

South America 2020 Regional Smoke Plume: Intercomparison with previous years, impact on solar radiation and the role of Pantanal biomass burning season

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Abstract. The 2020 biomass burning season in Brazil was marked by an atypical amount of fire across the Pantanal biome, which led to high levels of smoke within the biome and downwind areas. Aiming to contextualize the regional and Pantanal burning seasons, the present study analyzes fire counts and smoke over Pantanal, Amazonia and Cerrado in 2020 with the previous seventeen years (2003–2019). Taking as references the most polluted years in this period, the regional smoke plume and its impact on surface solar radiation were also evaluated. In 2020, the regional smoke plume core covered an area of ~2.6 million km² at the peak of the burning season, an area well above that of the previous six years, but smaller than areas observed in a more remote past, as in 2007 and 2010 (> 5.0 million km²). The smoke loading was lower (mean AOD_{550 nm} ~0.7) than that of 2007 and 2010 (mean AOD_{550 nm} ~1.0). The plume radiation absorption efficiency, when compared with the previous year's plume, did not present significant differences. Regarding the Pantanal burning season, it revealed some atypical features. Fire counts were up to 3.0 times higher than for the years from 2003 to 2019. Smoke loading over Pantanal, which is typically a fraction of that over Amazonia, in 2020 was higher than over Amazonia, an indication that local smoke surpassed smoke advection from upwind regions. The nature of the burned areas in Pantanal was determinant to the intraseasonal variability of the smoke within the biome in 2020. From September on, there was a significant increase of fire count in conservation and indigenous areas, where higher biomass density is present, which would explain the larger smoke plumes over Pantanal, even during October when the fire count was reduced. In October, the biome was covered by a thick smoke layer, which resulted in a mean deficit of surface solar radiation up to 200 Wm⁻². Despite Pantanal biomes' massive burning, the 2020 regional smoke plume was not far from its climatological features. Nevertheless, Pantanal 2020 burning season is an example of a damaging combination, a fire-prone climate and an unfavourable governance, from which there is much to be learned.

Comentado [NR1]: The title was adjusted to better describe the content of the manuscript after considering the reviewers recommendations and suggestions.

Comentado [NR2]: Abstract was re written in order to provide an updated summary of the modifications done in the objectives and results

1 Introduction

32 In South America, the Regional Smoke Plume (RSP) produced during the biomass burning season is the most important
signature of anthropogenic activities from the point of view of injection of pollutants into the atmosphere at continental scale
34 (Prins and Menzel, 1992, Artaxo et al., 1998, Freitas et al., 2004, Longo et al., 2007). Its geographical dimension and pollutants
loading, along with its climate and air quality effects, have been comprehensively studied during the last decades (Freitas et
36 al., 2004, Ignotti et al., 2010, Artaxo et al., 2013, Rosario et al., 2013, Chen et al., 2013, Sena et al., 2015, Moreira et al. 2017,
Thornhill et al., 2018). Its perturbation on the regional climate span from lowering the solar energy availability (Procópio et
38 al., 2001, Schafer et al., 2002, Yamasoe et al., 2006, Rosario et al., 2013, Moreira et al. 2017) for surface processes (biological,
physical and chemical) to cloud microphysics and atmospheric thermodynamics and chemistry. In the context of air quality,
40 especially near biomass burning areas, its impact on air pollution levels surpasses the most polluted urban areas of the continent
(Ignotti et al., 2010, Sena et al., 2013, Rosario et al., 2013). Systematically, along the years, the southern portion of the Amazon
42 rainforest and the eastern part of the Cerrado ecosystem have been the major sources of pollutants to the Regional Smoke
Plume (RSP). Consequently, in general, these are the most affected areas by the smoke pollution (Artaxo et al., 2013, Pereira
44 et al., 2016), in particular, when compared with the remaining Brazilian biomes, Pantanal, Caatinga, Mata Atlantica and
Pampas (Figure 1). From the mid-2000s to the earliest years of the 2010 decade, following the trends observed in deforestation
46 and fire counts in Amazonia and Cerrado, the RSP loading and dimension were significantly reduced (Reddington et al. 2015).
However, in recent years, due to the increase in deforestation rates and occurrence of major biomass burning events, such as
48 those occurred during 2020 in Pantanal and related to the massive regional smoke plume in 2019 that contribute to darkened
cities in the southeastern region of Brazil, there is a need to perform a historical contextualization of these recent events.
50 Therefore, one can realize the advance achieved in the task of bringing down the RSP loading and also to highlight the risks
of jeopardizing such achievement with the current environmental governance scenario in Brazil. The 2020 biomass burning in
52 Brazil, amid the COVID-19 pandemic, has been claimed to be one of the worst in recent years, an evaluation largely driven
by the extension of fire count and burned area across Pantanal biome (WWF, 2020, FSP, 2020, BBC, 2020, NYT, 2020, Le
54 Monde, 2020, FSP, 2021). Despite a significant number of news and several literatures produced focusing on the 2020 biomass
burning season (Libonati et al., 2020, Marengo et al., 2021, Pletsch et al., 2021), there is still a lack of clarity about what was
56 effectively exceptional during this Brazil particular biomass burning season. From an integrated perspective of fire counts and
RSP loading, and focusing on the biomes most affected by the RSP, Amazonia, Cerrado and Pantanal, this manuscript sets out
58 to analyze how the 2020 biomass burning season compares with previous biomass burning seasons. To what extent fire count
in Amazonia, Cerrado and Pantanal biomes during the 2020 biomass burning season and the produced RSP surpassed the
60 previous years? Did Pantanal biome's exceptional 2020 biomass burning season resemble an exceptional RSP? These are some
of the questions that the present analysis sought to address in order to contribute to a comprehensive contextualization of
62 Amazonia, Cerrado and Pantanal 2020 biomass burning season. Additionally, we also explore the 2020 RSP loading and its
impact on surface solar radiation when compared with the RSPs from previous polluted years. From here on, the article is

64 organized as follows: Section 2 presents a brief description of the study region, a summary of the data used and the methods
adopted; Section 3 presents the results divided in 2 sub-sections: Sub-section 3.1 analyses the intraseasonal and interannual
66 variability of fire counts, aerosol optical depth (AOD) at 550 nm for the three biomes, Amazon tropical rainforest, Cerrado
ecosystem, and Pantanal, for the period from 2003 to 2020. Sub-section 3.2 focuses on September and October of the most
68 polluted years of the considered period, providing an interannual analysis of the spatial distribution of smoke loading, wind
field and the anomaly of downward solar radiation at the surface under cloudless conditions. In Section 4, the main conclusions
70 are summarized.

