



1 **Measurement report: Distinct Emissions and Volatility Distribution of Intermediate**
2 **Volatility Organic Compounds from on-road Chinese Gasoline Vehicle: Implication of**
3 **High Secondary Organic Aerosol Formation Potential**

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17



18 Abstract

19 In the present work, we performed chassis dynamometer experiments to
20 investigate the emissions and secondary organic aerosol (SOA) formation potential of
21 intermediate volatility organic compounds (IVOCs) from an on-road Chinese gasoline
22 vehicle. High IVOCs emission factors (EFs) and distinct volatility distribution were
23 recognized. The IVOCs EFs for the China V vehicle ranged from 12.1 to 226.3
24 mg kg-fuel⁻¹, with a median value of 83.7 mg kg-fuel⁻¹, which is higher than that from
25 US vehicles. Besides, large discrepancy in volatility distribution and chemical
26 composition of IVOCs from Chinese gasoline vehicle exhaust is discovered, with
27 larger contributions of *B*₁₄-*B*₁₆ compounds and higher percentage of *n*-alkanes. Further
28 we investigated the possible reasons that influence the IVOCs EFs and volatility
29 distribution and found that fuel type, starting mode, operating cycles and acceleration
30 rates could have an impact on the IVOCs EF. When using E10 (ethanol volume ratio
31 of 10%, v/v) as fuel, the IVOCs EF of the tested vehicle was lower than that using
32 commercial China standard V fuel. Cold-start operation has higher IVOCs EF than
33 hot-start operation. Chinese Light vehicles Test Cycle (CLTC) produced 70% higher
34 IVOCs than those from the World-wide harmonized Light-duty Test Cycle (WLTC).
35 We found that vehicle emitted more IVOCs at lower acceleration rates, which leads to
36 high EFs under CLTC. The only factor that may influence the volatility distribution
37 and compound composition is the engine-aftertreatment system, which has compound
38 and volatility selectivity in exhaust purification. These distinct characteristics in EFs
39 and volatility may result in higher SOA formation potential in China. Using published
40 yield data and surrogate equivalent method, we estimated SOA formation under
41 different OA loading and NO_x conditions. Results showed that under low and high
42 NO_x conditions at different OA loadings, IVOCs contributes more than 80% of the
43 predicted SOA. Furthermore, we built up a parameterization method to simply
44 estimate the vehicular SOA based on our bottom-up measurement of VOCs and
45 IVOCs, which would provide another dimension of information when considering the
46 vehicular contribution to the ambient OA. Our results indicate that vehicular IVOCs
47 contribute significantly to SOA, implying that the importance of reducing IVOCs



48 when making air pollution controlling policies in urban area of China.

49



50 **1 Introduction**

51 Atmospheric fine particulate matter has great impacts on human health, climate,
52 and global environmental issues (Tang et al., 2018;Guo et al., 2014b;Hallquist et al.,
53 2009;Kanakidou et al., 2005). Organic aerosols are a major component of fine
54 particulate matter. Secondary organic aerosol (SOA), formed from multiple
55 generations of oxidation of thousands of organic gases and vapors, contribute 30% or
56 more of organic aerosols in different areas of the world (Zhang et al., 2007). Due to its
57 complexity in sources and photochemical processes, SOA formation remains
58 uncertain (Tang et al., 2019;Guo et al., 2014a).

59 A large discrepancy remains between modeled and measured SOA. One possible
60 reason is missing SOA precursors. Apart from traditional SOA precursors, i.e. volatile
61 organic compounds (VOCs), Robinson et al. (2007) proposed intermediate volatility
62 organic compounds (IVOCs) as important contributors to SOA formation. IVOCs are
63 less volatile than VOCs with effective saturation concentrations in the range of 10^3 to
64 10^6 $\mu\text{g}/\text{m}^3$ (Donahue et al., 2006), roughly corresponds to the volatility range of
65 C_{12} - C_{22} *n*-alkanes. IVOCs exist mainly in the gas phase under typical atmospheric
66 conditions. Previous studies demonstrate that IVOCs may be important SOA
67 precursors both in ambient air and in typical source emissions i.e. gasoline vehicles,
68 diesel vehicles and ship emissions (Huang et al., 2018;Zhao et al., 2016, 2015;Zhao et
69 al., 2014).

70 China is in a high-growth stage with rapidly increasing number of on-road
71 vehicles (~26 fold in 25 years). This growth has created a substantial burden on air
72 quality and human health (Hallquist et al., 2016;Hu et al., 2015). During the past few
73 years, many researchers have studied the gases and particulate matter emissions from
74 Chinese vehicles (Cao et al., 2016;Huang et al., 2015). However, none of these studies
75 have reported data on IVOCs emissions from Chinese gasoline vehicles. Although
76 Zhao et al. (2016) characterized IVOC emission in gasoline vehicles in the United
77 States, the results may not be applicable to China given differences in vehicle
78 technologies, operating conditions, and fuel quality. Therefore, characterizing the
79 IVOC emissions from Chinese vehicle is of vital importance to understand the



80 contribution of IVOCs contribution to SOA formation in China(Zhao et al.,
81 2018;Zhao et al., 2016, 2015;Zhao et al., 2014).

82 In this study, IVOCs emissions were measured from a China V gasoline vehicle
83 equipped with a direct inject (GDI) engine during chassis dynamometer testing. The
84 test matrix considered the influence of fuel type and operating conditions on the total
85 IVOC emission factors, including a newly designed cycle designed to simulate
86 Chinese driving conditions. All of the measurements were performed with the same
87 gasoline vehicle in order to consistently evaluate the effects of these different factors
88 on IVOC emissions. The emission factors (EFs), volatility and chemical speciation of
89 IVOC emissions from different conditions were investigated, and the SOA formation
90 potential were estimated.

91

92 **2 Materials and Methods**

93 **2.1 Testing Vehicle, Fuels and Test Cycles**

94 In this study, all measurements were performed on a vehicle chassis
95 dynamometer (Peng et al., 2017) using an in-use light-duty gasoline direct inject (GDI)
96 engine vehicle meeting the China V standard (similar to Euro 5). Tests were
97 conducted with two fuels: commercial China Standard V gasoline and E10 fuel (10%
98 ethanol by volume). The test cycles included the World-wide harmonized Light duty
99 Test Cycle (WLTC), and the Chinese Light vehicles Test Cycle (CLTC). Furthermore,
100 typical different acceleration rates were also tested. Detailed description and speed
101 profiles of WLTC and CLTC are in Figure S1 in the supplementary information. The
102 CLTC was specifically designed to simulate the driving patterns in Chinese cities
103 while WLTC referred to the Euro VI standard and adopted as China VI testing
104 protocol. Prior to tests, vehicles were preconditioned with an overnight soak, without
105 evaporative canister purge. Different acceleration rates were selected based on their
106 frequency in both CLTC and WLTC, i.e. 1.2, 3.6 6.0 km/h/s, written as ACR1.2,
107 ACR3.6 and ACR6.0), to investigate the effects of acceleration rates on IVOC
108 emissions. All three acceleration “cycles” last for 600 s with a maximum velocity of
109 70 km/h. The acceleration driving cycles were set according to the criteria of identical



110 cycle period and maximum velocity, and hence the mean velocity for each
111 acceleration cycle is the same (Figure S2). We also measured IVOC emission factors
112 (EFs) when the test vehicle was idling.

