

Responses to Anonymous Referee #1

General comments

Given that most of the current studies on the effects of ENSO on aerosols focus on winter and very few on spring, the authors analyzed the effects of ENSO on spring aerosols in East Asia using MERRA2 reanalysis aerosol data from 1980-2019. It is pointed out that during the subsequent spring of El Niño (La Niña) event, dry (wet) air and less (more) precipitation favored an increase (decrease) in biomass burning activity in northern Indochina, resulting in more (less) carbonaceous aerosol emissions. At the same time, the El Niño (La Niña)-related anomalous anticyclone (cyclone) in the western North Pacific enhances (weakens) low-level southwesterly winds from the northern Indochina peninsula to southern Japan, delivering more (less) carbonaceous aerosols downstream. These result in above-normal (below normal) aerosols in the Indochina Peninsula, southern China and the ocean south of Japan. Moreover, the authors note that ENSO's impact on the ensuing spring aerosols is mainly attributed to EP ENSO rather than CP ENSO. The overall structure and layout of the manuscript is clear and the experimental design is reasonable. I will suggest it to be accepted after addressing my comments below.

Response: We greatly appreciate these comments and suggestions. The manuscript would be improved in the process of response. Our responses are given point by point below in blue. The revised text is highlighted in red.

Specific comments

The authors used AOD to represent aerosols throughout the manuscript. It should be caution that AOD is only the optical property of aerosols, which is not fully representative as aerosol mass or loading. AOD depends on aerosol mass, relative humidity, aerosol size distribution, reflective index, and mixing state...

Response: We agree that there are indeed some limitations of using AOD to represent aerosol burden. However, AOD has been widely used to investigate the interactions between aerosols and climate systems (e.g., Wu et al. 2013; Yang et al., 2016; Lau et

al., 2018; Sun et al., 2018; Che et al., 2019). Our study shows that the ENSO-induced East Asian AOD anomalies are mainly attributed to carbonaceous aerosols. This result is also verified by the AOD of carbonaceous aerosol, carbonaceous aerosol flux, carbonaceous aerosol mixing ratio, and the relevant atmospheric circulation fields. Therefore, we believe that our qualitative conclusions are reasonable and acceptable.

Nevertheless, in response to this comment, the following sentence has been added to the conclusions and discussion section (Page 11, Line 20) to further clarify such limitations: “although AOD has been widely used to explore the interactions between aerosols and climate systems in the literature (e.g., Wu et al. 2013; Yang et al., 2016; Lau et al., 2018; Sun et al., 2018; Che et al., 2019), it only represents the optical property of aerosols and could be also affected by other factors such as relative humidity, aerosol size distribution and reflective index (Hänel, 1976; Horvath, 1996).”

Page 3. Besides the impacts of ENSO on aerosols, aerosols can in turn affect ENSO through changing radiative balance and poleward heat transport (e.g., Yang et al., 2016; Lou et al., 2019).

Response: As suggested by the reviewer, we have read the relevant papers carefully and learned that aerosols can affect the amplitude of ENSO and the frequency of extreme events. Thus, we have revised the some relevant statements around Lines 3–7, Page 2 in the revised manuscript to the following: “Both effects by aerosols can induce strong large-scale atmospheric circulation change (Allen et al., 2012; Song et al., 2014; Shen and Ming, 2018; Deng et al., 2020), regional climate responses (e.g., Lau et al., 2006; Zhang et al., 2007; Dong and Zhou, 2014; Wang et al., 2019a), and even tropical sea surface temperatures (e.g., Yang et al., 2016; Lou et al., 2019) through changing radiative balance and poleward heat transport.”

Page 7, Line 25. “The differences between these two phases show similar anomalies to the warm phase but with a larger magnitude.” Does the difference between the two phases mean warm phase minus cold phase or the opposite?

Response: Yes, the differences between these two phases mean warm phase minus cold phase.

To make this point more clearly, we have revised the last sentence of the first paragraph in section 4 (Page 8, Line 1) as follows: “The differences between these two phases (El Niño minus La Niña) show similar anomalies to the warm phase but with a larger magnitude (Fig. 5c).”

Page 8, Line 33. Here, ENSO mainly affects the diffusion process of the local aerosols over northern China in winter, which is incoherent with Zhao et al. (2018)’s result that ENSO influenced the wintertime aerosols over southern China more obviously than it did over northern and eastern China. What caused the differences?

Response: As shown in Fig. 7c, ENSO induces a significant increase in AOD over northern China during the early winter [November(-1) to December(-1)], and such an increase becomes insignificant or even reverses to a decrease during January(0)-February(0); while ENSO-induced aerosol increase over southern China is significant from January(0) to May(0). Therefore, for the entire winter season [i.e., D(-1)JF(0)], our results are consistent with the findings of Zhao et al. (2018) in that the effect of ENSO is more pronounced over southern China than that over northern China.

In response to this comment, we have revised the following sentence (Page 9, Line 8) in our revised manuscript: “In other words, the ENSO mainly affects the diffusion process of the local aerosols over northern China in early winter, while it affects the long-range transport process of aerosols from the Indochina Peninsula to downstream in the ensuing spring.”

Page 9, Line 9. When calculating the zonal average, the longitude range is 110-125E, while the range taken in the legend in Figure 9 is 105-120E.

Response: We have double-checked and confirmed that the longitude range is 110°–125°E. This typo in the caption of Figure 8 has been fixed (Page 30, Line 3).

Typing errors:

Page 3, Line 31. The aerosol data are “from”

Response: Revised (Page 4, Line 5).

Page 7 Line 4. 1. Largesale-> Large-scale

Response: Revised (Page 7, Line 10).

Page 26, Line4. “nagetive values” should be replaced by “negative values”.

Response: Revised (Page 27, Line 4).

References:

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Responses to Anonymous Referee #2

This study investigates the impact of ENSO on the interannual variation of spring aerosols over East Asia. They found that during El Nino year, it is often corresponding the above-normal aerosols and vice versa for the La Nina year. The reason is that during El Nino ensuing spring, the reduced precipitation increases the probability of biomass burning activities in the upstream of East Asia, then the western Pacific anticyclone transports this increased aerosol to the downstream East Asia region. They also compared different types of ENSO and found this effect is mainly from the eastern Pacific ENSO. The result is interesting, and the manuscript is well written. I have some detailed comments and suggestions listed below, but I think minor revision may be enough to address my concerns.

Response: We greatly appreciate these comments and suggestions. The manuscript would be improved in the process of response. Our detail responses are given point by point below in blue. The revised text is highlighted in red.

1. Please add line number in the revision in the whole manuscript. This way, the comments can be easily to track where it comes from.

Response: We are sorry for the inconvenience and have added the line numbers now.

2. Page-2 Line-4: Song et al. (2014) systematically discussed the aerosols effects on the East Asian summer monsoon circulation during the late half of 20th century. Please cite Song et al. (2014) here *Song, F., T. Zhou, and Y. Qian, 2014: Responses of East Asian summer monsoon to natural and anthropogenic forcings in the 17 latest CMIP5 models, Geophysical Research Letters, 41, doi:10.1002/2013GL058705.*

Response: As suggested by the reviewer, we have read the recommended paper carefully and cited this reference in the revised manuscript (Page 2, Line 4).

3. Page-2 Line-5: Dong and Zhou (2014) have quantified the aerosol's effect on the Indian Ocean sea surface temperature trends, belong to the regional climate response you discussed here. Please add this reference here: *Dong, L., and T. Zhou, 2014: The Indian Ocean Sea Surface Temperature Warming Simulated by CMIP5 Models during*

the Twentieth Century: Competing Forcing Roles of GHGs and Anthropogenic Aerosols. J. Climate, 27, 3348–3362.

Response: This reference has now been cited in the revised manuscript (Page 2, Line 5).

4. Page-2 Line-11: external forcing mainly means the forcing outside of climate systems. Here, maybe better just use factors.

Response: We agree that factors such as sea ice, snowpack and sea surface temperature are not external forcings. Therefore, we have changed “external forcing factors” to “other factors” on Page-2 Line-12.

5. Page-2 Line-27: why are the impacts of strong and weak events consistent? Maybe explain it a little bit from the reference you cited.

Response: Yu et al. (2019) suggested that both strong and weak El Niño events can cause similar atmospheric circulation anomalies, that is, significant northwesterly wind anomalies over northern China; and such anomalies act to enhance the seasonal prevailing wind and lead to a decrease in the aerosol concentration over northern China.

We have revised this sentence on Page-2 Line-28 as follows: “Yu et al. (2019) reported that the moderate El Niño events largely increase surface aerosol concentrations over eastern China, which is caused by anomalous southwesterly winds transporting more aerosol particles from South and Southeast Asia; while the strong and weak events obviously decrease the aerosol loading over northern China through the enhanced aerosol diffusions by El Niño-induced northerly wind anomalies.”

6. Page-3 Line-14: what do you mean by "from a climatological perspective"? ENSO is an inter-annual variability mode.

Response: Previous studies have examined the impacts of some individual ENSO events on East Asian aerosols during ensuing spring (Feng et al., 2016a, b, 2017; Wang et al., 2019b), which may involve some uncertainty. In contrast, this study composites the effects of several ENSO events based on the long-term data (1980-2019) to reach out for some statistically significant conclusions. Thus, “from a climatological

perspective” aims to emphasise analyses that are climatologically statistically significant, rather than analysing the climate mean state. To avoid confusion, however, we have revised this sentence (Page 3, Line 18) to the following: “Thus, it is necessary to further explore the impacts of ENSO on ensuing spring aerosols over East Asia based on the composite analyses of several ENSO events, which would enlarge the sample size and increase the confidence level.”

7. Page-4 Line-17: what CPC means? And how the CPC defines the ENSO? Should be more clearly stated.

Response: (1) We use “CPC” as an acronym for NOAA Climate Prediction Center, as it first appears on Page-4 Line-1 in the manuscript. However, we used “NOAA CPC” instead (Page 4, Line 23) to clarify.

(2) The NOAA CPC defines ENSO as follows: warm (El Niño) and cold (La Niña) periods based on a threshold of +/- 0.5°C for the Oceanic Niño Index (ONI) [3 month running mean of ERSST.v5 SST anomalies in the Niño 3.4 region (120°–170°W, 5°S–5°N)], based on centered 30-year base periods updated every 5 years (https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php).

We have modified the description of the ENSO events definition to clarify this point (Page 4, Line 23-27) as follows: “Events are defined as five consecutive overlapping 3-month periods at or above the +0.5°C SST anomaly in the Niño 3.4 region (120°–170°W, 5°S–5°N) for warm (El Niño) events and at or below the -0.5°C anomaly for cold (La Niña) events, based on centered 30-year base periods updated every 5 years. More details on the definition of ENSO events can be found on the website: https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php.”

8. Page-7 Line 20-25: At the same year, Wu et al. (2009) also proposed the similar teleconnection for the connection between preceding ENSO and EASM. Hence, this work should be cited along with Xie et al. (2009). Wu, B., T. Zhou, and T. Li, 2009: *Seasonally Evolving Dominant Interannual Variability Modes of East Asian Climate*.

J. Climate, 22, 2992–3005, <https://doi.org/10.1175/2008JCLI2710.1>. Five years later, this teleconnection was systematically confirmed in CMIP3 and CMIP5 atmospheric-only and coupled models by Song and Zhou (2014a-b) and found as a key for models to well simulate EASM. Hence, these two references should also be included here to complete the physical picture shown here. Song, F., and T. Zhou, 2014a: Inter-annual variability of East Asian summer monsoon simulated by CMIP3 and CMIP5 AGCMs: Skill dependence on Indian Ocean-western Pacific anticyclone teleconnection, *Journal of Climate*, 27, 1679–1697. Song, F., and T. Zhou, 2014b: The climatology and inter-annual variability of East Asian summer monsoon in CMIP5 coupled models: Does air-sea coupling improve the simulations? *Journal of Climate*, 27, 8761–8777.

Response: We have cited these references in the revised manuscript (Page 7, Line 26, 29).

9. Page-9 Line-24: missing a CP before "ENSO (Fig. 4)"?

Response: We think it should be “ENSO”, not “CP ENSO”. This is because the AOD anomaly pattern during EP ENSO is similar to that during ENSO, rather than CP ENSO. This implies that the effects of ENSO mainly come from that of the EP ENSO.

