1	Measurement report: Intra/interannual variability and source apportionment of
2	VOCs during 2018–2020 in Zhengzhou, Central China
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#### 19 Abstract:

Ambient volatile organic compounds (VOCs) were measured continuously from 20 January 2018 to December 2020 at an urban site in Zhengzhou (China) to investigate 21 22 their characteristics, sources, atmospheric oxidation capacity (AOC), and chemical reactivity. During the sampling period, the total concentration of observed VOCs was 23 94.3 $\pm$ 53.1 µg/m<sup>3</sup> ppbv and alkanes were the major VOC species, accounting for 58% 24 25 of the total. During the sampling period, the interannual variation of VOCs gradually reduced from 113.2±65.2 µg/m<sup>3</sup> in 2018, 90.7±52.5 µg/m<sup>3</sup> in 2019, and 79.1±41.7 26  $\mu$ g/m<sup>3</sup> in 2020. Ethane and propane were the top two most abundant species during 27 the three-year observation period. Results showed that the total AOC, dominated by 28 OH radical reactions, was  $7.4 \times 10^7$  molecules cm<sup>-3</sup> s<sup>-1</sup>. Total OH reactivity was 45.3 29  $s^{-1}$  and it was mainly contributed by NOx. The AOC and •OH reactivity both 30 exhibited well-defined seasonal and interannual patterns. Therefore, control strategies 31 should focus on the key species given their interannual and seasonal variations. 32 Meanwhile, diagnostic ratios of VOC species indicated that VOCs in Zhengzhou were 33 34 greatly affected by vehicle emissions and liquid petroleum gas/natural gas (LPG/NG). Positive matrix factorization analysis identified six sources: industrial sources, solvent 35 use, vehicle emissions, LPG/NG, fuel burning, and biogenic sources. Vehicle 36 emissions and industrial sources made the largest contributions to VOC emission in 37 each of the three years. The proportion of the contributions of vehicle emissions and 38 39 LPG/NG increased with each passing year. However, the proportion of industrial and solvent sources presented a decreasing trend, which reflects the remarkable effect of 40 control policies. The effect of VOCs on O<sub>3</sub> formation suggests that vehicle emissions 41 and solvent use remain key sources. Therefore, it is necessary to formulate effective 42 strategies for reducing ground-level O<sub>3</sub>, and those sources mentioned above should be 43 strictly controlled by the regulatory authorities. 44

#### 45 1. Introduction

46 In recent years, regional atmospheric pollution events have occurred frequently

around the world, with many areas suffering severe haze episodes in autumn and winter, and O<sub>3</sub> pollution in summer (Uttamang et al., 2020; Li et al., 2019; Sadeghi et al., 2021; Yan et al., 2018; Yadav et al., 2019; Zhang et al., 2018). Volatile organic compounds (VOCs) are important precursors of secondary pollutants such as O<sub>3</sub> and secondary organic aerosols, and the study of VOCs is a primary focus among the scientific community and relevant governing bodies (Liu et al., 2019a; Song. et al., 2019c; Xu et al., 2017).

54 VOCs encompass a large variety of species, and the chemical reactivity of each species varies greatly. Thus, elucidation of VOC characteristics and active substance 55 identification represent research priorities. In many regions, alkanes represent the 56 dominant VOC species, while studies which do not report OVOCs usually identify 57 aromatics and alkenes as better contributors of ozone formation potential (OFP) (Li et 58 al., 2019b; Yan et al., 2017). Following a study in Wuhan (China), Yang et al. (2019) 59 suggested that alkanes were the dominant group, accounting for 42% of the total VOC 60 concentration, and that C<sub>2</sub>-C<sub>3</sub> hydrocarbons were the dominant active substance. 61 62 Huang and Hsieh (2019) studied the maximum incremental reactivity (MIR) and propylene-equivalent (PE) concentration, reporting that toluene was the largest 63 potential contributor to the OFP and that industrial emissions contributed nearly 60% 64 to the OFP. Given the complex composition of VOCs in the atmosphere, it is difficult 65 to determine the sources of VOCs. To apportion the source contributions of VOCs, it 66 is common practice to use receptor models that include positive matrix factorization 67 68 (PMF), chemical mass balance, and principal component analysis. In China, traffic emissions are often the main source of VOCs, particularly in major metropolitan areas 69 70 (Li et al., 2019; Liu et al., 2019; Song, et al., 2019b). Moreover, industrial processes and solvent use have remarkable influence on VOC emissions (Hui et al., 2019; Mo et 71 al., 2017). Biogenic sources also cannot be ignored owing to their high reactivity, 72 which can contribute 5%–20% of VOC emissions (Wu et al., 2016). In addition to the 73 study of VOC characteristics and source apportionment, analysis of atmospheric 74 oxidation characteristics is another area of hot topic. Variations in the atmospheric 75 oxidation capacity (AOC) not only affect the O<sub>3</sub> level in summer but also greatly 76

77 impact the generation of secondary particles throughout the entire year (Prinn, 2003). However, most previous related studies in China focused on metropolitan areas such 78 as the Beijing-Tianjin-Hebei region (Gu et al., 2019b), Pearl River Delta region 79 (Zhang et al., 2015), and Yangtze River Delta region (Xu et al., 2017; Zheng et al., 80 81 2020), while less research has been conducted in heavily polluted areas of the central plains. Moreover, previous studies frequently used short-term monitoring data that 82 cannot fully reflect the comprehensive VOC pollution characteristics within a region. 83 84 Therefore, it is an urgent requirement that large-scale investigations be conducted on the central plains of China. 85

As the political and cultural center of Henan Province, Zhengzhou had more than 86 10 million permanent residents and 4.0 million private vehicles in 2019 (Gu et al., 87 2019a). This area is often confronted with severe haze episodes and photochemical 88 pollution due to emission of huge amounts of air pollutants (Li et al., 2020b). 89 Consequently, much research has been conducted on air pollution in Zhengzhou, but 90 91 many of the earlier studies focused only on particulate matter (Jiang et al., 2018; 92 Wang et al., 2019). Few studies have addressed VOCs in Zhengzhou, especially in relation to longer sampling periods and seasonal measurements. Following adjustment 93 of control policies and optimization of the energy structure, recent mitigation 94 measures might have affected the characteristics and sources of VOCs. Therefore, it is 95 96 necessary to identify local VOC pollution characteristics on the basis of a long time 97 series of monitoring data, which could provide a reference for formulation of local air 98 pollution control measures.

99 To deepen the understanding of VOC pollution characteristics, chemical reactivity, and source contribution, VOC data were measured in Zhengzhou using 100 online instruments from 2018–2020. The aims of this research were as follows: (1) 101 analyze the characteristics of VOC variation in Zhengzhou, including diurnal, 102 seasonal, and annual changes; (2) quantify the contribution of sources among 103 intra/interannual variations and identify the locations of VOC sources; (3) 104 105 parameterize the AOC and speciate OH reactivity; and (4) assess O<sub>3</sub> formation by MIR and PE analysis. 106

## 107 **2. Methodology**

#### 108 **2.1 Study site and measurements**

The observation period of this study was from January 2018 to December 2020. The VOC samples were collected at an urban site (34°45′N, 113°41′E) by the Department of Environmental Protection of Henan Province. The surrounding environment represents a typical urban environment in Zhengzhou, comprising commercial and residential districts with traffic sources (Fig. 1).

114 The VOC species were monitored continuously using an auto-GC system with a 115 1-h time resolution (AMA Instruments GmbH, Germany). Specific information regarding this system is described in Zou et al. (2015). The 57 VOC species 116 (comprising 29 alkanes, 10 alkenes, 1 alkyne, and 17 aromatics) were calibrated using 117 118 the VOC Standards of the U.S. EPA PAMS mixture (Spectra Gases, USA) before monitoring. During the observation period, zero and span gas checks (PAMS 119 calibration gases) were conducted monthly using the 5-point method, together with 120 adjustment of the retention time. Data quality control of this instrument is detailed in 121 122 our previous study (Ren et al., 2020). Overall, the correlation coefficient varied from 123 0.990-0.999, and the detection limits were in the range of 0.02-0.12 ppby, as shown in Table S1. 124

Meteorological data comprising atmospheric temperature (T), relative humidity, ultraviolet (UV) radiation, precipitation (Pr), planetary boundary layer height, wind speed (WS), and wind direction (WD) were obtained from the surface meteorological station of the Henan monitoring center. Datasets of trace gases such as the hourly concentrations of NO, NO<sub>2</sub>, CO, O<sub>3</sub>, SO<sub>2</sub>, and fine particles (PM<sub>2.5</sub>) were obtained from the ambient air quality observation station of Yanchang, which is located approximately 2 km from the VOC monitoring station.

