



1 **Effectiveness of emission control to reduce PM_{2.5} pollution of Central China**
2 **during winter haze episodes under various potential synoptic controls**

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21
22 **Abstract**

23 Currently solving the severe particle pollution in autumn and winter is the key to
24 further improve the air quality of China. The source contributions and transboundary
25 transport of fine particles (PM_{2.5}) in pollution episodes are closely related to large-scale
26 or synoptic-scale atmospheric circulation. Under different synoptic conditions, how to
27 effectively reduce emissions to control haze pollution is rarely reported. In this study,
28 we classify the synoptic conditions over Central China from 2013 to 2018 by using
29 Lamb-Jenkenson method and the NCEP/NCAR FNL operational global analysis data.



30 The effectiveness of emission control to reduce $PM_{2.5}$ pollution during winter haze
31 episodes under potential synoptic controls is simulated by GEOS-Chem model. Among
32 the ten identified synoptic patterns, four types account for 87% of the total pollution
33 days. Two typical synoptic modes of them are characterized by small surface wind
34 speed and stable weather conditions/high relative humidity (A/C-type) over Central
35 China due to a high-pressure system/a southwest trough low-pressure system, blocking
36 pollutants dispersion. Sensitivity simulations show that these two heavy pollution
37 processes are mainly contributed by local emission sources with ~82% for A-type and
38 ~85% for C-type, respectively. The other two patterns lead to pollution of transportation
39 characteristics affected by northerly/southerly winds (NW/SW-type), carrying air
40 pollution from northern/southern China to Central China. The contribution of pollution
41 transportation from North/South China is 36.9%/7.6% of $PM_{2.5}$ and local emission
42 sources contribute 41%/69%. We also estimate the effectiveness of emission reduction
43 in these four typical severe pollution synoptic processes. By only reducing SO_2 and
44 NO_x emission and not controlling NH_3 , the enhanced nitrate counteracts the effect of
45 sulfate reduction on $PM_{2.5}$ mitigations with less than 4% decrease in $PM_{2.5}$. In addition,
46 to effectively mitigate haze pollution in NW/SW-type synoptic controlled episodes,
47 local emission control actions should be in coordination with regional collaborative
48 actions.

49

50 **1 Introduction**

51 The regional pollution of fine particles ($PM_{2.5}$) has attracted worldwide attention
52 in the public and in the scientific community (Cheng et al., 2016; Li et al., 2017c; Lin
53 et al., 2018; Bi et al., 2019) due to its detrimental effect on visibility (Wang et al., 2020)
54 and public health (Agarwal et al., 2017; Zhang et al., 2017). The $PM_{2.5}$ pollution in
55 China has been continuously alleviating since 2013 as the implication of the Air
56 Pollution Prevention and Control Action Plan (Zheng et al., 2018; Zhang et al., 2019),
57 especially in the Beijing-Tianjin-Hebei region (BTH) (Li et al., 2017b; Cheng et al.,



58 2019), the Yangtze River Delta (YRD) region and the Pearl River Delta (PRD) region.
59 However, severe particle pollution still occurs frequently in autumn and winter, which
60 is the major reason restricting the $PM_{2.5}$ to come up to national standard. For example,
61 12 extremely severe and persistent $PM_{2.5}$ pollution episodes occurred in Beijing in
62 January 2013, February 2014, December 2015, December 2016 and January 2017
63 (Zhong et al., 2018; Sun et al., 2016; Wang et al., 2018). Currently, how to effectively
64 reduce emissions in autumn and winter is the key to mitigate haze pollution in China.

65 The contribution of emission sources has been widely recognized as the decisive
66 factor of $PM_{2.5}$ pollution over urban agglomerations, including industrial exhaust, urban
67 transportation, residential emission, power plants, agricultural activities, and bio-
68 combustion (Huang et al., 2014; Tian et al., 2016; Wu et al., 2018; An et al., 2019).
69 While the outbreak, persistence and dissipation of particle pollution generally depends
70 on the meteorological conditions and regional synoptic patterns, controlled by the large-
71 scale or synoptic-scale atmospheric circulation (Chuang et al., 2008; Zhang et al., 2012;
72 Russo et al., 2014; Zheng et al., 2015; Shu et al., 2017; Li et al., 2019).

73 Many studies have tried to reveal the relationship between synoptic patterns and
74 severe particle pollution, and estimate the meteorological contributions to these
75 pollution episodes. The YRD is mainly affected by pollutants transmitted from the
76 northern and the southern China when the East Asian major trough is located at its front
77 (Liao et al., 2017; Shu et al., 2017; Li et al., 2019). Liao et al. (2020) has confirmed that
78 the relative position of the PRD to high-pressure systems imposed significant impacts
79 on the diffusion conditions and the $PM_{2.5}$ distributions in the PRD region. For North
80 China Plain (NCP), high frequency of stagnant weather accompanied by small pressure
81 gradient and near-surface wind speed, and shallow mixing layer is a major reason of
82 aerosol pollution over this region in winter (He et al., 2018). The aerosol pollution
83 formation process in Sichuan Basin is often controlled by the large scale high-pressure
84 circulation at sea level (Sun et al., 2020). In the Guanzhong basin, pollution event is
85 generally governed by both the large-scale synoptic situation and the small-scale local



86 circulation. The downhill wind not only forms a convergence zone in the basin, but also
87 makes pollutants flow back from the mountain region to the basin (Bei et al., 2017).
88 Leung et al. (2018) also find strong correlations of daily $PM_{2.5}$ variability with several
89 synoptic patterns, including monsoon flows and cold front channels in northern China
90 related to the Siberian High, onshore flows in eastern China, and frontal rainstorms in
91 southern China. These previous studies have highlighted that different levels of $PM_{2.5}$
92 pollution are closely related to the dominant synoptic patterns in different regions, and
93 they attribute the large spatial variability of pollution to the regional transport
94 contributions, not only the different local sources of $PM_{2.5}$. However, under different
95 synoptic conditions, how to effectively reduce local and regional emissions to control
96 haze pollution is rarely reported.

97 Various key regions have issued the emergency preplan against the winter haze
98 episodes, while these schemes can only be targeted at a certain city (The People's
99 Government of Beijing Municipality, 2018; The People's Government of Shanghai
100 Municipality, 2018) or a certain urban agglomeration (The People's Government of
101 Guangdong Province, 2014). They always have no binding forces on the larger scale
102 emission reduction outside of a specific region, which is not conducive to effective
103 $PM_{2.5}$ mitigations. Moreover, current emission reduction policies in China mainly
104 aimed at sulfur dioxide (SO_2) and nitrogen dioxide (NO_2), ignoring the effective
105 emission reduction on ammonia (NH_3). Compared to remarkable reduction in SO_2 ,
106 NO_2 , and primary PM emissions, NH_3 emissions has remained stable during 2014–2018
107 in China (Zheng et al., 2018). Given the important role of NH_3 in secondary inorganic
108 aerosol formation (Geng et al., 2019; Liu et al., 2019), cutting NH_3 emissions should
109 be proposed as a next-step mitigation strategy. Therefore, for $PM_{2.5}$ mitigations during
110 winter haze episodes in a specific region forced by potential synoptic controls, whether
111 the air pollution emergency management and control schemes are effective and how to
112 improve them has become an urgent scientific question to be answered.



113 In order to investigate the effectiveness of emission control to reduce $PM_{2.5}$
114 pollution during winter haze episodes under various potential synoptic controls, we take
115 the severe particle pollution of winter haze episodes over Jingzhou, the hinterland of
116 Yangtze River middle basin in Central China, as an example. Jingzhou is
117 geographically surrounded by major haze pollution regions, the SCB to the west, the
118 PRD to the south, the YRD to the east, and the NCP to the north (Fig. 1). As a regional
119 pollutant transport hub with sub basin topography, Central China is a region of
120 transportation-pollution characteristics affected by two reported transport pathways
121 from the vast flatland in central eastern China (Yu et al., 2020) and from the NCP region
122 (Zheng et al., 2019a). In combination with high anthropogenic emissions (Wu et al.,
123 2018) and secondary aerosol formation (Huang et al., 2020), Central China often suffers
124 severe pollution episodes in winter caused by $PM_{2.5}$ (Gong et al., 2015; Xu et al., 2017).
125 In this study, we conduct the circulation classification to differentiate the synoptic
126 modes during the severe particle pollution episodes in winter over Central China from
127 2013 to 2018 by using Lamb-Jenkenson method. Then we simulate the $PM_{2.5}$ chemical
128 components, and the contributions of local sources as well as transboundary transport
129 of $PM_{2.5}$ under different synoptic conditions. Finally, the effectiveness of emission
130 reduction in main potential synoptic patterns are evaluated by GEOS-Chem model
131 simulations. This study could provide reference for emission control of severe winter
132 haze pollution under different weather types, and provide basis for regional air quality
133 policy-making.

