabatic heating rates are necessary because the tracer distribution is a result of 3-dimensional motion of air in the stratosphere. The developed method reduces the influence of diabatic motion on the diagnosed stirring, which is advantageous for stratospheric analysis where observations of diabatic heating are sparse. The diagnostic for isentropic stirring has resolvability along the tracer contour and only reflects the effect by local air motion to an extent 480 that horizontal divergent motion induced by diabatic convergence can be neglected. The method can be used to diagnose stratospheric transport based on the contour of trace gases observed by satellites. In this case limitations could come from satellite observations that are asynoptic and generally lack coverage in the polar regions.

The expression for stirring and mixing is validated in a numerical simulation by the CLaMS model 485 for stratospheric methane. Stirring effects diagnosed via the methane distribution are consistent with stratospheric dynamics, e.g. the isolation of the tropical stratosphere from the rest, seasonal cycles of planetary wave activities, the polar vortex and the transport barriers. Such consistency between the diagnosis and the dynamics indicates validity of the method.

The developed method is applied to diagnose stirring and mixing effects on methane concentration 490 in the northern polar vortex for the period from Nov 10, 2009 to Feb 05, 2010. In this period, stirring tends to increase the mean methane concentration in the polar vortex through strengthening high values in the frequency distribution of the mixing ratios. Such increasing effect is reversed by



vortex movement and deformation in most cases. Only in a few cases planetary wave disturbances  
can penetrate into the vortex interior and diagnosed stirring increases the mean methane  
495 concentration in the vortex.

### Acknowledgements

This research is funded by the Project 41805030 supported by NSFC.

### Data availability

ERA5 and ERA-Interim reanalysis data are available from the ECMWF. The CLaMS model data  
500 used for this paper may be requested from M. Tao ([mengchutao@mail.iap.ac.cn](mailto:mengchutao@mail.iap.ac.cn)).

### Author contribution

ZW, NH, and WT designed the study, analyzed data, and wrote the paper. MT conducted CLaMS  
model simulation. All coauthors commented on the paper.

### Competing interests

505 The authors declare that they have no conflict of interest.

### References

- Allen, D. R., and Nakamura, N.: A seasonal climatology of effective diffusivity in the stratosphere.  
J. Geophys. Res., 106 (D8), 7917-7935, 2001.
- Abernathy, R., Marshall, J., Mazloff, M., and Shuckburgh, E.: Enhancement of mesoscale eddy  
510 stirring at steering levels in the Southern Ocean, J. Phys. Oceanogr., 40, 170-184, 2010.
- Andrews, D. G., Holton, J. R., and Leovy, C. B.: Middle atmospheric Dynamics, International  
Geophysics Series, Vol. 40, Academic Press, 489pp, 1987.
- Bergamaschi, P., Houweling, S., Segers, A., Krol, M., Frankenberg, C., Scheepmaker, R. A.,  
Dlugokencky, E., Wofsy, S., Kort, E., Sweeney, C., Schuck, T., Brenninkmeijer, C., Chen, H., Beck,  
515 V., and Gerbig, C.: Atmospheric CH<sub>4</sub> in the first decade of the 21 st century: inverse modeling  
analysis using SCIAMACHY satellite retrievals and NOAA surface measurements, J. Geophys.  
Res., 118, 7350-7369, doi:10.1002/jgrd.50480, 2013.



