

1 **Dominant synoptic patterns associated with the decay process of**
2 **PM2.5 pollution episodes around Beijing**

3 Xiaoyan Wang^{1,2,3*}, Renhe Zhang^{1,2}, Yanke Tan^{1,2}, Wei Yu^{1,4}

4 ¹Department of Atmospheric and Oceanic Sciences & Institute of Atmospheric Sciences, Fudan
5 University, Shanghai, China

6 ²Big Data Institute for Carbon Emission and Environmental Pollution, Fudan University, Shanghai,
7 China

8 ³Shanghai Institute of Pollution Control and Ecological Security, Shanghai, China

9 ⁴Shanghai Ecological Forecasting and Remote Sensing Center, Shanghai, China

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12 Correspondence to: Xiaoyan Wang (wangxyfd@fudan.edu.cn)

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15 **Abstract**

16 The variation in the concentrations of ambient PM_{2.5} (particles with an aerodynamic diameter less
17 than 2.5 μm) generally forms a continuous sawtooth cycle with a recurring smooth increase followed
18 by a sharp decrease. The abrupt decay of pollution episode is mostly meteorological in origin, and
19 is controlled by the passage of synoptic systems. One affordable and effective measure for the
20 quickly reducing PM_{2.5} concentrations in northern China is to wait for strong wind to arrive.
21 However, it is still unclear how strong the wind needs to be and exactly what kind of synoptic system
22 most effectively results in the rapid decay of air pollution episodes. PM_{2.5} variations over the 28
23 pollution channel cities of Beijing are investigated to determine the mechanisms by which synoptic
24 patterns affect the decay processes of pollution episodes. This work shows more obvious day-to-
25 day variations in PM_{2.5} concentration in winter than in summer, which implies that wintertime
26 PM_{2.5} variations are more sensitive to meteorological factors. There were 365 decay processes from
27 January 2014 to March 2020, and 97 of them were related to the effective wet deposition. 26%~43%
28 of PM_{2.5} pollutant is removed by the wet deposition in different seasons. Two dominant circulation
29 patterns are identified in summer. All the other three seasons have three circulation types (CTs),
30 respectively. The three CTs in spring show the same patterns with those in autumn and winter. The
31 circulation patterns beneficial to the decay processes all exhibit a higher-than-normal surface wind
32 speed, a negative relative humidity anomaly and net outflow of PM_{2.5} from the domain. In addition,
33 CT1 in spring, autumn and winter is controlled by northeasterly wind and features the most
34 significant horizontal net-outflow of air pollutants and effective upward spread of air pollutants to
35 the free atmosphere. CT2 is the most frequent CT in autumn and winter, with the highest wind speed
36 from the northwest, the highest boundary layer height (BLH), and lowest relative humidity among
37 the three CTs, all of which are favorable for the reduction of PM_{2.5} concentrations. In CT3, strong
38 vertical wind shear within the boundary layer enhances the mixing of surface air pollutants, which
39 is the extra cleaning mechanism besides dry and clean air mass inflow. PM_{2.5} concentrations show
40 significant decreases of more than 37%, 41% and 27% after the passage of CT1, CT2 and CT3,
41 respectively. A dry airflow with a positive BLH anomaly and the effective horizontal outflow of air
42 pollutants are the main reasons for the abrupt decay phase in summer. PM_{2.5} concentrations after
43 the decay process show a significant decreasing trend from 2014 to 2020, reflecting successful
44 emission mitigation. Emission reductions have led to a 4.3~5.7 μg/(m³.yr) decrease in PM_{2.5}
45 concentrations in the 28 pollution channel cities of Beijing.

46

47 **1. Introduction**

48 PM2.5 pollution (particles with an aerodynamic diameter less than 2.5 μm) has become a severe
49 threat and challenge in China, especially in the Beijing-Tianjin-Hebei (BTH) region, and has
50 attracted significant concern regarding how to improve regional air quality (Che et al., 2019; Wang
51 et al., 2019a; Xia et al., 2016; Zhang et al., 2018a; Mu and Zhang, 2014; Cai et al., 2017; Wang et al.,
52 2015). To avoid the severe negative impacts of air pollution on public health, the Chinese
53 government has issued a number of policies to improve the atmospheric environment (Ding et al.,
54 2019; Chen and Wang, 2015; Zhao et al., 2019; Li et al., 2018b). For example, in September 2013,
55 the State Council issued the Air Pollution Prevention and Control Action Plan (referred to as Clean
56 Air Action), which required the BTH region to reduce its PM2.5 concentrations by 25% within 5
57 years (China's State Council, 2013). With the deep research on the prevention and control of air
58 pollution, the regional effects of air pollution from cities in the pollution transmission channel in
59 the BTH region have been highlighted (China Daily, 2017). Therefore, the Work Plan for Air
60 Pollution Prevention and Control in Beijing, Tianjin, and Hebei and Surrounding Areas was released
61 in March 2017 (China's State Council, 2018). Much stricter, more comprehensive, and more
62 detailed prevention and control measurements were taken in the "2+26" cities, including Beijing,
63 Tianjin, and 26 other cities in the provinces of Hebei, Shandong, Henan and Shanxi. Due to the
64 persistent efforts towards emission mitigation, the air quality has shown significant improvement in
65 these 28 pollution channel cities in recent years (Zhang et al., 2019a; Zhang et al., 2019b; Zheng et
66 al., 2018; Wang et al., 2019d; Gui et al., 2020).

67 Meteorological conditions are considered as one of the important factors for the variation in ambient
68 PM2.5 pollution, especially for the temporal evolution of each air pollution episode (Zhang et al.,
69 2014; Ma and Zhang, 2020; Wang et al., 2019c). Even under the conditions of a significant decrease
70 in air pollutant emissions, similar to the COVID-19 lockdown period, PM2.5 pollution events still
71 occur frequently in the 28 pollution channel cities due to the unfavorable meteorological
72 background (Shi and Brasseur, 2020; Le et al., 2020; Huang et al., 2020b; Wang et al., 2020b; Wang
73 and Zhang, 2020b). Many studies have been conducted and have suggested that multiple
74 meteorological factors influence the emission of primary pollutants, the formation of secondary
75 particles and the processes of transport, accumulation and deposition of particles (Zhao et al.,
76 2020a; Huang et al., 2020c; Chen et al., 2019; Gong and Liao, 2019). High temperatures result in
77 greater emissions of PM2.5 precursors and secondary pollutants, and promotes photochemical
78 reactions, causing an increase in local PM2.5 concentrations (Zhang, 2017; Zhao et al., 2018b; Chen
79 et al., 2020). Humidity strongly affects PM2.5 concentrations in China, especially during severe
80 pollution episodes (Zhao et al., 2018a; Li et al., 2018a; Huang et al., 2020a). Higher humidity is

81 beneficial for the hygroscopic increase in aerosols and facilitates the formation of secondary
82 particles (Wang et al., 2019b;Zhao et al., 2017;Cheng et al., 2015;Xin et al., 2016). The cross-
83 regional transport and horizontal diffusion of pollutants are strongly determined by the wind field.
84 Southerly winds bring higher concentrations of air pollutants and more moisture, which enhances
85 the local air pollution in Beijing and the surrounding regions (He et al., 2020;Zhao et al., 2020b). In
86 addition to individual meteorological variables, synoptic circulation characteristics control the
87 formation and development of air pollution events (Wang et al., 2020a;Miao et al., 2020;Wang and
88 Zhang, 2020a; Liu et al., 2019). Monsoonal flows and cold frontal passages are the dominant
89 meteorological modes controlling the day-to-day variations in PM_{2.5} concentrations in the northern
90 China (Li et al., 2016;Wu et al., 2017;Zhang et al., 1996;Leung et al., 2018). Circulation of a strong
91 Siberian High to the north and cold anomalies in the low-level troposphere with strong East Asian
92 Trough is found to be favorable for the clear winter in Beijing and surrounding region (Pei and Yan,
93 2018). Weak synoptic patterns with high-pressure or persistent low-pressure systems favor the
94 accumulation of pollutants, while, strong synoptic patterns with large pressure gradients encourage
95 the diffusion of pollutants (Cai et al., 2020;Zhang et al., 2017;Zhang et al., 2020;Li et al., 2019).
96 Severe haze events in the BTH region are always accompanied by stagnant air conditions, stable
97 stratification, weak surface wind, low boundary layer height (BLH), and high relative humidity (Ma
98 et al., 2020;Bi et al., 2014;Wang et al., 2020c;Tang et al., 2016a;Quan et al., 2020;Pei et al.,
99 2020;Guo et al., 2019).

100 Most of the aforementioned studies focused on the synoptic pattern characteristics favorable for the
101 initiation and development of air pollution episodes in the BTH region. During the developing phase
102 of each PM_{2.5} pollution episode, the comprehensive effects of secondary aerosol formation,
103 hygroscopic increase and accumulation of particles lead to an increase in local PM_{2.5}
104 concentrations, which usually takes several days from a clean situation to the outbreak of a heavy
105 haze (Sun et al., 2014;Wang et al., 2016;Pei et al., 2018). Both atmospheric chemistry and physics
106 processes play important roles in the developing phase of air pollution events (Gu et al., 2020;Yao
107 et al., 2018;Wang et al., 2018;Wang et al., 2010;Li et al., 2017;Gao et al., 2017). However, compared
108 to the developing phase, which typically features a smooth increase in air pollutant concentrations
109 due to the regional transport, local accumulation and secondary formation, the decay phase of each
110 pollution episode shows a sharp decrease in PM_{2.5} concentrations, often in a few hours. Pollutants
111 on hazy days show mass concentration 2-3 times higher than that on clear days (Li et al., 2010). The
112 abrupt decrease in PM_{2.5} concentrations is purely meteorological in origin and is controlled by the
113 passage of synoptic systems, especially cold fronts, which terminate a severe air pollution episode
114 in the BTH region by strong winds (Zhu et al., 2016;Jia et al., 2008;Ji et al., 2012;Xin et al., 2012).
115 Many studies took the smooth increase period of PM_{2.5} concentrations and abrupt decrease stage

116 following it as a complete air pollution episode, and investigate its development mechanism (Tang
 117 et al., 2016b; Zhang et al., 2018b; Sun et al., 2014; Zheng et al., 2015). However, it is still unclear
 118 how strong the wind needs to be, exactly what kind of synoptic systems can effectively terminate
 119 air pollution episodes in the BTH region, and what mechanism is responsible for the rapid reduction
 120 in PM2.5 concentrations in a few hours. The clarification of these issues will contribute to improving
 121 local air quality predictions. The variation in air quality is generally consistent in the 28 pollution
 122 channel cities, especially in the decay phase of pollution episodes, which indicates that the same
 123 synoptic system usually affects the whole region. This study will focus on the region covering these
 124 28 pollution channel cities and reveal the synoptic circulation pattern that dominates the decay
 125 process of PM2.5 pollution events.