134

135 **2 Data and Methods**

136 **2.1 Data**

137 Hourly mass concentrations of $PM_{2.5}$ at Jingzhou (112.18°E, 30.33°N, 33.7 m)
138 from November 2013 to December 2018 are obtained from Hubei Environmental
139 Monitoring Central Station (<http://sthjt.hubei.gov.cn/>). We screen the pollution days



140 with daily mean $\text{PM}_{2.5}$ concentrations larger than $150 \mu\text{g}/\text{m}^3$ for circulation
141 classification.

142

143 Figure 1

144

145 The meteorological data of surface observations at Jingzhou, including ambient
146 temperature, relative humidity, wind speed, wind direction and atmospheric pressure,
147 are obtained from Hubei Meteorological Information and Technology Support Center
148 (<http://hb.cma.gov.cn/qxfw/index.html>). The data used in this study are from November
149 2013 to February 2014, in which four severe particle pollution events occurred in
150 succession over Central China (Fig. S1).

151 We use the daily mean sea level pressures (SLP) from the National Centers for
152 Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR)
153 Final (FNL) Operational Global Analysis data (horizontal resolution: $1^\circ \times 1^\circ$; temporal
154 resolution: 6 hours; <https://rda.ucar.edu/datasets/ds083.3/>) to conduct the classification
155 of Lamb-Jenkenson circulation types.

156 2.2 Lamb-Jenkenson Circulation Classification

157 The atmospheric circulation classification adopts the Lamb-Jenkenson method
158 proposed by Lamb et al. (1950) and developed by Jenkenson et al. (1977). This method
159 is a combination of subjective and objective methods, overcoming the weaknesses of
160 their respective (Trigo and DaCamara, 2000) and leading to better synoptic significance
161 (Pope et al., 2015).

162 To calculate the circulation types of Jingzhou, we mark total 16 points (97.5°E -
163 127.5°E , 20°N - 40°N) by every 10 longitudes and 5 latitudes and the center point
164 located at 112.5°E and 30°N (Fig. S2). Using the sea level pressure of 16 points, we
165 calculate six circulation indexes by scheme of central difference:

$$166 \quad u = 0.5[P(12) + P(13) - P(4) - P(5)]$$

167 (1)



$$168 \quad v = \frac{1}{\cos \alpha} \times \frac{1}{4} [P(4) + 2P(9) + P(13) - P(4) - 2P(8) - P(12)]$$

169 (2)

$$170 \quad V = \sqrt{u^2 + v^2}$$

171 (3)

$$172 \quad \xi_u = \frac{\sin \alpha}{2 \sin \alpha_1} [P(15) + P(16) - P(8) - P(9)] - \frac{\sin \alpha}{2 \sin \alpha_2} [P(8) + P(9) - P(1) - P(2)]$$

173 (4)

$$174 \quad \xi_v = \frac{1}{8 \cos^2 \alpha} [P(6) + 2P(10) + P(14) - P(5) - 2P(9) - P(13) \\ + P(3) + 2P(7) + P(11) - P(4) - 2P(8) - P(12)]$$

175 (5)

$$176 \quad \xi = \xi_u + \xi_v$$

177 (6)

178 Where $P(n)(n=1,2,3\cdots 16)$ is the sea level pressure at the n^{th} point;

179 α, α_1 and α_2 are the latitude values of points C, A_1 and A_2 , respectively; V is the

180 geostrophic wind, u and v are the latitudinal and meridional components of the

181 geostrophic wind; ξ is the geostrophic vorticity; ξ_u is the u meridional gradient,

182 and ξ_v is the v latitudinal gradient.

183 Taking the latitude of the center point as the reference frame, the unit of six

184 circulation indexes is $hPa/(10^\circ lon)$, the direction of geostrophic wind can be

185 determined by u and v , and cyclones and anticyclones can be determined by ξ .

186 According to the geostrophic wind speed, wind direction and vorticity value, the

187 circulation is divided into 10 types. The classification standard and corresponding types

188 are shown in Table 1.

189

190

Table 1



191

192 2.3 GEOS-Chem simulations

193 The GEOS-Chem chemistry transport model is used
194 (<http://acmg.seas.harvard.edu/geos/>) to simulate the spatiotemporal distribution of
195 $PM_{2.5}$. The nested model, covering China ($70^{\circ}E$ - $140^{\circ}E$, $15^{\circ}S$ - $55^{\circ}N$), is run with a
196 horizontal resolution of 0.25° latitude \times 0.3125° longitude and 72 vertical layers. The
197 simulations, driven by the GEOS-FP assimilated meteorological data, include detailed
198 tropospheric Ozone- NO_x -VOCs- HO_x -aerosol chemistry. More details are shown in
199 Yan et al. (2019). In the model, anthropogenic and natural sources are fully considered
200 in GEOS-Chem. Table S1 shows a list of emission inventories in the model. In China,
201 the monthly grid data of $0.25^{\circ} \times 0.25^{\circ}$ from MEIC inventory (<http://meicmodel.org>) for
202 CO, NO_x , SO_2 and non-methane volatile organic compounds (NMVOCs) in 2013-2014
203 is used. Over Central China, anthropogenic sources of these species are from our group
204 SEEA (Source Emission and Environment Research) with the grid data of $0.1^{\circ} \times 0.1^{\circ}$
205 (not shown). The SEEA emission inventory was developed based on the year of 2017
206 for the Wuhan city cluster and it has been successfully adopted for the air quality
207 simulating and forecasting of 7th CISM Military World Games in 2019. Other emission
208 descriptions are shown in Supplementary Sect. S1.

209 In order to better simulate the spatiotemporal distribution of $PM_{2.5}$ over Central
210 China, especially in winter heavy pollution periods, the standard v11-01 of GEOS-
211 Chem is optimized according to the actual situation in China (see details in
212 Supplementary Sect. S2), including optimizing $PM_{2.5}$ sources and increasing the
213 proportion of sulfate primary emission (Yan et al., 2020). The $PM_{2.5}$ primary
214 anthropogenic emissions enhance the $PM_{2.5}$ concentrations over Central China by 5-20
215 $\mu g/m^3$ in winter (Fig. S3). Compared with the results before the model optimization
216 (Fig. S4), the sulfate concentration simulated by the optimized model increased from
217 10-20 $\mu g/m^3$ to 30-50 $\mu g/m^3$. The concentration of $PM_{2.5}$ increased and improved.

218



219 **3. Results and Discussion**

220 **3.1 Classification of Potential synoptic controls (PSC)**

221 As shown in Fig. 2, among the circulation patterns of pollution-day at Jingzhou
222 from 2013 to 2018, the frequency of SW-type circulation is the highest, accounting for
223 29% of the total pollution days. The frequencies of NW-type, A-type and C-type are
224 also high, accounting for 27%, 19% and 12%, respectively. While the other six
225 circulation patterns are less occurred, with the frequencies less than 5%. Thus, the
226 above four typical circulation types are considered as the main potential synoptic
227 controls of the severe particle pollution episodes over Central China.

228

229 Figure 2

230

231 **3.2 Characteristics of the four main PSC**

232 SW-type circulation mainly occurs in winter (December, January and February).
233 The circulation at 500 hPa is relatively flat and the whole East Asia region is affected
234 by the westerly flow (Fig. S5a). Westerly belt fluctuates greatly at 700 hPa and the
235 middle latitude presents two ridges and a southwest trough in Asia (Fig. S6a). Jingzhou
236 is located in the front of a trough, prevailing the weak southwest airflow. At 850 hPa,
237 the cold high pressure center is formed in Xinjiang of China. Warm low pressure
238 appears in the low latitude area and weak high pressure appears in the East China Sea
239 (Fig. 3a). In combination with the surface field, a high-low-high saddle like field forms
240 from west to east (Fig. 4a). Such synoptic type is also the dominant weather system of
241 eastern China (Shu et al., 2017; Yang et al., 2018). Jingzhou is located in the back of
242 Bohai-northeast high pressure and the front of southwest warm low pressure, and it is
243 affected by the southerly airflow, associated with small local surface wind speed (< 3
244 m/s).

