



1 **Distribution and stable carbon isotopic composition of dicarboxylic**
2 **acids, ketocarboxylic acids and α -dicarbonyls in fresh and aged**
3 **biomass burning aerosols**

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27 **Abstract**

28 Biomass burning (BB) is a significant source for dicarboxylic acids (diacids) and
29 related compounds that play important roles in atmospheric chemistry and climate
30 change. In this study, a combustion chamber and oxidation flow reactor were used to
31 generate fresh and aged aerosols from burned rice, maize, and wheat straw to
32 investigate atmospheric aging and the stable carbon isotopic ($\delta^{13}\text{C}$) composition of
33 these emissions. Succinic acid (C_4) was the most abundant species in fresh samples;
34 while, oxalic acid (C_2) became dominant after atmospheric aging. Of all diacids, C_2
35 had the highest aged to fresh emission ratios of 50.8 to 64.5, suggesting that C_2 is
36 largely produced through secondary photochemical processes. Compared with fresh
37 samples, the emission factors of ketocarboxylic acids and α -dicarbonyls increased
38 after 2-day but decreased after 7-day aging, indicating short residence time and further
39 atmospheric degradation from 2- to 7-days. The C_2 $\delta^{13}\text{C}$ values for aged biomass
40 samples were higher than those of urban aerosols but lower than marine or mountain
41 aerosols, and the C_2 $\delta^{13}\text{C}$ became isotopically heavier during aging. Relationships
42 between the reduction in volatile organic compounds (VOCs), such as toluene,
43 benzene, and isoprene, and increase in dicarboxylic acids after 2-day aging indicate
44 that these volatile organic compounds led to the formation of dicarboxylic acids.

45 **Keywords:** Biomass burning, Dicarboxylic acids, Atmospheric aging, Stable carbon
46 isotope, VOCs



47 **1. Introduction**

48 Dicarboxylic acids (diacids), ketocarboxylic acids and α -dicarbonyls are
49 common components of the atmospheric organic aerosol, accounting for 1–3% of the
50 total organic carbon in urban areas and >10% of the carbon mass in remote regions
51 (Kawamura and Usukura, 1993; Kawamura and Sakaguchi, 1999; Kerminen et al.,
52 2000; Zhao et al., 2018). Due to their high water-solubility and other physicochemical
53 properties, diacids affect the hygroscopic growth of particulate matter (PM), and these
54 compounds are involved in the activation of cloud condensation nuclei and formation
55 of ice nuclei (Kawamura and Bikkina, 2016). Diacids and related compounds have
56 been found in a wide variety of environments including urban settings (Ho et al., 2006;
57 Kawamura and Ikushima, 1993; Meng et al., 2020; Sorathia et al., 2018; Wang et al.,
58 2002, 2006, 2012), mountains ranges (Kawamura et al., 2013; Kunwar et al., 2019),
59 and remote marine atmospheres (Hoque et al., 2020; Kawamura and Usukura, 1993).
60 They also have been reported in both the Arctic and Antarctic aerosols (Kawamura et
61 al., 1996a, b; Narukawa et al., 2002, 2003) as well as polar ice cores (Legrand and De
62 Angelis, 1996; Kawamura et al., 2001). Various studies have assessed the molecular
63 distributions, temporal variability, and sources of diacids in different air-sheds.

64 There are both primary and secondary sources for dicarboxylic acids (Mkoma
65 and Kawamura, 2013). Primary sources include emissions from fossil fuel combustion
66 (Kawamura and Kaplan, 1987; Rogge et al., 1993), cigarette burning (Rogge et al.,
67 1994), cooking (Rogge et al., 1991), and biomass burning (BB) (Narukawa et al.,
68 1999; Schauer et al., 2001). Of these, BB was found to be an important source of
69 dicarboxylic acids and related compounds over regional and global scales (Kundu et
70 al., 2010). Emissions from BB not only compose a major source of primary particles
71 but also introduce aerosol precursors to the atmosphere (Akagi et al., 2011; Gilman et
72 al., 2015; Reid et al., 2005). Secondary sources include particles produced by
73 chemical/photochemical oxidation reactions of volatile organic compounds, especially
74 those emitted from primary sources (Lim et al., 2013; Carlton et al., 2006, 2007).

75 Being one of the major contributors to the global budget of aerosols, BB



76 emissions are of particular concern because they impact air quality, visibility, climate,
77 and human health (Hodshire et al., 2019). As the largest developing country and one
78 that burns large quantities of biomass, China has long suffered from severe air
79 pollution from BB (Chen et al., 2016; Fullerton et al., 2008). Domestic crop residues
80 (eg. rice, maize, and wheat straw) and firewood are the most significant energy
81 sources in most rural areas, and these are commonly used for cooking and heating (Li
82 et al., 2021; Tao et al., 2018).

83 Although high concentrations of diacids have been detected in biomass
84 combustion (FaLkovich et al., 2005; Kundu et al., 2010), it is still unclear on the
85 distribution of diacids directly emitted by BB (Jaffrezo et al., 1998) or compared to
86 those formed secondarily from precursors (Allen et al., 2004). In addition, limited
87 data are available on the specific dicarboxylic acids emitted from burning of
88 agricultural residues. Therefore, it is important to investigate the molecular
89 composition of dicarboxylic acids in both fresh and aged BB aerosols to advance
90 current understanding of the potential environmental and climatic effects.

91 In this study, rice, maize and wheat straw were selected for laboratory
92 simulations of fresh and aged BB aerosols. The study was conducted with the use of a
93 combustion chamber and oxidation flow reactor (OFR). Fresh and aged BB aerosols
94 were chemically analyzed for molecular characteristics and the stable carbon isotopic
95 composition ($\delta^{13}\text{C}$) of selected dicarboxylic acids, ketocarboxylic acids, α -dicarbonyls,
96 and benzoic. The objectives of this study were to (1) investigate the emissions of
97 dicarboxylic acids, ketocarboxylic acids and α -dicarbonyls from crop residue burning;
98 (2) evaluate the effects of atmospheric aging processes on dicarboxylic acids and
99 related compounds; and (3) investigate reactions of volatile organic carbon
100 compounds (VOCs) with oxalic acid and intermediates that form in the aging process
101 to explore potential formation mechanisms of selected organic acids.

102 **2. Methods**

103 **2.1. Preparation and collection of fresh and aged BB aerosols**

104 Fresh smoke was generated by burning dry biomass fuels (i.e., rice, maize, and



105 wheat straw) in an $\sim 8 \text{ m}^3$ combustion chamber (Tian et al., 2015), and the smoke was
106 then passed through a Potential Aerosol Mass-Oxidation Flow Reaction (PAM-OFR)
107 (Aerodyne Research, LLC, Billerica, MA, USA) to simulate aging processes (Cao et
108 al., 2020). The experimental setup is illustrated in supplementary Fig. S1. Detailed
109 procedures for sample preparation and collection may be found in previous studies (Li
110 et al., 2020, 2021; Niu et al., 2020). The PAM-OFR can be used to simulate an
111 environment with extremely high oxidant concentrations with short residence times
112 (Kang et al., 2007).

113 For each test, $\sim 100 \text{ g}$ of the biomass fuel was burned on a combustion platform
114 inside the combustion chamber. Each sampling period lasted 120–180 min, during
115 which an equal amount of fuel was added to the platform 10 times at regular intervals.
116 The entire burning cycle, including ignition, flaming, smoldering, and extinction,
117 intends to simulate real-world source characterization without the use of combustor or
118 heat preservation. Smoldering was the major driver of the combustion process.

