



1 **Potential impact of aerosols on convective clouds revealed by**
2 **Himawari-8 observations over different terrain types in**
3 **eastern China**

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5 Tianmeng Chen^{a,b,c}, Zhanqing Li^{b,*}, Ralph A. Kahn^{b,d}, Chuanfeng Zhao^{a,*}, Daniel
6 Rosenfeld^e, Jianping Guo^c, Wenchao Han^{a,b}, Dandan Chen^c

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8 a. State Key Laboratory of Remote Sensing Sciences, and College of Global Change
9 and Earth System Science, Beijing Normal University, Beijing, 100875, China
10 b. Department of Atmospheric and Oceanic Sciences & Earth System Science Interdi
11 sciplinary Center, University of Maryland, College Park, Maryland 20740, USA
12 c. State Key Laboratory of Severe Weather, Chinese Academy of Meteorological
13 Sciences, Beijing 100081, China
14 d. Earth Sciences Division, NASA Goddard Space Flight Center, Greenbelt, Maryland
15 20771 USA
16 e. Institute of Earth Sciences, Hebrew University, Jerusalem, Israel

17

18 * To whom all correspondence should be addressed

19 Zhanqing Li

20 Email: zli@atmos.umd.edu

21 Chuanfeng Zhao

22 Email: czhao@bnu.edu.cn



23

Abstract

24 Convective clouds are common and play a major role in Earth's water cycle and energy
25 balance; they may even develop into storms and cause severe rainfall events. To
26 understand the convective cloud development process, this study investigates the
27 impact of aerosols on convective clouds by considering the influence of both
28 topography and diurnal variation of radiation. By combining texture analysis,
29 clustering and thresholding methods, we identify all convective clouds in two warm
30 seasons (May-September, 2016-2017) in eastern China based on Himawari-8 Level 1
31 data. Having a large diurnally resolved cloud data together with surface meteorological
32 and environmental measurements, we investigate convective cloud properties and their
33 variation, stratified by elevation and diurnal change. We then analyze the potential
34 impact of aerosol on convective clouds under different meteorological conditions and
35 topographies. In general, convective clouds tend to occur preferentially under polluted
36 conditions in the morning, which reverses in the afternoon. Convective cloud fraction
37 first increase then decrease with aerosol loading, which may contribute to this
38 phenomenon. Topography and diurnal meteorological variations may affect the strength
39 of aerosol microphysical and radiative effects. Updraft is always stronger along the
40 windward slopes of mountains and plateaus, especially in northern China. The
41 prevailing southerly wind near the foothills of mountains and plateaus is likely to
42 contribute to this windward strengthening of updraft and to bring more pollutant into
43 the mountains, thereby strengthening the microphysical effect, invigorating convective
44 clouds. By comparison, over plain, aerosol decreases surface heating and suppresses
45 convection by blocking solar radiation reaching the surface.

46 Key Words

47 Himawari-8; convective cloud; aerosol-cloud interactions, topographic effects on cloud

48



49 **1. Introduction**

50 Convective cloud is important for Earth's energy balance and the water cycle.
51 Changes in the distribution or triggering time of convective cloud can have a large
52 impact on the climate system (Stocker et al., 2013). Previous studies have shown that
53 aerosol particles in the atmosphere can affect the formation and development of
54 convective clouds, through both radiative and microphysical effects (Ramanathan et al.,
55 2001; Tao et al., 2012; Altaratz et al., 2014; Rosenfeld et al., 2014a, 2014b; Li et al.,
56 2016; Zhao et al., 2018a; Yang et al., 2019), which can dramatically affect the weather
57 and climate (Zhao et al., 2020).

58 Aerosol particles generally cool the surface by scattering the downward solar
59 radiation (McCormick and Ludwig, 1967; Charlson et al., 1992), whereas light-
60 absorbing aerosol can additionally warm the atmosphere above the surface (Ackerman
61 et al., 2000). These aerosol radiative effects change the surface radiation budget and the
62 atmospheric temperature profile directly, thereby altering the onset time of convective
63 cloud formation and precipitation (Feingold et al., 2005; Wang et al., 2013; Zhou et al.,
64 2020).

65 Aerosols, by acting as cloud condensation nuclei (CCN) and/or ice nuclei (IN), can
66 also affect the formation and growth of cloud droplets (Rosenfeld, 2000; Kaufman et
67 al., 2002; Garrett et al., 2004; Lohmann and Feichter, 2005; Zhao et al., 2012), which
68 is regarded as the aerosol microphysical effect. For constant cloud liquid water content,
69 increases in aerosol particle number concentration can produce more but smaller cloud
70 droplets, thus increasing cloud albedo (Twomey and Warner, 1967; Twomey, 1974).
71 This effect, called the "Twomey effect", is a well-established influence on cloud
72 properties in polluted environments (Kaufman and Nakajima, 1993; Feingold et al.,
73 2003). Smaller cloud droplets can increase cloud lifetime and reduce precipitation
74 (Albrecht, 1989), whereas for some deep convective cloud, the latent heat released by
75 the formation of more and smaller ice particles can invigorate deep convection and



76 increase rain rate (Andreae et al., 2004; Kaufman et al., 2005; Rosenfeld et al., 2008;
77 Li et al., 2011; Fan et al., 2013; Li et al., 2019). For cases of thin clouds, smaller cloud
78 droplets enhance cloud thermal emissivity, trap more longwave radiation within the
79 atmosphere and alter cloud development (Garrett and Zhao, 2006; Zhao and Garrett,
80 2015).

81 Many previous studies show that the interactions between aerosols and weather
82 variables are complex (Stevens and Feingold, 2009; Altaratz et al., 2014), making it
83 challenging to untangle aerosol effects from meteorological factors that influence
84 convective clouds. As different types of clouds form under different meteorological
85 conditions, some previous observational studies attempted to classify clouds into
86 different types, to distinguish aerosol effects in various meteorological regimes
87 (Andreae et al., 2004; Khain et al., 2005; Li et al., 2011; Gryspeerdt and Stier, 2012;
88 Gryspeerdt et al., 2014; Qiu et al., 2017). Other studies consider different cloud types
89 as different stages of convective development, to identify aerosol effects on convective
90 cloud evolution, stratified by meteorological conditions (Chen et al., 2016; Guo et al.,
91 2018).

92 Meteorological conditions can change during the day and can vary from one place to
93 another. For example, convective cloud and precipitation maxima tend to occur in the
94 early morning over open ocean, but in the late afternoon or early evening over land,
95 driven primarily by temporal differences in the radiative forcing (Chang et al., 1995;
96 Garreaud and Wallace, 1997; Sui et al., 1997; Zhou et al., 2008; Li et al., 2010). Other
97 studies show that the impact of terrain on the convective cloud can also be complex
98 (Roe, 2005; Houze Jr., 2012), and that the meteorological factors that control
99 convection can be affected by terrain (Romatschke and Houze Jr., 2010, 2011a, b).
100 Diurnal variation of solar radiation can alter wind circulation in a valley, and therefore
101 may control the spatial distribution of convective cloud in mountainous regions
102 (Kirshbaum and Durran, 2004, 2005; Kirshbaum et al., 2007; Romatschke et al., 2010).



103 In recent years, studies also attempted to explore the effects of topography on
104 aerosol-precipitation interactions. Lynn et al. (2007) simulated the aerosol effect on
105 orographic precipitation using the WRF model and found that the intensity of
106 orographic precipitation is suppressed in more polluted environments. However, when
107 the cold rain process is involved, precipitation is delayed but intensified (Givati and
108 Rosenfeld, 2004; Rosenfeld and Givati, 2006; Xiao et al., 2015; Yang et al., 2016c).
109 When light-absorbing aerosol is present, radiative heating in the atmosphere can
110 produce enhanced instability, which may trigger disastrous precipitation, especially late
111 in the day (Fan et al., 2015). These studies revealed some potential influences of aerosol
112 on orographic precipitation from either model-simulations or from several specific
113 observed cases. Only a few studies include sufficient observational data to analyze the
114 relationships between aerosol and orographic convective cloud statistically. This might
115 be due to a lack of observational data in the past with sufficiently high spatial and
116 temporal resolution.

117 Although polar orbiting satellites provide high spatial resolution data, they cannot
118 track the diurnal development of convective clouds. In contrast, geostationary satellite
119 data can track the evolution of convective clouds over the entire day at a slightly lower
120 spatial resolution (Chakraborty et al., 2015, 2016). The launch of the Japanese next-
121 generation geostationary satellite Himawari-8 in October 2014 rendered an opportunity
122 to study aerosol effects on convective clouds throughout the day. The diurnal variation
123 in different terrain types may be particularly strong due to amplified variations in
124 radiation and sunshine duration by terrain (Li and Weng, 1987, 1988, 1989). The current
125 study aims to characterize the differences between convective clouds in polluted and
126 clean environments, untangling the probable influence of topography on the way
127 aerosols affect convective clouds, and to explore how the effects of aerosols change
128 diurnally. To achieve this goal, we first develop an automatic method of identifying
129 convective clouds using geostationary satellite data, and then assess how aerosol effects
130 change during the day and in different topographic regions of eastern China.



131 The paper is organized as follows: Section 2 introduces the study region and data
132 selection. The method is described in Section 3. Section 4 presents the temporal and
133 spatial distributions of convective cloud and discusses the possible impact by aerosol
134 on convective cloud over different terrains. In section 5, we give a brief summary.

135 **2. Study region and data**

136 **2.1 Region of interest**

137 Rapid economic and industrial growth has brought heavy pollution to China,
138 especially to eastern China, in recent decades. Thus, high aerosol loading over this area
139 provides a natural laboratory for us to study the aerosol impact on convective clouds
140 (Guo et al., 2011). In addition, eastern China has relatively complex topography, where
141 convective clouds can be triggered and develop differently, leading to convective cloud
142 regimes having distinctive diurnal change patterns. We show the terrain distribution and
143 the mean concentration of particles with aerodynamic diameters smaller than $2.5\ \mu\text{m}$
144 ($\text{PM}_{2.5}$) during May-September in 2016-2017 over eastern China in Figure 1. Generally,
145 terrain height (TH) tends to increase from east to west in this region, and $\text{PM}_{2.5}$ mass
146 concentration is generally higher over the plains and lower over mountain ranges and
147 plateaus. In order to investigate how these landscapes impact cloud fraction and
148 convective cloud diurnal variation, we chose the area with longitudes from 105°E to
149 125°E , and latitudes from 20°N to 45°N as the region of interest (ROI) for this study.

