



1 Measurement report: Intra-, inter-annual variability and

2 source apportionment of VOCs during 2018-2020 in

3 Zhengzhou, Central China

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16 Abstract:

Ambient volatile organic compounds (VOCs) were measured continuously to 17 investigate their characteristics, sources, atmospheric oxidation capacity (AOC) and 18 chemical reactivity from January 2018 to December 2020 at an urban site in 19 Zhengzhou, China. In this study, the total concentration of observed VOCs was 20 38.2±15.6 ppbv during the sampling period, with the characteristics that alkanes were 21 the major VOC species, accounting for 60% of total VOCs. During the sampling 22 period, the inter-annual variation of VOCs gradually reduced from 45.0±25.2 ppbv in 23 2018 to 36.7±22.0 ppbv in 2019, and to 30.5±15.4 ppbv in 2020. Ethane, ethene, and 24 25 propane were the top three abundant species during the three-year observation period. The results showed that total AOC, dominated by OH radical reactions, was 7.4×10^7 26 molecules cm⁻³ s⁻¹. The total OH reactivity was 45.3 s⁻¹, and mainly contributed by 27 28 NOx. The AOC and •OH reactivity exhibited well-defined seasonal and inter-annual 29 patterns. Therefore, the control strategy should focus on key species among inter-annual and seasonal variations. Meanwhile, diagnostic ratios of VOC species 30 31 indicated VOCs in Zhengzhou were greatly affected by vehicle emissions and liquefied petroleum gas/natural gas (LPG/NG). Positive matrix factorization analysis 32 showed six sources were identified, consisting of industrial sources, solvent use, 33 vehicle exhaust, LPG/NG, coal+biomass burning and biogenic sources. Vehicle 34 emissions, solvent use and LPG/NG made the largest contributions to VOC emission 35 in all three years. The proportion of the contribution of vehicle emissions and 36 LPG/NG has increased with each passing year. However, the proportion of industrial 37 and solvent sources presented a decreasing trend, which is speculated that the policy 38 control effect is remarkable. The effect of VOCs on ozone formation suggests that 39 vehicle emission and solvent utilization were still key sources. Therefore, it is 40 necessary to formulate effective strategies for reducing ground-level O₃, and those 41 sources mentioned above should be strictly controlled by the regulatory authorities. 42

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45 **1. Introduction**

In recent years, regional atmospheric pollution has occurred frequently in the world. Many areas are suffering from severe haze episodes in autumn and winter, and O₃ pollution in summer (Li et al., 2019; Yan et al., 2018; Zhang et al., 2018). As volatile organic compounds (VOCs) are the important precursor of secondary pollutants such as ozone (O₃) and secondary organic aerosol (SOA), the study of VOCs is booming from the scientific community and governing bodies (Liu et al., 2019a; Song. et al., 2019c; Xu et al., 2017).

VOCs cover a large variety of species, and the chemical reactivity of each 53 54 species varies greatly. Thus, VOC characteristics and active substance identification 55 are a research priority. Alkanes were the dominant VOC species in many regions, 56 while aromatics and alkenes were the largest contributors of ozone formation 57 potential (OFP) (Li et al., 2019b; Yan et al., 2017). Yang et al. (2019) suggested that alkanes were the dominant group with 42% of the VOCs concentration, and C2-C3 58 hydrocarbons were the dominant substance in Wuhan. Huang and Hsieh (2019) 59 studied the maximum incremental reactivity (MIR) and propylene-equivalent (PE) 60 concentration, reporting toluene was the largest potential contributor to OFP, and 61 industrial emissions contributed nearly 60% to OFP. Considering the complex 62 composition of VOCs in the atmosphere, it is difficult to determine the sources of 63 VOCs. Receptor models are widely used to apportion the source contributions of 64 VOCs, including positive matrix factorization (PMF), chemical mass balance, and 65 principal component analysis. In China, traffic emissions are often the main source of 66 VOCs, particularly in major metropolitan areas (Li et al., 2019; Liu et al., 2019; Song, 67 et al., 2019b). Moreover, industrial process and solvent utilization had remarkable 68 influence on VOC emission (Hui et al., 2019; Mo et al., 2017). Biogenic sources also 69 cannot be ignored due to the high reactivity, which contributed 5-20% of VOC 70 emission (Wu et al., 2016). In addition to the study of VOCs characteristics and 71 source apportionment, the analysis of atmospheric oxidation characteristics is a 72





73 current research hotspot. The variations in the AOC not only affect the O_3 level in summer but also greatly impact the generation of secondary particles throughout the 74 entire year (Prinn, 2003). However, most of the related studies in China focused on 75 76 metropolitan areas, such as Beijing-Tianjin-Hebei (BTH) region (Gu et al., 2019b), Pearl River Delta region (Zhang et al., 2015), and Yangtze River Delta region (Xu et 77 al., 2017; Zheng et al., 2020), but less research was carried on the heavily polluted 78 79 central plains. Meanwhile, previous studies are frequently used monitoring data in a short time range, which can not reflect the comprehensive VOC pollution 80 characteristics in a region. Therefore, large-scale investigations should be 81 concentrated in central plains of China that has received limited attention in the past. 82

As the political and cultural center of Henan province, Zhengzhou has more than 83 10 million permanent residents and 4.0 million private vehicles in 2019 (Gu et al., 84 2019a). This area is confronted with severe haze episodes and photochemical 85 86 pollution due to emit huge amounts of air pollutants (Li et al., 2020b). As a hot spot, Zhengzhou has conducted a lot of research on air pollution, but many of those were 87 only focused on particulate matter (Jiang et al., 2018; Wang et al., 2019). There are 88 89 fewer studies on VOCs, especially the longer sampling time frames and seasonal measurements in Zhengzhou. Through the adjustment of policies together with the 90 91 optimization of energy structure, the characteristics and sources of VOCs may be 92 significantly affected by a number of mitigation measures in recent years due to the adjustment of policies and the optimization of energy structure. Therefore, it is 93 necessary to identify local VOC pollution characteristics based on a long time series 94 95 of monitoring data, which can provide a reference for the formulation of local air pollution control measures. 96

