https://doi.org/10.5194/acp-2021-1031
Preprint. Discussion started: 3 January 2022

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Estimation of mechanistic parameters in the gas-phase reactions of ozone with alkenes for use in automated mechanism construction

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Abstract. Reaction with ozone is an important atmospheric removal process for alkenes. The ozonolysis reaction produces carbonyls, and carbonyl oxides (Criegee intermediates, CI), which can rapidly decompose to yield a range of closed shell and radical products, including OH radicals. Consequently, it is essential to accurately represent the complex chemistry of Criegee intermediates in atmospheric models in order to fully understand the impact of alkene ozonolysis on atmospheric composition. A mechanism construction protocol is presented which is suitable for use in automatic mechanism generation. The protocol defines the critical parameters for describing the chemistry following the initial reaction, namely: the primary carbonyl / CI yields from the primary ozonide fragmentation; the amount of stabilisation of the excited CI (CI*); the unimolecular decomposition pathways, rates and products of the CI; the bimolecular rates and products of atmospherically important reactions of the stabilised CI (SCI). This analysis implicitly predicts the yield of OH from the alkene-ozone reaction. A comprehensive database of experimental OH, SCI and carbonyl yields has been collated using reported values in the literature and used to assess the reliability of the protocol. The protocol provides estimates OH, SCI and carbonyl yields with a root mean square error of 0.13 and 0.12 and 0.14, respectively. Areas where new experimental and theoretical data would improve the protocol and its assessment are identified and discussed.

1 Introduction

Reaction with ozone is an important atmospheric removal process for alkenes, competing with reaction with OH and NO_3 radicals. The ozonolysis reaction produces carbonyls and carbonyl oxides, commonly denoted Criegee intermediates (CI), which can rapidly rearrange or decompose to yield a range of closed shell and radical products (Johnson and Marston, 2008). Alkene ozonolysis has been shown to be an important non-photolytic source of OH radicals, with field measurements (Paulson and Orlando, 1996; Elshorbany et al., 2009) and modelling studies (e.g. Bey et al., 1997) suggesting it to be the dominant tropospheric OH source at night, in the winter (Heard et al., 2004; Emmerson et al., 2005), and in indoor environments (Carslaw, 2007). Unimolecular CI reactions (Ehn et al. 2014; Iyer et al., 2020) and bimolecular reactions of Stabilised Criegee Intermediates (SCI), with e.g. organic acids and peroxy radicals (e.g. Kristensen et al., 2014; Sakamoto et al., 2013; Zhao et al., 2015; Mackenzie-Rae

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et al., 2016), have been implicated in secondary organic aerosol formation. SCI can also act as an oxidant, this has been studied particularly for the reaction with SO_2 (e.g. Welz et al., 2012, Mauldin II et al., 2012; Caravan et al., 2020) which can lead to sulfate aerosol production and hence impact radiative forcing and climate (Pierce et al. 2013; Percival et al. 2013). However, both the SO_2 and organic acid reactions, while important locally, are likely only of minor importance to global budgets of sulfate aerosol and organic acids (Welz et al., 2014; Newland et al., 2018). The dominant removal processes for most SCI in the troposphere are reaction with water vapour or unimolecular reaction (Vereecken et al., 2017). However, for certain structures, these reactions are sufficiently slow for bimolecular reactions with other trace gases to become important.

Understanding of the complex nature of the chemistry of Criegee intermediates has progressed rapidly in recent years, particularly with regard to the mechanisms and rates of decomposition of CI*/SCI, and the bimolecular reaction rates of SCI. This has been facilitated by: direct experimental measurements of CI kinetics, generating CI through photolysis of di-iodo precursors (e.g. Welz et al., 2012; Chhantyal-Pun et al. 2020, and references therein); indirect measurements of CI kinetics during alkene ozonolysis experiments (e.g. Berndt et al. 2014a, 2014b, 2015; Newland et al., 2015), and extensive theoretical studies (e.g. Vereecken et al., 2017, and references therein).

The reaction of ozone with alkenes proceeds by a concerted addition to the C=C double bond, forming a short lived Primary Ozonide (POZ). Typically, the POZ fragments into two pairs of carbonyls and Criegee intermediates (CI) (Figure 1); for small to medium sized alkenes ($C_{\leq 10}$) this POZ is vibrationally excited, decomposing promptly, while for large alkenes (e.g. $C_{\geq 15}$, sesquiterpenes), theoretical studies suggest that the POZ can be collisionally stabilized prior to decomposition (Chuong et al., 2004; Nguyen et al., 2009a). Theoretical work also indicates that a small fraction of the POZ can rearrange to a carbonyl-hydroperoxide when vinylic H-atoms are present (Pfeifle et al., 2018); this mechanism is discussed separately below. It has also been suggested that different pathways may play a more significant role for a small number of systems e.g. cyclohexadienes (Pinelo et al., 2013).

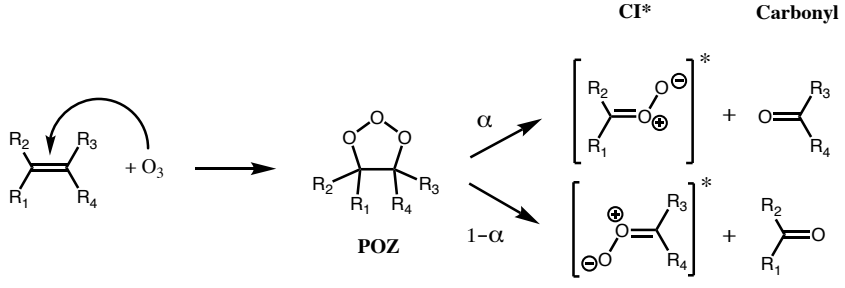

Figure 1. First step of alkene ozonolysis. A primary ozonide (POZ) is formed which rapidly decomposes to yield a pair of chemically activated Criegee intermediates and carbonyl products.

Criegee intermediates are generally zwitterionic in nature, as shown in Figure 1, but the moiety is denoted simply as a >COO structure below (not to be confused with alkylperoxy radicals, ROO•). CI can be formed with the terminal oxygen of the carbonyl oxide moiety in either an *E* (*anti*) or *Z* (*syn*) configuration relative to a given substituent group. The two conformers are not in rapid equilibrium, with quantum calculations showing that the energy barrier to rotational interconversion for CH_3CHOO is about 29 kcal mol^{-1} (Johnson and Marston, 2008, and references therein); this was confirmed by Vereecken et al. (2017) who calculated barriers exceeding 30 kcal

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mol^{-1} for saturated CI conformers. Isomeric CI conformers have been shown to have different unimolecular reaction rates (e.g. Vereecken et al., 2017), follow different unimolecular pathways (Herron and Huie, 1977; Niki et al., 1987; Martinez and Herron, 1987; Kidwell et al., 2016), and have very different reaction rates with water (e.g. Taatjes et al., 2013; Sheps et al., 2014; Huang et al., 2015). Therefore, these conformers must necessarily be considered as separate species, irreversibly partitioned according to their nascent ratios, to accurately represent the effects of alkene ozonolysis on atmospheric composition.

Structure Activity Relationships (SARs) are commonly used to design the protocols needed to develop automated mechanism generation tools (Vereecken et al., 2018). This paper forms part of a series of articles devoted to the development of SARs for mechanism generation (Jenkin et al., 2018a, 2018b, 2019, 2020). Updated SAR methods for the initial reactions of O_3 with unsaturated organic compounds are presented in a companion paper (Jenkin et al., 2020), while in this work, a protocol is presented for the subsequent chemistry occurring following the initial O_3 addition. This details the yields of carbonyls and Criegee intermediates from the alkene + O_3 reaction, and the subsequent fate of the Criegee intermediates, and accounts for the minor pathway by carbonyl-hydroperoxide radical formation. The protocol is based on available experimental data and theoretical data combined. For areas in which limited data exists, the protocol is set up to be easily updated as new experimental or theoretical results become available. These areas are highlighted in the paper and are recommended areas of further research. The protocol is currently being used to guide development of alkene ozonolysis chemistry in the Generator for Explicit Chemistry and Kinetics of Organics in the Atmosphere, GECKO-A (Aumont et al., 2005), and the Master Chemical Mechanism, MCM (Jenkin et al., 1997, Saunders et al., 2003). It is noted that the protocol does not currently consider aromatic species that have been shown to react with ozone, such as catechols, for which the mechanism may be different to the Criegee mechanism described here.

The methodology for applying the protocol described in this work is summarised in Figure 2. The initial addition of ozone to the double bond follows the protocol described in the companion paper (Jenkin et al., 2020). The POZ formed from this protocol then decomposes according to the rules determined in Section 2, to give the primary carbonyl and the CI yields (α), and possibly a minor fraction of carbonyl-hydroperoxide. A fraction (γ) of the CI is then stabilised (Section 3). Both the stabilised and chemically activated CI then follow the relevant set of rules from Vereecken et al. (2017) to ascribe them unimolecular decomposition mechanisms (and hence products) and rates (Section 4), and bimolecular reaction rates with water vapour (Section 5). Finally, bimolecular reaction rates with other atmospherically important species are assigned as a function of the SCI structure (Section 5).

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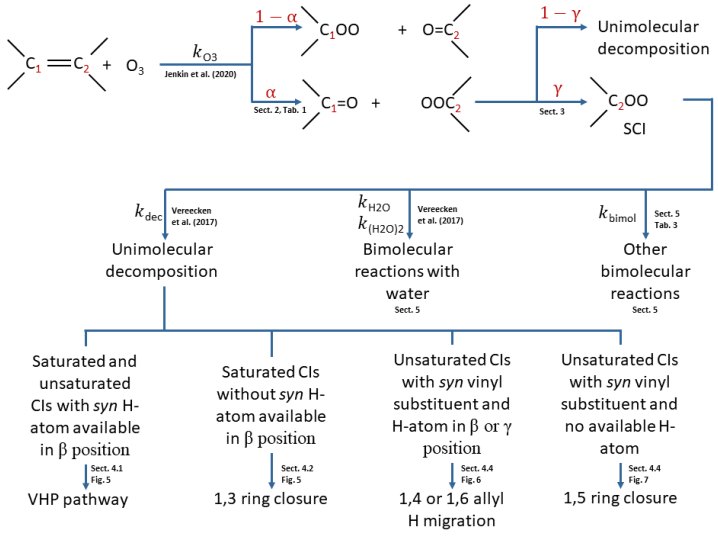

Figure 2. Flow diagram for implementation of the protocol. α = branching ratios in POZ decomposition, γ = fraction of CI stabilised. >COO denotes the Criegee intermediate formed.

2 Primary Ozonide Fragmentation

2.1 Alkenes with aliphatic substituents

The fragmentation of the POZ has previously been parameterized based on the branching pattern around the double bond of the parent alkene (Jenkin et al., 1997; Rickard et al., 1999). Generally, it can be said that there is a preference for formation of the more substituted CI, e.g. the ozonolysis of 2-methyl propene yields ~0.7 $(CH_3)_2COO$ and ~0.3 CH_2OO (Rickard et al., 1999). However, consideration of just the immediate substituents of the double bond breaks down for more complex structures and for oxygenated substituents. There is clearly also an effect of substitution around the carbon adjacent to the double bond. For instance, when there is a *t*-butyl group attached to the double bond, a strong preference is seen for formation of the opposing CI, as observed for yields of trimethylacetaldehyde from 3,3-dimethyl-1-butene (0.67) and trans-2,2-dimethyl-3-hexene (0.84) (Grosjean and Grosjean, 1997). Using data from Grosjean and Grosjean (1997), various homologous series of alkenes can be considered, such as the series with increasing methyl substitution on the α-carbon. For the 1-alkene series (Figure 3), yields of the larger carbonyl of 0.35, 0.51, and 0.67 are determined for 1-butene, 3-methyl-1-butene, 3,3-dimethyl-1-butene respectively.

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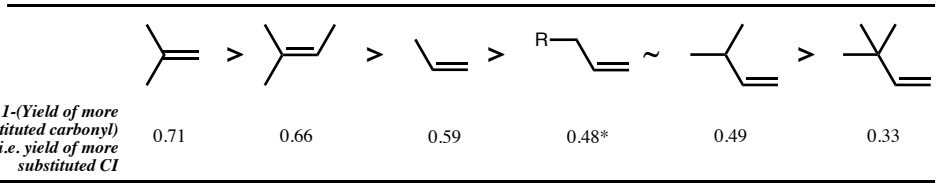

Figure 3. Decreasing order of preference, from left to right, of more substituted CI formation from ozonolysis of example alkyl substituted alkenes. Values are 1 – (mean of measured yields of carbonyls) (Spreadsheet S1). * Mean measured yield of propanal (i.e. 1 – more substituted CI) formation from 1-butene is 0.64, but all other 1-alkenes are 0.45 – 0.50.

Such relationships have been observed and discussed previously by Grosjean and Grosjean (1997) in terms of: (i) steric hindrance potentially weakening the O-O bond in the POZ on the side of the bulky substituent, and (ii) the inductive effect of adjacent alkyl groups strengthening the O-O bonds in the POZ (Grosjean and Grosjean, 1997). Earlier work considering POZ fragmentation in the aqueous phase (Fliszár and Renard, 1970; Fliszár and Granger, 1970; Fliszár et al., 1971) described similar relationships to those observed in the gas phase (i.e. that shown in Figure 3), except in the case of terminal alkenes, for which the reverse trend was observed. In these studies, the observed trends are discussed in terms of stabilisation of the positive charge on the carbon in the POZ through: (i) 'hyperconjugative stabilisation' in the transition state, and (ii) the inductive effect during the POZ cleavage, with steric effects discounted as being unimportant in determining the POZ fragmentation pattern.