150 **2.2 Data**

151 a. Himawari-8 data

152 The first in a new generation of Japanese geostationary meteorology satellite, named
153 Himawari-8, was launched in 2014. It carries a state-of-art optical sensor, the Advanced
154 Himawari Imager (AHI), which can provide significantly high resolution observations
155 of the Earth system from space (0.5 or 1 km for visible and near-infrared bands at the
156 sub-spacecraft point and 2 km for infrared bands) and time (around 10 min for Full Disk
157 and 2.5 min for sectorized regions) from space (Bessho et al., 2016). These advantages



158 make it possible to detect rapid weather changes, especially the triggering and
159 development of convective cloud. The geostationary sub-spacecraft point is located at
160 140.7°E over the equator, so most of our ROI is covered.

161 In this study, we use the Himawari-8 L1 gridded data from the Japan Aerospace
162 Exploration Agency (JAXA) P-Tree system to develop a convective cloud
163 identification method and investigate how aerosols impact convective clouds over
164 eastern China. This dataset is generated by the Earth Observation Research Center
165 (JAXA/EORC) from Himawari Standard Data, with re-sampling to equal latitude-
166 longitude grids. (For more detail, see <https://www.eorc.jaxa.jp/tree/userguide.html> ;
167 last accessed: Oct. 2018 .) The channels we use here are centered at 0.64 μm , 11.2 μm ,
168 and 12.4 μm , with a spatial resolution of $0.02^\circ \times 0.02^\circ$ and a temporal resolution of 10
169 min.

170 b. MODIS cloud mask

171 We use the MODIS/Aqua MYD35 cloud mask data (Wilson et al., 2014) to validate
172 the convective cloud identification method developed here. Cloud mask data at 1 km
173 resolution (from the MYD35 Cloud_Mask product; web address:
174 <https://modis.gsfc.nasa.gov/data/dataproduct/mod35.php>; last accessed: Sep. 2018) is used
175 to compare with the near-simultaneous cloud identification result from our method.

176 c. Particulate matter (PM) data

177 In previous studies, aerosol optical depth (AOD) (Andreae, 2009; Niu and Li,
178 2012; Wang et al., 2018), visibility (Chen et al., 2016), aerosol concentration with
179 diameters between 100 nm and 3 μm (Zhao et al., 2018b; Yang et al., 2019; Zhao et al.,
180 2019), and particulate matter up to 10 μm in diameter (PM_{10}) (Guo et al., 2016) were
181 used as proxies for cloud concentration nuclei (CCN). However, satellite AOD
182 retrievals can only be made in cloud-free conditions, and near-cloud retrievals are
183 frequently influenced by cloud contamination (Li et al., 2009). In addition, remote-
184 sensing methods cannot distinguish the part of the CCN size spectrum smaller than



185 about 0.05 μm from atmospheric gas molecules. On the other hand, particulate matter
186 can be measured from the surface or aircraft under all-sky conditions. Particle size up
187 to 10 μm may be much larger than the typical scale of CCN, so we consider particle
188 matter up to 1 μm (PM_{1}) or 2.5 μm ($\text{PM}_{2.5}$) in size as a CCN proxy. Due to the limited
189 availability of PM_{1} measurement in eastern China, we chose $\text{PM}_{2.5}$ as an indicator of
190 different CCN levels in the environment for this study.

191 Figure 1 also shows the mean value of $\text{PM}_{2.5}$ measured at 1205 ground-based stations
192 across eastern China during the warm months (May-September) in 2016-2017. The
193 average $\text{PM}_{2.5}$ mass concentration generally lies between 20 and 60 $\mu\text{g}/\text{m}^3$, with higher
194 values over the Beijing-Tianjin-Hebei region. Although not uniformly distributed, the
195 ground stations cover almost all regions in eastern China. Note that these stations
196 provide hourly observations of PM_{10} and $\text{PM}_{2.5}$ concentrations.

197 d. MERRA-2 reanalysis

198 In order to assess the impact of meteorological factors on convective clouds, and to
199 analyze the dependence of aerosol effects on convective cloud fraction, we adopt
200 meteorological variables from the second Modern-Era Retrospective analysis for
201 Research and Applications (MERRA-2) reanalysis dataset (web address:
202 <https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/>; last accessed: Oct. 2019). MERRA-
203 2 is the latest atmospheric reanalysis produced by NASA's Goddard Earth Observing
204 System Data Assimilation System Version 5 (GEOS-5), using a new generation of
205 satellite observation sources from 1979 to the present (Gelaro et al., 2017). In this study,
206 we adopt the temperature at 2 m, relative humidity at 700 hPa and 850 hPa, vertical
207 velocity at 925 hPa and 850 hPa, and surface specific humidity to evaluate how
208 convective cloud fraction changes with respect to terrain height under different
209 meteorological conditions, and to gain insight into whether the aerosol effects are
210 independent of other factors that might influence convective cloud triggering and
211 development during daytime. We obtained all these parameters at a spatial resolution



212 of $0.5^{\circ} \times 0.5^{\circ}$, and a temporal resolution of 3 hours. Based on the findings in previous
213 studies, we chose the following factors to characterize the dynamics and
214 thermodynamics of the environment:

215 *Lower-tropospheric stability (LTS)*. The lower-tropospheric static stability is defined
216 by Klein and Hartmann (1993) as the inversion strength of the atmosphere. Chen et al.
217 (2016) adopted this LTS definition to distinguish between stable and unstable cloud
218 profiles and to analyze the independence of aerosol effect on clouds. In this study, we
219 use this parameter to assess how the lower atmosphere stability influences the
220 performance of convective clouds. The formula of LTS can be written as

$$221 \quad LTS = \theta_{700 \text{ hPa}} - \theta_{\text{surface}} \quad (1)$$

222 θ_{surface} , which represent the potential temperature at the surface, is calculated from the
223 air temperature at 2 m; the potential temperature at 700 hPa ($\theta_{700 \text{ hPa}}$) is directly
224 extracted from MERRA-2 pressure level dataset.

225 *Potential Temperature*. Temperature, especially lower-level atmospheric temperature,
226 plays a critical role in triggering the development of convective clouds. As air
227 temperature decreases systematically with altitude in most places, it is not proper to
228 directly compare temperatures over different terrain. As the potential temperature is
229 conserved regardless of height, it reflects the near-surface heating to some extent.
230 Additionally, Wang et al. (2018) point out that selecting potential temperature avoids
231 some duplication of temperature and humidity information. Thus, potential temperature
232 is adopted in this study.

233 *Vertical velocity*. Vertical velocities at 850 hPa (ω_{850}) and 925 hPa (ω_{925}) are chosen
234 to investigate the role of vertical airflow in convection. As vertical motion over different
235 terrain may vary, this factor can produce large differences in convective cloud
236 occurrence frequency. We use ω_{850} and ω_{925} to represent the low-level dynamical
237 conditions for terrain above and below 1000 m, respectively. $\omega > 0$ represents downward
238 air motion, whereas $\omega < 0$ means the air motion is upward. Uncertainty lies in the



239 different distances between the 850 hPa and 925 hPa level and cloud base. There are
240 likely large differences in the updraft strength between these two levels and the cloud
241 base in some cases. However, in the formation of convective clouds, the vertical
242 velocity between the surface and cloud base is more essential in transporting vapor and
243 energy to higher levels (Lee et al., 2019). As these two levels cover most of the surfaces
244 above and below 1000 m and can reflect the vertical velocities beneath cloud to some
245 extent, we chose to use them as reference values to represent the dynamical conditions
246 when convective clouds occur.

247 *Relative humidity.* Water vapor supply is essential to the formation and development
248 of convective clouds (Redelsperger et al., 2002; Chakraborty et al., 2018) and is a crucial
249 component of cloud water condensation and evaporation in aerosol-cloud interactions
250 (Altartatz et al., 2014). As convective cloud formation is more sensitive to the under-
251 cloud and near-surface water vapor content, similar to vertical velocity, relative
252 humidity (RH) data at 700 hPa (RH_{700} , for regions with $TH \geq 1000$ m), 850 hPa (RH_{850} ,
253 for regions with $TH < 1000$ m) and the specific humidity at the surface (q) are employed.

254

255 **3. Methods**

256 **3.1 A convective cloud identification method**

257 Numerous previous studies have attempted to develop methods for detecting and
258 classifying cloud features, including convective clouds, based on satellite observations.
259 One of the most common methods for identifying cloud is thresholding (Williams and
260 Houze Jr., 1987). However, Wielicki and Welch (1986) found that the identified cloud
261 fraction depends strongly on threshold values, as cumulus cloud reflectance varies
262 greatly within individual clouds and at cloud edges. As a result, many studies now adopt
263 digital image processing to help identify shallow convective clouds more accurately.

264 One widely used image processing method is textural analysis, which adopts second-



265 order statistics representing the texture of the digital image, as first proposed by
266 Haralick (Haralick et al., 1973). This method, known as the gray level co-occurrence
267 matrix (GLCM) method, was applied by Welch et al. (1988a, b) in their LandSat data
268 analysis of marine stratocumulus cloud texture. The GLCM is a matrix of
269 counts/frequencies of grey values for pairs of pixels, whose relative positions are
270 defined by the polar coordinates (d, θ) . The formula can be written as:

$$271 \quad GLCM(i, j, d, \theta) = Pr\{I(x_1, y_1) = i, I(x_2, y_2) = j\} \quad x_1, x_2 \in m \text{ and } y_1, y_2 \in n \quad (2)$$

272 where $Pr\{E\}$ denotes the probability of event E , I represents the image matrix of size
273 $m \times n$, (x_1, y_1) and (x_2, y_2) are the two elements in I with gray tone value i and j , which
274 are separated by distance d in direction θ . The unit of d is a pixel, and θ always takes
275 0° , 45° , 90° , and 135° . The maximum difference between i and j defines the size of
276 GLCM. When the frequency of the $(i, j)^{\text{th}}$ element is more concentrated near the off-
277 diagonal of the GLCM, the image contains more complex texture. To define texture
278 properties from the GLCM, several image statistical variables are derived from this
279 matrix, including “contrast”, “homogeneity”, “energy”, and “entropy” (Haralick,
280 1979; Welch et al., 1988b; Baum et al., 1997; Bottino and Ceballos, 2014). The “contrast”
281 measures the intensity contrast between a pixel and its neighbors, assessed over the
282 entire image. “Homogeneity” measures the closeness to the diagonal of the GLCM
283 element distribution. “Energy”, also termed the angular second moment, measures the
284 complexity of the image, and “entropy” measures the degree of randomness, evaluated
285 over the entire image. The formulas are:

$$286 \quad Contrast = \sum_{i,j} |i - j|^2 GLCM(i, j) \quad (3)$$

$$287 \quad Homogeneity = \sum_{i,j} \frac{GLCM(i, j)}{1 + |i - j|} \quad (4)$$

$$288 \quad Energy = \sum_{i,j} GLCM(i, j)^2 \quad (5)$$

$$289 \quad Entropy = \sum_{i,j} GLCM(i, j) \ln [GLCM(i, j)] \quad (6)$$



290 The objective of this section is to identify new-born and mature convective clouds.
291 As the edges of convective clouds tend to be very sharp (Purdum, 1976), large
292 differences between i and j can produce large ‘contrast’ values (Equation 3). Thus, in
293 this study, we use the mean “contrast” data at $d=1$ in the four directions ($\theta=0^\circ, 45^\circ, 90^\circ,$
294 and 135°) to identify convective clouds.

