



# Is a more physical representation of aerosol activation needed for simulations of fog?

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**Abstract.** Aerosols play a crucial role in the fog life cycle, as they determine the droplet number concentration, and hence droplet size, which in turn controls both the fog's optical thickness and life span. Detailed aerosol-microphysics schemes which accurately represent droplet formation and growth are unsuitable for weather forecasting and climate models, as the computational power required to calculate droplet formation would dominate the treatment of the rest of the physics in the

- 5 model. A simple method to account for droplet formation is the use of an aerosol activation scheme, which parameterises the droplet number concentration based on a change in supersaturation at a given time. Traditionally, aerosol activation parameterisation schemes were designed for convective clouds and assume that supersaturation is reached through adiabatic lifting, with many imposing a minimum vertical velocity (e.g.  $0.1 \text{ m s}^{-1}$ ) to account for unresolved sub-grid ascent. In radiation fog, the measured updrafts during initial formation are often insignificant, with radiative cooling being the dominant process leading to
- 10 saturation. As a result, there is a risk that many aerosol activation schemes will overpredict the initial fog number concentration, which in turn may result in the fog transitioning to an optically thick layer too rapidly.

This paper presents a more physically-based aerosol activation scheme that can account for a change in saturation due to non-adiabatic processes. Using an offline model, our results show that the minimum updraft velocity threshold assumption can overpredict the droplet number by up to 70% in comparison to a cooling rate found in fog formation. The new scheme has

15 been implemented in the Met Office Natural Environment Research Council (NERC) Cloud (MONC) LES model, and tested using observations of a radiation fog case study based in Cardington, UK. The results in this work show that using a more physically-based method of aerosol activation leads to the calculation of a more appropriate cloud droplet number. As a result, there is a slower transition to an optically thick (well-mixed) fog that is more in-line with observations.

The results shown in this paper demonstrate the importance of aerosol activation representation in fog modelling, and the impact that the cloud droplet number has on processes linked to the formation and development of radiation fog. Unlike the previous parameterisation for aerosol activation, the revised scheme is suitable to simulate aerosol activation in both fog and convective cloud regimes.





### 1 Introduction

- Fog can be defined as a cloud at ground level with a surface visibility of less than 1 km (WMO, 1966). It can cause major disruption to road, aviation and marine transport, with associated economic losses that are comparable to those resulting from winter storms and hurricanes (Gultepe et al., 2007). Fog can have negative impacts on human health and the safety of certain activities. For example, thick fog on 5th September 2013 resulted in the Sheppey crossing crash in southeast England, consequently injuring 60 people (BBC, 2013). Understanding the physics behind fog is crucial in improving fog forecasting and mitigating the impact of such events.
- 30 A source of uncertainty in fog forecasting is the representation of aerosol-fog interactions. The Earth's atmosphere contains small suspended particles called aerosols, which range in size and composition (Pruppacher and Klett, 2010). Aerosols are important for both clouds and fog, as they act as the substrate on which water condenses and droplets form. The growth rate of these droplets is dependent on the initial aerosol size and solubility. The aerosols are considered to be 'activated' once these droplets reach a certain size, where they can grow more easily within a saturated environment (known as cloud condensation
- 35 nuclei (CCN)). The aerosol population has been shown to impact the cloud's microphysical structure and its life span (e.g. Twomey, 1974; Albrecht, 1989), and these impacts have been studied in great depth over the last few decades, both in the context of climate (e.g. IPCC, 2001) and meteorology (e.g. Seifert and Heus, 2013; Miltenberger et al., 2018). While research into radiation fog spans the last 100 years (e.g. Taylor, 1917; Roach et al., 1976), studies investigating aerosol impacts on fog are more recent. For example, Bott (1991) shows that aerosols fundamentally control the optical thickness of radiation fog, and
- 40 additional studies (e.g. Stolaki et al., 2015; Maalick et al., 2016) have verified the importance of capturing the aerosol indirect effects when simulating fog.

Accurate representation of droplet nucleation, i.e. aerosol activation, is essential to represent the indirect effects of aerosols on clouds. However, when investigating aerosol-cloud interactions in models such as general circulation models (GCMs) and numerical weather prediction (NWP) models, many detailed droplet growth schemes are unsuitable, as the computational

45 power required would dominate the treatment of the rest of the physics in the model (Ghan et al., 1993). Original development of an aerosol activation parameterisation began by Squires (1958), with work by Twomey (1959) expanding on the modelling of aerosol activation. Twomey (1959) discussed the link between an aerosol spectrum, supersaturation and droplet number concentration. Using Köhler Theory, Twomey (1959) formulated a parameterisation based on the change in supersaturation for a given time, such that:

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$$\frac{ds}{dt} = \alpha - \beta s \int_{0}^{s} \nu(\sigma) \left[ \int_{\tau(\sigma)}^{t} s dt \right]^{\frac{1}{2}} d\sigma,$$
(1)

where  $\alpha$  is the source of supersaturation due the cooling of a parcel and the second term of Eq. (1) representing the condensation of water vapour onto the activated aerosol. The constant,  $\beta$ , is dependent on the aerosol spectrum, with  $\nu(\sigma)\delta\sigma$  being the number of nuclei in a unit volume with critical supersaturation between  $\sigma$  and  $\sigma + \delta\sigma$ . As condensation results in a decrease in





supersaturation, the maximum number of activated aerosols is capped and will occur once the peak supersaturation is reached (i.e. when the condensation term starts to dominate the cooling terms), resulting in no more aerosols activating. At this point,  $\frac{ds}{dt} = 0$ , and Eq. (1) becomes:

$$\alpha = \beta s \int_{0}^{s} \nu(\sigma) \left[ \int_{\tau(\sigma)}^{t} s \, dt \right]^{\frac{1}{2}} d\sigma.$$
<sup>(2)</sup>

Different authors have addressed solving the right hand side of Eq. (2). Twomey (1959) formulated an upper and lower bound to the inner integral in Eq. (2) and assumed an aerosol spectrum, which was later developed further by Cohard et al. (1998),
Shipway and Abel (2010) and Shipway (2015). Ghan et al. (1993) developed a scheme that accounted for a more realistic aerosol size distribution, which was naturally bounded by the total aerosol number. They showed that accounting for a more realistic single mode aerosol-size distribution (lognormal) improved the parameterised number of droplets activated. However, because droplet growth was neglected upon activation in their scheme, the introduction of multi-mode aerosol resulted in big discrepancies between the explicit and parameterised number of activated droplets. Work by Abdul-Razzak et al. (1998) (and
later Abdul-Razzak and Ghan, 2000) combined the benefits of the parameterisations developed by both Twomey (1959) and

- Ghan et al. (1993). The scheme was not only bound by the total aerosol number, but also assumed that growth continued from the point of activation. The result of these assumptions led to the parameterised number of activated aerosols agreeing better with the explicit calculation for activation, even in regimes of high updraft velocities (Abdul-Razzak and Ghan, 2000).
- So far, the schemes discussed in this section (i.e. Cohard et al., 1998; Abdul-Razzak et al., 1998; Abdul-Razzak and Ghan, 2000; Shipway, 2015) have been tested assuming that saturation is driven by adiabatic ascent. In addition, a number of the listed schemes impose a fixed minimum updraft velocity threshold,  $w_{min}$ , of 0.1 m s<sup>-1</sup>, corresponding to a cooling rate of 3.51 K hr<sup>-1</sup> assuming a dry adiabatic lapse rate (e.g. Ghan et al., 1997; Abdul-Razzak and Ghan, 2000; Morrison and Gettelman, 2008; West et al., 2014). A  $w_{min}$  is suitable for these schemes, as they are designed to consider updrafts found in stratocumulus and convective clouds (Abdul-Razzak and Ghan, 2000; Meskhidze et al., 2005). Furthermore, some models (such as GCMs) will
- vertical resolution was < 100 m (Ghan et al., 1997). Since such resolutions are not feasible in operational NWP or climate models, a  $w_{min}$  of 0.1 m s<sup>-1</sup> is imposed to account for this unresolved turbulence (Ghan et al., 1997). In radiation fog, the main mechanism for the initial formation of droplets is radiative cooling; a non-adiabatic process, with measured cooling rates
- 80 of 1 4 K hr<sup>-1</sup> at the surface (calculated using data from Price, 2011) and updraft velocities close to 0 m s<sup>-1</sup>. Consequently, both the assumption of saturation being driven by adiabatic ascent, and the use of a minimum vertical velocity threshold do not accurately account for aerosol activation in fog (as discussed in Boutle et al., 2018).

