

## PM<sub>1</sub> composition and source apportionment at two sites in Delhi, India across multiple seasons

Referee 1 comments in black. The response to the comments in orange.

This is what I would consider a “standard” AMS and aethalometer study to document air pollution levels in a major urban area in a part of the world where air quality is among the worst; thus, it does fall within the scope of ACP. Its novelty lies in the application of proven methodology in a new location with a sparse amount of spatial and temporal resolution. It is not ground-breaking, but it is important, as the conclusions are relevant for air quality control efforts in what many consider the developing world. The question then becomes, however – could more be done with the data? On the whole, it is well written and easy to follow (though some care needs to be paid to figure and table numbering), the abstract and title are accurate, and the authors use appropriate citations. The figures are easily read and understood – especially for members of the community who do this sort of work (diurnal profiles, time series, AMSPMF factor spectra).

The authors appreciate the value of the reviewer’s comments and the improvements to the quality of the manuscript that have resulted from our responses.

My main concern and why I am unable to recommend publication at this time is the lack of evidence that the instruments are actually reporting comparable data – this is based on a lack of comparison of the AMS spectra and on the lack of agreement (approaching 25% difference) in the one set of collocated data shown. See below.

When comparing the total aerosol concentration measured by the two instruments, the total aerosol concentration for cToF-AMS is 26.5  $\mu\text{g}\cdot\text{m}^{-3}$  and for HR-ToF-AMS is 32.1  $\mu\text{g}\cdot\text{m}^{-3}$ ; a 21% difference. This is an acceptable comparison in the context of previous studies that have found uncertainties between 19 – 50 %. The disagreement during the intercomparison is likely a result of a difference in fragmentation or organic relative ionization efficiency but will not affect the factorisation analysis. For instance, Frohlich et al. (2015) in a 15 aerosol mass spectrometer instruments intercomparison, identified f44 to vary by a factor of between 0.6 and 1.3 compared to the mean across all instruments and concluded that no significant influence on the total factor contribution was noticed. The difference of 21% in the present manuscript should be taken to be representative of the uncertainties in the absolute concentrations at the different sites, but this should not affect the diurnal profiles and conclusions of the paper.

The following paragraph has been edited in section S2.1 of the supplement: An intercomparison was performed between the cToF-AMS and the HR-ToF-AMS (fig. S7), deployed at OD over pre-monsoon in order to perform an intercomparison (28/May – 09/June), obtaining average concentrations, in  $\mu\text{g}\cdot\text{m}^{-3}$ , of 15.0 and 19.1 of Org, 1.7 and 1.6 of  $\text{NO}_3^-$ , 6.8 and 8.3 of  $\text{SO}_4^{2-}$ , 2.5 and 2.6 of  $\text{NH}_4^+$ , 0.4 and 0.5 of Cl<sup>-</sup> for cToF-AMS and HR-ToF-AMS respectively. The total aerosol concentration for cToF-AMS is 26.5  $\mu\text{g}\cdot\text{m}^{-3}$  and for HR-ToFAMS is 32.1  $\mu\text{g}\cdot\text{m}^{-3}$ , a difference of 21%. This is well within the range of previous AMS comparison studies. Crenn et al. (2015) estimated an organic mass uncertainty of 19 %. Bahreini et al. (2009) estimated an overall uncertainty of 35 %, agreeing with other AMS studies (DeCarlo et al., 2008;Dunlea et al., 2009). Recently, a 50% uncertainty has been reported by Shinozuka et al. (2020).

The following paragraph (line 164) has been edited in the main manuscript: In this study, the cToF-AMS and the HR-AMS agree to within 21% from parallel ambient measurements in OD during the pre-monsoon season (Figure S7). Previous comparisons of AMS instruments have shown agreement between instruments of between 19 and 50% and 35% is widely recommended as the absolute accuracy of AMS instruments (DeCarlo et al., 2008;Dunlea et al., 2009;Bahreini et al., 2009;Crenn et al., 2015;Shinozuka et al., 2020).

While there are differences in the response of the two instruments, we do not consider these to undermine the core conclusions of the paper and considered it important to report these as openly as possible, given that most comparable papers only report data from a single instrument without such checks in place. A quantitative difference in the mass concentrations (21%) can be taken as indicative of the accuracy of the comparisons

between the sites. Differences in the precise mass spectral response between instruments has been noted in previous publications, however it has also been shown that this is mitigated through the use of PMF because these are manifested by changes in the factor profiles, not the factor mass concentration time series (Frohlich et al., 2015).