119 A portion of the diluted smoke was drawn through a quartz fiber filter (47 mm
120 diameter, Whatman QM/A, Maidstone, UK) at 5 L min^{-1} using a mini-Vol PM_{2.5}
121 sampler (Airmetrics, OR, USA) to capture fresh emission, and another portion ($\sim 9 \text{ L}$
122 min^{-1}) was drawn into a 19-L cylinder PAM-OFR (with a diameter of 20 cm and
123 length of 60 cm) to simulate atmospheric aging. The aging times are selected to
124 represent lifetimes of regional air pollutants prior to arrival at a receptor (Chow et al.,
125 2019). Three oxidants (O_3 , $\bullet\text{OH}$, and $\bullet\text{HO}_2$) were generated in the PAM chamber
126 using irradiation from ultraviolet (UV) lamps. The UV lamps operated at a voltage of
127 2 and 3.5 V, and the OH exposure values (OH_{exp}) in the chamber were estimated at
128 2.6×10^{11} and 8.8×10^{11} molecules-sec/ m^3 , respectively. These levels corresponded
129 to ~ 2 and 7 day of aging (Watson et al., 2019), assuming that a representative
130 atmospheric $\bullet\text{OH}$ level of 1.5×10^6 molecules/ m^3 (Mao et al., 2009). The aged
131 aerosols were sampled following the reactions in the PAM-OFR chamber. Each test
132 was conducted in triplicate to account for experimental errors and to provide a
133 measure of variability, which was calculated as standard deviations. A total of 36
134 samples were collected and analyzed for chemical composition.



135 **2.2. Sample extraction, derivatization, and quantification**

136 For dicarboxylic acids, ketocarboxylic acids and α -dicarbonyls analyzing, one
137 quarter of each filter sample was extracted three times (15 min each) with purified
138 (18.2 M Ω) water (Milli-Q, Merch, France) and ultrasonication. The pH of the aerosol
139 extracts was adjusted to 8.5 to 9.0 using a 0.1 M potassium hydroxide solution prior to
140 drying that convert carboxylic acids into their salts (Bikkina et al., 2021). This drying
141 step improves the recovery of smaller diacids, such as oxalic acid (Hegde and
142 Kawamura, 2012). Water extracts were concentrated to near dryness with a rotary
143 evaporator under vacuum and then reacted with 14% BF₃/n-butanol at 100 °C for 1 h
144 to derivatize carboxyl groups to dibutyl esters and oxo groups to dibutoxyacetals.

145 After derivatization, n-hexane was added and washed with pure water three times
146 to remove the water-soluble inorganics such as hydrogen fluoride and boric acid. The
147 hexane layer was concentrated to near dryness using a rotary evaporator under
148 vacuum and a N₂ blow-down technique, and then the esters and acetals of target
149 analytes were dissolved in known amounts of n-hexane. Finally, the hexane layers
150 were concentrated to 100 μ L and analyzed using a capillary gas chromatography (GC;
151 HP 6890, Agilent Technology, Santa Clara, CA, USA) equipped with a split/splitless
152 injector and a flame ionization detector (FID). Peak identification was performed by
153 comparing the GC retention times with those of authentic standards and confirmed by
154 a thermal desorption (TD) unit coupled with a gas chromatograph/mass spectrometric
155 detector (TD-GC/MS, Models 7890A/5975C, Agilent Technology, Santa Clara, CA,
156 USA). The detection limits for those organic compounds were 0.1 ng m⁻³, and the
157 analytical errors, based on the replicate analyses, were less than 15%. Recoveries of
158 the target compounds were 83% for oxalic acid and 87% to 110% for the other
159 species.

160 **2.3 Emission factor calculations**

161 Concentrations of the various species in the aged samples were affected by their
162 initial emission, also undergo degradation and production through secondary chemical
163 processes. Fresh and aged fuel-based emission factors (EF) for each measured
164 chemical compound were calculated by dividing its filter mass by the mass of



165 combusted dry biomass fuel (Andreae and Merlet, 2001; Li et al., 2020; Tian et al.,
166 2015); that is:

$$EF_i = \frac{m_i \times v_{Stk} \times D \times t_{sample}}{Q_p \times m_{fuel}} \times DR$$

167 where EF_i (mg kg^{-1}) is the EF of chemical compound i for the specific crop; m_i (mg)
168 is the mass of chemical compound i collected on the filter; v_{Stk} is the average stack
169 flow velocity (m s^{-1}) at standard conditions; D is the stack cross section (m^2); t_{sample} is
170 the sampling duration (s); Q_p is the sampling volume through the filter (m^3) at
171 standard temperature and pressure; and m_{fuel} is the mass of burned biomass fuel (kg,
172 dry weight).

173 The dilution ratio (DR) was determined from the CO_2 concentrations measured at
174 the stack, diluted stack, and background, where:

$$DR = \frac{\text{CO}_{2,Stk} - \text{CO}_{2,Bkg}}{\text{CO}_{2,Dil} - \text{CO}_{2,Bkg}}$$

175 where $\text{CO}_{2,Stk}$ is the CO_2 concentration in the stack; $\text{CO}_{2,Bkg}$ the background CO_2
176 concentration in the atmosphere; and $\text{CO}_{2,Dil}$ the CO_2 concentration in the diluted
177 smoke.

178 **2.4. Stable carbon isotope composition of dicarboxylic acids**

179 Stable carbon isotopic determinations ($\delta^{13}\text{C}$) of dicarboxylic acids,
180 ketocarboxylic acids, and α -dicarbonyls followed the techniques of Kawamura and
181 Watanabe (2004). The isotope values of the derivatized samples were determined
182 using a gas chromatography–isotope ratio mass spectrometer (GCIR-MS; Thermo
183 Fisher, Delta V Advantage, Franklin, MA, USA). The $\delta^{13}\text{C}$ values were then
184 calculated for free organic acids using an isotope mass balance equation based on the
185 measured $\delta^{13}\text{C}$ values of derivatives and the derivatizing agent ($\text{BF}_3/\text{n-butanol}$)
186 (Kawamura and Watanabe, 2004). To ensure the analytical error of the $\delta^{13}\text{C}$ values
187 less than 0.2%, each aerosol sample was analyzed in triplicate, to obtain the average
188 values.



189 3. Results and Discussion

190 3.1. Emission factors for dicarboxylic acids, ketocarboxylic acids, α -dicarbonyls

191 Fresh and aged $PM_{2.5}$ EFs for a homologous series of dicarboxylic acids,
192 ketocarboxylic acids (glyoxylic acid, ωC_2 and pyruvic acid, Pyr), α -dicarbonyls
193 (glyoxal, Gly and methylglyoxal, mGly) and benzoic acid are presented in Table 1.
194 The EFs for most fresh and aged diacids varied by severely order-of-magnitude with
195 higher EFs after atmospheric aging. The highest fresh EF (i.e. EF_{fresh}) was found for
196 wheat straw ranging 44 - 122 $mg\ kg^{-1}$ for succinic acid and 67-102 $mg\ kg^{-1}$ for glyoxal,
197 higher than those found in maize and rice. The arithmetic means and standard
198 deviations for the EF_{fresh} of total dicarboxylic acids from burning of rice, maize, and
199 wheat straws were 84 ± 36 , 130 ± 47 , and $307 \pm 141\ mg\ kg^{-1}$, respectively.