Additionally, for a more accurate description, we have added “(Fig. 9a, c, e)” after “EP ENSO” (Page 9, Line 31).

10. Page-9 Line-27: missing a EP before "ENSO"?

Response: Similar to our reply to comment #9, we think it should be only “ENSO” here. Besides, “(Fig. 9e)” at the end of this sentence may be ambiguous and inaccurate, so we move it after “EP ENSO” in this sentence (Page 10, Line 3).

11. Page-10 Line-17: are->is

Response: Revised (Page 10, Line 25).

12. Page-10 Line-31: compared to "activities", I feel the "probability" may be more suitable.

Response: We have now replaced “activities” by “probability” (Page 11, Line 7).

13. Fig. 8: what is the definition of CA mixing ratio?

Response: Carbonaceous aerosols (CA) usually consist of two components, i.e., black carbon (BC) and organic carbon (OC). Here, the CA mixing ratio is the sum of BC and OC mass mixing ratio, which are provided by MERRA2 dataset.

To make this point more precisely and clearly, we have revised the following sentence (Page 9, Line 18) in our revised manuscript: “Thus, the meridional cross-sections of spring CA mass mixing ratio (the sum of BC and OC mass mixing ratio) along 110°–125°E are examined next (Figs. 8a-c).” Besides, we have replaced “CA mixing ratio” with “CA mass mixing ratio” in the caption of Figure 8 (Page 30, Line 2, 4).

14. Fig. 11: it seems that the correlation between Niño 3.4 index and aodi-ia is mainly determined by El Niño event. Do you have any thoughts on this?

Response: As mentioned by the reviewer, the correlation coefficient between AODI-IA and Niño 3.4 index is mainly dominated by El Niño events, since the correlation is 0.63 during El Niño events and only 0.3 during La Niña events. It is known that ENSO has strong asymmetric influences not only on atmospheric circulation and precipitation (Cai and Cowan, 2009; Karori et al., 2013; Ng et al. 2019), but also on East Asian winter aerosols (Sun et al., 2018; Feng et al., 2019). Thus, we think that the domination of El Niño events here may be related to the asymmetric effect of ENSO on East Asian aerosols. As already stated in the manuscript (Page 6, Line 27), we find that the asymmetric influence of the ENSO on East Asian aerosols is also evident during the ensuing spring.

15. Fig. 11 caption: withblack -> with black

Response: Revised.

References:

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1 ENSO Effect on Interannual Variability of Spring Aerosols over 2 East Asia

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8 **Abstract.** Effects of the El Niño/Southern Oscillation (ENSO) on the interannual variability of spring aerosols over East
9 Asia are investigated using the Modern Era Retrospective analysis for Research and Applications Version 2 (MERRA-2)
10 reanalysis aerosol data. Results show that the ENSO has a crucial effect on the spring aerosols over the Indochina Peninsula,
11 southern China and the ocean south of Japan. The above-normal (below-normal) aerosols are found over these regions
12 during the El Niño (La Niña) ensuing spring. In contrast to the local aerosol diffusion in winter, the ENSO affects East Asian
13 aerosols in the following spring mainly via modulating upstream aerosol generation and transport processes. The underlying
14 physical mechanism is that during the El Niño (La Niña) ensuing spring, the dry (wet) air and less (more) precipitation are
15 beneficial for the increase (reduction) of biomass burning activities over the northern Indochina Peninsula, resulting in more
16 (less) carbonaceous aerosol emissions. On the other hand, the anomalous anticyclone (cyclone) over the western North
17 Pacific (WNP) associated with El Niño (La Niña) enhances (weakens) the low-level southwesterly wind from the northern
18 Indochina Peninsula to southern Japan, which transports more (less) carbonaceous aerosol downstream. Anomalous
19 precipitation plays a role in reducing aerosols over the source region, but its washout effect over the downstream region is
20 limited. The ENSO's impact on the ensuing spring aerosols is mainly attributed to the eastern Pacific ENSO rather than the
21 central Pacific ENSO.

22 1 Introduction

23 East Asia, especially China, has suffered heavy air pollution from various emission sources in recent decades (e.g., Streets
24 and Waldhoff, 2000; Chan and Yao, 2008; Tao et al., 2017). An increase in anthropogenic aerosol emission due to human
25 activities, such as economic development and urbanization, has been considered as the primary reason for the sharp increase
26 of the occurrence of haze pollution events (e.g., Zhang et al., 2013; An et al., 2019). Furthermore, due to their physical and
27 chemical properties, aerosols have adverse effects on human health (e.g., Cohen et al., 2017; Lelieveld et al., 2019) and
28 ecosystems (e.g., Yue et al., 2017; Werdell et al., 2019).

29 As an important component of the atmosphere, aerosols play a crucial role in climate change through aerosol-radiation
30 interactions (i.e., the direct effect) and aerosol–cloud interactions (i.e., the indirect effect). Aerosols can directly absorb (e.g.,

1 dust, black and brown carbon) and scatter (e.g., sulfate, nitrate and organics) solar radiation, altering the radiation budget
2 (Forster et al., 2007; Myhre et al., 2013), while they indirectly produce changes in radiation and precipitation via modifying
3 cloud microphysical properties and lifetime (Rosenfeld, 2000; Rosenfeld et al., 2008; Li et al., 2011). Both effects by
4 aerosols can induce strong large-scale atmospheric circulation change (Allen et al., 2012; [Song et al., 2014](#); Shen and Ming,
5 2018; Deng et al., 2020) and regional climate responses (e.g., Lau et al., 2006; Zhang et al., 2007; [Dong and Zhou, 2014](#);
6 Wang et al., 2019a) and even tropical sea surface temperatures (e.g., [Yang et al., 2016](#); [Lou et al., 2019](#)) through changing
7 radiative balance and poleward heat transport. On the other hand, climate change may also act to redistribute the East Asian
8 aerosol loading in turn. Changes in the intensity of the East Asia monsoon can directly affect the transport and lifetime of a
9 wide variety of aerosols (Wu et al., 2016). For instance, the weakening of the East Asia winter monsoon (EAWM) has led to
10 an increase in heavy fog-haze over eastern China during recent years (Niu et al., 2010), while the East Asia summer
11 monsoon (EASM) is negatively correlated with aerosol concentrations over eastern China (Zhang et al., 2010a). In addition,
12 the East Asian aerosol variation can be modulated by climate anomalies due to ~~external~~~~other~~ ~~forcing~~ factors, including the
13 Arctic sea ice (Wang et al., 2015; Zou et al., 2017), Eurasian snowpack (Yin and Wang, 2017), and sea surface temperature
14 (SST) (e.g., Liu et al., 2013; Feng et al., 2016b; Sun et al., 2018).

15 As the strongest signal of interannual climate variability, the El Niño-Southern Oscillation (ENSO) can cause remarkable
16 climate anomalies at a global scale. Thus, it may affect the East Asian aerosols through changing atmospheric circulation.
17 Gao and Li (2015) showed that an El Niño (La Niña) event is likely to bring more (less) haze days in eastern China in winter
18 during 1981–2010. Sun et al. (2018) also found that the weakened EAWM during El Niño events increases aerosols in
19 eastern China, especially over northern China. However, Zhao et al. (2018) revealed that haze days over southern China
20 tended to be less (more) frequent in the El Niño (La Niña) winters of 1960–2014, but there is no significant relationship
21 between ENSO and winter haze days over northern and eastern China. Using station observational data, Wang et al. (2019b)
22 compared two individual ENSO events, and found that higher fine particulate matter (PM_{2.5}) concentrations were observed at
23 most northern China stations during the El Niño (2015/2016) winter but at majority of stations in southern China during the
24 La Niña (2017/2018) winter. However, the influence of ENSO on aerosols differs among events. Results from a chemical
25 transport model showed that the 1987/1988 El Niño event decreased the aerosols during its mature and decay spring over
26 eastern China, whereas the El Niño event of 1997/1998 increased the aerosols over the whole lifespan over eastern China
27 (Feng et al., 2016b). In addition, different ENSO intensity also has different effects on East Asian aerosols. Using a climate-
28 aerosol coupled model, [Yu et al. \(2019\) reported that the moderate El Niño events largely increase surface aerosol](#)
29 [concentrations over eastern China, which is caused by anomalous southwesterly winds transporting more aerosol particles](#)
30 [from South and Southeast Asia; while the strong and weak events obviously decrease the aerosol loading over northern](#)
31 [China through the enhanced aerosol diffusions by El Niño-induced northerly wind anomalies](#)~~Yu et al. (2019) reported that~~
32 ~~the moderate El Niño events largely increase surface aerosol concentrations over eastern China, while the strong and weak~~
33 ~~events obviously decrease the aerosol loading over northern China~~. Since ENSO events can be further divided into two types
34 [i.e., the Eastern Pacific (EP) El Niño and Central Pacific (CP) El Niño (or El Niño Modoki); the EP La Niña and CP La

1 Niña], previous studies demonstrated that the impacts of CP El Niño events on the atmospheric circulation over East Asia
2 considerably differ from those of EP El Niño events (e.g. Weng et al., 2009; Feng et al., 2011), and the same is true for
3 aerosols (Feng et al., 2016a, 2017; Yu et al., 2019). The CP El Niño events can increase the wintertime aerosol burden over
4 southern China more than the EP events with the similar intensity (Yu et al., 2019). The impact of different CP La Niña
5 events on East Asian aerosols also varies. For example, Feng et al. (2017) found an anomalous dipole pattern of aerosol
6 concentrations over eastern China (i.e., increased aerosols in the south and reduced aerosols in the north) during the mature
7 phase of the strong ENSO event of 1998/1999, while this dipole pattern was reversed during the moderate event of
8 2000/2001.

9 Most of these studies only focused on the winter season (Gao and Li, 2015; Sun et al., 2018; Zhao et al., 2018; Yu et al.,
10 2019), and some discrepancies exist among these results, e.g., the regions with significant aerosol changes are different
11 between the findings of Sun et al. (2018) and Zhao et al. (2018). However, spring sees the highest aerosol optical depth
12 (AOD) over East Asia in the annual cycle, which is related to the dust and anthropogenic emissions (Kim et al., 2007; Bao et
13 al., 2009). Carbonaceous aerosols (CA) from South Asia (Zhang et al., 2010b) and Southeast Asia (Lin et al., 2009; Yadav et
14 al., 2017) can be transported to East Asia during this season. However, little attention has been paid to spring. Although a
15 few studies attempted to reveal the impacts of ENSO on ensuing spring aerosols (Feng et al., 2016a, b, 2017; Wang et al.,
16 2019b), their use of individual ENSO events may arise uncertainties due to the lack of statistical significance based on the
17 long-term observational data. In addition, the different impacts of the two types of ENSO on aerosols are not thoroughly
18 investigated. Thus, it is necessary to further explore the impacts of ENSO on ensuing spring aerosols over East Asia based
19 on the composite analyses of several ENSO events, which would enlarge the sample size and increase the confidence
20 level from a climatological perspective.

21 This study aims to address the following questions using long-term reanalysis aerosol data: 1) what are the impacts of ENSO
22 on the temporal and spatial distribution of ensuing spring aerosols over East Asia? And 2) what are the physical processes
23 and relative roles of anomalous circulation and rainfall in altering ensuing spring aerosols over the region? This study differs
24 from previous studies, in which we focus on the influence of ENSO (including its two types) on interannual variation of East
25 Asian aerosols in spring based on the long-term observational data and on different mechanisms in winter and ensuing spring.
26 The rest of this paper is structured as follows. The data and methodology are presented in Sect. 2. The impacts of ENSO on
27 the ensuing spring AOD are explored in Sect. 3. In Sect. 4, we discuss the physical mechanisms involved. In Sect. 5, we
28 outline different influences by the two types of ENSO. In Sect. 6, we provide the discussion and conclusions.