Specific comments Line 154, should Figure 1 be called out here? In fact, Figure 1 is not called out at all until Line 226, though figures should be called out sequentially.

Figure 1 has been referred to in line 150.

Line 165, the C and HR-MS comparison concentrations in S5 do not match the numbers given in the preceding text. In addition, they disagree by ~6  $\mu\text{g}/\text{m}^3$  (26 for C, 32 for HR), which is a 23% difference.

In figure S5 (now figure S7), the total aerosol concentration for cToF is 26.5  $\mu\text{g}/\text{m}^3$  and for HR is 32.1  $\mu\text{g}/\text{m}^3$ , a difference of 21%.

To clarify, the comparison shown in figure S5 (now figure S7) shows the data 28/May – 09/June for both cToF and HR instruments. The data presented in the manuscript is 28/May -09/June for cToF and 26/May – 28/June for the HR. The dates of the data used in the manuscript are presented in Table 1. The HR-ToF-AMS was operated by a local researcher, allowing to continue sampling until late June.

**Table 1. Measurement dates and instrumentation. Winter (Win), pre-monsoon (PreM), monsoon (Mon) and post-monsoon (PostM). New Delhi (ND) and Old Delhi (OD) sites. ACSM (A), two HR-ToF-AMS instruments were used (H1 and H2), cToF-AMS (C). Eddy-covariance flux measurements tower (T).**

Instrument	Event	start	end	Days	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
ACSM	Win_ND_A	05/02	03/03	26											
HR-AMS_1	PreM_ND_H1	24/04	30/05	36											
cToF-AMS	PreM_OD_C	28/05	09/06	12											
HR-AMS_2	PreM_OD_H2	26/05	28/06	33											
HR-AMS_2	Mon_OD_H2	03/08	19/08	16											
HR-AMS_2	PostM_OD_H2	09/10	04/11	26											
cToF-AMS	PostM_OD_C	11/10	15/10	4											
HR-AMS_2	PostM_OD_T_H2	06/11	20/11	18											
cToF-AMS	PostM_ND_T_C	06/11	20/11	32											

Does this limit the robustness of the spatial comparison made? I actually think this is the most significant weakness of this manuscript. How can the authors justify comparing data from separate locations/times when two of the instruments do not agree when collocated? Were any comparisons done on the PMF results? Are their spectra similar? Do the PMF results actually indicate that the factors being compared have similar characteristics such as O:C, H:C, etc.? Before I can recommend this paper for publication, I need to be convinced that the data are actually comparable, not just told that they are, even if it is in the supplement (line 254). Simply showing the spectra in Figure 4 is insufficient in my opinion.

As mentioned above, a 21% difference is well within the range of previous AMS intercomparisons. The disagreement during the intercomparison is likely a difference in fragmentation or organic relative ionization efficiency but will not affect the factorisation analysis. For instance, Frohlich et al. (2015) in a 15 aerosol mass spectrometer instruments intercomparison, identified f44 to vary 0.6 – 1.3 compared to the mean across all instruments and concluded that the variability on f44 have important influence on the resulting factor profiles but no significant influence on total factor contribution was noticed. The disagreement of 21% in the present manuscript should be taken to be

representative of the errors in the absolute concentrations at the different sites, but this should not affect the diurnal profiles and conclusions of the paper.

A PMF analysis has been performed to the Org concentrations measured with the two AMS instruments, resulting on a considerable good comparison. The following text has been added to section S2.1 of the supplement and figure S7 has been updated as follows:

A PMF analysis was performed to the Org concentrations measured with the cToF-AMS and the HR-ToF-AMS. Figure 7c shows the mass spectra comparison of the factor profiles identified and figure 7.d shows the triangle plot, f44 – f43, to compare and describe OOA. The dotted lines represent the space proposed by Ng et al. (2011) to characterise OOA. The parameters f43 and f44 represent the ratio of the integrated signal at m/z 43 and m/z 44 to the total signal in the organic component mass spectrum. The same OA factors were identified in the two PMF analyses, HOA, MO-OOA, BBOA, COA and LO-OOA. This analysis verifies the AMS intercomparison, with the same OA factors and similar ageing (f44-f43).