This paper will focus on addressing both of these assumptions in the modified Shipway (2015) aerosol activation scheme. It was chosen to use Shipway over Abdul-Razzak and Ghan (2000) (hereafter referred to as ARG), as it has been shown that ARG overestimates condensation in low aerosol regimes, making it activate too few aerosols (Shipway, 2015). The work presented





in this paper has been split into two sections: firstly comparing the original Shipway scheme (henceforth Shipway) with the modified Shipway scheme developed here (SMOD) using an offline box model, and secondly comparing both of these schemes using large eddy simulation (LES) of an idealised fog case study (as described in Poku et al., 2019). During both comparisons, the following questions will be addressed:

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2. How do the differences in aerosol activation representation impact on the fog evolution in a large eddy simulation?

Section 2 will present how the Shipway and SMOD scheme differ from each other mathematically. Section 3 will outline the Shipway box model setup and how the SMOD was implemented into it. Section 4 addresses research question 1. Section 5 describes the LES model used and addresses research question 2. A discussion and conclusion will then follow.

# 95 2 SMOD - Modifying the Shipway activation scheme to include non-adiabatic cooling

### 2.1 Shipway activation scheme

The Shipway (2015) aerosol activation scheme is designed as an improvement to the original lower bound approximation by Twomey (1959), and utilises a lookup table method that solves the maximum supersaturation at a reduced computational expense. Shipway assumes the differential activity spectrum,  $\phi(s)$ , to be lognormal, which can be expressed as:

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$$\phi(s) = \sum_{i=1}^{I} \frac{N_i}{\sqrt{2\pi} \ln(\sigma_{s,i}) s} \exp\left(-\frac{\ln^2(s/s_{0,i})}{\ln^2 \sigma_{s,i}}\right),$$
 (3)

where  $N_i$  is the number concentration of dry aerosol,  $\sigma_{s,i}$  is the standard deviation of the distribution of  $\phi(s)$ , and  $s_{0,i}$  is the mean geometric supersaturation for each given aerosol mode. Shipway (2015) formulated a new expression for the maximum supersaturation using the original Twomey (1959) lower bound approximation, such that:

$$\frac{\sqrt{2}\alpha^{\frac{3}{2}}}{\gamma} = s_{max} \int_{0}^{s_{max}} \phi(\sigma) \left[ \frac{1}{2} \left( 1 - \left( \frac{\sigma}{s_{max}} \right)^{\mu} \right)^{\lambda} \right]^{-1} \left( s_{max}^2 - \sigma^2 \right)^{\frac{1}{2}} d\sigma, \tag{4}$$

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where  $\mu$  and  $\lambda$  are chosen empirically by Shipway (2015) such that  $\mu = 3$  and  $\lambda = 0.6$ .  $\alpha$  relates to the increase in relative humidity and hence saturation, due to an air parcel undergoing atmospheric cooling. To date, the Shipway activation scheme assumes that  $\alpha$  is driven by an updraft velocity, i.e.

$$\alpha = \psi(T, p) \frac{dz}{dt},\tag{5}$$





where  $\psi(T)$  is the thermodynamical function associated with a change in supersaturation and pressure due to adiabatic 110 ascent, with:

$$\psi = \frac{c_p}{R_a T} - \frac{L}{R_v T^2},\tag{6}$$

and  $\gamma$  being a temperature pressure variable related to the change in temperature due to latent heat release, such that:

$$\gamma = \frac{p}{\epsilon e_s} + \frac{L^2}{R_v c_p T^2}.$$
(7)

Using a precalculated lookup table to solve the right-hand side of Eq. (4) and again  $s_{max}$ , Shipway (2015) calculates the 115 total number of activated aerosols,  $N_{act}$ :

$$N_{act} = \frac{N_i}{2} \left[ 1 + \operatorname{erf}\left(\frac{\ln(s_{max}/s_{0,i})}{\sqrt{2}\ln\sigma_{s,i}}\right) \right],\tag{8}$$

with erf(x) being the error function (Abramowitz and Stegun, 1965). For the SMOD scheme (see Appendix A for further details), the term,  $\alpha$ , in Eq. (4) has been modified to account for non-adiabatic cooling, such that:

$$\alpha = \psi_1 \left. \frac{dT}{dt} \right|_{ad} + \psi_2 \left. \frac{dT}{dt} \right|_{non\_ad},\tag{9}$$

120 where:

$$\psi_1 = \frac{c_p}{R_a T} - \frac{L}{R_v T^2},$$

$$\psi_2 = -\frac{L}{R_v T^2}.$$
(10)

The SMOD scheme differs from Shipway when calculating  $N_{act}$ , in that it uses Eq. (9) to solve  $s_{max}$  (see Table A1 in Shipway, 2015, for a summary of terms described in this section and Appendix A for a full derivation of  $\psi_{1,2}$ ).

#### 3 The Shipway box model - offline setup

To understand the flexibility of the SMOD scheme and how the thermodynamical function associated with the non-adiabatic contribution may impact  $N_{act}$ , both the Shipway and extended SMOD activation schemes will be directly compared using the Shipway box model (Shipway, 2015). The Shipway box model is designed as a non-interactive offline suite to calculate the initial number of activated aerosols in a range of different environmental settings. As the model is non-interactive, it permits



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Environmental setting	Distribution parameters	Aitken mode	Accumulation mode	Coarse mode
Marine	N (cm $^{-3}$ )	340	60	3.1
	$\sigma$	1.6	2.0	2.7
	r (µm)	0.005	0.035	0.31
Clean continental	N (cm $^{-3}$ )	1000	800	0.72
	$\sigma$	1.6	2.1	2.2
	r (µm)	0.008	0.034	0.46
Urban	N (cm $^{-3}$ )	10600	32000	5.4
	$\sigma$	1.8	2.16	2.21
	r (µm)	0.007	0.027	0.43

Table 1. Aerosol properties used to test the Shipway and SMOD schemes in the Shipway box model (Whitby, 1978).

analysis of parameter space, in the absence of atmospheric feedbacks. Inputs of the model are potential temperature, vertical
velocity and aerosol population properties (number concentration, size, mode and distribution size parameters). Shipway (2015)
used the box model to test the Shipway (2015) and Twomey (1959) activation schemes in different aerosol regimes, in addition
to schemes developed by Abdul-Razzak and Ghan (2000) and Nenes and Seinfeld (2003).

For this work, the Shipway activation scheme was modified to account for a temperature change due to both adiabatic and non-adiabatic processes, using Eq. (9). Aerosol loadings from Whitby (1978) were used to test both activation schemes. These properties considered different environments, ranging from clean to polluted (Table 1). The temperature was set as a fixed value of 274 K, based on surface temperatures observed during fog formation (Price, 2011; Haeffelin et al., 2013). All tests were driven by cooling rates found in fog formation (1 - 4 K hr<sup>-1</sup> calculated using data from Price, 2011), and also accounted for a temperature change due to a nocturnal clear sky cooling (0 - 1 K hr<sup>-1</sup>; Kiehl and Trenberth, 1997).

Table 2 displays the setup of four cases used in the offline box model, including the list of tests conducted in each case. Case

140 C\_adiabatic directly compared Shipway and SMOD scheme, based on Eq.'s (4) and (9). To check that the SMOD scheme was correctly coded into the box model, meaning that supersaturation can be driven by a cooling rate rather than an updraft velocity, the non-adiabatic term in SMOD was set to zero. Conducting this case would also test the aerosol activation's sensitivity to the choice in aerosol mode, as well as testing how both Shipway and SMOD would behave with no  $w_{min}$  being present.