97 To deepen the understanding of VOC pollution characteristics, chemical 98 reactivity and source contribution, three-year continuous VOCs data was measured 99 using online instruments in Zhengzhou from 2018 to 2020. The aims of this research 100 are: (1) analyze the variation characteristics of VOCs in Zhengzhou, including diurnal, 101 seasonal, and annual changes; (2) quantify the contribution of sources among intra-, 102 inter-annual variations and identify the locations of VOC sources; (3) parameterize





103 AOC and speciate OH reactivity; and (4) assess ozone formation by MIR and PE

- 104 analysis.
- 105

106 **2. Methodology**

107 **2.1 Site and measurements**

The observation period of this study is from January 2018 to December 2020. VOC samples are collected at an urban site (34°45′N, 113°41′E) in the Department of Environmental Protection of Henan Province. The surrounding environment was mainly commercial and residential district, and there are traffic sources but no large industrial source, which can represent a typical urban environment in Zhengzhou (Fig. 1).

The VOC species are continuously monitored by using an auto-GC system 114 115 (AMA Instruments GmbH, Germany). The specific information of this system was described in Zou et al. (2015). The 57 VOC species (including 29 alkanes, 10 alkenes, 116 1 alkyne, and 17 aromatics) are calibrated by the VOC Standards of U.S. EPA PAMS 117 mixture (Spectra Gases, USA) before monitoring. During the observation period, zero 118 119 and span gas checks (PAMS calibration gases) were conducted monthly using the 5-point method, together with the adjustment of retention time. Data quality control of 120 this instrument is detailed in our previous study (Ren et al., 2020). The correlation 121 coefficient usually varied from 0.990 to 0.999, and the detection limits ranged from 122 0.02 to 0.12 ppbv, as shown in Table S1. 123

Meteorological data, such as atmospheric temperature (T), relative humidity (RH), Ultra-Violet Ray (UV), precipitation (Pr), the planetary boundary layer height (PBL), wind speed (WS) and direction (WD) were obtained from the surface meteorological station of Henan monitoring center. Other trace gases datasets such as the hourly NO, NO₂, CO, O₃, SO₂, and fine particles (PM_{2.5}) were obtained from the ambient air quality observation station of Yanchang, which is about 2 km away from





130 the VOC monitoring station.

131 2.2 Positive Matrix Factorization model

In this paper, the model of U.S. EPA PMF 5.0 was used to identify the sources and their contributions to VOCs (Gao et al., 2018; Norris et al., 2014; Song et al., 2019a, 2019b; Yadav et al., 2019), and the details were showed in the supplement (Text S1).

136 **2.3 Relative reactivity of VOCs**

To better understand the role of VOCs in the formation of troposphere O₃, OFP and the PE concentration are investigated to analyze the chemical reactivity of VOC species (Carter, 1994; Atkinson and Arey, 2003), and the detailed operation were described in the supplementary materials (Text S2).

141 2.4 Atmospheric oxidation capacity and speciated oxidant 142 reactivity

AOC is defined as the sum of the respective oxidation rates of primary pollutants (e.g., CO, CH₄, and VOCs) by the oxidants (e.g., OH, NO₃ and O₃), and it can be calculated by the Eq. (1) (Elshorbany et al., 2009; Xue et al., 2016).

146 $AOC = \sum_{i} k_{Yi}[X][Y_i]$ (3)

Where [X] and $[Y_i]$ are the number concentrations of molecule oxidant X and Y_i, respectively; and k_{Yi} is the bi-molecular rate constant of molecule Y_i with oxidant X (Zhu et al., 2020). In this paper, the reduced substances only included 57 PAMS (provided by Spectra Gases Inc., USA) and CO. The oxidants only included •OH, NO₃ and O₃ radicals. And the concentration OH and NO₃ radicals was estimated from parameterization methods via Eq. (4) and Eq. (5) (Carter, 1994; Warneke, 2004).

153
$$[OH] = a \times (J_{0^1D})^{\alpha} \times (J_{N0_2})^{\beta} \times \frac{b \times [N0_2] + 1}{c \times [N0_2]^2 + d \times [N0_2] + 1}$$
(4)





154 Where J_{O1D} and J_{NO2} are measured photolysis frequency (s⁻¹) of ozone and NO₂,

155 respectively. The value of a, b, c, α , β is 4.1 × 10⁹, 140, 0.41, 1.7, 0.83 and 0.19,

156 respectively (Yang et al., 2019).

157 NO₃ concentration in the atmosphere is based on the steady-state assumption158 (Liebmann et al., 2018).

159
$$[NO_3] = \frac{k_{NO_2} \times [NO_2] \times [O_3]}{J_{NO_3} + J_{NO+NO_3} \times [NO] + \sum_i k_{NO_3 + VOC_i} \times [VOC]_i}$$
(5)

160 Where J_{NO3} is the measured photolysis frequency (s⁻¹) of NO₃. The rate 161 coefficients for NO₂ -O₃ (k_{NO2+O3}) and NO-NO₃ (k_{NO+NO3}) were obtained by Atkinson 162 et al. (2004). A detailed description of the calculation processes of OH and NO₃ have 163 been provided in previous study (Yang et al., 2021).

Additionally, OH reactivity is another indicator of atmospheric oxidation. It is the inverse of the OH lifetime and defined as the product of the rate coefficients and the concentrations of the reactants with OH. OH reactivity is calculated by Eq. (6) (Mao et al., 2010): OH reactivity = $\sum k_{OH+VOC_i} \times [VOC_i] + k_{OH+CO} \times [CO] + k_{OH+NO} \times [NO] + k_{OH+NO_2} \times [NO_2]$ Here $k_{OH+SO_2} \times [SO_2] + k_{OH+O_3} \times [O_3] + k_{OH+other} \times [other]$ (6) Where [Xi] is the concentration of species (e.g., CO, NOx, SO₂ and VOCs), and

the rate coefficients k_{OH} (in cm³ molecule1 s⁻¹) represents the corresponding reaction rate coefficients.

