

Quantifying variability, source, and transport of CO in the urban areas over the Himalayas and Tibetan Plateau

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Abstract:

Atmospheric pollutants over the Himalayas and Tibetan Plateau (HTP) have potential implications for accelerating the melting of glaciers, damaging air quality, water sources and grasslands, and threatening climate on regional and global scales. Improved knowledge of the variabilities, sources, drivers, and transport pathways of atmospheric pollutants over the HTP is significant for regulatory and control purpose. In this study, we quantify the variability, source, and transport of CO in the urban areas over the HTP by using in situ measurement, GEOS-Chem model tagged CO simulation, and atmospheric circulation pattern techniques. Diurnal, seasonal, and interannual variabilities of CO over the HTP are investigated with ~ 6 years (January 2015 to July 2020) of surface CO measurements in eight cities over the HTP. Annual mean of surface CO volume mixing ratio (VMR) over the HTP varied over 318.3 ± 71.6 to 901.6 ± 472.2 ppbv, and a large seasonal cycle was observed with high levels of CO in the late autumn to spring and low levels of CO in summer to early autumn. The diurnal cycle is characterized by a bimodal pattern with two maximums in later morning and midnight, respectively. Surface CO VMR from 2015 – 2020 in

1 most cities over the HTP showed negative trends. The IASI satellite observations are for the first
2 time used to assess the performance of GEOS-Chem model for the specifics of the HTP. The GEOS-
3 Chem simulations tend to underestimate the IASI observations but can capture the measured
4 seasonal cycle of CO total column over the HTP. Distinct dependencies of CO on a short lifetime
5 species of NO₂ almost in all cities over the HTP were observed, implying local emissions to be
6 predominant. By turning off the emission inventories within the HTP in GEOS-Chem tagged CO
7 simulation, the relative contribution of long range transport was evaluated. The results disclosed
8 that transport ratios of primary anthropogenic source, primary biomass burning (BB) source, and
9 secondary oxidation source to the surface CO VMR over the HTP varied over 35 to 61%, 5 to 21%,
10 and 30 to 56%, respectively. The anthropogenic contribution is dominated by the South Asia and
11 East Asia (SEAS) region throughout the year (58% to 91%). The BB contribution is dominated by
12 the SEAS region in spring (25 to 80%) and the Africa (AF) region in July – February (30 – 70%).
13 This study concluded that main source of CO in urban areas over HTP is due to local and SEAS
14 anthropogenic and BB emissions, and oxidation sources, which is different from the black carbon
15 that is mainly attributed to BB source from Southeast Asia. The decreasing trends in surface CO
16 VMR since 2015 in most cities over the HTP are attributed to the reduction in local and transported
17 CO emissions in recent years.

18 **1 Introduction**

19 The Himalayas and Tibetan Plateau (HTP), also named the ‘Third Pole’ (TP), is an important
20 region for climate change studies due to several reasons. Due to its unique feature for interactions
21 among the atmosphere, biosphere, hydrosphere, and cryosphere, the HTP is referred to as an
22 important indicator of regional and global climate change (Pu et al., 2007; Yao et al., 2012; Zhang
23 et al., 2015). The HTP stores a large amount of ice masses on the planet and provides the headwater
24 of many Asian rivers which contribute water resource to over 1.4 billion people and it thus is referred
25 to as the ‘Water Tower of Asia’ (Xu et al., 2008; Immerzeel et al., 2010; Gao et al., 2019; Kang et
26 al., 2019). The glaciers and snowmelt over the HTP can potentially modify the regional hydrology,
27 contribute to global sea-level rise, and trigger natural hazards which may threaten the health and
28 wealth of many population (Singh and Bengtsson, 2004; Barnett et al., 2005; Immerzeel et al., 2010;
29 Kaser et al., 2010; Bolch et al., 2012; Yao et al., 2012; Gao et al., 2019). The HTP has an average
30 altitude of about 4000 m above sea level (a.s.l.), which highly elevates topography of the earth
31 system and imposes profound effects on global atmospheric circulation and climate change (Ye and
32 Wu, 1998; Wu et al., 2012; Zhang et al., 2015; Kang et al., 2019). The HTP is also of great interest
33 for model validation, since the extreme climate conditions and the variability between clean and
34 polluted conditions in the region are a challenge for current climate models (Ye et al., 2012; Kopacz
35 et al., 2011; Zhang et al., 2015).

36 Since the population level is very low, the HTP has long been regarded as atmospheric
37 background with negligible local anthropogenic emissions (Yao et al., 2012; Kang et al., 2019).
38 However, the HTP is surrounded by East Asia and South Asia which include many intensive
39 anthropogenic and natural emission source regions (Zhang et al., 2015; Kang et al., 2019). The
40 transport of polluted air masses from the highly populated area in northern India with its industry
41 and agriculture can have a strong impact on the composition of the atmosphere (Cong et al., 2009;
42 Kang et al., 2019). Furthermore, the Asian monsoon has a strong influence on the dynamics and
43 transport pathways in the HTP (Zhang et al., 2015; Kang et al., 2019). Reanalysis results based on

1 glacial ice cores and lake sediments have revealed distinguishable anthropogenic disturbances from
2 Asian emissions since the 1950s (Wang et al., 2008; Cong et al., 2013; Zhang et al., 2015; Kang et
3 al., 2016; Kang et al., 2019). Convective transport around the HTP areas has also been verified by
4 satellite observations, chemical model simulations, flask sampling analyses, and in situ
5 measurements of some key atmospheric compositions. These atmospheric compositions include CO
6 (Park et al., 2007a; Park et al., 2007b), CH₄ (Xiong et al., 2009), HCN (Randel et al., 2010), PAN
7 (Zhang et al., 2009; Ungermaun et al., 2016; Xu et al., 2018), O₃ (Yin et al., 2017; Xu et al., 2018),
8 and aerosol (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al.,
9 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). Furthermore, urbanization,
10 industrialization, land use, and infrastructure construction over the HTP have expanded rapidly in
11 recent years, which could also emit air pollutants into the atmosphere (Ran et al., 2014; Yin et al.,
12 2019b).

