

# Dynamic Processes Dominating Ozone Variability Induced by Synoptic Weather Patterns in Warm Seasons of 2014–2018 over the Yangtze River Delta Region, China

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**Abstract:** Ozone (O<sub>3</sub>) pollution is of great concern in the Yangtze River Delta (YRD) region of China, and the regional O<sub>3</sub> pollution is closely associated with dominant weather systems. With a focus on the warm seasons (April–September) from 2014 to 2018, we quantitatively analyze the characteristics of O<sub>3</sub> variations over the YRD, the impacts of large-scale and synoptic-scale circulations on the O<sub>3</sub> variations and the associated meteorological controlling factors, based on observed ground-level O<sub>3</sub> and meteorological data. Our analysis suggests an increasing trend of the regional mean O<sub>3</sub> concentration in the YRD at 1.81 ppb per year over 2014–2018. Spatially, the empirical orthogonal function (EOF) analysis suggests the dominant mode accounting for 65.70% variation in O<sub>3</sub>, implying that an increase in O<sub>3</sub> is the dominant tendency in the entire YRD. Meteorology is estimated to increase the regional mean O<sub>3</sub> concentration by 3.032–81 ppb at most from 2014 to 2018. Especially, compared to solar radiation (SR) and low cloud cover (LCC) of relatively large impacting on O<sub>3</sub> variation, relative humidity (RH) plays the most important role in modulating the inter-annual O<sub>3</sub> variation. Relative humidity is found to be the most influential meteorological factor impacting O<sub>3</sub> concentration. As the atmospheric circulations can affect local

meteorological factors and O<sub>3</sub> levels, we identify five dominant synoptic weather patterns (SWPs) in the warm seasons in the YRD using the t-mode principal component analysis (PTT) classification. The typical weather systems of SWPs include the western Pacific Subtropical High (WPSH) under SWP1, a continental high under SWP2, an extratropical cyclone under SWP3, a southern low pressure and WPSH under SWP4 and the north China anticyclone under SWP5. The annual variations of the all five SWPs are all favorable to the increase in O<sub>3</sub> concentrations over 2014–2018. However, crucial meteorological factors leading to increases in increasing of O<sub>3</sub> concentrations are different under different each SWP. These factors are identified as including significant decreases in decreasing relative humidity RH and increases in strengthening SR solar radiation under SWPs 1, SWP4 and SWP5, significant decreases in RH, increases in strengthening SR and increasing air temperature (T<sub>2</sub>) under SWP2, and significant decreases in RH under SWP3. Under SWPs 1, 4 and 5, significant decreases in decreasing RH and increases in strengthening SR are predominantly caused by the WPSH weakening and northward extending under SWP1, the southern low pressure weakening and the WPSH weakening under SWP4, and the north China anticyclone weakening under SWP5. Under SWP2, significant decreases in decreasing RH, increases in strengthening SR and increasing T<sub>2</sub> are mainly chiefly produced by a continental high weakening. Under SWP3, significantly decreasing RH is mainly induced by an extratropical cyclone strengthening. These changes in atmospheric circulations prevent the water vapor in the southern and northern sea from being transported to the YRD and result in RH significantly decreasing under each SWP. In addition, strengthened descending motions (behind the strengthening trough and in front of the strengthening ridge) lead to decreases in LCC and significantly strengthening SR under SWP1, 2, 4 and 5. The significantly increases in T<sub>2</sub> would be due to weakening cold flow introduced by a weakening continental high. Moreover, Most importantly, the these changes in the SWP intensity can make large variations in causing significant meteorological factors and contribute more variation to take more contributions more to the O<sub>3</sub> inter-annual variation than the variation in the SWP frequency change. The SWP intensity change includes the weakening and northward extending of the western Pacific subtropical high (WPSH) under SWP1, the weakening of the continental high under SWP2, an extratropical cyclone strengthening under SWP3, the southern low pressure

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weakening and WPSH weakening under SWP4, and the north China anticyclone weakening under SWP5. All these changes prevent the water vapor in the southern sea from being transported to the YRD, and increase air temperature in the YRD. In addition, the descending motions strengthen in the YRD located behind the trough and in front of the ridge due to the strengthening of the ridge and trough in the westerlies. Then, the strengthened descending motion leads to less cloud cover and strong solar radiation, which are favorable to O<sub>3</sub> formation and accumulation. Finally, we reconstruct an EOF mode 1 time series that is shows highly correlated with the original O<sub>3</sub> time series, and the reconstructed time series performs well in defining the change in SWP intensity according to the unique feature under each of the SWPs.

## 1. Introduction

As an air pollutant, surface ozone (O<sub>3</sub>) is harmful to human health and vegetation growth, such as damaging human lungs (Jerrett et al. 2009; Day et al. 2017) and destroying forest and agricultural crops (Yue et al. 2017). ~~In recent years, after the reducing the emission controls~~ following “Thirteenth Five-Year Plan” Comprehensive Work Plan for Energy Saving and Emission Reduction in China since 2016, concentrations of many pollutants have decreased over the past few years in China, but not for O<sub>3</sub>. Furthermore, heavy O<sub>3</sub> pollution episodess occur more frequently and more severely in China than those in Japan, South Korea, Europe and the United States, and the European countries. (Lu et al. 2018). Li et al. (2018) proposed that the rapid decrease of fine particulate matter (PM) in China is a reason for such O<sub>3</sub> increase asby slowing down the aerosol sinks of hydroperoxy radicals are reduced. Yet, the contribution of meteorological influences on factors to the O<sub>3</sub> increase areis unclear and require needs further investigations.

Surface O<sub>3</sub> is mainly formed through complex and nonlinear photochemical reactions of volatile organic compounds (VOCs) and nitrogen oxides (NO<sub>x</sub>) exposed to the sunlight. ~~Ozone formation is sensitive to concentrations of NO<sub>x</sub> and VOCs, i.e., O<sub>3</sub> formation can be NO<sub>x</sub> limited or VOC limited regimes depending on concentrations of NO<sub>x</sub> and VOCs~~ (Xie et al. 2014; Jin and Holloway 2015). Meteorology ~~canould also~~ affect O<sub>3</sub> levels through modulation of photochemical reactions, advection, convection and turbulent transport, as well as dry and wet depositions (Liu et al. 2013; Xie et al., 2016a, 2016b). Synoptic weather patterns (SWPs) and the associated meteorological conditions can impact long-term and daily O<sub>3</sub> variations (Hegarty et al., 2007; Santurtún et al., 2015;

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Gao et al., 2020; Shu et al., 2020). Understanding the mechanisms of meteorological influences on O<sub>3</sub> variations and quantifying such influences would help ~~provide effective emission-controlling plans for~~ to understand the formation of O<sub>3</sub> pollution.

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Previous studies have revealed that ~~S~~evere O<sub>3</sub> pollution episodes are usually accompanied with ~~specific local meteorological conditions, such as~~ high temperature, strong solar radiation, drying condition and stagnant weather ~~etc.~~ (Jacob and Winner 2009; Doherty et al. 2013; Shu et al. 2016; Pu et al. 2017; Zhang et al. 2018), ~~and~~ Moreover, these local meteorological conditions are often related to specific synoptic-scale and large-scale atmospheric circulation systems (Fiore et al. 2003; Leibensperger et al. 2008; Barnes and Fiore. 2013; Shu et al. 2016; Wang et al. 2016; Zhao and Wang. 2017 文献). For example, O<sub>3</sub> pollution in the eastern United States is notably influenced by the cyclone frequency (Leibensperger et al. 2008), latitude of the polar jet over eastern North America (Barnes and Fiore. 2013) and the behavior of the quasi-permanent Bermuda High (Fiore et al. 2003; Wang et al. 2016). In China, Yang et al. (2014) illustrated that the changes in meteorological ~~variable~~parameters, associated with the East Asian summer monsoon, lead to 2–5 % inter-annual variations in surface O<sub>3</sub> concentrations over the central-eastern China. Zhao and Wang et al. (2017) found that a significantly strong western Pacific subtropical high (WPSH) could result in higher relative humidity (RH), more clouds, more rainfall, and less ultraviolet radiation, finally leading to less O<sub>3</sub> formation. Using model simulation, Shu et al. (2016) investigated the synergistical impact of ~~the the~~ WPSH and typhoons on O<sub>3</sub> ~~level~~pollution in Yangtze River Delta region.

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As known, a region is influenced by different weather systems. Weather classification, as a way to distinguish the different large-scale and synoptic-scale atmospheric circulation systems, is widely used in exploring connections between weather patterns and O<sub>3</sub> levels (Han et al. 2020; Gao et al. 2020). Gao et al. (2020) discussed influences of six SWPs on O<sub>3</sub> levels in the YRD, and revealed differences in O<sub>3</sub> pollution levels due to ~~the~~ minor changes in atmospheric circulations. However, spatially, it is uncertain that how ~~the~~ changes s in the SWPs could lead to O<sub>3</sub> pollution in detail, especially in the YRD. For the northern China and the PRD region, Liu et al. (2019) quantified the impact of synoptic circulation patterns on O<sub>3</sub> variability in the northern China from April to October during 2013–2017. Yang et al. (2019) quantitatively assessed the impacts of meteorological factors and the precursor emissions on the long-term trend of ambient O<sub>3</sub> over the PRD region. ~~However~~Yet,

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whether variations in SWPs can lead to O<sub>3</sub> increases in recent years over the YRD has not been sufficiently addressed.

Due to the recent increases in ever-growing O<sub>3</sub> level over in the YRD (Tong et al. 2017; Gao et al. 2017; Xie et al. 2017), ~~the~~ studies on ~~characterist~~characterizing ~~ies of the~~ O<sub>3</sub> variation in the region and understanding thethe underlying mechanisms for the variation are urgently required. To this end, ~~here the~~ temporal and spatial variations in surface O<sub>3</sub> including variations in space and time, as well as 5-year trend over, in the YRD areis quantitatively investigated, and the mechanisms of meteorological influences on the O<sub>3</sub> variations are analyzed. Especially, the characteristics of the corresponding SWPs are discussed in detailed. The remainder of this paper is organized as follows. Data and methods are introduced in section 2. The inter-annual variation and 5-year trend and spatial variation characteristics of surface ozone in the YRD are illustrated in section 3.1. The impact of meteorological factors on the O<sub>3</sub> variation is discussed in section 3.2. The main SWPs and the effects of their changes on the O<sub>3</sub> variation are described in section 3.3. Section 3.4 discusses the contributions of the changes in SWP intensity and frequency ~~change~~ to the inter-annual variation and trend of O<sub>3</sub>. Finally, the conclusion and discussions are shown in section 4.