173 **2.5 CPF analysis**

The CPF was developed to identify potential source contributions by using the PMF source contribution solution, coupled with the account of wind direction (Guo et al., 2011; Hsu et al., 2018; Wu et al., 2016). The CPF is defined as:

$$CPF = \frac{m_{\triangle \theta}}{n_{\triangle \theta}}$$

177 Where $m_{\Delta\theta}$ is the number of appearances from wind sector $\Delta\theta$ (each is 22.5°) 178 that exceeds the concentration threshold (75th percentile of each source contribution) 179 and $n_{\Delta\theta}$ is the total number of occurrence in the same wind sector. Weak winds (< 1.5





- 180 m s⁻¹) were excluded from the calculation because of their difficulty in defining the
- 181 wind direction (Zheng et al., 2018).

3. Results and discussion

3.1 Characteristics of VOCs in Zhengzhou

184 **3.1.1 concentrations and compositions of VOCs**

Average ambient VOC concentrations and chemical species measured in 185 Zhengzhou are shown in Table S2. The annual average concentration of VOCs was 186 38.2±15.6 ppbv, close to the concentrations in Langfang (33.4 ppbv) (Song et al., 187 2019a) and Wuhan (32.6 ppbv) (Yang et al., 2019), lower than that in Nanjing (43.5 188 ppbv) (An et al., 2014), Guangzhou (42.7 ppbv) (Zou et al., 2015), Mexico (84.0 ppbv) 189 (Garzn et al., 2015), and higher than that in Guilin (23.7 ppbv) (Zhang et al., 2019), 190 London UK (22.2 ppbv) (Schneidemesser et al., 2010) and Metropolitan Vancouver 191 (19.2 ppbv) (Xiong et al., 2020). Alkanes were the major components of VOCs with a 192 mean concentration of 23.0±19.5 ppbv, accounting for 60% of total VOC 193 concentration, followed by alkenes (19%), aromatics (14%), and alkynes (7%). Many 194 195 previous studies have found that alkanes were the dominant group (Fu et al., 2020; Gu 196 et al., 2020), similar to that in Zhengzhou.

To clarify the characteristics of VOC emission sources, Table 1 lists the 197 198 concentrations of the 20 most abundant species, accounting for 90% of the VOCs. 199 During the sampling period (2018-2020), the most important VOC species in 200 Zhengzhou were ethane (8.8±5.0 ppbv), ethylene (4.7±4.0 ppbv), propane (4.2±2.5 201 ppbv), acetylene (2.6±3.3 ppbv), and n-butane (2.3±1.7 ppbv). In general, C₂-C₄ 202 species are closely related to vehicular exhausts, coal burning, and LPG (Hui et al., 2021; Zhang, et al. 2020). Among the most abundant 20 VOC species, half of them 203 were alkanes, occupying 91% of the total alkanes measured. The C_2 - C_3 alkanes are 204 mainly originated from LPG, while C4-C5 alkanes are considered as the tracer for 205





206 vehicle emissions (Fan et al., 2021). The most abundant alkene species were ethylene, propene, isoprene, and 1-butene, representing 93% of the total alkanes. C₂-C₄ alkenes 207 mainly come from vehicle exhausts and LPG (Zhang et al., 2015), whereas isoprene is 208 209 a typical biogenic tracer (Maji et al., 2020). There are five aromatics in the 20 most abundant species, including BTEX (benzene, toluene, ethyl-benzene, and xylenes) 210 211 and styrene. Those aromatics are the most frequently observed aromatic compounds 212 in urban areas, which are originated from vehicle exhausts, industrial processes, solvent usage, and combustion sources (Hui et al., 2019). 213

3.1.2 Inter-annual variation of VOCs

The inter-annual average concentrations and contributions of VOCs during 2018 to 2020 are shown in Table S2. The inter-annual variation of VOCs gradually reduced as follows: 45.0 ± 25.2 ppbv (in 2018), 36.7 ± 22.0 ppbv (in 2019), and 30.5 ± 15.4 ppbv (in 2020). The decrease trend of VOCs could be attributed to the increasingly stringent policies for emission reduction and the influence of COVID-19 lockdown on air quality in 2020 (Wang et al., 2021a).

The concentrations of the 20 most abundant species are listed in Table 1. Ethane, 221 ethene, and propane were the top three abundant species during all three years, which 222 is speculated that LPG and vehicular exhausts had a significant impact on the 223 surrounding area of the sampling site (Yadav, et al. 2019). C₄-C₅ alkanes and some 224 aromatics were the main tracers of motor vehicle exhaust, (Fan et al., 2021). However, 225 226 those species were cut down in 2020, which might be affected by the epidemic. As a 227 tracer of coal burning, acetylene was gradually decreased from 4.3±4.3 ppbv in 2018 to 0.7±0.8 ppbv in 2020. 228

229 **3.1.3 Seasonal variations**

As plotted in Fig. S2 and Table S3, the monthly mean mixing ratios of VOCs and its compounds were investigated. VOCs showed a clear seasonal dependence with a highest concentration in winter (50.0 ppbv) followed by spring (38.4 ppbv), autumn





233 (32.5 ppbv) and summer (26.1 ppbv). Meanwhile, the seasonal variations in the group of alkanes, alkenes, alkynes, and aromatics were similar to VOCs. In addition, the 234 monthly mean mixing ratios of dominant and tracer species were plotted in Fig. 2. 235 236 The results showed that almost all VOCs had a clear seasonal dependence, which were shown as highest concentration in winter while lowest concentration in summer. 237 However, the mixing ratios of isoprene were highest (0.82 ppbv) in July and lowest 238 (0.11 ppbv) in December. As the tracer of biogenic source, isoprene showed a positive 239 correlation with temperature ($R^2=0.61$, p<0.01). Besides biogenic emission, the 240 seasonal VOC variation was mainly influenced by the changes of anthropogenic 241 sources. As a northern city of China, Zhengzhou emits a lot of pollutants during the 242 heating season in winter (Wang et al., 2019). The higher concentration of VOC and 243 tracers (such as acetylene and aromatics) in winter may be derived from coal 244 combustion (Zhang et al., 2020). 245

246 Seasonal variations in VOCs concentrations were associated with several factors, such as photochemical activities and meteorological conditions. In summer, VOC is 247 consumed under the condition of high temperature, strong light radiation, and high 248 249 concentration of OH radicals (Huang et al., 2019). In winter, the high level of VOCs can be attributed to lower boundary layer and calm weather conditions (Hui et al., 250 251 2019). In addition, the pollution transmission from BTH region cannot be ignored 252 because that the north wind prevails in Zhengzhou in winter. The seasonal variation of VOCs in several cities was investigated and the variation trend of VOC 253 concentrations in most studies were similar to that in Zhengzhou (Liu, et al., 2019; 254 255 Yadav et al., 2019).