295 Besides this parameter, we also employ the visible reflectance (VIS, $0.64 \mu\text{m}$) and
296 brightness temperatures (T_b) at $11.2 \mu\text{m}$ and $12.4 \mu\text{m}$ to help identify the distinctive
297 patterns of convective clouds. The spatial gradient of T_b ($11.2 \mu\text{m}$) helps exclude very
298 low-elevation fogs, whose temperatures are close to that of the surface in the morning.
299 We use these three parameters in a k-means clustering analysis. Those clusters with
300 relatively higher “contrast” (with mean Contrast >3.5) are considered either small
301 convective clouds or the edges of mature convective clouds.

302 Unlike stratus clouds produced by large-scale systems, mature local convective cloud
303 tops tend to have very high VIS reflectance and small area (Lima and Wilson, 2008).
304 As the cloud tops of mature convective clouds are also relatively flat, they produce
305 small “contrast” values. We consider those clusters having area smaller than $40,000$
306 km^2 ($10,000$ pixels), mean VIS reflectance larger than 0.75 , and maximum VIS
307 reflectance larger than 0.9 as the tops of mature convective clouds.

308 In addition, we adopt the split window technique to exclude cirrus (Mecikalski and
309 Bedka, 2006). The brightness temperature differences between $11.2 \mu\text{m}$ and $12.4 \mu\text{m}$
310 are near-zero for convective clouds, and we exclude those pixels with brightness
311 temperature differences numerically smaller than -4K . This allows us to produce a
312 cloud mask with a high probability of isolating convective cloud. Figure 2 shows the
313 entire flowchart of our convective-cloud identification method.

314 As we identify convective clouds using the combined results from texture analysis,
315 clustering, and thresholding, we name this cloud identification method the Texture-
316 Clustering-Thresholding-Convection IDentification (TCT-CID) method.



317 **3.2 Validation of the convective cloud mask**

318 To validate the TCT-CID method, we compare the convective clouds identified with
319 our cloud mask against the MODIS/Aqua MYD35 cloud mask. As the MODIS product
320 does not classify clouds into different types, we use a scene from the hilly regions in
321 southern China at 13:40 LT on July 30th, 2016 as an example. It contains a vast
322 convective cloud field, and most of the clouds in this scene are convective clouds.
323 Figure 3a shows the true-color image of the scene we chose. Figures 3b and 3c are the
324 MODIS cloud mask data and the convective cloud mask from our method, respectively.
325 We can see that there is a good agreement between the MODIS cloud mask and that
326 identified by our method. As we have screened the cirrus with the split-window method
327 to isolate only convective clouds, the cloud area identified by our method is smaller
328 than the cloudy area found by the MODIS cloud mask. Nevertheless, the majority of
329 convective clouds are well captured by our method.

330 In order to validate the result of convective clouds identified by the TCT-CID method
331 statistically, we compared the identified convective cloud mask with the Himawari-8
332 Level 2 cloud type data from the L2CLP010 product (see
333 <https://www.eorc.jaxa.jp/ptree/userguide.html>; last accessed: May 2020). This product
334 provides the cloud type information using the ISCCP cloud classification criteria. The
335 frequencies of different cloud types corresponding to the identified convective cloud
336 masks are shown in Figure S1. We can find that the frequencies of DCC and Sc are
337 relatively significant, especially around noon time, which indicates that the TCT-CID
338 method is effective at identifying deep convective clouds and stratocumulus clouds.
339 Other cloud types, such as altostratus, nimbostratus and cumulus cloud also show
340 relatively large amounts. These cloud types can be seen as representing different stages
341 in the development of convective clouds. Although their frequencies only exceed the
342 median but not the 2σ values of the distributions, they still show significant differences
343 from the frequency of cirrus, stratocirrus, altocumulus and stratus. After 16:00, as the
344 solar zenith angle grows, the cloud top reflectance increases significantly. The criteria



345 of the TCT-CID method become less strict, so that cloud identification errors increase.
346 Nevertheless, the frequency of DCC still passes the 2σ line, which implies that deep
347 convection is the most robust cloud type that can be identified by the TCT-CID method.

348 These results suggest that the identification by the TCT-CID method is relatively
349 reliable in studying the convective cloud properties and their relationship with aerosols.

350 **4. Results and discussion**

351 **4.1 The diurnal cycle of convective clouds**

352 We use the L1 data from Himawari-8 acquired from May to September in 2016 and
353 2017 to identify local convective clouds over eastern China and build the spatial
354 distribution of convective clouds. Figure 4 shows the frequency of convective clouds
355 occurring between 08:00 and 17:00 LT, aggregated over the entire study period. This
356 includes 20455 Himawari images, containing more than 2000 samples within each pixel
357 within each hour. Convective cloud occurs predominantly over the sea in the morning,
358 and gradually shifts inland after local noon. This convective cloud occurrence pattern
359 is driven by distinct differences in the specific heat capacities and boundary-layer
360 thermodynamics over land and ocean. In the morning, radiative cooling and land-breeze
361 contribute to the formation of convective clouds over the ocean, whereas temperatures
362 near the land surface tend to be relatively low, which makes it difficult for convective
363 clouds to form there at this time of the day. But in the afternoon local time, as land
364 surface temperatures increase, the near-surface air layer becomes more unstable,
365 making it easier for convective clouds to form. The accumulation of land-surface
366 heating during the day also favors the development of deep convection in the afternoon.
367 Such patterns are highly consistent with previous studies (Garreaud and Wallace,
368 1997; Sui et al., 1997; Li et al., 2010).

369 To further validate the identification results, the statistical patterns of convective
370 cloud masks are investigated in Figure 4 and 5. The impact of diurnal solar radiation
371 variation and topography on convective clouds are already well-understood (Houze Jr.,



1993;Houze Jr., 2012;2014), and thus, these results serve as further support for our cloud identification method. The gray lines in Figure 4 mark the 1000 m surface elevation contour, which is approximately the boundary between the plains and elevated terrains. Around 13:00-14:00 local time, convective clouds begin to form over the elevated regions, and the amount begins to increase afterwards (Figure 4).

Figure 5 shows the joint distribution of convective cloud occurrence frequency (CC OF) with respect to terrain height (TH) over land and associated meteorological factors. Vertical velocities (relative humidity) at 850 hPa and 925 hPa (700 hPa and 850 hPa) are used as proxies for the basic state of dynamics and water vapor for regions with elevation above and below 1000 m, respectively.

Generally, CC OF has two peaks, at heights below 500 m and above 1000 m (Figure 5a-d), which is likely due to the different sample sizes over terrain of different heights (Figure S2). Nevertheless, the thermal factors that impact convective cloud occurrence show strong differences between lower and higher elevation surfaces. Convective clouds tend to require more unstable thermal conditions over regions with higher surface elevation compared to regions with lower surface elevation ($TH \leq 1000$ m). The LTS can be 5~10K lower, whereas the potential temperature θ can be nearly 10K higher, for convective clouds to be favored at higher elevations. Further, instability and surface heating are also stronger when convective cloud occurrence is favored after about 11:00 LT relative to the early morning. For dynamical conditions, shown in Figure 5i-p, the mean values of CC OF for both ω_{850} and ω_{925} are negative (i.e., upward motion) and tend to move slightly in the negative direction and become stronger from 08:00-14:00, acting as a nonnegligible contributor to the diurnal variation of convective clouds during the day.

The under-cloud relative humidity (RH) values (RH_{700} and RH_{850} for regions of $TH > 1000$ m and $TH \leq 1000$ m, respectively) show a general increase from 08:00 to 14:00 and are close to 100% when cloud occurrence is most common. In addition, the



399 under-cloud RH over lower terrain is always higher than it is over higher-altitude
400 regions during daytime (Figure 5q-x). This pattern may be caused by the stronger
401 surface heating over higher terrain, which decreases the RH near the surface. Also note
402 that where convective clouds occur, specific humidity at the surface (q) is always higher
403 for regions having $TH \leq 1000$ m than for regions where $TH > 1000$ m (Figure 5y-z). This
404 might indicate that, compared with higher terrain regions, it is always moister over
405 lower terrain, so it is more difficult for cloud droplets to evaporate in such places. q
406 over regions with $TH > 1000$ m decreases more rapidly than for lower altitude regions
407 from 11:00 to 17:00, but RH_{700} increases at higher altitudes, probably indicating that
408 moisture over higher terrain is transported to higher altitudes to form convective clouds
409 at these times.

410 All these thermal, dynamical and moisture conditions show relatively significant
411 differences between lower and higher terrains in our data, which may reflect distinct
412 impacts of topography on the formation and development of convective clouds. Such
413 patterns show that our data conform to the expected patterns supports their use for the
414 analysis presented below.

415 **4.2 Changes in convective cloud diurnal cycle associated with aerosol**

416 In this study, $PM_{2.5}$ observations from 1205 stations over eastern China are used as
417 proxies for CCN, to roughly separate clouds into polluted and clean classes. We match
418 the hourly measured $PM_{2.5}$ with the 10 min convective cloud identification results by
419 supposing the convective cloud observed in the same hour occurs under the same $PM_{2.5}$
420 conditions. For each site, we use the top quarter of the $PM_{2.5}$ concentration distribution
421 as the criterion for identifying polluted cases, and the bottom quarter as the clean cases
422 (Figure 6). We then aggregate them into a $0.4^\circ \times 0.4^\circ$ grid using nearest neighbor
423 interpolation. Only those clouds with centroids located within a grid box classified as
424 polluted (clean) are deemed as polluted (clean) convective clouds. We calculated the
425 convective cloud fraction (CCF) using the number of convective clouds under polluted
426 (or clean) conditions divided by the total convective cloud amount within each grid cell.



