



# Contrasting source contributions of Arctic black carbon to atmospheric concentrations, deposition flux, and atmospheric and snow radiative effects

Hitoshi Matsui<sup>1</sup>, Tatsuhiro Mori<sup>2</sup>, Sho Ohata<sup>3,4</sup>, Nobuhiro Moteki<sup>2</sup>, Naga Oshima<sup>5</sup>, Kumiko Goto-5 Azuma<sup>6,7</sup>, Makoto Koike<sup>2</sup>, and Yutaka Kondo<sup>6</sup>

<sup>1</sup>Graduate School of Environmental Studies, Nagoya University, Nagoya, Japan

<sup>2</sup> Graduate School of Science, University of Tokyo, Tokyo, Japan

<sup>3</sup> Institute for Space–Earth Environmental Research, Nagoya University, Nagoya, Japan

<sup>4</sup> Institute for Advanced Research, Nagoya University, Nagoya, Japan

10 <sup>5</sup> Meteorological Research Institute, Tsukuba, Japan

<sup>6</sup> National Institute of Polar Research, Tachikawa, Japan

<sup>7</sup> The Graduate University for Advanced Studies, Hayama, Japan

Correspondence to: Hitoshi Matsui (matsui@nagoya-u.jp)

Abstract. Black carbon (BC) particles in the Arctic contribute to rapid warming of the Arctic by heating the atmosphere and

- 15 snow and ice surfaces. Understanding the source contributions to Arctic BC is therefore important, but they are not well understood, especially those for atmospheric and snow radiative effects. Here we estimate simultaneously the source contributions of Arctic BC to near-surface and vertically integrated atmospheric BC mass concentrations (M<sub>BC\_SRF</sub> and M<sub>BC\_COL</sub>), BC deposition flux (M<sub>BC\_DEP</sub>), and BC radiative effects at the top of the atmosphere and snow surface (RE<sub>BC\_TOA</sub> and RE<sub>BC\_SNOW</sub>), and show that the source contributions to these five variables are highly different. In our estimates, Siberia
- 20 makes the largest contribution to  $M_{BC\_SRF}$ ,  $M_{BC\_DEP}$ , and  $RE_{BC\_SNOW}$  in the Arctic (defined as >70°N), accounting for 70%, 53%, and 43%, respectively. In contrast, Asia's contributions to  $M_{BC\_COL}$  and  $RE_{BC\_TOA}$  are largest, accounting for 38% and 45%, respectively. In addition, the contributions of biomass burning sources are larger (24–34%) to  $M_{BC\_DEP}$ ,  $RE_{BC\_TOA}$ , and  $RE_{BC\_SNOW}$ , which are highest from late spring to summer, and smaller (4.2–14%) to  $M_{BC\_SRF}$  and  $M_{BC\_COL}$ , whose concentrations are highest from winter to spring. These differences in source contributions to these five variables are due to
- 25 seasonal variations in BC emission, transport, and removal processes and solar radiation, as well as to differences in radiative effect efficiency (radiative effect per unit BC mass) among sources. Radiative effect efficiency varies by a factor of up to 4 among sources (1465–5439 W g<sup>-1</sup>) depending on lifetimes, mixing states, and heights of BC and seasonal variations of emissions and solar radiation. As a result, source contributions to radiative effects and mass concentrations (i.e., RE<sub>BC\_TOA</sub> and M<sub>BC\_COL</sub>, respectively) are substantially different. The results of this study demonstrate the importance of considering
- 30 differences in the source contributions of Arctic BC among mass concentrations, deposition, and atmospheric and snow radiative effects for accurate understanding of Arctic BC and its climate impacts.

### **1** Introduction

Black carbon (BC) aerosols, emitted into the atmosphere by incomplete combustion of fossil fuels, biofuels, and biomass, heat the atmosphere and modulate the Earth's radiation budget by efficiently absorbing solar radiation (e.g., Bond et al., 2013; IPCC,

- 35 2021). This heating by BC also changes the vertical stability of the atmosphere and the distribution of clouds, which in turn modulates the radiation budget (e.g., Koch and Del Genio, 2010; Smith et al., 2018; Stjern et al., 2017). In addition, when BC is transported and deposited in regions where snow and ice are present, such as in the Arctic region, it lowers the albedo of snow and ice surfaces and accelerates snow and ice melting (e.g., Flanner et al., 2007; Hadley and Kirchstetter, 2012; Hansen and Nazarenko, 2004). Arctic warming is progressing about twice as fast as global warming, and BC in the Arctic may
- 40 contribute to the acceleration of Arctic warming through heating of the atmosphere and heating and melting of snow and ice





(e.g., Serreze and Barry, 2011). However, there are large uncertainties in simulations of atmospheric BC concentrations in the Arctic, which vary by one or two orders of magnitude among existing models (e.g., Eckhardt et al., 2015; Shindell et al., 2008). Atmospheric BC mass (M<sub>BC</sub>) concentrations in the Arctic show distinct seasonal variation, being high in winter and spring and low in summer near the surface (e.g., Sharma et al., 2013, 2019; Sinha et al., 2017). In winter and spring, BC emitted from

- 45 high latitudes such as from Siberia and Europe is transported via the lower troposphere to lower altitudes in the Arctic, whereas anthropogenic BC emitted from mid-latitudes such as from Asia is transported long distances via the middle and upper troposphere and reaches higher altitudes in the Arctic (e.g., Stohl, 2006; Matsui et al., 2011a). In summer, when precipitation in the mid- and high-latitudes increases, biomass burning in and near the Arctic (Siberia and Alaska) is considered to be the dominant source of atmospheric BC in the Arctic (e.g., Ikeda et al., 2017; Sharma et al., 2013). Unlike atmospheric BC, rain
- 50 rate in the Arctic varies seasonally, with lower rain rate in winter and spring and higher in summer (e.g., Mori et al., 2020; Shen et al., 2017). Thus, the BC deposition flux has been reported to have seasonal variations with maximum fluxes in summer (or less clear seasonal variations than surface atmospheric BC) (Mori et al., 2020, 2021). Furthermore, heating of the atmosphere and snow surfaces in the Arctic by BC is strongly dependent on solar radiation, which is largest in summer (and zero in winter because of the polar night). Therefore, atmospheric concentrations and deposition of BC from spring to fall are
- 55 important for estimating the heating of the atmosphere and snow surface by BC in the Arctic. Given the seasonal variations in BC emission, transport, and removal processes, as well as in solar radiation, the source contributions to the following five BC variables and their seasonal variations are expected to differ significantly in the Arctic: 1) near-surface atmospheric BC mass concentration (M<sub>BC\_SRF</sub>), 2) vertically integrated atmospheric BC mass concentration (M<sub>BC\_CDEP</sub>), 4) BC radiative effect at the top of the atmosphere (TOA) (RE<sub>BC\_TOA</sub>), and 5) BC radiative effect at the snow surface 60 (RE<sub>BC\_SNOW</sub>).

Many previous studies have estimated source contributions to BC in the Arctic. Most of them have focused on  $M_{BC_SRF}$  and  $M_{BC_COL}$ , showing that BC in the lower troposphere of the Arctic is mainly transported from high-latitude sources such as Europe, Siberia, and North America, whereas low-latitude sources such as Asia are important contributors to BC in the middle and upper troposphere (e.g., Bourgeois and Bay, 2011; Huang, et al., 2010; Qi et al., 2017; Ren et al., 2020; Sharma et al.,

- 65 2013; Sobhani et al., 2018; Xu et al., 2017; Zhu et al., 2020). Other studies have estimated the source contributions to M<sub>BC\_DEP</sub> in the Arctic as well as those to M<sub>BC\_SRF</sub> and M<sub>BC\_COL</sub> (Ikeda et al., 2017; Qi and Wang, 2019; Wang et al., 2011). In contrast, few studies have estimated the source contributions to the radiative effects of BC (RE<sub>BC\_TOA</sub> and RE<sub>BC\_SNOW</sub>) in the Arctic. As far as we know, only Wang et al. (2014) have estimated the source contributions to M<sub>BC\_SRF</sub>, M<sub>BC\_COL</sub>, M<sub>BC\_DEP</sub>, and RE<sub>BC\_TOA</sub> in the Arctic. They found strong seasonal variations in source contributions and showed the importance of high-latitude sources.
- 70 However, their model simulations underestimated observed BC mass concentrations at the surface and in the lower troposphere by about one order of magnitude.

To our knowledge, no study has estimated the source contributions to all five of the BC variables described above ( $M_{BC\_SRF}$ ,  $M_{BC\_COL}$ ,  $M_{BC\_DEP}$ ,  $RE_{BC\_TOA}$ , and  $RE_{BC\_SNOW}$ ) simultaneously. In addition, although BC emitted from each source may have different microphysical properties (e.g., mixing state), light absorption efficiency (light absorption per unit mass), and radiative

75 effect efficiency (radiative effect per unit light absorption or per unit mass) in the Arctic, these differences among emission sources are not well understood.

In our previous studies, we have developed a global two-dimensional sectional aerosol model, the Community Atmosphere Model with the Aerosol Two-dimensional bin module for foRmation and Aging Simulation (CAM-ATRAS), that resolves aerosol particle size and the BC mixing state in detail (Matsui, 2017; Matsui and Mahowald, 2017). We have also shown that

80 simulations conducted with this model can reproduce realistically global distributions of M<sub>BC</sub> observed by surface and aircraft measurements (e.g., Matsui and Mahowald, 2017; Liu and Matsui, 2021b). In this study, we use CAM-ATRAS to estimate the source contributions to BC in the Arctic from 26 sources (13 source regions × 2 source types (anthropogenic and biomass burning)) and show that source contributions to Arctic BC are substantially different among the five BC variables: M<sub>BC\_SRF</sub>,





M<sub>BC\_COL</sub>, M<sub>BC\_DEP</sub>, RE<sub>BC\_TOA</sub>, and RE<sub>BC\_SNOW</sub>. We also show in this study that the light absorption efficiency and radiative
 effect efficiency of atmospheric BC in the Arctic differ significantly among emission sources, and that these differences contribute to the different source contributions to atmospheric concentrations and radiative effects (i.e., M<sub>BC\_COL</sub> and RE<sub>BC\_TOA</sub>). Abbreviations for BC used in this study are summarized in Table 1.

## 2 Method

## 2.1 Global climate-aerosol model CAM-ATRAS

- 90 We used the Community Atmosphere Model version 5 (CAM5) (Neale et al., 2010) and the Community Land Model version 4 (CLM4) (Oleson et al., 2010) in Community Earth System Model version 1.2.0 (Hurrell et al., 2013). In our previous studies, we implemented our aerosol model ATRAS into CAM5 (Matsui, 2017; Matsui and Mahowald, 2017). In CAM-ATRAS, which considers seven aerosol species (sulfate, nitrate, ammonium, dust, sea salt, organic aerosol, and BC), aerosol particles with dry diameters from 1 to 10,000 nm are classified into 12 particle size bins, and for fine particles (from 40 to 1250 nm), eight BC
- 95 mixing state bins are used for each size bin. Based on the mass ratio of BC to total dry aerosol (fBC), the BC mixing states are classified into pure BC (fBC = 0.99–1.0), BC-free particles (fBC < 0.0001), and six different internally mixed BC particles (fBCs of 0.0001–0.1, 0.1–0.2, 0.2–0.5, 0.5–0.8, 0.8–0.9, and 0.9–0.99). Overall, 47 particle size and mixing state bins are used to represent aerosols. CAM-ATRAS calculates the following aerosol processes: new particle formation (Matsui et al., 2011b, 2013a); condensation of sulfate, nitrate, and organic aerosols (Matsui et al., 2014a, 2014b); coagulation (Matsui et al.,</p>
- 100 2013b); activation into cloud droplets (Abdul-Razzak and Ghan, 2000, 2002); aqueous-phase chemistry (Tie et al., 2001); and dry and wet deposition (Liu et al., 2012; Zender et al., 2003). Optical properties and cloud condensation nuclei (CCN) properties are calculated theoretically (Bohren and Huffman, 1998; Petters and Kreidenweis, 2007) using the particle size and chemical composition of each two-dimensional bin, and aerosol-radiation (Iacono et al., 2000) and aerosol-cloud interactions (Morrison and Gettelman, 2008) are estimated based on these properties (Matsui, 2017).
- 105 Model simulations by CAM-ATRAS have been evaluated against various surface, aircraft, and satellite observations for mass concentrations of each aerosol species, number concentrations, size distributions, and optical properties (Gliβ et al., 2021; Kawai et al., 2021; Liu and Matsui, 2021a; Matsui and Mahowald, 2017; Matsui et al., 2018a; Matsui and Moteki, 2020; Sand et al., 2021). Mass concentrations, mixing states, and vertical profiles of BC have been validated (Matsui et al., 2018b; Matsui, 2020; Moteki et al., 2019; Ohata et al., 2021). We have also improved the model representation of activation processes in
- 110 liquid clouds and of removal processes in cumulus and mixed-phase clouds, thereby greatly improving the reproducibility of BC observations in the upper troposphere in the tropics and in the middle and lower troposphere in the Arctic (Liu and Matsui, 2021b; Matsui and Liu, 2021).

