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3 **Future changes in Beijing haze events under different anthropogenic**  
4 **aerosol emission scenarios**

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28 **Abstract:** Air pollution is a major issue in China and one of the largest threats to public  
29 health. We investigated future changes in atmospheric circulation patterns associated  
30 with haze events in the Beijing region, and the severity of haze events during these  
31 circulation conditions, from 2016 to 2049 under two different aerosol scenarios: a  
32 maximum technically feasible aerosol reduction (MTFR) and a current legislation  
33 aerosol scenario (CLE). In both cases greenhouse gas emissions follow the  
34 Representative Concentration Pathway (RCP) 4.5. Under RCP4.5 with CLE aerosol the  
35 frequency of circulation patterns associated with haze events increases due to a  
36 weakening of the East Asian winter monsoon via increased sea level pressure over the  
37 North Pacific. The rapid reduction in anthropogenic aerosol and precursor emissions in  
38 MTFR further increases the frequency of circulation patterns associated with haze  
39 events, due to further increases of the sea level pressure over the North Pacific and a  
40 reduction in the intensity of the Siberian high. Even with the aggressive aerosol  
41 reductions in MTFR periods of poor visibility, represented by above normal aerosol  
42 optical depth (AOD), still occur in conjunction with atmospheric circulation patterns  
43 currently associated with haze in the current climate. However, the intensity of poor  
44 visibility decreases in MTFR, so that haze events are less dangerous in this scenario by  
45 2050 compared to CLE, and relative to the current baseline. This study reveals the  
46 competing effects of aerosol emission reductions on future haze events through their  
47 direct contribution to haze and their influence on the atmospheric circulation patterns.  
48 A compound consideration of these two impacts should be taken in future policy  
49 making.

50 **Key Words:** air-pollution, anthropogenic aerosol, atmospheric circulation, haze events

51



## 52 1. Introduction

53 The increases in aerosol and precursor emissions in China due to the rapid  
54 economic development and urbanization in recent decades have caused more frequent  
55 and severe haze events (Wang et al., 2013; Chen and Wang, 2015). Beijing and the  
56 surrounding area is the most polluted region in China (Niu et al., 2010; Ding and Liu,  
57 2014; An et al., 2015; Chen and Wang, 2015). Air pollution has become one of the  
58 major issues in China, and the greatest threat to public health. Since the implementation  
59 of the “Atmospheric Pollution Prevention and Control Action Plan” in 2013, aerosol  
60 emissions have dramatically decreased, with sulphur dioxide (SO<sub>2</sub>) reduced by 59% in  
61 2017 compared to 2013 (Zheng et al., 2018). However, haze events have still occurred  
62 regularly in recent years, as, in addition to being influenced by aerosol emissions,  
63 meteorological conditions, including limited scavenging, dispersion and ventilation,  
64 have been found to play important roles in the variation of air-quality in northern China  
65 (An et al., 2015; Chen and Wang, 2015; Pei et al., 2018; Cai et al., 2017). Such events  
66 are typically associated with the occurrence of large-scale atmospheric circulation  
67 patterns favoring the accumulation of pollutants (Yan et al., 2018). Locally, a strong  
68 temperature inversion in the lower troposphere, weak surface winds, and subsiding air  
69 in the planetary boundary layer are favorable for the development and persistence of  
70 haze events (Wu et al., 2017; Feng et al., 2018). As anthropogenic aerosol has the  
71 potential to induce changes in the atmospheric circulation, in addition to making a direct  
72 contribution to the chemical composition of haze, it is crucial to understand how



73 changes in aerosol emissions might contribute to the frequency and intensity of haze  
74 events in future.

75 On interannual time scales, the East Asian winter monsoon (EAWM) is  
76 significantly negatively correlated with aerosol concentrations in Beijing, due to the  
77 associated high frequency of extreme anomalous southerly episodes in North China, a  
78 weakened East Asian trough in the mid-troposphere and a northward shift of the East  
79 Asian jet stream in the upper troposphere (Jeong and Part, 2017; Li et al., 2016; Pei et  
80 al., 2018). The cold air process over Beijing is favorable for pollutant dispersion and  
81 transport outside because of the accompanied large near-surface wind speed and deep  
82 mixing layer. A low occurrence of cold air processes in the recent winters of 2013, 2014  
83 and 2017 has resulted in severe pollution (He et al., 2018). In past decades, the  
84 weakening of the EAWM was found to contribute to the increased frequency of haze  
85 events over North China (Chen and Wang, 2015; An et al., 2015). Arctic sea ice extent  
86 also has been linked to increased stability over eastern China and has been shown to  
87 explain 45%~67% of the interannual to interdecadal variability of winter haze days over  
88 eastern China (Wang et al., 2015). Overall, around half of the variability in the  
89 frequency of haze events in Beijing is controlled by meteorological conditions, while  
90 both meteorological conditions and aerosol emissions contribute to the intensity (Pei et  
91 al., 2020).

92 Anthropogenic forcing, estimated by using large ensemble runs with and without  
93 anthropogenic forcings, has increased the probability of the atmospheric patterns



94 conducive to severe haze in Beijing by weakening the EAWM (Li et al., 2018).  
95 Projections based on Coupled Model Intercomparison Project Phase 5 (CMIP5) models  
96 showed that weather conditions conducive to haze events in Beijing will increase with  
97 global warming due to an increased occurrence of stagnation days in response to both  
98 accelerated Arctic ice melting (Cai et al., 2017; Liu et al., 2019) and a continued  
99 weakening of EAWM (Hori et al., 2006; Pei et al., 2018; Liu et al., 2019). If there is no  
100 change in aerosol emission in future, increased stagnation days and decreased light  
101 precipitation days associated with global warming would also cause an increase in air  
102 pollution days in eastern China (Chen et al., 2019). Regional climate model simulations  
103 under the RCP4.5 scenario showed that the air environment carrying capacity, a  
104 combined metric measuring the capacity of the atmosphere to transport and dilute  
105 pollutants, tends to decrease in the 21<sup>st</sup> century across China (Han et al., 2017).  
106 However, there is large uncertainty in future aerosol emission pathways, with  
107 uncertainty around the sign of the change in global emission rate, as well as the  
108 magnitude of the change (Scannell et al., 2019). Furthermore, changes in aerosol  
109 emission may influence haze events through their influence on the large-scale  
110 atmospheric circulation, in addition to their role in haze composition.

111 The interplay between the role of aerosol as a constituent of haze, and as a potential  
112 driver of changes in the circulation patterns conducive to haze, have yet to be explored.  
113 If the rapid reductions in aerosol and precursor emissions currently underway in China  
114 continue in future, understanding the balance between the different influences of



115 anthropogenic aerosol on haze events is a key question. Typically, anthropogenic  
116 aerosol (AA) and greenhouse gases (GHGs) both vary in future simulations (e.g. those  
117 following the RCPs or Shared Socioeconomic Pathways), which can make their relative  
118 contributions difficult to determine. In this work, we examine future scenarios with the  
119 same GHGs emission pathway but different aerosol pathways in order to separate these  
120 two contributions to changes in Beijing haze events. We address three questions: 1) Do  
121 the atmospheric conditions conducive to haze events change in future? 2) Do aerosol  
122 reductions contribute to this change? 3) If the frequency of atmospheric conditions  
123 conducive to haze events increases in future, do local aerosol reductions act to moderate  
124 the severity of the haze events?

125 The remainder of the paper is organized as follows: we briefly introduce the  
126 experiment design and methods in Section 2, and show the model performance in  
127 simulating atmospheric circulation patterns conducive to Beijing haze events in Section  
128 3. Projected Beijing haze events under two different aerosol emissions and the  
129 underlying mechanism of projected circulation changes will be given in Section 4. We  
130 will finally provide the summary and discussion in Section 5.

## 131 **2. Experiments and methods**

### 132 **2.1 Experiment design**

133 We use simulations with the Met Office Unified Model (Global Coupled  
134 configuration 2) HadGEM3-GC2 (Williams et al., 2015) and the NOAA Geophysical



135 Fluid Dynamics Laboratory (GFDL) Climate Model version 3 (GFDL-CM3, Donner et  
136 al. 2011; Griffies et al. 2011). GFDL-CM3 has a horizontal resolution of ~200 km in  
137 the atmosphere and 1° in the ocean. HadGEM3-GC2 is run with a horizontal resolution  
138 of N216 (~60 km) in the atmosphere, and ¼° in the ocean. Both models include a  
139 representation of aerosol-cloud interactions (Ming et al., 2006; Bellouin et al., 2007).  
140 We employed two models to check the robustness of the results.