Case C\_accumulation\_mar aimed to understand the maximum impact that different aerosol activation representations may have on accumulation mode marine aerosols. For this case, three representations were used:

- 1. SMOD, which accounted for both adiabatic and non-adiabatic cooling. For these tests,  $w_{min} = 0 \text{ m s}^{-1}$  and the adiabatic cooling component was switched off;
- 2. Default Shipway scheme. Cooling is assumed to be adiabatic, with  $w_{min} = 0.1 \text{ m s}^{-1}$ ;





**Table 2.** The tests conducted in the offline box model.

Case	Tests in case	Scheme used	Cooling source	$w_{min}$ applied	Aerosol mode	Environment
C_adiabatic	T_ship_ad	Shipway	Adiabatic		All modes	All environments
	T_SMOD_ad	SMOD	Adiabatic			
C_accumulation_mar	T_ship_mar_acc	Shipway	Adiabatic		Accumulation	Marine
	T_ship_mar_acc_wmin	Shipway	Adiabatic	х		
	T_SMOD_mar_acc	SMOD	Non-adiabatic			
C_accumulation_con	T_ship_con_acc	Shipway	Adiabatic		Accumulation	Clean continental
	T_ship_con_acc_wmin	Shipway	Adiabatic	х		
	T_SMOD_con_acc	SMOD	Non-adiabatic			
C_accumulation_urb	T_ship_urb_acc	Shipway	Adiabatic		Accumulation	Urban
	T_ship_urb_acc_wmin	Shipway	Adiabatic	х		
	T_SMOD_urb_acc	SMOD	Non-adiabatic			

3. Shipway scheme, with cooling assumed to be adiabatic and  $w_{min} = 0 \text{ m s}^{-1}$  (i.e. assuming no additional sub-grid cooling). This might be more appropriate for use in an LES where vertical motion is well resolved.

Firstly, a comparison between Shipway with no  $w_{min}$  and Shipway in its default setting (with an applied  $w_{min} = 0.1$  m s<sup>-1</sup>) tested the suitability of a  $w_{min}$  in fog modelling. This comparison was motivated by Boutle et al. (2018), who discussed how aerosol activation in fog can be overestimated by the use of an applied  $w_{min}$ . The results of this test will quantify this overestimation, and hence provide potential a solution for fog modelling that may require some form of a  $w_{min}$ . Next, a comparison between SMOD and Shipway with  $w_{min} = 0$  m s<sup>-1</sup> tested the suitability of assuming adiabatic cooling in a non-adiabatic environment.

C\_accumulation\_mar was repeated for a clean continental and urban environment (test's C\_accumulation\_con and C\_ accumulation\_urb respectively) to understand how the aerosol activation representation impacts may change based on the environment choice.

#### 160 4 Testing Shipway and SMOD using an offline box model

#### 4.1 C\_adiabatic - behaviours of the Shipway scheme in low updraft velocity regimes

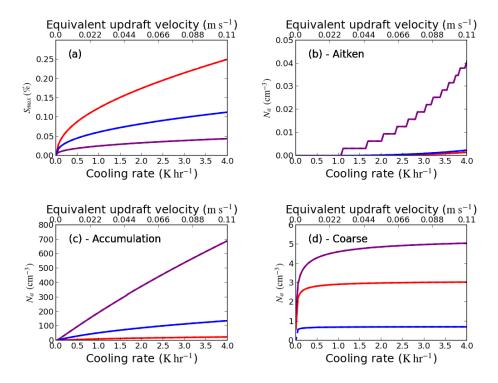
The objective of C\_adiabatic is to understand the relative importance of different aerosol modes concerning aerosol activation in fog and to check that the adiabatic pathway in the SMOD scheme was coded correctly. Although the implementation for

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**Figure 1.** (a) Maximum supersaturation,  $s_{max}$  (%), against the total cooling rate. (b) - (d) A plot of activated aerosol concentration,  $N_{act}$  (cm<sup>-3</sup>) against the total cooling rate for Aitken, accumulation and coarse mode aerosols respectively. Red - marine; Blue - clean continental; Purple - urban. Solid line - T\_ship\_ad; Dashed line - T\_SMOD\_ad (solid line overlaying the dashed line).

SMOD is different in that it applies a cooling rate rather than an updraft velocity, these tests comparing Shipway to SMOD should produce identical results for a given equivalent cooling rate.

When comparing the code that would control the adiabatic pathways in the Shipway and SMOD scheme, the differences in numerical calculations agree are negligible across all tests, which is shown by the overlapping dashed line over the solid line for all tests in Fig. 1. Figure 1a shows a monotonic increase in the maximum supersaturation,  $s_{max}$ , across all environments with respect to updraft velocity. For a fair comparison, an equivalent cooling rate was calculated for the SMOD scheme using the dry

- adiabatic lapse rate assumption (see Eq. A6 in Appendix A). The  $s_{max}$  is 0.26% for the marine environment; corresponding to a cooling rate of 4 K hr<sup>-1</sup>, and decreases as the aerosol concentration increases (0.11 and 0.04% for the clean continental and urban environment respectively). The decrease in  $s_{max}$  with increases in aerosol concentration relates to an increased competition of water vapour and hence condensation rate, resulting in a reduced likelihood of newly activated droplets.
- Figures 1b-d show an increase in activated aerosols in relation to cooling rate. Of the three modes, the proportion of activated aerosols is greatest in the accumulation mode in all tested environments. This is even though in some environments (e.g. marine), the proportion of aerosol in the Aitken mode is greater than the accumulation mode (see Table 1). The relatively small radii of Aitken mode aerosol compared to the rest of the aerosol spectrum makes the required maximum supersaturation for activation significantly higher, as displayed in Fig. 1b. The reality is that supersaturation levels in fog have been shown to only





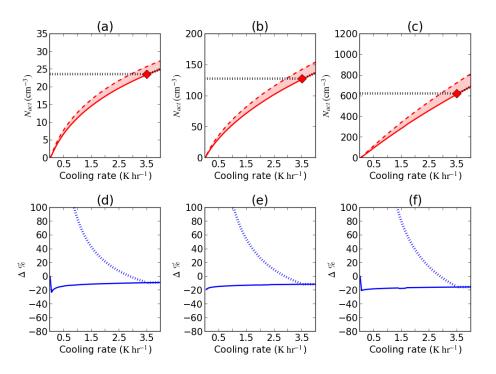


Figure 2. (a) Total activated aerosols,  $N_{act}$ , against the cooling rate for marine environment accumulation mode aerosols. Solid line -T\_ship\_mar\_acc; dashed line - T\_SMOD\_mar\_acc; black dashed line - T\_ship\_mar\_acc\_wmin. (d) Percentage differences,  $\Delta \%$ , between: dashed line - T\_ship\_mar\_acc against T\_ship\_mar\_acc\_wmin; solid line - T\_ship\_mar\_acc against T\_SMOD\_mar\_acc. Red diamond  $w_{min} = 0.1 \text{m s}^{-1}$  (b), (e): clean continental; (c), (f): urban.

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reach several tenths of 1% (Gerber, 1991), and hence would not be great enough to activate Aitken mode aerosol. Given the result of this test, it could indicate that nocturnal fog simulations that account for aerosol activation could neglect the Aitken mode. Although there is an increase in  $N_{act}$  with respect to updraft velocity for Aitken mode aerosol (Fig. 1b), the aerosol activation fraction is so small that it leads to a visible stepwise function (this being strongest in the urban environment). The stepwise behaviour for the Aitken mode is a result of poor resolution in the look-up table for the Shipway scheme at low updraft velocities, where this behaviour has been highlighted due to  $w_{min}$  not being present. The resolution could be improved by using a more robust integration method. However, changing the integration method does not impact the general conclusions relating to the new scheme and hence this will be explored in later work.

4.2 Associated percentage difference for methods of aerosol activation

To understand how  $N_{act}$  may be impacted by the choice in aerosol activation representation, accumulation mode tests in C\_adiabatic were rerun using the SMOD activation scheme and the Shipway (2015) scheme with an applied  $w_{min}$ . Although these same tests were run for Aitken and coarse mode aerosol (see Table 1), there was little to no change in  $N_{act}$  when the aerosol activation representation was changed (not shown). In case C accumulation mar, T SMOD mar acc produces a





higher N<sub>act</sub> than T\_ship\_mar\_acc for all cooling rates (Fig. 2a - marine), with a similar pattern being applicable to the clean continental and urban environments (Figs. 2b and c). As the SMOD scheme for these tests assumes non-adiabatic cooling exclusively, the increase in N<sub>act</sub> is due to the associated thermodynamical function being independent of adiabatic lifting and
hence a change in pressure (see Appendix A for further details). Therefore, this demonstrates the dependency on the total number of activated aerosols on the way in which the cooling is applied. To understand the impact of a w<sub>min</sub> threshold on N<sub>act</sub>, all tests using the Shipway activation scheme were rerun, with the w<sub>min</sub> threshold of 0.1 m s<sup>-1</sup> being applied (Tests T\_ship\_mar\_acc\_wmin, T\_ship\_con\_acc\_wmin and T\_ship\_urb\_acc\_wmin). Applying this threshold resulted in a fixed N<sub>act</sub> for a cooling rate below 3.51 K hr<sup>-1</sup>. Consequently, should there be a cooling rate lower than this threshold, N<sub>act</sub> will be overestimated and this may impact properties of the fog evolution such as the fog's optical depth.