126 2. Data and Method

127 2.1 Dataset

128 The daily mean observed PM2.5 concentrations in the 28 pollution channel cities from January 2014
 129 to March 2020 were obtained from the Ministry of Ecology and Environment of the People's
 130 Republic of China (<https://www.aqistudy.cn/historydata/>). Fig. 1 shows the location of the 28
 131 pollution channel cities and their annual mean PM2.5 concentrations from 2014 to 2019. The four-
 132 times-daily dataset of the fifth-generation European Centre for Medium-Range Weather Forecasts
 133 (ECMWF ERA5) atmospheric reanalysis dataset with a resolution of 0.5°
 134 (<https://cds.climate.copernicus.eu/cdsapp#!/dataset/10.24381/cds.bd0915c6?tab=form>) was used to
 135 describe the meteorological characteristics and synoptic circulation classification. Daily
 136 accumulated precipitation amount is the total amount of 24-hour values.

137 The divergence of local PM2.5 flux can be taken as a metric for the PM2.5 budget in a specific
 138 region, with positive divergence indicating net outflow of air pollutants from the domain region,
 139 and vice versa. The daily mean divergence of the PM2.5 flux over the region of 34-40° N and 112-
 140 118° E is calculated according to Eq.(1):

$$141 \quad D = D_z + D_m = \frac{\partial}{\partial x}(UQ) + \frac{\partial}{\partial y}(VQ) = \sum_{i=1}^n \frac{(U_{Ei}Q_{Ei} - U_{Wi}Q_{Wi})}{2\Delta X} + \sum_{j=1}^m \frac{(V_{Nj}Q_{Nj} - V_{Sj}Q_{Sj})}{2\Delta Y} \quad (1)$$

142 where D_z and D_m are the zonal and meridional components of the net divergence of PM2.5 flux for
 143 the specific region. The parameters n and m indicate the meridional and zonal grid numbers of the
 144 domain. The subscripts E and W mark the variables at the longitudes of the eastern and western
 145 boundaries of the domain. Similarly, the subscripts S and N represent the values at the latitudes of
 146 the southern and northern boundaries. U_{Ei} (units in m/s) indicates the 10 m zonal wind in the i th grid

147 of the eastern boundary of the domain. Q_{Nj} (units in $\mu\text{g}/\text{m}^3$) is the spatially interpolated PM2.5
148 concentration in the j th grid at the latitude of the northern boundary. ΔX and ΔY represent the zonal
149 and meridional distance of each grid (units in meters). Due to the limited information on the vertical
150 distribution of PM2.5 and the horizontal winds are closely related with PM2.5 concentration as
151 revealed by previous studies, the horizontal divergence of PM2.5 flux is used to evaluate the net
152 inflow and outflow of local air pollutants in this study.

153 **2.2 Thresholds for the decay process of air pollution episodes**

154 Fig. 2 shows the daily PM2.5 concentration variations of the 28 pollution channel cities from
155 January to March 2019. PM2.5 concentrations exhibit a recurring smooth increase followed by a
156 sharp decrease, which is known as a sawtooth cycle (Jia et al., 2008). During the developing phase
157 of each pollution episode, the PM2.5 concentrations show the same smoothly increasing trend with
158 slight differences in the increase rate in the 28 pollution channel cities (i.e., an average increase
159 trend of $10.37 \pm 42.2 \mu\text{g}/(\text{m}^3 \cdot \text{day})$ during January to March 2019). The inhomogeneity of the
160 PM2.5 concentration increase in the 28 cities, indicating by the large standard deviation of increase
161 trends (approximate four times the magnitude of increase trend), may be due to the complicated
162 physiochemical processes of haze formation. By contrast, as shown by dotted lines in Fig. 2,
163 regional synchronous decreases in PM2.5 concentrations occur in the decay phase of pollution
164 episodes with an average trend of $-50.06 \pm 46.83 \mu\text{g}/(\text{m}^3 \cdot \text{day})$. Most of the consistent
165 improvements in air quality in the decay phase can be attributed to the effects of the synoptic system.
166 Therefore, in this study, if more than 40% of the 28 pollution channel cities with the day-to-day
167 PM2.5 concentrations decreased by 30% (relative to the value of the previous day) or more than 60%
168 of the channel cities with PM2.5 concentrations decreased by 30% in two successive days, it can be
169 defined as the occurrence of the decay phase of pollution episodes. If two consecutive days were
170 defined as the decay phase, only the first day was selected be valid and retained. In total, 365 days
171 are identified as the decay phase of pollution episodes from January 2015 to March 2020 (see Fig.
172 4) and are used for the synoptic pattern classification.

173 **2.3 Method of synoptic circulation classification**

174 The T-mode principal component analysis (PCA) method was used to objectively classify the type
175 of synoptic system dominating the decay phase of pollution episodes, as this method has an
176 outstanding performance in terms of the reproduction of predefined types and temporal-spatial
177 stabilities (Huth et al., 2008; Cavazos, 2000; Tie et al., 2015; Valverde et al., 2015; Xu et al., 2016).
178 The T-mode PCA has been widely used to investigate the general circulation patterns, climate
179 change and air quality and has been incorporated into the European Cooperation in Science and

180 Technology (COST) plan 733 toolbox (COST733: <http://cost733.geo.uni-augsburg.de/cost733wiki>)
181 (Philipp et al., 2014). The daily mean geopotential height (Z), U and V components at 925 hPa on
182 the 365 decay phase days are used for synoptic pattern classification. To exclude the effects of
183 seasonal variation on atmospheric circulation and to ensure that different synoptic patterns in the
184 same season are comparable, the T-mode PCA method is applied to the four seasons respectively.
185 The target region is 32-44° N and 110-122° E, as shown in Fig. 1. For each season, the three input
186 data matrixes (U, V and Z) have temporal and spatial dimensions, with spatial grids and time series
187 represented by rows and columns, respectively. To speed up computations of the T-mode PCA in
188 the COST733 toolbox, each matrix is first divided into 10 subsets. Then, the principal components
189 (PCs) are determined using the singular value decomposition for each subset, and an oblique
190 rotation is applied to the PCs to achieve better classification effects. The 10 classifications based on
191 the subsets are evaluated by the chi-square test and the subset with the highest sum is selected and
192 assigned to a type.

193 The Lamb-Jenkinson-Collison type classification (JCT) is also a widely adopted method to identify
194 synoptic circulation pattern by describing the location of cyclonic/anticyclonic centers and the
195 direction of the geostrophic flow (Li et al., 2020; Fan et al., 2015; Jiang et al., 2020; Chen,
196 2000; Jenkinson and Collison, 1977). In order to verify the robust of circulation classification results
197 of PCA method, JCT method is also involved based on daily mean gridded sea level pressure at 16
198 points centered by 37° N and 117° E as shown in SI. According to Fig. S1 and Fig. S3, it shows
199 similar circulation pattern of PCA and JCT method, indicating the consistence of the two
200 classification methods. Because JCT method is specialized on classifying daily mean sea level
201 pressure patterns, which will ignore the thresholds of some other meteorological variables to some
202 extent (Philipp et al., 2014). Therefore, we only focus on the results of PCA hereafter.

203 **3. Results**

204 **3.1 Identification of the occurrence of the decay process of air pollution episodes**

205 The magnitude of the day-to-day variation in PM_{2.5} concentrations is an important metric for
206 recognizing the occurrence of the decay phase of air pollution. Fig. 3 shows the frequency of the
207 relative day-to-day PM_{2.5} concentration differences in the 28 pollution channel cities during the
208 period of January 2014 to March 2020. Table 1 summarizes the occurrence frequency of the day-
209 to-day PM_{2.5} differences in the specific segment. It shows that a fatter-tailed probability distribution
210 exists in winter than in summer; thus, winter features a lower probability of weak PM_{2.5} variations
211 and a higher probability of strong PM_{2.5} variations, indicating greater day-to-day variability in

212 PM2.5 concentrations. In winter, 8.6% of PM2.5 concentrations decreased by over 60%, and 14.9%
213 increased by more than 80%, whereas, in summer, the values were only 2.4% and 6.6%. A total of
214 38.3% of the cases show day-to-day PM2.5 variations within the range of -20% to 40% in winter,
215 but where a total of 55.6% is observed in summer. The PM2.5 variations in spring and autumn
216 exhibit almost the same distribution patterns, with a relatively higher frequency of strong PM2.5
217 variations in autumn. Generally, the probability distributions in spring and autumn are between
218 those of summer and winter. The stronger day-to-day decreases in PM2.5 concentrations,
219 particularly the sharp wintertime reductions, may be attributable to the passage of a cold front
220 synoptic system, and the results suggest that the winter PM2.5 variations are the most sensitive to
221 synoptic patterns.