29 **2 Data and methods**

30 **2.1 Data and methods**

31 We use the monthly data for atmospheric variables from the fifth generation European Centre for Medium-Range Weather
32 Forecasts (ECMWF) reanalysis data (ERA5) (Hersbach and Dee, 2016), including geopotential height, zonal and meridional

1 wind components on 0.25 ° grid. Monthly precipitation data on 2.5 ° grid is the Climate Prediction Center (CPC) Merged
2 Analysis of Precipitation (CMAP) dataset (Xie and Arkin, 1997) provided by the National Oceanic and Atmospheric
3 Administration (NOAA). We also use monthly mean SST on 1 ° grid from the HadISST V1.1 SST dataset (Rayner et al.,
4 2003) provided by the UK Met Office Hadley Centre.

5 The aerosol data are from the National Aeronautics and Space Administration (NASA)'s Modern Era Retrospective analysis
6 for Research and Applications, Version 2 (MERRA-2) (Gelaro et al., 2017), with a spatial resolution of 0.5 ° by 0.625 °
7 (longitude by latitude) on 72 vertical levels. The MERRA-2 is generated using the advanced global data assimilation system,
8 the Goddard Earth Observing System Model Version 5 (GEOS-5), including the assimilation of AOD retrieved from the
9 Advanced Very High Resolution Radiometer (AVHRR) instrument over the oceans (Heidinger et al., 2014), Moderate
10 resolution Imaging Spectroradiometer (MODIS) (Levy et al., 2010), non-bias-corrected AOD retrieved from the MISR
11 (Kahn et al., 2005) over bright surfaces, and ground-based AERONET observations (Holben et al., 1998). This dataset
12 includes all the processes of aerosol transport, deposition, microphysics, and radiative forcing. As the first long-term aerosol
13 reanalysis data set, the MERRA-2 has been adequately evaluated in previous studies (e.g., Buchard et al., 2017; Song et al.,
14 2018; Che et al., 2019; Sun et al., 2019), and widely used for analyzing the interactions between aerosols and climate
15 systems (e.g., Lau et al., 2018; Sun et al., 2018; Che et al., 2019; Yuan et al., 2019). In this study, the monthly mean AOD at
16 550 nm is used to analyze the spatiotemporal characteristics of aerosols. As the tracers, aerosol species [black carbon (BC)
17 and organic carbon (OC)] fields are used for diagnosing transport properties (Lau et al., 2018; Yuan et al., 2019).

18 For consistency, all of the variables cover the same period of 1980–2019. To highlight interannual variability, the Fourier
19 analysis is performed to remove the first four waves of these variables, which are usually related to interdecadal variability
20 (Awan and Bae, 2016). The Empirical orthogonal function (EOF) analysis, linear regression analysis, composite analysis and
21 correlation are also used in this study and are subjected to the two-tailed Student's *t* test for statistical significance.

22 2.2 Identification of ENSO events

23 We select the ENSO events defined by the NOAA CPC. Events are defined as five consecutive overlapping 3-month periods
24 at or above the +0.5 °C SST anomaly in the Niño 3.4 region (120 °–170 °W, 5 °S–5 °N) for warm (El Niño) events and at or
25 below the -0.5 °C anomaly for cold (La Niña) events, based on centered 30-year base periods updated every 5 years. More
26 details on the definition of ENSO events can be found on the website:
27 https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php. For consecutive ENSO years, the
28 relatively stronger El Niño and La Niña winters are taken as a representative in this study, such as 1986/87 for the 1986–
29 1988 El Niño event, and 1999/2000 for the 1998–2001 La Niña event. Thus, 11 El Niño events (1982/83, 1986/87, 1991/92,
30 1994/95, 1997/98, 2002/03, 2004/05, 2006/07, 2009/10, 2015/16, and 2018/19) and 12 La Niña events (1983/84, 1984/85,
31 1988/89, 1995/96, 1999/2000, 2005/06, 2007/08, 2008/09, 2010/11, 2011/12, 2016/17, and 2017/18) are selected.

32 There are many ways to distinguish the two types of ENSO events (e.g., Ashok et al., 2007; Ren and Jin, 2011). Here,
33 following the studies of Zhang et al. (2011) and Li et al. (2019), different ENSO types are identified based on their spatial

1 distributions of SST anomalies. When the SST anomaly centre is located east of 150°W , the ENSO event is categorized as
2 an EP ENSO event, in contrast to the CP ENSO event when SST anomaly centre is located west of 150°W . This method has
3 a distinct advantage that can effectively distinguish the two ENSO types for both El Niño and La Niña events. Then, the 11
4 El Niño events are divided into six EP and five CP El Niño events, and the 12 La Niña events are divided to six EP and CP
5 types each (Table 1). A more detailed description on how to define the two ENSO types can be found in Li et al. (2019).

6 **3 Influences of ENSO on East Asian aerosols**

7 **3.1 Variation of spring aerosols**

8 Figure 1 shows the climatological spring mean AOD in East Asia during 1980–2019. The large AOD appears over eastern
9 China, especially over the Sichuan Basin and central-eastern China, with a maximum exceeding 0.6. The aerosol loading
10 gradually decreases from eastern China through Japan up to the North Pacific along midlatitude westerlies, similar to the
11 climatological annual mean AOD (Bao et al., 2009). As spring is a transitional season, relatively weak northwesterlies
12 prevail at the low-level troposphere (850 hPa) over northern East Asia, while southern China and the north of the Indochina
13 Peninsula are mainly controlled by the southwesterly wind, where the AOD is also relatively high. The characteristics of the
14 spring mean AOD pattern agree well with the results of Che et al. (2019) which also used the MERRA-2 AOD in the same
15 period.

16 To clarify the spatio-temporal variation of aerosols, the EOF analysis is applied to AOD anomalies relative to the 1980–2019
17 mean. The first EOF mode (EOF1; Fig. 2) explains 56.03% of the total variance. The spatial pattern (Fig. 2a) is highly in line
18 with the distribution of the AOD variance (Fig. 1), characterized by large variances over the Sichuan Basin, southern China,
19 and the Indochina Peninsula, with the maximum centre located in the northern Indochina Peninsula. Thus, an AOD index
20 (AODI) is defined using the AOD averaged over the selected key region of (95°E – 130°E , 10°N – 35°N ; the black box in Fig. 2a)
21 with larger AOD variance. Figure 2b illustrates the respective principal component of EOF1 (PC1), together with the AODI
22 and its interdecadal (AODI_ID) and interannual (AODI_IA) components. The correlation between AODI and PC1 exceeds
23 0.96, indicating that the AODI can well measure both interannual and interdecadal variation of the spring aerosol over East
24 Asia. On the interdecadal timescale, the AODI stayed at a low level from 1980 to 1998 and increased dramatically during
25 1998–2013. This interdecadal change is suggested to be dominated by meteorological factors (Che et al., 2019), rather than
26 generated by rapid economic growth as previously thought (Wu et al., 2016). However, the AODI declined rapidly after
27 2013, consistent with the recent study by Zhang et al. (2018), showing that the AOD over the South China had a decreasing
28 trend since 2012 based on the MODIS records. Note that the AODI reached two peaks in early 1980s and 1990s, which were
29 most likely associated with two giant volcano eruption events, respectively (Che et al., 2019). On the other hand, the AODI
30 also exhibits large interannual fluctuation. Given that interannual variability is an important part of the total aerosol
31 variability, we mainly focus on the atmospheric anomalies associated with the interannual AOD variation over East Asia in
32 this study. Note that the variables used hereafter all refer to their interannual components.

1 3.2 Interannual relationships between the AOD and ENSO

2 Figure 3 shows the regressed anomalies of SST and near-surface (10-m) wind upon the AODI_IA during the preceding
3 autumn [SON(-1)] and winter [D(-1)JF(0)] and simultaneous spring [MAM(0)]. During MAM(0) (Fig. 3c), anomalous SST
4 exhibits a dipole structure in the tropical Pacific, with warmer anomalies in the central and eastern equatorial Pacific and
5 colder anomalies in the western equatorial Pacific. Correspondingly, anomalous anticyclonic winds exist over the Northwest
6 Pacific (NWP) and westerly anomaly winds over the central and eastern equatorial Pacific. In the Indian Ocean, warmer SST
7 anomalies can be observed almost across the whole basin, with anomalous northeasterly winds to the north of the equator
8 and anomalous northwesterly winds to the south. This El Niño-like SST anomaly pattern suggests that the East Asian
9 aerosols in spring may be modulated by the preceding ENSO. Furthermore, these typical El Niño features can be found
10 during the preceding autumn (Fig. 3a) and winter (Fig. 3b). The correlation of AODI_IA with Niño 3.4 SST reaches the
11 maximum in the pre-winter (pre-autumn: 0.53, pre-winter: 0.6, spring: 0.58, and all of them are statistically significant at the
12 95% level). Thus, these coherent correlations clearly indicate that the interannual variation of East Asian aerosols is
13 significantly correlated with the ENSO, i.e., the warm phase of ENSO (i.e., El Niño) is associated with higher aerosol
14 concentrations in the following spring over East Asia, while the cold phase (i.e., La Niña) correlates to lower aerosol
15 concentrations.

16 To further demonstrate the influence of ENSO on East Asian aerosol concentrations, the composite anomalies of the ensuing
17 spring AOD of El Niño, La Niña events and their differences (El Niño minus La Niña) are shown in Fig. 4. During the El
18 Niño events (Fig. 4a), positive AOD anomalies are seen over southern China, the Indochina Peninsula and equatorial
19 Maritime Continent, which maximize in the northern Indochina Peninsula with a value of approximately 0.1, accounting for
20 about 20% of the climatological AOD mean (Fig. 1), while the La Niña events see the opposite (Fig. 4b), especially in the
21 northern Indochina with the largest negative anomaly around -0.08 (accounting for $\sim 13\%$ of the climatological mean).
22 Meanwhile, prominent positive AOD anomalies are observed over parts of northwestern China and northeastern Asia. This
23 may be due to the deserts in northwestern (Taklimakan Desert) and central (Badain Juran Desert) China, which provide more
24 dust aerosols in the troposphere in the ensuing spring of La Niña events (Gong et al., 2006). Significant positive AOD
25 anomalies are also found over the Indo-Gangetic Plain and parts of the northern Indian Ocean, as more dust aerosols can be
26 transported to these regions from the deserts of Middle East, West Asia and northwestern India during April–May of La Niña
27 years (Kim et al., 2016). Generally, the amplitude of AOD anomalies during La Niña events is much smaller than that during
28 El Niño events, suggesting that there is an asymmetry in the effects of ENSO on aerosols over East Asia during the ensuing
29 spring. The asymmetric influences of the ENSO on East Asian aerosols can also be found in winter, as reported (Sun et al.,
30 2018; Feng et al., 2019). The differences between El Niño and La Niña events exhibit pronounced positive AOD anomalies
31 covering areas from south of Japan (135°E , 30°N) through southern China and Indochina Peninsula to the coast of Sumatra
32 (Fig. 4c). Note that Wu et al. (2013) pointed out aerosols increased sharply over the Maritime Continent in the fall of El

1 Niño-developing years during the period 2000–2010, while our results indicate that positive AOD anomalies can also be
2 observed over the Maritime Continent during the spring following El Niño.
3 In summary, the ENSO can significantly increase (decrease) the ensuing spring aerosol loading over the Indochina Peninsula,
4 southern China and the downstream regions during its warm (cold) phase, and an asymmetry exists between these two
5 phases. However, Sun et al. (2018) found that ENSO exerts a significant influence on winter aerosols over northern China,
6 while there is no significant signal over southern China. Clearly, different mechanisms may work for the different impacts of
7 ENSO on aerosols in winter and ensuing spring. In the following section, we will explore possible mechanism through which
8 the ENSO can impact the ensuing spring aerosols.