#### 2.2 Tag-tracer method

In addition to the sum of BC from all source regions and types (hereafter referred to as ALL BC), CAM-ATRAS considers

- 115 two tracer BC variables. The tracer BC variables are considered for all 47 particle-size and mixing-state bins. Transport, transformation, and removal processes and related changes in particle sizes and mixing states of the tracer BC variables are calculated explicitly and in the same way as those of the ALL BC. In the original CAM-ATRAS, these two tracer BC variables are used to calculate anthropogenic (fossil fuel + biofuel) and biomass burning BC from all source regions. In this study, we used these tracer BC variables to calculate anthropogenic and biomass burning BC emitted from each of 13 regions (Fig. 1):
- 120 Europe (EUR), Siberia (SIB), Greenland (GL), North America north of 50°N (NAM (>50°N)), North America south of 50°N (NAM (<50°N)), Central Asia (CAS) 1–4, East Asia (EAS) 1–2, Southeast Asia (SAS), and Others. By performing 13 simulations focusing on anthropogenic and biomass burning BC emitted from each source region, the emission, transport,</p>





transformation, and removal processes and optical and CCN properties of BC from all 26 sources (i.e., 13 regions × 2 types) are calculated separately using the 47 bins for each emission source.

- 125 By using these tag-tracer BC variables, source contributions to BC in the Arctic (defined as >70°N in this study) were estimated for the five BC variables: MBC\_SRF, MBC\_COL, MBC\_DEP, REBC\_TOA, and REBC\_SNOW. For MBC\_SRF and MBC\_DEP, ALL BC and the sum of all BC tags from the 26 sources agree within 0.20% for the global and Arctic averages (Fig. S1). Regarding the spatial distributions of M<sub>BC\_SRF</sub> and M<sub>BC\_DEP</sub>, ALL BC and the sum of all BC tags show good agreement in almost all grids globally. For MBC COL, ALL BC and the sum of all BC tags agree within 5.0% for the global and Arctic averages, and within
- 10% for all grids in the Arctic (Fig. S1). 130

REBC TOA for each source is estimated from the difference between two radiative transfer calculations: when all BC is considered and when BC from the target source is excluded from all BC. RE<sub>BC TOA</sub> for ALL BC and for the sum of all BC tags agree within 10% for global and Arctic averages and for almost all grids in the Arctic (Fig. S1).

- RE<sub>BC SNOW</sub> is calculated by the Snow, Ice, and Aerosol Radiative (SNICAR) model in CLM4 (Flanner and Zender, 2005; 135 Oleson et al., 2010). Similar to RE<sub>BC TOA</sub>, we tried to estimate RE<sub>BC SNOW</sub> for each source from the difference between REBC SNOW when all BC is considered and when BC of the target source is excluded from all BC. However, using this method, the difference between ALL BC and the sum of all BC tags is more than 10% in many grids, and it is 20% and 5.3% for the global and Arctic averages, respectively (Fig. S1). Figure S1 shows that the difference between ALL BC and the sum of all BC tags is large where RE<sub>BC SNOW</sub> is large.
- 140

Given these results, this online calculation is not used in this study; instead, RE<sub>BC\_SNOW</sub> for each source (RE<sub>BC\_SNOW,i,m,s</sub>) is estimated offline using Eq. (1):

$$RE_{BC\_SNOW,i,m,s} = RE_{BC\_SNOW,i,m,ALL} \times \frac{M_{BC\_DEP,i,m,s} + M_{BC\_DEP,i,m-1,s}}{\sum_{s}(M_{BC\_DEP,i,m,s} + M_{BC\_DEP,i,m-1,s})},$$
(1)

where i, m, and s denote a horizontal grid, month, and emission source, respectively; RE<sub>BC SNOW,i,m,ALL</sub> denotes RE<sub>BC\_SNOW</sub> in horizontal grid i and month m when considering BC from all sources (monthly mean); M<sub>BC DEP,i,m,s</sub> denotes BC deposition

- 145 flux in horizontal grid i, month m, and emission source s (monthly mean). Thus, Eq. (1) calculates RE<sub>BC SNOW,i.m.s</sub> from REBC SNOW.i.m.ALL by weighting the contribution of each emission source s to the total BC deposition flux. This offline method assumes that the source contributions to RE<sub>BC SNOW</sub> in a given month are determined by the source contributions to the BC deposition flux in that month and the previous month (two months). In reality, BC older than two months may contribute to snow surface heating to some extent, and the heating may also depend on the timing and amount of snowfall and variations of
- 150 snow grain size. Note that varying the weighting period of the deposition flux from 1 to 3 months does not change the estimates of the source contributions (Fig. S2). In addition, the source contributions calculated by the offline calculation (Eq. 1) and those estimated by the online calculation agree well except for NAM (>50°N) and Asia: the offline calculation shows a larger contribution from NAM (>50°N) and a smaller contribution from Asia than the online calculation (Fig. S2). Considering these results, in this study we mainly use the source contributions to RE<sub>BC\_SNOW</sub> estimated by the offline calculation (Eq. 1).

### 155 2.3 Simulation setups

Model simulations were performed for four years, 2008–2011, and the results for the latter three years, 2009–2011, were used for analysis. As described in Sect. 2.2, 13 simulations were performed using the tag-tracer variables for BC emitted from each of the 13 regions shown in Fig. 1. The horizontal resolution was 1.9° latitude ×2.5° longitude, and the number of vertical layers was 30 (~40 km). Emission data were taken from monthly anthropogenic emissions based on the Community Emissions Data

System (Hoesly et al., 2018) and from daily biomass burning emissions based on the Global Fire Emissions Database version 160 4.1 (van der Werf et al., 2017). Similar to Matsui et al. (2018b), anthropogenic and biomass burning emissions were assumed to have number median diameters of 70 nm and 100 nm, respectively (standard deviation 1.8), with BC emitted as pure BC





and the other species as BC-free particles. Dust and sea salt emissions were calculated online (Mårtensson et al., 2003; Monahan et al., 1986; Zender et al., 2003).

#### 165 2.4 Observation data

M<sub>BC\_SRF</sub> was observed by a continuous soot monitoring system at Barrow (71.3°N, 156.6°W), Ny-Ålesund (78.9°N, 11.9°E), Alert (82.5°N, 62.5°W), and Pallas (68.0°N, 24.1°E). At Barrow and Ny-Ålesund, M<sub>BC\_DEP</sub> was also observed by a singleparticle soot photometer in 2013–2017 (Mori et al., 2020, 2021). We used these surface observation data of M<sub>BC SRF</sub> and M<sub>BC DEP</sub> during 2013–2017 to evaluate simulated M<sub>BC SRF</sub> and M<sub>BC DEP</sub> (2009–2011) in the Arctic. We also used observations

- 170 of M<sub>BC</sub> in surface snow and the total column of snowpack in Finland (March 2013), Alaska (March 2012–2015), Siberia (March 2013 and April 2015), Greenland (June-July 2012, July-August 2013, July-August 2014, May 2015, and May 2016), and Ny-Ålesund (April 2013) (Mori et al., 2019). In addition, we used aircraft M<sub>BC</sub> observation data at high latitudes in the Northern Hemisphere during the High-performance Instrumented Airborne Platform for Environmental Research (HIAPER) Pole-to-Pole Observations (HIPPO) campaigns in 2009-2011 (Schwarz et al., 2013; Wofsy et al., 2011), the Arctic Research
- 175 of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) campaigns in April and July 2008 (Kondo et al., 2011; Matsui et al., 2011a, 2011c), and the Polar Airborne Measurements and Arctic Regional Climate Model simulation Project (PAMARCMiP) campaign in March-April 2018 (Ohata et al., 2021). Global Precipitation Climatology Project monthly data (https://psl.noaa.gov/data/gridded/data.gpcp.html) were used to evaluate precipitation amounts in the Arctic.

#### **3 Results**

#### 180 **3.1 Comparisons with observed BC in the Arctic**

Model simulations generally reproduce the observed seasonal variations of MBC SRF (maximum in winter and minimum in summer) well at Barrow, Ny-Ålesund, and Alert (Figs. 2a-c). The simulated/observed ratios of annual-mean MBC\_SRF are 0.61 at Barrow (23 ng m<sup>-3</sup> in observations and 14 ng m<sup>-3</sup> in simulations), 1.3 at Ny-Ålesund (14 ng m<sup>-3</sup> in observations and 19 ng  $m^{-3}$  in simulations), 0.53 at Alert (16 ng  $m^{-3}$  in observations and 8.7 ng  $m^{-3}$  in simulations), and 1.4 at Pallas (24 ng  $m^{-3}$  in

- 185 observations and  $34 \text{ ng m}^{-3}$  in simulations); thus, observations and model simulations agree within a factor of 2 at the all sites (Figs. 2a-d). At Barrow, simulated MBC SRF is underestimated from February to April, but agrees with observed MBC SRF within a factor of 2 in the other months. At Alert, simulated MBC\_SRF is also underestimated in late winter and spring, but agrees with observations within a factor of 2 except in February-May and October. At Ny-Ålesund and Pallas, the observed and simulated M<sub>BC\_SRF</sub> agree within a factor of 2, except in January, August, and November at Ny-Ålesund and in October–December at 190 Pallas.

Observed MBC DEP (by wet deposition) shows seasonal variation with a maximum in summer at Barrow and a minimum in summer at Ny-Ålesund (Figs. 3a and b), reflecting seasonal difference of precipitation between the two sites (Mori et al., 2020, 2021). At Barrow, MBC DEP is overestimated especially in August, but observed and simulated MBC DEP agree within a factor of 2 in 8 out of 12 months. At Ny-Ålesund, simulated M<sub>BC\_DEP</sub> is also overestimated in summer, but observed and simulated

195 M<sub>BC DEP</sub> agree within a factor of 2 in 7 out of 12 months. The simulated/observed ratio of annual-mean M<sub>BC DEP</sub> is 3.3 at Barrow and 1.6 at Ny-Ålesund. Note that model simulations generally reproduce observed precipitation and its seasonal variations in the Arctic (Figs. S3 and S4).

The vertical profiles of M<sub>BC</sub> in the Arctic during the HIPPO campaigns generally show good agreement between observations and model simulations (Figs. 4a-e), except in August (HIPPO5). Liu and Matsui (2021b) greatly improved the

200 agreement of the simulated vertical profiles of MBC with observations by improving aerosol removal processes for cumulus and mixed-phase clouds. The level of agreement of vertical profiles of MBC with observations in this study is similar to that in Liu and Matsui (2021b) for the HIPPO campaigns. The simulations overestimate observed M<sub>BC</sub> in summer (especially in



205



August) both at Barrow and in the HIPPO5 campaign (Figs 2a, 3a, and 4e). Model simulations might overestimate BC emissions from biomass burning sources in and around Alaska in summer because their contributions to Arctic BC are large in summer (Sect. 3.3).