13 The ecosystem over the HTP is sensitive and fragile under extreme alpine condition. These
14 exogenous and local atmospheric pollutants have potential implications for accelerating the melting
15 of glaciers, damaging air quality, water sources and grasslands, and threatening climate on regional
16 and global scales (Pu et al., 2007; Xu et al., 2009; Yao et al., 2012; Kang et al., 2016; Yin et al.,
17 2019a; Yin et al., 2020; Yin et al., 2019b). Efforts have been made to understand the variabilities of
18 atmospheric pollutants over the HTP. However, due to the logistic difficulties and poor accessibility
19 of the vast HTP, most studies are based on episodic measurements in specific regions or at widely
20 dispersed sites (Kang et al., 2019). An inter-comparison of these data and deductions may show
21 large inconsistencies and uncertainties because the reported individual studies have often relied on
22 different instruments and techniques (Kang et al., 2019). In addition, most previous studies have
23 often concentrated on burdens, sources and transport of carbonaceous aerosols (including organic
24 carbon (OC) and black carbon (BC)) over the HTP, but the studies on gaseous pollutants are limited
25 (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 2015; Zhu et
26 al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). As a result, the variabilities, sources,
27 drivers, and transport pathways of atmospheric pollutants over the HTP are still not fully understood.

28 Carbon monoxide (CO) is one of the most critical atmospheric pollutant which not only
29 threatens human health but also plays a vital role in atmospheric chemistry (Zhang et al., 2019;
30 Zheng et al., 2019). CO has a long atmospheric residence time of a few months and is therefore
31 established as a key tracer for air pollution and transport in the atmosphere (Holloway et al., 2000;
32 Zheng et al., 2019). Natural sources such as biomass burning (BB) and anthropogenic sources such
33 as vehicle exhausts, industrial activities, and coal combustions can emit CO directly into the
34 atmosphere (Stremme et al., 2013; Fisher et al., 2017). These CO emissions are mainly attributed to
35 incomplete combustion (Holloway et al., 2000; Stremme et al., 2013). Furthermore, the atmospheric
36 oxidation of methane (CH₄) and numerous nonmethane VOCs (NMVOCs) provides additional
37 important sources of atmospheric CO (Fisher et al., 2017). The major CO sink in the troposphere is
38 oxidation via reaction with hydroxyl radicals (OH). Since CO is heavily involved in the relationship
39 between atmospheric chemistry and climate forcing, it is crucial to investigate its atmospheric
40 burden, variability, and potential driver over the HTP. CO over the HTP may originate from various
41 source regions and sectors, improved knowledge of their relative contributions to CO variability
42 over the HTP is also significant for regulatory and control purpose. Furthermore, an investigation
43 of CO pollution can complement current atmospheric investigation over the HTP since the chemical
44 characteristic, climate forcing, and deposition of CO is different from the well-established

1 carbonaceous aerosols.

2 In this study, we quantify the variability, source, and transport of CO in the urban areas over
3 the HTP by using in situ measurement, GEOS-Chem model tagged CO simulation, and atmospheric
4 circulation pattern techniques. Diurnal, seasonal, and interannual variability of CO over the HTP
5 are investigated with multiyear time series of surface CO measurements in eight cities over the HTP.
6 The performance of GEOS-Chem full-chemistry model for the specifics of the HTP is first assessed
7 with the concurrent satellite observations. The GEOS-Chem model is then run in a tagged CO mode
8 to quantify relative contribution of long range transport to the observed CO variability over the HTP.
9 The three-dimensional (3D) transport pathways of CO originated in various source regions and
10 sectors to the HTP are finally determined by the GEOS-Chem simulation, back trajectories analysis
11 and atmospheric circulation pattern. Only few studies have investigated the burden and variability
12 of CO over the HTP (Ran et al., 2014; Yin et al., 2019a). These studies uniformly focused on the
13 most developed regions in Lhasa, and did not analyze interannual trend and transport of CO. This
14 study can not only expand the coverage of CO study over the HTP, but also provides insights into
15 the interannual trends, sources, and transport of CO in all urban areas over the HTP.

16 The next section describes site description and the surface in situ CO data and auxiliary data,
17 the methodology used to estimate the interannual trend of surface CO, and the GEOS-Chem
18 simulation used for source attribution. Section 3 reports the results for surface CO variability over
19 the HTP on different time scales. Section 4 reports the results for GEOS-Chem model evaluation.
20 Section 5 reports the results for source attribution using GEOS-Chem tagged CO simulation and
21 atmospheric circulation pattern. We conclude the study in Section 6.

22 **2 Methods and data**

23 **2.1 Site description**

24 Surface in situ CO measurements in eight cities over the HTP are involved in this study. The
25 geolocations of these cities are shown in Fig. 1 and summarized in Table 1. Ngari locates in the
26 western, Diqing and Qamdo locate in the eastern, and the rest cities all locate in central eastern of
27 the HTP. Ngari, Shigatse, Lhasa, Shannan, and Nyinchi are adjacent to the Himalayas region, and
28 Naqu, Qamdo, and Diqing are relatively far away from the Himalayas region. Generally, these cities
29 represent the most developed and populated areas over the HTP. The altitude of these cities ranges
30 from 3.1 to 4.5 km a.s.l. and the population ranges from 110 to 770 thousand. The surface pressure
31 of these cities is about 600 hPa or less throughout the year (Table 1). Typically, all these cities are
32 formed at flat valleys with the surrounding mountains rising to more than 5.0 km a.s.l., and keep
33 continuous expansion and development over time. These cities are characterized by a typical climate
34 regime in high mountain regions, and is dry and cold in most of the year. Due to the high altitude
35 and thin air, the solar radiations over these cities are stronger than those over other cities at the same
36 latitude around the globe (Ran et al., 2014).

