Measurement report: Size-resolved chemical characterisation of aerosols in low-income urban settlements in South Africa

Constance K. Segakweng[1], Pieter G. van Zyl[2*], Cathy Liousse[3], Johan P. Beukes[2], Jan-Stefan Swartz[2], Eric Gardrat[3], Maria Dias-Alves,[3] Brigitte Language[1], Roelof P. Burger[1], Stuart J. Piketh[1]

[1] Unit for Environmental Sciences and Management, North-West University, Potchefstroom Campus, South Africa

[2] Chemical Resource Beneficiation, North-West University, Potchefstroom Campus, South Africa

[3] Laboratoire d'Aérologie, Université Paul Sabatier, Toulouse III, France

*Corresponding author: PG van Zyl (Pieter.VanZyl@nwu.ac.za)

Abstract

Naturally and anthropogenically emitted aerosols, which are determined by their physical and chemical properties, have an impact on both air quality and the radiative properties of the earth. An important source of atmospheric particulate matter (PM) in South Africa is household combustion for space heating and cooking, which predominantly occurs in low-income urban settlements. The aim of this study was to conduct a detailed size-resolved assessment of chemical characteristics of aerosols associated with household combustion through the collection of particulates in low-income urban settlements in South Africa in order to quantify the extent of the impacts of atmospheric pollution within these settlements. Outdoor (ambient) and indoor aerosols in different size fractions were collected during summer and winter in four low-income urban settlements located in the north-eastern interior on the South African Highveld, i.e. Kwadela, Kwazamokuhle, Zamdela and Jouberton. The mass concentration and chemical composition was determined for three size fractions, namely, PM_1, $PM_{2.5}$ and $PM_{2.5-10}$. The highest concentrations of particulates were measured indoors with the highest mass concentration determined in the indoor $PM_{2.5-10}$ (coarse) size fraction. However, the highest mass concentrations were determined in PM_1 in all outdoor aerosol samples collected during winter and summer, as well as in indoor samples collected during summer.

Significantly higher concentrations were determined for SO_4^{-2} in outdoor and indoor particulates compared to other ionic species, with NH_4^+ and NO_3^- being the second most

32   abundant. SO_4^{-2} and NH_4^+ almost exclusively occurred in the PM_1 size fraction, while NO_3^-

33   was the major constituent in the larger size fractions. The highest SO_4^{-2} levels were recorded

34   for the winter and summer outdoor campaigns conducted at Zamdela, while NO_3^- and NH_4^+

35   concentrations were higher during the winter outdoor campaign. The combined

36   concentrations of trace elements were higher for indoor particulates compared to outdoor

37   aerosols, while the total trace element concentrations in PM_1 were substantially higher than

38   levels thereof in the two larger size fractions of particulates collected during all sampling

39   campaigns.

40   No distinct seasonal trend was observed for the concentrations of trace elements. Na, Ca and

41   Cr had the highest concentrations in particulates collected during outdoor and indoor

42   sampling campaigns. Ni concentrations in outdoor and indoor aerosols exceeded the annual

43   average European standard PM_1 collected during all sampling campaigns in low-income

44   urban settlements had the highest organic- and elemental carbon (OC and EC) concentrations.

45   The highest OC and EC levels were determined in PM_1 collected during the winter indoor

46   campaign. OC and EC concentrations were highest during winter, which can be attributed to

47   changes in meteorological patterns and increased household combustion during winter. Low

48   OC/EC ratios determined for particulates collected in low-income urban settlements are

49   indicative of OC and EC being mainly associated with local sources of these species. OC

50   concentrations determined in this study were an order of magnitude lower than OC

51   concentrations determined for ambient aerosols collected in the north-eastern interior of

52   South Africa, while similar EC levels were measured. According to estimated dust

53   concentrations, it was indicated that dust is the major constituent in all size ranges of

54   particulates collected in this study, while trace elements were the second most abundant.

55   However, trace elements made the highest contribution to indoor PM_1 and $PM_{1-2.5}$ mass.

56   Mass concentrations and chemical concentrations determined for aerosols collected in low-

57   income settlements reflects the regional impacts of anthropogenic sources in the north-eastern

58   interior of South Africa, as well as the influence of local sources.

59   **Keywords:** inorganic ions; trace elements; organic carbon; elemental carbon; mass closure;

60   air quality

61

1 Introduction

Atmospheric aerosols or particulate matter (PM) are either emitted into the atmosphere directly as primary aerosols by anthropogenic activities (e.g. incomplete combustion of fossil fuels, vehicular traffic, industrial processes and household combustion) and natural (e.g. volcanic eruptions, sea salts and wind-blown dust) sources, or form in the atmosphere as secondary aerosols. (Pöschl, 2005). The environmental impacts of atmospheric aerosols are mainly related to climate change and air quality, which include direct and indirect effects on cooling/warming of the atmosphere, as well as adverse influences on human health, especially, related to respiratory diseases (Guinot et al., 2007).

The impacts of atmospheric PM on health and radiative forcing are determined by their physical (e.g. size, mass, optical density) and chemical properties. Larger particle can, for instance, be filtered in the nose and throat, while smaller particles can penetrate through the gas-exchange sections of the lungs and affect other organs (Pope and Burnett, 2002). In addition, the chemical composition of aerosols can influence radiative forcing since lighter coloured aerosols (e.g. sulphate, SO_4^{2-}) reflects incoming solar radiation causing net cooling of the atmosphere, while darker absorbing particulates (e.g. black carbon) contribute to warming of the atmosphere. PM is typically classified according to size fractions, which include course (aerodynamic diameter 2.5-10 μm, $PM_{10-2.5}$), fine (aerodynamic diameter 1-2.5 μm, $\leq PM_{2.5-1}$) and ultrafine particulates (aerodynamic diameter <1 μm, PM_1) (Venter et al., 2017; Pope & Dockery, 2006; Seinfeld & Pandis, 2006). Atmospheric aerosols comprise numerous organic and inorganic compounds, which also influences their physical characteristics. Many studies have been conducted to chemically characterise atmospheric aerosols from various sources in order to reduce uncertainties associated with their impacts (Sciare et al., 2005; Kulmala et al., 2011).

Inorganic species in PM include trace elements and inorganic ions, while organic species are generally categorised into organic – and elemental carbon (OC and EC, respectively). The major inorganic ionic species generally considered include SO_4^{2-}, nitrate (NO_3^-), ammonium (NH_4^+), sodium (Na^+), potassium (K^+), chloride (Cl^-), calcium (Ca^{2+}), magnesium (Mg^{2+}) and fluoride (F^-) (Venter et al., 2017; Ibrahim & Habbani, 2013; Pöschl, 2005). These inorganic ions in atmospheric PM have a significant influence on the acidity potential of the atmosphere, which will also have an impact on ecological systems through the wet and dry deposition (Conradie et al, 2016). Atmospheric aerosols comprise various trace elements,

which include sodium (Na), silicon (Si), magnesium (Mg), aluminium (Al), potassium (K), calcium (Ca), titanium (Ti), chromium (Cr), manganese (Mn), iron (Fe), arsenic (As), barium (Ba), cadmium (Cd), copper (Cu), nickel (Ni), zinc (Zn), vanadium (V), molybdenum (Mo), mercury (Hg) and lead (Pb) (Adgate et al., 2007; Pacyna, 1998). Atmospheric PM also comprise a large number of organic compounds (Goldstein and Galbally, 2007), which are generally reported as a collective due to the complexities associated with identifying individual organic compounds (Chiloane et al., 2017; Maritz et al., 2019; Booyens et al., 2014). Detailed chemical characterisation of atmospheric aerosols allows for chemical mass closure, which is an important tool in establishing major sources and impacts of these species, as well as chemical transformation processes involved on local, regional and global scales (Guinot et al., 2007; Sciare et al., 2005).

South Africa has one of the largest industrialised economies in Africa with significant industrial, mining and agricultural activities (Josipovic et al., 2019), while being regarded a significant source region of atmospheric pollutants (Venter et al., 2017). In addition, coal-fired power stations produce > 90% of the electricity in South Africa, while seasonal open biomass burning (wildfires) also has a large impact on air quality in this region (Vakkari et al., 2014). An important source of atmospheric pollutants in South Africa revealed through various studies is household combustion for space heating and cooking predominantly occurring in low-income urban settlements (e.g. Venter et al., 2012; Chiloane et al., 2017; Maritz et al., 2019; Laban et al., 2018). Most households in these settlements use low-grade fuels such as coal or wood to meet their energy demands (Adesina et al., 2020; Xulu et al., 2020). These practises contribute significantly to emissions of atmospheric pollutants on a local and regional scale, while serious health risks associated with indoor and outdoor exposure are posed for people within these communities (Language et al., 2016; Xulu et al., 2020). Several studies have shown that indoor air pollution often dominates human exposure in these settlements to atmospheric pollutants, since health effects are not only determined by air pollution levels, but also by the extent of exposure, i.e. the time spent within proximity of polluted air. (Manisalidis et al., 2020; Bruce et al., 2000). In addition to household combustion, waste burning within these settlements also contributes to poor ambient air quality in these low-income communities (Language et al., 2016).

In this study, an assessment of the chemical characteristics of aerosol species in different size fractions, namely, (PM_1, $PM_{1-2.5}$, $PM_{2.5-10}$) in low-income urban settlements in South Africa is conducted. The concentrations of particulate inorganic ions, trace elements, as well as OC

127 and EC, were determined in order to better understand the importance of the sources
128 contributing to the various chemical species in these settlements.

129 **2 Experimental**

130 **2.1 Site descriptions**

131 Aerosol samples were collected at four low-income urban settlements located in the north-
132 eastern interior on the South African Highveld, i.e. Kwadela (S -26.463200 E 29.663124),
133 Kwazamokuhle (S -26.138252, E 29.738953), Zamdela (S -26.8373100, E 27.843500) and
134 Jouberton (S -26.906231, E 26.584010) as indicated in Figure 1. This is the largest
135 industrialised area in South Africa, with this region holding several pyrometallurgical
136 industries, mines, coal dumps, two large petrochemical plants and a cluster of coal-fired
137 power stations (11 of 13 South African coal-fired power stations are located in this region)
138 (Laban et al., 2018). In addition, this area is also influenced by large scale seasonal open
139 biomass burning (wildfires) during the dry season (Vakkari et al., 2014), while household
140 combustion is also an important source of atmospheric pollutants in this relatively densely
141 populated area (Chiloane et al., 2017; Laban et al., 2018). Measurements at Kwadela,
142 Kwazamokuhle and Zamdela were conducted within the framework of the air quality offset
143 programme, which is a legal measure introduced by the South African government whereby
144 industries receive leniency from complying with emission standards by reducing ambient
145 pollution levels through interventions in low-income urban settlements (Langerman et al.,
146 2018). Aerosols sampled at Jouberton were part of the Prospective Household cohort study of
147 Influenza, Respiratory Syncytial virus and other respiratory pathogens community burden
148 and Transmission dynamics in South Africa (PHIRST) (NICD, 2018).

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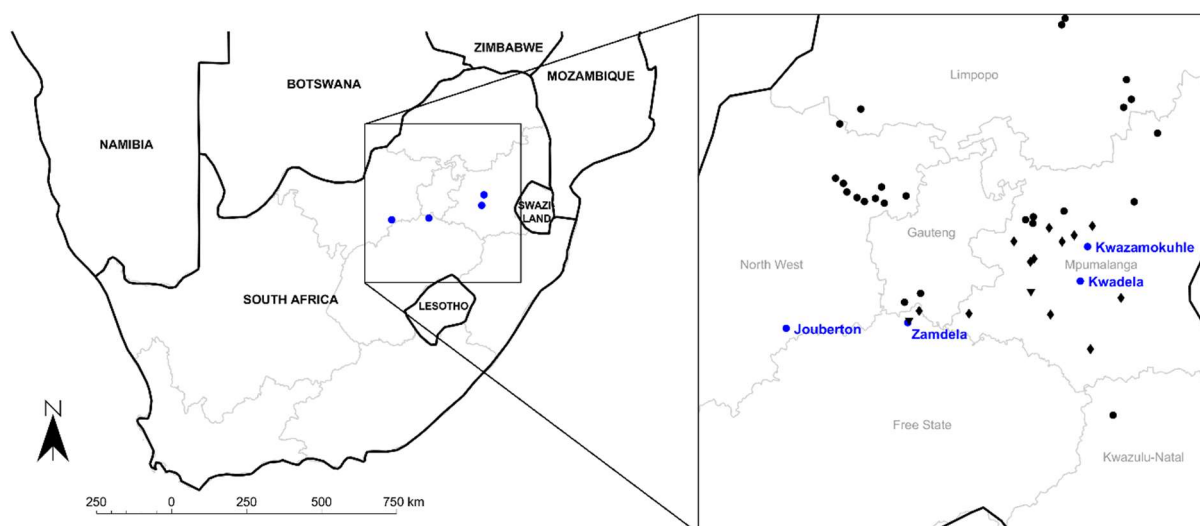
Coal-fired power stations • Pyro-metallurgical smelters ▼ Petrochemical operations

Figure 1: Map of South Africa indicating the location of the four low-income urban settlements and major large point sources within the north-eastern interior.

The South African Highveld is characterised by a distinct dry and wet season with the dry season typically occurring from mid-May to mid-October, which coincides with the South African winter from June to August. More pronounced inversion layers and increased anticyclonic recirculation of air masses during winter traps pollutants near the surface causing, in conjunction with reduced wet scavenging, pollution build-up over this region during this period (Tyson and Preston-Whyte, 2000). In addition, the winter months are also characterised by increased household combustion for space heating and cooking, while seasonal open biomass burning generally occurs in the period from August to October, typically peaking during spring in September (Laban et al., 2018). Furthermore, the removal rate of atmospheric pollutants associated with precipitation is also reduced during the dry season (Venter et al., 2018).

Kwadela is situated in the Mpumalanga Province, between the towns Ermelo and Bethal, approximately 180 km east-south-east of the Johannesburg-Pretoria conurbation (Figure 1). In addition to being influenced by regional air pollution, this low-income settlement is also exposed to traffic emissions from the nearby (~500 m) N17 national highway. Kwazamokuhle is located near the town Hendrina in the Mpumalanga Province, approximately 150 km east of the Johannesburg-Pretoria conurbation (Figure 1). This low-income settlement is located within proximity of three large coal-fired power stations, i.e. Arnot-, Komati- and Hendrina power station as indicated in Figure 1. Zamdela is situated on

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the south banks of the Vaal River in proximity of the town Sasolburg in the Free State Province in the densely populated and highly industrialised Vaal Triangle region (Figure 1). Sources within this region include a large petrochemical plant, a coal-fired power station and a number of pyrometallurgical smelters (Conradie et al., 2016). Jouberton is a low-cost urban settlement nearby the town Klerksdorp in the North West Province as indicated in Figure 1 (approximately 180 km south-west of the Johannesburg-Pretoria conurbation). Main anthropogenic activities in this region of South Africa are related to large mining industries and agriculture, while this area is also impacted by regional air pollution associated with the north-eastern interior as indicated by several studies at the Welgegund atmospheric monitoring site approximately 80 km east of Klerksdorp (e.g. Booyens et al., 2014; Venter et al., 2017).

2.2 Sample collection

All aerosol samples in this study were collected using a set of three 5-stage cascade sioutas impactors (Josipovic et al., 2019), each connected to a pump and running in parallel at a flow rate of 9L/min. These impactors allowed for the collection of PM in the 2.5 – 10 μm, 1.0 – 2.5μm, 0.50 – 1.0μm, 0.25 – 0.50μm and <0.25μm aerodynamic diameter size ranges. One impactor was equipped with Teflon filters for the determination of inorganic ions, water-soluble organic acids and trace elements, the second impactor with quartz filters for OC and EC analysis, and the third impactor was loaded with Nucleopore filters for the health tests. The latter was beyond the scope of this paper and is not discussed further. 25 mm filters and 37 mm back filters were used in each impactor. 72-hour outdoor (ambient) aerosol samples were collected during two-week periods, while 24-hour indoor PM samples were collected for a duration of seven days. These sampling times were chosen in order to collect sufficient amounts of PM for chemical analysis. Filters were placed in petri dishes after sampling, which were sealed and stored in a freezer until they were analysed. A five-decimal Mettler Toledo microbalance was used to weigh filters prior to and after sampling.

Outdoor aerosol samples collected during the three summer campaigns allowed for a spatial assessment of outdoor aerosol characteristics associated with low-income urban settlements located in the north-eastern interior of South Africa, while the one winter campaign at Zamdela was considered indicative of temporal variability associated with outdoor aerosols in these settlements. Indoor measurements conducted at Jouberton through a summer and winter campaign allowed for comparison between outdoor and indoor aerosol characteristics.

207 In spite of the above-mentioned challenges associated with sampling in low-income
208 settlements in South Africa, the results presented in this paper can be considered a good
209 representation of chemical composition of aerosols in these settlements.

210 At Kwadela, outdoor aerosol measurements were conducted at Kwadela Primary School from
211 30 March to 14 April 2015. Outdoor aerosol samples were collected inside the church yard at
212 Kwazamokuhle (same site where compliance air quality monitoring is being conducted) from
213 23 February until 07 March 2016. Measurements at Zamdela were conducted at the Theha
214 Setjhaba primary school. The summer sampling campaign at Zamdela was conducted from
215 09 to 23 March 2017, while the winter campaign occurred from 15 to 30 July 2016. The
216 summer and winter indoor sampling campaigns at Jouberton were performed at three houses
217 from 18 April to 19 May 2016 and 01 August 2016 to 16 August 2016, respectively.
218 Although these three houses were electrified, paraffin was also burned as an alternative
219 source of energy. Sampling was only conducted at two of the three houses during the winter
220 indoor campaign, due to logistical restraints. In addition, instrument failure contributed to one
221 of the sioutas not being available for sampling during the winter sampling campaign at
222 Jouberton. It was decided to use the two available sioutas to collect PM samples for OC and
223 EC analysis, as well as the health tests during this campaign. These summer sampling periods
224 can be considered representative of summer, since a recent study indicated that October to
225 March can be classified as summer months in South Africa (Van der Walt and Fitchett,
226 2020).

