This document includes authors' responses to both reviewer 1 and reviewer 2. Reviewer's comments are in standard text. Authors' responses are bold text with any text taken from the manuscript in italics. New additions to the manuscript in response to reviewer comments are shown in red italics.

#### Reviewer 1

General Comments: Lee et al. analyze NOx and O3 data at a large set of routine air quality monitoring sites across the UK to assess changes in emissions and chemistry associated with the COVID-19 lockdowns. Their analysis runs through the spring of 2020, so not through the end of the lockdown period, but through the largest reductions in mobility. They compare NO2 and O3 during the lockdown to the historical average from the 5 previous years, and to a projection for the lockdown period based on a fit to the trend in the 5 year data set. They quantify changes in NO2, O3 and Ox based on this analysis, and show that large decreases in NO2 were offset by large increases in O3 at relatively constant Ox at sites across the UK. Further analysis of trends in UV radiation, temperature and isoprene (at only limited sites) showed that any apparent changes in total Ox were likely related to these variables than to a response to emissions reductions. Overall the paper will be of interest to ACP and should be published with revisions.

We thank the reviewer for the detailed review that will no doubt improve the manuscript.

The major comments that the authors should address are as follows.

- 1. The authors make reference to the influence of meteorology and invoke it to explain aspects of the data set qualitatively, but they refrain from a quantitative assessment of the role of meteorology in the main conclusions. The analysis requires either that they look at the relationships to meteorology in a more quantitative sense, or that they provide some quantitative set of uncertainties associated with neglecting the influence of meteorological variability. There are more comments to this effect below.
- 2. In several instances (e.g., abstract, NO2 reduced by 42%), quantitative measures of the changes in air pollutants are quoted without error estimates or even measures of variability. Such estimates are required, especially if the work is to be compared to the large body of developing literature using different methodologies on this topic. It is unlikely that the numbers quoted here are exact. Again, there are more comments to this effect below.

We deal with both of these comments together. We chose not to do a quantitative assessment of the role of meteorology but to compare to data from the previous 5 years. As stated in the text "we acknowledge there are uncertainties associated with our approach, but this method offers simplicity and straightforward error propagation". However the reviewer is correct we neglected

to include an error analysis for our approach and we have now added this. We combined the standard error in the median of the daily median concentrations for the lockdown period in 2015-2019 and 2020. This is now shown as error bars on figures 4 and 5 and every time we quote a change in pollutant levels in the text we now quote an error. We hope this gives a better description of the changes observed, without the need for a full analysis of the meteorology (such as that done in Grange and Carslaw 2020), which would also be subject to errors.

We added the following sentence to the text (line 235):

To assess the error, we combined the standard error in the median of the daily median concentrations for the lockdown period in 2015-2019 and 2020, with error bars shown on the graph.

# Figures 4 and 5 are now:

Figure 4:

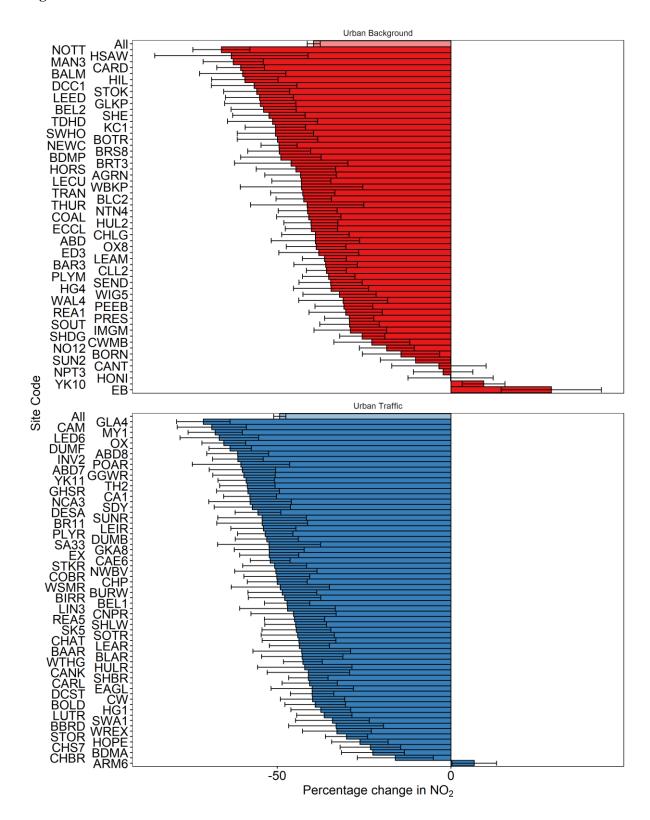
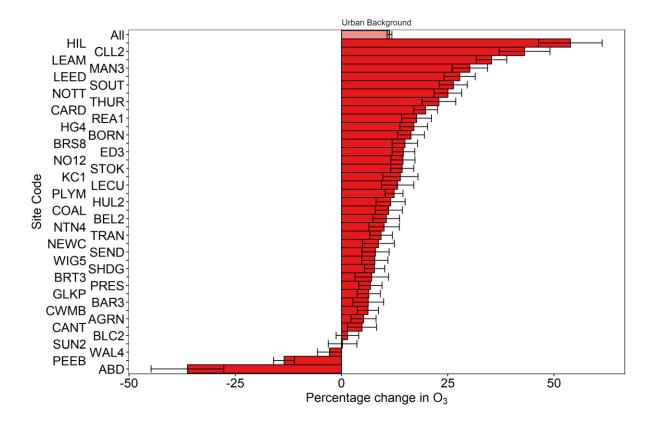


Figure 5:



3. In a number of instances, either the figures or the conclusions drawn from them are not clear. See comments below to improve readability and robustness of conclusions.

We deal with the specific comments below.

In addition to these comments, the authors should address the following more specific comments.

Specific Comments:

Line 41-42: What is the recent trend in NOx concentrations?

We show this for the different site types later (line 159-164) but we have averaged these a see a 3.3% per year decrease in  $NO_x$ . The sentence now reads:

In recent years, there has been a pronounced reduction in NOx emission (Defra, 2018a) that largely reflects lower transport emissions, with NO2 showing an average decrease of 3.3 % per year since 2015.

Line 48: The definition is for diameter rather than radius

We have changed this.

Line 49-50: Check sentence grammar

#### The sentence now reads:

At present the main pollutants of concern in urban centres are NO2 and particulate matter with radii diameter smaller than 2.5 microns (PM2.5) in urban centres, and in suburban and rural environments is O3 in suburban and rural environments, with exposure to excess levels of these species is known to have a negative effect on human health

Line 52-55: Statement may apply to urban centers, but it is not broadly true. O<sub>3</sub> has decreased with decreasing NOx emissions in many locations. See, for example:

Strode, S.A., J.M. Rodriguez, J.A. Logan, O.R. Cooper, J.C. Witte, L.N. Lamsal, M. Damon, B. Van Aartsen, S.D. Steenrod, and S.E. Strahan, Trends and variability in surface ozone over the United States. Journal of Geophysical Research: Atmospheres, 2015. 120(17): p. 9020-9042.

Cooper, O.R., D.D. Parrish, J. Ziemke, N.V. Balashov, M. Cupeiro, I.E. Galbally, S. Gilge, L. Horowitz, N.R. Jensen, J.-F. Lamarque, V. Naik, S. Oltmans, J. Schwab, D.T. Shindell, A.M. Thompson, V. Thouret, Y. Wang, and R.M. Zbinden, Global distribution and trends of tropospheric ozone: An observation-based review. Elem. Sci. Anth., 2014. 2: p. 29.

Cooper, O.R., R.-S. Gao, D. Tarasick, T. Leblanc, and C. Sweeney, Long-term ozone trends at rural ozone monitoring sites across the United States, 1990–2010. J. Geophys. Res., 2012. 117(D22): p. D22307.

We have made it clear that our statement relates to urban sentence and added a sentence about more rural environments and added the suggested references:

In more rural environments, the opposite has been observed, with  $O_3$  decreasing with decreasing  $NO_x$  emissions (Cooper et al., 2012; Cooper et al., 2014; Strode et al., 2015).

Line 65, Figure 1: The notation in the insets is quite difficult to read and will not be legible on a printed page (readability required >200% magnification on my screen).

## We have replotted and used a larger font size for the labels.

Line 66: Figure 1 uses Google mobility data, which are qualitative at best and have shown different reductions relative to other markers, such as traffic counts, in different regions. Can the authors provide another data set, such as traffic counts, or else some statement of uncertainty in the Google mobility data? If not, a caveat should appear re: the use of these data and their uncertainty as a proxy for actual traffic counts. Google and Apple mobility data are easy to obtain but not necessarily the best measure.

We were unable to get actual traffic flow data for cities in the UK so have used Google mobility data as a proxy for traffic. We realise this is not ideal but as the data is purely indicative we think it is reasonable to use it. We have added a caveat as the reviewer suggested (Line 70):

Google mobility data was used as a proxy for traffic counts as it is readily accessible, however for any quantitative analysis of the effect of reduction in traffic on pollution levels, real traffic counts or flow data would be required.

Line 115-116: It is useful to have the description of the NOx measurements in this paper and acknowledgement of the potential for interference on Mo converters – several recent analyses of COVID impacts have not addressed this issue at all. For this last statement, however, it is apparent that many of the sites in the network are not urban. What is the bias in using Mo converter  $NO_x$  for these sites? Would this really be consistent with the 15% accuracy quoted below? How much does this affect the analysis of  $O_x$  later in the manuscript? As with other comments, this uncertainty should be propagated through the quantitative measures given later.

We do not believe the use of Mo converters for the  $NO_2$  measurements in this analysis is a problem. All of the sites that we analyse are urban (either traffic or urban background), so the interference will be minimal. In addition, because we are largely looking at a change in  $NO_2$ , any interference that is present is likely to be there in very similar amounts in the 2020 and 2015-2019 data. We do not think it is possible to give a quantitative bias for the interference across so many sites. We have added this statement:

In addition, as we are looking at a change in NO2, it is likely that any interference that is present will be there in very similar amounts in both the 2020 and 2015-2019 data.

Line 133-137: What variables were used for the detrending? Was this purely a seasonal trend, or were standard meteorological and day of week variables used? How well did this fit the 5 year data? The statement regarding emissions changes lacking importance during the 5 year period does not seem consistent with the two noted changes in 2017 and 2019. Would large step changes in emissions be expected, especially if new control measures were implemented in 2019, the year before the lockdowns?

Detrending was performed by linear regression based on monthly mean values of  $NO_2$ . This has been clarified in the manuscript. Each site was detrended individually before taking the mean over all sites therefore the quality of the fit varied from site to site. The mean  $r^2$  value for all sites 0.60.

Step changes would not be expected in the time series with the introduction of Euro VI standards in 2017 or ultra-low emission zone in London 2019 and these examples are not intended to be representative of what is seen in Figure 2. Introducing the Euro emission standard will not be a step change in emissions as fleet replacement takes place over decades and the introduction of the ULEZ in London would not be seen as Figure 2 shows a mean across all of the UK. Where London NO<sub>2</sub> is singles out for analysis later in the paper, the AURN site used falls outside the ULEZ and therefore is unlikely to observe a large step change at the introduction of this policy.

We have removed the examples stated on line 139 as the reviewer is correct that they are not really longer-term emission changes since they both happened in the last three years – which is within our five-year analysis.

#### We have changed the sentence from line 175 to now read:

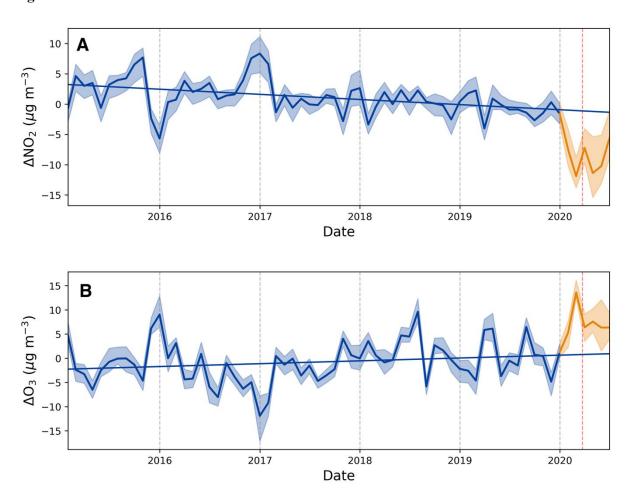
To deseasonalise the data, we determine the climatology based on the mean annual cycle of the previous five years (from January 1st 2015 to December 31st 2019) which is then repeated to match the length of the timeseries, subtracted from the mean to standardise the data, and then subtracted from the original timeseries to produce a timeseries of the residuals.

Line 139, Figure 2: What is the measurement frequency or averaging period for the NO<sub>2</sub> and O<sub>3</sub> data? These appear to be approximately monthly averages? If data are heavily averaged, the figure should also show a variability? Such variability would represent both the variability in averaging to a

monthly (?) value at a given site, and the averaging of all sites. It is also not clear that averaging this collection of sites together makes sense, since there are likely large, systematic difference between sites? A relative, rather than absolute, y axis would seem to be more appropriate in this case.

The AURN measurement frequency is hourly and measurements are averaged to monthly values at each site for figure 2. We agree with the reviewer that a relative y axis would be more appropriate and have updated the figure to incorporate this change. We have also added the 25<sup>th</sup> and 75<sup>th</sup> percentiles to show the variability between sites.