37 General atmospheric circulation over these cities are typically influenced by three synoptic
38 systems: the warm and wet air masses during the monsoon season in summer, the South Asian
39 anticyclone that controls the upper troposphere and above, and the subtropical mid-latitude
40 westerlies in winter (Yao et al., 2012; Ran et al., 2014; Yin et al., 2017). Inhibited by surrounding
41 mountains, local mountain peak-valley wind systems facilitate the accumulation of atmospheric
42 pollutants near the ground under low wind speed conditions (Kang et al., 2019).

2.2 Surface CO data and auxiliary data

Routine in situ measurement of surface air qualities over the HTP started in 2015, which are organized by the China National Environmental Monitoring Center (CNEMC) network funded by the Chinese Ministry of Ecology and Environment (<http://www.cnemc.cn/en/>, last access: 22 March 2020). The CNEMC network has monitored six surface air pollutants (including CO, O₃, NO₂, SO₂, PM₁₀, and PM_{2.5}) at 23 sites in eight cities in Ngari, Lhasa, Naqu, Diqing, Shigatse, Shannan, Nyingchi, and Qamdo over the HTP (Table 1). Each city has at least two measurement sites. Surface CO volume mixing ratio (VMR) measurements at all sites are based on similar gas correlation filter infrared analyzers (<http://www.cnemc.cn/en/>, last access: 22 March 2020). The hourly mean datasets have covered the period from January 2015 to present for all measurement sites in the eight cities (Table 1). We first applied filter criteria following that of (Lu et al., 2019) to remove unreliable measurements. The resulting measurements at all measurement sites in each city are then averaged to obtain a city representative dataset.

The 3D back trajectories calculated using HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (<http://ready.arl.noaa.gov/HYSPLIT.php>, last accessed on 23 May 2020) are used to determine the transport trajectories (Wang, 2014; Draxler et al., 2020). The input gridded meteorological fields were from the Global Data Assimilation System (GDAS-1) operated by the US National Oceanic and Atmospheric Administration (NOAA) with a horizontal resolution of 1° latitude × 1° longitude and 23 vertical grids from 1000 to 20 hPa (<https://ready.arl.noaa.gov/gdas1.php>, last accessed on 23 May 2020). We verified that the wind fields provided by GDAS-1 are in good agreement with those by the Goddard Earth Observing System-Forward Processing (GEOS-FP) meteorological fields used in GEOS-Chem (Fig. S1). In this study, calculation and analysis for all back trajectories are based on the TrajStat module (Wang, 2014; <http://meteothink.org/index.html>, last accessed on 1 July 2020).

The monthly IASI/Metop-A CO dataset is used to evaluate the performance of GEOS-Chem model for the specifics of the HTP. The IASI CO product is processed by EUMETSAT Application Ground Segment using the Fast Optimal Retrievals on Layers for IASI (FORLI) software (Hurtmans et al., 2012). The IASI CO retrievals are performed in the 2143-2181.25 cm⁻¹ spectral range using the optimal estimation method and tabulated absorption cross sections at various pressures and temperatures to speed up the radiative transfer calculation. A single *a priori* profile is used in the retrieval scheme (Clerbaux et al., 2009). The temperature, pressure, humidity profiles and cloud fractions used in FORLI are those from the EUMETSAT Level 2 processor. Only pixels associated with cloud fraction below 25 % are processed. The IASI CO product is a vertical profile given as partial columns in moles per square meter in 18 layers between the surface and 18 km, with an extra layer from 18 km to the top of the atmosphere. The pressure levels associated with retrieval layers are provided with the CO product. This IASI CO dataset also includes other relevant information such as a general quality flag, the *a priori* profile, the total error profile, the air partial column profile, and the averaging kernel (AK) matrix, on the same vertical grid, and the total column and the associated total error. To balance the accuracy and the number of valid data over HTP, the IASI data within ±1° latitude/longitude rectangular area around each city and with total error of less than 15% are selected.

2.3 Regression model for CO trend

We have used a bootstrap resampling model to determine the seasonality and interannual

1 variability of surface CO VMR over the HTP. The resampling methodology follows that of
 2 (Gardiner et al., 2008), where a 3rd Fourier series plus a linear function was used to fit multiyear
 3 time series of surface CO VMR biweekly mean. All measurements are averaged by two weeks to
 4 lower the residual and improve the fitting correlation. The regression model is expressed by Eqs. (1)
 5 and (2):

$$6 \quad Y^{meas}(t) = Y^{mod}(t) + \varepsilon(t) \quad (1)$$

$$7 \quad Y^{mod}(t) = A_0 + A_1 t + A_2 \cos\left(\frac{2\pi t}{365}\right) + A_3 \sin\left(\frac{2\pi t}{365}\right) + A_4 \cos\left(\frac{4\pi t}{365}\right) + A_5 \sin\left(\frac{4\pi t}{365}\right) \quad (2)$$

$$8 \quad d\% = \frac{Y^{meas}(t) - Y^{mod}(t)}{Y^{mod}(t)} \times 100 \quad (3)$$

9 where $Y^{meas}(t)$ and $Y^{mod}(t)$ represent the measured and fitted surface CO VMR time series,
 10 respectively. A_0 is the intercept, A_1 is the annual growth rate, and A_1/A_0 is the interannual trend
 11 discussed below. In this study, we incorporated the errors arising from the autocorrelation in the
 12 residuals into the uncertainties in the trends following the procedure of (Santer et al., 2008). The A_2
 13 – A_5 parameters describe the seasonal cycle, t is the measurement time elapsed since January 2015,
 14 and $\varepsilon(t)$ represents the residual between the measurements and the fitted results. Fractional
 15 differences of measured CO VMR time series relative to their seasonal mean values represented by
 16 $Y^{mod}(t)$ were referred to as seasonal enhancements and were calculated as equation (3).

