



1 **Measurement report: Size-resolved chemical characterisation of aerosols in**
2 **low-income urban settlements in South Africa**

3 Constance K. Segakweng¹, Pieter G. van Zyl^{2*}, Cathy Liousse³, Johan P. Beukes², Jan-Stefan
4 Swartz², Eric Gardrat³, Maria Dias-Alves,³ Brigitte Language¹, Roelof P. Burger¹, Stuart J.
5 Piketh¹

6 ¹ Unit for Environmental Sciences and Management, North-West University, Potchefstroom Campus, South
7 Africa

8 ² Chemical Resource Beneficiation, North-West University, Potchefstroom Campus, South Africa

9 ³ Laboratoire d'Aérologie, Université Paul Sabatier, Toulouse III, France

10 *Corresponding author: PG van Zyl (Pieter.VanZyl@nwu.ac.za)

11

12 **Abstract**

13 Naturally and anthropogenically emitted aerosols, which are determined by their physical and
14 chemical properties, have an impact on both air quality and the radiative properties of the
15 earth. An important source of atmospheric particulate matter (PM) in South Africa is
16 household combustion for space heating and cooking, which predominantly occurs in low-
17 income urban settlements. The aim of this study was to conduct a detailed size-resolved
18 assessment of chemical characteristics of aerosols associated with household combustion
19 through the collection of particulates in low-income urban settlements in South Africa in
20 order to quantify the extent of the impacts of atmospheric pollution within these settlements.
21 Outdoor (ambient) and indoor aerosols in different size fractions were collected during
22 summer and winter in four low-income urban settlements located in the north-eastern interior
23 on the South African Highveld. The mass concentration and chemical composition was
24 determined for three size fractions, namely, PM₁, PM_{2.5} and PM_{2.5-10}. The highest
25 concentrations of particulates were measured indoors with the highest mass concentration
26 determined in the indoor PM_{2.5-10} (coarse) size fraction. However, the highest mass
27 concentrations were determined in PM₁ in all outdoor aerosol samples collected during winter
28 and summer, as well as in indoor samples collected during summer.

29 Significantly higher concentrations were determined for SO₄⁻² in outdoor and indoor
30 particulates compared to other ionic species, with NH₄⁺ and NO₃⁻ being the second most
31 abundant. SO₄⁻² and NH₄⁺ almost exclusively occurred in the PM₁ size fraction, while NO₃⁻



32 was the major constituent in the larger size fractions. The highest SO_4^{2-} levels were recorded
33 for the winter and summer outdoor campaigns conducted at Zamdela, while NO_3^- and NH_4^+
34 concentrations were higher during the winter outdoor campaign. The combined
35 concentrations of trace elements were higher for indoor particulates compared to outdoor
36 aerosols, while the total trace element concentrations in PM_1 were substantially higher than
37 levels thereof in the two larger size fractions of particulates collected during all sampling
38 campaigns.

39 No distinct seasonal trend was observed for the concentrations of trace elements. Na, Ca and
40 Cr had the highest concentrations in particulates collected during outdoor and indoor
41 sampling campaigns. Ni concentrations in outdoor and indoor aerosols exceeded the annual
42 average European standard PM_1 collected during all sampling campaigns in low-income
43 urban settlements had the highest organic- and elemental carbon (OC and EC) concentrations.
44 The highest OC and EC levels were determined in PM_1 collected during the winter indoor
45 campaign. OC and EC concentrations were highest during winter, which can be attributed to
46 changes in meteorological patterns and increased household combustion during winter. Low
47 OC/EC ratios determined for particulates collected in low-income urban settlements are
48 indicative of OC and EC being mainly associated with local sources of these species. OC
49 concentrations determined in this study were an order of magnitude lower than OC
50 concentrations determined for ambient aerosols collected in the north-eastern interior of
51 South Africa, while similar EC levels were measured. According to estimated dust
52 concentrations, it was indicated that dust is the major constituent in all size ranges of
53 particulates collected in this study, while trace elements were the second most abundant.
54 However, trace elements made the highest contribution to indoor PM_1 and $\text{PM}_{1-2.5}$ mass.
55 Mass concentrations and chemical concentrations determined for aerosols collected in low-
56 income settlements reflects the regional impacts of anthropogenic sources in the north-eastern
57 interior of South Africa, as well as the influence of local sources.

58 **Keywords:** inorganic ions; trace elements; organic carbon; elemental carbon; mass closure;
59 air quality

60



61 **1 Introduction**

62 Atmospheric aerosols or particulate matter (PM) are either emitted into the atmosphere
63 directly as primary aerosols by anthropogenic activities (e.g. incomplete combustion of fossil
64 fuels, vehicular traffic, industrial processes and household combustion) and natural (e.g.
65 volcanic eruptions, sea salts and wind-blown dust) sources, or form in the atmosphere as
66 secondary aerosols. (Pöschl, 2005). The environmental impacts of atmospheric aerosols are
67 mainly related to climate change and air quality, which include direct and indirect effects on
68 cooling/warming of the atmosphere, as well as adverse influences on human health,
69 especially, related to respiratory diseases (Guinot et al., 2007).

70 The impacts of atmospheric PM on health and radiative forcing are determined by their
71 physical (e.g. size, mass, optical density) and chemical properties. Larger particle can, for
72 instance, be filtered in the nose and throat, while smaller particles can penetrate through the
73 gas-exchange sections of the lungs and affect other organs (Pope and Burnett, 2002). In
74 addition, the chemical composition of aerosols can influence radiative forcing since lighter
75 coloured aerosols (e.g. sulphate, SO_4^{2-}) reflects incoming solar radiation causing net cooling
76 of the atmosphere, while darker absorbing particulates (e.g. black carbon) contribute to
77 warming of the atmosphere. PM is typically classified according to size fractions, which
78 include course (aerodynamic diameter 2.5-10 μm , $\text{PM}_{10-2.5}$), fine (aerodynamic diameter 1-
79 2.5 μm , $\leq\text{PM}_{2.5-1}$) and ultrafine particulates (aerodynamic diameter $<1 \mu\text{m}$, PM_1) (Venter et
80 al., 2017; Pope & Dockery, 2006; Seinfeld & Pandis, 2006). Atmospheric aerosols comprise
81 numerous organic and inorganic compounds, which also influences their physical
82 characteristics. Many studies have been conducted to chemically characterise atmospheric
83 aerosols from various sources in order to reduce uncertainties associated with their impacts
84 (Sciare et al., 2005; Kulmala et al., 2011).

85 Inorganic species in PM include trace elements and inorganic ions, while organic species are
86 generally categorised into organic – and elemental carbon (OC and EC, respectively). The
87 major inorganic ionic species generally considered include SO_4^{2-} , nitrate (NO_3^-), ammonium
88 (NH_4^+), sodium (Na^+), potassium (K^+), chloride (Cl^-), calcium (Ca^{2+}), magnesium (Mg^{2+}) and
89 fluoride (F^-) (Venter et al., 2017; Ibrahim & Habbani, 2013; Pöschl, 2005). These inorganic
90 ions in atmospheric PM have a significant influence on the acidity potential of the
91 atmosphere, which will also have an impact on ecological systems through the wet and dry
92 deposition (Conradie et al, 2016). Atmospheric aerosols comprise various trace elements,



93 which include sodium (Na), silicon (Si), magnesium (Mg), aluminium (Al), potassium (K),
94 calcium (Ca), titanium (Ti), chromium (Cr), manganese (Mn), iron (Fe), arsenic (As), barium
95 (Ba), cadmium (Cd), copper (Cu), nickel (Ni), zinc (Zn), vanadium (V), molybdenum (Mo),
96 mercury (Hg) and lead (Pb) (Adgate et al., 2007; Pacyna, 1998). Atmospheric PM also
97 comprise a large number of organic compounds (Goldstein and Galbally, 2007), which are
98 generally reported as a collective due to the complexities associated with identifying
99 individual organic compounds (Chiloane et al., 2017; Maritz et al., 2019; Booyens et al.,
100 2014). Detailed chemical characterisation of atmospheric aerosols allows for chemical mass
101 closure, which is an important tool in establishing major sources and impacts of these species,
102 as well as chemical transformation processes involved on local, regional and global scales
103 (Guinot et al., 2007; Sciare et al., 2005).

104 South Africa has one of the largest industrialised economies in Africa with significant
105 industrial, mining and agricultural activities (Josipovic et al., 2019), while being regarded a
106 significant source region of atmospheric pollutants (Venter et al., 2017). In addition, coal-
107 fired power stations produce > 90% of the electricity in South Africa, while seasonal open
108 biomass burning (wildfires) also has a large impact on air quality in this region (Vakkari et
109 al., 2014). An important source of atmospheric pollutants in South Africa revealed through
110 various studies is household combustion for space heating and cooking predominantly
111 occurring in low-income urban settlements (e.g. Venter et al., 2012; Chiloane et al., 2017;
112 Maritz et al., 2019; Laban et al., 2018). Most households in these settlements use low-grade
113 fuels such as coal or wood to meet their energy demands (Adesina et al., 2020; Xulu et al.,
114 2020). These practises contribute significantly to emissions of atmospheric pollutants on a
115 local and regional scale, while serious health risks associated with indoor and outdoor
116 exposure are posed for people within these communities (Language et al., 2016; Xulu et al.,
117 2020). Several studies have shown that indoor air pollution often dominate human exposure
118 in these settlements to atmospheric pollutants, since health effects are not only determined by
119 air pollution levels, but also by the extent of exposure, i.e. the time spent within proximity of
120 polluted air. (Manisalidis et al., 2020; Bruce et al., 2000). In addition to household
121 combustion, waste burning within these settlements also contributes to poor ambient air
122 quality in these low-income communities (Language et al., 2016).

123 In this study, an assessment of the chemical characteristics of aerosol species in different size
124 fractions, namely, (PM₁, PM_{1-2.5}, PM_{2.5-10}) in low-income urban settlements in South Africa is
125 conducted. The concentrations of particulate inorganic ions, trace elements, as well as OC



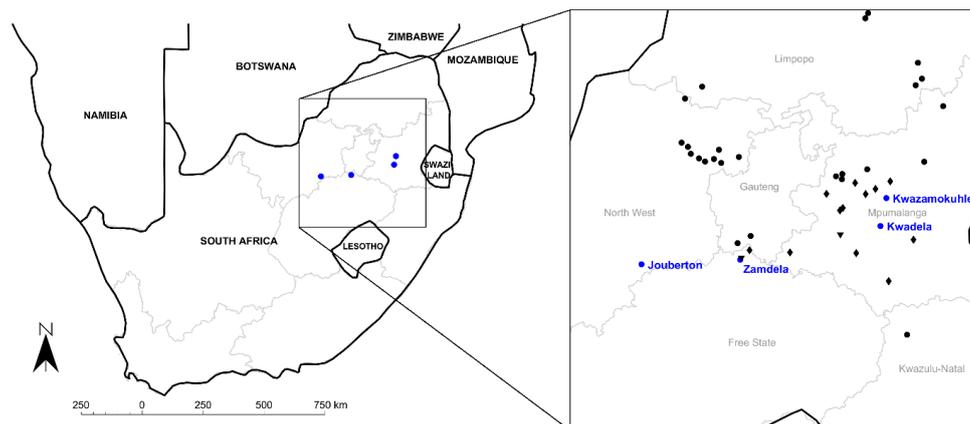
126 and EC, were determined in order to better understand the importance of the sources
127 contributing to the various chemical species in these settlements.

128 **2 Experimental**

129 **2.1 Site descriptions**

130 Aerosol samples were collected at four low-income urban settlements located in the north-
131 eastern interior on the South African Highveld, i.e. Kwadela (S -26.463200 E 29.663124),
132 Kwazamokuhle (S -26.138252, E 29.738953), Zamdela (S -26.8373100, E 27.843500) and
133 Jouberton (S -26.906231, E 26.584010) as indicated in Figure 1. This is the largest
134 industrialised area in South Africa, with this region holding several pyrometallurgical
135 industries, mines, coal dumps, two large petrochemical plants and a cluster of coal-fired
136 power stations (11 of 13 South African coal-fired power stations are located in this region)
137 (Laban et al., 2018). In addition, this area is also influenced by large scale seasonal open
138 biomass burning (wildfires) during the dry season (Vakkari et al., 2014), while household
139 combustion is also an important source of atmospheric pollutants in this relatively densely
140 populated area (Chiloane et al., 2017; Laban et al., 2018). Measurements at Kwadela,
141 Kwazamokuhle and Zamdela were conducted within the framework of the air quality offset
142 programme, which is a legal measure introduced by the South African government whereby
143 industries receive leniency from complying with emission standards by reducing ambient
144 pollution levels through interventions in low-income urban settlements (Langerman et al.,
145 2018). Aerosols sampled at Jouberton were part of the Prospective Household cohort study of
146 Influenza, Respiratory Syncytial virus and other respiratory pathogens community burden
147 and Transmission dynamics in South Africa (PHIRST) (NICD, 2018).

148



151

152 **Figure 1:** Map of South Africa indicating the location of the four low-income urban
153 settlements and major large point sources within the north-eastern interior.

154

155 The South African Highveld is characterised by a distinct dry and wet season with the dry
156 season typically occurring from mid-May to mid-October, which coincides with the South
157 African winter from June to August. More pronounced inversion layers and increased
158 anticyclonic recirculation of air masses during winter traps pollutants near the surface
159 causing, in conjunction with reduced wet scavenging, pollution build-up over this region
160 during this period (Tyson and Preston-Whyte, 2000). In addition, the winter months are also
161 characterised by increased household combustion for space heating and cooking, while
162 seasonal open biomass burning generally occurs in the period from August to October,
163 typically peaking during spring in September (Laban et al., 2018). Furthermore, the removal
164 rate of atmospheric pollutants associated with precipitation is also reduced during the dry
165 season (Venter et al., 2018).

166 Kwadela is situated in the Mpumalanga Province, between the towns Ermelo and Bethal,
167 approximately 180 km east-south-east of the Johannesburg-Pretoria conurbation (Figure 1).
168 In addition to being influenced by regional air pollution, this low-income settlement is also
169 exposed to traffic emissions from the nearby (~500 m) N17 national highway.
170 Kwazamokuhle is located near the town Hendrina in the Mpumalanga Province,
171 approximately 150 km east of the Johannesburg-Pretoria conurbation (Figure 1). This low-
172 income settlement is located within proximity of three large coal-fired power stations, i.e.
173 Arnot-, Komati- and Hendrina power station as indicated in Figure 1. Zamdela is situated on



174 the south banks of the Vaal River in proximity of the town Sasolburg in the Free State
175 Province in the densely populated and highly industrialised Vaal Triangle region (Figure 1).
176 Sources within this region include a large petrochemical plant, a coal-fired power station and
177 a number of pyrometallurgical smelters (Conradie et al., 2016). Jouberton is a low-cost urban
178 settlement nearby the town Klerksdorp in the North West Province as indicated in Figure 1
179 (approximately 180 km south-west of the Johannesburg-Pretoria conurbation). Main
180 anthropogenic activities in this region of South Africa are related to large mining industries
181 and agriculture, while this area is also impacted by regional air pollution associated with the
182 north-eastern interior as indicated by several studies at the Welgegund atmospheric
183 monitoring site approximately 80 km east of Klerksdorp (e.g. Booyens et al., 2014; Venter et
184 al., 2017).

185 2.2 Sample collection

186 All aerosol samples in this study were collected using a set of three 5-stage cascade sioutas
187 impactors (Josipovic et al., 2019), each connected to a pump and running in parallel at a flow
188 rate of 9L/min. These impactors allowed for the collection of PM in the 2.5 – 10 μm , 1.0 –
189 2.5 μm , 0.50 – 1.0 μm , 0.25 – 0.50 μm and <0.25 μm aerodynamic diameter size ranges. One
190 impactor was equipped with Teflon filters for the determination of inorganic ions, water-
191 soluble organic acids and trace elements, the second impactor with quartz filters for OC and
192 EC analysis, and the third impactor was loaded with Nucleopore filters for the health tests.
193 The latter was beyond the scope of this paper and is not discussed further. 25 mm filters and
194 37 mm back filters were used in each impactor. 72-hour outdoor (ambient) aerosol samples
195 were collected during two-week periods, while 24-hour indoor PM samples were collected
196 for a duration of seven days. These sampling times were chosen in order to collect sufficient
197 amounts of PM for chemical analysis. Filters were placed in petri dishes after sampling,
198 which were sealed and stored in a freezer until they were analysed. A five-decimal Mettler
199 Toledo microbalance was used to weigh filters prior to and after sampling.