## 132 **2.2 Positive matrix factorization (PMF) model**

133 In this study, analysis of the source of the VOCs was performed using the EPA

PMF 5.0 model, which is a receptor model used widely for source apportionment 134 (Gao et al., 2018; Yadav et al., 2019). Detailed information regarding this method is 135 available in the user manual (Norris et al., 2014) and other related literature (Song et 136 al., 2019a, 2019b). Two input files are required for PMF: the concentration values and 137 the uncertainty values of the individual VOC species. The uncertainty is calculated 138 using Eq. (1) when the species concentration value is higher than its method detection 139 limit (MDL), or using Eq. (2) when the concentration is less than or equal to the 140 141 MDL:

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$$Unc = \sqrt{(EF \times c)^2 + (0.5 \times MDL)^2}, \qquad (1)$$
$$Unc = \frac{5}{6} \times MDL, \qquad (2)$$

where c is the concentration of the individual VOC species, and EF is the error fraction, which was set to 10% of the VOC concentration (Yuan et al., 2012).

Owing to the complexity of the chemical reactions, not all of the VOC species 146 were used in the PMF analysis. Based on previous work, this study adopted the 147 148 following principles for selection of the VOC species. (1) Species with more than 25% of data missing or below the MDLs were rejected, which follows the 149 methodology of previous studies (Zhou et al., 2019). (2) Species with short 150 atmospheric lifetimes were excluded because they rapidly react away in the 151 atmosphere. (3) Species that represent source tracers of emission sources were 152 retained (e.g., in the case of isoprene). Eventually, 27 VOC species were selected for 153 source apportionment analysis. VOC species were grouped into strong, weak and bad 154 according to their signal/noise ratio (S/N), and there were 23 and 4 species grouped 155 into strong and weak, respectively. It should be noted that the volumetric 156 concentration (ppbv) of the individual VOC species was converted to mass 157 concentration ( $\mu g m^{-3}$ ) before being input into the PMF model. 158 Choosing the optimal number of factors in the model is important. The number 159

160 of factors depends on Q (ture)/Q (robust) and Q/Qexpected (Qexp). In theory, Q

- 161 (ture)/Q (robust)  $\leq$  1.5 and a value close to 1 is considered reasonable (Ulbrich et al.,
- 162 2009), and the rate of change of Q/Qexp should be stable and the ratio should be close

163to 1 (Baudic et al., 2016; Hui et al., 2019). In this study, the numbers of factors used164for the PMF analysis were tested from three to eight, and the optimum six-factor165solution with Q/Qexp = 0.94, (Q (ture)/Q (robust) = 1.0) was selected. Additionally,166Fpeak values from -1 to 1 with 0.1 intervals were used in the model, and Fpeak = -0.2

167 was established as the best solution (as shown in Fig. S1).

## 168 2.3 Relative reactivity of VOCs

To better understand the role of VOCs in the formation of tropospheric O<sub>3</sub>, the OFP and the PE concentration were investigated to analyze the chemical reactivity of the VOC species (Carter, 1994; Atkinson and Arey, 2003), and the detailed operation is described in the supplementary materials (Text S1).

# 173 2.4 Atmospheric oxidation capacity (AOC) and speciated oxidant reactivity

The AOC is defined as the sum of the respective oxidation rates of the primary pollutants (e.g., CO, CH<sub>4</sub>, and VOCs) by the oxidants (e.g., OH, NO<sub>3</sub>, and O<sub>3</sub>), and it can be calculated using Eq. (3) (Elshorbany et al., 2009; Xue et al., 2016):

AOC = 
$$\sum_{i} k_{Yi}[X][Y_i],$$
 (3)

where [X] and  $[Y_i]$  are the number concentrations of molecule oxidant X and Y<sub>i</sub>, respectively, and  $k_{Y_i}$  is the bimolecular rate constant of molecule Y<sub>i</sub> with oxidant X (Zhu et al., 2020). In this study, the reduced substances only included 57 PAMS (provided by Spectra Gases Inc., USA) and CO. The oxidants only included •OH, NO<sub>3</sub>, and O<sub>3</sub> radicals. The concentration OH and NO<sub>3</sub> radicals was estimated from parameterization methods via Eqs. (4) and (5) (Carter, 1994; Warneke, 2004):

184 
$$[OH] = a \times (J_{0^{1}D})^{\alpha} \times (J_{N0_{2}})^{\beta} \times \frac{b \times [N0_{2}] + 1}{c \times [N0_{2}]^{2} + d \times [N0_{2}] + 1}, (4)$$

where  $J_{O1D}$  and  $J_{NO2}$  are the measured photolysis frequency (s<sup>-1</sup>) of O<sub>3</sub> and NO<sub>2</sub>, respectively. The values of a, b, c,  $\alpha$ , and  $\beta$  are 4.1 × 10<sup>9</sup>, 140, 0.41, 1.7, 0.83, and 0.19, respectively (Yang et al., 2019).

188 NO<sub>3</sub> concentration in the atmosphere is based on the steady-state assumption189 (Liebmann et al., 2018):

190 
$$[NO_3] = \frac{k_{NO_2} \times [NO_2] \times [O_3]}{J_{NO_3} + J_{NO+NO_3} \times [NO] + \sum_i k_{NO_3} + vOC_i \times [VOC]_i},$$
(5)

where  $J_{NO3}$  is the measured photolysis frequency (s<sup>-1</sup>) of NO<sub>3</sub>. The rate coefficients for NO<sub>2</sub>-O<sub>3</sub> (k<sub>NO2+O3</sub>) and NO-NO<sub>3</sub> (k<sub>NO+NO3</sub>) were obtained from Atkinson et al. (2004). Detailed descriptions of the calculation processes of OH and NO<sub>3</sub> are available in Yang et al. (2021).

Additionally, OH reactivity is another indicator of atmospheric oxidation. It is the inverse of the OH lifetime, and it can be defined as the product of the rate coefficients and the concentrations of the reactants with OH. Thus, OH reactivity can be calculated using Eq. (6) (Mao et al., 2010):

199 OH reactivity = 
$$\sum k_{OH+VOC_i} \times [VOC_i] + k_{OH+CO} \times [CO] + k_{OH+NO} \times [NO] +$$

200 
$$k_{OH+NO_2} \times [NO_2]$$
 +  $k_{OH+SO_2} \times [SO_2] + k_{OH+O_3} \times [O_3] + k_{OH+other} \times$   
201 [other], (6)

where [Xi] is the concentration of the species (e.g., CO, NOx, SO<sub>2</sub>, and VOCs), and the rate coefficient k<sub>OH</sub> (unit: cm<sup>3</sup> molecule1 s<sup>-1</sup>) represents the corresponding reaction rate coefficient.

#### 205 2.5 Conditional probability function (CPF) analysis

The conditional probability function (CPF) was developed to identify potential source contributions using the PMF source contribution solution, coupled with WD (Guo et al., 2011; Hsu et al., 2018; Wu et al., 2016). The CPF is defined as follows:

209 
$$CPF = \frac{m_{\Delta\theta}}{n_{\Delta\theta}},$$
 (7)

where  $m_{\Delta\theta}$  is the number of appearances from wind sector  $\Delta\theta$  (each sector is 22.5°) that exceed the concentration threshold (75th percentile of each source contribution), and  $n_{\Delta\theta}$  is the total number of occurrences in the same wind sector. Weak winds (WS <1.5 m s<sup>-1</sup>) were excluded from the calculation because of the difficulty in defining WD (Zheng et al., 2018).