245

246 Figure 3



247

248 NW-type circulation mainly occurs in the early winter (December and January).
249 This synoptic pattern is also reported as one of the main types to affect the aerosol
250 distributions over eastern China (Zheng et al., 2015). Circulation at 500 hPa is
251 controlled by one trough and one ridge, with the weak ridge located in the northwest of
252 China and the shallow trough located in the northeast of China (Fig. S5b). The whole
253 East Asia is affected by the westerly current. The trough and ridge at 700 hPa are
254 deepened. Affected by the flow around the plateau and the shallow trough in the
255 northeast, Jingzhou is located at the bottom of the shallow trough, prevailing the west-
256 northwest airflow (Fig. S6b). At 850 hPa, the cold high pressure center is formed in
257 Xinjiang, and Jingzhou is affected by the northerly airflow, due to being in the front of
258 the high pressure (Fig. 3b). For the sea level pressure (SLP) field, the cold high pressure
259 is located in the west of Mongolia and Xinjiang of China (Fig. 4b). Jingzhou is located
260 at the weak fluctuation in the front of the high pressure, and the surface wind speed is
261 smaller than 2 m/s.

262

263 Figure 4

264

265 A-type circulation mainly occurs in the early winter. The high-altitude circulation
266 field is controlled by one trough and one ridge (Fig. S5c and S6c). East Asia is affected
267 by west-northwest air flow, and the SLP is controlled by a huge high pressure, with the
268 center located in the southwest of Baikal Lake (Fig. 4c). A surface high favors
269 accumulation of air pollutants, especially over the regions of high pressure centers
270 (Leung et al., 2018). Jingzhou is in the sparse pressure field in the front of the high
271 pressure (Fig. 3c and 4c), with the average wind speed of ~1.3 m/s. The uniform west-
272 northwest air flow at high altitude would lead to the observed lower water vapor content
273 and less cloud amount, which is conducive to radiation cooling at night. In addition,
274 due to the weak high pressure ridge in the north, it is not conducive to the eastward and



275 southward movement of cold air, leading to the stable weather situation. This type is
276 also responsible for most of the severe particulate pollution days in the BTH and YRD
277 regions (Li et al., 2019).

278 C-type circulation mainly occurs in winter, spring and autumn, when the relative
279 humidity is large with the average value of 74%. East Asia is controlled by the straight
280 westerly flow, and the southwest shallow trough is obvious at 500 hPa (Fig. S5d). In
281 combination with the West Pacific subtropical high extending to the west, Central
282 China is affected by the southwest flow. Southwest trough is deepened at 700 hPa, and
283 Jingzhou is located in front of the trough and controlled by the southwest airflow (Fig.
284 S6d). High pressure in the south of Xinjiang and the north of Plateau is strengthened at
285 850 hPa, and the southwest low pressure center is formed (Fig. 3d). Jingzhou is located
286 in the low pressure system on the SLP field (Fig. 4d), with small surface wind speed
287 (0-3 m/s). The impact of low-pressure systems on winter heavy air pollution have also
288 been reported in the northwest Sichuan Basin (Ning et al., 2018).

289

290 **3.3 PM_{2.5} and chemical components under the four main PSC in control** 291 **simulations**

292 The spatiotemporal distribution of PM_{2.5} and its components under the four typical
293 synoptic controls over Central China were simulated by optimized GEOS-Chem model
294 (Table 2). The continuous time periods covering the synoptic controls of SW-type (18-
295 25 November, 2013), NW-type (19-26 December, 2013), A-type (14-21 January, 2014)
296 and C-type (26 January - 2 February, 2014) are selected. The air quality at Jingzhou
297 during the four pollution episodes is between grade 5 (PM_{2.5} > 150 µg/m³) and grade 6
298 heavy pollution (PM_{2.5} > 250 µg/m³, as Fig. 5a and S1a shown). The simulation time is
299 started at November 1st, 2013, with the first two weeks used as spin up to eliminate the
300 impact of initial conditions.

301

302

Figure 5



303

304 The daily/hourly mean $PM_{2.5}$ concentrations in the four typical heavy pollution
305 processes simulated by the control (CON) simulation (Table 2) are compared with the
306 observations (Fig. 5a/Fig. S1a). The model underestimates the observed $PM_{2.5}$
307 concentrations (by $43.3 \mu\text{g}/\text{m}^3$ on average), especially in the high $PM_{2.5}$ periods (by
308 $116.8 \mu\text{g}/\text{m}^3$ at the maximum occurring in November 21-23, 2013). The possible causes
309 for underestimation are insufficient resolution of the model (Yan et al., 2014), emission
310 errors (Lin et al., 2016), meteorological field deviations (Liu et al., 2018) and imperfect
311 chemical mechanisms (Yan et al., 2019). Nevertheless, the model can reproduce the
312 evolution of each severe particle pollution episode well, including the accumulation of
313 pollutants, the continuing process and the gradual dissipation of pollution.

314

315 Table 2

316

317 Figure S7/S8 shows the modeled spatial distribution of $PM_{2.5}$, sulfate, nitrate and
318 ammonium concentrations for the four typical heavy pollution processes over
319 Jingzhou/China. The spatial distribution of the three inorganic salts is similar to that of
320 $PM_{2.5}$. Over Central China, the main components of $PM_{2.5}$ are the three inorganic salts
321 in these pollution episodes, with the averaged contributions of sulfate, nitrate and
322 ammonium being $\sim 20\%$, $\sim 18\%$ and $\sim 13\%$, respectively. Huang et al. (2014) have also
323 reported that the three secondary inorganic particles rank the highest fraction among
324 the $PM_{2.5}$ species in Central-Eastern China. As Table 3 shown, in addition to inorganic
325 salts, other chemical components include dust ($\sim 15\%$), black carbon ($\sim 7\%$), primary
326 organic aerosol ($\sim 14\%$) and second organic aerosol ($\sim 13\%$).

327

328 Table 3

329



330 In these four pollution events, the differences in mass percentages of each
331 chemical component ranged from 0.1% (dust) to 6.2% (sulfate) (Table 3). Spatial
332 distribution of $PM_{2.5}$, sulfate, nitrate and ammonium concentrations averaged in the
333 four typical heavy pollution processes over Jingzhou/China are shown in Fig. S7/S8.
334 See details in Sect. 3.4 for further analysis of the causes for the differences.

335

336 **3.4 Local emissions versus transportation contributions to $PM_{2.5}$ under the four** 337 **main PSC**

338 In order to investigate the effectiveness of emission control to reduce $PM_{2.5}$
339 pollution of Central China in the four typical severe particle pollution episodes, firstly
340 we estimate the local sources versus transportation contributions of $PM_{2.5}$ by GEOS-
341 Chem sensitivity simulations (Table 2). Results of XJ0 indicates the contribution of
342 local emission sources to the $PM_{2.5}$ pollution over Jingzhou. The difference between
343 CON and XCC0 shows the transportation contribution of $PM_{2.5}$ outside Central China
344 to Jingzhou. The difference between CON and NCP0/YRD0/PRD0/SCB0 represents
345 the contribution of pollution transportation from NCP/YRD/PRD/SCB regions to
346 Jingzhou.

347

348 Figure 6

349

350 For the SW-type synoptic situation, differences between the simulation results of
351 NCP0/YRD0/SCB0 and CON show that pollution controlled by SW-type circulation
352 over Central China is almost not affected by the emission sources from North
353 China/East China/Sichuan Basin. The concentrations of $PM_{2.5}$ and three inorganic salts
354 simulated by NCP0/YRD0/SCB0 are similar to those simulated by CON, with a
355 difference less than 3.0% (Fig. 7). However, affected by the southerly airflow at 850
356 hPa (Fig. 6), air pollutants formed over southern China could be transmitted to Central
357 China, with the transportation contribution of 7.6%. In addition, the contributions from



358 transboundary transport from non-Jingzhou Central China is simulated to be 12.0%.
359 The transportation of air pollutants from the south makes the proportion of the three
360 inorganic salts (45.7%) in Jingzhou area the smallest among the four pollution episodes
361 (50.3%-55.5% for other three episodes), because the emissions of SO₂, NO₂ and NH₃
362 in the south (especially in Guangxi and Guizhou province) are smaller than those in
363 Central China (Li et al., 2017a). Associated with the small surface wind speed of 2.1
364 m/s on average (Fig. 5) and the weak ascending in the vertical direction (Fig. 6) at
365 Jingzhou, it is not conducive to the dispersion of local pollutants (Zheng et al., 2015).
366 The high PM_{2.5} concentrations are mainly accumulated by local emissions. The
367 simulations of XJ0 and CON show that the local emission sources over Jingzhou
368 contribute ~70% to PM_{2.5}.

