# Forest Fire Aerosol – Weather Feedbacks over Western North America Using a High-Resolution, On-line Coupled, Air-Quality Model

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Abstract. The influence of both anthropogenic and forest fire emissions, and their and subsequent chemical and physical processing, on the accuracy of weather and air-quality forecasts, was studied using a high resolution, on-line coupled air-quality model. Simulations were carried out for the period 4 July through 5 August 2019, at 2.5-km horizontal grid cell size, over a 2250 x 3425 km<sup>2</sup> domain covering western Canada and USA, prior to the use of the forecast system as part of the FIREX-AQ ensemble forecast. Several large forest fires took place in the Canadian portion of the domain during the study period. A feature of the implementation was the incorporation of a new online version of the Canadian Forest Fire Emissions Prediction System (CFFEPSv4.0). This inclusion of

19 thermodynamic forest fire plume-rise calculations directly into the on-line air-quality model allowed us to simulate

20 the interactions between forest fire plume development and weather.

21 Incorporating feedbacks resulted in weather forecast performance that exceeded or matched the no-feedback forecast,

22 at greater than 90% confidence, at most times and heights in the atmosphere. The feedback forecast out-performed

23 the feedback forecast at 35 out of 48 statistical evaluation scores, for PM2.5, NO<sub>2</sub> and O<sub>3</sub>. Relative to the

24 climatological cloud condensation nuclei and aerosol optical properties used in the no-feedback simulations, the on-

25 line coupled model's aerosol indirect and direct effects were shown to result in feedback loops characterized by

26 decreased surface temperatures in regions affected by forest fire plumes, decreases in stability within the smoke plume,

27 increases in stability further aloft, and increased lower troposphere cloud droplet and raindrop number densities. The

28 aerosol direct and indirect effect reduced oceanic cloud droplet number densities and increased oceanic rain drop

29 number densities, relative to the no-feedback climatological simulation. The aerosol direct and indirect effects were

30 responsible for changes to the near-surface PM2.5 and NO<sub>2</sub> concentrations at greater than the 90% confidence level

 $near the forest fires, with O_3 changes remaining below the 90% confidence level.$ 

32 The simulations show that incorporating aerosol direct and indirect effect feedbacks can significantly improve the

33 accuracy of weather and air quality forecasts, and that forest fire plume rise calculations within a on-line coupled

34 model changes the predicted fire plume dispersion and emissions, the latter through changing the meteorology driving

35 fire intensity and fuel consumption.

### 36 **1 Introduction**

37 Atmospheric aerosol particles may be emitted (primary particles) or result from the condensation of the products of

38 gas-phase oxidation reactions (secondary aerosol). With increasing transport time from emission sources, the

39 processes of coagulation (colliding particles stick adhere creating larger particles) and condensation (low volatility 40 gases condense to particle surfaces) tend to result in particles which have a greater degree of internal mixing (internal

41 homogeneous mixtures). Primary and near-source particles are more likely to have a single or a smaller number of

42 chemical constituents (external mixtures).

43 Atmospheric particles also modify weather through well-established pathways. Under clear sky conditions, the 44 particles may absorb and/or scatter incoming light, depending on their size, shape, mixing state (internal, external or 45 combinations) and their composition. The presence of the particles themselves may thus affect the radiative budget 46 of the atmosphere, resulting in either positive or negative climate forcing (i.e. the absorption of a greater amount of 47 incoming solar radiation versus increased scattering reflection of that radiation back out into space, a process known 48 as the Aerosol Direct Effect; ADE). Aerosols can also alter the atmospheric radiative balance through interactions 49 with clouds, this influence being referred to as the Aerosol Indirect Effect (AIE). Three broad classes of categories 50 by which cloud/aerosol interactions take place (Oreopoulos et al., 2020) include the first indirect effect, where higher 51 aerosol loadings resulting in increasing numbers of cloud droplets with smaller sizes, hence increasing cloud albedo 52 (Twomey et al., 1977), the second indirect effect, where higher aerosol loadings suppress the collision-coalescence 53 activity of the smaller droplets, reducing precipitation/drizzle, changing cloud heights, and changing cloud lifetime in 54 warm clouds (Albrecht, 1989), and aerosol "invigoration" of storm clouds, where higher aerosol loadings may result 55 in delayed glaciation of cloud droplets, in turn leading to greater latent heat release and stronger convection (Rosenfeld

56 *et al.*, 2018).

57 The uncertainties associated with the ADE and particularly AIE account for a large portion of the uncertainties in 58 current climate model predictions for radiative forcing between 1750 and 2011 (Mhyre et al., 2013). Carbon dioxide 59 is believed to have a positive (warming) global radiative forcing of approximately  $1.88 \pm -0.20$  Wm<sup>2</sup>, while the direct and indirect effects both have nominal values of approximately -0.45 Wm<sup>-2</sup>, with uncertainty ranges encompassing -60 0.94 to +0.07 and -1.22 to 0.0 Wm<sup>-2</sup> respectively. These uncertainties have spurred research designed to better 61 62 characterize the ADE and AIE, and reduce these uncertainties, through both observations and atmospheric modelling. 63 Observational studies of the ADE have established its large impact; for example, high aerosol loading over Eurasian 64 boreal forests has been found to double the diffuse fraction of global radiation (i.e. increased scattering), a change 65 sufficient to affect plant growth characterized via gross primary production (Ezhova et al., 2018). Aerosol assimilation 66 of Geostationary Ocean Color Imager Aerosol Optical Depth (AOD) observations into a coupled meteorology-67 chemistry model showed that South Korean AOD values increased by as much as 0.15 with the use of assimilation; 68 these increases corresponded to a local -31.39 W m<sup>2</sup> reduction in solar radiation received at the surface, and reductions 69 in planetary boundary layer height, air temperature, and surface wind speed over land, and a deceleration of vertical 70 transport (Jung et al., 2019). Other studies in East Asia have shown ADE decreasing local shortwave reaching the 71 surface by -20 Wm<sup>2</sup> (Wang et al., 2016), as well as significant changes in surface particulate matter and gas 72 concentrations in response to these radiation changes.

73 However, one commonality amongst the recent studies of the ADE for air-quality models is a tendency towards 74 negative biases in predicted aerosol optical depths, potentially indicating systematic under-predictions in aerosol mass, 75 aerosol size, and/or inaccuracies in the assumptions for shape and/or mixing state. Mallet et al. (2017) noted this 76 negative bias for regional climate model AOD predictions associated with large California forest fires compared to 77 OMI and MRIS satellite observations. Palacios-Pena et al. (2018) noted that high AOD events associated with forest 78 fires were under-predicted by most models in a study employing a multi-regional-model ensemble. The chosen AOD 79 calculation methodology and mixing state assumptions employed in models also plays a role in systematic biases: 80 Curci et al. (2015) compared aerosol optical depths, single scattering albedos, and asymmetry factors at different 81 locations to observations, varying the source model for the aerosol composition, as well as the mixing state 82 assumptions used in generating aerosol optical properties, for Europe and North America. AODs were biased low by 83 a factor of two or more, regardless of model aerosol inputs or mixing state assumptions at 440 nm, single scattering 84 albedos were biased low by up to a factor of two, with the poorest performance for "core-shell" approaches, while 85 asymmetry factor estimates showed no consistent bias relative to observations. However, the assumed mixing state 86 was clearly a controlling factor in the negative biases; the AOD predictions closest to the observations at 440 nm 87 assumed an external mixture with particle sulphate and nitrate assumed to grow hygroscopically as pure sulphuric 88 acid, lowering their refractive index with increasing aerosol size. This mixing state assumption and the different homogeneous mixture assumptions gave the best fit for single scattering albedo relative to observations. While not 89 90 commenting on aerosol direct effect implications, Takeishi et al. (2020) noted that forest fire aerosols increase particle 91 number concentrations but reduce their water uptake (hygroscopicity) relative to anthropogenic aerosols, with the 92 latter effect reducing the resulting cloud droplet numbers by up to 37%. Mixing state and hygroscopicity properties 93 of aerosols were thus shown to have a controlling influence on the ADE. 94 The AIE has often been shown to be locally more important for the radiative balance than ADE in terms of magnitude

95 of the radiative forcing and response of predicted weather to AIE and ADE (Makar et al., 2015(a); Jiang et al., 2015; 96 Nazarenko et al., 2017). Several recent studies have attempted to characterize the relative importance of the AIE with 97 the use of multi-year satellite observations, sometimes making use of models and data assimilation. Saponaro et al. 98 (2017) used MODIS/Aqua linked observations of aerosol optical depth and Ångström exponent to various cloud 99 properties, noting that the cloud fraction, cloud optical thickness, liquid water path, and cloud top height all increased 100 with increasing aerosol loading, while cloud droplet effective radius decreased, with the effects dominating at low 101 levels (between 900 to 700 hPa). Zhao et al. (2018) examined 30 years of cloud and aerosol data (1981-2011), and 102 found that increasing aerosol loading up to AOD < 0.08 increased cloud cover fraction and cloud top height, while 103 further increases in aerosol loading (AOD from 0.08 to 0.13) resulted in higher cloud tops, and larger cloud dropets. 104 In polluted environments (AOD > 0.30) cloud droplet effective radius, optical depth and water path; cloud droplet 105 effective radius increased with increasing AOD. The first ADE was most sensitive to AOD in the AOD range 0.13 to

106 0.30; and the reduction of precipitation efficiency associated with the second aerosol indirect effect occurred for AODs

107 between 0.08 and 0.4, in oceanic areas downwind of continental sources.

108 However, sources of uncertainty in AIE estimates persist, in part due to the number of poorly understood processes

109 contributing to the atmospheric response to the presence of aerosols. Nazerenko *et al.* (2017) showed that short-term