We also compare our model simulations with aircraft observations in the ARCTAS and PAMARCMiP campaigns (Figs. 4f–h), although the years of observations and model simulations are not the same. Our model-simulated  $M_{BC}$  levels (~10 ng kg<sup>-1</sup>) during the spring season in the European Arctic (~80°N) are generally consistent with the observed  $M_{BC}$  in the PAMARCMiP campaign (Fig. 4h). The model simulations underestimate  $M_{BC}$  in the ARCTAS campaign (Figs. 4f and g)

210 because it is higher than  $M_{BC}$  in the HIPPO and PAMARCMiP campaigns. This might correspond to the high activity of biomass burning and the resulting high emissions of BC in 2008, when the ARCTAS campaigns were made (Ohata et al., 2021).

Simulated  $M_{BC}$  in snow tends to be about a factor of 2–3 higher than observed  $M_{BC}$  in snow in Finland, Alaska, Siberia, and Greenland (Fig. S5). However, the simulated  $M_{BC}$  in snow agrees with the observations within a factor of 10 at almost all

snow sampling sites (Fig. 5a). In addition, the model simulations generally reproduce the observed features with higher  $M_{BC}$ in snow over Finland and Siberia and lower over Alaska and Greenland. The simulated  $M_{BC}$  in snow has a spatial distribution with higher concentrations in the Siberian side of the Arctic and lower concentrations in the North American side of the Arctic (Fig. 5b), which is consistent with the results of previous studies (e.g., Flanner et al, 2007).

#### 3.2 Spatial distribution of BC

- In the Northern Hemisphere,  $M_{BC_SRF}$ ,  $M_{BC_COL}$ ,  $M_{BC_DEP}$ , and  $RE_{BC_TOA}$  are largest in East Asia and Central Africa, where  $RE_{BC_TOA}$  exceeds 2 W m<sup>-2</sup> (Figs. 6a–d). Global averages of  $M_{BC_SRF}$ ,  $M_{BC_COL}$ ,  $M_{BC_DEP}$ , and  $RE_{BC_TOA}$  are 0.14 µg m<sup>-3</sup>, 0.14 Tg, 9.4 Tg y<sup>-1</sup>, and 0.39 W m<sup>-2</sup>, respectively, and Arctic (>70°N) averages are 0.020 µg m<sup>-3</sup>, 0.0015 Tg, 0.050 Tg y<sup>-1</sup>, and 0.29 W m<sup>-2</sup>, respectively. The atmospheric lifetime of BC (ratio of  $M_{BC_DEP}$  to atmospheric BC burden) is estimated to be 5.6 days for the global average and 11 days for the Arctic average. The global BC lifetime in the simulations is within the range
- of previous estimates, as summarized in Liu and Matsui (2021b).  $RE_{BC_SNOW}$  has large values (>1 W m<sup>-2</sup>) in high mountain areas in the mid-latitudes, Siberia, and Greenland (Fig. 6e) and the global and Arctic averages are estimated to be 0.048 W m<sup>-2</sup> and 0.20 W m<sup>-2</sup>.

Some previous studies have estimated the burden and direct radiative forcing (preindustrial to present-day) of BC for north of 60°N. In this study,  $M_{BC_{COL}}$  is estimated to be 0.0041 Tg (>60°N), which is slightly lower than the range of previous

estimates (e.g., 0.0054–0.0091 Tg in Mahmood et al., 2016). The direct radiative forcing of anthropogenic BC at TOA is 0.17 W m<sup>-2</sup> (>60°N), which is within the range of the Aerosol Comparisons between Observations and Models (AeroCom) modelled estimates of 0.03–0.37 W m<sup>-2</sup> (median 0.19 W m<sup>-2</sup>) in Sand et al. (2017). RE<sub>BC\_SNOW</sub> is 0.22 W m<sup>-2</sup> (>60°N), which is also consistent with the AeroCom estimate of 0.17 W m<sup>-2</sup> (0.06–0.28 W m<sup>-2</sup>) reported in Jiao et al. (2014).

## 3.3 Source contributions of Arctic BC

- 235 The estimated source contributions to the five variables (M<sub>BC\_SRF</sub>, M<sub>BC\_COL</sub>, M<sub>BC\_DEP</sub>, RE<sub>BC\_TOA</sub>, and RE<sub>BC\_SNOW</sub>) differ greatly (Fig. 7). For M<sub>BC\_SRF</sub>, the contribution from Siberia is dominant (70%), followed by Europe (13%) and Asia (Central Asia + East Asia + Southeast Asia) (9.0%). Anthropogenic sources account for 96% of the total. In contrast, for M<sub>BC\_COL</sub>, the contribution from Asia accounts for 38%, which is larger than the contributions from Siberia (33%) and Europe (14%). The larger contribution from Asia is due to BC transport from high-latitude (nearby) sources being dominant near the surface,
- 240 whereas the contribution of BC transported over long distances from the mid-latitudes is larger in the middle and upper troposphere in the Arctic (e.g., Stohl, 2006).

The major contribution from Siberia to  $M_{BC\_SRF}$  estimated in this study is consistent with some previous studies (e.g., Ikeda et al., 2017). In contrast, other studies have reported a large contribution from Europe and North America to  $M_{BC\_SRF}$  (e.g.,





Wang et al., 2014). This difference is due at least partly to the different definitions of the Siberian region among studies and the different years of emissions used (e.g., 2010 in this study and 2000 in earlier studies). The contribution of Siberia is also strongly dependent on the choice of emission inventories because there is a large uncertainty in BC emissions in Siberia; for example, Huang et al. (2015) estimated the largest contribution to be from gas flaring, whereas Winiger et al. (2017) suggested that domestic and transport sources are more important in Siberia than gas flaring.

BC emitted from Asian regions south of 30°N (Central Asia 1 and 2, East Asia 1, and Southeast Asia) accounts for 1.1%
of the total M<sub>BC\_SRF</sub> and 11% of the total M<sub>BC\_COL</sub> in the Arctic. Previous modelling studies have reported that BC emitted from low latitudes in Asia (e.g., Southeast Asia) can be transported to the Arctic (e.g., Koch and Hansen, 2005; Zhao et al., 2021). In the model simulations in this study, however, the contribution of BC emitted from low latitudes (south of 30°N) to the Arctic region is small.

The contribution of mid-latitude (high-latitude) sources to  $M_{BC_DEP}$  is larger (smaller) than that to  $M_{BC_SRF}$  and smaller (larger) than that to  $M_{BC_COL}$ . The largest contribution to  $M_{BC_DEP}$  is from Siberia (54%), followed by Europe, North America,

- 255 (larger) than that to M<sub>BC\_COL</sub>. The largest contribution to M<sub>BC\_DEP</sub> is from Siberia (54%), followed by Europe, North America, East Asia, and Central Asia. Because BC deposition is caused mainly by cloud and precipitation processes, the source contribution to M<sub>BC\_DEP</sub> depends on the source contribution to atmospheric BC at the altitude where clouds exist (e.g., mainly 2–4 km at Barrow; Mori et al., 2020). M<sub>BC\_SRF</sub> and M<sub>BC\_COL</sub> show seasonal variations with maxima in winter and spring (black lines in Figs. 8a and b), whereas M<sub>BC\_DEP</sub> shows the seasonal variation with a maximum in summer (black line in Fig. 8c).
- 260 Because the contribution of BC from biomass burning sources is large in summer (Fig. 8c), their annual mean contribution to  $M_{BC\_DEP}$  (26%; mainly from Siberia and North America) is larger than that to  $M_{BC\_SRF}$  and  $M_{BC\_COL}$  (4.2% and 14%, respectively). This result is consistent with recent isotope-based observations showing that the contribution of biomass burning sources to snow BC is larger than their contribution to atmospheric BC (Rodriguez et al., 2020).

The contribution of Asia (East Asia + Central Asia + Southeast Asia) to RE<sub>BC\_TOA</sub> is 45%, which is larger than its contribution to M<sub>BC\_SRF</sub> (9.0%) and M<sub>BC\_COL</sub> (38%). In contrast, the contributions of anthropogenic BC from Siberia and Europe to RE<sub>BC\_TOA</sub> are 15% and 9.3%, respectively, which are smaller than their contributions to M<sub>BC\_COL</sub> (28% and 13%, respectively). These results are obtained because the radiative effect per unit M<sub>BC\_COL</sub> (the radiative effect normalized by M<sub>BC\_COL</sub> (NRE<sub>COL</sub>)) for anthropogenic BC from Asia is larger than that for anthropogenic BC from Siberia and Europe, as discussed in Sect. 3.4. The contribution of biomass burning sources to RE<sub>BC\_TOA</sub> (24%) is larger than that to M<sub>BC\_COL</sub> (14%),

270 because M<sub>BC\_COL</sub> is higher in winter and early spring when anthropogenic sources dominate (Fig. 8b) whereas RE<sub>BC\_TOA</sub> is largest in late spring and summer when the contribution of biomass burning sources is large (Fig. 8d). Annual-mean source contributions are therefore significantly different between RE<sub>BC\_TOA</sub> and M<sub>BC\_COL</sub> (Fig. 7).

The source contributions to  $RE_{BC_SNOW}$  are generally similar to those to  $M_{BC_DEP}$ . The contribution from Siberia is largest (43%), followed by North America (>50°N) (21%) and Asia (18%) (Fig. 7). The contributions of these sources are large in

275 both online and offline calculations (Sect. 2, Fig. S2). Because  $RE_{BC_SNOW}$  in the Arctic is largest in late spring and summer in this study (Fig. 8e), the contribution of biomass burning sources to  $RE_{BC_SNOW}$  (32%) is larger than that to atmospheric concentrations (4.2% and 14% for  $M_{BC_SRF}$  and  $M_{BC_COL}$ , respectively).

The source contributions to the five variables differ significantly not only on an annual average basis but also on a monthly basis (Fig. 8). The contribution of anthropogenic BC from Siberia to  $M_{BC\_SRF}$  reaches 75% in winter (December–February)

- 280 (Fig. 8a). The contribution of Asia (East Asia + Central Asia + Southeast Asia) to M<sub>BC\_SRF</sub> is less than 15% throughout the year, whereas its contributions to M<sub>BC\_COL</sub> and RE<sub>BC\_TOA</sub> are large in winter and spring: 54% to M<sub>BC\_COL</sub> and 64% to RE<sub>BC\_TOA</sub> in March (Figs 8b and d). The contributions of biomass burning sources to the five variables are largest in summer, 12–26% from Siberia and 14–33% from North America (>50°N) (June–August average). The large contribution of biomass burning sources to M<sub>BC\_SRF</sub> and M<sub>BC\_COL</sub> during summer is consistent with previous studies (e.g., Winiger et al., 2019).
- Figure 9 shows the spatial distributions of the source regions with the largest contributions to Arctic BC among nine source regions: Europe, Siberia, Greenland, North America (>50°N), North America (<50°N), Central Asia, East Asia, Southeast





Asia, and Others. The contribution of each emission source is largest near the source. Sources making the largest contributions to Arctic BC differ significantly among the five variables. For  $M_{BC\_SRF}$ , Siberia's contribution is the largest over 79% of the total Arctic area (Fig. 9a), followed by Europe (16%) and North America (>50°N) (5.8%). For  $M_{BC\_DEP}$ , Siberia's contribution

290 is the largest over 65% of the total Arctic area (Fig. 9c), followed by North America (>50°N) (23%), Europe (11%), and East Asia (1.0%). The area of the Arctic where the contribution of East Asia is the largest is very limited for both M<sub>BC\_SRF</sub> and M<sub>BC\_DEP</sub>.

Unlike  $M_{BC\_SRF}$  and  $M_{BC\_DEP}$ , for  $M_{BC\_COL}$ , the Arctic area where the contribution of East Asia is the largest extends over the North American side of the Arctic (41% of the Arctic area) (Fig. 9b), and the area where Siberia's contribution is the largest

295 extends over the Siberian side of the Arctic (49% of the Arctic area). For RE<sub>BC\_TOA</sub>, the contribution from East Asia (Siberia) is the largest over 60% (36%) of the Arctic region (Fig. 9d). For RE<sub>BC\_SNOW</sub>, which is limited over land areas, North America (>50°N) contribution is the largest over 49% of the Arctic area, and the contributions of Siberia, Europe, and East Asia are the largest over 41%, 7.7%, and 1.9% of the Arctic area, respectively (Fig. 9e).

