



1	Organized Variations in MBL Cloud Microphysical Properties Observed by
2	Aircraft and Satellite and Simulated by Model
3	
4	Dale M. Ward ¹ , Xiquan Dong ¹ *, Baike Xi ¹ , Peng Wu ¹ , Xiaojian Zheng ¹ and Yuan Wang ^{2,3}
5	
6	1. Department of Hydrology and Atmospheric Sciences, University of Arizona, Tucson, AZ,
7	85710
8	2. Division of Geological and Planetary Sciences, California Institute of Technology,
9	Pasadena,15 CA 91125, USA
10	3. Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA
11	
12	
13	
14	Submitted to ACP Special Issue: Marine aerosols, trace gases, and clouds over the north
15	Atlantic, August 3, 2020
16	
17	
18	*Corresponding author address: Dr. Xiquan Dong, The Department of Hydrology and
19	Atmospheric Sciences, University of Arizona, 1133 E. James Rogers Way, PO Box 210011,
20	Tucson, AZ 85721-0011, USA. Email: xdong@email.arizona.edu; Phone: 520-621-4652.
21	





22 Abstract

Marine boundary layer (MBL) clouds in subtropical regions strongly impact global energy 23 balance, but complete understanding of the processes that control their microphysical properties 24 remain elusive. We analyze aircraft in-situ measurements of MBL clouds for two selected cases 25 26 from the ACE-ENA field campaign that contain mesoscale convective cells (MCCs) on the order of tens of kilometers embedded in the large-scale overcast cloud field. The aircraft flight tracks 27 28 aligned with the MCC organization, such that vertically-stacked, horizontal flight legs alternated between sampling clouds along organized MCCs and sampling clouds between MCCs. This 29 30 alignment is well-suited to study the distinctly different microphysical properties for the two cloud regimes. Clouds within organized MCCs had lower droplet concentrations, but larger droplet sizes 31 and liquid water contents with enhanced drizzle relative to clouds between MCCs. While observed 32 aerosol properties below these two cloud regimes are generally consistent with their corresponding 33 34 cloud microphysical properties, preexisting organization of the aerosol field was probably not required in the development of the MCC organization. In contrast, the lower aerosol and CCN 35 concentrations observed below the MCC cloud layer most likely developed from precipitation and 36 coalescence scavenging. A cloud-resolving WRF model simulation with realistic large-scale 37 forcing reproduces the MCC organization of the cloud field suggesting that updraft velocity is the 38 key to explain the differences in cloud microphysics. Both observations and model simulations 39 indicate that under moderate-heavy drizzling conditions, precipitation and coalescence scavenging 40 dominates and drives spatial gradients of cloud droplets, aerosols and CCN concentrations rather 41 42 than local sources.





43 1. Introduction

Owing to their substantial role in the Earth's radiation budget, and consequently, their effect on 44 the Earth's climate, low-level stratiform clouds have been a topic of considerable interest since the 45 publication of the classic paper describing their physics (Lilly, 1968). Marine boundary layer 46 47 (MBL) clouds in subtropical regions strongly influence the regional and global climate system (e.g., Klein and Hartmann, 1993). Over the ocean, MBL clouds are common with a strong 48 temperature inversion at the top of the MBL, which provides conditions favorable for MBL cloud 49 formation (Lilly, 1968). These MBL clouds are maintained by vertical mixing, which is primarily 50 51 due to the strong longwave radiative cooling at the cloud top generating turbulence to provide an upward moisture flux from the ocean surface (Albrecht et al., 1995; Rémillard et al., 2012; Wood, 52 2012; Wood et al., 2015). 53

The climatic importance of the MBL cloud microphysical and macrophysical properties, 54 particularly the cloud fraction (CF), cloud-droplet effective radius (r_e) , number concentration (N_c) , 55 and liquid water content/path (LWC/LWP), is widely recognized. Climate models disagree 56 substantially in the magnitude of cloud feedback for the regimes of subtropical MBL clouds (Bony 57 58 et al. 2005 and 2006, Lohmann et al. 2005), and suffer from the so-called 'too few, too bright' 59 problem (Allan et al., 2007, Nam et al., 2012, Webb et al., 2013, IPCC 2013). The 'too few' problem, an underestimate in cloud amount, allows more solar radiation to reach the surface. The 60 'too bright' problem, an overestimate in cloud albedo due to an overestimate in the amount of 61 62 liquid water within the cloud, causes more sunlight to be reflected. It is therefore imperative to have more accurate MBL cloud properties through long-term ground-based observations, as well 63 as aircraft in situ measurements, so that we can improve their representation in climate models. 64

65 Aerosol-cloud-precipitation interactions are a significant source of uncertainty for MBL clouds (e.g., Wood, 2012). Aerosol generation resulting from natural and anthropogenic activities is 66 67 expected to have considerable, far-reaching effects on cloud development and the hydrological cycle. Though the aerosol direct effect can simply be thought of as a reduction of incoming solar 68 69 radiation reaching the Earth surface, the aerosol indirect effect (AIE) involves a complex set of 70 aerosol-cloud-precipitation interactions. AIEs include the alteration of cloud properties such as 71 cloud lifetime, droplet size distribution, liquid water content and path (LWC, LWP), cloud optical depth (COD), and albedo (Penner et al., 2004; Dong et al., 2005, 2006, 2014; Ghan et al., 2016). 72





Several studies indicate that MBL clouds under the regions of relatively higher sub-cloud aerosol concentrations have reduced r_e , increased N_c , and enhanced *LWC/LWP* and COD than clouds under clean regions (Twohy et al., 2005; Lu et al., 2007; Dong et al., 2015). However, recent observational studies, e.g., Toll et al. (2017), indicate that the *LWP* response to increased aerosol concentrations is bidirectional and depends upon a host of different meteorological parameters.