200 As is shown Fig. 1, distributions of dicarboxylic acids in fresh emissions varied
201 by crop types and species. Of the saturated n-dicarboxylic acids, succinic acid (C_4)
202 acid was the most abundant species in the maize and wheat straw with average EF_{fresh}
203 of 22 ± 12 and $83 \pm 46\ mg\ kg^{-1}$, respectively. Azelaic acid (C_9) and C_4 were the most
204 abundant species from rice burning with EF_{fresh} of 11 ± 2.9 and $10 \pm 9.0\ mg\ kg^{-1}$,
205 respectively. These findings are consistent with the fresh smoke aerosols in Siberian
206 BB plumes (Kalogridis et al., 2018), in which C_4 and C_9 were more abundant than
207 oxalic acid (C_2). Previous studies also showed C_9 to be an oxidation product of
208 unsaturated fatty acids in biomass smoke (Kawamura and Gagosian, 1987; Kawamura
209 et al., 2013; Agarwal et al., 2010; Cao et al., 2017).

210 Similar to the diacids, the highest EF_{fresh} for ketocarboxylic acids and
211 α -dicarbonyls were also found in wheat straw samples, with 44 ± 31 and $138 \pm 91\ mg$
212 kg^{-1} , respectively. Glyoxal (Gly) was the highest α -dicarbonyls, with average EF_{fresh}
213 of 27 ± 3.9 , 42 ± 10 , and $84 \pm 41\ mg\ kg^{-1}$ for rice, maize and wheat straw,
214 respectively. This is consistent with previous studies which showed that Gly is often
215 more abundant than methylglyoxal (mGly) in polluted aerosols collected from China
216 (Pavuluri et al., 2010; Ho et al., 2007). Benzoic acid also was determined, and its
217 EF_{fresh} for rice, maize, and wheat aerosols were 1.9 ± 0.2 , 2.5 ± 0.4 , and $3.1 \pm 0.3\ mg$



218 kg^{-1} (Table 1).

219 **3.2. Effects of atmospheric aging processes**

220 3.2.1 Dicarboxylic acids

221 The EF_{aged} of 2- and 7-day diacids were 1650 ± 438 and 1957 ± 598 mg/kg,
222 respectively (Table S1); approximately 10 times greater than the EF_{fresh} . High
223 aged/fresh ratios implies that the bulk of the total dicarboxylic acids were secondarily
224 produced through aging processes. Longer exposure time in the atmosphere increased
225 the formation of diacids as ratios of average aged/fresh increased from 9.1 (2-day) to
226 10.8 (7-day) (Table S1).

227 As shown in Fig. 2, oxalic acid (C_2) was the most abundant of all measured
228 diacids among three crops, with the highest EF_{aged} found in wheat (1412 ± 328 mg/kg)
229 after 7-day aging. The aged/fresh (A/F) ratios for oxalic acid increased by ~27% from
230 50.8 (2-day) to 64.5 (7-day) (Table S1). These results are further evidence that $PM_{2.5}$
231 oxalic acid is largely produced by secondary photochemical processes rather than
232 direct emissions in biomass burning. This also is a likely reason why C_2 is often the
233 most abundant diacid in ambient samples, especially in the oceanic and other remote
234 areas (Hoque et al., 2020; Kawamura and Usukura, 1993; Kawamura and Sakaguchi,
235 1999; Kunwar and Kawamura, 2014; Hegde and Kawamura, 2012; Kawamura and
236 Bikkina, 2016; Wang et al., 2012). Two-day aging appeared to be sufficient for maize,
237 with degradation after 7-day. This may partial due to the low VOCs produced in
238 maize burn (Niu et al., 2020). The A/F ratios in Fig. 3 showed that 2-day aging is
239 sufficient for many of the diacids.

240 Succinic acid (C_4) ranked second in abundance after C_2 , with 7-8 folds increased
241 in EF after 2- and 7-day aging wheat. Although malonic acid (C_3) is mainly produced
242 by the photochemical oxidation of succinic acid, it also can be formed through the
243 incomplete combustion of fossil fuels and biomass (Kawamura and Ikushima, 1993).
244 In the atmosphere, C_4 is typically more abundant than C_3 originated from BB,
245 vehicular engine exhaust and biogenic emissions (Fu et al., 2013; Kawamura and
246 Kaplan, 1987; Kundu et al., 2010). Fig. 3 shows atmospheric aging increased the
247 abundances of C_3 and C_4 with A/F ratios increased from 16.2 to 31.1 for malonic acid,



248 and from 5.7 to 8.0 in succinic acid from 2- to 7-day of aging (Table S1). These
249 findings add to the evidence that these diacids are produced by the photo-oxidation of
250 primary pollutants emitted from combustion process. Lower EFs and higher A/F ratios
251 in aged and fresh malonic acid than those of succinic acid may be attributed to rapid
252 oxidation rate of C₃ or decarboxylation processing of C₄ diacid during aging (Zhao et
253 al., 2018).

254 As mentioned above, C₉ (azelic acid) is thought to be mainly formed through the
255 photochemical oxidation of unsaturated fatty acids emitted by plants (Kawamura and
256 Gagosian, 1987). Average EFs in azelic acid were low, ranging from 18 ±7.3 mg kg⁻¹
257 (fresh), to 51 ± 14 mg kg⁻¹ (2-day), with A/F ratios of C₉ of 2.8, and 2.2 for the 2- and
258 7-day samples, respectively, suggesting that azelic acid is relatively stable with short
259 residence time. Fig.3 shows that A/F ratios of other long-chain dicarboxylic acids and
260 branched dicarboxylic acids did not show apparent changes between the 2- and 7-day
261 samples, which may be due to the degradation of long-chain dicarboxylic acids
262 (Enami et al., 2015;Legrand et al., 2007;Miyazaki et al., 2010). It is also possible that
263 the laboratory combustion experiment did not produce adequate quantities of certain
264 diacids. For example, C₅ and C₆ are commonly formed by reactions of cycloolefins
265 emitted from anthropogenic sources with O₃ (Hatakeyama et al., 1985), and phthalic
266 acid as a product of the photochemical oxidation of aromatic hydrocarbon compounds
267 (Kawamura and Ikushima, 1993). Additional laboratory experiments may be needed
268 to reify different atmospheric process.

269 3.2.2 Ketocarboxylic acids and α-carbonyls

270 In contrast to the dicarboxylic acids, aging process were not apparent in
271 ketocarboxylic acids as A/F ratios reduced by 16% from 13.8 (2-day) to 11.9 (7-day).
272 Similar phenomenon was found for α-carbonyls with A/F ratios reduced by 64% from
273 5.4 (2-day) to 3.3 (7-day). This suggests the possibility that the degradation of these
274 intermediates to oxalic acid is faster than their formation by oxidation after 2 days of
275 aging. Fig.3 also show apparent reduction EF of 33-42% from 2- to 7-day aging for
276 glyoxal (Gly) and methylglyoxal (mGly) which may be due to the fact that both Gly
277 and mGly initially can be oxidized to less volatile polar organic acids including



278 pyruvic acid (Pyr) and glyoxylic acid (ωC_2) and then further oxidized to C_2 (Wang et
279 al., 2012; Warneck, 2003).

280 **3.3. Comparisons of diagnostic ratios of dicarboxylic acids in fresh and aged** 281 **aerosols**

282 Patterns in the relative abundances of dicarboxylic acids have been used to
283 evaluate biogenic versus anthropogenic source strengths and the photochemical
284 processing of organic aerosols (Kawamura et al., 2012). Previous studies have shown
285 that C_4 can be directly oxidized into C_2 or via C_3 into C_2 (Jung et al., 2010;
286 Sorooshian et al., 2007), with C_2 being an end-product of the photochemical oxidation
287 (Wang et al., 2012). The ratios of C_3/C_4 , C_2/C_4 and $\text{C}_2/\text{total diacids}$ can be regarded as
288 indicators of aerosol aging (Cheng et al., 2013; Kunwar et al., 2019; Meng et al., 2018;
289 Pavuluri et al., 2010), with higher ratios indicative of more aged aerosols (Kawamura
290 and Sakaguchi, 1999). As shown in Table 2, the ratios in this study showed a clear
291 atmospheric aging trend from fresh to 7-day aging with ratios of 0.7 to 6.4 for C_2/C_4 ,
292 0.1 to 0.6 in $\text{C}_2/\text{total diacids}$ and 0.2 to 0.5 in C_3/C_4 with photochemically oxidation
293 trend pronounced.