256 3.1.4 Diurnal variations

The diurnal variations in VOCs, trace gases (NO₂ and O₃), and meteorological parameters (T, RH, WS, and UV) are shown in Fig.S3. The VOCs presented a reverse trend with O₃ (R^2 =-0.82, p < 0.01), whereas the diurnal variation in VOCs showed moderate consistency with the variations of NO₂ (R^2 = 0.62, p < 0.01). The high values





261 of VOCs generally appeared in the morning with low O_3 concentrations. The peak in the morning was attributed to vehicle emission (Li et al., 2019b). In addition, local 262 meteorology and atmospheric processes also played important roles in the diurnal 263 variations of VOCs in ambient air. In the early morning, VOC remained at a high 264 concentration due to the stable atmospheric condition and shallow boundary layer 265 height (Hui et al., 2020). The value of VOCs declined to the lowest value (26.7 ppbv) 266 at 15:00 China standard time (CST), in which O3 reached a maximum level as the 267 production rate of O_3 and the consumption rate of O_3 reached equilibrium. In the 268 afternoon, high temperature and light radiation intensity led to the consumption of 269 VOCs. Meanwhile, higher wind speed also accelerated the diffusion of VOCs. 270 Afterward, VOC concentration gradually accumulated with the arrival of the late 271 traffic peak, and keep a high level throughout the night. It should be noted that VOC 272 concentrations at night were generally higher than those during the day. Previous 273 274 studies have suggested that VOC can be oxidized by O_3 , OH radicals and NO_3 radicals (Atkinson and Arey, 2003). During the daytime, the reactions with OH 275 276 radicals and O3 are the most important chemical reactions for VOCs, while the 277 reactions with NO_3 radicals and O_3 are the main sedimentation reactions occurring at night. VOCs concentrations were generally higher at night because the chemical 278 279 activity of OH radicals is much higher than that of NO₃ radicals (Carter, 2010).

280 The mean diurnal variations of high concentration and tracer VOCs were investigated, as shown in Fig. 3. As tracers of motor vehicle exhaust (Zheng, et al. 281 2018), Iso/n-pentane had a remarkable peak at 8:00 CST, which is suggested to be 282 283 vehicle emissions. Meanwhile, BTX and C2-C4 alkanes also represented similar diurnal variation characteristics, which was speculated to be greatly affected by motor 284 vehicles. However, the mixing ratio of isoprene showed higher values in the afternoon 285 and had a similar trend with temperature. The elevated values of isoprene in the 286 287 afternoon indicated significant emissions from biogenic sources.





288 **3.2 Diagnostic ratios**

289	Some of the VOC species are commonly used as indicators for emission sources.
290	To characterize the differences in the contribution of various sources in four seasons,
291	the ratio of B/T (benzene/toluene) and <i>i</i> -pentane / n -pentane have been used as the
292	preferred metric in this study.

The ratio of B/T can be used to distinguish potential sources such as traffic 293 emission, coal+biomass combustion and solvent usage. Diagnostic ratios varied 294 according to the emission sources (i.e., below 0.20 for solvent usage, 0.5 for traffic 295 source, 1.5-2.2 for coal combustion, and 2.5 for biomass burning). (Huang et al., 2019; 296 Li et al., 2019a). As shown in Fig. S4, the highest value of the B/T ratio was 0.55 in 297 winter, suggesting traffic emissions had affected the atmosphere. Meanwhile, the 298 ratios are 0.31, 0.27, and 0.31 in spring, summer, and autumn, respectively, indicating 299 it is more likely the aromatic derived from the mixed sources of solvent usage and 300 301 vehicle source during these seasons. As a transportation hub, Zhengzhou has a large 302 number of motor vehicles (Gu et al., 2019a). Therefore, the region needs to strengthen the control of motor vehicle. 303

The ratio of i-pentane to n-pentane was also investigated. i-Pentane and 304 305 n-pentane have similar reaction rates with the OH radicals and the ratios of these pair species indicated different sources. Pentanes always originated from the consumption 306 307 of coal combustion, vehicle emissions, and fuel evaporations with the ratio range of 0.56–0.80, ~ 2.2, and 3.8, respectively (Huang et al., 2019; Li et al., 2019a). The 308 309 highest ratio of *i*-pentane / *n*-pentane was found in summer (2.79), indicating strong 310 impacts of traffic sources. The ratio was 1.88 in spring and 1.96 in autumn, which suggested that most of VOCs originated from the mixed sources of vehicle emissions 311 and coal combustion. In addition, the average ratios in winter (1.55) was lower than 312 the other three seasons, indicating the comparatively stronger contribution from coal 313 burning in the heating season. 314





315 3.3 Source apportionment by PMF model

316 3.3.1 Source identification

317 Six sources, namely industrial sources, solvent use, vehicle exhaust, LPG/NG,

coal+biomass burning and biogenic sources were identified by the PMF model. The
source profiles of VOCs during the sampling period are presented in Fig.4.

320 Both sources 1 and 2 were characterized by a high percentage of C₆-C₈ alkanes and aromatics, which were major components emitted from various solvents or 321 industrial processes (Zhou et al. 2019, Wang et al. 2021). However, source 1 was also 322 323 rich in ethylene and propylene, which indicated the raw materials or products of chemical manufacturing processes (Fan et al. 2021, Hui et al. 2021). In addition, this 324 source had correlations with gas tracers of NO₂, SO₂, and CO (R²=0.42, 0.37, and 325 0.44, respectively). Meanwhile, source 2 appeared to exhibit poor correlations with 326 gas tracers (R²<0.10). Therefore, source 1 was assigned to industrial sources, while 327 328 source 2 was identified as solvent use.