In summary, the results shown in this section demonstrate that the source contributions (Figs. 7 and 8) and the spatial 300 distributions of the areas making the largest contributions (Fig. 9) to Arctic BC differ substantially among M<sub>BC\_SRF</sub>, M<sub>BC\_COL</sub>, M<sub>BC\_DEP</sub>, RE<sub>BC\_TOA</sub>, and RE<sub>BC\_SNOW</sub>.

## 3.4 Different radiative effect efficiency among sources

CAM-ATRAS uses 47 bins for each of the 26 emission sources to calculate the particle size and mixing state of BC for each source (Sect. 2). Using this information, in this section, we estimate microphysical properties, absorption aerosol optical depth
 (AAOD), and radiative effects of BC for all emission sources and investigate their differences. RE<sub>BC TOA</sub> can be decomposed

into three components by Eq. 2 (Matsui et al., 2018b):

$$RE_{BC\_TOA} = M_{BC\_COL} \times \frac{AAOD_{BC}}{M_{BC\_COL}} \times \frac{RE_{BC\_TOA}}{AAOD_{BC}} = M_{BC\_COL} \times MAC_{BC} \times NRE_{AAOD} , \qquad (2)$$

where  $AAOD_{BC}$  is the AAOD of BC at the wavelength of 550 nm. The mass absorption cross section of BC (MAC<sub>BC</sub>) is defined as the ratio of AAOD<sub>BC</sub> to M<sub>BC\_COL</sub>. The BC radiative effect normalized by AAOD<sub>BC</sub> (NRE<sub>AAOD</sub>) is defined as the ratio of

310 RE<sub>BC\_TOA</sub> to AAOD<sub>BC</sub>. The BC radiative effect normalized by  $M_{BC_COL}$  (NRE<sub>COL</sub>; RE<sub>BC\_TOA</sub> /  $M_{BC_COL}$  or MAC<sub>BC</sub> × NRE<sub>AAOD</sub>) is also used. The global-mean NRE<sub>AAOD</sub> and NRE<sub>COL</sub> in this study are 152 W m<sup>-2</sup> and 1322 W g<sup>-1</sup>, respectively; these values are consistent with the median values of 130 W m<sup>-2</sup> (84–216 W m<sup>-2</sup>) and 1322 W g<sup>-1</sup> (612–2661 W g<sup>-1</sup>) in the AeroCom models (Myhre et al., 2013).

Figure 10 shows MAC<sub>BC</sub>, NRE<sub>AAOD</sub>, and NRE<sub>COL</sub> values in the Arctic for eight major BC sources (six anthropogenic and two biomass burning sources). Anthropogenic BC from Europe, Siberia, and North America (>50°N) (6.6–7.3 m<sup>2</sup> g<sup>-1</sup>) has lower MAC<sub>BC</sub> than ALL BC (8.2 m<sup>2</sup> g<sup>-1</sup>) (Fig. 10a, Table 2), whereas anthropogenic BC from Asia (Central Asia and East Asia) and biomass burning BC from Siberia and North America (>50°N) have higher MAC<sub>BC</sub> values (8.0–9.2 m<sup>2</sup> g<sup>-1</sup>). These differences in MAC<sub>BC</sub> are because the mixing state of BC from each emission source differs. Compared with anthropogenic BC from Siberia, Europe, and North America (>50°N), anthropogenic BC particles from Asia have a longer transport time

- 320 from the emission source to the Arctic. They also have a longer lifetime in the Arctic (23–30 days) (Fig. 11b) because the fraction of anthropogenic BC from Asia is higher in the upper troposphere (Fig. S6). Therefore, anthropogenic BC particles from Asia have higher MAC<sub>BC</sub> values because they experience aging processes for a longer time and because a higher fraction are thickly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have higher MAC<sub>BC</sub>) and a lower fraction are thinly coated BC particles (which have lower MAC<sub>BC</sub>) (Fig. 11a). Biomass burning BC from Siberia and North America (>50°N) also has a higher fraction of thickly
- 325 coated BC particles and a lower fraction of thinly coated BC particles than anthropogenic BC from Siberia, Europe, and North America (>50°N) (Fig. 11a), possibly because BC aging processes are faster in summer, when the contribution of biomass burning sources is larger, than in winter.





Similar to MAC<sub>BC</sub>, NRE<sub>AAOD</sub> also differs substantially among emission sources. The NRE<sub>AAOD</sub> value of anthropogenic BC from Europe and Siberia (209–249 W m<sup>-2</sup>, Arctic average) is 25–37% lower than that of ALL BC (334 W m<sup>-2</sup>), whereas that
of anthropogenic BC from Asia and biomass burning BC from Siberia and North America (>50°N) is 78% higher (335–594 W m<sup>-2</sup>). NRE<sub>AAOD</sub> depends on altitude, solar radiation, and surface albedo where BC exists. A higher fraction of BC at high altitudes and where the surface albedo is higher leads to a higher NRE<sub>AAOD</sub> value (e.g., Samset and Myhre, 2015). Solar radiation in the Arctic is highest during the summer. Here, BC-concentration-weighted mean height (Height<sub>BC</sub>), mean solar radiation (downward radiation flux at TOA) (Flux<sub>BC</sub>), and mean surface albedo (Albedo<sub>BC</sub>) in the Arctic are defined for each

335 emission source as follows:

$$Height_{BC,s} = \frac{\sum_{l,k,m,s} M_{BC,l,k,m,s} \times Height_{l,k,m}}{\sum_{l,k,m,s} M_{BC,l,k,m,s}},$$
(3)

$$Flux_{BC,s} = \frac{\sum_{i,m,s} M_{BC,COL,i,m,s} \times Flux_{i,m}}{\sum_{i,m,s} M_{BC,COL,i,m,s}},$$
(4)

$$Albedo_{BC,s} = \frac{\sum_{i,m,s} M_{BC\_COL,i,m,s} \times Albedo_{i,m}}{\sum_{i,m,s} M_{BC\_COL,i,m,s}},$$
(5)

where  $M_{BC,i,k,m,s}$  denotes the BC mass concentration in horizontal grid *i*, vertical grid *k*, month *m*, and emission source *s*;

- 340  $M_{BC\_COL,i,m,s}$  denotes  $M_{BC\_COL}$  in horizontal grid *i*, month *m*, and emission source *s*; and  $Height_{i,k,m}$ ,  $Flux_{i,m}$ , and  $Albedo_{i,m}$  are height (above sea level), solar radiation flux, and surface albedo, respectively, in each grid and month. These equations are calculated for grids in the Arctic (>70°N) to derive the mean height ( $Height_{BC,s}$ ), mean solar radiation flux ( $Flux_{BC,s}$ ), and mean surface albedo ( $Albedo_{BC,s}$ ), weighted by the BC mass concentration from each emission source *s*. The Height\_BC values of anthropogenic BC from Asia (Central Asia + East Asia) (>3500 m in the Arctic) are higher than those of anthropogenic BC
- 345 from Europe and Siberia (<2000 m) (Fig. 12a). In addition, the Flux<sub>BC</sub> values of anthropogenic BC from Asia (149–189 W m<sup>-2</sup> in the Arctic) are about 20% higher than those of anthropogenic BC from Europe and Siberia (122–143 W m<sup>-2</sup>) (Fig. 12b). For these reasons, the NRE<sub>AAOD</sub> values of anthropogenic BC from Asia are higher than those of anthropogenic BC from Europe and Siberia (Fig. 10b). The Flux<sub>BC</sub> values of biomass burning BC from Siberia and North America (>50°N) are 130–190% (2.3–2.9 times) higher than those of ALL BC in the Arctic (Fig. 12b), owing to the larger amounts of biomass burning BC in
- summer when solar radiation flux is the highest in the Arctic. These higher  $Flux_{BC}$  values of biomass burning BC are the main reason why biomass burning BC has a higher  $NRE_{AAOD}$  than anthropogenic BC (Fig. 10b).

 $NRE_{COL}$  (the product of  $MAC_{BC}$  and  $NRE_{AAOD}$ ) of ALL BC in the Arctic in this study is 2752 W g<sup>-1</sup>, which is lower than the values of around 3000–5000 W g<sup>-1</sup> in the AeroCom models (Samset et al., 2013). This lower value is likely because the fraction of Arctic BC existing at lower altitudes is higher in this study (71% above 500 hPa (below ~5 km)) than in AeroCom

- 355 models (~40% below 5 km). NRE<sub>COL</sub> values of anthropogenic BC from Europe and Siberia are lower (1465–1814 W g<sup>-1</sup>), and those of anthropogenic BC from Asia (2863–3371 W g<sup>-1</sup>) and biomass burning BC from Siberia and North America (>50°N) (4000–5439 W g<sup>-1</sup>) are higher (Fig. 10c). NRE<sub>COL</sub> of anthropogenic BC from Central Asia is 130% (2.3 times) larger than that of anthropogenic BC from Siberia. NRE<sub>COL</sub> of biomass burning BC from Siberia and North America (>50°N) is 170% (2.7 times) and 270% (3.7 times) higher, respectively, than that of anthropogenic BC from Siberia. Thus, NRE<sub>COL</sub> (RE<sub>BC\_TOA</sub> per
- 360 unit BC mass) in the Arctic differs by a factor of up to about 4 among the emission sources because mixing states, heights, and seasonal variations (solar radiation) are different.

#### 4 Summary

In this study, we estimate the source contributions of Arctic BC to five BC variables,  $M_{BC\_SRF}$ ,  $M_{BC\_COL}$ ,  $M_{BC\_DEP}$ ,  $RE_{BC\_TOA}$ , and  $RE_{BC\_SNOW}$ , and show that the source contributions differ significantly among them.  $M_{BC\_SRF}$  is dominated by Siberian

sources (70%), whereas the contribution from Siberia (33%) to  $M_{BC_{COL}}$  is smaller than that from Asia (38%). These differences can be attributed to the fact that BC transport from high-latitude emission sources is dominant in the lower troposphere in the





Arctic, whereas long-range BC transport from mid-latitudes is more important in the middle and upper troposphere in the Arctic. The contributions from Siberia and Asia to  $M_{BC_DEP}$  are 54% and 16%, respectively. Because  $M_{BC_DEP}$  is highest in summer, the contribution from biomass burning sources to  $M_{BC_DEP}$  is larger (16% from Siberia and 8.9% from North America

- 370 (>50°N)) than that to  $M_{BC\_SRF}$  and  $M_{BC\_COL}$ . The contribution from Asia (Siberia) to  $RE_{BC\_TOA}$  is 45% (24%), which is larger (smaller) than its contribution to  $M_{BC\_COL}$ . The contribution from biomass burning is also large (24%). The contribution from Siberia to  $RE_{BC\_SNOW}$  is 43%, which is larger than its contribution to  $RE_{BC\_TOA}$ .  $RE_{BC\_TOA}$  (from all sources) is 0.39 W m<sup>-2</sup> globally and 0.29 W m<sup>-2</sup> in the Arctic.  $RE_{BC\_SNOW}$  is 0.048 W m<sup>-2</sup> globally and 0.20 W m<sup>-2</sup> in the Arctic.
- We also show that the radiative effect efficiency of BC (NRE<sub>COL</sub>; RE<sub>BC\_TOA</sub> / M<sub>BC\_COL</sub>) in the Arctic from each emission
   source differs by a factor of up to about 4 (1465–5439 W g<sup>-1</sup>). Anthropogenic BC from Asia and biomass burning BC from
   Siberia and North America (>50°N) have a higher fraction of thickly coated BC particles and higher MAC<sub>BC</sub> (AAOD<sub>BC</sub> / M<sub>BC\_COL</sub>) (8.0–9.2 m<sup>2</sup> g<sup>-1</sup>). In contrast, anthropogenic BC from Europe, Siberia, and North America (>50°N) has a higher fraction of thinly coated BC particles and lower MAC<sub>BC</sub> (6.6–7.3 m<sup>2</sup> g<sup>-1</sup>). MAC<sub>BC</sub> in the Arctic differs by up to 38% among emission sources. NRE<sub>AAOD</sub> (RE<sub>BC TOA</sub> / AAOD<sub>BC</sub>) also differs significantly among emission sources because the altitude of
- 380 BC and incident solar radiation flux (i.e., seasonal variations) are different. NRE<sub>AAOD</sub> of anthropogenic BC from Asia and biomass burning BC from Siberia and North America (>50°N) is up to 180% (2.8 times) greater than that of anthropogenic BC from Siberia and North America (>50°N). As a result, NRE<sub>COL</sub> (product of MAC<sub>BC</sub> and NRE<sub>AAOD</sub>) in the Arctic differs by up to 3.7 times among emission sources.
- The results of this study demonstrate that source contributions to BC in the Arctic differ substantially depending on BC variables. The contribution of Asia to  $RE_{BC_TOA}$  is the largest, whereas Siberia makes the largest contribution to  $RE_{BC_SNOW}$ . The source contributions to  $RE_{BC_TOA}$  and  $RE_{BC_SNOW}$  are quite different from the source contributions to  $M_{BC_SRF}$ ,  $M_{BC_COL}$ , and  $M_{BC_DEP}$ . The results also demonstrate the importance of accurately estimating the differences in microphysical properties (e.g., mixing state), altitude, seasonal variations, and the resulting radiative effect efficiency of BC (NRE<sub>COL</sub>) from different emission sources when estimating the source contributions of BC radiative effects.