- 110 atmospheric radiative changes were reduced in magnitude when sea-surface temperature and sea-ice coupling was
- 111 included in climate change simulations. Suzuki et al. (2019) showed that the vertical structure of atmospheric aerosok,
- as well as their composition, had a significant influence on radiative forcing. Penner et al. (2018) and Zhu et al. (2020)
- 113 examined the impact of aerosol composition on cirrus clouds via ice nucleation, finding negative forcings for most
- 114 forms of soot, but a contrary impact of secondary organic aerosols. Rothenburg *et al.* (2018) noted that tests of aerosol
- 115 activation schemes carried out under current climate conditions had little variability, but had much greater variability
- 116 for pre-industrial simulations, implying that the available data for evaluation using current conditions may poorly
- 117 constrain ADE and AIE parameterizations used in simulating in past climates.
- 118 Forest fires are of key interest for improving the understanding and representation of ADE and AIE in models, due to 119 the large amount of aerosols released during these biomass burning events. Forest fire emissions and interactions with 120 weather are also of interest due to the expectation that the meteorological conditions resulting in forest fires may 121 become more prevalent in the future under climate change (Hoegh-Guldberg et al., 2018). Observations of aerosol 122 optical properties during long-range transport events of North American forest fire plumes to Europe showed 500 nm 123 AOD values of 0.7 to 1.2 over Norway, with Ångströmexponents exceeding 1.4 and absorbing angstrom exponents 124 ranging from 1.0 to 1.25, along with single scattering albedos greater than 0.9 at the surface and up to 0.99 in the 125 column over these sites (Markowicz et al., 2016). Biomass burning was shown to have a specific set of optical 126 properties relatively independent of fuel type for three different types of biomass burning in China (cropland), Siberia (mixed forest) and California (needleleaf forest). The increase in upward radiative forcing at the top of the atmosphere 127 128 due to fires being linearly correlated to AOD (R from 0.48 to 0.68), with slopes covering a relatively small range from 129 20 to 23 W m<sup>-2</sup> unit AOD<sup>-1</sup>. O'Neill et al. (2001) showed that forest fires have a profound impact on aerosol optical depth in western Canada, accounting for 80% of the summer AOD variability in that region, with a factor of three 130 131 increase in AOD levels from clear-sky to forest fire plume conditions. O'Neill et al. (2001)'s analysis of TOMS 132 AVHRR and GOES imagery suggested that forest fire aerosols increase in size with increasing downwind distance, 133 due to secondary aerosol aging and condensation chemistry. We note here that reanalyzing the data presented in 134 O'Neill *et al.* (2001) results in a linear relationship between fine mode particle effective radius ( $r_{eff}$ , µm) and the base 10 logarithm of distance from the fires (D, km) of  $r_{eff} = 0.0106 \log_{10}(D) + 0.1163, R^2 = 0.18$ ). Mallet *et al.* 135 (2017) simulated AODs in the range 1 to 2 for biomass burning events, and also noted changes in direct radiative 136 137 forcing at the top of the atmosphere from positive to negative in both model results and simulations, with increasing downwind distance from the sources. Lu et al. (2017) carried out simulations with 5-km horizontal grid spacings for 138 139 the eastern Russia forest fires of 2002 as suming an internal mixture for emitted aerosols with the WRF-CHEM model, 140 and noted impacts on cloud formation for two different periods. The first period was characterized by high cloud 141 droplet and small ice nuclei numbers, where the fire plumes reduced cloud rain and snow water content, large scale 142 frontal system dynamics were altered by smoke, and precipitation was delayed by a day. The second period was 143 characterized by high numbers for cloud droplets and ice nuclei, where the fire plumes reduced rain water content, 144 increased snow water content, and precipitation locations changed locally across the simulation domain. Russian 145 forest fire simulations for 2010 with suites of on-line coupled air-quality models (Makar et al., 2015; Palacios-Pena

146 *et al.*, 2018; Baro *et al.*, 2017) showed substantial local impacts, such as reductions in average downward shortwave 147 radiation of up to  $80W \text{ m}^{-2}$  and temperature of -0.8 °C (Makar *et al.*, 2015(a)).

148 Given the above developments in direct and indirect parameterizations, and the increasing amount of information

149 available for estimating forest fire emissions, the impact of forest fires on weather, in the context of weather

150 forecasting, is worthy of consideration. Air-quality model predictions of forest fire plumes have been provided to the

- 151 public under operational forecast conditions of time- and memory-space limited computer resources (e.g. Chen *et al.*,
- 2019; James *et al.*, 2018; Ahmadov *et al.*, 2019, Pan *et al.*, 2017). These simulations make use of satellite retrievals
  of forest fire hot-spots, climatological data on the extent of area burned by land use type, databases of fuel type linked

154 to emission factors, and an *a priori* weather forecast to provide the meteorological inputs required to predict forest

155 fire plume rise. The latter point is worthy of note in the context of the direct and indirect feedback studies noted above

156 – both climate and weather simulations with prescribed forest fire emissions have consistently resulted in large

- 157 perturbations of weather patterns in the vicinity of the forest fires. However, their approaches for predicting forest
- 158 fire plume rise and fire intensity and fuel consumption in operational regional scale forecasts up until now have relied
- 159 on weather forecast information provided *a priori* and hence lacking those meteorological feedback effects.

160 The connection of the ADE and AIE within a regional air-quality and weather forecast model context is referred to as "coupling", with such a model being described in that body of literature as "on-line coupled" (Galmarini et al., 2015) 161 or "aerosol-aware" (Grell and Freitas, 2014). However, several researchers have examined aerosol-radiative coupling 162 along with *fire spread and growth* (as opposed to fire intensity and fuel consumption). The latter work employs very 163 164 high-resolution forest fire spread and growth models, and due to their very high resolution, an additional level of coupling, that of interaction of dynamic meteorology with the heat released by the fire, may be included. However, 165 the resolution requirements for these models (and their need for a relatively small computational time step) constrains 166 167 their application to a relatively small region. A requirement for these approaches is the use of a very high resolution 168 fire growth model imbedded within the air-quality model. At these resolutions, the simulated local-scale meteorology 169 determines fire spread on the landscape, which in turn modifies the temperature and wind fields, in turn affecting future fire spread. The seminal work on this topic was carried out by Clark et al. (1996), and Linn et al. (2002). More 170 171 recent work includes the development of the WRF-FIRE model (Mandel et al., 2011; Coen et al., 2013), with full 172 chemistry added in the WRFSC model (Kochanski et al., 2016). Examples of the resolution required for these models 173 include inner domain resolutions of 444 m with an imbedded fire model mesh of 22.2 m resolution, and a time step of 174 3.3 seconds (Kochanski et al., 2016); 1.33 km with an imbedded fire model mesh of 67.7m, and a time step of 2 175 seconds (Kochanski et al., 2019), and 222m, with a fire model mesh of 22m and a time step of 2 seconds (Peace et 176 al., 2015). Kochanski et al (2016) also noted a 13 to 30 hour computational time requirement to run their high-177 resolution modelling system. These modelling efforts allow for this additional level of coupling – but at the expense 178 of additional computation time preventing, at the current state of supercomputer processing, their application on 179 synoptic-scale forecast domains combined with a full gas chemistry and size-resolved multi-component particle 180 chemistry representation. Here we explore the effects of fire emissions characterized by fire intensity and fuel 181 consumption modelling on the aerosol direct and indirect effects over synoptic scale domain. Our coupling refers to 182 that between the aerosols released by fires and other sources to meteorology through the ADE and AIE, with the

183 resulting changes in meteorology in turn influencing fire intensity andfuel consumption,, in turn influencing plume

184 rise, emissions height, and distribution, closing this feedback loop. We do not implement a very high resolution

- 185 growth model, noting that this is impractical for operational forecasts at the current time, while showing that synoptic
- 186 scale 2.5km simulations incorporating fire feedbacks may be carried out within an operational window with currently
- 187 available supercomputers. As shown below, we find that a sufficiently substantial feedback between the aerosol direct
- 188 and indirect effects can be discerned to change the vertical distribution of emitted pollutants.
- A key consideration in parameterizing the AIE (via aerosol-cloud interaction) is the manner in which the cloud condensation process is represented in the meteorological component of the modelling system. In numerical weather prediction (NWP) models, clouds and precipitation are represented by a combination of physical parameterizations that are each targeted at a specific subset of moist processes. These include "implicit" (subgrid-scale) clouds generated by the boundary layer and the convection parameterization schemes (e.g Sundqvist, 1988), and "explicit" clouds from the grid-scale condensation scheme (Milbrandt and Yau, 2005(a,b), Morrison and Milbrandt, 2015, Milbrandt and
- 195 Morrison, 2016). Depending on the model grid these "moist physics" schemes vary in their relative importance.
- However, regardless of the horizontal grid cell size, the grid-scale condensation scheme plays a crucial role in atmospheric models, though to different degrees and using different methods, depending on the grid spacing and the
- 198 corresponding relative contributions of the implicit schemes. A grid-scale condensation scheme will in general consist

199 of the following three components: 1) a subgrid cloud fraction parameterization (CF, or cloud "macrophysics"

- scheme); 2) a microphysics scheme; and 3) a precipitation scheme (Jouan *et al.*, 2020). The cloud fraction (CF) is the
- 201 percentage of the grid element that is covered by cloud (and is saturated), even though the grid-scale relative humidity
- 202 may be less than 100%. The microphysics parameterization computes the bulk effects of a complex set of cloud
- 203 microphysical processes. If precipitating hydrometeors are advected by the model dynamics, the precipitation is said
- to be *prognostic*; if precipitation is assumed to fall instantly to the surface upon production, it is considered *diagnostic*.
- 205 The precipitation "scheme" is not a separate component per se, since it simply reflects the level of detail in the
- 206 microphysics parameterization, but it is a useful concept to facilitate the comparison of different grid-scale 207 condensation parameterizations.
- 208 With a wide range of grid cell sizes in current NWP models, there is a wide variety of types of condensation schemes
- and degrees of complexity in their various components. For example, cloud-resolving models (with grid spacing on
- 210 the order of 1 km or less) have typically used detailed bulk microphysics schemes (BMSs), with prognostic
- 211 precipitation, and no diagnostic or prognostic CF component (i.e. the CF is either 0 or 1). Large-scale global models
- 212 use condensation parameterizations, sometimes referred to as "stratiform" cloud schemes, typically with much simpler
- 213 microphysics and diagnostic precipitation, but with more emphasis on the details of the CF. However, with continually 214 increasing computer resources and decreasing grid spacing (both in research and operational prediction systems), the
- 215 distinction between schemes designed for specific ranges of model resolutions is disappearing and condensation
- 216 schemes are being designed or modified to be more versatile and usable across a wider range of model resolutions
- 217 (e.g. Milbrandt and Morrison, 2016).
- 218 Aerosol-cloud interactions and feedback mechanisms are difficult to represent in grid-scale condensation schemes
- 219 with very simple microphysics components. For example, to benefit from the predicted number concentrations of

- 220 cloud condensation nuclei and ice nuclei, the microphysics needs to be double-moment (predicting both mass and
- number) for at least cloud droplets and ice crystals, respectively. Until recently, detailed BMSs were only used at
- 222 cloud resolving scales, hence requiring these relatively high resolutions to be recommended in feedback modelling.
- In recent years, multi-moment BMSs have been used in operational NWP for model grid spacings of 2-4 km (e.g.
- 224 Seity et al., 2010, Pinto et al., 2015, Milbrandt et al., 2016). Further, condensation schemes with detailed microphysics
- are starting to use non-binary CF components (e.g. Chosson et al., 2014, Jouan et al., 2020), thereby allowing detailed
- 226 microphysics to be used at larger scales, and hence allowing the same indirect feedback parameterizations to be used
- 227 at multiple scales. Nevertheless, the expectation is that detailed parameterization will provide a more accurate
- 228 representation of cloud formation at the near cloud-resolving scales, without the complicating aspect of a diagnostic
- 229 CF, motivating the use of km-scale grid spacing for feedback studies.
- 230 The formation of secondary aerosols from complex chemical reactions are another key consideration in feedback
- 231 forecast implementation, given the impact of aerosol composition on aerosol optical and cloud formation properties,
- as described above.