17 **2.4 GEOS-Chem simulation**

18 Two types of GEOS-Chem model simulations were involved in this study. GEOS-Chem model
 19 version 12.2.1 (DOI:10.5281/zenodo.2580198) was first ran in a standard full-chemistry mode to
 20 be evaluated by the IASI CO product. The GEOS-Chem model was then ran in a standard tagged
 21 CO mode to quantify relative contribution of long range transport to the observed CO variability
 22 over the HTP (Bey et al., 2001) (<http://geos-chem.org>, last access on 14 May 2020). The GEOS-
 23 Chem full-chemistry simulations were also used to provide OH fields and secondary CO production
 24 rates from CH₄ and NMVOCs oxidation for subsequent GEOS-Chem tagged CO simulation. Both
 25 types of simulations were driven by GEOS-FP meteorological fields with a downgraded horizontal
 26 resolution of 2° latitude × 2.5° longitude and 72 vertical grids from surface to 0.01 hPa. Surface
 27 meteorological variables and planetary boundary layer height (PBLH) were implemented in 1 hr
 28 interval and other meteorological variables were in 3 hr interval. The time step used in the model
 29 are 10 minutes for transport and 20 minutes for chemistry and emissions, as recommend for the
 30 GEOS-Chem full-chemistry simulation at 2 × 2.5 (Philip et al., 2016). The non-local scheme for the
 31 boundary layer mixing process are described in Lin and McElroy (2010). The GEOS-Chem
 32 simulation outputs 47 (tagged CO mode) or 72 (full-chemistry mode) vertical layers of CO VMR
 33 concentration ranging from the surface to 0.01 hPa with a horizontal resolution of 2° × 2.5° and a
 34 temporal resolution of 1 hr (Sun et al., 2020a). We spun up the model for one year (January 2014 to
 35 January 2015) to remove the influence of the initial conditions. We only considered CO simulations
 36 for the grid boxes containing the eight cities over the HTP.

37 Global fossil fuel and biofuel emissions were from the Community Emissions Data System
 38 (CEDS) inventory (Hoesly et al., 2018) which overwrites regional emissions over the US by
 39 National Emission Inventory (NEI), Canada by Canadian Criteria Air Contaminant, Mexico by
 40 (Kuhns et al., 2005), Europe by European Monitoring and Evaluation Program (EMEP), East Asia
 41 and South Asia by MIX inventory (Li et al., 2017; Zheng et al., 2018; Lu et al., 2019), and Africa

1 by DICE-Africa inventory (Wiedinmyer et al., 2016). Global BB emissions are derived from Global
2 Fire Assimilation System (GFAS) v1.2 (Kaiser et al., 2012; Di Giuseppe et al., 2018). The soil NO_x
3 emissions were from (Hudman et al., 2010; Hudman et al., 2012). Biogenic emissions were from
4 the Model of Emissions of Gases and Aerosols from Nature (MEGAN version 2.1) inventory
5 (Guenther et al., 2012). Wet deposition followed that of (Liu et al., 2001) and dry deposition was
6 calculated by the resistance-in-series algorithm (Wesely, 1989; Zhang et al., 2001). The photolysis
7 rates were obtained from the FAST-JX v7.0 photolysis scheme (Bian and Prather, 2002). A universal
8 tropospheric-stratospheric Chemistry (UCX) mechanism was implemented (Eastham et al., 2014).

9 In GEOS-Chem tagged CO simulation, the monthly mean OH fields obtained from a previous
10 standard full-chemistry simulation were used. Furthermore, the improved secondary CO production
11 scheme of (Fisher et al., 2017) was implemented, which adopts secondary CO production rates from
12 CH₄ and NMVOCs oxidation. These secondary production rates were also derived from a previous
13 standard full-chemistry simulation. The GEOS-Chem tagged CO simulation includes the tracers of
14 primary anthropogenic (fossil fuel + biofuel) and BB sources, and secondary oxidations from CH₄
15 and NMVOCs. Descriptions of all these tracers are summarized in Table 2 and the geographical
16 definitions of all source regions are shown in Fig. 1.

17 **3 Surface CO variability over the HTP**

18 **3.1 Diurnal cycle**

19 Diurnal cycles of surface CO VMR over the HTP within the period of 2015 – 2020 are shown
20 in Fig. 2. The surface CO magnitudes and the hour-to-hour variations in Naqu, Qamdo, and Diqing
21 are higher than those in other cities in all seasons. Furthermore, the daily peak-to-trough contrast in
22 Naqu, Qamdo, and Diqing are also larger than those in other cities. The highest surface CO hourly
23 mean are typically observed in Naqu in all seasons except in the second half day (after 12:00 local
24 time (LT)) in autumn and winter (September-October-November/December-January-February
25 (SON/DJF)), when the highest surface CO values are observed in Qamdo.

26 Diurnal cycles of surface CO VMR in all cities generally show a bimodal pattern in all seasons.
27 For all cities, two diurnal maximums are generally observed during 9:00 to 11:00 LT in the daytime
28 and 21:00 to 23:00 LT in the nighttime in all seasons. The timings of the daytime diurnal maximum
29 in spring and summer (March-April-May/June-July-August (MAM/JJA)) in all cities are 1 to 2
30 hours earlier than those in SON/DJF (Table 3). But the timings of the nighttime diurnal maximum
31 in MAM/JJA in all cities are 1 to 2 hours later than those in SON/DJF. On average, the diurnal hour-
32 to-hour variation of surface CO VMR over the HTP spanned a large range of -47.7% to 50.6%
33 depending on region, season, and measurement time. The diurnal patterns of CO in all cities over
34 the HTP were similar to those in other cities in China (Yin et al., 2019b; Zhao et al., 2016). Surface
35 CO VMR hourly mean in Naqu, Qamdo, and Diqing varied over 455.8 ± 257.8 to 1485.1 ± 1104.7
36 ppbv, while other cities varied over 256.4 ± 177.1 to 650.0 ± 430.7 ppbv (Table 3). The Class 1
37 limit for the hourly mean CO concentration in China is 10 mg m^{-3} (8732.1 ppbv) and all hourly
38 mean CO VMRs from 2015 – 2020 over the HTP were below this limit (<http://www.cnemc.cn/en/>,
39 last access: 22 March 2020).