9 **4 Possible mechanisms for ENSO impacts on the East Asian aerosols**

10 Large-scale atmospheric circulation and precipitation play crucial roles in the transport, diffusion and removal of aerosols
11 (e.g., Bao et al., 2009; Zhang et al., 2010a; Ning et al., 2018; Feng et al., 2019). Thus, to identify the underlying mechanism
12 associated with the effects of ENSO on the ensuing spring aerosols over East Asia, we show composite anomalies of SST,
13 850-hPa wind and precipitation in the spring following ENSO events (Fig. 5). For the spring following the El Niño event
14 (Fig. 5a), tropical Pacific SST anomalies (SSTAs) generally display a zonal dipole structure with warm anomalies in the
15 eastern-central equatorial Pacific and cold anomalies in the western equatorial Pacific. Note that some cold anomalies can
16 also be seen on both sides of the warm anomalies. Meanwhile, a strong anomalous surface high pressure is located over the
17 NWP (not shown), which is centred over the Philippine Sea. Thus, the anomalous anticyclone (AAC) over the NWP acts to
18 bridge the eastern-central Pacific warming and East Asian climate (Harrison and Larkin, 1996; Wang et al., 2000). On the
19 southeast flank of the NWP AAC, the anomalous wind there intensifies the prevailing northeasterly wind, which contributes
20 to the SST cooling and the maintenance of the NWP AAC (Wang et al., 2000). On its northwest flank, the anomalous
21 southwesterly wind acts to strengthen the climatological southwesterly wind in the eastern Indochina Peninsula and southern
22 China (Fig. 5a). This provides a potential dynamic condition for aerosol transport from the Indochina Peninsula to the
23 downstream regions. Therefore, significant, positive AOD anomalies are observed over southern China (Fig. 4a). This is
24 consistent with the findings of Zhao et al. (2018), which suggested that the transport of aerosols from South and Southeast
25 Asia to southern China was enhanced during El Niño winters. Meanwhile, positive SSTAs appear over the entire tropical
26 Indian Ocean, which is caused by El Niño (Xie et al., 2009; [Wu et al., 2009](#)). Accordingly, the tropical Indian Ocean sees
27 northeasterly (northwesterly) wind anomalies near the surface to the north (south) of the equator. The anomalous
28 northeasterly helps sustain the northern Indian Ocean warming (Du et al., 2009), which is also conducive to the maintaining
29 of the NWP AAC (Xie et al., 2009; [Song and Zhou, 2014a-b](#); [Xie et al., 2016](#)). Conversely, the cold phase of ENSO (Fig. 5b)
30 sees roughly opposite circulation anomaly pattern, with an anomalous cyclone over the NWP. Thus, the climatological
31 southwesterly wind is weakened, suppressing aerosol transport from Southeast Asia to southern China. This may explain the

1 AOD reduction in southern China (Fig. 4b). The differences between these two phases (El Niño minus La Niña) show
2 similar anomalies to the warm phase but with a larger magnitude (Fig. 5c).

3 In addition to dynamic processes, the interannual aerosol variation may also be closely related to water vapour condition. For
4 example, precipitation has a substantial effect on aerosol removal (e.g., Bao et al., 2009; Sanap and Pandithurai, 2015). It is
5 shown that precipitation is largely suppressed over the western tropical Pacific (including the Indochina Peninsula) during
6 the El Niño ensuing spring (Fig. 5a). However, because of the enhanced water vapour transportation by the NWP AAC
7 (Zhang et al., 1999), precipitation is significantly increased in southern China and the south of Japan, where the AOD values
8 are above normal (Fig. 3a). This indicates the aerosol transport could overwhelm the effect of precipitation (Zhang et al.,
9 2010a; Zhao et al., 2018), which is consistent with the finding of Feng et al. (2017), which reported that the role of wet
10 deposition was observed to be limited during the ENSO events.

11 According to the above analysis, the ENSO acts to modulate East Asian aerosols during the following spring mainly by
12 changing the long-range transport of aerosols from Southeast Asia to the downstream region through the anomalous
13 southwesterly wind on the northwest flank of the NWP AAC. Presumably, the Indochina Peninsula is the main source of
14 East Asian spring aerosols. In the climatological mean state, the biomass burning in the Indochina Peninsula produces heavy
15 smog and haze aerosol pollution during the dry season (February-April), especially in the valley of the northern Indochina
16 Peninsula (Kim Oanh and Leelasakultum, 2011). The biomass burning-induced aerosols peak in March (Huang et al., 2016)
17 and largely diminish after the monsoon onset in late April. During the dry season, the increasing aerosols can also affect the
18 air quality in the downwind regions (Huang et al., 2016; Yadav et al., 2017; Zhang et al., 2018). It was reported that BC was
19 the dominant emissions-driving factor, explaining 27.7 % of the AOD variance over Southeast Asia (Che et al., 2019).

20 Moreover, studies have shown that the ENSO can modulate biomass burning activities over the northern Indochina
21 Peninsula in spring (e.g., Sanap and Pandithurai, 2015; Huang et al., 2016). Huang et al. (2016) suggested that the ENSO
22 signal in the preceding winter strengthens the India-Burma Trough via the South China Sea anticyclone. This would provide
23 drier air mass transported into the northern Indochina and thus promote local biomass burning activities. On the other hand,
24 the aerosols generated by biomass burning could be affected by precipitation through the rainout and washout processes
25 (Sanap and Pandithurai, 2015). CA (including both BC and OC) is the main by-product emitted from biomass burning and
26 wildfire activities, which is usually used as a tracer to diagnose aerosol transport. As shown in Fig. 6, the anomalous AOD
27 for CA is highly correlated to that for the total AOD during the ENSO ensuing spring, in terms of both spatial pattern and
28 magnitude. This indicates that the aerosol anomalies are mainly contributed by CA, especially for La Niña events in which
29 this contribution is nearly 100% (Fig. 6b). The spatial distribution of anomalies in horizontal CA flux and its divergence
30 further confirm that the ENSO can modulate the emission and transportation of CA over East Asia. During the El Niño
31 ensuing spring, abundant CA anomalies are transported to southern China, the East China Sea and the Kuroshio extension
32 region from the Indochina Peninsula, with strong divergence over the northern Indochina Peninsula and convergence over
33 southern China (Fig. 6a), while the opposite is detected for the La Niña ensuing spring (Fig. 6b).

1 From the temporal perspective, it is obvious that the mechanisms associated with ENSO's effects on East Asian aerosols are
2 significantly different between spring and winter. Figure 7 shows the latitude-time cross sections of composite anomalies in
3 total AOD, AOD of CA, and 850-hPa wind averaged along 100° – 120° E for ENSO events. During the early winter
4 [November(-1) to December(-1)] of the El Niño events, because El Niño-induced southeasterly anomalies act to weaken the
5 EAWM, the AOD is increased over northern China between 35° and 45° N (Fig. 7a) mainly due to the suppressed local
6 aerosol diffusion (Sun et al., 2018), while in the ensuing spring [MAM(0)], the significant, positive AOD anomalies appear
7 south of 30° N, as persistent anomalous southwesterly wind provides a favourable dynamic condition for aerosol transport. In
8 other words, the ENSO mainly affects the diffusion process of the local aerosols over northern China in early winter, while it
9 affects the long-range transport process of aerosols from the Indochina Peninsula to downstream in the ensuing spring.
10 Besides, CA is the dominant component of these transported aerosols in spring [MAM(0)], especially in early spring
11 [MA(0)], because El Niño-induced, suppressed precipitation in the northern Indochina Peninsula acts to promote the CA
12 emission. The anomalous CA contributes more than 60% and 80% to the total AOD anomalies over the northern Indochina
13 Peninsula and the South China in El Niño and La Niña spring, respectively (Fig. 7a-b).
14 As shown earlier, positive precipitation anomalies are observed over the downstream areas of aerosol transport during the El
15 Niño ensuing spring, i.e., southern China (Fig. 5a). It is reported that increased remote transport and uplifting above clouds
16 by the deep convection would increase the mid-to-upper tropospheric CA loading, even though the low-level CA is
17 simultaneously removed by the strong precipitation washout effect (Lau et al., 2018). Thus, the meridional cross-sections of
18 spring CA mass mixing ratio (the sum of BC and OC mass mixing ratio) along 110° – 125° E are examined next (Figs. 8a-c).
19 Larger CA anomalies are located around 700hPa above the northern Indochina Peninsula (15° – 25° N), which is a main
20 pathway of CA transport from Southeast Asia to southern China by the westerlies (e.g., Lin et al., 2009; Zhou et al., 2018).
21 During the El Niño (La Niña) ensuing spring (Figs. 8a-b), the enhanced (weakened) ascending motion is located to the north
22 of 20° N, which corresponds to the enhanced (reduced) precipitation over southern China (Figs. 5a-b) and the increased
23 (decreased) CA loading there from the surface to 150 hPa. This indicates that the CA mass can be lifted into the mid-upper
24 troposphere by the enhanced vertical motion during the El Niño ensuing spring, which is then diffused over the downstream
25 regions by the upper-level jet stream over the North Pacific (Fig. 8d) and causes changes in extratropical atmospheric
26 circulation due to BC absorption (Shen and Ming, 2018).

27 **5 Different influences of the two types of ENSO**

28 In recent decades, a number of studies demonstrated that the impacts of CP ENSO on the East Asian climate are distinct
29 from those of EP ENSO (e.g., Ashok et al., 2007; Weng et al., 2009; Feng and Li, 2011; Feng et al., 2011). What are the
30 different influences of the two types of ENSO on ensuing spring aerosols over East Asia? Figure 9 shows composite spring
31 AOD anomalies associated with EP and CP ENSO events, respectively. The AOD anomaly pattern during EP ENSO (Fig. 9a,
32 c, e) is similar to that during the ENSO (Fig. 4), except with much larger magnitude. During the EP El Niño ensuing spring

1 (Fig. 9a), the positive AOD anomaly centre exceeds 0.12 over the northeastern and central Indochina Peninsula, while the
2 largest negative anomaly is approximately -0.12 during the EP La Niña ensuing spring (Fig. 9c). Thus, asymmetric effects
3 are still obvious. The area coverage with significant positive AOD anomalies during the EP ENSO (Fig. 9e) is approximately
4 as wide as that during the ENSO (Fig. 9e).
5 Compared with ENSO, the CP ENSO produces much smaller AOD anomalies (Figs. 9b, d, f). During the CP El Niño,
6 positive anomalies occur over a band from the Indochina Peninsula across southern China to the Kuroshio extension (Fig.
7 9b), while the opposite is seen during the CP La Niña, with negative but insignificant AOD anomalies over the Indochina
8 Peninsula and southern China (Figs. 9d, f). This means the AOD anomalies in this region are unstable.
9 Clearly, as more typical, conventional ENSO events, the EP ENSO tends to cause larger positive AOD anomalies over the
10 Indochina Peninsula and southern China in spring, while the CP ENSO causes smaller and statistically insignificant AOD
11 anomalies. The atmospheric circulation anomalies of the two types of ENSO can explain this phenomenon. For the EP
12 ENSO (Figs. 10a, c, e), the SSTAs exhibit a dipole pattern in the equatorial Pacific, which provides a favourable dynamic
13 condition for aerosol transport through triggering the NWP AAC; while the CP ENSO is associated with a tripolar SST
14 anomaly pattern (Ashok et al., 2007; also in Fig. 10b) and the AAC only occurs over southern China (Feng and Li, 2011).
15 The anomalous southwesterly wind from the Indochina Peninsula to southern China is insignificant (Fig. 10f), likely
16 resulting in the varying AOD anomalies (Fig. 9f).
17 To further investigate the relationship between spring East Asia aerosols and CP ENSO, Figure 11 shows the scatter plot
18 between standardized AOD_IA and preceding winter Niño3.4 indices for all ENSO events. Overall, the EP El Niño (La Niña)
19 events are associated with increasing (decreasing) AOD during the ensuing spring, indicative of a robust relationship
20 between the two. However, no consistent relationships are found between AOD and CP ENSO events. For example, three
21 CP El Niño events (2004/05, 2006/07 and 2009/10) correspond to higher AOD index, while two events (1994/95 and
22 2002/03) are associated with lower AOD index. In other words, these CP ENSO events can be divided into two groups: one
23 associated with increasing AOD and the other associated with decreasing AOD during the ensuing spring. This is consistent
24 with the previous studies (Feng et al., 2016a, 2017). Therefore, to better understand the impacts of CP ENSO on the
25 springtime aerosols over East Asia, further investigations ~~is~~are needed on the basis of two different groups of CP ENSO
26 events in future study.

27 **6 Conclusions and discussion**

28 In this study, we investigate the effects of ENSO on the ensuing spring aerosols over East Asia based on the NASA
29 MERRA-2 aerosol reanalysis data during 1980–2019; we also discuss different effects of the two ENSO types. The East
30 Asian AOD shows strong interannual variability in spring, which is coherently correlated with the SSTAs in the equatorial
31 Pacific and Indian Ocean from the preceding autumn to concurrent spring. This implies that the interannual variability of
32 East Asian spring aerosols may be modulated by the ENSO. Results from composite analyses reveal that the above-normal

1 (below-normal) aerosols are found over the south of Japan, southern China, Indochina Peninsula and northern equatorial
2 Maritime Continent during the El Niño (La Niña) ensuing spring. An obvious asymmetry is found in the AOD responses to
3 the ENSO between the cold and warm phases.