2 Study Region, Data and Methods

72 This study focused on the three Brazilian biomes most affected by the regional smoke plume: Amazon tropical forest (or
Amazonia), Cerrado ecosystem and Pantanal wetlands (Figure 1). The Pampa grasslands biome, in the southern of the country,
74 and the rainforest of Mata Atlantica, distributed along the Brazilian coast, from the northeast to the southern states, are less
exposed to the RSP. They are eventually affected by the occurrence of transport of smoke toward southeast and southern
76 Brazil. Caatinga biome, located in the northeast of Brazil and composed of shrubland and dry forests, is in general upwind of
Amazonia and Cerrado, therefore it presents the lowest exposition to the RSP. According to Pivello (2011), the Amazon
78 tropical forest is a fire-sensitive ecosystem and cannot tolerate fire, with consequent mortality of trees after repeated fires.
Although Pantanal and Cerrado are considered fire-dependent biomes and, therefore, are more adapted to fire occurrence,
80 human-driven fire frequency increased significantly in Brazil, which can lead to land degradation and biodiversity loss (Pivello,
2011). Despite its smaller domain, when compared to Amazonia and Cerrado, Pantanal consists of one of the world's largest
82 freshwater wetland ecosystems, characterized by highly complex hydrological regime that support two major river systems,
the Cuiabá and the Paraguay rivers, and an abundance of vegetation and animal life (Alho, 2008, Alho et al., 2019). Large
84 scale biomass burning within its domain and the RSP are not just critics to local and regional climate equilibrium but pose a
direct threat to the health of Pantanal singular biodiversity and population. For land use consideration of fire distribution across
86 Pantanal during the 2020 burning season, highlighting conservation and indigenous areas, were used MODIS (Moderate
Resolution Imaging Spectroradiometer) vegetation index products, namely Enhanced Vegetation Index (EVI, Kidan 2021).
88 To analyze the RSP spatial dimension and loading, monthly mean aerosol optical depth at 550 nm, from 2003 to 2020, taken
from MODIS atmosphere Level-3 products from Aqua satellite (MYD08, Platnick et al., 2017) was analyzed. The RSP border
90 definition was somehow arbitrary, since the dispersion of the smoke is a continuous process and it is impossible to track the
effective ending of its influence. To provide a perspective on the plume spatial dimension and loading at its core, isolines of
92 AOD at 550 nm of 0.5 were used as reference to evaluate the plume dimension. The value of 0.5 was found convenient since
it is above typical monthly average values of AOD at 550 nm observed over major urban areas of South America, which
94 prevents a misidentification related to these urban plumes. Based on this threshold to define the main area of the plume, the
RSP loading reference was taken as the mean AOD at 550 nm within the delimited area. The mean AOD at 550 nm for each

Comentado [NR3]: The objectives and the structure of the manuscript were adjusted to attend the reviewers' comments and recommendations. In both reviewers comments we identify concerns regarding the classification of 2020 regional and Pantanal biomass burning seasons as anomalous and the need to go further into the past to improve our analysis. By doing that, we had to adjust the perspective of our analysis, which is contextualized in this modified version. (Further details are added in the point-by-point responses docs)

Here we also hope to clarify the reviewer 2 comments on the need to clarify what is the focus of the analysis, burning, emission or something else. And also, throughout the text we try to make it clear.

Comentado [NR4]: Following the reviewers' comments and suggestion we try to improve the organization of manuscript. As we would like to look at both, the big picture (the regional smoke plume) and the Pantanal case, we organize the results in two sections: one) looking at Pantanal domain intraseasonal and interannual variability of fire and smoke, but as Pantanal smoke is highly dependent on Amazonia and Cerrado burning season we evaluate that it was important to also include the time series of aod and fire for Amazonia and Cerrado. Indeed, it revealed to be important. The second section of results was focused on the Regional Smoke Plume variability for years where burning season in the three biomes was highly polluted.

Comentado [NR5]: This part along the modification done in the Figure 01 meant to answer reviewer 1 query No 8, about the need to contextualize to the reader the other biomes Pampa, Caatinga and Mata Atlantica.

Comentado [NR6]: This part was included to attend reviewer 1 comments on the need to justify Pantanal importance

96 year was also calculated for the domains of the biomes Amazonia, Cerrado and Pantanal. AERONET (Holben et al., 1998)
aerosol optical depth at 550 nm, estimated using Angström exponent at channels 440 nm and 675 nm and AOD at 440 nm,
98 single scattering albedo at 440 nm (Dubovik and King, 2000) and aerosol direct radiative forcing at the surface (Garcia et al.,
2012), level 1.5, from three sites located in different parts of Brazil and one in Bolivia, were included in the analysis. Such
100 data were used to help identify differences in the aerosol intrinsic optical properties from different years and locations within
the RSP influence area. Since no level 2.0 data are available for the most recent period, we compared AERONET data from
102 levels 1.5 and 2.0 in Figure A.1 (Appendix 1), to demonstrate the good quality of level 1.5 data as well.

To better contextualize the 2020 biomass burning season, we also analyzed the interannual variability (2003 to 2020) of the
104 fire counts in each of the considered biomes. Table 1 presents the variables used and their respective applications, data sources
and references. Fire counts were obtained from the Brazilian Space Agency (Instituto Nacional de Pesquisas Espaciais - INPE).
106 These are important data to explore the spatial dynamic of smoke emission sources and, to some extent, to analyze the extension
of illegal biomass burning, which has been the main driver of the regional smoke plume in Brazilian biomes. The used fire
108 counts are based on Moderate Resolution Spectroradiometer (MODIS) data and two algorithms. In summary, according to
Morissette et al. (2005), the algorithms use empirically derived thresholds based on digital numbers (DNs) at channels 20 (at
110 around 3.7 μm) and 9 (around 440 nm). In the daytime algorithm, pixels are classified as “fire” if two conditions are satisfied:
DNs higher than 3000 at channel 20 and lower than 3300 at channel 9. The nighttime algorithm requires only one condition:
112 DN higher than 3000 at channel 20.

To estimate the direct effect of the aerosol plume on the reduction of surface solar radiation (SSR), instantaneous retrievals of
114 CERES (Cloud and the Earth’s Radiant Energy System) Single Scanner Footprint - Level 3, during Aqua overpasses were
used. This variable is estimated by a combination of CERES SW upward irradiance at the top of the atmosphere retrievals,
116 ancillary meteorological data, surface, aerosol, gases and cloud properties. These inputs are used in the Langley parameterized
shortwave algorithm (LPSA) (Kratz et al., 2020), to estimate shortwave downward irradiance at the surface from 0.2 to 5.0
118 μm . The output is gridded in 1° by 1° latitude/longitude resolution. Cloud-free scenes, when cloud fraction is less than 0.1%
in a given footprint (NASA, 2018), in the presence of aerosols were selected to account only for the aerosol direct effect on
120 radiation. The aerosol model used in CERES surface irradiance calculations is very briefly described in Kratz et al. (2020). It
uses near-real time daily AODs from the Model for Atmospheric Transport and Chemistry (MATCH) (Collins et al., 2001,
122 Rasch et al., 1997). The Optical Properties of Aerosols and Clouds (OPAC) Global Aerosol Data Set (GADS) was used to
obtain the intrinsic aerosol properties, such as single scattering albedo and asymmetry parameter (Hess et al., 1998).