40 **3.2 Seasonal cycle**

41 Seasonal cycle of surface CO VMR over the HTP within the period of 2015 to 2020 are shown
42 in Fig. 3. As generally observed in most cities over the HTP, surface CO VMR showed clear seasonal

1 features: (1) high levels of surface CO VMR occur in the late autumn to spring and low levels of
2 surface CO occur in summer to early autumn; (2) the variations in the late autumn to spring are
3 larger than those in summer to early autumn; (3) seasonal cycles of surface CO VMR in most cities
4 show a bimodal pattern, i.e., a large seasonal peak occurs around November – December and a small
5 seasonal peak occurs around April – May.

6 Surface CO VMR monthly mean and month-to-month variations in Naqu, Qamdo, and Diqing
7 are higher than those in other cities in all seasons. Furthermore, the peak-to-trough contrast in Naqu,
8 Qamdo, and Diqing were also larger than those in other cities. Surface CO VMR monthly mean
9 over the HTP varied over a large range of 206.8 ± 93.5 to 1887.1 ± 1132.0 ppbv depending on
10 season and region (Table 3), where Naqu, Qamdo, and Diqing varied over 419.0 ± 221.2 to 1887.1
11 ± 1132.0 ppbv, and other cities varied over 206.8 ± 93.5 to 759.4 ± 473.8 ppbv (Table 3).

12 **3.3 Interannual variability**

13 Biweekly mean time series of surface CO VMR over the HTP from 2015 to 2020 along with
14 the fitted results by using the regression model $Y^{mod}(t)$ are shown in Fig. 4. Generally, the
15 measured and fitted surface CO VMR over the HTP are in good agreement with a correlation
16 coefficient (r) of 0.81 – 0.93. The measured features in terms of seasonality and interannual
17 variability can be reproduced by the regression model. Seasonal enhancements calculated as
18 equation (3) disclosed that large seasonal enhancements typically occur around November –
19 December and April – May which correspond to the timings of the seasonal peaks for most cities.
20 The trend in surface CO VMR from 2015 to 2020 over the HTP spanned a large range of $(-21.6 \pm$
21 $4.5) \%$ to $(11.9 \pm 1.38) \%$ per yr, indicating a regional representative of each dataset. Surface CO
22 VMR in six out of eight cities, i.e., Ngari, Lhasa, Shannan, Naqu, Qamdo, and Diqing, showed
23 negative trends. The largest decreasing trends were observed in Qamdo and Naqu, which showed
24 decreasing trends of $(-16.98 \pm 4.37) \%$ and $(-21.6 \pm 4.5) \%$ per yr, respectively. Surface CO in two
25 out of eight cities, i.e., Shigatse and Nyingchi, showed positive trends. A large increasing trend of
26 $(11.9 \pm 1.38) \%$ per yr was observed in Shigatse.

27 Surface CO VMR annual mean over the HTP varied over 318.3 ± 71.6 to 901.6 ± 472.2 ppbv
28 depending on year and region (Table 3), where Naqu, Qamdo, and Diqing varied over 531.4 ± 156.8
29 to 901.6 ± 472.2 ppbv, higher than those in other cities which varied over 318.3 ± 71.6 to $446.1 \pm$
30 138.5 ppbv (Table 3). The annual mean concentrations of surface CO over the HTP were compared
31 with those from other cities in China. All cities over the HTP except Naqu and Qamdo can be ranked
32 as a few of the top-level cities with the best air quality. Naqu and Qamdo were ranked as the middle-
33 level cities with fair to poor air quality (<http://www.cnemc.cn/en/>, last access: 22 March 2020).

34 **4 Model evaluation over the HTP**

35 The performance of the GEOS-Chem model has been evaluated with available observations
36 over various regions in China and surroundings in previous studies from different perspectives such
37 as surface O_3 concentration in urban regions over China (Lu et al., 2019), tropospheric CO column
38 over eastern China (Chen et al., 2009; Sun et al., 2020b) and Pacific (Yan et al., 2014), tropospheric
39 averaged HCHO concentration over eastern China (Sun et al., 2020a), stratospheric NO_2 partial
40 column (Yin et al., 2019a) and HCl partial column over eastern China (Yin et al., 2020). Generally,
41 GEOS-Chem is able to reproduce the absolute values as well as seasonal cycles of trace gases over
42 aforementioned regions. So far GEOS-Chem model evaluation over the complex topography and

1 meteorology of the HTP is not found in the literature. Here we first use IASI CO total column from
2 2015 to 2020 over the HTP to evaluate the model performance in the specifics of the HTP. As the
3 vertical resolution of GEOS-Chem is different from the IASI observation, a smoothing correction
4 was applied to the GEOS-Chem profiles. First, the GEOS-Chem CO profiles were downgraded to
5 the IASI altitude grid to ensure a common altitude grid. Since the IASI overpass time is at about
6 09:30 LT in the morning, only the GEOS-Chem simulations at 9:00 and 10:00 LT are considered.
7 The interpolated profiles were then smoothed by the monthly mean IASI averaging kernels and *a*
8 *priori* profiles (Rodgers, 2000; Rodgers and Connor, 2003). The GEOS-Chem CO total columns
9 were calculated subsequently from the smoothed profiles by using the corresponding regridded air
10 density profiles from the model. Finally, the GEOS-Chem total column time series were averaged
11 by month and compared with the IASI monthly mean data.