369

370 Figure 7

371

372 Figure 8

373

374 For the NW-type synoptic mode, affected by the northerly airflow (Fig. 8), it is
375 conducive to the southward movement of air pollutants in northern China (He et al.,
376 2018; Leung et al., 2018). Influenced by the local and surrounding terrain over Central
377 China (Fig. 1), two transportation channels are formed from north to south and from
378 northeast to southwest (Fig. 8). In addition, due to the local small wind speed (1.4 m/s
379 on average) near the ground (Fig. 5), the weak convection and the warm ridge along
380 the East Asia coast (Fig. 8), the local and transported pollutants accumulate in Central
381 China. The average concentration of PM_{2.5} in Jingzhou is 179.4 μg/m³. Due to the
382 transportation contribution of pollutants from northern China (with much higher
383 anthropogenic emissions of SO₂, NO₂ and NH₃) (Li et al., 2017a), the total proportion
384 of the three inorganic salts is the highest (55.5%). The PM_{2.5} concentration simulated
385 in NCP0 is 63.1% of that by CON simulation (Fig. 7), indicating that the transportation



386 contribution from North China in this heavy pollution episode is as high as 36.9%. The
387 contribution of local emission sources is much smaller than that of the SW-type
388 synoptic pattern, only 41.2% (comparison between XJ0 and CON).

389

390 **Figure 9**

391

392 Under the A-type circulation, Jingzhou is controlled by a high pressure system
393 (Fig. 9) which can lead to stable weather conditions caused by radiation inversion (Guo
394 et al., 2015) and subsidence inversion (Kurita et al., 1985), being favorable to
395 continuous accumulation of local pollutants (Guo et al., 2015). The distribution of PM_{2.5}
396 in China is similar to that of SW-type weather condition, with an averaged PM_{2.5}
397 concentration of 128.6 µg/m³ over Central China. Unlike SW-type, the PM_{2.5} at
398 Jingzhou in this synoptic pattern is less affected by transboundary transport, with the
399 total transportation contribution of the surrounding four major pollution regions being
400 less than 9%. The contribution of local emission sources is about 82% (Fig. 7).

401

402 **Figure 10**

403

404 Under the C-type synoptic pattern, the southwest low pressure center is formed at
405 850 hPa, and Jingzhou is located in the low pressure system on the SLP field (Fig. 10).
406 In combination with the large relative humidity (78% on average; Fig. 5) because that
407 the occurrence season of C-type is the late winter and early spring, it can promote the
408 haze pollution owing to its impact on hydrophilic aerosols (Twohy et al., 2009; Zheng
409 et al., 2015). Together with the small wind speed (less than 4 m/s; Fig. 5), it is easy to
410 cause the accumulation of pollutants. The average concentration of PM_{2.5} over Central
411 China is as high as 203.7 µg/m³. Air pollution controlled by this weather condition is
412 the most serious of the four typical synoptic controls. However, in this weather situation,
413 pollutants in North China are easy to diffuse (Miao et al., 2017; Li et al., 2019), and the



414 concentration of $PM_{2.5}$ is significantly lower than that in the former three weather
415 situations (Fig. 10 and Fig. S8). The contribution of pollution transport from non-
416 Central China region simulated by GEOS-Chem is less than 8%, and the contribution
417 of local emission sources at Jingzhou is more than 85% (Fig. 7).

418

419 **3.5 Effectiveness of emission reduction under the four main PSC**

420 In order to estimate the effectiveness of emission reduction in severe pollution
421 events forced by the four potential synoptic controls, we conduct sensitivity simulations
422 by applying seven emission scenarios (Table 2). All emission scenarios use the
423 reduction ratio of 20% which is close to the average of the target emission reduction of
424 all provinces in the 13th Five-year plan (The State Council of the People's Republic of
425 China, 2016). The differences in model results between CON and JSN/JSNN/JALL
426 represent the environmental benefits caused by different local emission reduction
427 scenarios. The potential $PM_{2.5}$ mitigations by joint prevention and control in different
428 regions are calculated by sensitivity experiments of CCALL, CNALL, CPALL and
429 TALL.

430 In the JSN emission reduction scenario, the sulfate and ammonium concentrations
431 over Jingzhou are significantly reduced by 3.2-5.8 $\mu\text{g}/\text{m}^3$ (12.7-14.5%) and 0.6-1.9
432 $\mu\text{g}/\text{m}^3$ (3.2-5.9%) in these four pollution events, respectively. However, the
433 concentration of nitrate increases (1.3-1.7%). This is because there is a competition
434 mechanism between nitrate and sulfate. Ammonium ions always react with sulfate ions
435 first to generate ammonium sulfate, which will continue to react with nitrate ions to
436 generate ammonium nitrate when ammonium ions are rich (Mao et al., 2010). The
437 modeling results indicate that there are enough NH_3 emissions over Central China to
438 consume all sulfate ions, but not enough to combine with all nitrate ions. Thus the
439 reduction of SO_2 emission increases the concentration of nitrate, which offset the
440 contribution of sulfate particle reduction to the environment to some extent. Therefore,
441 the application of JSN emission reduction scheme only reduces the $PM_{2.5}$



442 concentrations by 3.1-7.2 $\mu\text{g}/\text{m}^3$ (2.0-3.5%, Fig. 11). This inefficient emission reduction
443 scheme is most widely used in heavy pollution areas over China in the past decade,
444 ignoring the synergistic effect of various precursors.

445

446 **Figure 11**

447

448 By applying the JSNN and JALL emission reduction scenarios, we aim to evaluate
449 the synergistic effect of multiple precursors on emission reduction. These two scenarios
450 reduce the average sulfate concentration in Jingzhou by 2.8-6.7 $\mu\text{g}/\text{m}^3$ (11.3-17.3%)
451 and 2.9-7.2 $\mu\text{g}/\text{m}^3$ (11.7-17.9%), and the ammonium concentration by 2.0-4.8 $\mu\text{g}/\text{m}^3$
452 (12.1-16.5%) and 2.2-4.7 $\mu\text{g}/\text{m}^3$ (13.2-17.3%), respectively. Unlike the increments of
453 nitrate in JSN emission reduction scenario, the nitrate decreases (JSNN: 0.3-1.2 $\mu\text{g}/\text{m}^3$;
454 JALL: 0.4-1.5 $\mu\text{g}/\text{m}^3$). Therefore, through the application of JSNN and JALL emission
455 reduction schemes, $\text{PM}_{2.5}$ concentrations decrease by 4.9-8.3% and 9.0-15.9%,
456 respectively (Fig. 11), much higher than the improvement by JSN scenario. Zheng et
457 al. (2019b) has also evaluated the sensitiveness of NH_3 control to $\text{PM}_{2.5}$ reduction based
458 on observations. However, these results indicate that it is unrealistic to substantially
459 reduce local emissions to achieve the national air quality standard in the long term.

460 Additionally, the sensitivity simulations by excluding emission sources over
461 upwind regions are conducted to estimate the potential $\text{PM}_{2.5}$ mitigations of inter-
462 regional and intra-regional joint control. Our results show that after applying TALL
463 emission reduction scenario, $\text{PM}_{2.5}$ concentrations have been significantly improved,
464 with the improvement rates increased from 9.0-15.9% (by JALL scenario) to 17.4-18.8%
465 (Fig. 11). Especially, the NW-type synoptic controlled air pollution episode shows the
466 best effect of joint prevention, followed by SW-type. For NW-type, by reducing
467 emissions over Central China and Northern China (CNALL scheme), $\text{PM}_{2.5}$
468 concentrations are reduced by 26.5 $\mu\text{g}/\text{m}^3$ (16.9%), much more effective than JALL
469 emission reduction scheme (14.1 $\mu\text{g}/\text{m}^3$, 9.0%). In SW-type controlled pollution



470 episode, it should be otherwise to decrease the emissions over Southern China in
471 addition to Central China.

472

473 **4. Conclusion**

474 The PM_{2.5} pollution in autumn and winter haze periods is now the key obstacle for
475 further improving the air quality in China. The extremely severe and persistent PM_{2.5}
476 pollution episodes are attributed to dominant synoptic conditions in addition to high
477 precursor emissions. For the PM_{2.5} mitigations during winter haze episodes in specific
478 region forced by potential synoptic controls, how to effectively reduce emissions has
479 become an urgent scientific question to be answered. Our results over Central China
480 could provide reference for regional air quality policy-making.

481 Through Lamb-Jenkenson circulation classification, the top four potential
482 synoptic controls (PSC) of heavy PM_{2.5} pollution days (totally 109 days) over Central
483 China from 2013 to 2018 are decomposed to be SW-type, NW-type A-type and C-type,
484 accounting for 29%, 27%, 19% and 12% of the total pollution days, respectively. In
485 these four PSC, three inorganic salt aerosols (sulfate: ~20%; nitrate: ~18%; ammonium:
486 ~13%) totally accounted for ~51% of PM_{2.5} concentrations simulated by optimized
487 GEOS-Chem modelling. The difference of PM_{2.5} concentrations for the four PSC is
488 mainly contributed by the differences of the three inorganic salts.