In the sections which follow, we describe our high resolution, on-line coupled air-quality model with on-line forest fire plume rise calculations, which was created as part of the FIREX-AQ air-quality forecast ensemble (https://www.esrl.noaa.gov/csl/projects/firex-aq/), to address the following questions:

- (1) Will a on-line coupled model of this nature provide improved forecasts of *both* weather and air-quality, using
   standard operational forecast evaluation tools, techniques and metrics of forecast confidence? That is, despite the
   uncertainties in the literature as described above, are these processes sufficiently well described in our model that
   their use results in a formal improvement in forecast accuracy?
- (2) Are the changes in forest fire plume rise associated with implementing this process directly within a on-line
   coupled model sufficient to result in significant perturbations to weather predictions and to chemistry? What are
   these perturbations?
- 243 We employ our on-line coupled model with 2.5-km grid cell size domain covering most of western North America,
- and compare model results to surface meteorological and chemical observations, and to vertical column observations
- of temperature and aerosol optical depth (AOD), in order to quantitatively evaluate the effect of feedback coupling of
- the ADE and AIE on model performance. We then compare feedback and no-feedback simulations to show the
- 247 impacts of the ADE and AIE feedbacks on cloud and other meteorological predictions, and on key air quality variables
- 248 (particulate matter, nitrogen dioxide, and ozone). We begin our analysis with a description of our modelling platform

### 249 **2 Model Description**

### 250 **2.1 GEM-MACH**

251 The Global Environmental Multiscale – Modelling Air-quality and CHemistry (GEM-MACH) model in its on-line

coupled configuration has been described elsewhere (Makar *et al.*, 2015a,b; Gong *et al.*, 2015, 2016). The model

253 combines the Environment and Climate Change Canada Global Environmental Multiscale weather numerical weather

254 prediction model (GEM, Cote *et al.*, 1998, Girard *et al.*, 2014) with gas and particle process representation using the

- 255 on-line paradigm, with options for climatological versus full coupling between meteorology and chemistry. GEM-
- 256 MACH's main processes for the two configurations employed here are described in Table 1.
- 257 Simulations were carried out with a 2.5-km horizontal grid cell spacing over a 900 x 1370 grid cell domain, covering
- 258 most of western Canada and the USA (Figure 1). The meteorological boundary conditions for the simulation were a
- 259 combination of 10-km resolution GEM forecasts updated hourly (themselves originating in data assimilation analyses
- 260 of real-time weather information; Figure 1(a)), and 2.5-km GEM simulations (Figure 1(c)) employing, in the northem
- 262 surface conditions. Both "feedback" and "no feedback" simulations were carried out on a 30-hour forecast cycle

portion of this 2.5-km domain, the Canadian Land Data Assimilation System (Carrera et al., 2015), to better simulate

- 263 (Figure 2). Following the usual practice for weather forecasts, the analysis -driven meteorological forecasts at 10 km
- resolution were updated operationally every 24 hours at 12 UT (Figure 2(a)). These 10 km resolution weather forecasts
- were used to drive a 30-hour, 10-km resolution GEM-MACH forecast (Figure 1(b), Figure 2(b)), which employed
   ECMWF reanalysis data for North American chemical lateral conditions (Innes *et al.*, 2019). The 10-km resolution
- weather forecasts were also used to drive a 30-hour meteorology-only forecast at 2.5-km resolution on the high resolution domain (Figure 1(c), Figure 2(c)). The last 24 hours of the 10-km resolution GEM-MACH forecast was
- resolution domain (Figure 1(c), Figure 2(c)). The last 24 hours of the 10-km resolution GEM-MACH forecast was also used to provide chemical lateral boundary conditions for the 24-hour 2.5km on-line coupled GEM-MACH
- also used to provide chemical lateral boundary conditions for the 24-hour 2.5km on-line coupled GEM-MACH
   simulation (Figure 1(c), Figure 2(d)). The last 24 hours of the 2.5-km GEM simulation were used as meteorological
- 271 initial and boundary conditions for the 24-hour 2.5-km on-line coupled GEM-MACH simulation (Figure 1(c), Figure
- 272 2(d)). The two stages of meteorology-only simulations were carried out to prevent chaotic drift from the observed
- 273 meteorology, and to allow spin-up time for the cloud fields of that meteorology to reach equilibrium (6-hour
- timeframe). Chemical initial concentrations for each consecutive forecast within the 2.5- km GEM-MACH model
- domain were "rolled over" or "daisy-chained" between subsequent forecasts without chemical data assimilation.
- Forecast performance scores presented here are for the inner 2.5-km domain from this set of linked 24 forecast
- 277 simulations, mimicking operational forecast conditions.

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### 278 **2.2 CFFEPS Version 4.0: On-line forest-fire plume rise calculations**

- 279 In addition to the above algorithm improvements relative to GEM-MACH implementations, this model system setup
- has incorporated the first on-line calculation of forest-fire plume-rise by energy balance driven using on-line
- 281 meteorology, in a new version of the Canadian Forest Fire Emissions Prediction System (CFFEPS). The algorithms
- of CFFEPSv2.03 are described in detail and evaluated elsewhere (Chen et al., 2019), but will be outlined briefly here,
- as well as subsequent modifications to this forest fire emissions processing module.
- 284 CFFEPS combines near-real-time satellite detection of forest fire hotspots with national statistics of burn areas by
- Canadian province and by specific fuel type across North America. CFFEPS assumes persistence fire growth in the subsequent 24- to 72-hour forecasts with hourly fuel consumed calculated (kg  $m^{-2}$ ), based on GEM forecast
- 287 meteorology and predicted fire intensity and fuel consumption in grid cells representing fire locations. The modelled
- fire fuel consumption is then linked with combustion-phase specific emission factors  $(g kg^{-1})$  for fire specific emissions
- and chemical speciation. Fire energy associated with the modelled combustion process is also estimated, and is used
- in conjunction with *a priori* forecasts of meteorology within the column to determine plume rise. In its off-line/non-

292 levels (surface, 850, 700, 500, 250 mb). CFFEPS predicts plume injection heights, which are in turn used to 293 redistribute the mass emissions below the plume top to the model hybrid levels. This approach employed in 294 CFFEPSv2.03 provided a substantial improvement in forecast accuracy relative to the previous approach employing 295 modified Briggs (Briggs, 1965, Pavlovic et al., 2016) plume rise formulae in the offline GEM-MACH forecast system 296 (Chen et al., 2019). A recent evaluation of the plume heights predicted by CFFEPS was carried out utilizing MISR 297 and TROPOMI satellite retrieval data (Griffin et al, 2020). Seventy cases studied using MISR data showed good 298 agreement between satellite and CFFEPS-predicted maximum and mean plume heights (maximum plume height 299 observed versus predicted values and standard deviations:  $1.7\pm0.9$  versus  $2.0\pm1.0$  km; mean plume height observed 300 versus predicted:  $1.3\pm0.6$  versus  $1.3\pm0.4$  km). A larger number of case studied using TROPOMI data (671 in total)

coupled configuration (Chen et al., 2019), CFFEPS carries out residual buoyancy calculations at five preset pressure

- 301 also showed a reasonable agreement, with CFFEPS showing a small tendency to overpredict heights (maximum
- 302 observed versus predicted plume heights  $2.2\pm1.6$  versus  $2.5\pm1.2$  km; mean observed versus predicted plume heights
- 303  $0.7\pm0.5$  versus  $1.1\pm0.6$  km).

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304 However, other work has shown the substantial impact of large forest fires on regional weather (Makar et al., 2015a; 305 Palacios-Pena et al., 2018, Baro et al., 2017), including changes to the surface radiative balance and atmospheric 306 stability. These findings imply that plume rise calculations employing an *a priori* weather forecast lacking the impact 307 of fire plumes via the ADE and AIE may not accurately predict the weather conditions critical to subsequent forest 308 fire plume rise prediction. In order to study this possibility, and to allow forest fire plumes to influence weather and 309 hence subsequent fire spread/growth, several changes were made to CFFEPS implementation, resulting in version 4.0 310 of CFFEPS, used here. The process flow within CFFEPSv2.03 versus CFFEPSv4.0 are compared in Figure 3. The 311 original C language CFFEPSv2.03 code was converted to FORTRAN90, and following successful off-line 312 comparisons to the original code, was then integrated as an on-line subroutine package within GEM-MACH itself, 313 with the near-real-time satellite hotspot data and location fuel parameters being read into GEM-MACH directly 314 (CFFEPSv4.0 is this new on-line package). A key advantage of the CFFEPSv4.0 subroutine integration within GEM-315 MACH is that the residual buoyancy calculations for plume injection heights are now carried out over the model 316 hybrid model layers, rather than the five coarse resolution, prescribed pressure levels of CFFEPSv2.03, making complete use of GEM-MACH's detailed vertical structure. Additionally, CFFEPSv4.0 allows plume rise calculations 317 318 to be updated during model runtime. When GEM-MACH is run in on-line coupled mode, the ADE and AIE 319 implementations allow model-generated aerosols to modify the predicted meteorology, in turn influencing predicted 320 fire emissions and plume rise, closing these feedback loops. The on-line implementation of CFFEPSv4.0 thus allows 321 us to investigate the effects of meteorology on subsequent forest fire plume development, the changes to modelled 322 aerosol compositions, and, ultimately, the feedbacks to weather.

The formation of particles from forest fires affects meteorology on the larger scale via the ADE and AIE, in tum modifying the regional scale atmospheric features affecting fire growth, such as the temperature profiles below forest

325 fire plumes. However, we note that CFFEPSv4.0 employs forest fire heat to determine plume rise as a subgridscale

- 326 thermodynamic process parameterization rather than a very high resolution explicit fire growth parameterization; the
- 327 very local scale weather modifications due to the addition of forest fire heat to the atmosphere are not incorporated

- 328 into fire spread or GEM microphysics. Specifically, when the feedback version of GEM-MACH incorporating
- 329 CFFEPSv4.0 is used in its on-line coupled configuration, CFFEPSv4.0 uses estimates of the heat released to calculate
- 330 forest fire plume rise. These calculations employ lapse rates at the fire locations, that with feedbacks enabled, include
- the ADE and AIE generated by forest fire aerosols on atmospheric stability within the current on-line coupled model
- 332 timestep. This is in contrast to earlier off-line implementations of CFFEPS, which made use of a priori non-feedback
- 333 weather forecast lapse rates. To the best of our knowledge, this is the first implementation of a dynamic forest fire
- 334 plume injection height scheme incorporated into a on-line coupled high-resolution, operational air quality forecast
- 335 modelling system. The impact of this feedback on both weather and air-quality can be substantial, as we show in the
- 336 following sections.
- The locations of the daily forest hotspots detected during the study period, and the corresponding magnitude of the daily PM2.5 emissions generated by CFFEPS for each hotspot are shown in Figure 4. Individual hotspots with the
- highest magnitude emissions are located in the state of Nevada (Figure 4(a), southern boxed region). However, the
- 340 largest ensemble emissions from a suite of hots pots occurs in northern Alberta (Figure 4(a), northern boxed region).
- 341 Expanded views of the northern Alberta and Nevada hotspots are shown in Figure 4(b,c) respectively the use of
- 342 smaller symbols shows that the Alberta hotspots are groups representing large spreading fires, which overplotted in
- 343 Figure 4(a), while the Nevada hotspots indicate single fires of small spatial extent and duration rather than larger
- 344 spreading fires. The Alberta fires are thus the most significant sources of forest fire emissions in the study domain for
- 345 the period analyzed here.
- 346

# 347 2.2 Feedback and No-Feedback Simulations

Two simulations were carried out for the period July 4<sup>th</sup> through August 5<sup>th</sup> 2019; a "feedback" (ADE and AIE 348 349 feedbacks enabled - on-line coupled model) and a "no-feedback" simulation (ADE and AIE make use of GEM's 350 climatological aerosol radiative and CCN properties – the one-way coupled model). During this period, five large 351 forest fires took place in the northern portion of the modelling domain. The two parallel combined meteorology and 352 air-quality forecasts in the on-line coupled model with/without ADE and AIE coupling were evaluated for 353 meteorological and air quality variables. Following evaluation, the simulation mean values of hourly meteorological 354 and chemical tracer predictions were compared to analyze the impact of on-line coupled ADE and AIE feedbacks on 355 both sets of fields.