#### 215 **3. Results and discussion**

#### 216 **3.1 Characteristics of VOCs in Zhengzhou**

#### 217 **3.1.1** Concentrations and compositions of VOCs

The average ambient VOC concentrations and chemical species measured in 218 219 Zhengzhou during the study period are shown in Table S2. And Table S3 presented 220 the comparison of VOCs between this study and other studies. The annual average 221 concentration of VOCs was  $94.3\pm53.1 \ \mu g/m^3$  ( $38.2\pm15.6 \ ppbv$ ), i.e., close to the concentration reported in Langfang (33.4 ppbv) (Song et al., 2019a) and Nanjing 222 223 (34.4 ppbv) (Shao, et al. 2016), lower than that found in Chengdu (41.8 ppbv) (Song et al. 2018), Guangzhou (42.7 ppbv) (Zou et al., 2015), and higher than that reported 224 225 in Tianjin (28.7 ppbv) (Liu et al. 2016). Among the observed species, alkanes were the major component of the VOCs with mean concentration of  $54.7\pm37.9 \ \mu g/m^3$ , 226 227 accounting for 58% of the total, followed by aromatics (25%), alkenes (13%) and 228 alkynes (3%). Many previous related studies also reported that alkanes represent the 229 dominant group (Fu et al., 2020; Gu et al., 2020), similar to the situation found in Zhengzhou. For the record, OVOCs were not simultaneously measured in this study 230 due to the limitations on available instrumentation. Thus, those investigations apply 231 only to studies which failed to measure OVOCs. 232

233 To clarify the characteristics of VOC emission sources, the concentrations of the 20 most abundant species, accounting for 83% of the compound classes monitored in 234 235 the present study, are listed in Table 1. During the sampling period (2018–2020), the most important VOC species in Zhengzhou were ethane (11.7 $\pm$ 6.8 µg/m<sup>3</sup>), propane 236 237  $(8.2\pm4.9\mu g/m^3)$ , toluene  $(6.5\pm4.5\mu g/m^3)$ , *i*-pentane  $(6.1 \pm 10.4)$  $\mu g/m^3$ ), n-butane( $5.8\pm4.1\mu$ g/m<sup>3</sup>) and ethene ( $5.6\pm5.3\mu$ g/m<sup>3</sup>). Generally, C<sub>2</sub>-C<sub>5</sub> species are 238 239 closely related to vehicular emissions, coal burning, and liquid petroleum gas (LPG) (Hui et al., 2021; Zhang, et al. 2020). Among the most abundant 20 VOC species, half 240 were alkanes, accounting for 79% of the total alkanes measured. The C<sub>2</sub>-C<sub>3</sub> alkanes 241 mainly originate from LPG, while C4-C5 alkanes are considered tracers of vehicle 242

emissions (Fan et al., 2021). The most abundant alkene species were ethylene, 243 propene, isoprene, and propene, representing 72% of the total alkanes. The C<sub>2</sub>-C<sub>3</sub> 244 alkenes are mainly derived from vehicle emissions and LPG (Zhang et al., 2015), 245 whereas isoprene is a typical biogenic tracer (Maji et al., 2020). And isoprene 246 emissions have also been reported from biomass burning e.g. from smouldering rice 247 straw fires (Kumar et al., 2021). In the 20 most abundant VOC species, there were 6 248 aromatics: p-xylene, benzene, ethylbenzene, 249 toluene, m o-xylene and 250 p-diethylbenzene. These aromatics are the most frequently observed aromatic compounds in urban areas, originating from vehicle emissions, industrial processes, 251 solvent use, and combustion sources (Hui et al., 2019). 252

#### **3.1.2 Interannual variation of VOCs**

254 The interannual average concentrations and contributions of VOCs during 2018–2020 are presented in Table S2. The interannual variation of the VOCs declined 255 gradually as follows:  $113.2\pm65.2 \ \mu g/m^3$  in 2018,  $90.7\pm52.5 \ \mu g/m^3$  in 2019, and 256 79.1 $\pm$ 41.7 µg/m<sup>3</sup> in 2020. It should be mentioned is the differences in these yearly 257 averages are statistically significant considering the large standard deviations 258 indicated. The trend of decrease of VOCs could be attributed to increasingly stringent 259 policies regarding emission reduction and the influence of the COVID-19 lockdown 260 on air quality in 2020 (Wang et al., 2021a). 261

262 The concentrations of the 20 most abundant species are listed in Table 1. Ethane 263 and propane were the top two most abundant species in each of the three years, indicating that LPG and vehicular emissions had substantial impact on the area 264 surrounding the sampling site (Yadav et al., 2019). The C<sub>4</sub>-C<sub>5</sub> alkanes and some 265 266 aromatics represent the main tracers of motor vehicle emissions (Fan et al., 2021). 267 However, the quantities of those species were diminished in 2020, which might represent a consequence of the COVID-19 epidemic. As a tracer of coal burning, 268 acetylene decreased gradually from  $4.8\pm4.9 \ \mu g/m^3$  in 2018 to  $0.9\pm1 \ \mu g/m^3$  in 2020. 269

#### 270 **3.1.3 Seasonal variations**

As plotted in Fig. S2 and listed in Table S4, the monthly mean mixing ratios of 271 the VOCs and compounds were investigated. The VOCs showed clear seasonal 272 dependence with highest concentration in winter (116.5  $\mu$ g/m<sup>3</sup>) followed by spring 273 (86.4  $\mu$ g/m<sup>3</sup>), autumn (86.1  $\mu$ g/m<sup>3</sup>), and summer (74.2  $\mu$ g/m<sup>3</sup>). Meanwhile, the 274 275 seasonal variation of the group of alkanes, alkenes, alkynes, and aromatics was similar to that of the VOCs. Additionally, the monthly mean mixing ratios of the 276 dominant and tracer species are plotted in Fig. 2. The results show that almost all 277 VOCs had clear seasonal dependence, with highest concentrations in winter and 278 lowest concentrations in summer. However, the mixing ratio of isoprene was highest 279  $(2.46 \ \mu g/m^3)$  in July and lowest  $(0.33 \ \mu g/m^3)$  in December. As a tracer of biogenic 280 281 sources, isoprene showed positive correlation with T ( $R^2 = 0.61$ , p < 0.01). In addition to biogenic emissions, the seasonal variation of VOCs was mainly influenced by 282 283 changes of anthropogenic sources. As a northern city of China, Zhengzhou emits substantial quantities of pollutants during the heating season in winter (Wang et al., 284 2019). The higher concentrations of VOCs and tracers (such as acetylene and 285 aromatics) in winter might be derived from coal combustion (Zhang et al., 2020). 286

Seasonal variations in VOC concentrations are associated with several factors 287 288 such as photochemical activities and meteorological conditions. In summer, VOCs are consumed under the condition of high T, strong UV radiation, and high concentration 289 of OH radicals (Huang et al., 2019). In winter, the high level of VOCs can often be 290 291 attributed to a lower boundary layer and calm weather conditions (Hui et al., 2019). Additionally, the transport of pollutants from the Beijing-Tianjin-Hebei region 292 cannot be ignored because of the north wind that prevails in Zhengzhou in winter. The 293 seasonal variation of VOCs in several cities was investigated and the variation trend 294 295 of VOC concentrations in most studies were found similar to that observed in 296 Zhengzhou (Liu et al., 2019; Yadav et al., 2019).