427 On average, 4.6×10^7 (4.1×10^7) pixels are deemed as clean (polluted) convective cloud
428 within each hour. Figure 7 shows the difference of CCF in polluted and clean
429 environments. Warm (cold) colors in the figure mean that there are more (less)
430 convective clouds under the polluted condition. Additionally, only those data points that
431 exceed the 95% significance level according to the Pearson's χ^2 test are plotted. From
432 Figure 7a to 7e, we find that before 12:00 LT, convective clouds under polluted
433 conditions generally occur in larger amounts, especially over the plateau region and
434 some of the mountain regions. This pattern reverses gradually from 13:00 to 17:00 LT
435 (Figure 7f-7j): the amount of convective clouds under polluted conditions tends to
436 diminish, relative to those in clean conditions, in the afternoon. However, in several
437 places, the CCF difference generally persists from morning to afternoon. Some red dots
438 in southern and eastern China seem to occur over megacities, presumably caused by the
439 co-action of high aerosol loading and the urban heat island effect (e.g., for the
440 megacities around Yangtze River Delta (YRD) and the Pearl River Delta (PRD),
441 marked by black circles in the figure). Furthermore, complex topography may also lead
442 to different convective cloud response to aerosol loading. Over northern China, more
443 convective clouds form over mountains under polluted conditions, whereas over the
444 central China plain, with mountains around, convective clouds may be suppressed all
445 the time by high aerosol loading. The number distribution of convective cloud clusters
446 in each area bin, aggregated over the entire ROI is shown in Figure S3. Polluted
447 convective cloud covers a larger area than clean convective cloud early in the day; this
448 pattern gradually reverses after 13:00 LT, starting from the decrease in number of
449 smaller convective cloud clusters. And after 14:00 LT, convective cloud area under
450 clean conditions dominates. This pattern may suggest that high aerosol loading is
451 probably one of the factors inhibiting the formation of small convective clouds via the
452 aerosol radiative effect in the afternoon.

453 In an attempt to assess the effects of pollution on diurnal convective cloud behavior,
454 the influence of other meteorological factors is addressed later in section 4.4 by



455 stratifying the data based on such factors. We note here only that a relatively clear
456 diurnal pattern in CCF exists, and that pollution effects appear to be correlated with this
457 pattern.

458 Koren et al. (2008) demonstrated two opposite effects of absorbing aerosol on cloud
459 cover, i.e. the microphysical effect and the radiation effect, by theoretical derivation,
460 and verified this theory with observations in the Amazon region. Their study concluded
461 that aerosol particles can increase cloud droplet number by serving as CCN. However,
462 when aerosol concentration is higher, the attenuation of solar radiation by aerosol
463 particles decreases the surface temperature, and atmospheric heating inhibits moisture
464 flux, thus suppressing convection. As aerosol loading increases, surface temperature
465 tends to decrease regardless of aerosol type (Gu et al., 2006; Jiang et al., 2013; Yang et
466 al., 2016a; Yang et al., 2016b; Yang et al., 2018). Thus, we can infer that under conditions
467 of high aerosol loading, the vertical moisture flux may be suppressed, which would
468 inhibit convective cloud formation. Therefore, investigating the diurnal variation of
469 aerosol microphysical and radiative effects might help explain the patterns shown in
470 Figure 7.

471 Figure 8 shows the relationship between convective cloud and $PM_{2.5}$ concentration.
472 Ten equally sampled bins of $PM_{2.5}$ concentration were defined, and we calculated the
473 mean CCF within each bin using the convective cloud amount in each $PM_{2.5}$ bin divided
474 by the total convective cloud amount within the same area for all $PM_{2.5}$ values. Sample
475 sizes are shown in the color shaded background in each subfigure, and the mean sample
476 number within each $PM_{2.5}$ bin is $\sim 8 \times 10^5$. The three-point moving average of the values
477 is also plotted. We find that CCF first increases with respect to $PM_{2.5}$ mass concentration
478 and then starts to decrease; this pattern persists throughout the day. The $PM_{2.5}$ mass
479 concentrations at all turning points of the curves are between $20 \mu\text{g}/\text{m}^3$ and $30 \mu\text{g}/\text{m}^3$.
480 Similar results were also found by previous studies (Guo et al., 2017; Jiang et al.,
481 2018; Wang et al., 2018). Adding to these previous results, we find that the relationship



482 of $PM_{2.5}$ and CCF persists throughout the day, as we have used high-resolution
483 geostationary satellite data that provide us ample samples at different times.
484 Furthermore, the aerosol effect on convective cloud is probably robust not only for deep
485 convective clouds, but also for convective clouds at any stage of development. This
486 pattern might be attributed to the competition between the microphysical effect
487 dominating at low $PM_{2.5}$ concentration, and the radiative effect becoming increasingly
488 important at higher $PM_{2.5}$ concentration.

489 The CCF values corresponding to the average thresholds identifying clean and
490 polluted conditions (marked as vertical red line pairs with numbers in each Figure 8
491 panel) generally tend to be higher under polluted than under clean conditions from
492 08:00-11:00 LT, whereas from 12:00-17:00 LT the pattern gradually reverses. The
493 shapes of the moving average curves change slightly from morning to afternoon
494 compared with the mean CCF over all times (magenta dots), where CCF is lower in the
495 morning before the tipping point but higher in the afternoon, and after the tipping point,
496 CCF is higher in the morning but lower in the afternoon. As we found from Figures 5
497 and 7, meteorological condition changes associated with topography and diurnal solar
498 radiation variations may play roles in altering the shapes of the CCF curves. For
499 conditions with $PM_{2.5}$ concentrations lower than the 20~30 $\mu\text{g}/\text{m}^3$ turning zone, more
500 convective cloud is formed, probably due to more unstable environments and stronger
501 surface heating, especially in the afternoon. But as the surface is generally moister
502 before noon time (Figure 5x-z2), especially at higher terrains, the higher q may suppress
503 cloud droplet evaporation and thus keep CCF from sharply decreasing.

504 **4.3 Effects of topography on the aerosol-convective-cloud relationship**

505 In order to isolate the probable effect of topography on the aerosol-convective-cloud
506 relationship, we further investigate in this section the CCF changes along with TH at
507 different levels of aerosol loading. The mean CCFs at different TH in both polluted and
508 clean conditions are shown in Figure 9. The CCF under clean (or polluted) conditions
509 is calculated using the formula shown below:



$$510 \quad \text{CCF}_{C(P)}(i, j; h, t) = \frac{N_{C(P)}(i, j; h, t)}{N_{\text{total}}(i, j; h)} \times 100\% \quad (7)$$

511 where $N_{C(P)}(i, j; h, t)$ represents the number of convective clouds occurring under clean
512 (C) or polluted (P) conditions in the $(i, j)^{\text{th}}$ pixel box in ROI in elevation bin h during
513 hour t , and $N_{\text{total}}(i, j; h)$ represents the total number of convective clouds observed in
514 each pixel box at elevation bin h during the daytime. Sample sizes are shown in Figure
515 S2. We find that the CCF difference between polluted and clean conditions generally
516 agrees with Figure 7 in that CCF is higher for polluted cases in the morning, lower in
517 the afternoon, and the differences are statistically greatest in early morning and late
518 afternoon. In addition, the CCF differences between polluted and clean conditions vary
519 considerably along with increasing TH, which may indicate that the effects of
520 topography and air quality on CCF co-vary, and the impact of topography might be
521 much stronger compared with increased aerosol loading.

522 There is also another aspect of these phenomena. In Figure 10, by normalizing the
523 occurrence frequencies by the total number of polluted and clean cases within each hour,
524 respectively, we explore how topography changes the polluted and clean convective
525 clouds spatially. The formula for this normalized CCF (NCCF) can be written:

$$526 \quad \text{NCCF}_{C(P)}(i, j; h, t) = \frac{N_{C(P)}(i, j; h, t)}{\sum_i \sum_j N_{C(P)}(i, j; h, t)} \times 100\% \quad (8).$$

527 The mean NCCF is calculated within each elevation bin h . Unlike CCF (Equation 7),
528 the denominator for NCCF (Equation 8) is not summed over all times-of-day; because
529 the elevation-related response reverses over the day (e.g., Figure 9), NCCF focuses
530 more specifically on how CCF at a given location and terrain elevation compares with
531 all locations at the same elevation and the same time, reducing the influence of diurnal
532 variation and emphasizing elevation-related differences. As such, the difference in
533 NCCF between clean and polluted cases reflects the difference caused by topography
534 when the overall environment is under clean or polluted conditions. We can see from
535 Figure 11 that below the elevation of 500 m, most of the convective clouds are



536 suppressed under polluted conditions, whereas over regions with terrain height greater
537 than 1000 m, especially before 14:00 LT, the amount of convective cloud under polluted
538 conditions is significantly larger. This phenomenon may partly explain the results
539 shown in Figure 7, where complex topography plays an important role in the aerosol
540 effect on convective clouds. Under polluted conditions, convective clouds over lower
541 terrain are much easier to suppress, whereas over elevated terrain, convective clouds
542 are more likely to be invigorated.

543 As the topography over eastern China has a general step-like distribution, we
544 roughly separate the terrain heights into four bins representing the plains (0-500 m),
545 mountain ranges (500-1000 m), plateau (1000-1500 m) and high mountains (1500-2000
546 m), and assess how the aerosol effect changes over different topography. The pixel
547 number within each bin is over 4.0×10^5 , 1.6×10^5 , 2.3×10^5 and 0.5×10^5 . We calculate
548 the CCF within each of the 10 equally sampled $PM_{2.5}$ bins over each sub-region. Figure
549 11 shows how cloud fraction changes with respect to $PM_{2.5}$ concentration over the four
550 different terrain elevation ranges. In all four sub-regions, CCF first increases with $PM_{2.5}$
551 concentration and then decreases. But before the turning zone (between $20 \sim 30 \mu\text{g}/\text{m}^3$)
552 the CCF is slightly higher over mountains and plateaus than over the plains, but after
553 the turning zone, this pattern reverses. This probably occurs because instability and
554 surface heating are stronger over the higher terrain, which invigorates the convective
555 cloud development by enhancing the aerosol microphysical effect (Rosenfeld and
556 Lohmann, 2008). The air over the plain regions is moister than that over the mountain
557 and plateau regions, which suppresses droplet evaporation thus tends to overtake the
558 aerosol radiative effect.

559 In order to further investigate the probable effect of topography in aerosol-
560 convective-cloud relationship, the vertical circulation and moisture distributions are
561 studied. The meridional-vertical distribution of relative humidity and wind are shown
562 in Figure 12. Generally, the circulation pattern is consistent throughout the day, the



563 updraft south to 35°N is always stronger than that over the northern region, and the
564 relative humidity in this region is significantly higher. Under clean conditions, the
565 strong updraft and southerly wind south to 30°N may bring large amount of moisture
566 inland, contributing to convection development in this region. But under polluted
567 conditions, the southerly wind is weaker, and the relative humidity above this region is
568 lower, which may lead to a restraint of convective cloud development due to the
569 inhibition of aerosol microphysical effect. In regions north to 35°N, there is an obvious
570 north wind component under clean conditions, bringing dry and clean air to this region,
571 which may suppress the development of convective clouds. But under polluted
572 conditions, under the control of a relatively strong southerly wind, moisture and
573 pollutants may be blown toward the mountain regions and forced to be uplifted by the
574 elevated terrain, strengthening the formation and development of convective clouds,
575 especially over the windward slopes north to 40°N. The zonal-vertical wind and relative
576 humidity changes are shown in Figure S4 and S5. The updraft along windward slopes
577 is always stronger, especially under polluted conditions in the northern part of the ROI.
578 This is likely to contribute to strengthening the aerosol microphysical effect over such
579 regions, which facilitates the invigoration of convective clouds. All the patterns
580 described above agree well with the phenomena identified in the previous figures,
581 indicating that different circulation patterns and the changes associated with different
582 topography may have a considerable impact on the variability of the aerosol-
583 convective-cloud relationship.