- Chen, G. and Plumb, A.: Effective isentropic diffusivity of tropospheric transport, *J. Atmos. Sci.*, 71, 3499-3520, 2014.
- 520 Chen, P., Holton, J. R., O'Neill, A. and Swinbank, R.: Isentropic mass exchange between the tropics and extratropics in the stratosphere, *J. Atmos. Sci.*, 51(20), 3006–3018, 1994.
- Daley, R., & Bourassa, Y.: Rhomboidal versus triangular spherical harmonic truncation: Some verification statistics, *Atmosphere-Ocean*, 16(2), 187-196, 1978.
- D'Ovidio, F., Shuckburgh, E., and Legras, B.: Local mixing events in the upper troposphere and  
 525 lower stratosphere. Part I: Detection with the Lyapunov diffusivity, *J. Atmos. Sci.*, 66, 3678-3694, 2009.
- Haynes, P., and Anglade L.: The vertical-scale cascade in atmospheric tracers due to large-scale differential advection, *J. Atmos. Sci.*, 54, 1121-1136, 1997.
- Haynes, P. and Shuckburgh, E.: Effective diffusivity as a diagnostic of atmospheric transport: 1.  
 530 Stratosphere, *J. Geophys. Res.*, 105(D18), 22777-22794, doi:10.1029/2000jd900093, 2000.
- Haynes, P.: Transport and mixing in the atmosphere, *Proceedings of 21<sup>st</sup> ICTAM Congress*, Kluwer Academic Publishers, August 15-21, 2004.
- Hersbach, H., Bell, B., Berrisford, P., et al.: The ERA5 global reanalysis, *Q. J. R. Meteorol. Soc.*, 146, 1999-2049, 2020.
- 535 Jöckel, P., Tost, H., Pozzer, A., Brühl, C., Buchholz, J., Ganzeveld, L., Hoor, P., Kerkweg, A., Lawrence, M. G., Sander, R., Steil, B., Stiller, G., Tanarhte, M., Taraborrelli, D., van Aardenne, J., and Lelieveld, J.: The atmospheric chemistry general circulation model ECHAM5/MESy1: consistent simulation of ozone from the surface to the mesosphere, *Atmos. Chem. Phys.*, 6, 5067–5104, https://doi.org/10.5194/acp-6-5067-2006, 2006.
- 540 Konopka, P., Steinhorst, H.-M., Groö, J.-U., Günther, G., Müller, R., Elkins, J. W., Jost, H.-J., Richard, E., Schmidt, U., Toon, G., and McKenna, D. S.: Mixing and Ozone Loss in the 1999–2000 Arctic Vortex: Simulations with the 3-dimensional Chemical Lagrangian Model of the Stratosphere (CLaMS), *J. Geophys. Res.*, 109, D02315, 2004.
- Konopka, P., Spang, R., Guenther, G., Mueller, R., McKenna, D. S., Offermann, D., and Riese, M.:  
 545 Comparison of CRISTA-1 observations with transport studies based on the Chemical Lagrangian Model of the Stratosphere (CLaMS), *Q. J. R. Meteorol. Soc.*, 131, 565-579, 2005.



- Leibensperger E. M., and Plumb, R. A.: Effective diffusivity in baroclinic flow, *J. Atmos. Sci.*, 71, 972-984, 2014.
- Marshall, J., Shuckburgh, E., Jones, H., and Hill, C.: Estimates and implications of surface eddy  
 550 diffusivity in the Southern Ocean derived from tracer transport, *J. Phys. Oceanogr.*, 36, 1806-1821, 2006.
- Meirink, J. F., Bergamaschi, P., and Krol, M. C.: Four-dimensional variational data assimilation for inverse modelling of atmospheric methane emissions: method and comparison with synthesis inversion, *Atmos. Chem. Phys.*, 8, 6341-6353, doi:10.5194/acp-8-6341-2008, 2008.
- 555 Manney, G. L. and Lawrence, Z. D.: The major stratospheric final warming in 2016: dispersal of vortex air and termination of Arctic chemical ozone loss, *Atmos. Chem. Phys.*, 16, 15371-15396, doi:10.5194/acp-16-15371-2016, 2016.
- McKenna, D. S., Groö, J.-U., Günther, G., Konopka, P., Müller, R., Carver, G., and Sasano, Y.: A new Chemical Lagrangian Model of the Stratosphere (CLaMS): 2. Formulation of chemistry  
 560 scheme and initialization, *J. Geophys. Res.*, 107, 4256, doi:10.1029/2000JD000113, 2002a.
- McKenna, D. S., Konopka, P., Groö, J.-U., Günther, G., Müller, R., Spang, R., Offermann, D., and Orsolini, Y.: A new Chemical Lagrangian Model of the Stratosphere (CLaMS): 1. Formulation of advection and mixing, *J. Geophys. Res.*, 107, 4309, 2002b.
- Nakamura, N.: Modified Lagrangian-mean diagnostics of the stratospheric polar vortices. Part I:  
 565 Formulation and analysis of GFDL SKYHI GCM, *J. Atmos. Sci.*, 52 (11), 2096-2108, 1995.
- Nakamura, N.: Two-Dimensional Mixing, Edge Formation, and Permeability Diagnosed in an Area Coordinate, *J. Atmos. Sci.*, 53(11), 1524-1537, 1996.
- Nakamura, N. and Jun, M.: Modified Lagrangian-mean diagnostics of the stratospheric polar vortices 2. Nitrous oxide and seasonal barrier migration in the cryogenic limb array etalon  
 570 spectrometer and SKYHI general circulation model, *J. Geophys. Res.*, 102(D22), 25721-25735, 1997.
- Nakamura, N.: A new look at eddy diffusivity as a mixing diagnostic, *J. Atmos. Sci.*, 58, 3685-3701, 2001.
- Nakamura, N.: Quantifying asymmetric wave breaking and two-way transport, *J. Atmos. Sci.*, 61,  
 575 2735-2748, 2004.