Figures 2d, e and f show the percentage difference between the SMOD and Shipway (with an applied  $w_{min}$ ) activation schemes increases as the prescribed cooling rate decreases. When comparing the three environments, the rate of increase in the percentage difference grows, as the tested environment becomes more polluted. For example, a cooling rate of 1.5 K hr<sup>-1</sup> results in a percentage difference of 40, 50 and 70% for the three environments respectively. Given the associated percentage

- 205 difference, this indicates aerosol activation in fog simulations is overestimating  $N_{act}$  by an appreciable amount. However, reducing the minimum threshold,  $w_{min}$ , to give an equivalent cooling rate close to those observed in fog would reduce but not remove the problem associated with the percentage difference. Between the SMOD and Shipway schemes for aerosols in the accumulation mode, the associated percentage change ranges between -10 and -20% for all three environments, and the rate of change in the percentage difference is not appreciably different for any given environment (Figs. 2d, e and f). This implies
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10 that even if the minimum threshold of  $w_{min}$  were to be reduced such that it is representative for updraft velocities found in radiation fog, just using the Shipway scheme could potentially underestimate aerosol activation.

#### 5 Testing Shipway and SMOD using MONC

The offline box model results demonstrate that assumptions widely used in aerosol activation (e.g. Abdul-Razzak and Ghan, 2000) may be significantly overestimating aerosol activation in fog. In this section, the impact of this overestimation on fog development in large-eddy simulations of fog is assessed using MONC. These tests have two objectives. The first objective is assessing the impact the assumption  $w_{min} = 0.1 \text{ m s}^{-1}$  has on the simulated fog. The second objective is to understand how the SMOD scheme for aerosol activation impacts on the fog evolution and the causes of differences from the Shipway scheme.

#### 5.1 MONC model - online setup

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As part of this work, MONC is used to perform a suite of sensitivity tests based on intensive observation period 1 (IOP1) from the recent Local And Non-local Fog EXperiment (LANFEX) field campaign (Price et al., 2018). A full description of IOP1 and the observed vertical profiles the model was initialised with, can be found in Poku et al. (2019). The model setup for IOP1 is presented in Table 3. A domain size of  $132 \times 132$  m<sup>2</sup> was chosen, as there is minimal impact on the fog's turbulent kinetic energy (TKE) and liquid water when compared to simulations that were tested on a larger domain (not shown). The choice





Table 3. The input parameters and model setup for IOP1 in MONC.

IOP1 input parameters	Values		
Horizontal domain	132 x 132 m		
Vertical domain	705 m		
$\Delta x, \Delta y$	2 m		
$\Delta z$	Variable - 1 m first 100 m, streched up to 6 m afterwards		
Simulation duration	12 hr		
Timestep	0.1 s		
Surface geostrophic winds	$u_g = 1.3 \text{ m s}^{-1}, v_g = 2.1 \text{ m s}^{-1}$		
Cloud microphysics	Cloud AeroSol Interactive Microphysics (CASIM)		
Radiative transfer scheme	Suite of Community RAdiative Transfer codes (SOCRATES) (Edwards and Slingo, 1996)		

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of grid spacing was motivated by studies such as Maalick et al. (2016) and Maronga and Bosveld (2017), which both show the fog formation's sensitivity to the model resolution. Therefore, it was critical to run MONC at such a high resolution. The model's surface boundary conditions were prescribed with a varying surface temperature (described in Poku et al., 2019) and a surface vapour mixing ratio of  $0.004 \text{ kg kg}^{-1}$ , which were both based on observations. Radiation was calculated using the Suite of Community RAdiative Transfer codes (SOCRATES), based on the work of Edwards and Slingo (1996). SOCRATES was called by the MONC model every 5 min, allowing for the longwave radiative fluxes at the top of the fog layer to be captured in the model.

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All simulations use the Cloud AeroSol Interactive Microphysics (CASIM) scheme; a multi-moment bulk microphysics scheme designed to simulate aerosol-cloud interactions (Grosvenor et al., 2017; Dearden et al., 2018; Miltenberger et al., 2018). For this work, CASIM has been set to 2 moments and is being used to represent a non-precipitating, warm boundary layer cloud (i.e. ice processes and autoconversion to rain are turned off). In CASIM, the cloud-drop size distribution, N(D), assumes a gamma distribution, which has the form (Shipway and Hill, 2012):

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$$N(D) = N_0 D^{\mu_d} e^{-\lambda_d D},\tag{11}$$

where  $N_0$  is the distribution intercept parameter,  $\mu_d$  is the shape parameter (the default value of  $\mu_d$  is set to 0),  $\lambda_d$  is the slope parameter and D is the droplet diameter. For this work,  $\mu_d$  has been set to equal 3.0, based on observations of the liquid water path (LWP) and cloud-drop size distribution during IOP1, resulting in a more sensible modelled sedimentation rate (see Appendix B for details). With regards to aerosol sizes, only accumulation mode aerosol where 0.1  $\mu$ m < CCN size diameter < 240

 $1 \mu m$ ) are accounted for, as at the time of development, MONC was unable to run with a multi-mode aerosol setting. Aerosol activation is being represented using both the original Shipway scheme and the new modified SMOD scheme.





**Table 4.** Details of the simulations using the Shipway and SMOD activation scheme. The value of  $w_{min}$  has been lowered from 0.1 to 0.01 m s<sup>-1</sup> based on the results from Sec. 4. Cooling rate equivalent calculated using the dry adiabatic lapse rate assumption.

Test no.	Test name	Scheme	Imposed $w_{min} \text{ (m s}^{-1})$	Threshold cooling rate equivalent (K $hr^{-1}$ )	$r_{e}~(\mu {\rm m})$
T1	T_shipway_wmin	Shipway	0.1	3.51	10
T2	T_shipway_0.01	Shipway	0.01	0.351	10
Т3	T_SMOD	SMOD	N/A	N/A	10
T4	T_er_15	SMOD	N/A	N/A	15
T5	T_er_20	SMOD	N/A	N/A	20

During IOP1, there were no direct aerosol or CCN measurements. Therefore, a CCN value of  $100 \text{ cm}^{-3}$  in the accumulation mode was set, with a total soluble mass of 2.7ng throughout the initialised vertical profile and an assumed lognormal size distribution with a standard deviation of 2.0, based on typical measurements for a clean rural site similar to Cardington, UK (Boutle et al., 2018; Poku et al., 2019). To reduce computational expense and data storage, 1D diagnostics are output every 1min and 3D diagnostics are output every 5min.

SMOD was implemented into MONC based on Eq. (9), which involves adding the adiabatic and non-adiabatic contributions together for the combined cooling rate to them be used for aerosol activation. The adiabatic contribution for this equation was derived from the resolved positive updraft velocity in MONC. The non-adiabatic contribution to date only consists of the longwave heating tendency that is derived using SOCRATES. For reference, the implementation of these partitioned terms is done similarly to the aerosol activation scheme used by Vie et al. (2016). Although it has been acknowledged that there are other non-adiabatic contributions to changes in supersaturation such as turbulent mixing, further model development would be required to account for these changes. However, given that radiative cooling is the biggest source of saturation during fog

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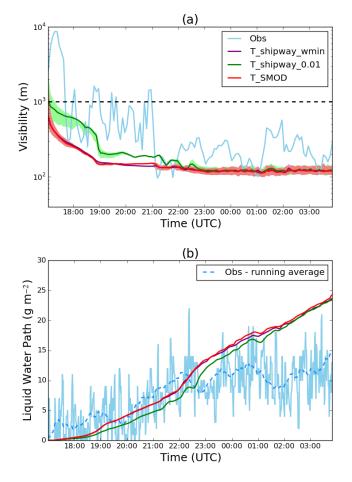
formation (Roach et al., 1976), these results should provide useful insight into the representation of aerosol activation during a stable fog case.

Table 4 displays all tests that will evaluate Shipway against SMOD. The first objective will be addressed by comparing test's T1 - T3, and outcome of this comparison will result in a clear understanding of how different activation representations could influence the cloud droplet number concentration (CDNC) evolution in IOP1. To date, the effective radius,  $r_e$ , has the option to

260 be fixed or for it to vary with a change in CDNC. In order to isolate the impact of aerosol activation on number concentration, this work used a fixed  $r_e$ . As the non-adiabatic contribution in the SMOD scheme is directly influenced by  $r_e$ , two tests were setup testing its sensitivity, and hence will motivate future work that involves deciding whether a coupled effective radius is required when using the SMOD scheme.