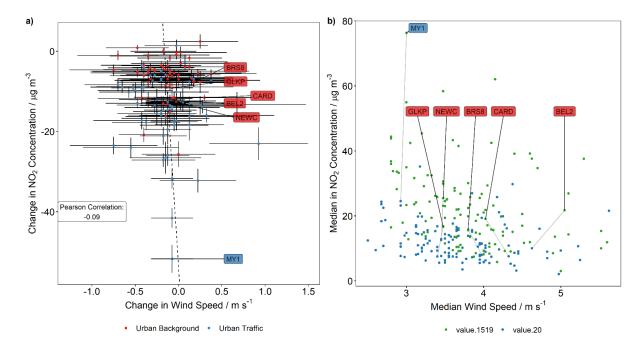
Figure 2 is now:



Line 180-181: "Care must be taken" in the comparison due to the meteorological variability. However, there does not appear to be an effort in this paper to correct the data for meteorological variability during the lockdown period. Perhaps this will appear in a later section, but some estimate, at least, of the effect of meteorological differences on the uncertainty of the NOx and O3 changes quoted in the paper is needed.

See above for inclusion of the standard error of the differences. We have also now plotted  $NO_2$  against wind speed (both median across the period and the change between 2020 and 2015-2019 – figure S3) for each site and see that, whilst  $NO_2$  concentrations do tend to be higher at lower wind speed, there is very little correlation between the magnitude of the change in  $NO_2$  and any difference in wind speed between 2020 and 2015-2019.

Figure S3:



Line 184-185: Figure S2 is quite difficult to read, even with magnification. The color legends are not legible, and the red lines are nearly invisible. So despite the statement here that the figure shows clear changes, it is not of sufficient quality to do so.

We have made the red line larger and quality of the figures will improve in the final submitted version.

Line 189-194: Were there any obvious differences in meteorology (e.g., just T for example) on the days that were above and below the long-term average?

There is no obvious differences in meteorology on the individual days that were above and below the long term average. This is why we choose to take the bulk average of the data across the whole pre and post-lockdown period. Line 206 and Figure 4: What is the error bar on the 42%? See comments above – this should be shown in the figure and represent the site to site variability together with the uncertainties in the measurements and potential meteorological artefacts.

As describe above we have added an error analysis to our data, such that any quote difference in pollution levels now comes with an error. The total of 42% has a standard error of  $\pm 9.8\%$ .

Line 211: Is it a t-test or a z-test? Use consistent notation and give a definition. If the NO2 data are not normally distributed (which is likely), is the test appropriate?

We originally used a z-test in this analysis, references to T-tests in the manuscript were errors. However, we have reviewed this statistic at the recommendation of the reviewer and agree that is it not appropriate due to the data not being normally distributed. We have changed this test to a Mann-Whitney U-test which can provide the same information but is used for non-normal data. These results have been updated in the manuscript. We have also added the sentence (line 200):

We use this test rather than a t-test or z-test due to the large sample size and non-normal distribution of the data.

Lines 214-220: The discussion here is somewhat unsatisfying, again due to the qualitative use of meteorology, which is rather arbitrarily applied to provide justification of a difference when one is not expected, but is not given any weight in the case where a difference is expected due to the lockdown.

We have re-written this small section to add our error calculation and some more quantitative analysis of wind speed, which was 33% higher in the pre lockdown period in 2020 compared to 2015-2019.

We also find 112 sites (from a possible 128 sites, 88%) show a significant difference between NO2 measurements made in 2020 immediately prior to the lockdown to the mean of the same period from the previous five years, with urban background sites showing a  $-6.3\pm1.5~\mu g~m^{-3}$  change and urban traffic  $9.2\pm1.9~\mu g~m^{-3}$ ). We attribute this difference to changes in meteorology during January and February 2020 (Figure 3), in particular wind speed, which was on average 33% higher than the average of for the previous 5 years. Finally, we find that 97 sites (75%) show a statistically significant difference between mean NO2 observations during lockdown and immediately prior to lockdown in 2020, implying there was a significant drop in NO2 across the UK as a direct result of the lockdown.

Line 239, Figure S4: Same comment as above for Figure S2.

We have made the red line larger and quality of the figures will improve in the final submitted version.

Line 242: Reference is likely intended to Figure 5 rather than 4.

This has been corrected.

Line 247-250: The previous discussion cites only the effect of titration by NO emission in regulating the response of O3. Here photochemistry is invoked. Is the seasonal photochemistry expected to be strong in this season in the UK? For example, does O3 exceed its background values at this time of year? The discussion re: petrochemical emissions seems quite speculative in the absence of measurement or modelling.

Certainly in April and May, seasonal photochemistry can have a large effect on  $O_3$  in the UK, with frequent incidences of  $O_3$  exceeding background levels. 2020 had some particularly hot and sunny periods in April and May (see discussion section). We agree that we don't have any firm evidence for oil and gas emissions being the cause of the  $O_3$  decrease and we have now made it clear in the text that it is speculative:

This could be achieved by possible fugitive emissions from the onshore gas terminals near Aberdeen, although we have no VOC measurements to confirm this so the hypothesis is entirely speculative.

Line 273: Same comment as above re: the use of Google mobility data as a proxy for traffic. Caveats or uncertainties required, but more reliable data sets, such as actual traffic counts, would be preferable.

As stated above we do not have access to real traffic data and we have stated that Google activity data is purely a proxy for traffic by adding the following sentence:

During the lockdown period there has been around a 75% reduction in road traffic across the UK, (using Google activity data as a proxy for traffic).

Line 288-311 and Figures 7 and S7: A 5% increase in Ox in southern UK cities is cited here. Similar to comments above, there is no statement of the uncertainty or variability in this estimate, but the authors should provide one. The changes in Ox appear to be well correlated with changes in UVA for 2020 – in other words, that the increased Ox is plausibly not attributable to reduced NOx emissions. This would also be consistent with the trend shown in Figure 7a, at least qualitatively. Is that the conclusion of this paragraph? It is not clear what is being said here. Finally, the T correlation in Figure 7b is difficult to interpret. What is the line? Is this a fit? If so, it does not appear to represent the data. The T data appear to be more related to the isoprene data in the following section, and so perhaps should be presented with that figure.

We have changed the text to include the actual changes for the 6 cities in question and quoted errors. Yes the reviewer is correct that conclusion is that in the southern cities there is an increase in net  $O_x$ , therefore some of the  $O_3$  increase is not solely attributable to reduced  $NO_x$ . We have added the following sentence at the end of the paragraph to make that clearer.

Therefore we conclude that in the cities in southern UK, some of the O3 increase is not solely attributable to reduced NOx, but also an increase in photochemistry related to the hot sunny weather experienced in 2020.

We have also removed figure 7b as we agree there is no real correlation.

Line 321-323: Statement might be true, but it would really depend on the dominant source for VOCs. For example, if VOC were mainly from traffic emission, as NOx emissions are stated to be for this region, then one might expect similar changes in the two. Absent some statement of an inventory and major VOC sources, this statement does not appear justified.

We agree and have removed the statement about 1,3 butadiene and 1- butene and we concentrate the discussion on benzene, for which we have emission estimate data. We now state that:

Indeed, in London according to the NAEI (in 2018), road transport only contributes 11% to sources of benzene, with other major sources being domestic combustion (69%), other transport (10%) and offshore oil and gas production (6%). Therefore it is not surprising that VOCs show less of a reduction during the lockdown than NO<sub>2</sub>.

Line 333, Figure 8: Why is isoprene apparently exactly zero in the historical average at Marylebone Rd. for most of the record? Is this a measurement artifact?

We have looked at the isoprene data and see that in 2017 and 2018 it was zero for most of the time. We believe this data is not reliable so we have replotted the figure to compare just 2019 data to 2020. We also update the text to state this:

Figure 9 shows daytime mean (10:00 - 18:00) isoprene data and its contribution to k' at two sites in 2019 and 2020 (the only years where reliable isoprene data is available).

Section 4.3: The literature review given here is useful, but it more likely introductory material than it is a conclusion. Suggest moving to the introduction.

## This has been moved to the introduction.

Line 436-438: The "warning" regarding O3 is an important statement, but it is not clear that it is justified. The major influence of NOx, if I understand the conclusion of the paper correctly, is in the change in O3 titration, but not in photochemistry (at least not in the winter-spring season studied here). Thus, O3 would go to its background value in the absence of NO titration, while photochemistry would not be strongly affected. Does this scenario really constitute a "warning" that would need to be taken into account to inform emissions reduction policy? The finding is quite different from that referenced in the following sentence regarding O3 in China, where changes are clearly attributable to photochemical processes. The paper should not conflate the two regions, which are clearly quite different.

We agree our statement is a little confusing. We have changed it so we state that the changes in the UK are largely due to reduction in  $O_3$  titration with NO, rather than photochemistry and have removed the  $O_3$ . We have added the following sentence (line 486):

In China, NOx reductions have led to increases in O3 (Li et al., 2019a; Ma et al., 2016; Sun et al., 2016) and therefore air quality abatement strategies are being developed in order to offset this, largely by also controlling VOCs (Li et al., 2019b; Le et al., 2020). These changes are attributable to photochemical processes (e.g. the reduction in particles causing increased radiation and photochemistry), however our study shows that a large reduction in NOx, directly causes an increase in O3 due to a reduction in titration with NO.

# **Reviewer 2**

The authors address the impacts of the COVID-19 lockdown to NO2 emission reductions in the UK, and the possible implications to surface O3 levels. More specifically, they present measurements from 128 urban monitoring stations and compare the 2020 lockdown period, to the 2020 pre-lockdown period, and the same periods from 2015- 2019. They follow an approach to deseasonalise and linearly detrend the 2020 data based on the previous years to show that NO2 levels have dropped for various UK cities while O3 has increased.

We thank the reviewer for the detailed review and hope to answer the questions below.

Although the authors discuss the implications of meteorology to the NO2 concentration reductions these effects are not carefully taken into account. They present meteorological differences between these periods that show higher wind speeds during the lockdown and many times from different directions. A characteristic example is e.g. Cardiff that showed increased wind speeds (Fig. 3) and the highest NO2 reductions (Fig. 4) that are currently fully attributed to the lockdown. I would recommend that the authors perform a more detailed analysis of the meteorological conditions and only include the cities that had similar wind speeds, wind directions, and exclude the ones that did not.

We thank the reviewer for the suggestion here. We agree that wind speed plays a key role in the  $NO_2$  concentration, however we do not think we should exclude any cities based on meteorological conditions. We would then be setting an arbitrary limit on meteorology so we would rather keep everything in. We have added a more detailed discussion to section 3.1 on the actual changes in wind speed observed across the 6 cities, stating that Cardiff (followed closely by Bristol) do indeed show the greatest change. The other cities have a much smaller increase in wind speed compared to the previous five years. We have added the following text:

The six cities saw an average wind speed in 2020 of 6.5±1.2 ms-1, which was 33.5% higher than the average of the previous five years. Since the beginning of the COVID-19 lockdown, meteorological conditions have been much more settled, with high pressure and easterly winds dominating UK weather since mid-March, especially in southern and western UK. Average wind speeds across the six cities was 4.1±0.4 ms-1, although this is still an increase of 7.5% compared to the previous 5 years. Of the cities analysed, Cardiff saw the largest increase in wind speed for 2020 compared to the previous five years (16.8%), with Bristol showing a 10% increase. The other cities all saw slight decreases in wind speed (<5%) in 2020. Typically, lower wind speed meteorological conditions are associated with higher levels of air pollution due to increased atmospheric stability and transport of

pollution from mainland Europe in the UK, respectively, and so care must be taken when comparing pre and post-lockdown levels of air pollution, as described in section 2.3, and comparing to the average of the previous 5 years is a better measure of the changes.

We have also plotted the change in  $NO_2$  against the change in wind speed for each site, along with median  $NO_2$  against median wind speed for the lockdown period in 2020 and 2015-2019 - this figure is now in the SI (figure S3 – see above in reviewer 1 response). It shows that, whilst  $NO_2$  concentrations do tend to be higher at lower wind speed, there is actually very little correlation between a change in wind speed between the two periods and the change in  $NO_2$ . We have added the following sentence (line 254):

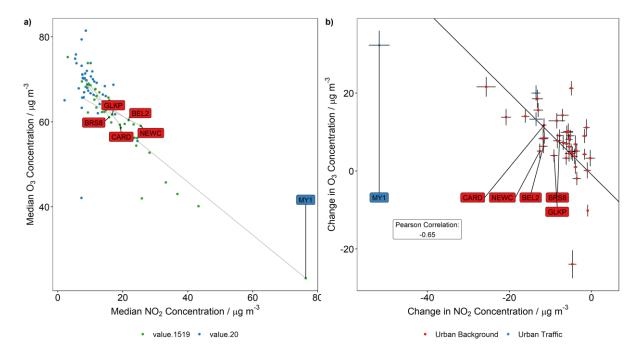
We see that, whilst NO2 concentrations do tend to be higher at lower wind speed (Figure S3(a)), there is very little correlation between the observed change in NO2 between 2020 and the previous five years and any change in wind speed (Figure S3(b)).

When moving to the O3 trends things become more challenging since O3 is strongly affected by meteorology as well as NOx and VOC emissions (the lifetimes of the latter also affected by meteorology). Although O3 formation is complicated the authors seem to oversimplify it and often promote a link between O3 formation and NOx reductions that is not supported at all by the observations. On the contrary, observations promote differences in the UV levels that could drastically increase O3 compared to previous years.