200 Outdoor aerosol samples collected during the three summer campaigns allowed for a spatial
201 assessment of outdoor aerosol characteristics associated with low-income urban settlements
202 located in the north-eastern interior of South Africa, while the one winter campaign at
203 Zamdela was considered indicative of temporal variability associated with outdoor aerosols
204 in these settlements. Indoor measurements conducted at Jouberton through a summer and
205 winter campaign allowed for comparison between outdoor and indoor aerosol characteristics.



206 In spite of the above-mentioned challenges associated with sampling in low-income
207 settlements in South Africa, the results presented in this paper can be considered a good
208 representation of chemical composition of aerosols in these settlements.

209 At Kwadela, outdoor aerosol measurements were conducted at Kwadela Primary School from
210 30 March to 14 April 2015. Outdoor aerosol samples were collected inside the church yard at
211 Kwazamokuhle (same site where compliance air quality monitoring is being conducted) from
212 23 February until 07 March 2016. Measurements at Zamdela were conducted at the Theha
213 Setjhaba primary school. The summer sampling campaign at Zamdela was conducted from
214 09 to 23 March 2017, while the winter campaign occurred from 15 to 30 July 2016. The
215 summer and winter indoor sampling campaigns at Jouberton were performed at three houses
216 from 18 April to 19 May 2016 and 01 August 2016 to 16 August 2016, respectively.
217 Although these three houses were electrified, paraffin was also burned as an alternative
218 source of energy. Sampling was only conducted at two of the three houses during the winter
219 indoor campaign, due to logistical restraints. In addition, instrument failure contributed to one
220 of the sioutas not being available for sampling during the winter sampling campaign at
221 Jouberton. It was decided to use the two available sioutas to collect PM samples for OC and
222 EC analysis, as well as the health tests during this campaign. These summer sampling periods
223 can be considered representative of summer, since a recent study indicated that October to
224 March can be classified as summer months in South Africa (Van der Walt and Fitchett,
225 2020).

226 In total, 134 aerosol samples were collected for this study, which included 43 outdoor
227 samples at Kwadela (9), Kwazamokuhle (12) and Zamdela (24), while 91 indoor samples
228 were collected at Jouberton.

229

230 **2.3 Chemical analyses**

231 **2.3.1 Inorganic ions and water-soluble organic acids**

232 Similar to the method described by Van Zyl et al., (2014), Venter et al., (2014) and Venter et
233 al., (2017), sampled Teflon filters were divided into two halves by a specially designed punch
234 to allow for the analyses of trace elements, as well as inorganic ions and water-soluble
235 organic acids. Inorganic ions and water-soluble organic acids in the collected PM samples
236 were extracted with 5 or 10 mL (depending on sample load) deionised water (resistivity \approx



237 18.2 M Ω) in an ultrasonic bath for 30 min. The extracted aqueous samples were then
238 analysed by suppressed conductivity with a Dionex ICS 3000 ion chromatograph (IC), with
239 an IonPac AS18 (2 mm x 50 mm) analytical column and IonPac AG18 (2 mm x 50 mm)
240 guard column. Inorganic ionic species determined include SO₄²⁻, NO₃⁻, Cl⁻, F⁻, Na⁺, NH₄⁺, K⁺,
241 Mg²⁺, and Ca²⁺, while water-soluble organic acids (OA) include formic- (COO⁻), acetic-
242 (CH₃COO⁻), propionic- (C₂H₅COO⁻) and oxalic acid (C₂O₄²⁻). Standard stock solutions for
243 each ionic species analysed were obtained from Industrial Analytical. The detection limits
244 (DL) of each of these species are presented in Table 1 below.

245 **Table 1.** DLs (ppb) of inorganic ions and water-soluble organic acids determined in this
246 study

247

SO ₄ ²⁻	NO ₃ ⁻	Cl ⁻	F ⁻	Na ⁺	NH ₄ ⁺	K ⁺	Mg ²⁺	Ca ²⁺
5,699	52,581	17,456	10,750	33,584	20,128	27,244	21,047	18,718
COO ⁻	CH ₃ COO ⁻	C ₂ H ₅ COO ⁻	C ₂ O ₄ ²⁻					
18,202	28,147	15,73	17,242					

248

249 2.3.2 Trace elements

250 The other halve of the sampled Teflon filter was subjected to hot acid leaching (Mouli et al.,
251 2006), which entailed placing the filter in a 100 mL Erlenmeyer flask with 20 mL
252 concentrated HNO₃ and 40 mL deionised water. The mixture was boiled for 5 min and then
253 refluxed for three hours after the addition of 5 mL concentrated HCl. The extract was cooled
254 and then diluted in 100 mL deionised water for subsequent analysis with an Agilent 7500c
255 inductively coupled plasma mass spectrometer (ICP-MS). In total, 35 trace elements could be
256 detected, which included Be, B, Na, Mg, Al, P, K, Ca, Ti, V, Cr, Mn, Fe, Cu, Co, Ni, Zn, As,
257 Se, Rb, Sr, Mo, Pd, Ag, Cd, Sb, Ba, Pt, Au, Hg, Tl, Pb, Bi, Th and U. Trace element
258 concentrations below the DL of the ICP-MS (DLs listed in Table 2) were considered to have
259 concentrations of half the detection limit of the species considered, which is a precautionary
260 assumption that is commonly used in health-related environmental studies (e.g. Van Zyl et
261 al., 2014). Be and Tl levels were below the detection limit of the analytical technique in all
262 three size fractions for particulate samples collected during all sampling campaigns, while
263 concentrations of Cd, Sb, Ba, Cu, As, Se, Rb, Sr, Mo, Pd, Ag, Pb, Au, Hg, Ti, Co, Pt, Bi, Th
264 and U were very low, and below the detection limit in 75% or more collected samples.
265 Similarly to inorganic ions and water-soluble OAs, trace element concentrations could also
266 not be determined during the winter indoor campaign.

267



268 **Table 2.** DLs (ppb x 10⁻²) of trace elements determined in this study

Be	B	Na	Mg	Al	P	K	Ca	Ti	V	Cr	Mn	Fe	Co
1,165	19,04	29,58	22,09	65,07	377,3	406,9	93,2	1,432	4,466	359,9	4,156	60,72	0,625
Ni	Cu	Zn	As	Se	Rb	Sr	Mo	Pd	Ag	Cd	Sb	Ba	Pt
3,229	4,186	6,212	5,686	8,559	0,268	1,238	0,681	0,246	2,447	1,119	0,712	1,670	0,381
Au	Hg	Tl	Pb	Bi	Th	U							
2,156	2,836	0,411	0,587	0,309	0,160	0,113							

269

270 2.3.3 Carbonaceous aerosol

271 OC and EC concentrations were determined from aerosols collected pre-fired on quartz filters
272 with a two-step thermal procedure developed by Cachier et al., (1989) at the Laboratoire
273 d'Aerologie. This procedure entailed halving the filters with one part of the filter heated in a
274 pre-combustion oven under pure oxygen for two hours at a relatively low temperature
275 (340°C) to drive off all OC, after which the sample was oxidised in order to determine EC
276 content with a G4 ICARUS carbon analyser equipped with a non-dispersive infrared detector
277 (NDIR). The other part of the filter was directly analysed for total carbon content with the
278 carbon analyser (Adon et al., 2020). OC content could then be obtained from the difference
279 between the measured TC and EC concentrations. The detection limit for OC/EC analysis
280 was 2 µgC.cm⁻².

281

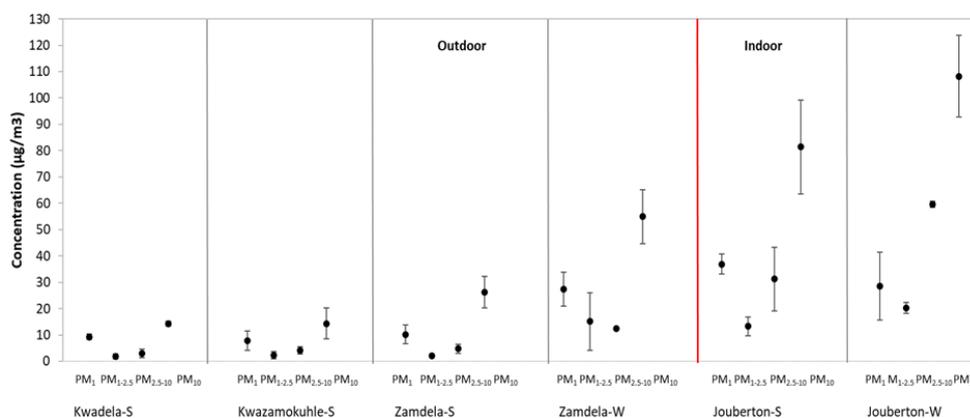
282 3. Results and discussion

283 3.1 Mass concentration

284 The mass concentrations determined for the PM₁, PM_{1-2.5} and PM_{2.5-10} size fractions, as well
285 as for PM₁₀ (combination of mass concentrations of the three size fractions) at each site
286 during the different sampling campaigns are presented in Figure 2 (S denotes a summer
287 campaign, while W designates a winter campaign). It is evident from Figure 2 that indoor
288 PM_{2.5-10} samples collected during winter at Jouberton had the highest mean mass
289 concentration (59.6 µg.m⁻³), while the highest average PM₁₀ mass concentration was also
290 recorded during this sampling campaign (108.3 µg.m⁻³). Moderately lower mean PM mass
291 concentrations were determined during the summer indoor campaign in comparison to the
292 winter indoor campaign. In general, PM mass concentrations were higher for indoor samples
293 compared to aerosol mass concentrations determined for outdoor samples. Average PM mass
294 concentrations determined for outdoor samples collected during winter at Zamdela were



295 moderately lower than the mean aerosol mass concentration determined during the summer
296 indoor campaign, while being higher (with higher mean mass concentrations in each size
297 fraction) than average PM mass concentrations measured during the three summer outdoor
298 campaigns for which similar mean aerosol mass concentrations were recorded.



300 **Figure 2:** Mean aerosol mass concentrations (\pm standard deviation) measured in PM_1 , $PM_{1-2.5}$
301 and $PM_{2.5-10}$ at each site (S denotes a summer campaign, while W designates a winter
302 campaign). Average PM_{10} mass concentrations are also indicated

303

304 The PM mass concentrations determined in this study correspond to levels determined for
305 PM in other recent studies in low-income settlements, which also include measurements at
306 Kwadela and Kwazamokuhle (Adesina et al., 2020; Langerman et al., 2018; Xulu et al., 2020;
307 Language et al., 2016; Kapwate et al., 2018). These studies also reported similar trends in PM
308 mass concentrations, i.e. higher mass concentrations in winter compared to summer, while
309 indoor PM mass concentration were also generally higher (in some instances significantly
310 higher) than outdoor mass concentrations. As mentioned above (Section 2.1), higher
311 concentrations of pollutant species in winter in this part of South Africa can be attributed to
312 pollution build-up associated with meteorological conditions and increased household
313 combustion for space heating.

314 Comparison of aerosol mass concentrations of different size fractions indicates that the PM_1
315 size fraction had the highest mass concentration in all outdoor aerosol samples collected
316 during winter and summer, as well as in indoor samples collected during summer. However,
317 higher mass concentration was observed for the $PM_{2.5-10}$ size fraction of summer indoor



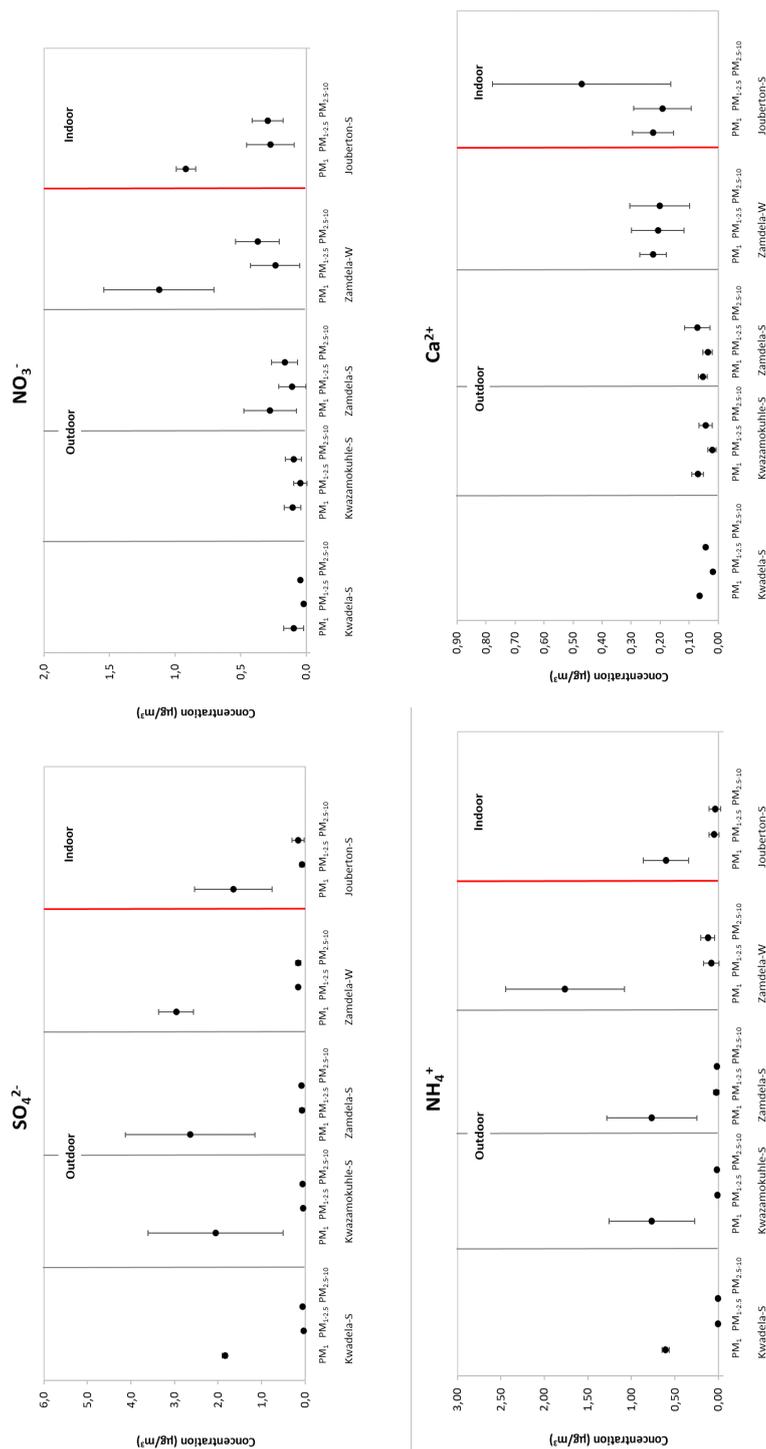
318 samples, while the $PM_{2.5-10}$ size fraction had the highest mass concentration for indoor
319 samples collected during winter as mentioned above. The lowest mass concentrations were
320 determined for the $PM_{1-2.5}$ size fractions during all the sampling campaigns at all the sites,
321 with the exception of winter outdoor samples collect at Zamdela where the $PM_{2.5-10}$ size
322 fraction had the lowest mass concentration. Ultrafine PM is indicative of secondary aerosol
323 formation, while course particulates is generally associated with terrigenous sources (e.g.
324 wind-blown dust). These mass concentration profiles observed for the size fractions of
325 aerosols collected during each sampling campaign in this study will be explored in
326 subsequent sections through assessment of the size-resolved chemical composition of PM.