222 According to the occurrence of day-to-day PM2.5 differences in the 28 pollution channel cities, i.e.,
223 thresholds for the decay phase of air pollution episodes in Section 2.2, 365 decay processes have
224 been recognized from January 2014 to March 2020. If the daily mean accumulated precipitation
225 amount is more than 1 mm for all the grid cells in the region of 36°-42° N and 113°-117.5° E
226 (covering the 28 cities), the specific day is defined as a rainy day with effective wet deposition. 97
227 of the 365 decay phases are defined as rainy days, in which case the abrupt decrease in ambient
228 PM2.5 concentrations are assumed to be related to wet deposition. Only the decay processes on dry
229 days are involved in the synoptic pattern classification in the following work. Figure 4 shows the
230 annual cycle of the decay process frequencies in a specific year. In most years, the figure shows a
231 two-peak annual cycle of the decay phase frequency with a valley in summer, and the valley
232 becomes deeper after removing the rainy cases. There are 105 (105), 62 (21), 86 (56) and 112 (109)
233 decay process days in spring, summer, autumn and winter for all (dry-day) cases, respectively.
234 Approximately 70% of the regional sharp reduction in summer can be attributed to the effect of wet
235 deposition.

236 **3.2 Classification of the synoptic circulation dominating the decay processes of air pollution** 237 **episodes**

238 T-mode PCA circulation classification has been applied to the dry-day decay process in individual
239 seasons. Fig. S1 and Fig. 5 show the original and anomalous circulation patterns at 925 hPa under
240 each circulation type (CT) condition. Two dominant circulation types (CTs) are identified in summer.
241 Three CTs are identified for each of the other seasons, respectively. The three dominant CTs in
242 spring show almost the same pattern as those of autumn and winter, and only the occurrence
243 frequency of the CTs differ among the seasons. The strong prevailing northwesterly wind in the CT2
244 condition is the commonly accepted synoptic circulation favorable for the rapid decay of pollution
245 episodes in the BTH region, and CT2 is also the most frequent CT for the decay phase in autumn

246 and winter. A large-scale high-pressure system covers the region of central-western Mongolia,
247 northern Xinjiang, Inner Mongolia and Shaanxi Province in China. Deep low pressure is situated in
248 the northeastern China and northern Japan. The BTH region is located between the east of the
249 anticyclone and west of the cyclone, and is dominated by strong northwesterly surface winds with
250 the speeds of 2.98~3.88 m/s in different seasons. The northwesterly wind corresponds to the
251 significant northerly wind anomaly, which is beneficial for the transport of cold, clean and dry air
252 masses southward. Although it shows downward motion due to the upper westerly wind passing the
253 leeward side (see Fig. 6), the other meteorological variables summarized in Fig. 7 reveal that the
254 highest wind speed, the highest boundary layer height (BLH) and the lowest relative humidity occur
255 under CT2 conditions, all of which are favorable for the reduction of PM_{2.5} concentrations. Fig. 8
256 and Fig. S4 exhibits the distribution of PM_{2.5} flux divergence over the region of 34-40° N and 112-
257 118° E, and its zonal and meridional components, with positive divergence indicating net horizontal
258 outflow of air pollutants from the BTH region, and negative divergence indicating the opposite. The
259 PM_{2.5} flux divergence is found to have significantly positive values in most of CTs, indicating that
260 the local ambient PM_{2.5} concentrations decrease with the horizontal removal of the polluted air
261 mass or the replacement by clean air. As shown in Fig. S4, the positive divergence of the PM_{2.5}
262 flux in CT2 is mainly contributed by the significant outflow of air pollutants from eastern and
263 southern edges. Clean, dry and strong northwesterly winds in the CT2 condition are the major
264 drivers of the decay process of air pollution episodes.

265 For CT1 in spring, autumn and winter, a surface high-pressure system initiates from the Siberian
266 region and slants forward to central Inner Mongolia and the BTH region, resulting in a position that
267 is more northeastward than the anticyclonic circulation in CT2 (Fig. S1). Most areas in China are
268 controlled by a high-pressure system. The BTH region is located on the southeastern edge of the
269 anomalous anticyclone, and dominated by a remarkable northeasterly wind anomaly. The average
270 surface wind speed is of 2.63~3.02 m/s, which is higher than the seasonal mean but not as high as
271 that under CT2 conditions. Although all the surface wind speed, BLH and relative humidity show
272 favorable patterns for air pollutant diffusion under CT1 conditions, the magnitudes of the above
273 anomalies are not as significant as those under CT2 conditions. Therefore, there must be other
274 mechanisms responsible for the decay process of pollution episode that are distinct from those of
275 CT2, as is generally believed. The northeasterly wind anomaly brings clean and dry air masses to
276 the BTH region, and increases the outward and southward transport of local air pollutants in the
277 meanwhile, which results in the negative relative humidity anomaly shown in Fig. 7. The net
278 divergence of air pollutants (i.e., positive divergence of the PM_{2.5} flux in Fig. 8) is the most
279 significant under CT1 conditions, indicating the contribution of horizontal transport to the rapid
280 decay of pollution episodes. The net outflow of pollutants is attributed to the significant positive

281 divergence of PM_{2.5} flux in the southern edge (Fig. S4). In terms of vertical anomalous circulation,
282 the BTH region is located under the east of a high-level ridge and west of a high-level trough (Fig.
283 S5), where there is often upper-level convergence and cause the surface high-pressure anomaly to
284 get higher (see Fig. 5). The upper-level convergence leads to the vertical sinking in the east of the
285 BTH region, which also delivers upper dry and clean air to the surface. In addition, as shown in Fig.
286 6, the significant clean vertical sinking airflow in the east of the BTH region combined with the
287 surface easterly wind anomaly results in air movement westward across the domain and climbs up
288 along the western mountain region. The upward flow carries the near-surface air pollutants to the
289 upper level of the boundary layer, where the pollution quickly spreads to the free atmosphere due
290 to the effective entrainment caused by the strong wind shear at the top of the boundary layer (see
291 Fig. 6). In general, the remarkable horizontal net-outflow of air pollutants, negative humidity
292 anomaly and effective outward spread of air pollutants to the free atmosphere promote the abrupt
293 reduction of local PM_{2.5} concentrations.

294 CT3 is the dominant synoptic pattern for the decay process in spring, with the highest frequency of
295 47%, compared with frequencies of 30% and 17% in autumn and winter. In this kind of circulation
296 pattern, there is only a closed low-pressure system located over the northeastern China, with large
297 pressure gradients around the cyclone and weak gradients over most parts of China (Fig. S1). The
298 BTH region borders the cyclone system to the northeast, which leads to a prevailing westerly wind
299 with speeds of 2.29~3.07 m/s. The low-pressure and westerly wind features are more significant
300 based on the anomalous circulation in Fig. 5, especially in winter. As shown in Fig. S5, a deep
301 trough persists in the northern BTH region in 500 hPa, bringing cold air masses from the northwest.
302 According to the distribution of 24 h backward trajectories of Beijing in Fig. S6, the northwesterly
303 cold and dry air mass are taking to the domain, benefiting for the decay of local pollution episodes.
304 Similar to CT1 and CT2, negative relative humidity anomalies and positive surface wind speed
305 anomalies are also favorable for the decay of pollution episodes. Given the distribution of the BLH,
306 there is no significant positive anomaly signal in CT3, unlike in CT1 and CT2. Although a moderate
307 BLH is observed under CT3 conditions, strong vertical wind shear occurs near the surface, as shown
308 in Fig. 6, which results in more uniform vertical distribution of air pollutants in the boundary layer.
309 Moreover, obvious horizontal PM_{2.5} divergence also provides a possibility for the decay of air
310 pollution episodes. To be more precise, the zonal divergence of the PM_{2.5} flux that dominates the
311 net divergence of the whole region, rather than the meridional component as the other two
312 circulation patterns (Fig. 8 and Fig. S2). The inflow of clean and dry air masses combined with the
313 good performance of boundary layer mixing are the main reasons for the immediate improvement
314 of air quality when CT3 occurs.

315 In terms of the synoptic patterns in summer, two CTs are classified excluding the effects of wet

316 deposition. According to the circulation anomaly in Fig. 5, the synoptic pattern of CT1 in summer
317 is similar to that of CT3 at 925 hPa in other seasons, which is dominated by a northeastern cyclonic
318 circulation. Dry northwesterly wind occurs in the BTH region, reducing the local relative humidity.
319 As shown in Fig. 7, the BLH is higher than the seasonal average, indicating an increase in vertical
320 diffusion space. The zonal positive divergence of the PM2.5 flux is offset by the negative value in
321 the meridional direction. The effect of horizontal transport of air pollutants can be ignored in this
322 situation. Therefore, the decay process of the air pollution episode in the CT1 condition can be
323 attributed to the dry air mass and higher than normal BLH.

324 In the anomaly pattern of the CT2 condition in summer, the BTH region is located between the
325 southern portion of a high-pressure system and the northern portion of a low-pressure system, and
326 is affected by the prevailing northeasterly surface wind. Clean air masses are transported to the BTH
327 region along with the northeasterly wind, which can be confirmed by the positive divergence in the
328 PM2.5 flux in both zonal and meridional directions. Both the negative relative humidity and positive
329 BLH anomalies in CT2 are beneficial for the reduction of surface PM2.5 concentrations, but the
330 magnitude of the anomaly is not as high as those of the CT1 condition. There is no favorable signal
331 for the diffusion of surface PM2.5 in terms of the vertical motion in the two synoptic patterns in
332 summer. It is the effective horizontal outflow that promotes the decay process of pollution episodes.