227 In total, 134 aerosol samples were collected for this study, which included 43 outdoor
228 samples at Kwadela (9), Kwazamokuhle (12) and Zamdela (24), while 91 indoor samples
229 were collected at Jouberton.

230

231 **2.3 Chemical analyses**

232 **2.3.1 Inorganic ions and water-soluble organic acids**

233 Similar to the method described by Van Zyl et al., (2014), Venter et al., (2014) and Venter et
234 al., (2017), sampled Teflon filters were divided into two halves by a specially designed punch
235 to allow for the analyses of trace elements, as well as inorganic ions and water-soluble
236 organic acids. Inorganic ions and water-soluble organic acids in the collected PM samples
237 were extracted with 5 or 10 mL (depending on sample load) deionised water (resistivity ≈

238   18.2 MΩ) in an ultrasonic bath for 30 min. The extracted aqueous samples were then

239   analysed by suppressed conductivity with a Dionex ICS 3000 ion chromatograph (IC), with

240   an IonPac AS18 (2 mm x 50 mm) analytical column and IonPac AG18 (2 mm x 50 mm)

241   guard column. Inorganic ionic species determined include SO_4^{2-}, NO_3^-, Cl^-, F^-, Na^+, NH_4^+, K^+,

242   Mg^{2+}, and Ca^{2+}, while water-soluble organic acids (OA) include formic- (COO^-), acetic-

243   (CH_3COO^-), propionic- ($C_2H_5COO^-$) and oxalic acid ($C_2O_4^{2-}$). Standard stock solutions for

244   each ionic species analysed were obtained from Industrial Analytical. The detection limits

245   (DL) of each of these species are presented in Table 1 below.

246   **Table 1.** DLs (ppb) of inorganic ions and water-soluble organic acids determined in this
247   study

248

SO_4^{2-}	NO_3^-	Cl^-	F^-	Na^+	NH_4^+	K^+	Mg^{2+}	Ca^{2+}
5,699	52,581	17,456	10,750	33,584	20,128	27,244	21,047	18,718
COO^-	CH_3COO^-	$C_2H_5COO^-$	$C_2O_4^{2-}$					
18,202	28,147	15,73	17,242					

249

250   **2.3.2 Trace elements**

251   The other halve of the sampled Teflon filter was subjected to hot acid leaching (Mouli et al.,

252   2006), which entailed placing the filter in a 100 mL Erlenmeyer flask with 20 mL

253   concentrated HNO_3 and 40 mL deionised water. The mixture was boiled for 5 min and then

254   refluxed for three hours after the addition of 5 mL concentrated HCl. The extract was cooled

255   and then diluted in 100 mL deionised water for subsequent analysis with an Agilent 7500c

256   inductively coupled plasma mass spectrometer (ICP-MS). In total, 35 trace elements could be

257   detected, which included Be, B, Na, Mg, Al, P, K, Ca, Ti, V, Cr, Mn, Fe, Cu, Co, Ni, Zn, As,

258   Se, Rb, Sr, Mo, Pd, Ag, Cd, Sb, Ba, Pt, Au, Hg, Tl, Pb, Bi, Th and U. Trace element

259   concentrations below the DL of the ICP-MS (DLs listed in Table 2) were considered to have

260   concentrations of half the detection limit of the species considered, which is a precautionary

261   assumption that is commonly used in health-related environmental studies (e.g. Van Zyl et

262   al., 2014). Be and Tl levels were below the detection limit of the analytical technique in all

263   three size fractions for particulate samples collected during all sampling campaigns, while

264   concentrations of Cd, Sb, Ba, Cu, As, Se, Rb, Sr, Mo, Pd, Ag, Pb, Au, Hg, Ti, Co, Pt, Bi, Th

265   and U were very low, and below the detection limit in 75% or more collected samples.

266   Similarly to inorganic ions and water-soluble OAs, trace element concentrations could also

267   not be determined during the winter indoor campaign.

268

269   **Table 2.** DLs (ppb x 10^{-2}) of trace elements determined in this study

Be	B	Na	Mg	Al	P	K	Ca	Ti	V	Cr	Mn	Fe	Co
1,165	19,04	29,58	22,09	65,07	377,3	406,9	93,2	1,432	4,466	359,9	4,156	60,72	0,625
Ni	Cu	Zn	As	Se	Rb	Sr	Mo	Pd	Ag	Cd	Sb	Ba	Pt
3,229	4,186	6,212	5,686	8,559	0,268	1,238	0,681	0,246	2,447	1,119	0,712	1,670	0,381
Au	Hg	Tl	Pb	Bi	Th	U							
2,156	2,836	0,411	0,587	0,309	0,160	0,113							

270

2.3.3 Carbonaceous aerosol

272   OC and EC concentrations were determined from aerosols collected pre-fired on quartz filters
273   with a a two-step thermal procedure developed by Cachier et al., (1989) at the Laboratoire
274   d'Aerologie. This procedure entailed halving the filters with one part of the filter heated in a
275   pre-combustion oven under pure oxygen for two hours at a relatively low temperature
276   (340°C) to drive off all OC, after which the sample was oxidised in order to determine EC
277   content with a G4 ICARUS carbon analyser equipped with a non-dispersive infrared detector
278   (NDIR). The other part of the filter was directly analysed for total carbon content with the
279   carbon analyser (Adon et al., 2020). OC content could then be obtained from the difference
280   between the measured TC and EC concentrations. The detection limit for OC/EC analysis
281   was 2 μgC.cm^{-2}.

282

3. Results and discussion

3.1 Mass concentration

285   The mass concentrations determined for the PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ size fractions, as well
286   as for PM_{10} (combination of mass concentrations of the three size fractions) at each site
287   during the different sampling campaigns are presented in Figure 2 (S denotes a summer
288   campaign, while W designates a winter campaign). It is evident from Figure 2 that indoor
289    $PM_{2.5-10}$ samples collected during winter at Jouberton had the highest mean mass
290   concentration (59.6 μg.m^{-3}), while the highest average PM_{10} mass concentration was also
291   recorded during this sampling campaign (108.3 μg.m^{-3}). Moderately lower mean PM mass
292   concentrations were determined during the summer indoor campaign in comparison to the
293   winter indoor campaign. In general, PM mass concentrations were higher for indoor samples
294   compared to aerosol mass concentrations determined for outdoor samples. Average PM mass
295   concentrations determined for outdoor samples collected during winter at Zamdela were

moderately lower than the mean aerosol mass concentration determined during the summer indoor campaign, while being higher (with higher mean mass concentrations in each size fraction) than average PM mass concentrations measured during the three summer outdoor campaigns for which similar mean aerosol mass concentrations were recorded.
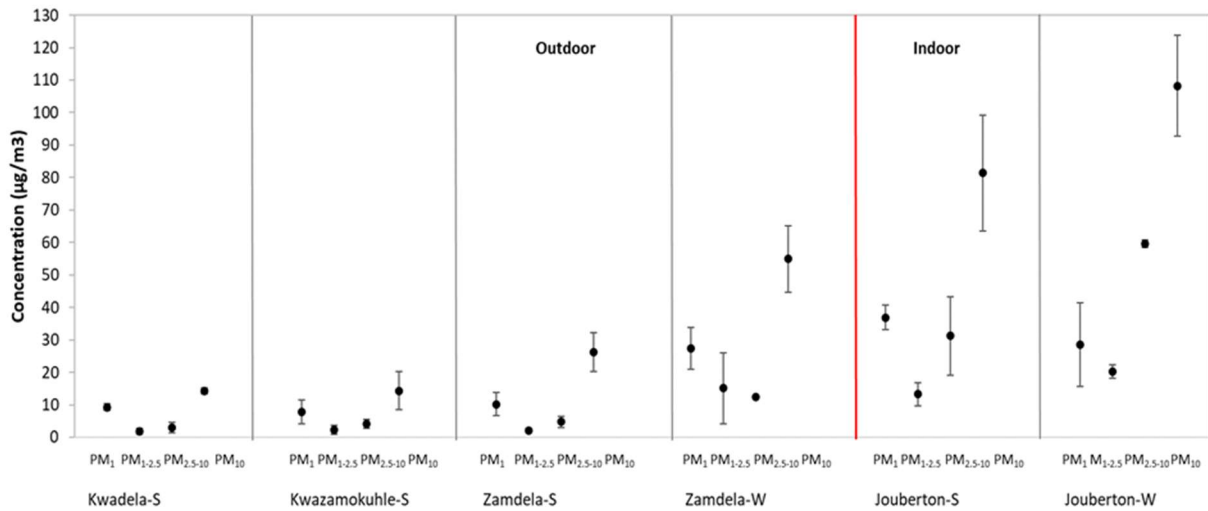

Figure 2: Mean aerosol mass concentrations (± standard deviation) measured in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site (S denotes a summer campaign, while W designates a winter campaign). Average PM_{10} mass concentrations are also indicated

The PM mass concentrations determined in this study correspond to levels determined for PM in other recent studies in low-income settlements, which also include measurements at Kwadela and Kwazamokuhle (Adesina et al., 2020; Langerman et al., 2018; Xulu et al., 2020; Language et al., 2016; Kapwate et al., 2018). These studies also reported similar trends in PM mass concentrations, i.e. higher mass concentrations in winter compared to summer, while indoor PM mass concentration were also generally higher (in some instances significantly higher) than outdoor mass concentrations. As mentioned above (Section 2.1), higher concentrations of pollutant species in winter in this part of South Africa can be attributed to pollution build-up associated with meteorological conditions and increased household combustion for space heating.

Comparison of aerosol mass concentrations of different size fractions indicates that the PM_1 size fraction had the highest mass concentration in all outdoor aerosol samples collected during winter and summer, as well as in indoor samples collected during summer. However, ~~higher mass concentration was observed for the $PM_{2.5-10}$ size fraction of summer indoor~~

11

319 ~~samples, while~~ the PM$_{2.5-10}$ size fraction had the highest mass concentration for indoor

320 samples collected during winter as mentioned above. The lowest mass concentrations were

321 determined for the PM$_{1-2.5}$ size fractions during all the sampling campaigns at all the sites,

322 with the exception of winter outdoor samples collect at Zamdela where the PM$_{2.5-10}$ size

323 fraction had the lowest mass concentration. Ultrafine PM is indicative of secondary aerosol

324 formation, while ~~course~~ coarse particulates ~~is~~are generally associated with terrigenous

325 sources (e.g. wind-blown dust). These mass concentration profiles observed for the size

326 fractions of aerosols collected during each sampling campaign in this study will be explored

327 in subsequent sections through assessment of the size-resolved chemical composition of PM.

328

3.2 Inorganic ions and water-soluble organic acids

330 In Figure 3, the concentrations of each ionic species determined in the three size fractions at

331 each site during the respective sampling campaigns are presented, while Figure 4(a) presents

332 the concentrations of each of these ionic species for PM$_{10}$ and Figure 4(b) presents the

333 normalised concentration distribution of each of these ionic species in the three size fractions.

334 Concentrations of COO^-, CH_3COO^-, $C_2H_5COO^-$ and $C_2O_4^{2-}$ were combined and presented as

335 a total for water-soluble OA (Conradie et al, 2016). As mentioned previously (Section 2.1),

336 inorganic ions and water-soluble OAs were not determined during the winter indoor

337 campaign at Jouberton due to instrument failure.