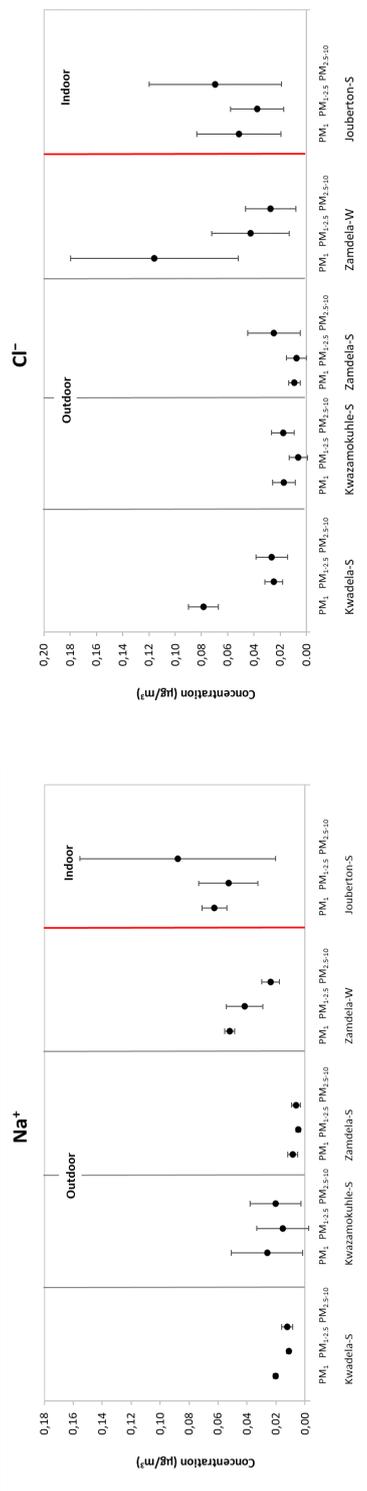
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328 **3.2 Inorganic ions and water-soluble organic acids**

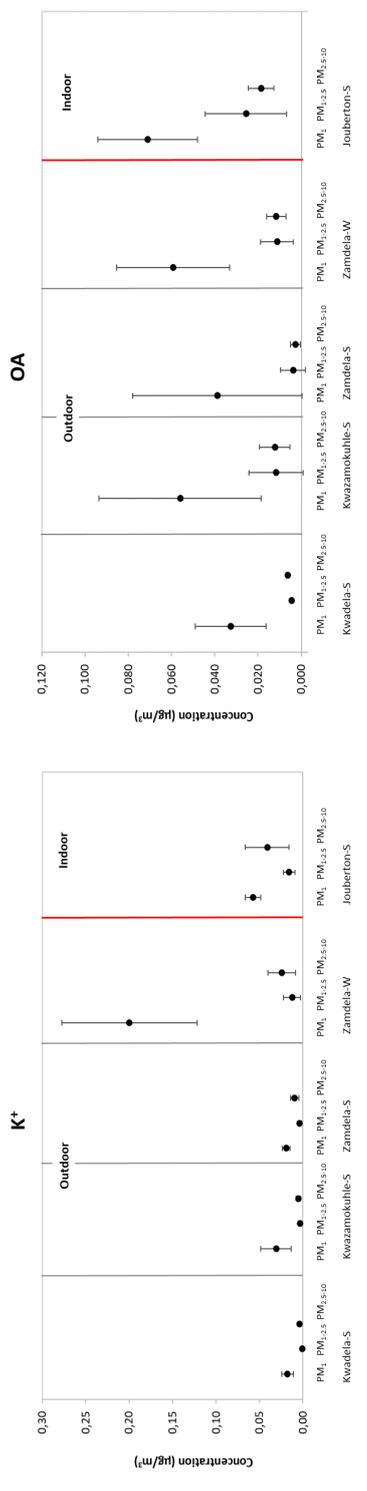
329 In Figure 3, the concentrations of each ionic species determined in the three size fractions at
330 each site during the respective sampling campaigns are presented, while Figure 4(a) presents
331 the concentrations of each of these ionic species for PM_{10} and Figure 4(b) presents the
332 normalised concentration distribution of each of these ionic species in the three size fractions.
333 Concentrations of COO^- , CH_3COO^- , $C_2H_5COO^-$ and $C_2O_4^{2-}$ were combined and presented as
334 a total for water-soluble OA (Conradie et al, 2016). As mentioned previously (Section 2.1),
335 inorganic ions and water-soluble OAs were not determined during the winter indoor
336 campaign at Jouberton due to instrument failure.

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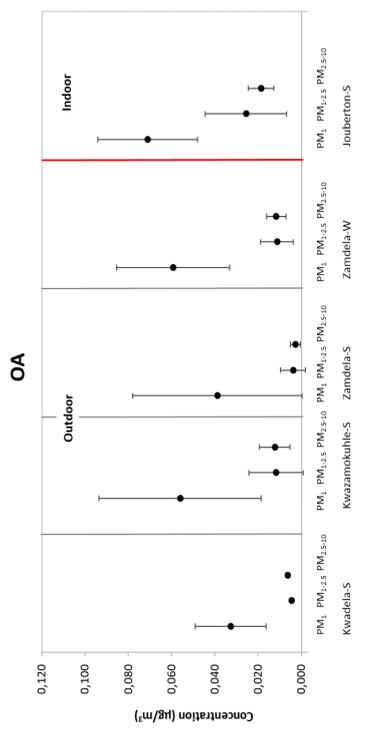
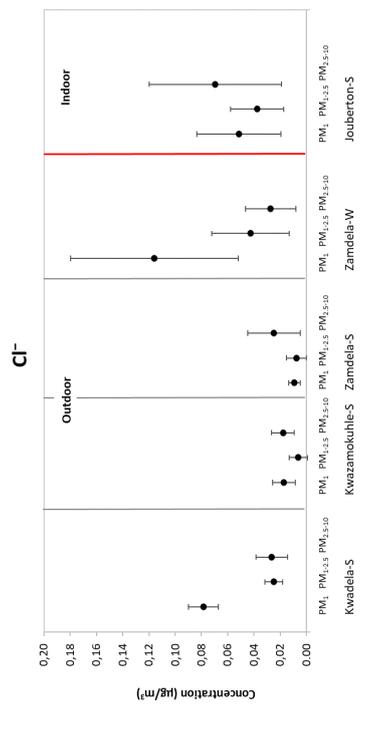




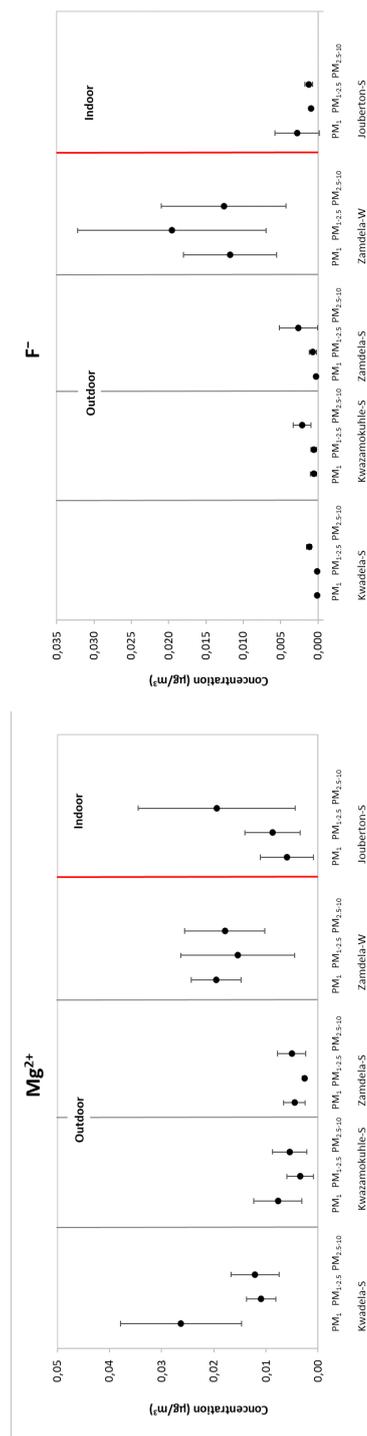
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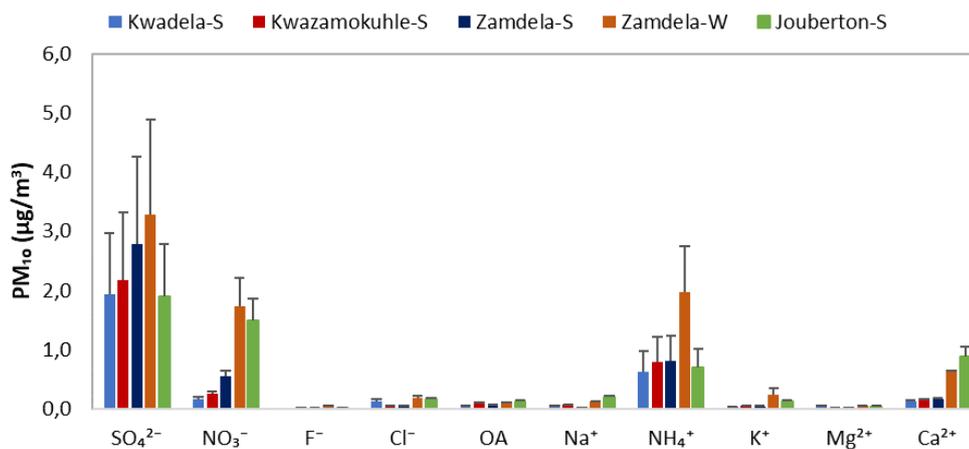
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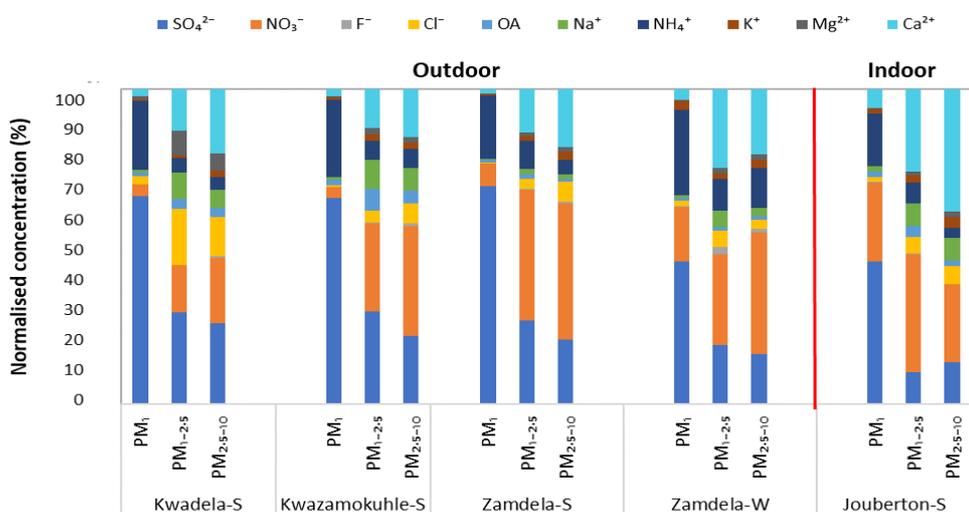
344 **Figure 3:** Mean concentrations (\pm standard deviation) of inorganic ionic species and water-soluble OAs measured in PM₁, PM_{1-2.5} and PM_{2.5-10} at
 345 each site during respective sampling campaigns



347



348



350

352 **Figure 4:** (a) Mean concentrations (\pm standard deviation) of inorganic ionic species and
 353 water-soluble OAs in PM_{10} , and (b) normalised concentration distributions of these species in
 354 PM_1 , $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site during respective sampling campaigns

355

356



357 It is evident from Figure 3 and 4(a) that the highest concentration was determined for SO_4^{2-} at
358 each site during the respective sampling campaigns, while NH_4^+ and NO_3^- were the second
359 most abundant species. Moderately higher Ca^{2+} levels were also measured, especially, during
360 the winter outdoor and the summer indoor campaigns with the mean Ca^{2+} concentration
361 determined for the latter sampling campaign being higher than the average NH_4^+
362 concentration measured during this campaign. Concentrations of other ionic species were at
363 least an order of magnitude lower compared to SO_4^{2-} , NH_4^+ and NO_3^- levels. The highest SO_4^{2-}
364 concentrations were determined during the summer and winter outdoor campaigns
365 conducted at Zamdela, while similar SO_4^{2-} levels were determined for the other two summer
366 outdoor campaigns and the summer indoor campaign. NO_3^- concentrations determined for the
367 winter outdoor and summer indoor campaigns were significantly higher compared to levels
368 thereof measured during the three summer outdoor campaigns, while the NH_4^+ concentration
369 recorded for the winter outdoor campaign were significantly higher compared to NH_4^+ levels
370 determined for other sampling campaigns. NH_4^+ concentrations were higher than NO_3^- levels
371 for the three summer outdoor campaigns, while NO_3^- concentrations exceeded NH_4^+ levels
372 during the winter outdoor and summer indoor campaign. SO_4^{2-} concentrations for the four
373 summer (outdoor and indoor) were nearly 4 times higher compared to NH_4^+ levels, while
374 being approximately two times higher than NH_4^+ concentrations determined for the winter
375 outdoor campaign. NO_3^- concentrations were approximately three times lower than SO_4^{2-}
376 levels measured during the winter outdoor and summer indoor campaigns, and almost an
377 order of magnitude lower compared to SO_4^{2-} concentrations determined for the three summer
378 outdoor campaigns.

379 SO_4^{2-} and NH_4^+ levels in the PM_1 size fraction were an order of magnitude higher compared
380 to their respective concentrations in the two larger size fractions for aerosol samples collected
381 during all sampling campaigns, while OA concentrations in PM_1 were approximately 5 times
382 higher than levels thereof in $\text{PM}_{1-2.5}$ and $\text{PM}_{2.5-10}$. NO_3^- concentrations in samples collected
383 during the winter outdoor and summer indoor campaign were also an order of magnitude
384 higher in the PM_1 size fraction, while also being marginally higher in the submicron fraction
385 for particulates collected during the three summer outdoor campaigns. Elevated levels were
386 also observed for Cl^- and K^+ in PM_1 collected during the winter outdoor campaign, while Cl^-
387 also exhibited higher concentrations in PM_1 sampled during the summer outdoor campaign at
388 Kwadela. Cl^- concentrations were higher in the $\text{PM}_{2.5-10}$ size fraction for the other outdoor
389 and indoor sampling campaigns. K^+ levels in PM_1 was marginally higher than levels thereof



390 in the other two size fractions for all sampling campaigns. The corresponding increased
391 concentrations of Cl^- and K^+ in the PM_{10} size fraction for the winter outdoor campaign can be
392 indicative of the influence of open biomass burning in this region. Ca^{2+} and Na^+
393 concentrations were relatively evenly distributed in all three size ranges, with the exception
394 of moderately higher Ca^{2+} and Na^+ levels determined in the $\text{PM}_{2.5-10}$ size fraction during the
395 summer indoor campaign. As mentioned previously, very low concentrations were
396 determined for Mg^{2+} and F^- concentrations, which were for most sampling campaigns similar
397 in all three size fractions.

398 It is evident from the normalised concentration distributions presented in Figure 4(b) for all
399 sampling campaigns that PM_{10} is dominated by SO_4^{2-} and NH_4^+ , with a slightly higher NO_3^-
400 contribution in PM_{10} collected during the summer indoor campaign is observed. Comparison
401 between the summer and winter outdoor campaigns also indicates a similar trend. In addition,
402 a higher contribution from NH_4^+ in the PM_{10} size fraction is evident for the winter outdoor
403 campaign compared to the three summer outdoor campaigns. SO_4^{2-} , NO_3^- and Ca^{2+} had the
404 highest contributions in the two larger size fractions for all sampling campaigns, with the
405 exception of higher NH_4^+ contributions in the larger size fractions of winter outdoor samples
406 and higher contributions from Cl^- the larger size fractions in summer outdoor samples
407 collected at Kwadela. In general, it can be concluded that PM_{10} was dominated by SO_4^{2-} , while
408 NO_3^- was the major species in the large size fractions. However, Ca^{2+} had the highest
409 contribution to chemical content in summer indoor $\text{PM}_{2.5-10}$. Recent ambient aerosol
410 measurements conducted at a regional site located in the South African interior (Welgegund)
411 also indicated PM_{10} being dominated by SO_4^{2-} and the larger size fractions by NO_3^- (Venter et
412 al., 2018). In addition, PM_{10} measurements conducted with an ACSM also indicated the
413 highest contributions from SO_4^{2-} and NH_4^+ to the chemical content of submicron particulates
414 (Tiitta et al., 2014). Higher relative contribution of species in the larger size fractions can be
415 attributed to significantly lower SO_4^{2-} and NH_4^+ levels in these size fractions (Figure 3).