12 Correlation plots for the model-to-IASI data pairs in each region over the HTP are shown in
13 Fig. 5. Depending on regions, the GEOS-Chem simulations over the HTP tend to underestimate the
14 IASI observations by 9.2% to 20.0%. The largest GEOS-Chem vs. IASI differences occur in Qamdo
15 and Lhasa, with underestimations of 20.0% and 18.5%, respectively. The least GEOS-Chem vs.
16 IASI difference occurs in Nyingchi with an underestimation of 9.2%. These GEOS-Chem vs. IASI
17 differences over the HTP were mainly attributed to the underestimation of local emission inventories
18 and the coarse spatial resolution of the GEOS-Chem model grid cells. The amount of residential
19 energy use, including fossil fuels and biofuels used for cooking and heating, is not recorded for the
20 Tibet in current energy statistics yearbooks, therefore bottom-up inventories tend to underestimate
21 anthropogenic emissions over the HTP (Zheng et al., 2019). Meanwhile, emissions due to seasonal
22 crop residue burning over HTP are hard to quantify accurately (Li et al., 2021). This can be shown
23 by the CO emission distribution over the HTP from the MEIC inventory in Fig. S2, which shows
24 that both the spatial distribution and seasonality of CO emission over the HTP are not in good
25 agreement with the in situ measurements. Besides, the coarse spatial resolution of the GEOS-Chem
26 simulations homogenizes CO concentrations within each $2^{\circ} \times 2.5^{\circ}$ model grid cell. The simulation
27 results represent the homogenized concentrations in the grid box at the grid-mean elevation, which
28 could cause significantly bias near complex terrain (Yan et al., 2014). Especially, the studied regions
29 represent the most developed and populated areas over the HTP, which are surrounded by large areas
30 of rolling mountains with sparsely interspersed farms, pasture or residency. The horizontal transport
31 and vertical mixing schemes simulated by the GEOS-Chem model at coarse spatial resolutions are
32 difficult to match IASI observation with a ground pixel of $50 \times 50 \text{ km}^2$ (Clerbaux et al., 2009).
33 Regional difference in CO levels could aggravate the inhomogeneity within the selected GEOS-
34 Chem model grid, and thus aggravate the difference between modeled and measured CO
35 concentrations. In addition, the difference between simulation and measurement could be also
36 associated with the uncertainties in meteorological fields, OH fields, and stratosphere-troposphere
37 exchange (STE) scheme over HTP, which are known issues in the GEOS-Chem model (Bey et al.,
38 2001; Kopacz et al., 2011).

39 Though not perfect in reproducing the absolute values of the IASI observation, GEOS-Chem
40 can capture the measured seasonal cycle of CO total column over the HTP with a correlation
41 coefficient (*r*) of 0.64 to 0.82 depending on regions. In subsequent study, the GEOS-Chem model
42 is used for investigating the influence of long range transport. We turn off all emission inventories
43 within the HTP in the GEOS-Chem tagged CO simulation and assess the relative contribution of
44 each source and geographical tracer. The relative contribution of each tracer is calculated as the ratio

1 of the corresponding absolute contribution to the modelled total amount. Taking this ratio effectively
2 minimizes the propagation of systematic model errors that are common to all tracers, i.e., the
3 uncertainties in meteorological fields, the vertical mixing and STE schemes, and the mismatch in
4 spatial resolution.

5 **5 Source attribution**

6 **5.1 Local emission**

7 The air quality in a city is influenced by local emission which is spatially differentiated by
8 energy consumption, economic development, industry structure, and population. All studied cities
9 over the HTP have achieved rapid economic and population growth in recent years (Ran et al., 2014;
10 Yin et al., 2019b). For example, Lhasa's gross domestic product (GDP) in 2018 was 29 times higher
11 than that of 2001, and the population had increased by more than 230 thousand in 17 years (Yin et
12 al., 2019b). In order to evaluate the influence of local emission, the relationship between *in situ*
13 measurements of NO₂ and CO is investigated. Correlation plots of surface CO versus NO₂ daily
14 mean VMR time series provided by the CNEMC network from 2015 – 2020 in eight cities over the
15 HTP are shown in Fig. 6. The results show that NO₂ and CO concentrations were correlated in all
16 cities (r ranges from 0.49 – 0.86) throughout the year. The overall good correlations between these
17 two gas pollutants suggested common sources of Δ NO₂ and Δ CO in these cities. As a short lifetime
18 species (a few hours), the emitted NO₂ is heavily weighted toward the direct vicinity of local
19 emission regions. As a result, local emissions are important sources of CO in all cities. However,
20 the slope Δ NO₂/ Δ CO (ranges from 0.006 – 0.04) and the degree of the correlation in each city are
21 different, indicating energy consumption and CO emission rates in these cities are different, and
22 additional sources of CO could exist, e.g., from long range transport or oxidation from CH₄ and
23 NMVOCs originating either nearby or in distant areas.

24 The emission from coal-burning for heating was thought to be the dominant sources of primary
25 gas pollutants in Lhasa in recent years (Ran et al., 2014; Yin et al., 2019b). A large portion of solely
26 source results in the highest correlation between NO₂ and CO concentrations in Lhasa. In contrast,
27 Qamdo, Naqu, and Diqing are surrounded by alpine farmlands and pastures. Historically, post-
28 harvest crop residue (e.g., highland barley straws and withered grass) was often burned by local
29 farmers to fertilize the soil for next planting season. As a fine fuel, post-harvest crop residue was
30 often burned directly in the field in large piles and smolder for weeks. These seasonal crop residue
31 burning behaviors typically occur in the cold season which could cause a high level of CO emission
32 in this period. Furthermore, local residents extensively use dry yak dung as fuel for cooking or
33 heating throughout the year which could elevate the background CO level in these regions. As a
34 result, these higher local sources might be an important factor explaining the higher CO magnitude
35 in these regions.