Neu, J. L., Sparling, L. C., Plumb, R. A.: Variability of the subtropical “edges” in the stratosphere, *J. Geophys. Res.*, 108(D15), 2003.

Pommrich, R., Müller, R., Grooß, J.-U., Konopka, P., Ploeger, F., Vogel, B., Tao, M., Hoppe, C. M., Günther, G., Spelten, N., Hoffmann, L., Pumphrey, H.-C., Viciani, S., D'Amato, F., Volk, C. M.,  
 580 Hoor, P., Schlager, H., and Riese, M.: Tropical troposphere to stratosphere transport of carbon monoxide and long-lived trace species in the Chemical Lagrangian Model of the Stratosphere (CLaMS), *Geosci. Model Dev.*, 7, 2895–2916, 2014.

Ploeger, F., Diallo, M., Charlesworth, E., Konopka, P., Legras, B., Laube, J.C., Grooß, J.U., Günther, G., Engel, A. and Riese, M.: The stratospheric Brewer-Dobson circulation inferred from  
 585 age of air in the ERA5 reanalysis. *Atmospheric Chemistry and Physics*, 21(11), 8393–8412, 2021.

Spivakovsky, C. M., et al.: Three-dimensional climatological distribution of tropospheric OH: Update and evaluation, *J. Geophys. Res.*, 105, 8931–8980, 2000.

Shuckburgh, E. and Haynes, P.: Diagnosing transport and mixing using a tracer-based coordinate system, *Physics of Fluids*, 15, 3342–3357, 2003.

590

## Figures

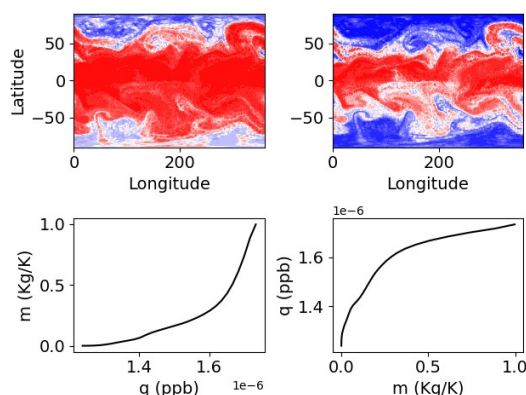
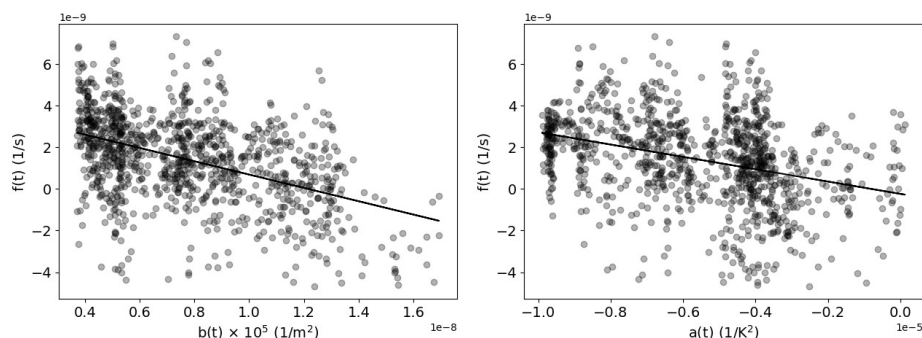


Figure 1. An example shows  $q(x,y)$  (upper left),  $m(q)$  (lower left),  $m(x,y)$  (upper right) and  $q(m)$  (lower right).



595

Figure 2. Linear regressions to estimate the isentropic (left) and diabatic (right) diffusivities.