The Eastern North Atlantic (ENA) is a region of persistent subtropical MBL clouds (Dong et al., 78 79 2014). The region is commonly covered by large swaths of stratocumulus cloud sheets. The stratocumuli commonly form to the east of the position of the subtropical ridge in a region of large-80 81 scale subsidence. Synoptic scale variability in low cloud cover has been associated with changes in the strength and position of the subtropical high (Wood, 2012). Even within synoptic regions 82 with fully overcast low-level stratocumulus cloud decks, small scale organized mesoscale 83 convective cells (MCCs) can develop (Miller et al. 1995). The Aerosol and Cloud Experiments in 84 85 the Eastern North Atlantic (ACE-ENA) field campaign was conducted during two intensive observation periods (IOPs): early summer 2017 (June 21 to July 20) and winter 2018 (January 11 86 to February 20) (Wang et al., 2019; Wu et al., 2020). The DOE Atmospheric Radiation 87 Measurement (ARM) Aerial Facility (AAF) Gulfstream-159 (G-1) research aircraft flew from 88 Terceira Island in the Azores during IOPs. There were 20 flights during the summer 2017 IOP and 89 19 flights during the winter 2018 IOP. There are approximately 158 total hours with aircraft in-90 situ measurements during ACE-ENA IOP (Wang et al., 2019; Wu et al., 2020). 91

92 Two cases, July 18, 2017 and January 25, 2018, were selected during the ACE-ENA for this study. The aircraft in-situ measurements and satellite observations for the selected two cases reveal that 93 there are significant, organized MCCs over an area of about 600 km². The aircraft flight patterns 94 were L shaped with one leg aligned with the cloud-level wind direction and the other leg across 95 the cloud-level winds. In this study, we focus on these two cases in which aircraft sampled two 96 distinct cloud regimes due to the alignment of the flight path with the mesoscale organization of 97 the cloud field. In both cases, a portion of the flight path aligned with an organized band or MCC 98 with enhanced drizzle, while the other portion of the flight path mostly crossed different organized 99 bands or MCCs with light drizzle. The terms along-wind and cross-wind are used throughout this 100 paper to distinguish the two different spatial regions sampled over the entire flight path. These 101





names are not meant to imply a causal link between the wind or flight direction and the observeddifferences in cloud microphysical properties.

In this study, we explore the large differences in cloud microphysical properties for two distinct cloud regimes embedded within large-scale overcast stratocumulus clouds. We further investigate the causes for the different MBL cloud microphysical properties for the July 18 case using a cloudresolving WRF (CR-WRF) model simulation with realistic large-scale forcing. Section 2 specifies the in-situ aircraft data, satellite data, reanalysis meteorological data, and the CR-WRF model run used in this study. Results are shown in section 3 followed by a brief summary and discussion in section 4.

111 2. Data and Model

The aircraft data used in this study come from the ACE-ENA field campaign collected on July 18, 2017 and January 25, 2018. Cloud and drizzle microphysical properties are derived from measurements made by the Fast Cloud Droplet Probe (FCDP) and the 2-Dimensional Stereo (2DS-V) probe at 1 Hz. Microphysical parameters are computed each second using observations of droplet size distributions (DSDs) over a range of particle size bins. Aircraft position is provided by the Aircraft-Integrated Meteorological Measurement System (AIMMS-20).

Cloud microphysical properties are computed from FCDP observations of droplet concentrations 118 in 18 discrete size bins, which include droplets with diameters ranging from 1.5 to 46 µm. Drizzle 119 microphysical properties are computed from 2DS-V observations of droplet concentrations in 40 120 size bins, which includes drops with diameters ranging from 45 to 975 µm. An observation is 121 considered to contain cloud if the cloud droplet number concentration (N_c) is greater than 5 cm⁻³ 122 123 and drizzle if the drizzle droplet number concentration (N_d) is greater than 0.01 cm⁻³. Only observations verified to contain cloud or drizzle are included in the results presented. The cloud 124 125 condensation nuclei (CCN) concentrations below cloud base and above cloud top measured by the Dual-Column CCN Counter were used to determine the number concentration of activated CCN 126 at two supersaturation levels (S=0.15% and S=0.35%) at 1 Hz. In this study, the CCN 127 concentrations (N_{CCN}) at S=0.15% are used. The aerosol concentration below the cloud layer was 128 observed by the Passive Cavity Aerosol Spectrometer (PCASP). The PCASP has 30 size bins, 129 which include particle diameters ranging from 100 to 3200 nm. We used the PCASP observed 130 aerosol concentrations rather than the Fast Integrated Mobility Spectrometer (FIMS) and the 131





Condensation Particle Counter (CPC) because the data have fewer spikes, its size range spanning
the accumulation size mode is a good proxy for condensation nuclei, and it is an external probe,
which alleviates concerns about processing time delay.

In addition to aircraft in-situ measurements, concurrent satellite observations and retrievals are used in this study in order to investigate the spatiotemporal variations of MBL cloud properties. The MBL cloud optical depth τ and cloud-droplet effective radius r_e retrieved from the Meteosat-9 satellite operated by the European Organization for the Exploitation of Meteorological Satellites (EUMETSAT) were used to look for patterns in the large-scale horizontal MBL cloud structures (Minnis et al., 2011). The large-scale meteorological conditions are obtained from the ERA5 reanalysis.

The Weather Research and Forecast (WRF) model version 3.6 is employed in this study to simulate 142 143 the case on July 18, 2017. Four nested domains are used with a horizontal resolution of 19.2 km, 4.8 km, 1.2 km, and 300 m, respectively. The innermost domain is configured as a quasi large-144 eddy simulation with the 3D Smagorinsky first-order closure for eddy coefficient computation. 145 The 65 stretched sigma levels are used with a 40-m vertical resolution in PBL. The large-scale 146 147 forcing is adopted from ERA5 reanalysis (25 km resolution). To accurately depict cloud 148 microphysical processes in the MBL cloud, a spectral bin scheme is employed which utilizes 33 bins to represent cloud/rain drops and aerosols separately (Wang et al., 2013). The model integrates 149 from 12:00 UTC, July 17 to 00:00 UTC, July 19 and the first half day is considered as spin-up. 150 151 Shortwave and longwave radiation transfer calculations are accounted for by the Goddard and RRTM schemes, respectively. 152

153 **3. Results and Discussion**

154 **3.1 Cloud Results**

Figure 1 shows the horizontal and vertical flight paths on July 18, 2017 and January 25, 2018 over the time that cloud data were collected for this study. In both cases, the aircraft flew at several nearly constant altitude paths (horizontal legs) spanning from near cloud base to near cloud top. The horizontal flight legs were vertically stacked, repeating L shaped patterns. In both cases, one arm of the L was closely aligned with the cloud-level wind direction and motion, while the other arm was across the cloud-level wind direction. Horizontal legs in the along-wind direction are





161 depicted in blue, while horizontal legs in the cross-wind direction are red. Each of these horizontal segments contains about 270 one-second observations of the DSD and is about 25 km in length 162 using a representative aircraft speed of 90 ms⁻¹. The designations along-wind and cross-wind are 163 simply used to separate the data collected along each direction. These names are not meant to 164 imply a causal link between the wind or flight direction and the observed differences in cloud 165 microphysical properties. However, what initially caught our attention was the distinctly different 166 cloud microphysical properties observed in the along-wind flight legs compared with the cross-167 wind flight legs in the July 18 case. We later identified January 25 as a similar case observed 168 during the winter IOP. Before detailing the distinctly different cloud microphysical properties 169 along each flight leg direction, we will briefly look at the large-scale synoptic patterns from these 170 171 two days.