124 Wind circulation at 850 hPa plays a determinant role on the transport of smoke over South America (Freitas et al. 2004) and,
therefore, on the regional smoke plume structure, especially for areas downwind of the main biomass burning areas, namely,
126 southern of Amazon rainforest and the western portion of Cerrado ecosystem. Due to its location, Pantanal biome is subject to
smoke transport from Amazon Forest and Cerrado. The wind dataset to perform this analysis was taken from MERRA-2
128 (Modern-Era Retrospective analysis for Research and Applications, version 2) reanalysis (Gelaro, et al., 2017). The interannual
variability of the fire counts and AOD were analyzed, based on monthly mean values (July, August, September and October),

Comentado [NR7]: To respond the query no 9 from Reviewer 01: this part referring to CERES cloud free consideration and aerosol optical properties was detailed

Regarding the question 10 (also from Reviewer 01) related to the expression Aqua bouncing, also related to CERES dataset, it was answered in the response documents, but here in the text there was no need to include the explanation, since it was associated with the month of August, and the time series analysis of CERES surface irradiance that included August was excluded in this new version, following the reviewer 1 suggestion (query 6), to which we agree.

130 and from the perspective of the selected biomes. Subsequently, the interannual variability of single scattering albedo and mean
radiative forcing were analyzed. Those variables were also based on monthly mean values from AERONET stations located
132 in different positions of the regional plume influence dominium. September and October were selected to carry out a more
comprehensive geographical analysis of the features and impact on SSR of the 2020 regional smoke plume and, targeting a
134 comparison analysis, those of the most polluted years in the period analyzed. The radiative impact of the plume was based on
the anomaly in downward SSR.

136 3 Results

138 3.1 Fire count and smoke aerosol loading over Amazon, Cerrado and Pantanal: intraseasonal and interannual variability

This section addresses the interannual and the intraseasonal variability of monthly fire counts and mean smoke aerosol loading
140 for the three selected biomes (Amazon, Cerrado and Pantanal) during the biomass burning season of the last 18 years, from
2003 to 2020. Figure 2 shows, for each biome and multiple years, the intraseasonal evolution (July, August, September and
142 October) of fire counts and smoke aerosol loading. The typical intra seasonality of the biomass burning season is observed for
most of all the 18 years as follows: from the transition month of July, the number of fire counts increased significantly in the
144 following months, in general, reaching its peak in September. After that, the number of fire counts decreased, but still remained
high, in October, until the next wet season. The burned area variability, not shown here, closely follows the variability of fire
146 counts. For the Amazonia biome, in terms of fire count and mean smoke aerosol loading, 2020 biomass burning season closely
followed the general features of the last nine years (2011 – 2020). Regarding the peak of smoke aerosols over Amazonia, in
148 the last 10 years, September 2017 overpowered all Septembers, including that of 2020. Looking further back in time, the
Amazonia biomass burning seasons of the years of 2004, 2005, 2007 and 2010 were the most fire active and polluted years,
150 with mean AOD at 550 nm over the biome reaching a value close to one, in some case almost twice as that observed in 2020.
A similar feature can be stated for fire count. Regarding the intraseasonal behavior, for all highly polluted biomass burning
152 seasons, September stood out as the most polluted month in Amazonia. For the Cerrado ecosystem, while fire count during the
2020 biomass burning season was not significantly different from the previous nine years (2011 – 2020) biomass burning
154 seasons, smoke aerosol loading was exceptionally high in October, but with precedent when one looks further in the past (ex.
2007). Similar to Amazonia, during the mid-2000's Cerrado presented its more fire active and polluted years (2004, 2005,
156 2007) in the period here analyzed, and including 2010. However, when one looks at the Pantanal biome biomass burning
seasons time series, 2020 was indeed an exceptional season in terms of fire count, with almost three and four times the fire
158 counts typically observed in August and September of the last decades, respectively. Those figures surpass the fire counts of
the years of 2005 and 2007, two of the most fire active biomass burning seasons in the last two decades in Pantanal. The 2020
160 high fire counts scenario for Pantanal is also seen in aerosol mean loading over the biome during September, with twice the
values observed in recent years (2011 - 2020), but not unprecedented in the history of Pantanal. For the years of 2004, 2005,

Comentado [NR8]: In the context of the query 5 related to Figure 6 (reviewer 1), the time series analysis of fire count and AOD was extended to Amazonia and Cerrado biomes. Unfortunately, we were not able to go further in the past (We mean 1990s and 1980s), but the comparison from early years of 2000s was very important to better contextualize each biome 2020 biomass burning season.

The extension of the time series analysis to Amazonia and Cerrado also was provide to attend the reviewer 2 comments on the need to extend the chronological analysis to beyond Pantanal domain. Although we recognize that the reviewer was aiming the spatial map, but we think that this extension to the other biomes is helpful. We decided to keep the spatial maps to specific years (section 3.2), accordingly to the new objectives, but somehow, we expect that by considering remote past years in this new map we attend the reviewer request.

162 2007 and 2010, Pantanal smoke aerosol loading was as high as, or even higher than, that observed in the 2020 peak. In 2007,
during September, the highest aerosol loading was observed within the period analyzed. Unlike 2020, in the polluted years of
164 2000s and the year 2010, large smoke loading was also observed upwind of Pantanal, in the southern of Amazon Forest and
western part of Cerrado, as can be seen in the Hovmoller diagram of AOD at 550 nm (Figure 3). This indicates the relevant
166 contribution of smoke advection from these biomes to the high aerosol loading observed over Pantanal, especially during 2010
when local fire counts were relatively low. From 2011 to 2019, both fire counts and smoke levels in Pantanal were well below
168 the observed values during the previous polluted years, even when high levels of smoke were observed in the upwind regions,
for instance in 2017 (Figure 3). In that year, the core of the transport toward the south was over Paraguay. Regarding the high
170 levels of smoke in the northern part of the Amazon basin at the end of the year 2015 (Figure 3), and which did not have a
significant influence on Pantanal region, it is worth mentioning that it occurred during an El Niño event, when this part of the
172 Amazon typically experiences drought scenarios and higher incidence of fire counts. Indeed, according to Marengo et al.
(2017), the Amazon onset of the rainy season in 2015 occurred later than normal, and the region was characterized by drought
174 in 2016. As in 2015 (Marengo et al., 2017) and 2020 (Marengo et al., 2021), drought conditions also were present, either across
central or north region of Brazil, during the polluted years of 2005, 2007 and 2010 (Jimenez et al., 2018, Libonati et al., 2021),
176 corroborating the critical role of climate variability combined with human factors to the exacerbation of biomass burning
severity in Brazil. Regarding the years characterized by less polluted scenarios in Pantanal (2011-2019), they were consistently
178 associated with relatively low local fire counts. This scenario changed during the 2020 biomass burning season, when the total
fire counts at the peak of the biomass burning season in Pantanal surpassed all the previous analyzed years (Figure 2).
180 According to Marengo et al. (2021), since the beginning of the fire activity monitoring in Brazil, in 1998, the largest number
of fires over Pantanal was detected in 2005 and, in 2020, it was 76% higher. As mentioned, the level of aerosol loading over
182 the biome at the peak of the 2020 burning season was similar to the early polluted years (Figure 2), in the middle of 2000s.
However, opposite to the former years, smoke loading in Pantanal in 2020 peaked in October, when the smoke loading upwind
184 of Pantanal, mainly in the Amazon biome, was atypically much lower than that over Pantanal (Figure 3). This suggests that
Pantanal itself was the main source of smoke in its domain, and with advection from outer regions playing a secondary role.
186 October followed also a highly polluted September, when there was an explosion in fire counts across Pantanal. The increase
in smoke over Pantanal, in mid-September, occurred simultaneously with the peak of smoke in Amazonia, suggesting that at
188 this time the smoke plume over Pantanal had also received contribution from biomass burning emission from Amazonia
(Figure 3). While the aerosol loading observed during the 2020 biomass burning over Pantanal was not unique from historical
190 perspective, there are relevant differences between 2020 and previous polluted years here analyzed. Regarding the
intraseasonal variability of smoke aerosol loading, October 2020 in Pantanal can be evaluated as exceptional when compared
192 with October from the previous years of the time series here analyzed. However, two interesting aspects worth emphasizing
are that Pantanal, for October 2020, presented a much lower fire count compared to August and September, and higher smoke
194 loading than Amazonia. Based on the time series here analyzed (Figure 3) this is atypical. Meanwhile, August's high fire count
in Pantanal did not translate into a high level of smoke over the wetland biome. Further analysis was done to clarify these