## 2. Data and methods

### 2.1. O<sub>3</sub> and meteorological datasets

The maximum daily 8-hours average O<sub>3</sub> data are available from the National Environmental Monitoring Center of China, which were acquired from the air quality real-time publishing platform (<http://106.37.208.233:20035>). The hourly observation data of meteorological factors including air temperature (T), RH ~~and~~, wind speed (WS) ~~and sunshine duration (SD)~~ in the warm seasons from April to September over 2014–2018 were acquired from the National Meteorological Center of China Meteorological Administration (<http://eng.nmc.cn>). 26 cities are selected as typical cities representative of the YRD according to the “Urban agglomeration on Yangtze River Delta” approved by China’s State Council in 2016. There are total 172 stations in 26 cities. In order to better characterize the O<sub>3</sub> pollution levels of each city, the hourly O<sub>3</sub> concentration of each city is calculated as the average value of the O<sub>3</sub> concentrations measured in several of the national monitoring sites in that city. In this paper, the term “O<sub>3</sub> concentration” refers to the maximum daily 8-hours average O<sub>3</sub> concentration unless stated otherwise.

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## 2.2. Linear trend analyses

~~In order to~~ characterize the O<sub>3</sub> variation in the warm seasons during 2014–2018 over the YRD, a linear trend method based on monthly anomalies is used (see Equation 1), which has been widely used to calculate the trends of time series with seasonal cycles and autocorrelation. ~~The O<sub>3</sub> monthly anomalies are more precise than O<sub>3</sub> monthly means because the impact of of the reducing impact of missing data is reduced. In addition, hourly O<sub>3</sub> data and fewer yearly O<sub>3</sub> data are inappropriate to use because of due to the containing too many temporal variation signals and easily overfitting.~~ Using this method, Cooper et al. (2020) and Lu et al. (2020) quantified the O<sub>3</sub> trend in 27 globally distributed remote locations and the whole China. ~~In addition,~~ anomalies of monthly average O<sub>3</sub> concentration are defined as the difference between the individual monthly mean and the monthly mean of 2014–2018. The parametric linear trend is calculated by using the generalized least-squares method with auto-regression.

$$y_t = b + kt + \alpha \cos\left(\frac{2\pi M}{6}\right) + \beta \sin\left(\frac{2\pi M}{6}\right) + R_t \quad (1),$$

where  $y_t$  represents the monthly anomaly,  $t$  is the monthly index from April to September during 2014–2018,  $b$  denotes the intercept,  $k$  is the linear trend,  $\alpha$  and  $\beta$  are coefficients for a 6-month harmonic series ( $M$  ranges from 1 to 6) which is used to account for potentially remaining seasonal signals, and  $R_t$  represents a normal random error series. In this study, linear trend  $k$  is regarded as the inter-annual O<sub>3</sub> variation trend and is discussed in section 3.1.1.

## 2.3. Meteorological adjustment

The meteorological adjustment, a statistical method, is applied to quantify the impact of meteorology on O<sub>3</sub> variation through removing such impact in the original O<sub>3</sub> data. It is similar to a model simulation that keeps the emission levels fixed but allows meteorology to vary. Yet, this method requires much less computing resources than a model simulation. The method is introduced in detail as follows.

In the meteorological adjustment, the observed O<sub>3</sub> and meteorological data are separated into long-term, seasonal, and short-term data (Rao and Zurbenko 1994a, b). The Kolmogorov-Zurbenko (KZ) filter can be expressed as follows.

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$$R(t) = L(t) + S(t) + W(t) \quad (2),$$

where  $R(t)$  represents the raw time series data,  $L(t)$  the long-term trend on a timescale of years,  $S(t)$  the seasonal variation on a timescale of months, and  $W(t)$  the short-term component on a timescale of days.

In order to remove the high-pass signal, the KZ filter carries out  $p$  times of iterations of a moving average with the window length  $m$ , which is defined as

$$Y_i = \frac{1}{m} \sum_{j=-k}^k R_{i+j} \quad (3)$$

where  $R$  is the original time series,  $i$  an index for the time of iteration,  $j$  an index for sampling inside the window, and  $k$  the number of sampling on one side of the window. The window length  $m = 2k + 1$ .  $Y$  is the input time series after one iteration. Different scales of motions are obtained by changing the window length and the number of iterations (Milanchus et al. 1998; Eskridge et al. 1997). The filter periods of less than  $N$  days can be calculated with window length  $m$  and the number of iteration  $p$ , as follows:

$$m \times p^{\frac{1}{2}} \leq N \quad (4).$$

Therefore, the cycles of 33 days can be removed by a KZ-(15, 5) filter with the window length of 15 and 5 iterations. In the following equation 5,  $BL(t)$  is the  $O_3$  and meteorological time series obtained by KZ(15,5) filter and refers to their baseline variations which are the sum of the long term  $L(t)$  and the seasonal component  $S(t)$ .

$$BL(t) = KZ_{(15,5)} = L(t) + S(t) = KZ_{(183,3)} + S(t) \quad (5).$$

The long-term trend is separated from the raw data obtained by KZ (183, 3) with the periods of > 632 days, and then the seasonal and the short-term component  $W(t)$  can be defined as

$$S(t) = KZ_{(15,5)} - KZ_{(183,3)} \quad (6),$$

$$W(t) = X(t) - BL(t) = X(t) - KZ_{(15,5)} \quad (7).$$

After KZ filtering, the meteorological adjustment is conducted by the multivariate regression between the  $O_3$  concentration and meteorological factors such as T, RH, wind speed and sunshine duration (Wise and Comrie 2005; Papanastasiou et al. 2012).

$$A_{BL}(t) = a_{BL} + \sum b_{BLi} \cdot M_{BLi} + \epsilon_{BL}(t) \quad (8),$$

$$A_W(t) = a_W + \sum b_{Wi} \cdot M_{Wi} + \epsilon_W(t) \quad (9),$$

$$\epsilon(t) = \epsilon_{BL}(t) + \epsilon_W(t) \quad (10),$$

$$A_{ad}(t) = \epsilon(t) + \sum b_{BLi} \cdot \overline{M}_{BLi} + \sum b_{Wi} \cdot \overline{M}_{Wi} + a_{BL} + a_W \quad (11).$$

the multivariate regression models between baseline and short-term O<sub>3</sub> and meteorological factors are shown in [Equations 8 and 9](#). The  $A_{BL}(t)$  and  $M_{BLi}$  represent the sum of the long term L(t) and the seasonal component S(t) of O<sub>3</sub> concentration and meteorological factors. The  $A_W(t)$  and  $M_{Wi}$  represent the short-term W(t) of O<sub>3</sub> concentration and meteorological factors. The  $a$  and  $b$  are the fitted parameters, and  $i$  is time point (days).  $\epsilon(t)$  is the residual term. The average meteorological condition  $\overline{M}$  at the same calendar date during the 5 years is regarded as the base condition for that date, and the meteorological adjustment is conducted against the base condition. In [By](#) these steps,  $A_{ad}(t)$  refers to the meteorologically adjusted O<sub>3</sub> variation with the homogenized annual variation in meteorological conditions. The difference between raw O<sub>3</sub> time series and  $A_{ad}(t)$  represents the meteorological impact.

#### 2.4. Classification of SWPs

In order to find the detailed variation characteristics of SWPs, we first extract the predominant SWPs in the warm seasons over the YRD using a weather classification method. Common objective classification methods include using predefined type, the leader algorithm, the cluster analysis, optimization algorithms and eigenvectors (Philipp et al. 2016). The PTT method, a simplified variant of t-mode principal component analysis using orthogonal rotation, is used to classify SWPs during 2014–2018. It is one of the methods for weather classification in European Cooperation in Science and Technology Action 733 (Philipp et al. 2016), which is widely used in atmospheric sciences (Hou et al. 2019).

#### 2.5. FNL and ERA-Interim meteorological data

The National Center for Environmental Prediction Final Operational Global Analysis (FNL) data (<http://rda.ucar.edu/datasets/ds083.2/>) produced by the Global Data Assimilation System are used in classifying SWPs and analyzing atmospheric circulations. The data have a horizontal resolution of 2.5°×2.5°, with 144×73 horizontal grids available every 6 hours. From the near surface layer to 10 hPa, there are 17 pressure levels in the vertical direction. The data of the geopotential height and wind at 500 hPa and 850 hPa, the vertical wind ( $\Omega$ ), T and RH are used in this study. At



the same time, the ~~low~~total cloud cover (L~~T~~CC), ~~the~~ total cloud liquid water (TCLW) and solar radiation (SR) from ERA-interim are supplemented in this study, which have the same temporal and spatial resolutions as the FNL data. ~~Moreover, the western Pacific subtropical high index (WPSHI) and the eastern Asian summer monsoon index (EASMI) are calculated using the FNL data of the geopotential height and wind at 850 hPa. The WPSHI is defined following the~~according to western Pacific subtropical high intensity index in the National Climate Center of China.; Specific formula refers to website ([https://cmdp.ncc-cma.net/extreme/floods.php?product=floods\\_diag](https://cmdp.ncc-cma.net/extreme/floods.php?product=floods_diag)). The EASMI is a shear vorticity index. It is defined as the difference of regional mean zonal wind at 850 hPa between 5 and 15°N, 22.5 and 32.5°N, 90 and 130°E, and 110 and 140°E in Wang and Fan (1999), recommended by Wang et al. (2008).

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The FNL geopotential height field at 850 hPa can capture the synoptic circulation variations over the YRD well (Shu et al. 2017). In this study, we use the geopotential height at 850 hPa from April to September during 2014–2018 as the input for the PTT. ~~WPSHI and EASMI are correlated discussed the correlations with the O<sub>3</sub> time series. W~~Besides, when we used the Pearson correlation coefficient to calculate the correlations between two time series, ~~Pearson correlation coefficient is as the only method to be used.~~

## 2.6. Reconstruction of O<sub>3</sub> concentration based on SWP

To quantify the inter-annual variability captured by the variations (frequency and intensity) in the synoptic weather patterns, Yaranl (1992) provided an algorithm to find the contribution of SWP frequency variation to the inter-annual O<sub>3</sub> variation. The specific calculation is as follows.

$$\overline{O_{3m}}(fre) = \sum_{k=1}^6 \overline{O_{3k}} F_{km} \quad (12),$$

where  $\overline{O_{3m}}(fre)$  is the reconstructed mean O<sub>3</sub> concentration influenced by the frequency variation in SWPs from April to September for year  $m$ ,  $\overline{O_{3k}}$  is the 5-year mean O<sub>3</sub> concentration for SWP  $k$ , and  $F_{km}$  is the occurrence frequency of SWP  $k$  during April–September for year  $m$ .