333 **3.3 Synoptic circulation effects on the PM2.5 pollution**

334 Section 3.2 shows different physical mechanisms for the rapid decay of air pollution episodes in the
335 region covering the 28 pollution channel cities. Fig. 9 exhibits the relative difference in PM2.5
336 concentrations between the day before and after the occurrence of the specific synoptic CTs. The
337 average PM2.5 differences in the 28 pollution channel cities are summarized in Table 2.
338 Unsurprisingly, it shows a remarkable decrease in PM2.5 concentrations when all the circulation
339 patterns dominate the decay process occurs, but it is worth noting that the magnitudes of the decline
340 vary according to the synoptic patterns. For the case of spring, autumn and winter, CT2 conditions
341 demonstrate the most significant effects on the abrupt reduction in PM2.5 concentrations, with a
342 more than 40% day-to-day decrease in PM2.5 concentrations in the 28 pollution channel cities in
343 all three seasons. CT1 conditions are second in terms of the circulation influence in the decay
344 process of PM2.5 pollution episodes. The PM2.5 concentrations decrease quickly by 37.2%, 40.1%
345 and 36.9% when CT1 conditions occur in spring, autumn and winter, respectively. The CT3
346 conditions, which are dominated by westerly winds, show a relatively weak ability on control the
347 decay process of PM2.5 pollution episodes. Air quality improves by approximately 26~29%
348 compared with the previous day due to the occurrence of CT3 conditions. In summer, PM2.5
349 concentrations decrease more significantly with the occurrence of CT1 conditions than with the

350 occurrence of CT2 conditions, indicating more effective diffusion under northwesterly winds than
351 under northeasterly airflow. Wet scavenging is an effective method for the rapid decay of air
352 pollution episodes, especially in wintertime. PM_{2.5} concentrations drop sharply after the occurrence
353 of precipitation, with decreases of more than 35% in spring, autumn and winter. 26.2% of PM_{2.5}
354 pollution is removed by the wet deposition in summer, which is the lowest rate among the four
355 seasons. The relatively clean background may account for the weak wet deposition effects in
356 summer.

357 Fig. 2 shows the sawtooth cycle variation in PM_{2.5} concentrations with a smooth increase followed
358 by an abrupt decrease. However, the PM_{2.5} concentrations do not always increase gradually before
359 the decay of the pollution episode. Here, the sawtooth cycle is divided into developing and decay
360 phases, and the interval stage between two decay phases is defined as the developing phase of a
361 specific pollution episode. As shown in Fig. 10, when the duration of the developing phase is less
362 than 3-days, air pollutants accumulate gradually to a maximum until the occurrence of decay process
363 occurs. However, if the developing phase is longer than 3-days, the highest PM_{2.5} concentrations
364 occur on 1-3 days before the passage of a favorable synoptic system, which indicates that the
365 developing mature stage of pollution episodes (with high level concentrations) usually persist for
366 several days.

367 The duration of the developing phase not only changes the shape of the sawtooth cycle but also
368 affects the maximum PM_{2.5} concentrations during the pollution episode, as shown in Fig. 11. Most
369 of the durations of the developing phase are concentrated in the period of shorter than 5-days in
370 spring, autumn and winter, with average durations of 5.53, 5.86 and 5.36 days, respectively. As the
371 main wave system affecting the synoptic circulation in mid-latitude region, the Rossby wave has
372 about one-week cycle length, which dominates the average duration of two adjacent decay phase.
373 Typically, for the cases in spring and autumn, when the durations are less than 5 days, the maximum
374 PM_{2.5} concentrations during the specific air pollution episode increase with an increase in the
375 developing phase durations; but the concentrations remain unchanged if the duration longer than 5
376 days. In winter, the maximum PM_{2.5} concentrations in a specific sawtooth cycle continue to
377 increase with increases in the interval between two decay processes. Wintertime air pollution can
378 be exacerbated by the long-term absence of an effective decay process. The frequency of favorable
379 circulation patterns is relatively lower in summer, which leads to an effective decay process
380 occurring every 7.45 days. The maximum PM_{2.5} concentrations display an upward tendency with
381 increases in the developing stage durations, but there are some small fluctuations in the mean value
382 of the highest PM_{2.5} concentration due to the limited samples in summer.

383 Emission and meteorological elements are taken as the two most important factors controlling the

384 variation in PM_{2.5}. Many efforts have been made to mitigate the air pollutant emissions in the 28
385 pollution channel cities, which have achieved remarkable improvements in air quality in recent
386 years. However, because obvious interannual difference of the meteorological conditions are
387 observed, there is uncertainty in the evaluation of emission reductions based on the observed PM_{2.5}
388 concentrations. The quantitative evaluation of the effects of emission reduction measures on the
389 PM_{2.5} concentration variation has been a challenge for policy makers and stakeholders. Here, only
390 the PM_{2.5} concentrations observed on the days of decay processes are compared, which excludes
391 the different effects of meteorological conditions and evaluates the pure effects of emission
392 reduction from a certain perspective. Fig. 12 shows a significant decline in seasonal mean PM_{2.5}
393 concentrations from 2014 to 2020 in the 28 pollution channel cities. This figure shows almost the
394 same rates of decrease in all four seasons, with relatively smaller decreases of 4.8 and 4.3 $\mu\text{g}/(\text{m}^3\cdot\text{yr})$
395 in spring and winter and greater decreases of 5.7 and 5.2 $\mu\text{g}/(\text{m}^3\cdot\text{yr})$ in summer and autumn,
396 respectively. The slight difference in the seasonal decreasing tendency is possibly due to seasonal
397 difference in the main sources of air pollutant emissions.

398 **4. Conclusions and Discussion**

399 The variation in ambient air pollutant concentrations generally represents a continuous sawtooth
400 cycle with a recurring smooth increase followed by a sharp decrease. The combined effects of
401 emissions, secondary formation of particles and unfavorable meteorological conditions trigger the
402 initiation and development of a specific PM_{2.5} pollution episode over several days. In contrast, the
403 abrupt decay of a pollution episode is mostly due to the passage of favorable synoptic patterns, and
404 it usually takes a few hours transition from hazy to clean air condition. The detailed atmospheric
405 circulation features and the mechanisms through which they affect the decay processes of pollution
406 episodes are discussed in this work. A total of 365 decay processes were recognized from January
407 2014 to March 2020 based on the regional variation in the day-to-day PM_{2.5} concentration
408 difference. 97 of the 365 decay phases were related to the effective wet deposition, and most of
409 them occurred in summer. For the dry-day decay processes, 105, 21, 56 and 109 cases occurred in
410 spring, summer, autumn and winter, respectively. The intervals between two continuous decay
411 processes are 5.53, 7.45, 5.86 and 5.36 days from spring to winter, respectively, which may be
412 controlled by the cycle length of Rossby waves in the mid-latitude region.

413 All the CTs are common in positive wind speed anomaly, negative relative humidity anomaly and
414 effective outflow of PM_{2.5} from the domain. Although the magnitude and significance of the
415 anomalies are different in the specific CT, all the above variables indicate favorable atmospheric
416 diffusion conditions, which is benefit for the decay of pollution episodes. There are also some

417 prominent features for each CT. In CT1, the most significant horizontal outflow of air pollutants
418 combining with the upward transport of airflow to the free atmosphere are the two extra drivers for
419 the decay processes. The removal efficiency of CT1 is 35-40%, which is moderate among the three
420 CTs. In terms of CT2, it is the most frequent CT in autumn and winter. The circulation with the
421 heaviest wind speed from the northwest, the highest BLH, lowest relative humidity jointly results
422 in the quickly decrease in PM_{2.5} concentration in a few hours, which is the commonly accepted
423 circulation feature to terminate the severe pollution episodes. Due to the significantly favorable
424 meteorological conditions, CT2 has the strongest cleaning abilities of 41-45% in different seasons.
425 For CT3, the synergy effects of enhanced vertical mixing within the boundary layer and moderate
426 beneficial background of wind speed, relative humidity and horizontal divergence of PM_{2.5} are the
427 main cleaning mechanism of CT3 condition. After the passage of CT3, 26~29% of local air
428 pollutants are typically removed. The two dry-day circulation patterns in summer are similar to the
429 synoptic patterns of CT1 and CT3 in the other three seasons. A dry air mass with a positive BLH
430 anomaly and the effective horizontal outflow of air pollutants are the main reasons for the abrupt
431 decay phases in summer. The average PM_{2.5} concentrations on decay process days show a
432 significant decreasing trend from 2014 to 2020, which indicates the success of emission mitigation
433 efforts. Emission reductions have led to a 4.3~5.7 $\mu\text{g}/(\text{m}^3\cdot\text{yr})$ decrease in PM_{2.5} concentrations in
434 the 28 pollution channel cities.

435 Due to the limitation of dataset about PM_{2.5} vertical distribution, only the horizontal
436 divergence of PM_{2.5} flux is used in this study. Although it shows positive divergence for all of
437 the CTs, indicating the remarkable contribution of the net outflow of air pollutants at the surface
438 to the quickly decrease in PM_{2.5} concentrations, the effects of horizontal PM_{2.5} flux above the
439 surface or the vertical diffusion cannot be neglected, which may have great contribution in a
440 specific event, and need to be further studies. PM_{2.5} concentrations sharply decrease after the
441 passage of CT2, but it shows a relatively weak drop in air pollutant concentrations when CT3
442 occurs, which can be attributed to its moderate strength of anomalies circulation pattern.
443 Therefore, the scavenging effects of each CT should also be taken into account when predicting
444 the air quality based on synoptic circulation variation.

445

446 **Code/Data availability:** Daily PM_{2.5} concentration observations at the 28 channel cities were
447 obtained from the website of Ministry of Ecology and Environment of the People's Republic of
448 China (<http://106.37.208.233:20035>). Daily four times ECMWF ERA5 dataset during 2014 to 2020
449 are downloaded from <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>.
450 Atmospheric circulation classification was conducted using European Cooperation in Science &

451 Technology (COST) plan 733 (cost733class software), which can be downloaded at
452 <http://cost733.met.no>.

453

454 **Author contributions:** XW and RZ designed research. XW, YT and WY performed the analyses
455 and wrote the paper. All authors contributed to the final version of the paper.