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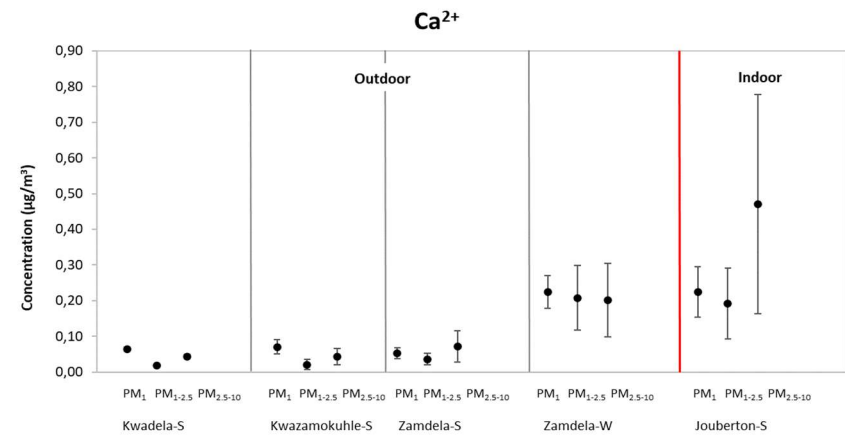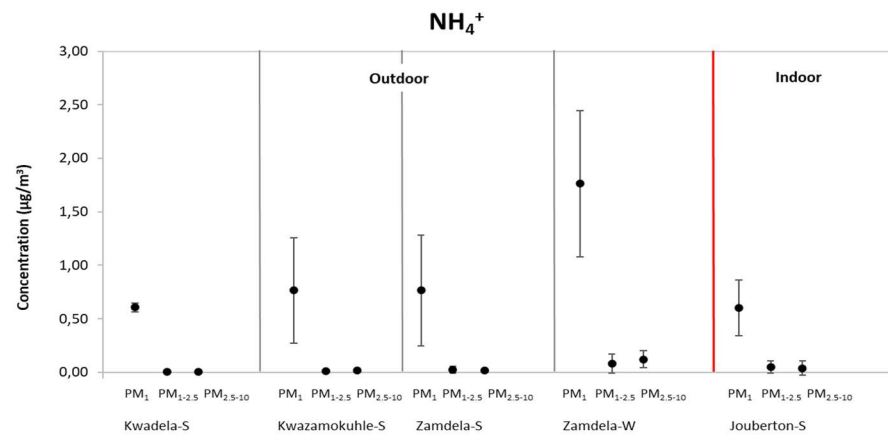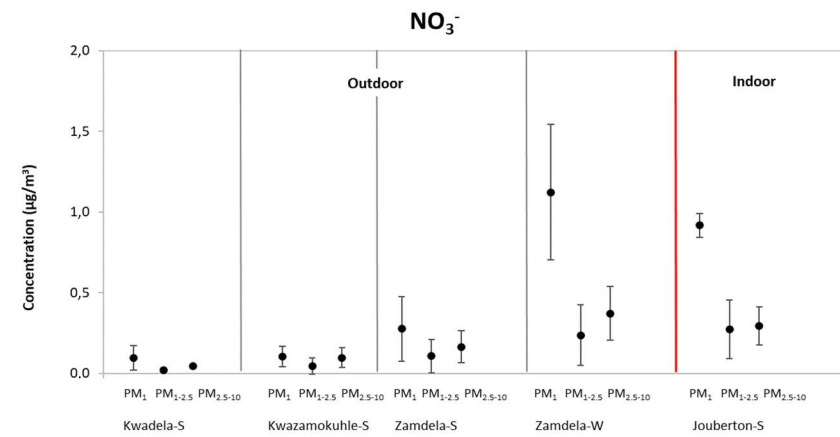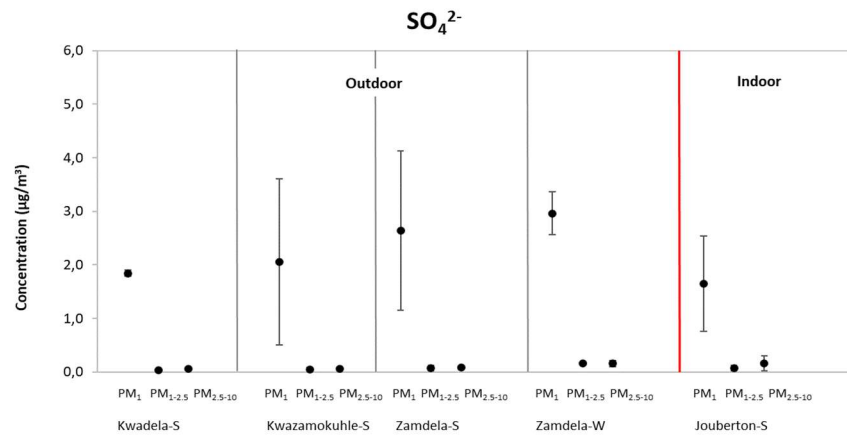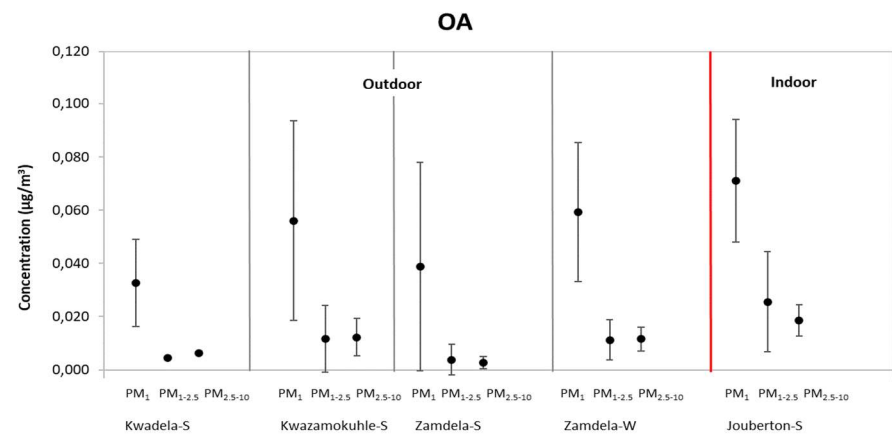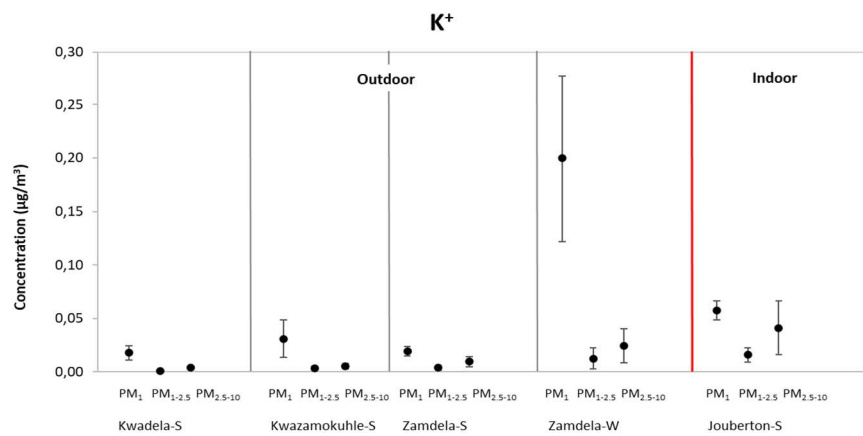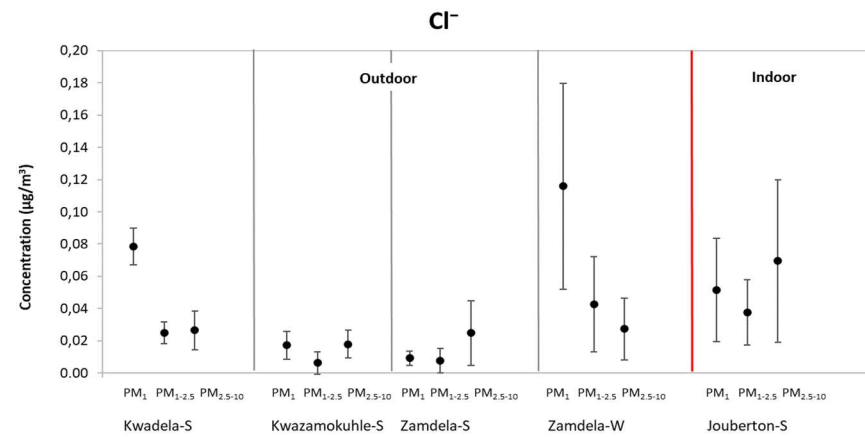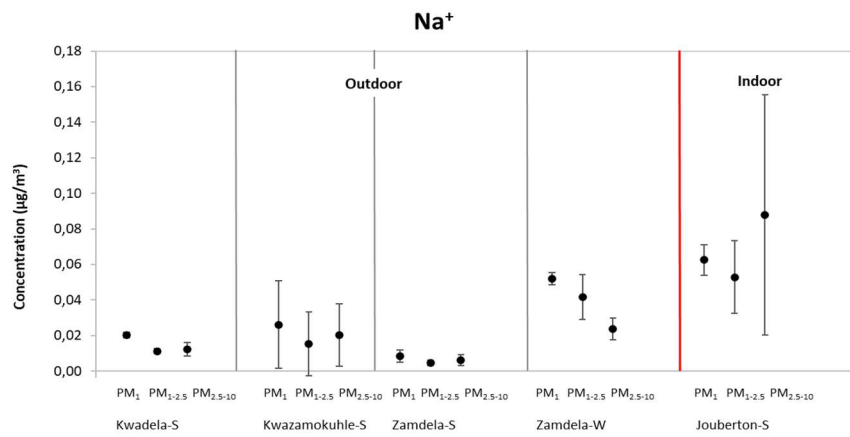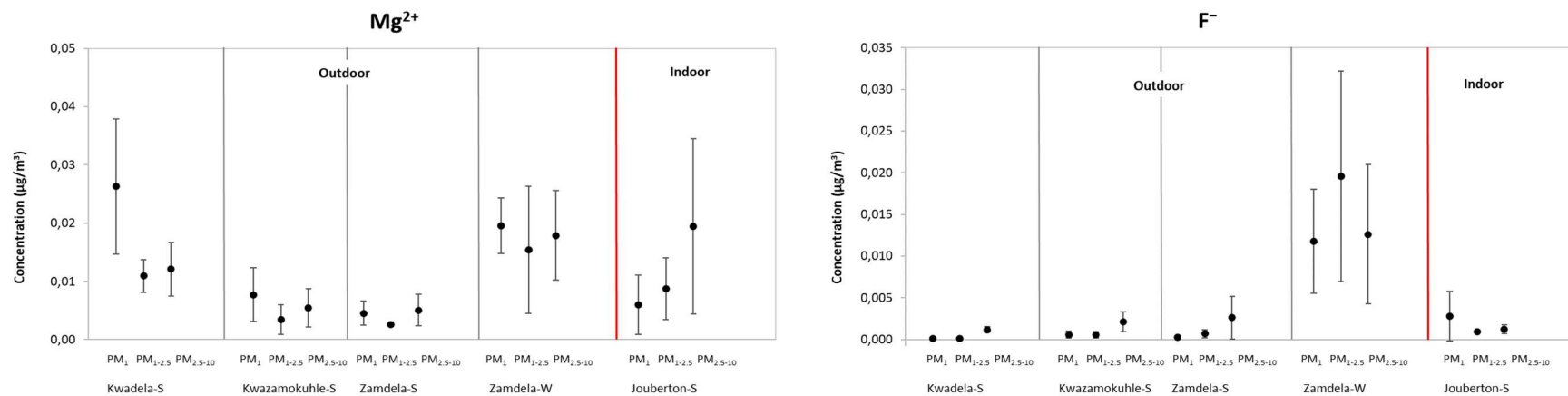
Figure 3: Mean concentrations (± standard deviation) of inorganic ionic species and water-soluble OAs measured in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site during respective sampling campaigns

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350   (a)

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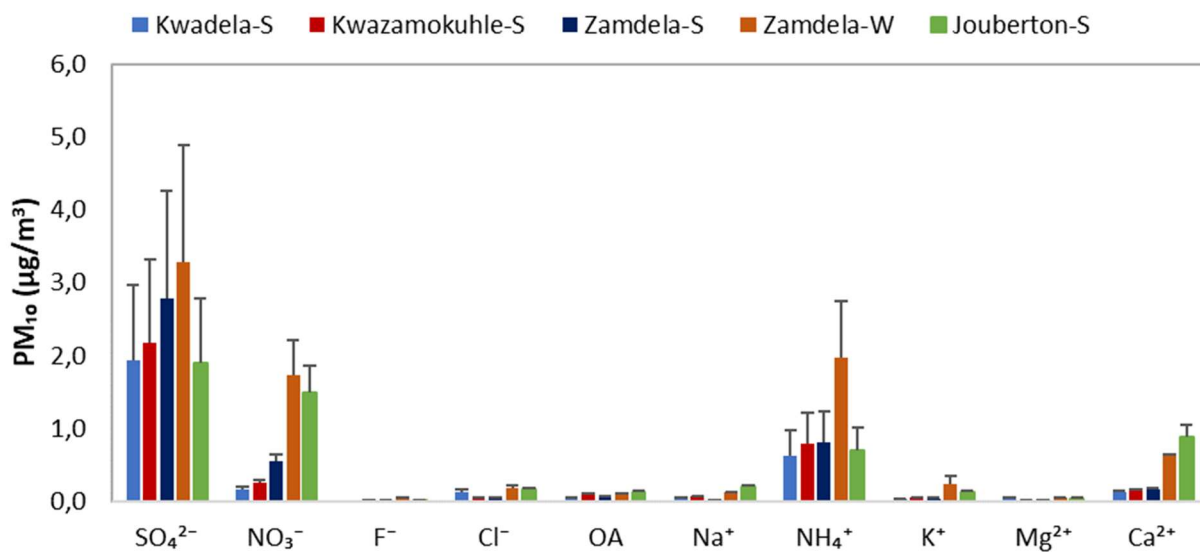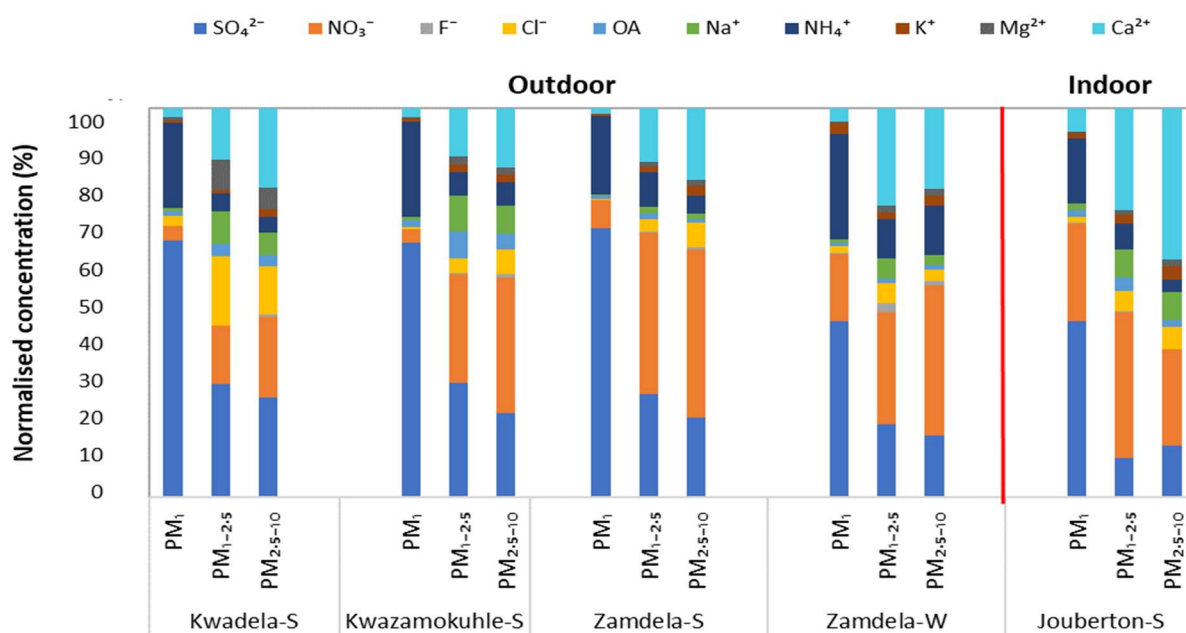
352   (b)

Figure 4: (a) Mean concentrations (± standard deviation) of inorganic ionic species and water-soluble OAs in PM_{10}, and (b) normalised concentration distributions of these species in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site during respective sampling campaigns

356

357

358 It is evident from Figure 3 and 4(a) that the highest concentration was determined for SO_4^{-2} at
359 each site during the respective sampling campaigns, while NH_4^+ and NO_3^- were the second
360 most abundant species. Moderately higher Ca^{2+} levels were also measured, especially, during
361 the winter outdoor and the summer indoor campaigns with the mean Ca^{2+} concentration
362 determined for the latter sampling campaign being higher than the average NH_4^+
363 concentration measured during this campaign. Concentrations of other ionic species were at
364 least an order of magnitude lower compared to SO_4^{-2}, NH_4^+ and NO_3^- levels. The highest SO_4^-
365 ^{2} concentrations were determined during the summer and winter outdoor campaigns
366 conducted at Zamdela, while similar SO_4^{2-} levels were determined for the other two summer
367 outdoor campaigns and the summer indoor campaign. NO_3^- concentrations determined for the
368 winter outdoor and summer indoor campaigns were significantly higher compared to levels
369 thereof measured during the three summer outdoor campaigns, while the NH_4^+ concentration
370 recorded for the winter outdoor campaign ~~were~~ was significantly higher compared to NH_4^+
371 levels determined for other sampling campaigns. NH_4^+ concentrations were higher than NO_3^-
372 levels for the three summer outdoor campaigns, while NO_3^- concentrations exceeded NH_4^+
373 levels during the winter outdoor and summer indoor campaign. SO_4^{2-} concentrations for the
374 four summer (outdoor and indoor) were nearly 4 times higher compared to NH_4^+ levels, while
375 being approximately two times higher than NH_4^+ concentrations determined for the winter
376 outdoor campaign. NO_3^- concentrations were approximately three times lower than SO_4^{2-}
377 levels measured during the winter outdoor and summer indoor campaigns, and almost an
378 order of magnitude lower compared to SO_4^{2-} concentrations determined for the three summer
379 outdoor campaigns.

380 SO_4^{2-} and NH_4^+ levels in the PM_1 size fraction were an order of magnitude higher compared
381 to their respective concentrations in the two larger size fractions for aerosol samples collected
382 during all sampling campaigns, while OA concentrations in PM_1 were approximately 5 times
383 higher than levels thereof in $PM_{1-2.5}$ and $PM_{2.5-10}$. NO_3^- concentrations in samples collected
384 during the winter outdoor and summer indoor campaign were also an order of magnitude
385 higher in the PM_1 size fraction, while also being marginally higher in the submicron fraction
386 for particulates collected during the three summer outdoor campaigns. Elevated levels were
387 also observed for Cl^- and K^+ in PM_1 collected during the winter outdoor campaign, while Cl^-
388 also exhibited higher concentrations in PM_1 sampled during the summer outdoor campaign at
389 Kwadela. Cl^- concentrations were higher in the $PM_{2.5-10}$ size fraction for the other outdoor
390 and indoor sampling campaigns. K^+ levels in PM_1 was marginally higher than levels thereof

391 in the other two size fractions for all sampling campaigns. The corresponding increased
392 concentrations of Cl^- and K^+ in the PM_1 size fraction for the winter outdoor campaign can be
393 indicative of the influence of open biomass burning in this region. Ca^{2+} and Na^+
394 concentrations were relatively evenly distributed in all three size ranges, with the exception
395 of moderately higher Ca^{2+} and Na^+ levels determined in the $PM_{2.5-10}$ size fraction during the
396 summer indoor campaign. As mentioned previously, very low concentrations were
397 determined for Mg^{2+} and F^- concentrations, which were for most sampling campaigns similar
398 in all three size fractions.

399 It is evident from the normalised concentration distributions presented in Figure 4(b) for all
400 sampling campaigns that PM_1 is dominated by SO_4^{2-} and NH_4^+, with a slightly higher NO_3^-
401 contribution in PM_1 collected during the summer indoor campaign is observed. Comparison
402 between the summer and winter outdoor campaigns also indicates a similar trend. In addition,
403 a higher contribution from NH_4^+ in the PM_1 size fraction is evident for the winter outdoor
404 campaign compared to the three summer outdoor campaigns. SO_4^{2-}, NO_3^- and Ca^{2+} had the
405 highest contributions in the two larger size fractions for all sampling campaigns, with the
406 exception of higher NH_4^+ contributions in the larger size fractions of winter outdoor samples
407 and higher contributions from Cl^- in the larger size fractions in summer outdoor samples
408 collected at Kwadela. In general, it can be concluded that PM_1 was dominated by SO_4^{2-}, while
409 NO_3^- was the major species in the large size fractions. However, Ca^{2+} had the highest
410 contribution to chemical content in summer indoor $PM_{2.5-10}$. Recent ambient aerosol
411 measurements conducted at a regional site located in the South African interior (Welgegund)
412 also indicated PM_1 being dominated by SO_4^{2-} and the larger size fractions by NO_3^- (Venter et
413 al., 2018). In addition, PM_1 measurements conducted with an ACSM also indicated the
414 highest contributions from SO_4^{2-} and NH_4^+ to the chemical content of submicron particulates
415 (Tiitta et al., 2014). Higher relative contribution of species in the larger size fractions can be
416 attributed to significantly lower SO_4^{2-} and NH_4^+ levels in these size fractions (Figure 3).

417 In Table 3 the mean concentrations of ionic species determined in this study is listed in
418 relation to mean/median concentrations determined for inorganic ions in other studies in
419 South Africa conducted at a rural, regional and industrial site (water-soluble organic acids
420 were not determined in these other studies), which indicate similar levels and concentration
421 distributions for inorganic ions as determined in this study conducted in low-income urban
422 settlements. In all these studies SO_4^{2-} had the highest concentration, while NH_4^+ and NO_3^-
423 were the second and/or third most abundant species. Venter et al., (2018) also indicated

424 significantly higher SO_4^{2-} concentrations in the PM_1 size fraction compared to its respective

425 levels in larger size fractions, as well as concentrations of other species in all size fractions at

426 a regional (Welgegund) and an industrial site (Marikana). Very low NO_3^- concentrations were

427 determined at the rural background site, Botsalano, with K^+ at this rural site having

428 concentrations in the same range as NH_4^+. As indicated by these previous studies conducted

429 in this region of South Africa, SO_4^{2-} occurs predominantly in the ultrafine size fraction and is

430 generally considered a secondary pollutant formed from the oxidation of atmospheric SO_2

431 associated with industrial emissions (Collette et al., 2010; Lourens et al., 2011). Particulate

432 NH_4^+ is almost exclusively a secondary pollutant formed from emissions of gaseous NH_3

433 (Seinfeld and Pandis, 2006). Atmospheric particulate NO_3^- is related to oxidation of gaseous

434 NO_2 associated with fossil fuel combustion, vehicular emissions and domestic fuel burning

435 (Collett et al., 2010; Lourens et al., 2016; Venter et al., 2012). Very low NO_3^- concentrations

436 in the ultrafine size fraction can be attributed to high SO_4^{2-} levels substituting NO_3^- in

437 NH_4NO_3. Venter et al., (2018) and Tiita~~l~~ et al., (2014) attributed higher contributions from

438 Ca, Na and Cl in the larger size fractions to larger particulates associated with marine (NaCl)

439 and terrigenous (e.g. wind-blown dust) sources. It is interesting to note that, with the

440 exception of higher contribution of Ca^{2+} to ionic composition in summer indoor $PM_{2.5-10}$,

441 inorganic ions in indoor aerosols had similar concentration distributions than that of ambient

442 aerosols collected in this study and in other studies in this part of South Africa, which

443 signifies the regional impacts on indoor atmosphere of emissions associated with the highly

444 industrialised and densely populated north-eastern interior of South Africa.