172 The synoptic patterns at cloud altitude are shown in Figure 2. On July 18, the observation area was 173 in between a high-pressure system well to the west-southwest and a low-pressure system to the northeast. The prevailing wind at 900 hPa was northwesterly. On January 25, the center of the 850 174 hPa high was just a few hundred km southwest of the observation area, and the prevailing wind 175 was north-northeasterly. The pressure gradient and hence cloud level winds are stronger in July. 176 Mean wind speeds can be estimated from in-situ observations on the aircraft and the interpolated 177 sounding at ENA site by averaging the wind speed over the cloud altitude range and observation 178 time. The mean cloud-level wind speed on July 18 was 7.7 ms⁻¹ from aircraft measurements and 179 7.5 ms⁻¹ from the interpolated sounding. The mean cloud-level wind speed on January 25 was 2.9 180 ms⁻¹ from aircraft and 0.84 ms⁻¹ from the interpolated sounding. The wind arrows in Fig. 2 are 181 fixed size and do not indicate wind speed. 182

Satellite retrievals of COD provide a large-scale view of cloud horizontal structures. Figure 3 shows the satellite-retrieved COD on July 18 at 10:00 and 10:30 UTC. Both images show bands with enhanced COD that roughly line up with the cloud-level wind direction (NW – SW). Between these bands, COD is reduced. Examination of the half-hourly satellite retrievals of COD over this region from 9:00 to 11:00 UTC indicates that the cloud field tended to organize into bands of higher COD that lined up along the prevailing winds. Between 10:00 and 10:30 UTC, as the aircraft was sampling the middle and upper portions of the clouds, a band of enhanced COD is aligned





with the along-wind flight track, while the cross-wind flight track flew through several bandsincluding their edges and centers with relatively large variations in COD.

- Figure 4 shows the satellite retrieved COD on January 25 at 12:00 and 12:30 UTC. Again, there 192 are horizontal structures in the retrieved COD, but it is difficult to make out a dominant pattern of 193 194 banded structures as observed on July 18. Examination of the half-hourly satellite retrievals of COD over this region from 11:00 to 1:00 UTC indicates that areas of enhanced COD tend to move 195 196 slowly along the prevailing northerly winds, though there is also dissipation and strengthening of cloud elements happening. Between 12:00 and 12:30 UTC, as the aircraft was sampling the middle 197 198 and upper portions of the clouds, a region of enhanced COD covered much of the along-wind flight track, while most of the cross-wind flight track was covered by a region of much lower COD. This 199 is consistent with the lower percentage of 1 Hz in-situ measurements in the cross-wind flight track 200 201 that met the cloud identification criteria in the middle of the clouds depicted in Fig. 1d.
- The vertical thermodynamic structures obtained from the ARM interpolated sounding product are shown in Figure 5. The atmosphere is well mixed below the cloud base with a strong temperature inversion and drying immediately above the cloud top on both days, which is common for this region. The lower atmosphere contains much more water vapor on July 18 with a lower cloud base (~600 m) compared to January 25 (~1300 m). The mean cloud thickness is slightly greater on July 18 (~400 m) compared to January 25 (~300 m).
- 208 Figures 6 and 7 show that there are large systematic differences in the aircraft in-situ measured cloud and drizzle microphysical properties between the along- and cross-wind legs on July 18 and 209 January 25, respectively. Cloud microphysical properties are computed from each measurement 210 of the FCDP droplet size distribution (DSD) from 1.5 to 46 µm. Mean cloud properties are 211 212 computed by averaging all 1 Hz measurements that meet the cloud present criteria ($N_c > 5 \text{ cm}^{-3}$) along each defined horizontal leg. Drizzle microphysical properties are computed from each 213 214 measurement of the 2DS-V drop size distribution from 45 to 975 µm. Mean drizzle properties are computed by averaging all 1Hz measurements that meet the drizzle present criteria ($N_d > 0.01$ cm⁻ 215 216 ³) along each defined leg.
- On July 18 (Figure 6), the mean cloud-droplet radius (r_c) and cloud liquid water content (LWC_c) increase with altitude on both the along- and cross-wind sides as expected, but their means at each leg altitude are quite different. Mean N_c is largest in the middle of the clouds and decreases toward





220 cloud top probably due impacts from cloud top entrainment and growth of cloud droplets to drizzle drops. The along-wind side has a larger mean r_c and LWC_c , but lower N_c than the cross-wind side 221 at each leg altitude. The vertical distributions of drizzle drop radius, r_d , and number concentration, 222 N_d , are opposite to their cloud counterparts with high concentrations of small drizzle drops near 223 the cloud top and fewer, but larger drizzle drops near the cloud base. The highest N_d near the cloud 224 top was converted from cloud droplets through the autoconversion process (Wood, 2005) and 225 additional water vapor through the evaporation of smaller cloud droplets during cloud-top 226 227 entrainment. These drizzle drops fall when the gravitational force exceeds the buoyancy force and grow further by collecting cloud droplets and small drizzle drops through the collision-coalescence 228 process. As drizzle drops fall, accretion becomes increasingly important (Wood, 2005). Figure 6 229 also shows that more drizzle is being generated on the along-wind side as r_d , N_d , and LWC_d are 230 generally larger at the same leg altitudes throughout the clouds. The mean vertical profiles of the 231 232 drizzle microphysical properties within the cloud vary generally as expected for both legs. 233 Specifically, r_d decreases but N_d and LWC_d increase with altitude.