We do not entirely agree with this comment. We do see that there is a clear correlation between observed NO<sub>2</sub> and observed O<sub>3</sub> across the sites. We have added a new figure (6) to show this. It shows the change in O<sub>3</sub> concentration between 2020 and the previous 5 years, plotted against the change in NO<sub>2</sub> concentration and demonstrates a correlation. (b) shows the median O<sub>3</sub> concentration for the lockdown period at each site plotted against the median NO<sub>2</sub> concentration. This also shows a clear anti-correlation between NO<sub>2</sub> and O<sub>3</sub>. We have added some text describing this figure (line 346):

Figure 6 shows the relationship between  $NO_2$  and  $O_3$  during the lockdown period, across all the AURN sites we examined. There is a clear anti-correlation between median  $NO_2$  and median  $O_3$  for all data, with data from 2020 tending towards lower  $NO_2$  and high  $O_3$  (Figure 6(a)). We also see that there is a correlation between the change in  $NO_2$  and the change in  $O_3$  between 2020 and the previous five years (Figure 6(b)).

Figure 6:



Overall, the manuscript would be suited for ACP after (1) a careful exclusion of cities that had different meteorological conditions from 2020 to 2015-2019, and (2) more honest and precise conclusions regarding the increased O3 levels.

We thank the reviewer for this comment and try to answer their concerns below.

# Specific comments:

Page 1, lines 17-18: "... suggesting the majority of this change can be attributed to photochemical repartitioning due to the reduction in NOx.". The authors did not quantify the effect of meteorology and NOx reductions to be able to conclude this. Please rephrase.

We believe we have now shown that the majority of O<sub>3</sub> change is due to NO<sub>x</sub> reductions.

Page 1, line 21-22: Can the authors make this statement without looking in more detail the meteorological differences between the studied years?

We are just reporting what is observed so we feel this statement is still valid.

Page 1, line 37-39: Where is the remaining 16% NOx coming from? Please provide in parenthesis the variability as  $\pm$  XX%. Also, there is no contribution of biomass burning to NOx which especially in the wintertime could play a role.

We have added the contribution from other sources to the section. Note we have updated the figures so they are from the 2018 inventory, which was not available at the time of the original manuscript submission. We also now quote the variability (standard deviation) of the NAEI contributions across the 6 cities that we have used in the other analysis (London, Bristol, Cardiff, Newcastle, Glasgow, Belfast). The section now reads:

In 2018 the road transport sector accounted for 37% of UK NOx (sum of NO and NO2), the largest emission from a single sector, followed by energy industries (21%), non-road transport (mainly rail and aviation) (15%), manufacturing industries and construction (10%) and domestic combustion (9%) (https://www.gov.uk/government/statistical-data-sets/env01-emissions-of-air-pollutants). In major cities, the contribution from road transport is typically much higher. On average across six cities in the UK (London, Bristol, Cardiff, Newcastle, Glasgow and Belfast)e.g. 47±6% comes from road transport, , with 17±5% from domestic combustion, 15±6% from non-road transport sources (mainly rail), and 14±10% from energy industries and 6±2% from industrial combustion.

Section 2.3, line 133-134: "... we first linearly detrend and deseasonalise NO2 data at each AURN site based on the climatology of the previous five years". Please, elaborate more and show characteristic examples of data before and after deseasonalising in the main text or SI. It was not clear to me what is shown in Fig. 2 and I had to spend a long time before understanding the deseasonalisation approach (not 100% sure I still do). This is an important step for this study and is only very briefly discussed. This also includes the associated uncertainties.

We agree our method is not totally clear. To de-seasonalise the data, we determine the climatology based on the mean annual cycle of the previous five years (from January 1<sup>st</sup> 2015 to December 31<sup>st</sup> 2019) which is then repeated to match the length of the time series, subtracted from the mean to standardise the data, and then subtracted from the original time series to produce a time series of the residuals. We have updated the description (line 177).

To deseasonalise the data, we determine the climatology based on the mean annual cycle of the previous five years (from January 1st 2015 to December 31st 2019) which is then repeated to match the length of the time series, subtracted from the mean to standardise the data, and then subtracted from the original time series to produce a time series of the residuals.

Section 2.3, line 147: It is surprising to me that this sudden drop in January-February is suggested to be only due to emerging crises in nearby European cities. The authors later discuss that meteorology is significantly different for these months compared to March-May but still not that drastically different compared to the same months from previous years (Figure 3). I consider it important to understand where this drastic drop in concentration before the lockdown even started, is coming from. This rapid change not related to the pandemic is strong proof that this approach may not work since the needed weight to meteorology or other factors is not accounted for. If differences in meteorology between the 2015-2019 pre-lockdown, and the 2020 pre-lockdown are the reason for this drop in NO2 concentrations (which I suppose mostly is as also discussed in section 3.1) then similar differences during the lockdown (e.g. Cardiff) could play a crucial role in reduced NO2 concentrations.

We do believe the drop in  $NO_2$  concentrations in early 2020 is due to much larger wind speeds early in 2020 compared to the previous five years. however as now stated in the text (section 3.1 - see above) wind speeds for the lockdown period were not as much larger in 2020 compared to previous years (only 7.5%). Our new figure S3 (see above) also shows that that is very little correlation between the change in wind speed and the change in  $NO_2$  between 2020 and the previous five years. Therefore we do believe that our approach is valid, even without a quantitative assessment of the meteorology.

Section 3.2, line 186-189: The authors already showed how strong influence meteorology could have on the trends based on the pre-lockdown period. If a comparison for the different years was made it should be followed (and weighted) by a comparison of wind direction, wind speeds. For example, Cardiff that has higher wind speeds in 2020 compared to other years (Figure 3) has the highest drop in NO2 which is not due to the lockdown alone. Also, it would be great to see the bars in Figure 4 colored based on the concentrations observed at each site, and with error bars.

Figure S3 shows that there is very little correlation between the change in wind speed and the change in  $NO_2$  between 2020 and the previous five years, therefore we do not think colouring the bars in figures 4 would add anything.

Line 209: Is this the mean of all 4 years from 2015-2019? I wonder whether it would make more sense to compare only to 2019. More detailed sensitivity analysis and discussion will improve the presented results here and show whether uncertainties are higher than the observed trends.

We have now added errors to all the quoted concentrations and differences (see response to reviewer 1). We are confident that comparing 2020 to the average of the previous five years is the most appropriate for our analysis.

Line 227-230: What is the contribution of biomass burning to NOx? The increase in the later hours promotes the possible effects of residential heating. Please discuss the contribution of other emission sources further in the main text.

Domestic combustion makes up around 20% of  $NO_x$  emissions in UK cities. We agree this could be the reason for the change in diurnal cycles during 2020, as it is likely not to have changed much during the lockdown. NO other source sectors make a significant contribution We have added the following sentence to the text:

A reason for these observed diurnal cycles could be domestic combustion, which typically makes up around 17% of urban NOx emissions (compared to 47% for road transport and 15% for other transport e.g. rail). We do not expect domestic combustion to have changed much during the lockdown, therefore its contribution to the total (and the diurnal cycle) will be greater.

Section 3.3, line 250: Photochemistry is a key driver for O3 production. However, the authors here don't address the possible effect of yearly variations in photochemistry. Comparing j-NO2 for the different years during these periods would be essential to answering this.

We do not have measurements of j-NO<sub>2</sub>, however we do discuss changes in UV radiation in the discussion section. We do not believe radiation is a factor here because, as stated later, UV radiation increase in 2020 if anything and this observation is a large decrease.

Line 288-311: Aren't the authors suggesting here that the increased O3 is mostly due to meteorology? Please emphasize this more and de-emphasize the O3 increase due to NOx reductions since there is no trend to support this.

We are saying that the majority of the change in  $O_3$  at these urban sites is due to a reduction of  $NO_x$  and thus reduction in the titration of  $O_3$  with NO. We have added text to this section and a figure showing the anti-correlation between the two species (see answer to a previous comment). But we are saying that meteorology does have a small effect in the southern cities, which show a small increase in observed  $O_x$  ( $O_3 + NO_2$ ). We hope this section is now clearer following our changes.

Line 313-331: Various sources of VOCs and oxygenated VOCs are not discussed here, e.g. biomass burning, volatile chemical products, industry, that can play a crucial role in determining the total VOCs and total reactivity, and therefore understanding O3 formation. Presented here is not the total VOCs or total reactivity since the discussed VOCs are predominantly related to combustion/traffic emissions. In general, please emphasize more the variability of VOC emissions and that to understand O3 formation NOx and VOC emissions are equally important.

We have added some text to this section describing more fully the contribution of other sources to VOCs.

Indeed, in London according to the NAEI (in 2018), road transport only contributes 11% to sources of benzene, with other major sources being domestic combustion (69%), other transport (11%) and offshore oil and gas production (6%). Therefore it is not surprising that VOCs show less of a reduction during the lockdown than NO2.

We also added the following text later on in the section (line 399) as a caveat to our very basic OH reactivity analysis:

and it is unlikely that the measurements made at the AURN sites cover all VOCs that contribute to OH reactivity (e.g. few oxygenated compounds or larger VOCs are measured).

Line 341: Nothing is clear based on the presented results. The authors have no proof that O3 increased due to changes in NOx or changes in meteorology or VOCs. Please rephrase.

## We agree the wording is too string here. We have rewritten the final sentences to read:

Further detailed chemical modelling studies, beyond the scope of this study, are required to assess in detail the chemistry behind O3 formation and how this has been affected by the lockdown, however it is clear we observe that O3 has increased across the UK and see a clear anti-correlation with a decrease in NO2 across the sites. due to the reduction in NOx, We also see with an increase in total Ox at Urban Background sites in the South of England, . This is likely due to increased radiation and biogenically emitted VOCs compared to previous years, things that are unlikely to be linked to the COVID-19 lockdown.

Figure 9 is since January although the lockdown was not in effect. How many exceedances happen

during the pre-lockdown period? Please separate the two periods and further discuss them if

necessary.

We have changed our analysis (and updated the figure) so we only report the lockdown period.

It does not change the conclusions.

Line 427: The increase in Ox can be due to differences in UV levels that will increase OH and O3

levels as mentioned by the authors in the main text. Please rephrase.

We believe we already state this further down the section (Line 495):

Whilst anthropogenic VOCs are slightly decreased during the lockdown, we find some evidence that

suggests that biogenic VOCs such as isoprene are higher due to warmer temperatures and higher UV

levels across southern UK in 2020 compared to previous years; we find no evidence to suggest that

higher UV levels were due to cleaner skies related to air pollution changes due to the lockdown.

Line 436-438: This is a stretch when there is no quantification of the factors affecting O3 formation.

Please rephrase.

We have reworded the sentence so it now reads:

If we are to take the COVID-19 lockdown as an analogue of how air quality will respond to future

reductions in emissions from vehicles (e.g. over the next 10-20 years), then observations show that

there could be a corresponding increase in O3 which should be considered in any air quality

abatement strategy.

Line 441-443: Strong wording. Please rephrase.

We have reworded the sentence so it now reads:

In addition, a warming climate may lead to increased emissions of biogenic VOCs, further adding to

the O3 burden

Technical comments:

Page 2, line 49: O3 is the main pollutant for urban pollution too. Please rephrase.

We have added the word urban to the O<sub>3</sub> part of the sentence.

Page 2, line 78: Change "has" to "could have".

Done

Page 3, line 96: correct to "levels are".

Done

Page 4, line 121: delete "and". Also, an error is provided for the PM2.5 measurements but there is no mention of the type of instrumentation used. Since PM2.5 is not used at all in this study the authors could completely skip this.

We have removed the part about the PM<sub>2.5</sub> error.

Line 202: correct to "increase".

Done

Line 213: Do you mean "Observed variations in O3 will also reflect changes in precursor VOC emissions"? Even then, how would that happen? Please rephrase.

We think the reviewer means line 313 for this and agree the sentence is not a good one. We rephrase to:

Observed variations in O3 may also be affected by will also reflect changes in precursor VOCs

Line 240: correct "O3".

Done

Line 278: delete "however".

Done

Figures comments:

Please improve the quality of the figures in the main text and supplement. Also, include uncertainties/error bars to the figures.

This will happen in the final version

Figure 2: Could the authors add the 25th and 75th percentile? Also, could the authors present the results for urban and background environments in the SI for cases where this approach works and cases where this approach is more challenging?

I've changed this figure to address a comment from review 1. The figure now shows the mean relative change of NO2 and O3. The 25<sup>th</sup> & 75<sup>th</sup> percentile have also been added as a shaded area around the mean and the caption has been adjust to match.

Figure 6: x-axis label is missing.

Now added.