#### 390 Acknowledgements

This work was supported by the Ministry of Education, Culture, Sports, Science and Technology of Japan and the Japan Society for the Promotion of Science (MEXT/JSPS) KAKENHI Grant Numbers JP18H03363, JP19H04253, JP19H05699, JP19KK0265, JP20H00196, and JP20H00638 and MEXT Arctic Challenge for Sustainability phase II (ArCS-II; JPMXD1420318865) projects. This work was also supported by the Environment Research and Technology Development

395 (JPMEERF20202003) of the Environmental Restoration and Conservation Agency of Japan and a grant for the Global Environmental Research Coordination System from the Ministry of the Environment, Japan (MLIT1753). We thank the U.S. National Oceanic and Atmospheric Administration (NOAA) Black Carbon Group for providing us their BC data from aircraft measurements.

## 400 Author contributions

H.M. conceived and designed the research, performed model simulations and data analysis, and wrote the manuscript. T.M., S.O., N.M., N.O., K.G.-A., M.K., and Y.K. made BC observations for surface atmosphere at Barrow, Ny-Ålesund, Alert, and Pallas and for snow in Finland, Alaska, Siberia, and Greenland and at Ny-Ålesund. N.M., S.O., M.K., and Y.K. made BC observations during the ARCTAS and PAMARCMiP2018 aircraft campaigns. All authors interpreted data, discussed their

405 implications, and contributed to the manuscript.





## Data availability

Data used in this study are available upon request from the corresponding author (H.M.).

#### **Competing interests**

The authors declare no conflict of interests.

#### 410 References

Abdul-Razzak, H. and Ghan, S. J.: A parameterization of aerosol activation: 2. Multiple aerosol types, J. Geophys. Res., 105(D5), 6837-6844, doi:10.1029/1999JD901161, 2000.

Abdul-Razzak, H. and Ghan, S. J.: A parameterization of aerosol activation: 3. Sectional representation, J. Geophys. Res., 107(D3), 4026, doi:10.1029/2001JD000483, 2002.

415 Bohren, C. F. and Huffman, D. R.: Absorption and Scattering of Light by Small Particles, 530 pp., John Wiley, Hoboken, N. J., 1998.

Bond, T. C., Doherty, S. J., Fahey, D. W., Forster, P. M., Berntsen, T., DeAngelo, B. J., Flanner, M. G., Ghan, S., Kärcher, B., Koch, D., Kinne, S., Kondo, Y., Quinn, P. K., Sarofim, M. C., Schultz, M. G., Schulz, M., Venkataraman, C., Zhang, H., Zhang, S., Bellouin, N., Guttikunda, S. K., Hopke, P. K., Jacobson, M. Z., Kaiser, J. W., Klimont, Z., Lohmann, U., Schwarz,

- J. P., Shindell, D., Storelvmo, T., Warren, S. G., and Zender, C. S.: Bounding the role of black carbon in the climate system: A scientific assessment, J. Geophys. Res. Atmos., 118, 5380–5552, doi:10.1002/jgrd.50171, 2013.
   Bourgeois, Q. and Bay, I.: Pollution transport efficiency toward the Arctic: Sensitivity to aerosol scavenging and source regions, J. Geophys. Res., 116, D08213, doi:10.1029/2010JD015096, 2011.
   Eckhardt, S., Quennehen, B., Olivié, D. J. L., Berntsen, T. K., Cherian, R., Christensen, J. H., Collins, W., Crepinsek, S.,
- 425 Daskalakis, N., Flanner, M., Herber, A., Heyes, C., Hodnebrog, Ø., Huang, L., Kanakidou, M., Klimont, Z., Langner, J., Law, K. S., Lund, M. T., Mahmood, R., Massling, A., Myriokefalitakis, S., Nielsen, I. E., Nøjgaard, J. K., Quass, J., Quinn, P. K., Raut, J.-C., Rumbold, S. T., Schulz, M., Sharma, S., Skeie, R. B., Skov, H., Uttal, T., von Salzen, K., and Stohl, A.: Current model capabilities for simulating black carbon and sulfate concentrations in the Arctic atmosphere: a multi-model evaluation using a comprehensive measurement data set, Atmos. Chem. Phys., 15, 9413–9433, doi:10.5194/acp-15-9413-2015, 2015.
- Flanner, M. G. and Zender, C. S.: Snowpack radiative heating: Influence on Tibetan Plateau climate, Geophys. Res. Lett., 32, L06501, doi:10.1029/2004GL022076, 2005.
   Flanner, M. G., Zender, C. S., Randerson, J. T., and Rasch, P. J.: Present-day climate forcing and response from black carbon in snow, J. Geophys. Res., 112, D11202, doi:10.1029/2006JD008003, 2007.

Gliß J., Mortier, A., Schulz, M., Andrews, E., Balkanski, Y., Bauer, S. E., Benedictow, A. M. K., Bian, H., Checa-Garcia, R.,

- 435 Chin, M., Ginoux, P., Griesfeller, J. J., Heckel, A., Kipling, Z., Kirkevåg, A., Kokkola, H., L. Paolo, Le Sager, P., Lund, M., T., Myhre, C. L., Matsui, H., Myhre, G., Neubauer, D., van Noije, T., North, P., Olivié D. J. L., Rémy, S., Sogacheva, L., Takemura, T., Tsigaridis, K., and Tsyro, S. G.: AeroCom phase III multi-model evaluation of the aerosol life cycle and optical properties using ground- and space-base remote sensing as well as surface in situ observations, Atmos. Chem. Phys., 21, 87– 128, doi:10.5194/acp-21-87-2021, 2021.
- Hadley, O. L. and Kirchstetter, T. W.: Black-carbon reduction of snow albedo. Nat. Clim. Change, 2, 437–440, doi:10.1038/NCLIMATE1433, 2012.
  Hansen, J. and Nazarenko, L.: Soot climate forcing via snow and ice albedos, Proc. Natl. Acad. Sci. USA, 101, 423–428, doi:10.1073/pnas.2237157100, 2004.



460



Hoesly, R. M., Smith, S. J., Feng, L., Klimont, Z., Janssens-Maenhout, G., Pitkanen, T., Seibert, J. J., Vu, L., Andres, R. J.,
Bolt, R. M., Bond, T. C., Dawidowski, L., Kholod, N., Kurokawa, J., Li, M., Liu, L., Lu, Z., Moura, M. C. P., O'Rourke, P. R. O., and Zhang, Q.: Historical (1750–2014) anthropogenic emissions of reactive gases and aerosols from the Community Emissions Data System (CEDS), Geosci. Model Dev., 11, 369–408, doi:10.5194/gmd-11-369-2018, 2018.
Huang, L., Gong, S. L., Jia, C. Q., and Lavoué, D.: Relative contributions of anthropogenic emissions to black carbon aerosol in the Arctic, J. Geophys. Res., 115, D19208, doi:10.1029/2009JD013592, 2010.

450 Huang, K., Fu, J. S., Prikhodko, V. Y., Storey, J. M., Romanov, A., Hodson, E. L., Cresko, J., Morozova, I., Ignatieva, Y., and Cabaniss, J.: Russian anthropogenic black carbon: Emission reconstruction and Arctic black carbon simulation, J. Geophys. Res. Atmos., 120, 11306–11333, doi:10.1002/2015JD023358, 2015. Hurrell, J. W., Holland, M. M., Gent, P. R., Ghan, S., Kay, J. E., Kushner, P. J., Lamarque, J.-F., Large, W. G., Lawrence, D.,

Lindsay, K., Lipscomb, W. H., Long, M. C., Mahowald, N., Marsh, D. R., Neale, R. B., Rasch, P., Vavrus, S., Vertenstein,

455 M., Bader, D., Collins, W. D., Hack, J. J., Kiehl, J., and Marshall, S.: The Community Earth System Model: A framework for collaborative research. Bulletin of the American Meteorological Society, 94, 1339–1360. doi:10.1175/BAMS-D-12-00121.1, 2013.

Iacono, M., Mlawer, E., Clough, S., and Morcrette J.-J.: Impact of an improved longwave radiation model, RRTM, on the energy budget and thermodynamic properties of the NCAR community climate model, CCM3, J. Geophys. Res., 105, 14873–14890, 2000.

Ikeda, K., Tanimoto, H., Sugita, T., Akiyoshi, H., Kanaya, Y., Zhu, C., and Taketani, F.: Tagged tracer simulations of black carbon in the Arctic: transport, source contributions, and budget, Atmos. Chem. Phys., 17, 10515–10533, doi:10.5194/acp-17-10515-2017, 2017.

IPCC (2021), Climate Change 2021: The Physical Science Basis. Contribution of Working Group I to the Sixth Assessment 465 Report of the Intergovernmental Panel on Climate Change. Cambridge University Press, in press.

Jiao, C., Flanner, M. G., Balkanski, Y., Bauer, S. E., Bellouin, N., Berntsen, T. K., Bian, H., Carslaw, K. S., Chin, M., De Luca, N., Diehl, T., Ghan, S. J., Iversen, T., Kirkevåg, A., Koch, D., Liu, X., Mann, G. W., Penner, J. E., Pitari, G., Schulz, M., Seland, Ø., Skeie, R. B., Steenrod, S. D., Stier, P., Takemura, T., Tsigaridis, K., van Noije, T., Yun, Y., and Zhang, K.: An AeroCom assessment of black carbon in Arctic snow and sea ice, Atmos. Chem. Phys., 14, 2399–2417, doi:10.5194/acp-14-2399-2014, 2014.

Kawai, K., Matsui, H., and Tobo, Y.: High potential of Asian dust to act as ice nucleating particles in mixed-phase clouds simulated with a global aerosol-climate model, J. Geophys. Res. Atmos., 126, e2020JD034263, doi:10.1029/2020JD034263, 2021.

Koch, D. and Del Genio, A. D.: Black carbon semi-direct effects on cloud cover: review and synthesis, Atmos. Chem. Phys.,
10, 7685–7696, doi:10.5194/acp-10-7685–2010, 2010.

Koch, D. and Hansen, J.: Distant origins of Arctic black carbon: A Goddard Institute for Space Studies ModelE experiment, J. Geophys. Res., 110, D04204, doi:10.1029/2004JD005296, 2005.

Kondo, Y., Matsui, H., Moteki, N., Sahu, L., Takegawa, N., Kajino, M., Zhao, Y., Cubison, M. J., Jimenez, J. L., Vay, S., Diskin, G. S., Anderson, B., Wisthaler, A., Mikoviny, T., Fuelberg, H. E., Blake, D. R., Huey, G., Weinheimer, A. J., Knapp,

- D. J., and Brune, W. H.: Emissions of black carbon, organic, and inorganic aerosols from biomass burning in North America and Asia in 2008, J. Geophys. Res., 116, D08204, doi:10.1029/2010JD015152, 2011.
  Liu, M. and Matsui, H.: Aerosol radiative forcings induced by substantial changes in anthropogenic emissions in China from 2008 to 2016, Atmos. Chem. Phys., 21, 5965–5982, doi:10.5194/acp-21-5965-2021, 2021a.
  Liu, M. and Matsui, H.: Improved simulations of global black carbon distributions by modifying wet scavenging processes in
- 485 convective and mixed-phase clouds, J. Geophys. Res. Atmos., 126, e2020JD033890, doi:10.1029/2020JD033890, 2021b.