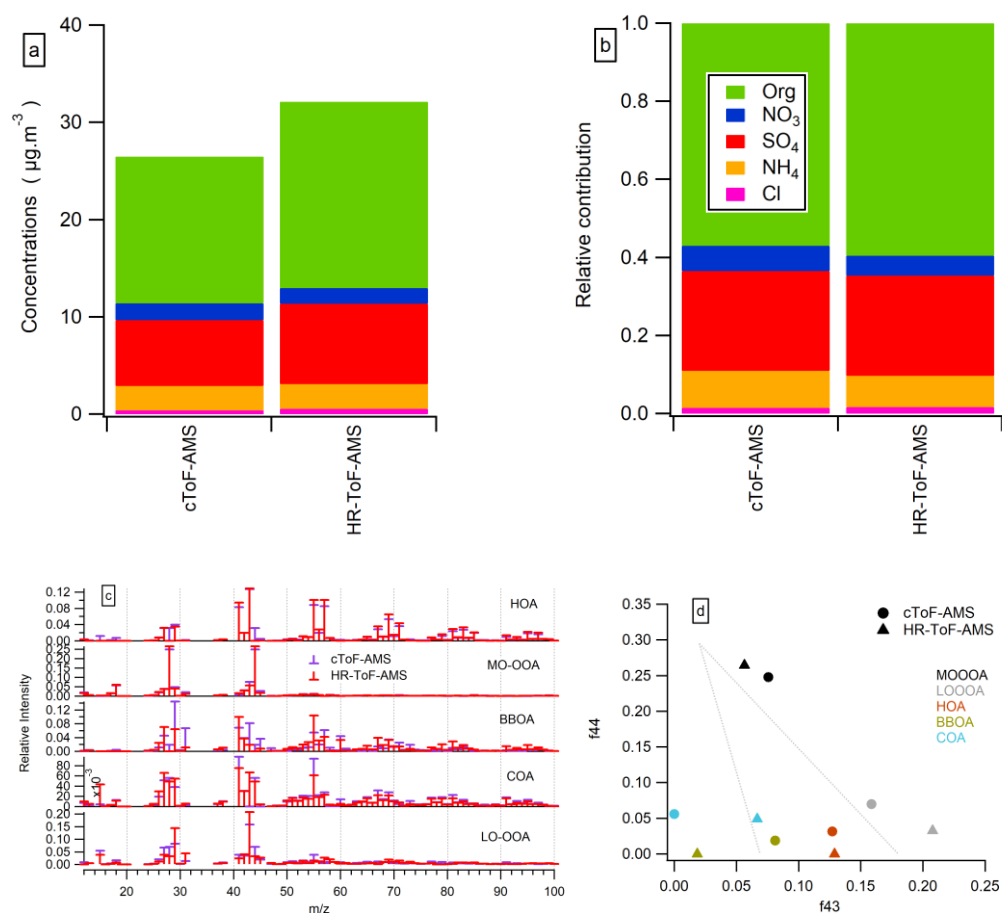


Figure S7. Average concentrations (S7.a) and relative contribution (S7.b) of Org,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$  and  $\text{Cl}^-$  for the cToF-AMS and the HR-ToF-AMS. Mass spectra comparison from PMF analysis (S7.c) and f44-f43 triangle plot (S7.d) to compare OA ageing according to Ng et al. (2011).

Additionally, the triangle plot looking at the f44 – f43 space is plotted for all the datasets. The following text and figure have been added to the supplement. Figure S13 shows the triangle f44 – f43 plot to describe and compare OOA. The dotted lines represent the space proposed by Ng et al. (2011) to characterise OOA. The parameters f43 and f44 represent the ratio of the integrated signal at m/z 43 and m/z 44 to the total signal in the organic component mass spectrum. We can see the typical

behaviour of MOOOA with high f44 values compared to LOOOA, characteristic of a more aged, oxygenated OA. MOOOA, in black, while having different values, is found in a distinct area in the plot with f44 between 0.18 - 0.26, while LOOA with low f44 (0.10) and high f43, characteristic of fresher OOA when compared with MOOOA. HOA, in brown, has a low f44, close to zero, and distinct f43 values of 0.08 - 0.13. oPOA, in purple, has slightly high values of both f44 and f43, agreeing with the identification as to be oxygenated primary organic aerosol. This analysis suggests a good separation on the oxygenated species between factor profiles and shows an f43 cluster of HOA. While f44 shows, in general, distinct values for the OA profiles, f43 shows more spread values, considered to be related to the variability on OA sources and processes of the different periods and sites.