–Hegarty et al. (2007) suggested that changes in the SWP include both frequency change and intensity change. The intensity of SWPs represents the location and strength of the weather system. Moreover, they noted that the environmental and climate-related contributions to the inter-annual variations of O<sub>3</sub> could be better separated by considering these two changes. So, Equation12 is

modified into the following form.

$$\overline{O_{3m}}(fre + int) = \sum_{k=1}^6 (\overline{O_{3k}} + \Delta O_{3km}) F_{km} \quad (13),$$

where  $\overline{O_{3m}}(fre + int)$  is the reconstructed average  $O_3$  concentration influenced by the frequency and intensity changes of SWPs from April to September for year  $m$ ;  $\Delta O_{3km}$  is the modified difference on the fitting line, which is obtained through a linear fitting of the annual  $O_3$  concentration anomalies ( $\Delta O_3$ ) to the SWP intensity index (SWPII) for SWP  $k$  in year  $m$ .  $\Delta O_{3km}$  represents the part of the annual observed  $O_3$  oscillation caused by the intensity variation in each SWP. Hegarty et al. (2007) used the domain averaged sea level pressure to represent the circulation intensity index (CII). Liu et al. (2019) reconstructed the inter-annual  $O_3$  level in the northern China using the center pressure of the lowest pressure system. ~~However, But~~ we find the intensity variation in each SWP is different when  $O_3$  increases. So we select different SWPII under each SWPpattern—according to the characteristics of high  $O_3$  concentration. Lastly, we select the maximum height in zone-1 (25°N–40°N, 110°E–130°E), the maximum height in zone-2 (20°N–50°N, 90°E–140°E) and the mean height in zone-3 (10°N–40°N, 110°E–130°E). Especially, zones1, 2 and 3 were selected in term of location of dominated weather systems under each SWP. Detailed demonstration is introduced in section 3.5.

### 3. Results and discussion

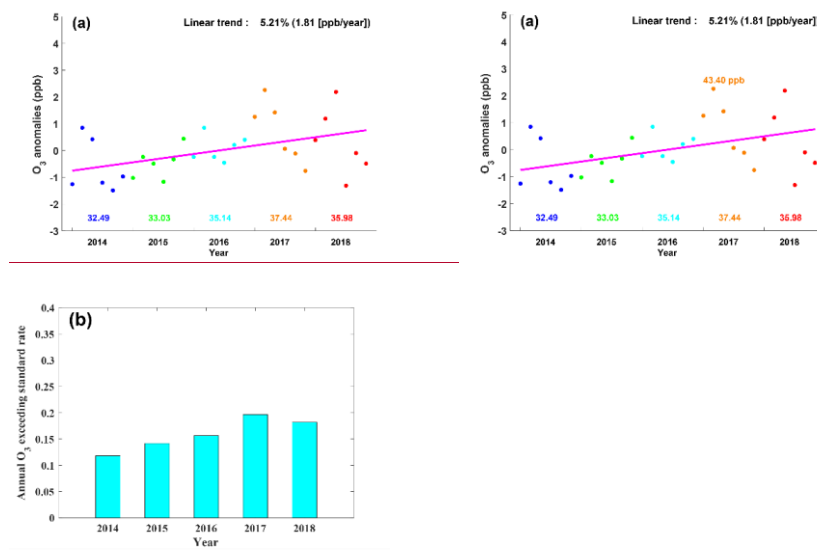
#### 3.1. Spatio-temporal variations of $O_3$ in the YRD region

##### 3.1.1. Inter-annual variations of $O_3$

Fig. 1a shows the time series of the anomalies of the monthly mean  $O_3$  concentration over the YRD from April to September during 2014–2018, as well as the corresponding linear fitting curve. Fig. ~~ure~~ 1b shows the annual variation in the total number of days with  $O_3$  concentration exceeding the national standard during the warm seasons over 2014-2018period. As shown in Fig. 1a, the monthly mean  $O_3$  concentration in the warm seasons increases over 2014-2018, reaching the maximum of 37.4437.44 ppb in 2017 and maintaining at a high level in 2018. According to the generalized least-squares method with auto-regression in section 2.2, obtained fitting function is  

$$y_t = -0.8076 + 0.0521t - 0.4824 \cos\left(\frac{2\pi M}{6}\right) + 0.6646 \sin\left(\frac{2\pi M}{6}\right) + R_t$$
Specifically, 5.21% (1.81 ppb) of  $k$  value as the  $O_3$  inter-annual variation shows a large increasing trend in the YRD  $O_3$

concentration in the YRD shows a large increasing trend of 1.81 ppb (5.21%) per year, which is slightly higher than that in the entire China (5.00% per year, Lu et al. 2020). Meanwhile, the annual average days with O<sub>3</sub> exceeding the standard during the warm seasons also show an increasing trend, reaching a peak in 2017 and maintaining at a high level in 2018. In all, both means and extremes of O<sub>3</sub> concentration have increased over the YRD.



**Fig. 1. (a) Anomalies of monthly average O<sub>3</sub> concentration from April to September during 2014–2018. The purple solid line represents the linear fitted curve ( $y_t = -0.8076 + 0.0521t$ ), and the color number represents the annual (April–September) mean of O<sub>3</sub> concentration. (b) Annual (April–September) variation in the days with O<sub>3</sub> exceeding the national standard.**

### 3.1.2. Characteristics of O<sub>3</sub> variability based on the EOF analysis

To further discuss the spatio-temporal distribution characteristics of the observed O<sub>3</sub> concentration, the EOF approach is used to uncover the relationship between the spatial distribution and temporal variation. By removing the missing data for 17 days, O<sub>3</sub> concentrations in 898 days are processed. The percentages of variance contribution for the first three patterns are 65.70 %, 13.80 % and 9.10 %, respectively. The significance tests of the EOF eigenvalue confirm that the

first three patterns are significantly separated. Approximately 88.60 % of the variability in the original data is contained in these three patterns. In the first EOF pattern (EOF1), the observed  $O_3$  over the YRD changes similarly and the center of the variation is located in the middle of the YRD (Fig. 2a). As shown in Fig. 2b, the time series of EOF1 presents an increasing ~~decreasing~~ trend and shows a high negative correlation with the time series of  $O_3$  ( $R = -0.93$ ). Therefore, to some extent, the EOF1 time series variation can represent the daily mean  $O_3$  variation and implies an increasing trend of regional mean  $O_3$  concentration during these periods. ~~Considering the negative values in EOF1, the EOF1 time series implies an increasing trend of regional mean  $O_3$  concentration.~~ Furthermore, ~~In addition, we investigated~~ the relationships between the time series of EOF1 and different weather systems, as well as the meteorological factors ~~have been investigated~~. Weather systems include the WPSH and the East Asian summer monsoon, which are dominant weather systems affecting the YRD. Both of them show a poor correlation with the EOF1 time series ( $R_{WPSH} = -0.13$  and  $R_{EASM} = -0.04$ ). It indicates that the daily  $O_3$  variation is too complex to be comprehensively explained through the change in a single weather system. Furthermore, the RH and SR presents a good correlation with the EOF1 time series ( $R_{RH} = -0.59$  and  $R_{SR} = 0.56$ ). ~~Han et al. (2020) also found that RH is the most important factor affecting  $O_3$  in the YRD.~~ However, it is still unclear how the change in different weather systems causes the variation in RH and SR, and how the variations in RH and SR ~~variation~~ impacts the other meteorological factors and  $O_3$  accumulation.

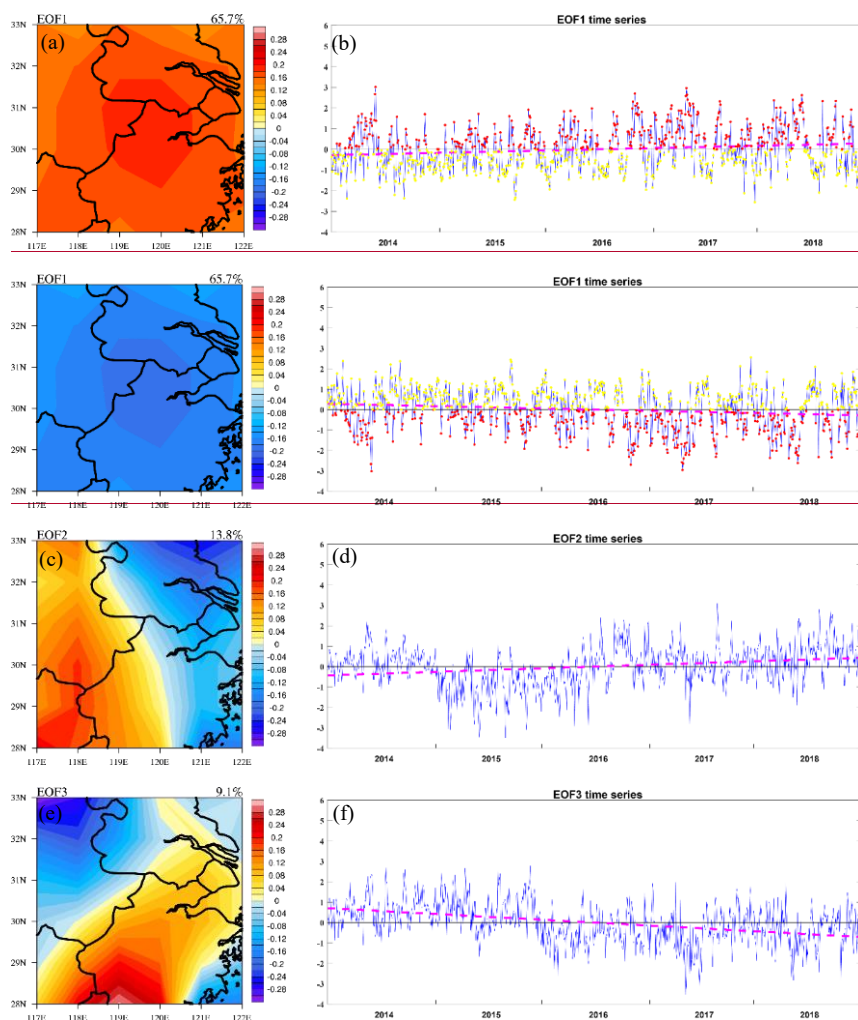
In the second EOF pattern (EOF2), there is obvious east-west contrast. In contrast, the third EOF (EOF3) pattern presents a notable south-north contrast. At the same time, the increasing trend of EOF2 time series and the decreasing trend of EOF3 time series indicate that  $O_3$  concentrations in the west and northwest have risen from 2014 to 2018. It implies that a higher rate of  $O_3$  increasing would occur in the northwest. As known, the variance contribution of EOF1 is 65.70 % that is greater than EOF2 (13.80 %) and EOF3 (9.10 %). Therefore, increases in the  $O_3$  increasing in the entire ~~whole~~ YRD region is the main trend.