Liu, X., Easter, R. C., Ghan, S. J., Zaveri, R., Rasch, P., Shi, X., Lamarque, J.-F., Gettelman, A., Morrison, H., Vitt, F., Conley, A., Park, S., Neale, R., Hannay, C., Ekman, A. M. L., Hess, P., Mahowald, N., Collins, W., Iacono, M. J., Bretherton, C. S., Flanner, M. G., and Mitchell, D.: Toward a minimal representation of aerosols in climate models: description and evaluation in the Community Atmospheric Model CAM5, Geosci. Model Dev., 5, 709–739, doi:10.5194/gmd-5-709-2012, 2012.

490 Mahmood, R., von Salzen, K., Flanner, M., Sand, M., Langner, J., Wang, H., and Huang, L.: Seasonality of global and Arctic black carbon processes in the Arctic Monitoring and Assessment Programme models, J. Geophys. Res. Atmos., 121, 7100–7116, doi:10.1002/2016JD024849, 2016.

Mårtensson, E. M., Nilsson, E. D., de Leeuw, G., Cohen, L. H., and Hansson H.-C.: Laboratory simulations and parameterization of the primary marine aerosol production, J. Geophys. Res., 108(D9), 4297, doi:10.1029/2002JD002263, 2003.

Matsui, H.: Development of a global aerosol model using a two-dimensional sectional method: 1. Model design, J. Adv. Model. Earth Syst., 9, 1921–1947, doi:10.1002/2017MS000936, 2017.

Matsui, H.: Black carbon absorption efficiency under preindustrial and present-day conditions simulated by a size- and mixingstate-resolved global aerosol model, J. Geophys. Res. Atmos., 125, e2019JD032316, doi:10.1029/2019JD032316, 2020.

500 Matsui, H. and Liu, M.: Importance of supersaturation in Arctic black carbon simulations, J. Climate, 34, 7843-7856, doi:10.1175/JCLI-D-20-0994.1, 2021.

Matsui, H. and N. Mahowald: Development of a global aerosol model using a two-dimensional sectional method: 2. Evaluation and sensitivity simulations, J. Adv. Model. Earth Syst., 9, 1887–1920, doi:10.1002/2017MS000937, 2017.

Matsui, H. and Moteki, N.: High sensitivity of Arctic black carbon radiative effects to subgrid vertical velocity in aerosol activation, Geophys. Res. Lett., 47, e2020GL088978, doi:10.1029/2020GL088978, 2020.

Matsui, H., Kondo, Y., Moteki, N., Takegawa, N., Sahu, L. K., Zhao, Y., Fuelberg, H. E., Sessions, W. R., Diskin, G., Blake, D. R., Wisthaler, A., and Koike, M.: Seasonal variation of the transport of black carbon aerosol from the Asian continent to the Arctic during the ARCTAS aircraft campaign, J. Geophys. Res., 116, D05202, doi:10.1029/2010JD015067, 2011a.

Matsui, H., Koike, M., Kondo, Y., Takegawa, N., Wiedensohler, A., Fast, J. D., and Zaveri, R. A.: Impact of new particle
 formation on the concentrations of aerosols and cloud condensation nuclei around Beijing, J. Geophys. Res., 116, D19208,
 doi:10.1029/2011JD016025, 2011b.

Matsui, H., Kondo, Y., Moteki, N., Takegawa, N., Sahu, L. K., Koike, M., Zhao, Y., Fuelberg, H. E., Sessions, W. R., Diskin, G., Anderson, B. E., Blake, D. R., Wisthaler, A., Cubison, M. J., and Jimenez, J. L.: Accumulation-mode aerosol number concentrations in the Arctic during the ARCTAS aircraft campaign: Long-range transport of polluted and clean air from the
 Asian continent, J. Geophys. Res., 116, D20217, doi:10.1029/2011JD016189, 2011c.

- Matsui, H., Koike, M., Takegawa, N., Kondo, Y., Takami, A., Takamura, T., Yoon, S., Kim S.-W., Lim H.-C., and Fast J. D.: Spatial and temporal variations of new particle formation in East Asia using an NPF-explicit WRF-chem model: North-south contrast in new particle formation frequency, J. Geophys. Res. Atmos., 118, 11,647–11,663, doi:10.1002/jgrd.50821, 2013a. Matsui, H., Koike, M., Kondo, Y., Moteki, N., Fast, J. D., and Zaveri, R. A.: Development and validation of a black carbon
- 520 mixing state resolved three-dimensional model: Aging processes and radiative impact, J. Geophys. Res. Atmos., 118, 2304–2326, doi:10.1029/2012JD018446, 2013b. Matsui, H., Koike, M., Kondo, Y., Takami, A., Fast, J. D., Kanaya, Y., and Takigawa, M.: Volatility basis-set approach simulation of organic aerosol formation in East Asia: implications for anthropogenic-biogenic interaction and controllable amounts, Atmos. Chem. Phys., 14, 9513–9535, doi:10.5194/acp-14-9513-2014, 2014a.
- 525 Matsui, H., Koike, M., Kondo, Y., Fast, J. D., and Takigawa, M.: Development of an aerosol microphysical module: Aerosol Two-dimensional bin module for foRmation and Aging Simulation (ATRAS), Atmos. Chem. Phys., 14, 10315–10331, doi:10.5194/acp-14-10315-2014, 2014b.





Matsui, H., Mahowald, N. M., Moteki, N., Hamilton, D. S., Ohata, S., Yoshida, A., Koike, M., Scanza, R. A., and Flanner, M. G.: Anthropogenic combustion iron as a complex climate forcer, Nat. Commun., 9, 1593, doi:10.1038/s41467-018-03997-0, 2018a.

Matsui, H., Hamilton, D. S., and Mahowald, N. M.: Black carbon radiative effects highly sensitive to emitted particle size when resolving mixing-state diversity, Nat. Commun., 9, 3446, doi:10.1038/s41467-018-05635-1, 2018b.

Monahan, E. C., Spiel, D. E., and Davidson, K. L.: A model of marine aerosol generation via whitecaps and wave disruption, in Oceanic Whitecaps, edited by E. C. Monahan and G. MacNiochaill, pp. 167–193, D. Reidel, Norwell, Mass, 1986.

535 Mori, T., Goto-Azuma, K., Kondo, Y., Ogawa-Tsukagawa, Y., Miura, K., Hirabayashi, M., Oshima, N., Koike, M., Kupiainen, K., Moteki, N., Ohata, S., Sinha, P. R., Sugiura, K., Aoki, T., Schneebeli, M., Steffen, K., Sato, A., Tsushima, A., Makarov, V., Omiya, S., Sugimoto, A., Takano, S., and Nagatsuka, N.: Black carbon and inorganic aerosols in Arctic snowpack, J. Geophys. Res. Atmos., 124, 13325–13356, doi:10.1029/2019JD030623, 2019.

Mori, T., Kondo, Y., Ohata, S., Goto-Azuma, K., Fukuda, K., Ogawa-Tsukagawa, Y., Moteki, N., Yoshida, A., Koike, M.,
Sinha, P. R., Oshima, N., Matsui, H., Tobo, Y., Yabuki, M., and Aas, W.: Seasonal variation of wet deposition of black carbon at Ny-Ålesund, Svalbard, J. Geophys. Res. Atmos., 126, e2020JD034110, doi:10.1029/2020JD034110, 2021.
Mori, T., Kondo, Y., Ohata, S., Zhao, Y., Sinha, P. R., Oshima, N., Matsui, H., Moteki, N., and Koike, M.: Seasonal variation

of wet deposition of black carbon in Arctic Alaska, J. Geophys. Res. Atmos., 125, e2019JD032240, doi:10.1029/2019JD032240, 2020.

545 Morrison, H. and Gettelman. A.: A new two-moment bulk stratiform cloud microphysics scheme in the Community Atmosphere Model, version 3 (CAM4). Part I: Description and numerical tests, J. Climate, 21, 3642–3659, doi:10.1175/2008JCLI2105.1, 2008.

Moteki, N., Mori, T., Matsui, H., and Ohata, S.: Observational constraint of in-cloud supersaturation for simulations of aerosol rainout in atmospheric models, npj Clim. Atmos. Sci., 2, 6, doi:10.1038/s41612-019-0063-y, 2019.

550 Myhre, G., Samset, B. H., Schulz, M., Balkanski, Y., Bauer, S., Berntsen, T. K., Bian, H., Bellouin, N., Chin, M., Diehl, T., Easter, R. C., Feichter, J., Ghan, S. J., Hauglustaine, D., Iversen, T., Kinne, S., Kirkevåg, A., Lamarque, J.-F., Lin, G., Liu, X., Lund, M. T., Luo, G., Ma, X., von Noije, T., Penner, J. E., Rasch, P. J., Ruiz, A., Seland, Ø., Skeie, R. B., Stier, P., Takemura, T., Tsigaridis, K., Wang, P., Wang, Z., Xu, L., Yu, H., Yu, F., Yoon, J.-H., Zhang, K., Zhang, H., and Zhou, C.: Radiative forcing of the direct aerosol effect from AeroCom Phase II simulations, Atmos. Chem. Phys., 13, 1853–1877, doi:10.5194/acp-

Oleson, K. W., Lawrence, D. M., Bonan, G. B., Flanner, M. G., Kluzek, E., Lawrence, P. J., Levis, S., Swenson, S. C., Thornton, 565 P. E., Dai, A., Decker, M., Dickinson, R., Feddema, J., Heald, C. L., Hoffman, F., Lamarque, J.-F., Mahowald, N., Niu, G.-

- Y. Da, Da, T., Backer, M., Breanson, R., Federada, C. Z., Horman, Y., Danarque, S. F., Manovate, Y., Mar, S. Y., Qian, T., Randerson, J., Running, S., Sakaguchi, K., Slater, A., Stöckli, R., Wang, A., Yang, Z.-L., Zeng, X., and Zeng, X.: Technical description of version 4.0 of the community land model (CLM) (NCAR/TN-478+STR). National Center for Atmospheric Research. Retrieved from http://www.cesm.ucar.edu/models/cesm1.2/clm/CLM4\_Tech\_Note.pdf, 2010.
- Petters, M. D. and Kreidenweis, S. M.: A single parameter representation of hygroscopic growth and cloud condensation 570 nucleus activity, Atmos. Chem. Phys., 7, 1961–1971, doi:10.5194/acp-7-1961-2007, 2007.

<sup>13-1853-2013, 2013.</sup>Neale, R. B., Chen, C.-C., Gettelman, A., Lauritzen, P. H., Park, S., Williamson, D. L., Conley, A. J., Garcia, R., Kinnison, D., Lamarque, J.-F., Marsh, D., Mills, M., Smith, A. K., Tilmes S., Vitt, F., Morrison, H., Cameron-Smith, P., Collins, W. D., Iacono, M. J., Easter, R. C., Ghan, S. J., Liu, X., Rasch, P. J., and Taylor, M. A.: Description of the NCAR Community Atmosphere Model (CAM 5.0), NCAR/TN-486C STR, available at:

<sup>560</sup> http://www.cesm.ucar.edu/models/cesm1.0/cam/docs/description/cam5\_desc.pdf, 2010.
Ohata, S., Koike, M., Yoshida, A., Moteki, N., Adachi, K., Oshima, N., Matsui, H., Eppers, O., Bozem, H., Zanatta, M., and Herber, A. B.: Arctic black carbon during PAMARCMiP 2018 and previous aircraft experiments in spring, Atmos. Chem. Phys., 21, 15861–15881, doi:10.5194/acp-21-15861-2021, 2021.
Ohata, Y. W. Lee, D. M. Dee, G. D. Flee, M. G. Klee, J. F. Lee, D. L. Lei, G. G. Theoreman. Comput. Action. 2010; 20





Qi, L. and Wang, S.: Sources of black carbon in the atmosphere and in snow in the Arctic, Sci. Total Environ., 691, 442–454, doi:10.1016/j.scitotenv.2019.07.073, 2019.