#### 297 **3.1.4 Diurnal variations**

The diurnal variations in VOCs, trace gases ( $NO_2$  and  $O_3$ ), and meteorological 298 parameters (T, relative humidity, WS, and UV) are shown in Fig. S3. The VOCs 299 present negative correlation with  $O_3$  ( $R^2 = -0.82$ , p < 0.01), whereas the diurnal 300 variation of VOCs shows moderate consistency with the variation of NO<sub>2</sub> ( $R^2 = 0.62$ , 301 302 p < 0.01). The high values of VOCs generally appeared in the morning with low  $O_3$ 303 concentrations. The peak in the morning was attributed to vehicle emission (Li et al., 2019b). Additionally, local meteorological and atmospheric processes also play 304 important roles in the diurnal variation of VOCs in ambient air. In the early morning, 305 the concentrations of VOCs remained high owing to stable atmospheric conditions 306 and a shallow boundary layer height (Hui et al., 2020). The concentration of VOCs 307 308 declined to the lowest value at 15:00 China Standard Time (CST), when O<sub>3</sub> reached a maximum level with the production and consumption rates of O<sub>3</sub> in equilibrium. In 309 310 the afternoon, higher T and increased UV radiation intensity led to consumption of VOCs. Moreover, higher WS also accelerated the diffusion of VOCs. Subsequently, 311 VOC concentrations gradually accumulated with the arrival of the late traffic peak, 312 and remained at a high level throughout the night. It should be noted that VOC 313 concentrations at night were generally higher than those during the day. Previous 314 315 studies have suggested that VOCs can be oxidized by O, OH radicals, and NO<sub>3</sub> radicals (Atkinson and Arey, 2003). During the daytime, reactions with OH radicals 316 317 and O<sub>3</sub> are the most important chemical reactions for VOCs, whereas the reactions with NO<sub>3</sub> radicals and O<sub>3</sub> are the main sedimentation reactions at night. 318 319 Concentrations of VOCs were generally higher at night because the chemical activity 320 of OH radicals is much higher than that of NO<sub>3</sub> radicals (Carter, 2010).

The mean diurnal variations of high-concentration and tracer VOCs were investigated, as shown in Fig. 3. As tracers of motor vehicle emissions (Zheng et al., 2018), i-pentane and n-pentane showed remarkable peaks at 08:00 CST that are considered to reflect vehicle emissions. Furthermore, benzene, toluene, ethylbenzene, xylene, and the  $C_2$ - $C_4$  alkanes also presented similar diurnal variation characteristics that are considered to represent the substantial effect of motor vehicles. However, the mixing ratio of isoprene showed higher values in the afternoon and had a trend similar to that of T. The elevated values of isoprene in the afternoon indicated substantial emissions from biogenic sources.

## 330 **3.2 Diagnostic ratios**

331 Some VOC species are commonly used as indicators of emission sources. To 332 characterize the seasonal differences in the contributions of the various sources, this 333 study adopted the benzene/toluene (B/T) ratio and the i-pentane/n-pentane ratio as the 334 preferred metrics.

The B/T ratio can be used to distinguish potential sources such as traffic 335 emissions, coal+biomass combustion, and solvent use. The diagnostic ratios varied 336 337 according to the emission sources (i.e., below 0.20 for solvent use, 0.5 for traffic sources, 1.5-2.2 for coal combustion, and 2.5 for biomass burning) (Huang et al., 338 2019; Li et al., 2019a). As shown in Fig. S4, the highest value of the B/T ratio was 339 0.55 in winter, suggesting that traffic emissions affected the ambient atmosphere. The 340 341 ratios of 0.31, 0.27, and 0.31 in spring, summer, and autumn, respectively, indicate that aromatics were more likely derived from the mixed sources of solvent use and 342 vehicle emissions during these seasons. As a transportation hub, Zhengzhou has a 343 large number of motor vehicles (Gu et al., 2019a). Therefore, regional controls on 344 345 motor vehicle use should be strengthened.

The i-pentane/n-pentane ratio was also investigated. Generally, i-pentane and 346 n-pentane have similar reaction rates with OH radicals, and the ratio of this pair of 347 species is indicative of different sources. The i-pentane/n-pentane ratio associated 348 349 with coal combustion, vehicle emissions, and fuel evaporation is generally 0.56-0.80, 350 ~ 2.2, and 3.8, respectively (Huang et al., 2019; Li et al., 2019a). The highest i-pentane/n-pentane ratio was found in summer (2.79), indicating strong impact from 351 traffic sources. The ratio was 1.88 in spring and 1.96 in autumn, suggesting that most 352 VOCs originated from the mixed sources of vehicle emissions and coal combustion. 353

Notably, the average ratio in winter (1.55) was lower than that in the other three seasons, indicating stronger contribution from coal burning in the heating season.

#### 356 **3.3 Source identification**

In this study, six sources were identified using the PMF model: industrial sources,
solvent use, vehicle emissions, LPG/natural gas (NG), fuel burning, and biogenic
sources. The source profiles of the VOCs during the sampling period are presented in
Fig. 4.

# 361 **3.3.1 Factor 1: industrial sources**

Factor 1 was characterized by high loadings on ethylene (60.6%), ethane (31.6%), 362 propylene (25.8%), and propane (24.0%). Ethene and propylene are the first and 363 second most abundant industrially produced organic compounds worldwide, 364 respectively (Eckert et al., 2014). Additionally, this factor was also dominated by 365 366 aromatics such as styrene (76.0%), toluene (34.3%), and ethylbenzene (28.1%), which are typical tracers of industrial sources. Meanwhile, this source had correlation with 367 gas tracers of NO<sub>2</sub>, SO<sub>2</sub>, and CO ( $R^2 = 0.47$ , 0.36, and 0.49, respectively). CO and 368 NO2 are mainly gases from combustions sources strongly influenced by urban 369 activities such as traffic and domestic heating. Instead, SO<sub>2</sub> is generally mainly due to 370 industrial sources or combustion of heavy oils and coals. Therefore, source 1 was 371 assigned to industrial sources. 372 The CPF plots indicated the dominant source directions as northeast and 373 southeast, which correspond to industrial estates (as shown in Fig. 5). Moreover, the 374 highest VOC concentration from industrial sources was observed at 10:00 CST, 375 probably attributable to increased industrial activities during daytime. 376

## 377 **3.3.2 Factor 2: solvent use**

Factor 2 was characterized by high levels of n-hexane (60.4%), n-octane (26.3%), methyl cyclohexane (20.4%), o-xylene (79.6%), m/p-xylene (61.7%), pdiethylbenzene (84.0%), o-xylene (79.0%), m-ethyl toluene (57.8%), o-ethyl toluene (29.2%), ethylbenzene (51.1%), and toluene (20.8%). These compounds are major components emitted through the use of various solvents or industrial processes (Zhou et al. 2019, Wang et al. 2021). However, there were almost no tracers of ethane, ethene, acetylene, and benzene related to combustion sources, and this source appeared to exhibit poor correlation with gas tracers ( $\mathbb{R}^2 < 0.10$ ). Therefore, source 2 was identified as solvent use.

- 387The CPF plots of this factor suggested southeast was the dominant source388direction, possibly reflecting the presence of the large manufacturers of automobiles
- 389 in the southeastern urban area.
- 390 **3.3.3 Factor 3: vehicle emissions**

391 Factor 3 was identified by high percentages of C2-C6 alkanes (i.e., ethane (37.8%), propane (46.9%), iso-butane (40.9%), n-butane (38.5%), n-pentane (26.0%), 392 and i-pentane (31.6%)), C2-C4 alkanes (i.e., ethylene (31.4%), propylene (38.4%), 393 and 1-butene (67.4%)), and C6-C8 aromatics (i.e., benzene (21.4%), toluene (30.7%), 394 and m/p-xylene (25.6%)). These components are considered typical products of 395 incomplete combustion processes (Baudic et al., 2016; Gaimoz et al., 2011; Liu et al., 396 2008a; Song et al., 2018). It is reported that i-pentane usually originates from gasoline 397 evaporation (Mo et al., 2017), and that 2-methylpentane and 3-methylpentane are 398 399 tracers of the emissions of gasoline-powered vehicles (Tsai et al., 2003; Liu et al., 2008; Song et al., 2018). The T/B ratio was 2.0 in this profile, which further confirms 400 the effect of vehicular emissions (Yao et al., 2021). Moreover, the source correlated 401 significantly with CO and NO<sub>2</sub> (p < 0.01), but not with SO<sub>2</sub> (p > 0.05); therefore, 402 source 3 was identified as vehicle emissions. 403 404 Factor 3 showed larger CPF values when the wind came from the north, possibly because the site is adjacent to Jinshui Road, which is the main road in Zhengzhou. 405 The diurnal pattern of the traffic source was characterized by two peaks: one in the 406 morning and the other in the evening, consistent with the relative strength of local 407

408 traffic flow.