584 **4.4 The environmental dependence of the aerosol effect**

585 To further isolate the signal of aerosol effects from that of meteorological conditions,
586 and to characterize the co-variation of topography and aerosol effects on convective
587 clouds, the changes of CCF with aerosol loading under various thermodynamic,
588 dynamical and humidity conditions at different time-of-day and over different terrain
589 heights are shown in Figures 13 and 14. Note that vertical velocities (relative humidity)
590 at 850 hPa and 925 hPa (700 hPa and 850 hPa) are used to represent the basic dynamics



591 (water vapor) state for regions with elevation above and below 1000 m, respectively.
592 We defined ten equally sampled bins of $PM_{2.5}$ concentration and calculated the joint
593 distribution of CCF along with each $PM_{2.5}$ and meteorological factor bin, the sample
594 sizes in each subfigure is shown in Table S1 and S2. Generally, the CCF along with
595 $PM_{2.5}$ concentration shows unimodal distributions, CCFs increase at first and then
596 decrease with $PM_{2.5}$ concentration, as we saw in Figure 8, but now in each of the vertical
597 velocity and relative humidity strata, i.e., regardless of differences in meteorological
598 conditions. The patterns are consistent at different time-of-day and over different
599 topography, which may indicate that under different thermodynamic, dynamical and
600 water vapor conditions the competition between the aerosol microphysical and
601 radiation effects always exists, no matter how meteorological conditions vary, at least
602 within the study domain.

603 The mean tipping point of CCF curves at different values of meteorological variables
604 is marked as the turning line between the increasing and decreasing CCF trends (dashed
605 lines in Figures 13 and 14). From Figure 13a-h, the turning line moves to smaller $PM_{2.5}$
606 values as surface heating increases and the atmosphere becomes more unstable from
607 morning to late afternoon. The peaks of CCF move from stable (weak surface heating)
608 conditions to unstable (strong surface heating) conditions during the day (Figure 13a-h
609 and 14a-h). This phenomenon may prove that changes in thermodynamic conditions
610 attributed to diurnal solar radiation variation and topography are probably among the
611 impact factors that influence the changing CCF curve shapes in Figures 8 and 11.
612 Stronger surface heating and more unstable conditions may increase CCF when the
613 microphysical effect of aerosol dominates the CCF changes, and would probably
614 strengthen the aerosol microphysical effect.

615 Updraft changes, which can represent under-cloud dynamical conditions, at 850 hPa
616 and 925 hPa for terrain higher and lower than 1000 m, respectively, are relatively small
617 during the day and over different topography. However, the turning values of CCF
618 generally decrease and CCF peaks occur under stronger updraft conditions as well



619 (Figure 13i-p). This pattern may indicate that more aerosol particles are entrained into
620 the clouds from the boundary layer when uplift is stronger, which in turn might
621 strengthen the aerosol microphysical effect. Further, as shown in Figure 14k-l, stronger
622 updrafts over higher mountains (with $TH > 1500$ m) invigorate convective clouds after
623 the turning line, especially for $PM_{2.5}$ concentrations higher than $50 \mu\text{g}/\text{m}^3$, which
624 suggests that the suppression of convective clouds by aerosol radiative effect is
625 counteracted.

626 For water vapor conditions, both higher relative humidity below cloud base (Figures
627 13q-x and 14q-x) and higher specific humidity at surface (Figures 13y-z2 and 14y-z2)
628 generally produce larger CCF. Higher RH and higher q are also associated with higher
629 CCF peaks. These patterns indicate that moister conditions can lead to greater activation
630 of aerosol particles, which may strengthen the aerosol microphysical effect, and might
631 overtake the suppression from the aerosol radiative effect in higher aerosol loading
632 conditions in these regions.

633 The meteorological factors that influence the aerosol effect on convective clouds are
634 very likely to interact with one another, which may produce combined impacts on
635 convective clouds and lead to nonlinear changes to the CCF distribution, creating large
636 variations in the results. By analyzing the probable co-variation of aerosol effects,
637 meteorological factors and the impact of topography on convective clouds, we find that
638 the CCF changes caused by both the aerosol microphysical and radiative effects are
639 robust under a range of meteorological conditions, whereas the strength of these two
640 effects can be influenced by specific thermodynamic, dynamical and humidity
641 conditions.

642 However, testing whether the results are due mainly to aerosol effects is only a first
643 step; establishing proof of the mechanisms by which aerosol affects convective cloud
644 occurrence is another important question. If synoptic factors, differences in
645 meteorological conditions associated with topography and aerosols work together,



646 determining which factors dominate the formation and development of convective
647 clouds needs to be explored with deeper mining of the data, as well as modeling studies,
648 in the future.

649

650 **5. Summary**

651 Following rapid economic development and industrialization, eastern China has
652 faced increasingly severe air pollution during recent decades. Aerosols, which play an
653 important role in the formation and development of clouds and precipitation, can be
654 among the main factors influencing urban inundation, hail and severe storms.

655 The interaction between aerosol, weather, and topography is complex, so untangling
656 their effects, that jointly influence convective cloud formation, is difficult. This study
657 applies very large, diurnally resolved geostationary satellite data and extensive ground-
658 based observations to investigate the characteristics of convective clouds, the impacts
659 of aerosol on convective cloud properties, and the potential mechanisms that define the
660 aerosol impacts on convective clouds under different meteorological conditions and
661 over different topography. Having such large data sets allows us to stratify by various
662 factors and isolate patterns among the multiple dimensions. The key results of this study
663 are as follows:

- 664 • We develop a convective cloud identification process named the TCT-CID
665 algorithm by combining the merits of texture analysis, a clustering technique, and
666 a threshold method, using the Level 1 data from the Japanese geostationary satellite
667 Himawari-8 during the period from May to September in 2016 and 2017. The
668 method offers stable and relatively accurate performance in identifying convective
669 clouds.
- 670 • The cloud mask is used to study the occurrence frequency and the regional
671 distribution of convective clouds over eastern China, first to determine whether the



672 new data reproduce expected cloud-occurrence patterns. Statistical results show
673 that convective cloud occurrence frequency (CC OF) is higher under more unstable
674 conditions with stronger surface heating and updraft. And in the afternoon, this
675 phenomenon is more significant than in the morning. The increases in both under-
676 cloud relative humidity and surface specific humidity produce higher CC OF
677 during the day. There is also a significant difference between higher and lower
678 terrain regions. The consistency of these patterns with previous studies and the
679 classic theories of convective cloud formation helps validate the results of the TCT-
680 CID algorithm.

681 • We then compared convective clouds under clean and polluted conditions, and
682 further examined the possible impact of the diurnal cycle and topography on the
683 aerosol-convective-cloud relationship. We find that the convective cloud fraction
684 generally tends to be larger before noon and smaller in the afternoon under more
685 polluted conditions, but megacities and complex topography can influence the
686 pattern. This result provides a new perspective on the aerosol effect compared to
687 previous studies.

688 • A relationship between aerosol loading and convective cloud fraction is found. The
689 cloud fraction increases initially, but then decreases with successive increases in
690 aerosol loading. This pattern is likely due to the combined action of the aerosol
691 microphysical and radiative effects. Previous studies found similar results for deep
692 convective cloud and convective precipitation studies, but by using high-resolution
693 geostationary satellite observations, we further find that this pattern is probably
694 robust for convective clouds at all stages of development.

695 • Although the aerosol-convective-cloud relationship is relatively stable, some
696 variability also exists. The pattern varies throughout the day depending on terrain
697 height, and is modulated by varying thermodynamic, dynamical and humidity
698 conditions during the day. We find that the meteorological variations driven by



699 diurnal solar radiation changes and topography are probably among the reasons for
700 changes in the relative strength of aerosol microphysical and radiative effects. Over
701 higher terrains such as mountains and plateaus, a southerly wind component is
702 likely to contribute to the strengthening of the microphysical effect through forced
703 uplifting of pollutant and moisture, which invigorates convective cloud over the
704 windward slopes, whereas over plains areas, aerosol pollution blocking solar
705 radiation is likely to dominate, thus decreasing the surface heating and suppressing
706 convection by the enhancing the radiative effect. However, as aerosol concentration,
707 synoptic meteorological factors and topography might work together in influencing
708 the formation and development of convective cloud, the phenomena found above
709 can also be affected by nonlinear interactions among these factors.

710 Moreover, the analysis of this study is based mainly on satellite observations, which
711 in themselves provide limited insight into the mechanism underlying the observed
712 patterns. In the current study, we aimed only to isolate the possible effects of aerosol
713 on convective cloud properties under different meteorological conditions. However,
714 further exploration, including model simulations and/or targeted in-situ or aircraft
715 observations, are still needed to reveal the specific mechanisms behind these
716 phenomena.