Qi, L., Li, Q., Henze, D. K., Tseng, H.-L., and He, C.: Sources of springtime surface black carbon in the Arctic: an adjoint analysis for April 2008, Atmos. Chem. Phys., 17, 9697–9716, doi:10.5194/acp-17-9697-2017, 2017.

575 Ren, L., Yang, Y., Wang, H., Zhang, R., Wang, P., and Liao, H.: Source attribution of Arctic black carbon and sulfate aerosols and associated Arctic surface warming during 1980–2018, Atmos. Chem. Phys., 20, 9067–9085, doi:10.5194/acp-20-9067-2020, 2020.

Rodriguez, B. T., Huang, L., Santos, G. M., Zhang, W., Vetro, V., Xu, X., Kim, S., and Czimczik, C. I.: Seasonal cycle of isotope-based source apportionment of elemental carbon in airborne particulate matter and snow at Alert, Canada, J. Geophys.

- 580 Res. Atmos., 125, e2020JD033125, doi:10.1029/2020JD033125, 2020. Samset, B. H. and Myhre, G.: Climate response to externally mixed black carbon as a function of altitude, J. Geophys. Res. Atmos., 120, 2913–2927, doi:10.1002/2014JD022849, 2015. Samset, B. H., Myhre, G., Schulz, M., Balkanski, Y., Bauer, S., Berntsen, T. K., Bian, H., Bellouin, N., Diehl, T., Easter, R. C., Ghan, S. J., Iversen, T., Kinne, S., Kirkevåg, A., Lamarque, J.-F., Lin, G., Liu, X., Penner, J. E., Seland, Ø., Skeie, R. B.,
- 585 Stier, P., Takemura, T., Tsigaridis, K., and Zhang, K.: Black carbon vertical profiles strongly affect its radiative forcing uncertainty, Atmos. Chem. Phys., 13, 2423–2434, doi:10.5194/acp-13-2423-2013, 2013.Sand, M., Samset, B. H., Balkanski, Y., Bauer, S., Bellouin, N., Berntsen, T. K., Bian, H., Chin, M., Diehl, T., Easter, R., Ghan, S. J., Iversen, T., Kirkevåg, A., Lamarque, J.-F., Lin, G., Liu, X., Luo, G., Myhre, G., van Noije, T., Penner, J. E., Schulz, M., Seland, Ø., Skeie, R. B., Stier, P., Takemura, T., Tsigaridis, K., Yu, F., Zhang, K., and Zhang, H.: Aerosols at the
- 590 poles: an AeroCom Phase II multi-model evaluation, Atmos. Chem. Phys., 17, 12197–12218, doi:10.5194/acp-17-12197-2017, 2017.

Sand, M., Samset, B. H., Myhre, G., Gliβ, J., Bauer, S. E., Bian, H., Chin, M., Checa-Garcia, R., Ginoux, P., Kipling, Z., Kierkevåg, A., Kokkola, H., Le Sager, P., Lund, M. T., Matsui, H., van Noije, T., Olivié, D. J. L., Remy, S., Schulz, M., Stier, P., Stjern, C. W., Takemura, T., Tsigaridis, K., Tsyro, S. G., and Watson-Parris, D.: Aerosol absorption in global models from
AeroCom phase III, Atmos. Chem. Phys., 21, 15929–15947, doi:10.5194/acp-21-15929-2021, 2021.

- Schwarz, J. P., Samset, B. H., Perring, A. E., Spackman, J. R., Gao, R. S., Stier, P., Schulz, M., Moore, F. L., Ray, E. A., and Fahey, D. W.: Global-scale seasonally resolved black carbon vertical profiles over the Pacific, Geophys. Res. Lett., 40, 5542–5547, doi:10.1002/2013GL057775, 2013.
- Serreze, M. C. and Barry, R. G.: Processes and impacts of Arctic amplification: A research synthesis, Glob. Planet. Change,
  77(1-2), 85–96. https://doi.org/10.1016/j.gloplacha.2011.03.004, 2011.
- Sharma, S., Ishizawa, M., Chan, D., Lavoué, D., Andrews, E., Eleftheriadis, K., and Maksyutov, S.: 16-year simulation of Arctic black carbon: Transport, source contribution, and sensitivity analysis on deposition, J. Geophys. Res. Atmos., 118, 943–964, doi:10.1029/2012JD017774, 2013.

Sharma, S., Barrie, L. A., Magnusson, E., Brattström, G., Leaitch, W. R., Steffen, A., and Landsberger, S.: A factor and trends
analysis of multidecadal lower tropospheric observations of Arctic aerosol composition, black carbon, ozone, and mercury at Alert, Canada, J. Geophys. Res. Atmos., 124, 14144–14161, doi:10.1029/2019JD030844, 2019.
Shen, Z., Ming, Y., Horowitz, L. W., Ramaswamy, V., and Lin, M.: On the seasonality of Arctic black carbon, J. Climate, 30, 4429–4441, doi:10.1175/JCLI-D-16-0580.1, 2017.
Shindell, D. T., Chin, M., Dentener, F., Doherty, R. M., Faluvegi, G., Fiore, A. M., Hess, P., Koch, D. M., MacKenzie, I. A.,

610 Sanderson, M. G., Schultz, M. G., Schulz, M., Stevenson, D. S., Teich, H., Textor, C., Wild, O., Bergmann, D. J., Bey, I., Bian, H., Cuvelier, C., Duncan, B. N., Folberth, G., Horowitz, L. W., Jonson, J., Kaminski, J. W., Marmer, E., Park, R., Pringle, K. J., Schroeder, S., Szopa, S., Takemura, T., Zeng, G., Keating, T. J., and Zuber, A.: A multi-model assessment of pollution transport to the Arctic, Atmos. Chem. Phys., 8, 5353–5372, doi:10.5194/acp-8-5353-2008, 2008.





Sinha, P. R., Kondo, Y., Koike, M., Ogren, J. A., Jefferson, A., Barrett, T. E., Sheesley, R. J., Ohata, S., Moteki, N., Coe, H.,
Liu, D., Irwin, M., Tunved, P., Quinn, P. K., and Zhao, Y.: Evaluation of ground-based black carbon measurements by filterbased photometers at two Arctic sites, J. Geophys. Res. Atmos., 122, 3544–3572, doi:10.1002/2016JD025843, 2017.
Smith, C. J., Kramer, R. J., Myhre, G., Forster, P. M., Soden, B. J., Andrews, T., Boucher, O., Faluvegi, G., Fläschner, D., Hodnebrog, Ø., Kasoar, M., Kharin, V., Kirkevåg, A., Lamarque, J.-F., Mülmenstädt, J., Olivié, D., Richardson, T., Samset, B. H., Shindell, D., Stier, P., Takemura, T., Voulgarakis, A., and Watson-Parris, D.: Understanding rapid adjustments to diverse

- forcing agents, Geophys. Res. Lett., 45, 12023–12031, doi:10.1029/2018GL079826, 2018.
  Sobhani, N., Kulkarni, S., and Carmichael, G. R.: Source sector and region contributions to black carbon and PM<sub>2.5</sub> in the Arctic, Atmos. Chem. Phys., 18, 18123–18148, doi:10.5194/acp-18-18123-2018, 2018.
  Stjern, C. W., Samset, B. H., Myhre, G., Forster, P. M., Hodnebrog, Ø., Andrews, T., Boucher, O., Faluvegi, G., Iverson, T., Kasoar, M., Kharin, V., Kirkevåg, A., Lamarque, J.-F., Olivié, D., Richardson, T., Shawki, D., Shindell, D., Smith, C. J.,
- 625 Takemura, T., and Voulgarakis, A.: Rapid adjustments cause weak surface temperature response to increased black carbon concentrations. J. Geophys. Res. Atmos., 122, 11462–11481, doi:10.1002/2017JD027326, 2017. Stohl, A.: Characteristics of atmospheric transport into the Arctic troposphere, J. Geophys. Res., 111, D11306, doi:10.1029/2005JD006888, 2006.

Tie, X., Brasseur, G., Emmons, L., Horowitz, L., and Kinnison, D.: Effects of aerosols on tropospheric oxidants: A global
model study, J. Geophys. Res., 106(D19), 22931–22964, doi:10.1029/2001JD900206, 2001.

van der Werf, G. R., Randerson, J. T., Giglio, L., van Leeuwen, T. T., Chen, Y., Rogers, B. M., Mu, M., van Marle, M. J. E., Morton, D. C., Gollatz, G. J., Yokelson, R. J., and Kasibhatla, P. S.: Global fire emissions estimates during 1997–2016, Earth Syst. Sci. Data, 9, 697–720, doi:10.5194/essd-9-697-2017, 2017.

Wang, H., Rasch, P. L., Easter, R. C., Singh, B., Zhang, R., Ma, P.-L., Qian, Y., Ghan, S. J., and Beagley, N.: Using an explicit
emission tagging method in global modeling of source-receptor relationships for black carbon in the Arctic: Variations, sources, and transport pathways, J. Geophys. Res. Atmos., 119, 12888–12909, doi:10.1002/2014JD022297, 2014.
Wang, Q., Jacob, D. J., Fisher, J. A., Mao, J., Leibensperger, E. M., Carouge, C. C., Le Sager, P., Kondo, Y., Jimenez, J. L.,

Wang, Q., Jacob, D. J., Fisher, J. A., Mao, J., Leibensperger, E. M., Carouge, C. C., Le Sager, F., Kondo, F., Jinnenez, J. L.,
 Cubison, M. J., and Doherty, S. J.: Sources of carbonaceous aerosols and deposited black carbon in the Arctic in winter-spring:
 implications for radiative forcing, Atmos. Chem. Phys., 11, 12453–12473, doi:10.5194/acp-11-12453-2011, 2011.

640 Winiger, P., Andersson, A., Eckhardt, S., Stohl, A., Semiletov, I. P., Dudarev, O. V., Charkin, A., Shakhova, N., Klimont, Z., Heyes, C., and Gustafsson, Ö.: Siberian Arctic black carbon sources constrained by model and observation, Proc. Natl. Acad. Sci. USA, 114, E1054–E1061, doi:10.1073/pnas.1613401114, 2017. Winiger, P., Barrett, T. E., Sheesley, R. J., Huang, L., Sharma, S., Barrie, L. A., Yttri, K. E., Evangeliou, N., Eckhardt, S.,

Stohl, A., Klimont, Z., Heyes, C., Semiletov, I. P., Dudarev, O. V., Charkin, A., Shakhova, N., Holmstrand, H., Andersson,

- A., and Gustafsson, Ö.: Source apportionment of circum-Arctic atmospheric black carbon from isotopes and modeling, Sci. Adv., 5, eaau8052, doi:10.1126/sciadv.aau8052, 2019.
  Wofsy, S. C., the HIPPO science team, and cooperating modellers and satellite teams: HIAPER Pole-to-Pole Observations (HIPPO): fine-grained, global-scale measurements of climatically important atmospheric gases and aerosols, Phil. Trans. R. Soc. A, 369, 2073–2086, doi:10.1098/rsta.2010.0313, 2011.
- Xu, J.-W., Martin, R. V., Morrow, A., Sharma, S., Huang, L., Leaitch, W. R., Burkart, J., Schulz, H., Zanatta, M., Willis, M. D., Henze, D. K., Lee, C. J., Herber, A. B., and Abbatt, J. P. D.: Source attribution of Arctic black carbon constrained by aircraft and surface measurements, Atmos. Chem. Phys., 17, 11971–11989, doi:10.5194/acp-17-11971-2017, 2017.
   Zender, C. S., Bian, H., and Newman, D.: Mineral Dust Entrainment and Deposition (DEAD) model: Description and 1990s dust climatology, J. Geophys. Res., 108(D14), 4416, doi:10.1029/2002JD002775, 2003.