## 409 **3.3.4 Factor 4: LPG/NG**

410	Factor 4 was dominated by strong presence of ethane (16.3%), propane (14.6%),
411	iso-butane (38.9%), n-butane (44.4%), n-pentane (60.3%), and i-pentane (66.2%),
412	which can also be released via fuel evaporation (gasoline and LPG/NG) (Zhang et al.,
413	2019). Pentanes are the most abundant VOC species associated with gasoline
414	evaporation (Liu et al., 2008; Zhang et al., 2013; Shen et al., 2018), and butanes are
415	reported as tracers of LPG (McCarthy et al., 2013). In particular, the aromatics of this
416	source were very low. Similar to factor 3, the source did not correlate with SO <sub>2</sub> ( $p >$
417	0.05), but had positive correlation with both CO and NO <sub>2</sub> ( $p < 0.01$ ); therefore, this
418	source was considered as LPG/NG.
419	The dependence of factor 4 on WD was not as significant as for other sources. It
420	is related to compact residential areas with greater human activity. There are
421	residential areas around the monitoring site, and LPG/NG leakage might have

422 occurred in the process of daily life.

# 423 **3.3.5 Factor 5: fuel burning**

Factor 5 was distinguished by substantial amounts of acetylene (72%), which is a 424 marker of combustion sources (Hui et al., 2021). Additionally, the source was also 425 characterized by considerable amounts of benzene and C2-C3 hydrocarbons, which are 426 representative species of incomplete combustion processes (Zheng et al., 2021). 427 428 Meanwhile, the independent tracers (i.e., NO<sub>2</sub>, SO<sub>2</sub>, and CO) exhibited correlation with this factor ( $R^2 > 0.3$ , p < 0.01); therefore, source 5 was considered to be fuel 429 burning. 430 431 Factor 5 displayed high CPF values when the wind was from east. This is

432 possibly related to the heating companies located within 1.0 km to the east of the site.

- 433 The diurnal variation of this factor was characterized by apparent increase at night,
- 434 which could be related to the accumulation of pollutants associated with nighttime

# 435 heating.

#### 436 **3.3.6 Factor 6: biogenic sources**

Factor 6 exhibited a significantly high composition of isoprene, which is mainly
produced by vegetation through photosynthesis (Song et al., 2019a). Accordingly,
source 6 was labeled as biogenic sources.
The CPF plots indicated that this factor mainly originated from the west with
conditional probability values of approximately 0.44. It is mainly affected by
Zijinshan Park, which is located ~1 km to the west of the monitoring site.
Additionally, the diurnal pattern of the biogenic sources showed obvious T

444 dependence, with the highest concentration at midday that could be associated with

445 photosynthetic activity.

# 446 **3.3.7 Comparison between the composite source profiles and the PMF factors**

447	As shown in Fig. S5, the source profiles derived from the PMF analysis were
448	compared with their sources attributed from the source profiles. The data of the source
449	profiles were derived from a local tunnel experiment and a review of the most recent
450	literature. The source profiles for solvent use correlated most strongly between the
451	two methods (R = $0.84$ ). A study by Jin et al. (2022) identified that low-carbon
452	alkanes (e.g., ethane, propane, and isopentane), alkenes (e.g., ethylene, propene, and
453	1-butene), and aromatics (e.g., benzene, toluene, and m/p-xylene) were the main
454	groups in the tunnel study, and that vehicle emissions agreed well between this factor
455	and the source profiles ( $R = 0.59$ ). Different profiles of combustion sources were
456	investigated, and the correlation between the results of NG combustion and the PMF
457	factor was strongest ( $R = 0.57$ ). The above results are to be expected because
458	Zhengzhou has gradually phased out coal-fired boilers and replaced them with gas
459	boilers in recent years. As for industrial sources, the correlation between the two
460	methods was 0.43. The $C_2$ - $C_3$ hydrocarbons accounted for a high proportion in factor
461	1, whereas the content of aromatics was lower. Industrial production of nonmetallic

462 mineral products in Zhengzhou is reasonably well developed, which leads to large
463 emissions of ethane and propane. The main contributing source of aromatic
464 hydrocarbons is rubber and plastics. However, the scale of the enterprises involved in
465 their production is far lower than that in the Pearl River Delta; consequently, the
466 emission of aromatic hydrocarbons is lower in Zhengzhou.

467 **3.4 VOC source contributions** 

#### 468 **3.4.1 Interannual variation**

The concentration contributions of each VOC source during 2018-2020 are 469 shown in Fig. 6. In 2018, vehicular emissions were the largest contributor to VOC 470 471 mixing ratios (32%), followed by industrial sources (21%), solvent use (19%), and LPG/NG (15%). The contribution of fuel burning and biogenic sources accounted for 472 9% and 4% of the total VOC concentration, respectively. As for 2019, vehicle 473 474 emissions made the largest contribution (38%) to atmospheric VOCs. The second most significant source was industrial sources, accounting for 18% of the total. The 475 476 contribution of solvent use, LPG/NG, fuel burning, and biogenic sources to atmospheric VOCs was 17%, 15%, 11%, and 2%, respectively, in 2019. Vehicular 477 emissions, LPG/NG, industrial sources, solvent use, fuel burning, and biogenic 478 sources accounted for 39%, 18%, 17%, 15%, 9%, and 3%, respectively, in 2020 479

480 In summary, vehicle emissions and industrial sources made the largest contributions in all three years. Moreover, the proportions of the contributions of 481 482 vehicle emissions and LPG/NG have increased with each passing year. As a 483 transportation hub city, the number of motor vehicles in Zhengzhou has maintained a rate of growth of 0.4 million annually during the past five years, and the total number 484 of vehicles exceeded 4.5 million in 2020 (Gu et al., 2019a). Thus, vehicle emissions 485 represent an important source of ambient VOCs in Zhengzhou. Both LPG and NG are 486 used widely in residential life, industrial production, and motor vehicles. In terms of 487 488 the actual situation of the monitoring site, residential emissions might represent the main source of LPG/NG. With adjustment of the energy structure, most industrial 489

enterprises and taxis in Zhengzhou now use NG as energy or fuel. It should be noted that the proportion of the contributions from industrial and solvent sources has presented an annual downward trend. In recent years, Zhengzhou has implemented special actions designed to reduce VOC emissions, focusing on the control of industrial and scattered small-scale pollution enterprises. According to the results obtained using the PMF model, it is speculated that the effect of such policy control has been remarkable.

#### 497 **3.4.2 Seasonal variation**

Owing to different meteorological conditions and emission strengths, the source 498 499 contributions vary seasonally. As shown in Fig. 7, the seasonal variation of biogenic emissions was substantial, with the highest contribution in summer (7%) and the 500 501 lowest contribution in winter (<1%). This might reflect both T and UV intensity. Conversely, the contributions from fuel burning were larger in winter and lower in 502 summer. Fuel burning accounted for a larger proportion of emissions in winter (17%) 503 504 than in summer (3%). Meanwhile, industrial emissions contributed a high percentage 505 of VOCs in spring (22%), whereas the contributions in the other three seasons were comparable. Additionally, motor vehicle emissions showed no obvious seasonal 506 characteristics, and the contribution to atmospheric VOCs in each of the four seasons 507 exceeded 30% of the total, indicating that motor vehicles have considerable impact on 508 509 the air quality of Zhengzhou.

510

# **3.4.3** Comparison with other studies

# 3.4.3.1 Comparison with emission inventory (EI) studies This study compared the annual average relative contributions of different sources with results from published emission inventory (EI) studies. In the anthropogenic VOC emission inventories established by Lu et al. (2020), VOC sources were classified into eight categories: stationary combustion, on-road mobile sources, non-road mobile sources, industrial processes, solvent use sources, fuel oil

storage and transportation, biomass burning, and others. Vehicle emissions 517 represented the most abundant source of anthropogenic VOCs in both the EI and the 518 PMF analysis, accounting for 29.7% and 36.3% of the total, respectively. The 519 differences observed between the EI and PMF results were primarily because most of 520 the vehicles considered were in urban areas. The contributions of industrial sources 521 and of solvent use sources represented the second and third largest, respectively, in 522 both the EI and the PMF results. However, the overall contribution of those two 523 524 sources obtained from the PMF results was lower than that derived from the EI analysis. The relative contribution of combustion sources resolved from the PMF 525 analysis was higher than that obtained from the EI results, accounting for 9.5% and 526 4.3%, respectively. Such large differences occurred primarily because of uncertainties 527 in the activity data obtained from statistical information. Residential energy 528 consumption and emissions were poorly recorded in comparison with other sources 529 (Chen et al., 2016; Tao et al., 2018), leading to higher uncertainties in the related 530 estimations of such emissions. Thus, it is necessary to estimate the emissions of 531 532 residential fossil fuel combustion through scientific approaches.