445 Also listed in Table 3, are the mean levels determined for inorganic ions and water-soluble

446 organic acids in other parts of Africa and the rest of the world. Aerosol measurements

447 conducted at a site in proximity of wood burning in Abidjan, Côte d'Ivoire, indicated that Cl^-,

448 NH_4^+ and K^+ had the highest concentrations in the PM_1 size fraction with NH_4^+ levels being

449 at least three times higher and Cl^- concentration an order of magnitude higher compared to

450 levels thereof determined in PM_1 in this study. SO_4^{2-} levels at this West African site impacted

451 by household wood combustion were ~~similar than~~similar to SO_4^{2-} concentrations determined

452 in this study conducted in low-income urban settlements, while the relative contribution of

453 NO_3^- compared to SO_4^{2-} in PM_1 was larger at this site in Abidjan. SO_4^{2-} and NO_3^- in PM_1

454 were ~~similar than~~similar to levels determined for these species in PM_1 during the summer

455 indoor campaign in this study. Concentrations of other ionic species (including OAs) in the

456 PM_1 size fraction of aerosols collected at this site in Abidjan in proximity of wood burning

457 were an order of magnitude higher than their respective concentrations determined for most

458 of the sampling campaigns conducted in this study. Also, Ca^{2+} levels determined in PM_1

459 during the winter outdoor and summer indoor campaigns in PM_1 were 5 and 2.5 times lower,

460 respectively than Ca^{2+} concentrations determined at the domestic burning site in Abidjan.

461 SO_4^{2-} and NO_3^- had the highest concentrations in PM_1 at a waste burning site at Abidjan,

462 while higher contributions are also observed for NH_4^+, Cl^- and K^+. Comparison with two sites

463 in West Africa in proximity of vehicular traffic (Abidjan and Cotonou, Benin), indicated that

464 NO_3^- dominated the PM_1 size fraction, while SO_4^{2-} and NH_4^+ concentrations were ~~similar~~

465 ~~than~~similar to levels determined for these species in this study. Larger contributions are also

466 observed for Cl^- and K^+ at these sites in West Africa impacted by traffic. Concentrations of

467 all ionic species in the $PM_{2.5-10}$ size fraction at all these sites in Abidjan and Cotonou were an

468 order of magnitude higher than levels determined for these species in low-income settlements

469 in South Africa. NO_3^- and Ca^{2+} concentrations determined in $PM_{2.5-10}$ in this study were

470 closer to levels thereof determined at Abidjan and Cotonou, but still lower. In addition, the

471 concentrations of all ionic species in the PM_1 size fraction were higher than their

472 corresponding levels in the $PM_{2.5-10}$ size fraction at all sites in West Africa. The influence of

473 marine air masses on atmospheric composition is evident at these two coastal West African

474 cities.

475 The mean concentrations determined for inorganic ions in $PM_{2.5}$ collected at two European

476 (Paris and Budapest), two Chinese (Beijing and Weinan) and two Indian (Pune and Bhopal)

477 cities, as well as one Latin American city (Mexico), are listed in Table 3. SO_4^{2-} and NO_3^- had

478 the highest concentrations in $PM_{2.5}$ at the two European cities, with NH_4^+ being the second

479 most abundant. SO_4^{2-} and NH_4^+ levels at the two European urban sites were ~~similar~~

480 ~~than~~similar to concentrations determined for these species at low-income urban settlements,

481 while higher NO_3^- levels are reported for the European sites with NO_3^- concentrations at

482 Budapest exceeding SO_4^{2-} levels. Concentrations of other ionic species were also higher at

483 the two European urban sites compared to levels thereof determined in this study, with the

484 exception of Ca^{2+} levels. Similarly to the two European cities, SO_4^{2-} and NO_3^- were also the

485 most abundant species at the two urban sites in China, while NH_4^+ were the second most

486 abundant species. However, the concentrations of SO_4^{2-}, NO_3^- and NH_4^+ were approximately

487 10 times higher than levels determined for these species at the European urban sites and at

488 low-income settlements in this study. Concentration of other inorganic ions were at least an

489 order of magnitude higher at these two Chinese cities. The highest ionic concentrations were

490 also reported for SO_4^{2-} and NO_3^- at Bhopal in India with NH_4^+ having the second highest

491 concentration. SO_4^- levels at Bhopal were slightly higher than levels thereof in low-income

492 settlements in the South African interior, while NO_3^- and NH_4^+ concentrations were

493 substantially higher at Bhopal. SO_4^{2-} had the highest concentration at Pune in India, which

494 were four times higher than SO_4^{2-} levels determined in this study. NO_3^- and NH_4^+

495 concentrations at Pune were ~~similar than~~similar to levels thereof in low-income settlement in

496 South Africa. However, NO_3^- and NH_4^+ levels at Pune were significant lower compared to Cl^-

497 and Na^+ concentrations that were the second and third most abundant species, respectively at

498 Pune – Cl^- levels at Pune were two orders of magnitude higher than levels thereof determined

499 in this study. Concentrations of other inorganic ions were also higher at the Indian urban sites

500 compared to levels of these species at low-income settlements in South Africa. Similarly to

501 the concentration distribution observed at South Africa sites, SO_4^{2-} was the most abundant

502 species with NO_3^- and NH_4^+ being the second most abundant at the urban site in Mexico.

503 Moderately higher concentrations were reported for SO_4^{2-}, NO_3^- and NH_4^+ at Mexico

504 compared to levels thereof determined in this study, while the concentrations of other

505 inorganic ions were at least an order of magnitude higher at the site in Mexico.

506

507

508 **Table 3.** Mean/median concentrations (µg.m^{-3}) of inorganic ionic species and water-soluble organic acids determined at low-income settlements
509 in this study, as well as in other studies in South Africa and the rest of the world

Source	Date	Place		SO$_4^{2-}$	NO$_3^-$	Cl$^-$	F$^-$	OA	Na$^+$	NH$_4^+$	K$^+$	Mg^{2+}	Ca^{2+}
This study	03/2015-03/2017	Kwadela[a]	Mean PM$_1$	1,84	0,097	0,08	0,0001	0,033	0,02	0,61	0,02	0,026	0,06
			Mean PM$_{1-2.5}$	0,04	0,021	0,03	0,0001	0,004	0,01	0,01	0,001	0,011	0,02
			Mean PM$_{2.5-10}$	0,06	0,044	0,03	0,0012	0,006	0,01	0,01	0,004	0,015	0,04
		Kwazamokuhle[a]	Mean PM$_1$	2,06	0,11	0,02	0,0006	0,056	0,03	0,77	0,03	0,008	0,07
			Mean PM$_{1-2.5}$	0,05	0,05	0,01	0,0005	0,012	0,02	0,01	0,003	0,003	0,02
			Mean PM$_{2.5-10}$	0,06	0,10	0,02	0,0021	0,012	0,02	0,02	0,01	0,005	0,04
		Zamdela[a]	Mean PM$_1$	2,80	0,70	0,06	0,006	0,049	0,03	1,27	0,11	0,012	0,14
			Mean PM$_{1-2.5}$	0,11	0,17	0,03	0,010	0,007	0,02	0,05	0,01	0,012	0,12
			Mean PM$_{2.5-10}$	0,12	0,27	0,03	0,008	0,007	0,02	0,07	0,02	0,011	0,14
		Jouberton[b]	Mean PM$_1$	1,64	0,92	0,05	0,003	0,07	0,06	0,60	0,06	0,017	0,22
			Mean PM$_{1-2.5}$	0,07	0,27	0,04	0,001	0,03	0,05	0,05	0,02	0,010	0,19
			Mean PM$_{2.5-10}$	0,16	0,30	0,07	0,001	0,02	0,09	0,04	0,04	0,020	0,47
South Africa													
Aurela et al., (2016)	9-5/10/2007 & 01-02/2008	Botsalano, rural[a]	Mean PM$_1$	3,92	0,03	-	-	-	0,05	1,02	0,18	-	-
Titta et al., (2014)	09/2010-08/2011	Welgegund, regional[a]	Mean PM$_1$	2,4	0,5	0,03	-	-	-	0,9	-	-	-
Venter et al., (2018)	11/2008-10/2009	Marikana[a], industrial	Median PM$_{2.5}$	1,83	0,27	0,07	-	-	0,09	0,55	0,09	0,02	0,08
			Median PM$_{2.5-10}$	0,37	0,40	0,06	-	-	0,07	0,09	0,03	0,04	0,17
	24/11/2010-28/12/2011	Welgegund[a], regional	Median PM$_1$	1,35	0,02	0,005	0,015	-	0,16	0,44	0,032	0,003	0,019
			Median PM$_{1-2.5}$	0,1	0,04	0,0055	>0,005	-	0,21	0,04	0,004	0,005	0,014
			Median PM$_{2.5-10}$	0,05	0,057	0,007	>0,005	-	0,019	0,005	0,005	0,006	0,025
Africa													
Adon et al., (2020)	07/2015-01/2017	Abidjan, Côte I'voire[a], urban Domestic fire site[a]	Mean PM$_{<0.2}$	1,23	0,86	5,73	-	0,39	0,34	2,79	1,99	0,18	0,55
			Mean PM$_{1-0.1/0.2}$	0,64	1,36	1,31	-	0,27	0,76	0,11	0,39	0,44	0,98
			Mean PM$_{>2.5-1}$	0,29	0,54	0,59	-	0,25	0,38	0,07	0,22	0,23	0,87
		Waste burning site[a]	Mean PM$_{<0.2}$	1,88	0,89	0,37	-	0,24	0,06	0,96	0,64	0,03	0,37
			Mean PM$_{1-0.1/0.2}$	0,50	1,74	0,94	-	0,14	0,71	0,06	0,11	0,11	0,74
			Mean PM$_{>2.5-1}$	0,27	0,75	0,66	-	0,10	0,48	0,08	0,06	0,06	0,39
		Traffic site[a]	Mean PM$_{<0.2}$	1,23	1,34	0,25	-	0,21	0,07	0,60	0,55	0,03	0,48
			Mean PM$_{1-0.1/0.2}$	0,41	1,52	0,85	-	0,12	0,65	0,14	0,09	0,08	0,79
			Mean PM$_{>2.5-1}$	0,21	0,60	0,64	-	0,10	0,45	0,06	0,05	0,05	0,49
		Cotonou, Benin (traffic site)[a], urban	Mean PM$_{<0.2}$	2,14	1,50	0,47	-	0,29	0,25	0,34	0,68	0,07	1,89
			Mean PM$_{1-0.1/0.2}$	1,04	2,77	1,61	-	0,19	1,14	0,17	0,18	0,17	1,90
			Mean PM$_{>2.5-1}$	0,39	0,91	0,94	-	0,10	0,65	0,08	0,06	0,07	1,13
Other international locations													
Bressi et al., (2013)	09/2009-09/2010	Paris, France[a]	Mean PM$_{2.5}$	1,9	2,7	0,18	-	-	0,16	1,4	0,12	0,03	0,08
Szigeti et al., (2015)	06/2010-05/2013	Széna Square, Budapest, Hungary[a]	Mean PM$_{2.5}$	2,84	2,14	0,11	-	-	0,22	1,33	0,18	0,05	0,16
Qiu et al., (2016)	03/2012-03/2013	Weinan, China[a]	Mean PM$_{2.5}$	24,7	18	3	0,1	-	1,3	10	1,3	0,2	1,6
Shao et al., (2018)	12/2016-01/2017	Beijing, China[a]	Mean PM$_{2.5}$	20,93	29,09	4,07	-	-	0,58	15,44	1,4	0,23	0,69
Gawhane et al., (2017)	04/2015-04/2016	Pune, India[a]	Mean PM$_{2.5}$	4,8	0,98	3,42	-	-	1,98	0,51	0,47	0,28	0,51
Kumar and Raman, (2016)	01/2012-12/2013	VVNP Bhopal, Central India[a]	Mean PM$_{2.5}$	3,35	3,02	1,46	0,35	-	0,96	2,07	0,98	0,18	0,77
Castro et al., (2018)	03/2006-03/2006	Tecámac University, Mexico[a]	Mean PM$_{3.2}$	3,42	1,81	0,73	-	-	-	1,09	0,97	0,23	1,08

510 a= Outdoor, b= Indoor

Acidity

Similarly to Tiitta et al., (2014) and Venter et al., (2018) who reported acidity for ambient PM_1 sampled at Welgegund in South Africa, the acidity of the outdoor and indoor PM_1 collected in low-income urban settlements were estimated by relating the measured NH_4^+ concentrations ($[NH_4^+]_{meas}$) to the NH_4^+ levels required to completely neutralise SO_4^{2-}, NO_3^- and Cl^-, which was calculated as follows:

$$[NH_4^+]_{cal} \; \mu g.m^{-3} = 18 \; g.mol^{-1} \times \left\{ \left(2 \times \frac{[SO_4^{2-}] \; \mu g.m^{-3}}{96 \; g.mol^{-1}} \right) + \frac{[NO_3^-] \; \mu g.m^{-3}}{62 \; g.mol^{-1}} + \frac{[Cl^-] \; \mu g.m^{-3}}{35.5 \; g.mol^{-1}} \right\} \quad (1)$$

If $[NH_4^+]_{cal} \approx [NH_4^+]_{meas}$ particulates can be considered neutralised whereas if $[NH_4^+]_{cal} >$ $[NH_4^+]_{meas}$ aerosols could be classified as acidic. This is a relatively simple approach that assumes negligeable influences from organic acids, metal species and other bases on NH_4^+ levels. Similarly to Venter et al., (2018), only the PM_1 size fraction that contained the bulk of the ionic concentration (i.e. SO_4^{2-} and NH_4^+) were considered. In Figure 5 $[NH_4^+]_{meas}$ are plotted in relation to $[NH_4^+]_{cal}$ for PM_1 collected during outdoor summer, outdoor winter and indoor sampling campaigns (the three summer campaigns at Kwadela, Kwazamokuhle and Zamdela were combined, and as indicated above, due to instrument failure ionic species were only measured for indoor particulates collected during the summer campaign). The 1:1 line in this figure corresponds to a bulk neutralised state. It is evident from Figure 5 that all outdoor and indoor PM_1 collected were acidic, with summer outdoor PM_1 being closer to a neutralised state compared to outdoor winter and indoor summer PM_1. The acidity of outdoor PM_1 collected in low-income urban settlements correspond to previous observations reported by Tiitta et al., (2014) and Venter et al., (2014). However, Venter et al., (2018) indicated that ambient PM_1 collected during the dry months, which correspond to winter as previously mentioned, were closer to the neutralised state compared PM_1 measured during the wet season, i.e. summer. This difference can be attributed to Welgegund being a regional site with no local point sources, which are impacted by aged air masses passing over source regions in the north-eastern interior. Venter et al., (2018) argued cloud formation processes could contribute to formation of secondary SO_4^{2-}, resulting in increased acidity of PM_1 during the wet summer. Ambient measurements in this study were conducted in low-income urban settlements situated within proximity of large point sources in the north-eastern interior. As

542 previously mentioned, this region is characterised by increased levels of pollutants during
543 winter, which include higher concentrations of ambient SO_2 and NO_2 contributing to elevated
544 SO_4^{2-} and NO_3^- levels, especially, considering SO_4^{2-} being the main acidic ion. (Collette et al.,
545 2010; Lourens et al., 2011). Acidity of the summer indoor PM_1 at Jouberton were ~~similar~~
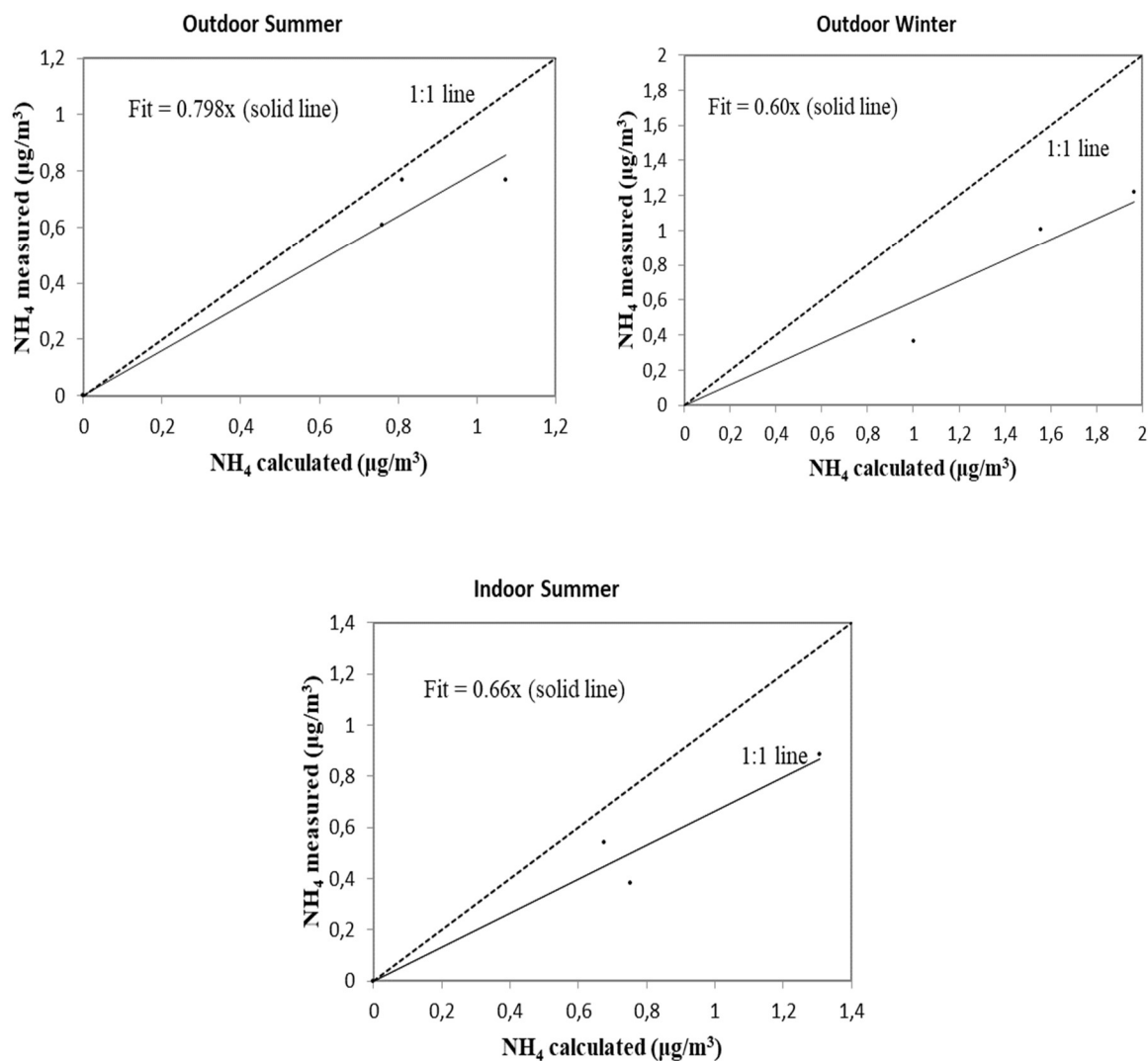546 ~~than~~similar to the acidity of the winter outdoor PM_1 at Zamdela.