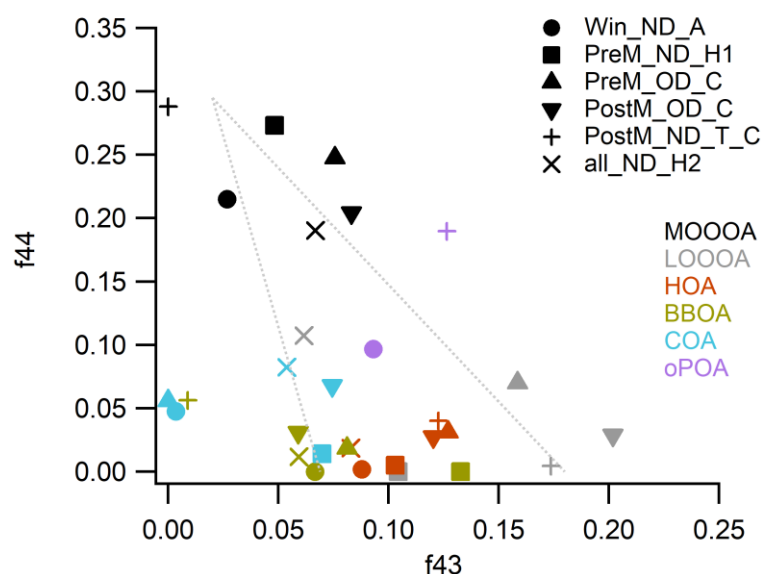


Figure S13. f44 vs f43 for all the periods and all the factor profiles and identified with PMF analysis. The symbols represent the sampling periods/sites and the colours define the PMF factor profiles.

Line 179, should this be table S1, not table 1?

Edited text in the manuscript: Table 1 shows details about the instrument locations and sampling periods and table S1 presents the collocated instruments with the mass spectrometers.

Line 201 (and 205 and other places), I would argue that the concentrations observed are lower, not low. 80-200 ug/m3 are still very large concentrations

The changes have been applied as suggested.

Line 245. I believe most AMS data are presented as LO-OOA and MO-OOA (less and more oxidized) rather than as SV and LV, respectively, in more recent literature.

The changes have been applied as suggested.

Section 4.1. I find the discussion on oPOA to be fairly weak, with no justification of the suppositions made. It makes sense that meteorology impacts the oPOA dynamics, then it is mentioned that it tracks sulfate, then it is stated that oPOA may have a similar volatility as NH<sub>4</sub>Cl. No conclusions are made. I suggest this portion of the discussion be removed or strengthened considerably.

The conclusion about oPOA has been edited and extended as follows: One additional factor (oPOA) had a particular diurnal trend, similar to Cl<sup>-</sup>, and a mass spectral signature similar to OOA. However, from examination of the polar plots (Fig.S20), oPOA appears to have similar source sectors to SO<sub>4</sub><sup>2-</sup>.

This suggests oPOA may be semi-volatile and driven by changes in T and RH, like Cl<sup>-</sup>, whilst having different sources, undetermined at this time.

Supplement S2. Please clarify how CE was determined. The paper states that the authors used 0.5 based on the ACSM manual. However, in the plots, some show CE =1, and others show CE = 0.5 (while others show CDCE). In addition, the x-axis in these plots show PM<sub>2.5</sub>, not PM<sub>1</sub>. Is that reasonable to use?

The co-authors apologise for not presenting detailed information about the CE determination. Section S2.1 in supplement has been updated with detailed analysis:

#### S2.1 Calibrations and collection efficiency estimation.

Table S1. Nitrate ion efficiency (IE) and relative IE (RIE) for NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup> and Cl<sup>-</sup> from calibrations performed on the aerosol mass spectrometer instruments. <sup>a</sup>preflux period (11/10/18 - 03/11/18). <sup>b</sup>Diwali period (05/11/18 - 14/11/18). <sup>c</sup>post Diwali (14/11/18 - 23/11/18).