Comentado [NR9]: This part was added to attend the reviewer 2 comments on the need to also highlight the role of weather anomaly on the other highly polluted years (2010, 2005, 2007)

196 aspects, focusing on the correlation between smoke loading over Pantanal and local fire count (Figure 4a) and on the correlation
198 between smoke over Pantanal and over Amazonia (Figure 4b). The scatter plot of smoke loading over Amazonia versus smoke
over Pantanal shows that the smoke loading over Pantanal has a stronger relationship with smoke over Amazon than with fire
counts within the biome. This suggests that, in general, smoke over Pantanal is more affected by advection than by local fires.
200 However, 2020 stands out as an outlier. Typically, mean AOD at 550 nm over Pantanal domain is similar or a fraction of that
over Amazonia domain. That was not the case for September and October of 2020, when mean AOD at 550 nm over Pantanal
202 was much higher than over Amazonia, an indication that locally produced smoke played a major role on the amount of smoke
over the Pantanal atmosphere column.

204 To analyze why in August 2020 the higher fire count did not translate in higher smoke amount, compared with September and
October 2020, the monthly distribution of fire count across Pantanal (Figure 4c) was analyzed as a function of land used
206 (conservation units and indigenous areas) and Enhanced Vegetation Index (EVI). The analysis (Figures 4c and 4d) shows that,
despite the large fire count occurrence, August presented a reduced number of fires within conservation areas (where higher
208 biomass density is present). However, during September and October, there was a significant increase of fire number within
those areas, which could explain the larger aerosol emissions and, consequently, higher AOD values over Pantanal compared
210 to August.

A possible shift in the mean composition of the regional smoke aerosol plume optical properties (mainly light absorption
212 efficiency) during the 2020 biomass burning season was also explored via retrievals of single scattering albedo (SSA) from
AERONET stations. Since there are no AERONET stations operating in Pantanal, the analysis of SSA was carried out from
214 stations in the neighbourhood of Pantanal (Cuiaba) and somewhere else across Amazonia and at Santa Cruz, in Bolivia. The
results obtained did not show significant change between mean SSA for 2020 biomass burning season and from previous years
216 (Figure 5). Referring to Cuiaba, the closest AERONET site to Pantanal, the mean SSA on 2020 was within the site typical
variability, that also was the case of Rio Branco, Alta Floresta and Santa Cruz sites. Multiyear instantaneous aerosol radiative
218 forcing versus AOD at 550 nm from these AERONET stations, and as function of SSA, were also analyzed (Figure 6) by
comparing 2020 with the previous years (2003 to 2019). It is possible to observe that not only AOD but also SSA affects the
220 downward solar irradiance at the surface. However, no exceptional difference can be noticed in 2020 data when compared
with the entire dataset. It is worth mentioning that the AERONET data from quality level 2.0 for 2020 is not yet available, so
222 level 1.5 was used. Therefore, future and further analysis on this matter is highly recommended in order to evaluate the posed
hypotheses, although as observed in Figure A.1, there is very good agreement between levels 1.5 and 2.0 SSA retrievals.
224

3.2 Regional Smoke Plume and Surface Solar Radiation anomaly: Spatial and interannual analysis

226 Climatologically, September is the peak of the biomass burning season over a large area of South America, and of Brazil in
particular. Therefore, September was selected to explore the spatial distribution, loading and impact on the downward solar
228 radiation at the surface of the regional smoke plume for 2020 and the most polluted years in the time series analyzed, namely

Comentado [NR10]: This analysis was motivated by the suggestion of scatter plots provide by the reviewer 1 (Query 2) and we think that it helps to also respond the reviewer 2 question regarding if 2020 was similar or not with past events.

Comentado [NR11]: This section was adjusted to attend reviewer 01 query No 3. (Supported by Figures 5 and 6 that replaced the previous Figure 3)

2004, 2005, 2007, 2010, 2017. Considering the particularity of smoke over Pantanal in October of 2020, that month was also added to this analysis of the RSP feature and impact on SSR. Figure 7 presents the interannual variability of the geographical distribution of the RSP for September and October for the most polluted years. Although it was one of the most polluted in the last five recent years, the 2020 regional plume could not be considered as an exceptional plume when compared, for instance, with the historical RSP of the years 2007, 2010 and 2005. Among the years analyzed, 2007 stands as the top polluted, for both September and October. However, during October 2020, it is possible to visualize that the peak of the smoke over the continent was centered in Pantanal (Figure 7b), corroborating the previous discussion that 2020 biomass burning exceptionality was restricted to the Pantanal biome. The area of the RSP core, considered as the extension of the smoke plume delimited by the isoline of AOD at 550 nm of 0.5 (area) and its respective mean intensity (AOD@550 nm level) are also identified. The 2007 RSP presented the largest (5740811 km²) and most polluted domain (mean AOD@550 nm > 1.0), a characteristic that extended to the month of October. The area and AOD@550 nm figures during September for the 2020 RSP were, respectively, 2450641 km² and 0.68.

Due to the wind circulation pattern in the region, in general, the smoke from fires in the Amazon Forest and Cerrado ecosystem are initially transported westward reaching other South-American countries until it reaches the Andes Mountain range barrier (Freitas et al., 2004), where the wind circulation becomes predominantly meridional and southwards with a variable zonal component that can fluctuate the transport of smoke between the southeast and the southern portions of Brazil. Going southwards, the plume can reach the Pantanal region, among other locations, but the smoke usually gets diluted during this transport process. Related to the flow of the regional smoke plume towards the population centers of the southeastern coast, a stronger feature of 2020 regional smoke plume, the analysis of previous years evidenced that this has also been seen in the past. For example, in 2004 and 2005 the monthly flow patterns were also towards the highly populated centers in the southeast of Brazil.