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**Fig. 2. Three EOF patterns of  $O_3$  concentration in the warm seasons from 2014 to 2018, including the spatial pattern (a, c and e) and time coefficient (b, d and f). The percentage in panels (a, c and e) is the variance contribution of each EOF mode. The pinkorange dash line in panels (b, d and f) represents the linear fitted curve.**

### 3.2. Effects of meteorological conditions on $O_3$ concentration over the YRD region

#### 3.2.1. Quantifying the effects of meteorological conditions

With the primary pollutant emissions being cut down, the surface  $O_3$  increase in the recent years

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in China might be attributable to affected by a variety of factors, one of which was suggested to be the slowing down sink of hydroperoxy radicals, related to the variation in PM<sub>2.5</sub> (Li et al. 2019). Yet, it is uncertain how meteorological conditions influence the increasing trend in surface O<sub>3</sub>. Yang et al. (2019) quantified the meteorological impact on O<sub>3</sub> variation over the Pearl River Delta region using the meteorological adjustment. Using Similarly to the methodology similar to that in Yang et al. (2019), we investigate the meteorological influences on the increase in ozone increase over the YRD in the warm seasons during 2014–2018. Fig. 3a shows the ambient O<sub>3</sub> variation from 2014 to 2018: i.e. O<sub>3</sub> concentration increases from 2014, reaches the maximum in 2017, and maintains at a relatively high level in 2018. After the meteorological adjustment, the variable increasing magnitude is lower than the original one, implying that if the meteorological conditions remained unchanged over the 5 years, the variation variable increasing in magnitude of ambient O<sub>3</sub> concentration would be lower. The meteorological impact can be examined from the difference between the black solid and dashed lines in Fig. 3a. It is shown that – We focus on periods from the middle of 2014 to the middle of 2018 when the difference is negatively from the middle of 2014 to the middle of 2016 and positively large from middle of 2016 to the middle of 2018. In 2017, the meteorological conditions increase the O<sub>3</sub> concentration by about 1.1620 ppb. However, in 2015, the meteorological conditions become unfavorable to the O<sub>3</sub> accumulation, leading to an O<sub>3</sub> reduction of 1.3910 ppb. The meteorological conditions make a difference in changed the O<sub>3</sub> concentration by 3.03281 ppb at most between the most favorable year (2017) and the most unfavorable year (2015), which roughly corresponds to 8.70962% of the annual O<sub>3</sub> concentration.

$$\left( \frac{\max(MEO \text{ impact}) - \min(MEO \text{ impact})}{O_3(5 \text{ year average})} \right)$$

In addition, we select the most influential meteorological factors to discuss their impacts on O<sub>3</sub> variation, including T<sub>2</sub>, RH, sunshine duration SR, LCC and WS and wind speed. As shown in Fig. 3b, RH is the most crucial factor and its variation is similar to the variation in the total meteorological impact. In addition, SR and LCC also play important roles and have close large impacts impacting on O<sub>3</sub> variation. It indicated that – RH can impact s on O<sub>3</sub> concentration in through two ways. One is gas phase H<sub>2</sub>O reacting with O<sub>3</sub> ( $O_3 + H_2O(gas) + hv \rightarrow O_2 + 2OH$ ). The other is its influencing on clouds and thereby shielding SR. The East Asian summer monsoon plays a key role in affecting the local RH, and meanwhile it might bring a certain amount of O<sub>3</sub> from

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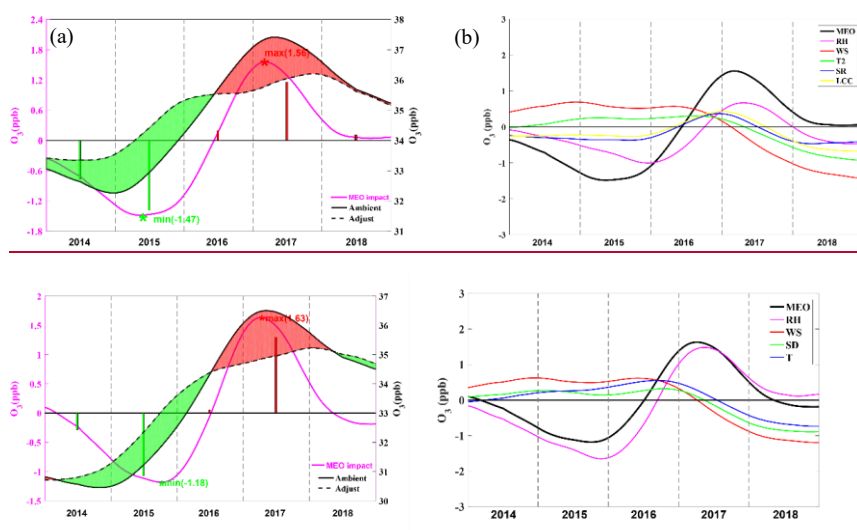
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the areas south of the YRD area. However,  $O_3$  concentration is high negatively related to RH, which implies that the local chemical reaction might contribute to the  $O_3$  accumulation more than the regional transport. The impacts of T2 and WSe contributions of other two factors are inconsistent with the overall sum meteorological impactse contribution. Han et al. (2020) also found that RH is the most influential factor in the central and south parts of eastern China. The East Asian summer monsoon plays a key role in affecting the local RH, and meanwhile it might bring a certain amount of  $O_3$  from the south area. However,  $O_3$  concentration is highly negatively related to RH, which implies that the local chemical reaction might contribute more to the  $O_3$  accumulation than the regional transport. The contributions of the other three factors are relatively insignificant.



**Fig. 3.** (a) 5-year trends of ambient  $O_3$  (solid black line), meteorological adjusted  $O_3$  (dashed black line), and the meteorological impact (pink line) over the YRD during 2014–2018. Periods with positive and negative meteorological impacts are shaded ~~inwith~~ red and green, respectively; red and green bars represent ~~the the~~  $O_3$  increasesing and decreases attributable ~~toing caused by~~ meteorological ~~influnceseonditions~~ in each year. (b) 5-year variations in the meteorological impact of different meteorological factors (MEOR), including relative humidity (RH), ~~sunshine durationsolar radiation~~ (SR), air temperature (T2), ~~and~~ wind speed (WSP) ~~and low cloud cover (LCC)~~.

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### 3.3. Dynamic processes of O<sub>3</sub> variation driven by synoptic circulations

As discussed in section 3.2, the local meteorological factors have a ~~large great~~ impact on the O<sub>3</sub> variation. However, to some extent, the variation in local meteorological factors is largely affected by the synoptic-scale weather circulations (Leibensperger et al. 2008; Fiore et al. 2003; Wang et al. 2016). For example, in summer the YRD is under a hot-wet environment controlled by the WPSH. While in winter it is under a cold-dry environment affected by the northwesterly flow caused by the Siberian High. The different weather systems under their corresponding SWPs have their unique meteorological characteristics. Moreover, even under one SWP, the location and intensity changes in a specific weather system can cause the changes in local meteorological factors correspondingly (Gao et al. 2020).

#### 3.3.1. The main synoptic weather patterns in the warm season over the YRD

Applying the PTT classification method, nine SWPs are identified for the warm seasons in the YRD. Due to the relatively large variance, the first dominant five ~~types-SWPs~~ are selected, and the other four ~~SWPtypes~~ are grouped as ‘other-types’.—As shown in Table 1, SWP1, SWP2 and SWP4 are dominant, accounting for 40.66%, 22.84% and 13.99% of the occurrence frequencys, respectively. In contrast, SWP3, SWP5 and other types occur in low frequencies, being are relatively lower, and their occurrence frequencies are 7.65%, 6.99% and 6.01%, respectively. Specifically, SWP1 is under control ofaffected by the south~~w~~easterly flow introduced by the WPSH. SWP2 is influenced by the northwesterly flow introduced by a persistent-continental high pressure. SWP4 is influenced by the southeasterly flow introduced by the WPSH and a cyclone. SWP3 and SWP5 are affected by a cyclone and an anticyclone. ~~For~~SWP1 and SWP4 are, it is with high temperature and humidity ~~induced~~affected by the southerly flow. ~~While under But for~~SWP5, the YRD is with high temperature and low RH because of the ~~weak~~—northerly flows are weakened and could not carrywhich brings insufficient water vapor, ~~the YRD is with high temperature and low RH~~. SWP2 is with relatively low~~er~~ temperature. SWP3 is under the control of a cyclone and the strong upward motion, it is with weak SR and low~~er~~T<sub>2</sub>. ~~In order to avoid overabundance similar figures with Figs 4–8. Specific figures of atmospheric circulation at 850 hPa under the main five SWPs are~~would



be provided in the supplementary.

**TABLE 1. The occurrence days and frequency, typical characteristics, regional mean  $\pm$  the standard error for  $T_2$  temperature (T), relative humidity (RH), wind speed (WS) and solar radiation (SR) and positive and negative days under each SWP. The  $> 0$  and  $> 0.5$  represent the value of EOF1 time series more than 0 and 0.5, respectively. The  $< 0$  and  $< 0.5$  is on the contrary.**

| Type and number<br>of days<br>(frequency ) | Typical characteristic of<br>SWPs                                      | Meteorological factors                        | Pos ( $>0$ and $>0.5$ )<br>Neg ( $<0$ and $<0.5$ )<br>(number of days) |
|--|--|---|--|
| SWP1<br>372 (41.43%)                       | Southwesterly flow<br>introduced by WPSH                               | $T_2(^{\circ}\text{C}): 28.38 \pm 4.94$       |  |
|  |  | $\text{RH}(\%): 77.98 \pm 10.44$              | 17594, 11225   |
|  |  | $\text{WS}(\text{m/s}): 7.30 \pm 0.54$        | 19475, 12512   |
|  |  | $\text{SR}(\text{W/m}^2): 1606.20 \pm 537.77$ |  |
| SWP2<br>209 (23.27%)                       | Northwesterly flow<br>introduced by a continuant<br>high pressure      | $T_2(^{\circ}\text{C}): 26.40 \pm 5.37$       |  |
|  |  | $\text{RH}(\%): 73.97 \pm 12.85$              | 11097, 7357  |
|  |  | $\text{WS}(\text{m/s}): 7.28 \pm 0.51$        | 97110, 5773  |
|  |  | $\text{SR}(\text{W/m}^2): 1615.00 \pm 563.20$ |  |
| SWP3<br>70 (7.80%)                         | an extratropical cyclone   | $T_2(^{\circ}\text{C}): 25.41 \pm 4.37$       |  |
|  |  | $\text{RH}(\%): 86.80 \pm 6.25$               | 1258, 645  |
|  |  | $\text{WS}(\text{m/s}): 7.33 \pm 0.58$        | 5812, 456  |
|  |  | $\text{SR}(\text{W/m}^2): 959.73 \pm 478.14$  |  |
| SWP4<br>128 (14.25%)                       | Southeasterly flow brought<br>by WPSH and a southern<br>cyclone system | $T_2(^{\circ}\text{C}): 29.29 \pm 4.24$       |  |
|  |  | $\text{RH}(\%): 78.67 \pm 8.51$               | 4682, 3058   |
|  |  | $\text{WS}(\text{m/s}): 7.11 \pm 0.56$        | 8246, 5830   |
|  |  | $\text{SR}(\text{W/m}^2): 1505.97 \pm 538.96$ |  |
| SWP5<br>64 (7.13%)                         | The north China<br>anticyclone system                                  | $T_2(^{\circ}\text{C}): 28.08 \pm 4.99$       | 4023, 2414   |
|  |  | $\text{RH}(\%): 73.97 \pm 12.03$              | 2340, 1424   |
|  |  | $\text{WS}(\text{m/s}): 7.22 \pm 0.45$        |  |

|  |   |   |   |
|--|---|---|---|
| SR (W/m <sup>2</sup> ): 1586.78 ± 479.65 |   |   |   |
| others                                   | / | / | / |
| 55 (6.12%)                               |   |   |   |