**Figure 3.** (a) - Time series of the near-surface mean visibility (*Vis*; m) at a 2 m altitude. Purple – T\_shipway\_wmin; green – T\_shipway\_0.01; red – T\_SMOD; light blue – observations. Minimum and maximum visibility are marked on the figure by the shaded area. (b) - Time series of the liquid water path (g m<sup>-2</sup>). Purple – T\_shipway\_wmin; green – T\_shipway\_0.01; red – T\_SMOD; light blue – observations; blue dashed - running average over observations (40 points).

# 5.2 Comparing simulations using the Shipway and SMOD scheme

Fog forms in tests T\_shipway\_wmin, T\_shipway\_0.01 and T\_SMOD at 1700 UTC, and all decrease to a mean near-surface visibility of 120 m by the end of the night (Fig. 3a). For all model simulations, visibility, *Vis*, is calculated using the formula of Gultepe et al. (2006):

$$Vis = \frac{1.002}{(LWC \times CDNC)^{0.6473}},$$
(12)





where LWC is the liquid water content and CDNC is the cloud droplet number concentration. Equation (12) was derived
based on observations of fog in mainland Europe and is valid over a range of CDNC from a few per cubic centimetre up to a few hundred per cubic centimetre (Gultepe et al., 2006).

Despite the differences in near-surface visibility, all three tests have the strongest rate of decrease between 1700 and 1845 UTC (Fig. 3a). During this time, the mean near-surface visibility in T\_shipway\_wmin, T\_shipway\_0.01 and T\_SMOD decrease to 208, 151 and 210 m respectively. However, T\_shipway\_0.01 has a noticeably higher near-surface visibility before 1830 UTC and best agrees with observations, before decreasing in visibility at the same rate as T\_shipway\_wmin. Upon first inspection,

- and best agrees with observations, before decreasing in visibility at the same rate as T\_shipway\_wmin. Upon first inspection, it appears that just lowering  $w_{min}$  is the solution to prevent the simulation overestimating aerosol activation in fog, as shown by T\_shipway\_0.01. However, the model's spin-up period lasted around an hour in these simulations, meaning that the CDNC calculation is likely being influenced by initial prescribed random perturbations, as opposed to turbulence driven by either wind shear or convective motion. Unfortunately, with the earliest radiosonde data available being at 1700 UTC, the features
- in T\_shipway\_0.01 could not be avoided (for context, the observations show a stable boundary layer (SBL) beginning to form around the time of model initialisation). Nonetheless, the lower threshold used in T\_shipway\_0.01 allows for the simulation to undergo a slower transition in near-surface visibility to a thicker fog. This suggests that the number of activated droplets calculated may account for inaccurate representation of what was observed during IOP1.
- Throughout the night, both T\_shipway\_wmin and T\_SMOD have a higher LWP than T\_shipway\_0.01 (Fig. 3b). Poku et al. (2019) showed that the LWP increases with aerosol concentration and hence CDNC, with Porson et al. (2011) demonstrating that the increase in CDNC resulted in a stronger downwelling longwave flux, signalling the presence of a deeper fog. T\_shipway\_wmin has the steepest decrease in the visibility during fog formation, suggesting that it has the highest initial CDNC. As these tests all have the same fixed effective radius (unlike studies such as Stolaki et al., 2015), the change in LWP is primarily due to the sedimentation rate, therefore indicating that T\_shipway\_wmin has the slowest sedimentation rate of all three tests as a result. A decreased sedimentation rate will lead to more liquid water being present in the fog layer. Con-
- sequently, this will lead to stronger cooling at the fog top (Poku et al., 2019), strengthening the feedback of increased liquid water production in the layer. This result provides further evidence of how the error in aerosol activation that utilises a  $w_{min}$  of 0.1 m s<sup>-1</sup> impacts the fog, especially during the initial formation stage. The LWP and mean near-surface visibility are not appreciably different between T\_shipway\_wmin and T\_SMOD (Fig. 3b), suggesting the CDNC is very similar between the
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two. T\_shipway\_0.01 has the highest near-surface visibility between 1700 and 2300 UTC by up to 340 m, in addition to the lowest LWP by up to 4 g m<sup>-2</sup>. Averaged time-height slices of CDNC and LWC were taken for all three tests, showing relatively small changes in the fog layer's CDNC between T\_shipway\_wmin and T\_SMOD (not shown).

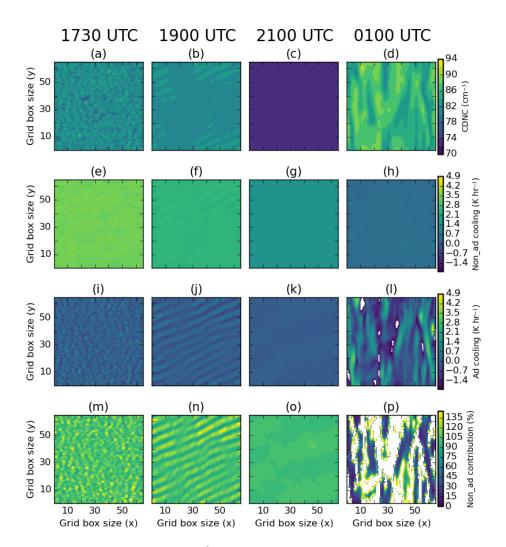
The similarity of T\_shipway\_wmin and T\_SMOD suggests that the combined cooling rate in T\_SMOD is similar to the cooling rate associated with  $w_{min}$  in T\_shipway\_wmin. To understand whether this is the case, a horizontal slice at z = 2 m

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of CDNC and the contributions to the relative cooling rates were taken at different times, as shown in Fig. 4. As 2 m is not at the model's lowest vertical grid box, there should not be any direct heating from the imposed surface conditions. At 1730 UTC the CDNC is about 83 cm<sup>-3</sup> (Fig. 4a), with more than 85% of the total cooling contribution being due to longwave heating (Fig. 4m). However, later in the night, the cooling contribution to longwave tendencies increases to around 90% within







**Figure 4.** Horizontal slices made at z = 2 m of CDNC (cm<sup>-3</sup>) in T\_SMOD at (a) - 1730, (b) - 1900, (c) - 2100 and (d) - 0100 UTC. (e) - (h): non-adiabatic cooling (K hr<sup>-1</sup>); (i) - (l): adiabatic cooling (K hr<sup>-1</sup>); (m) - (p): Non-adiabatic cooling contribution (%). Note: for the cooling contribution, white masks out regions where the contribution is greater than 140 (%).

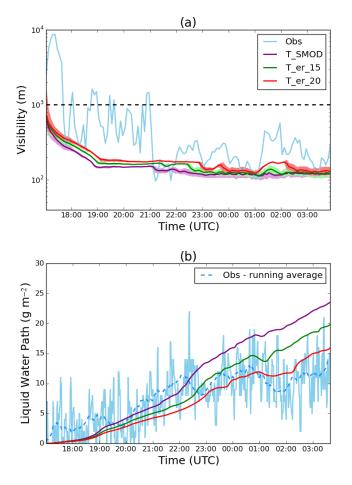
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the fog layer (Fig. 4o), due to a decrease in the adiabatic cooling tendency to about 0.5 K hr<sup>-1</sup> (Fig. 4k). Eventually, the fog develops, resulting in the longwave contribution to cooling decreasing to around 15% (Fig. 4p), with an increase in cooling due to vertical motion. The drop in near-surface longwave cooling occurs as the fog transitions to become optically thick, and so the longwave flux divergence becomes smaller near the surface, while the adiabatic effects become larger due to the onset of convection driven by radiative cooling at the fog top (Mazoyer et al., 2017).

The new SMOD activation scheme is more physically realistic, in that it is coupled to the radiative cooling in the fog, making 310 the scheme potentially more sensitive to the way that this cooling is calculated in the model. Therefore, the assumption of the







**Figure 5.** (a) - Time series of the mean visibility (m) at a 2 m altitude. Purple –  $T_SMOD$ ; green –  $T_er_{15}$ ; red –  $T_er_{20}$ ; light blue – observations. The minimum and maximum visibility are marked on the figure by the shaded area. (b) - Time series of the liquid water path (g m<sup>-2</sup>). Purple –  $T_SMOD$ ; green –  $T_er_{15}$ ; red –  $T_er_{20}$ ; light blue – observations; blue dashed - running average over observations (40 points).

effective radius being fixed for these simulations may not be suitable to accurately simulate the radiative impact of the fog layer. The following section will present some sensitivity tests to assess the impact of this assumption on fog development.