Source 3 contained higher levels of C_4 - C_6 alkanes and aromatic. It is reported that i-pentane were usually originated from gasoline evaporation (Mo et al. 2017), and the other species are all associated with vehicle exhausts (Zheng et al. 2021). A ratio of T/B was 2.0 in this profile, which further confirmed the effect of vehicular emissions (Yao et al. 2021). In addition, the source correlated significantly with CO and NO₂ (p<0.01), but not with SO₂ (p>0.05). Therefore, source 3 was identified as vehicle exhaust.

Source 4 was dominated by a strong presence of ethane, propane, propylene, and i/n-butane, which can also release from fuel evaporation (gasoline and LPG/NG) (Zhang et al. 2019). In particular, the aromatics of this source were very low. Similar to factor 3, the source did not correlate with SO₂ (p>0.05), but had a positive correlation with CO and NO₂ (p<0.01). Therefore, this source was considered as LPG/NG.

342

2 The fifth source was distinguished by significant amounts of acetylene (72%),





which is a marker of combustion sources (Hui et al. 2021). In addition, the source was also characterized by a significant amount of benzene and C₂-C₃ hydrocarbons, which are representative species of coal and biomass burning (Zheng et al. 2021). Meanwhile, the independent traces (i.e., NO₂, SO₂, and CO) exhibited correlations with this factor (p<0.01, R² >0.3). Therefore, source 5 was considered to be coal+biomass burning.

Source 6 exhibited a significantly high composition of isoprene, which mainly
produced by vegetation through photosynthesis (Song et al. 2019a). Accordingly,
source 6 was labeled as biogenic source.

352 **3.3.2 Inter-annual variation**

353 The concentration contributions of each VOC source during 2018-2020 are shown in Fig.5. In 2018, vehicular exhaust was the largest contributor to VOC mixing 354 355 ratios (28%), followed by solvent utilization (27%), LPG/NG (17%) and industrial sources (15%). The contribution of coal+biomass burning and biogenic source 356 357 accounted for 8% and 5% of the total VOC concentration, respectively. As for 2019, vehicle emissions made the largest contribution (33%) to atmospheric VOCs. The 358 second most significant source was solvent utilization, accounting for 24% of the total. 359 The contribution of LPG/NG, industrial sources, coal+biomass burning, and biogenic 360 source to atmospheric VOCs were 16%, 14%, 10% and 3% in 2019. While vehicular 361 exhaust, solvent use, LPG/NG, industrial sources, coal+biomass burning and biogenic 362 363 source occupied 33%, 21%, 20%, 13%, 9% and 4% in 2020, respectively.

In summary, vehicle emissions, solvent use and LPG/NG made the largest contributions in all three years. Meanwhile the proportion of vehicle emissions and LPG/NG has increased with each passing year. As a famous transportation hub city, the number of motor vehicles in Zhengzhou maintain the annual growth of 0.4 million during the past five years, and has exceeded 4.5 million in 2020 (Gu et al., 2019a). Thus, vehicle exhaust was an important source of ambient VOCs at Zhengzhou. LPG/NG are widely used in residential life, industrial production, and motor vehicle.





371 Combined with the actual situation of the site, residential emissions may be the main source of LPG/NG. With the adjustment of energy structure, most industrial 372 enterprises and taxis in Zhengzhou have used NG as energy or fuel. It should be 373 374 pointed out that the proportion of industrial and solvent sources presented an annual down trend. In recent years, Zhengzhou City has carried out special actions for VOC 375 emission reduction, focusing on the control of industrial and small scattered pollution 376 enterprises. According to the results of the PMF model, it is speculated that the effect 377 of policy control is remarkable. 378

379 The source contributions determined in this study were also compared with other researches. Table 2 shows the source apportionment of VOCs was basically within the 380 values reported for other Chinese cities (Huang et al., 2019; Hui et al., 2018; Li et al., 381 2020c; Mo et al., 2017; Yan et al., 2017). It was found that traffic emission is the 382 main source of VOCs in Zhengzhou and other seven cities, which indicated that 383 384 vehicle emission has a great impact on the concentration of VOCs in urban atmosphere. It should be noted that the contributions of each source in this study were 385 386 very similar to those of Wuhan (Hui et al., 2018). Considering that Zhengzhou and 387 Wuhan are both important transport hub cities in Central China, the result is in line with expectations. 388

389 **3.3.3 Seasonal variation**

Due to the different meteorological conditions and emission strengths, source 390 391 contributions were variable in different seasons. As shown in Fig.6, the seasonal variations of biogenic emissions were significant, with the high contribution in 392 summer (8%) and the lowest value in winter (<1%). This might be affected by both 393 temperature and light intensity. Contrarily, the contributions from coal+biomass 394 burning and LPG/NG were larger in winter and lower in summer. Combustion 395 sources accounted for a larger proportion of emissions in winter (14%), while only 396 4% in summer. It should be pointed out that LPG accounted for a relatively high 397 concentration in winter along with the continuous promotion of coal to gas conversion. 398





Meanwhile, industrial emissions contributed to a high percentage of the VOCs in spring (21%) but exhibited a low value in autumn (12%). In addition, motor vehicle emissions have no obvious seasonal characteristics, and the contribution rate to the atmosphere in four seasons exceeded 20% of the VOCs, indicating that motor vehicles had a great impact on the air quality of Zhengzhou.

3.3.4 CPF and daily variation of each source

405 To examine the source orientation and time series of each source, CPFs and 406 diurnal variation are provided in Fig. 7.