### 3.3.2. Impacts of SWP change on O<sub>3</sub> concentration variation

We explore the impacts of SWP change on O<sub>3</sub> variation through ~~an analysis combined with combining the EOF1 mode~~. As illustrated in section 3.1.2, the EOF1 mode is the dominant mode, and it implies the increase of O<sub>3</sub> in the ~~entire YRD whole area~~ is the main trend. ~~The Regarding~~ EOF1 time series ~~is closely correlated to , it has a high correlation coefficient with the~~ regional mean O<sub>3</sub> concentration ( $R = -0.983$ ). In this study, we ~~primarily mainly~~ focus on why O<sub>3</sub> concentration increases in the entire YRD region, rather than ~~on~~ why the increases in O<sub>3</sub> differ spatially inside the YRD. Therefore, we use the EOF1 time series as a proxy to present the regional O<sub>3</sub> concentration. In Table 1, the positive phase (Pos) represents that the EOF1 time series is more than 0 and it is ~~not~~ beneficial to the production and accumulation of O<sub>3</sub>. On the contrary, the negative phase (Neg) ~~corresponds means the low higher~~ O<sub>3</sub> concentrations. We extract the information by comparing ~~PosNeg~~ with ~~NegPos~~ to find the changes ~~in ef~~ each ~~SWP~~ pattern. Yin et al. (2019) explored dominant patterns of summer O<sub>3</sub> pollution and associated atmospheric circulation changes in eastern China. Differently from their study, we ~~have~~ analyzed the daily variation in SWPs, and ~~thusean identified obtain~~ the change in atmospheric circulations ~~in a higher temporal resolution more precisely~~.

In the five main SWPs, the EOF1 time series show ~~an decrease-increase~~ trend during their occurrence days in the warm seasons. It means ~~that~~ the five main ~~SWPspatterns~~ tend to ~~bringeause~~ high ambient O<sub>3</sub> concentration through ~~the changes in the SWPs, which , In addition, the SWP change include SWP changes ins both frequency and intensity changes~~. We find that the ~~change in SWP intensity frequency change in SWPs has less impacts more significantly on~~ the inter-annual variation in O<sub>3</sub> levels than the ~~change in SWP frequency intensity change in SWPs, which is~~ consistent with the results of Hegarty et al. (2007) and Liu et al. (2019). ~~This contribution of intensity change and frequency change~~ will be further discussed in section 3.4. In the following, we will concretely discuss the variation characteristics of ~~the five SWPs and their impacts onto~~ the

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increase of O<sub>3</sub> in the YRD. Especially, we will show atmospheric circulations at 850 hPa and 500 hPa, meteorological factors including SR, T<sub>2</sub>, LCC, TCLW, RH, meridional wind at 850hPa (V850) and W (vertical velocity) under positive and negative phase of all SWPs, and correlation coefficients of RH, SR and T<sub>2</sub> with EOF1 time series under all SWPs are shown.

As shown in the previous study, SR, T<sub>2</sub> and RH are dominated meteorological factors and can have extremely directly impacts on O<sub>3</sub> photochemical formation and loss (Xie et al. 2017; Gao et al. 2020). To explore the importance and difference of their impacts on O<sub>3</sub> concentrations under different SWPs, we calculate the correlation coefficients between the EOF1 time series and these meteorological factors under each SWP. As shown in table 2 and 3, when the absolute values of the calculated correlation coefficients under a SWP are greater than 0.4, the corresponding meteorological factors present significant changes between Pos phase and Neg phases. Therefore, we regard them as the crucial meteorological factors that impacting on O<sub>3</sub> variation under that SWP. In the end, we find that significantly decreases in RH and increases in strengthening SR are the crucial meteorological factors under SWP1, SWP4 and SWP5. For SWP2, significant decreases in decreasing RH, increases in strengthening SR and increasing T are the crucial meteorological factors. For SWP3, significant decreases in RH is the crucial meteorological factor. Hereinafter, we will discuss variations in how to lead to crucial meteorological factors variation induced by change in atmospheric circulations.

**TABLE 2. Correlation coefficient of RH, SR and T<sub>2</sub> with EOF1 time series under each SWP.**

| Variables      | SWP1  | SWP2  | SWP3  | SWP4  | SWP5  |
|----------------|-------|-------|-------|-------|-------|
| RH             | -0.59 | -0.52 | -0.50 | -0.64 | -0.59 |
| SR             | 0.58  | 0.56  | 0.33  | 0.46  | 0.48  |
| T <sub>2</sub> | 0.19  | 0.41  | 0.26  | 0.15  | 0.30  |

Fig. 4 shows the atmospheric circulations at 850 hPa and 500 hPa, and the box plot of normalizing Table 3 shows meteorological factors including SR, T<sub>2</sub>, TCC, TCLW, RH, meridional wind at 850hPa (V850) and W (vertical velocity) in table 3 for SWP1\_Pos and SWP1\_Neg. As shown in Figs. 4a and 4b, the YRD is located at the northwest of the WPSH, mainly affected by the southwesterly winds. Compared with V850 of 4.27 m/s under SWP1 neg, weakening V850 of

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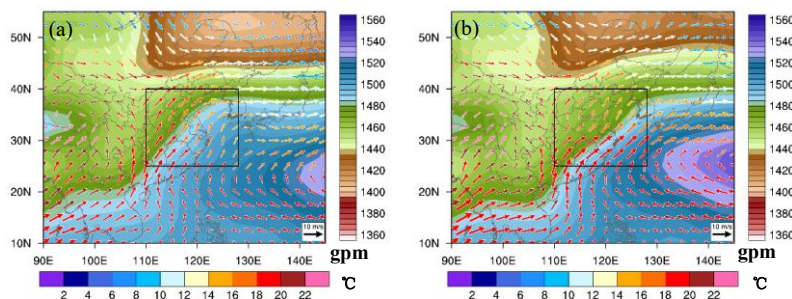
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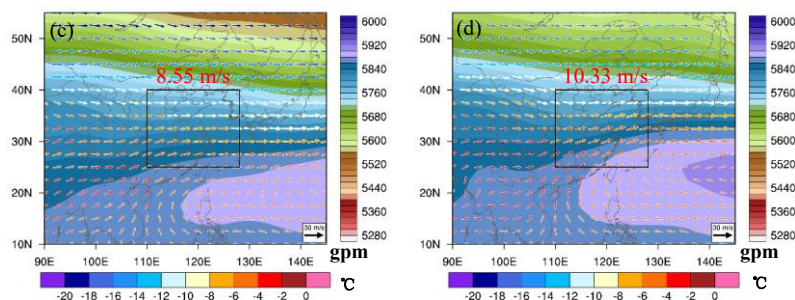
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2.89 m/s under SWP1\_pos bring a less amount of water vapor to YRD region, therefore, RH shows a decrease trend and significantly decreases by 15.24%. Compared with the SWP1\_Pos, the range of WPSH is wider in the northwest area under SWP1\_Neg, leading to the strengthened southerly wind in the northwest, which results in higher temperature in this area. Due to the weakening of V850, the water vapor transport acts in response from the south. RH shows a decrease trend. At 500 hPa, a shallow trough located at approximate 113°E is replaced by a slowly moving weak ridge straight westerly flow, and the downward motion would strengthen and last longer behind the shallow trough. The sink motion is favorable for the O<sub>3</sub> accumulation and O<sub>3</sub> photochemical reaction at the near surface. Besides, the significantly decreases ining water vapor RH under the downward motion condition hinder make the cloud cover hard to formation. LCC and TCLW decreased by 0.30 and 0.04, respectively. So Furthermore, SR significantly strincreases byonger 730.04 W/m<sup>2</sup> solar radiation hits the ground due to the less shelter from of the clouds and less reflection above the cloud. Eventually, ,further significantly decreases ining RH and increases in strengthening SR –leading to higher air temperature and stronger O<sub>3</sub> photochemical reaction.

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**Fig. 4. The geopotential height (shaded) and 850-hPa wind with temperature (color vector) under (a) SWP1\_Pos and (b) SWP1\_Neg. The geopotential height (shaded) and 500-hPa wind with temperature (color vector) under (c) SWP1\_Pos and (d) SWP1\_Neg. The red values represent the regionally averaged wind speed at 500 hPa in the zone around black lines. (e) The regional average meteorological factors under SWP1\_Pos and SWP1\_Neg, including SR, TCC, 2-m air temperature, RH, meridional wind at 850 hPa (V850) and W (vertical velocity). The boxed area in Figs. 4a-4d encloses the YRD.**

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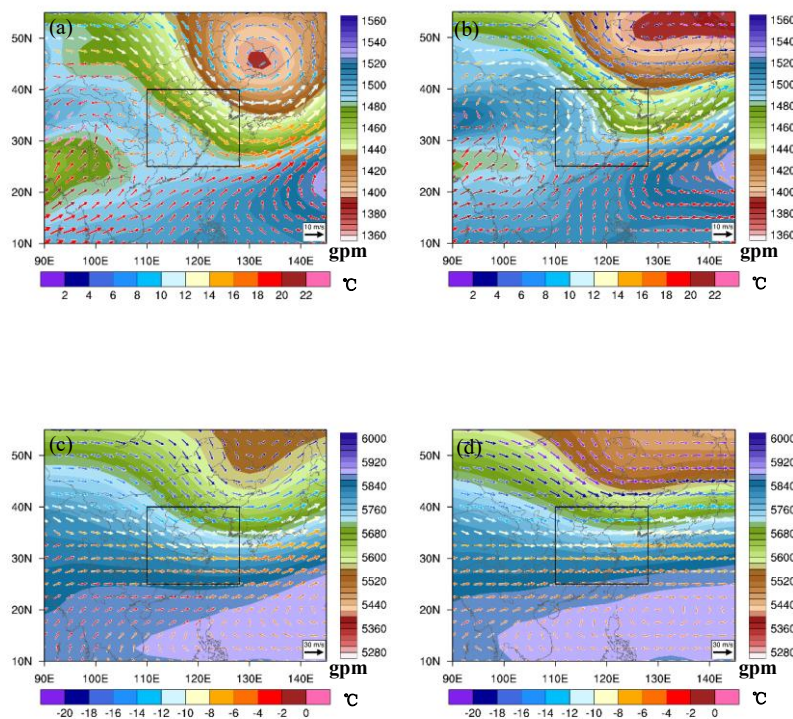
Fig. 5 shows the atmospheric circulation structures at 850 hPa and 500 hPa, and Table 3 shows meteorological factors including SR, T, TCC, TCLW, RH, V850 and W in table 3. The box plot of normalizing factors includes SR, T, TCC, RH, V850 and W for SWP2\_Pos and SWP2\_Neg. As shown in Figs. 5a and 5b, the YRD is affected by a continental high and the Aleutian low, characterized by northwesterly flow and a bit southwesterly flow. Compared with the SWP2\_Pos, the northwesterly flow introduced by the continental high in SWP2\_Neg is weakening. Therefore, So the YRD region is would be influenced by the warm flows and T2 would significantly increases by 4.91 °C. The correlation between the EOF1 time series and 2-m air temperature T2 under SWP2 ( $R_{SWP2} = -0.41$ ) is closer than the correlation in the whole period ( $R_{all} = -0.24$ ). This implies that the weakening of the continental high plays an important role in enhancing O<sub>3</sub> there. Meanwhile At the same time, as the Aleutian low moves southward slightly, the southwesterly flow can hardly bring water vapor to the YRD, which leads to -RH significantly decreases in RH by 14.79% in this area. The correlation between the EOF1 time series and 2-m air temperature under