141 Three sets of experiments were carried out with each model (Table S1): a historical  
142 experiment (His) from 1965 to 2015 and two experiments for the future (2016-2049).  
143 In the historical experiment, greenhouse gases and anthropogenic aerosol and precursor  
144 emissions are taken from CMIP5 (Lamarque et al., 2010, Taylor et al., 2012). The future  
145 experiments have common GHG emissions following the RCP4.5 scenario, but  
146 different aerosol emission pathways. The aerosol pathways are the current legislation  
147 emissions (CLE) and the maximum technically feasible reduction (MTFR) taken from  
148 the ECLIPSE V5a global emission dataset (Amann et al., 2015,  
149 <https://iiasa.ac.at/web/home/research/researchPrograms/air/ECLIPSEv5a.html>). In  
150 CLE, anthropogenic aerosol emissions are assumed to evolve following the current  
151 legislation, resulting in a moderate global increase by 2050. In contrast, MTFR assumes  
152 a full implementation of the most advanced technology presently available to reduce  
153 aerosol emissions by 2030, which results in their rapid global decrease over this period.  
154 The regional changes in AA for His, CLE and MTFR can be found in Scannell et al.  
155 (2019) and Luo et al. (2020).



156 We use 1980-2004 as a baseline, 2016-2049 as the future period, and display  
157 anomalies between the two. The difference between the future and baseline winter  
158 (December to February) mean SO<sub>2</sub> emissions over China is shown in Fig.S1 for CLE  
159 and MTFR. Compared with His, CLE shows a dramatic increase in SO<sub>2</sub> over Asia, with  
160 peak values over India and eastern China (Fig.S1a). MTFR has similar changes over  
161 Europe to CLE (not shown), negligible changes over India, and a dipole over China,  
162 with a weak increase to the north and a decrease to the south (Fig.S1b). Thus, a dramatic  
163 decrease in SO<sub>2</sub> in MTFR relative to CLE is seen over the whole Asian continent,  
164 particularly over the Beijing region (30-45°N, 100-120°E; Fig. S1c).

165 Data from the Japanese 55-year Reanalysis (JRA55; Kobayashi et al., 2015)  
166 dataset for the period 1958-2013 are used in this study to evaluate the model  
167 representations of the present-day climate.

## 168 **2.2 Haze Weather Index**

169 We focus on haze events during the winter (December-February) around Beijing  
170 where Chinese haze events are most frequent and severe (Niu et al., 2010; Chen and  
171 Wang, 2015). Several large-scale metrics have been proposed to identify haze events  
172 (Ding et al., 2017; Feng et al., 2019; Pei et al., 2018). In general, Beijing haze events  
173 are accompanied by weaker surface winds, high atmospheric stability, and fewer cold  
174 air outbreaks. To capture all of these features in a single metric, we use the haze weather  
175 index (HWI) proposed by Cai et al. (2017) as it has also been shown to have a strong  
176 relationship with PM<sub>2.5</sub> concentrations in Beijing.



177           The HWI comprises three constituent terms representing the vertical temperature  
178   gradient in the troposphere ( $\Delta T$ ), the 850-hPa meridional wind ( $V_{850}$ ), and the north—  
179   south shear in the 500-hPa zonal wind ( $U_{500}$ ) (see boxes and lines in Fig.1).  $\Delta T$  is  
180   calculated as the difference between the 850 hPa temperature averaged over ( $32.5^\circ$ –  
181    $45^\circ\text{N}$ ,  $112.5^\circ$ – $132.5^\circ\text{E}$ ) and the 250-hPa temperature averaged over ( $37.5^\circ$ – $45^\circ\text{N}$ ,  
182    $122.5^\circ$ – $137.5^\circ\text{E}$ ).  $V_{850}$  is the 850hPa meridional wind averaged over the broader  
183   Beijing region ( $30^\circ$ – $47.5^\circ\text{N}$ ,  $115^\circ$ – $130^\circ\text{E}$ ), and  $U_{500}$  is a latitudinal difference between  
184   the 500-hPa zonal wind averaged over a region to the north of Beijing ( $42.5^\circ$ – $52.5^\circ\text{N}$ ,  
185    $110^\circ$ – $137.5^\circ\text{E}$ ) and a region to the south ( $27.5^\circ$ – $37.5^\circ\text{N}$ ,  $110^\circ$ – $137.5^\circ\text{E}$ ). Each of the  
186   three terms is normalized by their standard deviation over the reference period (here  
187   1980-2004). The three variables are added together to create the HWI, which is then  
188   normalized again by its standard deviation over the reference period. A positive HWI  
189   represents conditions that are unfavorable to air-pollutant dispersion, and days with  
190    $\text{HWI} > 0$  are regarded as “haze events”. The HWI defined by Cai et al. (2017) made use  
191   of daily data. Due to unavailability of model data at daily resolution, we instead used  
192   monthly data. The reliability of using HWI calculated from monthly mean variables  
193   will be discussed in Section 3.

### 194   **2.3 East Asian winter monsoon index**

195           The strength of the EAWM index is quantified using the index defined by Wang  
196   and Chen (2014). This index takes into account both the east-west and the north-south  
197   pressure gradients and is defined as:



198 
$$EAWM=(2*SLP_1-SLP_2-SLP_3)/2$$

199 where  $SLP_1$ ,  $SLP_2$  and  $SLP_3$  represent normalized sea level pressure (SLP)  
200 averaged over Siberia (40-60°N, 70-120°E), the North Pacific (30-50°N, 140°E-170°W)  
201 and the Maritime Continent (20°S-10°N, 110-160°E), respectively (see the boxes in  
202 Fig.S2). The three components are converted to anomalies and normalized by their  
203 standard deviation over the reference period (here 1980-2004). As the EAWM is  
204 directly linked to the occurrence of favorable conditions for haze in Beijing (Pei et al.  
205 2018; Liu et al. 2019; Hori et al. 2006), we therefore use this index as an additional  
206 metric (using different variables to the HWI) to assess the potential for changes in future  
207 haze events under the CLE and MTR scenarios, and confirm the robustness of the  
208 changes indicated by HWI.

### 209 3. Climatic conditions associated with Beijing haze events

210 The circulation anomalies averaged over the days with daily HWI greater than 1.0  
211 are shown in Fig. 1a, c, e. The vertical temperature profile shows warmer air at the lower  
212 to mid-levels, centered around 850hPa and cold anomalies aloft 250hPa (Fig. 1a). Thus,  
213 the atmosphere is stable, unfavorable for the vertical dispersion of pollutants. At the  
214 mid-latitude (500hPa), we see northward shifted mid-level westerly jets (Fig. 1c). The  
215 weakened westerly winds along 30°N is difficult for the horizontal dispersion of  
216 pollutants out of Beijing. At the lower-level, the anomalous southerly winds at 850hPa  
217 along the East Asian coast lead to a reduction in the prevailing surface cold northerlies  
218 in winter (Fig. 1e). This reduction favors warmer conditions at lower levels and



219 increased moisture over Beijing, thus increasing the likelihood of haze formation and  
220 maintenance.

221 The HWI was defined based on daily data. Due to limitations in data availability,  
222 we instead used monthly data to calculate HWI. To determine the reliability of this  
223 approach, we first examined the relationship between the magnitude of HWI calculated  
224 from monthly data (HWI-month) and the number of days with daily HWI (HWI-daily) >  
225 0 in the JRA-55 reanalysis during the period 1958-2013 (Fig. 2). Changes in HWI-  
226 month are highly consistent with those in haze events days ( $r = 0.97$ ). The scatter plots  
227 between HWI-monthly and the monthly mean of HWI-daily also demonstrates their  
228 high correlation (0.98). When HWI-month is greater than 0, about 50% days in that  
229 month are recognized as haze days, and up to 62% days with HWI-daily > 0 when HWI-  
230 month  $\geq 1.0$ . In this study, we define a ‘haze event’ as a month where HWI-month  $\geq 1$ ,  
231 as around 62% of days within this month are likely to be haze days. The circulation  
232 anomalies averaged over HWI-month  $\geq 1$  (Fig. 1b, d, f) and HWI-daily > 0 (Fig. 1a, c,  
233 e) are also consistent with each other, except that the anomalies for HWI-month  $\geq 1$  are  
234 weaker, as would be expected. The spatial and temporal consistency of HWI anomalies  
235 calculated from monthly and daily data confirms the suitability of our use of monthly  
236 data to explore changes in the frequency of Beijing haze events associated circulation.  
237 In the following sections, we will use HWI in short for HWI-month.

238 Both HadGEM3-GC2 and GFDL-CM3 well simulate the key spatial features of  
239 the large-scale atmospheric circulation in winter, when compared to JRA-55 for 1980-



240 2004 (Fig.S3). Key features include the westerly jet along 30°N, the East Asian trough,  
241 and northerly winds along the East Asian coast, which are caused by the zonal thermal  
242 contrast and subsequent pressure gradient between the North Pacific and the Eurasian  
243 continent. The models can also reliably capture the vertical temperature difference, the  
244 weaker East Asian trough and the anomalous 500-hPa southerly winds associated with  
245 haze events (Fig.S4). The good performance of HadGEM3-GC2 and GFDL-CM3 in  
246 simulating the climate mean state demonstrates their suitability to explore the changes  
247 in circulation patterns associated with haze events under different AA emission  
248 scenarios.