407 CPF plots indicated the biogenic sources mainly originated from the north 408 direction with conditional probability (CP) values of approximately 0.37. It is mainly 409 affected by the plant emission in the northern suburbs and the urban greening in the sampling area. In addition, the diurnal patterns of biogenic source showed an obvious 410 411 T dependence, with the highest concentration at midday, might due to photosynthesis activity. The CPF plots also showed coal+biomass burning and industrial source 412 413 originated from the northeast and south direction. The diurnal variation in combustion source was characterized by an apparent increase at night, which is related to the 414 accumulation of pollutants during night heating. Moreover, the highest VOC 415 concentration from the industrial source was observed at 10:00 CST, probably due to 416 the industrial activities during the daytime. CPF plots for solvent use indicated these 417 sources were located at the southeast of the monitoring station. Meanwhile, the 418 419 LPG/NG source displayed higher contributions from the north and east direction, associated with resident activities around the sampling site. The vehicle emissions 420 were related to all directions, which might come from the emission of dense road 421 422 network around the station. The diurnal pattern of traffic source was characterized by two peaks in the morning and evening derived from traffic rush hours. 423

424 **3.4 AOC**

425

During the sampling periods, AOC was quantified and shown in Fig.8. The





calculated averaged value of total AOC was 7.4×10^7 molecules cm⁻³ s⁻¹, which was 426 comparable to those reported in a suburban site between Beijing and Tianjin (Yang et 427 al., 2020b), but significantly higher than those observed in Shanghai (Zhu, et al. 2020), 428 429 Hong Kong (Xue et al., 2016), Chile (Elshorbany et al., 2009) and Berlin (Geyer et al., 2001). Among AOC categories, OH exhibited the highest average concentration (7.0 430 $\times 10^7$ molecules cm⁻³ s⁻¹), accounting for 95% of the total AOC, followed by O₃ (3.4) 431 \times 10⁶ molecules cm⁻³ s⁻¹) and NO₃ (1.1 \times 10⁵ molecules cm⁻³ s⁻¹, which contributed 432 to 4% of the total AOC. Thus, OH is the main contributor of the atmospheric 433 oxidation in Zhengzhou, and similar results have been reported by other studies (Yang 434 et al., 2021; Zhu et al., 2020). 435

During 2018-2020, the total AOC presented decreasing trend annually (as shown 436 in Fig.8), with the mean values of 8.0, 6.4 and 6.2 \times 10⁷ molecules cm⁻³ s⁻¹, 437 respectively. As expected, OH was the predominant oxidant in all three years, with 438 439 95%, 95% and 97% of the total AOC, respectively. The primary pollutants (eg., CO, CH₄, and VOCs) decreased significantly in 2020 due to large reductions in economic 440 441 activities and associated emissions in COVID-19 lockdown. However, the average 442 concentration of OH was highest in 2020 (4.8×10^7 molecules cm⁻³), which is far higher than that of the previous two years. Thus, the level of atmospheric oxidation 443 444 needed additional attention. It should be noted the oxidation of the atmosphere has not 445 decreased or even increased significantly during the epidemic, which has been reported by many researches (Wang et al., 2021b). 446

As shown in Fig. S5, the mean AOC values showed pronounced seasonal 447 variations. The highest total AOC value was detected in summer $(7.5 \times 10^7 \text{ molecules})$ 448 cm⁻³ s⁻¹), followed by winter (6.4 \times 10⁷ molecules cm⁻³ s⁻¹), spring (5.8 \times 10⁷ 449 molecules cm⁻³ s⁻¹) and autumn (5.7×10^7 molecules cm⁻³ s⁻¹). The concentration of 450 OH in summer was significantly higher than that in other seasons, which can be 451 452 ascribed to relatively favorable meteorological conditions. Meanwhile, the high isoprene concentrations were observed in summer, and its high reaction rate 453 coefficients with the oxidants (eg., OH, NO3 and O3) revealed a highly oxidative 454 environment during the summer campaign in Zhengzhou. This seasonal pattern of the 455





- 456 AOC were similar with those of other studies conducted at the national level, urban
- 457 and suburban environments (Yang et al., 2021; Li et al., 2020a).

458 **3.5 Atmospheric environmental implications**

459 **3.5.1 OH reactivity of measured species**

The calculated OH reactivity was categorized into SO₂, NO₂, NO, O₃, CO and 460 461 VOCs and shown in Fig.9. During the sampling period, the average values of the total OH reactivity was 45.3 s^{-1} . In general, the OH reactivity assessed in this paper was 462 much higher than those determined in Shanghai (Tan et al., 2019), Chongqing (Tan et 463 al., 2019), Shanghai (Zhu et al., 2020) and New York (Ren et al., 2006), but was 464 comparable or lower than those in Xianghe (Yang et al., 2020a) and Backgarden (Lou 465 et al., 2010). In Zhengzhou, NO2 made the largest contribution to the total OH 466 reactivity (54%), followed by VOCs (17%), NO (16%), CO (11%), SO₂ (3%) and O₃ 467 (1%). Similar results have also been reported in previous studies conducted in other 468 regions (Yang et al., 2021). It should be pointed out that this paper only calculated the 469 OH reactivity of measured species, but did not involve unmeasured species, such as 470 471 secondary products (OVOCs and nitrates produced by photochemical reactions) and monoterpenes. Therefore, the value of OH reactivity in this study was underestimated 472 to a certain extent. 473

474 The detailed contribution of each VOC group to the total OH reactivity were presented in Table S4 and Table S5. The OH reactivity of total VOCs was 8.1 s⁻¹, 475 which was much lower than those in Beijing (11.2 s⁻¹) and Heshan (18.3 s⁻¹) (Yang et 476 477 al., 2017), but close to that t in Xianghe (7.9 s⁻¹) (Yang et al., 2020). The contribution of alkenes to OH reactivity was predominant, accounting for 5.2 s⁻¹ of the total OH 478 479 reactivity of VOCs. During the sampling period, isoprene attained the largest 480 contribution to the OH reactivity of the total VOCs, followed by ethene, m/p-xylene, propene, styrene, cis-2-butene, trans-2-butene, toluene, i-pentane and trans-2-pentene, 481 collectively accounting for 70% of the OH reactivity towards the total VOCs. 482