### 356 **3 Model Evaluation**

### 357 3.1 Meteorology Evaluation

358 Surface meteorological conditions were evaluated at three-hour intervals from the start of both of the two sets of paired

359 24-hour forecasts using standard metrics of weather forecast performance including mean bias (MB), mean absolute

360 error (MAE), root mean square error (RMSE), correlation coefficient (R) and standard deviation (σ). In all

361 comparisons, a 90% percent confidence level assuming a normal distribution was used to identify statistically different

results between forecast simulations. Note that 90% confidence levels are commonly used in meteorological forecast evaluation, with values of 80% to 85% recommended (Pinson and Kariniotakis, 2004) and up to 90% used (Luig *et al.*, 2001) for variables such as wind speed, rather than the 95% or 99% confidence levels in other fields, in recognition of the difficulties inherent in prognostic forecasts of the chaotic weather system. Here, the confidence range formulation of Geer (2014) has been applied using a 90% confidence level in model predictions, with the statistical measures considered different at the 90% confidence level when the 90% confidence ranges do not overlap. The

368 surface meteorological evaluations shown here only include those variables and metrics where results were 369 significantly different at the 90% confidence level.

- 370 Several model forecast output variables were evaluated and the surface variables showing statistically significant 371 differences relative to observations at the 90% confidence level included: 2 m temperature, surface pressure, 2 m 372 dewpoint temperature, 10 m wind speed, sea-level pressure, and accumulated precipitation (the latter in 3 different 373 metrics). The comparisons are shown as time series in three-hourly intervals as a function of forecast hour prediction 374 time forward from forecast hour 0, for grid cells corresponding to measurement locations in Figures 5, 6, 7, 8, 9, 10, 375 and 11 for each of these quantities, respectively. Note that these statistics measure domain-wide performance, across 376 all of the reporting stations within the model domain, during the sequence of 24-hour forecasts comprising the 377 simulation period. The duration of the time series in these comparison figures is thus a function of the duration of the 378 contributing forecasts. 379 Figure 5 shows an example analysis for surface temperature bias for the entire model domain. Figure 5(a) shows the
- 380 average model mean bias (MB) time series across all stations and all forecasts at the given forecast hours, while Figure 381 5(b) shows the corresponding difference in the MB absolute values. The difference plot in Figure 5(b) shows the 382 feedback – no-feedback scores, such that scores below the zero line indicate superior performance of the feedback 383 forecast, while those above the zero line indicate superior performance of the no-feedback forecast. Here, the feedback 384 forecast was statistically superior at forecast hours 3, 6, 15, 18 and 24 at the 90% confidence level at these forecast 385 hours, and both simulations were at par (differences below the 90% confidence level) at hours 12 and 21, with the 386 no-feedback forecast being superior at 90% confidence at hour 9. The feedback forecast thus has superior 387 performance, at greater than 90% confidence, over half of the forecast hours evaluated within the domain, equivalent 388 performance at two hours (hours 12 and 21, both within 90% confidence limits), and inferior performance at one hour 389 (hour 9), during the simulation period.
- 390 All of the metrics for which surface temperature forecast performance differed at the 90% confidence level are shown
- in Figure 6. In addition to MB, the scores for MAE, and RMSE showed superior forecast performance for the feedback
- 392 relative to the no-feedback case at the 90% confidence level for hours 15 and 18, while the improvement for the
- 393 correlation coefficient was only reached the 90% confidence level at hour 18.
- 394 The meteorological forecast performance metrics with statistically significant differences for surface pressure,
- dewpoint temperature, and sea-level pressure are shown in Figures 7, 8, and 9 respectively. The model performance
- 396 differences in these three Figures show a similar pattern: a degradation in performance with the use of feedbacks at
- 397 hour 3, with the differences between the two forecasts either dropping below the 90% confidence level, or the feedback
- 398 forecast showing an improvement by hour 9, followed by several hours in which the feedback forecast has a superior

- 399 performance, usually at greater than 90% confidence. The duration of this latter period varies between the metrics,
- from up to 18 hours for MAE for surface pressure (Figure 7(b)) to 3 hours for the correlation coefficient of dew-point 400
- 401 temperature (Figure 8(d)).
- 402 The initial loss of performance for the feedback forecast may represent a form of "model spin-up" that may be unique
- 403 to on-line coupled models, but may be affected or improved with further adjustments to the forecast cycling setup for
- 404 the chemical species. As noted earlier (Figure 2), in order to prevent chaotic drift from observed meteorology, we 405
- 406 meteorology at hour zero of each 24 hour forecast. The cloud fields provided as initial conditions at hour zero include

made use of a 30-hour 2.5-km resolution analysis-driven weather forecast to update our on-line coupled model's initial

- 407 observation analysis for the 6 hours prior to hour zero - these have reached a quasi-equilibrium in the high-resolution
- 408 weather forecast (Figures 2(b,e)) by the time they are used as initial and boundary conditions in the on-line coupled
- 409 model (Figure 2(c,f)). However, the on-line coupled model's *aerosol* fields at hour zero, used to initialize the
- subsequent forecast (Figure 2, dashed blue arrow), still reflect the locations of aerosol-cloud interactions in the 410
- 411 previous on-line coupled simulation. The initial three to six hours of feedback forecast degradation represents the
- 412 time required for the on-line coupled model to reach a new equilibrium consistent between both its aerosol and the
- 413 cloud fields.
- 414 One possible solution for this model spin-up inconsistency would be to eliminate the intermediate driving 2.5-km meteorological simulation in favour of a longer 30-hour on-line coupled forecast with the first six hours removed as 415 spin-up (i.e. extend the duration of steps (c) and (f) in Figure 2 to 30 hours, starting at UT hour 6). The duration of 416 417 the forecast experiments carried out here was limited to 24 hours due to limited computational resources, and, more importantly, the operational requirement for an on-time forecast delivery for the purpose of the FIREX-AQ field 418
- 419 campaign. The 24-hour forecast simulations carried out in Figure 2 (c,f) each required nearly 3 hours of
- 420 supercomputer processing time; longer simulation periods were not possible within the operational window available
- 421 for forecasting.
- 422 Model 10-m windspeed forecasts were also improved with the incorporation of feedbacks for hours 3 and 6, for all 423 metrics (Figure 10). A decrease in MB performance at hours 21 and 24can also be seen in this Figure.
- 424 Precipitation forecast performance from the two simulations varied depending on the metric chosen (Figure 11). The
- 425 metrics in this case were based on the number of coincident precipitation "events" versus "non-events" as shown in 426 contingency Table 2.
- The Heidke skill score { HSS = 2(AD BC)/[(A + C)(C + D) + (A + B)(B + D)] } measures the fractional 427
- improvement of the forecast over the number correct by chance. The Frequency Bias { FB = (A + B)/(A + C) } 428
- 429 measures the frequency of event over-forecasts (FB>1) versus event under-forecasts (FB<1). The Equitable Threat
- score {  $ETS = (A \tilde{A})/(A + C + B \tilde{A})$ , where  $\tilde{A} = (A + B)(A + C)/(A + B + C + D)$ } measures the observed 430
- 431 and/or forecast events that were correctly predicted. Following standard practice at Environment and Climate Change
- 432 Canada, the HSS is used as a measure of total precipitation accumulated over a 6-hour interval, with no lower limit
- 433 on the amount of precipitation defining an "event", while FB and ETS define precipitation "events" as being those
- 434 with greater than 2mm/ 6 hours - consequently FB and ETS have a smaller number of data points for comparison
- 435 than HSS.

- 436 Figure 11 shows improvements to the on-line coupled precipitation forecast at the 90% confidence level were seen for
- the HSS 6-hour accumulated metric at hours 12 and 24, while the frequency bias index of 6-hour accumulated
- 438 precipitation showed degradation at hours 6 and improved performance at hour 12, and the equitable threat score of
- 439 6-hour accumulated precipitation showed significant differences at 90% confidence between the two simulations. As
- 440 is noted above, the latter two metrics employed a minimum 6-hour precipitation threshold of 2 mm prior to
- 441 comparisons (this is the reason for the reduced number of points available for comparison in Figure 11(b,c) relative
- 442 to Figure 11(a)). These findings suggest that the on-line coupled model's improvements for total precipitation (Figure
- 443 11(a)) are the result of slightly improved performance for relatively light precipitation events ( $<2mm 6hr^{-1}$ ).
- 444 The amalgamated observations and model pairs of vertical temperature profile data from 39 radios onde sites in westem
- 445 North America are shown in Figures 12 and 13. Improvements in the forecasted temperature vertical profile with
- 446 increasing forecast time are evident at 250, 300, 400, 500, and 850 hPa in the 12<sup>th</sup> hour forecast, with degradations at
- 447 200 and 700 hPa (Figure 12). Improvements at 300, 925 and 1000 hPa may be seen in the 24<sup>th</sup> hour (Figure 13)
- 448 forecast; it is also worth noting the entire region at and below 300 hPa has improved temperature forecasts (mean
- 449 values to the left of the vertical line), albeit not always at >90% confidence. There are larger differences between the
- 450 1000 hPa forecasts, though these also have the least number of contributing stations (i.e. only those located close to
- 451 sea-level contribute to the lowest level temperature biases). Other levels of the atmosphere showed no statistically
- 452 significant change at the 90% confidence level in temperature profile forecast performance with the use of feedbacks.