Figure 8 also shows that the anomaly of solar radiation in cloud-free conditions occurred over the regions more severely affected by the aerosol plume, consistently with the spatial distribution of AOD, as expected. Again, in 2020, the solar energy reduction was surpassed by previous top polluted years, specially 2007, when SSR anomaly over a large portion of the continent, including the western portion of Pantanal, reached values up to -300 Wm⁻². It is also possible to see that in 2005, as occurred in 2020, the southeast portion of Brazil experienced a larger SSR reduction as a result of the persistent transport of smoke toward that region. As suggested by the AOD field, available solar energy reaching the ground in October 2020 over Pantanal was significantly reduced (up to 200 Wm⁻²).

4 Conclusion

The 2020 biomass burning season in Brazil attracted unprecedented attention from national and international media as well as the general society. Pantanal biome was a hotspot in this entire discussion. The wetland biome's role in the Regional Smoke Plume (RSP) has been marginal throughout the years, however, with the explosion of fire counts across Pantanal in 2020, there

Comentado [NR12]: This section was adjusted to improve 2020 Regional Smoke Plume (RSP) historical contextualization. It is related to query 4 of reviewer 01. When we extended the time series to further past, 2020 no longer presents as one of the most polluted. Therefore, this discussion about the geographical distribution of smoke was adjusted to better expressed the 2020 RSP and in which aspects Pantanal was different.

We also added the month of October to the analysis targeting to contextualize the exceptional amount of smoke over Pantanal when compared to the RSP domain.

Comentado [NR13]: As response to reviewer 1 query No 5, instead of absolute irradiance we decided to use anomaly, which provide a better interpretation of the smoke radiative effect among the years and months analyzed

Comentado [NR14]: Conclusion was modified in order to attend the new analysis organization and to described the main findings.

was a question about that for the case of 2020 biomass burning season. In this study we analyzed to what extent the RSP produced during the 2020 biomass burning season and its impact on Solar Surface Radiation under cloudless scenarios differs from previous years, from 2003 to 2019, in particular the highly polluted former RSPs. Additionally, we analyzed the interannual and intraseasonal variability of fire counts and aerosol loading with emphasis on the biomes Amazonia tropical rainforest, Cerrado and Pantanal. In the last eighteen years, from the point of view of the Amazon Forest and Cerrado ecosystem, 2020 can be considered as an ordinary biomass burning season, far from the most fire active and polluted years of 2010 and 2007. For these years, 2010 and 2007, Amazonia and the western portion of Cerrado experienced their largest fire counts and aerosol loading within the analyzed period. However, for Pantanal, under certain aspects, 2020 was a very particular year. Not exactly due to the smoke loading over the biome, since in September of 2007 the biome experienced a higher smoke loading, but due to the relative contribution of the local fire when compared to advection from upwind areas and the amount of smoke observed in October. The analysis revealed that, typically, Pantanal smoke loading has a stronger relationship with smoke over Amazon than with fire counts within the biome, suggesting that AOD over Pantanal is more affected by advection than by local fires. However, in 2020 that was not the case, local fire dominated. Usually, smoke loading over Pantanal is a fraction of that over Amazonia domain, but for September and October of 2020, mean smoke loading over Pantanal was much higher than over Amazonia, an indication that smoke produced locally played an atypical role to the smoke level over Pantanal. In the 2020 biomass burning season, fire counts in Pantanal were 3.4 times higher than the mean value from 2003 to 2020. As important as the amount of fire, the nature of the areas being burned within Pantanal revealed to be determinant to the amount of smoke produced during September and October. From September on, there was a significant increase of fire number within conservation and indigenous areas, where higher biomass density is present, which would explain the large smoke plumes over Pantanal, even during October when the number of fires were significantly reduced. The entire biome was continuously covered by a thick layer of smoke from west to east and from south to north for almost one month and a half, which resulted in a monthly (October) mean deficit of solar radiation at the surface up to 200 Wm^{-2} . The impact of this reduction of incoming solar radiation on biological and surface-atmosphere interactions processes and are yet to be evaluated. Additionally, considering the plume transport towards the highly productive central and southeast regions of Brazil, the country's capacity of production of renewable energy based on solar radiation is also affected. In a period when cloud cover is less frequent and the generation of hydro-electric power, the main energy source in Brazil, decreases due to the lack of precipitation, revealing a need for a comprehensive governance.

In conclusion, from RSP loading and area perspective and when compared with previous years (2003-2019), 2020 biomass burning season could not be identified as an exceptional year. However, when focusing on the Pantanal domain, there are three aspects that differ from typical features, the fire count observed in September, the smoke loading over the Pantanal biome during October and the relative contribution of local fire to the local smoke loading when compared advection of smoke from Amazonia domain. Pantanal biomass burning has received less attention throughout the years, when compared with Amazonia and Cerrado biomes. However, Pantanal is a critical piece in the regional hydrological cycle, with strong connection with the two larger biomes. Pantanal surface-atmosphere interaction processes' role in the support of local and regional biodiversity is

Comentado [NR15]: This part, commented by reviewer 1 (query 14), was adjusted to avoid the emission reference and was somehow kept taking as support the new analysis performed for this version and that is presented in Figure 4.

Comentado [NR16]: Answer to query 11 (reviewer 1). Indeed, the scatter plot suggestion was crucial to get to this conclusion about relative role of local fire and advection, and the question about the shift in fire count in august and the AOD peak in September was also really helpful to also bring to the discussion the role of the nature of the material burned.

Comentado [NR17]: This statement, providing a historical contextualizing of 2020, was added here as was done with others statements included previously in section 3 (results) as a response to query 13 of reviewer 1.

indisputable. Although it is too early to infer a possible pattern change in Pantanal biomass burning features, studies focusing on the 2020 atypical biomass burning season may shed light on potential scenarios that the region may experience in the future, when one considers the climate projections of increasing the frequency of drought conditions and the role of an adequate governance. Current knowledge on the Pantanal biome fire emission dynamics and smoke plume radiative properties and impacts is far limited compared to the Amazon Forest and Cerrado ecosystem.

Although the 2020 regional biomass burning season was not among the most polluted in the history of biomass burning in Brazil, Pantanal 2020 biomass burning season could be seen as an example of the worst scenarios combination, climate extreme related to a fire-prone environment (Marengo et al. 2021, Libonati et al., 2020) and an unfavorable governance (Vale et al., 2021). According to Marengo et al. (2021), the years of 2019 and 2020 were characterized by the worst drought in 50 years in Pantanal. Valet et al. (2021) pointed out a large reduction in environmental fines during the pandemic was identified, despite the observed increase in Amazonian deforestation, slashing of resources for environmental protection and climate actions in recent years and approval of legislative acts aimed at deregulating and weakening environmental protection during the COVID-19 pandemic. There are still open questions about the specific behavior of mankind intervention in Pantanal in 2020, a lack of studies of human causes and responses to fires in the Pantanal has been recognized as a challenge to a full comprehension of what happened (Libonati et al., 2020). One also has to point out that there is a need to advance scientific knowledge on the Pantanal climate process in the context of both local environmental degradation and global climate change scenarios. This evaluation has as basis the notable lack of regular monitoring and comprehensive experimental campaigns focusing on the biome and its complex interdependence relationship with the neighborhood biomes, Amazonia and Cerrado and downwind regions.