Instrument	Season	IE	RIE_NH <sub>4</sub> <sup>+</sup>	RIE_SO <sub>4</sub> <sup>2-</sup>	RIE_Cl <sup>-</sup>	CE
cToF-AMS	PreM	1.55E-07	4.01	1.17	1.5	0.5
cToF-AMS	PostM	2.40E-07	4.6	1.2	1.7	0.5
HR-AMS_1	PreM	3.25E-07	4	1.31	1.3	0.5
HR-AMS_2	PreM	2.92E-07	4	1.45	2.07	0.5
HR-AMS_2	Mon	2.92E-07	4	1.45	2.07	0.5
HR-AMS_2	<sup>a</sup> PostM	2.89E-07	4	1.45	2.07	0.5
HR-AMS_2	<sup>b</sup> PostM	3.14E-07	4	1.45	1.05	0.8
HR-AMS_2	<sup>c</sup> PostM	3.14E-07	4	1.45	1.05	0.5

Collocated PM<sub>2.5</sub> measurements were performed in a Digital sampler (DH-77 Digital Enviro-sense) with a flow rate of 500 L/min. collecting 12-hour samples in quartz fibre filters. The samples were analysed with Ion Chromatography (IC) to measure anion and cation data, including blank subtraction. Components analysed include phosphate, nitrate, bromide, sulphate, nitrite, chloride, fluoride, K<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, NH<sub>4</sub><sup>+</sup> and Na<sup>+</sup>. Collected filters were kept frozen and transported to the University of Birmingham for analysis. For the IC analysis, deionized water was used for blank determination and subtraction. 10 ml of DI water were added to samples. Extraction tubes were sonicated for 1 hour with bath temperature not exceeding 27 °C. Next day filter the extract solution for each sample tube using a 10 ml plastic filter and 0.45 µm syringe filter (star labs) into a new labelled polypropylene tube, finally, the sample is ready for IC analysis.

A Partisol (2025i, ThermoFisher Scientific) was deployed to perform 6 hourly gravimetric PM<sub>2.5</sub> mass. These measurements are used in this manuscript to determine the collection efficiency of the HR-AMS\_2.

For HR-AMS\_2, a CE = 0.5 was used for preM and postM preflux tower periods, which was determined by comparing AMS+BC with gravimetric PM<sub>2.5</sub> (Fig. S4.b) and Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> quantified by IC from filter measurements (Fig. S6). In the PostM flux period, for the HR-AMS\_2, a CE = 1.0 was derived after comparison with total PM<sub>2.5</sub> (fig. S4.d). For the HR-AMS\_1 measurements, a CE = 0.5 after the intercomparison with the HR-AMS\_2 (fig. S7). The ACSM manual recommends using a CE = 0.5.

Figure S5 presents the time series of PM<sub>1</sub> online measurements (HR-AMS\_2 + BC) and total gravimetric PM<sub>2.5</sub> concentrations. Using CE = 0.5 (Fig. 5.b) shows the best agreement between PM<sub>1</sub> and PM<sub>2.5</sub>, with a PM<sub>2.5</sub>:PM<sub>1</sub> ratio going from 0.8 to 1.4.