### 453 **3.2 Chemistry Evaluation**

454 Improvements to air quality model performance metrics have been a focus for research since the 1980's starting with 455 dispersion model evaluation (Fox, 1981), and the identification of mean bias and normalized mean square error as potentially useful metrics to complement the Pearson correlation coefficient (Hanna, 1988). More recently, the 456 Pears on correlation coefficient has been noted as being capably of producing high values for relatively poor model 457 458 results (Krause et al., 2005), as well as being unable to distinguish systematic model underestimation (Yu et al., 2006), 459 unable to provide information on whether data series have a similar magnitude and capable of providing a fake sense 460 of relationship where none exists due to outliers (Duveiller et al., 2016) and clusters of model-observation pairs 461 (Aggarwal and Ranganathan, 2016). More recently, model evaluation has focused on metrics which do not have the 462 tendency to weight the higher magnitude values unduly (a particularly useful property with air-quality variables which 463 may vary by several orders of magnitude), which are dimensionless (allowing a comparison across different evaluated 464 variables), and which are bounded and symmetric (properties allowing comparisons to be made and equally valued across the entire range of possible concentrations; e.g. Yu et al. (2006)). Metrics such as the modified coefficient of 465 466 efficiency (Legates and McCabe, 1999) and the more recent incarnations of the Index Of Agreement (Willmott et al., 467 2012) are examples of the more recent metrics used for air-quality model evaluation. Here, we have made use of a range of metrics spanning the literature on this topic, with the understanding that the properties of different metrics 468 vary, that no single metric provides a perfect means of evaluating model performance, and that a variety of metrics 469 470 should be applied. The metrics used here span the variety that have appeared in the literature since the early 1980's, 471 and include Factor of 2, Mean Bias, Mean Gross Error, Normalized Mean Gross Error, Correlation Coefficient, Root

- 472 Mean Square Error, Coefficient of Efficiency, and Index of Agreement. The formulae for these metrics and a brief
   473 description of their relative advantages and disadvantages appears in Appendix A (Supplemental Information).
- 474
- 475 Both simulations' performance for ozone (O<sub>3</sub>), nitrogen dioxide (NO<sub>2</sub>) and particulate matter with diameters less than
- 476 2.5 µm(PM2.5) were evaluated using the above metrics, employing hourly AIRNOW data (USA: AQS network:
- 477 <u>https://www.epa.gov/ags;</u> Canada: NAPS network: <u>http://maps-cartes.ec.gc.ca/rnspa-naps/data.aspx</u>) and the *openair*
- 478 package (Carslaw and Ropkins, 2012). The summary performance metric scores for the two simulations grouped,
- 479 according to contributing measurement network, are shown in Table 3, with boldface values indicating the better score
- 480 for the given simulation case. With respect to this table, we note that:
- (a) The feedback simulation generally outperforms the no-feedback simulation (more bold-face scores in the
   "feedback" rows, for 35 out of 48 metric comparisons).
- (b) Feedback forecast score improvements occurred were more noticeable for PM2.5 (usually first to second digit),
   followed by O<sub>3</sub>, with the NO<sub>2</sub> scores often being the same for the first few digits.
- 485 (c) We note that the boundary conditions employed for our 2.5km simulations had a strong impact on model airquality performance. As described above, these boundary conditions originated in a 10-km resolution simulation 486 487 making use of ECMWF global reanalysis values on its own lateral boundaries. The magnitudes of the statistics 488 of Table 3 may be compared to the magnitudes of the statistics from our initial ACPD submission (which made 489 use of a MOZART 2009 reanalysis for chemical lateral boundary conditions for the 2.5km GEM-MACH 490 domain). The use of feedbacks had a similar relative impact on forecast performance (34 out of 48 statistics 491 improving in the feedback forecast in the initial simulation, compared to 35 out of 48 statistics in the current 492 work). However, the net impact of the ECMWF-driven 10-km GEM-MACH values being used for chemical 493 lateral boundary conditions, rather than the MOZART climatology, was a degradation of performance. As we 494 show below, however, the revised boundary conditions led to improvements in model aerosol optical depth 495 performance relative to observations.
- 496

497 The impact of lateral boundary conditions on model predictions can be seen when comparing MODIS retrievals of 498 aerosol optical depth (AOD) with model predictions (Figure 14). AOD is a function of both the particle's abundance 499 and optical properties, integrated throughout the vertical column. However, direct comparisons between satellite and 500 model-predicted AOD values must be undertaken with some care, due to the nature of the satellite retrieval quality 501 assurance and control procedures, the motion of the orbiting spacecraft, and the scan time of the instrument. The 502 manner in which AOD is calculated introduces additional uncertainty due to the range of values which may be derived 503 from the same aerosol speciation using different methodologies (Curci et al., 2015). For a polar-orbiting instrument 504 such as MODIS, the time at which overpasses occur varies with location, and valid satellite retrievals may not occur 505 when the location being scanned is obscured by clouds. Observed averages may be built up over multiple valid scans 506 over time, but the number of valid scans contributing to the local average at any given location will vary, due to the 507 time and space variation in cloud cover. Here, individual valid Collection 6.1 MODIS/Aqua (MYD04 L2 AOD\_550\_Dark\_Target\_Deep\_Blue\_Combined) 10 km resolution 550 nm AODs were matched in time and space to 508

509 the nearest model 2.5-km grid cell and output frequency hour. Levy *et al.*, (2013) contains details on the MODIS

510 combined AOD product. No averaging was employed in our comparison (Figure 14); all satellite overpass AOD

511 pixels and matching model AOD pixels are shown.. Noting that the AOD colour scale is logarithmic, the model

- 512 simulation driven using the ECMWF + 10-km resolution GEM-MACH for boundary conditions (Figure 14(b)) is a
- 513 much better match to observations (Figure 14(a)) than the model simulation driven by MOZART climatological
- 514 boundary conditions (Figure 14(c)). The slope of the linear best fit line between all observation and model pairs in
- each case mirrors this finding, with the original (MOZART climatology) boundary conditions having a slope of 0.15
- and  $R^2$  of 0.0382, and the revised ECMWF + GEM-MACH 10-km boundary conditions having a slope of 0.56 and an
- 517  $R^2$  of 0.067.
- 518
- 519 Previous work with CFFEPS by Chen et al. (2019) for the 2017 fire season has shown similar PM<sub>2.5</sub> positive biases 520 for western Canada, with MB of  $+5.8 \,\mu g \,\mathrm{m}^3$  (88 stations) and for Western USA with MB of  $+8.6 \,\mu g \,\mathrm{m}^3$  (221 stations). 521 These positive biases (Chen et al., 2019) were higher specific to sub-regions closer to areas of active fires (MB of +12 522  $\mu$ g m<sup>-3</sup> for the sub-region including the provinces of Alberta and British Columbia, and +29  $\mu$ g m<sup>-3</sup> for the sub-region 523 comprising the states of Idaho, Montana, Oregon and Washington, respectively). At least part of the positive biases 524 may be due to 10km GEM-MACH forest fire emissions occurring in the state of Alaska being overestimated during 525 the study period. However, the ECMWF reanalysis also captures significant particulate mass crossing the Bering Strait from fires in Siberia during this period, so the relative contributions of fires within the low resolution GEM-526 527 MACH domain and the ECMWF boundary conditions driving that domain are combined, and can't be separated in 528 the runs carried out here. 529 The local AOD positive biases associated with fires could also be the result of the mixing state assumptions of the 530 Mie code used here for generating aerosol optical properties. These assumptions may also account for negative AOD 531 biases over much of the remainder of the model domain. As noted earlier, this overall negative bias of AOD 532 predictions (both boundary condition configurations result in observation: model slopes less than unity) is a common 533 problem in air-quality models, and may be due to assumptions regarding the model mixing state (Curci et al., 2015). 534 That comparison of multiple mixing state assumptions on AOD with observations for European and North American 535 modelling domains (Curci et al., 2015), showed a typical factor of two model under-prediction of 440 nm North
- 536 American AOD across all mixing state assumptions, with European AOD negative biases ranging from unbiased to a
- 537 factor of 2. These earlier findings along with overestimates at forest fire plumes with our current homogeneous
- 538 mixture approach at 550nm suggest that the hygroscopic growth may be overestimated for forest fire particles, in tum
- 539 overestimating forest fire AODs locally, while external mixing assumptions may be required to improve model AOD
- 540 performance elsewhere in the model domain.

# 541 **3.3 Model Evaluation Summary**

542 Overall, the incorporation of feedbacks in this study has resulted in improvements in weather and air-quality forecast

- 543 accuracy, *albeit with some caveats*. Weather forecast variables showed improvements at the 90% confidence level
- 544 for several fields, and vertical profiles showed a matching performance or improvements at most levels and times.

545 Total precipitation scores also showed minor improvements or matching performance at the 90% confidence level. A previously unexpected spin-up issue specific to on-line coupled models was noted: the impact of on-line coupled 546 547 particulate matter on cloud variables was sufficiently strong that cloud field adjustment in the first 6 hours of the 548 forecast was required prior to some weather forecast variable improvements to be apparent (surface pressure, dewpoint 549 temperature, sea-level pressure). While the current forecast cycling duration was constrained by operational 550 requirements, this suggests that forecast cycling should include both air-quality and meteorological variables during 551 on-line coupled forecast spin-up periods. That is, the model tracer concentrations 6 hours prior to the current forecast 552 start-up could also be used during the initial meteorological spin-up period, thus allowing chemistry and cloud 553 formation to spin-up simultaneously. Scores for surface PM2.5,  $NO_2$ , and  $O_3$  also generally improved with the 554 incorporation of feedbacks (35 out of 48 comparisons showed improvements). The choice of lateral boundary 555 conditions was shown to have a significant impact on chemical performance within the model domain. In comparison to satellite-based AOD values, the current model's AOD values were generally biased low, with smaller magnitude 556 557 biases being associated with the ECMWF + 10-km GEM-MACH boundary conditions. The latter comparison also 558 showed that large fires off-domain in Alaska and Siberia likely had a large impact on AODs in the eastern and northem

- section of the model domain, through comparison with our initial simulations.
- 560

### 561 **4 Effects of Feedbacks on Selected Simulation-Period Average Variables**

562 In this section, we compare time averages of the entire study period for the two simulations, both at the surface and in 563 vertical cross-sections through the model domain, to illustrate some of the changes in both weather and air-quality associated with the incorporation of feedbacks. We have found differences at greater than 90% confidence between 564 565 the predicted meteorological and chemical forecasts in the vicinity of the Alberta/Saskatchewan forest fires, as well 566 as in contrasting changes between land and sea. We note again here that the "no-feedback" simulation makes use of 567 time and spatially invariant aerosol CCN and optical properties, within the meteorological portion of the model. The 568 comparisons thus show the differences associated with the use of climatological constant aerosol properties, and the on-line coupled model-generated aerosols. 569

- 570 As in the meteorological evaluation, we have made use of 90% confidence levels in order to gauge the level of
- significance of the differences between the feedback and no-feedback simulations in the following analysis.
- 572 The approach for representing model grid value 90% confidence levels is described in detail in SI Appendix A2. The
- 573 differences in the mean grid cell values between the simulations for which the above quantity is greater than unity
- 574 differ at or greater than the 90% confidence level. Differences in the mean values, as well as the value of the above
- 575 ratio, are thus reported in the following section.