489 In the SW-type/NW-type synoptic situation, affected by the southerly/northerly
490 airflow, pollutants over southern/northern China could be transmitted to Central China,
491 with the transportation contribution of 7.6%/37%. In the situation A-type/C-type
492 weather, affected by stable weather condition/high relative humidity, the pollution
493 processes are less affected by the emission sources from non-local regions. And the
494 local emission sources contribute 82%/85% of PM_{2.5}.

495 By only reducing SO₂ and NO_x emission and not controlling NH₃, due to the
496 competition mechanism between nitrate and sulfate, the concentrations of sulfate and
497 ammonium decrease, but the concentration of nitrate increase instead. The enhanced



498 nitrate counteracts the effect of sulfate reduction on $PM_{2.5}$ mitigation with less than 4%
499 decrease in $PM_{2.5}$. Even if the NH_3 emission is also reduced, the $PM_{2.5}$ concentration
500 reduction is less than 9%. By applying the TALL emission reduction scenario, $PM_{2.5}$
501 concentrations would decrease significantly, with the improvement rate increased from
502 9.0-15.9% (by JALL scenario) to 17.4-18.8%.

503 These results provide an opportunity to effectively mitigate haze pollution by local
504 emission control actions in coordination with regional collaborative actions according
505 to different synoptic patterns. Especially, the NW-type synoptic controlled air pollution
506 episode shows the best effect of joint prevention, followed by SW-type. It is noted that
507 in this study, the division of transmission areas is relatively rough, and more accurate
508 source area identification and refined assessment of emission reduction effect of
509 multiple pollutants from source groups are needed in the follow-up.

510

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521

522 **Author contributions**

523 Yingying Yan and Shaofei Kong conceived and designed the research. Yingying
524 Yan performed the data processing, model simulations, and analyses. Yue Zhou
525 assisted in the circulation classification. Jian Wu provided the emission data over



526 Central China. Shaofei Kong, Tianliang Zhao and Dantong Liu contributed the funding
527 acquisition. Yingying Yan wrote the paper with input from all authors.

528

529 **Data availability**

530 Observational data are obtained from individual sources (see links in the text).
531 Model results are available upon request. Model codes are available on a collaborative
532 basis.

533

534 **Competing interests**

535 The authors declare that they have no conflict of interest.

536

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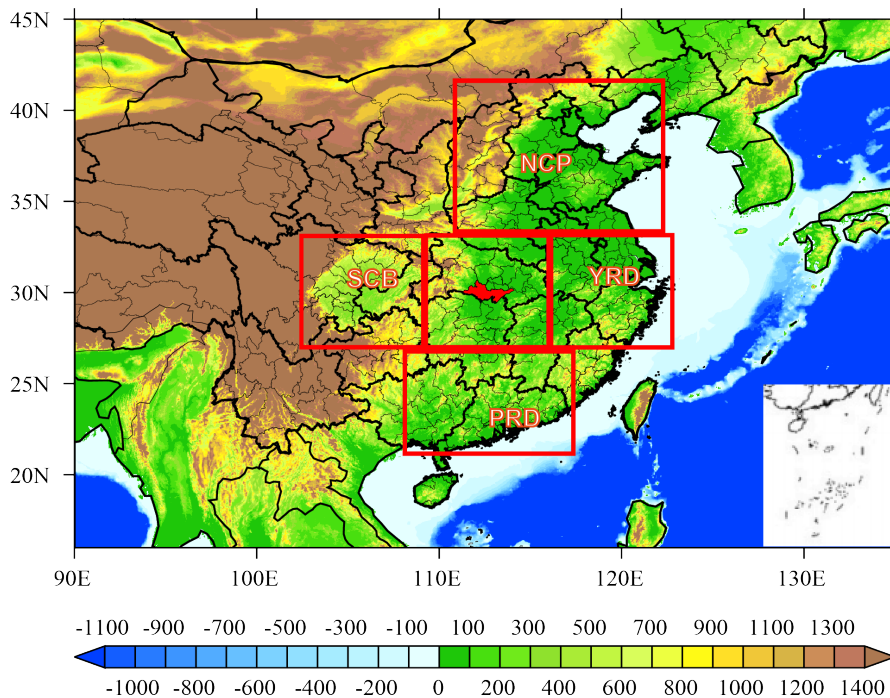
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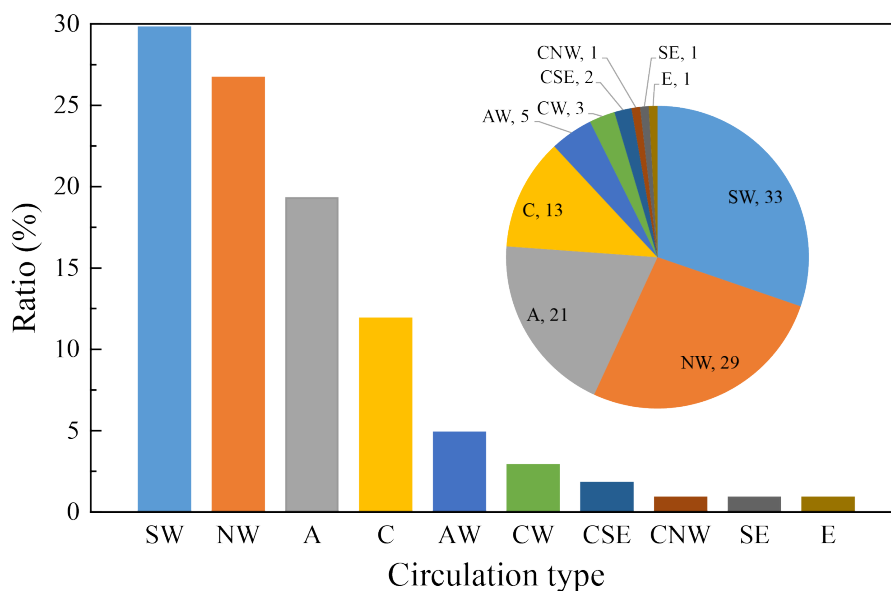


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Figure 1 The location of Jingzhou (red area) and the major haze pollution regions of NCP, YRD, PRD, and SCB. The areas framed in red are used to investigate the inter-regional impacts by GEOS-Chem sensitivity simulations. The overlaid map shows the surface elevation (m) from a 2 min Gridded Global Relief Data (ETOPO2v2) available at NGDC Marine Trackline Geophysical database (<http://www.ngdc.noaa.gov/mgg/global/etopo2.html>).



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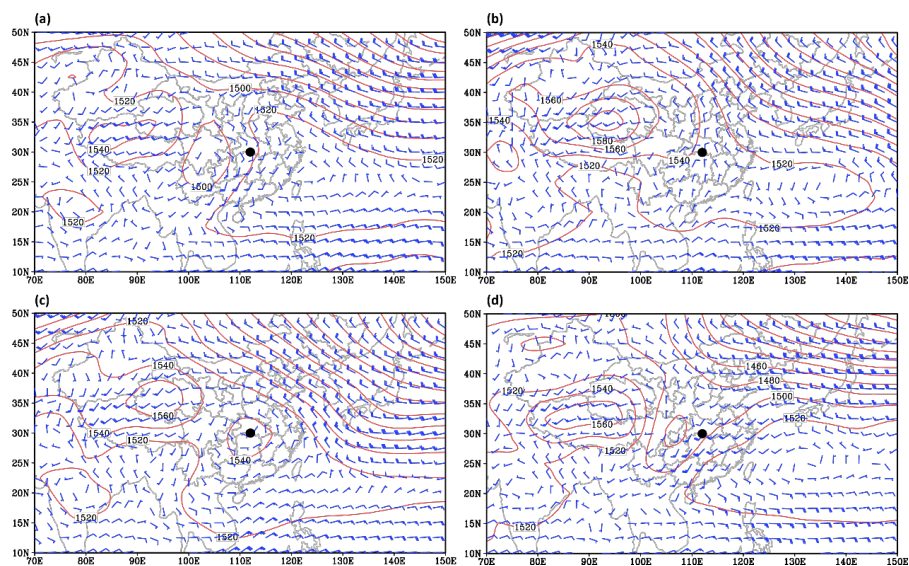
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803 Figure 2 Frequency distributions of ten circulation types for the heavy pollution days
804 of 2013-2018 over Jingzhou. The occurrence numbers of each type are shown. The ten
805 circulation types include Southwest (SW), Northwest (NW), Anticyclone (A), Cyclone
806 (C), Anticyclone-West (AW), Cyclone-West (CW), Cyclone-Southeast (CSE),
807 Cyclone-Northwest (CNW), Southeast (SE) and East (E), respectively.