717

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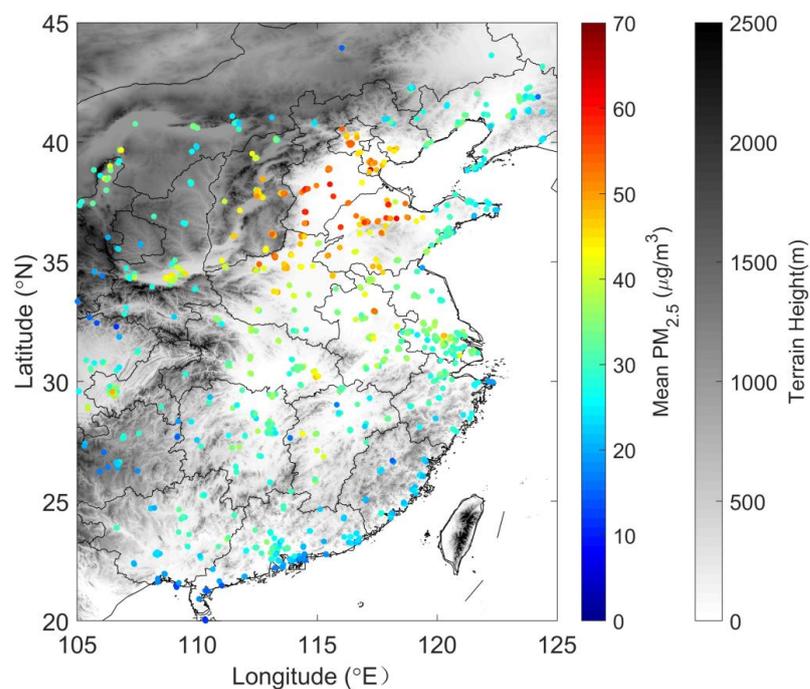
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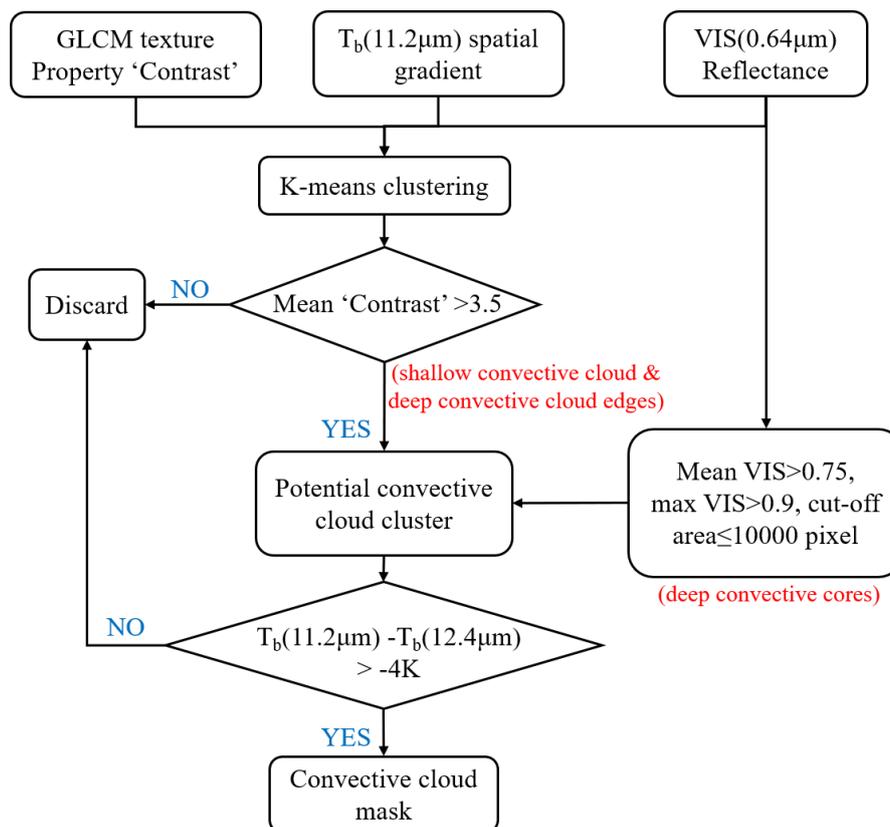
1011 **Figures**



1012

1013 **Figure 1.** Surface elevation and the mean value of ground-based PM_{2.5}
1014 measurements over eastern China. The terrain height (TH) of this region is
1015 represented with gray shading. Colored dots show the mean PM_{2.5} concentration
1016 from 1205 surface stations during May-September in 2016-2017 over this area.

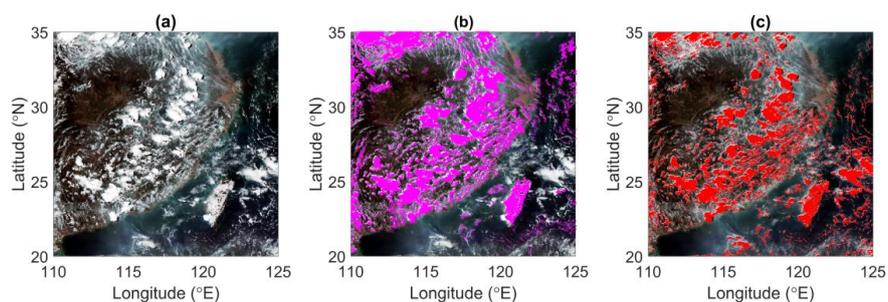
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1019 **Figure 2.** Flowchart of the convective cloud identification method.

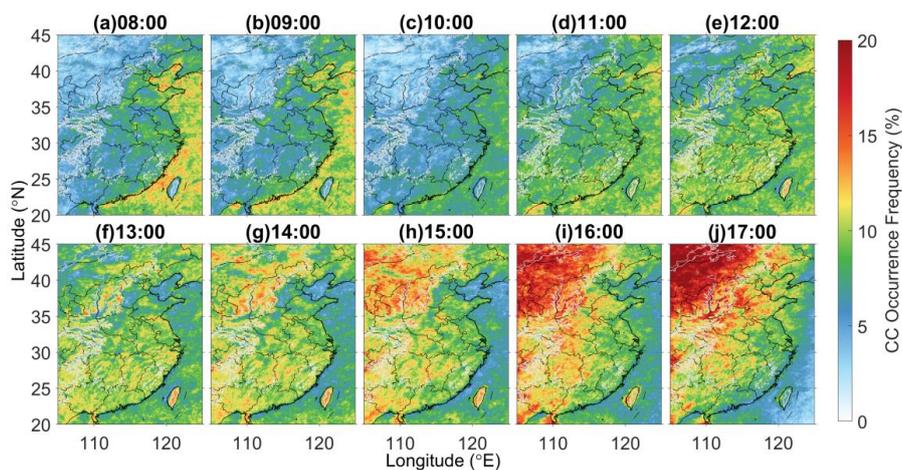
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1022 **Figure 3.** Comparison between MODIS cloud mask and the cloud identified by our
1023 convective cloud (CC) mask, at 13:40 LT on July 30th, 2016. (a) The true color image,
1024 (b) MODIS cloud mask data from the MYD35 product (magenta points), and (c)
1025 convective clouds (CC) identified using the TCT-CID method.

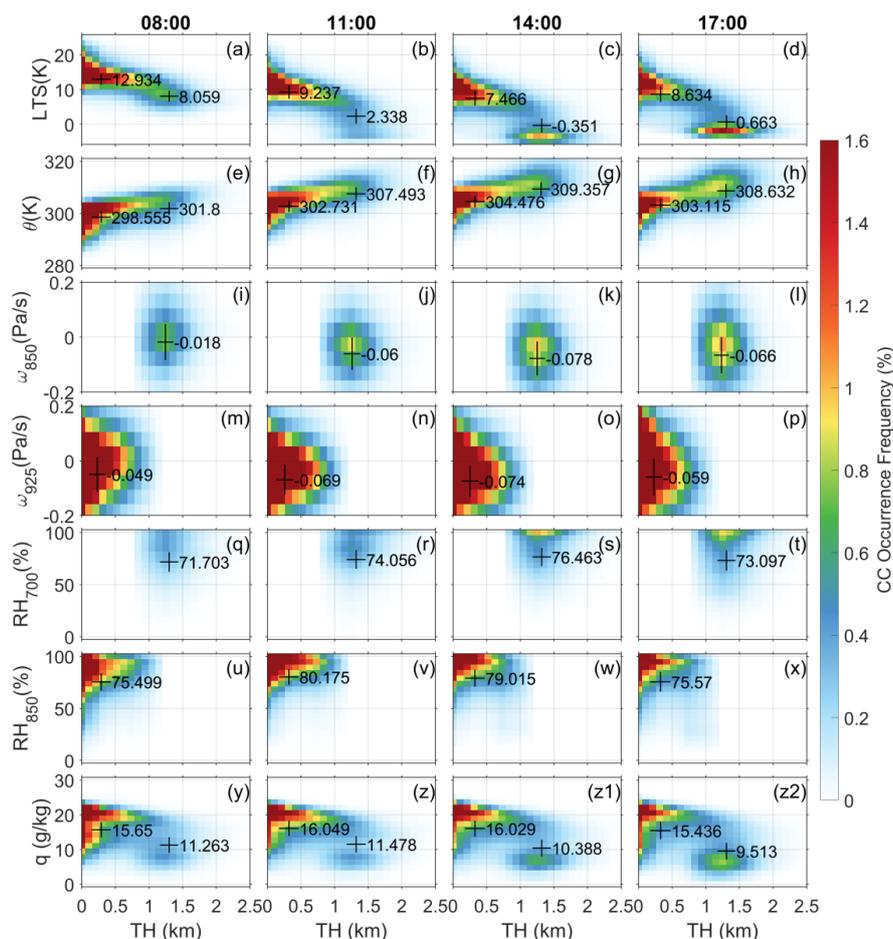
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1028 **Figure 4.** Diurnal cycle of convective cloud (CC) occurrence frequency (OF) during
1029 daytime. The gray contour lines in each figure represent the terrain height (TH) at 1000
1030 m. Most locations west of the contour line have TH >1000 m, whereas terrain east of
1031 the contour lines has TH <1000 m.

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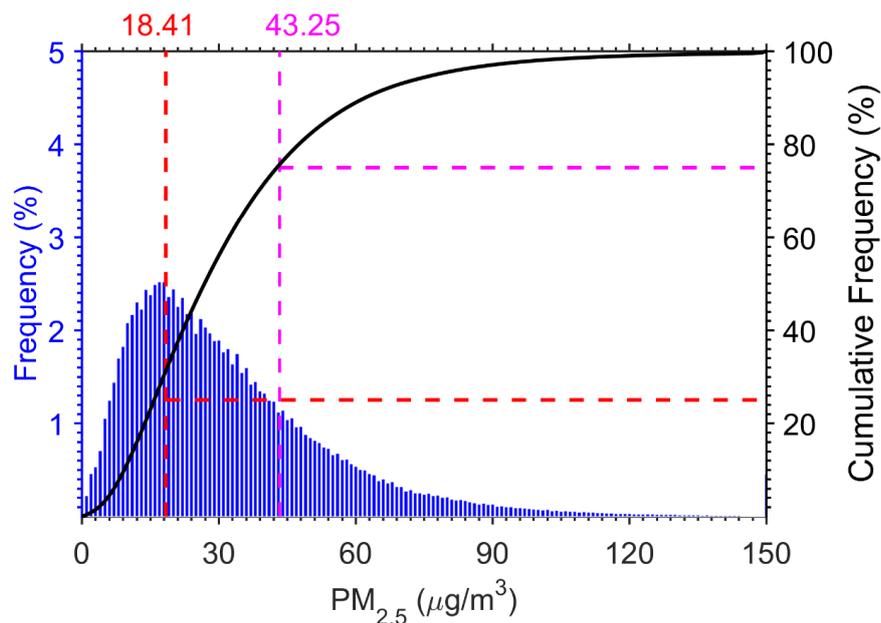