4 During the ensuing spring, CA largely contribute to the interannual variability of East Asian aerosols, generally exceeding
5 60% for El Niño and exceeding 80% for La Niña. The associated atmospheric conditions show that over the source region of
6 the northern Indochina Peninsula, the drier air, due to the enhanced India-Burma Trough and less precipitation, acts to
7 increase biomass burning probabilityactivities that emit more CA during the El Niño ensuing spring (Huang et al., 2016). On
8 the other hand, the low-level southwesterly wind from the northern Indochina Peninsula across southern China to southern
9 Japan is strengthened by the NWP AAC associated with El Niño, which acts to transport aerosols to the downstream regions.
10 This is quite different from the modulation of interannual aerosol variability by the ENSO in winter through influencing
11 local diffusion. Meanwhile, anomalous precipitation only reduces the aerosols over the source region, and its washout effect
12 is limited over the downstream regions. This is likely because the amount of aerosols transported into these regions is much
13 larger than that removed by precipitation, resulting in a net positive effect. Furthermore, the AOD anomaly patterns for both
14 types of ENSO are similar to that of the ENSO, except for larger anomalies in the EP ENSO and smaller, insignificant
15 anomalies in the CP ENSO because both AOD surplus and deficit can be seen during the warm phase of CP ENSO.

16 Note that the significant effects of ENSO on the ensuing spring aerosols are only confined to some regions of East Asia, i.e.
17 southern China and the Indochina Peninsula. However, the AOD anomalies over other regions, such as northern China, are
18 unstable, which is likely due to the coordinated influence of other climate systems, such as the Arctic Oscillation (Zhang et
19 al., 2019) and North Atlantic Oscillation (Feng et al., 2019). Here, we only focus on the ENSO signal in the aerosols and do
20 not take into account of other climate signals. Additionally, although AOD has been widely used to explore the interactions
21 between aerosols and climate systems in the literature (e.g., Wu et al. 2013; Yang et al., 2016; Lau et al., 2018; Sun et al.,
22 2018; Che et al., 2019), it only represents the optical property of aerosols and could be also affected by other factors such as
23 relative humidity, aerosol size distribution and reflective index (Hänel, 1976; Horvath, 1996). Certain uncertainty might
24 also exist in the data due to the limitation of the MERRA-2 aerosol species concentrations for interannual variability analysis.

25 The changes of aerosol composition in the MERRA-2 system are simulated by the widely-used chemical model of the
26 Goddard Chemistry Aerosol Radiation and Transport (GOCART), and then the system adjusts the model simulation based
27 on the total AOD retrieved from satellite measurements during assimilation, without directly considering the speciated
28 aerosol information obtained from the satellite data. This may introduce artefacts for the increase or decrease of individual
29 aerosol mass or AOD (Chin et al., 2002). Besides, the aerosol species emission inventories for the MERRA-2 have not been
30 updated since the mid-2000s (Randles et al., 2017). These may also introduce some uncertainties in our analyses.

31 In addition, Lau et al. (2006) suggested that absorbing aerosols may lead to an advance of the rainy period and subsequently
32 an intensification of the Indian summer monsoon (i.e., the “elevated heat pump” effect), thus amplifying the Indian summer
33 monsoon response to ENSO forcing (Kim et al., 2016). As mentioned above, during the decaying spring of EP El Niño year,
34 a large amount of absorbing aerosols produced in the source region (northern Indochina Peninsula) is transported to the

1 downstream regions and even lifted up into the mid and upper troposphere, and then diffused to the North Pacific along with
2 the westerly jets. Therefore, it would be interesting to explore the effects of absorbing aerosols on weather patterns or
3 climate systems, including extratropical cyclones, North Pacific storm track and the EASM.

4 **Data availability.**

5 The ERA-5 Reanalysis data are available at <https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset>. MERRA-2
6 aerosol reanalysis data are available at <https://disc.gsfc.nasa.gov/datasets>. The CMAP precipitation data are available at
7 www.esrl.noaa.gov/psd/, and the SST data of Met Office Hadley Centre are available at
8 <https://www.metoffice.gov.uk/hadobs/hadisst/>.

9 **Author contributions.**

10 HX and AZ designed the research. AZ performed the data analysis. SL contributed to the MERRA-2 data retrieval. HX, JD,
11 and JM provided advice from the analysis perspective and all authors wrote the manuscript.

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19 ~~Information Science & Technology.~~

20 **Competing interests.**

21 The authors declare that they have no conflict of interest.

22

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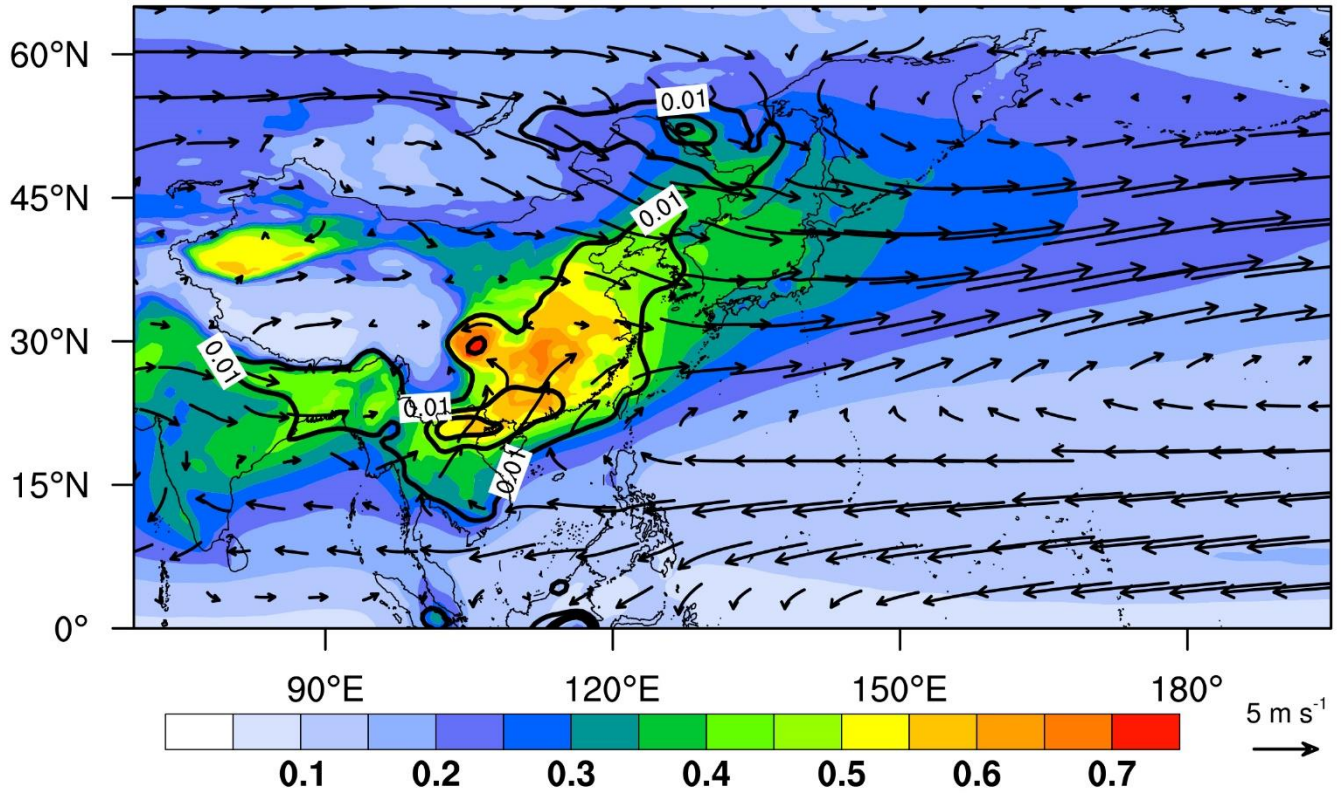
Table 1: Two types of ENSO events during 1980-2019

	Eastern Pacific (EP) type	Central Pacific (CP) type
El Niño	1982/1983, 1986/87, 1991/92, 1997/98, 2015/16, 2018/19	1994/95, 2002/03, 2004/05, 2006/07, 2009/10
La Niña	1984/85, 1995/96, 1999/2000, 2005/06, 2007/08, 2017/18	1983/84, 1988/89, 2008/09, 2010/11, 2011/12, 2016/17

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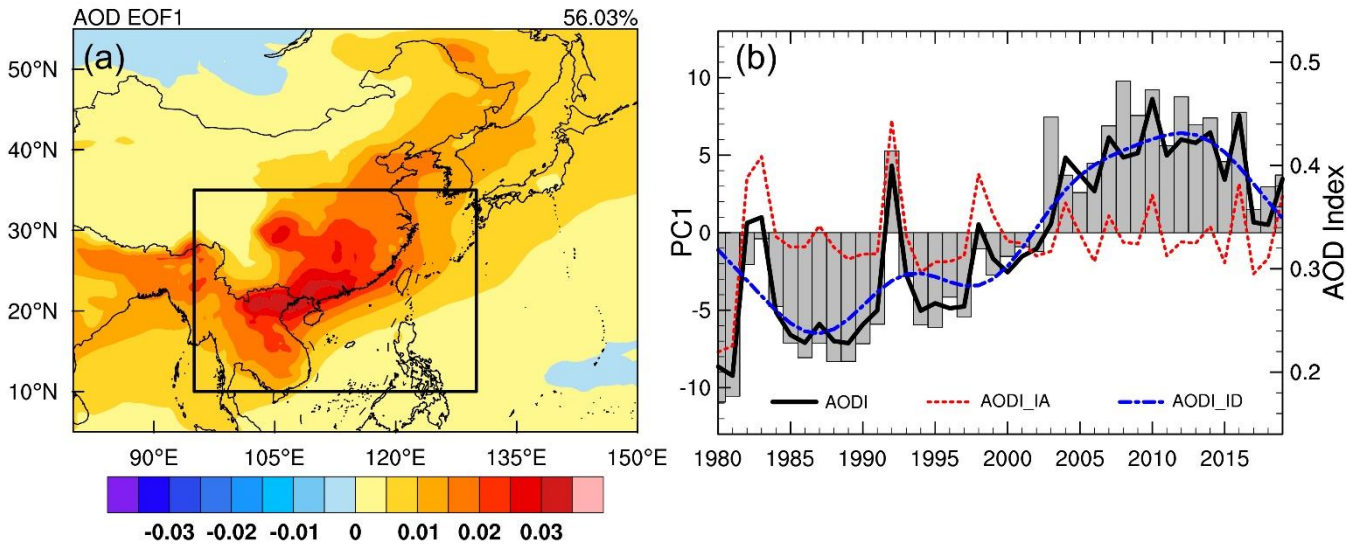
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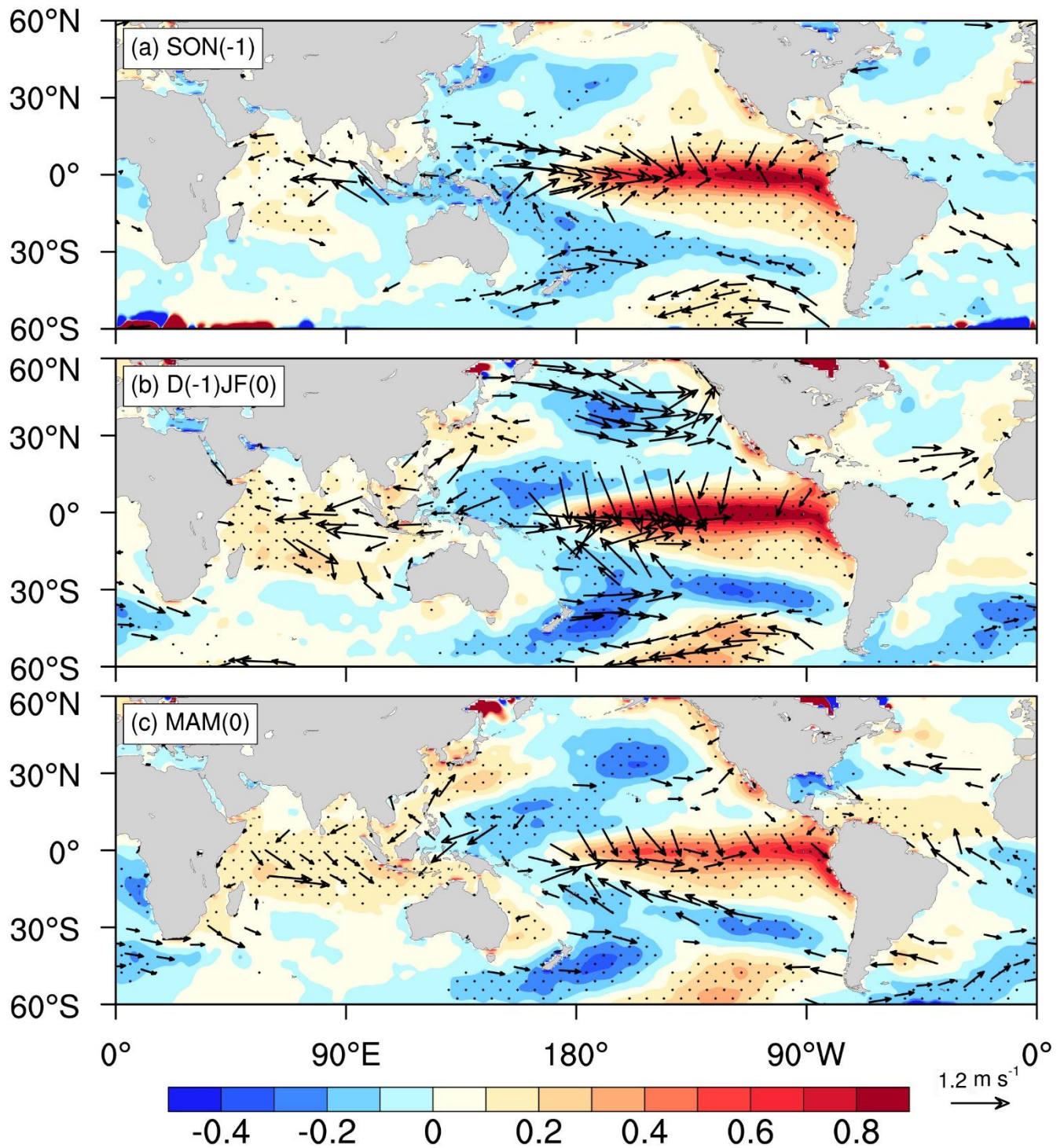
4 **Figure 1: Spatital distribution of climatological spring (MAM) mean aerosol optical depth (AOD; shading) and 850-hPa wind**
5 **(vector; m s⁻¹). Black contours denote spring AOD's variance with interval of 0.02.**