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548

549

550 **Figure 5:** $[NH_4^+]_{meas}$ in relation to $[NH_4^+]_{cal}$ for PM_1 collected during outdoor summer,
551 outdoor winter and indoor sampling campaigns

552

24

3.3 Trace elements

There are limitations associated with using nitric digestion to extract and dissolve metal species for ICP-MS analysis, which mainly relates to the inability of the method to extract Si and silicate minerals. Therefore, Si was not quantified in this study, while lower concentrations of metal species associated with silicates e.g. Fe, Ca, Al, Mg and K might be reported. Crustal elements determined with ICP-MS could therefore be underrepresented. Notwithstanding the limitation of this analytical method, this technique is generally used to determine trace elements concentrations in atmospheric aerosols (e.g. Venter et al., 2017).

The mean total trace elements concentrations determined in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site during the respective sampling campaigns are presented with a breakdown of individual trace element concentrations in Figure 6(a), while the normalised trace element compositions are shown in Figure 6(b). The combined concentrations of trace elements that were below the detection limit in 75% or more collected samples (Section 2.3.2 and Figure A1) are presented as "other" in Figure 6.
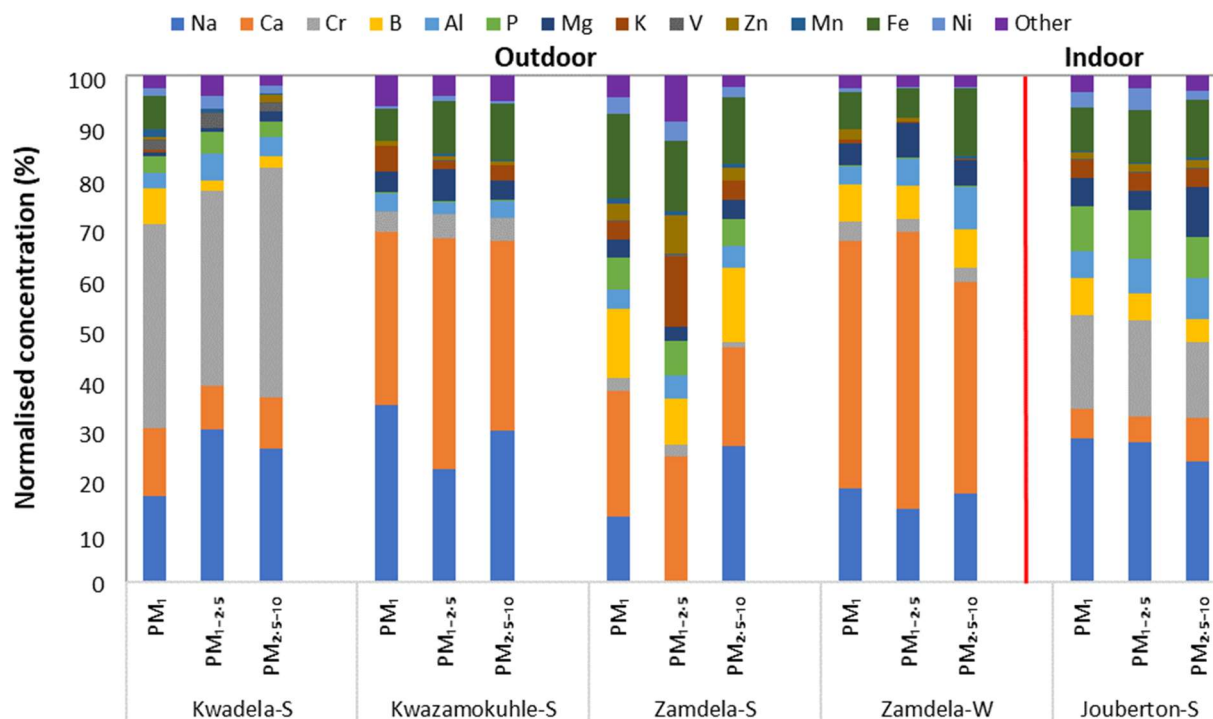

(a)

25

(b)
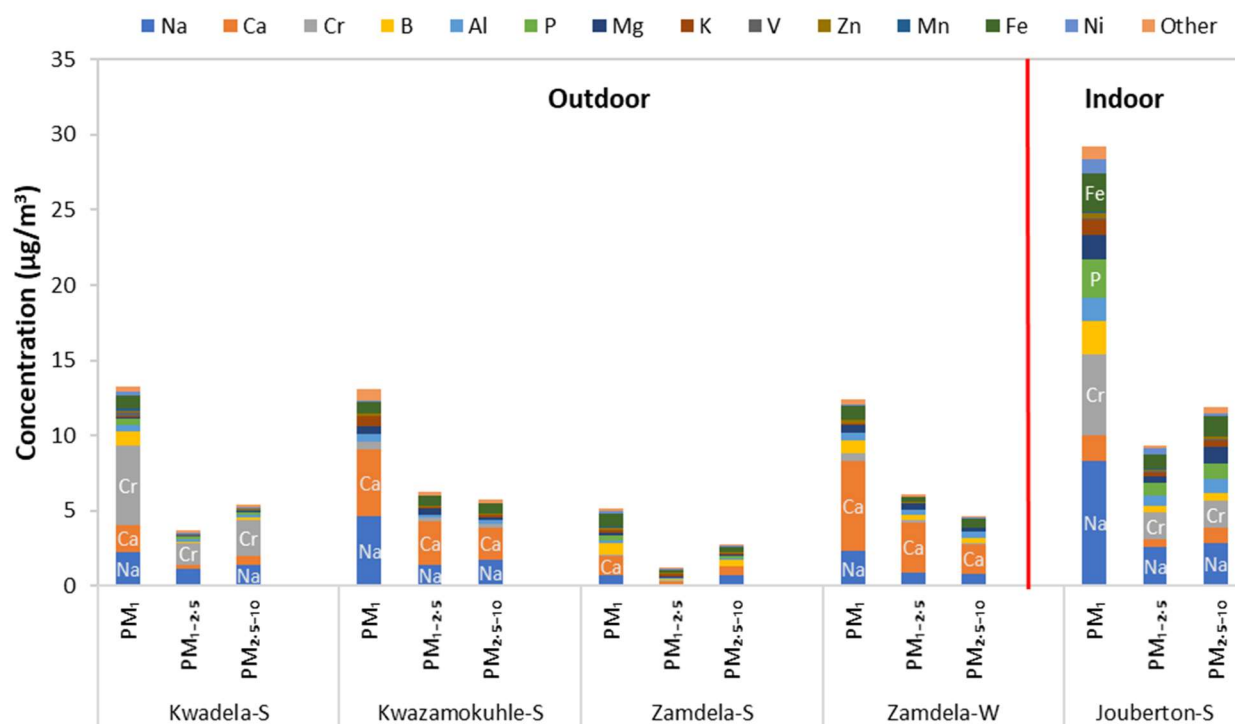
Figure 6: (a) Mean trace element concentrations, and (b) normalised concentration distributions of individual trace elements species, determined in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site during respective sampling campaigns

The highest total trace element concentrations were determined for aerosols collected during the summer indoor campaign in each size fraction (Figure 6(a)). The highest total trace element levels occurred in the PM_1 size fraction of indoor particulates, which were significantly higher than total trace element levels determined in the two other size fractions of indoor aerosols, as well as total trace element concentrations measured in all three size fractions of aerosols collected during the outdoor campaigns. Comparison between the total trace element concentrations determined during the summer and winter outdoor campaigns at Zamdela, indicate higher total trace element levels in all three size fractions during winter. However, the total trace element concentrations determined in the three aerosol size fractions collected during the two summer outdoor campaigns at Kwadela and Kwazamokuhle were ~~similar than~~similar to levels thereof determined for the winter outdoor campaign. The highest total trace element concentrations also occurred in the PM_1 size fraction of aerosols collected during the four outdoor campaigns. Assessments of atmospheric trace elements conducted at

26

589   Welgegund and Marikana in South Africa indicated higher total trace element concentrations

590   during the dry winter season (Venter et al., 2017; Van Zyl et al., 2014). As mentioned above,

591   Welgegund is a regional site in the South African interior, while Marikana is situated in the

592   highly industrialised western Bushveld Igneous Complex that holds a large number of

593   pyrometallurgical smelters. Measurements conducted at these sites over a period of one year

594   did, however, not reveal a very strong seasonal trend for atmospheric trace elements.

595   Furthermore, it seemed from these studies that wet removal of particulates was more

596   significant to seasonal variability than wind-generation thereof.

597   It is evident from Figure 6(a) and (b) that Na and Ca had the highest concentrations in all

598   three size fractions of aerosols collected during the outdoor campaigns conducted at

599   Kwazamokuhle and Zamdela (with the exception of the $PM_{1-2.5}$ size fraction of aerosols

600   collected during the summer campaign at Zamdela for which Ca and K had the largest

601   contribution to total trace element concentration and no Na was detected), while Na and Cr

602   had the highest levels in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ collected during the summer outdoor

603   campaign at Kwadela and the summer indoor campaign at Jouberton. The higher total trace

604   element concentrations determined during the winter outdoor campaign at Zamdela compared

605   to the summer outdoor campaign conducted at this site is mainly attributed to significantly

606   higher Ca levels measured during winter. Although similar Cr concentrations were

607   determined for the sampling campaigns conducted at Kwadela and Jouberton, the Cr

608   contribution to total trace element concentration was particularly significant at Kwadela in all

609   three size fractions. Relatively high Fe concentrations were also determined in all three size

610   fractions of particulates collected during all sampling campaigns in this study. Moderately

611   higher P concentrations were also evident in aerosol samples collected during the summer

612   indoor campaign, while relatively high contributions to total trace element concentrations

613   were also observed for B in particulates collected at Zamdela.

614   The mean size distributions of individual trace element species determined at each site during

615   the respective sampling campaigns are presented in Figure 7. It is evident that ~40% and

616   more of each trace element species occurred in the PM_1 size fraction of aerosols collected

617   during the outdoor campaigns (with the exception of Mn and V at Kwazamokuhle), while

618   ~50% and more of each trace element species were in the PM_1 size fraction of summer indoor

619   particulates. In addition, 70% and more of trace elements detected in aerosol samples

620   collected in low-income settlements (except for Zn and Mg at Kwadela) occurred in the PM_1

621   and $PM_{1-2.5}$ size fraction. The mean size distributions of trace element species observed in

622   this study correspond to average size distributions of trace elements determined at the

623   regional site Welgegund (Venter et al., 2017) where the largest percentage (>70%) of

624   individual trace elements occurring in the PM_1 and $PM_{1-2.5}$ size fractions was attributed to the

625   regional impacts of industrial (high-temperature) sources. Cr, Mn, V, Zn and Ni are generally

626   related to pyrometallurgical activities. Van Zyl et al., (2014) indicated that Cr, Mn, V, Zn and

627   Ni were almost completely in the $PM_{2.5}$ size fraction of aerosols collected in the highly

628   industrialised Bushveld Igneous Complex within proximity of several pyrometallurgical

629   smelters. Trace element species occurring in the $PM_{2.5-10}$ size fraction are generally

630   associated with wind-blown dust, and typically include species such as Al, Fe, Mg and Ca.

631   Trace element species in the $PM_{2.5-10}$ size fraction at Welgegund were also ascribed to the

632   influence of wind-blown dust (Venter et al., 2017), while Van Zyl et al., (2014) also

633   considered wind-blown dust the major source of Al, B, Fe, Na, K and Mg in $PM_{2.5-10}$.

634   Therefore, the regional impacts of industrial activities in the north-eastern interior are also

635   reflected by the mean trace element concentrations, as well as normalised concentration- and

636   size distributions of individual trace element species presented in this study for low-income

637   urban settlements, while the influence of wind-blown dust is also evident.