36 **5.2 Long range transport**

37 Monthly mean contributions of anthropogenic, BB, and oxidation from long range transport to
38 the surface CO VMR over the HTP are shown in Fig.7. All statistical results are based on GEOS-
39 Chem tagged CO simulations by turning off the emission inventories within the HTP. Due to the
40 influence of seasonally variable transport and magnitude of the regional emissions, the
41 anthropogenic, BB and oxidation sources are all seasonal and regional dependent. Generally,
42 anthropogenic contributions in June – September and DJF are higher than those in the rest of the

1 year. In contrast, high levels of oxidation contribution occur in JJA/SON and low levels of oxidation
2 contribution occur in MAM/DJF. For BB source, contributions in MAM/DJF are larger than those
3 in JJA/SON. Depending on season and region, relative contributions of anthropogenic, BB, and
4 oxidation transported to the surface CO VMR over the HTP varied over 35 to 61%, 5 to 21%, and
5 30 to 56%, respectively. The combination of anthropogenic and oxidation sources dominated the
6 contribution which varied over 80 to 95% with an average of 89% throughout the year.

7 After normalizing each regional anthropogenic contribution to the total anthropogenic
8 contribution, the normalized relative (NR) contribution of each anthropogenic region to the total
9 anthropogenic associated transport is obtained in Fig.8. The results show that the anthropogenic
10 associated transport is mainly attributed to the influence of anthropogenic sources in South Asia and
11 East Asia (SEAS). The NR anthropogenic contribution in SEAS ranges from 58% in DJF to 91% in
12 SON. In addition, moderate anthropogenic contributions from North America (NA) (10 to 27%),
13 Europe and Boreal Asia (EUBA) (4 to 12%), and rest of world (ROW) (4 to 10%) are also observed
14 in MAM/DJF. By using a similar normalized method, the NR contributions of each BB tracer and
15 oxidation tracer are obtained in Fig.9 and Fig. 10, respectively. The results show that large BB
16 contributions are from the Africa (AF) region in July – February (30 – 70%), the SEAS region in
17 MAM (25 to 80%), and the EUBA region in July – September (15 to 32%). Additional moderate
18 BB contributions are from the South America (SA) region in May – June and September – December
19 (9 to 14%), the Oceania (OCE) region in the second half of the year (5 to 15%), and the NA region
20 in September – December (8 to 19%). Depending on season and region, 45 to 67% of oxidation
21 contribution are attributed to CH₄ oxidation, and 32 to 55% of oxidation contribution are attributed
22 to NMVOCs oxidation. High-level NR contributions of CH₄ oxidation occur in the cold season
23 (November – March) and low-level NR contributions of CH₄ oxidation occur in the warm season
24 (April – October). The NR contributions of NMVOCs oxidation varied over an opposite mode to
25 that of CH₄ oxidation; they maximize in the warm season and minimize in the cold season. The
26 JJA/SON meteorological conditions that show stronger solar radiation, higher temperature, wetter
27 atmospheric condition, and lower pressure than those in DJF/MAM are more favorable for
28 increasing VOCs emissions from biogenic sources (BVOCs), which consolidates the fact that
29 contributions of NMVOCs oxidation in warm season are larger than those in cold season.

30 By minimizing the propagation of model errors that are common to all tracers (see section 4),
31 the major factors impacting the model interpretation are the uncertainties in emission inventories
32 and OH fields. The uncertainties in CO emission inventories mainly impact primary anthropogenic
33 and BB sources, and the uncertainties in CH₄ and VOCs emission inventories, and OH fields mainly
34 impact secondary oxidation sources. Additional factors that affect the generation and deplete
35 chemistry of CO or its precursors (e.g., uncertainties in emission inventories of other atmospheric
36 components, stratospheric intrusion of ozone and chemical mechanism, etc.) could also contribute
37 to the uncertainty of the interpretation. All these factors may be seasonal and regional dependent. A
38 series of GEOS-Chem sensitivity studies might be able to quantify these uncertainties, but this is
39 beyond the scope of present work.

40 From section 5.1 and the model interpretation here, we can conclude that the main source of
41 CO in urban areas over HTP is due to local and SEAS anthropogenic and biomass burning emissions,
42 and oxidation sources. In contrast, black carbon in most of the HTP is largely attributed to Southeast
43 Asian biomass burning, and locally sourced carbonaceous matter from fossil fuel and biomass
44 combustion also substantially contribute to pollutants in urban cities and some remote regions,

1 respectively (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al.,
2 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). Our study emphasized
3 the different origins of diverse atmospheric pollutants in the HTP.

4 **5.3 Transport pathways**

5 The 3D transport trajectories of CO originated in various source regions and sectors to the HTP
6 are identified as bellow. First, the GEOS-Chem tagged CO simulation is applied for determining
7 seasonal NR contribution of each tracer (Figs. 8 and 9). For the tracer with a NR contribution of
8 larger than 30% at a specific time (hereafter enhancement time), the global CO distribution provided
9 by the GEOS-Chem simulation is applied to search for potential CO sources occurring before the
10 enhancement time within 15 days. Then, we generated a series of back trajectories with various
11 travel times to judge whether these CO emissions are capable of travelling to the measurement
12 region. For instance, with respect to each CO enhancement measured at a specific time, we
13 generated ten back trajectories arriving at 100 m above the ground but with different travel time
14 ranging from 3 to 15 days. If the back trajectories intersect a region where the GEOS-Chem
15 simulation indicates an intensive CO source and the travel duration is within ± 2 hr of the observed
16 enhancement, then this specific CO source could contribute to the observed enhancement over the
17 HTP. The transport trajectories for this CO source are finally determined. Meanwhile, GEOS-Chem
18 emission inventories are used to classify this CO source into anthropogenic or BB source. This CO
19 source is regarded as BB source if GEOS-Chem BB inventory indicates an intensive CO
20 enhancement. Otherwise, it is regarded as anthropogenic source.