#### 249 **4. Changes in Beijing haze events under two AA emission scenarios**

##### 250 *4.1 Changes in the frequency of circulation patterns conducive to haze events*

251 The time series of winter HWI in the historical simulation and two different future  
252 scenarios from each member of HadGEM3-GC2 and GFDL-CM3 are shown in Fig.3a-  
253 b. There is large interannual variability in the index, and thus no significant trend in  
254 HWI either in His, CLE or MTFR. However, the two models both show an increase in  
255 the mean HWI with no consistent change in the standard deviation. The mean HWI in  
256 His (1980-2014), CLE (2016-2049) and MTFR (2016-2049) is 0, 0.40, and 0.65 in  
257 HadGEM3-GC2. In GFDL-CM3 it is 0, 0.40, and 0.53. A slight increase in the standard  
258 deviation of HWI is simulated by HadGEM3-GC2 from His (1.0) and CLE (1.0) to  
259 MTFR (1.06), while no change is seen in GFDL-CM3.



260 The occurrence of positive HWI in CLE and MTFR increases relative to His in  
261 both models, as shown in Fig.3c-d. We find a shift of the HWI distribution toward the  
262 right, indicating an increased occurrence of weather conditions conducive to Beijing  
263 haze events in CLE and MTFR, particularly in MTFR. We employed the two-sample  
264 Kolmogorov-Smirnov test to determine if the HWI distributions from each period and  
265 experiment are significantly different (Chakravarti et al. 1967). In both models, the  
266 HWI distributions in His and CLE are significantly different at the 1% level. The  
267 distributions of HWI in CLE and MTFR are also significantly different at the 1% level  
268 in HadGEM3-GC2, but insignificant in GFDL-CM3, although GFDL-CM3 shows a  
269 much larger frequency of  $1 < \text{HWI} < 2$  in MTFR compared to CLE. The changes in the  
270 frequency of the different HWI bins used in Fig.3 are shown in Table 1. The frequency  
271 of  $\text{HWI} \geq 1$  for His, CLE and MTFR is  $\sim 16\%$  (15.7%), 28.6% (27.3%), and 35.7%  
272 (34.6%) in HadGEM3-GC2 (GFDL-CM3), respectively. If AA emissions follow the  
273 CLE scenario, the frequency of  $\text{HWI} \geq 1$  will increase by 12.6% and 11.6% in  
274 HadGEM3-GC2 and GFDL-CM3 respectively. The rapid reduction in AA emissions in  
275 MTFR contributes to an extra 7% increase in HWI relative to CLE in both models.

276 The shift in the HWI distributions shown in Fig. 3c-d is also associated with  
277 increase in atmospheric circulation patterns currently associated with the most severe  
278 haze events. Very extreme events ( $\text{HWI} \geq 3$ ) in HadGEM3-GC2 account for only 0.3%  
279 of the total historical events. This almost doubles in CLE, and increases by a factor of  
280 5 in MTFR. This kind of event never happened in the current baseline of GFDL-CM3,



281 but accounts for about 0.3% of events in MTFR. This change indicates that both  
282 greenhouse gas increases and aerosol reductions may increase the frequency of  
283 occurrence of the atmospheric circulation pattern currently associated with severe haze  
284 events over the Beijing region.

285 An examination of the future changes in each component of the HWI is shown in  
286 Fig.4. The shift of HWI towards more positive values from His to CLE, with a larger  
287 shift in MTFR relative to His, is found in all three components. This shift is mainly  
288 caused by the increase in the mean values of  $\Delta T$ , U500 and V850. In both models, the  
289 distributions of all the component terms of the His are statistically different between  
290 His and CLE and MTFR (at the 5% level). As for HWI, the distributions of the three  
291 component terms are significantly different between CLE and MTFR in HadGEM3-  
292 GC2, but not GFDL-CM3. For HadGEM3-GC2 (GFDL-CM3), the frequencies of  $\Delta T \geq 1$ ,  
293  $U500 \geq 1$  and  $V850 \geq 1$  have increased from 14.0%, 17.0%, and 7.2% (16.7%, 18.0%, and  
294 17.8%) in His to 29.3%, 26.8%, and 16.0% (30.2%, 25.1%, and 22.2%) in CLE, and to  
295 37.2%, 36.3%, and 22.7% (25.8%, 32.7%, and 23.1%) in MTFR. The changes of the  
296 three components of HWI demonstrate the atmospheric conditions favoring haze events  
297 all become more likely with global warming, and that future AA reductions may further  
298 increase their likelihood.

#### 299 ***4.2 Possible mechanism for atmospheric circulation changes***

300 Section 4.1 showed that the projected change of mean state of the large-scale  
301 atmospheric circulation in the future will increase the frequency of circulation patterns



302 currently associated with Beijing haze events. Rapid reductions in AA emissions could  
303 cause a further increase. To investigate the mechanism underlying these circulation  
304 changes, we present the spatial patterns of the changes in the vertical temperature  
305 profile, and 850-hPa and 500-hPa winds in Figs.5-7. The lower- and mid-troposphere  
306 displays an incremental warming from His to MTRF compared to the upper levels in  
307 both models. The peak warming is at 700 hPa and over 120°-130°E. Conversely, both  
308 models simulate an upper-tropospheric cooling at 250 hPa in CLE compared to His,  
309 albeit of smaller magnitude than the warming below (Fig.S5 a-b, e-f). However, the 250  
310 hPa temperature changes differ in the two models (Fig.5b, d and Fig.S5 c-d, g-h). Thus,  
311 the increase in tropospheric stability in MTRF relative to CLE is mainly driven by low-  
312 level warming.

313 Following the CLE aerosol pathway, both HadGEM3-GC2 and GFDL-CM3  
314 project an anomalous 850-hPa cyclonic circulation over the northwestern Pacific (0-  
315 20°N, 120-180°E) relative to His, and an anticyclonic anomaly to its north (20-50°N,  
316 120-180°E) (Fig.6a-b). This pattern bears some resemblance to the anomalous  
317 circulation associated with a positive phase of the Arctic Oscillation, which may be due  
318 to melting Arctic sea ice (Shindell et al. 1999; Fyfe et al. 1999; Chen et al. 2017; Wang  
319 et al. 2017). The southerly wind anomalies over eastern China, on the western flank of  
320 the anomalous anticyclone, act to weaken the East Asian winter monsoon and reduce  
321 its low-level winds, making conditions favorable for air-pollutant transport from south  
322 to north and air-pollutant accumulation more likely. With the addition of rapid AA



323 reductions following MTFR, the 850-hPa circulation anomalies are reinforced further  
324 (Fig.6.c-d), especially in HadGEM3-GC2, which simulates much stronger southerly  
325 wind anomalies along the East Asian coast. GFDL-CM3 shows similar anomalies over  
326 the North Pacific in CLE vs. His and MTFR vs. CLE, but distinct responses over China  
327 (Fig.6d), which likely explains why GFDL-CM3 doesn't simulate the further shift in  
328 HWI seen in HadGEM3-GC2 between CLE and MTFR (Fig.4e, f and Table S2). A  
329 northeasterly anomaly is seen over southeast China in GFDL-CM3 in both CLE relative  
330 to His and MTFR relative to CLE. However, the onshore flow over Beijing seen in CLE  
331 relative to His, which is likely to be a key contributor to an increase in haze weather  
332 events, is not enhanced further by the rapid aerosol reductions in MTFR (Fig. 6d).

333 At 500 hPa, a northward shift of the westerly jet stream is projected in CLE relative  
334 to the current baseline, with significant positive zonal wind anomalies along 50°N and  
335 negative anomalies along 30°N in both models (Fig.7a-b). This shift is consistent with  
336 the increase in the meridional temperature gradient over the North Pacific (Fig.S5).  
337 Thus, the East Asian winter trough is weakened, bringing less cold and dry air to the  
338 Beijing area, and favoring the formation and maintenance of haze events. The  
339 reductions in AA emissions in MTFR relative to CLE significantly strengthen the  
340 above-mentioned circulation anomalies at 500 hPa in both models (Fig 7c,d), and  
341 further increase the frequency of positive U500 differences in the regions used to  
342 calculate the HWI, as seen in Fig.c-d and Table S2. The changes in 500-hPa zonal winds  
343 are consistent between the two models, demonstrating the robustness of the results.



344 The changes in the three components of HWI in CLE relative to His indicate a  
345 weakened EAWM with increased GHGs, with reductions in AA emissions further  
346 amplifying this effect and increasing the frequency of large-scale circulation conditions  
347 conducive to Beijing haze events. To explore how the EAWM circulation responds to  
348 reductions in AA emissions, we show surface temperature and sea level pressure  
349 changes in MTFR relative to CLE (Fig. 8). Reduced AA emissions generally amplify  
350 the impact of greenhouse gases, with more warming over the Arctic, the Eurasian  
351 continent and Northwestern Pacific. Thus, the Aleutian low is further weakened in  
352 MTFR. In addition, more warming over the Eurasian continent and Northwestern  
353 Pacific leads to a SLP decrease over Siberia and the northwestern Pacific, respectively.  
354 The main difference between the two models is found from the SLP changes over the  
355 Eurasian continent in the mid-latitudes, where large negative SLP anomalies are  
356 presented in HadGEM3-GC2 while there are no changes in GFDL-CM3. This may lead  
357 to the less westward shift of the North Pacific anomalous anticyclonic circulation in  
358 GFDL-CM3 in Fig.6d.