SWP2 ( $R_{p2} = 0.41$ ) is closer than the correlation in the whole period ( $R_{all} = 0.24$ ). This implies that the weakening of the continent high plays an important role in enhancing  $O_3$  there. At 500 hPa, a trough located at approximate  $120^\circ\text{E}$ – $125^\circ\text{E}$  is strengthened associated with Aleutian low shifting southward, leading to the stronger downward motion in the northwestern YRD behind the strengthening trough. Just like SWP1, stronger downward motion and significantly decreasing lower RH enhance cause strong SR significantly increasing by  $790.06 \text{ W/m}^2$  and high air temperature. All these changes significantly decreasing RH, strengthening SR and increasing  $T_2$  are beneficial to the  $O_3$  formation and accumulation.

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**Fig. 5. The geopotential height (shaded) and 850-hPa wind with temperature (color vector) under (a) SWP2\_Pos and (b) SWP2\_Neg. The geopotential height (shaded) and 500-hPa wind with temperature (color vector) under (c) SWP2\_Pos and (d) SWP2\_Neg. The red-values**

represent regional average wind speed at 500 hPa in the zone around black lines. (e) The regional average meteorological factors under SWP2\_Pos and SWP2\_Neg, including SR, TCC, 2-m air temperature, RH, meridional wind at 850 hPa (V850) and W. The boxed area in Figs. 5a-5d encloses the YRD.

Fig. 6 shows the atmospheric circulations at 850 hPa and 500 hPa, and Table 3 shows and meteorological factors including includes SR, T, TCC, TCLW, RH, V850 and W in table- 3 the box plot of normalizing factors includes SR, T, CC, RH, V850 and W for SWP3\_Pos and SWP3\_Neg. As shown in Figs. 6a and 6b, the YRD is controlled by an extratropical cyclone. Compared with the SWP3\_Pos, the low pressure in SWP3\_Neg is lower and its location is slightly further eastward SWP3\_Neg. Under this circumstance, the southerly flow at the bottom of the low pressure could hardly bring the water vapor to the YRD and thus, RH would significantly decreases by 11.73%. At 500 hPa, the upward motion would be weakening due to the eastern movement of cyclone and western area controlled by back of a strengthening trough located at about 120°E. However, but LCC still is at a high level under upward motion condition. Furthermore, high LCC and its less variation lead to low SR. Therefore, the It is proving that relative low SR correlation coefficient of -0.33 between SR and with EOF1 time series is relatively low for this SWP3 ( $r=-0.33$ ). Lastly, only significantly decreasing RH would be crucial factor for and result in high O<sub>3</sub> concentration the downward motion would be strengthened due to the strengthened trough. The intense downward motion and low RH result in less CC and strong SR, as well as high T, which are instrumental in high O<sub>3</sub> concentration.

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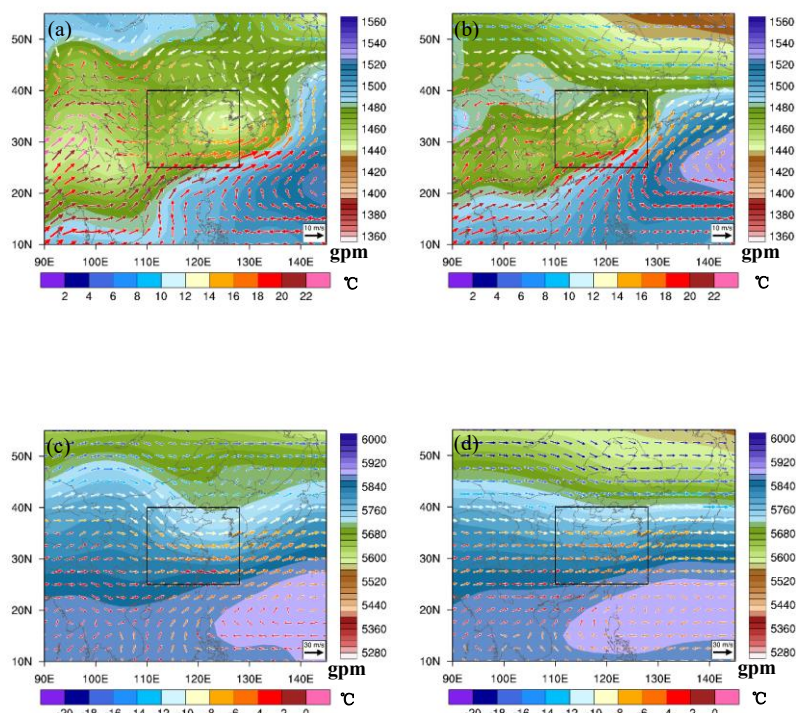
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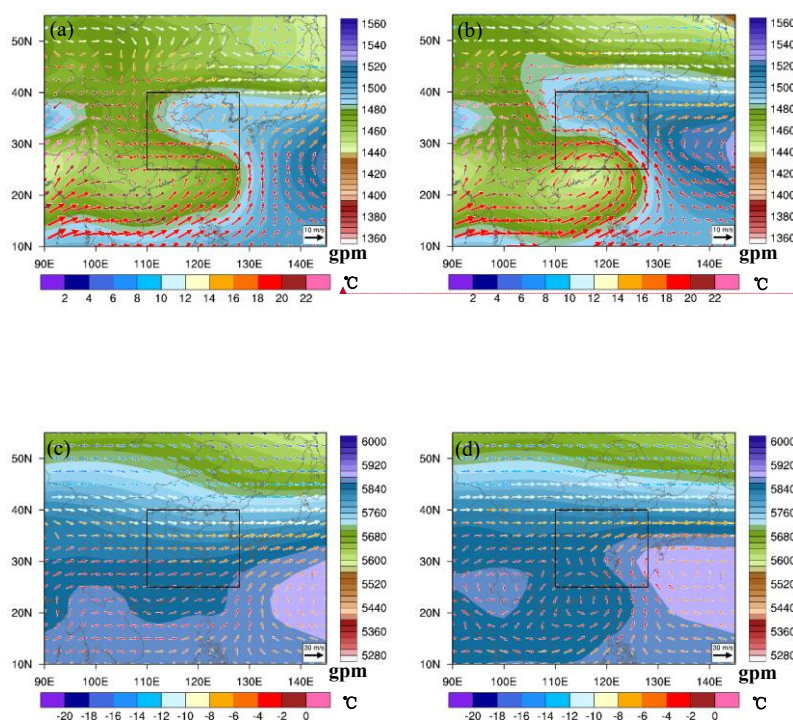
**Fig. 6.** The geopotential height (shaded) and 850-hPa wind with temperature (color vector) under (a) SWP3\_Pos and (b) SWP3\_Neg. The geopotential height (shaded) and 500-hPa wind with temperature (color vector) under (c) SWP3\_Pos and (d) SWP3\_Neg. The red values represent regional average wind speed at 500 hPa in the zone around black lines. (e) The regional average meteorological factors under SWP3\_Pos and SWP3\_Neg, including SR, TCC, 2-m air temperature, RH, meridional wind at 850 hPa (V850) and W. The boxed area in Figs. 6a-6d encloses the YRD.

Fig. 7 shows the atmospheric circulations at 850 hPa and 500 hPa, and Table 3 shows meteorological factors including es-SR, T, LCC, TCLW, RH, V850 and W in table 3. The box-plot of normalizing factors includes SR, T, TCC, RH, V850 and W for SWP4\_Pos and SWP4\_Neg. As shown in Figs. 7a and 7b, the southeasterly winds prevail in the YRD, which is modulated



by a southern low pressure and ~~the~~ WPSH. Compared with the SWP4\_Pos, the southern low pressure and southeasterly flow ~~is weaker~~ in SWP4\_Neg ~~is weaker~~, and thus it brings less water vapor to the YRD ~~and causes RH-significantly decreases RHing by 12.26%~~. At 500\_hPa, a shallow trough ~~located at about 125°E~~ strengthens ~~associated with weakening of southern cyclone pressure~~, causing the strong sink motion, ~~less LCC and SR-of-significantly increases in SRing by 538.53 W/m<sup>2</sup>~~. Significantly strengthening SR and decreasing RH are important for ~~the~~ O<sub>3</sub> accumulation. High temperature, strong SR and low RH caused by the low V850 and downward motion are favorable for the O<sub>3</sub> accumulation.

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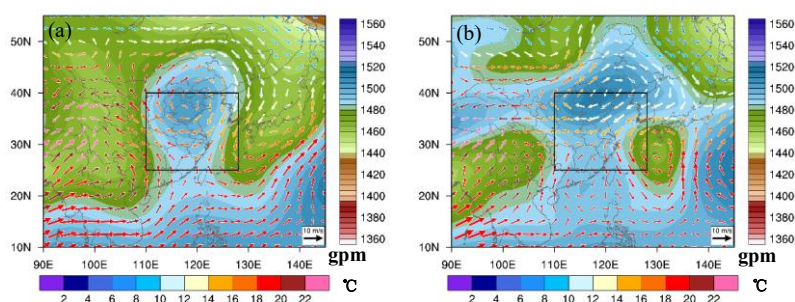


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**Fig. 7. The geopotential height (shaded) and 850-hPa wind with temperature (color vector) under (a) SWP4\_Pos and (b) SWP4\_Neg. The geopotential height (shaded) and 500-hPa wind**

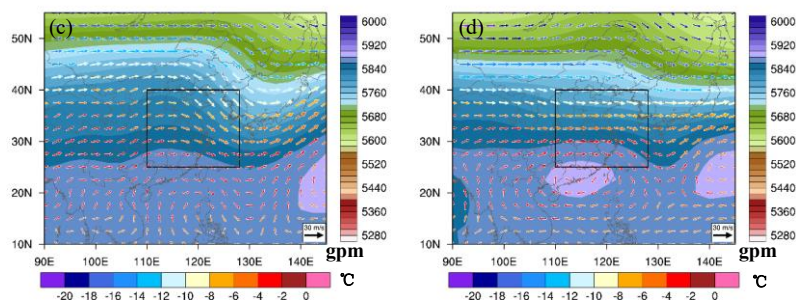
with temperature (color vector) under (c) SWP4\_Pos and (d) SWP4\_Neg. The red values represent regional average wind speed at 500 hPa in the zone around black lines. (e) The regional average meteorological factors under SWP4\_Pos and SWP4\_Neg, including SR, TCC, 2-m air temperature, RH, meridional wind at 850 hPa (V850) and W. The boxed area in Figs. 7a-7d encloses the YRD.