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2 **Figure 2: (a) Spatial pattern (shading) and (b) principal component (gray bars) of the first EOF mode of MAM mean AOD over**
3 **East Asia during 1980–2019. The East Asian spring AOD index (AODI; black solid line) defined as AOD averaged over East Asia**
4 **[95 °–130 °E, 10 °–35 °N; the black box in (a)] is also plotted in (b), together with its interannual component (AODI_IA; red dashed**
5 **line) and interdecadal component (AODI_ID; blue dashed line).**

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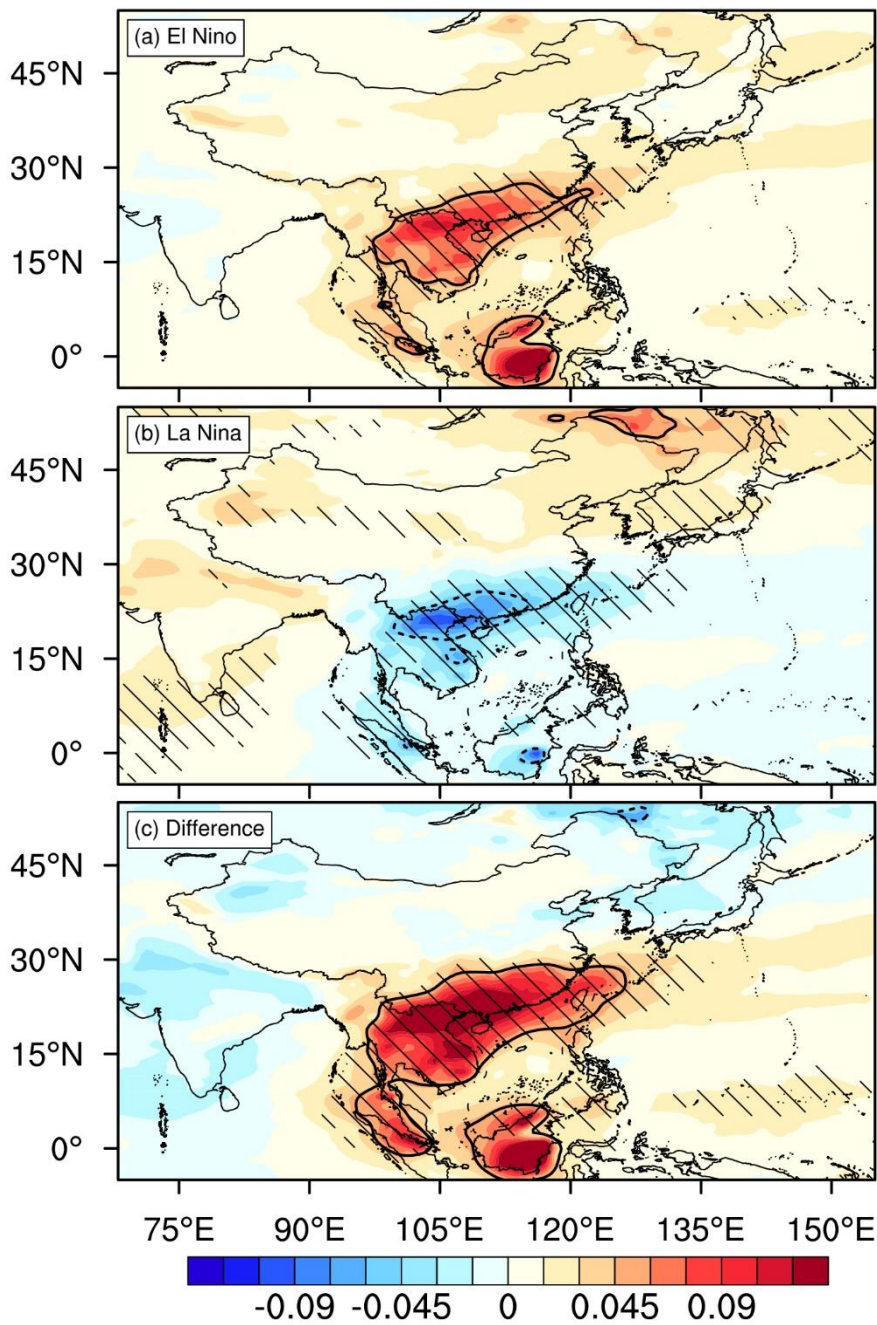


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2 **Figure 3: Regressions of sea surface temperature (SST) anomalies (shading; °C) and 10-m surface wind anomalies (vector; m s⁻¹)**
 3 **onto the standardized AODI_IA in (a) preceding autumn [SON(-1)], (b) preceding winter [D(-1)JF(0)] and (c) simultaneous spring**

1 [MAM(0)]. Stippling and vector denote the regressed SST and wind are statistically significant at the 95% confidence level based
2 on the Student's t test, respectively.

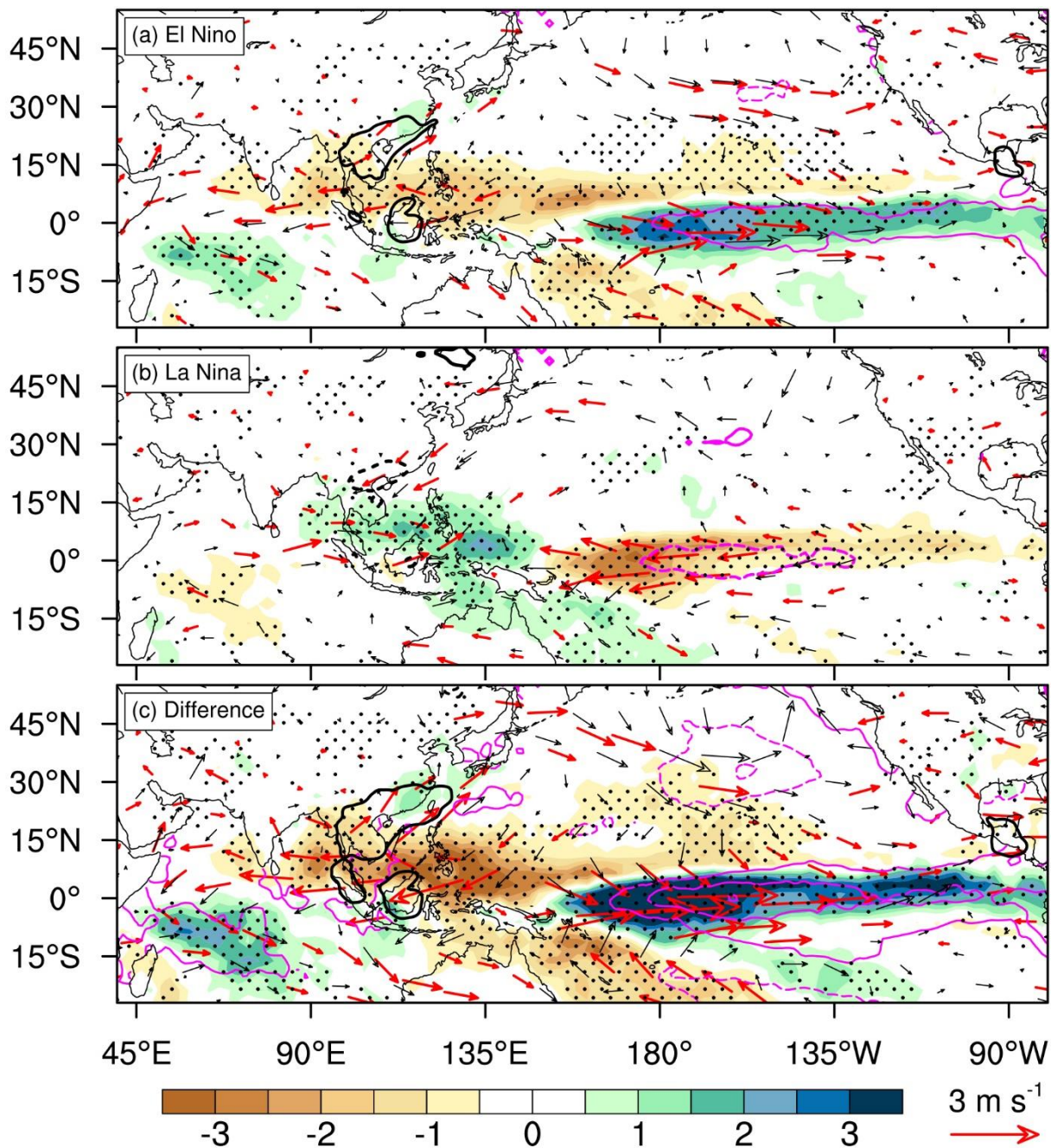
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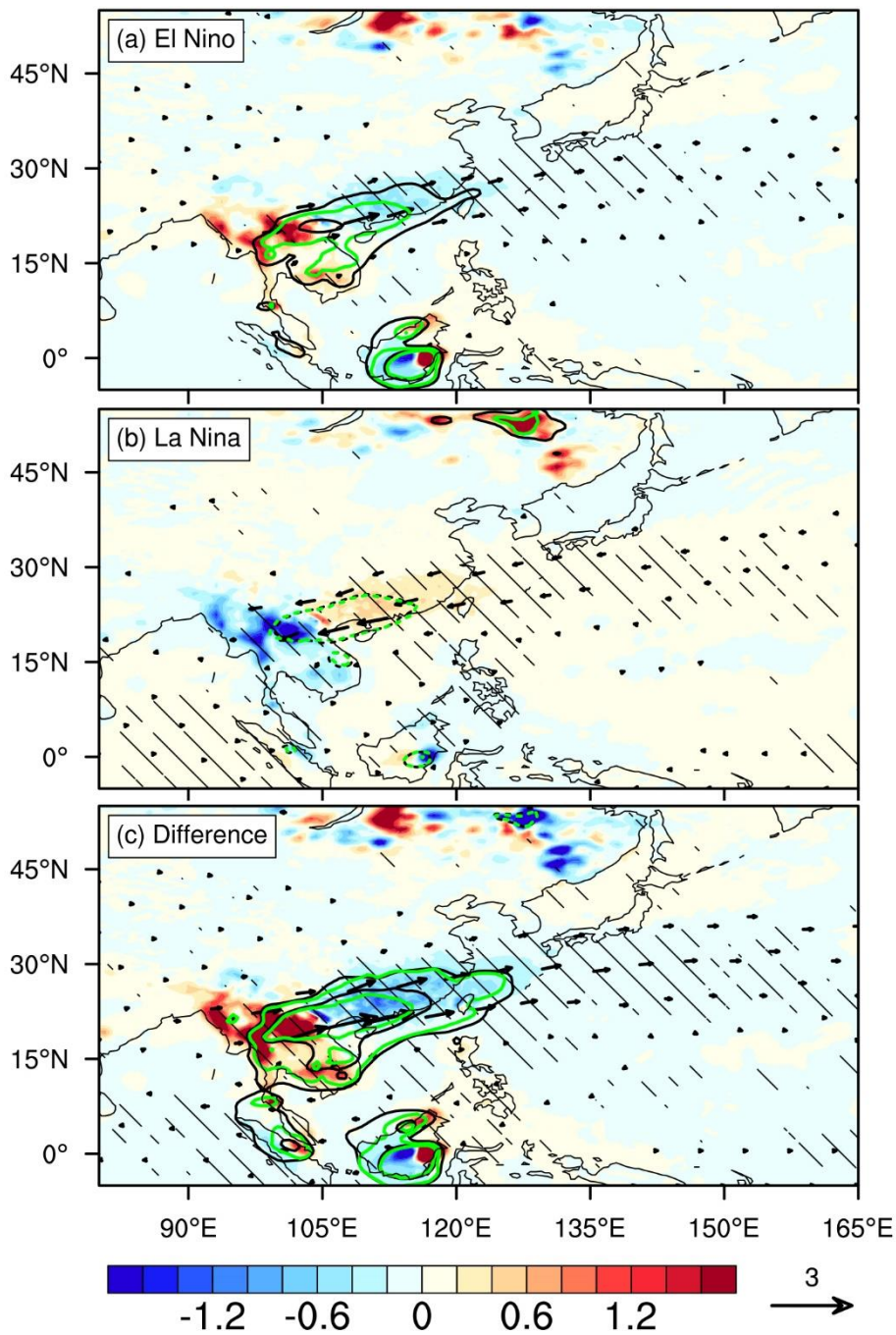
2 **Figure 4: Composite anomalies of spring AOD (shading) during (a) El Niño, (b) La Niña and (c) their differences (El Niño minus**
 3 **La Niña). Black solid (dashed) contours outline the areas where the AOD anomalies are larger (smaller) than 0.05 (-0.05).**
 4 **Hatching denotes the anomalies statistically significant at the 95% confidence level based on the Student's *t* test.**