359 Histograms of EAWM, using the Wang and Chen (2014) index, and its  
360 components in His, CLE and MTFR are shown in Fig.9. The EAWM weakens in CLE  
361 compared to His (Fig.9a-b), mainly due to increased SLP over the North Pacific (SLP<sub>2</sub>,  
362 Fig.9e-f), with no systematic changes in SLP over Siberia (SLP<sub>1</sub>) and the Maritime  
363 continent (SLP<sub>3</sub>) (Fig.9a-b, h-g). The rapid AA reductions in MTFR cause the SLP over  
364 Siberia to decrease consistently in both models alongside an increase in SLP<sub>1</sub>. This



365 further weakens the east-west contrast, leading to a weaker EAWM in MTRF relative  
366 to CLE, consistent with the differences between CLE and His and between MTRF and  
367 CLE seen in the HWI. The response of SLP over the Maritime Continent (SLP<sub>3</sub>) to AA  
368 reductions differs between the two models, indicating large uncertainty in the SLP<sub>3</sub>  
369 changes. Thus, the AA forcing reduction predominantly weakens the EAWM through  
370 reducing the zonal thermal contrast.

#### 371 ***4.3 Changes in haze intensity associated with favoring circulation***

372 Occurrence of a haze event requires stagnant atmospheric conditions, and a  
373 pollution source. Although future aerosol reductions may cause further increases in the  
374 frequency of atmospheric circulation patterns currently linked with haze events, such  
375 events may be less severe in the absence of large aerosol emissions. In this section, we  
376 will examine the projected changes in the intensity of Beijing haze events using the  
377 aerosol optical depth (AOD) at 550nm as a metric for aerosol-induced poor visibility.  
378 The simulated baseline winter mean AOD in the Beijing area is around 0.1 (Fig.10a, c).  
379 HadGEM3-GC2 and GFDL-CM3 both simulate elevated AOD around Beijing when  
380 circulation conditions are favorable ( $HWI \geq 1$ ) (Fig.10 b, d): 1.4 and 1.3 times of the  
381 baseline climate mean in HadGEM3-GC2 and GFDL-CM3 respectively. Aerosol and  
382 precursor emission increases under CLE (Fig. S1) result in a significant increase in  
383 climate winter mean AOD around Beijing (reaching 1.2 times in HadGEM3-GC2 and  
384 1.05 times in GFDL-CM3), while climate mean AOD in MTRF decreases to 0.93 of  
385 the baseline climate mean around Beijing in the two models due to aerosol emissions



386 reduction (Fig.S6).

387 To check whether poor air quality events still occur even with reduced future  
388 aerosol emissions, we show the projected AOD with  $\text{HWI} \geq 1$  as a fraction of the  
389 baseline winter mean in Fig.11. In CLE, when  $\text{HWI} \geq 1$  AOD is elevated compared to  
390 the baseline climatology (Fig. 11), to 1.6 times of the baseline winter mean in  
391 HadGEM3-GC2 and 1.1 times that in GFDL-CM3. It is consistent with the increase in  
392 aerosol loadings and climate mean AOD in CLE (Fig.S1a and Fig.S6a-b). However, in  
393 MTFR, when  $\text{HWI} \geq 1$  AOD is also higher than the baseline climatology, albeit with a  
394 decrease in climate mean AOD in MTFR (Fig.S6c-d). So, even with the aggressive  
395 aerosol reductions in MTFR, periods of poor visibility still occur in conjunction with  
396 atmospheric circulation patterns associated with haze in the current climate.

397 The severity of air quality under the circulation patterns favoring haze (when  
398  $\text{HWI} \geq 1$ ) changes differently between CLE and MTFR. Thus, we compared months  
399 when  $\text{HWI} \geq 1$  shows the effect of aerosol emission changes on haze intensity under the  
400 same circulation patterns (Fig. 12). For  $\text{HWI} \geq 1$ , AOD over Beijing is comparable in  
401 CLE to His in HadGEM3-GC2, but slightly reduced in GFDL-CM3, despite the  
402 increase in aerosol emissions. This reduction in GFDL-CM3 may be a reflection of the  
403 model's bias. In JRA-55 when  $\text{HWI} \geq 1$  there are southerly anomalies over southern  
404 China. However, in the baseline in GFDL-CM3 there is an anomalous cyclonic  
405 circulation, which may act to reduce pollutant accumulation in Beijing (Fig.S4). As  
406 shown in Fig. 6, this anomaly is strengthened in both CLE and MTFR. When  $\text{HWI} \geq 1$



407 in MTFR, both models show a significant reduction in AOD compared to baseline  
408 events when  $\text{HWI} \geq 1$ . This demonstrates that the air quality is similar under CLE to the  
409 baseline condition under the favorable circulation patterns of haze, but it is much  
410 improved under MTFR (Fig.12e-f).

411 Because AOD dramatically decreases in MTFR relative to His and CLE, the  
412 atmospheric circulation patterns with  $\text{HWI} \geq 1$  may not be associated with haze events  
413 in this scenario, even though the circulation patterns associated with haze in the baseline  
414 become more frequent in the future with aerosol reductions. Then, we further  
415 investigated the changes in AOD with different values of HWI in MTFR relative to that  
416 in His when  $\text{HWI} \geq 1$  (Fig.S7). In HadGEM3-GC2, when  $\text{HWI} \geq 2$  AOD in Beijing in  
417 MTFR is comparable with that in His when  $\text{HWI} \geq 1$ . In GFDL-CM3, we see slightly  
418 increase in AOD with higher HWI values, but AOD in Beijing with higher HWI is still  
419 lower than the current haze events when  $\text{HWI} \geq 1$ . It means that the haze events  
420 associated with the circulation patterns currently conducive to haze may become less  
421 dangerous with reduced aerosol emissions in future, even though the circulation  
422 patterns will be more prevalent. A higher criterion for HWI value may be better to  
423 examine the projected changes in haze events if aerosol emissions are reduced.

## 424 **5 Summary and discussion**

425 During recent decades, with rapid increases in aerosol and precursor emissions in  
426 China, air pollution has become one of the greatest threats to public health.  
427 Anthropogenic aerosol contributes not only to the chemical composition of haze, but



428 also has the potential to modulate atmospheric circulation changes. Thus, this paper  
429 aims to quantify the incidences of haze events in a future climate and the influence of  
430 aerosol mitigation efforts. In this study, we examined the changes in the frequency of  
431 atmospheric conditions conducive to Beijing haze events, and the changes in aerosol  
432 optical depth (AOD) during these circulation conditions through the mid-21<sup>st</sup> century  
433 under two different anthropogenic aerosol scenarios. We also investigated the  
434 mechanism for the changes in the large-scale atmospheric circulation. We found that  
435 future greenhouse gases (GHG) increases and anthropogenic aerosol (AA) increases  
436 following a current legislation aerosol scenario (CLE) will increase the frequency of  
437 atmospheric circulation conditions conducive to Beijing haze events, especially the  
438 very extreme circulation patterns. By comparing the scenario with a maximum  
439 technically feasible aerosol reduction (MTFR), which has the same GHG increases but  
440 rapid aerosol reductions, we show that future aerosol reductions may further amplify  
441 the increase in the frequency of such circulation patterns. The frequency of haze  
442 weather index (HWI) $\geq 1.0$  derived from monthly data increases from ~16% at baseline  
443 to ~28% for 2016-2049 under the CLE scenario. Rapid reductions in AA emissions in  
444 MTFR contribute to an extra ~7% increase in HWI $\geq 1$  in two models: HadGEM3-GC2  
445 and GFDL-CM3. We also find that the frequency of exceptional extreme circulation  
446 events with HWI $\geq 3.0$  in HadGEM3-GC2 is only 0.3% in His, but is almost doubled in  
447 CLE and increases by a factor of 5 in MTFR. These kinds of events never happen in  
448 the baseline in GFDL-CM3, but account for 0.3% of events in MTFR.



449           The increase in haze frequency in CLE is mainly due to a weakening of the East  
450 Asian winter monsoon, warming of the lower troposphere, and weakening of the East  
451 Asian trough, which is likely to be predominantly driven by the GHG increases.  
452 Reduced AA forcing in MTFR could further enhance the above circulation anomalies,  
453 amplifying the impact of greenhouse gases. Because the AA emission reductions in  
454 MTFR relative to CLE mainly occur over continental Asia, the Asian landmass receives  
455 more shortwave radiation, leading to a warmer surface temperature there. This leads to  
456 a weaker Siberian high, and further contributes to the weakening of the East Asian  
457 winter monsoon seen in MTFR.

458           The analysis of haze intensity based on AOD at 550 nm shows that visibility with  
459  $\text{HWI} \geq 1.0$  is always lower than the His winter mean under both CLE and MTFR.  
460 However, in future the haze events associated with  $\text{HWI} \geq 1.0$  are comparable, or less  
461 severe, than their baseline equivalents. Under MTFR, there is a marked reduction in the  
462 AOD associated with  $\text{HWI} \geq 1.0$  compared to His. This demonstrates that even though  
463 the atmospheric circulations that favor haze events will become more frequent as GHG  
464 increases and AA decreases, the haze events themselves will become less dangerous if  
465 aerosol emissions are reduced.