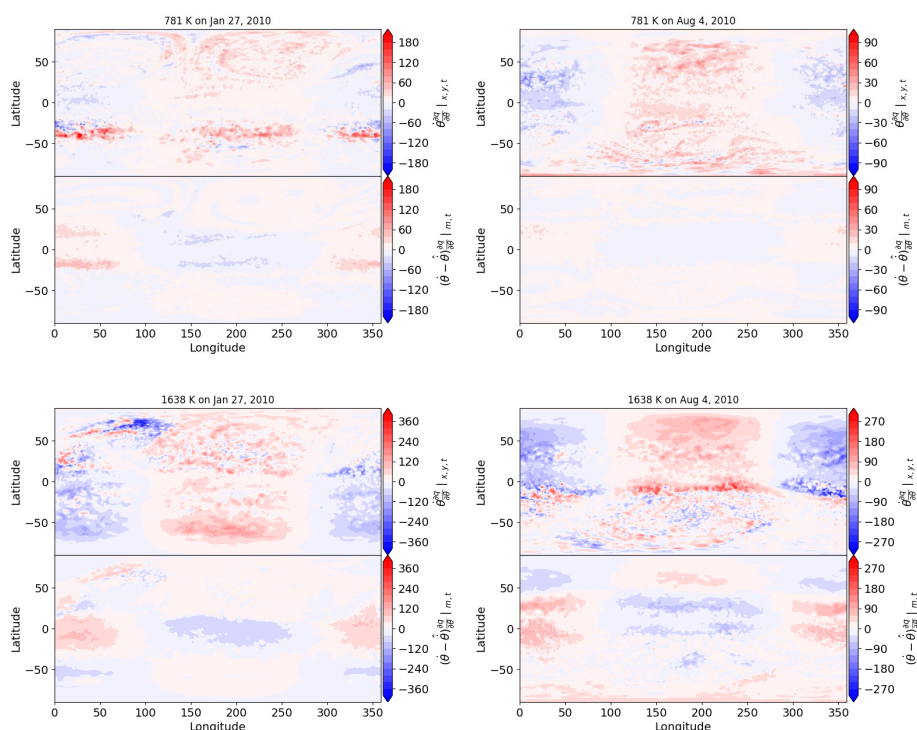
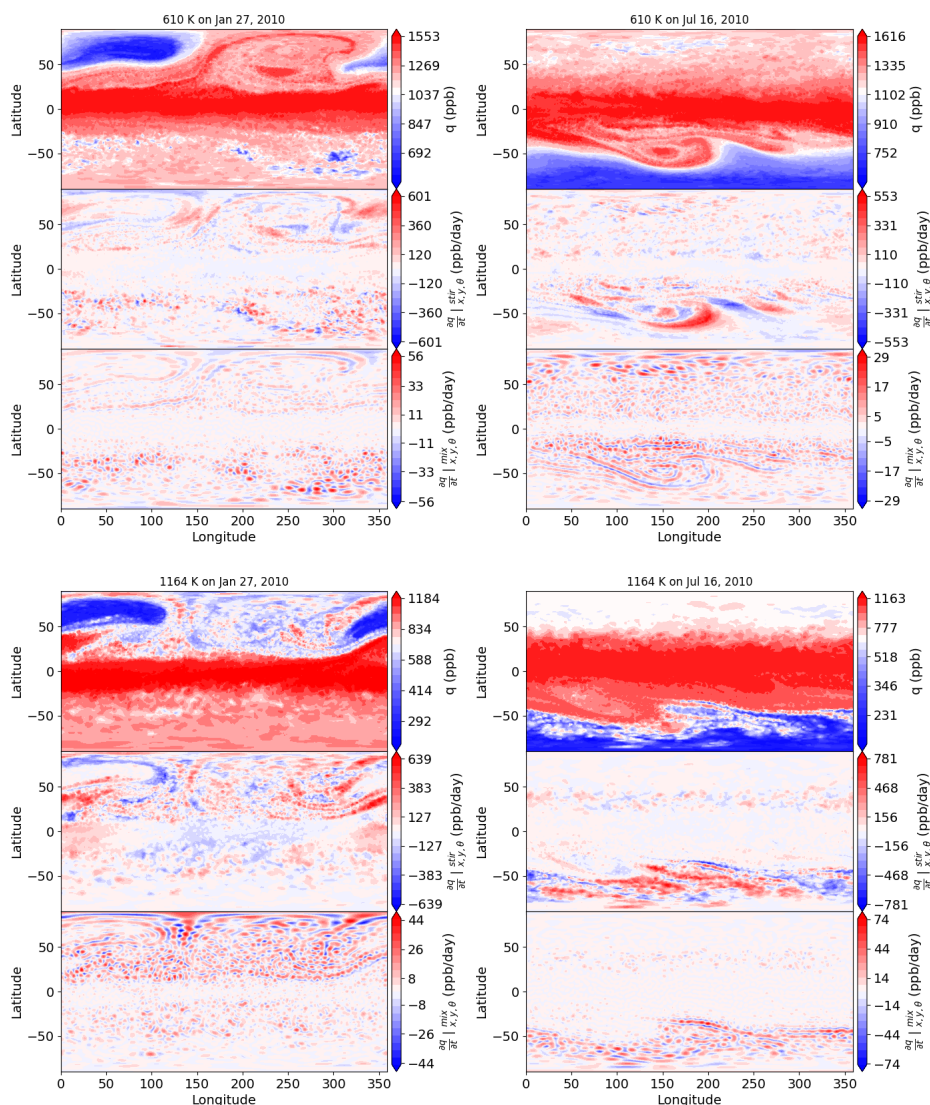