### 576 4.1 Effects of Feedbacks on Time-Averaged Meteorology

- 577 The feedback no-feedback differences in the simulation-period average cloud droplet number density (number  $kg^{-1}$
- of air) and mass density (g water kg<sup>-1</sup> of air) along centred cross-sections spanning the length and width of the 2.5-km  $\frac{1}{2}$
- 579 resolution model domain are shown in Figure 15 (cross-section locations are shown in Figure 1). The "Ocean",
- 580 "Land", and "Forest Fire" regions identified are with reference to the approximate locations of these features along

581 these cross-sections. Figure 15 also shows the confidence ratio values as described above – regions where the 582 predicted mean values differ at or above the 90% confidence level are shown in red, while those differences below 583 the 90% confidence interval are shown in blue. Feedbacks increase the cloud droplet number density over the northern 584 part of the domain, including the region impacted by the Alberta/Saskatchewan forest fires, from the surface up to 585 about 500 mb (roughly equivalent to hybrid level 0.500), and decrease at higher elevations further to the south and 586 along the length of the model domain into the western USA (Figure 15(a)). Cloud droplet numbers also decrease over 587 the ocean, but increase eastwards over the land (Figure 15(b)). The latter is unrelated to the forest fires; this is an 588 indication that the modelled aerosol number concentration over the ocean is much lower than the single climatological 589 aerosol population assumed in the no-feedback run, resulting in lower cloud droplet number concentrations. The 590 changes are significant at the 90% confidence level from the surface up to hybrid level 0.60 in the northern region 591 which is most impacted by forest fire smoke, and in isolated regions further aloft along the south to north cross-section 592 (Figure 15(c)), and over the regions of the ocean in the west to east cross-section (Figure 15(d)). Higher-than-593 climatology aerosol loadings, a large portion of which are due to the forest fires, resulted increased cloud droplet 594 number densities in the lower troposphere, while decreasing them in the mid-to-upper troposphere (Figure 15(a)). 595 This impact of feedbacks is in accord with the satellite observations of Saponaro et al. (2017), and was also seen in 596 Takeishi et al. (2020). In contrast, cloud droplet mass density (i.e. cloud liquid water content) largely decreases across 597 the domain along the north-south cross-section (Figure 15(e)), as well as over the ocean, with a varying pattern over the land in the east-west cross-section (Figure 15(f)). The magnitudes and significance levels for the average change 598 in cloud droplet mass are lower than for cloud droplet number, with the most significant differences occurring over 599 600 the ocean (Figure 15(g,h)).

601 Consistent with the cloud droplet number changes, rain droplet numbers and mass mixing ratios increase aloft with 602 the feedback simulation, over both the forest region impacted by the forest fires (Figure 16(a,e)) and over the ocean 603 (Figure 16(b,f)), with a varying impact over the land and more distant from the forest fire sources (Figure 16(f)). The 604 changes are significant at the 90% confidence level for rain droplet number in these regions (compare Figure 16(a) 605 with 16(c); 16(b) with 16(d)), while the rain droplet mass changes sometimes reach but are usually below the 90% 606 confidence level (Figure 16(g,h)).

- These results suggest that relative to the no-feedback simulation, which employs climatological aerosol CCN properties, the AIE in the feedback simulation is causing significant change in hydrometeor numbers, and a less significant increase in hydrometeor mass. In the forest fire-impacted region, the ADE and AIE in the feedback simulation significantly increase the number of cloud droplets near the surface and throughout the middle to upper troposphere (Figure 15(a,c)). The rain drop number in the middle troposphere (Figure 16(a,c)) also increases significantly between hybrid levels 0.90 to 0.70 (Figure 16(e,g)). Near-surface rain drop number and rain drop mass
- 613 differences throughout the cross sections (Figure 16(e,f)) fall below the 90% confidence level (Figure 16(g,h).
- 614 Over the oceans, water droplet number and mass both decrease (Figure 15(b,f)), and raindrop number and mass
- 615 increase (Figure 16(b,f)); more atmospheric water is converted to rain drops as a result of the feedbacks, relative to
- 616 the climatology in the no-feedback simulation. However, these changes are more significant aloft than at the surface,
- 617 with the difference in both rain drop number and mass falling below the 90% confidence level near the surface. We

618 interpret these changes as a shift in over-ocean liquid hydrometeor numbers and to a lesser degree the water mass aloft

from cloud droplets to rain drops due to the AIE in the feedback setup relative to the climatology of the no-feedback

620 simulation. The changes occur at the 90% confidence level aloft, but the near-surface changes are smaller and are

621 usually below the 90% confidence level.

622 Differences in the average surface precipitation flux and the confidence ratio values are shown in Figure 17. Changes

623 in average precipitation (Figure 17(a)) appear random, though locally these differences are significant at the 90%

624 confidence level (Figure 17(b)). Both the magnitude of the differences and the frequency in their reaching the 90%

- 625 confidence level increase south-westwards. Given the local and episodic nature of rainfall events, the high level of
- 626 significance in this case probably results from the presence or absence of individual rainfall events between the two
- 627 simulations affecting the local average and standard deviations.
- 628 Several systematic changes in the average values of the model's meteorological output fields were noted due to the 629 use of feedbacks relative to aerosol property climatologies (Figure 18), although all fall below the 90% confidence 630 level for the difference in the mean values between the two simulations (Figure 19). Specific humidity increased in 631 the region most affected by fires (Figure 18(a), surface air temperature decreased below the smoke plumes while increasing further south (Figure 18(b)), while dewpoint temperature decreased (Figure 18(c)), implying a decrease in 632 633 relative humidity with feedbacks. Surface pressure increased over the land (mostly east of the Rockies), particularly 634 in the region downwind of the Alberta/Saskatchewan fires while decreasing over the ocean (Figure 18(d)). Planetary boundary layer height increased over the land (Figure 18(e)) except in the immediate vicinity of the 635 636 Alberta/Saskatchewan fires, consistent with decreased atmospheric stability in the lowest part of the atmosphere. The friction velocity also increased with the use of feedbacks (Figure 18(f)); this is consistent with a decrease in stability 637 and an increase in turbulent energy The air temperature increases occur at the surface south of the forest-fire impacted 638 639 region and above roughly 750 mb, decreasing temperatures from the surface in the forest-fire impacted region up to 640 750 mb (Figure 20 (a,b)). Feedbacks thus increase near-surface temperatures, relative to the no-feedback 641 meteorological model's simple aerosol climatology, in regions far from the fires, decreasing them near the fires, 642 decrease temperatures in the lower free Troposphere, and increase temperatures further aloft. All of these differences 643 between feedback and no-feedback simulations, despite their large geographic range, fall below the local 90% 644 confidence ratio. However, when the differences in air temperature resulting associated with feedback and no-645 feedback forecasts are compared to observations across the entire domain (as opposed to at gridpoint locations as in 646 Figures 18 and 19) the 90% confidence level is exceeded both at the surface at specific forecast times (Figure 6(a)), and at multiple heights aloft at the 12<sup>th</sup> and 24<sup>th</sup> forecast hours (Figures 12, 13). 647

### 648 **4.2 Effects of Feedbacks on Time-Averaged Chemistry**

649 In the previous meteorological impacts section, changes in aerosol loading relative to the climatology, dominated by

650 forest fires, were shown to have a significant impact on cloud formation and atmospheric temperatures through ADE

- and AIE. These might be expected to in turn influence and be influenced by particulate matter emitted by the forest
- fires, with the plume rise of the forest fires dependent on the meteorological changes. Air temperatures increase
- 653 slightly in the model surface layer south of the fires (Figure 18(b), +0.01 to +0.05 °C) but decrease at greater

- magnitudes through the rest of the lower Troposphere (surface near the fires to hybrid level 0.749, Figure 20(a)), with
- a maximum decrease of -0.5°C between hybrid levels 0.893 and 0.848. The reduction in temperatures between hybrid
- 656 levels 0.90 to 0.70 from the impact of the smoke plumes is similar to the findings of Saponaro *et al.* (2017). These
- 657 changes air temperatures implies a decrease in near-surface atmospheric stability associated with feedbacks, given
- that the overall temperature gradient from the surface has become more negative (that is, the ambient lapse rate has
- 659 increased). Rising air parcels will follow an adiabatic lapse rate; these increases in the ambient lapse rate imply that
- rising air parcels will have an increasing tendency to be warmer than their environment. Feedbacks have thus reduced
- atmospheric stability within the forest fire smoke in the lowest part of the atmosphere; the atmosphere there has
- become more unstable. Meanwhile, the feedbacks decrease the environmental lapse rate further aloft above the forest
- 663 fire smoke, between hybrid levels 0.848 and 0.339. Rising air parcels in this region following an adiabatic lapse rate
- will thus have an increasing tendency to be colder than their environment the atmosphere above the smoke plumes
- has become more stable. This is echoed by the response of the concentration fields to the near-surface stability change,
- as can be seen through comparisons of the PM2.5, NO<sub>2</sub> and O<sub>3</sub> surface concentrations changes (Figure 21) and as
- 667 vertical cross-sections (Figures 22, 23, 24), respectively.
- for top of model domain, Figure 21(a,b)), though remain below 90% confidence for O3 (Figure 21(c)).
- Feedbacks result in near-surface PM2.5 decreases in the regions downwind of the forest fires (Figure 21(a), Figure 22(a), note the large blue region and more intense blue region near surface in Figure 22(a)), suggesting less PM2.5 mass is present near the surface due to the feedbacks. Given the increase in near-surface stability below the fire plumes noted above, this change in the vertical distribution probably reflects a decrease in downward diffusive mixing of the forest fire plumes once aloft – the feedbacks thus have a tendency to increase the smoke plume concentrations aloft, by preventing the downward mixing of smoke injected by the fires. These PM2.5 concentration effects rise above the 90% confidence level within the region closest to the fires.
- Feedbacks result in an increase in near-surface NO<sub>2</sub> in several inland urban centers and less NO<sub>2</sub> at surface level
- 678 downwind (Figure 21(b), though these differences are only significant at the 90% confidence level within the forest
- fire plumes (Figure 21(e), Figure 23(c)). Ocean versus land  $NO_2$  differences remain below the 90% confidence level.
- 680 Feedbacks decreased lower Troposphere  $O_3$  near the forest fires (Figure 21(c), Figure 24(a)), while increasing  $O_3$  near
- above hybrid level 0.383. The forest fires are also the only area where the differences in between mean ozone forecasts
- 682 approach 90% confidence.
- 683 Overall, the most significant effects of the feedbacks were: (1) increases in PM2.5 aloft and decreases near the surface
- 684 in areas impacted by the fires, and (2) increases in NO<sub>2</sub> aloft and decreases near the surface near the fires, to lesser
- extent than PM2.5, and (3) decreases in lower troposphere  $O_3$ , particularly near the surface in the region impacted by
- 686 the fires.
- 687 The feedback-induced changes in primary and secondary pollutants in the forest fire regions are consistent with the
- decrease in atmospheric stability noted above a greater proportion of the primary particulate matter and NO<sub>2</sub> resulting
- from near-surface forest fire emissions of NO remain aloft with the addition of feedbacks. The decrease in surface
- 690 ozone and increase further aloft in the fire region (Figure 24(a)) spatially matches the decrease in surface NO<sub>2</sub> (Figure

691 22(a)). Chemically, this may imply that the changes as sociated with feedbacks occur in NOx-limited environments,

692 i.e., with relatively high VOC/NOx ratios, since in these environments, decreases in NOx emissions may lead to

 $decreases in the rate of secondary O_3 formation. Alternatively, the reduction in near-surface O_3 concentrations may$ 

694 reflect a decrease in light levels reaching the surface due to cloud attenuation (aerosol indirect effect), with the

resulting lower photolysis rates resulting in a reduction in surface photochemical ozone production.

696 Our analysis thus suggests a net enhanced upward transport occurs in forest fire plumes due to feedbacks, and that this

697 transport is linked to feedback-induced:

- (1) Increases in local near-surface atmospheric stability, reducing downward mixing of particulate plumesonce aloft (Figure 22(a));
- 700 701

(2) Increases in cloud droplet numbers throughout the lower troposphere (Figure 15(a)); and(3) Increases in rain drop numbers aloft (Figure 16(a)).