466           This paper reveals the competing impacts of AA emission reductions on haze event  
467 frequency and intensity. AA reductions cause an increased frequency of atmospheric  
468 circulation patterns conducive to haze events, but a reduction in the haze intensity when  
469 these circulation patterns do occur. This demonstrates that the local air quality benefits



470 from clean air policies outweigh the dynamical climate impact of aerosol and precursor  
471 emission reductions in this case.

472

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474 all authors. LJW and MAB helped design the analysis and supervised the work. NJD  
475 and DJP ran the simulations. Shuai Hu analyzed the reanalysis data. Donghuan Li and  
476 Liwei Zou contributed to the validation of observational metrics.

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589 **Figure Captions:**

590 **Table 1** Frequency (unit: %) of different HWI bins in His, CLE and MTR

591 **Fig. 1** Composite circulation anomalies from JRA-55 with HWI-daily>0 (left) and  
592 HWI-month $\geq 1.0$  (right) for 1958-2013. (a)-(b) temperature anomalies (K) along  
593 40°N, (c)-(d) 500hPa winds anomalies (vector, m/s) and 500hPa zonal winds  
594 anomalies (shading,  $\text{m s}^{-1}$ ). (e)-(f) 850hPa winds anomalies (vector,  $\text{m s}^{-1}$ ) and  
595 850 hPa meridional winds anomalies (shading,  $\text{m s}^{-1}$ ). The green boxes/lines  
596 indicate the location of the boxes/lines used in the calculation of HWI.

597 **Fig.2** Changes in winter HWI from 1958 to 2013 in JRA-55 reanalysis relative to 1958-  
598 2013 winter mean. (a) DJF mean monthly-based HWI (HWI-month, black line)  
599 and the anomalous days with daily based HWI >0 (HWI-daily, red line, unit: day),  
600 (b) scatter plot of HWI-month of the monthly values from December, January and  
601 February (y-axis) and HWI-daily averaged in the same month as HWI-month (x-  
602 axis). (c) same as (a), but for HWI-month (y-axis) and the ratio of days with HWI-  
603 daily>0 (x-axis) in each winter month. HWI-month and HWI-daily are the HWI  
604 calculated from monthly data and daily data, respectively.

605 **Fig.3** Changes in HWI in His (grey line), CLE (blue) and MTR (pink) experiments  
606 simulated by (a) HadGEM3-GC2 and (b) GFDL-CM3 for the winters from 1965  
607 to 2049. Histogram plots for HWI frequency (y-axis, %) simulated by (c)  
608 HadGEM3-GC2 and (d) GFDL-CM3. The x-axis in (c)-(d) shows different bins



609 of HWI, and grey, blue and pink bars are for His (1980-2004), CLE (2016-2049)  
610 and MTFR (2016-2049), respectively.

611 **Fig.4** Same as Fig.3c-d, but for the histograms of each component of HWI simulated  
612 by HadGEM3-GC2 (left) and GFDL-CM3 (right). (a)-(b)  $\Delta T$ , (c)-(d) U500 and  
613 (e)-(f) V850.

614 **Fig.5** The difference in winter mean temperature (K) along  $40^\circ\text{N}$  (left) between CLE  
615 (2016-2049) and His (1980-2004), and (right) between MTFR (2016-2049) and  
616 CLE (2016-2049). The dotted areas are statistically significant at the 10% level.

617 **Fig.6** Spatial distribution for the difference in 850 hPa winds (vector,  $\text{m s}^{-1}$ ) and 850hPa  
618 meridional component (shading,  $\text{m s}^{-1}$ ) between (left) CLE (2016-2049) minus  
619 historical (1980-2004), and between (right) MTFR (2016-2049) minus CLE  
620 (2016-2049). The dotted areas denote the 850hPa meridional winds statistically  
621 significant at 90% confidence level.

622 **Fig.7** Same as Fig.6, but for the difference in 500hPa winds (vector,  $\text{m s}^{-1}$ ) and its zonal  
623 component (shading,  $\text{m s}^{-1}$ ).

624 **Fig.8** The difference of the climate mean surface temperature (left, K) and sea level  
625 pressure (right, hPa) between MTFR and CLE simulated by (a)-(b) HadGEM3-  
626 GC2 and (c)-(d) GFDL-CM3.

627 **Fig.9** Same as Fig.4, but for histograms of the East Asian winter monsoon index and its  
628 components.



629 **Fig.10** DJF mean (left) AOD at 550 nm in (a) HadGEM3-GC2 and (c) GFDL-CM3  
630 averaged over 1980-2004. Right is same as left, but for the ratio of AOD averaged  
631 in the winter months with  $\text{HWI} \geq 1$  relative to winter mean of 1980-2004. Blue and  
632 red shadings in (c)-(d) are lower and higher than the climate mean of baseline,  
633 respectively.

634 **Fig.11** Same as Fig.10b and d, but for the results projected in CLE and MTFR. The  
635 baseline is the winter mean of 1980-2004.

636 **Fig.12** Difference between the ratio of AOD when  $\text{HWI} \geq 1.0$  to His winter mean in CLE  
637 and ratio of AOD when  $\text{HWI} \geq 1.0$  to His winter mean in His in (a) HadGEM3-  
638 GC2 and (b) GFDL-CM3. (c)-(d) and (e)-(f) are same as (a) and (b), but for the  
639 difference between MTFR and His and the difference between MTFR and CLE,  
640 respectively. Blue and red shadings indicate projected AOD when  $\text{HWI} \geq 1.0$  is  
641 lower and higher than the AOD when  $\text{HWI} \geq 1.0$  in His, respectively.

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643

644



645 **Table 1** Frequency (unit: %) of different HWI bins in His, CLE and MTFR

Model	Exp	HWI bins						
		0~0.5	0.5~1	1~1.5	1.5~2	2~2.5	2.5~3	≥3
HadGEM3- GC2	His	20.7	16.2	10.0	3.7	1.7	0.3	0.3
	CLE	20.5	17.9	16.2	7.4	3.8	0.7	0.5
	MTFR	18.9	16.7	13.8	10.7	7.2	2.6	1.4
GFDL- CM3	His	18.7	18.7	8.0	5.0	2.7	0.0	0.0
	CLE	19.4	19.7	14.0	7.9	4.4	1.0	0.0
	MTFR	19.4	16.8	14.9	15.2	2.9	1.3	0.3

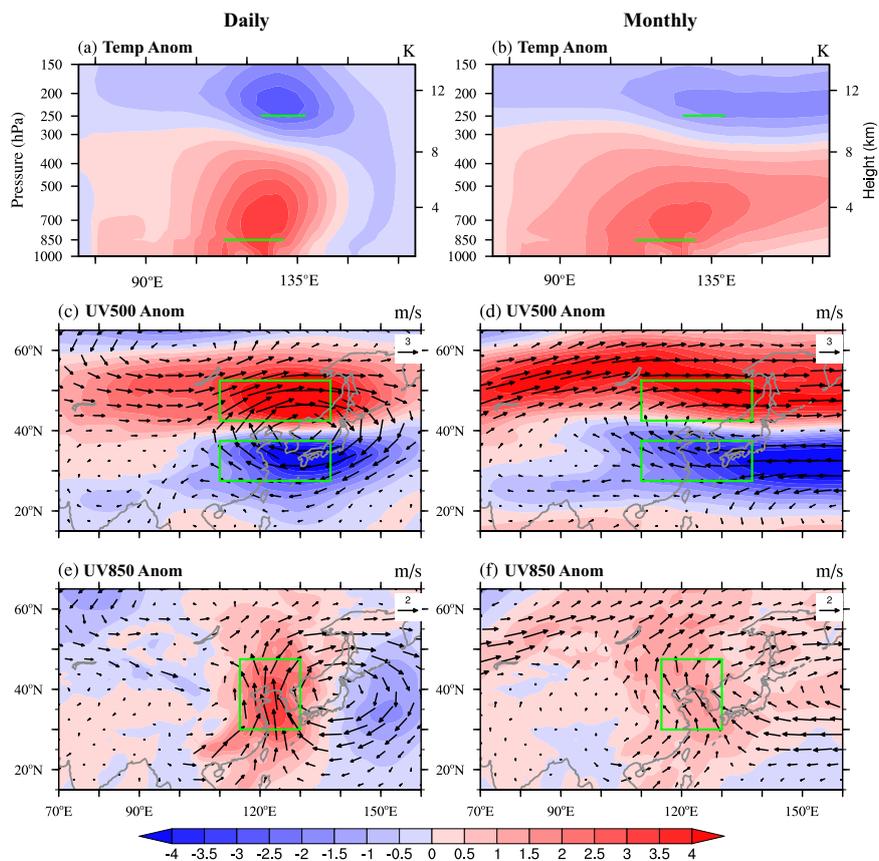
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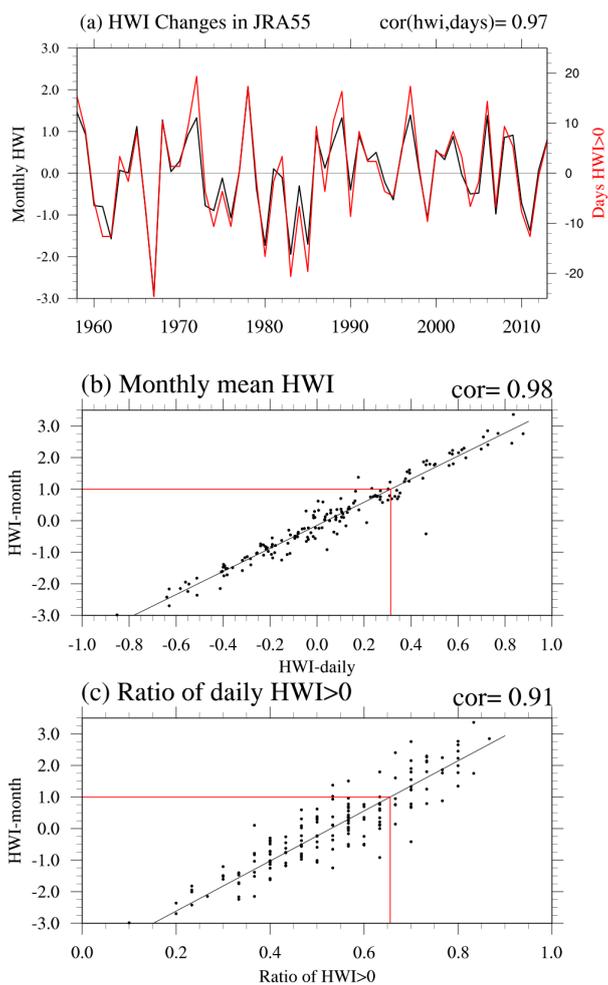
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651 **Fig. 1** Composite circulation anomalies from JRA-55 with HWI-daily>0 (left) and  
652 HWI-month $\geq$ 1.0 (right) for 1958-2013. (a)-(b) temperature anomalies (K) along 40°N,  
653 (c)-(d) 500hPa winds anomalies (vector,  $\text{m s}^{-1}$ ) and 500hPa zonal winds anomalies  
654 (shading,  $\text{m s}^{-1}$ ). (e)-(f) 850hPa winds anomalies (vector,  $\text{m s}^{-1}$ ) and 850 hPa meridional  
655 winds anomalies (shading,  $\text{m s}^{-1}$ ). The green boxes/lines indicate the location of the  
656 boxes/lines used in the calculation of HWI.