#### 5.2.1 Sensitivity of SMOD to the effective radius

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Hill et al. (2008) showed the impact of using a fixed effective radius in simulations of stratocumulus clouds, with studies such as Bierwirth et al. (2013) and Young et al. (2016) showing how the observed effective radius in arctic clouds can change (between 5 to 15  $\mu$ m) in relation to the cloud's LWC and CDNC. As this variability may be key to modelling radiation fog using the SMOD activation scheme, two tests were conducted that investigated the fog's sensitivity to a change in  $r_e$ . When increasing  $r_e$  from 10 to 20  $\mu$ m, the near-surface visibility increases by up to 40%, and decreases the LWP by up to 42% (Fig.



scheme.



- 5). However, whilst increasing r<sub>e</sub> results in the LWP agreeing better with observations, neither test captures the changes in nearsurface visibility during fog formation. Bergot et al. (2015) showed that the fog's spatial variability during initial formation had been impacted when their simulations included a heterogeneous terrain. However, Cardington is relatively homogeneous and hence potentially highlights a further discrepancy in the aerosol representation in these simulations. As an example, in-cloud removal (nucleation scavenging) has not been accounted in this work, which has been shown to impact the spatial variability and development of mixed-phased clouds (Miltenberger et al., 2018). Nonetheless, the decrease in liquid water indicates that
  the fog's development in optical thickness has slowed down with an increase in r<sub>e</sub> and hence the importance of coupling both CASIM and SOCRATES together. Going forward, future studies should use a coupled r<sub>e</sub> as this should, in theory, lead to an improvement in CDNC as the better representation in aerosol activation in the SMOD scheme will feed into the radiation
- Figure 6 shows time-height slices of CDNC and LWC for T\_SMOD, T\_er\_15 and T\_er\_20. Before 2145 UTC, the CDNC in T\_SMOD is strongest towards the top at around 80 cm<sup>-3</sup>. After this time, it increases throughout the fog layer to a range between 86 and 94 cm<sup>-3</sup> (Fig. 6a). Coinciding with this is an increase in LWC from 0.2 to 0.24 g kg<sup>-1</sup>, suggesting the time at which the fog began to develop and grow in optical thickness. However, an increase in  $r_e$  results in delayed onset of the growth in optical thickness to 2300 and 0030 UTC for T\_er\_15 and T\_er\_20 respectively. The CDNC on average decreases for both T\_er\_15 and T\_er\_20 across the whole fog layer, with a noticeable rise at around 2300 UTC for T\_er\_15. Although
- this pattern is the same for T\_er\_20, there are periods where there are visible decreases in CDNC, e.g. between 0130 and 0230 UTC (Figs. 6c and e respectively). A combination of both the CDNC and LWC decreasing results in a slower transition in the fog layer, which is shown in the downwelling longwave at 2 m (Fig.7). The downwelling longwave decreases by a maximum of 20 W m<sup>-2</sup> between T\_SMOD and T\_er\_20, with T\_er\_20 undergoing the slowest rate of increase. There are differences between the observed and simulated downwelling in all three simulations, however, before 2200 UTC, T\_er\_20 decreases this
  difference to a maximum of 10 W m<sup>-2</sup>.

SOCRATES calculates the longwave radiative fluxes by the cloud's optical depth,  $\tau$ , (Edwards and Slingo, 1996):

$$\tau = k^{(e)} \Delta m,\tag{13}$$

such that  $\Delta m$  is the change in mass for a given spectral band and  $k^{(e)}$  is the mass extinction coefficient, which is defined as:

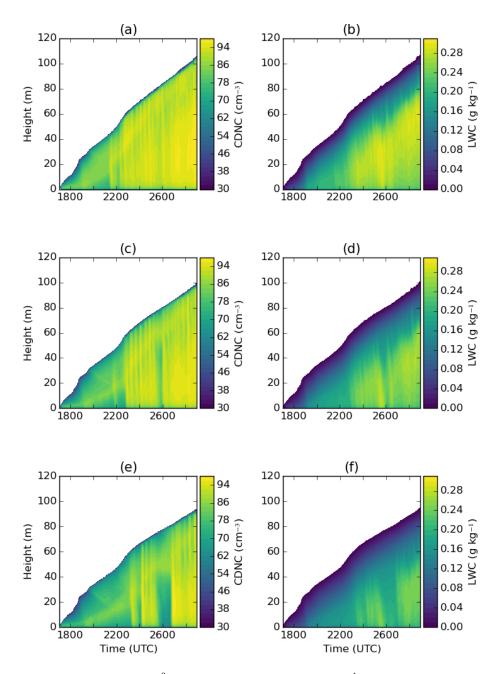
$$k^{(e)} = L\left(a + \frac{b}{r_e}\right). \tag{14}$$

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For SMOD scheme, both the CDNC and LWC is sensitive to  $r_e$ , given Eq's (13) and (14). This leads to a more physical representation of aerosol activation that should be considered when simulating cases of fog. These results demonstrate the importance of an accurate effective radius and the reasons for using a coupled  $r_e$ , given its impact on the fog evolution.







**Figure 6.** Plots of (a), (c), (e) - mean CDNC (cm<sup>-3</sup>); and (b), (d), (f) - mean LWC (g kg<sup>-1</sup>). (a), (b): T\_SMOD; (c), (d): T\_er\_15; (e), (f); T\_er\_20.





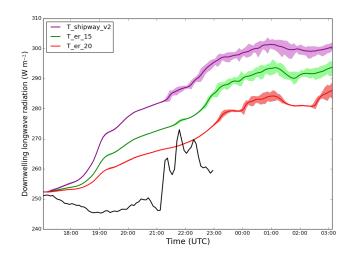


Figure 7. Time series of the downwelling longwave radiation (W m<sup>-2</sup>) at a 2 m altitude. Purple – T SMOD; green – T er 15; red – T er 20; black - observations. The minimum and maximum downwelling longwave radiation are marked on the figure by the shaded area.

#### 6 Discussion

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This work aimed to investigate how the representation of aerosol activation influenced simulations of nocturnal radiation fog. There was a strong focus on critiquing the assumptions used in several current aerosol activation schemes, which are usually designed for clouds where cooling is driven by adiabatic ascent. This work addressed two research questions.

#### What are the potential differences in aerosol activation between the Shipway and SMOD scheme? 6.1

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The assumptions used in the Shipway (2015) scheme to date, i.e. the use of just an updraft velocity with a minimum threshold  $w_{min}$ , were tested against the SMOD scheme in an offline box model. The sensitivity of Shipway to  $w_{min}$  was first tested. For accumulation and coarse mode aerosol, there was a monotonical decrease in  $N_{act}$  as  $w_{min}$  approached 0 m s<sup>-1</sup>. These tests also highlighted the stepwise function present in Aitken mode aerosol in the low updraft velocity regime. Given the fraction of Aitken aerosols activated, our results may suggest that Aitken mode aerosol can be ignored when modelling activation in fog based on the range of environmental aerosol size distributions, as the required environmental supersaturation for impact is substantially higher than supersaturation's seen in reality. However, the stepwise behaviour was caused by the poor resolution

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in the look-up table that calculated  $s_{max}$  in this regime, therefore demonstrating why just removing  $w_{min}$  with no alternative cooling source may not be an appropriate solution when simulating aerosol activation in fog.

For accumulation mode aerosol, there were noticeable percentage differences between the actual cooling rate and the use of a  $w_{min}$  equal to 0.1 m s<sup>-1</sup> (as typically used in clouds) by up to 70%, as the environment becomes more polluted. In reality, for a given liquid water path, increasing the aerosol concentration will result in a larger concentration of smaller droplets, increasing

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an aerosol activation scheme that assumes just adiabatic ascent may potentially underestimate  $N_{act}$  by 20% in an environment driven by non-adiabatic cooling processes (i.e. fog formation). Furthermore, the associated percentage difference in the choice of  $w_{min}$  would be the same should SMOD be run with just an adiabatic cooling source, given there were no differences in C\_adiabatic. Consequently, both of these results show that the aerosol indirect effects may not be properly accounted for in simulations of fog when using a traditional aerosol activation scheme.