456

457 **Competing interests:** The authors declare that they have no conflict of interest.

458

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463

464 **Figure captions:**

465 Figure 1. Distribution of annual mean PM2.5 concentrations in the 28 cities by altitude. The PM2.5
466 concentration is the annual mean value from 2014 to 2019 (units: $\mu\text{g}/\text{m}^3$). The elevation over the
467 domain was obtained from Global Digital Elevation Model with a resolution of $0.5^\circ \times 0.5^\circ$.

468

469 Figure 2. Time series of daily mean PM2.5 concentrations in the 28 pollution channel cities from
470 January to March 2019 (units: $\mu\text{g}/\text{m}^3$).

471

472 Figure 3. Probability distribution of the relative day-to-day difference of PM2.5 concentrations. The
473 relative difference is based on the PM2.5 concentration on the previous day. The distributions in
474 spring and autumn are combined in the upper panel, and cases in winter and summer are shown at
475 the bottom.

476

477 Figure 4. Monthly cumulative occurrence of the decay processes of pollution episodes. The orange
478 curve indicates the decay process occurrences on dry days. In total, 365 decay processes are
479 identified from January 2014 to March 2020, and 97 of them are associated with precipitation levels
480 greater than 10 mm/day.

481

482 Figure 5. Distribution of the geopotential height anomalies (shaded, unit: m^2/s^2) and wind field
483 anomalies at 925 hPa for each circulation type. The number over each subplot indicates the
484 occurrence frequency of the specific circulation type. The solid blue box is the location of the
485 domain region covering the 28 pollution channel cities.

486

487 Figure 6. Zonal averaged profile of the distribution of vertical wind shear anomalies in the domain
488 region (shaded, units: $\text{m}/(\text{s} \cdot 100 \text{ m})$) and the vertical and zonal circulation anomalies. The green line
489 indicates the average location of the top of the boundary layer. Zonal wind shear, circulation and
490 boundary layer height are the average values between $34\text{--}40^\circ \text{ N}$. The two dashed lines are the eastern
491 and western boundaries of the domain (112 to 118° E). The grey region indicates the average altitude
492 between $34\text{--}40^\circ \text{ N}$.

493

494 Figure 7. Boxplot of surface wind speed, boundary layer height (BLH), sea level pressure (slp) and
495 relative humidity (RH) for each circulation type. The dashed line indicates the seasonal mean of the
496 specific variables. The mean values of all of the meteorological variables in each CT are
497 significantly different with their seasonal mean based on two-tail student-t test at a significant level
498 of 0.01.

499

500 Figure 8. Boxplot of the divergence of PM_{2.5} flux over the region of 34-40° N and 112-118° E. The
501 daily divergence is calculated based on the Eq. (1). Zonal and meridional components are the first
502 and second terms of the formula. * in the x axis marks the divergence in a specific CT is significantly
503 different with zero based on two-tail student-t test at a significant level of 0.01.

504

505 Figure 9. Distribution of the daily mean PM_{2.5} concentrations before and after the occurrence of
506 decay processes of pollution episodes in the 28 pollution channel cities. The hollow box indicates
507 the concentration on the decay phase day, and the solid box is the value on the previous day. The
508 relative differences in the PM_{2.5} concentrations after the occurrence of decay process are
509 summarized in Table 2. The number at the top of each box indicates the sample size used for the
510 boxplot. The number in the first line is the sample size of the “before” case; and the second line is
511 for the “after” case.

512

513 Figure 10. The day of the maximum PM_{2.5} concentration during each pollution episode varies with
514 the duration of the developing phase.

515

516 Figure 11. The density plot of the maximum PM_{2.5} concentration according to the duration of the
517 developing phase of pollution episodes. Daily PM_{2.5} concentrations are normalized by their
518 monthly mean value to exclude the effects of seasonal and interannual variations in air quality. A
519 warmer color indicates a higher density of scatter. Pentagrams mark the average maximum PM_{2.5}
520 concentration for the specific duration period.

521

522 Figure 12. Variations in the average PM_{2.5} concentration on all the decay phase days from 2014 to
523 2020. The black hollow circles indicate the mean PM_{2.5} concentration in each year. The black line

524 is the fitting line based on the monthly median value. The number in the subplot is the linear trend
525 (t), R-square and p-value of least squares regression model. ** after linear trend indicates the linear
526 regression model is significant with a p-value<0.01.

527

528

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766

767 Table 1. Frequency of the relative day-to-day PM_{2.5} difference within the specific range.

Relative Difference (%)	<-80	-80~-60	-60~-40	-40~-20	-20~0	0~40	40~80	80~120	>120
MAM	0.4	4.4	9.0	13.5	17.2	31.6	14.3	5.4	3.8
JJA	0.2	2.2	7.6	15.6	20.7	34.9	12.0	4.3	2.3
SON	1.3	5.2	9.1	12.2	14.8	29.4	15.2	6.7	5.7
DJF	1.9	6.7	9.7	12.5	13.1	25.2	15.3	7.9	7.2

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769

770 Table 2. The average relative difference of PM_{2.5} concentrations before and after the occurrence of

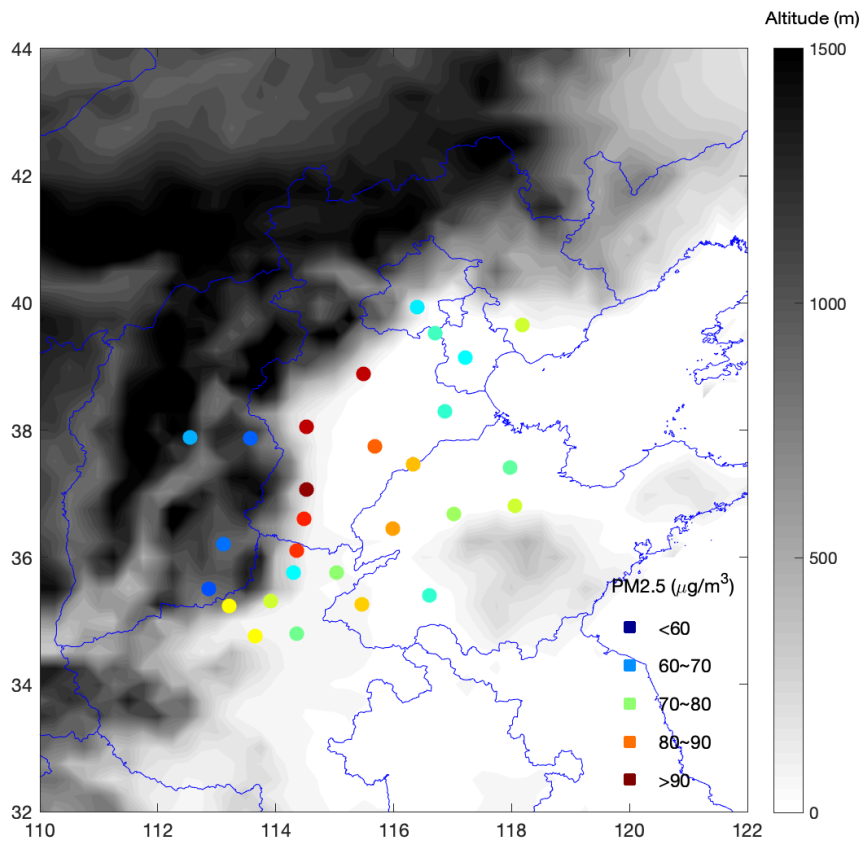
771 decay processes (i.e., $(PM_t - PM_{t-1})/PM_{t-1} * 100$, where PM_t is the daily mean PM_{2.5} concentration on

772 the decay phase day).

%	CT1	CT2	CT3	Wet deposition
MAM	-37.2	-44.8	-28.2	-40
JJA	-34.5	-20.4	//	-26.2
SON	-40.1	-42.9	-26.9	-35.8
DJF	-36.9	-41	-29.3	-43.9

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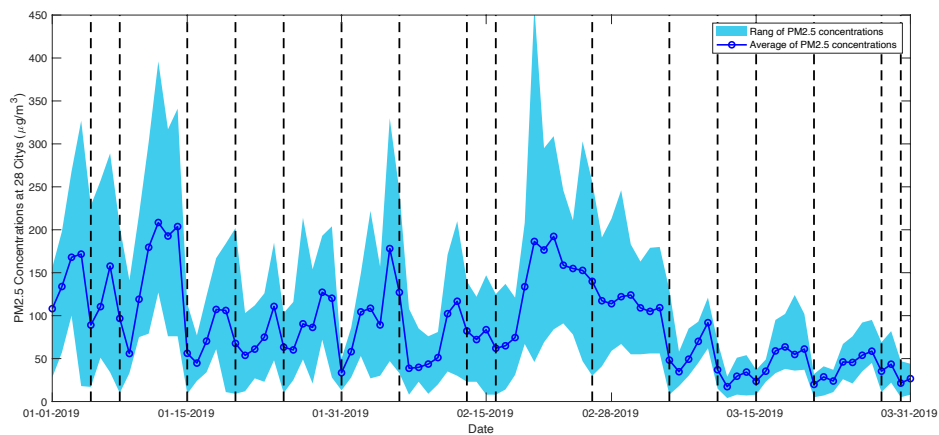
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776 Figure 1. Distribution of annual mean PM_{2.5} concentrations in the 28 cities by altitude. The PM_{2.5}
 777 concentration is the annual mean value from 2014 to 2019 (units: $\mu\text{g}/\text{m}^3$). The elevation over the
 778 domain was obtained from Global Digital Elevation Model with a resolution of $0.5^\circ \times 0.5^\circ$.