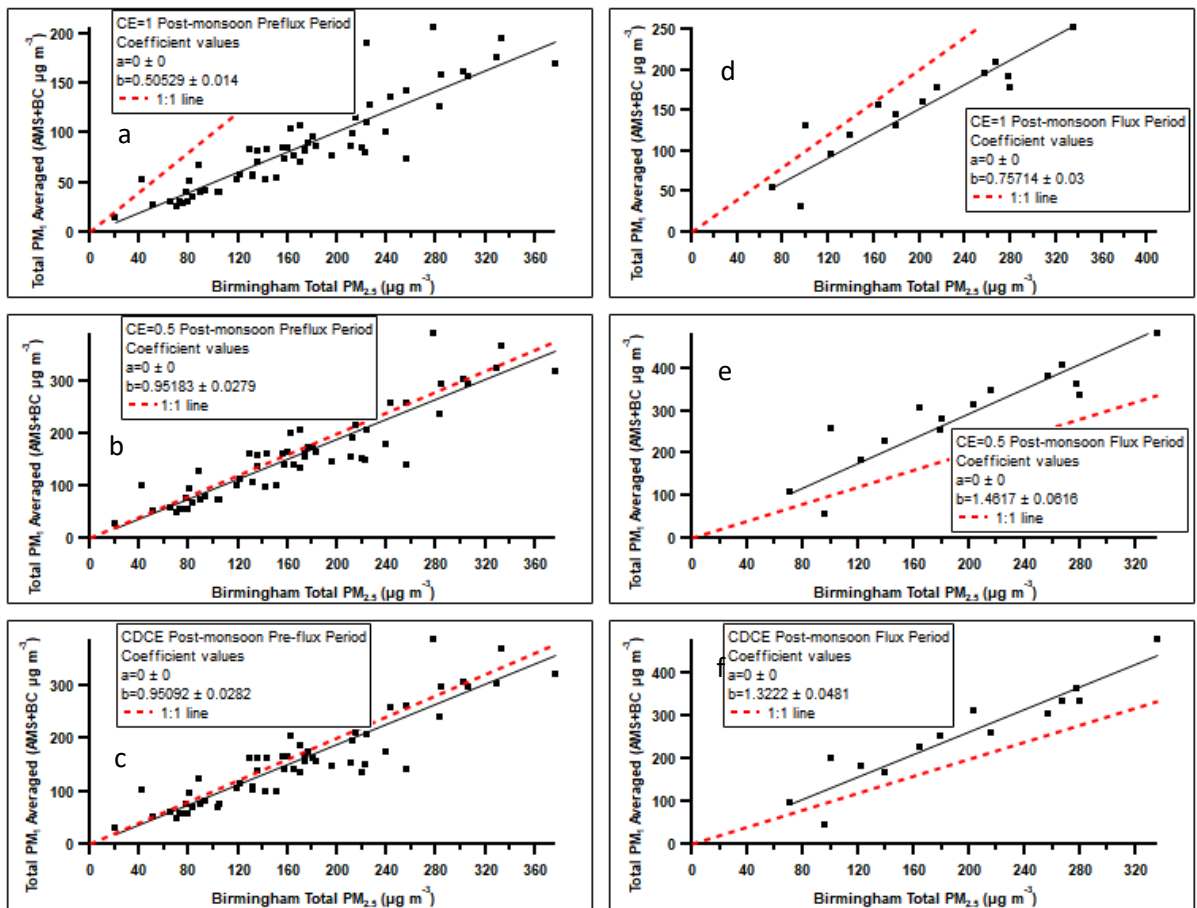


Figure S4 Comparison of total  $PM_1$  (HR-AMS<sub>2</sub> + BC) with total gravimetric  $PM_{2.5}$  to determine collection efficiency (CE) with HR-AMS<sub>2</sub> and aethalometer (BC) measurements. All AMS+BC measurements are averaged according to filter sampling times.