294 Ratios of $\omega\text{C}_2/\text{C}_2$ and Gly/mGly can also be used to evaluate the oxidation of
295 organic aerosols (Cheng et al., 2013, 2015; Kawamura et al., 2013). In the study,
296 apparent reduction of the $\omega\text{C}_2/\text{C}_2$ ratios from 1.3 (fresh) to 0.2 (7-day) supports the
297 potential oxidation pathways from precursor glyoxylic to oxalic acids. Similarly, the
298 Gly/mGly ratios in the biomass burning samples were higher in the fresh $\text{PM}_{2.5}$
299 samples (3.8) compared to the 2-day aged (2.3) and 7-day (2.0) aging, indicating the
300 degradation for Gly proceeds more rapidly than mGly, and that is consistent with the
301 decline Gly/mGly ratios in aged aerosols (Cheng et al., 2013).

302 Ratios of C_3/C_4 , $\text{C}_2/\text{diacids}$, $\omega\text{C}_2/\text{C}_2$, and Gly/mGly are similar among studies.
303 Except for the higher C_3/C_4 ratio of 3.9 found in marine aerosols of over the Pacific
304 region (Kawamura and Sakaguchi, 1999), and lower C_3/C_4 ratios in Siberian biomass
305 burning emissions in a large aerosol chamber (<0.03) (Kalogridis et al., 2018). The
306 largest difference was found for C_2/C_4 , varied from <1 for fresh aerosol in Siberian
307 biomass burning (Kalogridis et al., 2018), to 25.2 from forest fire in Thailand



308 (Boreddy et al., 2020). Elevated C_2/C_4 ratios exceeding 10 were found in aged
309 ambient Xi'an, China (10.4) (Cheng et al., 2013), Mt. Hua, China (10.7) (Meng et al.,
310 2014), marine aerosol, Pacific ocean (14.3) (Kawamura and Sakaguchi, 1999), and
311 ambient island Okinawa, Japan (15.5) (Kunwar and Kawamura, 2014). These C_2/C_4
312 ratios are ~63% to 142% higher than these reported in this study. Overall, these
313 comparisons show the importance of photochemical aging, however, the atmospheric
314 oxidation evidently was more extensive in aerosols from some remote mountain and
315 marine environments.

316 **3.4. Stable carbon isotopes**

317 Stable carbon isotope ratios ($\delta^{13}C$) can provide insights into the sources of
318 aerosols, Pavuluri and Kawamura (2016) reported that average $\delta^{13}C$ values for C_2
319 from biogenic aerosols (-15.8‰) were less negative—i.e., contained more ^{13}C and
320 was isotopically enriched than those from anthropogenic aerosols (-19.5‰). Data for
321 $\delta^{13}C$ also can provide information on the processing or aging of organic aerosols
322 because isotopic fractionation result from chemical reactions or phase transfer
323 (Pavuluri and Kawamura, 2016; Zhang et al., 2016). Mass loading of $\delta^{13}C$ for diacids
324 in the fresh BB samples were too low to be detected by the GCIR-MS, but the $\delta^{13}C$
325 values for C_2 ranged from -23.3 to -21.0 ‰ (with an average of -21.9 ± 1.2 ‰) in
326 2-day and -19.1 to -15.5 ‰ (-17.3 ± 1.7 ‰) for 7-day aged samples (Table 3).

327 Table 3 shows that aged maize samples reported the a heaviest $\delta^{13}C$ signatures
328 than those of rice and wheat. This is likely because maize is a C_4 plant, whereas wheat
329 and rice are both C_3 plants. Song et al. (2018) showed that $\delta^{13}C_{TC}$ in C_4 plants is
330 isotopically heavier than in C_3 plants. Moreover, the $\delta^{13}C$ of C_2 is more abundant in 7-
331 than 2-day samples (Table 3) with -13.1 ± 1.6 ‰ (2-day) and -7.1 ± 1.4 ‰ (7-day) in
332 maize; -26.2 ± 1.8 ‰ (2-day) and -20.8 ± 3.3 ‰ (7-day) in rice and -26.5 ± 0.2 ‰
333 (2-day) and -24.0 ± 0.5 ‰ (7-day) in wheat combustion. The $\delta^{13}C$ data for C_3 , C_4 and
334 ωC_2 (Table S2) showed similar trends, consistent with previous studies. For example,
335 Zhao et al. (2018) found that the $\delta^{13}C$ values of C_2 were related to aging. Pavuluri and
336 Kawamura (2016) analyzed diacids, ωC_2 , and Gly for $\delta^{13}C$ in anthropogenic and
337 biogenic aerosol samples by UV irradiation, and reported more $\delta^{13}C$ less negative



338 with longer irradiation times. During atmospheric oxidation reactions, organic
339 compounds react with OH radicals, causing the release of CO₂ and CO which contain
340 relatively the lighter ¹²C isotope and thus leaving the remaining substrate enriched in
341 ¹³C (Hoefs, 1997; Sakugawa and Kaplan, 1995).

342 A comparison of δ¹³C values for C₂ in the aerosols from selected environments is
343 shown in Figure 4. Average δ¹³C value (-21.9 ± 1.2 ‰) of 2-day aged biomass
344 burning of C₂ was comparable to those reported for urban regions, such as Beijing
345 (-21.8 ± 2.8‰) (Zhao et al., 2018) and Liaocheng (-19.8 ± 3.1‰) (Meng et al., 2020)
346 (Table 3). With continued aging, the C₂ δ¹³C of the 7-day samples (-17.3 ± 1.7 ‰)
347 was more similar in samples from Mt. Tai (-16.5 ± 1.8‰) (Meng et al., 2018) and
348 western pacific and southern ocean aerosol (-16.8 ± 0.8‰) (Wang and Kawamura,
349 2006), but it was significantly lighter than that of samples from the Korea Climate
350 Observatory at Gosan (-13.7 ± 2.5‰), which is a mountain background site in East
351 Asia (Zhang et al., 2016).

352 **3.5. Relationships between volatile organic carbon compounds and dicarboxylic** 353 **acids**

354 During the chamber experiment (Niu et al., 2020) concerning measured the VOC
355 compounds. Table S3 presents the correlations between decreases in VOCs and
356 increases in dicarboxylic acids from fresh to 2-day aged BB samples. Significant
357 (0.01 < p < 0.05) correlations (R) were observed for toluene with Gly (R = 0.75),
358 mGly (R = 0.81), Pyr (R = 0.78), ωC₂ (R = 0.78) and C₂ (R = 0.67) (Fig. 5), suggesting
359 that toluene was converted to diacids during the aging processes. Indeed, it has been
360 reported that the photooxidation of toluene is a potential source of secondary organic
361 aerosol (SOA) in urban air (Sato et al., 2007), and the major chemical components of
362 the SOA include hemiacetal, peroxy hemiacetal oligomers and dicarboxylic acids. It
363 also can be seen that benzene had significant correlations with mGly and C₂ (R > 0.59
364 in Fig. 5), implying that the oxidation of benzene led to diacid formation. And we can
365 see that the slope of the correlation between the decrease of toluene and benzene and
366 the increase of the precursor (Pyr and ωC₂) is significantly higher than that of oxalic
367 acid.