# UK surface NO<sub>2</sub> levels dropped by 42% during the COVID-19 lockdown: impact on surface O<sub>3</sub>

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#### **Abstract**

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We report changes in surface nitrogen dioxide ( $NO_2$ ) across the UK during the COVID-19 pandemic when large and rapid emission reductions accompanied a nationwide lockdown (23rd March—31st May, 2020, inclusively), and compare them with values from an equivalent period over the previous five years. Data are from the Automatic Urban and Rural Network (AURN) that form the basis of checking nationwide compliance with ambient air quality directives. We calculate that  $NO_2$  reduced by  $42\pm9.8\%$  on average across all 126 urban AURN sites, with a slightly larger ( $48\pm9.5\%$ ) reduction at sites close to the roadside (urban traffic). We also find that ozone ( $O_3$ ) increased by 11% on average across the urban background network during the lockdown period. Total oxidant levels ( $O_x = NO_2 + O_3$ ) increased only slightly on average ( $3.2\pm0.2\%$ ), suggesting the majority of this change can be attributed to photochemical repartitioning due to the reduction in  $NO_x$ . Generally, we find larger, positive  $O_x$  changes in southern UK cities which we attribute to increased UV radiation and temperature in 2020 compared to previous years. The net effect of the  $NO_2$  and  $O_3$  changes is a sharp decrease in exceedances of the  $NO_2$  air quality objective limit for the UK, with only one exceedance in London in 2020 up until the end of May. Concurrent increases in  $O_3$  exceedances in London emphasize the potential for  $O_3$  to become an air pollutant of concern as  $NO_x$  emissions are reduced in the next 10-20 years.

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## 1 Introduction

The current Coronavirus SARS-CoV-2 (COVID-19) outbreak was first identified in Wuhan, China, in December 2019, and was recognised as a pandemic by the World Health Organization (WHO) on 11 March 2020 (WHO, 2020). As of early August 2020, there have been almost 18 million confirmed cases and over 700,000 deaths reported across the world (<a href="https://coronavirus.jhu.edu/map.html">https://coronavirus.jhu.edu/map.html</a>). Efforts to prevent the virus spreading have included severe travel restrictions and the closure of workplaces, inevitably leading to a significant drop in emissions of primary air pollutants from several important sectors. This has provided a unique opportunity to examine how air pollutant concentrations respond to an abrupt and prolonged perturbation, followed by policy-relatable increases as restrictions are incrementally relaxed.

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The effects of the change of emissions on NO<sub>2</sub> and O<sub>3</sub> have been observed using satellite and in-situ measurements in several studies. Table 1 summarizes studies from a growing body of work that report changes in NO<sub>2</sub> and other air pollutants in countries across the world that are associated with the global COVID-19 lockdown, including satellite observations (Liu et al., 2020) and in situ measurements. These studies have used various methods to isolate the impact of the COVID-19 lockdown on changes in air pollutants from confounding factors, e.g.

meteorology, using atmospheric chemistry transport models and weather normalisation techniques based on machine learning (ML) algorithms. In Europe, reductions of NO<sub>2</sub> are typically slightly larger than we have seen in the UK in our study, perhaps reflecting more stringent lockdown policy. In Spain, NO<sub>2</sub> was reduced by 50% at both urban traffic and urban background sites (Petetin et al., 2020) and in Rome, Turin and Nice, NO2 was reduced by 46, 30 and 63% respectively (Sicard et al., 2020). In all of these studies similar magnitude increases of O<sub>3</sub> were observed, mainly attributed to the decreased NO. Further afield, in India TROPOMI satellite measurements showed that during the COVID-19 lockdown, there was a 18% decrease in NO<sub>2</sub> over the whole country, with a 54% decrease over New Delhi compared to the same period in 2015-2019 (Pathakoti et al., 2020). In situ measurements in New Delhi showed a 53% decrease in NO<sub>2</sub> and a 0.8% increase in O<sub>3</sub> for the lockdown period compared to the 2 weeks immediately preceding it. In Rio de Janeiro, Brazil, there was a 24-33% decrease in NO<sub>2</sub> during the lockdown compared to the week before (Dantas et al., 2020) and in Sao Paulo data from urban roadside sites showed a 54% decrease in NO<sub>2</sub> compared to the previous 5 years (Nakada and Urban, 2020). In China, satellite observations showed a mean NO<sub>2</sub> decrease of 21% decrease across the whole country, relative to a similar period in 2015-2019 (Bao and Zhang, 2020). In situ measurements in cities in northern China measurements before and after lockdown showed a 53% decrease in NO<sub>2</sub> (Shi and Brasseur, 2020) and in-situ measurements in cities across the whole of China showed a 60% decrease in NO2 comparing 1-24th January 2020 and 26th January - 17th February 2020 (Huang et al., 2020). Both these two studies also reported a >100% increase in O<sub>3</sub>. These studies were both during wintertime so the O<sub>3</sub> increase was largely attributed to the reduction on NO emissions reducing titration of O<sub>3</sub> to NO<sub>2</sub>, however the possible effect of reduced particles on UV radiation and hence O<sub>3</sub> production was also considered to have led to some of the increased O<sub>3</sub>. Le et al, 2020 use satellite data to show a 71.9% decrease in NO<sub>2</sub> and 93% decrease in Wuhan at the peak of the outbreak. They also report a 25.1% increase in O3 in Wuhan, largely attributed to a reduction in titration with NO. In the USA one study using EPA data showed a mean decrease of 30% of NO2 in urban areas of Seattle, Los Angeles and New York during the lockdown. The study did not show any consistent change in O<sub>3</sub> levels (Bekbulat et al., 2020). Here, we report changes in nitrogen dioxide (NO2) across the UK and discuss them in context of observed changes in surface ozone  $(O_3)$ .

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In 20178 the road transport sector accounted for 3237% of UK NO<sub>x</sub> (sum of NO and NO<sub>2</sub>), the largest emission from a single sector, followed by energy industries (21%), manufacturing industries and constructionnon-road transport (mainly rail and aviation) (4715%), manufacturing industries and construction (10%) and non-road transport domestic combustion (449%) (https://www.gov.uk/government/statistical-data-sets/env01-emissions-of-air-pollutants). In major cities, the contribution from road transport is typically much higher. On average across six cities in the UK (London, Bristol, Cardiff, Newcastle, Glasgow and Belfast)e.g. 5347±6 % comes from road transport, in Greater London (Vaughan et al., 2016), with 17±5 % from domestic combustion, 15±6 % from non-road transport sources (mainly rail), and 14±10 % from energy industries and 6±2 % from industrial combustion. In recent years, there has been a pronounced reduction in NO<sub>x</sub> emission (Defra, 2018a) that largely reflects lower transport emissions, with NO<sub>2</sub> showing an average decrease of 3.3 % per year since 2015. Since 2014, Euro 6 standards for light passenger diesel vehicles reduced the maximum permitted NO<sub>x</sub> emission from 0.18 to 0.08 g/km, and the number of ultra-low emission vehicles (e.g. electric, hybrid cars) has increased its market share

from 0.59% in 2014 to achieved 2.6% in 2018. Despite these developments, air pollution is still currently the largest environmental health stressor on the UK population (Public Health England, 2019).

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At present the main pollutants of concern in urban centres are NO<sub>2</sub> and particulate matter with radii diameter smaller than 2.5 microns (PM<sub>2.5</sub>) in urban centres, and in suburban and rural environments is O<sub>3</sub> in urban, suburban and rural environments, with exposure to excess levels of these species is known to have a negative effect on human health (An et al., 2018; Kurt et al., 2016; Mannucci et al., 2015). O<sub>3</sub> is a secondary air pollutant formed photochemically by the oxidation of volatile organic compounds (VOCs) in the presence of NO<sub>x</sub> (Monks et al., 2015). It is generally lower in urban areas due to reactions with NO<sub>x</sub>, but in the past two decades over the UK (Finch and Palmer, 2020), and across the world (Fleming et al., 2018; Lefohn et al., 2018; Ma et al., 2016; Paoletti et al., 2014; Sicard et al., 2013; Sun et al., 2016), there have been large mean surface O<sub>3</sub> increases in urban centres driven by reduced NO<sub>x</sub> emissions. In more rural environments, the opposite has been observed, with O<sub>3</sub> decreasing with decreasing NO<sub>x</sub> emissions (Cooper et al., 2012; Cooper et al., 2014; Strode et al., 2015). Air pollution has led to an estimated 29,000 premature deaths/year in the UK, equivalent to 340,000 life years across the population in any one year and costs the UK economy between £10 billion and £20 billion/year (Royal College of Physicians, 2016). To meet the UK Government's clean air strategy (UK Government, 2019) and its commitment to achieve zero carbon emission target by 2050, sales of non-zero emission cars, vans and motorcycles will end by 2035. One of the challenges associated with the progressive move to a low-NOx vehicle fleet in the UK is to understand the impacts on surface air pollution if other emissions are not reduced commensurately.

The widespread and rapid reduction in UK transport activity (and therefore the associated emissions) from the COVID-19 lockdown represents a natural experiment to study air pollution with a greatly reduced volume of NO<sub>x</sub>emitting vehicles that we use as a proxy for a future low-NO<sub>x</sub> vehicle fleet. Figure 1 summarises the timeline of events associated with COVID-19 in the UK, including Google mobility data that describe the percentage changes in transport from a pre-lockdown baseline and daily mortality values reported by the UK Office of National Statistics. Google mobility data was used as a proxy for traffic counts as it is readily accessible, however for any quantitative analysis of the effect of reduction in traffic on pollution levels, real traffic counts or flow data would be required. The UK Foreign and Commonwealth Office issued a travel advisory on 28th January not to travel to mainland China. The first two UK cases of COVID-19 were confirmed on 31st January, with a third case confirmed on 6th February. As the number of cases continues to rise, the first UK death from COVID-19 was confirmed on the 5th March. On that same day, the UK government moved from the "containment" to the "delay" phase of addressing COVID-19, which included, for example, social-distancing. A UK-wide lockdown was announced nearly three weeks later on 23<sup>rd</sup> March, with citizens instructed to stay at home with the exception of shopping for basic necessities and one form of exercise per day, medical needs and travel associated with key workers. An immediate effect of these restrictions in movement was a large and progressive drop in transport use, with an associated reduction in motor vehicles throughout the lockdown period. We use in situ measurements collected across the UK to examine how these reductions (and other changes) have affected NO2 in the UK, with a discussion on how this has could have, in turn affected O<sub>3</sub>. We also examine the changes in exceedances of limit values for NO2 and O3 and assess whether the COVID-19 lockdown can provide useful information on how air pollution will respond to future changes in emissions due to the move to a low-carbon economy. In the next section we discuss the data we use, in section 3 we describe our results for NO<sub>2</sub> that we put into context in section 4 with the observed changes in surface O<sub>3</sub>, as well as comparing our results with other studies. We conclude the paper in section 5.

## 2 Data and Methods

#### 2.1 In situ Measurements of NO<sub>2</sub> and O<sub>3</sub>

We use data collected as part of the Defra Automatic Urban and Rural (AURN) network, currently consisting of 150 active sites across the UK (Figure S1 and Tables S1 and S2) and is the main network used for compliance reporting against the Ambient Air Quality Directives. It includes automatic air quality monitoring stations measuring oxides of nitrogen (NO<sub>x</sub>), sulphur dioxide (SO<sub>2</sub>), ozone (O<sub>3</sub>), carbon monoxide (CO) and particles (PM<sub>10</sub>, PM<sub>2.5</sub>). Online measurements of VOCs are available at a small number of sites. These sites provide hourly information which is communicated rapidly to the public, using a wide range of electronic media and web platforms. More detail can be found at <a href="https://uk-air.defra.gov.uk">https://uk-air.defra.gov.uk</a>. Three different site types are used in this analysis. Urban traffic sites are defined as being in continuously built-up urban areas, with pollution levels predominantly influenced by emissions from nearby traffic. Urban background sites are located such that pollution levels is are not influenced significantly by any single source or street, but rather by the integrated contribution from all sources upwind of the stations. These can be considered more representative of residential areas. Rural background sites are sited more than 20 km away from agglomerations and more than 5 km away from other built-up areas, industrial installations or motorways or major roads, so that the air sampled is representative of air quality in a surrounding area of at least 1,000 km<sup>2</sup>.

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The AURN network uses standardised techniques and operating procedures to ensure data are comparable. Full details can be found at https://uk-air.defra.gov.uk/assets/documents/reports/empire/Isoman/ but a brief description will be given here. Nitric oxide (NO) in the sample air stream reacts with O<sub>3</sub> in an evacuated chamber to produce activated nitrogen dioxide (NO2\*). This then returns to its ground (un-activated) state, emitting a photon (chemiluminescence). The intensity of the chemiluminescent radiation produced depends upon the amount of NO in the sampled air. This is measured using a photomultiplier tube (PMT) or photodiode detector, so the detector output voltage is proportional to the NO concentration. The ambient air sample is divided into two streams. In one stream, the ambient NO<sub>2</sub> is reduced to NO (with at least 95% efficiency) using a molybdenum catalyst converter before reaction. The molybdenum converter should be at least 95% efficient at converting NO<sub>2</sub> to NO. External gas cylinders or an internal permeation oven and zero air scrubber are used to provide daily automatic check calibrations for NO. The NO<sub>2</sub> conversion efficiency is checked every 6 months using either an NO<sub>2</sub> calibration cylinder or gas phase titration of the NO with O<sub>3</sub>. In recent years it has become well established that NO<sub>2</sub> measurements using molybdenum converters can overestimate NO2 due to interferences from other oxidised nitrogen species (e.g. HNO<sub>3</sub>, PAN, HONO) (Steinbacher et al., 2007). However, in urban environments the interferences are often minimal compared to the levels of NO<sub>x</sub> (Villena et al., 2012). In addition, as we are looking at a change in NO<sub>2</sub>, it is likely that any interference that is present will be there in very similar amounts in both the 2020 and 2015-2019 data. Ozone is measured by UV absorption at 254nm, with concentrations calculated using the Beer-Lambert Law (Parrish and Fehsenfeld, 2000). An O<sub>3</sub>-removing scrubber is used to provide a zeroreference intensity. An internal ozone generator and zero air scrubber are used to provide daily automatic check

calibrations and instruments are calibrated with a primary ozone standard every 12 months. Whilst the accuracy of the measurement will vary on a site by site basis, the maximum allowed uncertainty for the AURN network is 15% for NO<sub>2</sub> and O<sub>3</sub> measurements and 25% and for PM<sub>2.5</sub>. To study the effect of the lockdown on NO<sub>2</sub> levels in the UK, we use measurements from 66 Urban Traffic and 62 Urban Background sites across the UK, all that have measurements between 2015 to the end of May 2020.