533 3.4.3.2 Comparison with other PMF studies

The source contributions determined in this research were also compared with 534 the results from other studies. It can be seen from Table 2 that the source 535 apportionment of VOCs in this study was broadly within the values reported for other 536 Chinese cities (Huang et al., 2019; Hui et al., 2018; Li et al., 2020c; Mo et al., 2017; 537 538 Yan et al., 2017). It was found that traffic emissions represent the main source of 539 VOCs in Zhengzhou and the other five cities, indicating that vehicle emissions have 540 considerable impact on the concentration of VOCs in the urban atmosphere. It should 541 be noted that the contribution of each source determined in this study was very similar to that reported for Wuhan (Hui et al., 2018). Given that Zhengzhou and Wuhan are 542 both important transportation hub cities in central China, this result is in line with 543 544 expectation.

The AOC during the sampling periods was quantified, as shown in Fig. 8. The 546 calculated averaged value of total AOC was  $7.4 \times 10^7$  molecules cm<sup>-3</sup> s<sup>-1</sup>, comparable 547 with values reported for a suburban site between Beijing and Tianjin (Yang et al., 548 2020b), but substantially higher than observed in Shanghai (Zhu et al., 2020), Hong 549 550 Kong (Xue et al., 2016), Chile (Elshorbany et al., 2009), and Berlin (Geyer et al., 2001). Among the AOC categories, OH exhibited the highest average concentration 551  $(7.0 \times 10^7 \text{ molecules cm}^{-3} \text{ s}^{-1})$ , accounting for 95% of the total AOC, followed by O<sub>3</sub> 552  $(3.4 \times 10^6 \text{ molecules cm}^{-3} \text{ s}^{-1})$  and NO<sub>3</sub>  $(1.1 \times 10^5 \text{ molecules cm}^{-3} \text{ s}^{-1})$ , which 553 contributed 4% of the total AOC. Thus, OH is the main contributor of atmospheric 554 oxidation in Zhengzhou, similar to the results reported for other regions by other 555 556 studies (Yang et al., 2021; Zhu et al., 2020).

During 2018–2020, the total AOC presented a decreasing trend annually (as 557 shown in Fig. 8), with mean values of 8.0, 6.4, and  $6.2 \times 10^7$  molecules cm<sup>-3</sup> s<sup>-1</sup>, 558 respectively. As expected, OH was the predominant oxidant in each of the three years, 559 accounting for 95%, 95%, and 97% of the total AOC, respectively. The primary 560 pollutants (e.g., CO, CH<sub>4</sub>, and VOCs) decreased significantly in 2020 owing to large 561 reductions in economic activity and the associated emissions during the COVID-19 562 lockdown. However, the average OH concentration was highest in 2020 ( $4.8 \times 10^7$ 563 molecules cm<sup>-3</sup>), far higher than that in either of the two previous years. Thus, the 564 level of atmospheric oxidation needs additional attention. It should be noted that 565 oxidation of the atmosphere did not decrease or even increase significantly during the 566 epidemic, which has been reported by many previous studies (Wang et al., 2021b). 567

As shown in Fig. S7, the mean AOC values showed pronounced seasonal variation. The highest total AOC value was detected in summer  $(7.5 \times 10^7 \text{ molecules}$  $cm^{-3} s^{-1})$ , followed by winter  $(6.4 \times 10^7 \text{ molecules } cm^{-3} s^{-1})$ , spring  $(5.8 \times 10^7 \text{ molecules } cm^{-3} s^{-1})$ , and autumn  $(5.7 \times 10^7 \text{ molecules } cm^{-3} s^{-1})$ . The concentration of OH in summer was significantly higher than that in the other seasons, which can be ascribed to the relatively favorable meteorological conditions. Meanwhile, high 574 concentrations of isoprene were observed in summer, and its high reaction rate 575 coefficients with oxidants (e.g., OH, NO<sub>3</sub>, and O<sub>3</sub>) revealed a highly oxidative 576 environment during the summer campaign in Zhengzhou. This seasonal pattern of the 577 AOC is similar to that found in other studies conducted at the national level, and in 578 urban and suburban environments (Yang et al., 2021; Li et al., 2020a).

## 579 **3.6 Atmospheric environmental implications**

580 **3.6.1 OH reactivity of measured species** 

The calculated OH reactivity was categorized into SO<sub>2</sub>, NO<sub>2</sub>, NO, O<sub>3</sub>, CO, and 581 VOCs, as shown in Fig. 9. During the sampling period, the average value of total OH 582 reactivity was 45.3 s<sup>-1</sup>. Generally, the OH reactivity assessed in this study was much 583 higher than that determined in Shanghai (Tan et al., 2019; Zhu et al., 2020), 584 Chongqing (Tan et al., 2019), and New York (Ren et al., 2006), but comparable with 585 or lower than that reported in Xianghe (Yang et al., 2020a) and Backgarden (Lou et al., 586 587 2010). In Zhengzhou, NO<sub>2</sub> made the largest contribution to total OH reactivity (54%), followed by VOCs (17%), NO (16%), CO (11%), SO<sub>2</sub> (3%), and O<sub>3</sub> (1%). Similar 588 results were reported by previous studies conducted in other regions (Yang et al., 589 2021). It should be noted that this study calculated only the OH reactivity of the 590 591 measured species, i.e., the impact of unmeasured species, such as secondary products 592 (oxygenated VOCs and nitrates produced by photochemical reactions) and monoterpenes, were not considered. Previous studies have shown that both undetected 593 primary emissions and unmeasured secondary products could contribute to missing 594 595 reactivity (Yang et al., 2016). Therefore, the value of OH reactivity determined in this study was underestimated to a certain extent. 596

597 OVOCs play an important role to influence the AOC via OH-initiated 598 degradation. A previous review suggested that missing OH reactivity has often been 599 observed, and the difference compared the measured and calculated was attributed to 600 a lack of measurement data for OVOCs (Yang et al., 2016). Steiner et al. (2008) found 601 that OVOCs accounted for 30–50% of the modelled urban VOC reactivity by using

the regional Community Multiscale Air Quality model (CMAQ). In another urban 602 study, OVOCs were found to contribute between 11–24% during summertime in 603 Houston (Mao et al., 2010b). In the CareBeijing-2006 and PRIDE-PRD campaigns, 604 Lou et al., (2010) and lu et al., (2013) reported the significant contributions of 605 OVOCs to OH reactivity based on model simulations. Since OVOCs were not 606 simultaneously measured in four Chinese megacities, the OVOC contributions were 607 simulated with a box model. And OVOCs explained between 20% and 23% to the 608 total OH reactivity (Tan et al., 2019). In summary, OVOCs behaved as a major 609 contributor to the total OH reactivity. 610

Table S5 shown the OH reactivity towards the total VOCs and the comparison 611 with other studies. The OH reactivity of the total VOCs was 6.7  $s^{-1}$ , i.e., much lower 612 than that reported for Heshan (13.6 s<sup>-1</sup>) (Yang et al., 2017) and Beijing (8.3 s<sup>-1</sup>) 613 (Yang et al., 2021), but close to that found in Guangzhou (6.4  $s^{-1}$ ) and Chongqing (6.8 614  $s^{-1}$ ) (Tan et al., 2019) and higher than that determined in Shanghai (3.2  $s^{-1}$ ) (Tan et al., 615 2019). The detailed contributions of each VOC group to total OH reactivity are 616 617 presented in Tables S6 and S7. The contribution of alkenes to OH reactivity was predominant, accounting for 5.2 s<sup>-1</sup> of the total OH reactivity of VOCs. During the 618 sampling period, isoprene made the largest contribution to the OH reactivity of the 619 total VOCs, followed by ethene, m/p-xylene, propene, styrene, cis-2-butene, 620 trans-2-butene, toluene, i-pentane, and trans-2-pentene, which collectively accounted 621 for 70% of the OH reactivity of the total VOCs. 622