Data availability. All the dataset (AERONET, MODIS, CERES, MERRA-2, INPE fire count) used in this study are publicly available and can be downloaded from their respective sites provided in Table 1 of this manuscript.

Author contributions. N.E.R., E. S. T and M. A. Y. designed and performed the research, analyzed the data, and wrote the paper.

Competing interests. The authors declare that they have no conflict of interest.

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Comentado [NR18]: This section was added to answer both reviewers' comments (query 15 from reviewer 1 and reviewer 2 question about the role of covid-19 pandemic and the causes of the atypical scenario seen in Pantanal) . Marengo et al. (2021) study provide a depth analysis on the climate conditions during Pantanal 2020 and Vale et al (2021) also went further to discuss the role of poor governance and its effect on human dimension of this problem. Libonati et al. (2020) also provides a comprehensive summary of both factors. With this comprehensive discussion provide by these studies we evaluate to take them as reference for the background scenario and move forward in aspect no explored by those studies.

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484 **Figure 1:** Spatial distribution of the Brazilian biomes of Amazon tropical forest, Caatinga, Cerrado, Pantanal, Mata Atlântica and
 486 Pampa. Locations of the AERONET stations considered in this study are also depicted. The area confined by the dashed red box
 represents a transect defined to study north-south smoke variability and transport taking Pantanal west and east borders as
 reference.

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Comentado [NR19]: This figure was adjusted to also contextualize the biomes Pampa, Caatinga and Mata Atlântica

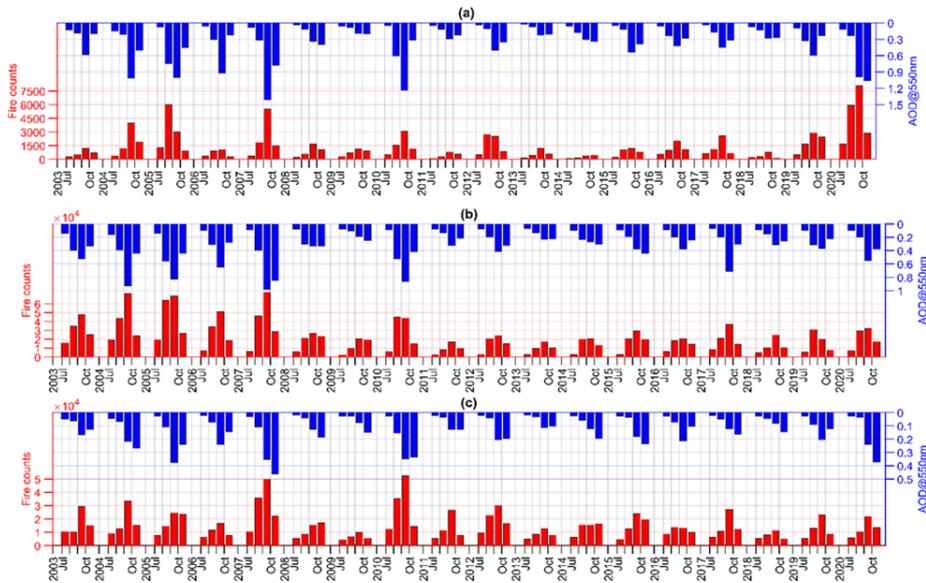
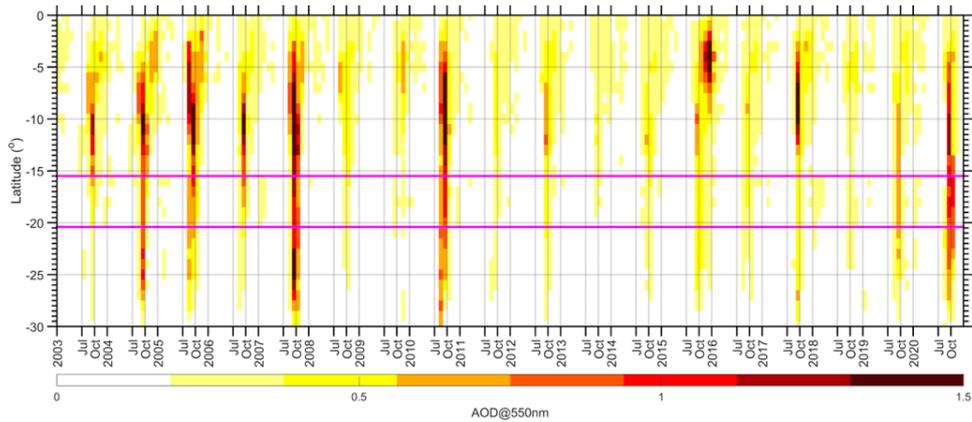


Figure 2: Monthly (from July to October) interannual evolution of fire counts (red), aerosol optical depth at 550 nm (blue) for the years from 2003 to 2020 for (a) Pantanal, (b) Amazonia, and (c) Cerrado biomes.

Comentado [NR20]: This figure was adjusted, including the time series for Amazonia and Cerrado, to better contextualize the dependence of Pantanal on the burning season of these biomes

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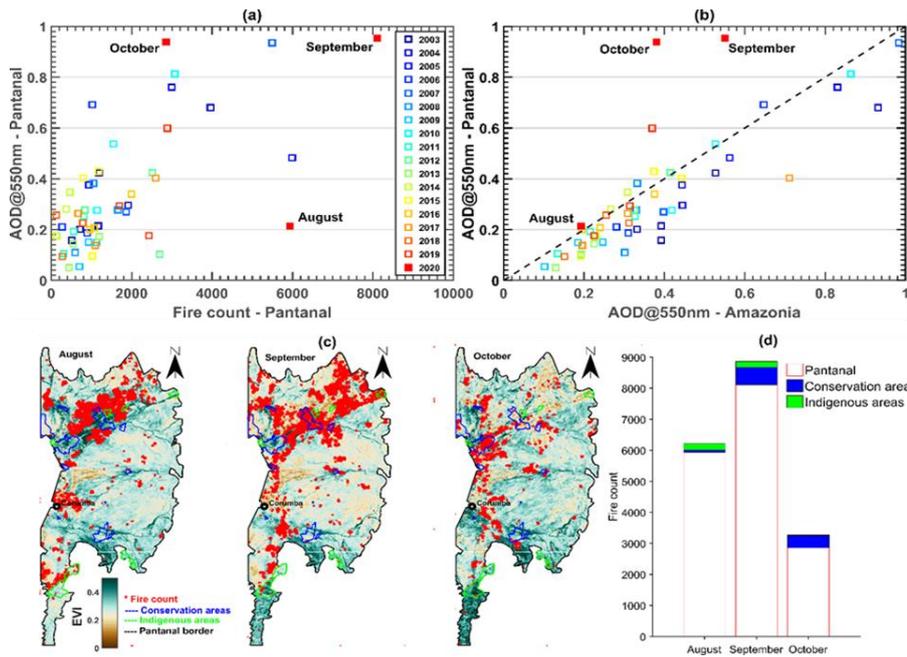