Figure 3. Examples for the reduction of the diabatic contribution to the local variation of  $m$  compared to that of  $q$  on different isentropic surfaces. The diabatic contribution to the local variation of  $m$  are multiplied by  $\frac{\partial q}{\partial m}|_{\theta,t}$  to make it comparable with diabatic transport of  $q$ . All quantities are in ppb/day.





605

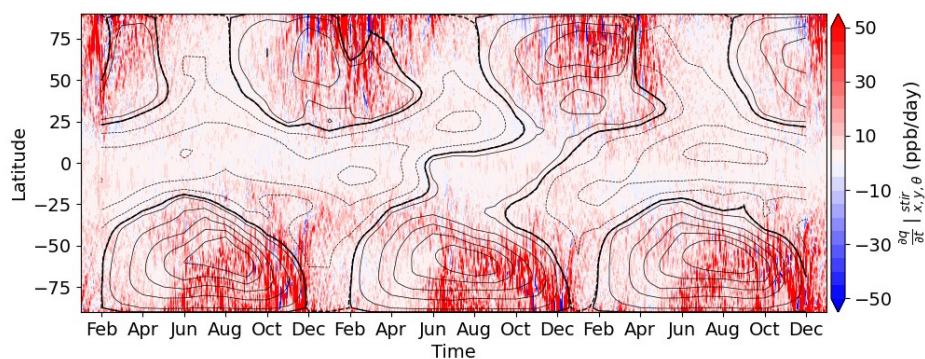
Figure 4. Snapshots of the trace gas distribution  $q$  and diagnosed stirring and mixing. Stirring is significant only in the hemispheric winter while the summer hemisphere is relatively calm. It is worth noting that the distributions of  $q$  and the derived stirring and mixing in particular show oscillations. These oscillations are caused by the high spatial inhomogeneity in tracer mixing ratios, which naturally occur in Lagrangian transport models that have small diffusion.

610



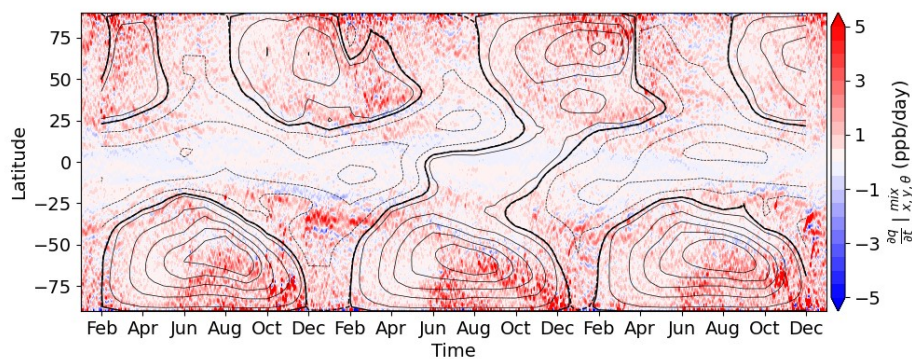


615



620

Figure 5. Evolution of zonal mean temporal variations of the trace gas mixing ratio due to stirring, and zonal mean zonal wind (black lines with solid ones for westerlies, dashed ones for easterlies and bold line for zero wind, increment is 15 m/s) during 2009 to 2011.