Fig. 8 shows the atmospheric circulations at 850 hPa and 500 hPa, and Table 3 shows and meteorological factors including SR, T, LCC, TCLW, RH, V850 and W in table 3. The box plot of normalizing factors includes SR, T, TCC, RH, V850 and W for SWP5\_Pos and SWP5\_Neg. As shown in Figs. 8a and 8b, the YRD is controlled by the north China anticyclone, characterized by the northeasterly and the southwesterly winds. Compared with the SWP5\_Pos, the high pressure in the SWP5\_Neg is weaker and the northeasterly flow would act in response accordingly. The weakened cold-sea flow makes the air warmer and dryer and RH lowers significantly decrease by 17.34%. At 500hPa, a trough located at about 130°E controlling the YRD would be strengthened associated with the Japan low pressure appearance. The downward motions would become strong correspondingly and result in SR significantly increases in SR by 628.26 W/m<sup>2</sup>. At last, significantly strengthening SR and decreasing RH lead to increases in the O<sub>3</sub> concentration. The favorable for the O<sub>3</sub> accumulation.



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**Fig. 8.** The geopotential height (shaded) and 850-hPa wind with temperature (color vector) under (a) SWP5\_Pos and (b) SWP5\_Neg. The geopotential height (shaded) and 500 hPa wind with temperature (color vector) under (c) SWP5\_Pos and (d) SWP5\_Neg. The red values represent regional-average wind speed at 500 hPa in the zone around black lines. (e) The regional-average meteorological factors under SWP5\_Pos and SWP5\_Neg, including SR, TCC, 2-m air temperature, RH, meridional wind at 850 hPa ( $V_{850}$ ) and W. The boxed area in Figs.8a-8d encloses the YRD.

**TABLE 3.** Regional mean  $\pm$  the standard error of meteorological factors in Pos and phase and-Neg phases and their difference under each SWP pattern.

| SWP | phase | RH(%)             | SR ( $W/m^2$ )       | T2 ( $^{\circ}C$ ) | LCC             | TCLW            | $V_{850}$ (m/s)  | W (Pa/s)         |
|-----|-------|-------------------|----------------------|--------------------|-----------------|-----------------|------------------|------------------|
| P1  | Pos   | 69.70 $\pm$ 9.69  | 1970.97 $\pm$ 403.19 | 29.90 $\pm$ 4.76   | 0.07 $\pm$ 0.15 | 0.06 $\pm$ 0.08 | 2.89 $\pm$ 2.24  | 0.00 $\pm$ 0.05  |
|     | Neg   | 84.94 $\pm$ 6.53  | 1240.93 $\pm$ 460.18 | 27.45 $\pm$ 4.78   | 0.37 $\pm$ 0.27 | 0.17 $\pm$ 0.14 | 4.27 $\pm$ 2.73  | -0.05 $\pm$ 0.05 |
|     | Diff  | -15.24            | 730.04               | 2.45               | -0.30           | -0.11           | -1.38            | 0.05             |
| P2  | Pos   | 66.49 $\pm$ 10.96 | 1968.41 $\pm$ 377.12 | 28.81 $\pm$ 4.32   | 0.07 $\pm$ 0.14 | 0.06 $\pm$ 0.09 | -2.47 $\pm$ 3.09 | 0.02 $\pm$ 0.05  |
|     | Neg   | 81.29 $\pm$ 10.78 | 1178.34 $\pm$ 479.58 | 23.89 $\pm$ 5.90   | 0.48 $\pm$ 0.31 | 0.19 $\pm$ 0.14 | -1.37 $\pm$ 3.21 | -0.03 $\pm$ 0.06 |
|     | Diff  | -14.79            | 790.06               | 4.91               | -0.41           | -0.13           | -1.10            | 0.05             |
| P3  | Pos   | 76.89 $\pm$ 7.09  | 1371.42 $\pm$ 605.82 | 27.83 $\pm$ 2.45   | 0.34 $\pm$ 0.18 | 0.21 $\pm$ 0.19 | -0.67 $\pm$ 3.43 | -0.02 $\pm$ 0.04 |
|     | Neg   | 88.62 $\pm$ 5.14  | 854.96 $\pm$ 395.09  | 24.77 $\pm$ 4.58   | 0.58 $\pm$ 0.24 | 0.31 $\pm$ 0.16 | 1.93 $\pm$ 3.65  | -0.09 $\pm$ 0.06 |
|     | Diff  | -11.73            | 516.45               | 3.06               | -0.24           | -0.10           | -2.60            | 0.07             |
| P4  | Pos   | 71.11 $\pm$ 7.15  | 1882.33 $\pm$ 388.10 | 30.62 $\pm$ 3.69   | 0.11 $\pm$ 0.16 | 0.12 $\pm$ 0.16 | 0.57 $\pm$ 2.40  | 0.01 $\pm$ 0.04  |
|     | Neg   | 83.37 $\pm$ 6.76  | 1343.80 $\pm$ 547.50 | 28.93 $\pm$ 4.19   | 0.35 $\pm$ 0.24 | 0.19 $\pm$ 0.19 | 2.46 $\pm$ 3.60  | -0.04 $\pm$ 0.06 |
|     | Diff  | -12.26            | 538.53               | 1.69               | -0.24           | -0.07           | -1.89            | 0.05             |
| P5  | Pos   | 68.47 $\pm$ 14.19 | 1827.46 $\pm$ 447.37 | 29.60 $\pm$ 5.25   | 0.07 $\pm$ 0.11 | 0.09 $\pm$ 0.14 | -1.83 $\pm$ 3.42 | 0.01 $\pm$ 0.04  |

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|--------|------------|----------------|------------|-----------|-----------|------------|------------|
| Neg    | 85.81+3.45 | 1199.21+397.17 | 26.43+3.82 | 0.43+0.30 | 0.16+0.09 | -2.31+5.25 | -0.02+0.04 |
| Diff   | -17.34     | 628.26         | 3.17       | -0.35     | -0.07     | 0.48       | 0.03       |
| Others | L          | L              | L          | L         | L         | L          | L          |

### 3.4. Indicators for reconstructing inter-annual O<sub>3</sub> variation affected by synoptic-scale atmospheric circulation

Due to the similar variations in regional mean O<sub>3</sub> concentration and EOF1 time series, we have reconstructed the inter-annual EOF1 time series to replace the regional mean O<sub>3</sub> concentration by taking into account either frequency-variation-only or both frequency and intensity variations in SWPs, which are EOF1 time series (Fre) and EOF1 time series (Fre + Int), respectively. The observed and reconstructed inter-annual EOF1 time series in 2014–2018 over the entire YRD in the whole region are shown in Fig. 9. Obviously, the frequency changes in SWPs almost have no impact on the O<sub>3</sub> variability in the entire YRD. Regarding the intensity change, the fitting curve would be closer to the EOF1 time series. In order to obtain the accurate frequency and intensity change contributions, quantitative evaluation is carried out, we define the contribution index as the difference between the maximum value and the minimum one of a certain reconstructed time series divided by the difference between the maximum value and the minimum value of inter-annual EOF1 time series: Contribution Index = (The reconstructed maximum value – the reconstructed minimum value) / (the original maximum value – the original minimum value). Through the above equation, we derive the relative contribution (contribution index) of the frequency change and the intensive change. Compared with the contribution index of 10.86% for SWPs frequency change, the value of 48.89% for SWPs intensity change accounts for a larger proportion. Therefore, the intensity change in SWP is more important to the inter-annual O<sub>3</sub> variation than the frequency change.

Obviously, the frequency changes in SWPs almost have no impact on the O<sub>3</sub> variability in the entire YRD. Regarding the intensity change, the fitting curve would be closer to the EOF1 time series. During the reconstructed process, we drastically found that SWPIIs (SWP intensity indexes) definition play an important role to reconstructing curve. In As previous studies, Hegarty et al. (2007) and Liu et al. (2019) reconstructed the inter-annual O<sub>3</sub> level in the northeastern United States and the northern China using the same method as ours. Moreover, they defined the intensity change