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#### 2.2 Correlative Meteorological Data

Measured meteorological data (wind direction, wind speed and temperature) is not available at most AURN sites so modelled data, based on the position of the site, from the UK Met Office unified model is used. UV-A irradiance data is taken from measurements made by the Public Health England (PHE) solar network.

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## 2.3 Statistical Methods

To quantify the impact of the COVID-19 lockdown on atmospheric levels of NO<sub>2</sub> and O<sub>3</sub>, we compare measurements during the lockdown with values corresponding to 'business as usual' (BAU), i.e. what we would have expected in the absence of the pandemic. To determine our BAU scenario, we first linearly detrend and deseasonalise NO2 data at each AURN site. To deseasonalise the data, we determine the climatology based on the mean annual cycle of the previous five years (from January 1st 2015 to December 31st 2019) which is then repeated to match the length of the time series, subtracted from the mean to standardise the data, and then subtracted from the original time series to produce a time series of the residuals. based on the climatology of the previous five years (from January 1st 2015 to December 31st 2019)\_This five-year period is sufficiently long to take into account year to year variations in meteorology but short enough to reduce the impact of any longer-term trends driven by earlier changes in emission standards (e.g. the introduction of Euro VI in 2017 and an ultra-low emission zone in London in 2019). We then calculated the difference between a linear regression model of the previous data, projected forward to June 2020 to predict BAU values of NO2 and O3 (Figure 2) and calculated the difference between this and the measured values. We acknowledge there are uncertainties associated with our approach, but this method offers simplicity and straightforward error propagation. Other more complex methods to determine BAU that, for example, explicitly take into account local changes in meteorology (Grange and Carslaw, 2019) will also be subject to uncertainties, e.g. the extent which regional-scale meteorological fields can describe smaller-scale variations in atmospheric pollutants. We define the start of the UK lockdown period as the 23th March 2020 when the lockdown was advised by the UK government. Figure 1 shows that a decrease in mobility in the transport sector is already evident from the 9th March, which in the absence of any obvious change in law is perhaps influenced by the emerging crises in nearby European countries. Our analysis concludes on 31st May 2020 the day before the first phase of lockdown easing in England.

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We use independent sample Mann-Whitney U-tests z tests—to test the significance of changes in mean concentration for each site between the lockdown period and the mean of same period for the past five years, the lockdown period and measurements in 2020 prior to the lockdown and measurements from prior to lockdown in 2020 with the same period for the previous five years. This test indicates how likely the observed changes in mean concentration between the different time periods are due to chance and noise in the data or whether they are

statistically significant and be attributed to a real signal, which in our work is the start of the lockdown. We use this test rather than a t-test or z-test due to the large sample size and non-normal distribution of the data.

#### 3 Results

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Figure 2 shows the mean relative change of UK mean-deseasonalized NO<sub>2</sub> and O<sub>3</sub> observations from all urban sites from 2015 – May 2020 and the mean trend from 2015 to 2019. The mean NO<sub>2</sub> linear trend across all AURN urban traffic (background) sites is -1.4 (-0.6) μg m<sup>-3</sup> yr<sup>-1</sup> (-4.5 (-2.1) % yr<sup>-1</sup>). The urban traffic site at London Marylebone Road shows the largest decreasing trend over the past five years of -5.5 μg m<sup>-3</sup> yr<sup>-1</sup> (-6.7 % yr<sup>-1</sup>), whereas eight urban sites show a small increasing trend in NO<sub>2</sub> between 0.1 - 0.6 μg m<sup>-3</sup> yr<sup>-1</sup> (0.5 – 1.2 % yr<sup>-1</sup>).

The mean standard error of the NO<sub>2</sub> trend for all sites is 0.002 μg m<sup>-2</sup>. The mean O<sub>3</sub> linear trend across all urban traffic (background) sites is 2.4 (1.3) μg m<sup>-3</sup> yr<sup>-1</sup> (5.5 (3.1) % yr<sup>-1</sup>) and the mean standard error of the fit for all sites is 0.003 μg m<sup>-2</sup>.

#### 3.1 Meteorological Context

It is well understood that ambient concentrations of air pollutants are greatly affected by meteorology, with low wind speeds causing a build-up of pollutants and over the UK easterly flow is often accompanied by pollution from mainland Europe. Figure 3 shows surface wind data from six cities across the UK (London, Bristol, Cardiff, Newcastle, Glasgow and Belfast), providing information from a wide geographical range across the country. Wind roses for the pre (10th January – 10th March) and post (23rd March – 31st May) lockdown periods of 2020 and the mean of 2015-2019 show that all cities during the pre-lockdown period in 2020 were dominated by strong westerly winds across all of the UK, with successive low-pressure systems across the UK including the named storms Ciara, Dennis and Jorge through the month of February and early March. The winter season (January-February) was the fifth wettest on record and the fifth warmest. February 2020 was the wettest ever February recorded in the UK. The wind roses also show that 2020 saw much stronger winds than the mean of the previous five years. The six cities saw an average wind speed in 2020 of (6.5±1.2) ms<sup>-1</sup>, which was 33.5% higher than the average of the previous five years. Since the beginning of the COVID-19 lockdown, meteorological conditions have been much more settled, with high pressure and easterly winds dominating UK weather since mid-March, especially in southern and western UK. Average wind speeds across the six cities was (4.1±0.4) ms<sup>-1</sup>, although this is still an increase of 7.5% compared to the previous 5 years. Of the cities analysed, Cardiff saw the largest increase in wind speed for 2020 compared to the previous five years (16.8%), with Bristol showing a 10% increase. The other cities all saw slight (<5%) decreases in wind speed in 2020. Typically, these lower wind speed meteorological conditions are associated with higher levels of air pollution due to increased atmospheric stability and transport of pollution from mainland Europe in the UK, respectively, and so care must be taken when comparing pre and post-lockdown levels of air pollution, as described in section 2.3, and comparing to the average of the previous 5 years is a better measure of the changes.

## 3.2 Observed changes in daily mean and diurnal variations of NO2

Measurements in 2020 from 65 urban traffic (figure S2a) and 61 urban background (figure S2b) AURN measurement sites across the UK show clear reductions in NO<sub>2</sub> concentrations across all sites since the lockdown. Some of these differences are due to the natural seasonal variation in NO<sub>2</sub> and meteorology. To account for these expected variations, we calculate the daily difference of NO<sub>2</sub> values from 2020 with mean NO<sub>2</sub> values from detrended values from 2015 to 2019 for the appropriate day of year. This approach allows us to emphasize the difference of NO<sub>2</sub> values in 2020 from previous years. During the lockdown period, we find that 83% of days in 2020 at urban traffic sites have lower NO<sub>2</sub> values, far outnumbering those with higher NO<sub>2</sub> values (17%). During the pre-lockdown period, we find 76% of days at urban traffic sites in 2020 are below the 2015-2019 mean. We find a similar situation for urban background sites, with 73% of days above-below the 2015-2019 mean, but the decrease during the lockdown period is not as dramatic.

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Figure 4 shows the percentage difference for all urban traffic and urban background sites for the lockdown period in 2020 compared to the same period averaged across 2015-2019. To assess the error, we combined the standard error in the median of the daily median concentrations for the lockdown period in 2015-2019 and 2020, with error bars shown on the graph. After removing site-dependent trends, it is observed that urban traffic sites have a mean decrease of (13.4±2.1) µg m<sup>-3</sup> in NO<sub>2</sub> over the lockdown period compared with the same period over the previous five years. This mean decrease approximately equates to a (48%±9.5) % drop in NO<sub>2</sub> levels across the UK. The AURN site Glasgow Kerbside observed the largest mean percentage decrease of (71.2±7.7) % during the lockdown period, closely followed by Cambridge Roadside (68.8±9.9) %) and Marylebone Road (London) with a decrease of (67.8±7.8) %. In total, 32 of the 65 urban traffic sites saw a decrease in NO<sub>2</sub> of greater than 50%. Armagh (Northern Ireland) is the only urban traffic site to show a mean increases in NO<sub>2</sub> (1.3±1.1) μg m<sup>-3</sup>  $(6.7\pm6.2)$  %). Urban background sites show a smaller mean reduction of  $(4.9\pm1.1)$  (5.5)  $\mu$ g m<sup>-3</sup>, equating to a decrease of  $(40.6\pm10.1)$ % in NO<sub>2</sub> levels across the UK. The largest decrease of  $(25.7\pm3.3)$  µg m<sup>-3</sup> was observed in London Hillingdon, corresponding to  $(59.3\pm9.6)$  %. Small increases (< 3 µg m<sup>-3</sup> (< 10%)) were seen in York Bootham (Yorkshire and Humberside), and Eastbourne (South East). On average across all urban sites (traffic and background), a decrease in NO<sub>2</sub> of (42±9.8) % is observed. We see that, whilst NO<sub>2</sub> concentrations do tend to be higher at lower wind speed (Figure S3(b), there is very little correlation between the observed change in NO<sub>2</sub> between 2020 and the previous five years and any change in wind speed (Figure S3(a)).

We perform independent Mann-Whitney U-tests z-tests- on NO<sub>2</sub> measurements during the lockdown period and the mean from the same period from the previous five years, for NO<sub>2</sub> measurements during the lockdown period and measurements in 2020 immediately prior to the lockdown, and for NO<sub>2</sub> measurements immediately prior to lockdown in 2020 with the same period for the previous five years. We find that using these t-tests that 109-115 out of the 128 (8985%) urban sites show a statistically significant (p < 0.01) difference in NO<sub>2</sub> between the mean observations during lockdown to the mean of the same period during the past five years. We also find 11204 sites (from a possible 128 sites, 881%) show a significant difference between NO<sub>2</sub> measurements made in 2020 immediately prior to the lockdown to the mean of the same period from the previous five years, with urban background sites showing a -6.3±1.5 μg m<sup>-3</sup> change and urban traffic 9.2±1.9 μg m<sup>-3</sup>). The mean NO<sub>2</sub> difference for all urban background (traffic) sites for the time period immediately prior to the lockdown is -6.3 (9.2) μg m<sup>-3</sup>. We attribute this difference to changes in meteorology during January and February 2020 (Figure 3), in

particular wind speed, which was on average 33% higher than the average of for the previous 5 years. when winds were higher than the previous five years. Finally, we find that 947 sites (75%) show a statistically significant difference between mean NO<sub>2</sub> observations during lockdown and immediately prior to lockdown in 2020, implying there was a significant drop in NO<sub>2</sub> across the UK as a direct result of the lockdown.

We also examine mean changes in the diurnal cycles of NO<sub>2</sub> at urban traffic and urban background sites in London, Bristol, Cardiff, Newcastle, Glasgow and Belfast during the lockdown period compared to the same periods from the 2015-2019 mean. Based on the diurnal profile of NO<sub>2</sub> levels, we find that (Figure \$3\$\frac{53}{54}\$) typical pre-lockdown diurnal cycles are driven by emission peaks in the morning and evening rush hours, with the evening peaks supressed due to the higher mean boundary layer that is grown during the day. In general, we find that the evening rush hour peaks at urban traffic sites across all cities during the lockdown period are suppressed compared to previous years, potentially due to changing working patterns. A notable exception is in Cardiff where the morning rush hour peak is suppressed. In contrast, at urban background sites in Cardiff the diurnal cycle of NO<sub>2</sub> is very similar in 2020 to the previous years, with rush hour peaks of similar magnitude in the morning and evening. A reason for these observed diurnal cycles could be domestic combustion, which typically makes up around 17% of urban NO<sub>3</sub> emissions (compared to 47% for road transport and 15% for other transport e.g. rail). We do not expect domestic combustion to have changed much during the lockdown, therefore its contribution to the total (and the diurnal cycle) will be greater.

#### 3.3 Observed changes in daily mean $O_3$ and $O_x$ (= $O_3 + NO_2$ )

Typically, close to sources of  $NO_x$ ,  $O_3$  is suppressed due to the reaction of high levels of NO with  $O_3$ . Further away from the sources,  $O_3$  can reform through the oxidation of NO to  $NO_2$  with peroxy radicals (formed from the reaction of VOCs with OH) and subsequent photolysis of  $NO_2$  to form  $O_3$ . To account for this photochemistry, we also report changes in the total oxidant,  $O_X$ , the sum of  $O_3$  and  $NO_2$ , which should be approximately conserved in the absence of any change in the source strength of  $NO_X$  or VOCs or a change in OH.