113

114 **2.2 Sampling and Chemical Analysis**

115 Tailpipe emissions were introduced to a constant volume sampler (CVS) that
116 diluted the exhaust by a factor of 20 to 40. For WLTC and CLTC tests, IVOCs
117 emissions were collected by sampling the diluted exhaust through a quartz filter
118 followed by two tandem Tenax TA filled glass tubes (Gerstel, 6 mm OD, 4.5 mm ID
119 glass tube filled with ~180 mg Tenax TA). Sampling tubes and transfer lines from the
120 CVS were kept at a constant temperature (27 ± 2 °C). The flow rate for quartz filter
121 was 10.0 L/min, and the flow rate for Tenax tube was set as 0.5 L/min. Dynamic
122 blanks were also collected when the CVS was operated with only dilution air (no
123 exhaust) to estimate the contribution of background organic vapors. Prior to sampling,
124 the quartz filters were preheated to 550 °C in air for 6 h in clean aluminum foil using
125 a muffle furnace to remove contaminations. Tenax tubes were preconditioned by using
126 Tube Conditioner (BCT700, BCT Technology LTD), at 300 °C for 3 h in pure
127 nitrogen with a constant flow rate of 100 mL/min. All samples were sealed after
128 sampling and stored in freezer at -20°C.

129 Quartz filters and Tenax tubes were analyzed using gas chromatography/mass
130 spectrometer (Agilent 6890GC/5975MS) equipped with a capillary column (Agilent
131 HP-5MS, 30 m×0.25 mm) coupled to a thermal desorption system (Gerstel, Baltimore,
132 MD). The detailed method was described in the literature by Zhao et al.(2014). Prior
133 to analysis, 5 µl of the internal standards (*d*10-acenaphthene, *d*12-chrysene,
134 *d*4-1,4-dichlorobenzene, *d*8-naphthalene, *d*12-perylene, *d*10-phenanthrene and 7
135 deuterated *n*-alkanes) were injected into each adsorbent tube to track the IVOCs
136 recovery.

137 For each test, particulate matter samples were also collected using independent
138 Teflon and quartz filters. The Teflon filters were weighted using a microbalance
139 (Toledo AX105DR, USA) after equilibration for 24 h in an environmental controlled



140 room (temperature $20 \pm 1^\circ\text{C}$, relative humidity $40 \pm 3\%$) (Guo et al., 2010). A punch
141 (1.45 cm^2) from each quartz filter was analyzed for organic carbon (OC) and
142 elemental carbon (EC) via thermal-optical method using Sunset Laboratory-based
143 instrument (NIOSH protocol, TOT) (Guo et al., 2012). VOCs were sampled in
144 SUUMA canisters and analyzed using GC-MS with a flame ionization detector. Total
145 hydrocarbon (THC), nitrogen oxide, CO and CO_2 emissions under operation scenarios
146 were measured using a Horiba OBS 2200 portable emission system.

147

148 **2.3 Quantification of IVOCs**

149 Twenty IVOCs compounds were quantified using authentic standards (Table S1).
150 However, the majority of the IVOCs mass appears as a broad hump of co-eluting
151 hydrocarbons and oxygenated organics. These compounds could not be resolved at
152 the molecular level and were therefore classified as an unresolved complex mixture
153 (UCM), which were grouped based on their volatilities.

154 The total mass of IVOCs was determined following the method of Zhao et al.
155 (2014) (SI). In short, the TIC of each sample was divided into 11 retention time bins
156 corresponding to C_{12} - C_{22} *n*-alkanes. The total mass in each bin was estimated using
157 the instrument response to the *n*-alkane in that bin. UCM was determined as the
158 difference between total IVOCs and speciated IVOCs in each bin. UCM was then
159 further classified into unspciated branched alkanes (*b*-alkanes) and unspciated
160 cyclic compounds following the approach of Zhao et al. (2016) (SI).

161 Fuel-based IVOC emission factor (EF, mg/kg-fuel) were calculated using the
162 carbon-mass-balance method as following

$$163 \quad \text{EF}_{\text{IVOCs}} = \frac{[\Delta \text{IVOC}]}{[\Delta \text{CO}_2]} f_c$$

164 where $[\Delta \text{IVOC}]$ represents the background-corrected mass concentration of
165 IVOCs, $[\Delta \text{CO}_2]$ is the background-corrected CO_2 concentration in the CVS expressed
166 in units of carbon mass and f_c is the measured mass fraction of carbon in the gasoline
167 (0.82).

168



169 **3 Results and Discussion**

170 **3.1 Influence of Fuel, Starting Mode, and Operating Cycles on IVOC Emission**

171 **Factors**

172 Figure 1 depicts IVOC EFs of the tested China V gasoline vehicle and compares
173 them with previous studies. The IVOC EFs ranged from 12.1 to 226.3 mg kg-fuel⁻¹,
174 with a median value of 83.7 mg kg-fuel⁻¹. The median IVOC value was ~3 times
175 higher than that of the US LEV-2 gasoline vehicles (21.9 mg kg-fuel⁻¹), and one order
176 of magnitude lower than diesel-fueled non-road construction machinery and a
177 diesel-fueled large cargo vessel (971.1 and 800 mg kg-fuel⁻¹, respectively) (Qi et al.,
178 2019;Huang et al., 2018).

179 Figure 1 summarizes the influences of fuel type, starting mode, operating cycles
180 and acceleration rates on the total IVOC EFs. Among all of the factors, acceleration
181 rate has the largest influence on the IVOC EFs. As the acceleration rate increases, the
182 IVOC EF decreases, with the median IVOC EF of ACR6.0 being one order of
183 magnitude lower than that at idling. Qi et al. (2019) and Zhao et al. (2016) report
184 similar results for non-road construction machinery and on-road diesel vehicles,
185 where idling conditions emitted significantly higher IVOCs than those under
186 higher-speed cycles. They proposed that the higher IVOC EFs at idling were the result
187 of less efficient fuel combustion. An additional factor in these tests may be the
188 efficiency of the catalytic converter varying with operating conditions (i.e. lower
189 efficiency at idle operations).