483 As shown in Fig.9, the OH reactivity showed significant inter-annual and seasonal variations. The statistical results exhibit decreasing trend of OH reactivity 484 during 2018-2020 in Zhengzhou, with the mean values of 51.1, 46.9, and 36.9 ppbv, 485 486 respectively. The trend might due to emission reduction measures, such as traffic-related emissions and the "Coal to Gas" project. The OH reactivity value in 487 2020 was 21% lower than that in the previous year, which is closely related to the 488 emission reduction as a result of COVID-19. As for seasonal variations, the mean 489 values were in the order of winter $(74.5 \text{ s}^{-1}) > \text{autumn} (48.6 \text{ s}^{-1}) > \text{spring} (43.1 \text{ s}^{-1}) >$ 490 summer (29.0 s^{-1}). The notably difference might be attributed to the higher reactive 491 trace gas loadings, especially in NOx and CO. As a northern city, coal combustion in 492 heating season in Zhengzhou produces higher concentrations of NOx and CO, leading 493 to higher OH reactivity. Therefore, we should continually strengthen the control of 494 trace gases, especially in autumn and winter. The OH reactivity of total VOCs was 495 close, with higher value in winter (7.3 s⁻¹) and lower in autumn (5.3 s⁻¹). However, 496 the concentrations of key species were significantly different among the four seasons. 497 498 Ethylene and propylene had highest OH reactivity in winter, which is speculated to 499 the emission of combustion sources. In addition, Isoprene made the largest contribution to the total OH reactivity in summer and spring, proving significantly 500 501 affected by biogenic source. Overall, the research on specific OH reactivity clearly 502 elucidated the seasonal and annual variations of major reactants. Therefore, the control strategy based on OH reactivity should focus on key species. 503

3.5.2 The effect of VOCs on ozone formation

505 Since VOCs are important precursors of O₃ formation in the ground-level 506 atmosphere, it is necessary to adequately estimate the contribution of each VOC 507 species to ozone formation. Fig. S6 shows the concentration contributions of the four 508 VOCs categories expressed on different scales from 2018 to 2020. The result 509 suggested aromatics made the largest contribution of the MIR concentration, 510 accounting for the combined ratios of 81%. Meanwhile, alkenes were the largest





contributors to PE concentration (87%). Although the concentrations of aromatics and
alkenes were relatively low, these two VOC groups played an important role in ozone
formation. This result is supported by many previous studies (Hui et al.,2021; Li et al.,

514 2020b).

Among the top 10 reactive species contributed to Propy-Equiv and MIR 515 weighted concentrations (as shown in Fig.10), eight compounds were the same, 516 differing only in their rank order. The top 10 VOCs obtained from the two methods 517 represented 67% and 74% of VOCs respectively. Considering the kinetic activity, 518 isoprene ranked first with the PE method of 8.8 ppbC, accounting for approximately 519 20% of the total PE concentration. m,p-Xylene, styrene, ethene, and toluene ranked 520 the second to fifth based on the PE method, explaining 16%, 10%, 7%, and 5% of the 521 total OFP, respectively. In comparison, ethene, m,p-xylene, toluene, propane, and 522 isoprene had highest MIR concentrations, which accounted for about 23%, 21%, 12%, 523 524 7%, and 7%, respectively. The results in this study highlighted the contributions of 525 isoprene ethene, m, p-xylene, and toluene to the ozone formation, and these three 526 species are mainly emitted from vehicle exhaust and industrial coatings (Xiong and 527 Du, 2020).

Based on the results of source apportionment, the source contributions to OFP 528 529 and PE were calculated (shown in Fig. S7). Vehicle emission made the greatest 530 contribution to ozone formation, followed by solvent utilization (PE:25%; OFP:29%). Besides, the contribution of biogenic emissions cannot be ignored, occupying 23% of 531 total PE. As the result of the discrepancy between the MIR weighted and PE weighted 532 533 concentrations, the contribution of this source to OFP was relatively low, only accounting for 7%. The PE concentration method only considers the kinetic reactivity 534 of VOC species but ignores the mechanism reactivity, while the MIR method takes 535 the ratio of VOC/NOx on the ozone formation into consideration. 536

537 4. Conclusions

538 In this study, the hourly data of 57 VOC species were collected during 2018 to





539 2020 at an urban site in Zhengzhou, China. The results showed that the average total VOC mixing ratios were 38.2±15.6 ppbv, and the VOC concentrations were 540 dominated by alkanes (60.2%) among the three years. During the sampling period, the 541 542 inter-annual variation of VOCs gradually reduced as follows: 45.0±25.2 ppbv (in 2018), 36.7±22.0 ppbv (in 2019), and 30.5±15.4 ppbv (in 2020). VOCs showed a 543 clear seasonal dependence with the highest in winter (50.0 ppbv) and lowest in 544 summer (26.1 ppbv). Based on PMF method, 6 sources were identified as vehicle 545 exhaust (31%), solvent use (24%), LPG/NG (18%), industrial sources (14%), 546 coal+biomass burning (9%) and biogenic source (4%). The proportion of vehicle 547 emissions and LPG/NG has increased with each passing year. However, the 548 proportion of industrial and solvent sources presented a decreasing trend. In addition 549 to significant inter-annual variation, the sources of VOCs also showed seasonal 550 differences. As for vehicle emissions, the contribution to the atmosphere in four 551 552 seasons was more than 20%. VOCs are significantly affected by combustion sources (14%) in winter, while the influence of plant sources cannot be ignored (8%) in 553 554 summer.

555 Meanwhile, this paper focuses on the atmospheric environmental implications of VOCs, including AOC, the OH reactivity and OFP. During sampling periods, the 556 557 campaign-averaged value of the total AOC was 7.4×10^7 molecules cm⁻³ s⁻¹, and OH exhibited the highest average concentration, accounting for 95% of the total AOC. 558 The average value of the total OH reactivity was 45.3 s⁻¹, and NO₂ made the largest 559 contribution to the total OH reactivity (54%), followed by VOCs (17%), NO (16%), 560 561 CO (11%), SO₂ (3%) and O₃ (1%). The effect of VOCs on ozone formation were investigated. Although the concentration of aromatics and alkenes were relatively low, 562 they played an important role in ozone formation. Ethene, m, p-xylene, and toluene 563 contributed significantly to the ozone formation in Zhengzhou. Based on the results of 564 source apportionment, vehicle emission and solvent utilization were still key sources 565 of VOCs that contribute to ozone formation. 566

567 Overall, the research of concentrations, source apportionment and atmospheric 568 environmental implications clearly elucidated the differences in major reactants





- 569 observed in different seasons and years. Therefore, the control strategy should focus
- 570 on key species and source among inter-annual and seasonal variations. The results can
- 571 provide references for local governments to develope control strategies of VOCs
- 572 during O₃ pollution events.
- 573





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Fig. 1 Locations of the sampling stations, and Fig. a-c represents China, Henan and
 Zhengzhou respectively.