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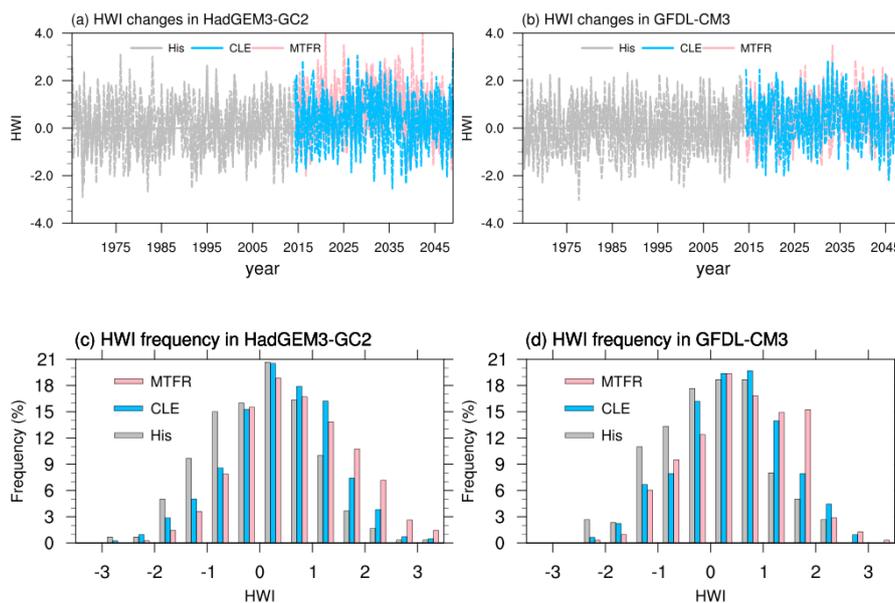


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660 **Fig.2** Changes in winter HWI from 1958 to 2013 in JRA-55 reanalysis relative to 1958-  
661 2013 winter mean. (a) DJF mean monthly-based HWI (HWI-month, black line) and the  
662 anomalous days with daily based HWI >0 (HWI-daily, red line, unit: day), (b) scatter  
663 plot of HWI-month of the monthly values from December, January and February (y-  
664 axis) and HWI-daily averaged in the same month as HWI-month (x-axis). (c) same as  
665 (a), but for HWI-month (y-axis) and the ratio of days with HWI-daily>0 (x-axis) in  
666 each winter month. HWI-month and HWI-daily are the HWI calculated from monthly  
667 data and daily data, respectively.

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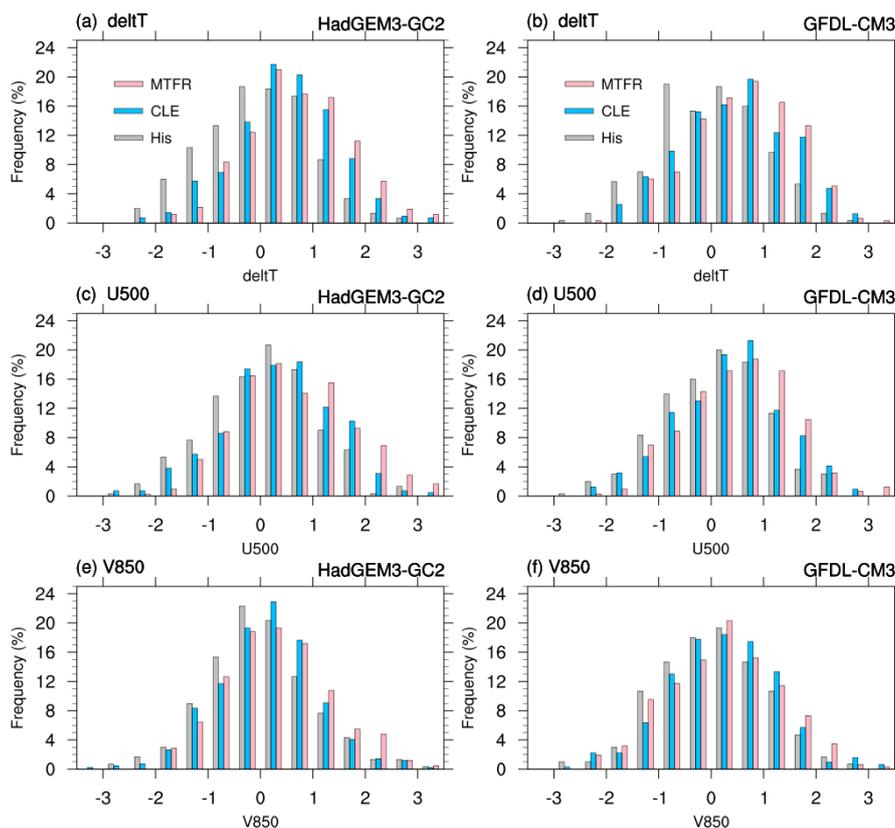


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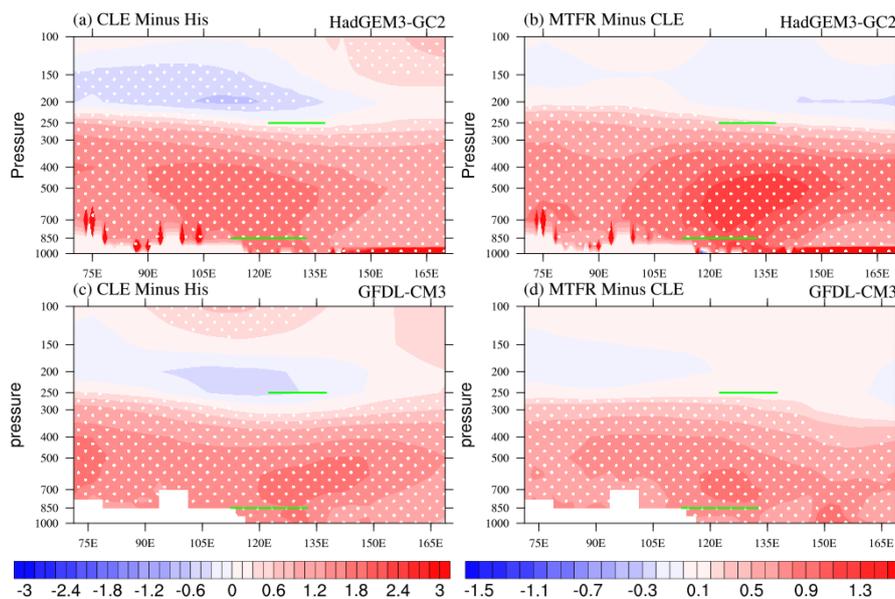
671

672 **Fig.3** Changes in HWI in His (grey line), CLE (blue) and MTFR (pink) experiments  
673 simulated by (a) HadGEM3-GC2 and (b) GFDL-CM3 for the winters from 1965 to  
674 2049. Histogram plots for HWI frequency (y-axis, %) simulated by (c) HadGEM3-GC2  
675 and (d) GFDL-CM3. The x-axis in (c)-(d) shows different bins of HWI, and grey, blue  
676 and pink bars are for His (1980-2004), CLE (2016-2049) and MTFR (2016-2049),  
677 respectively.