Figure 7 from January 25 is similar to Fig. 6 in that the profiles of the mean cloud and drizzle microphysical properties vary generally as expected with altitude. It is also similar in that the along-wind side has a lower N_c , but larger r_c and LWC_c with more drizzle than the cross-wind side. One difference is that the N_c is much smaller in the middle of the cloud on January 25. This is consistent with lower aerosol and CCN concentrations and less water vapor below the cloud layer on January 25 as described later.

Figures 8 and 9 show the differences between the cloud microphysical properties computed each 240 second from the along- and cross-wind measurements of the DSD in the middle portion of the 241 clouds on July 18 and January 25. Restricting in-situ measurements to the mid-cloud horizontal 242 legs reduces the impact of cloud edge effects. Figures 8a and 9a show the mean DSDs along 243 horizontal flight legs at approximately 850 m and 1380 m in altitude, respectively. The mean cloud 244 DSDs based on FCDP observations extend from 1.5 to 45 μ m and the mean drizzle DSDs based 245 on 2DS-V observations extend from 45 to 975 µm. In both cases, there are higher concentrations 246 of smaller cloud droplets with diameters less than 20 to 25 µm observed on the cross-wind side, 247 and higher concentrations of larger cloud droplets and drizzle drops on the along-wind side. Large 248





cloud droplets ($r_c \sim 20 \ \mu m$) on the along-wind side in both cases provide embryonic drizzle droplets that grow to drizzle-sized drops through the collision-coalescence process near the cloud top.

The scatterplots in Fig. 8 for July 18 show almost bi-modal type differences between the along-251 and cross-wind observations. Generally, the along-wind observations have relatively tighter 252 253 clusters with smaller N_c , but larger r_c and LWC_c than the cross-wind observations. The scatterplots in Fig. 9 for January 25 have much more overlap between the along- and cross-wind observations. 254 255 But there is a cluster of along-wind observations with relatively lower N_c , larger r_c and higher LWC_c where no cross-wind observations fall. The larger r_c and higher LWC_c along-wind sides on 256 257 both days most likely result from stronger updrafts and low-level moisture convergence, which is 258 more likely to lead to drizzle formation. Further investigation this point is carried out by running a WRF model simulation, which is included section 3.3. The next section discusses cloud-aerosol 259 interactions using observed aerosol data. 260

261 3.2 Aerosol Discussion

A plausible explanation for some of the differences in cloud properties might be different aerosol 262 263 concentrations in along- and cross-wind sides. For example, fewer available CCN in the alongwind direction could result in lower N_c and large r_c (Platnick and Twomey, 1994; Painemal et al., 264 2015). The mean aerosol concentrations in the along- and cross-wind legs are similar to their 265 corresponding N_c values in the middle of the cloud layer (80 cm⁻³ and 120 cm⁻³) as shown in Figure 266 267 6b, while the mean CCN concentrations are greater and lower, respectively, than their N_c values near the cloud base (40 cm⁻³ along and 65 cm⁻³ cross). This is possible since the CCN concentration 268 was measured at 0.15% supersaturation. Using the 0.35% supersaturation observations (not 269 shown), the mean CCN concentrations just below cloud base increase to just over 70 cm⁻³ in both 270 271 the along- and cross-wind legs, which both are greater than N_c near the cloud base, but still lower than the N_c values in the middle of the cloud layer. Hudson and Noble (2013) report that effective 272 273 cloud supersaturations often exceed 1% in stratus clouds that form in clean marine air, and thus both CCN measurements may be underestimates. We believe the aerosol concentrations measured 274 275 by the PCASP instrument provide the best estimates of available CCN.

As shown in Figure 10, the mean observed aerosol and CCN concentrations are lower for the along-wind side relative to the cross-wind side for both (a) above ocean and (b) below cloud base legs. Specifically, the mean aerosol concentration measured just below cloud base on the along-





wind side is 28% lower than on the cross-wind side. The lower aerosol and CCN concentrations 279 on the along-wind side may be largely due to drizzle coalescence scavenging. Following the 280 method of Wood (2006), the loss rate of CCN over then entire cloud-containing mixed layer due 281 to drizzle scavenging can be estimated from the cloud base precipitation rate, the depth of the 282 MBL, and the cloud thickness. We computed precipitation rates at cloud base at 1 Hz using the 283 observed DSDs for the horizontal legs near cloud base. Mean precipitation rates of 6.4 mm day⁻¹ 284 (moderate to heavy drizzle) and 0.93 mm day⁻¹ (modest drizzle) were obtained by averaging over 285 the cloud base legs for the along- and cross-wind measurements, respectively. The corresponding 286 CCN loss rates are -463 cm⁻³ day⁻¹ (-19 cm⁻³ hr⁻¹) and -100 cm⁻³ day⁻¹ (-4 cm⁻³ hr⁻¹). The greater 287 along-wind CCN loss rate is consistent with the lower aerosol concentrations observed on the 288 along-wind side. While there are many factors that determine aerosol/CCN concentration, the 289 estimated differential scavenging rate (along-wind minus cross-wind) by itself could produce the 290 291 observed differences in below cloud aerosol in ~ 1.5 h.

Figure 11 shows that the observed aerosol and CCN concentrations on January 25 are also lower 292 on the along-wind leg, which has lower N_c and more drizzle, relative to the cross-wind leg, which 293 higher has lower N_c and less drizzle. However, for this case the observed aerosol concentrations 294 are lower than the middle cloud N_c and the observed CCN concentrations are lower than the cloud 295 base N_c for both the along- and cross-wind legs. The observed aerosol concentration on the along-296 wind leg is 24% lower than on the cross-wind leg. Again, this difference may be largely due to 297 298 drizzle coalescence scavenging. Mean precipitation rates at cloud base are 11.2 mm day⁻¹ (moderate to heavy drizzle) and 0.33 mm day⁻¹ (light drizzle) on the along- and cross-wind legs. 299 The corresponding CCN loss rates are -277 cm⁻³ day⁻¹ (-12 cm⁻³ hr⁻¹) and -10 cm⁻³ day⁻¹ (-0.4 cm⁻¹ 300 ³ hr⁻¹). Again, while there are many factors that determine aerosol/CCN concentration, the 301 estimated differential scavenging rate by itself could produce the observed differences in above 302 ocean aerosol in ~1 h. 303

Figure 12 also qualitatively supports the idea that the along- and cross-wind differences in below cloud aerosol are highly influenced by the differential rates of drizzle coalescence scavenging. In addition to having lower mean aerosol concentrations, the along-wind measurements have higher concentrations of large accumulation mode aerosols (diameter > 1.0μ m) and lower concentrations of small accumulation mode aerosols (diameter < 0.3μ m). The shift in aerosol size distribution is





expected as evaporated drizzle drops leave behind larger aerosols that combine the CCN collected
during the coalescence process. On July 18, this effect is more pronounced in the leg just below
the cloud base relative to the leg just above the ocean. The shift to larger aerosols on January 25
observed just above the ocean is obvious, but even less pronounced. It would have been nice to

have observations from a horizontal flight leg just below the cloud base on January 25.