190 When using commercial China Standard V gasoline, the median IVOC EF was
191 1.4 times greater than that using Ethanol gasoline, i.e. E10 (10% ethanol, v/v), with
192 median values of 91.5, and 67.6 mg kg-fuel⁻¹, respectively. The median THC EFs for
193 gasoline and E10 were 485 and 589 mg kg-fuel⁻¹, respectively, showing no significant
194 difference.

195 As expected, The IVOC EFs for cold-start tests was higher (83.7 mg kg-fuel⁻¹)
196 than those for hot-start tests (58.7 mg kg-fuel⁻¹). This reflects the reduced efficiency
197 of the catalytic converter during cold-start operation. The cold-start to hot-start IVOC
198 emission ratio is about 1.4, which is similar to the previous study (Zhao et al., 2016).



199 The median THC EFs for cold-start and hot-start tests are 556.2 and 507.8
200 mg kg-fuel⁻¹, respectively. Previous studies also show that cold starts have higher
201 THC EFs than hot start operation, but cold-to-hot ratios can span a wide range due to
202 differences in operating conditions and model years (Jaworski et al., 2018; Drozd et al.,
203 2016). The ratio is generally larger for more modern, heavily controlled
204 vehicles (Saliba et al., 2017; May et al., 2014).

205 The median IVOC EF for CLTC was about 1.7 times of that for WLTC (103.5
206 versus 60.9 mg kg-fuel⁻¹). Similar results were also found for THC emission, with
207 median THC EFs for CLTC and WLTC cycle as 617.3 and 420.3 mg kg-fuel⁻¹,
208 respectively. Previous studies also show test cycles influence THC EFs. For example,
209 Suarez-Bertoa et al. (2015) and Marotta et al. (2015) found NEDC cycle has higher
210 THC EFs than WLTP or WLTC cycle. One possible explanation for the differences
211 between the CLTC and WLTC IVOC EFs is the differences in acceleration rates. A
212 histogram of acceleration rates of the two cycles (Figure S3) shows that CLTC has
213 frequent low acceleration process compared to WLTC. 76.9% of the CLTC has
214 acceleration rates ranging from -1.5 to 1.5 km/h/s versus 69.6% for the WLTC. The
215 CLTC has no acceleration rate higher than 4 km/h/s, suggesting that the gasoline
216 vehicles frequently drive in congested conditions in China.

217 The results from the acceleration rate cycles suggest that the frequent low
218 acceleration rate in CLTC is responsible for the differences of the IVOC EF between
219 CLTC and WLTC. The effect of acceleration on IVOC EFs is probably especially
220 important in urban areas in China, which frequently have substantial traffic
221 congestion. These results underscore the importance of developing cycles that
222 simulate real-world Chinese driving condition e.g. CLTC, instead of using WLTC or
223 other cycles to get relevant emissions data.

224

225 **3.2 Chemical Speciation of Chinese Vehicle IVOCs and the Relationships** 226 **between Total IVOCs, POA and THC**

227 Figure 2 and S4 compare the chemical composition of IVOC emissions from the
228 tested China V vehicle under different operating conditions. In general, IVOC



229 chemical composition was similar across all the tests. Unspeciated IVOCs (UCM)
230 dominates the total IVOCs mass ($85.6 \pm 4.9\%$), including $65.2 \pm 5.2\%$ for unspeciated
231 cyclic compounds and $20.4 \pm 0.7\%$ for unspeciated *b*-alkanes. *n*-alkanes and speciated
232 aromatics contribute $10.9 \pm 4.7\%$ and $3.5 \pm 1.7\%$ of the total IVOC mass, respectively.
233 These results are similar to previous studies. For example, Zhao et al. (2016) found
234 the consistent composition of IVOC emissions across a wide set of vehicles.

235 Since the majority of the IVOC mass appears as UCM, the average mass spectra
236 provide additional insight into its composition. A similar distribution of mass
237 fragments was observed across all tests. Figure 2(b) shows the average IVOC mass
238 spectrum collected during an E10 CLTC test. Mass fragments associated with
239 aliphatic hydrocarbons (m/z 43, 57, 71, 85) are the most abundant followed by those
240 associated with aromatics (m/z 91, 105 and 119 for alkylbenzenes (Pretsch et al.,
241 2013), and m/z 115, 165, 189 for poly aromatic species) (Dall'Osto et al.,
242 2009; Spencer et al., 2006).

243 Figures 2(c) and (d) exhibit the contribution of selected mass fragments in low
244 and high volatility ranges, i.e. B_{12} - B_{16} and B_{17} - B_{22} . Aliphatic fragments are higher than
245 aromatics fragments in both B_{12} - B_{16} and B_{17} - B_{22} bins. Compared to the higher
246 volatility (B_{12} - B_{16}) bins, the ratio of selected aromatic to aliphatic fragments is lower
247 in the lower volatility (B_{17} - B_{22}) bins (0.8 versus 1.7) which suggests different
248 weighting of compounds in different volatility range. Therefore, unspeciated IVOC
249 UCM in B_{12} - B_{16} are predominantly aromatics while B_{17} - B_{22} are more abundant in
250 cyclic alkanes.

251 Figure 3 and S5 shows the volatility distribution of IVOC emissions in the 11
252 retention-time bins (B_{12} - B_{22}). IVOC emissions are more heavily weighted towards the
253 more volatile end of the distribution, with more than 50% of the emissions in B_{12} - B_{14}
254 bins. After B_{14} , the IVOC emission decreases significantly.

255 Although the IVOC EFs varied by an order of magnitude across the set of tests
256 (Figure 1), the volatility distributions of the emissions were largely the same. When
257 the vehicle is fueled by gasoline, the median IVOC fractions in the B_{12} - B_{14} bins are
258 slightly higher than when fueled by E10. Cold-start having a higher median



259 percentage of IVOC in B_{12} - B_{14} bins compared to hot-start. No distinct differences in
260 volatility differences between the CLTC and WLTC. Compared with idling condition,
261 acceleration cycles have higher median percentage of IVOC in lower volatility bins
262 (B_{17} - B_{22}), similar to previous studies (Qi et al., 2019; Cross et al., 2015). The modest
263 variations of volatility distributions of the IVOCs emissions may be due to differences
264 in combustion efficiency and/or catalytic converter efficiency as a function of
265 volatility.