416 In Table 3 the mean concentrations of ionic species determined in this study is listed in
417 relation to mean/median concentrations determined for inorganic ions in other studies in
418 South Africa conducted at a rural, regional and industrial site (water-soluble organic acids
419 were not determined in these other studies), which indicate similar levels and concentration
420 distributions for inorganic ions as determined in this study conducted in low-income urban
421 settlements. In all these studies SO_4^{2-} had the highest concentration, while NH_4^+ and NO_3^-
422 were the second and/or third most abundant species. Venter et al., (2018) also indicated



423 significantly higher SO_4^{2-} concentrations in the PM_{10} size fraction compared to its respective
424 levels in larger size fractions, as well as concentrations of other species in all size fractions at
425 a regional (Welgegund) and an industrial site (Marikana). Very low NO_3^- concentrations were
426 determined at the rural background site, Botsalano, with K^+ at this rural site having
427 concentrations in the same range as NH_4^+ . As indicated by these previous studies conducted
428 in this region of South Africa, SO_4^{2-} occurs predominantly in the ultrafine size fraction and is
429 generally considered a secondary pollutant formed from the oxidation of atmospheric SO_2
430 associated with industrial emissions (Collette et al., 2010; Lourens et al., 2011). Particulate
431 NH_4^+ is almost exclusively a secondary pollutant formed from emissions of gaseous NH_3
432 (Seinfeld and Pandis, 2006). Atmospheric particulate NO_3^- is related to oxidation of gaseous
433 NO_2 associated with fossil fuel combustion, vehicular emissions and domestic fuel burning
434 (Collett et al., 2010; Lourens et al., 2016; Venter et al., 2012). Very low NO_3^- concentrations
435 in the ultrafine size fraction can be attributed to high SO_4^{2-} levels substituting NO_3^- in
436 NH_4NO_3 . Venter et al., (2018) and Tiital et al., (2014) attributed higher contributions from
437 Ca, Na and Cl in the larger size fractions to larger particulates associated with marine (NaCl)
438 and terrigenous (e.g. wind-blown dust) sources. It is interesting to note that, with the
439 exception of higher contribution of Ca^{2+} to ionic composition in summer indoor $\text{PM}_{2.5-10}$,
440 inorganic ions in indoor aerosols had similar concentration distributions than that of ambient
441 aerosols collected in this study and in other studies in this part of South Africa, which
442 signifies the regional impacts on indoor atmosphere of emissions associated with the highly
443 industrialised and densely populated north-eastern interior of South Africa.

444 Also listed in Table 3, are the mean levels determined for inorganic ions and water-soluble
445 organic acids in other parts of Africa and the rest of the world. Aerosol measurements
446 conducted at a site in proximity of wood burning in Abidjan, Côte d'Ivoire, indicated that Cl^- ,
447 NH_4^+ and K^+ had the highest concentrations in the PM_{10} size fraction with NH_4^+ levels being
448 at least three times higher and Cl^- concentration an order of magnitude higher compared to
449 levels thereof determined in PM_{10} in this study. SO_4^{2-} levels at this West African site impacted
450 by household wood combustion were similar than SO_4^{2-} concentrations determined in this
451 study conducted in low-income urban settlements, while the relative contribution of NO_3^-
452 compared to SO_4^{2-} in PM_{10} was larger at this site in Abidjan. SO_4^{2-} and NO_3^- in PM_{10} were
453 similar than levels determined for these species in PM_{10} during the summer indoor campaign
454 in this study. Concentrations of other ionic species (including OAs) in the PM_{10} size fraction
455 of aerosols collected at this site in Abidjan in proximity of wood burning were an order of



456 magnitude higher than their respective concentrations determined for most of the sampling
457 campaigns conducted in this study. Also, Ca^{2+} levels determined in PM_1 during the winter
458 outdoor and summer indoor campaigns in PM_1 were 5 and 2.5 times lower, respectively than
459 Ca^{2+} concentrations determined at the domestic burning site in Abidjan. SO_4^{2-} and NO_3^- had
460 the highest concentrations in PM_1 at a waste burning site at Abidjan, while higher
461 contributions are also observed for NH_4^+ , Cl^- and K^+ . Comparison with two sites in West
462 Africa in proximity of vehicular traffic (Abidjan and Cotonou, Benin), indicated that NO_3^-
463 dominated the PM_1 size fraction, while SO_4^{2-} and NH_4^+ concentrations were similar than
464 levels determined for these species in this study. Larger contributions are also observed for
465 Cl^- and K^+ at these sites in West Africa impacted by traffic. Concentrations of all ionic
466 species in the $\text{PM}_{2.5-10}$ size fraction at all these sites in Abidjan and Cotonou were an order of
467 magnitude higher than levels determined for these species in low-income settlements in South
468 Africa. NO_3^- and Ca^{2+} concentrations determined in $\text{PM}_{2.5-10}$ in this study were closer to
469 levels thereof determined at Abidjan and Cotonou, but still lower. In addition, the
470 concentrations of all ionic species in the PM_1 size fraction were higher than their
471 corresponding levels in the $\text{PM}_{2.5-10}$ size fraction at all sites in West Africa. The influence of
472 marine air masses on atmospheric composition is evident at these two coastal West African
473 cities.

474 The mean concentrations determined for inorganic ions in $\text{PM}_{2.5}$ collected at two European
475 (Paris and Budapest), two Chinese (Beijing and Weinan) and two Indian (Pune and Bhopal)
476 cities, as well as one Latin American city (Mexico), are listed in Table 3. SO_4^{2-} and NO_3^- had
477 the highest concentrations in $\text{PM}_{2.5}$ at the two European cities, with NH_4^+ being the second
478 most abundant. SO_4^{2-} and NH_4^+ levels at the two European urban sites were similar than
479 concentrations determined for these species at low-income urban settlements, while higher
480 NO_3^- levels are reported for the European sites with NO_3^- concentrations at Budapest
481 exceeding SO_4^{2-} levels. Concentrations of other ionic species were also higher at the two
482 European urban sites compared to levels thereof determined in this study, with the exception
483 of Ca^{2+} levels. Similarly to the two European cities, SO_4^{2-} and NO_3^- were also the most
484 abundant species at the two urban sites in China, while NH_4^+ were the second most abundant
485 species. However, the concentrations of SO_4^{2-} , NO_3^- and NH_4^+ were approximately 10 times
486 higher than levels determined for these species at the European urban sites and at low-income
487 settlements in this study. Concentration of other inorganic ions were at least an order of
488 magnitude higher at these two Chinese cities. The highest ionic concentrations were also



489 reported for SO_4^{2-} and NO_3^- at Bhopal in India with NH_4^+ having the second highest
490 concentration. SO_4^- levels at Bhopal were slightly higher than levels thereof in low-income
491 settlements in the South African interior, while NO_3^- and NH_4^+ concentrations were
492 substantially higher at Bhopal. SO_4^{2-} had the highest concentration at Pune in India, which
493 were four times higher than SO_4^{2-} levels determined in this study. NO_3^- and NH_4^+
494 concentrations at Pune were similar than levels thereof in low-income settlement in South
495 Africa. However, NO_3^- and NH_4^+ levels at Pune were significant lower compared to Cl^- and
496 Na^+ concentrations that were the second and third most abundant species, respectively at
497 Pune – Cl^- levels at Pune were two orders of magnitude higher than levels thereof determined
498 in this study. Concentrations of other inorganic ions were also higher at the Indian urban sites
499 compared to levels of these species at low-income settlements in South Africa. Similarly to
500 the concentration distribution observed at South Africa sites, SO_4^{2-} was the most abundant
501 species with NO_3^- and NH_4^+ being the second most abundant at the urban site in Mexico.
502 Moderately higher concentrations were reported for SO_4^{2-} , NO_3^- and NH_4^+ at Mexico
503 compared to levels thereof determined in this study, while the concentrations of other
504 inorganic ions were at least an order of magnitude higher at the site in Mexico.

505

506



Table 3. Mean/median concentrations ($\mu\text{g}\cdot\text{m}^{-3}$) of inorganic ionic species and water-soluble organic acids determined at low-income settlements in this study, as well as in other studies in South Africa and the rest of the world

Source	Date	Place	SO_4^{2-}	NO_3^-	Cl^-	F	OA	NH_4^+	K^+	Mg^{2+}	Ca^{2+}	
This study	03/2015-03/2017	Mean PM_{10}	1.84	0.097	0.08	0.0001	0.033	0.02	0.61	0.02	0.026	0.06
		Mean $\text{PM}_{10:2.5}$	0.04	0.021	0.03	0.0001	0.004	0.01	0.01	0.001	0.011	0.02
		Mean $\text{PM}_{2.5:10}$	0.06	0.044	0.03	0.0012	0.006	0.01	0.004	0.015	0.015	0.04
		Mean PM_{10}	2.06	0.11	0.02	0.0006	0.056	0.03	0.77	0.03	0.008	0.07
		Mean $\text{PM}_{10:2.5}$	0.05	0.05	0.01	0.0005	0.012	0.02	0.01	0.003	0.003	0.02
		Mean $\text{PM}_{2.5:10}$	0.06	0.10	0.02	0.0021	0.012	0.02	0.02	0.01	0.005	0.04
		Mean PM_{10}	2.80	0.70	0.06	0.006	0.049	0.03	1.27	0.11	0.012	0.14
		Mean $\text{PM}_{10:2.5}$	0.11	0.17	0.03	0.010	0.007	0.02	0.05	0.01	0.012	0.12
		Mean $\text{PM}_{2.5:10}$	0.12	0.27	0.03	0.008	0.007	0.02	0.07	0.02	0.011	0.14
		Mean PM_{10}	1.64	0.92	0.05	0.003	0.07	0.06	0.60	0.06	0.017	0.22
Mean $\text{PM}_{10:2.5}$	0.07	0.27	0.04	0.001	0.03	0.05	0.05	0.02	0.010	0.19		
Mean $\text{PM}_{2.5:10}$	0.16	0.30	0.07	0.001	0.02	0.09	0.04	0.04	0.020	0.47		
South Africa												
Aurela et al., (2016)	9-5/10/2007 & 01/02/2008	Botsalano, rural ^b	3.92	0.03	-	-	-	1.02	0.18	-	-	
Titta et al., (2014)	09/2010-08/2011	Welgund, regional ^a	2.4	0.5	0.03	-	-	0.9	-	-	-	
Venter et al., (2018)	11/2008-10/2009	Mean PM_{10}	1.83	0.27	0.07	-	-	0.09	0.55	0.09	0.02	0.08
		Median $\text{PM}_{2.5}$	0.37	0.40	0.06	-	-	0.07	0.09	0.03	0.04	0.17
		Median $\text{PM}_{2.5:10}$	1.35	0.02	0.005	0.015	-	0.16	0.44	0.032	0.003	0.019
Welgund ^a , regional	24/11/2010-28/12/2011	Median $\text{PM}_{10:2.5}$	0.1	0.04	0.0055	>0.005	-	0.21	0.04	0.004	0.005	0.014
		Median $\text{PM}_{2.5:10}$	0.05	0.057	0.007	>0.005	-	0.019	0.005	0.005	0.006	0.025
		Mean PM_{10}	1.23	0.86	5.73	-	0.39	0.34	2.79	1.99	0.18	0.55
Adon et al., (2020)	07/2015-01/2017	Mean PM_{10}	0.64	1.36	1.31	-	0.27	0.76	0.11	0.39	0.44	0.98
		Mean $\text{PM}_{10:10:2}$	0.29	0.54	0.59	-	0.25	0.38	0.07	0.22	0.23	0.87
		Mean $\text{PM}_{2.5:10}$	1.88	0.89	0.37	-	0.24	0.06	0.96	0.64	0.03	0.37
		Mean PM_{10}	0.50	1.74	0.94	-	0.14	0.71	0.06	0.11	0.11	0.74
		Mean $\text{PM}_{10:10:2}$	0.27	0.75	0.66	-	0.10	0.48	0.08	0.06	0.06	0.39
		Mean $\text{PM}_{2.5:10}$	1.23	1.34	0.25	-	0.21	0.07	0.60	0.55	0.03	0.48
		Mean PM_{10}	0.41	1.52	0.85	-	0.12	0.65	0.14	0.09	0.08	0.79
		Mean $\text{PM}_{10:10:2}$	0.21	0.60	0.64	-	0.10	0.45	0.06	0.05	0.05	0.49
		Mean $\text{PM}_{2.5:10}$	2.14	1.50	0.47	-	0.29	0.25	0.34	0.68	0.07	1.89
		Mean PM_{10}	1.04	2.77	1.61	-	0.19	1.14	0.17	0.18	0.17	1.90
Mean $\text{PM}_{2.5:10}$	0.39	0.91	0.94	-	0.10	0.65	0.08	0.06	0.07	1.13		
Other international locations												
Bressi et al., (2013)	09/2009-09/2010	Paris, France ^a	1.9	2.7	0.18	-	-	1.4	0.12	0.03	0.08	
Szűcs et al., (2015)	06/2010-05/2013	Széna Square, Budapest, Hungary ^a	2.84	2.14	0.11	-	-	0.22	1.33	0.18	0.05	
Qiu et al., (2016)	03/2012-03/2013	Wenhai, China ^a	24.7	18	3	0.1	-	10	1.3	0.2	1.6	
Shao et al., (2018)	12/2016-01/2017	Beijing, China ^a	20.93	29.09	4.07	-	-	15.44	1.4	0.23	0.69	
Gowhane et al., (2017)	04/2015-04/2016	Pune, India ^a	4.8	0.98	3.43	-	-	0.51	0.47	0.28	0.51	
Kumar and Ramani, (2016)	01/2012-12/2013	VNSP Bhopal, Central India ^a	3.35	3.02	1.46	0.35	-	0.96	2.07	0.98	0.18	
Castro et al., (2018)	03/2006-03/2006	Tecame University, Mexico ^a	3.42	1.81	0.73	-	-	1.09	0.97	0.23	1.08	

^a Outdoor, ^b Indoor



510 **Acidity**

511 Similarly to Tiitta et al., (2014) and Venter et al., (2018) who reported acidity for ambient
512 PM₁ sampled at Welgegund in South Africa, the acidity of the outdoor and indoor PM₁
513 collected in low-income urban settlements were estimated by relating the measured NH₄⁺
514 concentrations ([NH₄⁺]_{meas}) to the NH₄⁺ levels required to completely neutralise SO₄²⁻, NO₃⁻
515 and Cl⁻, which was calculated as follows:

516

517
$$[NH_4^+]_{cal} \mu g \cdot m^{-3} = 18 g \cdot mol^{-1} \times \left\{ \left(2 \times \frac{[SO_4^{2-}] \mu g \cdot m^{-3}}{96 g \cdot mol^{-1}} \right) + \frac{[NO_3^-] \mu g \cdot m^{-3}}{62 g \cdot mol^{-1}} + \frac{[Cl^-] \mu g \cdot m^{-3}}{35.5 g \cdot mol^{-1}} \right\} \quad (1)$$