678



679  
680 **Fig.4** Same as Fig.3c-d, but for the histograms of each component of HWI simulated  
681 by HadGEM3-GC2 (left) and GFDL-CM3 (right). (a)-(b)  $\Delta T$ , (c)-(d) U500 and (e)-(f)  
682 V850.  
683



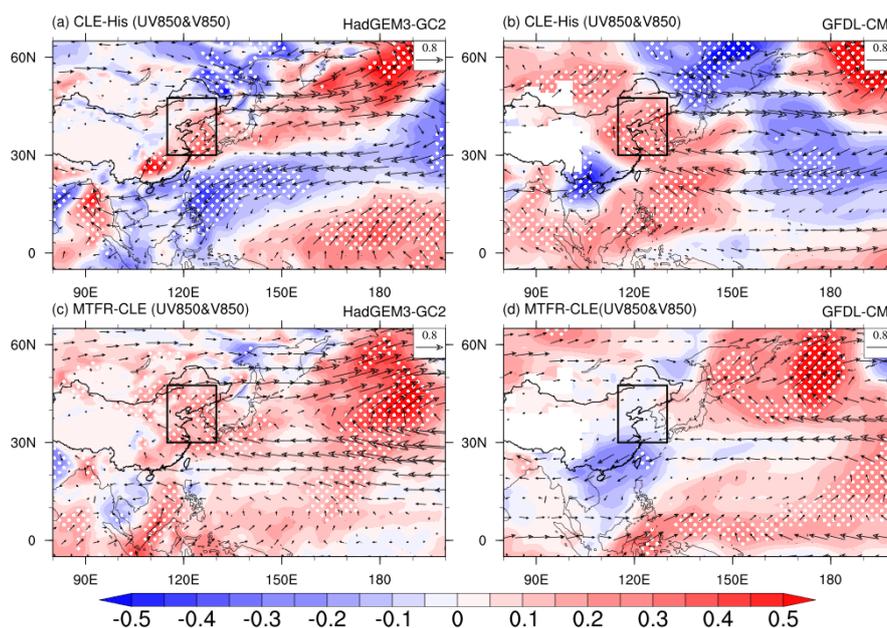
684

685 **Fig.5** The difference in winter mean temperature (K) along 40°N (left) between CLE  
686 (2016-2049) and His (1980-2004), and (right) between MTFR (2016-2049) and CLE  
687 (2016-2049). The dotted areas are statistically significant at the 10% level.

688

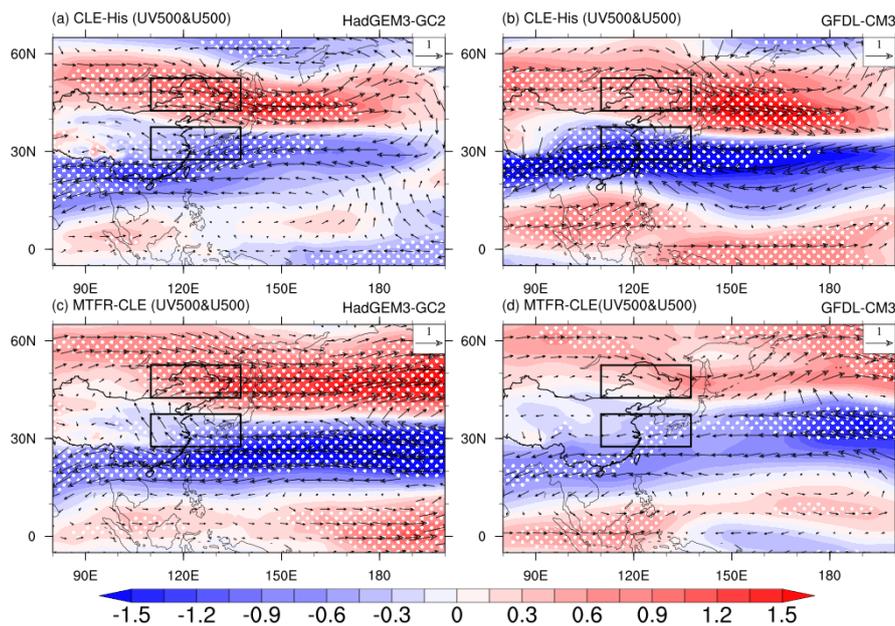


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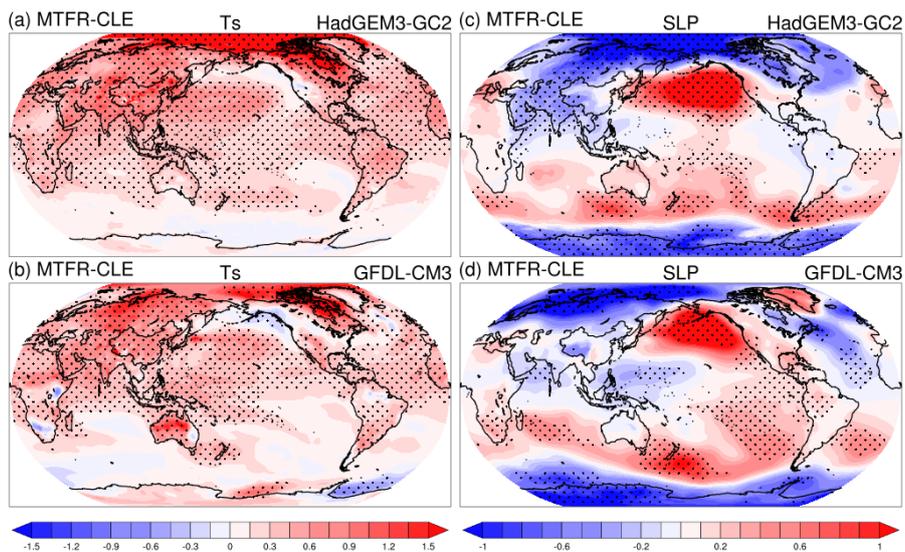
691 **Fig.6** Spatial distribution for the difference in winter mean 850 hPa winds (vector,  $\text{m s}^{-1}$ ) and 850hPa meridional component (shading,  $\text{m s}^{-1}$ ) (left) between CLE (2016-2049)  
692 and His (1980-2004), and (right) between MTFR (2016-2049) and CLE (2016-2049).  
693 The dotted areas denote the 850hPa meridional winds statistically significant at the 10%  
694 level.  
695



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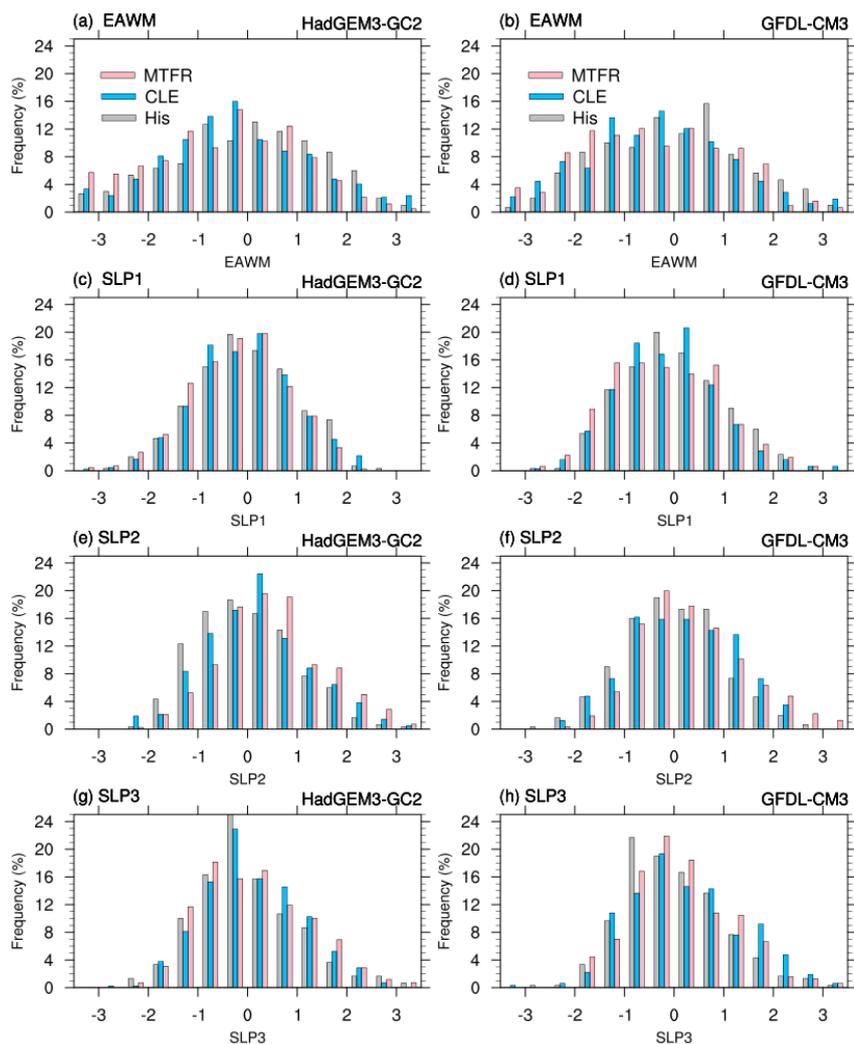
697 **Fig.7** Same as Fig.6, but for the difference in 500hPa winds (vector,  $\text{m s}^{-1}$ ) and 500hPa  
698 zonal component (shading,  $\text{m s}^{-1}$ ).