638

Kwadela-S

Kwazamokuhle-S

639

Zamdela-S

Zamdela-W

640

29

Jouberton-S
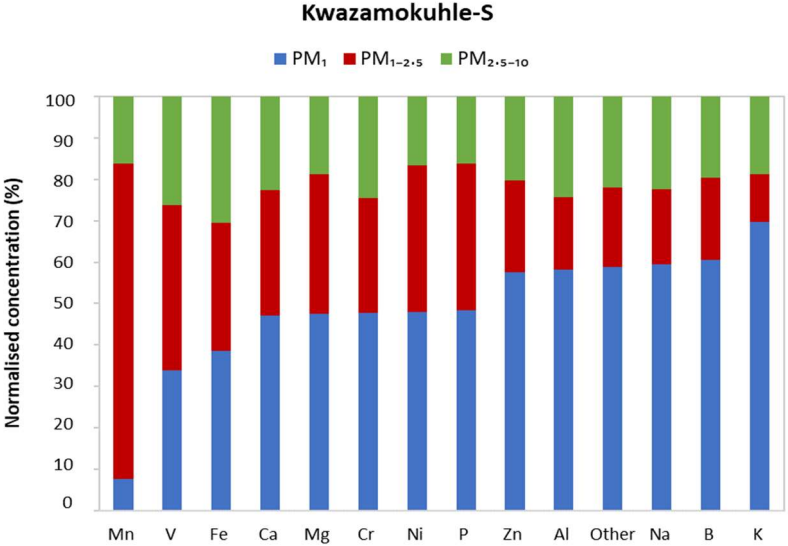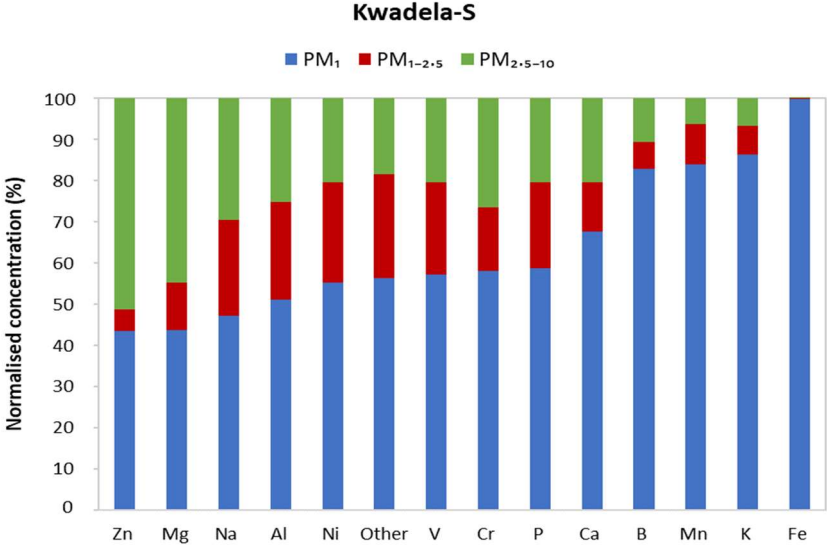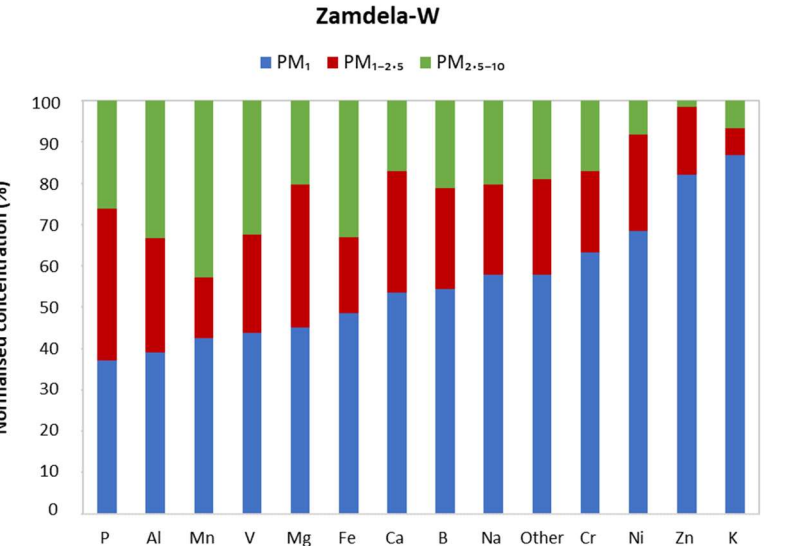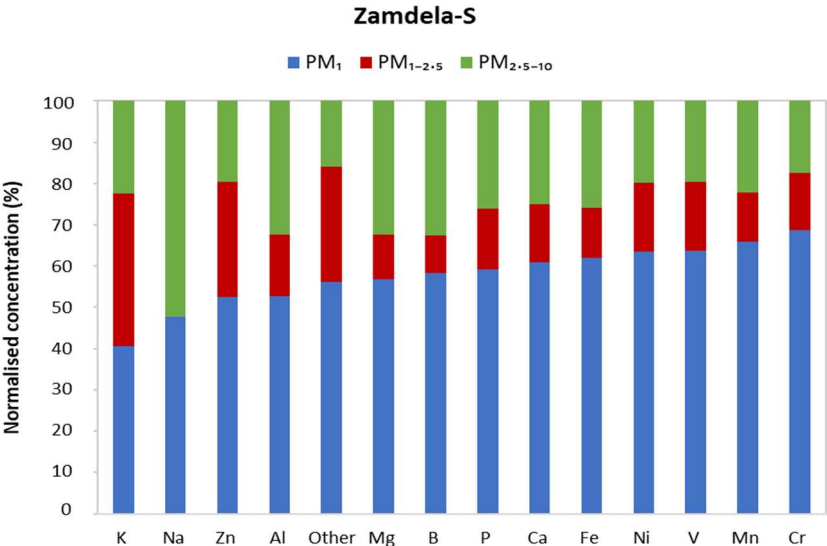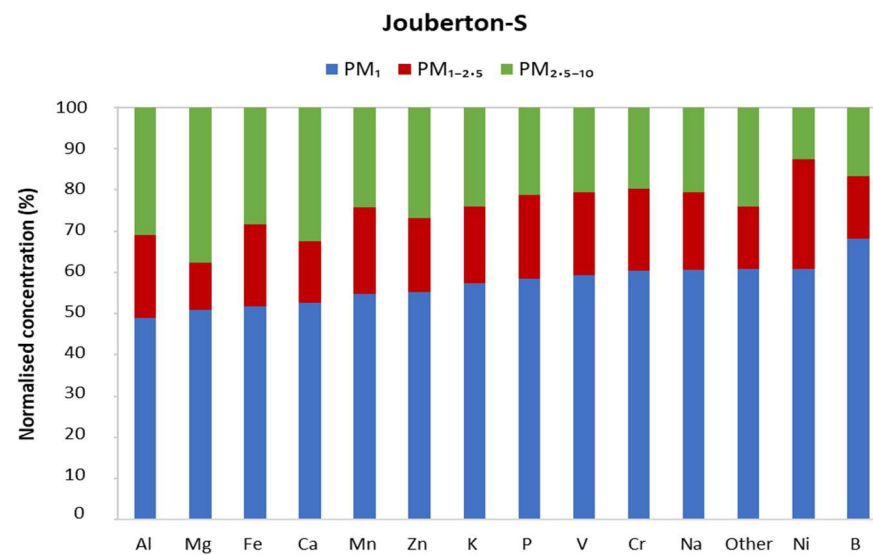
641

642

643 **Figure 7:** Size distributions of individual trace elements detected at each site during respective sampling campaigns. Species are arranged by
644 increasing concentration in the PM$_1$ size fraction

645

646   In Table 4 the average trace element concentrations determined in PM_{10} in this study
647   (combined mean concentrations in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$) in low-income settlement are
648   contextualised with mean trace element concentrations measured in other studies in South
649   Africa and urban areas in other parts of the world. As previously mentioned, Be and Tl were
650   below the detection limit of the analytical technique for the entire sampling period in all the
651   sites, while Sb, Ba, Cu, As, Se, Rb, Sr, Mo, Pd, Ag, Pb, Au, Hg, Ti, Co, Pt, Bi, Th and U
652   were below the detection limit in 75% or more collected samples. Therefore, concentrations
653   of these species listed in Table 4 are most-likely overestimated.

654   Fe was the most abundant trace element species in particulates collected at Welgegund,
655   Marikana and Rustenburg in South Africa, while Na had the highest mean concentration in
656   the Vaal Triangle. The city of Rustenburg is, similarly than Marikana, located in the western
657   Bushveld Igneous Complex, while the Vaal Triangle is a highly industrialised and densely
658   populated region south of the Johannesburg-Pretoria conurbation. Relatively higher
659   concentrations were reported for Mg, Na, B, Al and Ca at Marikana, while Mn and Cr were
660   the second and third most abundant species at Rustenburg. Fe and K had the second and third
661   highest concentrations at Vaal Triangle. Ca, Cr and Na were the second, third and fourth most
662   abundant species at Welgegund, with trace element concentrations at Welgegund being
663   generally lower compared levels thereof determined in urban areas in South Africa, including
664   trace element levels determined in low-income settlements. Relatively high B levels were
665   also reported for Welgegund. Total trace element concentrations determined at other urban
666   areas in South Africa were ~~similar than~~similar to total trace element levels determined in
667   outdoor aerosols collected in low-income urban settlements. Ca, Na and Cr concentrations
668   determined in outdoor and indoor particulates in this study were significantly higher than
669   levels thereof determined at the other South African regional and urban sites, especially, Na
670   and Cr levels measured in indoor particulates. Although Fe was not the most abundant
671   species in aerosols collected in low-income settlements, its concentrations were ~~similar~~
672   ~~than~~similar to levels thereof determined in other South African sites, with the exception of
673   Rustenburg where significantly higher Fe concentration was reported. Fe and Ca had the
674   highest concentrations in all three size fractions of particulates collected at Welgegund,
675   which is the only other size-resolved assessment of atmospheric trace elements conducted in
676   South Africa. The highest total trace element concentrations were also determined in PM_1 at
677   Welgegund, which were, however, dominated by Fe. Ca levels at Welgegund were higher in
678   the $PM_{1-2.5}$ and $PM_{2.5-10}$ size fractions.

Total trace element concentrations determined in outdoor aerosols collected in low-income settlements were also ~~similar than~~similar to total trace element levels determined in other urban regions in Africa and the rest of the world. However, total trace element concentrations were significantly lower in outdoor and indoor particulates sampled at Barcelona, Spain. With the exception of Ca, most trace element concentrations at Barcelona were at least an order of magnitude lower than levels thereof determined in this study and other studies listed in Table 4. Al, Fe and Ca were the most abundant species at Bamako, Mali, while the highest concentrations were reported for Na, Ca, Al and Fe at Dakar, Senegal. Measurements conducted at a regional site within proximity of Beijing, China indicate that Al, Na, K, Fe and Ca were the most abundant species. Al was the most abundant species at Bamako and Beijing, with the Al concentration at Bamako being an order of magnitude higher than levels thereof determined in this study. Ca had the highest concentration in particulates collected at Dakar. Ca, Fe, K and Na had the highest concentrations in particulates collected during outdoor and indoor campaigns at Barcelona. Although trace element concentrations determined at Barcelona were lower than trace element levels determined in low-income urban settlements in this study, higher trace element concentrations are also reported for indoor particulates collected at Barcelona. Wind-blown dust is considered the major source of atmospheric trace elements at these sites located in other parts of the world, while the impacts of marine air masses are also evident at coastal cities (e.g. Dakar).

Existing ambient air quality guidelines and standard limit values for trace element species according to the WHO Air Quality guidelines (WHO, 2005), the European commission Air Quality Standards (ECAQ, 2008) and the South African National Air Quality Standards (DEA, 2009) are also listed in Table 4. Since there are only *annual* average standard values for six of the seven trace elements for which a standard limit value exists, mean trace element levels determined during the respective sampling campaigns at each site in this study cannot be directly compared to these standard limit values. V has a 24-hour standard, which can be related to average V levels determined in 24-hour samples collected during the indoor campaign. In addition, the relatively high total atmospheric Cr concentrations measured in this study in outdoor and indoor aerosols cannot be directly related to the WHO guideline, which is only for atmospheric Cr(VI) with a lifetime risk of 1:1 000 000.

715

| | ICP-MS | Kwadela | Kwazamokuhle | Zamdela | Jouberton | Anuual | South Africa | | | | Other countries | | | | Barcelona, Spain | |
| | detection | | (Outdoor) | | (Indoor) | standards | Welgegund | Marikana | Vaal Triangle | Rustenburg | Bamako no desert dust | Bamako with desert dust | Dakar | Beiling, China | Indoor | Outdoor |
Element	limits (x10^{-5})		(this study)				Venter et al., 2017	Van Zyl et al., 2014	Kleynhaus, 2008	Kgabi, 2006	Val et al., 2013			Duan et al., 2012	Rivas et al., 2014	
Be	1,165	**0,0001**	**0,0001**	**0,0001**	**0,0004**		0,0002	0,020						0,010		
B	19,04	1,12	**0,002**	1,42	3,17		0,28	1,300			0,08	0,05	0,03			
Na	29,58	4,75	7,72	2,69	13,75		0,38	1,410	2,800		0,81	0,20	2,10	1,450	0,34	0,34
Mg	22,09	0,25	1,16	0,75	3,12		0,23	2,040	1,000		0,96	0,28	0,41	0,637	0,16	0,19
Al	65,07	0,81	0,83	0,76	3,17		0,17	1,280			7,32	2,47	1,20	2,180		
P	377,3	0,76	0,04	0,30	4,48						0,11	0,09	0,18			
K	406,9	0,08	0,94	0,28	1,78		0,14	0,680	1,300		2,36	0,67	0,51	1,170	0,37	0,4
Ca	93,2	2,66	9,50	6,70	3,13		1,1	1,080			2,05	1,10	1,48	0,996	1,6	0,82
Ti	1,432	**0,09**	**0,08**	**0,04**	**0,18**		0,072	0,120	0,020	0,180	0,32	0,13	0,07	0,069	0,055	0,059
V	4,466	0,47	0,01	0,01	0,11	1,000^{c,e}	0,037	0,040		0,160	0,009	0,004	0,030		0,0048	0,0059
Cr	359,9	9,16	1,07	0,47	9,00	2,5 x 10$^{-5a,b}$	0,5	0,240	0,050	1,370				0,022	0,0038	0,0034
Mn	4,156	0,21	0,05	0,06	0,17	0,15^b	0,026	0,060	0,120	4,390	0,063	0,026	0,026	0,036	0,012	0,016
Fe	60,72	0,88	2,13	1,63	4,87		1,2	2,540	1,280	9,760	4,15	1,98	0,88	1,090	0,42	0,58
Co	0,625	**0,11**	**0,004**	**0,003**	**0,19**		0,0035	0,140						<0,001	0,00021	0,00022
Ni	3,229	0,39	0,16	0,19	1,49	0,020^c	0,079	0,330	0,040	0,770	0,014	0,004	0,012	0,020	0,0026	0,0033
Cu	4,186	**0,02**	**0,18**	**0,06**	**0,47**		0,0069	0,180	0,050	0,210	0,009	0,005	0,019	0,010	0,0082	0,0088
Zn	6,212	0,16	0,24	0,31	0,68		0,053	0,490	0,090	0,340	0,037	0,026	0,042	0,027	0,052	0,055
As	5,686	**0,07**	**0,002**	**0,002**	**0,03**		0,0084	0,260			0,003	0,001	0,005	0,003	0,00046	0,0005
Se	8,559	**0,01**	**0,01**	**0,02**	**0,02**		0,0074	0,580						0,001	0,00033	0,00037
Rb	0,268	**0,002**	**0,01**	**0,004**	**0,03**											
Sr	1,238	**0,01**	**0,08**	**0,01**	**0,04**		0,0017							0,010	0,0046	0,0028
Mo	0,681	**0,02**	**0,01**	**0,02**	**0,06**		0,015							0,007		
Pd	0,246	**0,01**	**0,01**	**0,03**	**0,03**		0,0018	0,410								
Ag	2,447	**0,03**	**0,58**	**0,05**	**0,05**		0,0005							<0,001		
Cd	1,119	**0,01**	**0,01**	**0,012**	**0,018**	0,005^{b,c}	0,0004	0,030						<0,001	0,00014	0,00017
Sb	0,712	**0,001**	**0,001**	**0,005**	**0,005**		0,0013							<0,001	0,00083	0,0011
Ba	1,670	**0,09**	**0,17**	**0,109**	**0,088**		0,0040	0,140						0,018	0,019	0,02
Pt	0,381	**0,003**	**0,01**	**0,032**	**0,016**		0,0016	0,350								
Au	2,156	**0,001**	**0,03**	**0,007**	**0,191**		0,0031	0,380								
Hg	2,836	**0,038**			**0,037**	1,000^b	0,0002	0,550								
Tl	0,411	**0,00003**	**0,00004**	**0,00003**	**0,0001**		0,0007	0,270								
Pb	0,587	**0,080**	**0,152**	**0,067**	**0,070**	0,5^{b,c,d}	0,0078	0,080	0,040	0,420	0,013	0,0098	0,009	0,053	0,0073	0,0081
Bi	0,309	**0,008**	**0,003**	**0,002**	**0,001**											
Th	0,160	**0,00001**	**0,002**	**0,001**	**0,001**											
U	0,113	**0,0002**	**0,0017**	**0,001**	**0,002**		0,0009									

716 ^{a} *WHO guideline for Cr(VI) concentrations associated with an excess lifetime risk of 1:1 000 000.* ^{b} *WHO air quality guidelines for Europe.* ^{c} *European Commission Air Quality Standards.* ^{d} *National Air Quality Act of*
717 *the South African Department of Environmental Affairs.* ^{e} *24-h limit value*

718 Average concentrations of Ni, As and Cd in outdoor and indoor particulates, as well as
719 average Mn levels determined during the indoor campaign, were higher than annual standard
720 values for these species. However, As and Cd concentrations are most-likely overestimated
721 due to their levels being below the detection limit of the analytical technique in 75% or more
722 of the samples. Average Ni concentrations in indoor samples were two orders of magnitude
723 higher than the annual average European standard, while the average concentration thereof in
724 outdoor samples were an order of magnitude higher. Annual average Ni concentrations
725 determined in aerosols collected at Welgegund and Marikana also exceeded annual standard
726 limits, which was attributed to base metal refining in the Bushveld Igneous Complex (Venter
727 et al., 2017; Van Zyl et al., 2014). In addition, average Ni concentrations in indoor PM_{10} were
728 an order of magnitude higher than levels thereof determined at Marikana located within
729 proximity of pyrometallurgical smelters. Mean Mn levels in indoor particulates marginally
730 exceeded the annual average standard. The average V concentration in outdoor and indoor
731 PM_{10} were well below the 24-hour V standard value.

732 Venter et al., (2017) and Van Zyl et al., (2014) also mentioned atmospheric Pb and Hg
733 concentrations determined in aerosols collected at Welgegund and Marikana, respectively. Pb
734 is the only trace element for which a South African air quality standard exists, while it is
735 foreseen that an air quality standard limit for Hg will be prescribed in the very near future in
736 South Africa. Average Pb and Hg levels determined at each site during the respective
737 sampling campaigns were well below the annual average standard limits of these species. In
738 addition, Pb and Hg levels were only detected in 25% or less of the collected samples. Pb
739 concentration were ~~similar than~~similar to levels determined for Pb at Welgegund, which was
740 at least two orders of magnitude lower than Pb concentrations determined at Marikana, Vaal
741 Triangle and Rustenburg (Van Zyl et al., 2014; Kgabi, 2006; Kleynhans, 2008). Hg was
742 below the detection limit of the analytical technique for the entire sampling periods at
743 Welgegund and Marikana.