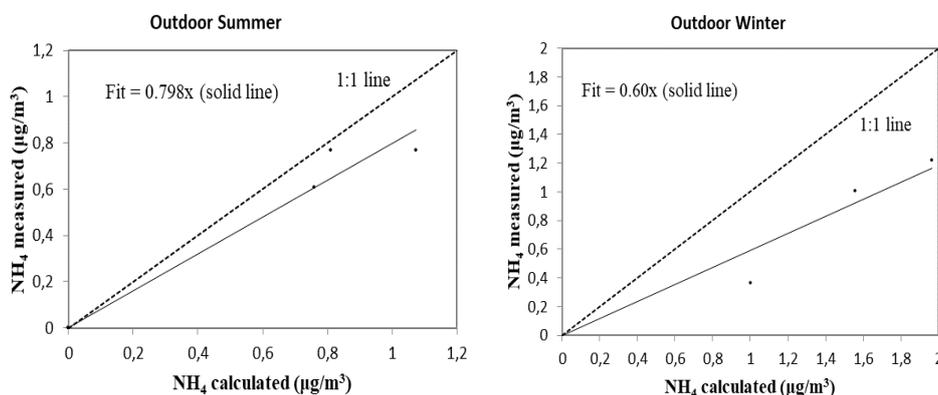
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519 If [NH₄⁺]_{cal} ≈ [NH₄⁺]_{meas} particulates can be considered neutralised whereas if [NH₄⁺]_{cal} >
520 [NH₄⁺]_{meas} aerosols could be classified as acidic. This is a relatively simple approach that
521 assumes negligible influences from organic acids, metal species and other bases on NH₄⁺
522 levels. Similarly to Venter et al., (2018), only the PM₁ size fraction that contained the bulk of
523 the ionic concentration (i.e. SO₄²⁻ and NH₄⁺) were considered. In Figure 5 [NH₄⁺]_{meas} are
524 plotted in relation to [NH₄⁺]_{cal} for PM₁ collected during outdoor summer, outdoor winter and
525 indoor sampling campaigns (the three summer campaigns at Kwadela, Kwazamokuhle and
526 Zamdela were combined, and as indicated above, due to instrument failure ionic species were
527 only measured for indoor particulates collected during the summer campaign). The 1:1 line in
528 this figure correspond to a bulk neutralised state. It is evident from Figure 5 that all outdoor
529 and indoor PM₁ collected were acidic, with summer outdoor PM₁ being closer to a
530 neutralised state compared to outdoor winter and indoor summer PM₁. The acidity of outdoor
531 PM₁ collected in low-income urban settlements correspond to previous observations reported
532 by Tiitta et al., (2014) and Venter et al., (2014). However, Venter et al., (2018) indicated that
533 ambient PM₁ collected during the dry months, which correspond to winter as previously
534 mentioned, were closer to the neutralised state compared PM₁ measured during the wet
535 season, i.e. summer. This difference can be attributed to Welgegund being a regional site with
536 no local point sources, which are impacted by aged air masses passing over source regions in
537 the north-eastern interior. Venter et al., (2018) argued cloud formation processes could
538 contribute to formation of secondary SO₄²⁻, resulting in increased acidity of PM₁ during the
539 wet summer. Ambient measurements in this study were conducted in low-income urban
540 settlements situated within proximity of large point sources in the north-eastern interior. As

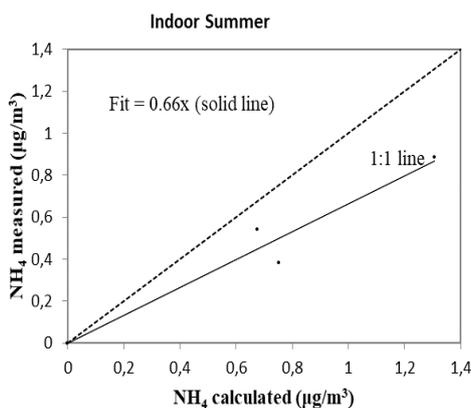


541 previously mentioned, this region is characterised by increased levels of pollutants during
542 winter, which include higher concentrations of ambient SO_2 and NO_2 contributing to elevated
543 SO_4^{2-} and NO_3^- levels, especially, considering SO_4^{2-} being the main acidic ion. (Collette et al.,
544 2010; Lourens et al., 2011). Acidity of the summer indoor PM_1 at Jouberton were similar than
545 the acidity of the winter outdoor PM_1 at Zamdela.

546



547



548

549 **Figure 5:** $[\text{NH}_4^+]_{\text{meas}}$ in relation to $[\text{NH}_4^+]_{\text{cal}}$ for PM_1 collected during outdoor summer,
550 outdoor winter and indoor sampling campaigns

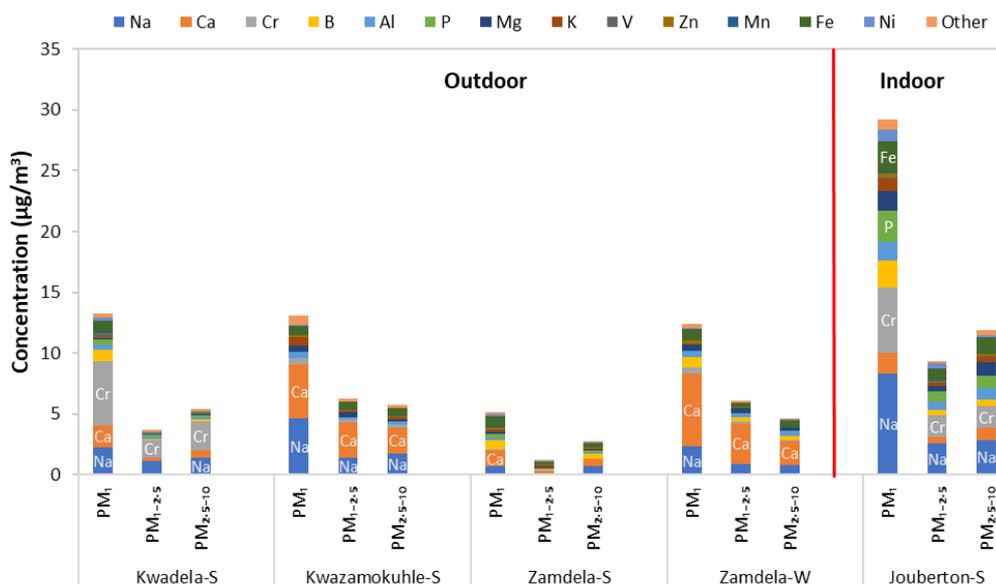
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552 3.3 Trace elements

553 There are limitations associated with using nitric digestion to extract and dissolve metal
554 species for ICP-MS analysis, which mainly relates to the inability of the method to extract Si
555 and silicate minerals. Therefore, Si was not quantified in this study, while lower
556 concentrations of metal species associated with silicates e.g. Fe, Ca, Al, Mg and K might be
557 reported. Crustal elements determined with ICP-MS could therefore be underrepresented.
558 Notwithstanding the limitation of this analytical method, this technique is generally used to
559 determine trace elements concentrations in atmospheric aerosols (e.g. Venter et al., 2017).

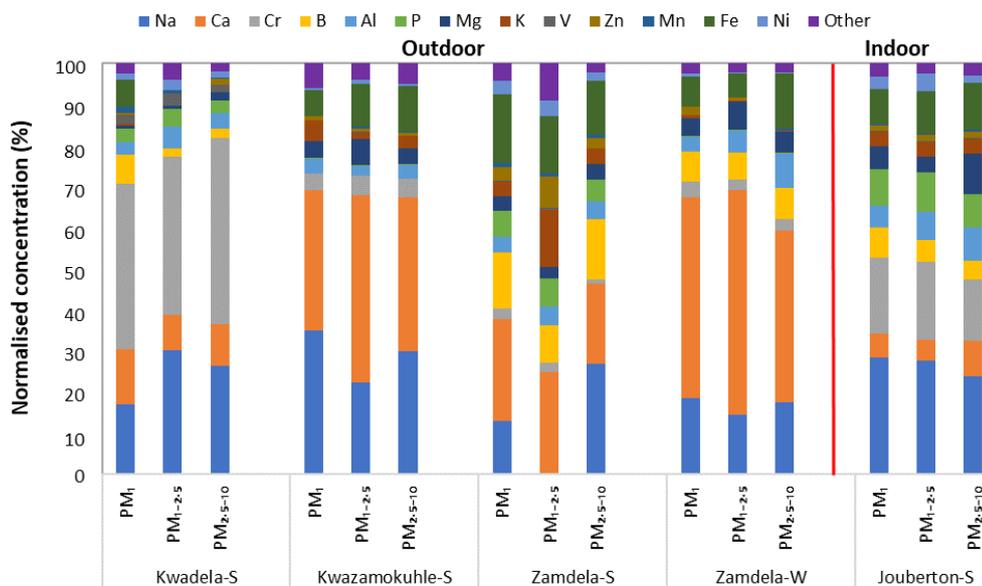
560 The mean total trace elements concentrations determined in PM₁, PM_{1-2.5} and PM_{2.5-10} at each
561 site during the respective sampling campaigns are presented with a breakdown of individual
562 trace element concentrations in Figure 6(a), while the normalised trace element compositions
563 are shown in Figure 6(b). The combined concentrations of trace elements that were below the
564 detection limit in 75% or more collected samples (Section 2.3.2 and Figure A1) are presented
565 as “other” in Figure 6.



566

567

(a)



568

569

570

(b)

571 **Figure 6:** (a) Mean trace element concentrations, and (b) normalised concentration
572 distributions of individual trace elements species, determined in PM₁, PM_{1-2.5} and PM_{2.5-10} at
573 each site during respective sampling campaigns

574

575 The highest total trace element concentrations were determined for aerosols collected during
576 the summer indoor campaign in each size fraction (Figure 6(a)). The highest total trace
577 element levels occurred in the PM₁ size fraction of indoor particulates, which were
578 significantly higher than total trace element levels determined in the two other size fractions
579 of indoor aerosols, as well as total trace element concentrations measured in all three size
580 fractions of aerosols collected during the outdoor campaigns. Comparison between the total
581 trace element concentrations determined during the summer and winter outdoor campaigns at
582 Zamdela, indicate higher total trace element levels in all three size fractions during winter.
583 However, the total trace element concentrations determined in the three aerosol size fractions
584 collected during the two summer outdoor campaigns at Kwadela and Kwazamokuhle were
585 similar than levels thereof determined for the winter outdoor campaign. The highest total
586 trace element concentrations also occurred in the PM₁ size fraction of aerosols collected
587 during the four outdoor campaigns. Assessments of atmospheric trace elements conducted at



588 Welgegund and Marikana in South Africa indicated higher total trace element concentrations
589 during the dry winter season (Venter et al., 2017; Van Zyl et al., 2014). As mentioned above,
590 Welgegund is a regional site in the South African interior, while Marikana is situated in the
591 highly industrialised western Bushveld Igneous Complex that holds a large number of
592 pyrometallurgical smelters. Measurements conducted at these sites over a period of one year
593 did, however, not reveal a very strong seasonal trend for atmospheric trace elements.
594 Furthermore, it seemed from these studies that wet removal of particulates was more
595 significant to seasonal variability than wind-generation thereof.

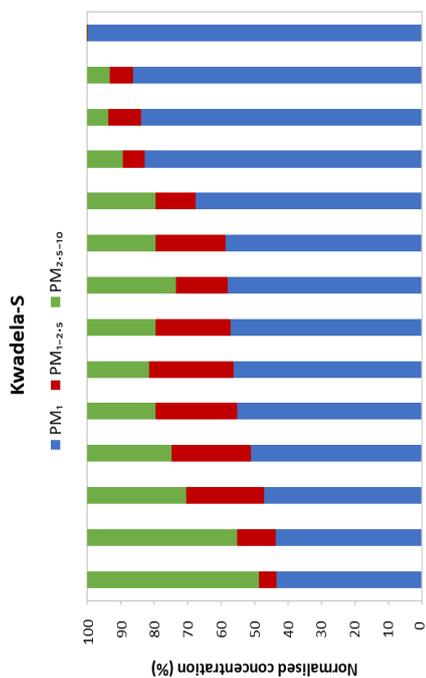
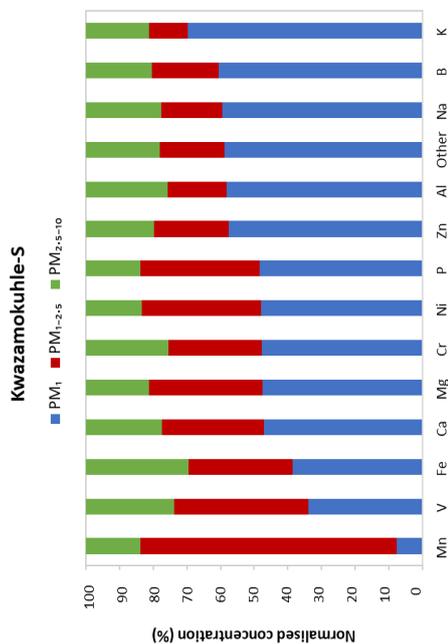
596 It is evident from Figure 6(a) and (b) that Na and Ca had the highest concentrations in all
597 three size fractions of aerosols collected during the outdoor campaigns conducted at
598 Kwazamokuhle and Zamdela (with the exception of the $PM_{1-2.5}$ size fraction of aerosols
599 collected during the summer campaign at Zamdela for which Ca and K had the largest
600 contribution to total trace element concentration and no Na was detected), while Na and Cr
601 had the highest levels in PM_1 , $PM_{1-2.5}$ and $PM_{2.5-10}$ collected during the summer outdoor
602 campaign at Kwadela and the summer indoor campaign at Jouberton. The higher total trace
603 element concentrations determined during the winter outdoor campaign at Zamdela compared
604 to the summer outdoor campaign conducted at this site is mainly attributed to significantly
605 higher Ca levels measured during winter. Although similar Cr concentrations were
606 determined for the sampling campaigns conducted at Kwadela and Jouberton, the Cr
607 contribution to total trace element concentration was particularly significant at Kwadela in all
608 three size fractions. Relatively high Fe concentrations were also determined in all three size
609 fractions of particulates collected during all sampling campaigns in this study. Moderately
610 higher P concentrations were also evident in aerosol samples collected during the summer
611 indoor campaign, while relatively high contributions to total trace element concentrations
612 were also observed for B in particulates collected at Zamdela.

613 The mean size distributions of individual trace element species determined at each site during
614 the respective sampling campaigns are presented in Figure 7. It is evident that ~40% and
615 more of each trace element species occurred in the PM_1 size fraction of aerosols collected
616 during the outdoor campaigns (with the exception of Mn and V at Kwazamokuhle), while
617 ~50% and more of each trace element species were in the PM_1 size fraction of summer indoor
618 particulates. In addition, 70% and more of trace elements detected in aerosol samples
619 collected in low-income settlements (except for Zn and Mg at Kwadela) occurred in the PM_1
620 and $PM_{1-2.5}$ size fraction. The mean size distributions of trace element species observed in

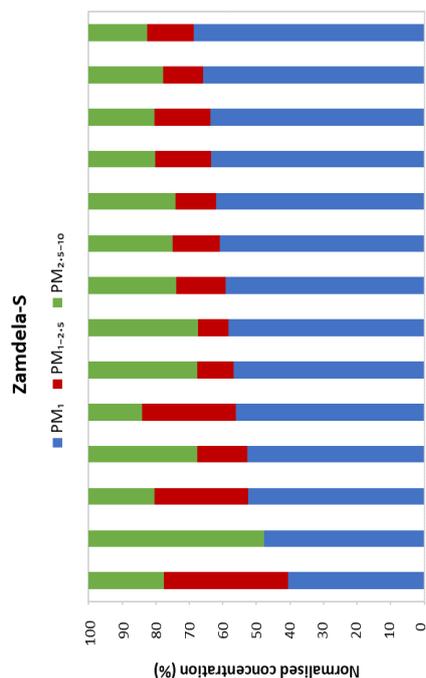
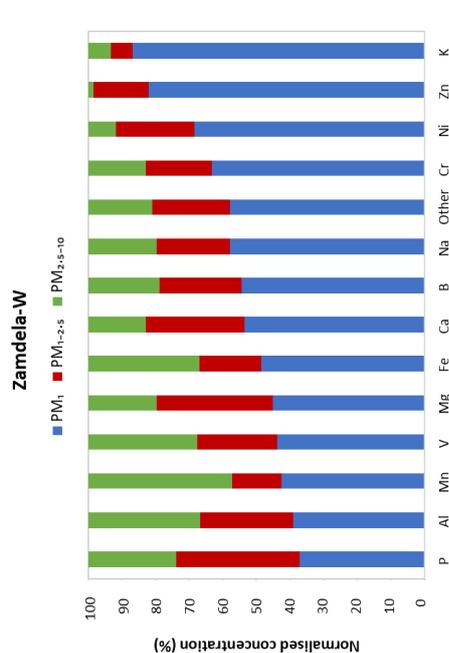


621 this study correspond to average size distributions of trace elements determined at the
622 regional site Welgegund (Venter et al., 2017) where the largest percentage (>70%) of
623 individual trace elements occurring in the PM₁ and PM_{1-2.5} size fractions was attributed to the
624 regional impacts of industrial (high-temperature) sources. Cr, Mn, V, Zn and Ni are generally
625 related to pyrometallurgical activities. Van Zyl et al., (2014) indicated that Cr, Mn, V, Zn and
626 Ni were almost completely in the PM_{2.5} size fraction of aerosols collected in the highly
627 industrialised Bushveld Igneous Complex within proximity of several pyrometallurgical
628 smelters. Trace element species occurring in the PM_{2.5-10} size fraction are generally
629 associated with wind-blown dust, and typically include species such as Al, Fe, Mg and Ca.
630 Trace element species in the PM_{2.5-10} size fraction at Welgegund were also ascribed to the
631 influence of wind-blown dust (Venter et al., 2017), while Van Zyl et al., (2014) also
632 considered wind-blown dust the major source of Al, B, Fe, Na, K and Mg in PM_{2.5-10}.
633 Therefore, the regional impacts of industrial activities in the north-eastern interior are also
634 reflected by the mean trace element concentrations, as well as normalised concentration- and
635 size distributions of individual trace element species presented in this study for low-income
636 urban settlements, while the influence of wind-blown dust is also evident.