266 Previous studies have used different scaling approaches to estimate IVOC
267 emissions using other primary emission data, e.g. POA, NMHC (Murphy et al.,
268 2017; Woody et al., 2016; Koo et al., 2014). However, these ratios depend on fuel,
269 engine technology and operating conditions (Lu et al., 2018). Therefore, it is important
270 to quantify the relationships between IVOCs and other pollutants using data collected
271 from Chinese vehicles. Our results show that the IVOC-to-THC ratio does depend on
272 fuel composition. The average IVOC-to-THC ratios for gasoline-fueled and
273 E10-fueled gasoline vehicle are 0.07 ± 0.01 ($R^2 = 0.87$) and 0.11 ± 0.02 ($R^2 = 0.78$),
274 respectively (Figure S6). The IVOC-to-THC ratios in this study are higher than US
275 vehicles (IVOC-to-NMHC of 0.04) (Zhao et al., 2016) but much lower than diesel
276 fueled vehicles (IVOC-to-THC of 0.67) (Huang et al., 2018). The IVOC-to-POA ratio
277 was 5.12 ± 1.30 across all tests, but with only modest correlation (R^2 of 0.66 for
278 gasoline-fueled vehicle and 0.43 for E10-fueled vehicle). This ratio is similar to US
279 data for gasoline vehicles. The correlation of IVOC to THC or POA in our dataset is
280 lower than that of the on-road gasoline and diesel vehicles measured in US. This may
281 be caused to the US data are from a large fleet of vehicles while our data is from a
282 single vehicle operated over a range of conditions

283

284 **3.3 High Emission Factors and Distinct Volatility Distributions of IVOCs from** 285 **Chinese Gasoline Vehicles**

286 Figure 4 presents PM, NO_x , THC and IVOC EFs of the tested gasoline vehicle
287 (China V) and compares them to US vehicles tested by Zhao et al. (Zhao et al.,
288 2016; May et al., 2014) For this comparison, we combined all of the CLTC and WLTC



289 data together. The US vehicles are grouped by model year where pre-LEV refers to
290 vehicles manufactured prior to 1994, LEV-1 represents vehicles manufactured
291 between 1994-2003, and LEV-2 indicates vehicles manufactured between 2004-2012.

292 The emissions of NO_x and THC from tested vehicle are comparable with those
293 from the newer (LEV-2) US vehicles tested by May et al. (Zhao et al., 2016; May et al.,
294 2014). However, PM EF ($44.8 \text{ mg kg-fuel}^{-1}$) of the tested vehicle is higher than the
295 LEV-2 vehicles tested ($17.0 \text{ mg kg-fuel}^{-1}$). It is comparable to a pre-LEV vehicle
296 ($61.0 \text{ mg kg-fuel}^{-1}$). This suggests that stringent emission implemented by Chinese
297 government have been effective at controlling NO_x and THC, but inefficient to PM
298 emissions.

299 The IVOC EFs for the tested China V vehicle is between the US Pre-LEV and
300 LEV-1 vehicle. Therefore, Chinese regulations also appear to be ineffective at
301 controlling IVOC emissions. The IVOC-to-THC ratio measured here (0.07 for
302 gasoline and 0.11 for E10) is higher than US vehicles (0.04), which means that IVOCs
303 contribute a larger fraction of the THC emissions from the China V than from the US
304 vehicles. A detailed comparison of the individual VOC emissions between China V
305 and US LEV-2 vehicles is in SI (Table S8).

306 UCM accounts for large fraction of IVOCs for both China V and US gasoline
307 vehicles. However, the speciated compounds exhibit different characteristics. The
308 China V exhaust has less speciated IVOC aromatic compounds (3.5%) and more
309 alkanes (10.9%) compared to US exhaust (12.9% and 2.5%, respectively). This is also
310 reflected by the IVOC mass spectrum, where Chinese vehicle exhaust has higher m/z
311 43, 57, 71, 85 signals. In addition, the specific aromatics mass fragments were not the
312 same for China V and US IVOC emissions. For example, the dominant aromatics
313 fragments in US gasoline exhaust are m/z 128, 119, 105, 133 versus m/z 135, 91, 181,
314 189 for China V. (Fig. 2c and d).

315 Figure 3 compares the volatility distribution of the IVOC emissions from the
316 China V and US vehicles. There are significant differences of volatility distribution
317 between China V and US vehicles. Both distributions decrease with the increase of
318 the retention time, but the IVOC volatility distribution of US vehicle exhaust exhibits



319 heavier weight of lower volatility bin, i.e. B_{12} bin compared to the China V vehicle. In
320 US exhaust the B_{12} fraction is more than double of the B_{13} . However, the contributions
321 of B_{12} - B_{14} bin volatility bins are comparable for Chinese vehicle exhaust. US vehicle
322 exhaust has a similar IVOC volatility distribution to the unburned gasoline, indicating
323 that the evaporate of IVOCs from fuel is non neglectable.

324 The differences between the IVOC volatility distribution between the Chinese
325 vehicle exhaust and unburned gasoline were further investigated. The higher emission
326 factor and broader distribution of IVOCs in exhaust from China V compared with US
327 vehicles may be due to differences in fuel composition, operating conditions and
328 engines and after-treatment technology. Lu et al. (2018) demonstrated that IVOC
329 emissions depend strongly on fuel composition. In our study, IVOCs contributed ~2.0
330 wt% (2.1 wt% for gasoline, 1.9 wt% for E10) of the total fuel mass, which is ~60%
331 higher than the California fuel (E10, 1.2 wt%)(Gentner et al., 2012). Therefore, the
332 higher IVOC fractions in China V exhaust (e.g. IVOC-to-THC ratio of 0.07 and 0.11
333 versus 0.04 in US exhaust) may lead to higher amounts of IVOCs in China V gasoline.
334 When considering volatility distribution, Zhao et al. (2016) and Lu et al. (2018)
335 reported similar distributions of IVOC between gasoline vehicle exhaust and
336 unburned fuel, which demonstrates the significant influence of unburned fuel on
337 exhaust volatility distribution. However, the volatility distribution of the China V
338 gasoline vehicle exhaust are different from that of the unburned fuel (Figure 3). The
339 difference might be related to the operating conditions and engine-aftertreatment
340 system.

341 Although operating conditions strongly influence the total IVOC EFs (Figure 1),
342 Figure 3 indicates the volatility distribution of the IVOCs emissions were largely
343 consistent across the set of test conditions. Therefore, operating conditions cannot
344 explain the difference in the IVOC volatility distribution between the China V vehicle,
345 unburned gasoline, and the US vehicles.