Fig. 2 Monthly changes in the concentrations of the typical VOCs species in
Zhengzhou. The upper and lower boundaries of the boxes indicate the 75th and 25th
percentiles, respectively; the lines within the boxes mark the median; the whiskers
above and below the boxes indicate the 90th and 10th percentiles, respectively.



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Fig. 3 Diurnal variations in VOCs compounds measured at Zhengzhou. The upper and
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Fig.4 Source profiles and contribution percentages during the observation period by PMF model (bar is a mixing ratio and dot is a percentage).







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 Fig. 7 Conditional probability function (CPF) plots of local VOC sources in Zhengzhou. The mean (dot), median (horizontal line), 25th and 75th percentiles (lower and upper box), and 10th and 90th percentiles (lower and upper whiskers) for the entire study are shown.











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Fig. 10 Top 10 VOCs species that contributed most to the Propy-Equiv and MIR weighted concentrations in Zhengzhou.





921 **Table list:**

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Table 1 Top 20 most abundant VOC species (ppbv) measured in Zhengzhou for thestudy period 2018-2020.

927

928 Table 2 Comparison of source contributions resolved by PMF models in different

929 cities.





931	Table 1 Top 20 most abundant VOC species (ppbv) measured in Zhengzhou for the
932	study period 2018-2020.

• 1								
Species	2018	Species	2019	Species	2020	Species	Average	
Ethane	10±5.6	Ethane	8.7±5.7	Ethane	7.6±3.9	Ethane	8.8±5	
Ethene	6±5	Propane	4.5±2.8	Ethene	3.8±2.5	Ethylene	4.7±4	
Propane	4.4±2.7	Ethene	3.5±4.3	Propane	3.7±2	Propane	4.2±2.5	
Acetylene	4.3±4.3	Acetylene	2.9±4.2	n-Butane	2.1±1.4	Acetylene	2.6±3.3	
n-Butane	2.3±1.4	n-Butane	2.4±1.8	i-Pentane	1.4±1.4	n-Butane	2.3±1.7	
i-Pentane	2.0±1.7	i-Pentane	2.1±2.0	i-Butane	$1.4{\pm}0.9$	Isopentane	1.9±2	
Toluene	1.9±1	Toluene	1.5±1.1	Toluene	1.4±1.1	Toluene	1.6±1.1	
n-Pentane	1.3±1.5	i-Butane	1.5±1.1	Benzene	1±0.5	Isobutane	1.3±1	
i-Butane	1.2 ± 0.8	Benzene	$1.1{\pm}0.7$	m,p-Xylene	0.9±1.1	n-Pentane	1.2 ± 1.1	
Benzene	1.2 ± 0.7	m,p-Xylene	$1.1{\pm}0.9$	Acetylene	0.7 ± 0.8	Benzene	1.1±0.6	
m,p-Xylene	1.1 ± 0.6	n-Pentane	1.1 ± 0.9	n-Pentane	0.7 ± 0.9	m,p-Xylene	1±1	
Cyclopentane	0.9±1.0	Propene	0.8±1	Propene	0.6±1.2	Propylene	0.7±1.1	
Propene	0.8 ± 0.5	3-Methylpentane	0.6 ± 0.4	1-Isoprene	0.4 ± 0.5	Cyclopentane	0.7 ± 1.1	
Ethylbenzene	0.7 ± 0.4	n-Hexane	0.6 ± 0.6	Ethylbenzene	0.4 ± 0.4	Isoprene	0.6 ± 0.9	
Isoprene	0.6 ± 0.5	Ethylbenzene	$0.4{\pm}0.3$	Styrene	0.2 ± 0.4	Ethyl benzene	0.5 ± 0.4	
3-Methylpentane	0.4 ± 0.4	Isoprene	$0.4{\pm}0.6$	o-Xylene	0.2 ± 0.3	3-Methyl pentane	0.4 ± 0.4	
o-Xylene	0.4 ± 0.2	Cyclopentane	$0.3{\pm}0.7$	n-Heptane	0.2 ± 0.3	n-Hexane	0.4 ± 0.5	
cis-2-Butene	$0.4{\pm}0.8$	o-Xylene	0.3 ± 0.2	Cyclopentane	0.2 ± 0.5	Styrene	0.3±0.3	
Styrene	0.3 ± 0.3	2-Methylpentane	0.2 ± 0.3	m-Ethyltoluene	0.2 ± 0.2	o-Xylene	0.3 ± 0.3	
n-Hexane	0.3 ± 0.3	1-Butene	0.2 ± 0.3	3-Methylpentane	0.2 ± 0.2	Heptane	0.2 ± 0.3	
∑TOP 20	0.0%		020/		00%		01%	
species/∑VOCs	90%		75/0		2070		21/0	

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935	Table 2 Comparison	of source	contributions	resolved	by PMF	models in	different
936	cities (%).						

City	Sampling periods	Solvent	Industrial	Vehicle	Combustion	LPG/	Biogenic
		use	sources	exhaust	Combustion	NG	sources
Taiwan ^a	January–December 2016	29	15	18	-	-	4
Wuhan ^b	September 1, 2016 to August 31, 2017		16	24	19	13	2
Shuozhou ^c	March and August, 2014	-	14	21	30	18	-
Ningbo ^d	December 2012, April 2013, July 2013, and October 2013	7	50	16	-	27	-
Beijing ^e	March 2016 to January 2017	16	10	19	-	12	8
This study	January 2018 to December 2020	24	14	31	9	17	4

937 (a:Huang et al., 2019; b:Hui et al., 2018; c: Yan et al., 2017; d: Mo et al., 2017; e: Li et al., 2020c.)