These works can be summarised by saying that it appears that a substituent with a partial negative charge, such as a methyl group, can stabilise the positive charge on the adjacent carbon in the POZ. This leads to a greater yield of the CI containing the more stabilising substituents. On the other hand, a substituent that leads to a partial positive charge on the α-carbon leads to a lower yield of that CI.

2.2 Oxygenated alkenes

Following the rationale discussed above, oxygenated substituents on the α-carbon might be expected to strongly influence the primary ozonide fragmentation pattern. The number of product yield studies on the ozonolysis of most classes of unsaturated oxygenates is rather limited. As discussed below, some oxygenated substituents appear to destabilise the positive charge on the carbon in the POZ (i.e. disadvantaging POZ fragmentation towards the production of the CI on the oxygenated side), particularly carbonyl groups, while others such as acrylate esters and carboxylic acids may stabilise the CI, favouring its formation. However, data is very limited and often ambiguous for most of the oxygenated classes. This is partly due to challenges in measuring products containing multiple oxygenated groups, partly that some of these classes are likely to be present in negligible amounts in the atmosphere and, for some, that ozonolysis will be a negligible atmospheric sink compared to e.g. reaction with OH or photolysis. The available data is provided in the Supplement, Spreadsheet S1.

2.2.1 Enones / enals

Primary carbonyl yields have been reported for two α-β terminally unsaturated ketones (H_2C=CHC(O)R). For methyl vinyl ketone (MVK), Grosjean et al. (1993) and Ren et al. (2017) determined a strong preference for formation of the ketone substituted product methyl glyoxal (0.87 and 0.71±0.06 (with no OH scavenger) respectively). For ethyl vinyl ketone, primary carbonyl yields for formaldehyde (HCHO) and 2-oxobutanal have been determined to be 0.55 and 0.44 (Grosjean et al., 1996), and 0.37 and 0.49 (Kalalian et al., 2020) respectively,

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displaying no clear preference for either fragmentation pathway. For α-β unsaturated ketones ($R_1CH=CHC(O)R_2$), Grosjean and Grosjean (1999) measured the primary carbonyl yields from ozonolysis of 4-hexen-3-one to be: acetaldehyde (CH_3CHO), 0.51±0.01, and 2-oxobutanal ($CH_3CH_2C(O)CHO$), 0.56±0.02, while Wang et al. (2015) measured the primary carbonyl yields from ozonolysis of 3-methyl-3-buten-2-one ($CH_2=CR_1C(O)R_2$) to be: diacetyl ($CH_3COCOCH_3$) 0.30±0.03, and HCHO 0.44±0.05, and from 3-methyl-3-penten-2-one ($R_1CH=CR_2C(O)R_3$), diacetyl 0.39±0.04 and CH_3CHO 0.61±0.07. For ozonolysis of 2-enals, yields have been reported for crotonaldehyde (2-butenal) (CH_3CHO 0.42, glyoxal 0.47) (Grosjean and Grosjean, 1997) and trans-2-hexenal (butanal 0.53, glyoxal 0.56) (Grosjean et al., 1996). For the atmospherically important isoprene oxidation product methacrolein (2-methyl-prop-2-enal, MACR), Grosjean et al. (1993) measured yields of methyl glyoxal of 0.58±0.06 and HCHO of 0.12±0.03. For 2-ethyl acrolein, the ethyl glyoxal yield has been measured to be 0.14 by Grosjean et al. (1994), and 0.49±0.03 by O'Dwyer et al. (2010).

To summarise, the presence of a carbonyl group on a double bond appears to favour formation of the opposing CI. However, this effect is neutralised to an extent by the presence of an alkyl substituent on the same side of the double bond, e.g. in the case of 3-methyl-3-buten-2-one, methacrolein, and 2-ethyl acrolein. There remain large uncertainties on the trends in these classes (it is noted that in some cases the sum of the measured primary carbonyl yields is well below one). They clearly warrant further study, owing to the significance of these classes of compounds in atmospheric chemistry (e.g. MACR and MVK from isoprene oxidation (Wennberg et al., 2018)).

2.2.2 Enols / enol ethers

There has been very little experimental work on the atmospheric chemistry of enols due to difficulties in synthesis, storage, and measurement of these compounds. However, two recent theoretical studies examined the ozonolysis of enols. The first (Lei et al., 2020) on the simplest enol, vinyl alcohol (ethenol), suggested that formation of CH_2OO + HCOOH is strongly favoured (~78 %). The second (Wang et al., 2020), on the complex ketene-enol species 4-hydroxy-1,3-butadien-1-one, also suggests that formation of HCOOH and the corresponding CI is strongly favoured (84 %). By contrast, there have been several experimental studies on the product yields of the reactions of enol ethers (R_1-O-$CR_2=CR_3R_4$) with ozone. Most studies (Thiault et al., 2002; Klotz et al., 2004; Barnes et al., 2005; Zhou et al., 2006; Zhou, 2007; Al Mulla et al., 2010) have determined that the dominant POZ decomposition channel yields the formate (R_1-O-$C(O)R_2$) and the corresponding CI (R_3R_4COO), with measured yields of the formate ranging from 55 % - 89 % (see Spreadsheet S1). An exception to these studies is the work of Grosjean and Grosjean (1997; 1999), which tended to find similar yields of the two primary carbonyl products.

2.2.3 Esters / acids

The primary carbonyl products of ozonolysis of the acrylate esters: methyl acrylate, ethyl acrylate, and methyl methacrylate were studied by Bernard et al. (2010). Grosjean and Grosjean (1997) also studied methyl acrylate. There is no clear evidence for a preferential route for POZ fragmentation in these studies (see Spreadsheet S1). The primary carbonyl yields of vinyl acetate were measured to be 0.30±0.04 and 0.70±0.08 for HCHO and $CH_3C(O)OC(O)H$ respectively by Al Mulla et al. (2010), and 0.20±0.06 and 0.97±0.08 by Picquet-Varrault et al. (2010). These studies suggest a preference for formation of CH_2OO and the acetate. There are only two compounds reported for ozonolysis of α-β unsaturated acids: acrylic and methacrylic acid. For acrylic acid

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ozonolysis in the presence of formic acid as an SCI scavenger, Al Mulla et al. (2010) measured yields of 1.48 ± 0.2 and < 0.1 for HCHO and HC(O)C(O)OH respectively, while in the absence of formic acid that group measured a yield of HCHO of 0.95 (Viero, 2008). For methacrylic acid, Al Mulla et al. (2010) measured yields of 0.77 ±0.07 and 0.74 ±0.10 for HCHO and $CH_3C(O)C(O)OH$ respectively. It is difficult to rationalise these results: the acrylic acid experiments suggest a preference for formation of the CI with the acid moiety, but the methacrylic acid experiments suggest that the presence of a methyl group on the same side of the double bond as the acid reduces this preference, in contrast to most other systems where methyl substitution increases the yield of that CI. This is a recommended area for further study.

2.2.4 Alcohols

There are significant differences between measured primary carbonyl yields of α,β-unsaturated acyclic alcohols between studies by Grosjean and Grosjean (1997), Le Person et al. (2009), O'Dwyer et al. (2010) and Kalalian et al. (2020). This is likely owing to different experimental setups between groups, and the difficulty of quantitatively measuring compounds with multiple oxygenated substituents. Overall the data in Spreadsheet S1 suggest that the presence of a hydroxyl group in place of a hydrogen on the α-carbon may lead to a slight preference for CI production on the other side of the double bond to the hydroxyl group.

2.3 Conjugated alkenes

The ozonolysis of conjugated alkenes leads to POZ with a vinyl substituent on the α-carbon. For non-symmetrical conjugated alkenes, the measurement of primary carbonyl yields can only be used to determine the POZ fragmentation if the relative contribution of reaction at each double bond to the overall reaction rate is known. For ozonolysis of the atmospherically important biogenic alkene isoprene, the primary carbonyl yields recommended by IUPAC (Atkinson et al., 2006; iupac-aeris.ipsl.fr, last accessed 6 December 2021) are: methyl vinyl ketone (MVK), 0.17; methacrolein (MACR), 0.41; and HCHO 0.42. Based on reported product yields, the contribution of reaction to each double bond to the overall rate has been estimated to be 0.6 for the terminal double bond and 0.4 for the substituted double bond (Nguyen et al., 2016; Jenkin et al., 2020). However, to the authors' knowledge there has been no direct measurement of the reaction at each double bond, and this represents a significant uncertainty in one of the most important atmospheric ozonolysis systems. Based on this assumption, and the recommended yields of MVK and MACR, the formation of MACR+CH_2OO is favoured over methacrolein oxide (MACRO) + HCHO, and there is a slight preference for formation of methyl vinyl ketone oxide (MVKO) + HCHO compared to MVK+CH_2OO. The MACR channel would suggest that the vinyl substituent is destabilising compared to a hydrogen. The methyl group present in MVKO stabilises the CI, leading to a preference for this channel. For symmetrical alkenes, the primary carbonyl yields should be directly representative of the POZ fragmentation. For 1,3-butadiene, an acrolein yield of 51 – 52 % has been measured (Niki et al., 1983; Kramp and Paulson, 2000), suggesting little preference for either POZ decomposition pathway, in contrast to the analogous MACR channel in isoprene. Lewin et al. (2001) reported complementary carbonyl yields from ozonolysis at the internal bond of (*E*) and (*Z*)-penta-1,3-diene and 5-methylhexa-1,3-diene, which all showed a preference for formation of the unsaturated carbonyl (i.e. the saturated CI), suggesting that the vinyl group is less stabilising than a methyl or isopropyl group, in agreement with the observations from isoprene.

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2.4 Endocyclic alkenes

Decomposition of the POZ formed in the ozonolysis of endocyclic alkenes, leads to a molecule containing both the carbonyl oxide and carbonyl moieties. Thus for non-substituted cycloalkenes (e.g. cyclopentene) there is only one possible CI that can be formed (which can be in either the *E* or *Z* configuration). This means that there are no stable primary carbonyls formed and so the relative contributions of the POZ decomposition pathways cannot be inferred from measured primary carbonyl yields as they can for aliphatic compounds. Even a simple endocyclic system such as cyclohexene gives a complex range of gas-phase (Aschmann et al., 2003) and aerosol phase (Kalberer et al., 2000; Ziemann, 2002) products, which can be attributed to decomposition of both the *E* and *Z* forms of hexanal carbonyl oxide. However, the measured OH yields can be used to give an estimate of the amount of CI decomposing via the vinyl-hydroperoxide (VHP) pathway (see section 4.1). It is noted here that it has been proposed that alternative unimolecular pathways (that do not yield OH) are available to the CI formed from endocyclic alkenes (Chuong et al., 2004; Nguyen et al., 2009a; Long et al., 2019), but that these are only dominant for stabilised CI. Since the stabilised CI yield is low for endocyclic alkenes, at least up to C_{10} (monoterpenes) (Chuong et al., 2004), measured OH yields should give a fair representation of the relative amount of CI decomposing via the VHP pathway). For non-substituted cycloalkenes, OH yields have been compiled by Calvert et al. (2000) covering cyclo-pentene, -hexene, -heptene, -octene and –decene from a number of research groups (Spreadsheet S2). There is some spread in the data but no clear evidence for favouring formation of *E* or *Z* CI, i.e. OH yields tend to centre around ~0.5. For substituted cycloalkenes, Atkinson et al. (1995) measured an OH yield of 0.90 for 1-methyl-1-cyclohexene, suggesting either that the dominant CI formed is the di-substituted CI (which will then undergo decomposition via the VHP pathway to yield OH), or that the mono-substituted CI is formed predominantly as the *syn* conformer. The former must be considered more likely based on the observed trends in aliphatic alkenes for favouring formation of the more substituted CI, and that there appears to be little preference for formation of *syn*/*anti*-CI from non-substituted endocyclic alkenes. 1-methyl-1-cyclohexene is particularly important from the point of view of atmospheric chemistry as an analogue for the abundant biogenic monoterpenes α-pinene and limonene. OH yields from α-pinene and limonene ozonolysis have been measured by a number of groups and are also generally high (0.64-0.91) (Cox et al. 2020), similar to 1-methyl-1-cyclohexene.

2.5 Exocyclic alkenes

For exocyclic alkenes in which the double bond is attached to the ring, e.g. β-pinene, the data suggests that POZ fragmentation strongly favours formation of the ring containing CI. For the monoterpene β-pinene, the mean measured yield of the C_9 carbonyl, nopinone, is 0.21 (Grosjean et al., 1993; Hakola et al., 1994; Rickard et al., 1999; Yu et al., 1999; Winterhalter et al., 2000; Hasson et al., 2001b; Lee et al., 2006; Ma and Marston, 2008), with theoretical work (Nguyen et al., 2009b) suggesting that some of this may be secondary and that the primary yield could be even lower. The other two compounds with a terminal double bond attached to the ring for which there are data are camphene (0.36 yield of C_9 carbonyl (Hakola et al., 1994; Hasson et al., 2001b)) and methylene cyclohexane (0.19 yield of C_6 carbonyl (Hasson et al., 2001b)). For the monoterpene sabinene, which has a terminal double bond attached to a C_5 and C_7 ring, the mean measured yield of the C_9 carbonyl, sabinaketone, is 0.44. This is considerably higher than from those compounds where the double bond is on a C_6 ring, probably demonstrating the impact of ring strain on the POZ fragmentation. The monoterpene terpinolene has a disubstituted double bond attached to a six membered ring. Reported yields of the ring containing carbonyl

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(0.40±0.06 (Hakola et al., 1994); 0.40±0.08 (Reissell et al., 1999); 0.45 (Ma and Marston, 2009)) suggest yields of the ring containing CI of 0.60 and 0.55 respectively; this assumes 100% reaction at the exocyclic double bond, with Hakola et al. (1994) measuring a yield of ≤ 2 % of the dicarbonyl expected as a product (though by no means the only one) from reaction at the endocyclic double bond. These CI yields are lower than for the exocyclic alkenes with terminal double bonds, but are still considerably higher than most compounds which have a dimethyl substitution on the double bond, for which acetone yields tend to be ~ 0.3. The presence of a ring clearly has a different effect to simply having two alkyl groups attached to the double bond, leading to much higher yields of the ring containing CI.