625

Figure 6. Same as Fig. 4 except for evolution of zonal mean temporal variations of the trace gas mixing ratio due to mixing.

630



635

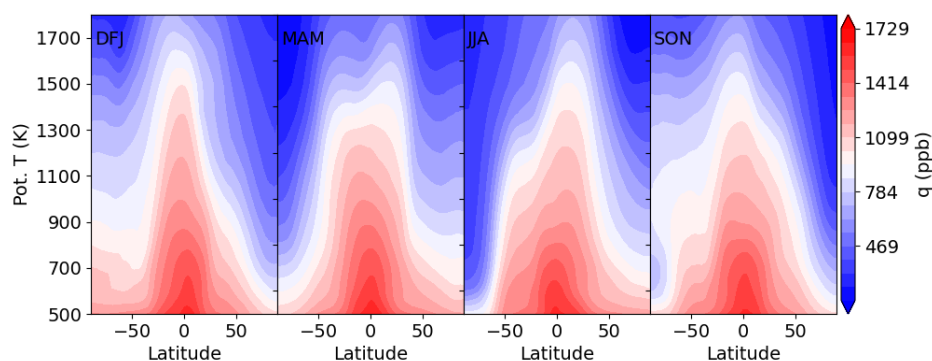


Figure 7. Zonal mean distributions of mixing ratios of the long-lived trace gas  $\text{CH}_4$  modeled by CLaMS. Each column represents a seasonal average for the period 2009 to 2011.

640

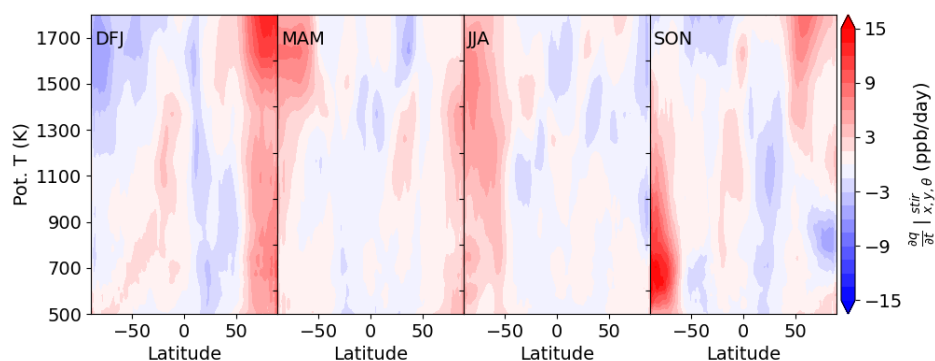


Figure 8. Zonal mean distributions of temporal variations of the trace gas mixing ratio due to stirring. Each column represents a seasonal average for the period 2009 to 2011,.



650

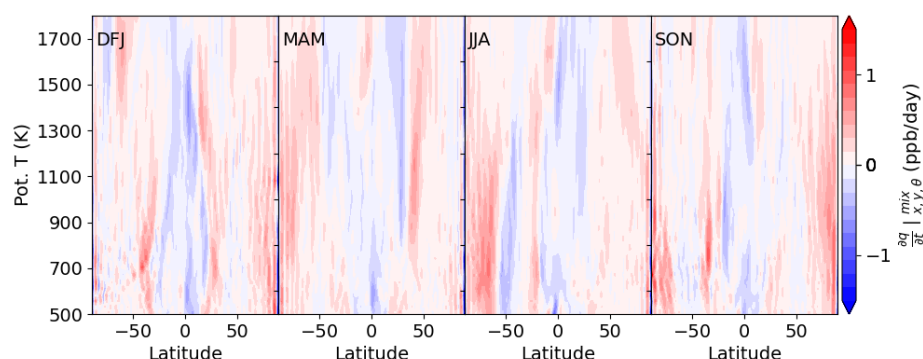
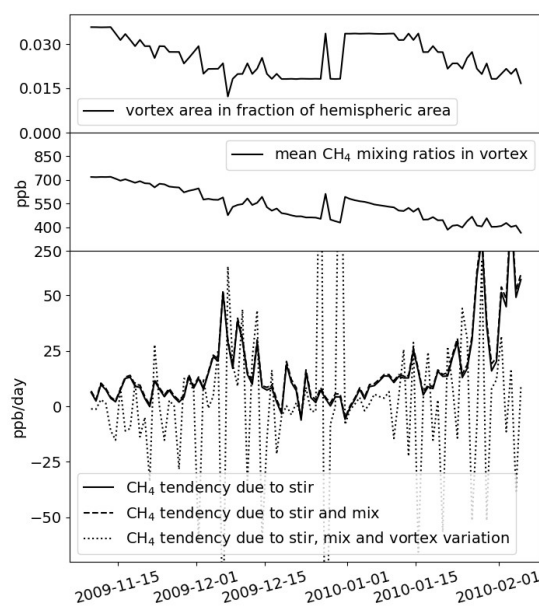