# 6.2 How do the differences in aerosol activation representation impact on the fog evolution in a large eddy simulation?

The Shipway (2015) aerosol activation scheme was used to test the impact w<sub>min</sub> could have on simulating fog in MONC using
only accumulation mode aerosol. It was shown that a reduction in w<sub>min</sub> lowered the initial CDNC during formation, resulting in the fog undergoing a slower transition to a well-mixed layer. Reducing w<sub>min</sub> to 0.01 m s<sup>-1</sup> displayed some unusual model behaviours during fog formation, which is most likely driven by the model's spin-up period, rather than shear or convection motion. However, the only way to confirm this is to initialise the model earlier, which is not possible with the given radiosonde data from IOP1. Upon initial analysis, there was not an improved performance using the SMOD scheme against the Shipway
scheme with an applied w<sub>min</sub> of 0.1 m s<sup>-1</sup>. However, it was shown that the cause of this result was due to r<sub>e</sub> not reflecting the change in CDNC. When r<sub>e</sub> was increased from 10 to 20 µm, the result was a slower transition to a well-mixed layer, which was more in line with observations of IOP1. This highlighted the importance of the effective radius and provides further motivation

#### 7 Conclusions

to couple the effective radius with a change in CDNC.

385 This work has demonstrated the unsuitability of using an aerosol activation scheme designed for convective clouds in fog simulations. This complements previous studies such as Schwenkel and Maronga (2019), who have shown how the choice in aerosol activation scheme impacts the fog evolution through a change in the CDNC. However, by designing a scheme that is also dependent on a non-adiabatic cooling source, the results in this paper have demonstrated the importance of aerosol activation representation when trying to accurately capture the fog's transition to a well-mixed layer. Previous studies (e.g. Mazoyer et al., 2017) have investigated the fog evolution while accounting for a radiative cooling tendency in the change in supersaturation. However, this is the first study that has investigated the change in cooling due to non-adiabatic processes in a

clean aerosol regime, making it beneficial in aiding the understanding the impact of aerosol-fog interactions during nocturnal radiation fog.

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Work to develop the SMOD scheme is still ongoing and will include accounting for additional non-adiabatic processes such as turbulent or subgrid mixing. Completing this work could make it easier to incorporate the SMOD scheme into a model such as an NWP model. This is because the non-adiabatic process would be a change in temperature within the grid box, rather than requiring an explicit additional term. It was shown that SMOD is sensitive to SOCRATES with regards to the fixed effective radius, especially when considering the decrease in CDNC. Therefore, future work should run the new scheme with the





interactive coupling of  $r_e$  to CASIM, should the option be available. As noted in Section 5.2, SMOD was unable to capture the 400 fog's spatial variability during initial formation. However, Miltenberger et al. (2018) showed that by including in-cloud aerosol removal, the source of aerosol began depleting through nucleation, resulting in a more open-cell cloud structure and changes in the cloud dynamics. To date, there are no studies that have investigated the use of a nucleation scavenging parameterisation in fog in the context of bulk microphysical parameterisations, therefore suggesting a future piece of work within the subject of aerosol-fog interactions. For this work, there was a lack of simultaneous measurements of observed aerosol and cloud droplets. Given the  $w_{min}$ 's sensitivity to aerosol concentration, having these measurements in future studies will both help constrain the 405 model and highlight any further discrepancies in aerosol activation representation in fog.

As a wider implication, aerosol-cloud interactions are a big source of uncertainty when modelling atmospheric processes, both within forecasting (NWP) and climate (GCM) models and the choice of aerosol activation can influence how big this uncertainty is. Typically, the resolution of NWP and GCM model simulations is very coarse compared to LES, meaning that any

- present updraft velocities are usually subgrid and hence cannot be resolved. To represent aerosol activation on a subgrid level, 410 the vertical velocity is either in the form a characteristic vertical velocity (e.g. Ghan et al., 1997) or a PDF function based on the vertical velocity (e.g. West et al., 2014). More recently, Malavelle et al. (2014), for example, discussed methods to account for subgrid velocities used in aerosol activation in convection-permitting models. These methods utilise a  $w_{min}$ , however, this should be lowered systematically for future work regarding aerosol activation in fog. Although gaining measurements of
- 415 vertical velocity PDFs could be difficult in fog, the results presented in this paper could provide a useful framework to estimate what the variation in vertical velocities in fog could be, therefore providing a good estimation of the types of distributions that best match these velocities. Finally, to have a full cooling term applied in an NWP model, it is important to know how these vertical velocities correlate with the changes in non-adiabatic cooling.

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This paper has shown the need to differentiate between optically thin fog  $(w_{min} \approx 0 \text{ m s}^{-1})$  and optically thick fog, where sub-grid vertical velocities can be important. The method being presented in this work is computationally efficient and provided an additional level of flexibility consider different cooling sources in cases where updrafts are not the dominant cooling source. Given this flexibility, this will allow the SMOD scheme to undergo further testing in both high resolution and NWP models. Whilst this has been tested in only the Shipway and SMOD activation schemes, the framework for a change in supersaturation is generic enough for it to be applied to other activation schemes too.

#### Appendix A: Mathematical formulation for the change in supersaturation 425

Pruppacher and Klett (2010) defined supersaturation in terms of the water vapour mixing ratio,  $q_v$ , as:

$$q_v = (1+s)\left(\frac{\epsilon e_s}{p}\right),\tag{A1}$$





where p is the pressure of dry air, s is the environment's supersaturation,  $e_s$  is the saturation vapour pressure and  $\epsilon = \frac{R_a}{R_v} = 0.622$ ; the ratio of the gas constant of dry air to water vapour. Differentiating Eq. (A1) with respect to time, and rearranging for 430 the change in supersaturation gives:

$$\frac{ds}{dt} = \left(\frac{p}{\epsilon e_s}\right) \frac{dq_v}{dt} - (1+s) \left[\frac{1}{e_s} \frac{de_s}{dt} - \frac{1}{p} \frac{dp}{dt}\right].$$
(A2)

The Clausius-Clapeyron equation is defined as:

$$\frac{de_s}{dT} = \frac{Le_s}{R_v T^2}.$$
(A3)

Applying the chain rule gives:

$$\frac{de_s}{dt} = \frac{Le_s}{R_v T^2} \left. \frac{dT}{dt} \right|_{tot}.$$

$$= \frac{Le_s}{R_v T^2} \left[ \left. \frac{dT}{dt} \right|_{ad} + \left. \frac{dT}{dt} \right|_{non\_ad} + \left. \frac{dT}{dt} \right|_{lat} \right].$$
(A4)

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 $\left. \frac{dT}{dt} \right|_{ad}$  is the change in temperature due to dry adiabatic processes, such that:

$$\left. \frac{dT}{dt} \right|_{ad} \equiv -\Gamma \frac{dz}{dt} = -\Gamma w; \tag{A5}$$

where  $\Gamma = \frac{g}{c_p}$ , the dry adiabatic lapse rate and w is the updraft velocity.  $\frac{dT}{dt}\Big|_{non\_ad}$  is the change in temperature due to non-adiabatic processes (e.g. radiative cooling, turbulent mixing), that excludes latent heat release, and  $\frac{dT}{dt}\Big|_{lat}$  is the change in temperature due to latent heat release i.e. condensation/evaporation. For adiabatic expansion (lifting), there are corresponding pressure and temperature changes (that satisfy the first law of thermodynamics). However, for isobaric non-adiabatic heating processes, there is no change in p but there is a change in T that modifies Eq. (A4). Therefore, for the change in p, by:

- 1. assuming hydrostatic equilibrium, where  $\frac{dp}{dz} = -\rho g$ ;
- 2. using the equation for the ideal gas law, where  $p = \rho R_a T$ ;

$$\frac{dp}{dt} = \frac{dp}{dz}\frac{dz}{dt}$$

$$= -\frac{pg}{R_aT}w.$$
(A6)





The change in temperature due to latent heat release is proportional to the change in vapour mixing ratio, such that:

$$\left. \frac{dT}{dt} \right|_{lat} = -\frac{L}{c_p} \left. \frac{dq_v}{dt} \right|_{cond} = \frac{L}{c_p} \frac{dq_l}{dt}.$$
(A7)