For alkenes with a vinyl group attached to a ring, there are data only for vinyl cyclohexane, and its aromatic analogue styrene. These have similar yields for the ring containing carbonyl of 0.62 and 0.64 respectively (Grosjean and Grosjean, 1997). There is no data for alkenes with double bonds more distant from a ring.

2.6 Yields of CI stereo-conformers

The formation of *syn*/*anti* conformers of CI in alkene ozonolysis was first discussed by Bauld et al. (1968), to explain the observed *cis*/*trans* yields of the secondary ozonide formed from ozonolysis in the aqueous phase. Their observations suggested that ozonolysis of *cis*-alkenes will predominantly form *anti*-CI, while for *trans*-alkenes the predominance was less clear and appeared to be dependent on alkene structure. In the gas phase, but-2-ene is the most studied system. Various experimental work has observed higher yields of OH from *trans*-but-2-ene compared to *cis*-but-2-ene (see Spreadsheet S3). Assuming that only (*Z*)-CI decomposition yields OH (see Section 4.1), this implies a higher nascent (*Z*):(*E*)-CH_3CHOO ratio from decomposition of the POZ formed in *trans*-but-2-ene ozonolysis. Orzechowska and Paulson (2002) measured a ratio of 1.62 for the OH yields from *trans*/*cis*-but-2-ene. They observed a similar relationship for *trans*/*cis*-pent-2-ene and *trans*/*cis*-hex-3-ene, with OH yield ratios determined as 1.80 and 1.51 respectively. Assuming that OH comes exclusively from (*Z*)-CH_3CHOO implies a (*Z*):(*E*)-RCHOO ratio of 0.60:0.40 – 0.64:0.36 for these three systems. Kroll et al. (2002) determined a similar OH yield ratio for *trans*/*cis*-hex-3-ene, but using isotopically labelled hydrogen atoms demonstrated that a fraction of this OH was not coming from the (*Z*)-CI. From their OH yield measurements, they inferred a (*Z*):(*E*)-C_2H_5CHOO ratio of 50:50 for *trans*-3-hexene, and 20:80 for *cis*-3-hexene. Campos-Piñeda (2017) reported direct measurements of the vinoxy radical formed in decomposition of *syn*-CH_3CHOO, from *cis*- and *trans*-but-2-ene ozonolysis, inferring a yield of *syn*-CH_3CHOO of ~0.5 from *trans*-but-2-ene and ~0.3 from *cis*-but-2-ene, broadly in line with estimations from measured OH yields.

Early theoretical calculations considering the gas phase (Cremer, 1981a,b) suggested that (*Z*)-RCHOO is likely to be formed in greater yield for small alkenes, but that (*E*)-RCHOO becomes more favoured in the ozonolysis of large alkenes. Calculations by Rathman et al. (1999) suggested that (*Z*)-CH_3CHOO should be favoured in *trans*-but-2-ene ozonolysis, but that conversely (*E*)-CH_3CHOO would be favoured in *cis*-but-2-ene ozonolysis. Recent theoretical work (Watson, 2021) looking at POZ fragmentation for a series of disubstituted 2-alkenes ($CH_3CH{=}CHR$), suggests formation of (*E*)-RCHOO will be strongly favoured in the ozonolysis of *cis*-alkenes (87 % for *cis*-but-2-ene, increasing to 93 % for *cis*-2-hexene), while there is a roughly equal split from ozonolysis of *trans*-alkenes. This is in qualitative agreement with the experimental work discussed above but suggests a stronger preference than observed in the direct measurements of the vinoxy radical by Campos-Pineda (2017). For tri-substituted alkenes, Watson (2021) finds a strong preference for formation of (*E*)-RCHOO on the

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mono-substituted side of the double bond. For the C_4-CI formed in isoprene ozonolysis, theoretical calculations have determined a relative split of 50:50 for the two conformers of MVKO (Kuwata et al., 2005), and 20:80 for *syn*-MACRO:*anti*-MACRO (Kuwata and Valin, 2008). This is in qualitative agreement with the observed low OH yield (0.08-0.13) from 1,3-butadiene (Atkinson and Aschmann, 1993; Kramp and Paulson, 2000) if it is assumed that decomposition of *syn*-MACRO will have a high OH yield whereas *anti*-MACRO will not yield OH. To the authors' knowledge there is no other information on the relative yields of *syn*/*anti*-R_1R_2COO (where $R_1 \neq R_2$).

2.7 POZ ring opening to a biradical

In addition to direct CI + carbonyl formation from the POZ, the possibility exists of ring opening of the POZ to a singlet alkoxy-peroxy biradical (>C(O•)-C(OO•)<) (O'Neal and Blumstein, 1973; Olzmann et al., 1997; Anglada et al., 1999; Fenske et al., 2000; Nguyen et al., 2015; Pfeifle et al., 2018) (Figure 4). In addition to re-closing the ring to the POZ or decomposing to CI + carbonyl, this alkoxy-peroxy biradical can migrate an H-atom from the alkoxy-bearing carbon, forming a carbonyl hydroperoxide (–C(=O)-C(OOH)<); this pathway is only possible if the alkene has a vinylic H-atom. The carbonyl hydroperoxide formed has a high energy content, over 100 kcal mol^{-1}, and can eliminate an OH radical, forming a α-carbonyl-alkoxy radical that rapidly decomposes to an acyl radical and a carbonyl. This pathway has been invoked in theoretical studies as the main source of OH in the ozonolysis of ethene (in which OH cannot be formed via a VHP) (Nguyen et al., 2015; Pfeifle et al., 2018), and is expected to contribute somewhat to OH formation in other alkenes, though this has not yet been investigated experimentally or theoretically. Alternative proposed sources of OH in ethene ozonolysis all involve the CH_2OO Criegee intermediate. However, theory has shown that direct OH formation from CH_2OO by a 1,3-H-migration involves too high a barrier (e.g. Nguyen et al., 2015; Pfeifle et al., 2018), while OH elimination from the hot formic acid formed in the 1,3-ring closure (see Section 4.2) is not competitive against formation of H_2O + CO and H_2 + CO_2, as also borne out by HCOOH pyrolysis experiments (Chang et al., 2007; Vichietti et al., 2017). The carbonyl hydroperoxide route thus resolves an apparent discrepancy between ethene ozonolysis experiments, which observe significant OH yields, and experiments (Stone et al., 2018) and theoretical work (Nguyen et al., 2015; Pfeifle et al. 2018), which indicate very little OH formation from CH_2OO. Pfeifle et al. (2018) calculated a yield of 12.3 % for the carbonyl-hydroperoxide in ethene ozonolysis, while Nguyen et al. (2015) obtained 13 %, both at the low end of the current IUPAC recommended OH yield (0.17±0.05) for the reaction (Cox et al., 2020).

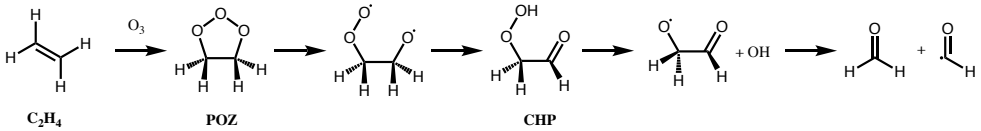

Figure 4. The carbonyl hydroperoxide (CHP) decomposition pathway for ethene ozonolysis

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2.8 Protocol Rules for POZ fragmentation

2.8.1 POZ fragmentation

A group contribution approach was designed to estimate POZ fragmentation yields. The approach assumes that the branching ratio for the two possible fragmentations of the POZ depends on the substituents of the $R_{1a}(R_{1b})C{=}C(R_{2b})R_{2a}$ parent alkene. The general form of the relationship is given by:

$$Y_{CI1} = \frac{(F_{1a}+F_{1b})-(F_{2a}+F_{2b})+1}{2} = 1 - Y_{CI2} \quad \text{(E1)}$$

where Y_{CIi} is the CI production yield on the i^{th} carbon and F_R are the contributions for the 4 substituents on the C=C bond. The set of F_R values is developed based on the observed primary carbonyl yields (Supplementary Section S1 and Spreadsheet S1) and are based on a least squares fit to a relevant dataset of alkenes for each substituent (Figures S1-S5).

For a vinyl group, F is constrained to fit the IUPAC recommended yields of MVK and MACR from isoprene ozonolysis, assuming that ozone reacts 60% at the terminal double bond and 40% at the substituted double bond (Nguyen et al., 2016; Jenkin et al., 2020). The presence of a carbonyl group adjacent to the double bond appears to strongly favour formation of the opposing CI in the case of MVK (i.e. -C(=O)H). However, this is not the case for alkenes with the structure -C(=O)R in the database, for which there appears to be no clear preference for formation of either CI, with a fit to the data yielding a slightly positive F value of 0.127. The strongest negative effect (i.e. leading to formation of the carbonyl containing the functional group) observed in the database is for enol ethers (-OR), giving an F value of -0.655. This is assumed to also be the same case for enols (-OH) based on the theoretical calculations of Lei et al. (2020) and Wang et al. (2020), and for vinyl esters (-OC(=O)R), based on the observed values for vinyl acetate. By contrast, an acrylate ester (-C(=O)-O-) substituent adjacent to the double bond does not appear to have a strong effect on fragmentation, and $F = 0$ is used. Similarly, the trend from the two unsaturated acids reported is unclear, and $F = 0$ is also used here. An OH group on the alpha carbon appears to slightly decrease Y_{CI} compared to an H atom, but the data is currently too limited to recommend a group additivity value, so the OH group is treated as an H atom, i.e. $F_{-CH2OH} = F_{-CH3}$. More distant oxygenated groups are not considered. The available data for exocyclic alkenes with the double bond attached to the ring is not able to take into account the effect of multiple rings, with $F_{=ring}$ being determined from only exocyclic alkenes with C_6 rings (β-pinene, methylene cyclohexane, and terpinolene). For rings with a vinyl group attached, $F_{(C6)ring}$ is determined only from C_6 rings, i.e. styrene and vinylcyclohexane. Endocyclic alkenes are assumed to follow the same fragmentation patterns as acyclic alkenes. For example, cyclohexene is considered to have the structure $>CH_2CH_2CH{=}CHCH_2CH_2<$, 1-methyl cyclohexene $>CH_2CH_2C(CH_3){=}CHCH_2CH_2<$ etc.

The group contribution value, F, is then used in Eq. (1) to determine the yield of CI_1 (defined as having substituents 1a and 1b) from the general structure $R_{1a}(R_{1b})C{=}C(R_{2b})R_{2a}$. Generally, the measurement of the larger primary carbonyl was used to determine the primary carbonyl and CI yields. This is because in some cases, the smaller carbonyl can be formed as a decomposition product of the larger CI and hence is not a true primary carbonyl yield.

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Table 1. Group contribution values (*F*) for various substituents

Group	*Value*	*Alkenes used for fit*
=ring	+ 0.62	β-pinene, methylene cyclohexane, terpinolene
$-CH_3$	+ 0.218	propene, 2-methyl butene, 2-methyl-but-2-ene
-C(=O)R	+ 0.127	2-ethylacrolein, ethyl vinyl ketone, 4-hexen-3-one, 3-methyl-3-buten-2-one, 3-methyl-3-penten-2-one, 2-butenal, trans-2-hexenal
$-CH_2CH_3$	+ 0.107	but-1-ene, 2-methyl-but-1-ene, 2-ethyl-but-1-ene, 2,2-dimethyl-hex-2-ene
-H	0	By definition
-COOH, -C(=O)-O-R,	0	Acids and acrylate esters, see spreadsheet S1
$-CH_2CH_2R$	0	pent-1-ene, hex-1-ene, hept-1-ene, oct-1-ene, dec-1-ene, 2-methyl-pent-1-ene
$-CHR_1R_2$	- 0.069	3-methyl-but-1-ene, 3-methyl-pent-1-ene, 2,3-dimethyl-but-1-ene, 2,4-dimethyl-pent-2-ene, 2,3,4-trimethyl-pent-2-ene, 3-methyl-2-isopropyl-but-1-ene
$-(C_6)$ring	- 0.25	styrene, vinyl cyclohexane
-vinyl	- 0.28	isoprene
$-CR_1R_2R_3$	- 0.386	2,3,3-trimethyl-but-1-ene, 2,4,4-trimethyl-pent-2-ene, 2,2-dimethyl-hex-3-ene, 3,3-dimethyl-but-1-ene
-OR, -OH, -(-OC(=O)R	- 0.655	methyl vinyl ether, ethyl vinyl ether, propyl vinyl ether, butyl vinyl ether, ethyl propenyl ether

2.8.2 *E / Z* conformer yields

In light of the current paucity of experimental and/or theoretical information on the relative yields, an equal 0.5:0.5 yield is assigned as a default value for *(E)/(Z)* isomers for all asymmetrical CI. The following two exceptions are nevertheless considered. For acyclic *cis*-RCH=CHR parent alkenes, a relative yield of 0.7:0.3 is set for (*E*):(*Z*) CI. For conjugated structures, formation of (E)/(Z)- >C=C(R)-CHOO is assumed to be in a ratio of 0.8:0.2, based on the work of Kuwata et al. (2005) and Kuwata and Valin (2008).