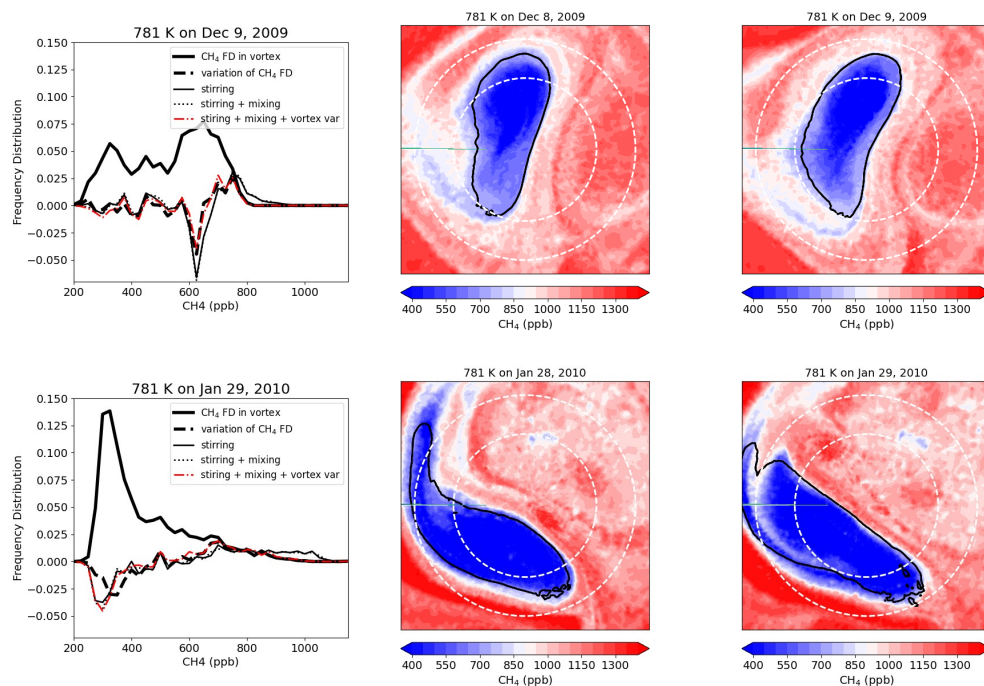
Figure 9. Same as Fig. 7 except for zonal mean distributions of temporal variations of the trace gas mixing ratio due to mixing.



655

Figure 10. Evolution of the northern vortex area (top), mean CH<sub>4</sub> mixing ratios in the vortex (middle) and temporal tendencies of the mean CH<sub>4</sub> mixing ratios due to stirring, mixing and vortex variation (bottom) at 781 K from Nov 10 2009 to Feb 5, 2010. The vortex boundary is defined as the contour that corresponds to the largest potential vorticity gradient with respect to equivalent latitudes.

660



665

Figure 11.  $\text{CH}_4$  frequency distribution in the northern polar vortex, its variation and contributors along the 781 K surface (left). Middle and right:  $\text{CH}_4$  mixing ratio contours, latitudinal circles of  $60^\circ\text{N}$  and  $45^\circ\text{N}$  (white dashed lines) and vortex boundary (black solid lines, defined as the contour that corresponds to the largest potential vorticity gradient with respect to equivalent latitudes) at 781 K on Dec 8-9, 2009 and Jan 28-29, 2010.