As shown in Fig. 9, OH reactivity showed substantial interannual and seasonal 623 variations. The statistical results exhibit a decreasing trend of OH reactivity during 624 2018–2020 in Zhengzhou with mean values of 50.2, 46.9, and 36.9 s<sup>-1</sup>, respectively. 625 This trend might reflect the implementation of emission reduction measures such as 626 traffic-related measures and the "Coal to Gas" project. The OH reactivity in 2020 was 627 21% lower than that in the previous year, which is closely related to the emission 628 reduction associated with the COVID-19 epidemic. Seasonally, the mean value of OH 629 reactivity decreased in the following order: winter (74.5 s<sup>-1</sup>) > autumn (48.6 s<sup>-1</sup>) > 630 spring (43.1 s<sup>-1</sup>) > summer (31.8 s<sup>-1</sup>). The notable differences might be attributable to 631

higher loadings of reactive trace gases, especially NOx and CO. As a northern city, 632 coal combustion in Zhengzhou during the heating season produces higher 633 concentrations of NOx and CO, leading to higher OH reactivity. Therefore, we should 634 continually strengthen policies to control trace gases, especially in autumn and winter. 635 The OH reactivity of the total VOCs was similar with the higher value in winter (7.3 636  $s^{-1}$ ) and the lower value in autumn (5.3  $s^{-1}$ ). However, the concentrations of the key 637 species were markedly different among the four seasons. Ethylene and propylene had 638 639 highest OH reactivity in winter, which is speculated to be related to the emission of combustion sources. Additionally, isoprene made the largest contribution to the total 640 OH reactivity in both summer and spring, reflecting the substantial effect of biogenic 641 sources. Overall, the research on specific OH reactivity clearly elucidated the seasonal 642 and annual variations of the major reactants. Therefore, control strategies based on 643 OH reactivity should focus on the key species. 644

## 645 **3.6.2 Effect of VOCs on O3 formation**

Because VOCs are important precursors of O<sub>3</sub> formation in the ground-level 646 647 atmosphere, it is necessary to adequately estimate the contribution of each VOC species to O<sub>3</sub> formation. The concentration contributions of the four VOCs categories 648 expressed on different scales from 2018-2020 are shown in Fig. S8. The result 649 suggests that aromatics made the largest contribution to the MIR concentration, 650 accounting for a combined ratio of 81%. Meanwhile, alkenes were the largest 651 contributors to the PE concentration (87%). Although the concentrations of aromatics 652 and alkenes are relatively low, these two VOC groups play an important role in O<sub>3</sub> 653 formation, which is a result supported by many previous studies (Hui et al., 2021; Li 654 et al., 2020b). It should be noted that the effect of VOCs on O3 formation were 655 656 calculated from the sum of measured species, and does not involve species that were not measured, such as OVOCs. Therefore, we provided a lower limit of the effect of 657 VOCs on  $O_3$  formation in this study. 658

Among the top 10 reactive species contributing to the PE and MIR weighted

concentrations (as shown in Fig. 10), 8 compounds were the same, differing only in 660 their rank order. The top 10 VOCs obtained from the PE and MIR methods represent 661 67% and 74% of VOCs, respectively. Considering kinetic activity, isoprene ranked 662 first (8.8 ppbC) with the PE method, accounting for approximately 20% of the total 663 PE concentration, and m,p-xylene, styrene, ethene, and toluene ranked second to fifth, 664 explaining 16%, 10%, 7%, and 5% of the total OFP, respectively. In comparison, 665 ethene, m/p-xylene, toluene, propane, and isoprene had the highest MIR 666 667 concentrations, which accounted for approximately 23%, 21%, 12%, 7%, and 7%, respectively. The results of this study highlight the contributions of isoprene, ethene, 668 m/p-xylene, and toluene to O<sub>3</sub> formation, and these species are derived primarily from 669 vehicle emissions and industrial coatings (Xiong and Du, 2020). 670

671 On the basis of the results of source apportionment, the source contributions to OFP and PE were calculated (shown in Fig. S9). Vehicle emissions made the greatest 672 contribution to O<sub>3</sub> formation (OFP: 23%; PE: 29%), followed by solvent use (OFP: 673 25%; PE: 24%). However, the contribution of biogenic emissions accounting for 22% 674 675 of the total PE cannot be ignored. Because of the discrepancy between the MIR weighted and PE weighted concentrations, the contribution of this source to OFP was 676 relatively low, accounting for only 6%. The PE concentration method considers only 677 the kinetic reactivity of VOC species and ignores mechanism reactivity, whereas the 678 679 MIR method considers the impact of the VOC/NOx ratio on O<sub>3</sub> formation.

#### 680 4. Conclusions

In this study, hourly observational data of 57 VOC species were collected during 2018–2020 at an urban site in Zhengzhou (China). The results showed that the average total VOC mixing ratio was  $94.3\pm53.1 \ \mu\text{g/m3}$  ( $38.2\pm15.6 \ \text{ppbv}$ ), and that the VOC concentrations were dominated by alkanes in each of the three years. During the sampling period, the interannual variation of VOCs gradually reduced as follows:  $113.2\pm65.2 \ \mu\text{g/m3}$  in 2018,  $90.7\pm52.5 \ \mu\text{g/m3}$  in 2019, and  $79.1\pm41.7 \ \mu\text{g/m3}$  in 2020. The VOCs showed clear seasonal dependence with the highest value in winter (116.5)

 $\mu g/m^3$ ) and lowest value in summer (74.2  $\mu g/m^3$ ). The PMF method was used to 688 identify six sources: vehicle emissions (36%), solvent use (17%), LPG/NG (16%), 689 industrial sources (18%), fuel burning (10%), and biogenic sources (3%). The 690 proportion of both vehicle emissions and LPG/NG has increased with each passing 691 year. However, the proportion of industrial and solvent sources presented a decreasing 692 trend. In addition to substantial interannual variation, the VOC sources also showed 693 marked seasonal differences. The contribution of vehicle emissions to the atmosphere 694 695 in each of the four seasons was >30%. Atmospheric VOCs are affected substantially by fuel burning (17%) in winter; however, the influence of biogenic sources cannot be 696 ignored (7%) in summer. 697

This study also focused on the atmospheric environmental implications of VOCs, 698 699 including the AOC, OH reactivity, and OFP. During the sampling periods, the campaign-averaged value of the total AOC was  $7.4 \times 10^7$  molecules cm<sup>-3</sup> s<sup>-1</sup>, and OH 700 exhibited the highest average concentration, accounting for 95% of the total AOC. 701 The average value of the total OH reactivity was 45.3 s<sup>-1</sup>, and NO<sub>2</sub> made the largest 702 703 contribution to the total OH reactivity (54%), followed in descending order by VOCs (17%), NO (16%), CO (11%), SO<sub>2</sub> (3%), and O<sub>3</sub> (1%). Investigation of the effects of 704 VOCs on O<sub>3</sub> formation revealed that despite the relatively low concentrations of 705 aromatics and alkenes, they played important roles in O<sub>3</sub> formation. Ethene, 706 707 m/p-xylene, and toluene contributed substantially to O<sub>3</sub> formation in Zhengzhou. The source apportionment results indicate that vehicle emissions and solvent use remain 708 the key sources of the VOCs that contribute to O<sub>3</sub> formation. 709

Overall, investigation of the concentrations, source apportionment, and atmospheric environmental implications clearly elucidated the differences in the major reactants observed in different seasons and years. Therefore, control strategies should consider seasonal and interannual variations when focusing on the key species and sources. The results of this study could support local governments in developing strategies to control VOCs during O<sub>3</sub> pollution events.