346 The engine-aftertreatment system also influences IVOC emissions (Drozd et al.,
347 2019;Alam et al., 2019;Zhao et al., 2018;Saliba et al., 2017). In order to investigate
348 the efficiency of after-treatment system, we normalized the IVOC distributions of the



349 fuel and exhaust to the sum of C₈-C₁₀ *n*-alkanes. It is believed that the C₈-C₁₀
350 *n*-alkanes can serve as the indicators for VOCs in fuel (Lu et al. 2018). For both US
351 and the China V vehicles, IVOCs are enriched in the exhaust relative to the fuel.
352 However, the enrichment factor is much smaller in Chinese exhaust with a median
353 value of 4.0 than that for US vehicles (median value = 8.5) (Lu et al., 2018). The
354 enrichment factor also varies with different compounds, with the enrichment factors
355 of *n*-alkanes (9.3) > *b*-alkanes (6.6) > unspeciated cyclic compounds (3.1) > aromatics
356 (0.4). These results are consistent with previous studies stating that the aftertreatment
357 devices have different removal efficiency towards different compounds (Ma et al.,
358 2019; Hasan et al., 2018; Hasan et al., 2016; Alam and Harrison, 2016). Our results
359 suggest that the Chinese three-way catalytic converter has compound dependent
360 efficiency (better removal of aromatics compared to alkanes) which might explain the
361 difference in compound composition between Chinese and US vehicle exhaust.
362 Furthermore, Fig. S9 shows that the catalytic converter has different removal capacity
363 towards different volatility bins, in which B₁₄₋₁₆ works much worse compared to other
364 volatility bins i.e. B₁₂. Consequently, the SOA formation would be relatively high. In
365 sum, the compound dependent capacity and lower B₁₄-B₁₆ removal efficiency of
366 Chinese TWC is responsible for the volatility distribution differences between China
367 V and US vehicles shown in Figure 3.

368 After considering all the factors above, we can draw the conclusion that fuel type,
369 starting mode and operating conditions can all affect the IVOCs EFs. The only factor
370 that impacts the volatility distribution is engine-aftertreatment system.

371 3.4 Estimation of SOA Production from Chinese Vehicle Emission

372 With the measured IVOC and VOC emissions, we estimated the SOA formation
373 potential by using the yield method as following (Yuan et al., 2013):

$$374 \Delta\text{SOA}/\Delta\text{CO} = \sum ER_{[\text{HC}]_i} \times \left(1 - e^{- (k_{\text{OH},i} - k_{\text{CO}}) \times [\text{OH}] \times \Delta t}\right) \times Y_i$$

375 In which, $ER_{[\text{HC}]_i}$ is the emission ratio of SOA precursor *i* (mg kg-fuel⁻¹); $k_{\text{OH},i}$
376 is the OH reaction rate constant of precursor *i* at 298K (cm³ molecules⁻¹ s⁻¹); k_{CO} is
377 the OH reaction constant of CO at 298 K (2.4×10⁻¹³ cm³ molecules⁻¹ s⁻¹); [OH] is the



378 OH mixing ratio, which is assumed to be 1.5×10^6 molecules cm^3 (Lu et al., 2019); Δt
379 is photochemical age (h); and Y_i is the SOA yield determined from chamber studies.
380 Previous studies have shown that the SOA yield of individual hydrocarbon can be
381 influenced by NO_x level, due to the competition reactions among RO_2 radicals, NO
382 and HO_2 radicals. Usually SOA yields under low NO_x condition are independent on
383 the OA loading. However, under high NO_x condition, SOA yields highly depend on
384 OA mass concentration, which can be described using two-product or multi-products
385 model (Presto et al., 2010; Chan et al., 2009; Ng et al., 2007). In this study, we
386 estimated SOA formation under low and high NO_x conditions with OA concentration
387 of 10, 20, 80 $\mu\text{g}\cdot\text{m}^{-3}$ to represent the influence of NO_x level and OA loading on SOA
388 formation.

389 In this estimation, we include speciated C_6 - C_9 single ring aromatics (SRAs) as
390 typical VOCs for SOA precursors, and the corresponding k_{OH} and SOA yields are
391 extrapolated according to two-product relationship from chamber studies (see SI) (Ng
392 et al., 2007). The SOA yields under low and high NO_x condition, and the OH reaction
393 rates of speciated IVOCs and SRAs are from the previous studies (see SI) (Presto et
394 al., 2010; Lim and Ziemann, 2009; Chan et al., 2009). In brief, surrogate species were
395 used to represent the unspciated *b*-alkanes and cyclic compounds in each of the
396 volatility bins.

397 Figure 5 shows the POA emission and estimated SOA production under different
398 operating conditions and NO_x level after 48 h of photo-oxidation. The estimated
399 SOA/POA ratio is between 4.0 to 5.0 under low NO_x condition, and the SOA-to-POA
400 ratios ranged from 1.8-2.2 to 3.8-4.4 when the OA loading increased from 10 $\mu\text{g}\cdot\text{m}^{-3}$
401 to 80 $\mu\text{g}\cdot\text{m}^{-3}$ under high NO_x condition. The OA enhancement under low NO_x
402 condition is similar to that under high NO_x condition with the OA loading of 80
403 $\mu\text{g}\cdot\text{m}^{-3}$. Considering the high POA concentration and SOA formation capacity of
404 Chinese gasoline vehicles, the SOA/POA ratios at 80 $\mu\text{g}\cdot\text{m}^{-3}$ are considered as a lower
405 estimation. Compared with OA enhancement from US studies (~3.6) (Zhao et al.,
406 2016), our results showed higher SOA formation potential both under low and high
407 NO_x conditions for Chinese gasoline vehicles.



408 Scenario-based analysis showed similar tendency of SOA formation potential at
409 different OA loading under low and high NO_x condition. Comparable SOA formation
410 was estimated using gasoline and E10 as fuel. However, the OA enhancement factor
411 for E10 is higher than that of gasoline, suggesting that although the ongoing policy of
412 ethanol gasoline will not exacerbate the POA and SOA pollution in China, the OA
413 enhancement capacity of E10 could not be neglected. More research should be done
414 to evaluate the effectiveness of using E10 as surrogate to reduce the air pollution in
415 China. Cold-start operation has higher SOA potential with higher OA enhancement
416 factor than hot-start, due to the higher precursors EFs caused by the reduced catalytic
417 converter effectiveness below its light off temperature (Drozd et al., 2019). The IVOC
418 EFs, the estimated SOA production and SOA/POA of CLTC are all higher than those
419 of WLTC, which further demonstrates the higher SOA formation potential of Chinese
420 gasoline vehicles under typical driving conditions in China.