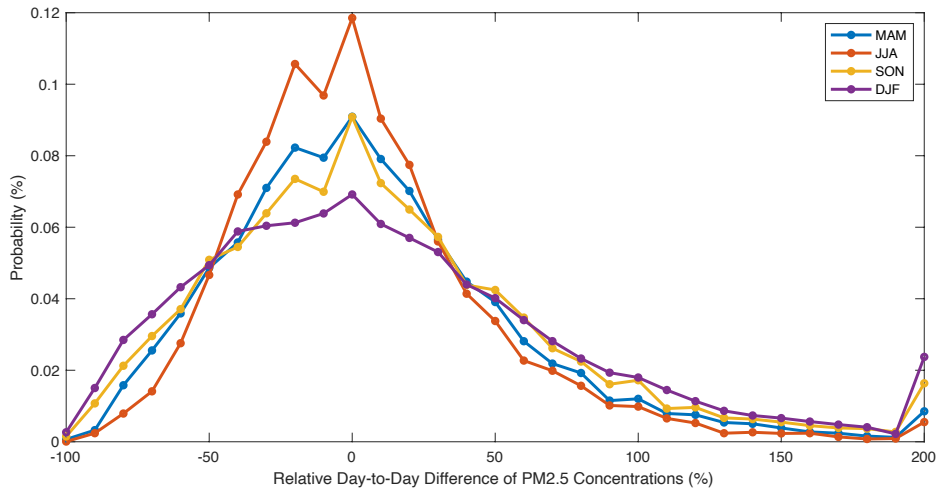
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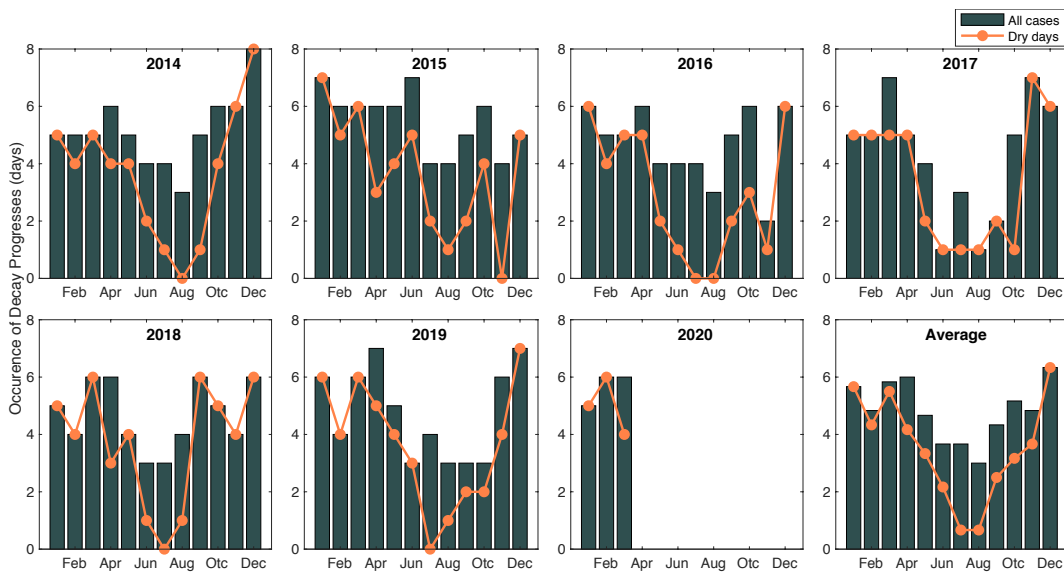
781 Figure 2. Time series of daily mean PM_{2.5} concentrations in the 28 pollution channel cities from
 782 January to March 2019 (units: $\mu\text{g}/\text{m}^3$).

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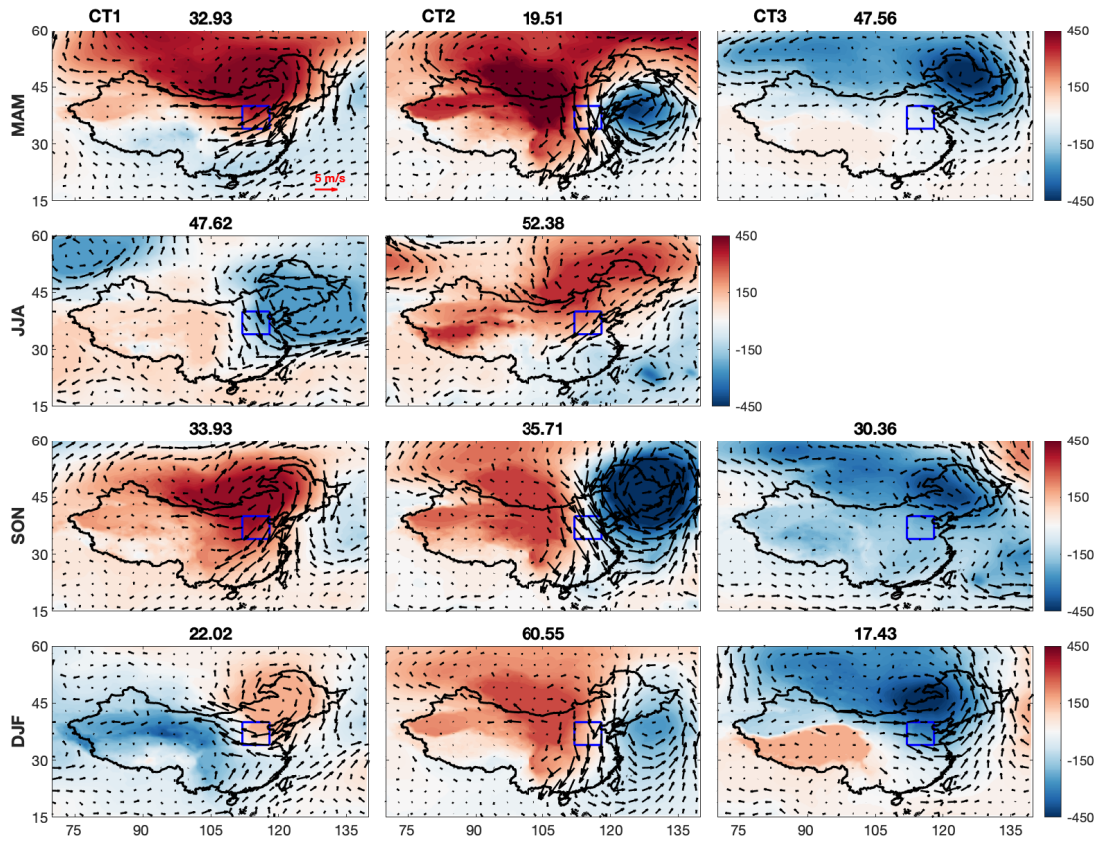
784

785 Figure 3. Probability distribution of the relative day-to-day difference of PM_{2.5} concentrations. The
786 relative difference is based on the PM_{2.5} concentration on the previous day. The distributions in
787 spring and autumn are combined in the upper panel, and cases in winter and summer are shown at
788 the bottom.



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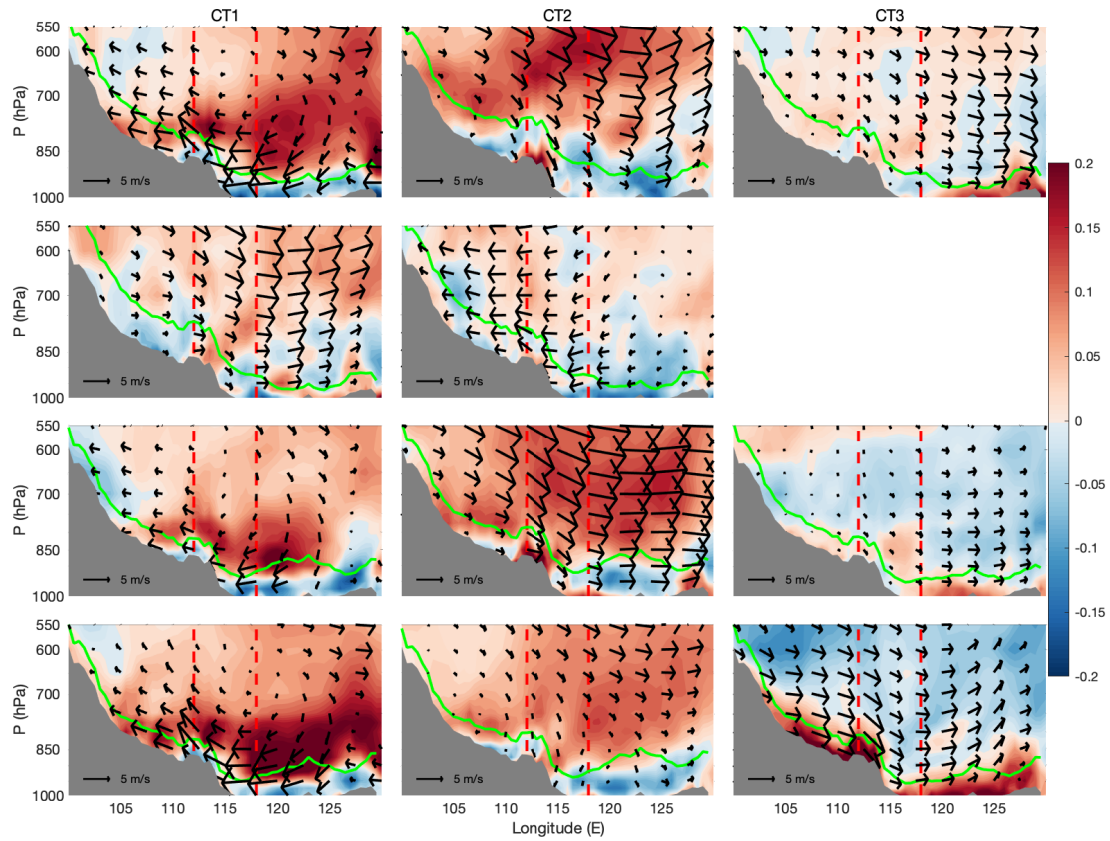
790 Figure 4. Monthly cumulative occurrence of the decay processes of pollution episodes. The orange
791 curve indicates the decay process occurrences on dry days. In total, 365 decay processes are
792 identified from January 2014 to March 2020, and 97 of them are associated with precipitation levels
793 greater than 10 mm/day.