702 This combination suggests the presence of an AIE feedback loop – increased lower atmosphere stability 703 results a greater proportion of particulate matter remaining aloft, in turn resulting in more particles remaining at higher 704 levels in the atmosphere where they may act as cloud condensation nuclei, increasing cloud droplets aloft (Figure 705 15(a)). This in turn results in increased lower middle troposphere cooling, through the 1<sup>st</sup> AIE (increase in cloud 706 droplet numbers aloft leading to increased cloud albedo and cooling of the atmosphere below the cloud tops) while 707 the corresponding decreases in particles and cloud condensation nuclei at lower levels results in a smaller near-surface impact on the AIE and ADE, hence relatively minor changes on near-surface temperatures (Figure 20(a)). This 708 709 combination maintains a feedback-induced near-surface unstable temperature gradient, relative to the no-feedback 710 simulation employing aerosol property climatologies. We acknowledge that these changes in temperature fall below the 90% confidence level for the averages over all times, though note that differences in mean bias relative to 711 712 observations for the two simulations became significantly different at specific times of day in the forecasts (Figure 713 6(a), hours 3, 6, 15 and 18, corresponding to 15, 18, 3 and 6 UT, or 9 AM, 12 noon, 9 PM, and midnight MDT), 714 implying that the temperature changes at these specific times reach a higher level of significance. Similarly, Figures 715 12 and 13 show reductions in the near-surface temperature biases with the use of feedbacks.

# 716 **4.3 Summary, Differences in Forecast Simulation-Period Averages**

717 Relative to the no-feedback simulation employing an aerosol climatology, the AIE feedback as simulated here is 718 associated with increases in near-surface stability over both ocean and forest-fire influenced land areas. Over oceans, 719 near-surface particulate matter is removed as cloud condensation nuclei, resulting in increased cloud droplet numbers, 720 maintaining the temperature gradient through the 1<sup>st</sup> aerosol indirect effect. In the vicinity of forest fires, increases in 721 near-surface stability result in more PM2.5 remaining aloft, increasing the availability of cloud condensation nuclei 722 aloft, increasing cloud droplet numbers aloft, hence also maintaining the less stable near-surface temperature gradient 723 through the 1<sup>st</sup> aerosol indirect effect. We note that the ADE may also play a weak role, particularly in the southem 724 part of the domain, where lower atmosphere temperature gradient increases are not accompanied by significant 725 changes in cloud droplet numbers (Figure 15(a), southern half of the cross-section), but are accompanied by significant though small magnitude increases in PM2.5 in the lower atmosphere (Figure 22(a), southern half of cross-section),
 and temperature profile changes (Figure 20) below the 90% confidence level.

728

### 729 **5** Conclusions

730 The work carried out here suggests that the answers to our two research questions ("Can on-line coupled models 731 improve both air-quality and meteorological forecasts?" and "Are the changes in forest fire forecasts associated with 732 implementing forest fire emissions within a on-line coupled model sufficient to significantly perturb weather and 733 chemistry?") are both a *qualified* "yes". Within the high resolution domain size employed here, improvements or 734 matching weather forecast performance was seen for most times and heights in the atmosphere, at greater than 90% 735 confidence. Improvements in model performance for surface PM2.5, NO<sub>2</sub> and  $O_3$  were also found, across most 736 statistical measures (35 out of 48 statistical evaluation scores showed improvements). Comparing average vertical 737 cross-sections, the chemical concentration changes associated with feedbacks were the most significant close to the 738 forest fires in the northern portion of the domain. There, increased net vertical transport associated with decreased 739 near-surface stability lowered near-surface PM2.5 and NO2 concentrations and increased themaloft, and resulted in

740 reduced surface  $O_3$ .

741 Our simulations suggest that aerosol optical depth in the region, as well as the overall chemical performance of the 742 model, was strongly influenced by upwind boundary conditions. AODs were biased low despite PM2.5 positive 743 biases, suggesting that the homogeneous mixture approach for aerosol optical properties results in a general under-744 prediction of aerosol optical depths, in accord with Curci et al. (2015), and that obtaining better data for forest fire 745 aerosol optical properties should be a priority for future study, as well as an examination of external mixture approaches. Positive AOD biases in the region affected by fires suggests that forest fire plumes have significantly 746 747 different optical properties, and may be less hygroscopic, than industrial aerosols of comparable size. Special / 748 separate treatment of forest fire CCN and optical properties are therefore also recommended in future work.

- 749 On-line coupling forest fire plume rise calculations with the weather parameters was shown to have a significant 750 impact on the height of primary pollutants reached by forest fires, the formation of near-surface ozone near the forest 751 fires, and on particulate matter. These changes were largely driven by the AIE, which maintains an increased lapse 752 rate (decreased near-surface stability) over the forest-fire-influenced and oceanic portions of the region studied. Weak 753 evidence for the influence of the ADE was shown in the southern part of the domain, where increases in particulate 754 matter were also accompanied by decreases in stability between the surface and the lower-middle troposphere (the 755 differences were at a lower than 90% confidence level for these comparisons of temperatures averaged over all model 756 times).
- Relative to the no-feedback aerosol climatology for CCN and aerosol optical properties, the simulations carried out here suggested that in the vicinity of forest fires feedbacks significantly increase cloud droplet number densities near the surface and aloft, and significantly increase rain drop number densities aloft, relative to forecasts driven by climatological aerosol properties. Over the oceans, feedbacks decreased cloud droplet number density and increased rain drop number density aloft, relative to the simulation employing invariant CCN properties. Oceanic cloud droplet mass increased to a lesser degree (with smaller regions above the 90% confidence level), as did rain drop mass (the

- 763 mean differences for which for the most part remained below the 90% confidence level). This provides some evidence
- for a shift in atmospheric water mass associated with feedbacks from cloud water to rain over the oceans relative to
- the no-feedback climatology, though this shift occurred largely within the variability of the cloud fields within each
- simulation. Longer simulations may be needed to achieve higher confidence in this finding.
- 767

# 768 Data Availability

- The datasets used here for model evaluation are available from the publicly accessible websites (AQS network)
- 770 <u>https://www.epa.gov/aqs</u> and (NAPS network) <u>http://maps-cartes.ec.gc.ca/rnspa-naps/data.aspx.</u>

# 771 Author Contribution

- PAM: experiment design, conceptualization, analysis, writing of manuscript drafts; AA: model code and run script
  design and implementation, statistical analysis of model results, model analysis graphics; JC: forest fire emissions
  processing system design and coding, manuscript contributions, draft review and assistance; BP: forecast system
  simulations and design; WG: indirect effect updates, advice on P3 implementation, manuscript contributions,
  manuscript review; CS: code version contributions, manuscript review; CS: AOD analysis, manuscript contributions
  and review; KS: forest fire emissions processing system design and coding, manuscript contributions and review; PC:
  forecast system simulations and design; JZ: emissions processing and input field assistance, manuscript review and
- contributions; J.M.: indirect effect updates and advice on implementing AIE in the P3 scheme, manuscript review
- and contributions.
- 781

# 782 Competing Interests

- 783 The authors declare that they have no conflict of interest.
- 784

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# **Tables:**

Model Process or	Description	Reference (where		
Configuration		applicable)		
Component				
Base weather	Global Environmental Multiscale (GEM), v4.9.8	Cote <i>et al.</i> (1998),		
forecast model		Girard et al. (2014)		
Base air-quality	Global Environmental Multiscale - Modelling Air-quality and	Moran <i>et al.</i> (2018)		
model	Chemistry (GEM-MACH) v2			
Aerosol Direct	Feedback simulations: GEM-MACH's predicted aerosol	Makar <i>et al</i> . (2015a,b)		
Effect	loading and Mie scattering using a binary water-dry aerosol			
	homogeneous mixture assumption, at 4 wavelengths employed			
	by GEM's radiative transfer algorithms, and at additional			
	wavelengths for diagnostic purposes.			
	No-Feedback simulations: invariant climatological values for			
	aerosol optical properties are used.			
Aerosol Indirect	Feedback simulations: Modified P3 cloud microphysics	Gong <i>et al.</i> (2015),		
Effect	scheme, driven by an aerosol size and speciation specific	Abdul-Razzak and		
	nucleation scheme (Abdul-Razzak and Ghan, 2002).	Ghan (2002), Morrison		
	No-feedback implementation: P3 scheme driven by an	and Milbrandt (2015),		
	invariant aerosol population of a single lognormal size	Milbrandt and		
	distribution (with a geometric mean diameter of 100 nm and	Morrison (2016),		
	total aerosol number of 300 cm <sup>-3</sup> consisting of pure ammonium	Morrison and		
	sulphate).	Grabowski (2008).		
	The prognostic cloud droplet number and mass mixing ratios			
	from the P3 microphysics are then transferred back to the			
	chemistry module for using in cloud processing of gases and			
	aerosols (cloud scavenging and chemistry) calculations,			
	completing the AIE feedback process loop in the case of the			
	feedback implementation (Gong et al., 2015).			
Forest fire plume	CFFEPSv4.0 (see text)			
rise				

Gas-phase	ADOMII mechanism, 42 gas species.	Stockwell et al. (1989)		
chemistry				
mechanism				
Gas-Phase	KPP-generated RODAS3 solver	Sandu and Sander		
chemistry solver		(2006)		
Cloud processing	Aqueous chemistry, scavenging of gases and aerosols, below-	Gong <i>et al.</i> (2015)		
of aerosols	cloud removal and wet deposition.			
Particle	Sectional size distribution and 8 chemical species.	Gong <i>et al.</i> (2003)		
microphysics				
Particle inorganic	Local equilibrium subdomain approach	Makar <i>et al</i> . (2003)		
thermodynamics				
Secondary organic	Modified yield approach	Stroud <i>et al.</i> (2018)		
aerosol formation				
Vertical diffusion	Fully implicit approach, with surface fluxes as a boundary			
	condition			
Advection	Semi-Lagrangian approach, 3-shell mass conservation			
	correction (ILMC approach)			
Forest canopy	Light attenuation within forest canopies and turbulence	Makar <i>et al</i> . (2017)		
shading and	reductions due to vegetation applied to thermal coefficients of			
turbulence.	diffusivity.			
Anthropogenic	Parameterization calculating residual buoyancy of the rising	Akingunola et al.		
plume rise	plume.	(2018).		
Meteorological	Aerosol crustal material is inhibited when the soil water content			
modulation of	is > 10%.			
aerosol crustal				
material				
Ammonia	Bi-directional flux parameterization employed.	Whaley <i>et al.</i> (2018),		
emissions and		Zhang et al. (2003).		
deposition				
Methane treatment	Reactive, emitted and transported tracer			
Leaf Area Index	MODIS retrievals used to create monthly LAI values for			
data	biogenic emissions, forest canopy shading and turbulence,			
	deposition			

Vehicle-induced	Observation-based parameterization used to modify near- Makar et al. (2020)
turbulence	surface coefficients of thermal diffusivity

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1032 1033 Table 1. GEM-MACH model configuration details and references.