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1034 **Figure 5.** Over-land convective cloud (CC) occurrence frequency (OF) with respect to
 1035 terrain height (TH) and (a-d) lower-tropospheric stability (LTS), (e-h) potential
 1036 temperature (θ), (i-l) vertical pressure velocity at 850 hPa (ω_{850}) over terrain above 1000
 1037 m, (m-p) vertical pressure velocity at 925 hPa (ω_{925}) over terrain below 1000 m, (q-t)
 1038 relative humidity at 700 hPa (RH_{700}) over terrain above 1000 m, (u-x) relative humidity
 1039 at 850 hPa (RH_{850}) over terrain below 1000 m, (y-z2) specific humidity at surface (q).
 1040 The columns represent local time over the ROI of 08:00, 11:00, 14:00 and 17:00,
 1041 respectively. Black crosses and numbers beside mark the mean values of the variables
 1042 over regions with terrain height below 1000 m and above 1000 m, crosses mark the



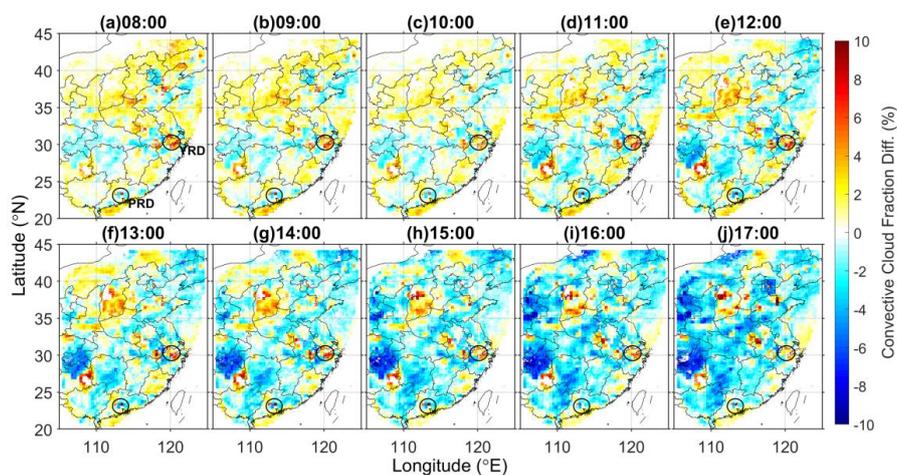
1043 standard deviations of the variables.



1044

1045 **Figure 6.** Mean $\text{PM}_{2.5}$ concentration distribution for 1205 sites over Eastern China
1046 during daytime (08:00-17:00 local time). Blue bars and the black line show the
1047 frequency and cumulative frequency of mean $\text{PM}_{2.5}$, respectively. The mean value of
1048 the top quarter is marked by the magenta dashed line, and the mean value of the bottom
1049 quarter is marked with the red dashed line.

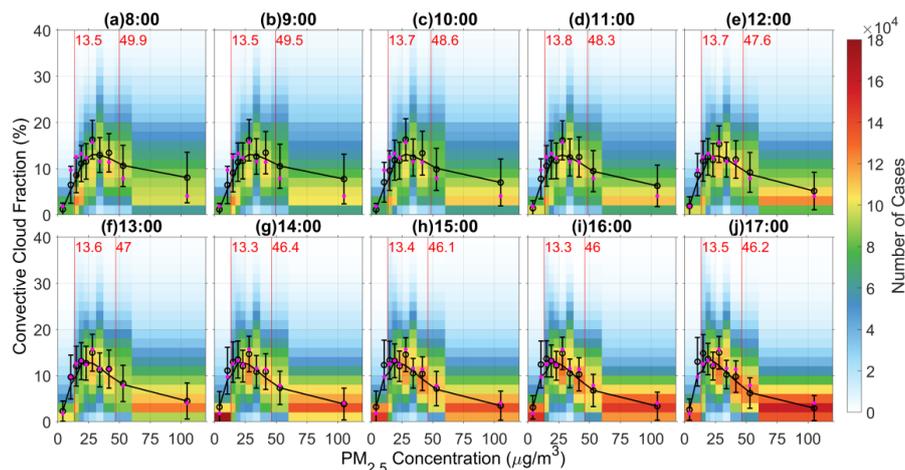
1050



1051

1052 **Figure 7.** Diurnal changes of convective cloud fraction (CCF) difference between
1053 polluted and clean conditions (Polluted-Clean) during May-September in 2016-2017.
1054 Time marked above each figure is the local time. Black circles mark the Yangtze River
1055 Delta (YRD) and Pearl River Delta (PRD). (Note that grid points are plotted only if
1056 they exceed the 95% significance level ($p < 0.05$) according to the Pearson's χ^2 test).

1057



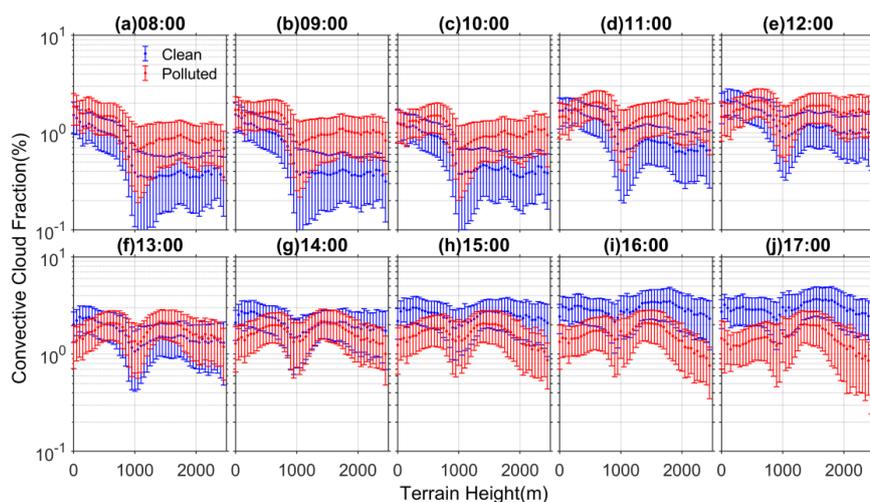
1058

1059 **Figure 8.** Convective cloud fraction with respect to $PM_{2.5}$ concentration at different
1060 times of day during May-September, 2016-2017. Color shading indicates the number
1061 of cases corresponding to each specific convective cloud fraction (CCF) and $PM_{2.5}$ bin.
1062 Note that $PM_{2.5}$ is separated into ten equal-sample bins. Black circles and error bars are
1063 the mean values and standard deviations of CCF in each $PM_{2.5}$ bin within each hour,
1064 magenta dots indicate the mean CCF over all times. Black solid lines represent the
1065 three-point moving average of the black circles. Red solid lines (with red numbers)
1066 mark the mean polluted and clean thresholds during each hour.

1067



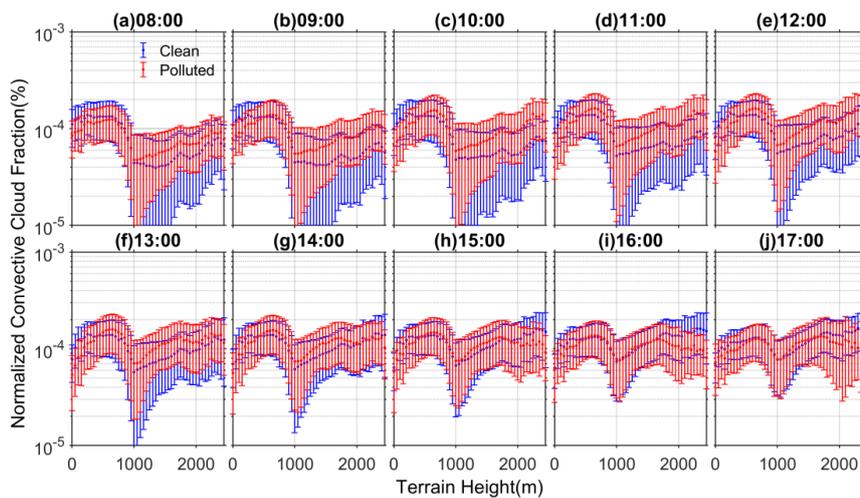
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1069

1070 **Figure 9.** Convective cloud fraction (CCF) normalized by the total number of cases
1071 with respect to terrain height changes during May-September, 2016-2017. Data for
1072 polluted conditions are plotted in red, whereas those for clean conditions are shown in
1073 blue. Dots and error bars are the mean values and standard deviations of the fractions
1074 in each TH bin.

1075



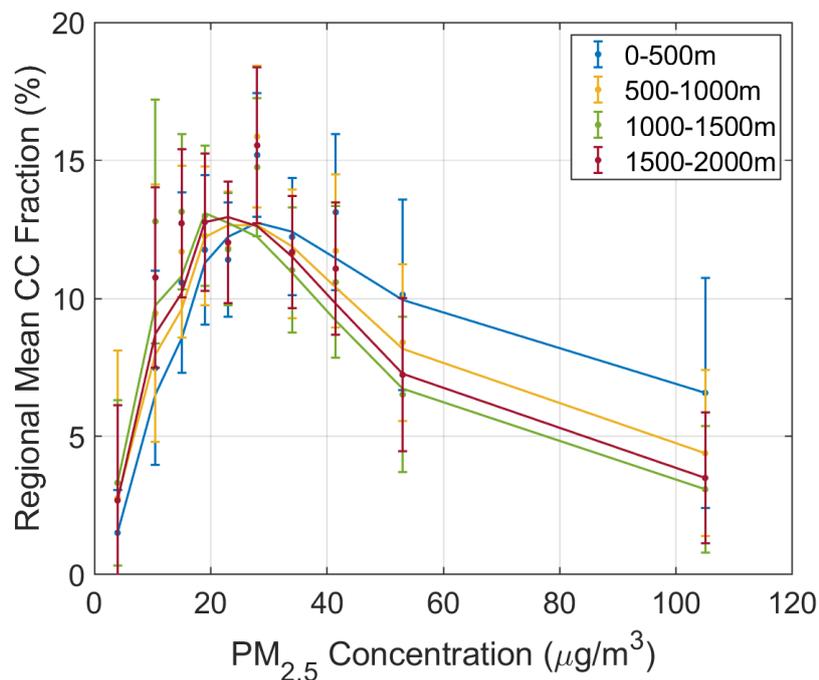
1076

1077 **Figure 10.** Same as Figure 9, but for CCF that is normalized by the number of cases
1078 under polluted and clean conditions during each hour, respectively (Equation 8).