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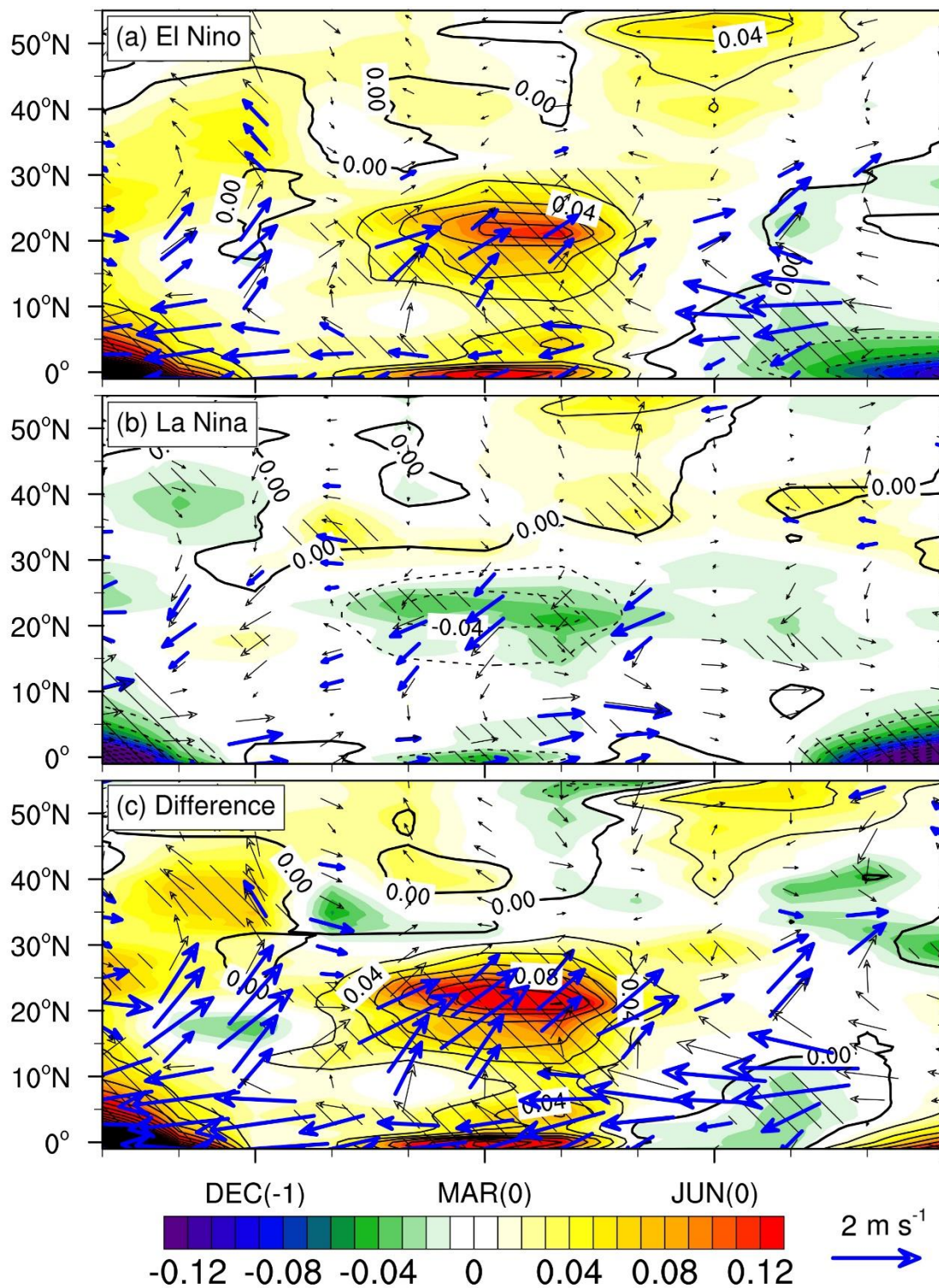
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 2 Figure 5: Same as Figure 4, except for SST anomalies (purple contours with interval of 0.05 °C; the dashed contours are for
 3 negative values, and zero contour is omitted for clarity), precipitation anomalies (shading; mm day⁻¹) and 850-hPa wind anomalies
 4 (black vector; m s⁻¹). Black contours are the same as those in Figure 4. Stippling and red vector indicate the anomalies of
 5 precipitation and wind are statistically significant at the 95% confidence level based on the Student's *t* test, respectively.

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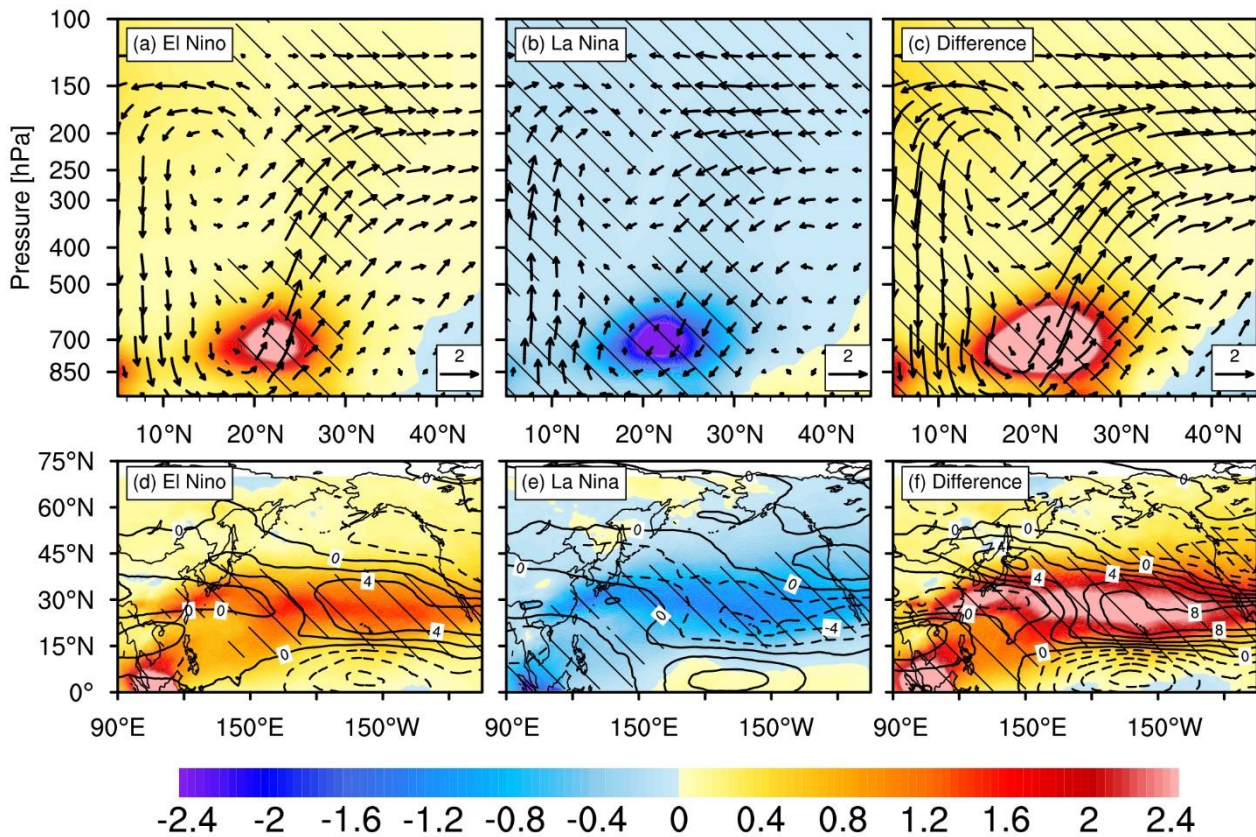
2 **Figure 6: Composite anomalies of total AOD (black contour), AOD of carbonaceous aerosol (CA; green contours), CA flux (vector;**
 3 **$10^{-1} \text{ g m}^{-1} \text{ s}^{-1}$) and its divergence (shading; $10^{-7} \text{ g m}^{-2} \text{ s}^{-1}$) during (a) El Niño, (b) La Niña and (c) their difference (El Niño minus La**
 4 **Niña) in spring. The contour interval is 0.05; the dashed contours are for ~~negative-negative~~ values, and the zero contour is omitted**
 5 **for clarity. Hatching and black vector represent the anomalies of CA flux and its divergence are statistically significant at the 95%**
 6 **confidence level based on the Student's *t* test, respectively.**



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1 **Figure 7: Latitude-time cross sections of composite anomalies of AOD (shading), AOD of CA (black contour with interval of 0.02),**
2 **and 850-hPa wind (vector; m s^{-1}) during (a) El Niño, (b) La Niña and (c) their difference (El Niño minus La Niña) averaged over**
3 **100° – 120° E. Hatching and blue vector indicate the anomalies of AOD and winds are statistically significant at the 95% confidence**
4 **level based on the Student's t test, respectively.**