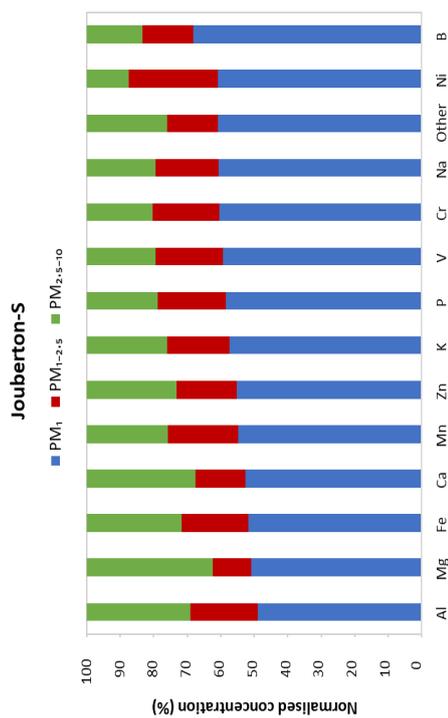
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642 **Figure 7:** Size distributions of individual trace elements detected at each site during respective sampling campaigns. Species are arranged by
643 increasing concentration in the PM₁ size fraction

644



645 In Table 4 the average trace element concentrations determined in PM_{10} in this study
646 (combined mean concentrations in PM_1 , $PM_{1-2.5}$ and $PM_{2.5-10}$) in low-income settlement are
647 contextualised with mean trace element concentrations measured in other studies in South
648 Africa and urban areas in other parts of the world. As previously mentioned, Be and Tl were
649 below the detection limit of the analytical technique for the entire sampling period in all the
650 sites, while Sb, Ba, Cu, As, Se, Rb, Sr, Mo, Pd, Ag, Pb, Au, Hg, Ti, Co, Pt, Bi, Th and U
651 were below the detection limit in 75% or more collected samples. Therefore, concentrations
652 of these species listed in Table 4 are most-likely overestimated.

653 Fe was the most abundant trace element species in particulates collected at Welgegund,
654 Marikana and Rustenburg in South Africa, while Na had the highest mean concentration in
655 the Vaal Triangle. The city of Rustenburg is, similarly than Marikana, located in the western
656 Bushveld Igneous Complex, while the Vaal Triangle is a highly industrialised and densely
657 populated region south of the Johannesburg-Pretoria conurbation. Relatively higher
658 concentrations were reported for Mg, Na, B, Al and Ca at Marikana, while Mn and Cr were
659 the second and third most abundant species at Rustenburg. Fe and K had the second and third
660 highest concentrations at Vaal Triangle. Ca, Cr and Na were the second, third and fourth most
661 abundant species at Welgegund, with trace element concentrations at Welgegund being
662 generally lower compared levels thereof determined in urban areas in South Africa, including
663 trace element levels determined in low-income settlements. Relatively high B levels were
664 also reported for Welgegund. Total trace element concentrations determined at other urban
665 areas in South Africa were similar than total trace element levels determined in outdoor
666 aerosols collected in low-income urban settlements. Ca, Na and Cr concentrations determined
667 in outdoor and indoor particulates in this study were significantly higher than levels thereof
668 determined at the other South African regional and urban sites, especially, Na and Cr levels
669 measured in indoor particulates. Although Fe was not the most abundant species in aerosols
670 collected in low-income settlements, its concentrations were similar than levels thereof
671 determined in other South African sites, with the exception of Rustenburg where significantly
672 higher Fe concentration was reported. Fe and Ca had the highest concentrations in all three
673 size fractions of particulates collected at Welgegund, which is the only other size-resolved
674 assessment of atmospheric trace elements conducted in South Africa. The highest total trace
675 element concentrations were also determined in PM_1 at Welgegund, which were, however,
676 dominated by Fe. Ca levels at Welgegund were higher in the $PM_{1-2.5}$ and $PM_{2.5-10}$ size
677 fractions.



678 Total trace element concentrations determined in outdoor aerosols collected in low-income
679 settlements were also similar than total trace element levels determined in other urban regions
680 in Africa and the rest of the world. However, total trace element concentrations were
681 significantly lower in outdoor and indoor particulates sampled at Barcelona, Spain. With the
682 exception of Ca, most trace element concentrations at Barcelona were at least an order of
683 magnitude lower than levels thereof determined in this study and other studies listed in Table
684 4. Al, Fe and Ca were the most abundant species at Bamako, Mali, while the highest
685 concentrations were reported for Na, Ca, Al and Fe at Dakar, Senegal. Measurements
686 conducted at a regional site within proximity of Beijing, China indicate that Al, Na, K, Fe and
687 Ca were the most abundant species. Al was the most abundant species at Bamako and
688 Beijing, with the Al concentration at Bamako being an order of magnitude higher than levels
689 thereof determined in this study. Ca had the highest concentration in particulates collected at
690 Dakar. Ca, Fe, K and Na had the highest concentrations in particulates collected during
691 outdoor and indoor campaigns at Barcelona. Although trace element concentrations
692 determined at Barcelona were lower than trace element levels determined in low-income
693 urban settlements in this study, higher trace element concentrations are also reported for
694 indoor particulates collected at Barcelona. Wind-blown dust is considered the major source of
695 atmospheric trace elements at these sites located in other parts of the world, while the impacts
696 of marine air masses are also evident at coastal cities (e.g. Dakar).

697 Existing ambient air quality guidelines and standard limit values for trace element species
698 according to the WHO Air Quality guidelines (WHO, 2005), the European commission Air
699 Quality Standards (ECAQ, 2008) and the South African National Air Quality Standards
700 (DEA, 2009) are also listed in Table 4. Since there are only *annual* average standard values
701 for six of the seven trace elements for which a standard limit value exists, mean trace element
702 levels determined during the respective sampling campaigns at each site in this study cannot
703 be directly compared to these standard limit values. V has a 24-hour standard, which can be
704 related to average V levels determined in 24-hour samples collected during the indoor
705 campaign. In addition, the relatively high total atmospheric Cr concentrations measured in
706 this study in outdoor and indoor aerosols cannot be directly related to the WHO guideline,
707 which is only for atmospheric Cr(VI) with a lifetime risk of 1:1 000 000.

708



Table 4. Mean PM₁₀ trace element concentrations determined during sampling campaigns at low-income settlements in this study; annual average standard limits; and mean trace element concentrations measured in South Africa and other parts of the world. All concentrations are presented in $\mu\text{g}\cdot\text{m}^{-3}$. Bold typeface indicates concentrations of species that were below the detection limit of the analytical technique in 75% or more collected samples in all three size fractions (bold italic typeface indicate species below the detection limit in all samples)

Element	ICP-MS detection limits ($\times 10^{-5}$)	South Africa										Other countries					
		KwaZulu	KwaZulu	Zamkela	Johannesburg	Annual standards	Welgund	Marikana	Vaal Triangle	Rustenburg	Bamako no desert dust	Bamako with desert dust	Dakar	Beijing, China	Barcelona, Spain		
Bc	1.65	0.001	0.001	0.001	0.004		Venter et al., 2017	Van Zyl et al., 2014	Klevenhaus, 2008	Kgabi, 2006	Val et al., 2013	Duan et al., 2012	Rivas et al., 2014				
Ba	19.04	1.12	0.002	1.43	0.004		0.0002	0.020				0.010					
Nb	29.58	4.75	7.12	2.69	13.75		0.28	1.300									
Mg	22.09	0.25	1.12	0.75	3.12		0.38	2.800									
Al	65.07	0.81	0.83	0.76	3.17		0.23	1.000									
P	377.3	0.76	0.04	0.30	4.48		0.17										
K	406.9	0.08	0.94	0.28	1.78		0.14	1.300									
Ca	93.2	2.66	9.50	6.70	3.13		1.1	0.680									
Ti	1.432	0.09	0.08	0.04	0.18		0.072	0.020									
V	4.466	0.47	0.01	0.01	0.11		0.32	0.180									
Cr	359.9	9.16	1.07	0.47	9.00		0.5	0.160									
Mn	4.156	0.21	0.05	0.06	0.17		0.026	0.050									
Fe	60.72	0.88	2.13	1.63	4.87		1.2	1.280									
Co	0.625	0.11	0.004	0.003	0.19		0.0035	0.140									
Ni	3.229	0.39	0.16	0.19	1.49		0.079	0.330									
Cu	4.186	0.02	0.18	0.06	0.47		0.069	0.180									
Zn	5.212	0.16	0.24	0.16	0.68		0.053	0.490									
As	1.67	0.01	0.02	0.02	0.03		0.034	0.340									
Sb	8.559	0.01	0.01	0.02	0.02		0.0074	0.580									
Pb	2.268	0.02	0.01	0.004	0.03		0.0017										
Sr	1.238	0.01	0.08	0.01	0.04		0.015										
Mo	0.681	0.02	0.01	0.02	0.06		0.0018										
Pd	0.246	0.01	0.01	0.03	0.03		0.0005										
Ag	2.447	0.03	0.58	0.05	0.05		0.0004										
Cd	1.119	0.01	0.01	0.012	0.018		0.0013										
Sb	0.712	0.001	0.001	0.005	0.005		0.0016										
Ba	1.670	0.09	0.17	0.109	0.088		0.0002										
Pt	0.381	0.003	0.01	0.032	0.016		0.0007										
Au	2.156	0.001	0.03	0.007	0.191		0.0002										
Hg	2.836	0.038	0.0004	0.0003	0.037		0.0078										
Tl	0.411	0.0003	0.0004	0.0001	0.001		0.0007										
Pb	0.387	0.080	0.152	0.067	0.070		0.0078										
Bi	0.330	0.005	0.002	0.001	0.001		0.0002										
U	0.409	0.001	0.001	0.001	0.001		0.0009										
U	0.113	0.002	0.0017	0.001	0.002		0.0009										

^a WHO guideline for Cr(VI) concentrations associated with an excess lifetime risk of 1:1 000 000. ^b WHO air quality guidelines for Europe. ^c European Commission Air Quality Standards. ^d National Air Quality Act of the South African Department of Environmental Affairs. ^e 24-h limit value



717 Average concentrations of Ni, As and Cd in outdoor and indoor particulates, as well as
718 average Mn levels determined during the indoor campaign, were higher than annual standard
719 values for these species. However, As and Cd concentrations are most-likely overestimated
720 due to their levels being below the detection limit of the analytical technique in 75% or more
721 of the samples. Average Ni concentrations in indoor samples were two orders of magnitude
722 higher than the annual average European standard, while the average concentration thereof in
723 outdoor samples were an order of magnitude higher. Annual average Ni concentrations
724 determined in aerosols collected at Welgegund and Marikana also exceeded annual standard
725 limits, which was attributed to base metal refining in the Bushveld Igneous Complex (Venter
726 et al., 2017; Van Zyl et al., 2014). In addition, average Ni concentrations in indoor PM₁₀ were
727 an order of magnitude higher than levels thereof determined at Marikana located within
728 proximity of pyrometallurgical smelters. Mean Mn levels in indoor particulates marginally
729 exceeded the annual average standard. The average V concentration in outdoor and indoor
730 PM₁₀ were well below the 24-hour V standard value.

731 Venter et al., (2017) and Van Zyl et al., (2014) also mentioned atmospheric Pb and Hg
732 concentrations determined in aerosols collected at Welgegund and Marikana, respectively. Pb
733 is the only trace element for which a South African air quality standard exists, while it is
734 foreseen that an air quality standard limit for Hg will be prescribed in the very near future in
735 South Africa. Average Pb and Hg levels determined at each site during the respective
736 sampling campaigns were well below the annual average standard limits of these species. In
737 addition, Pb and Hg levels were only detected in 25% or less of the collected samples. Pb
738 concentration were similar than levels determined for Pb at Welgegund, which was at least
739 two orders of magnitude lower than Pb concentrations determined at Marikana, Vaal Triangle
740 and Rustenburg (Van Zyl et al., 2014; Kgabi, 2006; Kleynhans, 2008). Hg was below the
741 detection limit of the analytical technique for the entire sampling periods at Welgegund and
742 Marikana.

743

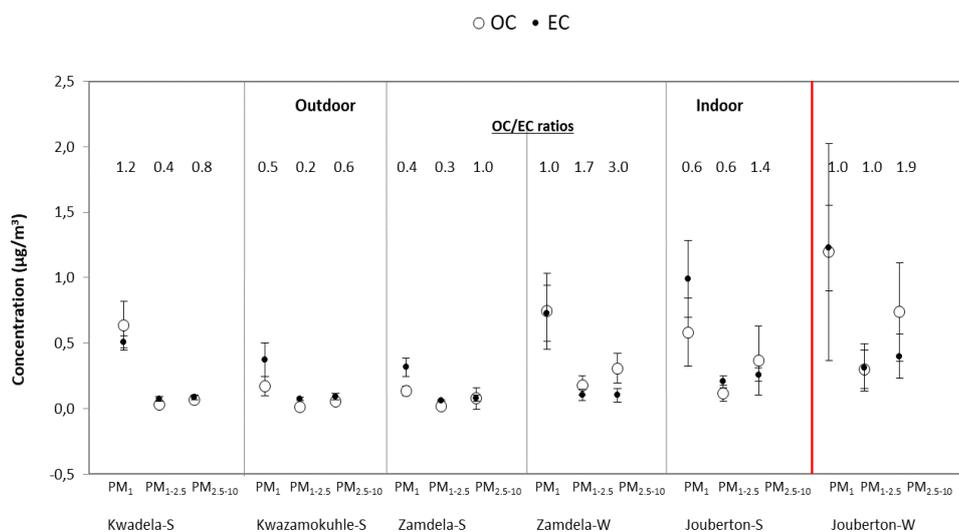
744 **3.4 Carbonaceous aerosols**

745 In Figure 8, OC and EC concentrations determined in the three aerosol size fractions at each
746 site during the respective sampling campaigns are presented, while the mean OC/EC ratios
747 calculated are also indicated. The highest average OC and EC concentrations were
748 determined in the PM₁ size fraction of all aerosol samples collected during respective



749 sampling campaigns conducted at each site in this study, with mean OC and EC levels being
750 significantly higher (4 times up to an order of magnitude higher) than levels thereof in the
751 $PM_{1-2.5}$ and $PM_{2.5-10}$ size fractions of particulates collected during the two indoor campaigns,
752 the winter outdoor campaign and the summer outdoor campaign at Kwadela. Similar OC and
753 EC levels were measured in $PM_{1-2.5}$ and $PM_{2.5-10}$ for all sampling campaigns, with the
754 exception of ~ 3 times higher OC and EC concentrations determined in these two larger size
755 fractions during the winter indoor campaign. The lowest average OC and EC concentrations
756 were determined in the $PM_{1-2.5}$ size fraction for all sampling campaigns.