2.8.3 Carbonyl-hydroperoxide route

While there is little information available on the stepwise carbonyl-hydroperoxide POZ decomposition mechanism, it is needed to account for the radical yields observed in the ozonolysis of ethene as discussed above. There is no reason to assume it will not occur more generally for any alkenes with vinylic H-atom(s), though perhaps with different fates of the intermediate biradical or carbonyl hydroperoxide. Currently this channel is only included for the ethene-ozone reaction, for which it is assumed that 0.12 of the ethene-ozone reaction forms the biradical intermediate, rather than the CI + carbonyl, using the contribution calculated for the carbonyl hydroperoxide channel by Pfeifle et al. (2018). When more general data become available, assuming the channel is active for other systems, the protocol will be updated. The general structure of such a scheme might be: the POZ is assumed to break either of the O-O bonds with equal probability, forming one of two possible biradicals. If there is an available vinyl α-hydrogen, it is assumed that the H-shift to the peroxy radical occurs, forming the carbonyl-hydroperoxide ($R_1R_2C(OOH)C(=O)R_3$), followed by loss of OH and scission of the C-C bond to yield the stable product $R_1R_2C=O$ and the radical $R_3C^{\bullet}=O$. If there is no available α-hydrogen, the biradical is assumed to yield the CI and carbonyl, either by C–C fragmentation or recyclisation to the POZ.

3 Stabilisation of the Criegee Intermediate

3.1 Excited vs. stabilised CI

Following decomposition of the primary ozonide, CI are formed with a broad range of internal energies (e.g. Drozd et al., 2011). Consequently, it is often useful to consider the mean energy of a population of CI. Those

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generated with a high internal energy, allowing prompt chemical reactions, are called excited, or chemically activated CI (CI*). Those without enough internal energy to undergo prompt decomposition are considered to be 'stabilised' CI (SCI). Additionally, CI* can be collisionally stabilised. This has been demonstrated by experimental work showing that SCI yields are pressure dependent (Drozd et al., 2011, Hakala and Donahue, 2016; 2018). Note that this pressure dependence is moderate, and across the range of relevant atmospheric pressures not of primary concern; we base our analysis on the available data near 1 atm.

3.2 SCI Yield

The total SCI yield for a given alkene is the sum of the fraction of the nascent CI population that is formed stabilised, plus the fraction of CI* that is collisionally stabilised. The fate of the CI* is a competition between prompt unimolecular decay and collisional stabilisation, with the CI* having a lifetime on the order of nanoseconds against either of these processes (e.g. Drozd et al., 2017; Stephenson and Lester, 2020). Most alkenes will form a number of different CI*, each with different lifetimes against unimolecular decay and collisional stabilisation. The rate of collisional stabilisation of a given CI* is dependent on the frequency of collisions (and hence pressure), and the efficiency of energy loss to the bath gas. The rate of unimolecular decay of a given CI* depends on: (i) the energy of the CI* when formed, (ii) the activation energy for the most facile decay process / the energy required for tunnelling, and (iii) the relative density of states of the reactants and transition state, i.e. the entropy of the reaction. The dominant unimolecular decay mechanism is dependent on the structure of the CI; these mechanisms are discussed in Section 5.

Larger CI* will tend to be stabilised to a greater extent due to a greater density of states distributing the excess internal energy over a greater number of modes and so reducing the rate of unimolecular decay (Drozd and Donahue, 2011; Stephenson and Lester, 2020). However, as the size of the CI increases relative to the carbonyl co-product formed in POZ decomposition, the fraction of the energy taken by the CI from the POZ will increase (assuming the energy has time to become equally distributed throughout the CI), decreasing the mean energy of the nascent CI population and hence the fraction of CI* with enough energy to undergo unimolecular decay (Fenske et al., 2000; Newland et al., 2020). This will lead to greater stabilisation. For endocyclic alkenes, decomposition of the POZ produces a single molecule containing both the carbonyl and carbonyl oxide moieties. Such CI have a high initial energy, with no energy lost from the POZ decomposition to relative motion of the fragments, and thus require many collisions to be quenched (Vereecken and Francisco, 2012). Consequently, endocyclic alkenes with $\leq C_7$ have little stabilisation (Hatakeyama et al., 1984; Campos-Pineda and Zhang, 2017; Drozd and Donahue, 2011). For the endocyclic C_{10} monoterpenes α-pinene and limonene, total SCI yields have been measured to be 0.13-0.22 (Hatakeyama et al., 1984; Taipale et al., 2014; Sipilä et al., 2014; Newland et al., 2018) and 0.23-0.27 (Sipilä et al., 2014; Newland et al., 2018) respectively. For the C_{15} sesquiterpene β-caryophyllene, a total SCI yield (including from decomposition of the stabilised POZ) of 0.74 was calculated by Nguyen et al. (2009), with a value of > 0.6 determined experimentally (Winterhalter et al., 2009).

Total SCI yields have been measured experimentally for many alkene-ozone systems. These are generally determined indirectly, by performing ozonolysis experiments in the presence of an SCI scavenging species (e.g. H_2O, SO_2, hexafluoroacetone). Measurements of scavenger removal, or formation of products from the SCI + scavenger reaction, are used to determine the SCI yield. Yields measured in such a way must be considered to be lower limits since, under most experimental conditions, a significant fraction of the SCI may undergo

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unimolecular decomposition based on recently reported fast SCI decomposition rates (e.g. Newland et al., 2015; Vereecken et al., 2017; Newland et al., 2018). The choice of scavenger species is also important. In some older experimental studies, water was used as an SCI scavenger, with H_2O_2 (e.g. Hasson et al., 2001a) or hydroxymethyl hydroperoxide (HMHP, e.g. Hasson et al, 2001a; Neeb et al., 1997) being the detected reaction products. For mono-substituted (*E*)-SCI, or for CH_2OO, this may be a reasonable assumption, with $k_{(H2O+SCI)}[H_2O]/k_{(decomp.)} \sim 10^2$ - 10^3 at $[H_2O] = 5 \times 10^{17}$ cm^{-3} (e.g. Vereecken et al., 2017). However, for (*Z*)-SCI, $k_{(H2O+SCI)}[H_2O]/k_{(decomp.)} \sim 10^{-2}$ - 10^{-1}, i.e. the majority of the SCI will not be scavenged by H_2O.

3.3 Protocol Rules for CI Stabilisation

The relationship between stabilisation of the CI* and size of the carbonyl co-product has been studied for CH_2OO and $(CH_3)_2COO$ by Newland et al. (2020) (Figure 5). For CH_2OO this relationship might be expected to represent a minimum for CI* that primarily decay via the 1,3 ring closure pathway (i.e. *anti*-CI*, see Section 4.2), since larger CI* will have a slower decay rate due to a greater density of states. Similarly, the trend for $(CH_3)_2COO$ can be assumed to be close to a minimum for CI* that primarily undergo the 1,4 vinylhydroperoxide (VHP) decomposition pathway (see Section 4.1), with only *syn*-CH_3CHOO likely to have a lower density of states (and therefore faster decomposition) (Stephenson and Lester, 2020). With no further data available, the stabilisation trend of CH_2OO is used for CI* that decompose via 1,3 ring closure, while that of $(CH_3)_2COO$ is used for CI* that decay via the 1,4 vinylhydroperoxide pathway. For other pathways, such as the 1,5-ring closure to a dioxole (see Section 4.4), important in isoprene ozonolysis, no information is available. CI* with a vinyl group *syn* to the terminal oxygen of the carbonyl oxide are considered as *syn*-CI for the purposes of calculating stabilisation in the protocol.

An extension of Equation E7 in Newland et al. (2020) is used to estimate the CI stabilisation *S*:

$$S = 1 - \left[\left(\frac{A_{CI}}{A_{tot}}\right) \times F \times z_{path}\right] \qquad \text{(E2)}$$

where A_{CI} is the total number of non-hydrogen atoms in the CI*, A_{tot} is the total number of non-hydrogen atoms in the POZ, and F_{VHP} and F_{13RC} are values determined for CH_2OO and $(CH_3)_2COO$ based on the SCI yields for their symmetrical parent alkenes ethene and 2,3-dimethylbut-2-ene, respectively. For CH_2OO this is 0.95 and for $(CH_3)_2COO$ it is 1.24 (Newland et al., 2020). In this work, an additional term, z_{path}, is included to take into account the observed / predicted increased stabilisation of CI* with size. For CI* that decay via the 1,3 ring closure pathway, z_{13RC} is defined as $x / (A_{CI} + (x - A_{CH2OO}))$, where A_{CH2OO} is the total number of non-hydrogen atoms in CH_2OO (i.e. 3), and x is an adjustable parameter. For CI* that decay via the 1,4 H-shift, z_{VHP} is defined as $x / (A_{CI} + (x - A_{(CH3)2COO}))$, where $A_{(CH3)2COO} = 5$. In both terms, $x = 5$, and has been optimized to improve the fit between measured and calculated total SCI yields of larger alkenes (Newland et al., 2020).

Figure 5 shows the measured CI* stabilisation for CH_2OO and $(CH_3)_2COO$ as a function of the total energy taken from the POZ by the CI*, from Newland et al. (2020). Fits to the measured data are calculated using Eq. (2). Also shown are the calculated stabilisation trends for (*E*)- and (*Z*)-CH_3CHOO and nopinone oxide (the C_9 CI* formed in β-pinene ozonolysis). Figure 5 shows that stabilisation of *E*-CI* is predicted to be considerably greater than for *Z*-CI* when formed with the same energy. For CH_3CHOO it is noted that very little (0.11) stabilisation of (*Z*)-CH_3CHOO* is predicted when produced from but-2-ene ozonolysis (fraction of total energy

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= A_{CI}/A_{tot} = 4/7 = 0.57), whereas a much greater stabilisation of (*E*)-CH_3CHOO* is predicted. Using the *E*/*Z*-RCHOO yields given in Section 2.8.2 for *cis* and *trans* alkenes, and the trends presented in Figure 5, then a total SCI yield of 0.33 for *trans*-but-2-ene and 0.42 for *cis*-but-2-ene is calculated, in good qualitative agreement with the relationship observed in Newland et al. (2015). The calculated values for nopinone oxide demonstrate the decreasing sensitivity of CI* stabilisation to the co-product size as the size of the CI* increases.

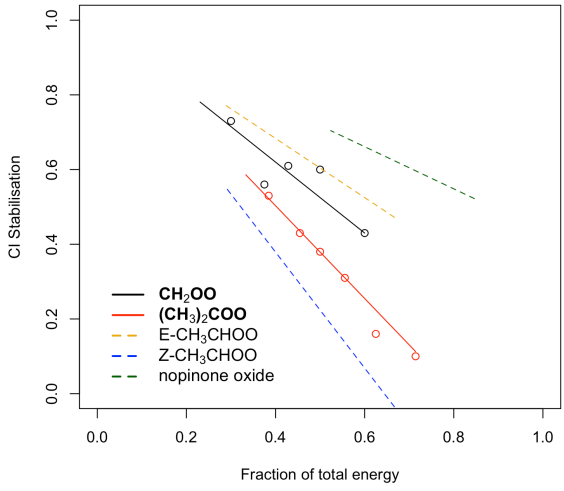

Figure 5. Dependence of CI* stabilisation on the fraction of the total energy taken from the POZ. Black (CH_2OO) and red ($(CH_3)_2COO$) points, measurements taken from Newland et al. (2020). Solid and dashed lines, fits calculated using Eq. (2).

For endocyclic alkenes, an empirically derived sigmoid fit (Supplementary Section S2: Equation S1; Figure S6) is applied to the very limited dataset that shows $Y_{SCI} \approx 0$ for $C \leq 7$, $Y_{SCI} \approx 0.2$ for monoterpenes, and $Y_{SCI} \approx 0.74$ for sesquiterpenes.

4 Unimolecular reactions of CI* and SCI

CI can undergo unimolecular isomerisation / decomposition. The unimolecular pathways available to SCI are assumed to be the same as those available to CI* (although it is noted that there is little evidence to back up this assumption). However, while for CI* these processes are prompt, occurring on a timescale of 10^{-9} s (Drozd et al., 2017), for SCI they occur at a range of rates such that their competition with atmospheric bimolecular reactions needs to be considered. A wide range of unimolecular isomerisation / decomposition pathways have been characterised for CI, but only two of these are believed to be important for saturated CI under atmospheric boundary layer conditions (Vereecken et al., 2017): a 1,4 H-migration, i.e. the vinylhydroperoxide pathway, and a 1,3 ring closure, i.e. the hot acid / ester pathway (Figure 6). If the vinylhydroperoxide pathway is available, then this will always be the dominant decomposition pathway as it is the energetically most facile, with only a slight entropic disadvantage compared to the 1,3 ring closure (Vereecken et al., 2017). Unsaturated CI have some additional pathways available (see Section 4.4).