Figure S54 shows measurements of  $O_3$  in 2020 from 46 urban traffic and background AURN measurement sites across the UK, along with the daily difference of NO<sub>2</sub> values from 2020 with mean  $O_3$  values from detrended values from 2015 to 2019. It shows the opposite trend to NO<sub>2</sub>, with clear increases across the majority of the sites. Figure 4-5 shows the percentage difference for all urban traffic and urban background sites for the lockdown period in 2020 compared to the same period averaged across 2015-2019. After we remove site-specific trends, as described in section 2.3, we find that  $O_3$  at urban background sites increased by a mean value of  $(7.215\pm2.6) \mu g m^{-3}$  during the lockdown period when compared with the previous five years equating to a percentage increase of  $(11\pm3.2)$  %. Leamington Spa (West Midlands) and London Hillingdon observed the largest mean increases of  $(21.3\pm2.7) \mu g m^{-3}$  )( $(35\pm3.6)$  %) and ( $(21.6\pm3.6) \mu g m^{-3}$  ( $(54\pm7.5)$  %) respectively. Three sites observed a decrease during the lockdown with Aberdeen seeing a large decrease in  $O_3$  of ( $(24.0\pm4.5) \mu g m^{-3}$  ( $(36\pm8.6)$  %) even though this site also experienced a substantial decrease in NO<sub>2</sub>. We do not have a definitive explanation for this result, but it is consistent with a NO<sub>x</sub>-limited photochemical environment in which a decrease in NO<sub>2</sub> would reduce  $O_3$  production. This could be achieved by possible fugitive emissions from the onshore gas terminals near Aberdeen, although we have no VOC measurements to confirm this so the hypothesis is entirely speculative. Only three

urban traffic sites measured  $O_3$  during our study period. Of those Marylebone Road (London) saw the largest increase ((32.0±4.8) µg m<sup>-3</sup> or (104±10.1) %) followed by Exeter Roadside (South West) with an increase (20.0±2.8) µg m<sup>-3</sup> ((47±5.5) %) and Birmingham A4540 Roadside (West Midlands) with an increase of (13.3±2.5) µg m<sup>-3</sup> ((25±3.9) %).

A similar statistical analysis has also been carried out for <u>daytime (10:00 – 18:00 UTC)</u>  $O_x$  (NO<sub>2</sub> + O<sub>3</sub>) and we find that a mean <u>(median)</u>-increase of  $O_x$  at urban background sites of <u>(3.5±0.3)</u>  $\mu$ g m<sup>-3</sup> <u>(1.4  $\mu$ g m<sup>-3</sup>)</u> or <u>(3.2±0.2)</u> %. The two outliers are Leamington Spa (West Midlands) where we find the largest  $O_x$  increase of <u>(32.5±1.2)</u>  $\mu$ g m<sup>-3</sup> <u>((18±3.4) %)</u> and Aberdeen where we find the largest  $O_x$  decrease of <u>(-27.6±0.4)</u>  $\mu$ g m<sup>-3</sup> <u>((58±5.4) %)</u>. The three urban traffic sites measuring both  $O_3$  and  $O_2$  show a large range in observed differences of <u>(4.2±1.1)</u>  $\mu$ g m<sup>-3</sup> ((+3±0.4) %) at Birmingham A4540 Roadside, <u>(-7.9±1.8)</u>  $\mu$ g m<sup>-3</sup> ((-11±3.1) %) at Exeter Roadside and <u>-(20.5±4.7)</u>  $\mu$ g m<sup>-3</sup> ((-15±3.1) %) at London Marylebone Road.

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Following our approach for  $NO_2$ —, we use independent the Mann-Whitney U-test z tests—to determine the significance of changes in  $O_3$  pre-lockdown and lockdown periods in 2020 and in the previous five years. We find that 36 out of 46 urban sites (78%) show a statistically significant (p < .01) difference between the mean  $O_3$  observations during lockdown to the mean of the same period from 2015 to 2019. However, we also find that  $\frac{38}{41}$  of those sites (83%) show a statistically significant difference between  $O_3$  measurements immediately prior to the lockdown compared to the mean of the same period from 2015 to 2019. Finally, we find that  $\frac{40}{1}$  sites (95%) show a statistically significant difference between  $O_3$  observations during the lockdown period and values taken from the period immediately prior to the lockdown.

#### 3.4 Relationship to emissions

During the lockdown period there has been around a 75% reduction in road traffic across the UK, (using Google activity data as a proxy for traffic) (see Figure 1). According to the NAEI, road transport is estimated to make up 53% of NO<sub>x</sub> emissions in the 1km x 1km grid square that both urban background and urban traffic sites are situated in (Defra, 2018b). Therefore, we might expect there to be a reduction in NO<sub>2</sub> of around 40% across all sites. Mean decreases in NO<sub>x</sub> are very similar to those for NO<sub>2</sub> described above (47% at urban traffic, 40% at urban background – see figure S65), which is in line with the 40% reduction figure. However, it is clear however that individual sites have very different behaviour and the 75% traffic reduction may not necessarily equate to 75% reduction in emissions because different types of vehicle were affected differently, with the most reduction in passenger cars and less reduction in high emitters like HGVs. There is a wide range of contributions of NO<sub>x</sub> emissions from road traffic across the sites and there does not appear to be much correlation between this and the reduction seen during lockdown (see Figure S6S7), suggesting that the change in traffic flow near to individual sites is variable and will be to largest contributing factor to NO<sub>2</sub> reductions.

#### 4 Discussion

## 355 **4.1 Surface O**<sub>3</sub>

The COVID-19 lockdown has resulted in a significant decrease in  $NO_2$  in cities across the UK, largely caused by the reduction of  $NO_x$  emissions due to reduced traffic, and a concurrent increase in  $O_3$ .  $NO_x$  and  $O_3$  are closely

linked through their photochemistry and here we examine the reasons for the O<sub>3</sub> increase (Lelieveld and Dentener, 2000). Figure 6 shows the relationship between NO<sub>2</sub> and O<sub>3</sub> during the lockdown period, across all the AURN sites we examined. There is a clear anti-correlation between median NO<sub>2</sub> and median O<sub>3</sub> for all data, with data from 2020 tending towards lower NO<sub>2</sub> and high O<sub>3</sub> (Figure 6(b)). We also see that there is a correlation between the change in NO<sub>2</sub> and the change in O<sub>3</sub> between 2020 and the previous five years-(Figure 6(a)). Another key factor that plays a role in O<sub>3</sub> concentrations is meteorology (Monks, 2000). High levels of actinic radiation cause the photochemistry involved in O<sub>3</sub> formation to happen faster and low wind speed conditions allow precursor species such as NOx and VOCs to build up and react to form O<sub>3</sub>. Therefore, observed variations of O<sub>3</sub> in different UK cities will be influenced by a number of processes to varying degrees. Figure 76 examines NO<sub>2</sub>, O<sub>3</sub> and total <u>daytime</u> (10:00 - 18:00) O<sub>x</sub> during the lockdown period for urban background sites in six different cities across the UK. Any change in O<sub>x</sub> can be thought of as a change in the abundance of oxidants, taking into account the repartitioning of NO<sub>2</sub> and O<sub>3</sub> caused by changes in NO<sub>x</sub> emissions. Whilst all cities have seen an increase in O<sub>3</sub> in the urban background compared to previous years, only southern UK cities saw a significant increase in total Ox, with London, Bristol and Cardiff showing increases of 5.1±0.3%, 5.8±0.6% and 5.6±1.2% respectivelya 5% increase. In contrast, O<sub>x</sub> slightly decreased in Newcastle (-3.2±0.3%), Glasgow (-2.8±0.2%) and Belfast (- $1.4\pm0.2\%$ ). To assess if OH being is the cause of changes in  $O_x$ , we examine six measurements of total UVA at eight sites in the UK and compared data from 2020 to the mean of the previous five years (Figure \$758). We find levels of UV across the UK were higher in 2020 compared to previous years, with the largest increases in southern UK. London, Chilton and Camborne saw increases of around 50% compared to previous years, with Glasgow and Inverness showing smaller increases of around 30%. Figure 87a shows a summary of the O<sub>x</sub> change in 2020 compared to 2015-2019 from individual sites across the UK as a function of latitude. We find a positive trend in Ox towards lower latitudes, consistent with the higher excess UV levels further South. Therefore we conclude that in the cities in southern UK, some of the O<sub>3</sub> increase is not solely attributable to reduced NO<sub>x</sub>, but also an increase in photochemistry related to the hot sunny weather experienced in 2020. Figure 7b shows the temperature in 2020 compared to the previous five years as a function of latitude. Whilst these data are more scattered than for O\*\*, the period immediately prior to the lockdown was warmer than climatological mean values across the UK than the previous five years, with the largest increases in temperature at the lowest latitudes. In London, Bristol and Cardiff, the increased temperature is around 2°C compared to previous years. Belfast and Glasgow did not see a significant temperature difference in 2020.

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Observed variations in O<sub>3</sub> may also be affected by will also reflect changes in precursor VOCs. Online measurements of VOCs are only available at a small number of sites and here we consider measurements made at London Marylebone Road (an urban traffic site) and London Eltham (an urban background site). Figure \$8.59 shows measurements of a range of different VOCs for each site during 2020 and mean values for 2017-2019 when data are available at both sites. The data show most VOCs decrease in concentration during the post-lockdown period in 2020 compared to previous years. This is particularly true at the urban traffic site and for species such as benzene and toluene that have a largely traffic source and saw a decrease of 23±5.1% and 29±6.5%, respectively, compared to previous years. At Eltham the decreases were both around 12%. 1,3 butadiene and 1 butene stand out as species showing a larger increase in 2020 compared to previous years and the reasons for this are not immediately clear. VOCs have a wide range of lifetimes and emissions sources and they can be transported

large distances, meaning their concentrations at a given site is much more affected by meteorology and chemistry than NO<sub>2</sub>. Indeed, in London according to the NAEI (in 2018), road transport only contributes 11% to sources of benzene, with other major sources being domestic / commercial combustion (69%), other transport (10%) and offshore oil and gas production (6%) (Vaughan et al., 2016). Therefore it is not surprising that VOCs show less of a reduction during the lockdown than NO<sub>2</sub>. When examining O<sub>3</sub> it can be useful to look at the total VOC loading and OH reactivity (k'). Figure S9-S10 shows total VOC loading in ppb and total OH reactivity for each day in 2020, with colours showing the percentage change from the previous three years for that day. A full analysis of the behaviour of different VOCs during the lockdown period is beyond the scope of this work, and it is unlikely that the measurements made at the AURN sites cover all VOCs that contribute to OH reactivity (e.g. few oxygenated compounds or larger VOCs are measured). Our focused analysis shows that while the picture is not straightforward, there is an apparent decrease in total VOCs at both sites compared to previous years. Mean values for total VOCs at Marylebone Road were 17% lower and the corresponding k' 15% lower than the 2017-2019 mean. At Eltham total VOCs saw a decrease of 10%, with a slight increase in the total k', largely driven by an increase in biogenically emitted isoprene.

Figure 28 shows daytime mean (10:00 – 18:00) isoprene data and its contribution to k' at two sites in 2019 and 2020 (the only years where reliable isoprene data is available). Observed isoprene was a factor of two higher at both Marylebone Road and London Eltham during April and May 2020 compared to those months in previous years. Isoprene represents only a small contributor to OH reactivity at Marylebone Road, but at Eltham in 2020 it represents around 25% of total k'. Biogenic emissions of isoprene, originating from a variety of trees and shrubs, are driven in part by temperature and so it is perhaps not surprising that isoprene levels at the London sites were higher in 2020 compared to previous years 2019 due to the temperature increases described above. Further detailed chemical modelling studies, beyond the scope of this study, are required to assess in detail the chemistry behind O<sub>3</sub> formation and how this has been affected by the lockdown, however it is clear we observe that O<sub>3</sub> has increased across the UK and see a clear anti-correlation with a decrease in NO<sub>2</sub> across the sites. due to the reduction in NO<sub>3</sub>. We also see with an increase in total O<sub>x</sub> at Urban Background sites in the South of England. This is likely due to increased radiation and biogenically emitted VOCs compared to previous years, things that are unlikely to be linked to the COVID-19 lockdown.

## 4.2 Exceedances.

To put the changes in air pollutants in context with human health effects we have examined the number of exceedances of UK air quality objectives (Defra, 2019) and EU directive limits (EEA, 2016) for both NO<sub>2</sub> and O<sub>3</sub> in 2020 compared to previous years (see Table 2+). For this analysis, we have used data from the London Air Quality Network (LAQN) consisting of 9 kerbside, 52 roadside, and 25 background sites for NO<sub>2</sub> and 1 kerbside, 8 roadside and 15 urban background sites for O<sub>3</sub> in the Greater London area. London has historically had by far the largest number of air quality exceedances in the UK so this analysis allows us to see the effect of the lockdown on the city with the most acute air pollution problems.

Exceedances were calculated on a per site basis, and then summed across all sites of a given type. For  $NO_2$  a simple one-hour mean was calculated and each hour greater than 200 ug m<sup>-3</sup> was counted as an exceedance. We

calculated a rolling mean value for  $O_3$ , using a window of eight hours and a step size of one hour. If a given calendar day saw this rolling mean exceed 100 ug m<sup>-3</sup>, an exceedance was counted. Using this method multiple exceedances (contiguous or separated in time) were only counted as one to avoid ambiguity in their definition, and therefore can be thought of as "days when an  $O_3$  exceedance occurred".