757



758

759 **Figure 8:** Mean OC and EC concentrations (\pm standard deviation) measured in PM_1 , $PM_{1-2.5}$
760 and $PM_{2.5-10}$ at each site during respective sampling campaigns, together with mean OC/EC
761 ratios

762

763 The highest mean OC and EC levels were measured in PM_1 collected during the winter
764 indoor campaign. Moderately lower average EC concentrations were determined in the PM_1
765 size fraction of particulates collected during the summer indoor campaign, while mean OC
766 levels in PM_1 sampled during the summer indoor campaign were significantly lower than
767 average OC concentrations determined for the winter indoor campaign. Mean OC and EC
768 levels measured in PM_1 collected during the winter outdoor sampling campaign at Zamdela
769 were significantly higher than average OC and EC concentrations determined during summer



770 outdoor campaigns at Zamdela and Kwazamokuhle where similar OC and EC levels were
771 measured. Mean OC and EC levels in PM_1 collected during the summer outdoor campaign at
772 Kwadela were higher than OC and EC concentrations determined in PM_1 during the other
773 two summer outdoor campaigns, but lower than OC and EC levels in PM_1 sampled during the
774 winter outdoor campaign at Zamdela. Ambient EC concentrations determined during winter
775 at Zamdela were moderately lower than EC levels measured during the summer indoor
776 campaign, while higher average OC concentrations were determined in aerosols collected
777 during the winter outdoor campaign at Zamdela compared to OC levels determined for the
778 summer indoor campaign at Jouberton. Ambient OC and EC concentrations determined in
779 $PM_{2.5}$ collected at four sites regionally representative of the north-eastern interior of South
780 Africa indicated higher OC and EC concentrations during the dry winter season compared to
781 levels thereof during the wet warmer season, especially at two sites, i.e. Vaal Triangle and
782 Amersfoort, within proximity of anthropogenic sources (Maritz et al., 2019). Josipovic et al.,
783 (2019) also reported higher OC and EC concentrations during the dry season at Vaal
784 Triangle. Higher OC and EC concentrations during winter at these sites were attributed to
785 changes in meteorology (e.g. occurrence of low-level inversion layers), as well as increased
786 emissions associated with household combustion and open biomass burning.

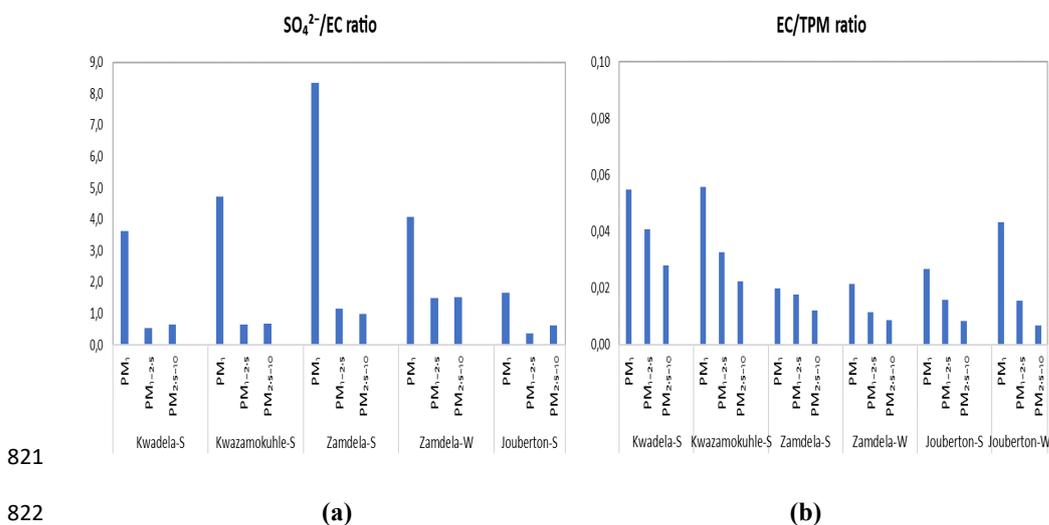
787 It is also evident from Figure 8 and the OC/EC ratios that EC concentrations were generally
788 higher than OC levels in each size fraction of aerosols collected during the three summer
789 outdoor campaigns at low-income settlements (with the exception of higher OC in PM_1
790 collected at Kwadela). An increase in OC concentrations in relation to EC levels is observed
791 in winter outdoor particulates when compared to summer (ambient) aerosols in all three size
792 fractions (especially in $PM_{1-2.5}$ and $PM_{2.5-10}$). EC concentrations were higher than OC levels
793 in the PM_1 and $PM_{1-2.5}$ size fractions of summer indoor aerosols, while an increase in OC
794 levels with regard to EC concentrations is also observed in all three size fractions of indoor
795 particulates collected during winter. Lower OC/EC ratios are related to fresher emissions of
796 OC and EC (e.g. Aurela et al., 2011), since concentrations of primary emitted EC reduce due
797 to deposition, while secondary formation of OC contribute to increased OC levels in aged air
798 masses. Therefore, OC/EC ratios reported for aerosol samples collected in low-income urban
799 settlements in this study reflects OC and EC associated with local sources of these pollutants.
800 In addition, OC/EC ratios calculated in this study also indicate a lower impact from traffic
801 emissions (Adon et al., 2020). OC/EC ratios reported for $PM_{2.5}$ at four sites regionally
802 representative of the north-eastern interior of South Africa were lower for sites within

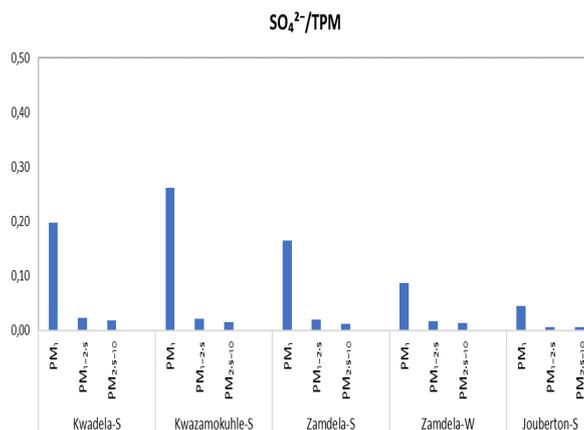


803 proximity of anthropogenic sources (Maritz et al., 2019). In addition, OC/EC ratios presented
804 for these sites by Maritz et al., (2019) were significantly higher (ranged between 2.9 and 6.4)
805 than OC/EC ratios reported in this study for low-income informal settlements with the
806 exception of the OC/EC ratio calculated in PM_{2.5-10} collected during the winter outdoor
807 campaign (3.0), which were similar than the OC/EC ratio determined at the highly
808 industrialised and densely populated Vaal Triangle site (2.9).

809 In Figure 9, SO₄²⁻/EC, EC/total particulate matter (TPM) and SO₄²⁻/TPM, which can also be
810 indicative of sources of aerosols, are presented for particulate samples collected during the
811 respective sampling campaigns at each site. The predominance of SO₄²⁻ in the PM₁ size
812 fraction is reflected by these ratios, with the SO₄²⁻/EC ratio also indicating significantly
813 higher SO₄²⁻ concentrations than EC levels in PM₁. However, substantially lower SO₄²⁻ levels
814 in PM_{1-2.5} and PM_{2.5-10} are also reflected in these ratios with EC concentrations exceeding
815 SO₄²⁻ levels in most instances in the two larger size fractions. A larger contribution from EC
816 in relation to SO₄²⁻ and TPM is also observed in indoor PM₁ compared to outdoor PM₁.
817 Although the impact of local sources associated with domestic fuel burning is evident for
818 aerosols collected in low-income urban settlements, the regional impacts of emissions related
819 to industrial combustion are also signified by these ratios presented in Figure 9.

820





823

824

(c)

825 **Figure 9:** Ratios between major compounds in PM_{10} , $\text{PM}_{1-2.5}$ and $\text{PM}_{2.5-10}$ collected during
826 outdoor and indoor sampling campaigns. (a) $\text{SO}_4^{2-}/\text{EC}$, (b) EC/TPM (c) $\text{SO}_4^{2-}/\text{TPM}$ in three
827 size fractions.

828

829 In Table 5 OC and EC concentrations determined for low-income settlements in this study is
830 contextualised with other OC and EC measurements conducted in South Africa, as well as
831 other parts of the world. OC levels determined in this study for outdoor and indoor
832 particulates were an order of magnitude lower than OC concentrations determined for
833 ambient $\text{PM}_{2.5}$ collected at four sites located in the north-eastern interior of South Africa,
834 while similar EC concentrations were determined, with the exception of significantly higher
835 EC levels measured at the Vaal Triangle (Maritz et al., 2019; Chiloane et al., 2017).
836 However, OC and EC levels determined for PM_{10} in this study were similar than OC and EC
837 concentrations reported by Josipovic et al., (2010) for PM_{10} collected at the Vaal Triangle.
838 Josipovic et al., (2019) also indicated higher OC and EC concentrations in the PM_{10} size
839 fraction compared to the larger size fractions. OC and EC concentrations measured in $\text{PM}_{1-2.5}$
840 and $\text{PM}_{2.5-10}$ for low-income settlements were significantly lower (at least an order of
841 magnitude) than OC and EC levels determined in other sites in South Africa.

842 Significantly higher OC and EC concentrations are determined for urban areas in other
843 countries in Africa and the rest of the world than OC and EC levels determined in this study
844 and other studies in South Africa. OC and EC concentrations determined at Abidjan, Côte
845 d'Ivoire and Cotonou, Benin were between an order- and two orders of magnitude higher



846 than levels thereof measured in low-income urban settlements, with, especially, OC
847 concentrations being substantially higher. OC and EC levels in Barcelona, Spain were similar
848 than OC and EC concentrations determined at Cotonou, Benin. OC and EC measured at
849 urban regions in China and India were also between an order- and two orders of magnitude
850 higher than levels thereof determined in this study. This is also in agreement with studies in
851 other parts of the world where indoor and outdoor OC and EC concentrations were measured
852 with similar levels of OC and EC reported. However, outdoor OC and EC concentrations
853 determined at Barcelona, Spain, were approximately two times lower than indoor OC and EC
854 concentrations determined in this study. OC and EC levels at a remote site in the Himalayan
855 region in India were significantly lower than that measured in urban areas in other parts of the
856 world. However, OC and EC concentrations for this remote site were still higher than levels
857 thereof measured in low-income settlements in this study.



858 **Table 5.** Mean OC and EC concentrations ($\mu\text{g}\cdot\text{m}^{-3}$) determined at low-income settlements in
 859 this study, as well as in other studies in South Africa and the rest of the world

Source	Period	Place	Area	OC	EC	
This study	03/2015-03/2017	Kwadela	Outdoor	Mean PM ₁	0,63	0,51
				Mean PM _{1-2,5}	0,03	0,07
				Mean PM _{2,5-10}	0,07	0,09
		Kwazamokuhle	Outdoor	Mean PM ₁	0,17	0,37
				Mean PM _{1-2,5}	0,02	0,08
				Mean PM _{2,5-10}	0,06	0,09
		Zamdela	Outdoor	Mean PM ₁	0,44	0,52
				Mean PM _{1-2,5}	0,10	0,08
				Mean PM _{2,5-10}	0,19	0,09
		Jouberton	Indoor	Mean PM ₁	0,89	1,11
				Mean PM _{1-2,5}	0,21	0,26
				Mean PM _{2,5-10}	0,55	0,33
South Africa						
Chiloane et al., (2017)	03/2009-04/2011	Louis Trichardt	Outdoor	Mean PM ₁₀		0,90
		Skukuza	Outdoor	Mean PM ₁₀		1,10
		Vaal Triangle	Outdoor	Mean PM ₁₀		4,40
		Amersfoort	Outdoor	Mean PM ₁₀		1,40
		Botsalano	Outdoor	Mean PM ₁₀		0,90
Maritz et al., (2019)	03/2009-12/2015	Louis Trichardt	Outdoor	Mean PM _{2,5}	3,8	0,7
		Skukuza	Outdoor	Mean PM _{2,5}	6,9	1,1
		Vaal Triangle	Outdoor	Mean PM _{2,5}	9,3	3,2
		Amersfoort	Outdoor	Mean PM _{2,5}	6,0	1,2
Josipovic et al., (2019)	03-10/2012	Vaal Triangle	Outdoor	Mean PM ₁ WS	0,41	0,32
				Mean PM _{1-2,5} WS	0,18	0,23
				Mean PM ₁ DS	0,66	0,51
				Mean PM _{1-2,5} DS	0,43	0,24
Other countries						
Djossou et al., (2018)	02/2015-03/2017	Abidjan, Côte d'Ivoire	Outdoor	Mean PM _{2,5}	31,0	8,67
		Cotonou, Benin	Outdoor	Mean PM _{2,5}	8,0	2,0
Rivas et al., (2014)	0/2012-02/2013	Barcelona, Spain	Indoor	Mean PM _{2,5}	10	1,3
			Outdoor	Mean PM _{2,5}	5,5	1,3
Ho et al., (2004)		Hong Kong	Indoor	Mean PM _{2,5}	11,3	4,8
			Outdoor	Mean PM _{2,5}	12,6	6,4
Cao et al., (2012)	07/2004-01/2005	Guangzhou, China	Indoor	Mean PM _{2,5}	21,75	7,6
			Outdoor	Mean PM _{2,5}	21,9	7,9
Xu et al., (2015)	03/2015	Xi'an, China	Indoor	Mean PM _{2,5}	22,5	7,9
			Outdoor	Mean PM _{2,5}	24,9	8,8
Joseph et al., (2012)	2007-2008	Coloba, Mumbai (India)	Outdoor	Mean PM _{2,5}	20,40	5,00
		Dadar, Mumbai (India)	Outdoor	Mean PM _{2,5}	28,40	9,20
		Khar, Mumbai (India)	Outdoor	Mean PM _{2,5}	31,30	7,70
		Mahul, Mumbai (India)	Outdoor	Mean PM _{2,5}	29,10	7,20
Sharma et al., (2020)	10/2018-02/2019	Himalayan region, India	Outdoor	Mean PM ₁₀	3,91	1,24



861 3.5 Aerosol chemical mass closure

862 Concentrations of inorganic and organic species determined for particulates collected in low-
863 income urban settlements can be used to perform aerosol chemical mass closure. However, as
864 previously mentioned, a limitation of the ICP-MS analytical technique used in this study to
865 determine trace element concentrations is that Si levels cannot be quantified, which is
866 important to establish the contribution of dust to the total aerosol load. There are, however,
867 several methods to estimate dust concentrations by utilising concentrations of other species
868 determined with IC and ICP-MS. Two methods commonly used to estimate dust
869 concentrations are the methods described by Guinot et al., (2007) and Terzi et al., (2010) (e.g.
870 Adon et al., 2020).

871 Guinot et al., (2007) proposed a simplified method to perform chemical mass closure for fine
872 ($PM_{1-2.5}$) and coarse ($PM_{2.5-10}$) particulates in which the mass of EC, particulate organic
873 matter (POM), inorganic ions and dust are considered. Experimentally determined OC
874 concentrations are converted to POM levels with a conversion factor, k , which in this study
875 was fixed to 1.8 (Guinot et al., 2007; Adon et al., 2020). Dust concentrations are calculated
876 from Ca^{2+} levels and a conversion factor, f , which is the correlation coefficient between Ca^{2+}
877 concentrations and missing mass, i.e. mass difference between the weighted mass and the
878 mass reconstructed from analysed species (EC, POM and inorganic ions). The total mass
879 reported for inorganic ions in this method excludes Ca^{2+} , while water-soluble organic acids
880 are accounted for in the POM mass.

881 The Terzi et al., (2010) method utilises concentrations of trace elements, considered to be
882 major constituents of dust, to estimate dust levels according to the following expression:

$$883 \text{dust} = 1.89[\text{Al}] + 2.14[\text{Si}] + 1.95[\text{Ca}] + 1.42[\text{Fe}] + 1.7[\text{Ti}] + 1.21[\text{K}] + 1.66[\text{Mg}] \quad (2)$$

884 with Si concentrations estimated by the relationship $2.03 \times \text{Al}$ according to Chiapello et al.,
885 (1997). A conversion factor of 1.8 was also used to convert OC levels to POM
886 concentrations. Trace element species not included in the estimation of dust concentrations
887 were converted to their corresponding oxides and also considered for chemical mass closure.