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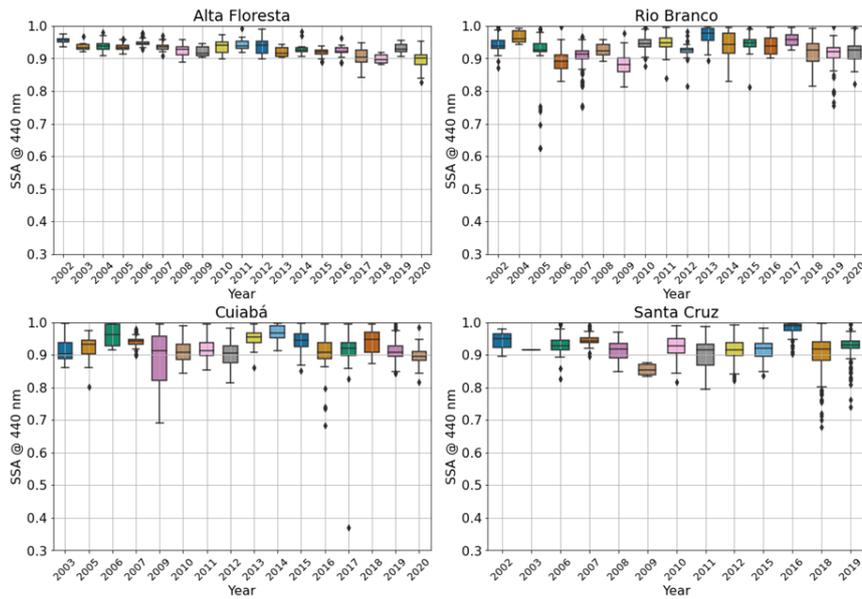
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Figure 3: Hovmoller diagram of mean AOD at 550 nm from Amazon Forest latitudes to Pantanal downwind regions considering the average between Pantanal borders at west (58.5°W) and east (54.96°W) longitudes (see Figure 1). The magenta line represents the north and southern limits of Pantanal. Each year's label is defined at its beginning, January first, that is also the case of the months of July and October, which are used to highlight the biomass burning season period.



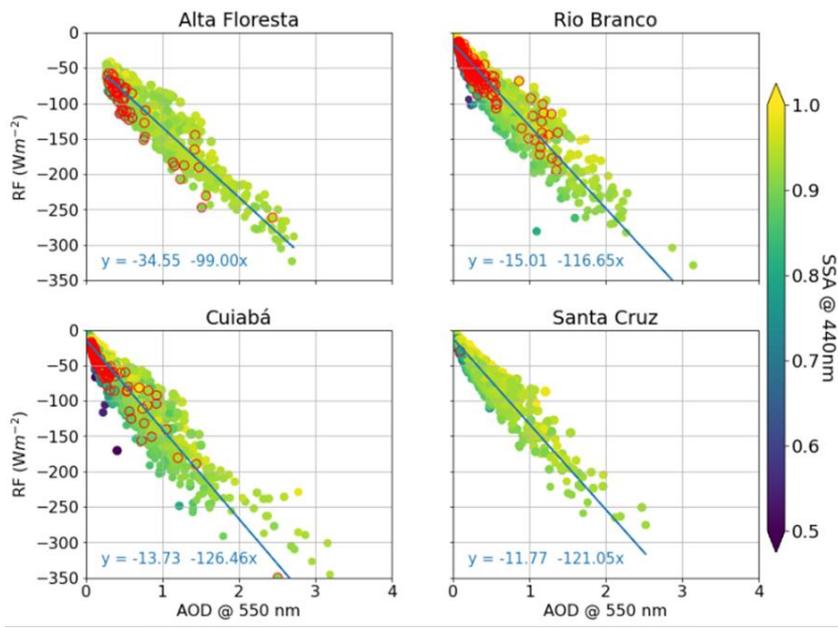
502 **Figure 4:** (a) Monthly mean aerosol optical Depth at 550 nm (AOD@550nm) as function of fire count for Pantanal biome; b) Monthly
 504 mean aerosol optical Depth at 550 nm (AOD@550nm) over Pantanal as function of monthly mean aerosol optical Depth at 550 nm
 506 over Amazonia; c) Fire count distribution over Pantanal for August, September and October of 2020 using as background the
 Enhanced Vegetation Index (EVI) d) Monthly (August, September and October) distribution of fire count over Pantanal and over
 conservation and indigenous areas located within the biome.

Comentado [NR21]: This new plot was added to contextualize the dependence of Pantanal smoke on local fire and Amazonia smoke, and also to help explore the reasons for the high smoke loading in October despite the lower fire count compared with August



510 **Figure 5:** Multiyear box plots of the monthly single scattering albedo at 440 nm (SSA@440nm) from 2003 to 2020 for four
 512 **AERONET sites:** Alta Floresta, Cuiabá, Rio Branco and Santa Cruz. In each box, the black line in the center represents the median,
 and the lower and upper limits are the first and the third quartiles, respectively. The vertical lines extending from the box represent
 the spread of instantaneous SSA@440 nm with the length being 1.5 times the interquartile range.

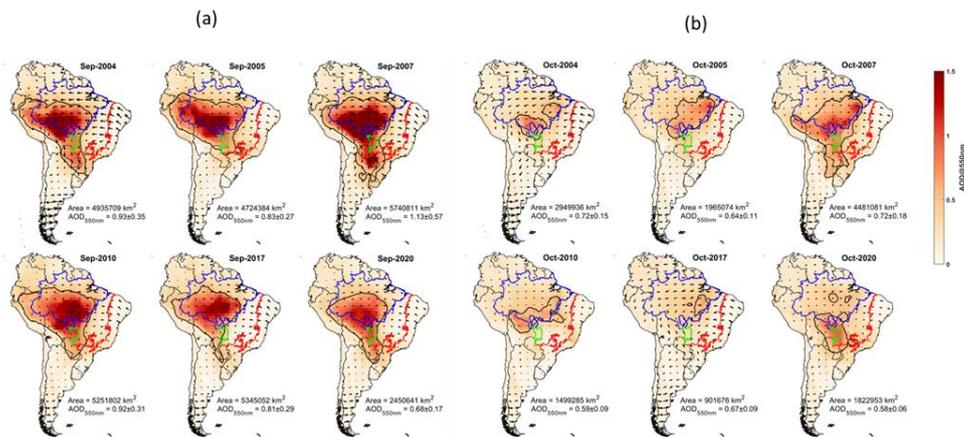
Comentado [NR22]: This plot replaces partially the plot 2 from previous version and its objective is to help in the analysis related to the interannual variability of the regional smoke plume solar radiation absorption efficiency.