Experimentally determined decomposition rates are available only for a limited number of SCI. Early estimates were considerably slower than more recent experimental evidence. Vereecken et al. (2017) recently

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published an extensive SAR providing temperature dependent unimolecular rates and mechanisms for a wide range of SCI structures based on theoretical calculations tied to experimental work as well as group additivity relations.

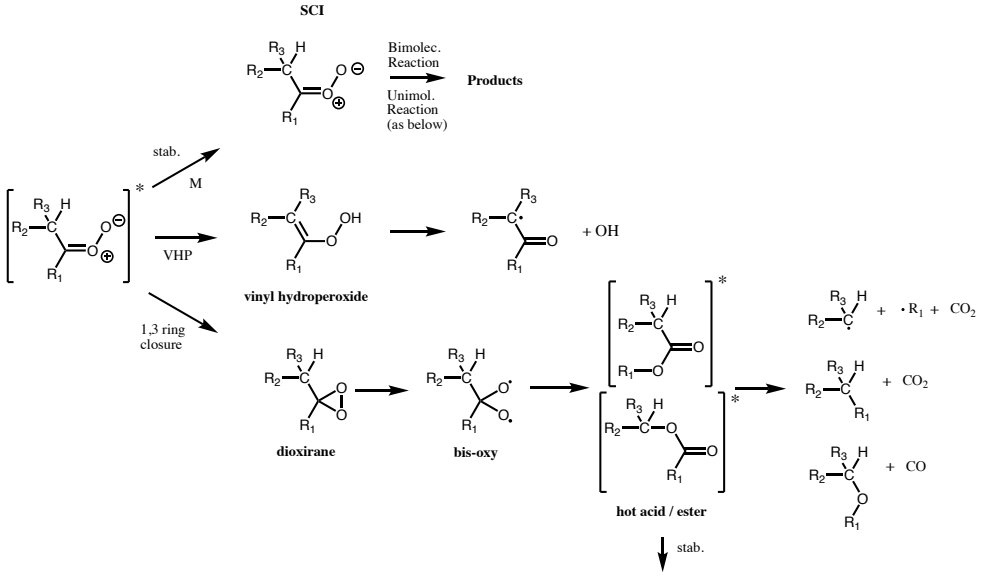

Figure 6. Available pathways for a CI with a hydrogen atom available in beta position to the carbonyl oxide. From top to bottom, the available pathways are the stabilisation (stab.) pathway, the vinylhydroperoxide (VHP) pathway and the 1,3 ring closure (hot acid/ester) pathway.

4.1 Vinylhydroperoxide (VHP) pathway

A CI with a β-hydrogen atom in a *syn* orientation to the terminal oxygen atom of the carbonyl oxide can isomerise to form a vinylhydroperoxide via a 5-membered transition cycle (Figure 6). This route is therefore available to monosubstituted (*Z*)-CIs and disubstituted CIs. The VHP formed has a short lifetime and promptly or thermally decomposes to form an OH radical and a β-acylalkyl (vinoxy) radical, in some cases with a small yield of β-acyl-alcohols (Taatjes et al., 2016; Kuwata et al., 2018). The OH radicals are thus formed on a short time scale (e.g. Drozd et al., 2017) directly from the VHP decomposition. The β-acylalkyl radical reacts with O_2 to form a β-acylperoxy radical. On a longer timescale, the subsequent chemistry of this peroxy radical can yield further HO_2 and OH radicals (e.g. Nguyen et al., 2016).

The best studied system that follows the 1,4 H-shift pathway is stabilised $(CH_3)_2COO$. Experimentally derived rates are fast (300 – 1000 s^{-1}) (Berndt et al., 2014b; Newland et al., 2015; Chhantyal-Pun et al., 2016; Smith et al., 2016). The experimental evidence also shows a strong temperature dependence, with measured rates varying from 269 s^{-1} at 283 K to 916 s^{-1} at 323 K (Smith et al., 2016). This is in good agreement with the SAR of Vereecken et al. (2017) which shows that the rate of decomposition of saturated SCI is fastest (*ca.* 500 s^{-1}) for those SCI with access to the VHP route. This SAR shows that the rate is slowed by more than an order of magnitude when only one H atom is available on the α-carbon and that the rates are also affected by the *anti* substituent, with the presence of a vinyl group reducing rates by an order of magnitude, and the presence of a carbonyl group reducing rates by two orders of magnitude.

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This pathway may not be available to certain CI structures even though there is an available hydrogen on the α-carbon. This is the case for the bicyclic C_9 CI formed in ozonolysis of the monoterpene β-pinene, with the terminal oxygen facing the four membered ring. Calculations have shown that formation of the vinyl hydroperoxide is not possible for this CI due to the strain it would put on the ring, and so the dominant decomposition pathway is 1,3 ring closure (Nguyen et al., 2009b). This has also been shown to be the case for the cyclic C_9 CI formed facing the three membered ring in the ozonolysis of sabinene (Almatarneh et al., 2019).

4.2 1,3 ring closure

For monosubstituted (*E*)-CI and CH_2OO (see Section 5.3), decomposition via a VHP is not available. Instead unimolecular reaction proceeds predominantly via a 1,3 ring closure, with typical rates $\leq 10^2$ s^{-1} (Vereecken et al. 2017), to a chemically activated dioxirane species (Figure 6). This breaks the weak O-O bond giving a singlet bis-oxy radical (Wadt and Goddard, 1975; Herron and Huie, 1977; 1978). Various pathways have been proposed for the subsequent chemistry of this species based on observed product distributions (Chen et al., 2002). This pathway has been characterised best for CH_2OO (Section 5.3). The dioxirane is thought to rearrange to a 'hot' acid / ester, which can undergo decomposition to yield a range of products. As the size of the CI increases, the hot acid / ester is predicted to be more likely to be collisionally stabilised (Vereecken and Francisco, 2012).

There have been very few experimental studies to date on the products of isomerisation / decomposition of (*E*)-RCHOO. This is challenging experimentally as (*E*)-RCHOO will always be formed as a partner with (*Z*)-RCHOO. The most studied (*E*)-CI is (*E*)-CH_3CHOO, with observed products from *cis*/*trans*-but-2-ene ozonolysis (which yields (*E*)- and (*Z*)-CH_3CHOO as the CI products) of HCHO, CH_3COOH, CH_3OH, CH_4, CHOCHO, ketene, CO and CO_2 (e.g. Tuazon et al., 1997; Grosjean et al., 1994). With the exception of glyoxal, these can all be rationalised as decomposition products of 'hot' (*E*)-CH_3CHOO via various pathways (Reactions R1 – R5). The relative proportion of each channel is based on the reported yields in Tuazon et al. (1997), except for CH_3COOH, from Grosjean et al. (1994), although it is noted that CH_3COOH may be a product of CH_3CHOO + water vapour in their experimental setup.

E-CH_3CHOO →	$CH_4 + CO_2$	25 %	(R1)
	$CH_3 + CO_2 + H$	25 %	(R2)
	$CH_3OH + CO$	15 %	(R3)
	$H_2CCO + H_2O$	10 %	(R4)
	CH_3COOH	20 %	(R5)

For R_1R_2COO decomposition via 1,3 ring closure, products are formed via a 'hot' ester. There has been very little work on the relative contribution of decomposition channels and stabilisation for these species. For example, there is no experimental work to validate the predicted trend of increasing stabilisation of the hot acid / ester with size, or at what size this becomes important. For the large terpenoid compounds β-pinene (Nguyen et al., 2009b) and β-caryophyllene (Nguyen et al., 2009a), the acids/lactones formed from isomerisation of the C_9-dioxirane have been predicted to be fully stabilised.

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4.3 CH_2OO

CH_2OO also follows the 1,3-ring closure pathway but is considered separately here as it has been the subject of a considerable body of work. Experimentally reported products from CH_2OO decomposition include: CO_2, CO, H_2, OH, HO_2, H_2O, and HCOOH (e.g. Calvert et al., 2000). Recent theoretical (Nguyen et al., 2015; Stone et al., 2018; Peltola et al., 2020) works suggest that the only reaction pathway of the bis-oxy radical important under tropospheric conditions is isomerisation to 'hot' formic acid, followed by decomposition to either H_2 + CO_2 or H_2O + CO, in agreement with experimental and theoretical work on acid pyrolysis experiments (Chang et al., 2007; Vichietti et al., 2017). Due to the large excess energy and its small size, very little of the hot acid is stabilised, with measured HCOOH yields from ethene ozonolysis < 5% (Calvert et al., 2000) (and the latter may be due to bimolecular reactions of SCI rather than stabilisation of the hot acid). Stone et al. (2018) and Peltola et al. (2020) considered the decomposition of stabilised CH_2OO using master equation simulations, determining the major decomposition channel to be H_2+CO_2 (64 % and 61% respectively), with the H_2O+CO accounting for the remainder (36%) in Stone et al. (2018), while Peltola et al. (2020) also found a small contribution (~8%) from the OH+HCO channel. It is noted that previous experimental work on ethene ozonolysis (Su et al., 1980; Horie et al., 1991; Neeb et al., 1998) has generally inferred a preference for the H_2O+CO channel. This may be due to different pathways being followed by the dioxiranes formed from the excited CH_2OO produced in the ozonolysis reaction compared to those formed from stabilised CH_2OO, as suggested by work on larger systems (Nguyen et al., 2009a; 2009b), and in the calculations of Nguyen et al. (2015) on excited CH_2OO decomposition in ethene ozonolysis. A decomposition pathway to HCO + OH, proposed as the source of observed OH yields of 8-15 % in earlier experimental studies on the ozonolysis of ethene (Gutbrod et al., 1997; Rickard et al., 1999; Kroll et al., 2001; Alam et al., 2011) and larger alkenes (Kroll et al., 2002), has recently been determined experimentally to be negligible (Stone et al., 2018), accounting for less than 2 % of the overall decay. This is in agreement with earlier theoretical work (Olzmann et al., 1997; Nguyen et al., 2015) suggesting negligible OH yields from ethene ozonolysis. This apparent discrepancy between experiment and theory can be reconciled by invoking the possibility of OH formation via the carbonyl-hydroperoxide channel in the POZ decomposition, as discussed in Section 2.7.

The unimolecular decomposition rate of stabilised CH_2OO has been experimentally determined to be very slow (<12 s^{-1}) (Berndt et al., 2015; Chhantyal-Pun et al., 2015; Newland et al., 2015; Stone et al., 2018; Peltola et al., 2020), with a current recommendation by IUPAC of ≤ 0.2 s^{-1} at 1 bar and 298 K (Cox et al., 2020). Even at the upper end of these estimates, decomposition is a negligible atmospheric fate for stabilised CH_2OO compared to reaction with water vapour.

4.4 Unimolecular reactions of unsaturated CI

The ozonolysis of conjugated alkenes proceeds via the same initial POZ mechanism as non-conjugated systems, but decomposition of the POZ leads to the formation of unsaturated CI and/or carbonyls. While many of the characteristics of the chemistry are expected to be similar, the theoretical work of Kuwata et al., (2005), Kuwata and Valin (2008), and Vereecken et al. (2017) has shown some important differences. Specifically, additional unimolecular decomposition channels (Figure 7 and Figure 8) become available, which in some cases are faster than the 1,4 H-shift channel.

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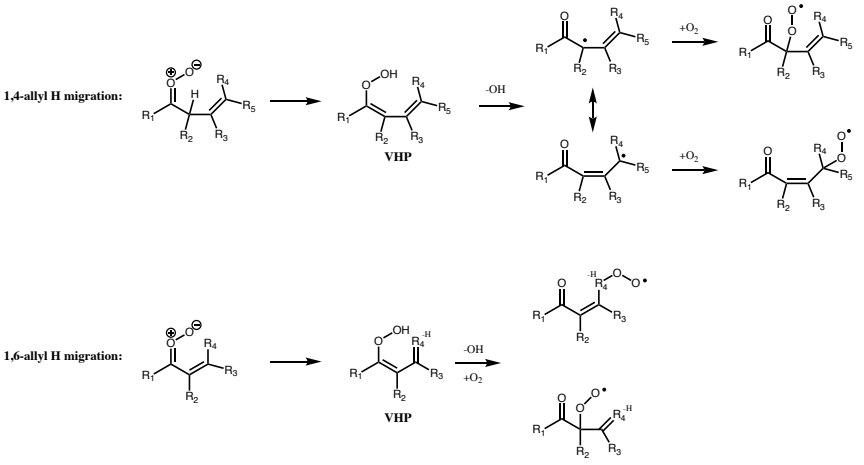

Figure 7. Dominant unimolecular decomposition routes available to unsaturated CI with the terminal oxygen *syn* to an α or β vinyl group. Pathways available if terminal oxygen is *anti* to a vinyl group are the same as for saturated CI. For 1,5-ring closure see Figure 8.

If the vinyl group of an unsaturated CI is *anti* to the terminal oxygen of the carbonyl oxide, then the molecule will follow one of the two routes available to saturated CI, but with a rate affected by the presence of the double bond. However, if the vinyl group is *syn* to the terminal oxygen, alternative mechanisms of decomposition are available. 1,4 and 1,6-allyl H-migration (for the vinyl group being in β or α position respectively), are available if an H atom is present on the α or γ carbon. These pathways lead to similar products to 1,4-alkyl H-migration, with a vinylhydroperoxide intermediate decomposing to give OH and one of two possible unsaturated peroxy radicals. If no H-atom is available for (*Z*)-β-unsaturated CI then they follow the 1,3-ring closure channel with SCI decomposition rates ≤ 1 s^{-1}. The rates of the 1,6-allyl H-migration channel for SCI are of the order of 10^6 s^{-1}, while 1,4-allyl H-migration of SCI has rates ranging from 10^1 – 10^4 s^{-1} depending on other substituents (Vereecken et al. 2017).