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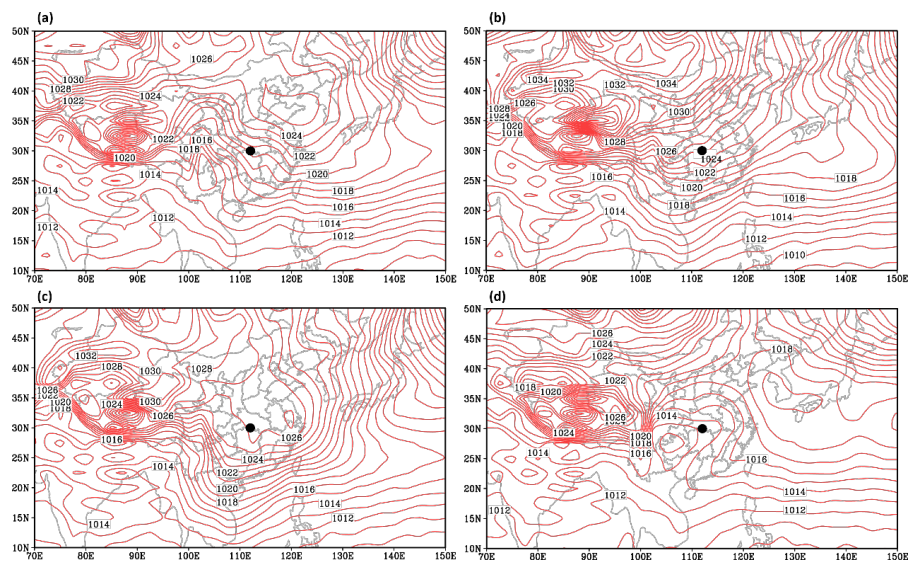
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811 Figure 3 Spatial distribution of 850 hPa geopotential height and wind vector for SW-
812 type (a), NW-type (b), A-type (c) and C-type (d) synoptic control averaged over 2013-
813 2018. The black dot indicates the location of Jingzhou.

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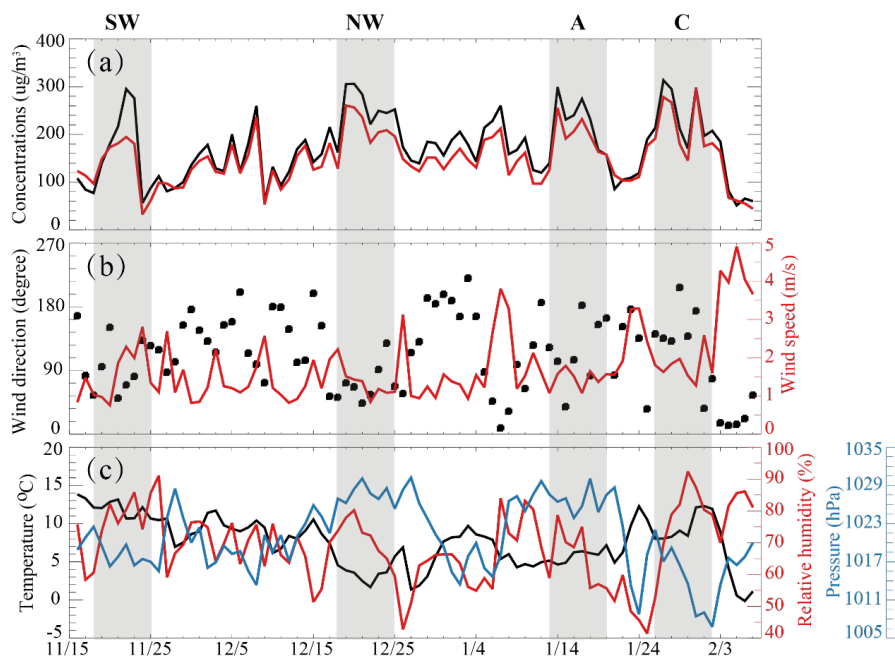
817 Figure 4 Spatial distribution of sea level pressure for SW-type (a), NW-type (b), A-type
818 (c) and C-type (d) synoptic control averaged over 2013-2018. The black dot indicates
819 the location of Jingzhou.

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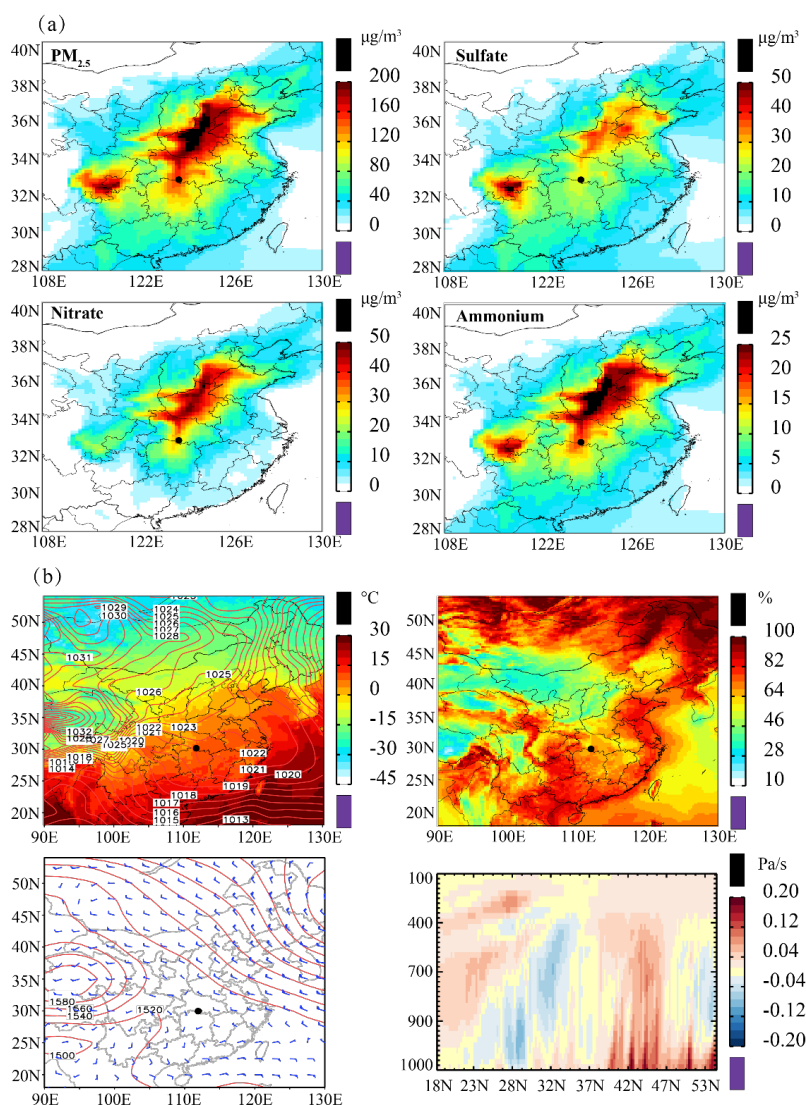


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824 Figure 5 (a) Daily mean values of modeled (red line) and observed (black line) $PM_{2.5}$
825 concentration ($\mu\text{g}/\text{m}^3$) at Jingzhou and four severe pollution events (grey area) from
826 November, 2013 to February, 2014. (b) Observed daily mean wind speed (red line) and
827 wind direction (black dots). (c) Observed temperature (black line), relative humidity (red
828 line) and sea level pressure (blue line).

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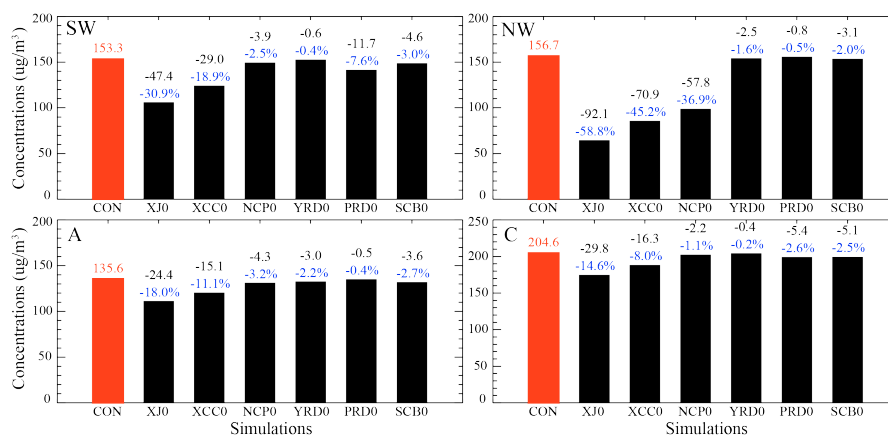
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832 Figure 6 (a) Spatial distribution of PM_{2.5}, sulfate, nitrate and ammonium concentrations
833 averaged over SW-type synoptic controls (18-25 November, 2013) simulated by
834 GEOS-Chem control simulation ($\mu\text{g}/\text{m}^3$). (b) Meteorological conditions of SW-type:
835 sea level pressure (red line) and temperature (colour shades), surface relative humidity
836 (%), 850 hPa wind and geopotential height (red line) and height–latitude cross-
837 sections of vertical velocity (Pa/s).