314 **3.3 WRF simulation**

315 To further investigate different cloud microphysical properties in the along- and cross-wind legs, we employed a nested CR-WRF simulation with a spectral bin microphysics scheme to simulate 316 the evolution of the cloud field on July 18. The model run starts from 12:00 UTC, July 17 to 00:00 317 UTC, July 19 and the first half day is considered as model spin-up. The model begins with the 318 large-scale observed background aerosol, which is uniform across the inner domain. The model 319 320 shows that the specific meteorological conditions present on July 18 are conducive for the formation of organized bands of enhanced drizzle that align with the wind direction. Figure 13a 321 shows that the WRF simulation does produce the basic pattern of COD captured by the satellite 322 retrievals in Figure 3. Specifically, organized bands of higher COD line up with the wind direction 323 324 without prescribing variation in the below cloud CCN.

Figure 13b shows the model generated vertical velocity at 848 m and 10:00 UTC. The banded 325 regions of enhanced COD in the model are associated with narrow bands of enhanced upward 326 327 vertical velocity. While the largest COD values coincide with the narrow band of upward vertical velocity, the band of enhanced COD extends laterally outward, indicating that drizzle formation 328 extends laterally away from the updraft core. The organized structure of the mesoscale convective 329 cells embedded in the overcast stratus cloud deck on July 18, 2017 was captured by both the 330 331 satellite observations and model simulations. Substantial drizzle is often associated with cellular convection in stratocumulus layers (Albrecht et al., 1995; Miller et al., 1995). The stronger updraft 332 333 core that coincided with the along-wind flight path enhances the activation of CCN (Hudson and Noble, 2013) which increases LWC_c . The enhanced updraft also lifts more large cloud droplets 334 335 into the upper part of the cloud layer where cloud droplets start to convert into drizzle drops by the autoconversion process. Drizzle-size drops that form near the top of the cloud, then start to fall 336 337 and grow by collecting cloud droplets and smaller drizzle drops through the accretion process, which further reduces N_c and N_d . 338





339 The drizzle growth processes of collision and coalescence effectively combine the CCN within the many collected droplets into a single, larger CCN that is left behind when drizzle drops evaporate 340 after falling below the cloud base. In regions of active drizzle, this recycling of CCN through the 341 cloud results in the reduction in the total CCN concentration (mainly loss of smaller CCN), but an 342 increase in large CCN below cloud base. In both cases studied, clouds that were observed to be 343 producing more drizzle (the along-wind flight segments) were associated with fewer, but larger 344 CCN below the cloud base relative to the clouds that were producing less drizzle (the cross-wind 345 flight segments). 346

347 4. Summary and Conclusions

Aircraft in-situ measurements obtained on July 18, 2017 and January 25, 2018 during the ACE-348 ENA IOP reveal two distinct cloud regimes on each day within overcast MBL clouds. Specifically, 349 the aircraft flight patterns consisted of horizontal legs at several altitudes below and through the 350 cloud layers that alternated between along-wind flight paths sampling clouds within organized 351 regions of enhanced convection and drizzle and cross-wind flight paths mostly sampling clouds 352 through several MCCs or enhanced bands which include the edges and centers of MCCs. This 353 354 alignment of the flight track and mesoscale cloud organization is well suited to study the distinctly 355 different cloud microphysical properties for the two cloud regimes. The designations along-wind and cross-wind are simply used to distinguish the two spatial regions sampled. By chance the 356 mesoscale cloud structures of enhanced convection fell within the along-track portions of the flight 357 358 paths on these two days. We did not find evidence for a causal link between the wind or flight direction and the observed differences in cloud microphysical properties. The different cloud 359 microphysical properties in the along- and cross-wind directions are primarily due to sampling 360 different cloud regimes within the mesoscale pattern of cloud variation. 361

The aircraft in-situ measurements in the along-wind legs had smaller N_c , larger r_c and LWC_c , and more drizzle than those in the cross-wind legs. Based on the aircraft in situ measurements of cloud and aerosol properties, as well as satellite retrievals and a CR-WRF simulation, we conclude that the different MBL cloud microphysical properties in the along- and cross-wind flight observations are not due to variations in the background aerosol conditions. In other words, different aerosol and CCN concentrations below the cloud layer in the along- and cross-wind legs are not the causes of different cloud microphysical properties between two legs. In contrast, we did find that the





lower aerosol and CCN concentrations below the cloud layer in the along-wind legs were modified
by drizzle coalescence scavenging. Specifically, the along-wind legs had enhanced drizzle and
large CCN loss rates but higher concentrations of large accumulate mode aerosols, relative to the

372 cross-wind observations where less drizzle production was observed.

373 The large-scale cloud field for these cases is best represented as bi-modal cloud structures with organized mesoscale structures of enhanced convection and drizzle that form within an overcast 374 MBL cloud field. Combining the along- and cross-wind observations and treating the cloud field 375 as a homogenous MBL cloud would be misleading in these cases. Mean vertical profiles produced 376 377 by combining the along- and cross-wind observations shown in Figures 6 and 7 would not be representative of the cloud microphysical properties observed in either the organized regions of 378 enhanced convection or the regions falling between them. Similarly, scatterplots and mean DSDs 379 380 that do not distinguish the underlying cloud organization would miss the distinctly different 381 microphysical properties of the clouds within each regime. This bi-modal behavior is crucial to be considered for both model simulations and ground-truth evaluation of remote sensing retrievals. 382

The results from this study have important implications on studying aerosol-cloud-precipitation interactions using aircraft, surface, or satellite measurements. Even with similar aerosol background conditions and within the same dynamic regime, clouds may organize into regions or bands with distinctly different microphysical properties. Caution should be taken when analyzing aerosol indirect effect with fixed *LWP/LWC*, since the differences in cloud microphysics might result from the cloud structure variations rather than from aerosol effects.