Figure 109 shows the results for January May the lockdown period in 2020 and comparisons to those the same time period months in 2015—2019. We find a general downward trend of NO<sub>2</sub> exceedances at roadside and kerbside sites in London, due to the continued reduction in NO<sub>x</sub> emissions from the vehicle fleet. At kerbside, the number of exceedances dropped quickly from 11542395 in 2015 to only 28–17 in 2019. At roadside sites, exceedances dropped consistently from 221472 in 2015 to 45–13 in 2019, with almost all of the remaining 45–13 at two sites a site in Wandsworth (Putney High Street) and Strand in Westminster. In 2020, up until the end of May, there was only one NO<sub>2</sub> exceedance at sites across the LAQN network, again at Putney High Street. Because we have only analysed data up until the end of May 2020, we do not know the cumulative effect on exceedances for the year or how many exceedances will breach the 18 allowed by the air quality objective. Consequently, further analysis on data collected for the whole of 2020, including the period when lockdown restrictions were relaxed, is required to put 2020 into context of previous year. As an estimate of the effect the lockdown may have on total exceedances in 2020, we replaced the number of exceedances during the lockdown period in 2019 with the number from 2020. This resulted in a 47 % decrease (34 to 18) in total exceedances of NO<sub>2</sub> at kerbside sites and a 12 % (76 to 67) at roadside sites. As the effects of lockdown certainly extend beyond the end of the time period explored by this study, we would expect there to be less exceedances still during the remainder of 2020.

When considering any health benefits to this apparent improvement in air quality due to reduced NO<sub>2</sub> we should also consider exceedances to O<sub>3</sub> limits. The WHO has set a guideline value for ozone levels at 100 µg m<sup>-3</sup> for an 8-hour daily mean. Figure 109 also shows the total number of exceedances of this limit across kerbside, roadside and urban background sites in the LAQN network for March – May in all years from 2015 - 2020. Urban background sites have seen a consistent increase from 5 in 2015 to 278 in 2018, followed by a drop to 18 in 2019 and an increase to 356 exceedances up until the end of May in 2020. Peak O<sub>3</sub> in the UK often occurs in June and July so it will be necessary to analyse data from the whole year, alongside NO<sub>2</sub>, in order to fully assess the importance but it is clear that any perceived benefits of reduced NO<sub>2</sub>, both during the lockdown and in a lower NO<sub>x</sub> future, should be considered alongside any concurrent increase in O<sub>3</sub>.

## 4.3 Global comparison

Lockdowns to prevent the spread of COVID-19 have occurred globally and the effects of the change of emissions on NO<sub>2</sub> and O<sub>3</sub> have been observed using satellite and in situ measurements in several studies. Table 2 summarizes studies from a growing body of work that report changes in NO<sub>2</sub> and other air pollutants in countries across the world that are associated with the global COVID-19 lockdown, including satellite observations (Liu et al., 2020) and in situ measurements. These studies have used various methods to isolate the impact of the COVID-19 lockdown on changes in air pollutants from confounding factors, e.g. meteorology, using atmospheric chemistry transport models and weather normalisation techniques based on machine learning (ML) algorithms. In Europe,

reductions of NO2 are typically slightly larger than we have seen in the UK in our study, perhaps reflecting more stringent lockdown policy. In Spain, NO2 was reduced by 50% at both urban traffic and urban background sites (Petetin et al., 2020) and in Rome, Turin and Nice, NO2 was reduced by 46, 30 and 63% respectively (Sicard et al., 2020). In all of these studies similar magnitude increases of O<sub>3</sub> were observed, mainly attributed to the decreased NO. Further afield, in India TROPOMI satellite measurements showed that during the COVID 19 lockdown, there was a 18% decrease in NO2 over the whole country, with a 54% decrease over New Delhi compared to the same period in 2015-2019 (Pathakoti et al., 2020). In situ measurements in New Delhi showed a 53% decrease in NO<sub>2</sub> and a 0.8% increase in O<sub>3</sub> for the lockdown period compared to the 2 weeks immediately preceding it. In Rio de Janeiro, Brazil, there was a 24-33% decrease in NO2 during the lockdown compared to the week before (Dantas et al., 2020) and in Sao Paulo data from urban roadside sites showed a 54% decrease in NO2 eompared to the previous 5 years (Nakada and Urban, 2020). In China, satellite observations showed a mean NO2 decrease of 21% decrease across the whole country, relative to a similar period in 2015-2019 (Bao and Zhang, 2020). In situ measurements in cities in northern China measurements before and after lockdown showed a 53% decrease in NO2 (Shi and Brasseur, 2020) and in situ measurements in cities across the whole of China showed a 60% decrease in NO<sub>2</sub> comparing 1 24th January 2020 and 26th January 17th February 2020 (Huang et al., 2020). Both these two studies also reported a > 100% increase in O<sub>2</sub>. These studies were both during wintertime so the O<sub>3</sub> increase was largely attributed to the reduction on NO emissions reducing titration of O<sub>3</sub> to NO<sub>2</sub>, however the possible effect of reduced particles on UV radiation and hence O<sub>3</sub> production was also considered to have led to some of the increased O<sub>3</sub>. Le et al, 2020 use satellite data to show a 71.9% decrease in NO<sub>2</sub> and 93% decrease in Wuhan at the peak of the outbreak. They also report a 25.1% increase in O3 in Wuhan, largely attributed to a reduction in titration with NO. In the USA one study using EPA data showed a mean decrease of 30% of NO2 in urban areas of Seattle, Los Angeles and New York during the lockdown. The study did not show any consistent change in O<sub>3</sub> levels (Bekbulat et al., 2020).

# **5 Summary and conclusions**

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We examined  $NO_2$  and  $O_3$  measurements from urban traffic and urban background sites across the UK during the COVID-19 lockdown period in 2020 ( $23^{rd}$  March –  $31^{st}$  May). We compared data to the detrended average from the previous five years in order to assess how these air pollutants have changed as a result of the reduced activity caused by the nationwide lockdown.  $NO_2$  decreased by an average of 48% and 40% at urban traffic and urban background sites, respectively. This is in broad agreement with the expected reduction based on the reduction in traffic and the proportion of  $NO_x$  in the UK that comes from vehicles. For  $O_3$ , we find that values increased on average by 11% at urban background sites and by 48% at the three urban traffic sites. Total  $O_x$  increased by 3% on average, suggesting the majority of the increase in  $O_3$  is due to photochemical repartitioning as  $NO_x$  is decreased. However there are difference across the UK, with the southern cities London, Bristol and Cardiff showing a 5% increase in  $O_x$  and Newcastle, Belfast and Glasgow showing only a slight decrease in  $O_x$ . Whilst anthropogenic VOCs are slightly decreased during the lockdown, we find some evidence that suggests that biogenic VOCs such as isoprene are higher due to warmer temperatures and higher UV levels across southern UK in 2020 compared to previous years; we find no evidence to suggest that higher UV levels were due to cleaner skies related to air pollution changes due to the lockdown. Analysis of exceedances of air quality objectives in London for  $NO_2$  and  $O_3$  show that whilst there has been a decrease in exceedances of the  $NO_2$  objective, this has

come alongside an increase in O<sub>3</sub> exceedances. If we are to take the COVID-19 lockdown as an analogue of how air quality will respond to future reductions in emissions from vehicles (e.g. over the next 10-20 years), then observations show that there could be a corresponding increase in O<sub>3</sub> which should be considered in any air quality abatement strategy, then this serves as a warning that O<sub>3</sub> must also be considered. In China, NO<sub>x</sub> reductions have led to increases in O<sub>3</sub> (Li et al., 2019a; Ma et al., 2016; Sun et al., 2016) and therefore air quality abatement strategies are being developed in order to offset this, largely by also controlling VOCs (Li et al., 2019b; Le et al., 2020). These changes are attributable to photochemical processes (e.g. the reduction in particles causing increased radiation and photochemistry), however our study shows that a large reduction in NO<sub>3</sub>, directly causes an increase in O<sub>3</sub> due to a reduction in titration with NO. –In addition, a warming climate is likely to causemay lead to increased emissions of biogenic VOCs, further adding to the O<sub>3</sub> burden. Therefore it will be vital to control anthropogenic VOCs in the UK to avoid any health gains made by the reduction of NO<sub>2</sub> being offset by O<sub>3</sub> increases.

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## Data availability

The AURN data is all available for public download from the UK-AIR website (uk-air.defra.gov.uk). The LAQN data is available from the LondonAir website (londonair.org.uk). UVA data is available on request from Public Health England.

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## **Author contribution**

WSD and DPF carried out the data analysis and designed the figures. JDL and PIP wrote the manuscript with input from WSD and DPF. SEW designed and created figures and reviewed the manuscript.

## 540 Competing interests

The authors declare no conflict of interest.

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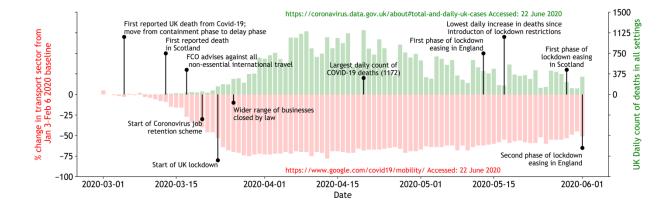
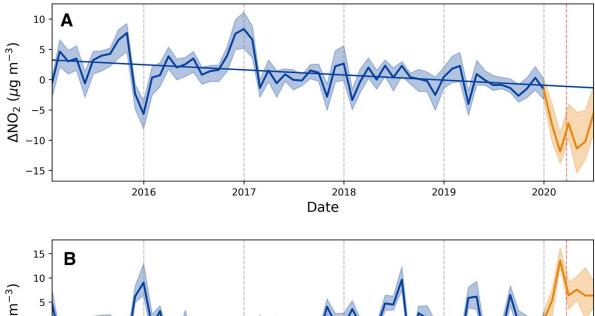


Figure 1: Schematic of the timelines involved with daily mortality values attributed by the UK government to COVID-19, and changes in mobility from the transport sector (inferred from Google location data on smartphones) compared to a reference period (3 Jan- 6 Feb, 2020) before the lockdown period. Also included are key dates that describe the run up and evolution of the UK lockdown. Data acknowledgements are shown inset of the plot.



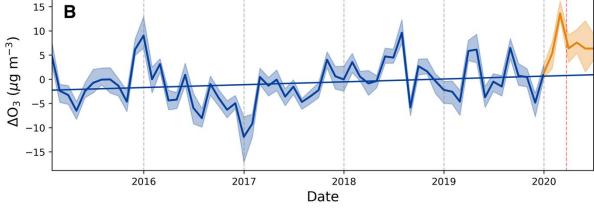


Figure 2: Mean relative change of dDeseasonalized UK mean-values of a)  $NO_2$  and b)  $O_3$  for all urban background and traffic sites from 2015 to 2020, with the mean 2015 -2019 trend superimposed. Data from 2020 is shown in orange, with the red dashed line denoting the start of the lockdown on 23th March 2020. The 25<sup>th</sup> – 75<sup>th</sup> percentile is shown by the shaded area.

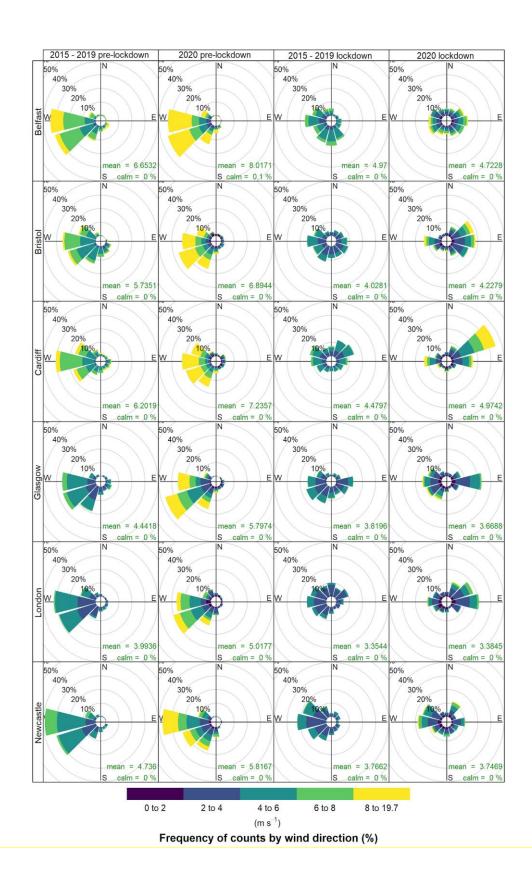


Figure 3: Average wind roses for 6 cities for pre and post lockdown period and lockdown period 2015-2019 and 2020. Data used is modelled using the UK Met Office Unified Model.