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841 Figure 7 Modeled concentrations ($\mu\text{g}/\text{m}^3$) of $\text{PM}_{2.5}$ at Jingzhou in the GEOS-Chem
842 control (red bar) and sensitivity (black bar) simulations in view of the regional
843 transportation, and the differences (black characters for mass concentrations and blue
844 characters for mass percentages) between the sensitivity and the control simulations.

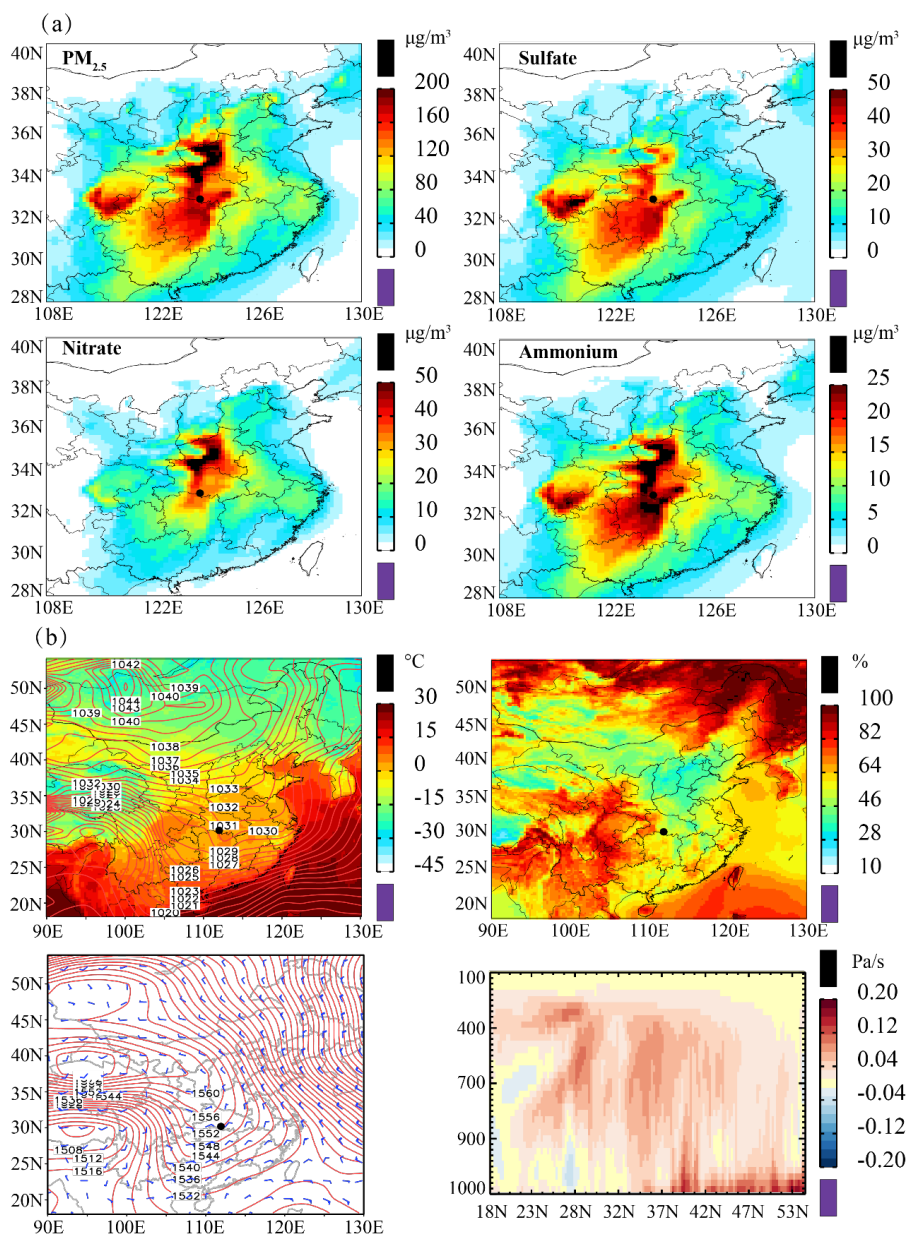
845 The abbreviations of each simulation referred to Table 2.

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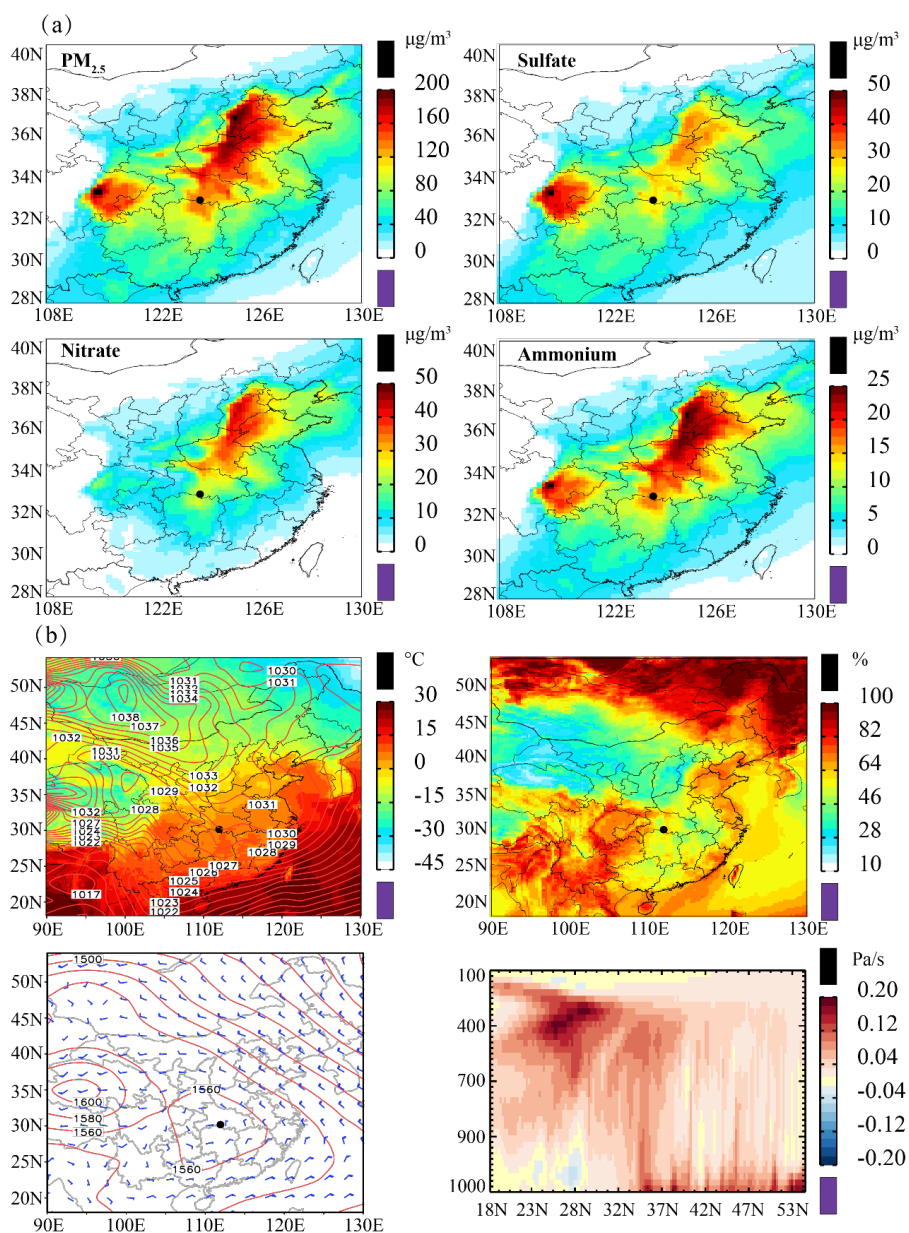
850 Figure 8 As in Fig. 6 but for NW-type synoptic control (19-26 December, 2013).