368 On the global scale isoprene is the most important precursor for C_2 , contributing
369 70% to the global C_2 , while anthropogenic VOCs contribute 21% to C_2 production
370 (Myriokefalitakis et al., 2011). Thus, it is not surprising that isoprene correlated with
371 C_2 ($R=0.58$) (Fig.5). In addition, several alkenes and alkanes also had a significant
372 correlation with C_2 (Table S3), indicating that these species may react in secondary
373 oxidation processes to generate oxalic acid. Previous studies have confirmed that
374 dicarboxylic acids can be oxidation products of aromatic hydrocarbons (Borrás and
375 Tortajada-Genaro, 2012), cycloolefins (Hamilton et al., 2006), and may originated
376 from diesel vehicle exhaust (Samy and Zielinska, 2010). However, no significant
377 correlation was found between decreases in VOCs and increases in 7-day aged
378 dicarboxylic acids. For the longer aging times, the particulate phase compounds may
379 be further oxidized to generate other compounds besides diacids.

380 4. Conclusions

381 The emission factors (EFs) of dicarboxylic acids and related compounds in
382 experimentally produced fresh and aged biomass burning aerosols were compared.
383 For fresh emissions, succinic acid (C_4) was the most abundant diacid species followed
384 by azelaic acid (C_9). After atmospheric aging, C_2 dominated the diacids, with elevated
385 emission factors. Ratios of aged to fresh (A/F) for C_2 increased from 50.8 (2-day) to
386 64.5 (7-day). These results suggest that the dicarboxylic acids in the atmosphere
387 largely originated from secondary photochemical processes as opposed to primary
388 emissions from biomass burning. The 2-day A/F ratios 2.8 of azelaic acid (C_9)
389 degraded by 27% after 7-days, suggesting that this species is relatively stable with
390 short residence time.

391 Decreasing trends in EFs were found for ketocarboxylic acids and α -dicarbonyls,
392 from 2-day to 7-day aging with A/F ratios reduced from 13.8 to 11.9 and from 5.4 to
393 3.3, respectively. These results suggest that after 2-day aging, the net degradation of
394 these intermediates was faster than their rates of formation. Compared with 2-day
395 samples, the $\delta^{13}C$ of C_2 , C_3 , C_4 and ωC_2 in 7-day samples became more positive or
396 isotopically heavier after the additional aging, likely due to kinetic isotope



397 fractionation effects. Moreover, the $\delta^{13}\text{C}$ values for the aged maize samples in both
398 the 2- and 7-day samples were significantly more positive than those of rice and
399 wheat. This is likely due to differences in their photosynthetic pathways as maize is a
400 C_4 plant, while wheat and rice are both C_3 plants. The correlations between VOCs
401 (benzene, toluene, isoprene, etc.) and oxalic acid (C_2) and intermediates indicated that
402 the oxidation of VOCs led to the formation of diacids. The results provide in-depth
403 understanding of SOAs formation in regions greatly affected by biomass burning.

404 **Data availability**

405 The data involved in this study will be provided when they are asked from the
406 corresponding authors.

407 **Author contribution**

408 Junji Cao and Jianjun Li conceived and designed the study. Minxia Shen
409 contributed to the literature search, samples and data analysis, and manuscript writing.
410 Jianjun Li, Junji Cao, Judith C. Chow and John G. Watson contributed to manuscript
411 revision. Kin Fai Ho, Wenting Dai, Suixin Liu, Ting Zhang, Qiyuan Wang, Jingjing
412 Meng carried out the particulate samples and supervised the experiments. All authors
413 commented on the manuscript and reviewed the manuscript.

414 **Declaration of Competing Interest**

415 The authors declare that they have no known competing financial interests or
416 personal relationships that could have appeared to influence the work reported in this
417 paper.

418 **Acknowledgments**

419 This work was jointly supported by the program from National Nature Science
420 Foundation of China (No. 41977332), the Strategic Priority Research Program of
421 Chinese Academy of Sciences (No. XDB40000000), the Innovation Capability
422 Support Program of Shaanxi (No. 2020KJXX-017), and by the US National Science
423 Foundation (AGS-1464501 and CHE 1214463). Jianjun Li also acknowledges the
424 support of the Youth Innovation Promotion Association CAS (No. 2020407).



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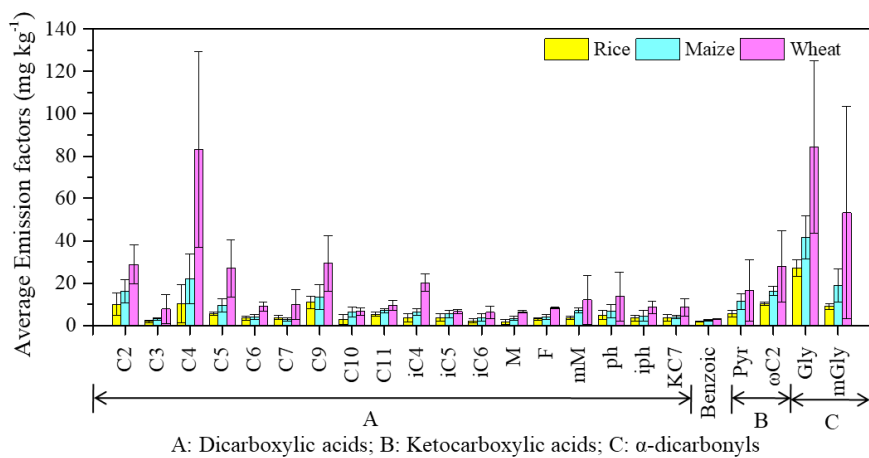
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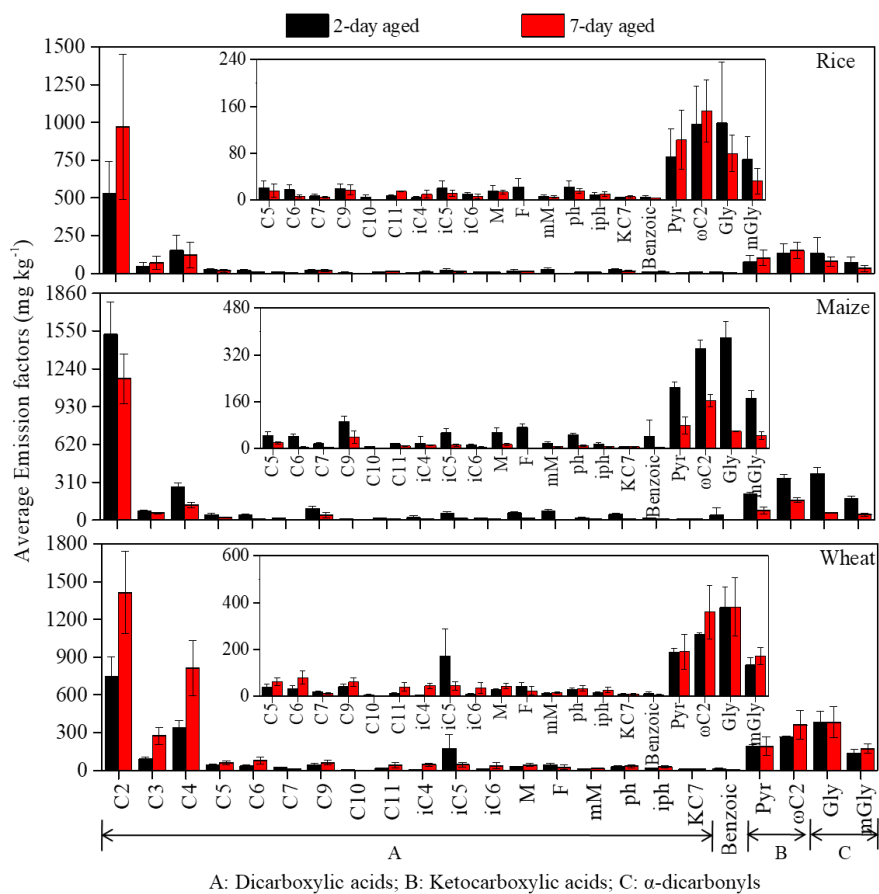