390 Acknowledgements

- 391 The aircraft and ground-based measurements were obtained from the Atmospheric Radiation
- 392 Measurement (ARM) Program sponsored by the U.S. Department of Energy (DOE) Office of
- 393 Energy Research, Office of Health and Environmental Research, and Environmental Sciences
- 394 Division. The data can be downloaded from
- 395 <u>https://www.archive.arm.gov/discovery/#v/results/s/s::aceena</u>. This study was primarily
- supported by the NSF project under grant AGS-1700728 at the University of Arizona and also
- 397 supported as part of the "Enabling Aerosol cloud interactions at Global convection permitting
- scalES (EAGLES)" project (74358), funded by the U.S. Department of Energy, Office of
- 399 Science, Office of Biological and Environmental Research, Earth System Modeling program
- 400 with the subcontract to the University of Arizona. Dr. Dale Ward was partially supported by UA
- 401 EDO seed grant. Special thanks to Dr. Jian Wang, PI of ACE-ENA and the team of scientists and
- 402 technicians who made this work possible by maintaining the instruments and collecting and
- 403 processing the aircraft data for the ACE-ENA field campaign. Dr. Yuan Wang acknowledges the
- 404 funding support from NSF (Award No. 1700727) at Cal Tech. ERA5 atmospheric reanalysis of
- 405 the global climate is provided by Copernicus Climate Change Service Climate Data Store (CDS)
- 406 (https://cds.climate.copernicus.eu/cdsapp#!/home).





407 References

Albrecht, B. A., Bretherton, C. S., Johnson, D., Schubert, W. H. and Frisch, A. S.: The Atlantic
Stratocumulus Transition Experiment - ASTEX, Bull. - Am. Meteorol. Soc., doi:10.1175/1520-

410 0477(1995)076<0889:TASTE>2.0.CO;2, 1995.

- 411 Allan, R. P., Slingo, A., Milton, S. F., and Brooks, M. E.: Evaluation of the Met Office global
- forecast model using Geostationary Earth Radiation Budget (GERB) data, Quart. J. Roy.
- 413 Meteor. Soc., doi:10.1002.qj.166., 2007.
- Bony, S. and Dufresne, J. L.: Marine boundary layer clouds at the heart of tropical cloud feedback
 uncertainties in climate models, Geophys. Res. Lett., doi:10.1029/2005GL023851, 2005.
- 416 Bony, S., Colman, R., Kattsov, V. M., Allan, R. P., Bretherton, C. S., Dufresne, J.-L., Hall, A.,
- 417 Hallegatte, S., Holland, M. M., Ingram, W., Randall, D. A., Soden, B. J., Tselioudis, G. and
- Webb, M. J.: How Well Do We Understand and Evaluate Climate Change Feedback
 Processes?, J. Clim., doi: 10.1175/JCLI3819.1., 2006.
- 420 Cess, R. D., Potter, G. L., Blanchet, J. P., Boer, G. J., Del Genio, A. D., Deque, M., Dymnikov,
- 421 V., Galin, V., Gates, W. L., Ghan, S. J. and Kiehl, J. T.: Intercomparison and interpretation of
- 422 climate feedback processes in 19 atmospheric general circulation models, J. Geophys. Res., doi:

423 <u>10.1029/JD095iD10p16601, 1990.</u>

- 424 Cess, R. D., Zhang, M. H., Ingram, W. J., Potter, G. L., Alekseev, V., Barker, H. W., Cohen-Solal,
- E., Colman, R. A., Dazlich, D. A., Del Genio, A. D. and Dix, M. R.: Cloud feedback in atmospheric general circulation models: An update, <u>J. Geophys. Res., doi:</u>
 10.1029/96JD00822, 1996.
- Dolinar, E. K., Dong, X., Xi, B., Jiang, J. H. and Su, H.: Evaluation of CMIP5 simulated clouds
 and TOA radiation budgets using NASA satellite observations, Clim. Dyn.,
 doi:10.1007/s00382-014-2158-9, 2015.
- Dong, X., Minnis, P. and Xi, B.: A climatology of midlatitude continental clouds from the ARM
 SGP central facility: Part I: Low-level cloud macrophysical, microphysical, and radiative
 properties, J. Clim., doi:10.1175/JCLI3342.1, 2005.
- 434 Dong, X., Xi, B. and Minnis, P.: A climatology of midlatitude continental clouds from the ARM
- 435 SGP Central Facility. Part II: Cloud fraction and surface radiative forcing, J. Clim.,
 436 doi:10.1175/JCLI3710.1, 2006.
- 437 Dong, X., Xi, B., Kennedy, A., Minnis, P. and Wood, R.: A 19-month record of marine aerosol-