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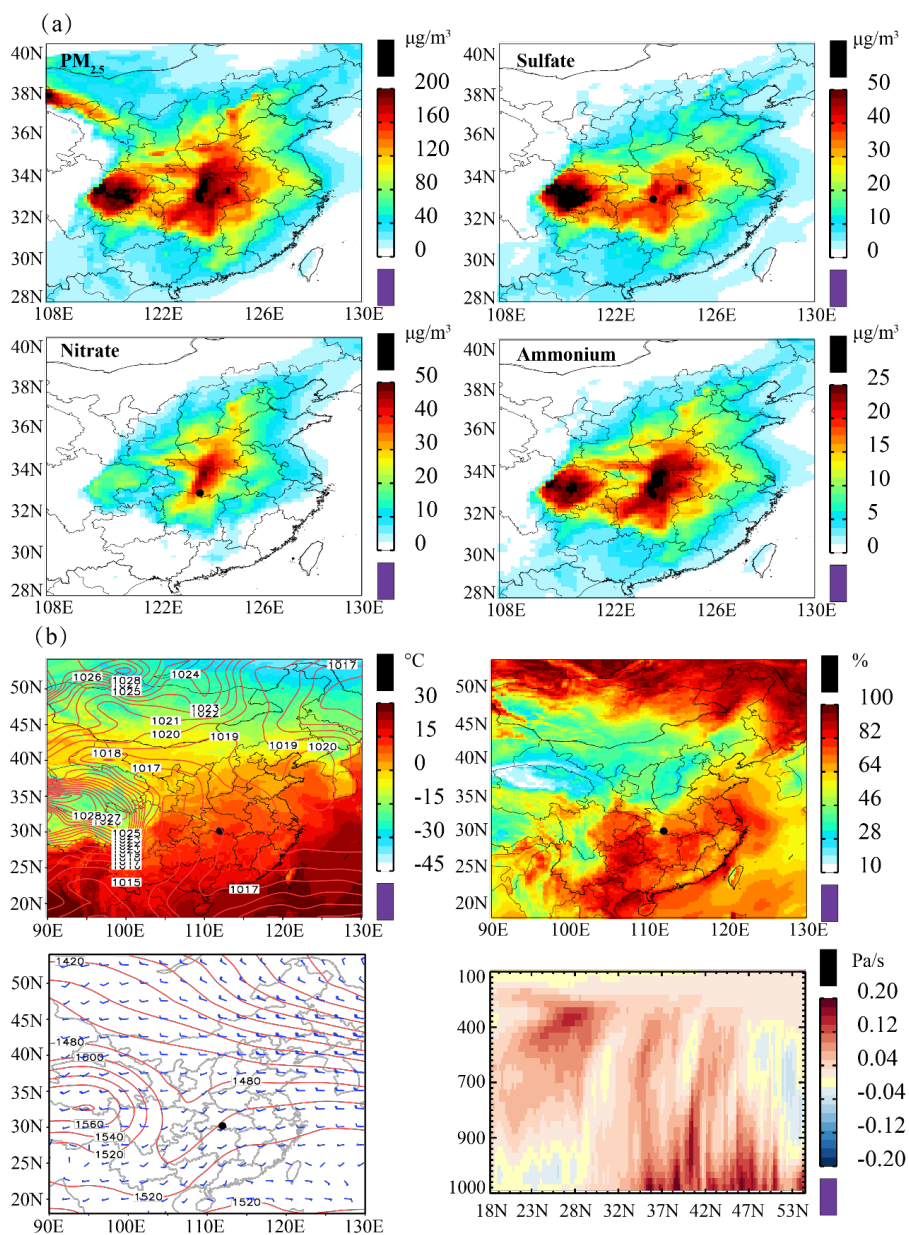
855 Figure 9 As in Fig. 6 but for A-type synoptic control (14-21 January, 2014).

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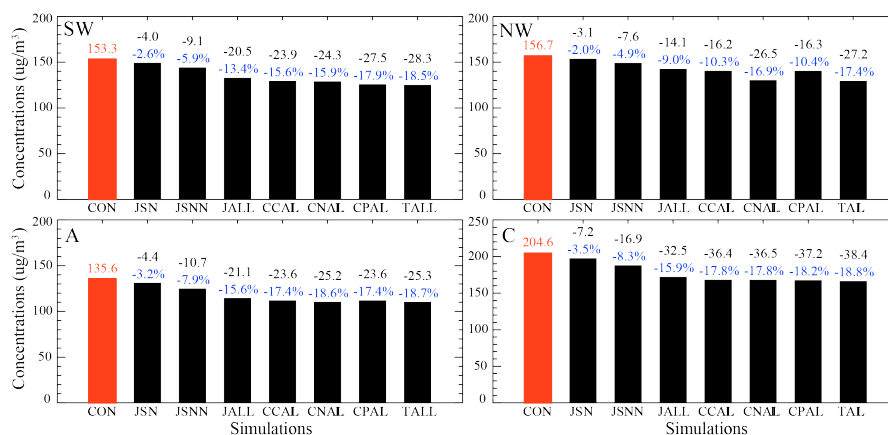
860 Figure 10 As in Fig. 6 but for C-type synoptic control (26 January - 2 February, 2014).

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865 Figure 11 Modeled concentrations ($\mu\text{g}/\text{m}^3$) of PM_{2.5} at Jingzhou in the GEOS-Chem
866 control (red bar) and sensitivity (black bar) simulations for emission reduction, and the
867 differences (black characters for mass concentrations and blue characters for mass
868 percentages) between the sensitivity and the control simulations. The abbreviations of
869 each simulation referred to Table 2.

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873 Table 1 Lamb-Jenkinson circulation types

$ \xi \leq V$	$ \xi \geq 2V$	$V < \xi < 2V$
(Flat airflow type)	(Rotating airflow type)	(Mixed type)
East (E), Southeast (SE), Southwest (SW), Northwest (NW)	Anticyclone (A), Cyclone (C)	Cyclone-Southeast (CSE), Cyclone-West (CW), Cyclone-Northwest (CNW), Anticyclone-West (AW)

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877 Table 2 Description of sensitivity simulations by GEOS-Chem model. The NCP, YRD,
878 PRD and SCB are the areas framed in red showed by Fig. 1.

Simulations	Description
CON	Applying the original emission situation in Table S1
XJ0	Emissions of all pollution sources ¹ outside Jingzhou are set to be zero
XCCC	Emissions of all pollution sources outside Central China are set to be zero
NCP0	Emissions of all pollution sources over NCP region are set to be zero
YRD0	Emissions of all pollution sources over YRD region are set to be zero
PRD0	Emissions of all pollution sources over PRD region are set to be zero
SCB0	Emissions of all pollution sources over SCB region are set to be zero
JSN	Emissions of SO ₂ and NO _x at Jingzhou are reduced by 20%
JSNN	Emissions of SO ₂ , NO _x and NH ₃ at Jingzhou are reduced by 20%
JALL	Emissions of all pollution sources at Jingzhou are reduced by 20%
CCALL	Emissions of all pollution sources over Central China are reduced by 20%
CNALL	Emissions of all pollution sources over Central China and NCP region are reduced by 20%
CPALL	Emissions of all pollution sources over Central China and PRD region are reduced by 20%
TALL	Emissions of all pollution sources over Central China, NCP, YRD, PRD and SCB region are reduced by 20%

879 1. All pollution sources include emissions of SO₂, NO_x, NH₃, CO, BC, OC and NMVOCs.

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884 Table 3 Simulated PM_{2.5} concentrations and associated chemical components averaged
885 for the four typical heavy pollution episodes at Jingzhou. Also shown in brackets are
886 the percentages of each component in PM_{2.5}.

PM _{2.5} components	Typical heavy pollution episodes			
$\mu\text{g}/\text{m}^3$	11/18-11/25 (SW-type)	12/19-12/26 (NW-type)	1/14-1/21 (A-type)	1/26-2/2 (C-type)
Nitrate	30.6 (20.0%)	34.6 (22.1%)	23.4 (17.3%)	42.3 (20.7%)
Sulfate	26.5 (13.4%)	30.7 (19.6%)	27.7 (20.4%)	40.4 (19.7%)
Ammonium	18.8 (12.3%)	21.6 (13.8%)	17.1 (12.6%)	27.1 (13.2%)
Dust	24.4 (15.9%)	22.3 (14.2%)	19.8 (14.6%)	29.2 (14.3%)
BC	10.5 (6.8%)	9.6 (6.1%)	9.5 (7.0%)	13.8 (6.7%)
POA	21.6 (14.1%)	18.9 (12.1%)	18.9 (13.9%)	27.7 (13.5%)
SOA	20.9 (13.6%)	19.0 (12.1%)	19.2 (14.2%)	24.1 (11.8%)
PM _{2.5}	153.3	156.7	135.6	204.6

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