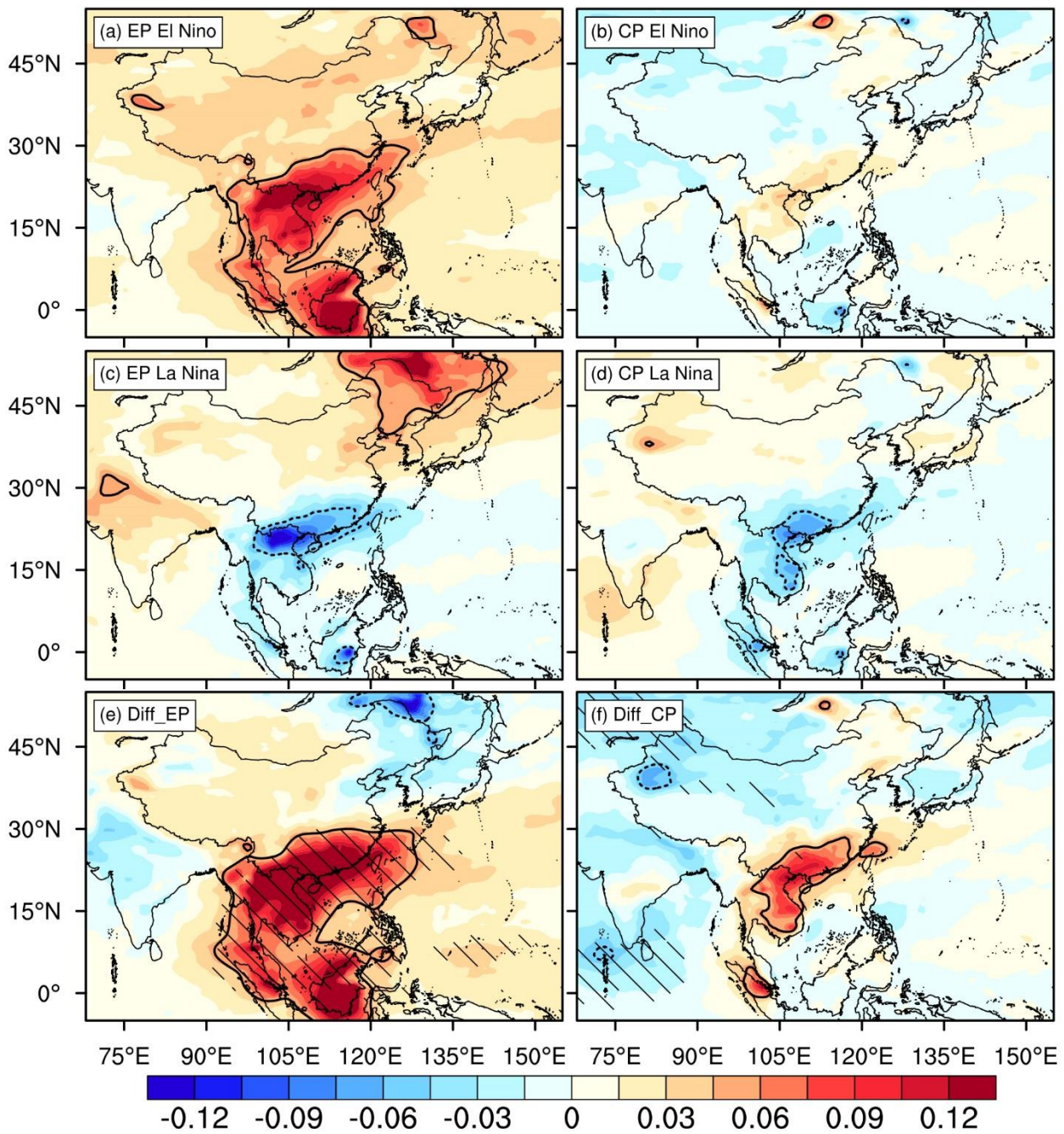
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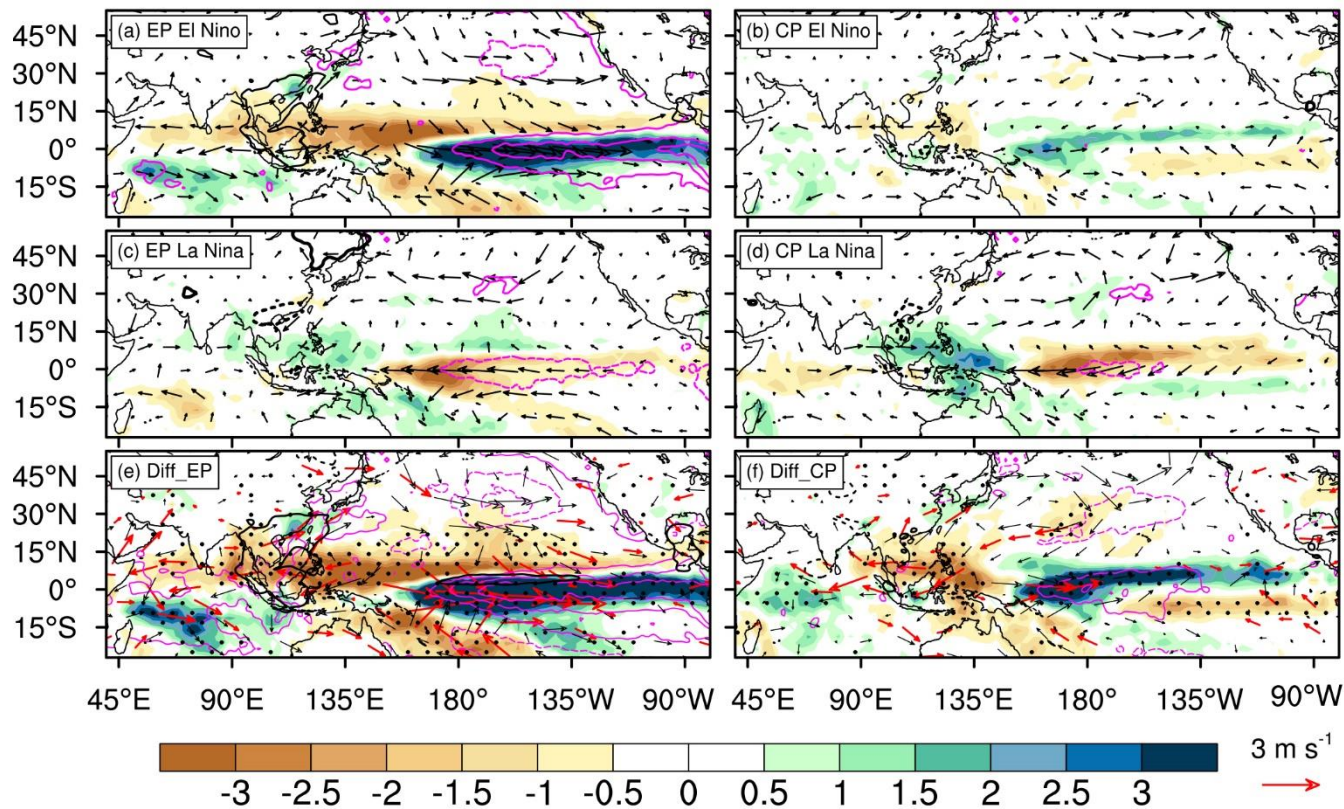
2 **Figure 8:** (a)-(c) Vertical sections of composite anomalies of spring CA **mass** mixing ratio (shading; $10^{-6} \text{ g kg}^{-1}$) and meridional and
 3 vertical velocities (vector; in m s^{-1} and 10^{-2} m s^{-1} , respectively) averaged over 105°E–125°E during (a) El Niño (b) La Niña and
 4 (c) their difference (El Niño minus La Niña). (d)-(f) Same as Figure 4, except for 300-hPa CA **mass** mixing ratio (shading; $10^{-7} \text{ g kg}^{-1}$)
 5 and zonal wind (contour with interval of 4 m s^{-1}). Hatching denotes the anomalies statistically significant at the 95% confidence
 6 level based on the Student's *t* test.

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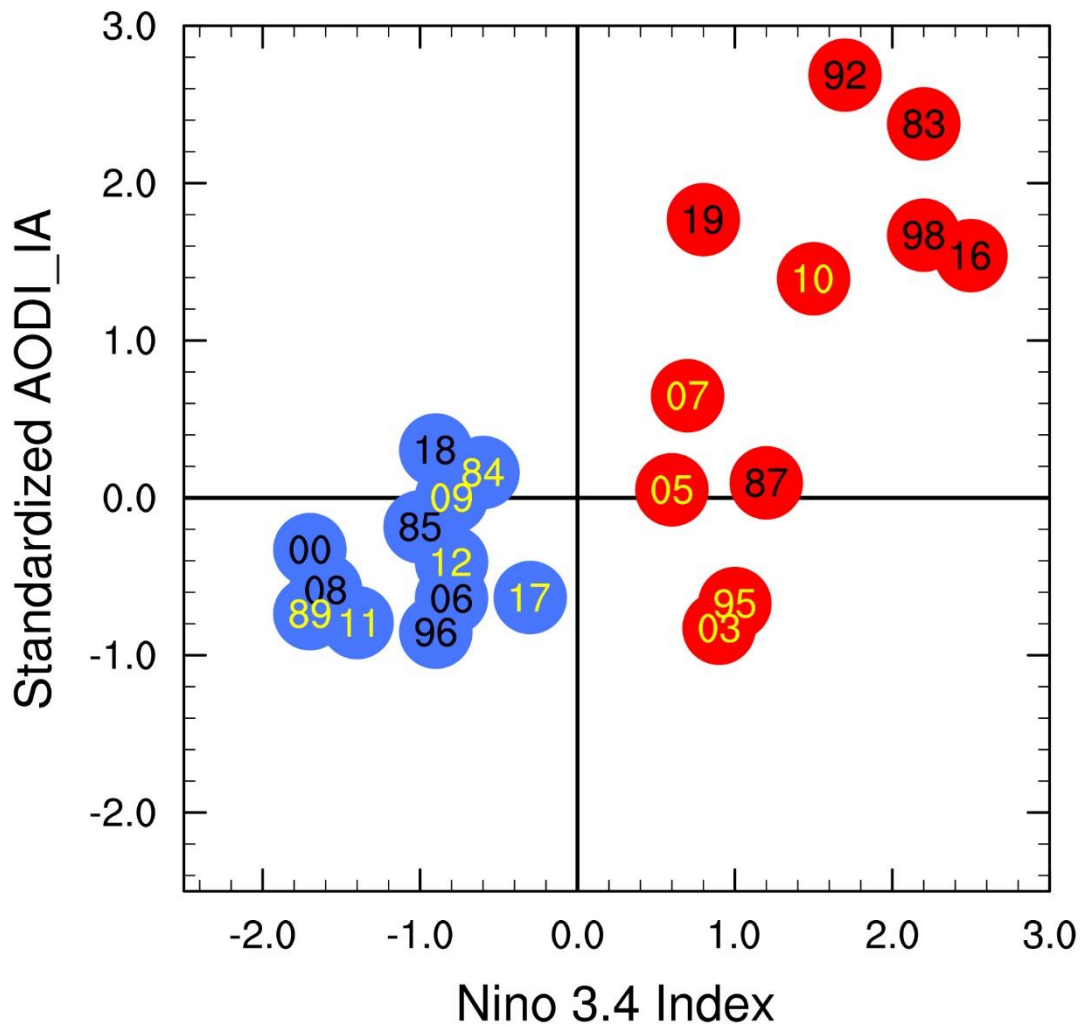
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2 **Figure 9:** Same as Figure 4, except for (left) EP and (right) CP ENSO events.

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2 **Figure 10: Same as Figure 5, except for (left) EP and (right) CP ENSO events.**

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 2 **Figure 11: Scatter plot between standardized AODI_IA and corresponding preceding winter (DJF) Niño3.4 (°C) indices for 23**
 3 **ENSO events. Eleven El Niño and twelve La Niña events are indicated by red and blue circles, respectively. The number inside**
 4 **each circle denotes the calendar year, with black and yellow for EP and CP ENSO, respectively.**

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