- 438 cloud-radiation properties derived from DOE arm mobile facility deployment at the azores.
- 439 Part I: cloud fraction and single-layered MBL cloud properties, J. Clim., doi: 10.1175/JCLI-D-
- 440 13-00553.1, 2014.
- 441 Dong, X., Schwantes, A. C., Xi, B. and Wu, P.: Investigation of the marine boundary layer cloud
- and CCN properties under coupled and decoupled conditions over the azores, J. Geophys. Res.,
 doi:10.1002/2014JD022939, 2015.
- 444 Ghan, S., Wang, M., Zhang, S., Ferrachat, S., Gettelman, A., Griesfeller, J., Kipling, Z., Lohmann,
- 445 U., Morrison, H., Neubauer, D. and Partridge, D. G.: Challenges in constraining anthropogenic
- 446 aerosol effects on cloud radiative forcing using present-day spatiotemporal variability, Proc.
- 447 Natl. Acad. Sci. U. S. A., doi: 10.1073/pnas.151403613, 2016
- Hill, A. A., Feingold, G. and Jiang, H.: The influence of entrainment and mixing assumption on
 aerosol-cloud interactions in marine stratocumulus, J. Atmos. Sci., doi:
 10.1175/2008JAS2909.1, 2009.
- Hudson, J. G. and Noble, S.: CCN and vertical velocity influences on droplet concentrations and
 supersaturations in clean and polluted stratus clouds, J. Atmos. Sci., doi:10.1175/JAS-D-13086.1, 2014.
- IPCC, Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the
 Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Stocker, T.F.,
- Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Stocker, T.F.,
 D. Qin, G.-K. Plattner, M. Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and
- P.M. Midgley (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New
 York, NY, USA, 1535 pp, doi:10.1017/CBO9781107415324, 2013.
- Klein, S. A., and Hartmann, D. L.: The seasonal cycle of stratiform clouds. J. Clim., doi:
 <u>10.1175/1520-0442(1993)006<1587:TSCOLS>2.0.CO;2.</u>, 1993.
- 461 Lamer, K., Puigdomènech Treserras, B., Zhu, Z., Isom, B., Bharadwaj, N. and Kollias, P.:
- 462 Characterization of Shallow Oceanic Precipitation using Profiling and Scanning Radar
- 463 Observations at the Eastern North Atlantic ARM Observatory, Atmos. Meas. Tech., doi:
- 464 10.5194/amt-12-4931-2019, 2019
- Lilly, D. K.: Models of cloud-topped mixed layers under a strong inversion, Quart. J. Roy. Meteor,
 Soc., doi: 10.1002/qj.49709440106, 1968.
- Logan, T., Xi, B. and Dong, X.: Aerosol properties and their influences on marine boundary layer
 cloud condensation nuclei at the ARM mobile facility over the Azores, J. Geophys. Res.,





- 469 doi:10.1002/2013JD021288, 2014.
- 470 Lu, M. L., Conant, W. C., Jonsson, H. H., Varutbangkul, V., Flagan, R. C. and Seinfeld, J. H.: The
- 471 marine stratus/stratocumulus experiment (MASE): Aerosol-cloud relationships in marine
- 472 stratocumulus, J. Geophys. Res., doi:10.1029/2006JD007985, 2007.
- 473 Lohmann, U. and Feichter, J: Global indirect aerosol effects: a review, Atmos. Chem. Phys., <u>doi:</u>
 474 <u>10.5194/acp-5-715-2005</u>, 2005
- Mann, J. A., Christine Chiu, J., Hogan, R. J., O'Connor, E. J., L'Ecuyer, T. S., Stein, T. H. and
 Jefferson, A.: Aerosol impacts on drizzle properties in warm clouds from ARM Mobile Facility
- 477 maritime and continental deployments, J. Geophys. Res., doi:10.1002/2013JD021339, 2014.
- Miller, M. A. and Albrecht, B. A.: Surface-based observations of mesoscale cumulusstratocumulus interaction during ASTEX, J. Atmos. Sci., doi:10.1175/15200469(1995)052<2809:SBOOMC>2.0.CO;2, 1995.
- 481 Minnis, P., Sun-Mack, S., Young, D. F., Heck, P. W., Garber, D. P., Chen, Y., Spangenberg, D.
- 482 A., Arduini, R. F., Trepte, Q. Z., Smith, W. L., Ayers, J. K., Gibson, S. C., Miller, W. F., Hong,
- 483 G., Chakrapani, V., Takano, Y., Liou, K. N., Xie, Y. and Yang, P.: CERES edition-2 cloud
- 484 property retrievals using TRMM VIRS and Terra and Aqua MODIS data-Part I: Algorithms,

485 IEEE Trans. Geosci. Remote Sens., doi:10.1109/TGRS.2011.2144601, 2011.

- Nam, C., Bony, S., Dufresne, J. L. and Chepfer, H.,: The 'too few, too bright'tropical low-cloud
 problem in CMIP5 models, Geophys. Res. Lett., doi:10.1029/2012GL053421, 2012.
- 488 Painemal, D., Minnis, P. and Nordeen, M.: Aerosol variability, synoptic-scale processes, and their
- link to the cloud microphysics over the northeast Pacific during MAGIC, J. Geophys. Res., doi:
 10.1002/2015JD023175, 2015.
- Penner, J. E., Dong, X. and Chen, Y.: Observational evidence of a change in radiative forcing due
 to the indirect aerosol effect, Nature, doi:10.1038/nature02234, 2004.
- Platnick, S. and Twomey, S.: Determining the susceptibility of cloud albedo to changes in droplet
 concentration with the Advanced Very High Resolution Radiometer, J. Appl. Meteorol.,
 doi:10.1175/1520-0450(1994)033<0334:dtsoca>2.0.co;2, 1994.
- Rémillard, J., Kollias, P., Luke, E. and Wood, R.: Marine boundary layer cloud observations in
 the Azores, J. Clim., doi: 10.1175/JCLI-D-11-00610.1, 2012.
- Soden, B. J., and Vecchi, G. A.: The vertical distribution of cloud feedback in coupled oceanatmosphere models, Geophys. Res. Lett., doi: 10.1029/2011GL047632, 2011.