421 Figure S10 presents the contribution of different classes of precursors on the
422 SOA production after 48 h of photo-oxidation under different OA loading and NO_x
423 condition. The relative contributions of different chemical classes were similar across
424 the different conditions, with the largest contribution from unspeciated cyclic IVOCs.
425 This is different from the US gasoline vehicle SOA (Zhao et al., 2016) in which single
426 ring aromatics contributes the most.

427

428 **3.5 Establishing the Estimation Method of SOA formation from Chinese** 429 **Gasoline Vehicles**

430 In this section, we tried to establish parameterization methods to provide simple
431 estimations of gasoline vehicle SOA based on our measurements of VOCs and
432 IVOCs.

433 Figure S11 shows the average predicted SOA-to-POA ratio as the function of
434 photo-oxidation time under different OA loading and NO_x conditions. In general,
435 SOA exceeds POA after first a few hours of oxidation, and then keeps constant after
436 ~24 h. The SOA/POA ratio is influenced by OA concentration, NO_x level and the
437 photochemical age (OH exposure). At a certain OA loading and OH exposure,



438 SOA/POA ratio can be estimated, and then be used to quantify the contributions of
439 gasoline vehicle SOA to the ambient OA. Therefore, we parameterized the SOA/POA
440 variation under different OA and NO_x condition using three-parameter-based
441 logarithm equation, i.e. $y=a-b \times \ln(t+c)$, in which t represents the equivalent
442 photochemical age (assume that the OH concentration is 1.5×10^6 molecules cm³) and
443 a , b , c can be described using three-parameter logarithm equation $y=m-n \times \ln([\text{OA}$
444 $\text{concentration}] + p)$. Table 1 shows the parameterization results of compounds-based
445 SOA/POA variation under the different OA and NO_x condition.

446

447

448 Table 1 Coefficient of parameterization between SOA/POA and photochemical age

SOA/POA	Low NO _x condition	High NO _x condition		
		m	n	p
a	-0.62	0.46	0.22	9.8
b	1.34	0.27	0.33	2.58
c	0.58	0.13	-0.09	3.35
Unspeciated				
cyclic compounds				
a	-0.15	0.26	0.09	21.76
b	0.72	0.086	0.18	0.46
c	0.11	-0.278	-0.083	24.42
Unspeciated				
b-alkanes				
a	-0.11	0.47	0.111	87.54
b	0.17	0.15	0.070	12.36
c	0.84	-0.17	-0.21	41.97
aromatics				
a	-0.03	-0.023	-0.0098	40.52
b	0.03	0.012	0.007	17.27
c	-1.00	-1.02	-0.021	-10.00
n-alkanes				
a	-0.05	0.0067	0.013	-2.38
b	0.11	0.019	0.030	-0.52



c	0.48	0.15	-0.058	29.18
Single ring aromatics				
a	-0.51	0.28	0.17	5.47
b	0.35	0.03	0.059	-2.29
c	3.92	2.80	-1.29	10.84

449 The above photochemical-based parameterization method provides a conservative
450 way to quantify the evolution of SOA from Chinese gasoline vehicle VOCs and
451 IVOCs oxidation. However, there are still some uncertainties which may lead to
452 discrepancies between predicted and measured SOA. In general, positive or negative
453 artifacts of quartz filters, *n*-alkane equivalent method in estimating the IVOCs
454 concentration, uncertainty in SOA yield, surrogate method to substitute SOA yield
455 and k_{OH} for UCM and lack of semi-volatile organic compounds will exert influence on
456 the SOA prediction.

457

458 **4 Atmospheric Implications**

459 We measured the VOCs, IVOCs and POA emitted from a China V light duty
460 gasoline vehicle across a wide range of operating conditions. Compared with US
461 LEV-2 gasoline vehicle, China V vehicle emits three times higher IVOCs. Besides,
462 the IVOC emissions from the China V vehicle have a much broader volatility
463 distribution than that from US vehicles. These characteristics imply that IVOCs could
464 act more important SOA precursors in China than those in the US. For Chinese
465 gasoline vehicles, although the magnitude of the emission of IVOCs and VOCs can
466 vary, their relative contribution to SOA production is similar across the set of
467 operating conditions examined here due to the similar volatility distributions. As a
468 result, the key to control SOA formation of gasoline vehicles is to reduce the total
469 IVOC EFs by upgrading of emissions controls. In addition, reducing congestion and
470 other low speed operating modes would also be effective at reducing emissions
471 (Figure 1 and 5).

472 Based on our results, we roughly estimate the vehicle IVOC emissions in China.
473 Till the end of 2018, the HC emission of gasoline vehicles in China was 0.23 Mt,



474 accounting for more than 70% of the total vehicle emissions. Using an IVOC/THC
475 ratio of 0.09 that is obtained in our work, we estimate that the vehicle IVOC
476 emissions in China are 0.03 Mt (30 Gg), in which 20 Gg is attributed to gasoline
477 vehicles. One should note that this estimation is a conservative value, since we
478 consider all vehicles as gasoline vehicles, and all of them meet the China V standard.
479 Considering the IVOC/NMHC ratio of diesel vehicles could be much higher than that
480 of the gasoline vehicles (Zhao et al., 2016, 2015). This may also lead to an
481 underestimation.

482 Our results show that using a Chinese real-world test protocol CLTC will result
483 in substantially higher IVOC emissions compared with WLTC which might have
484 close relationship with frequent idling and low acceleration condition. Therefore,
485 when driving at typical Chinese condition where traffic congestion frequently occur,
486 the IVOCs emission from Chinese gasoline vehicles would be much higher than the
487 current limited emission inventory. Our results indicate simply controlling the THC,
488 NO_x and primary PM emissions may be insufficient in the aspect of controlling
489 particle pollution. Reducing IVOC emissions should also be taken into consideration
490 due to their high contribution to SOA formation, which is more important than
491 primary organic aerosol. Suggested controlling ways include upgrading the fuel
492 quality and engine-after treatment system, and reducing the traffic congestion.

493

494 **Acknowledgement**

495 This research is supported by the National Natural Science Foundation of China (No.
496 41977179, 51636003, 21677002, 91844301), the Open Research Fund of State Key
497 Laboratory of Multi-phase Complex Systems (MPCS-2019-D-09). ALR and QY
498 received financial support from the Center for Air, Climate, and Energy Solutions
499 (CACES), which was funded by Assistance Agreement No. RD83587301 awarded by
500 the U.S. Environmental Protection Agency. It has not been formally reviewed by EPA.
501 The views expressed in this document are solely those of authors and do not
502 necessarily reflect those of the Agency. EPA does not endorse any products or
503 commercial services mentioned in this publication.