888 Dust concentrations determined in $PM_{1-2.5}$ and $PM_{2.5-10}$ with the two methods are listed in
889 Table 6 (Guinot et al., 2007 method can only be applied to these two size fractions). As
890 previously mentioned, the concentrations of inorganic ions and trace elements could not be
891 determined for the winter indoor campaign at Jouberton due to instrument failure. Therefore



892 chemical mass closure could not be performed for this sampling campaign. In general, a
893 relatively good correlation ($R^2 = 0.80$) is observed between dust concentration calculated
894 with the two methods, with the exception of moderately large differences in dust levels
895 estimated for the Kwazamokuhle summer outdoor campaign and in $PM_{2.5-10}$ collected during
896 the summer indoor campaign at Jouberton. Higher dust concentrations estimated with the
897 Terzi et al., (2010) method compared to the Guinot et al., (2007) method could be expected
898 due to ICP-MS measuring soluble and insoluble Ca, while the concentrations of other species
899 are also considered in the calculation. However, lower dust concentrations estimated with the
900 method of Terzi et al., (2010) in relation to the method of Guinot et al., (2007) can be
901 attributed to ICP-MS measuring lower concentrations for trace elements included in Equation
902 2 due to these species being associated with silicates, as previously mentioned.

903 **Table 6.** Dust concentrations ($\mu\text{g}\cdot\text{m}^{-3}$) estimated according to the methods of Guinot et al.,
904 (2007) and Terzi et al., (2010)

Site	Size fraction	Guinot et al., 2007	Terzi et al., 2010
Kwadela-S	$PM_{1-2.5}$	0,9	1,9
	$PM_{2.5-10}$	2,1	2,6
Kwazamokuhle-S	$PM_{1-2.5}$	1,7	8,3
	$PM_{2.5-10}$	2,7	7,0
Zamdela-S	$PM_{1-2.5}$	1,4	1,4
	$PM_{2.5-10}$	2,9	2,6
Zamdela-W	$PM_{1-2.5}$	8,3	9,6
	$PM_{2.5-10}$	9,5	7,4
Jouberton-S	$PM_{1-2.5}$	7,9	7,3
	$PM_{2.5-10}$	21,0	12,6

905

906 The results from the chemical mass closure performed with the two methods described above
907 are presented in Table 7 and 8. Percentage contributions from dust and trace elements
908 calculated with the Terzi et al., (2010) methods exceeding 100% are due to overestimations
909 of the concentrations of these species as indicated by the negative values of the missing mass
910 (reconstructed mass minus weighted mass). High Ca^{2+} concentrations contributed to
911 overestimation of dust at Kwadela and Kwazamokuhle, while high levels of, especially, the
912 oxides of Na, Cr and B lead to trace element concentrations being overestimated at these two
913 sites. In addition, high concentrations were calculated for Ca-, B- and Na oxides at Zamdela,
914 while the levels of the oxides of B, Na, Cr and P were high at Jouberton. It is evident that
915 dust is the major constituent in all size fractions of aerosols collected during respective
916 outdoor and indoor campaigns according to these estimations. The second largest
917 contribution were from “not determined” species according to the Guinot et al., (2007)



918 method, while the Terzi et al., (2010) method indicated the second most abundant species to
919 be trace elements. Therefore the largest fraction of species not determined with the Guinot et
920 al., (2007) method are most-likely trace elements. Trace elements were the most abundant
921 species in PM_1 and $PM_{1-2.5}$ collected during the summer indoor campaign with substantially
922 higher contribution to aerosol mass. Inorganic ions were the third most abundant species
923 calculated with both methods, with a significantly larger contribution to aerosols mass
924 determined for these species with the Terzi et al., (2010) method in the PM_1 size fraction of
925 aerosols collected during the outdoor campaigns. EC and POM had the lowest contribution to
926 aerosol mass in all size ranges of particulates collected during all the respective sampling
927 campaigns. No significant differences are observed in the chemical composition of
928 particulates collected during the winter and summer outdoor campaigns at Zamdela.

929

930



Table 7. Chemical mass closure according to the method of Guinot et al., 2007

Site	Size fraction	EC		POM		Inorganic ions		Dust (%)	Not determined (%)	PM mass ($\mu\text{g}/\text{m}^3$)	Reconstructed mass ($\mu\text{g}/\text{m}^3$)	Missing mass ($\mu\text{g}/\text{m}^3$)
		(%)	(%)	(%)	(%)	(%)	(%)					
Kwadela-S	PM _{1-2.5}	4,1	3,2	7,6	46,6	38,5	1,8	1,1	0,7			
	PM _{2.5-10}	2,8	4,1	7,0	69,3	16,7	3,1	2,5	0,5			
Kwazamokuhle-S	PM _{1-2.5}	3,3	1,5	7,1	71,3	16,7	2,4	2,0	0,4			
	PM _{2.5-10}	2,2	3,1	6,8	64,4	23,5	4,2	3,2	1,0			
Zamdela-S	PM _{1-2.5}	2,8	2,0	11,9	64,6	18,8	2,2	1,8	0,4			
	PM _{2.5-10}	1,7	3,0	8,1	59,9	27,3	4,8	3,5	1,3			
Zamdela-W	PM _{1-2.5}	0,7	2,1	5,5	55,1	36,6	15,1	9,6	5,5			
	PM _{2.5-10}	0,8	4,4	7,8	75,8	11,2	12,5	11,1	1,4			
Jouberton-S	PM _{1-2.5}	1,6	1,6	5,5	59,5	31,8	13,3	9,1	4,2			
	PM _{2.5-10}	0,8	2,1	3,9	67,2	26,0	31,2	23,1	8,1			



Table 8. Chemical mass closure according to the method of Terzi et al., 2010

Site	Size fraction	EC (%)	POM (%)	Inorganic ions (%)	Dust (%)	Trace elements (%)	Not determined (%)	PM mass ($\mu\text{g}/\text{m}^3$)	Reconstructed mass ($\mu\text{g}/\text{m}^3$)	Missing mass ($\mu\text{g}/\text{m}^3$)
Kwadela-S	PM ₁	5,5	12,2	29,9	82,1	169,8	-199,5	9,3	28,6	-19,3
	PM _{1-2,5}	4,1	3,2	7,6	103,4	244,9	-263,2	1,8	6,7	-4,9
	PM _{2,5-10}	2,8	4,1	7,0	83,2	211,7	-211,7	3,1	9,6	-6,6
Kwazamokuhle-S	PM ₁	5,6	4,7	40,0	186,2	92,6	-229,2	7,8	26,0	-18,2
	PM _{1-2,5}	3,3	1,5	7,1	353,0	106,4	-371,3	2,3	11,1	-8,7
	PM _{2,5-10}	2,2	3,1	6,8	166,9	67,9	-146,9	4,2	10,4	-6,2
Zamdela-S	PM ₁	3,1	2,4	37,5	54,5	45,5	-43,0	10,2	14,7	-4,5
	PM _{1-2,5}	2,8	2,0	12,0	66,1	34,9	-17,8	2,2	2,6	-0,4
	PM _{2,5-10}	1,7	3,0	8,1	55,0	59,0	-26,8	4,8	6,1	-1,4
Zamdela-W	PM ₁	2,7	4,9	23,8	61,7	25,9	-19,0	27,4	33,4	-5,9
	PM _{1-2,5}	0,7	2,1	5,5	63,8	18,7	9,3	15,1	13,9	1,2
	PM _{2,5-10}	0,8	4,4	7,8	59,7	19,7	7,6	12,5	11,8	0,6
Jouberton-S	PM ₁	2,7	2,8	9,9	55,5	92,1	-63,0	36,9	60,8	-23,9
	PM _{1-2,5}	1,6	1,6	5,5	55,3	78,9	-42,9	13,3	19,1	-5,8
	PM _{2,5-10}	0,8	2,1	3,9	40,3	34,8	18,1	31,2	25,9	5,3



936 4 Summary and conclusions

937 Size-resolved concentrations of inorganic- and water-soluble organic ions, trace element
938 species, as well as OC and EC were determined for outdoor and indoor PM collected during
939 winter and summer sampling campaigns conducted at low-income urban settlements in South
940 Africa. Particulate mass concentrations were higher for indoor samples compared to aerosol
941 mass concentrations determined for outdoor samples, while higher PM mass concentrations
942 were measured for samples collected during winter. PM₁ had the highest mass concentrations
943 in all outdoor aerosol samples collected during winter and summer, as well as in indoor
944 samples collected during summer. The highest aerosols mass concentration was, however,
945 determined in the PM_{2.5-10} size fraction of aerosols sampled during the winter indoor
946 campaign.

947 Significantly higher concentrations were determined for SO₄²⁻ at each site during the
948 respective sampling campaigns, while NH₄⁺ and NO₃⁻ were the second most abundant
949 species. SO₄²⁻ and NH₄⁺ almost exclusively occurred in the PM₁ size fraction, while NO₃⁻ was
950 the major constituent in the larger size fractions. The highest SO₄²⁻ levels were recorded for
951 the winter and summer outdoor campaigns conducted at Zamdela, while significantly higher
952 NO₃⁻ levels were determined for the winter outdoor and summer indoor campaigns. NH₄⁺
953 concentration recorded for the winter outdoor campaign were significantly higher than levels
954 thereof determined in PM collected during other sampling campaigns. Estimations of acidity
955 of PM₁ indicated that all outdoor and indoor PM₁ were acidic. The concentrations of
956 inorganic ions and water-soluble OAs determined in this study were similar than ambient
957 levels thereof determined in other studies conducted in South Africa, which signifies the
958 regional impacts of anthropogenic emissions in the north-eastern interior of South Africa.
959 The extent to which particulate inorganic ionic content is dominated by SO₄²⁻ in South Africa
960 is unique and not observed for other parts of the world. The influence of regional open
961 biomass burning was also observed through increased Cl⁻ and K⁺ levels in PM₁ collected
962 during the winter outdoor campaign.

963 The highest total trace element concentrations were determined for aerosols collected during
964 the indoor campaign, while total trace element levels in PM₁ were substantially higher than
965 levels thereof in the two larger size fractions of particulates collected during all sampling
966 campaigns. More than 70% of trace element species occurred in the PM₁ and PM_{1-2.5} size
967 fractions, which is also indicative of the regional impacts of industrial sources. Although no



968 significant seasonal pattern was observed for trace element species, higher Ca levels
969 contributed to relatively higher total trace element concentrations during the winter outdoor
970 campaign at Zamdela. Na and Ca had the highest concentrations in all three size fractions of
971 aerosols collected during the outdoor campaigns conducted at Kwazamokuhle and Zamdela,
972 while Na and Cr had the highest levels in particulates collected during the summer outdoor
973 campaign at Kwadela and the indoor campaign at Jouberton. In most other studies conducted
974 in South Africa, Fe was found to be most abundant species in ambient aerosols, while one
975 other size-resolved study conducted also indicated higher trace element concentrations in the
976 PM_1 size fraction. Ni concentrations in outdoor and indoor PM exceeded the annual average
977 European standard, with Ni levels in indoor PM_{10} being an order of magnitude higher than
978 levels thereof determined at a site within proximity of large pyrometallurgical smelters.
979 Indoor Mn also marginally exceeded the annual average standard.

980 OC and EC concentrations were the highest in PM_1 collected during each sampling campaign
981 conducted in this study with the highest OC and EC levels determined in PM_1 collected
982 during the winter indoor campaign. OC and EC levels also revealed a seasonal pattern with
983 significantly higher concentration measured during winter, which corresponds to other
984 studies conducted in South Africa that attributed trends in OC and EC concentrations to
985 changes in meteorological patterns and increased biomass burning during winter. Low
986 OC/EC ratios determined for particulates collected in low-income urban settlements revealed
987 that EC concentrations were generally higher than OC levels, which is indicative of OC and
988 EC being mainly associated with local sources of these species. OC concentrations
989 determined in this study were an order of magnitude lower than OC concentrations
990 determined for ambient aerosols collected in the north-eastern interior of South Africa, while
991 similar EC levels were measured. However, OC and EC concentrations determined for urban
992 areas in other countries in Africa and the rest of the world were significantly higher than OC
993 and EC levels determined in general for South Africa.

994 Estimation of chemical mass closure according to two methods revealed dust to be the major
995 constituent in all size fractions of particulates collected during the respective outdoor and
996 indoor campaigns, while trace element species were the second most abundant. However,
997 trace elements made the highest contribution to aerosol mass in PM_1 and $PM_{1-2.5}$ collected
998 during the indoor campaign. No clear season pattern was observed in the chemical
999 composition of particulates sampled in low-income urban settlements.



1000

1001 **5 Data availability**

1002 At the moment, the dataset is being registered in Figshare. For the period of review, the link
1003 to the datasets is available in the section “Assets for review” of the MS Records system. As
1004 soon as the manuscript is approved for publication and the permanent DOI number is
1005 received, it will be indicated in the manuscript.

1006

1007 **Assets for review**

1008 [https://drive.google.com/drive/folders/1xJdYa06WMJyBm0OWbhoaBPkSpOgrt6j9?usp=sha](https://drive.google.com/drive/folders/1xJdYa06WMJyBm0OWbhoaBPkSpOgrt6j9?usp=sharing)
1009 [ring](https://drive.google.com/drive/folders/1xJdYa06WMJyBm0OWbhoaBPkSpOgrt6j9?usp=sharing)

1010

1011 **Authors’ contributions:** CKS, PGvZ, CL and JPB were the main investigators in this study
1012 and wrote the manuscript. CKS conducted this study as part of her PhD degree, as well as
1013 performed most of the experimental work and data processing. The project was led by PGvZ,
1014 CL and JPB, which were also study leaders of the PhD. J-SS, MD-A and EG assisted with
1015 analyses of aerosol samples, while BL assisted with aerosol sample collection. RPB and SJP
1016 provided infrastructure for sampling campaigns and made conceptual contributions.

1017

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1019

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1028



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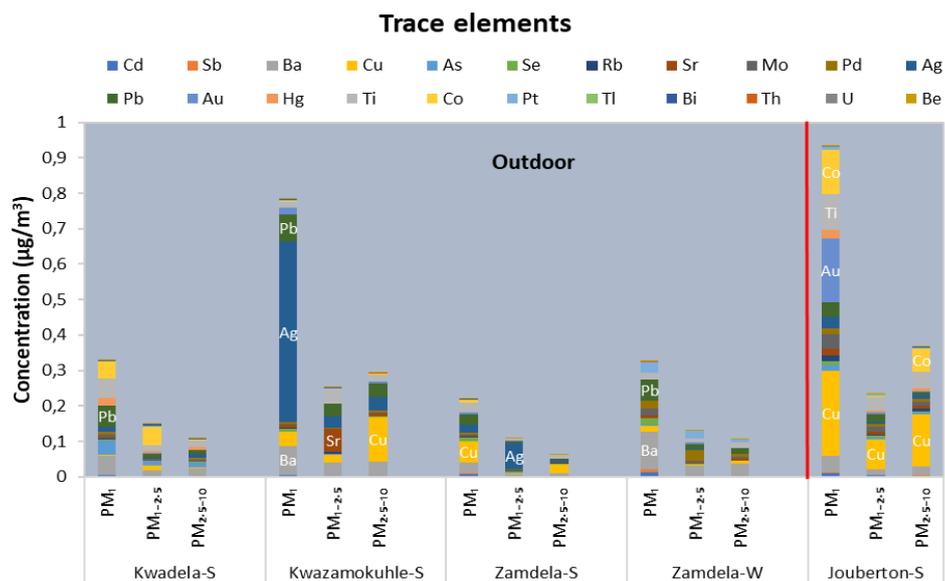
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1280 Appendix



1281

1282 **Figure A1:** Mean concentrations of trace element species for which significantly lower levels
1283 were determined in PM₁, PM_{1-2.5} and PM_{2.5-10} at each site during respective sampling
1284 campaigns

1285