744

745 **3.4 Carbonaceous aerosols**

746 In Figure 8, OC and EC concentrations determined in the three aerosol size fractions at each
747 site during the respective sampling campaigns are presented, while the mean OC/EC ratios
748 calculated are also indicated. The highest average OC and EC concentrations were
749 determined in the PM_1 size fraction of all aerosol samples collected during respective

sampling campaigns conducted at each site in this study, with mean OC and EC levels being significantly higher (4 times up to an order of magnitude higher) than levels thereof in the $PM_{1-2.5}$ and $PM_{2.5-10}$ size fractions of particulates collected during the two indoor campaigns, the winter outdoor campaign and the summer outdoor campaign at Kwadela. Similar OC and EC levels were measured in $PM_{1-2.5}$ and $PM_{2.5-10}$ for all sampling campaigns, with the exception of ~3 times higher OC and EC concentrations determined in these two larger size fractions during the winter indoor campaign. The lowest average OC and EC concentrations were determined in the $PM_{1-2.5}$ size fraction for all sampling campaigns.
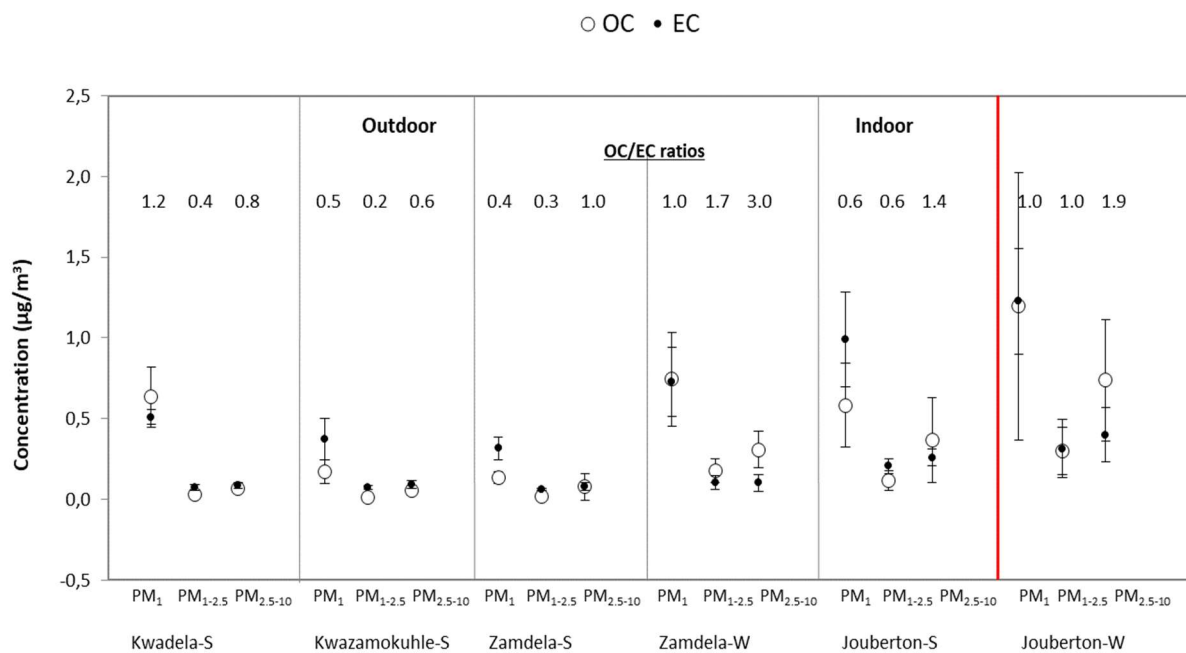

Figure 8: Mean OC and EC concentrations (± standard deviation) measured in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site during respective sampling campaigns, together with mean OC/EC ratios

The highest mean OC and EC levels were measured in PM_1 collected during the winter indoor campaign. Moderately lower average EC concentrations were determined in the PM_1 size fraction of particulates collected during the summer indoor campaign, while mean OC levels in PM_1 sampled during the summer indoor campaign were significantly lower than average OC concentrations determined for the winter indoor campaign. Mean OC and EC levels measured in PM_1 collected during the winter outdoor sampling campaign at Zamdela were significantly higher than average OC and EC concentrations determined during summer

outdoor campaigns at Zamdela and Kwazamokuhle where similar OC and EC levels were measured. Mean OC and EC levels in PM_1 collected during the summer outdoor campaign at Kwadela were higher than OC and EC concentrations determined in PM_1 during the other two summer outdoor campaigns, but lower than OC and EC levels in PM_1 sampled during the winter outdoor campaign at Zamdela. Ambient EC concentrations determined during winter at Zamdela were moderately lower than EC levels measured during the summer indoor campaign, while higher average OC concentrations were determined in aerosols collected during the winter outdoor campaign at Zamdela compared to OC levels determined for the summer indoor campaign at Jouberton. Ambient OC and EC concentrations determined in $PM_{2.5}$ collected at four sites regionally representative of the north-eastern interior of South Africa indicated higher OC and EC concentrations during the dry winter season compared to levels thereof during the wet warmer season, especially at two sites, i.e. Vaal Triangle and Amersfoort, within proximity of anthropogenic sources (Maritz et al., 2019). Josipovic et al., (2019) also reported higher OC and EC concentrations during the dry season at Vaal Triangle. Higher OC and EC concentrations during winter at these sites were attributed to changes in meteorology (e.g. occurrence of low-level inversion layers), as well as increased emissions associated with household combustion and open biomass burning.

It is also evident from Figure 8 and the OC/EC ratios that EC concentrations were generally higher than OC levels in each size fraction of aerosols collected during the three summer outdoor campaigns at low-income settlements (with the exception of higher OC in PM_1 collected at Kwadela). An increase in OC concentrations in relation to EC levels is observed in winter outdoor particulates when compared to summer (ambient) aerosols in all three size fractions (especially in $PM_{1-2.5}$ and $PM_{2.5-10}$). EC concentrations were higher than OC levels in the PM_1 and $PM_{1-2.5}$ size fractions of summer indoor aerosols, while an increase in OC levels with regard to EC concentrations is also observed in all three size fractions of indoor particulates collected during winter. Lower OC/EC ratios are related to fresher emissions of OC and EC (e.g. Aurela et al., 2011), since concentrations of primary emitted EC reduce due to deposition, while secondary formation of OC contribute to increased OC levels in aged air masses. Therefore, OC/EC ratios reported for aerosol samples collected in low-income urban settlements in this study reflects OC and EC associated with local sources of these pollutants. In addition, OC/EC ratios calculated in this study also indicate a lower impact from traffic emissions (Adon et al., 2020). OC/EC ratios reported for $PM_{2.5}$ at four sites regionally representative of the north-eastern interior of South Africa were lower for sites within

804 proximity of anthropogenic sources (Maritz et al., 2019). In addition, OC/EC ratios presented

805 for these sites by Maritz et al., (2019) were significantly higher (ranged between 2.9 and 6.4)

806 than OC/EC ratios reported in this study for low-income informal settlements with the

807 exception of the OC/EC ratio calculated in $PM_{2.5-10}$ collected during the winter outdoor

808 campaign (3.0), which were ~~similar than~~similar to the OC/EC ratio determined at the highly

809 industrialised and densely populated Vaal Triangle site (2.9).

810 In Figure 9, SO_4^{2-}/EC, EC/total particulate matter (TPM) and SO_4^{2-}/TPM, which can also be

811 indicative of sources of aerosols, are presented for particulate samples collected during the

812 respective sampling campaigns at each site. The predominance of SO_4^{2-} in the PM_1 size

813 fraction is reflected by these ratios, with the SO_4^{2-}/EC ratio also indicating significantly

814 higher SO_4^{2-} concentrations than EC levels in PM_1. However, substantially lower SO_4^{2-} levels

815 in $PM_{1-2.5}$ and $PM_{2.5-10}$ are also reflected in these ratios with EC concentrations exceeding

816 SO_4^{2-} levels in most instances in the two larger size fractions. A larger contribution from EC

817 in relation to SO_4^{2-} and TPM is also observed in indoor PM_1 compared to outdoor PM_1.

818 Although the impact of local sources associated with domestic fuel burning is evident for

819 aerosols collected in low-income urban settlements, the regional impacts of emissions related

820 to industrial combustion are also signified by these ratios presented in Figure 9.

821

822

823 **(a)**   **(b)**
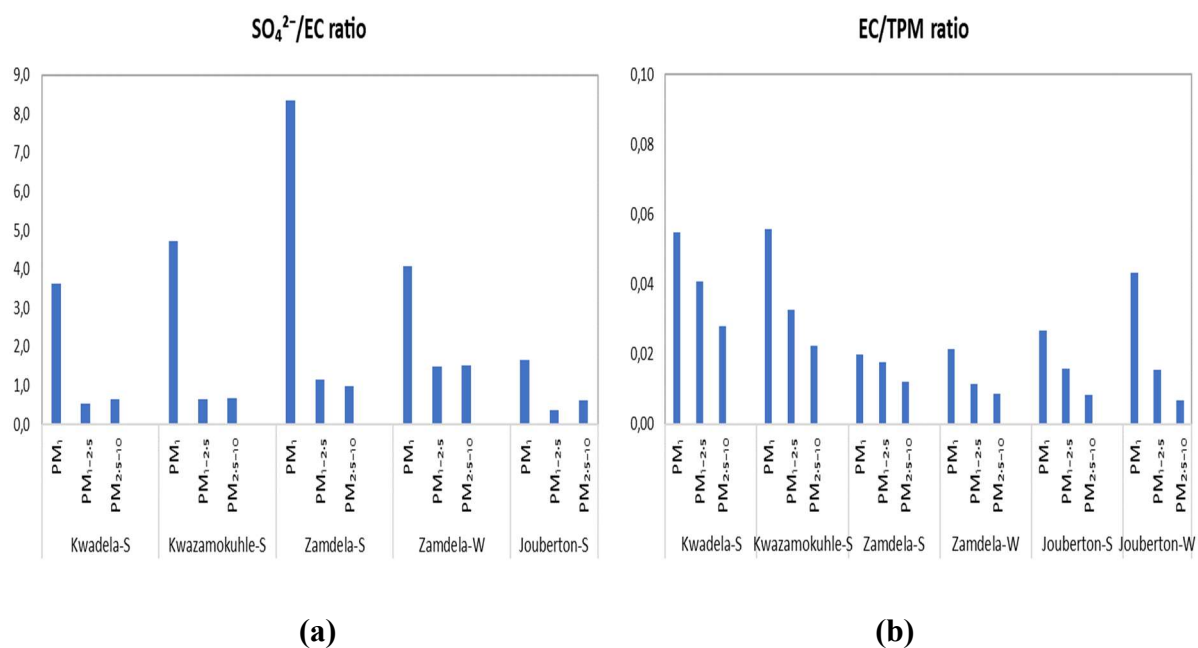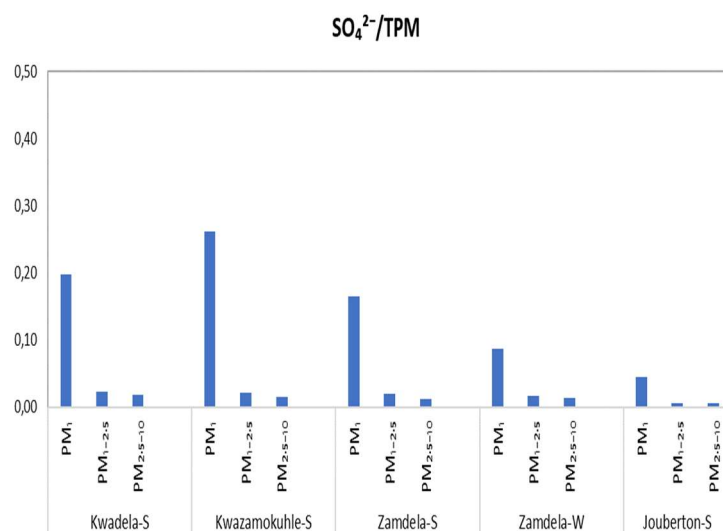
SO$_4^{2-}$/TPM

(c)

Figure 9: Ratios between major compounds in PM$_1$, PM$_{1-2.5}$ and PM$_{10}$ collected during outdoor and indoor sampling campaigns. (a) SO$_4^{2-}$/EC, (b) EC/TMP (c) SO$_4^{2-}$/ TMP in three size fractions.

In Table 5 OC and EC concentrations determined for low-income settlements in this study is contextualised with other OC and EC measurements conducted in South Africa, as well as other parts of the world. OC levels determined in this study for outdoor and indoor particulates were an order of magnitude lower than OC concentrations determined for ambient PM$_{2.5}$ collected at four sites located in the north-eastern interior of South Africa, while similar EC concentrations were determined, with the exception of significantly higher EC levels measured at the Vaal Triangle (Maritz et al., 2019; Chiloane et al., 2017). However, OC and EC levels determined for PM$_1$ in this study were ~~similar than~~similar to OC and EC concentrations reported by Josipovic et al., (2010) for PM$_1$ collected at the Vaal Triangle. Josipovic et al., (2019) also indicated higher OC and EC concentrations in the PM$_1$ size fraction compared to the larger size fractions. OC and EC concentrations measured in PM$_{1-2.5}$ and PM$_{2.5-10}$ for low-income settlements were significantly lower (at least an order of magnitude) than OC and EC levels determined in other sites in South Africa.

Significantly higher OC and EC concentrations are determined for urban areas in other countries in Africa and the rest of the world than OC and EC levels determined in this study and other studies in South Africa. OC and EC concentrations determined at Abidjan, Côte d'Ivoire and Cotonou, Benin were between an order- and two orders of magnitude higher

847   than levels thereof measured in low-income urban settlements, with, especially, OC

848   concentrations being substantially higher. OC and EC levels in Barcelona, Spain were ~~similar~~

849   ~~than~~similar to OC and EC concentrations determined at Cotonou, Benin. OC and EC

850   measured at urban regions in China and India were also between an order- and two orders of

851   magnitude higher that levels thereof determined in this study. This is also in agreement with

852   studies in other parts of the world where indoor and outdoor OC and EC concentrations were

853   measured with similar levels of OC and EC reported. However, outdoor OC and EC

854   concentrations determined at Barcelona, Spain, were approximately two times lower than

855   indoor OC and EC concentrations determined in this study. OC and EC levels at a remote site

856   in the Himalayan region in India were significantly lower than that measured in urban ~~aeras~~

857   areas in other parts of the world. However, OC and EC concentrations for this remote site in

858   the Himalayan region were still higher than levels thereof measured in low-income

859   settlements in this study.

Table 5. Mean OC and EC concentrations ($\mu g.m^{-3}$) determined at low-income settlements in
this study, as well as in other studies in South Africa and the rest of the world

Source	Period	Place	Area		OC	EC
This study	03/2015-03/2017	Kwadela	Outdoor	Mean PM_1	0,63	0,51
				Mean $PM_{1-2.5}$	0,03	0,07
				Mean $PM_{2.5-10}$	0,07	0,09
		Kwazamokuhle	Outdoor	Mean PM_1	0,17	0,37
				Mean $PM_{1-2.5}$	0,02	0,08
				Mean $PM_{2.5-10}$	0,06	0,09
		Zamdela	Outdoor	Mean PM_1	0,44	0,52
				Mean $PM_{1-2.5}$	0,10	0,08
				Mean $PM_{2.5-10}$	0,19	0,09
		Jouberton	Indoor	Mean PM_1	0,89	1,11
				Mean $PM_{1-2.5}$	0,21	0,26
				Mean $PM_{2.5-10}$	0,55	0,33
South Africa						
Chiloane et al., (2017)	03/2009-04/2011	Louis Trichardt	Outdoor	Mean PM_{10}		0,90
		Skukuza	Outdoor	Mean PM_{10}		1,10
		Vaal Triangle	Outdoor	Mean PM_{10}		4,40
		Amersfoort	Outdoor	Mean PM_{10}		1,40
		Botsalano	Outdoor	Mean PM_{10}		0,90
Maritz et al., (2019)	03/2009-12/2015	Louis Trichardt	Outdoor	Mean $PM_{2.5}$	3,8	0,7
		Skukuza	Outdoor	Mean $PM_{2.5}$	6,9	1,1
		Vaal Triangle	Outdoor	Mean $PM_{2.5}$	9,3	3,2
		Amersfoort	Outdoor	Mean $PM_{2.5}$	6.0	1.2
Josipovic et al., (2019)	03-10/2012	Vaal Triangle	Outdoor	Mean PM_1 WS	0,41	0,32
				Mean $PM_{1->/=2.5}$ WS	0,18	0,23
				Mean PM_1 DS	0,66	0,51
				Mean $PM_{1->/=2.5}$ DS	0,43	0,24
Other countries						
Djossou et al., (2018)	02/2015-03/2017	Abidjan, Côte d'Ivoire	Outdoor	Mean $PM_{2.5}$	31,0	8,67
		Cotonou, Benin	Outdoor	Mean $PM_{2.5}$	8,0	2,0
Rivas et al., (2014)	0/2012-02/2013	Barcelona, Spain	Indoor	Mean $PM_{2.5}$	10	1,3
			Outdoor	Mean $PM_{2.5}$	5,5	1,3
Ho et al., (2004)		Hong Kong	Indoor	Mean $PM_{2.5}$	11,3	4,8
			Outdoor	Mean $PM_{2.5}$	12,6	6,4
Cao et al., (2012)	07/2004-01/2005	Guangzhou, China	Indoor	Mean $PM_{2.5}$	21,75	7,6
			Outdoor	Mean $PM_{2.5}$	21,9	7,9
Xu et al., (2015)	03/2015	Xi'an, China	Indoor	Mean $PM_{2.5}$	22,5	7,9
			Outdoor	Mean $PM_{2.5}$	24,9	8,8
Joseph et al., (2012)	2007-2008	Coloba, Mumbai (India)	Outdoor	Mean $PM_{2.5}$	20,40	5,00
		Dadar, Mumbai (India)	Outdoor	Mean $PM_{2.5}$	28,40	9,20
		Khar, Mumbai (India)	Outdoor	Mean $PM_{2.5}$	31,30	7,70
		Mahul, Mumbai (India)	Outdoor	Mean $PM_{2.5}$	29,10	7,20
Sharma et al., (2020)	10/2018-02/2019	Himalayan region, India	Outdoor	Mean PM_{10}	3,91	1,24

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3.5 Aerosol chemical mass closure

Concentrations of inorganic and organic species determined for particulates collected in low-income urban settlements can be used to perform aerosol chemical mass closure. However, as previously mentioned, a limitation of the ICP-MS analytical technique used in this study to determine trace element concentrations is that Si levels cannot be quantified, which is important to establish the contribution of dust to the total aerosol load. There are, however, several methods to estimate dust concentrations by utilising concentrations of other species determined with IC and ICP-MS. Two methods commonly used to estimate dust concentrations are the methods described by Guinot et al., (2007) and Terzi et al., (2010) (e.g. Adon et al., 2020).