504

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677
678



679 **Figure Caption**

680 **Figure 1.** IVOC emission factors measured under different conditions, i.e. different
681 fuel type (gasoline, E10), test cycles (Chinese Light vehicles Test Cycle, CLTC,
682 and World-wide harmonized Light-duty Test Cycle, WLTC), starting mode (hot
683 start and cold start), and acceleration rates (acceleration rates of 1.2, 3.6 and 6.0
684 km/h/s). Stars indicate the EF data from US, i.e. median US LEV-2 gasoline
685 vehicles (vehicles manufactured in 2004-2012), non-road construction machinery,
686 and a large cargo vessel (Qi et al., 2019;Huang et al., 2018;Zhao et al., 2016). The
687 first category “China V” is the compilation of all the EF results from all of the
688 CLTC and WLTC tests. The boxes indicate the median value, with error bars
689 represent one standard deviation.

690 **Figure 2.** (a) Comparison of average chemical speciation of IVOC emissions from
691 China V vehicle and US vehicles (Zhao et al., 2016); (b) Average mass spectrum
692 of the IVOC during a typical E10-fueled cold start CLTC test. (c-d) Box-whisker
693 plots of the fractional contribution of selected fragments to total IVOCs signal for
694 tested China V vehicle: (c) B_{12} - B_{16} bins; (d) B_{17} - B_{22} bins. The boxes represent the
695 25th and 75th percentiles with the centerline being the median. The whiskers are the
696 10th and 90th percentiles. Black hollow triangles represent median LEV-2 data
697 from Zhao et al. ¹³ LEV-2 represents vehicles manufactured from 2004 to 2012.
698 Fragments colored in blue represent aliphatic compounds, while those colored in
699 orange are associated with aromatic compounds.

700 **Figure 3.** Comparison of IVOC volatility distributions of Chinses gasoline vehicle
701 exhaust, US gasoline vehicle exhaust, and Chinses E10 fuel. The box-plot
702 represents the Chinses gasoline vehicle exhaust. The boxes represent the 25th and
703 75th percentiles with the centerline being the median. The whiskers are the 10th and
704 90th percentiles. Red solid circles and blue hollow triangle represent IVOC
705 fractions of US vehicle exhaust (Zhao et al., 2016) and Chinese E10 fuel in
706 different volatility bins, respectively.

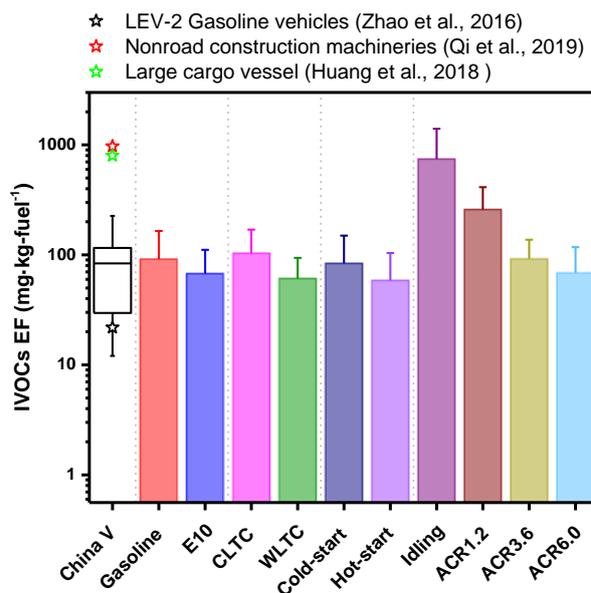
707 **Figure 4.** Comparison of emission factors of (a) PM (b) NO_x (c) THC, and (d) IVOC
708 between China and US on road gasoline vehicles (Zhao et al., 2016;May et al.,



709 2014). The boxes present the 75th and 25th percentiles with the centerline
710 represents being the median. The US vehicles are grouped by the model year, i.e.
711 pre-LEV refers to vehicles manufactured prior to 1994, LEV-1 represents vehicles
712 from 1994-2003, and LEV-2 is vehicles manufactured from 2004-2012.

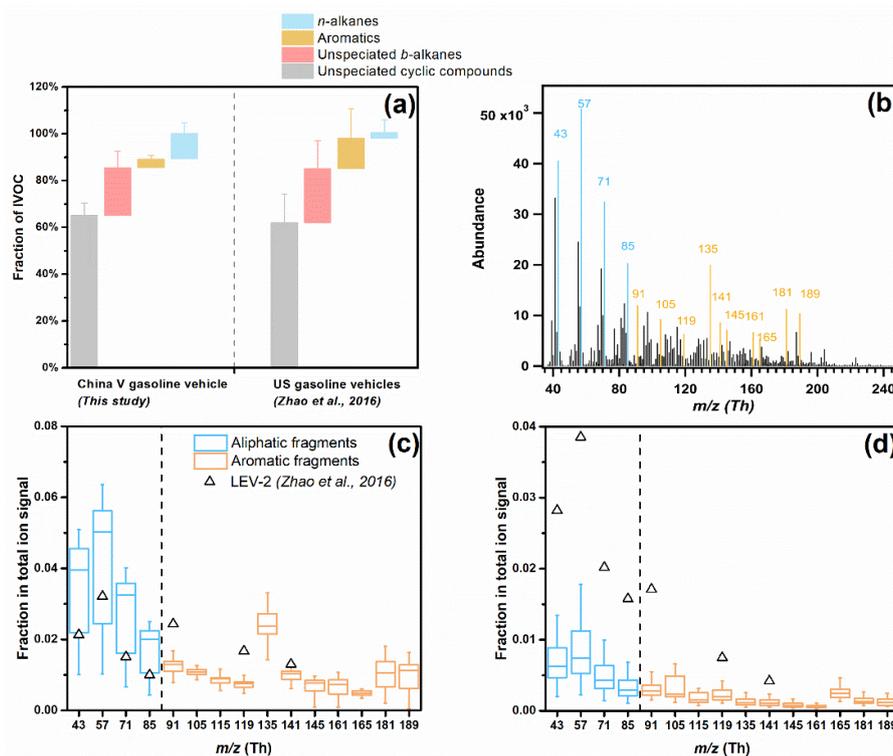
713 **Figure 5** Comparison of POA and estimated SOA production after 48 h of
714 photo-oxidation (a) under low NO_x condition; (b) at an OA loading of 10 μg·m⁻³
715 under high NO_x condition; (c) at an OA loading of 20 μg·m⁻³ under high NO_x
716 condition; (d) at an OA loading of 80 μg·m⁻³ under high NO_x condition.