For CI with the carbonyl oxide *syn* to an α vinyl group, and without an available hydrogen on the α carbon, then the dominant decomposition mechanism is 1,5 ring closure, originally proposed by Kuwata et al. (2005) (Figure 8). This forms an intermediate dioxole species with a five membered ring. This is predicted to have high internal energy and to break the O-O bond, leading to an epoxy carbonyl, or, if R_4 = H, to a dicarbonyl (Kuwata 2005). The dicarbonyl has been predicted to undergo further prompt decomposition via various possible unimolecular channels, some of which appear to yield OH (Barber et al., 2018). Based on the stable product distribution from *anti*-MVKO decay, the decomposition of the dicarbonyl has been determined to be predominantly via C-C cleavage leading to two radicals (acetyl and vinoxy radicals in the case of *anti*-MVKO) (Vansco et al., 2020). These radicals will add O_2 leading to RO_2 radicals which may undergo further decomposition if formed chemically excited, ultimately to HCHO + OH + CO in both cases (Carr et al., 2011; Weidman et al., 2018; Vansco et al., 2020). For *syn*-MACRO, Vansco et al. (2020) determine a pathway via a dioxole analogous to that just described, leading to formyl and 2-methyl vinoxy radicals, the latter of which could ultimately yield CH_3CHO + OH + CO. However, this accounts for only about half of the decomposition of the dicarbonyl, with the other half leading to acrolein via an unidentified unimolecular process. It is noted that Barber

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et al. (2018) and Vansco et al. (2020) did not consider the epoxide isomerisation pathway for the dioxole. The calculated unimolecular decay rates for the dioxole forming pathways from *syn*-MACRO and *anti*-MVKO are fast, Vereecken et al. (2017) reported a rate of 13,400 s^{-1}, while Barber et al. (2018) reported a somewhat slower rate for *anti*-MVKO of 2140 s^{-1}. Decay of stabilized *syn*-MVKO is relatively slow at 33 – 50 s^{-1} (Vereecken et al., 2017; Barber et al., 2018) making it a potentially important bimolecular reaction partner in the atmosphere.

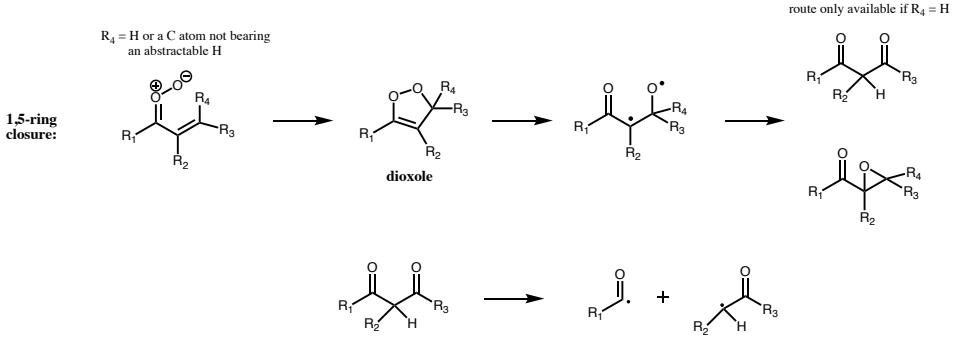

Figure 8. 1,5-ring closure: dominant unimolecular pathway for unsaturated CI with the terminal oxygen *syn* to an α vinyl group and R_4 is not a carbon with an abstractable hydrogen.

4.5 Protocol Rules for CI decomposition

For unimolecular decomposition of CI, the SAR of Vereecken et al. (2017) is used to determine decomposition pathways and rates (for SCI). The products from each decomposition pathway are given in Table 2, where any secondary reactions such as recombination with O_2 are already accounted for. The vinylhydroperoxide pathway is assumed to lead exclusively to a β-oxo alkyl radical and OH. For decomposition via 1,3 ring closure, the hot acid / ester formed is considered to decompose via one of the three major pathways determined for (*E*)-RCHOO: RH + CO_2 (40%), ROH + CO (20%), R + HO_2 + CO_2 (40%), based on the observed product yields from *cis* and *trans* but-2-ene experiments by Tuazon et al. (1997). While it is noted that Grosjean et al. (1994) observed a CH_3COOH yield of ~ 20 %, this could also be a product of CH_3CHOO + water vapour in their experimental setup. For larger CI (≥C_9) the acid / ester is considered to be fully stabilised, if two esters can be formed they are considered equally likely. This is recognised as an area where detailed experimental studies are required, to establish the sensitivity of acid / ester stabilisation to CI size, as well as identifying decomposition products for a range of CI sizes / structures, and whether these are different for chemically activated / thermalized dioxiranes, as predicted (Anglada et al., 1998; Nguyen et al., 2009a, 2009b). For CH_2OO decomposition, the protocol assigns the products equally to two decomposition pathways: H_2+CO_2 and H_2O+CO; as discussed above, no OH is formed directly.

For 1,4-and 1,6 allyl H-migration in unsaturated CI (Figure 7), formation of the alkyl radicals from each of the delocalized radical sites formed after OH elimination is assumed to be equally likely. The product yields given in Table 2 are for mechanisms that do not explicitly preserve stereo-specificity. For systems that track stereo-specific substitution on double bonds, H-migration is only possible from the *Z*-substituent, and the number of products is reduced accordingly, with a concomitant adjustment of the product yields.

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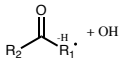
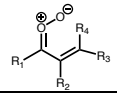
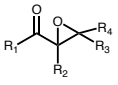
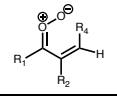
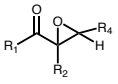
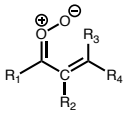
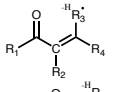
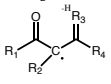
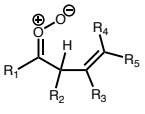
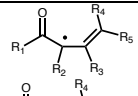
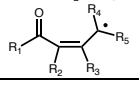
For 1,5 ring closure (Figure 8), formation of the epoxide or the dicarbonyl are considered equally likely. The dicarbonyl undergoes further decomposition to yield two RO_2 following Barber et al. (2018). Unimolecular reaction rates for stabilised unsaturated CI are taken from the Vereecken et al. (2017) SAR. Clearly there remains much uncertainty on the proposed kinetics, and systematic experimental work on SCI yields, and final product studies of ozonolysis of conjugated alkenes is required to improve the proposed protocol.

Table 2. Decomposition pathways and products for CI in the protocol

Decomposition Pathway	CI Structure	Products	
1,4 H-shift (VHP)			
1,3 ring closure (hot acid / ester) CI < C₉		$R_1R_2 + CO_2$ (40 %) $R_1OR_2 + CO$ (20 %) $R_1 + R_2 + CO_2$ (40 %)	
1,3 ring closure (hot acid / ester) CI ≥ C₉		$R_1CO\text{-}O\text{-}R_2$ (50%) $R_1\text{-}O\text{-}COR_2$ (50%)	
CH_2OO		H_2+CO_2 (50 %) H_2O+CO (50 %)	
1,5-ring closure	R_4 = H or a C atom not bearing an abstractable H 		
1,5-ring closure (R_3 = H)	R_4 = H or a C atom not bearing an abstractable H 		(50%)
		$R_1C(O)O_2 + CHOC(O_2)R_2$	(50%)
1,6 allyl H-shift			(50%)
			(50%)
1,4 allyl H-shift			(50%)
			(50%)

5 Bimolecular Reactions of SCI

Based on the unimolecular pathways described in Section 5, many SCI have lifetimes against unimolecular reaction on the order of 10^{-3} – 10^{-1} s. These lifetimes are long enough to allow them to participate in bimolecular reactions with trace gases in the atmosphere under typical boundary layer conditions, where Vereecken et al. (2017) estimated that just under half of the CI in the atmosphere react with a co-reactant rather than unimolecularly. The co-reactants for which fast reactions, of potential tropospheric importance, have been demonstrated are H_2O, $(H_2O)_2$, SO_2, NO_2, and organic and inorganic acids (Reactions 6 – 11).

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$R_1R_2COO + H_2O \rightarrow x.R_1R_2C(OH)(OOH) + y.R_1C(O)R_2 + z.R_1COOH$ † (R6a)

$R_1R_2COO + (H_2O)_2 \rightarrow x.R_1R_2C(OH)(OOH) + y.R_1C(O)R_2 + z.R_1COOH$ † $+ H_2O$ (R6b)

† only available if $R_2 = H$

$R_1R_2COO + R_3COOH \rightarrow R_3C(O)OCR_1R_2(OOH)$ (R7)

$R_1R_2COO + SO_2 \rightarrow R_1R_2CO + SO_3$ (R8)

$R_1R_2COO + NO_2 \rightarrow R_1R_2C(O_2)ONO$ (R9)

$R_1R_2COO + HCl \rightarrow ClR_1R_2OOH$ (R10)

$R_1R_2COO + HNO_3 \rightarrow NO_3R_1R_2OOH$ (R11)

Reactions with other trace gases have been investigated both experimentally and theoretically, but these are not included in the protocol at this time as they are not considered to be important under tropospheric conditions. Theoretical and experimental work has also shown that more complex bimolecular and unimolecular pathways may operate forming heterocyclic molecules like cyclic peroxides and secondary ozonides (Chuong et al., 2004; Long et al., 2019). Again though, these reactions appear to be of negligible importance in the gas phase for SCI with carbon numbers up to C_{10} (monoterpenes) and are not considered in this protocol. While only reactions relevant to the atmosphere are included in the protocol; reactions that are not expected to be relevant in the atmosphere are still maintained in the database since they may be useful to interpret results of chamber simulations or other laboratory experiments (e.g. self-reaction or reaction with parent alkenes).

CH_2OO and (*E*)-RCHOO react rapidly with H_2O (Reaction R6a) (Welz et al., 2012; Taatjes et al., 2013; Stone et al., 2014) and with the water dimer, $(H_2O)_2$, (Reaction R6b) (Berndt et al., 2014a; Chao et al., 2015; Lewis et al., 2015; Lin et al., 2016), such that removal by water vapour is their predominant fate in the atmosphere. However, (*Z*)-RCHOO react slowly with H_2O (Taatjes et al., 2013; Sheps et al., 2014; Huang et al., 2015) increasing the importance of bimolecular reactions with other atmospheric trace species such as acids and SO_2 (Newland et al., 2018). The reaction of SCI with organic acids (Reaction R7) is also likely to be an important reaction in the atmosphere (Welz et al., 2014). The experimentally determined reaction rates for SCI + HCOOH and CH_3COOH are $1 - 5 \times 10^{-10}$ cm^3 s^{-1} (Welz et al., 2014; Sipilä et al., 2014; Chung et al., 2019), close to the collisional limit. Other potentially important reactions in the atmosphere include those with SO_2 (Reaction R8), NO_2 (Reaction R9), and inorganic acids (Reactions R10-R11). The rates of SCI+SO_2 reaction have been the subject of several studies for the three smallest SCI, with good agreement between experiments. Larger SCI appear to have similar reaction rates with SO_2 (Ahrens et al., 2014).

The products of many of the bimolecular reactions of SCI are still uncertain. This is the case for the most important bimolecular reactions in the atmosphere, those with H_2O and $(H_2O)_2$. A recent experimental study (Sheps et al., 2017) of the reaction of CH_2OO with the $(H_2O)_2$, generating CH_2OO from the photolysis of diiodomethane, determined yields of: hydroxymethylhydroperoxide (HMHP) (55 %), HCHO (40 %), and HCOOH (5 %). However, ozonolysis experiments (e.g. Nguyen et al., 2016) have generally found HMHP and HCOOH to be the main detected products, with negligible yields of HCHO. Based on results from isoprene ozonolysis chamber experiments, Nguyen et al. (2016) proposed yields from the $CH_2OO + H_2O$ reaction of: HMHP (73 %), HCOOH (21 %), HCHO (6 %); and from the $(H_2O)_2$ reaction of: HMHP (40 %), HCOOH (54 %), HCHO (6 %). These low HCHO yields are in agreement with earlier work (Hasson et al., 2001b) that determined an HCHO yield of 6 – 9 %.

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The products of SCI reaction with organic acids appear to be mainly hydroperoxide esters (Reaction R7). Hydroperoxy methyl formate (HPMF) has been detected as an intermediate in the CH_2OO+HCOOH reaction (e.g. Neeb et al., 1995; Wolff et al., 1997; Hasson et al., 2001a; Chung et al., 2019), hydroperoxy methyl acetate in the CH_2OO+CH_3COOH reaction (Neeb et al., 1996), and hydroperoxy ethyl formate in the CH_3CHOO+HCOOH reaction (Neeb et al., 1995; 1996; Cabezas and Endo, 2020). Theoretical calculations have predicted the formation of > 90 % HPMF for the reaction of CH_2OO with HCOOH (Vereecken, 2017), and that the production of stabilised hydroperoxide esters will be even higher for larger SCI. The reaction with SO_2 has been shown to form SO_3 with close to unit yield (Reaction R8) (Kuwata et al., 2015). For NO_2, while early experimental work (Ouyang et al., 2013) suggested SCI would oxidise NO_2 to NO_3, more recent experimental (Caravan et al., 2017) and theoretical (Vereecken and Nguyen, 2017) work has suggested the formation of a nitroalkylperoxy radical ($R_1R_2C(O_2)NO_2$). Subsequent reaction and formation of the alkoxy radical would be expected to yield a carbonyl and NO_2. The main products of reaction of SCI with the inorganic acid HCl have been predicted to be chlorohydroperoxides (Reaction R10) (Foreman et al., 2016; Vereecken, 2017), with these products observed experimentally for CH_2OO+HCl (Cabezas and Endo, 2017) and CH_3CHOO+HCl (Cabezas and Endo, 2018). The main product of reaction with HNO_3 has been predicted to be hydroperoxynitrates (Reaction R11) (Foreman et al., 2016; Raghunath et al., 2017; Vereecken, 2017). Raghunath et al. (2017) further predicted decomposition of a fraction of the chemically activated hydroperoxynitrates to $CH_2(O)NO_3$ + OH. This reaction has not yet been studied experimentally to the authors' knowledge.