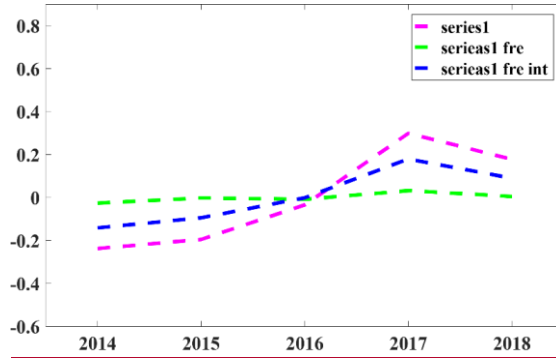
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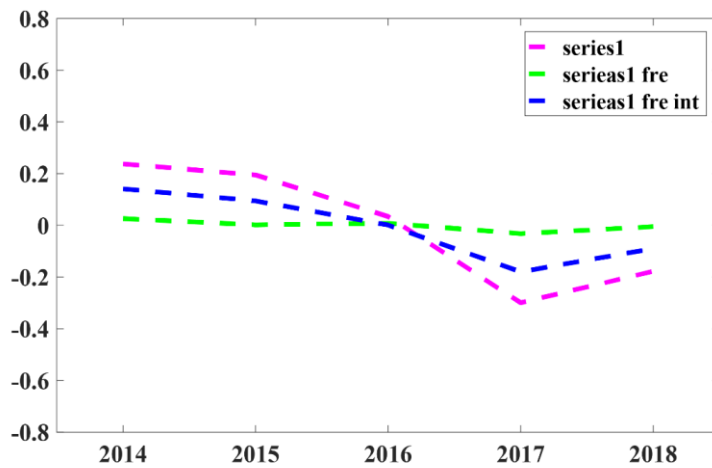
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in SWPs using the domain-averaged sea level pressure and the pressure of the lowest-pressure system. ~~However, As illustrated by Hegarty et al. (2007), but~~ the correlation under Hegarty's Pattern V is poor, ~~which has negative effect on their reconstructed curve. It indicates we should select the SWPIIs under each pattern according to their unique characteristics on high O<sub>3</sub> concentration. Therefore, So we~~ select six SWPIIs and judge their rationality through their correlation coefficients with EOF1 time series under each SWP: the maximum ~~geopotential height~~pressure in zone 1 (25°N–40°N, 110°E–130°E) and zone 2 (20°N–50°N, 90°E–140°E), the minimum ~~geopotential height~~pressure in zone 1 (25°N–40°N, 110°E–130°E) and zone 2 (20°N–50°N, 90°E–140°E), and the average ~~geopotential height~~pressure in zone 1 (25°N–40°N, 110°E–130°E) and zone 3 (10°N–40°N, 110°E–130°E). As shown in Table 2, ~~for SWP3 and SWP5,~~ the SWPII for the maximum ~~geopotential height~~pressure in zone 1 has a relative high correlation ~~between SWP3 and SWP5. For SWP1 and SWP4,~~ and the SWPII for the maximum ~~geopotential height~~pressure in zone 2 has a relative high correlation ~~between SWP1 and SWP4. we found that~~ The annual EOF1 time series anomalies ~~the maximum geopotential height~~ show a relatively close ~~good~~ correlation with ~~the annual EOF1 time series the maximum pressure. It is. It is~~ because the maximum ~~geopotential height~~pressure reflects the wind speed affecting ~~g the~~ water vapor transport under this pattern. Compared with SWP3 and SWP5, the ~~synoptic weather~~ systems ~~are~~is larger than the classification region for SWP1 and SWP4. ~~So it shows So it can represent better correlation coefficients the SWPII more precisely in the~~ large zone 2 than in zone 1 under SWP1 and SWP4 ~~region. Under For~~ SWP2, when O<sub>3</sub> concentration tends to be at a high level, a cold continental high behind the YRD ~~would tends~~ to weaken. Therefore, we select the average ~~geopotential height~~height in zone 3 to represent the SWPII ~~under SWP2. From Table 42 shows that, we can know the it has better~~ reconstructed curve ~~becomes good~~ when we selected different SWPIIs according to the characteristics of high O<sub>3</sub> level under each ~~pattern SWP. Above all, the intensity change in SWP is more important to the inter annual O<sub>3</sub> variation than the frequency change.~~



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Fig. 9. The trend of the inter-annual EOF1 time series in the warm seasons. The pink curve represents the original inter-annual EOF1 time series in the warm seasons, the green line represents the reconstructed EOF1 time series only accounting the frequency variation in SWPs, and blue line represents the reconstructed ones accounting both the frequency and the intensity variations in SWPs.

~~Fig. 9. The original and reconstructed inter annual EOF1 time series trend based on SWP frequency and intensity variations. The pink curve represents the original inter-annual EOF1 time series, whereas the green and blue lines are the trends of reconstructed inter-annual EOF1 time series according to the frequency-variation-only and both frequency and intensity variations in SWPs, respectively.~~

**TABLE 42. Correlation coefficients between EOF1 time series and different SWPIIs under each SWP.**

| Type | Z <sub>1-ave</sub> | Z <sub>1-max</sub> | Z <sub>1-min</sub> | Z <sub>2-min</sub> | Z <sub>2-max</sub> | Z <sub>3-ave</sub> |
|------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| SWP1 | -0.47              | -0.29              | -0.35              | -0.33              | -0.60              | -0.32              |
| SWP2 | -0.14              | -0.08              | 0.02               | -0.07              | -0.09              | -0.40              |
| SWP3 | 0.28               | 0.61               | 0.03               | 0.05               | 0.43               | -0.60              |
| SWP4 | -0.14              | -0.03              | -0.17              | -0.22              | 0.78               | -0.38              |
| SWP5 | 0.52               | 0.76               | 0.39               | 0.56               | 0.72               | 0.58               |

#### 4. Conclusions and discussions

In this study, we discussed the meteorological influences on the O<sub>3</sub> variation in the warm seasons during 2014–2018 in the YRD, China. Specifically, we analyzed the O<sub>3</sub> spatio-temporal distribution characteristics, quantified the contribution of meteorological conditions to the O<sub>3</sub> variations, explored how the changes in SWPs and corresponding meteorological factors lead to O<sub>3</sub> increase in the YRD over 2014–2018, and assessed quantitatively analyzed the contributions impact of SWP frequency and intensity to the inter-annual O<sub>3</sub> variation in the region. The main conclusions are as follows.

The annual mean regional averaged O<sub>3</sub> concentrations during the warm seasons averaged over from 2014 to 2018 in the YRD are 32.49, 33.03, 35.14, 37.44 and 35.98 ppb, respectively, for each year from 2014 to 2018, with a significantly increasing rate of 1.81 ppb year<sup>-1</sup> (5.21% year<sup>-1</sup>). Meanwhile, At the same time, the total number of days on which O<sub>3</sub> concentration exceedings the national standard also increases with year in a similar pattern. Through the EOF analysis of O<sub>3</sub> in space and time, three dominant modes were identified. The first mode is the most dominant mode, accounting for 65.7% of the O<sub>3</sub> variation, suggesting implying that increase tendencies in the O<sub>3</sub> increasing prevail over in the entire YRD is the main tendency. A high correlation coefficient between the EOF1 time series and RH ( $R_{1h} = 0.59$ ) indicates that RH is the most influential factor leading to the O<sub>3</sub> increase.

We quantified the influence of meteorology on the inter-annual variation and trend of O<sub>3</sub> over the YRD from 2014–2018, and found that the influence could lead to a regional O<sub>3</sub> increase by

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3.032.81 ppb at most. Especially, ~~compared to SR and LCC of relatively large impacting on O<sub>3</sub> variation~~, RH ~~plays the most~~ plays the most important role in modulating the inter-annual O<sub>3</sub> variation, followed by SR and LCC. ~~It indicated that RH impacts on O<sub>3</sub> concentration through two ways. One is gas phase H<sub>2</sub>O reacting with O<sub>3</sub> ( $O_3 + H_2O(gas) + hv \rightarrow O_2 + 2OH$ ). The other is its influencing on clouds and thereby shielding SR. To~~Moreover, in order to explore connections between the O<sub>3</sub> variation and synoptic circulations, ~~we further identified nine types of SWPs were~~ objectively ~~identified~~ based on the PTT method, and ~~selected five main types were selected to~~ explore their impact on O<sub>3</sub> variation. ~~correlate with the EOF1 time series. The typical weather systems of the five SWPs include the WPSH under SWP1, a continental high under SWP2, an extratropical cyclone under SWP3, a southern low pressure and a WPSH under SWP4 and the north China anticyclone under SWP5. Combining EOF1 time series variation under each SWP, w~~We found that the variation in all SWPs over 2014–2018 are favorable to O<sub>3</sub> increase ~~during~~in that period. ~~The variation in SWP intensity include the WPSH weakening and northward extending under SWP1, a continent high weakening under SWP2.~~ However, the crucial ~~changes in~~ meteorological factors ~~attributable to the increases in~~causing the increasing of O<sub>3</sub> concentrations are different under each SWP. For SWPs ~~1, SWP4 and SWP5~~, the crucial ~~changes in~~ meteorological ~~factors include significant decreases in~~factors ~~are significantly decreasing~~ RH and ~~increases in~~strengthening SR, which are predominantly ~~attributable to~~caused by the WPSH weakening and northward extending under SWP1, the southern low pressure weakening and the WPSH weakening under SWP4, and the north China anticyclone weakening under SWP5. These changes in weather systems ~~prevent the water vapor from being transported to the YRD and result in RH significantly decreased by 15.24, 12.26 and 17.34%, respectively. Moreover, the significant~~ly decreases ~~in~~ing RH and ~~increases in the strengthening~~ downward motion (behind the strengthening trough and in front of the strengthening ridge) lead to less LCC, and thereby SR significantly increases by 730.04, 538.53 and 628.26 W/m<sup>2</sup>, respectively. ~~Under~~For SWP2, the crucial ~~changes in~~ meteorological factors are significant ~~ly decrease~~ increasing RH ~~by 14.79%, and increases in, strengthening SR by 790.06 W/m<sup>2</sup> and increasing T<sub>2</sub> by 4.91 °C. RH significantly decreases by 14.79%, SR significantly strengthen~~increases ~~by 790.06 W/m<sup>2</sup> and T<sub>2</sub> significantly increases by 4.91 °C.~~These changes are ~~mainly~~chiefly ~~induced~~produced by a continent high weakening, which has a similar

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influential mechanism between RH, LCC and SR with SWPs 1, 4 and 5. In addition, significantly increases in T2 would be due to weakening cold flow introduced by a weakening continent high. Under SWP3, the RH is significantly decreasing. Under SWP3, RH significantly decreases by 11.73% is mainly induced by an intensified extratropical cyclone that strengthens blocks the southerly flow carrying water vapor into the YRD. These change are critical to O<sub>3</sub> formation under each SWP.

As the overall change in SWP intensity and that in SWP frequency contribute to 498.89% and 110.86% to the changes in ozone, we conclude that the change in SWP intensity contribution index of SWP frequency change, an extratropical cyclone strengthening under SWP3, the southern low pressure weakening and the WPSH weakening under SWP4, and the north China anticyclone weakening under SWP5. All these changes prevent the water vapor from being transported to the YRD and increase air temperature in YRD. In addition, the downward motions strengthen in the YRD, which is behind the trough and in front of the ridge due to the strengthening of the ridge and trough, leading to less cloud cover and stronger SR. All of these are favorable to O<sub>3</sub> formation and accumulation.

We found that the change in SWPs intensity is more important to the O<sub>3</sub> increase over 2014–2018 than that in SWPs frequency. We further reconstructed the EOF1 time series by considering different SWPIs due to the unique characteristics of each SWP. The results are better than those in Hegarty et al. (2007) and Liu et al. (2019) who used the same SWPIs in all SWPs.

In summary, this study quantified the inter-annual variation and increasing rate of O<sub>3</sub> in the YRD, China, and explored the connection between SWP variations and the O<sub>3</sub> increase. It provides an enhanced understanding of response of O<sub>3</sub> variation to changes in SWPs from year to year and thus this understanding may be insightful to planning strategies for O<sub>3</sub> pollution control.

#### Authorship contribution statement

**Da Gao:** Conceptualization, Data curation, Formal analysis, Meteorology, Investigation, software, Writing – original draft, Writing – revision. **Min Xie:** Conceptualization, Methodology, Writing – revision, Project administration, Funding acquisition. **Jane Liu:** Formal analysis, Meteorology, Writing – revision. **Tijian Wang:** Formal analysis, Funding acquisition. **Chaoqun**

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**Ma:** Formal analysis, Meteorology. **Haokun Bai:** Formal analysis, Meteorology. **Xing Chen:** Formal analysis. **Mengmeng Li:** Formal analysis. **Bingliang Zhuang:** Formal analysis. **Shu Li:** Formal analysis

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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