- Zhao, N., Dong, X., Huang, K., Fu, J. S., Lund, M. T., Sudo, K., Henze, D., Kucsera, T., Lam, Y. F., Chin, M., and Tilmes, S.: Responses of Arctic black carbon and surface temperature to multi-region emission reductions: a Hemispheric Transport of Air Pollution Phase 2 (HTAP2) ensemble modeling study, Atmos. Chem. Phys., 21, 8637–8654, 2021.
  Zhu, C., Kanaya, Y., Takigawa, M., Ikeda, K., Tanimoto, H., Taketani, F., Miyakawa, T., Kobayashi, H., and Pisso, I.: FLEXPART v10.1 simulation of source contributions to Arctic black carbon, Atmos. Chem. Phys., 20, 1641–1656, 41:10.5104/ser. 20.1641.2020.2020
- 660 doi:10.5194/acp-20-1641-2020, 2020.





Figures



665 Figure 1: The definition of source regions used in this study. The 13 source regions are Europe (EUR), Siberia (SIB), Greenland (GL), North America north of 50°N (NAM (>50°N)), North America south of 50°N (NAM (<50°N)), Central Asia (CAS) 1–4, East Asia (EAS) 1–2, Southeast Asia (SAS), and Others. Anthropogenic and biomass burning BC from each source region are tracked by tag tracers in global aerosol model simulations using CAM-ATRAS (Sect. 2).</p>







670

Figure 2: Comparisons between observations (black) and model simulations (red) for surface BC mass concentrations (M<sub>BC\_SRF</sub>) at (a) Barrow, (b) Ny-Ålesund, (c) Alert, and (d) Pallas and (e, f) BC deposition flux (M<sub>BC\_DEP</sub>) at (e) Barrow and (f) Ny-Ålesund. Model simulations in 2009–2011 were compared with observations in 2013–2017 as described in Sect. 2.4. The error bars show the interannual variability of the target variable.

675







Figure 3: Comparisons between observations (black) and model simulations (red) for BC deposition flux (M<sub>BC\_DEP</sub>) at (a) Barrow and (b) Ny-Ålesund. Model simulations in 2009–2011 were compared with observations in 2013–2017 because M<sub>BC\_DEP</sub> observation data are available during 2013–2017 only. The error bars show the interannual variability of the target variable.







Figure 4: Comparisons between observations (black) and model simulations (red) for BC mass concentration (M<sub>BC</sub>) vertical profiles
 at high latitudes in the Northern Hemisphere during (a-e) the HIPPO campaigns ((a) January 2009, (b) November 2009, (c) March-April 2010, (d) June 2011, and (e) August 2011), (f-g) the ARCTAS campaigns ((f) April 2008 and (g) July 2008), and the PAMARCMiP campaign in March-April 2018. For the HIPPO campaigns, simulated M<sub>BC</sub> concentrations are averaged over the region of 60-80°N and 140-170°W for the observation year and month. For the other campaigns, simulated M<sub>BC</sub> concentrations are averaged for 3 years (2009-2011) over the regions of 60-80°N and 70-165°W in April for ARCTAS-A, 45-87°N and 40-135°W ii
 July for ARCTAS-B, and 78-85°N and 24°W-20°E in March for PAMARCMiP. For the observed M<sub>BC</sub>, the means and standard deviations are shown against atmospheric pressure for the HIPPO and ARCTAS campaigns, and the medians and 25th-75th percentiles are shown against atmospheric pressure for the PAMARCMiP campaign.







Figure 5: (a) Scatter plot of observed and simulated BC mass concentrations (MBC) in snow in Finland (blue, circles), Alaska (light blue), Siberia (green), Greenland (black), and Ny-Ålesund (blue, squares). Observed data are taken from Mori et al. (2019). Simulation results are shown for 3-year averages (2009-2011) for individual sampling points (latitude, longitude) and periods (months). Closed and open circles indicate M<sub>BC</sub> in column snow and surface snow, respectively. The 1:1 line (solid black line) and the 10:1 and 1:10 lines (dashed lines) are also shown. (b) Simulated MBC in column snow at high latitudes in the Northern Hemisphere in March (2009–2011). Dashed circles indicate the approximate area where snow samplings were performed (Mori et al., 2019).

700

695



705





Figure 6: Spatial distributions of (a) M<sub>BC\_SRF</sub>, (b) M<sub>BC\_COL</sub>, (c) M<sub>BC\_DEP</sub>, (d) RE<sub>BC\_TOA</sub>, and (e) RE<sub>BC\_SNOW</sub> in the Northern Hemisphere. The values in the parentheses show global (left) and Arctic (right) mean values (annual mean).





710



Figure 7: Source contributions to M<sub>BC\_SRF</sub>, M<sub>BC\_COL</sub>, M<sub>BC\_DEP</sub>, RE<sub>BC\_TOA</sub>, and RE<sub>BC\_SNOW</sub> (from left to right) in the Arctic (annual mean). The filled and shaded areas indicate contributions from anthropogenic and biomass burning sources, respectively, EUR, SIB, GL, NAM, CAS, EAS, and SAS denote Europe, Siberia, Greenland, North America, Central Asia, East Asia, and Southeast Asia, 715 respectively.









720 Figure 8: Monthly variations of source contributions to (a) M<sub>BC\_SRF</sub>, (b) M<sub>BC\_COL</sub>, (c) M<sub>BC\_DEP</sub>, (d) RE<sub>BC\_TOA</sub>, and (e) RE<sub>BC\_SNOW</sub> in the Arctic. The filled and shaded areas indicate contributions from anthropogenic and biomass burning sources, respectively. The black lines (right axis) show total BC concentrations, deposition flux, or radiative effects from all sources.







725 Figure 9: Spatial distributions of emission sources with the largest contribution to (a) MBC\_SRF, (b) MBC\_COL, (c) MBC\_DEP, (d) REBC\_TOA, and (e) REBC\_SNOW among the nine source regions.







730

Figure 10: Optical properties and radiative effect efficiencies in the Arctic for total BC (ALL; from all sources) and BC from the eight major sources (six anthropogenic (AN) sources and two biomass burning (BB) sources): (a) Mass absorption cross section of BC (MAC<sub>BC</sub>), (b) BC radiative effect normalized by absorption aerosol optical depth (AAOD) of BC (NRE<sub>AAOD</sub>; RE<sub>BC\_TOA</sub> / AAOD<sub>BC</sub>) and (c) BC radiative effect normalized by M<sub>BC\_COL</sub> (NRE<sub>COL</sub>; RE<sub>BC\_TOA</sub> / M<sub>BC\_COL</sub>).

735







Figure 11: (a) BC mixing state distributions for total BC mass (ALL) and for BC mass from the eight major sources (six anthropogenic (AN) sources and two biomass burning (BB) sources). BC particles are gradually shifted from the left (fresh BC with a lower MAC<sub>BC</sub>) to the right (aged BC with a higher MAC<sub>BC</sub>) by aging processes in the atmosphere. (b) Lifetimes in the Arctic for total BC (ALL) and for BC from eight major sources. Lifetimes were defined by the ratio of BC deposition flux to atmospheric BC loading in the Arctic.

28

Atmospheric Chemistry and Physics Discussions



745



Figure 12: (a) Mean height (above sea level) (Height<sub>BC</sub>), (b) mean solar radiation flux at the TOA (Flux<sub>BC</sub>), and (c) mean surface albedo (Albedo<sub>BC</sub>) weighted by BC concentrations in the Arctic (Eqs. 3-5) for total BC (ALL) and BC from eight major sources (six anthropogenic (AN) sources and two biomass burning (BB) sources).





## 750 Tables

Table 1: Abbreviations for BC used in this study

Terminology	Definition					
M <sub>BC</sub>	BC mass concentration					
$M_{BC_{SRF}}$	Near-surface atmospheric BC mass concentration					
$M_{BC_{COL}}$	Vertically integrated atmospheric BC mass concentration					
$M_{BC_{DEP}}$	BC deposition flux					
$RE_{BC_{TOA}}$	BC radiative effect at the top of the atmosphere					
RE <sub>BC_SNOW</sub>	BC radiative effect on the snow surface					
AAOD <sub>BC</sub>	Absorption aerosol optical depth of BC at the wavelength of 550 nm					
MAC <sub>BC</sub>	Mass absorption cross section of BC (AAOD <sub>BC</sub> / $M_{BC_COL}$ )					
NREAAOD	BC radiative effect normalized by AAOD <sub>BC</sub> (RE <sub>BC_TOA</sub> / AAOD <sub>BC</sub> )					
NRE <sub>COL</sub>	BC radiative effect normalized by $M_{BC_{COL}}(RE_{BC_{TOA}} / M_{BC_{COL}})$					
Height <sub>BC</sub>	BC-concentration weighted mean height above sea level					
Flux <sub>BC</sub>	BC-concentration weighted mean downward solar radiation flux at the top of					
	atmosphere					
Albedo <sub>BC</sub>	BC-concentration weighted mean surface albedo					





Region <sup>a</sup>	Sourceb	Mpg.gpr	Mpg. cgr	Magazz	REng mai	REng might	MAC <sub>DC</sub> <sup>c</sup>	NRE. Lor °	NREcor °
Region	Source	IVIBC SRF	INIBC COL	IVIBC DEP	KLBC TOA	KEBC SNOW	2 -1	INKLAAOD	INICLCOL
		ng m '	Gg	Gg y '	W m <sup>2</sup>	W m <sup>2</sup>	m² g '	W m <sup>2</sup>	Wg
ALL	AN	20	1.3	37	0.22	0.16	8.2	301	2461
	BB	0.86	0.20	13	0.062	0.085	8.6	539	4644
		%	%	%	%	%	$m^2 g^{-1}$	$W m^{-2}$	$W g^{-1}$
EUR	AN	12	13	16	9.3	11	7.3	249	1814
	BB	0.054	0.26	0.26	0.26	0.16			
SIB	AN	68	27	38	15	31	7.0	209	1465
	BB	2.3	5.9	16	9.1	13	8.0	499	4000
GL	AN	0.51	0.45	0.15	0.36	0.44			
	BB	< 0.001	0.13	< 0.001	0.13	0.0022			
NAM	AN	4.0	1.9	1.7	1.5	3.2	6.6	315	2085
(250 10)	BB	15	51	8 9	11	17	9.2	594	5439
NAM	AN	1.5	5.0	2.9	5.1	17	9.2 7 7	3/3	2644
$(<50^{\circ}N)$	An	1.0	5.0	2.2	5.1	4.1	1.1	545	2044
	BB	0.059	0.44	0.21	0.71	0.52			
CAS1	AN	0.11	1.4	0.36	2.3	0.45	8.9	469	4165
	BB	< 0.001	0.14	0.0019	0.13	0.0018			
CAS2	AN	0.18	3.5	0.76	5.4	0.75	8.4	474	3978
	BB	0.0030	0.22	0.012	0.29	0.024			
CAS3	AN	1.6	4.4	3.6	4.9	3.5	8.4	347	2917
	BB	0.085	0.39	0.63	0.40	0.27			
CAS4	AN	1.3	4.1	2.0	4.9	1.5	8.0	384	3066
	BB	0.028	0.26	0.16	0.30	0.084			
EAS1	AN	0.68	4.5	1.3	5.7	1.5	8.3	393	3252
	BB	0.026	0.42	0.059	0.57	0.084			
EAS2	AN	4.8	17	7.2	18	8.3	8.6	320	2766
	BB	0.061	0.47	0.20	0.82	0.50			
SAS	AN	0.031	0.49	0.072	0.65	0.12			
	BB	0.011	0.25	0.026	0.35	0.056			
Others	AN	0.64	2.1	0.91	2.5	1.2	7.6	394	2978
	BB	0.020	0.33	0.069	0.33	0.049			

<sup>a</sup> EUR: Europe, SIB: Siberia, GL: Greenland, NAM: North America, CAS: Central Asia, EAS: East Asia, SAS: Southeast Asia. These regions are defined in Fig. 1.
 <sup>b</sup> AN: Anthropogenic (fossil fuel + biofuel), BB: Biomass burning.

<sup>c</sup> Values are shown only for regions/sources where their contributions are greater than 1%.

760