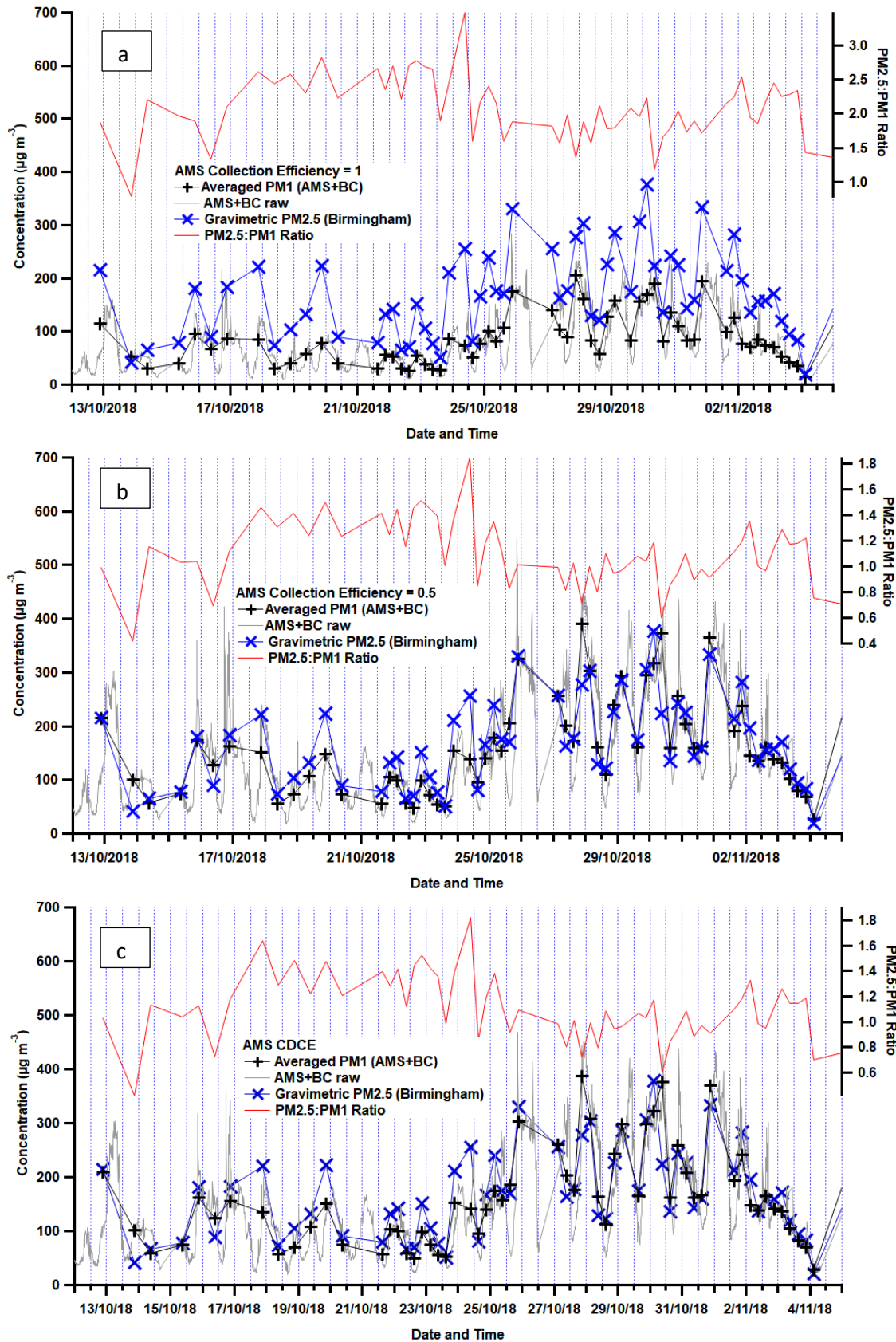


Figure S5 Analysis of the HR-AMS\_2 and total gravimetric PM2.5 for the PostMon preflux period. Time series of averaged PM1 (AMS + BC) (black line) and gravimetric PM2.5 (blue line) for CE = 1 (a), CE = 0.5 (b) and CDCE (c). The PM2.5:PM1 ratio is shown in red. All AMS+BC measurements are averaged according to filter sampling times.