- Toll, V., Christensen, M., Gasso, S., and Bellouin, N.: Volcano and ship tracks indicate excessive
 aerosol-induced cloud water increases in a climate model, Geophys. Res. Lett.,
 doi:10.1002/2017GL075280, 2017.
- Twohy, C. H., Petters, M. D., Snider, J. R., Stevens, B., Tahnk, W., Wetzel, M., Russell, L. and
 Burnet, F.: Evaluation of the aerosol indirect effect in marine stratocumulus clouds: Droplet
 number, size, liquid water path, and radiative impact, J. Geophys. Res. D Atmos.,
 doi:10.1029/2004JD005116, 2005.
- Wang, J., et al.: Aerosol and Cloud Experiments in Eastern North Atlantic (ACE-ENA) Field
 Campaign Report. Ed. by Robert Stafford, ARM user facility. DOE/SC-ARM-19-012.
 Available from https://www.osti.gov/biblio/1526025, 2019.
- Wang, Y., Fan, J., Zhang, R., Leung, L. R. and Franklin, C.: Improving bulk microphysics
 parameterizations in simulations of aerosol effects, J. Geophys. Res. Atmos.,
 doi:10.1002/jgrd.50432, 2013.
- Webb, M. J., Lambert, F. H. and Gregory, J. M.: Origins of differences in climate sensitivity,
 forcing and feedback in climate models, Clim. Dyn., doi:10.1007/s00382-012-1336-x, 2013.
- Wood, R.: Drizzle in stratiform boundary layer clouds: Part II: Micorphysical aspects. J. Atmos.
 Sci., doi:10.1175/JAS3530.1, 2005.
- Wood, R.: Rate of loss of cloud droplets by coalescence in warm clouds, J. Geophys. Res. Atmos.,
 doi:10.1029/2006JD007553, 2006.
- Wood, R.: Stratocumulus clouds. Monthly Weather Review, doi:10.1175/MWR-D-11-00121.1,
 2012.
- Wood, R., Wyant, M., Bretherton, C. S., Rémillard, J., Kollias, P., Fletcher, J., Stemmler, J., De
 Szoeke, S., Yuter, S., Miller, M., Mechem, D., Tselioudis, G., Chiu, J. C., Mann, J. A. L.,
 O'Connor, E. J., Hogan, R. J., Dong, X., Miller, M., Ghate, V., Jefferson, A., Min, Q., Minnis,
- 524 P., Palikonda, R., Albrecht, B., Luke, E., Hannay, C. and Lin, Y.: Clouds, aerosols, and
- 525 precipitation in the marine boundary layer: An arm mobile facility deployment, Bull. Am.
- 526 Meteorol. Soc., doi:10.1175/BAMS-D-13-00180.1, 2015.
- 527 Wu, P., Dong, X., Xi, B., Tian, J. and Ward, D. M.: Profiles of MBL Cloud and Drizzle Microphysical
- 528 Properties Retrieved From Ground-Based Observations and Validated by Aircraft In Situ
- 529 Measurements Over the Azores, J. Geophys. Res. Atmos., doi:10.1029/2019JD032205, 2020.





530





Figure 1. Horizontal and vertical flight paths for the periods of data collection on July 18 (a, b) 533 and January 25 (c, d). Along-wind legs are blue and cross-wind legs are red. Horizontal distances 534 are relative to the ARM radar facility at (39.09°N, 28.03°W). The numbers above and below the 535 flight legs in the vertical plots are the percentage of observations along the leg that meet the criteria 536 for cloud and drizzle, respectively (* denotes that over 80% of the 2DS-V observations were 537 missing). 538









541 542

Figure 2. 900 hPa geopotential height from ERA5 on July 18, 2017 (a, b) and 850 hPa geopotential
height on January 25, 2018 (c, d). The red star indicates the position ARM radar facility. Arrows
in (b) and (d) represent the wind direction only within a grid box of 2° x 2°, not the wind speed.
Purple lines show the horizontal flight track.





548



Figure 3. Meteosat images (4-km resolution) for cloud optical depth on July 18, 2017, at (a) 10
and (b) 10:30 UTC over a 2° by 2° box centered on the ARM radar facility marked with a star.
Horizontal flight track shown in purple. Region inside black box in (a) shows corresponding WRF
simulation inner domain shown in Fig. 13.





556



557 558

Figure 4. Meteosat images (4-km resolution) for cloud optical depth on January 25, 2018, at (a)
12:00 and (b) 12:30 UTC over a 2° by 2° box centered on the ARM radar facility marked with a
star. Horizontal flight track shown in purple.





563



565

566 Figure 5. Profiles of potential temperature and mixing ratio on (a) July 18 and (b) January 25. Values obtained from the ARM interpolated sounding product. Horizontal black lines indicate the 567 approximate altitudes of the cloud top and cloud base. 568







572

Figure 6. Mean values of various cloud and drizzle microphysical properties computed from the
1 Hz aircraft observations along the horizontal legs shown in Fig. 1b for July 18: (a) cloud droplet
radius, (b) cloud droplet number concentration, (c) cloud droplet liquid water content, (d) drizzle
v radius, (e) drizzle droplet number concentration, and (f) drizzle droplet liquid water content.







580

581 Figure 7. Same as Fig. 6, except for the mean values calculated from the 1 Hz aircraft in situ

measurements along the horizontal legs shown in Fig. 1d on January 25.







586

Figure 8. (a) Mean droplet size distribution (DSD) computed by averaging all 1 Hz in-situ 587 588 measurements made for the horizontal legs at approximately 850 m altitude in the along-wind (blue) and cross-wind (red) directions on July 18. Approximate time range 10.0 to 10.15 UTC 589 (refer to Fig. 1b). Vertical dashed line at drop diameter of 45 µm indicates the division between 590 cloud-sized and drizzle-sized drops. The remaining panels are scatterplots of (b) cloud-droplet 591 592 effective radius (r_c) vs. cloud-droplet number concentration (N_c) , (c) N_c vs. cloud liquid water content (LWC_c) and (d) r_c vs. LWC_c derived from the 1 Hz in situ measurements taken along the 593 594 850 m horizontal legs.







598

Figure 9. Same as Fig. 8, except for the in-situ measurements taken on January 25 when sampling
the four horizontal legs in the middle altitude. Approximate time range is 11.95 to 12.35 UTC
(refer to Fig. 1d).









Figure 10. Observations of aerosol number concentration from the PCASP instrument and CCN 606 607 at 0.15% supersaturation from the CCN counter on July 18 for horizontal legs (a) just above the ocean surface at 50 m altitude and (b) just below cloud base at 440 m altitude. Blue indicates the 608 along-wind leg portion and red the cross-wind leg portion. Numbers show the mean and standard 609 deviation for all 1 Hz in-situ measurements along each leg. Lower green lines are the observed 610 611 drizzle drop concentrations scaled by factors of 50000 and 10000, respectively.





613



614

- 615
- **Figure 11.** Same as Fig. 10, except for the in-situ measurements made at approximately 30 m
- 617 above the ocean surface on January 25.





619



620

Figure 12. Mean aerosol size distribution computed by averaging all 1 Hz in-situ measurements of the size distribution from the PCASP instrument made along horizontal legs (a) on July 18 just above the ocean surface, (b) on July 18 just below cloud base, and (c) on January 25 just above the ocean surface. Blue indicates the along-wind leg portion and red the cross-wind leg portion.





627



628 629

Figure 13. WRF simulation of (a) cloud optical thickness (COT) and (b) vertical velocity (w) at
848 m at 10:00 UTC over the inner domain of the model run. Red star indicates ARM radar facility.
The black box in Fig. 3a outlines the WRF inner domain relative to the satellite imagery.