Event	Event Observed				
Forecast	Yes	No			
Yes	А	В			
No	С	D			

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Table 2. Event versus non-event contingency table. A = number of events forecast and observed; B=number of events

1034 1035 1036 1037 1038 fore cast but not observed; C = number of events observed but not fore cast; D = number of cases where events were neither the case of tforecast nor observed.

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Chemical	Region	Simulation	FO2	MB	MGE	NMGE	R	RMSE	COE	IOA
PM2.5	Western	No								
	Canada	Feedback	0.412	4.805	6.688	1.322	0.259	10.163	-1.476	-0.192
		Feedback	0.414	4.578	6.531	1.291	0.238	9.803	-1.418	-0.173
	Western	No								
	USA	Feedback	0.556	1.953	5.349	0.823	0.254	8.571	-0.538	0.231
		Feedback	0.556	1.805	5.287	0.813	0.252	8.443	-0.520	0.240
03	Western	No								
	Canada	Feedback	0.741	5.988	11.089	0.495	0.527	15.445	-0.223	0.388
		Feedback	0.745	5.891	10.969	0.490	0.527	15.268	-0.210	0.395
	Western	No								
	USA	Feedback	0.865	1.731	10.702	0.285	0.693	14.279	0.249	0.625
		Feedback	0.866	1.770	10.663	0.284	0.694	14.225	0.252	0.626
NO <sub>2</sub>	Western	No								
	Canada	Feedback	0.437	-0.997	2.757	0.594	0.564	3.965	0.154	0.577
		Feedback	0.429	-1.037	2.758	0.595	0.565	3.936	0.154	0.577
	Western	No								
	USA	Feedback	0.493	-0.346	2.341	0.572	0.653	3.674	0.177	0.588
		Feedback	0.483	-0.427	2.332	0.570	0.651	3.657	0.180	0.590

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1041 Table 3: Summary performance metrics for ozone, nitrogen dioxide, and PM2.5. Bold-face indicates the simulation with

1042 the better performance score for the given metric, chemical species and sub-region, italics indicate a tied score, and regular

1043 ont the simulation with the lower performance score. FO 2: fraction of scores within a factor of 2. MB: Mean Bias. MGE:
 1044 Mean Gross Error. NMGE: Normalized Mean Gross Error. R: Correlation Coefficient. RMSE: Root Mean Square

1045 Error. COE: Coefficient of Error. IOA: Index of Agreement.



Figure 1: GEM-MACH domains: (a) GEM meteorology 10km resolution forecast domain. (b) GEM-MACH 10km resolution forecast domain. (c) GEM-MACH inner 2.5-km grid resolution forecast domain for comparison to observations. Red lines indicate locations of illustrative South to North and West to East cross-sections appearing in subsequent analysis in the text.



Figure 2: Example time sequencing of model simulations used to generate the 2.5-km GEM-MACH simulations carried out here. Green lines and print indicate GEM (weather forecast only) simulations), blue lines and print indicate 2.5-km GEM-MACH simulations. Arrows indicate data flow (light green: meteorological information; light blue: chemical information). Steps (a) through (h) illustrate the sequence of forecasts used to generate two consecutive days of 2.5km GEM-MACH simulations. Note that on-line coupling occurs only at the 2.5km GEM-MACH forecast level, in this sequencing.



Figure 3: Process comparison between original (CFFEPS v2.03, left) and on-line (CFFEPS v4.0, right) forest fire emissions and vertical plume distribution algorithms.



Figure 4: Hotspot locations during the study period, colour-coded by daily total tonnes PM2.5 emitted. (a) Entire model 2.5-km domain, with northern Alberta and northern Nevada sub-regions as red dashed boxes; (b) northern Alberta zoom, with smaller symbols for individual hotspots showing the large fire regions; (c) northern Nevada zoom, to the same scale as (b), showing isolated hotspots with high emissions.



confidence 90 %

Figure 5: Mean bias in surface temperature (°C) at forecast hours starting at 0 UT. (a) Red line: no-feedback forecast values; blue line: feedback forecast values. (b) Difference in absolute value of mean bias between the two forecasts  $(|MB|_{feedback} - |MB|_{no-feedback})$ , with the region *below* 90% confidence level shown shaded grey. Mean values above above/below the '0' line, and outside of the shaded region thus indicate differences in the mean between the two forecasts which differ at or above the 90% confidence level. Values of the difference which appear below/above the zero line and ou tside of the grey area thus indicate superior domain average performance for the feedback/no-feedback forecasts at each of the 3-hourly intervals, respectively. Numbers appearing above the metric differences are the number of observations contributing to the calculated metrics.



Figure 6: Summary meteorological performance comparison for surface temperature (C). (a) mean bias, (b) mean absolute error, (c) root mean square error and (d) Pearson correlation coefficient. 90% confidence level shown in grey. Numbers appearing a bowe the absolute mean bias differences are the number of stations contributing to the calculated metrics.



Figure 7: Summary meteorological performance comparison for surface pressure (hPa). (a) mean bias, (b) mean absolute error, (c) root mean square error, (d) Pearson correlation coefficient, and (e) standard deviation. 90% confidence level shown in grey. Numbers appearing above the absolute mean bias differences are the number of stations contributing to the calculated metrics.



Figure 8: Summary meteorological performance comparison for dewpoint temperature (C). (a) mean bias, (b) mean absolute error, (c) root mean square error, (d) Pearson correlation coefficient, and (e) standard deviation. 90% confidence level shown in grey. Numbers appearing above the absolute mean bias differences are the number of stations contributing to the calculated metrics.



Figure 9: Summary meteorological performance comparison for sea-level pressure (hPa). (a) mean bias, (b) mean absolute error, (c) root mean square error, (d) Pearson correlation coefficient, and (e) standard deviation. 90% confidence level shown in grey. Numbers appearing above the absolute mean bias differences are the number of stations contributing to the calculated metrics.



Figure 10: Summary meteorological performance comparison for 10m windspeed (m s<sup>-1</sup>). (a) mean bias, (b) mean absolute error, (c) root mean square error, (d) Pearson correlation coefficient, and (e) standard deviation. 90% confidence level shown in grey. Numbers appearing above the absolute mean bias differences are the number of stations contributing to the calculated metrics.



Figure 11: Precipitation performance evaluation (mm precipitation). (a) Heike skill score of 6-hour accumulated precipitation (No-Feedback – Feedback). (b) Frequency bias index of 6-hour accumulated precipitation (threshold of 2 mm, No-Feedback – Feedback). (c) Equitable Threat Score of 6-hour accumulated precipitation (threshold of 2 mm, No-Feedback – Feedback).



Figure 12: Forecast hour 12 (0 UT) summary upper air temperature performance comparison for air temperature (mean bias, C). (a) Difference in absolute value of mean bias in temperature, (feedback forecast – no-feedback forecast). Grey regions represent 90% confidence levels, blue symbols: pressure levels at which the feedback mean bias outperforms the no-feedback mean-bias at > 90% confidence. Red symbols: pressure levels at which the no-feedback mean bias outperforms the feedback mean bias at >90% confidence. 90% confidence level shown in grey. (b) Mean bias in upper air temperature for feedback (blue) and no -feedback (red) (C). Numbered values on the profiles indicate the number of observed data -model pairs at each pressure level.



Figure 13: Forecast hour 24(12 UT) summary upper air temperature performance comparison for air temperature (mean bias, C). (a,b) as in Figure 12.



Figure 14: 550nm AOD comparison. (a) All MODIS observations sampled over the model domain and forecast duration and (b) GEM-MACH 2.5km simulation, driven by 10km GEM-MACH simulations, in turn driven by ECMWF Reanalysis for 2.5km domain boundary conditions. (c) GEM-MACH 2.5km simulation, driven by MOZART climatological boundary conditions.



Figure 15. (a,b) Difference in mean (Feedback – No-Feedback) cloud droplet number simulations along south to north and east to west cross-sections through the middle of the model domain. (c,d) Corresponding significance level of mean cloud droplet number differences using the confidence ratio defined in equation (1) – red areas indicate ratio values greater than unity, i.e., significance at or above the 90% confidence level. (e,f) Difference in mean cloud droplet mass (g kg<sup>-1</sup>) (g,h) Corresponding significance level of mean cloud droplet mass difference. Note: the vertical axis in hybrid coordinates does not show all model levels for clarity; the model has much finer resolution in the lower part of the atmosphere than shown, and the portion of the vertical domain shown encomp asses only the lower half of the levels in the model.



Figure 16. (a,b) Difference in mean (Feedback – No-Feedback) rain drop number simulations along south-to-north and east-to-west cross-sections through the middle of the model domain. (c,d) Corresponding significance level of mean rain drop number differences using the confidence ratio defined in equation (1) – red areas indicate ratio values greater than unity, i.e., significance at or above

the 90% confidence level. (e,f) Difference in rain cloud drop mass  $(g kg^{-1}) (g,h)$  Corresponding significance level of mean rain drop mass difference.



Figure 17: (a) Average (Feedback – No Feedback) total surface precipitation during the simulation period. (b) 90% confidence ratio – values greater than 1 indicate significantly different results at the 90% confidence level.



Figure 18: Differences in average meteorological fields (feedback – no-feedback; red values indicate more positive values in the feedback simulation than in the no-feedback simulation). Panels show average difference in: (a) specific humidity (g kg<sup>-1</sup>); (b) air temperature (C), (c) dewpoint temperature (C), (d) surface pressure (mb), (e) planetary boundary layer height (m), (f) friction velocity (m s<sup>-1</sup>).



Figure 19: 90% confidence ratios, same fields as Figure 19. Values greater than 1 indicate significantly different results at or greater than the 90% confidence level.



Figure 20: (a,b) Difference in mean (Feedback – No-Feedback) temperature simulations along south-to-north and east-to-west cross-sections through the middle of the model domain. (c,d) Corresponding confidence ratio of mean temperature differences – red areas indicate ratio values greater than unity, i.e., significance at or above the 90% confidence level.



Figure 21: (a,b,c) Difference (Feedback – No-Feedback) in surface mean PM2.5 (ug m<sup>-3</sup>), NO<sub>2</sub> (ppbv) and O<sub>3</sub> (ppbv), respectively. (d,e,f) Corresponding confidence ratio of mean differences – red areas indicate ratio values greater than unity, i.e., significance at or above the 90% confidence level.



Figure 22: (a,b) Difference (Feedback – No-Feedback) in predicted mean PM2.5 (ug m<sup>-3</sup>), along domain-center South-North and West – East cross-sections. (c,d) Corresponding confidence ratio of mean differences – red areas indicate ratio values greater than unity, i.e., significance at or above the 90% confidence level. Note that colour bar scales differ between (a) and (b).



Figure 23: (a,b) Difference (Feedback – No-Feedback) in predicted mean NO 2 (ppbv), along domain-center South-North and West – East cross-sections. (c,d) Corresponding confidence ratio of mean differences – red areas indicate ratio values greater than unity, i.e., significance at or above the 90% confidence level.



Figure 24: (a,b) Difference (Feedback – No-Feedback) in predicted mean O3 (ppbv), along domain-center South-North and West – East cross-sections. (c,d) Corresponding confidence ratio of mean differences – red are as indicate ratio values greater than unity, i.e., significance at or above the 90% confidence level. Note that colour bar scales differ between (a) and (b).