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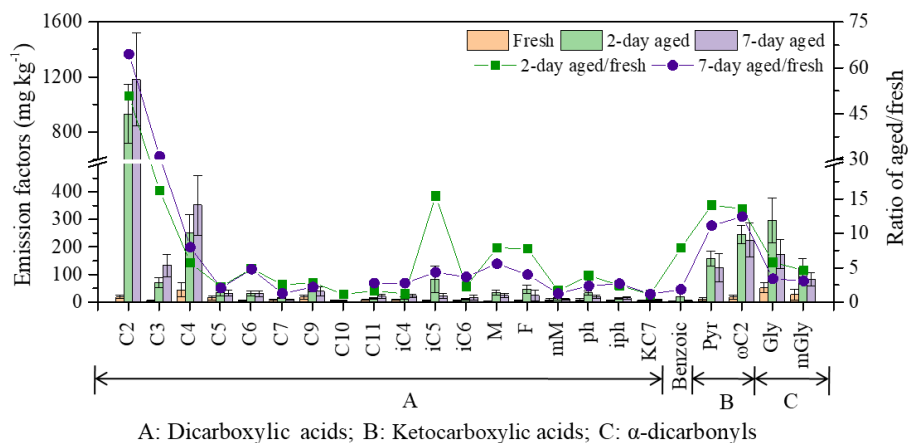
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Figure 1 Average emission factors of dicarboxylic acids and related compounds in fresh PM_{2.5} aerosols from biomass burning.



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Figure 2 Comparison between 2- and 7-day aged average PM_{2.5} emission factors of A: dicarboxylic acids, B: ketocarboxylic acids, and C: α-carbonyls for laboratory combustion of rice, maize, and wheat straw.



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Figure 3 Average emission factors of dicarboxylic acids and related compounds from biomass burning experiment for the fresh, 2- and 7-day aged $PM_{2.5}$ aerosols. The squares and dots denote the ratios of aged to fresh (A/F) sample for the dicarboxylic acids and related compounds after 2- and 7-day aging.

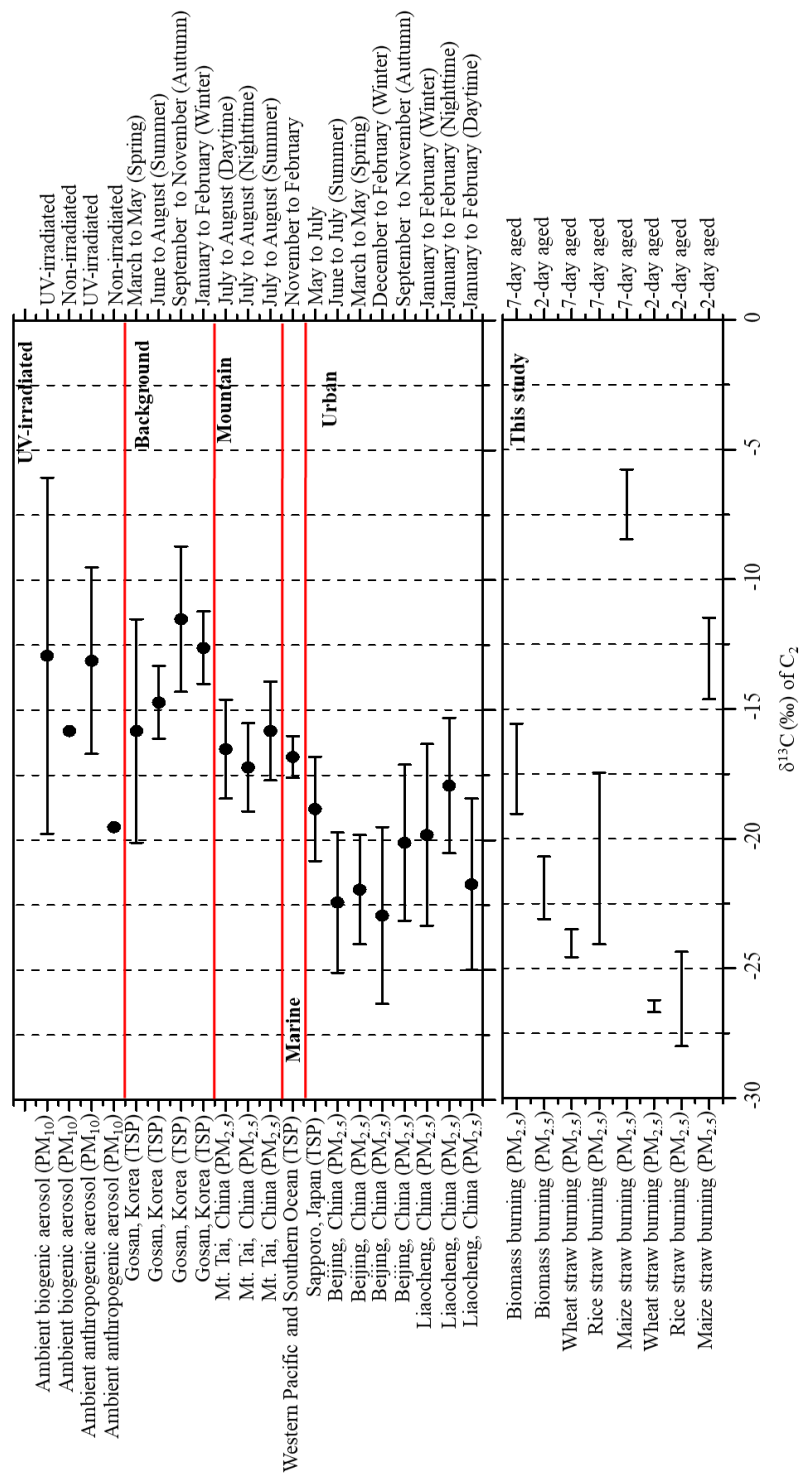
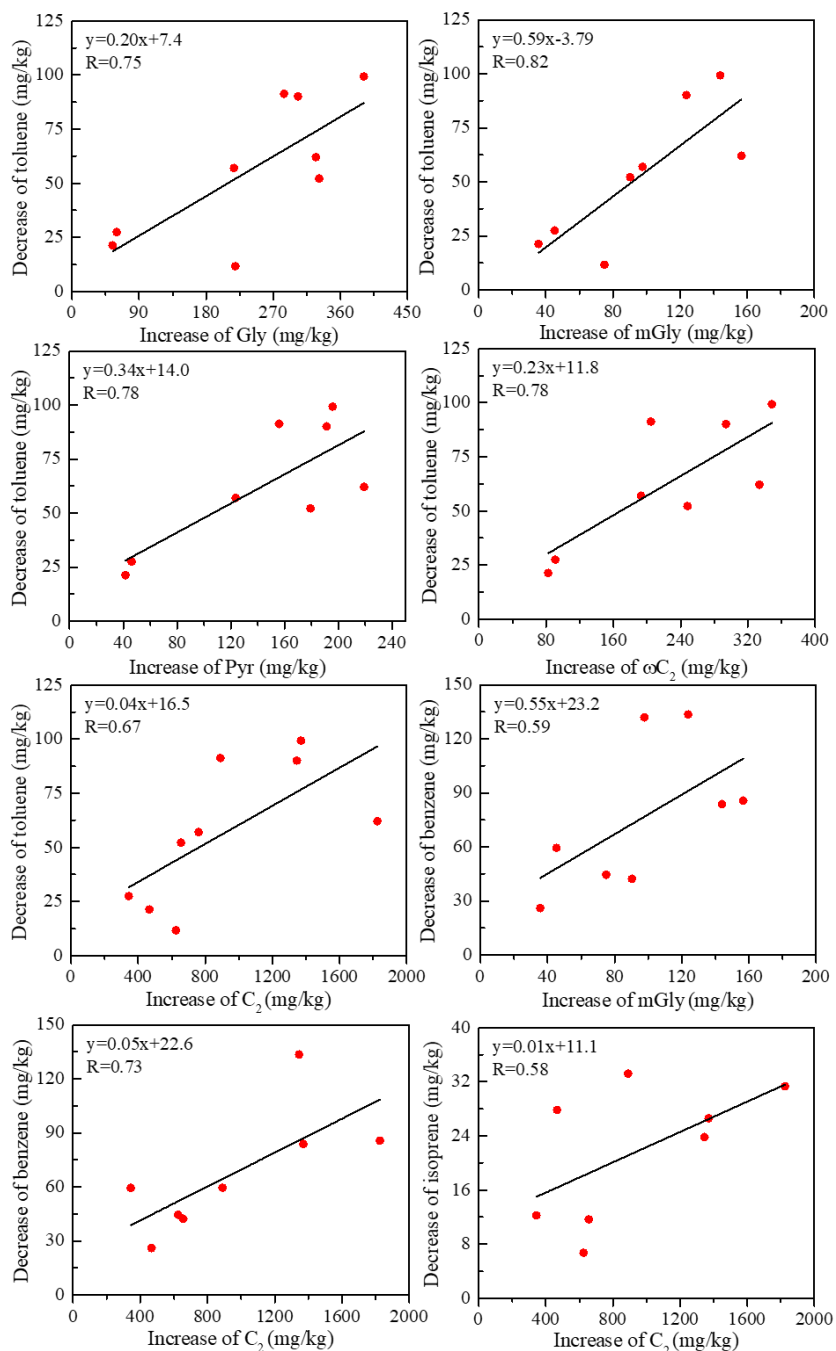