5.1 Protocol Rules for SCI Bimolecular Reactions

Bimolecular reaction rate coefficients for SCI are included for reaction with water vapour monomers and dimers, SO_2, NO_2, carboxylic acids and inorganic acids (HCl, HNO_3) (Table 3). For the water vapour reactions, the rate coefficients are taken from the SAR of Vereecken et al. (2017), which provides values for 98 explicit structures. For bimolecular reactions of SCI with the other trace gases, four classes of SCI are considered: CH_2OO, *Z*/*E*-RCHOO and R_1R_2COO (where R represents alkyl groups), based on the limited experimental data available. The rates are taken from IUPAC recommendations (Cox et al., 2020) where available, otherwise from sources as stated in Table 3. Where the structure does not fit into the defined classes, the CH_2OO rate constant is attributed by default. Reaction products are as given in Reactions R6 – R11. In light of the current uncertainties of the product distribution of the reactions of SCI with water, here we assume the same products for the monomer and dimer reactions. We propose yields based on the direct study of Sheps et al. (2017) of α-hydroxyhydroperoxide (55 %), carbonyl (40 %) and acid (5 %), with the exception of R_1R_2COO, which cannot form the acid, for which we increase the α-hydroxyhydroperoxide to 60 %. These recommendations will be subject to change upon further experimental information becoming available.

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Table 3. Bimolecular reaction rates with RCOOH, SO_2, NO_2 and inorganic acids applied to the four SCI structures. Rates are IUPAC recommendations (Cox et al., 2020) unless otherwise stated. Bimolecular reaction rates with water are taken from Vereecken et al. (2017), see main text.

	Bimolecular reaction rates (10^{11} molecules cm^{-3} s^{-1})				
	RC(O)OH	SO_2	NO_2	HCl[a]	HNO_3[a]
CH_2OO	12	3.7	0.3	4.6	54
(*E*)-RCHOO[b]	38[c]	14	0.2	4.6	54
(*Z*)-RCHOO[b]	21[c]	2.6	0.2	4.6	54
R_1R_2COO	31	16	0.2	4.6	54

[a] All values for CH_2OO reaction from Foreman et al. (2016); [b] IUPAC recommended values for (*E*) and (Z)-CH_3CHOO; [c] Mean of IUPAC recommended values for reaction with HCOOH and CH_3COOH.

6 Example of protocol application

An example is described below for the unsaturated ketone, 6-methyl-5-hepten-2-one, and illustrated in Figures 9 and 10. Further examples for α-pinene, *cis*-2-pentene, 2-methyl-1-pentene and 2-methyl-1,3-butadiene (isoprene) are given in the Supplementary (Section S3). The initial rate of reaction with ozone is defined by the protocol in the companion paper (Jenkin et al., 2020). The branching ratio for formation of the disubstituted CI* is calculated to be 0.72 using the group additivity values in Table 2 and Eq. (1).

$$Y_{CI1} = \frac{(0.218+0.218)-(0+0)+1}{2} = 0.72 = 1 - Y_{CI2} \qquad \text{(E3)}$$

The *syn* and *anti*-conformers of the two large CI* are formed with equal yield (0.14).

Stabilisation of each CI* is computed using Eq. (2):

$(CH_3)_2COO$: $$S = 1 - \left[\left(\frac{5}{12}\right) \times 1.242 \times \left(\frac{5}{5+(5-5)}\right)\right] = 0.48 \qquad \text{(E4)}$$

(Z)-$CH_3C(O)(CH_2)_2CHOO$: $$S = 1 - \left[\left(\frac{8}{12}\right) \times 1.242 \times \left(\frac{5}{8+(5-5)}\right)\right] = 0.48 \qquad \text{(E5)}$$

(E)-$CH_3C(O)(CH_2)_2CHOO$: $$S = 1 - \left[\left(\frac{8}{12}\right) \times 0.95 \times \left(\frac{5}{8+(5-3)}\right)\right] = 0.68 \qquad \text{(E6)}$$

The remaining $(CH_3)_2COO$* undergoes unimolecular decomposition via the vinylhydroperoxide (VHP) pathway to yield the acetonyl peroxy radical ($CH_3C(O)CH_2OO$) and OH. The remaining (*Z*)-$CH_3C(O)(CH_2)_2CHOO$ decomposes via the VHP pathway to yield $CH_3C(O)CH_2CH(O_2)CHO$ + OH, while (*E*)-$CH_3C(O)(CH_2)_2CHOO$ decomposes via 1,3 ring closure and yields $CH_3C(O)CH_2CH_3$ + CO_2 (40%), $CH_3C(O)CH_2CH_2OH$ + CO (20%), $CH_3C(O)CH_2CH_2$ + H + CO_2 (40%). Each stabilised CI can decompose via the same pathways as its respective CI*, with temperature dependent rates determined from Vereecken et al. (2017). At 298K these are 478 s^{-1}, 205 s^{-1} and 74 s^{-1} for $(CH_3)_2COO$, (*Z*)-$CH_3C(O)(CH_2)_2CHOO$ and (*E*)-$CH_3C(O)(CH_2)_2CHOO$ respectively. Alternatively, they can undergo bimolecular reaction. Reaction rates with H_2O and $(H_2O)_2$ are calculated using monomer and dimer reaction rates from Vereecken et al. (2017). Reaction rates with other trace gases are taken from Table 3 for the relevant CI structure. Figure 10 shows calculated pseudo first order reaction rates for reaction with SO_2 and RCOOH assuming atmospheric mixing ratios of [SO_2] = 5 ppbv and [RCOOH] = 5 ppbv.

https://doi.org/10.5194/acp-2021-1031
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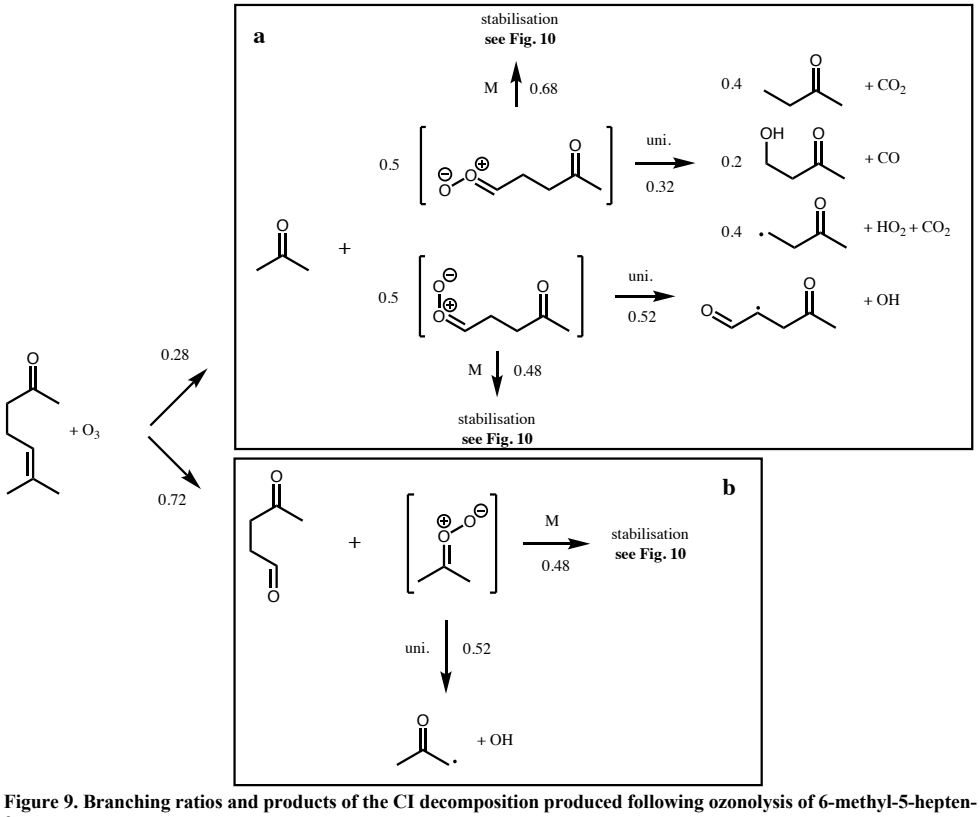

Figure 9. Branching ratios and products of the CI decomposition produced following ozonolysis of 6-methyl-5-hepten-2-one

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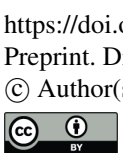

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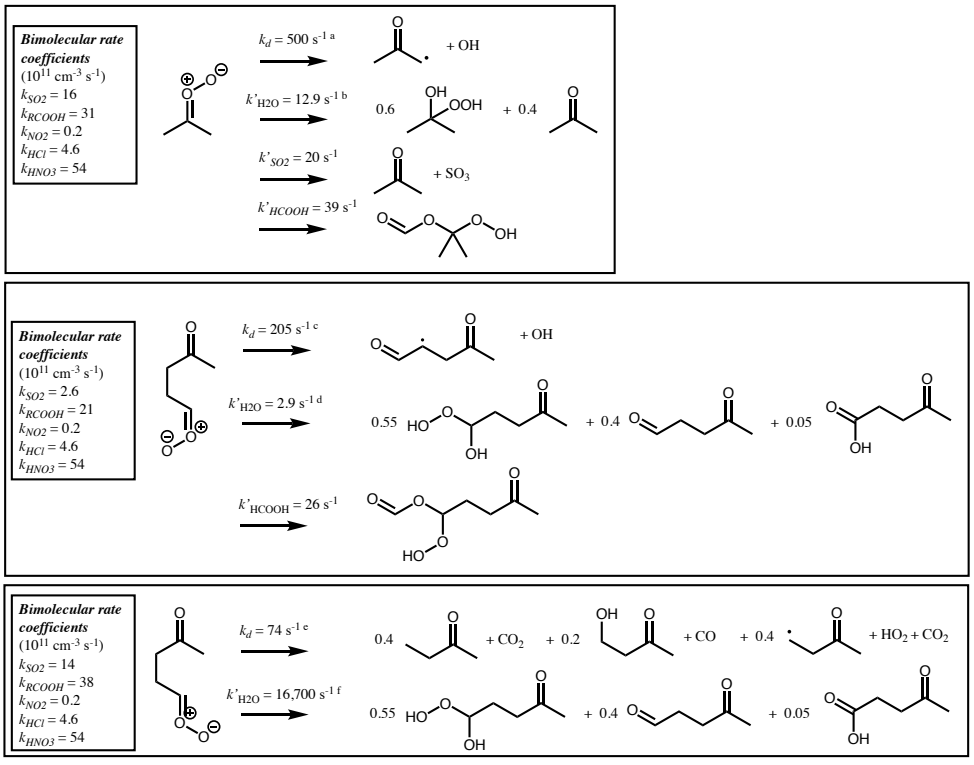

Figure 10. Bimolecular rate coefficients (see Table 3) and products of the SCI produced following ozonolysis of 6-methyl-5-hepten-2-one at 298 K. Pseudo first order loss rates (*k*') and products are shown for decomposition and reaction with water vapour, and for other pathways that contribute more than 1% of the total loss assuming $[SO_2]$ = 5 ppbv, [RCOOH] = 5 ppbv, $[H_2O]$ = 5×10^{17} cm^{-3}, $[(H_2O)_2]$ = 5×10^{14} cm^{-3}, $[NO_2]$ = 1 ppbv, [HCl] = 100 pptv, $[HNO_3]$ = 100 pptv.

[a] $7.64\times10^{-60}\times T^{23.59}e^{(2367/T)}$

[b] Sum of first order loss rates to water monomer (7.54×10^{-18} * $[H_2O]$) and dimer (1.82×10^{-14} * $[(H_2O)_2]$)

[c] $2.41\times10^{-62}\times T^{24.33}e^{(2571/T)}$

[d] Sum of first order loss rates to water monomer (1.51×10^{-18} * $[H_2O]$) and dimer (4.31×10^{-15} * $[(H_2O)_2]$)

[e] $1.57\times10^{10}\times T^{1.03}e^{(-7464/T)}$

[f] Sum of first order loss rates to water monomer (1.58×10^{-14} * $[H_2O]$) and dimer (1.75×10^{-11} * $[(H_2O)_2]$)

7 Protocol Evaluation

7.1 Experimental databases and assessment approach

A database of experimentally determined carbonyl yields, OH yields and SCI yields has been assembled in order to evaluate the new protocol (Supplement – Spreadsheets S1-S3). Experimental conditions are also recorded in the database to enable some assessment of the validity of the assumptions inherent in the experimental setup.