Guinot et al., (2007) proposed a simplified method to perform chemical mass closure for fine ($PM_{1-2.5}$) and course ($PM_{2.5-10}$) particulates in which the mass of EC, particulate organic matter (POM), inorganic ions and dust are considered. Experimentally determined OC concentrations are converted to POM levels with a conversion factor, k, which in this study was fixed to 1.8 (Guinot et al., 2007; Adon et al., 2020). Dust concentrations are calculated from Ca^{2+} levels and a conversion factor, f, which is the correlation coefficient between Ca^{2+} concentrations and missing mass, i.e. mass difference between the weighted mass and the mass reconstructed from analysed species (EC, POM and inorganic ions). The total mass reported for inorganic ions in this method excludes Ca^{2+}, while water-soluble organic acids are accounted for in the POM mass.

The Terzi et al., (2010) method utilises concentrations of trace elements, considered to be major constituents of dust, to estimate dust levels according to the following expression:

$$dust = 1.89[Al] + 2.14[Si] + 1.95[Ca] + 1.42[Fe] + 1.7[Ti] + 1.21[K] + 1.66[Mg] \qquad (2)$$

with Si concentrations estimated by the relationship 2.03 x Al according to Chiapello et al., (1997). A conversion factor of 1.8 was also used to convert OC levels to POM concentrations. Trace element species not included in the estimation of dust concentrations were converted to their corresponding oxides and also considered for chemical mass closure.

Dust concentrations determined in $PM_{1-2.5}$ and $PM_{2.5-10}$ with the two methods are listed in Table 6 (Guinot et al., 2007 method can only be applied to these two size fractions). As previously mentioned, the concentrations of inorganic ions and trace elements could not be determined for the winter indoor campaign at Jouberton due to instrument failure. Therefore

894   chemical mass closure could not be performed for this sampling campaign. In general, a

895   relatively good correlation (R^2 = 0.80) is observed between dust concentration calculated

896   with the two methods, with the exception of moderately large differences in dust levels

897   estimated for the Kwazamokuhle summer outdoor campaign and in $PM_{2.5-10}$ collected during

898   the summer indoor campaign at Jouberton. Higher dust concentrations estimated with the

899   Terzi et al., (2010) method compared to the Guinot et al., (2007) method could be expected

900   due to ICP-MS measuring soluble and insoluble Ca, while the concentrations of other species

901   are also considered in the calculation. However, lower dust concentrations estimated with the

902   method of Terzi et al., (2010) in relation to the method of Guinot et al., (2007) can be

903   attributed to ICP-MS measuring lower concentrations for trace elements included in Equation

904   2 due to these species being associated with silicates, as previously mentioned.

905   **Table 6.** Dust concentrations ($\mu g.m^{-3}$) estimated according to the methods of Guinot et al.,
906   (2007) and Terzi et al., (2010)

Site	Size fraction	Guinot et al., 2007	Terzi et al., 2010
Kwadela-S	$PM_{1-2.5}$	0,9	1,9
	$PM_{2.5-10}$	2,1	2,6
Kwazamokuhle-S	$PM_{1-2.5}$	1,7	8,3
	$PM_{2.5-10}$	2,7	7,0
Zamdela-S	$PM_{1-2.5}$	1,4	1,4
	$PM_{2.5-10}$	2,9	2,6
Zamdela-W	$PM_{1-2.5}$	8,3	9,6
	$PM_{2.5-10}$	9,5	7,4
Jouberton-S	$PM_{1-2.5}$	7,9	7,3
	$PM_{2.5-10}$	21,0	12,6

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908   The results from the chemical mass closure performed with the two methods described above

909   are presented in Table 7 and 8. Percentage contributions from dust and trace elements

910   calculated with the Terzi et al., (2010) methods exceeding 100% are due to overestimations

911   of the concentrations of these species as indicated by the negative values of the missing mass

912   (reconstructed mass minus weighted mass). High Ca^{2+} concentrations contributed to

913   overestimation of dust at Kwadela and Kwazamokuhle, while high levels of, especially, the

914   oxides of Na, Cr and B lead to trace element concentrations being overestimated at these two

915   sites. In addition, high concentrations were calculated for Ca-, B- and Na oxides at Zamdela,

916   while the levels of the oxides of B, Na, Cr and P were high at Jouberton. It is evident that

917   dust is the major constituent in all size fractions of aerosols collected during respective

918   outdoor and indoor campaigns according to these estimations. The second largest

919   contribution were from "not determined" species according to the Guinot et al., (2007)

920   method, while the Terzi et al., (2010) method indicated the second most abundant species to

921   be trace elements. Therefore the largest fraction of species not determined with the Guinot et

922   al., (2007) method are most-likely trace elements. Trace elements were the most abundant

923   species in PM_1 and $PM_{1-2.5}$ collected during the summer indoor campaign with substantially

924   higher contribution to aerosol mass. Inorganic ions were the third most abundant species

925   calculated with both methods, with a significantly larger contribution to aerosols mass

926   determined for these species with the Terzi et al., (2010) method in the PM_1 size fraction of

927   aerosols collected during the outdoor campaigns. EC and POM had the lowest contribution to

928   aerosol mass in all size ranges of particulates collected during all the respective sampling

929   campaigns. No significant differences are observed in the chemical composition of

930   particulates collected during the winter and summer outdoor campaigns at Zamdela.

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932

933   **Table 7.** Chemical mass closure according to the method of Guinot et al., 2007

Site	Size fraction	EC (%)	POM (%)	Inorganic ions (%)	Dust (%)	Not determined (%)	PM mass (µg/m³)	Reconstructed mass (µg/m³)	Missing mass (µg/m³)
Kwadela-S	$PM_{1-2.5}$	4,1	3,2	7,6	46,6	38,5	1,8	1,1	0,7
	$PM_{2.5-10}$	2,8	4,1	7,0	69,3	16,7	3,1	2,5	0,5
Kwazamokuhle-S	$PM_{1-2.5}$	3,3	1,5	7,1	71,3	16,7	2,4	2,0	0,4
	$PM_{2.5-10}$	2,2	3,1	6,8	64,4	23,5	4,2	3,2	1,0
Zamdela-S	$PM_{1-2.5}$	2,8	2,0	11,9	64,6	18,8	2,2	1,8	0,4
	$PM_{2.5-10}$	1,7	3,0	8,1	59,9	27,3	4,8	3,5	1,3
Zamdela-W	$PM_{1-2.5}$	0,7	2,1	5,5	55,1	36,6	15,1	9,6	5,5
	$PM_{2.5-10}$	0,8	4,4	7,8	75,8	11,2	12,5	11,1	1,4
Jouberton-S	$PM_{1-2.5}$	1,6	1,6	5,5	59,5	31,8	13,3	9,1	4,2
	$PM_{2.5-10}$	0,8	2,1	3,9	67,2	26,0	31,2	23,1	8,1

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935 **Table 8.** Chemical mass closure according to the method of Terzi et al., 2010

936

Site	Size fraction	EC (%)	POM (%)	Inorganic ions (%)	Dust (%)	Trace elements (%)	Not determined (%)	PM mass (µg/m³)	Reconstructed mass (µg/m³)	Missing mass (µg/m³)
Kwadela-S	PM_1	5,5	12,2	29,9	82,1	169,8	-199,5	9,3	28,6	-19,3
	$PM_{1-2.5}$	4,1	3,2	7,6	103,4	244,9	-263,2	1,8	6,7	-4,9
	$PM_{2.5-10}$	2,8	4,1	7,0	83,2	211,7	-211,7	3,1	9,6	-6,6
Kwazamokuhle-S	PM_1	5,6	4,7	40,0	186,2	92,6	-229,2	7,8	26,0	-18,2
	$PM_{1-2.5}$	3,3	1,5	7,1	353,0	106,4	-371,3	2,3	11,1	-8,7
	$PM_{2.5-10}$	2,2	3,1	6,8	166,9	67,9	-146,9	4,2	10,4	-6,2
Zamdela-S	PM_1	3,1	2,4	37,5	54,5	45,5	-43,0	10,2	14,7	-4,5
	$PM_{1-2.5}$	2,8	2,0	12,0	66,1	34,9	-17,8	2,2	2,6	-0,4
	$PM_{2.5-10}$	1,7	3,0	8,1	55,0	59,0	-26,8	4,8	6,1	-1,4
Zamdela-W	PM_1	2,7	4,9	23,8	61,7	25,9	-19,0	27,4	33,4	-5,9
	$PM_{1-2.5}$	0,7	2,1	5,5	63,8	18,7	9,3	15,1	13,9	1,2
	$PM_{2.5-10}$	0,8	4,4	7,8	59,7	19,7	7,6	12,5	11,8	0,6
Jouberton-S	PM_1	2,7	2,8	9,9	55,5	92,1	-63,0	36,9	60,8	-23,9
	$PM_{1-2.5}$	1,6	1,6	5,5	55,3	78,9	-42,9	13,3	19,1	-5,8
	$PM_{2.5-10}$	0,8	2,1	3,9	40,3	34,8	18,1	31,2	25,9	5,3

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4 Summary and conclusions

Size-resolved concentrations of inorganic- and water-soluble organic ions, trace element species, as well as OC and EC were determined for outdoor and indoor PM collected during winter and summer sampling campaigns conducted at low-income urban settlements in South Africa. Particulate mass concentrations were higher for indoor samples compared to aerosol mass concentrations determined for outdoor samples, while higher PM mass concentrations were measured for samples collected during winter. PM_1 had the highest mass concentrations in all outdoor aerosol samples collected during winter and summer, as well as in indoor samples collected during summer. The highest aerosols mass concentration was, however, determined in the $PM_{2.5-10}$ size fraction of aerosols sampled during the winter indoor campaign.

Significantly higher concentrations were determined for SO_4^{-2} at each site during the respective sampling campaigns, while NH_4^+ and NO_3^- were the second most abundant species. SO_4^{-2} and NH_4^+ almost exclusively occurred in the PM_1 size fraction, while NO_3^- was the major constituent in the larger size fractions. The highest SO_4^{-2} levels were recorded for the winter and summer outdoor campaigns conducted at Zamdela, while significantly higher NO_3^- levels were determined for the winter outdoor and summer indoor campaigns. NH_4^+ concentration recorded for the winter outdoor campaign were significantly higher than levels thereof determined in PM collected during other sampling campaigns. Estimations of acidity of PM_1 indicated that all outdoor and indoor PM_1 were acidic. The concentrations of inorganic ions and water-soluble OAs determined in this study were ~~similar than~~similar to ambient levels thereof determined in other studies conducted in South Africa, which signifies the regional impacts of anthropogenic emissions in the north-eastern interior of South Africa. The extent to which particulate inorganic ionic content is dominated by SO_4^{2-} in South Africa is unique and not observed for other parts of the world. The influence of regional open biomass burning was also observed through increased Cl^- and K^+ levels in PM_1 collected during the winter outdoor campaign.

The highest total trace element concentrations were determined for aerosols collected during the indoor campaign, while total trace element levels in PM_1 were substantially higher than levels thereof in the two larger size fractions of particulates collected during all sampling campaigns. More than 70% of trace element species occurred in the PM_1 and $PM_{1-2.5}$ size fractions, which is also indicative of the regional impacts of industrial sources. Although no

significant seasonal pattern was observed for trace element species, higher Ca levels contributed to relatively higher total trace element concentrations during the winter outdoor campaign at Zamdela. Na and Ca had the highest concentrations in all three size fractions of aerosols collected during the outdoor campaigns conducted at Kwazamokuhle and Zamdela, while Na and Cr had the highest levels in particulates collected during the summer outdoor campaign at Kwadela and the indoor campaign at Jouberton. In most other studies conducted in South Africa, Fe was found to be most abundant species in ambient aerosols, while one other size-resolved study conducted also indicated higher trace element concentrations in the PM_1 size fraction. Ni concentrations in outdoor and indoor PM exceeded the annual average European standard, with Ni levels in indoor PM_{10} being an order of magnitude higher than levels thereof determined at a site within proximity of large pyrometallurgical smelters. Indoor Mn also marginally exceeded the annual average standard.

OC and EC concentrations were the highest in PM_1 collected during each sampling campaign conducted in this study with the highest OC and EC levels determined in PM_1 collected during the winter indoor campaign. OC and EC levels also revealed a seasonal pattern with significantly higher concentration measured during winter, which corresponds to other studies conducted in South Africa that attributed trends in OC and EC concentrations to changes in meteorological patterns and increased biomass burning during winter. Low OC/EC ratios determined for particulates collected in low-income urban settlements revealed that EC concentrations were generally higher than OC levels, which is indicative of OC and EC being mainly associated with local sources of these species. OC concentrations determined in this study were an order of magnitude lower than OC concentrations determined for ambient aerosols collected in the north-eastern interior of South Africa, while similar EC levels were measured. However, OC and EC concentrations determined for urban areas in other countries in Africa and the rest of the world were significantly higher than OC and EC levels determined in general for South Africa.

Estimation of chemical mass closure according to two methods revealed dust to be the major constituent in all size fractions of particulates collected during the respective outdoor and indoor campaigns, while trace element species were the second most abundant. However, trace elements made the highest contribution to aerosol mass in PM_1 and $PM_{1-2.5}$ collected during the indoor campaign. No clear season pattern was observed in the chemical composition of particulates sampled in low-income urban settlements.

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5 Data availability

1004 At the moment, the dataset is being registered in Figshare. For the period of review, the link
1005 to the datasets is available in the section "Assets for review" of the MS Records system. As
1006 soon as the manuscript is approved for publication and the permanent DOI number is
1007 received, it will be indicated in the manuscript.

1008

Assets for review

1010 https://drive.google.com/drive/folders/1xJdYa06WMJyBm0OWbhoaBPkSpOgrt6j9?usp=sha
1011 ring

1012

1013 **Authors' contributions:** CKS, PGvZ, CL and JPB were the main investigators in this study
1014 and wrote the manuscript. CKS conducted this study as part of her PhD degree, as well as
1015 performed most of the experimental work and data processing. The project was led by PGvZ,
1016 CL and JPB, which were also study leaders of the PhD. J-SS, MD-A and EG assisted with
1017 analyses of aerosol samples, while BL assisted with aerosol sample collection. RPB and SJP
1018 provided infrastructure for sampling campaigns and made conceptual contributions.

1019

1020 **Competing interests:** The authors declare that they have no conflict of interest.

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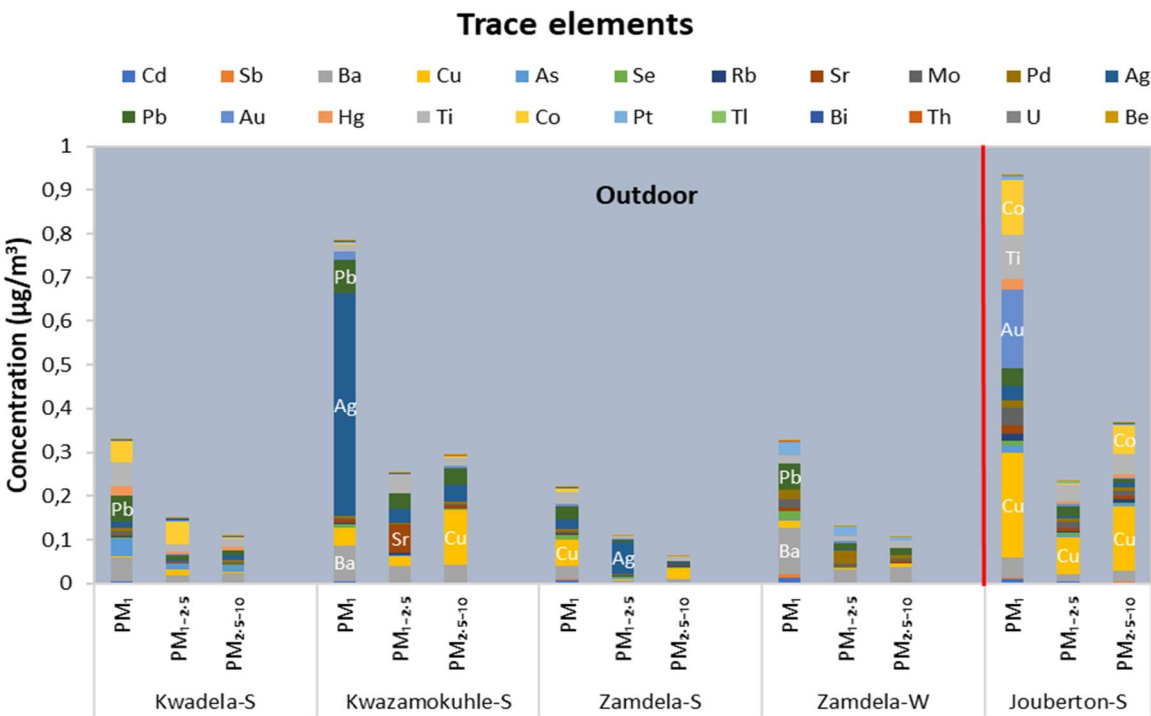1280 3219, https://doi.org/10.1021/es061812j, 2007.

1281

Appendix

1284 **Figure A1:** Mean concentrations of trace element species for which significantly lower levels
1285 were determined in PM_1, $PM_{1-2.5}$ and $PM_{2.5-10}$ at each site during respective sampling
1286 campaigns

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