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- 717

# **Data availability**

The data set is available to the community and can be accessed by request from

- 720 Ruiqin Zhang (rqzhang@zzu.edu.cn).

# 723 Author contribution

724	YSJ and ZRQ planned and organized the study, and were deeply involved in								
725	writing the manuscript. WSB, XRX and ZD performed the atmospheric measurements								
726	and data analysis and wrote the manuscript. ZM and WLL assisted heavily with the								
727	atmospheric measurements and data analysis. SFC and LX and LX conducted the								
728	model development and data analysis.								
729	Other coauthors provided useful insights in data analysis and contributed to the								
730	writing of the manuscript.								
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- 738

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# **Figure list:**

1013	
1014	Fig. 1 Locations of the sampling stations in Zhengzhou.
1015	Fig. 2 Monthly changes in the concentrations of VOCs in Zhengzhou. The upper and
1017	lower boundaries of the boxes indicate the 75th and 25th percentiles, respectively; the
1018	lines within the boxes mark the median; the whiskers above and below the boxes
1019	indicate the 90th and 10th percentiles, respectively.
1020 1021	Fig. 3 Diurnal variations in VOCs compounds measured at Zhengzhou. The upper and
1022	lower boundaries of the boxes indicate the 75th and 25th percentiles, respectively; the
1023	lines within the boxes mark the median; the whiskers above and below the boxes
1024	indicate the 90th and 10th percentiles, respectively.
1025	
1026	Fig. 4 Source profiles and contribution percentages from each source during the
1027	observation period by PMF model (bar is a mixing ratio and dot is a percentage).
1028	
1029	Fig. 5 Conditional probability function (CPF) plots of local VOC sources in
1030	Zhengzhou. The mean (dot), median (horizontal line), 25th and 75th percentiles
1031	(lower and upper box), and 10th and 90th percentiles (lower and upper whiskers) for
1032	the entire study are shown.
1033 1034	Fig. 6 The contributions of each VOC source during 2018-2020.
1035	
1036	Fig. 7 Seasonal variation of source contributions to VOCs concentration.
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1038	Fig. 8 Comparison of the relative contributions of OH, O <sub>3</sub> and NO <sub>3</sub> of the AOC in
1039	Zhengzhou during the sampling periods.
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1041	Fig. 9 Comparison of the relative contributions the OH reactivity in Zhengzhou .
1042	
1043	Fig. 10 Top 10 VOCs species that contributed most to the Propy-Equiv and MIR
1044	weighted concentrations in Zhengzhou.
1045	



Fig. 1 Locations of the sampling stations, and Fig. a-c represents China, Henan and
 Zhengzhou respectively.



Fig. 2 Monthly changes in the concentrations of the typical VOCs species in
 Zhengzhou. The upper and lower boundaries of the boxes indicate the 75th and 25th
 percentiles, respectively; the lines within the boxes mark the median; the whiskers
 above and below the boxes indicate the 90th and 10th percentiles, respectively.













Fig. 6 The contributions of each VOC source during 2018-2020.









1097 Fig. 9 Comparison of the relative contributions the OH reactivity in Zhengzhou .1098



Fig. 10 Top 10 VOCs species that contributed most to the Propy-Equiv and MIR
weighted concentrations in Zhengzhou.

# **Table list:**

1109	
1110	
1111	Table 1 Top 20 most abundant VOC species ( $\mu g/m^3$ ) measured in Zhengzhou for the
1112	study period 2018-2020.
1113	
1114	Table 2 Comparison of source contributions resolved by PMF models in different
1115	cities.
1116	

1118 study period 2018-2020.							
Species	2018	Species	2019	Species	2020	Species	Average
Ethane	13.4±7.5	Ethane	11.6±7.7	Ethane	10.2±5.3	Ethane	11.7±6.8
Propane	$8.6 \pm 5.2$	Propane	$8.8 \pm 5.6$	Propane	7.3±4	Propane	$8.2 \pm 4.9$
i-Pentane	$7.9 \pm 19.7$	n-Butane	6.2±4.7	Toluene	5.6±4.3	Toluene	6.5±4.5
Toluene	7.8±4.3	Toluene	6.1±4.7	Ethene	5.5±4.4	i-Pentane	6.1±10.4
Ethene	$7.6{\pm}6.2$	i-Pentane	$6\pm 6.8$	n-Butane	5.4±3.7	n-Butane	5.8±4.1
n-Pentane	6±16.1	m,p-Xylene	4.5±4.4	i-Pentane	4.6±4.7	Ethene	$5.6 \pm 5.3$
n-Butane	$5.9 \pm 3.8$	Benzene	3.9±2.4	m p-Xylene	4.3±5.4	m p-Xylene	4.7±4.3
mp-Xylene	5.2±3	Ethene	$3.8 \pm 5.3$	i-Butane	$3.5 \pm 2.2$	Benzene	$3.8 \pm 2.3$
Acetylene	4.9±5	i-Butane	3.8±2.7	Benzene	$3.4 \pm 1.9$	n-Pentane	$3.8 \pm 7.3$
Cyclopentane	4.4±23.4	n-Hexane	$3.7 \pm 3.5$	n-Pentane	$2.3 \pm 2.8$	i-Butane	3.5±2.4
Benzene	4.1±2.5	Acetylene	3.3±4.8	Ethylbenzene	2±2	Acetylene	3±3.6
i-Butane	3.1±2.1	n-Pentane	3.1±2.9	Isoprene	$1.9 \pm 3.4$	Ethylbenzene	$2.4 \pm 1.8$
Ethylbenzene	$3.1{\pm}1.8$	3-Methylpentane	2.6±1.9	n-Hexane	$1.1 \pm 1.3$	n-Hexane	$2.2 \pm 2.2$
Isoprene	2.8±3.2	Ethylbenzene	2.1±1.6	Styrene	$1.1 \pm 1.7$	Cyclopentane	2±9
n-Hexane	$1.9{\pm}1.6$	Propene	1.6±1.9	o-Xylene	$1.1 \pm 1.4$	1-Isoprene	$1.9 \pm 2.8$
o-Xylene	1.7±1	o-Xylene	$1.2 \pm 1.1$	Propene	1±2.2	3-Methylpentane	1.7±1.5
3-Methylpentan e	1.7±1.6	2-Methylpentane	1.1±1.4	n-Heptane	1±1.6	o-Xylene	1.4±1.2
Styrene	$1.6 \pm 1.2$	Isoprene	$1.1 \pm 1.9$	m-Ethyltoluene	$1 \pm 1.2$	Propene	$1.3 \pm 1.7$
p-Diethylbenzen e	1.6±1.2	Cyclopentane	1.1±2.2	n-Undecane	1±1.7	Styrene	1.2±1.3
Propene	1.4±0.9	p-Diethylbenzene	$1\pm0.9$	n-Dodecane	1±2.4	p-Diethylbenzene	1.2±1
∑TOP 20 species/∑VOCs	84%		84%		81%		83%

# **Table 1** Top 20 most abundant VOC species ( $\mu$ g/m<sup>3</sup>) measured in Zhengzhou for the 1118 study period 2018 2020

	<mark>/3 ( / 0).</mark>						
City	Sampling periods	Solvent	Industrial	Vehicle	Fuel	LPG/	Biogenic
	Sampling periods		sources	exhaust	burning	NG	sources
Taiwan <sup>a</sup>	January–December 2016	29	15	18	-	-	4
Wuhan <sup>b</sup>	September 1, 2016 to August 31, 2017		16	24	19	13	2
Shuozhou <sup>c</sup>	March and August, 2014	-	14	21	30	18	-
Ningbo <sup>d</sup>	December 2012, April 2013, July 2013, and October 2013	7	50	16	-	27	-
Beijing <sup>e</sup>	March 2016 to January 2017	16	10	19	-	12	8
This study	January 2018 to December 2020	17	18	36	10	16	3

**Table 2** Comparison of source contributions resolved by PMF models in different 1122 cities (%).

1123 (a:Huang et al., 2019; b:Hui et al., 2018; c: Yan et al., 2017; d: Mo et al., 2017; e: Li et al., 2020c.)