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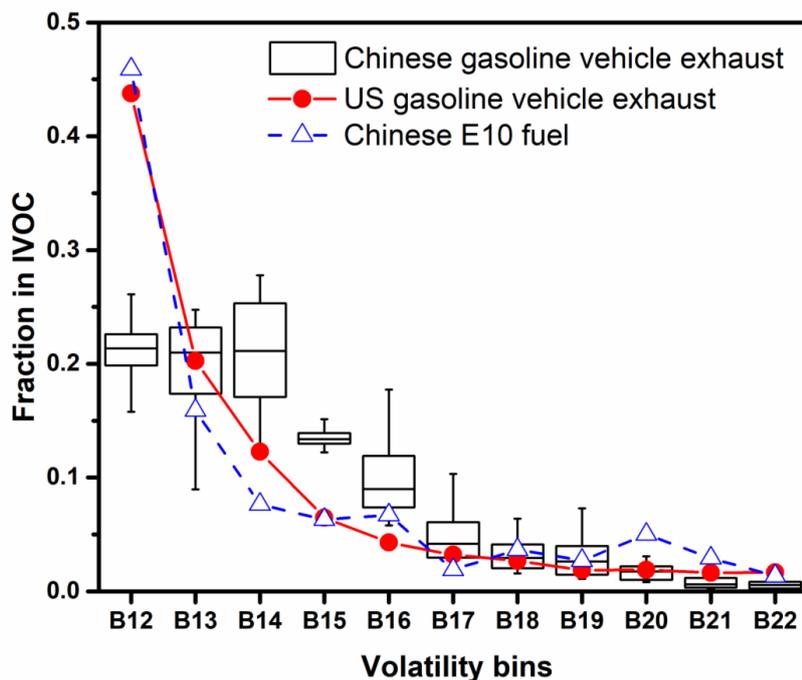


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719 **Figure 1.** IVOC emission factors measured under different conditions, i.e. different
720 fuel type (gasoline, E10), test cycles (Chinese Light vehicles Test Cycle, CLTC, and
721 World-wide harmonized Light-duty Test Cycle, WLTC), starting mode (hot start and
722 cold start), and acceleration rates (acceleration rates of 1.2, 3.6 and 6.0 km/h/s). Stars
723 indicate the EF data from US, i.e. median US LEV-2 gasoline vehicles (vehicles
724 manufactured in 2004-2012), non-road construction machinery, and a large cargo
725 vessel (Qi et al., 2019;Huang et al., 2018;Zhao et al., 2016). The first category “China
726 V” is the compilation of all the EF results from all of the CLTC and WLTC tests. The
727 boxes indicate the median value, with error bars represent one standard deviation.



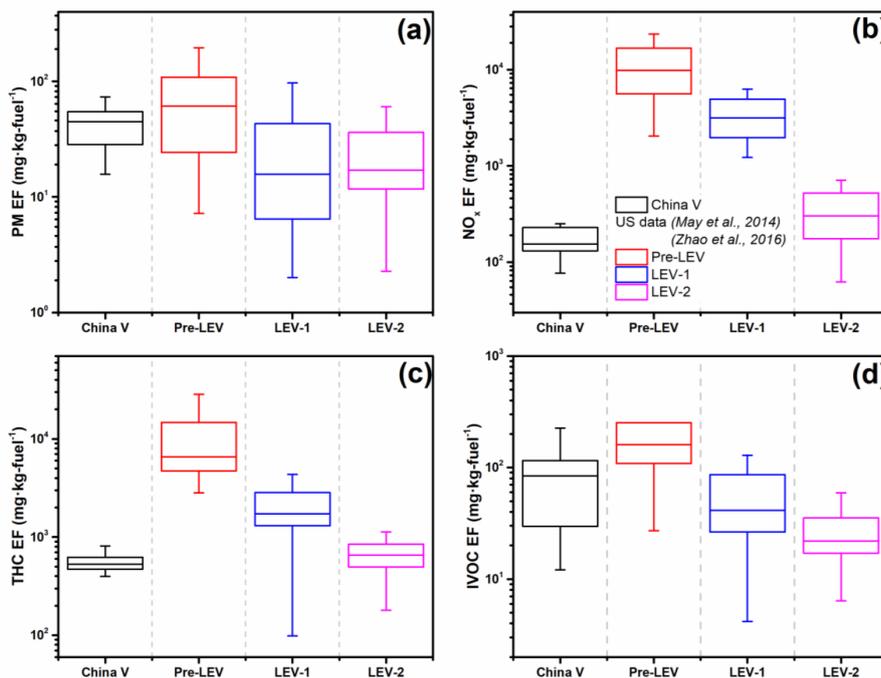
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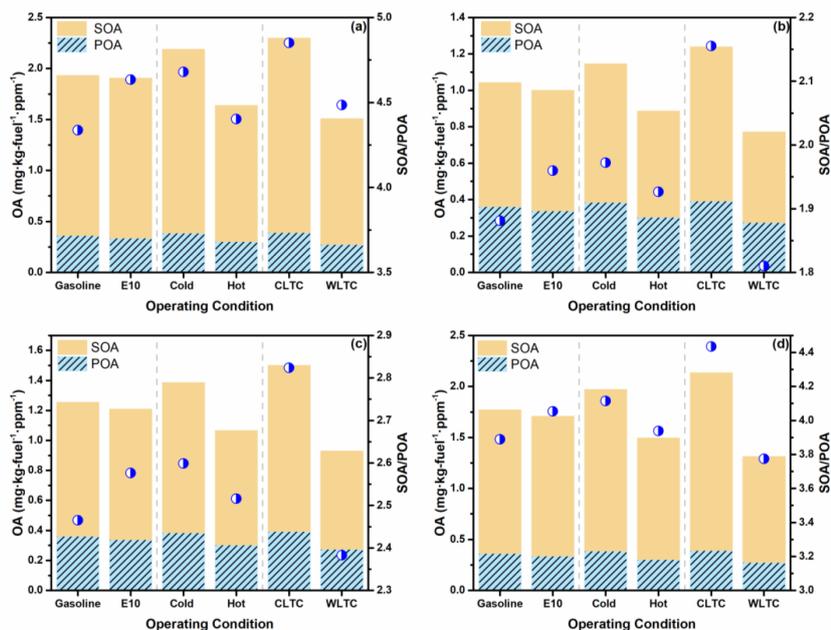
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