Figure 4 Stable carbon isotope ratios ($\delta^{13}\text{C}$, ‰) of C_2 in aerosols from selected environments.



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Figure 5 Regressions between the decreases of specific VOCs (toluene, benzene and isoprene) and increases of C_2 and its intermediates methylglyoxal (mGly), Glyoxal, (Gly), Pyruvic acid (Pyr) and Glyoxylic (ωC_2).



736 Table 1 Emission factors (EFs, mg kg^{-1}) of fresh and aged dicarboxylic acids and related compounds from rice, maize and wheat straw burning.

Compounds	Rice-2 day aged		Rice-7 day aged		Maize-2 day aged		Maize-7 day aged		Wheat-2 day aged		Wheat-7 day aged	
	Fresh	2-d aged	Fresh	7-d aged	Fresh	2-d aged	Fresh	7-d aged	Fresh	2-d aged	Fresh	7-d aged
I. Dicarboxylic acids												
Oxalic, C ₂	5.1±0.9	527±214	15±10	971±482	8.1±2.2	1522±268	24±8.8	1158±202	18±15	742±160	39±3.4	1412±328
Malonic, C ₃	2.4	46±26	1.4±0.7	70±42	3.6	74±8.4	2.7±0.6	56±9.0	12±13	89±18	3.6±0.8	273±70
Succinic, C ₄	<DL ^a	152±100	10±9.0	120±85	9.3±12	268±35	35±12	124±23	44±72	335±62	122±21	813±217
Glutaric, C ₅	<DL	21±12	5.4±0.8	16±11	8.9	44±13	10±3.1	20±2.8	28±23	41±12	27±3.7	61±16
Adipic, C ₆	<DL	18±8.6	3.5±0.9	6.4±2.2	<DL	42±6.4	4.1±1.1	5.8±0.7	12	33±11	5.5±2.2	79±28
Pimelic, C ₇	5.0	7.4±2.7	2.4±0.9	4.9±1.7	<DL	18±2.1	2.8±0.9	5.5±0.3	16±12	21±3.1	4.0±1.6	13±2.8
Azelaic, C ₉	11±1.8	19±8.8	11±3.9	18±8.4	10±3.2	91±21	17±8.4	39±22	23±20	41±12	35±6.5	61±19
Sebacic, C ₁₀	2.8±2.3	5.0±4.6	<DL	<DL	6.2±2.3	7.0±0.5	<DL	<DL	6.6±1.7	5.7±3.1	<DL	<DL
Undecanedioic, C ₁₁	<DL	7.6±1.5	5.4±1.1	15±1.2	6.7±1.2	18±1.5	7.5±0.8	8.9±2.1	11.2	14±2.8	7.9±2.4	40±19
Methylmalonic, iC ₄	3.6	4.8±1.8	3.7±1.9	10±6.8	3.8±0.2	19±22	9.1±2.9	11±2.1	<DL	5.7±1.4	20±4.2	46±12
Methylsuccinic, iC ₅	<DL	20±13	3.8±1.7	12±5.5	<DL	54±16	5.6±1.8	12±3.5	<DL	172±114	6.6±1.0	45±19
Methylglutaric, iC ₆	<DL	9.8±2.7	2.1±1.0	6.1±3.6	3.4	12±3.4	4.0±1.9	5.7±1.4	7.4±4.9	8.3±2.9	5.1±1.0	37±23
Maleic, M	<DL	16±8.9	1.6±1.2	14±3.5	2.8±1.1	56±14	4.0±1.0	14±3.6	9.6	29±3.8	3.4±0.6	43±11
Fumaric, F	<DL	22±15	3.1±0.6	<DL	<DL	73±13	4.0±1.1	<DL	13	43±15	3.6±0.3	24±19
Methylmaleic, mM	4.5±0.7	6.7±2.1	2.5±0.8	5.6±2.4	7.3±0.5	18±5.3	6.6±1.9	6.5±1.2	19±22	12±3.4	5.5±0.8	16±4.7
Phthalic, Ph	4.0±0.5	23±10	5.8±3.5	16±4.3	3.8±1.0	47±6.5	10±5.4	11±2.6	10±12	29±6.9	17±12	33±12
Isophthalic, iPh	4.1	8.7±3.8	2.9±1.3	11±3.6	3.9	17±3.9	5.2±2.7	7.3±1.3	9.7±2.7	16±2.6	7.6±3.1	27±11