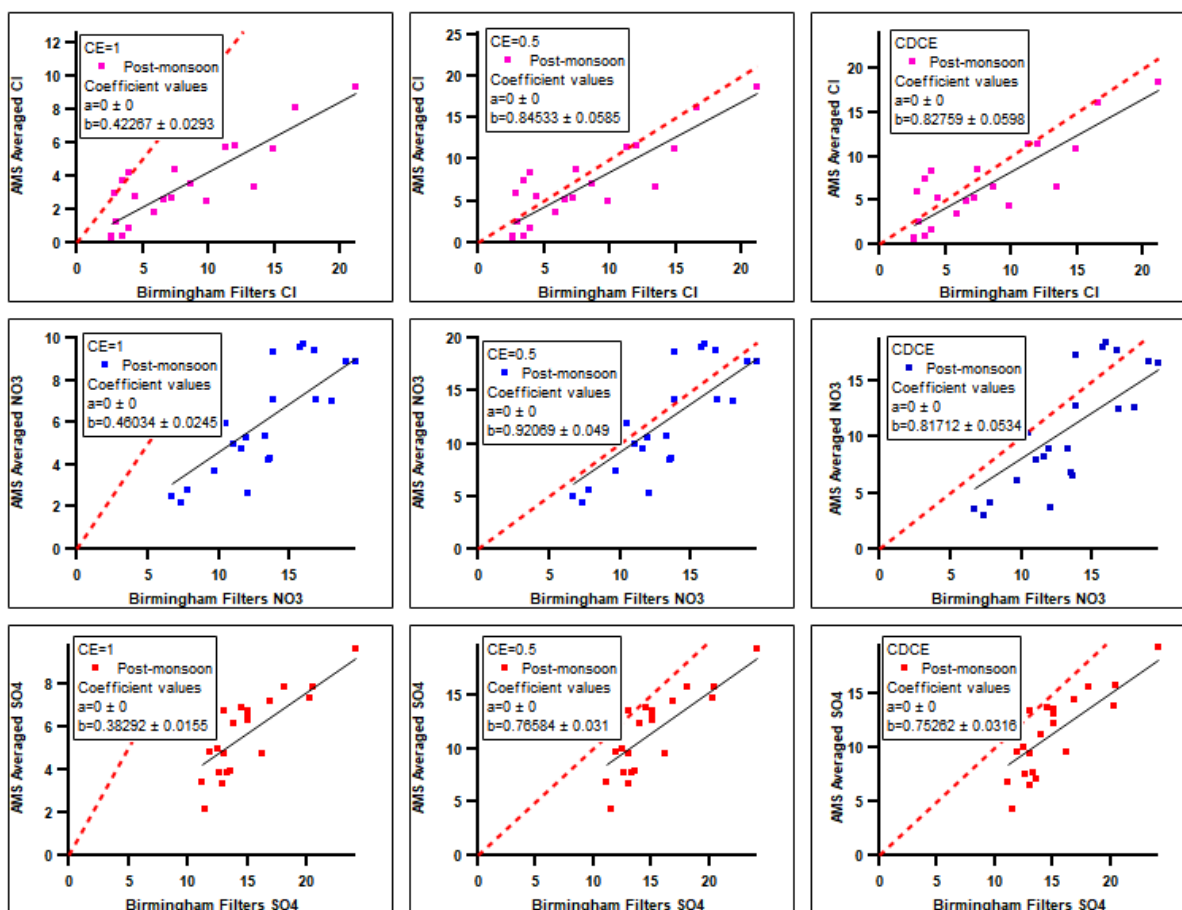


Figure S6 Correlations of Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> between HR-AMS<sub>2</sub> and filters analysed with ICP-MS by the University of Birmingham for CE = 1, CE = 0.5 and composition dependant CE (CDCE). All AMS+BC measurements are averaged according to filter sampling times.

Supplement S3 and S4. Please check the figure numbering.

The figure numbering has been updated in supplement S3 and S4.

Supplement S5. Please correct the caption for S17, as it shows more than Cl and oPOA.

Citation updated as follows: Figure S20 (before Figure S17). Polar plots of various aerosols. Median concentrations [ $\mu\text{g}\cdot\text{m}^{-3}$ ].

Bahreini, R., Ervens, B., Middlebrook, A. M., Warneke, C., de Gouw, J. A., DeCarlo, P. F., Jimenez, J. L., Brock, C. A., Neuman, J. A., Ryerson, T. B., Stark, H., Atlas, E., Brioude, J., Fried, A., Holloway, J. S., Peischl, J., Richter, D., Walega, J., Weibring, P., Wollny, A. G., and Fehsenfeld, F. C.: Organic aerosol formation in urban and industrial plumes near Houston and Dallas, Texas, *Journal of Geophysical Research: Atmospheres*, 114, <https://doi.org/10.1029/2008JD011493>, 2009.

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Dunlea, E. J., DeCarlo, P. F., Aiken, A. C., Kimmel, J. R., Peltier, R. E., Weber, R. J., Tomlinson, J., Collins, D. R., Shinozuka, Y., McNaughton, C. S., Howell, S. G., Clarke, A. D., Emmons, L. K., Apel, E. C., Pfister, G. G., van Donkelaar, A., Martin, R. V., Millet, D. B., Heald, C. L., and Jimenez, J. L.: Evolution of Asian aerosols during transpacific transport in INTEX-B, *Atmos. Chem. Phys.*, **9**, 7257-7287, 10.5194/acp-9-7257-2009, 2009.

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