699



700

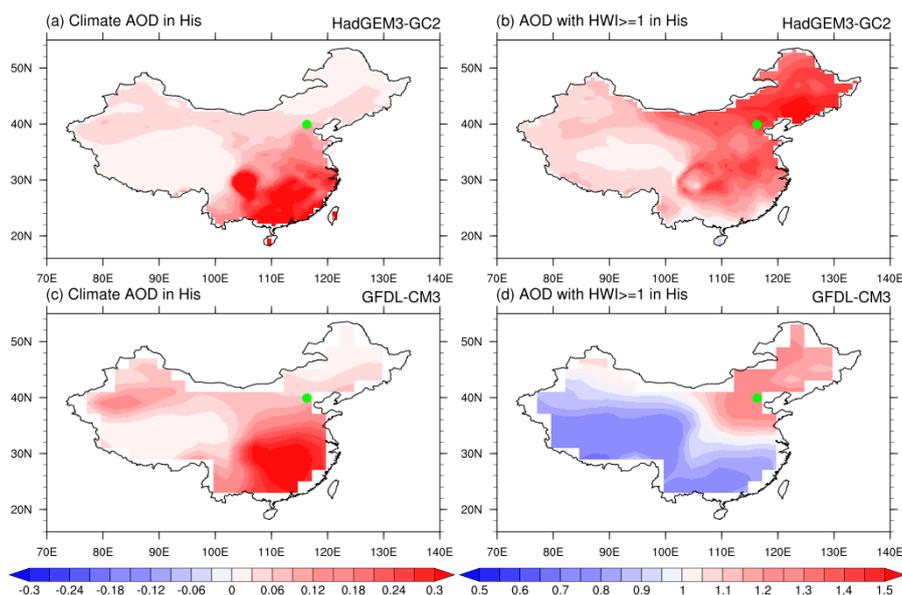
701 **Fig.8** The difference of the climate mean surface temperature (left, K) and sea level  
702 pressure (right, hPa) between MTFR and CLE simulated by (a)-(b) HadGEM3-GC2  
703 and (c)-(d) GFDL-CM3.



704  
705 **Fig.9** Same as Fig.4, but for histograms of the East Asian winter monsoon index and its  
706 components. SLP<sub>1</sub>, SLP<sub>2</sub> and SLP<sub>3</sub> stand for the SLP averaged over Siberia, North  
707 Pacific and Maritime continent, respectively.  
708  
709



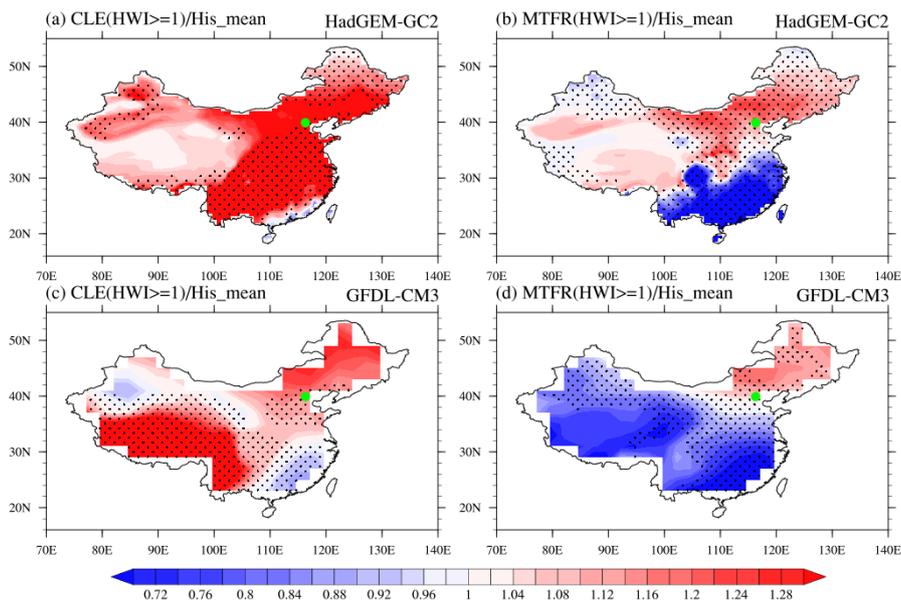
710



711

712 **Fig.10** DJF mean (left) AOD at 550 nm in (a) HadGEM3-GC2 and (c) GFDL-CM3  
713 averaged over 1980-2004. Right is same as left, but for the ratio of AOD (unit: %)  
714 averaged in the winter months with  $HWI \geq 1$  relative to winter mean of 1980-2004. Blue  
715 and red shadings in (c)-(d) are lower and higher than the climate winter mean of His,  
716 respectively.

717

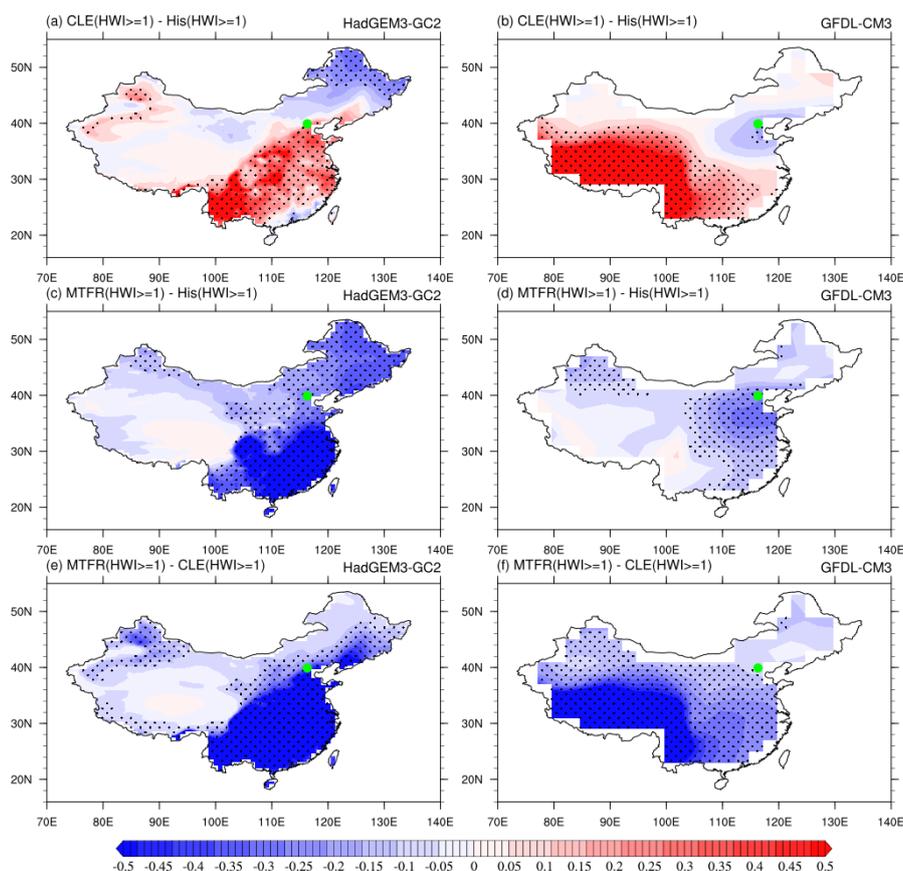


718

719 **Fig 11.** Same as Fig.10b and d, but for the results projected in CLE and MTRF. The  
720 baseline is the winter mean of 1980-2004.

721

722



723  
724 **Fig. 12** Difference between the ratio of AOD when  $\text{HWI} \geq 1.0$  to His winter mean in  
725 CLE and ratio of AOD when  $\text{HWI} \geq 1.0$  to His winter mean in His in (a) HadGEM3-  
726 GC2 and (b) GFDL-CM3. (c)-(d) and (e)-(f) are same as (a) and (b), but for the  
727 difference between MTFR and His and the difference between MTFR and CLE,  
728 respectively. Blue and red shadings indicate projected AOD when  $\text{HWI} \geq 1.0$  is lower  
729 and higher than the AOD when  $\text{HWI} \geq 1.0$  in His, respectively.

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