The Root Mean Squared Error (RMSE) and the Mean Bias Error (MBE) were examined to assess the reliability of the protocol. The RMSE and MBE are here defined as:

$$RMSE = \sqrt{\frac{1}{n}\sum_{i=1}^{n}\left(Y_{protocol} - Y_{database}\right)^2} \qquad \text{(E7)}$$

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$$MBE = \frac{1}{n}\sum_{i=1}^{n}\left(Y_{protocol} - Y_{database}\right) \quad \text{(E8)}$$

where *n* is the number of species in the dataset. The databases were split in to subsets to identify possible bias within a structural category of species (e.g. exocyclic vs endocyclic monoalkenes). The various subsets examined and their corresponding number of species are summarized in Table 4. Three databases were used to perform the protocol assessment: carbonyl yields (Spreadsheet S1), SCI yield (S2), and OH yield (S3). The RMSE and MBE computed for the full databases and the various subsets are reported in Table 4. The scatter plots of protocol yields vs database yields, by species category, are given in Figure 11 .

Table 4. Number of species (n) in the database used to compute the mean bias error (MBE) and the root mean square error (RMSE) for the OH yields, SCI yields and "longest" carbonyl yield.

	all species	acyclic monoalkene	endocyclic monoalkene	exocyclic monoalkene	poly alkene	aromatic alkene	oxygenated alkene
			OH yields				
n	46	18	8	3	10	3	4
MBE	0.02	-0.01	-0.03	-0.01	0.13	0.03	0.01
RMSE	0.13	0.06	0.09	0.12	0.23	0.09	0.16
			SCI yields				
n	22	11	5	2	3	1	0
MBE	0.05	0.02	-0.01	0.22	0.01	0.45	-
RMSE	0.12	0.04	0.04	0.22	0.06	0.45	-
			Yields of the longest carbonyl				
n	73	35	NA‡	5	5	1	27
MBE	-0.01	-0.02	-	-0.04	0.03	-0.02	0.00
RMSE	0.14	0.11	-	0.12	0.07	0.02	0.18

‡ Endocyclic alkenes do not produce a stable primary carbonyl as all possible molecules formed from the POZ fragmentation contain a carbonyl oxide moiety.

https://doi.org/10.5194/acp-2021-1031
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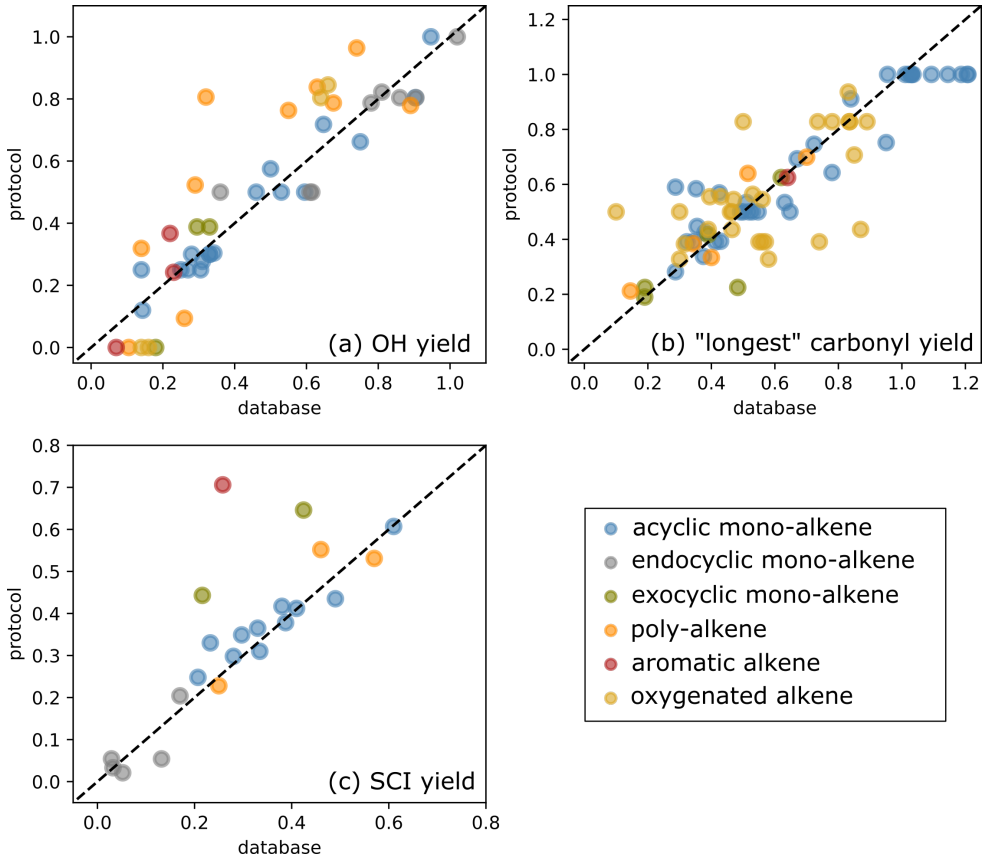

Figure 11. Scatter plot of protocol yields vs database yields: (a) OH yields, (b) yields for the "longest" carbonyl and (c) SCI yields.

7.2 Primary Carbonyl Yields

The primary carbonyl yields from alkene ozonolysis are calculated in the protocol by assigning *F* values to different functional groups adjacent to the C=C bond that determine the relative fragmentation pattern of the POZ (Section 2). The calculated primary carbonyl yields can be compared to the measurements in the experimental database. For some functional groups however, the number of data available is sparse and the carbonyl yields have been directly used to determine the *F* value. The carbonyl yields dataset should therefore rather be viewed as a training dataset than a validation dataset in this protocol assessment. Figure 11b shows the scatter plots for the calculated yields of the larger primary carbonyl (i.e. greater number of non-H atoms) formed in POZ decomposition, compared to the experimentally reported values for each alkene in the database. No substantial bias is identified in the computed carbonyl yields (MBE=-0.01). For non-oxygenated alkenes, the fit is reasonably good and the RMSE does not exceed 0.12 for the various hydrocarbon classes reported in Table 4. The major outlier is the yield of 3-methyl-2-pentanone from 3,4-diethyl-2-hexene ozonolysis. This is based on one measurement (Grosjean and Grosjean, 1997). It was noted in Jenkin et al. (2020) that the ozonolysis reaction rate reported by Grosjean and Grosjean (1997) for this compound was a significant outlier from predicted trends, and so it seems possible that this compound was incorrectly identified in the original work. For symmetrical alkenes,

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the calculated primary carbonyl yield is unity, whereas measured yields tend to cluster slightly above one. This is likely due to a small amount of secondary formation of the carbonyls from bimolecular reactions of SCI. The poorest fitted class is oxygenated alkenes (RMSE=0.18). This is likely due to a combination of factors. Firstly, the majority of these compounds have only one measurement. Secondly, measurements of multi-oxygenated VOCs are known to be more challenging than e.g. simple carbonyls. Thirdly, there is more likely to be additional chemical factors which are not yet understood in the ozonolysis of these more complex molecules influencing the POZ fragmentation. Two of the most significant outliers in the oxygenated alkenes are acrylic and methacrylic acid. As described in Section 2.2.3 it is difficult to reconcile the two available data points.

7.3 SCI yields

The yield of stabilised Criegee intermediates from an alkene-ozone reaction depends on the alkene structure (i.e. the POZ fragmentation pattern, Section 2), the dominant unimolecular decomposition route of the CI* (Section 3.2), and the size of the CI (Section 3.2). The yields calculated by the protocol are independent of the measurements in the database. SCI yields can therefore be considered as a validation dataset to evaluate the reliability of the protocol. Total SCI yields have been measured for a number of alkenes, although the dataset is still relatively small. It should also be noted that many experimentally determined SCI yields have a large uncertainty associated with them, particularly earlier experiments where analysis techniques were less developed and the chemical models lacking. Figure 11c shows the scatter plot of the total SCI yields calculated by the protocol vs experimental data. The data consists predominantly of acyclic monoalkenes, for which there is a good agreement between the measurements and the calculated values (RMSE=0.04). Figure 11c shows three major outliers for which the protocol over-predicts the measured SCI yield. These species are methylene cyclohexane and β-pinene (which constitute the subset of the exocyclic alkenes (RMSE=0.22)) and styrene, the only representative of the aromatic alkenes class in this dataset (RMSE=0.45). The methylene cyclohexane and styrene values are both based on one measurement (Hatakeyama et al., 1984), and the β-pinene value is based on two measurements (Hatakeyama et al., 1984; Newland et al., 2018) which are in poor agreement, giving values of 0.25 and 0.60 respectively. This clearly warrants revisiting experimentally, particularly with respect to the atmospherically important monoterpene β-pinene. Finally, the overall protocol SCI yields appear to be biased slightly high (+ 5%), which is mainly explained by the overestimation described above for the exocyclic and aromatic alkenes.

7.4 OH yields

The reaction of alkenes with ozone yields OH through both primary (i.e. decomposition of CI via a vinylhydoperoxide) and secondary (i.e. peroxy radical chemistry) processes. The primary process can also be split: the decomposition of chemically activated CI*, which under atmospheric conditions (and e.g. chamber laboratory experiment conditions) is assumed to happen at rates such that there is no competition with bimolecular reaction; and the decomposition of stabilised CI, which occurs in competition with bimolecular reactions so that the OH yield depends on the unimolecular rate relative to the concentrations of possible co-reactants. The primary OH yield thus depends on the POZ fragmentation pattern (Section 2) and the decomposition pathways of the CI (Section 3.2).

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Many studies have measured the OH yield for specific alkene-ozone reactions. As for the SCI yields above, the OH yield database can be viewed as a validation dataset to assess the reliability of the protocol since OH yields are not prescribed explicitly, but are a product of the protocol rules for POZ fragmentation and CI decomposition pathways. For the comparison, protocol yields are computed assuming that all SCI produced undergo unimolecular decomposition (i.e. bimolecular reactions of SCI are ignored). Although many experiments will have been designed in such a way as to try to prevent bimolecular reactions, in reality a small fraction of the SCI will react bimolecularly, not producing OH, so the computed OH yield might be considered an upper limit. On the other hand, in many of the experiments there will likely be some contribution to the measured OH yield from peroxy radical chemistry (e.g. $HO_2 + O_3$), making the reported experimental yield an upper limit. No attempt is made here to determine the relative contribution from primary or secondary processes in the reported measurements, which is dependent on both experimental setup and the particular alkene being studied, or to correct for possible bimolecular reactions. Therefore, a comparison between experimental and protocol OH yields clearly carries significant uncertainties.

With this in mind, the agreement between computed OH yields and the experimental values is very good (Figure 11a). No substantial bias is observed on the complete dataset (MBE=0.02). It is difficult to comment on some classes as they contain only one or two compounds (see Table 4). The protocol appears especially reliable for estimating the OH yields for monoalkenes (RMSE=0.06) and endocyclic alkenes (RMSE=0.09). The class for which the protocol does worst is polyalkenes (RMSE=0.23), with a systematic over-prediction at higher OH yields (MBE=0.13). There are five compounds for which the protocol calculates an OH yield of zero (styrene, 1,3-butadiene, methyl vinyl ketone, methacrolein, and camphene). The measured OH yields of these compounds are all below 0.2 and the measured OH could be a result of peroxy radical chemistry.

8 Conclusions

This manuscript provides a protocol by which the central features of alkene ozonolysis chemistry can be included in an explicit automatic chemical mechanism generator. It also serves to highlight the many gaps that remain in our knowledge of this complex, atmospherically important, process. This will hopefully help direct both experimental and theoretical research towards improving understanding in these areas. Some of the major areas of uncertainty identified in this work include:

(i) The impact of oxygenated substituents on POZ fragmentation
(ii) The impact of alkene structure on (*E*)/(*Z*)-CI conformer yields
(iii) Products of the hot acid / ester channel and trends in the stabilisation of the hot acid / ester with size
(iv) Further details of the mechanisms and products of non-Criegee ozonolysis chemistry, e.g. step-wise decomposition of the POZ via a carbonyl hydroperoxide
(v) Product distributions of some of the major atmospheric SCI bimolecular reactions – e.g. the reaction of (*Z*)-RCHOO / CH_2OO with H_2O / $(H_2O)_2$
(vi) Experimental evidence of the products of conjugated alkene ozonolysis
(vii) Data on OH and SCI yields from alkenes with (multiple) functional groups

The reliability of the protocol designed in this work was assessed using experimental values for the OH, SCI and primary carbonyl yields. For these three datasets, the Mean Bias Error (MBE) for the protocol based yields is

https://doi.org/10.5194/acp-2021-1031
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below 0.05, with no substantial bias identified. The protocol currently provides a fairly reliable estimate of the OH, SCI and primary carbonyl yields with Root Mean Squared Errors (RMSE) of 0.12, 0.13 and 0.15, respectively. However, the number of data available for some classes of compounds remains limited, such as oxygenated, exocyclic and poly-alkenes. The errors in the yields calculated for these species are also the most substantial, and additional experimental data for these categories of compound would be highly valuable to improve the protocol and its assessment.

Acknowledgements

This work was performed as part of the MAGNIFY project, with funding from the French National Research Agency (ANR) under project ANR-14-CE01-0010, and the UK Natural Environment Research Council (NERC) via grant NE/M013448/1. It was also partially funded by the European Commission (EUROCHAMP-2020 (grant no. 730997)) and the UK National Centre for Atmospheric Sciences (NCAS) Air Pollution Theme.

Special issue statement

This article is part of the special issue "Simulation chambers as tools in atmospheric research (AMT/ACP/GMD inter-journal SI)". It is not associated with a conference.

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