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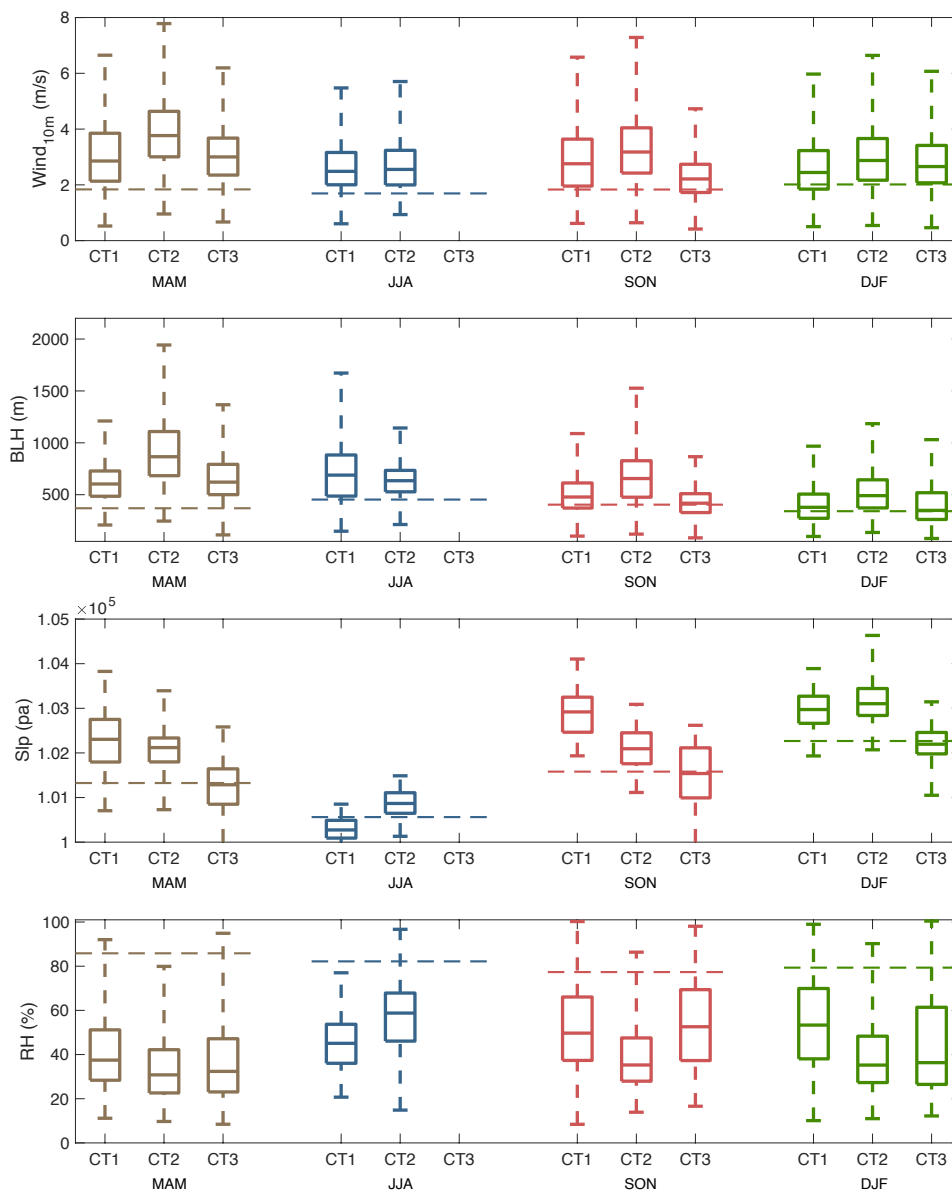
795 Figure 5. Distribution of the geopotential height anomalies (shaded, unit: m^2/s^2) and wind field
 796 anomalies at 925 hPa for each circulation type. The number over each subplot indicates the
 797 occurrence frequency of the specific circulation type. The solid blue box is the location of the
 798 domain region covering the 28 pollution channel cities.

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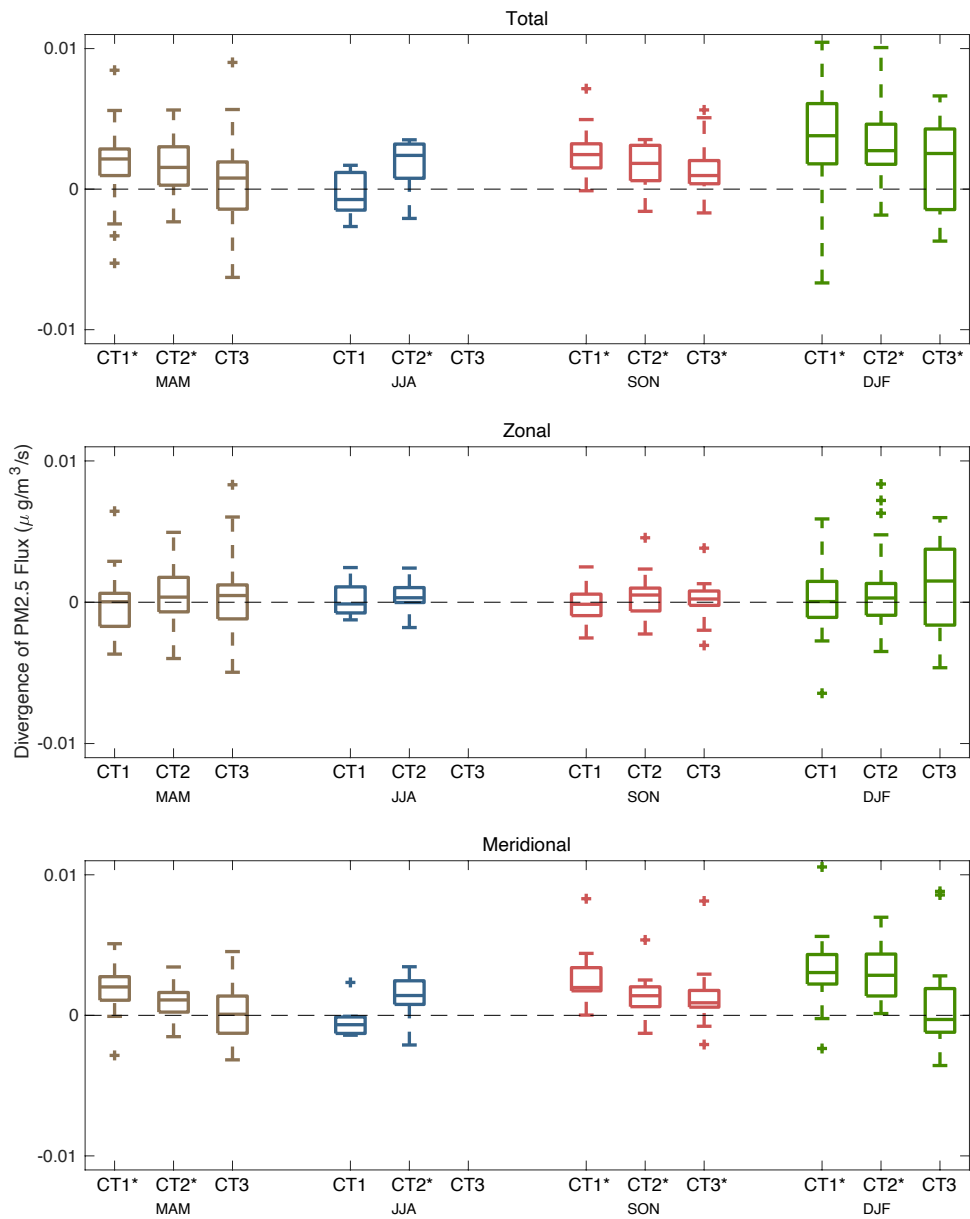
801 Figure 6. Zonal averaged profile of the distribution of vertical wind shear anomalies in the domain
 802 region (shaded, units: $\text{m}/(\text{s} \cdot 100 \text{ m})$) and the vertical and zonal circulation anomalies. The green line
 803 indicates the average location of the top of the boundary layer. Zonal wind shear, circulation and
 804 boundary layer height are the average values between $34\text{--}40^\circ \text{ N}$. The two dashed lines are the eastern
 805 and western boundaries of the domain (112 to 118° E). The grey region indicates the average altitude
 806 between $34\text{--}40^\circ \text{ N}$.



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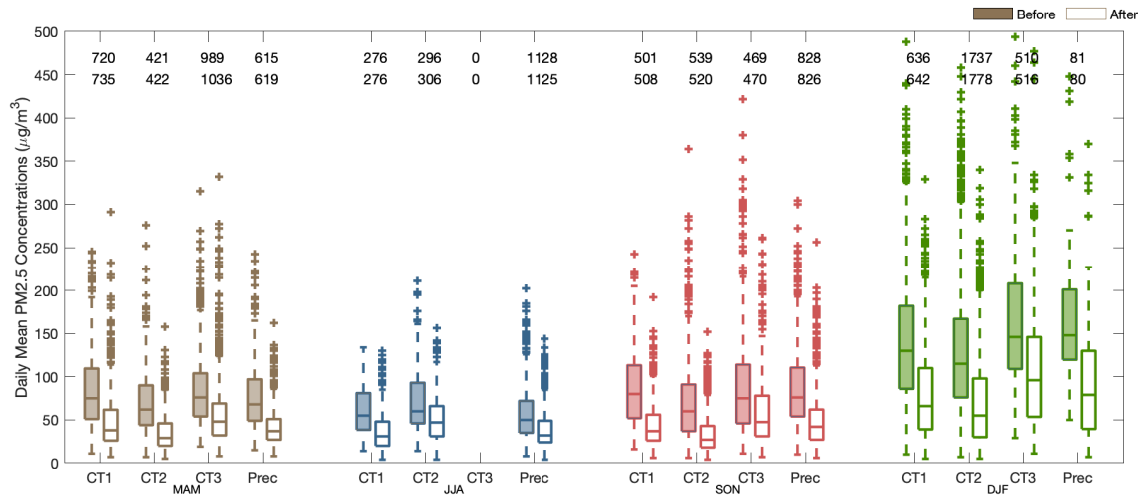
808 Figure 7. Boxplot of surface wind speed, boundary layer height (BLH), sea level pressure (slp) and
 809 relative humidity (RH) for each circulation type. The dashed line indicates the seasonal mean of the
 810 specific variables. The mean values of all of the meteorological variables in each CT are
 811 significantly different with their seasonal mean based on two-tail student-t test at a significant level
 812 of 0.01.