1079



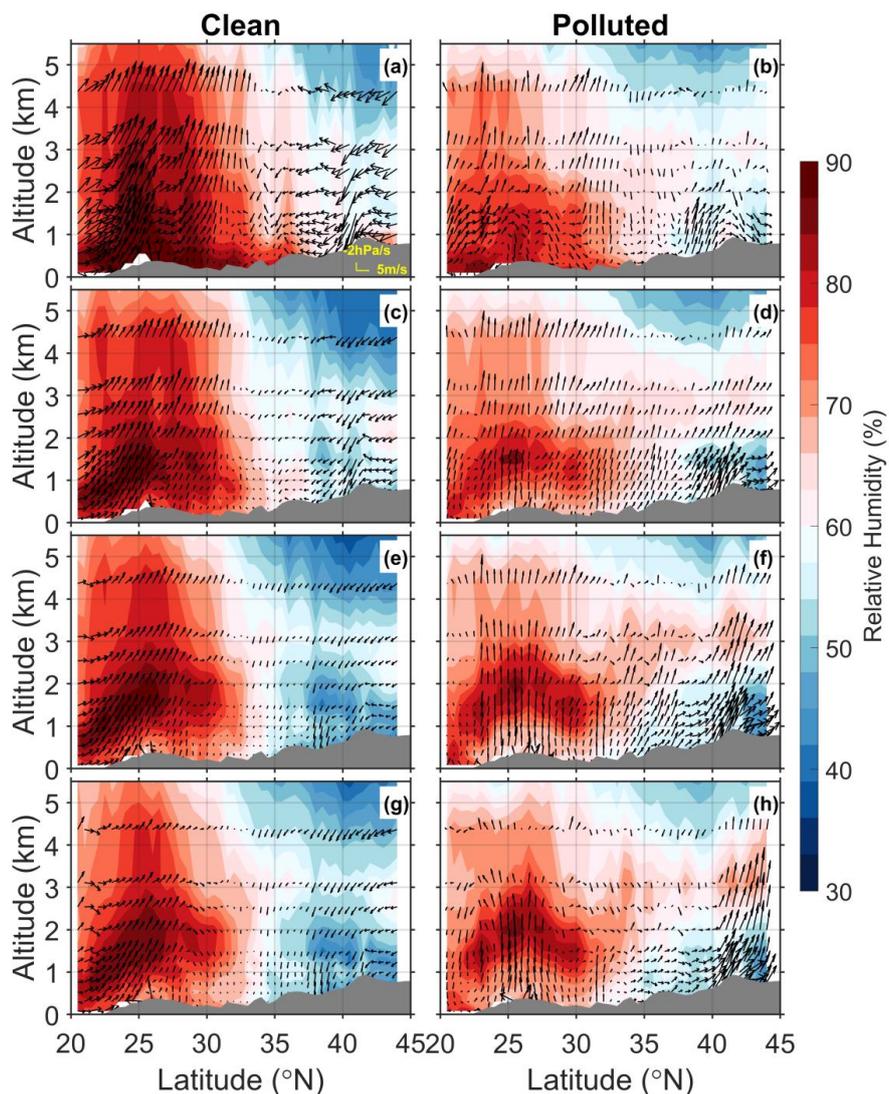
1080



1081

1082 **Figure 11** Convective cloud fraction (CCF) over regions with terrain heights in the
1083 range 0-500 m (blue), 500-1000 m (yellow), 1000-1500 m (green) and 1500-2000 m
1084 (red) with respect to PM_{2.5} concentration during May-September, 2016-2017. Ten
1085 equally sampled PM_{2.5} bins are defined for each terrain height range. The standard
1086 deviations are shown as error bars. Each solid line represents the three-point moving
1087 average for dots in the corresponding color.

1088

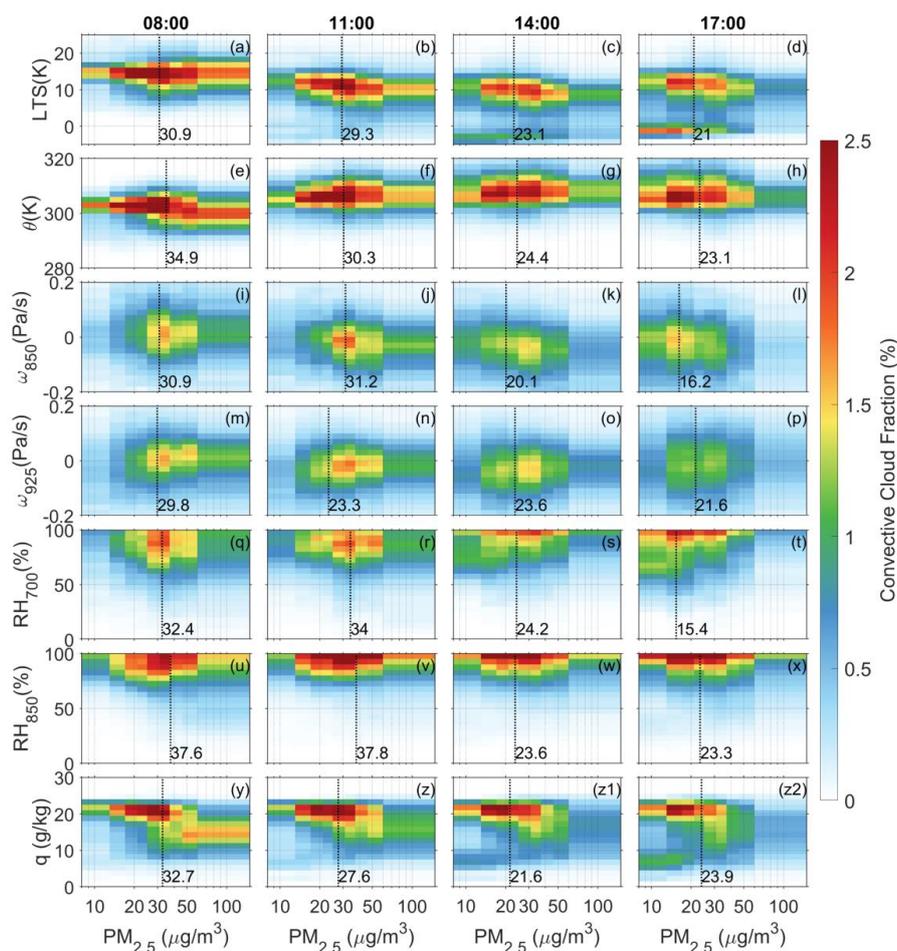


1089

1090 **Figure 12.** Latitude-altitude cross-sections of mean relative humidity (color-shaded)
1091 and mean meridional-vertical wind (vectors) over the continent, averaged along 105 to
1092 125°E for clean (left panel) and polluted (right panel) conditions at (a, b) 08:00 LT, (c,
1093 d) 11:00 LT, (e, f) 14:00 LT and (g, h) 17:00 LT during May-September from 2016 to



1094 2017. Vectors are constructed from the easterly wind (u) and vertical velocity (ω),
1095 scaled with -100. Gray shaded parts are the meridional mean terrain heights within ROI.
1096

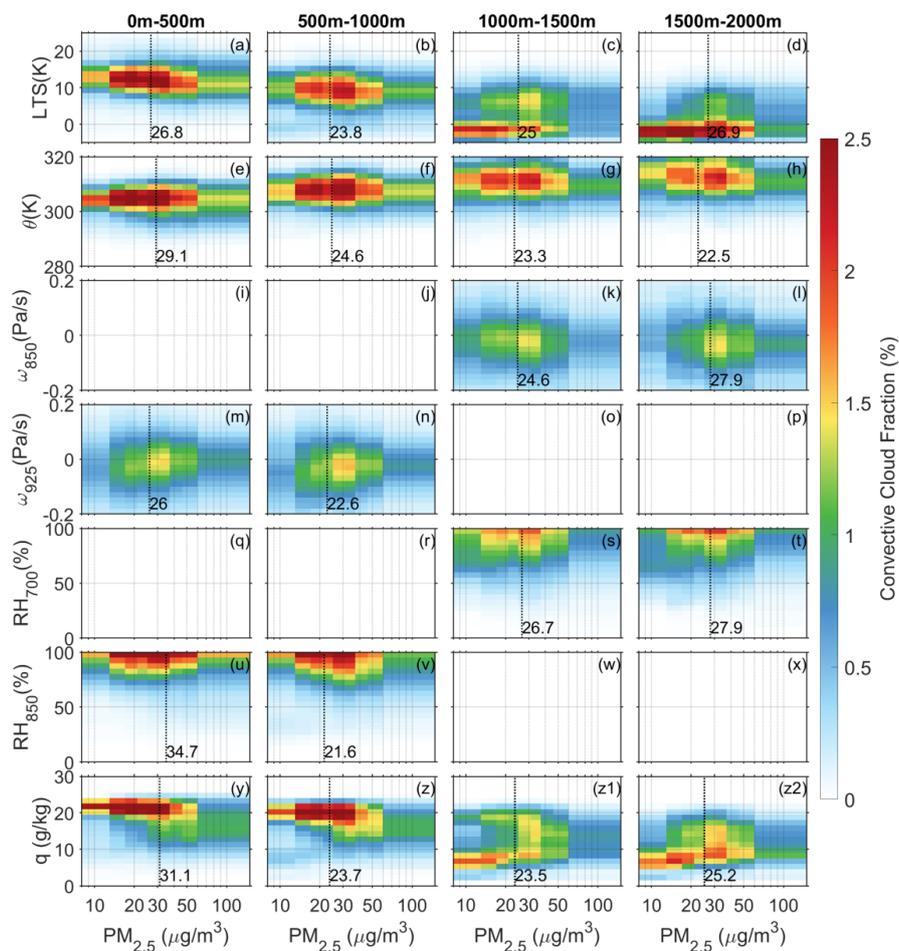


1097

1098 **Figure 13.** The joint distribution of convective cloud fraction (CCF) with respect to
 1099 $PM_{2.5}$ concentration and (a-d) lower-tropospheric stability (LTS), (e-h) potential
 1100 temperature (θ), (i-l) vertical pressure velocity at 850 hPa (ω_{850}) over terrain above 1000
 1101 m, (m-p) vertical pressure velocity at 925 hPa (ω_{925}) over terrain below 1000 m, (q-t),
 1102 relative humidity at 700 hPa (RH_{700}) over terrain above 1000 m, (u-x) relative humidity
 1103 at 850 hPa (RH_{850}) over terrain below 1000 m, (y-z2) relative humidity at the surface
 1104 ($RH_{surface}$). Each column represents a different local time during the day within the ROI,
 1105 specifically at 08:00, 11:00, 14:00 and 17:00. Black dashed lines and the numbers



1106 beside mark the mean tipping points of CCF at different thermodynamic, dynamical
1107 and humidity levels. Note that x-axis is in log scale.



1108

1109 **Figure 14.** Same as Figure 13, but for different terrain heights; each column represents
 1110 a different terrain height range.

1111