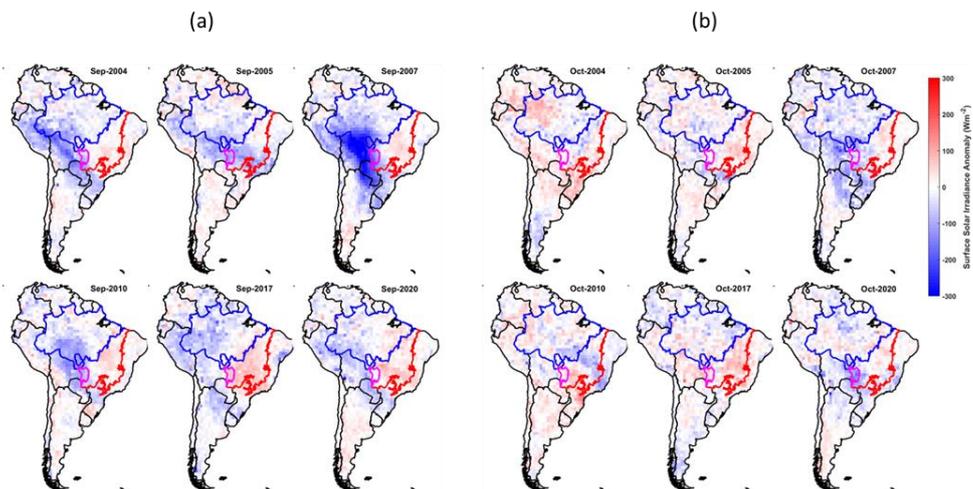
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516 Figure 6: Aerosol direct instantaneous radiative forcing as function of AOD at 550 nm at AERONET sites highlighting 2020 (red)
 518 against historical values (2003 – 2019).

Comentado [NR23]: This plot was added aiming to also explore if 2020 regional smoke plume radiative forcing efficiency was different from previous years as a result of any significant change in the single scattering albedo of the regional plume.



520 **Figure 7:** Spatial distribution of the mean aerosol optical depth at 550 nm (AOD550nm) and wind pattern at 850 hPa for September
 522 **(a)** and October **(right)** of different years. The area limited by the black solid line represents the regions with AOD550nm higher
 than 0.5, and its dimension (Area) and mean AOD550nm are also presented. The biomes' borders are represented by the colors blue
 (Amazon Forest), red (Cerrado ecosystem) and green (Pantanal).



524 **Figure 8:** Spatial distribution of the mean surface solar irradiance under cloudless conditions and in the presence of aerosol for the
 526 **months of September and October** of different years. The biome's borders are represented by the colors blue (Amazon Forest), red
 (Cerrado ecosystem) and magenta (Pantanal).

Comentado [NR24]: These plots were updated by replacing the previous five years to 2020(2015-2019) to the most polluted years within the time series analyzed and also by adding October to the analysis.

Comentado [NR25]: These plots were updated by replacing absolute irradiance by irradiance anomaly and the previous five years to 2020(2015-2019) to the most polluted years within the time series analyzed and also by adding October to the analysis.

528 **Table 1: Set of variables used in this study, their respective applications, sources and references.**

| Variables | Application | Data sources | Reference |
|--|-----------------------------------|---|--|
| Aerosol Optical Depth at 500 nm (AERONET) and at 550 nm (MODIS – Aqua) | Smoke plume loading and dimension | AERONET (Level, 1.5) https://aeronet.gsfc.nasa.gov/ MODIS Atmosphere Level 3 (L3) gridded products (Daily and Monthly) from the Aqua platform (MYD08D 3/M 3) https://giovanni.gsfc.nasa.gov/giovanni/ Accessed on Feb16, 2021. | Holben et al. (1998); King et al., 2013; Levy et al., 2013; Platnick et al., 2017 |
| Aerosol Single Scattering Albedo | Smoke plume absorption efficiency | AERONET (Level 1.5) https://aeronet.gsfc.nasa.gov/ | Dubovik and King (2000) |
| Aerosol radiative forcing | Radiative impact | AERONET (Level 1.5) https://aeronet.gsfc.nasa.gov/ | Garcia et al. (2012) |
| Wind components at 850 hPa | Circulation pattern | MERRA 2- Reanalysis atmospheric variables. https://disc.gsfc.nasa.gov/datasets/M2TMNXSLV_5.12.4 Accessed on November 11, 2021. | Gelaro, et al. (2017); GMAO (2015) |
| Fire counts | Fire activity | http://queimadas.dgi.inpe.br/queimadas/portal/static/estatisticases_tados/ – Accessed on December23, 2020. | Morisette et al. (2005) |
| Enhanced Vegetation Index (EVI) | Land use | https://doi.org/10.5067/MODIS/MOD13C2.061 Accessed on May, 20, 2022 | Didan (2021) |

Comentado [NR26]: The EVI was added to the analysis in order to highlight the larger biomass density in indigenous and conservations areas within Pantanal, which could explain the high smoke loading over Pantanal in October even when fire count was much lower than in august.

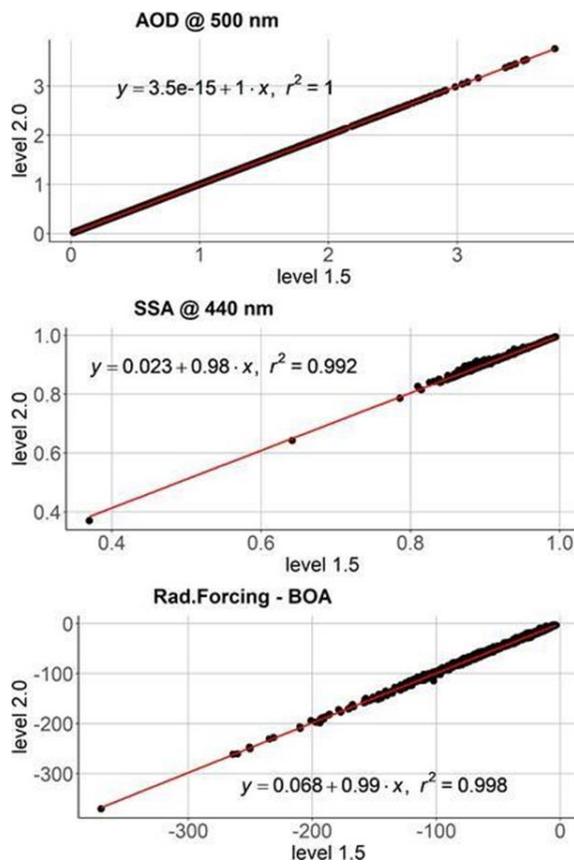
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Annexe



540 Figure A2: Scatterplot of level 2.0 versus level 1.5 for AOD at 500 nm, SSA at 440 nm and radiative forcing at the surface from AERONET. Red line indicates the best line fit and the coefficients of the linear regression are also presented.