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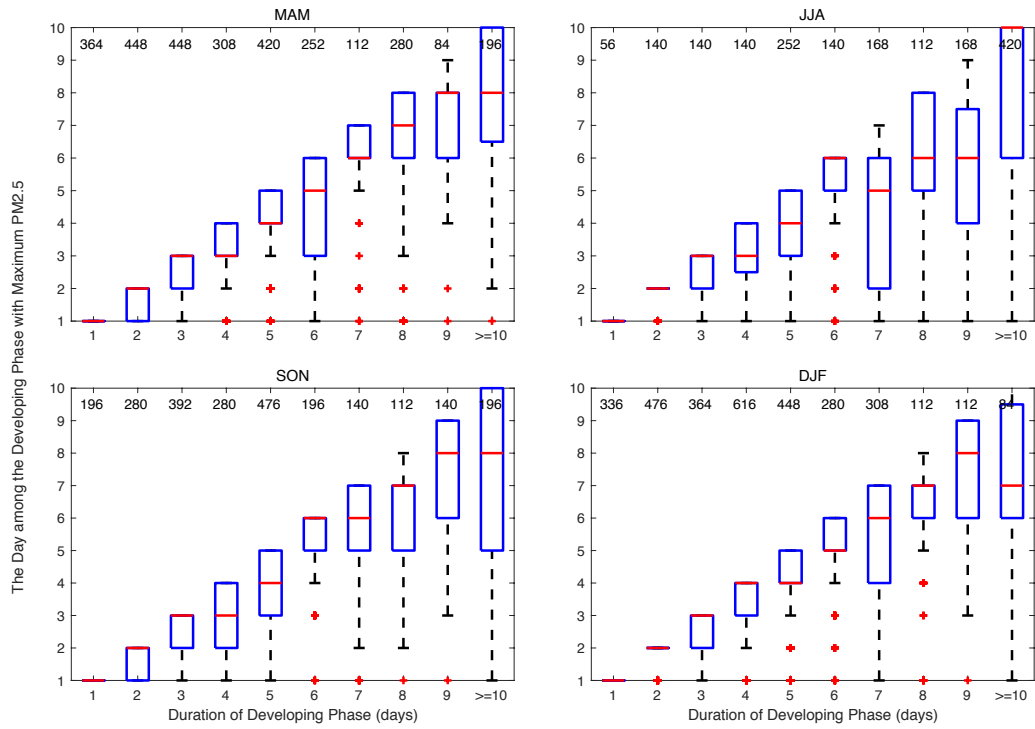
815 Figure 8. Boxplot of the divergence of PM2.5 flux over the region of 34-40° N and 112-118° E. The
 816 daily divergence is calculated based on the Eq. (1). Zonal and meridional components are the first
 817 and second terms of the formula. * in the x axis marks the divergence in a specific CT is significantly
 818 different with zero based on two-tail student-t test at a significant level of 0.01.



819

820 Figure 9. Distribution of the daily mean PM_{2.5} concentrations before and after the occurrence of
 821 decay processes of pollution episodes in the 28 pollution channel cities. The hollow box indicates
 822 the concentration on the decay phase day, and the solid box is the value on the previous day. The
 823 relative differences in the PM_{2.5} concentrations after the occurrence of decay process are
 824 summarized in Table 2. The number at the top of each box indicates the sample size used for the
 825 boxplot. The number in the first line is the sample size of the “before” case; and the second line is
 826 for the “after” case.

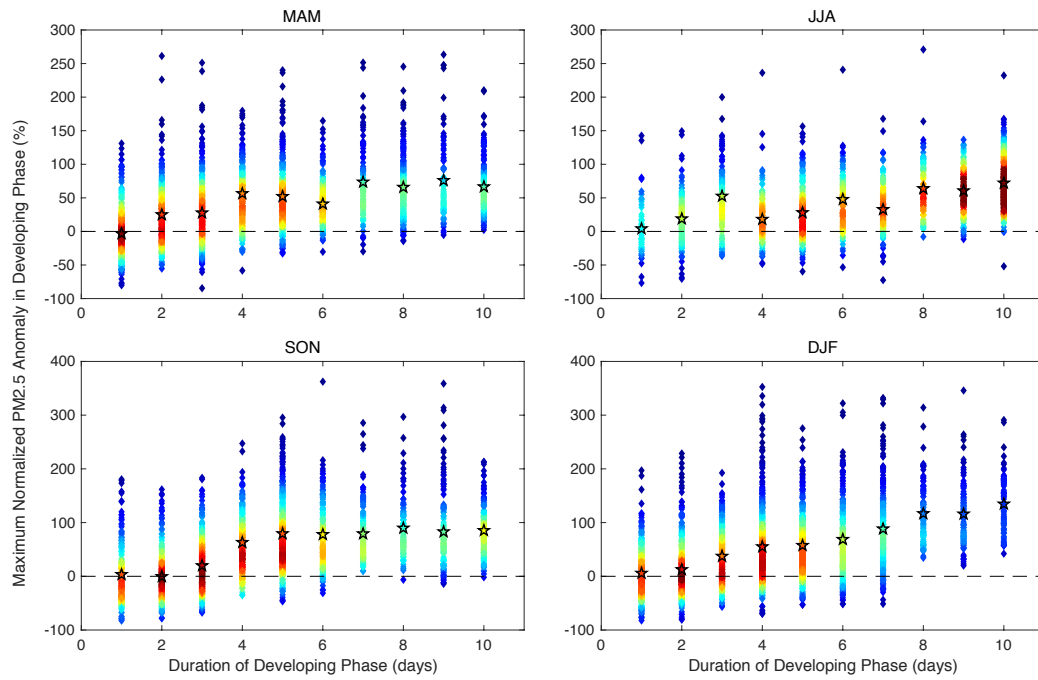
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829 Figure 10. The day of the maximum PM2.5 concentration during each pollution episode varies with
 830 the duration of the developing phase.

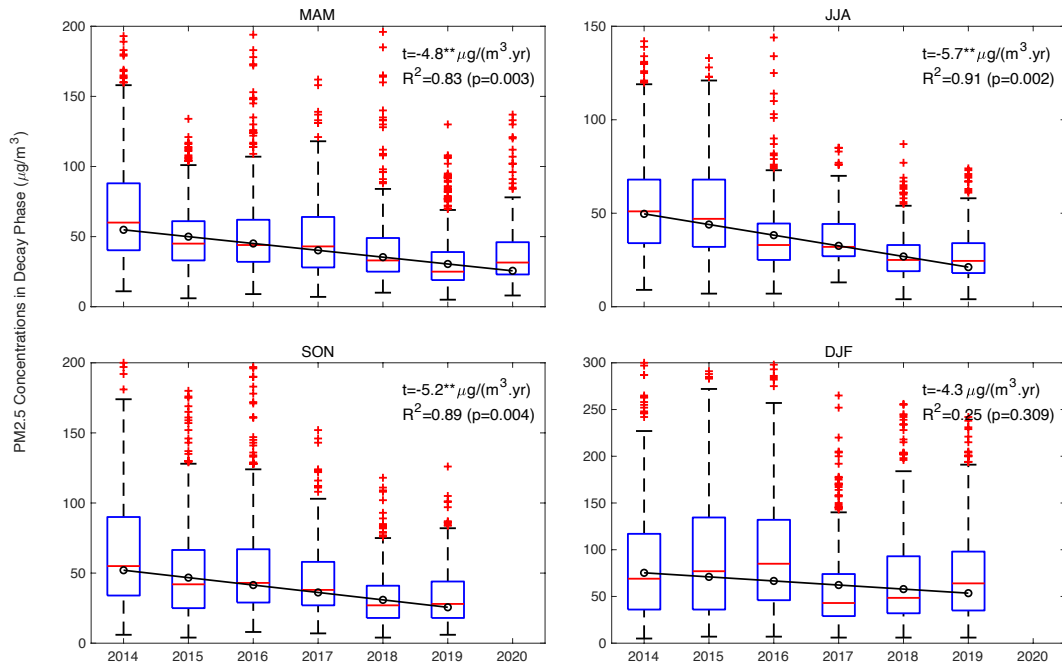
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833 Figure 11. The density plot of the maximum PM2.5 concentration according to the duration of the
 834 developing phase of pollution episodes. Daily PM2.5 concentrations are normalized by their
 835 monthly mean value to exclude the effects of seasonal and interannual variations in air quality. A
 836 warmer color indicates a higher density of scatter. Pentagrams mark the average maximum PM2.5
 837 concentration for the specific duration period.

838



840

841 Figure 12. Variations in the average PM2.5 concentration on all the decay phase days from 2014 to
 842 2020. The black hollow circles indicate the mean PM2.5 concentration in each year. The black line
 843 is the fitting line based on the monthly median value. The number in the subplot is the linear trend
 844 (t), R-square and p-value of least squares regression model. ** after linear trend indicates the linear
 845 regression model is significant with a p-value < 0.01.