Ketopimelic, kC ₇	<DL	4.4±0.6	3.6±1.6	6.0±1.8	<DL	6.5±1.9	3.9±0.7	6.3±0.6	13±7.6	9.3 ±2.5	4.5 ±0.4	8.9±3.1
Subtotal	43 ±6.2	919 ±437	83 ±41	1300 ±66 5	78 ±23	2386 ±440	155 ±55	1491 ±279	252 ±206	1645 ±437	318 ±64	3032 ±814
II. Ketocarboxylic acids												
Pyruvic acid, Pyr	4.6	74 ±48	6.5 ±3.1	103 ±50	8.1 ±3.5	210 ±17	15 ±4.3	79 ±29	21 ±25	189 ±15	13 ±4.0	190 ±75
Glyoxylic, ωC ₂	11 ±0.3	129 ±65	9.6 ±1.3	152 ±53	16 ±2.0	341 ±30	17 ±2.5	164 ±21	33 ±27	265 ±4.9	23 ±6.3	359 ±114
Subtotal	16 ±0.4	203 ±113	16 ±4.4	255 ±103	24 ±5.5	551 ±48	32 ±6.8	243 ±50	53 ±52	454 ±20	35 ±10	550 ±189
III. α-Dicarbonyls												
Glyoxal, Gly	32 ±1.1	132 ±104	22 ±6.7	79 ±31	39 ±8.6	380 ±54	44 ±12	60 ±1.7	102 ±71	380 ±87	67 ±11	382 ±125
Methylglyoxal, mGly	15 ±0.5	70 ±39	2.8 ±2.2	33 ±22	30 ±13	172 ±28	7.6 ±2.6	46 ±13	91 ±96	135 ±31	16 ±4.0	172 ±37
Subtotal	47 ±1.6	202 ±143	25 ±8.9	112 ±53	69 ±22	551 ±82	52 ±14	106 ±15	192 ±167	515 ±118	83 ±15	554 ±161
Benzoic acid, Ha	<DL	5.4 ±2.1	1.9 ±0.2	3.8 ±0.3	<DL	42 ±57	2.5 ±0.4	4.0 ±1.1	<DL	12 ±7.8	3.1 ±0.3	6.0 ±2.0
Total detected organics	105 ±8.2	1329 ±695	127 ±54	1671 ±821	171 ±50	3530 ±626	241 ±76	1844 ±344	498 ±425	2626 ±583	439 ±90	4141 ±1166

^a<DL denotes emissions below method detection limit (MDL).



737 Table 2 Comparison of mass ratios of C_3/C_4 , C_2/C_4 , $C_2/\text{total diacids}$, $\omega C_2/C_2$ and Gly/mGly in fresh and aged aerosols collected from biomass
 738 burning with the different locations around the World

	Sampling site	Particle size	C_3^1/C_4	C_2/C_4	$C_2/\text{total diacids}$	$\omega C_2/C_2$	Gly/mGly	References
Mountain	Mt. Hua	PM ₁₀	2.0	10.7	0.6	0.06	0.6	Meng et al. (2014)
	Mt. Tai	TSP	0.8	5.3	0.6	0.1	0.5	Kawamura et al. (2013)
	Mt. Fuji	TSP	0.6	1.9	0.5	0.05	1.2	Kunwar et al. (2019)
Urban	Tokyo, Japan	TSP	1.0	4.2	0.5	0.2	0.7	Kawamura et al. (2005)
	Liaocheng, China	PM _{2.5}	0.4	3.6	0.6	0.1	1.0	Meng et al. (2020)
	Fairbanks	PM _{2.5}	1.2	4.2	0.5	0.1	1.4	Deshmukh et al. (2018)
	DoiAngKhang, Thailand	PM _{2.5}	0.5	25.2	0.6	0.1	2.0	Boreddy et al. (2021)
	Beijing, China	PM _{2.5}	0.8	6.8	0.5	0.1	0.6	Zhao et al. (2018)
	Xi'an, China	PM ₁₀	0.8	10.4	0.6	0.1	0.7	Cheng et al. (2013)
	North Pacific	TSP	1.4	5.3	0.5	0.01	2.0	Kawamura et al. (1993)
	Eastern North Pacific	TSP	1.1	4.3	0.5	0.004	0.2	Hoque et al. (2020)
	Western North to equatorial Pacific	TSP	3.9	14.3	0.6	/	/	Kawamura et al. (1999)
	Okinawa	TSP	1.9	15.5	0.8	0.06	0.5	Kunwar et al. (2014)
Island	Motor Exhausts		0.35					Kawamura et al. (1987)
	Siberian (biomass burning, chamber)	PM _{2.5}	<0.03	<1	/	/	/	Kalogridis et al. (2018)
Laboratory simulation	Fresh (biomass burning, chamber)	PM _{2.5}	0.2	0.7	0.1	1.3	3.8	
	2-day aged (biomass burning, chamber)	PM _{2.5}	0.3	3.8	0.6	0.3	2.3	This study
	7-day aged (biomass burning, chamber)	PM _{2.5}	0.5	6.4	0.6	0.2	2.0	

739 ¹ See compound list in Table 1



740 Table 3 Stable carbon isotope ratios ($\delta^{13}\text{C}$, ‰) of C_2 in atmospheric aerosols from selected
 741 locations

Sampling site	Particle size	Min ¹	Max	Ave.	Std.	Sampling interval	References
Urban							
Liaocheng, China	PM _{2.5}	-31.8	-16.6	-21.7	3.3	Jan. to Feb. (Daytime)	Meng et al. (2020)
	PM _{2.5}	-26.5	-14.1	-17.9	2.6	Jan. to Feb.	
	PM _{2.5}	-31.8	-14.1	-19.8	3.5	Jan. to Feb. (Winter)	
Beijing, China	PM _{2.5}	-23.7	-15.0	-20.1	3.0	Sep. to Nov.	Zhao et al. (2018)
	PM _{2.5}	-27.2	-14.8	-22.9	3.4	Dec. to Feb. (Winter)	
	PM _{2.5}	-25.0	-16.6	-21.9	2.1	Mar. to May (Spring)	
Sapporo, Japan	PM _{2.5}	-27.0	-19.1	-22.4	2.7	Jun. to Jul. (Summer)	Aggarwal et al. (2008)
	TSP	-22.4	-14.0	-18.8	2.0	May to Jul.	
Marine							
Western Pacific and Southern Ocean	TSP	-27.1	-6.7	-16.8	0.8	Nov. to Feb.	Wang and Kawamura (2006)
Mountain							
Mt. Tai, China	PM _{2.5}	-19.4	-13.0	-15.8	1.9	Jul. to Aug. (Daytime)	Meng et al. (2018)
	PM _{2.5}	-20.1	-12.1	-17.2	1.7	Jul. to Aug.	
	PM _{2.5}	-20.1	-12.1	-16.5	1.9	Jul. to Aug. (Summer)	
Background							
Gosan, Korea	TSP	-15.0	-10.6	-12.6	1.4	Mar. to May (Spring)	Zhang et al. (2016)
	TSP	-14.1	-7.5	-11.5	2.8	Jun. to Aug.	
	TSP	-16.7	-13.2	-14.7	1.4	Sep. to Nov.	
	TSP	-20.5	-10.1	-15.8	4.3	Jan. to Feb. (Winter)	
UV-irradiated							
Ambient anthropogenic	PM ₁₀			-19.5		Non-irradiated	Pavuluri and Kawamura (2016)
Ambient biogenic aerosol	PM ₁₀			-13.1	3.6	UV-irradiated	
	PM ₁₀			-15.8		Non-irradiated	
	PM ₁₀			-12.9	6.9	UV-irradiated	
This study							
Maize straw	PM _{2.5}	-14.9	-12.1	-13.1	1.6	2-day aged	This study
Rice straw	PM _{2.5}	-28.2	-24.6	-26.2	1.8	2-day aged	
Wheat straw	PM _{2.5}	-26.7	-26.3	-26.5	0.2	2-day aged	
Maize straw	PM _{2.5}	-9.1	-6.0	-7.1	1.4	7-day aged	
Rice straw	PM _{2.5}	-23.7	-17.2	-20.8	3.3	7-day aged	
Wheat straw	PM _{2.5}	-24.6	-23.5	-24.0	0.5	7-day aged	
Biomass burning	PM _{2.5}	-23.3	-21.0	-21.9	1.2	2-day aged	
	PM _{2.5}	-19.1	-15.5	-17.3	1.7	7-day aged	

742 ¹Min, Max, Ave, and Std stand for minimum, maximum, arithmetic mean, and standard deviation.

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