Inserting Eq.'s (A4), (A6) and (A7) into Eq. (A2), and assuming  $1 + s \approx 1$  gives:

$$\frac{ds}{dt} = \left(\frac{Lg}{R_v T^2 c_p} - \frac{g}{R_a T}\right) w - \frac{L}{R_v T^2} \left. \frac{dT}{dt} \right|_{non\_ad} - \left(\frac{p}{\epsilon e_s} + \frac{L^2}{R_v c_p T^2}\right) \frac{dq_l}{dt}.$$
(A8)

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Eq. (A8) can be used to simulate aerosol activation in both fog and convective cloud regimes, highlighting the flexibility of the SMOD scheme. As an objective for this work is to understand how using an adiabatic framework to represent aerosol activation in an non-adiabatic environment (e.g. fog) may impact  $N_{act}$ , w in Eq.(A8) will be rewritten as  $\frac{dT}{dt}\Big|_{ad}$ , such that:

$$\frac{ds}{dt} = \psi_1 \left. \frac{dT}{dt} \right|_{ad} + \psi_2 \left. \frac{dT}{dt} \right|_{non\_ad} - \gamma \frac{dq_l}{dt},\tag{A9}$$

where:

$$\psi_1 = \frac{c_p}{R_a T} - \frac{L}{R_v T^2},$$
  

$$\psi_2 = -\frac{L}{R_v T^2},$$
(A10)

$$\gamma = \frac{p}{\epsilon e_s} + \frac{L^2}{R_v c_p T^2}.$$

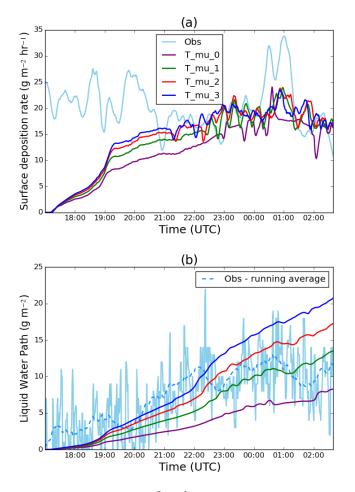
#### Appendix B: Fitting modelled LWP and cloud drop-size distribution to observations - shape parameter

All tests in this paper assume a fixed r<sub>e</sub>, implying that the change in liquid water is controlled by the sedimentation rate, as discussed in Poku et al. (2019). The sedimentation rate is controlled by the cloud drop-size distribution (see Eq. 11), with its skewness being determined by the shape parameter, µ<sub>d</sub>. Mazoyer et al. (2017) adapted the default shape parameter to best fit
the modelled cloud drop-size distribution to observations. For this work, a similar approach would have ideally been chosen to find a suitable µ<sub>d</sub> to capture the changes in liquid water. However, the instrumentation only began to record spectra during IOP1 4 hours into the observed fog case, and by this time, the layer had already begun to grow in optical thickness. To account for this limitation, the LWP was used to decide on a suitable choice of µ<sub>d</sub>. These simulations were then compared to the available IOP1 cloud spectra data, to validate and hence choose a µ<sub>d</sub> going forward. For this fitting, µ<sub>d</sub> ranged from 0 to 3, with these

tests denoted as T\_mu\_0, T\_mu\_1, T\_mu\_2 and T\_mu\_3 respectively. Although simulations were conducted to increase the shape parameter up to a value of  $\mu_d = 7$  (similar to Mazoyer et al., 2017), the LWP for tests where  $\mu_d > 4$  were higher than the observed mean LWP and hence these results will not be shown.







**Figure B1.** a) - Time series of the surface deposition rate (g m<sup>-2</sup> hr<sup>-1</sup>). Purple – T\_mu\_0; green – T\_mu\_0; red – T\_mu\_1; dark blue – T\_mu\_3; light blue – observations. (b) Time series of the liquid water path (g m<sup>-2</sup>). Purple – T\_control; green – T\_mu\_1; red – T\_mu\_2; dark blue – T\_mu\_3; light blue – observations; blue dashed – running average over observations (40 points).

Both the surface deposition rate and LWP increase with μ<sub>d</sub> (Figs. B1a-b), with this increase being more inline with observations. T\_mu\_2 and T\_mu\_3 both show improved LWP when compared to observations, especially before 2200 UTC. As
there are potentially multiple options in choosing μ<sub>d</sub>, the modelled cloud drop-size distribution was compared to observations, as shown in Fig. B2. Prior to 2200 UTC, all shape parameter tests began with an abundance of small droplets, signalling the formation of fog, and the density of small droplets being greatest in T\_mu\_0 (not shown). During fog evolution, all tests begin moving right in terms of skewness with the exception for T\_mu\_0 (due to T\_mu\_0 being logarithmic). For the tests where μ<sub>d</sub> > 0, increasing the shape parameter results in the peak of the distribution decreasing and moving to the right, for all tested
time frames. For example, increasing the shape parameter to μ<sub>d</sub> = 3 results in a peak droplet diameter of 11 μm. These results suggest a limitation in the default choice in μ<sub>d</sub> = 0 and hence the assumption of a logarithmic distribution for fog development





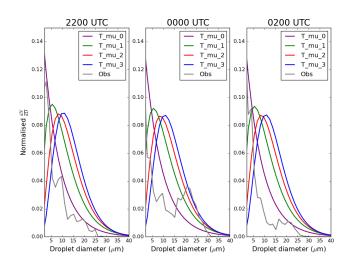


Figure B2. Cloud drop-size distributions for shape parameter simulations at 1710, 1800 and 2200 UTC at 2 m. T\_mu\_0; green – T\_mu\_0; red - T\_mu\_1; dark blue - T\_mu\_3; grey - observations.

during IOP1. By increasing the shape parameter during the fog evolution, fewer large droplets will sediment out of the fog layer, therefore explaining the presence of bigger droplets still within the system in these tests (for example, tests T mu 1 - 3). At 2200 UTC, the observed cloud droplet spectrum mostly follows a logarithmic distribution, however, later in the night, it evolves more into a bi-modal distribution (as seen in Price, 2011). For example, at 0000 UTC, the peaks occur at 8 and 22  $\mu$ m. 480 Of the shape parameter tests, the observations are in best agreement with T\_mu\_3 for droplet size diameters between 22 to 27  $\mu$ m at 0000 UTC, however, this fit does not take into account the peak shown within the smaller droplets. In an ideal situation, a modelled cloud drop-size distribution would take into account the bi-modal nature shown within the distribution. In reality, it is likely that these smaller droplets have not activated, but instead are a source of hydrated aerosol which can contribute up to 68% of the total light scattered, and hence result in the reduction in visibility within the fog (Hammer et al., 2014). However, although these smaller droplets may potentially change the microphysical structure of the fog, the introduction of a bi-modal distribution (or a varying shape parameter) within CASIM may increase model computational expense, with no appreciable changes in the fog evolution. Given these results, a shape parameter of  $\mu_d = 3$  will be used in this paper.

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Author contributions. CP undertook the research, carried out the simulations and analysis, and led the writing of the paper. AR, AB, AH contributed to the research design, interpretation of the results and the writing of the final paper. AH provided technical support with MONC. 490 BS provided the box model and supported its use.

Code availability. The MONC, CASIM, offline box model and SOCRATES codes are maintained by the Met Office and accessible via the Met Office Science Repository Service (https://code.metoffice.gov.uk/) (last access: 28th August 2020). The MONC branch is available





at https://code.metoffice.gov.uk/svn/monc/main/branches/dev/craigpoku/r6496\_MONC\_poku\_activation (last access: 28th August 2020).
The CASIM branch is available at https://code.metoffice.gov.uk/svn/monc/casim/branches/dev/craigpoku/vn0.3.2\_poku\_scheme\_debugged (last access: 28th August 2020). The offline box model branch is available at https://code.metoffice.gov.uk/svn/monc/casim/branches/dev/craigpoku/r287\_Offline\_Activation (last access: 28th August 2020). For further details, please contact Craig Poku (c.y.a.poku@leeds.ac.uk) or Adrian Hill (adrian.hill@metoffice.gov.uk)

Competing interests. The authors declare that there are no competing interests.

500 *Acknowledgements*. The authors would like to thank the Met Office Research Unit in Cardington (UK) for providing and processing the observational dataset used throughout this study. CP was supported by a Natural Environment Research Council (NERC) Industrial CASE award with the Met Office (grant number NE/M009955/1). This work used Monsoon2, a collaborative High Performance Computing facility funded by the Met Office and the Natural Environment Research Council.





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