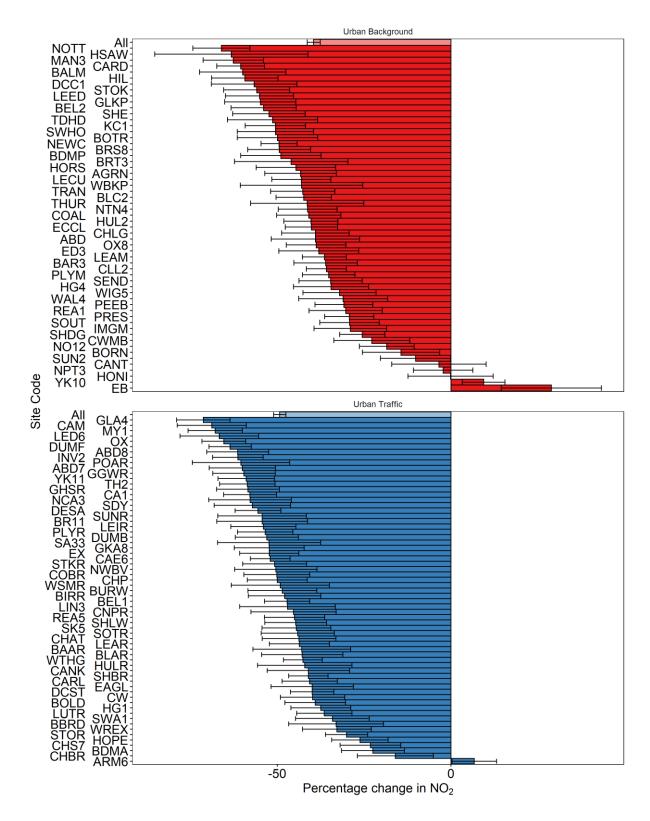


Figure 4: Percentage change in  $NO_2$  at all urban background and urban traffic sites for the lockdown period (23<sup>rd</sup> March – 31<sup>st</sup> May) in 2020 compared to the same period averaged across the previous 5 years, after removing site-dependent trends. The lighter coloured bar at the top shows the average of all sites. Site acronyms can be found in the SI.

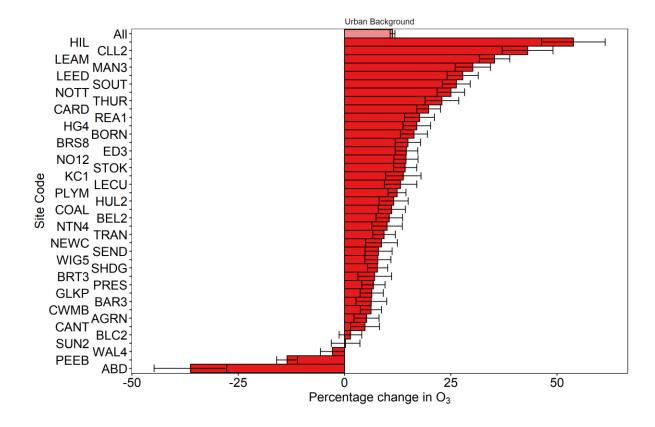


Figure 5: Percentage change in  $O_3$  at all urban background sites for the lockdown period ( $23^{rd}$  March –  $31^{st}$  May) in 2020 compared to the same period averaged across the previous 5 years, after removing site-dependent trends. The lighter coloured bar at the top shows the average of all sites. Site acronyms can be found in the SI.



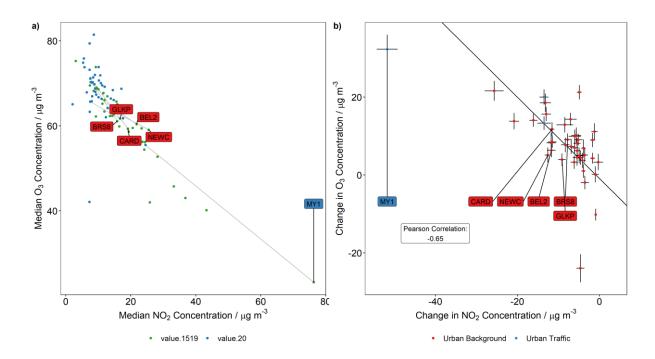


Figure 6: (a) shows median  $O_3$  concentration plotted against median daily  $NO_2$  concentration for each site. 2020 and the average of 2015-2019 data are coloured blue and green respectively. (b) shows change in  $O_3$  concentration between 2020 and the average of 2015-2019 plotted against the change in  $NO_2$  concentration for the same time period. Labelled are sites from six cities across the UK.

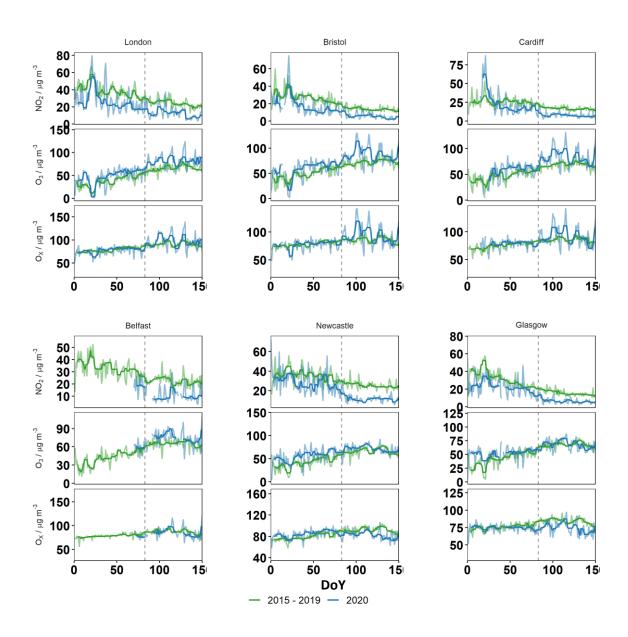


Figure  $\overline{26}$ : Daily median time series of NO<sub>2</sub>, O<sub>3</sub> and O<sub>x</sub> (NO<sub>2</sub> + O<sub>3</sub>) for 2020 and the average of 2015-2019 at urban background sites in 6 cities representing a geographical and political spread across the UK. The thick line represent 7 day rolling mean. The hashed grey line indicates the start of the lockdown period.

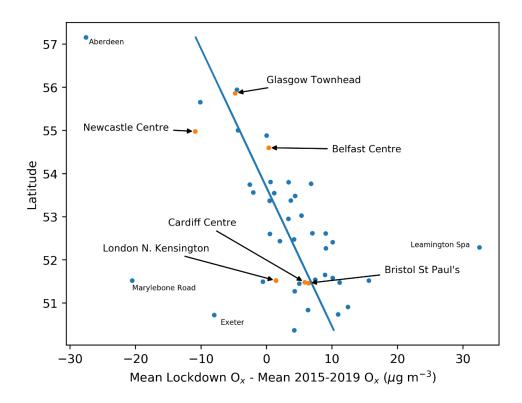
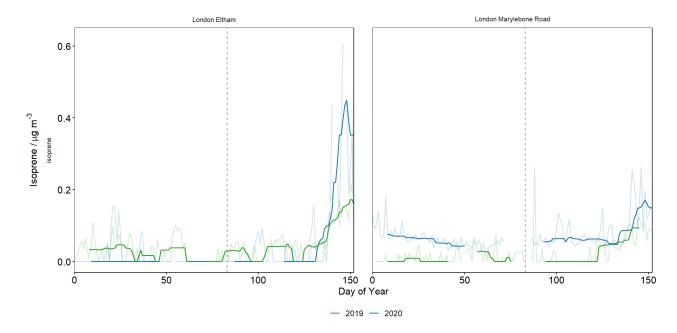


Figure  $\underline{87}$ : Difference in mean (a)  $O_x$  ( $\mu g$  m<sup>-3</sup>) and  $\underline{(b)}$  Temperature between the lockdown period and the detrended mean of the same period from 2015 to 2019 for urban background sites as a function of latitude. Sites examined in figure 6 are highlighted in orange.

**a.**)



**b.**)

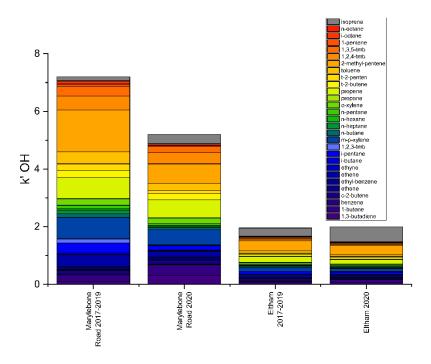


Figure 98: (a) Levels of isoprene at the London Eltham (urban background) and London Marylebone Road (urban traffic) sites in 2020 and the average of 2017–2019. (b) shows the contribution of isoprene (grey slice) and other VOCS to total OH reactivity (k') for each site for 2017–19 compared to 2020.

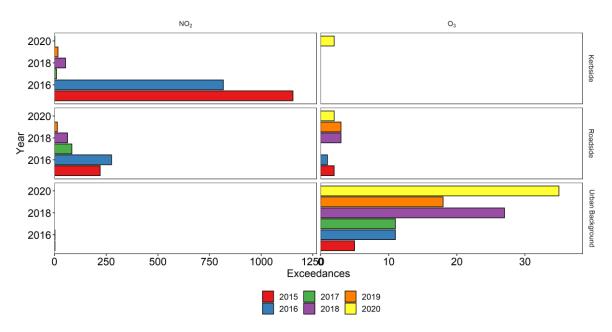


Figure  $\underline{109}$ : Exceedances of the UK air quality objectives for  $NO_2$  and  $O_3$  across the London Air Quality Network.

# **Tables**

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Table  $\underline{24}$ . UK Air quality objectives (Defra, 2019). Note that the UK has adopted the EU NO<sub>2</sub> limit as a part of its air quality objectives, but improves upon the O<sub>3</sub> obligations where O<sub>3</sub> must not exceed 120 ug m<sup>-3</sup> more than 25 times per year in a given 3 year window (EEA, 2016).

Pollutant	Limit / ug m <sup>-3</sup>	Measured as	Allowed annual exceedances	
NO <sub>2</sub>	200	1 hour mean	18	
$O_3$	100	8 hour mean	10	

Table 12: Summary of previous measurements.

Focus region	Observed change in NO <sub>2</sub> (NO)	Observed change in O <sub>3</sub>	Comments	Reference
UK	` '			
UK wide	48% at Urban Traffic, 41% at Urban Background	11% increase at urban background sites	Changes relative to detrended lockdown period 2015-2019.	This study
UK wide	-30 to -50% in urban areas	Increase mostly explained by reduced NO	UK government (Defra) synthesis report describing contributions from 50 individual responses. Data submitted up to 30 <sup>th</sup> April 2020	https://uk- air.defra.gov.uk/li brary/reports.php? report_id=1005
Europe				
Greece	-22% for March and April 2020 compared to 2019		TROPOMI monthly mean tropospheric nitrogen NO <sub>2</sub> observations used.	Koukouli et al. (2020)
France	-63% (-71%) Nice	+24% Nice		Sicard et al. (2020)
Italy	-46% (-69%) Rome, -30% (- 53%) Turin	+14% Rome, +27% Turin		Sicard et al. (2020)
Spain	Mean changes over all three phases of the lockdown: -4.1 ppb (-50%) for background urban and -6.3 (-50%) for traffic sites.		Used a ML approach to determine deviation from BAU NO2, trained using 2017-2019 data from background and traffic surface AQ monitoring sites. Study considers all three phases of lockdown up to 24 <sup>th</sup> April 2020.	Petetin et al. (2020)
Spain	-69% Valencia	+2.4% Valencia	Hourly data provided by local and regional agencies. Changes relative to 2017-2019. All sites noted a decrease before the lockdown. Larger reductions observed at traffic sites.	Sicard et al. (2020)
International				
Brazil	-24-33% compared to 2019		Study over Rio de Janeiro used data from automatic monitoring station run by Municipal Department of the Environment. Study period is from March 2 <sup>nd</sup> to April 16 <sup>th</sup> 2020, with lockdown on 23 <sup>rd</sup> March 2020.	Dantas et al. (2020)
Brazil	-54% (-77%) on urban roads	+30%	Study over Sao Paulo using three in situ AQ sites. Changes relative to similar periods from previous five-year mean.	Nakada and Urban (2020)
China	-25%		Study of data from 44 cities in northern China from 1 <sup>st</sup> January to 21 <sup>st</sup> March 2020. Lockdowns started on 23 <sup>rd</sup> January in Wuhan with other cities following soon afterwards. Linear regression was used to determine BAU.	Bao and Zhang (2020)
China	-21%		Study used satellite observations of tropospheric NO <sub>2</sub> data over China.	Liu et al. (2020)

			Decrease relative to similar period during 2015 to 2019.	
China	-53%	+100%	Study focused on northern China using in situ measurements. Data compared before and after lockdown.	Shi and Brasseur (2020)
China	-57% (-62%)	+36.4%	Study over Wuhan.	Sicard et al. (2020)
China	-60%	>+100%	Used in situ measurements across China. Differences between 1-24 <sup>th</sup> January and 26 <sup>th</sup> January-17 <sup>th</sup> February 2020.	Huang et al. (2020)
China	-71.9%	+25.1%	TROMPI measurements over Eastern China and Wuhan, compared to previous 5 years.	Le et al. (2020)
India	-18% from previous 5- year mean; over New Delhi -54%		Used satellite observations of NO2 from TROPOMI, relative to same period 2015-2019.	Pathakoti et al. (2020)
India	-53% over New Delhi compared to before lockdown.	+0.8% over New Delhi compared to before lockdown.	Using 34 monitoring in situ monitoring stations over New Delhi. Study compare pre-lockdown period 3 <sup>rd</sup> -24 <sup>th</sup> March and during lockdown period 24 <sup>th</sup> March-14 <sup>th</sup> April 2020.	Mahato et al. (2020)
Kazakhstan	-35%	+15%	Study over Akmaty using data from a similar previous period from 2018-2019. Data from the Airkaz publics AQ monitoring network.	Kerimray et al. (2020)
Morocco	-96%		Study over Salé City, Morrocco using urban in situ data.	Otmani et al. (2020)
USA	-30%	Weak, inconsistent response	Used EPA data.	Bekbulat et al. (2020)