21 Fig. 11 demonstrates travel trajectories of polluted air masses originated in AF, SEAS + OCE,
22 EUBA, and NA regions which arrived at Naqu (31.5°N) over the HTP through long range transport.
23 As the GEOS-Chem BB inventory shown, CO emissions from southern Africa during July –
24 September, central Africa during November – February, central Europe during July – November,
25 Siberia during June – September, and South Asia peninsula during March – May are dominated by
26 BB source. Other potential CO sources are dominated by anthropogenic emissions. Fig.12 shows
27 the spatial distribution of CO VMR along with the mean horizontal wind vectors at 500 hPa in
28 different seasons. Fig. 13 illustrates the latitude – height and longitude – height distributions of CO
29 VMR along with the 3D atmospheric circulation patterns in different seasons. The 3D transport
30 pathways of CO around the HTP are thus deduced as follows.

31 As indicated by the arrows in Fig. 12 and Fig.13, the strong surface cooling in DJF over the
32 HTP results in divergence and the formation of an enhanced local circulation cell, while in JJA air
33 masses converge toward the HTP from the surroundings triggered by the ascending of strongly
34 heated air masses over the HTP (Zhang et al., 2015). In DJF, the tropical easterlies are weak but the
35 mid-latitude westerlies extend to subtropics ($\sim 20^\circ\text{N}$) near the surface and tropics ($\sim 10^\circ\text{N}$) over
36 middle troposphere (Fig. 13). In the summer monsoon season, the atmospheric circulation patterns
37 around the HTP change dramatically and is dominated by the reversal of surface wind regime in the
38 tropics such as South China Sea, Bay of Bengal, and Arabian Sea (Fig.11 and Fig.13). Meanwhile,
39 the mid-latitude westerlies in JJA recede to the North Temperate Zone (north of 30°N) and the
40 westerly jet center shifts to about 40°N (from about 30°N in DJF). In JJA, the tropical region in the
41 south of the HTP is characterized by the strong easterlies in the upper troposphere and by the
42 southwesterly air flow in the lower troposphere (Fig. 13). The prevailing winds during the transition
43 seasons in MAM and SON are still westerlies (Fig. 13). These above seasonal atmospheric

1 circulation patterns control the CO transport pathway around the HTP. Nevertheless, the transported
2 CO scales to the HTP are also influenced by source location and strength, travel trajectory and
3 elapsed time (Yao et al., 2012; Zhang et al., 2015; Kang et al., 2019).

4 In the SON/DJF, a significant amount of CO from southern SEAS (anthropogenic source),
5 northern AF (BB source), western EUBA, and northern NA (anthropogenic source) can be
6 transported to the HTP along the westerlies in the dry winter monsoon conditions. CO originating
7 in distant regions such as western EUBA and NA reaches a high altitude (to 8 km) during the
8 transport (Fig. 11). However, CO from the densely populated and industrialized areas in eastern
9 China barely reaches the HTP because of strong removal along the transport pathways to the HTP
10 which circles around the Northern Hemisphere along the westerlies during the winter monsoon
11 season (Fig. 12). In MAM, CO emissions from BB sources in SEAS region can be transported to
12 the HTP which is mainly triggered by deep convection followed by northward transport into the
13 mid-latitude westerlies (Liu et al., 2003)(Fig. 13). During the South Asian summer monsoon, the
14 local abundant wet precipitation can remove a large portion of SEAS originated CO but can still
15 affect southwest HTP (Fig. 13). Along strong southeasterly air flow in summer monsoon season,
16 CO from eastern China can be uplifted higher and transported more to the northeast HTP than that
17 in the DJF. In addition, large-scale atmospheric deep convection can loft CO from upwind source
18 regions (e.g., central SA, and Indonesia (within OCE region)) into higher altitudes, where it can be
19 transported to the HTP in SON or DJF. Generally, CO removals over the HTP in all seasons are
20 driven by atmospheric deep convection which lofts CO into higher altitudes or by westerlies which
21 transports local emissions far away (Fig. 13).

22 **5.4 Factors driving surface CO variability over the HTP**

23 Temporal CO burden is dependent on the difference between the CO source and sink, which is
24 determined by the accumulated influence of local emission, transport, secondary generation,
25 environmental capacity, and OH oxidation capability. The environmental capacity is determined by
26 atmospheric self-clean capability, topography, deposition, and meteorological condition
27 (Hofzumahaus et al., 2009). Atmospheric self-clean capability refers to the capability of the
28 atmosphere in terms of depleting atmospheric pollutants through physical and chemical processes
29 (Rohrer et al., 2014). Generally, the vertical self-clean capability is positively correlated with the
30 PBLH and the horizontal self-clean capability is positively correlated with the wind speed (Rohrer
31 et al., 2014). The OH oxidation capability is positively correlated with temperature, radiation and
32 OH seasonality (Rohrer et al., 2014).

33 The bimodal pattern of diurnal cycles for surface CO VMR in urban areas over the HTP is
34 attributed to the following diurnal generation and deplete processes. The thin atmosphere over the
35 HTP causes large temperature and radiation differences between day and night (Yin et al., 2017;
36 Kang et al., 2019). The PBLH and OH oxidation capability in the nighttime are much lower than
37 those in the daytime (Ran et al., 2014; Yin et al., 2017; Yin et al., 2019b). The CO emissions over
38 the HTP start to generate after sunrise and reach the daytime maximum during rush hours at 8:00 to
39 11:00 LT in the morning. The CO concentration is then decreasing as a result of depletion by
40 reactions with OH to form O₃ or transport far away (Ran et al., 2014; Yin et al., 2019b). Subsequently,
41 CO emissions start to generate again during rush hours at 16:00 to 19:00 LT in the afternoon, and
42 reach the nighttime maximum at 21:00 to 23:00 LT due to low PBLH and OH oxidation capability
43 in the nighttime (Ran et al., 2014; Yin et al., 2019b).

1 Similarly, the seasonal cycle of surface CO VMR in the urban areas over the HTP is determined
2 by the seasonal variability of CO source, environmental capacity, and OH oxidation capability. High
3 levels of surface CO VMR in the late autumn to spring can be attributed to low PBLH and OH
4 oxidation capability but high local and transported CO in the period, and vice versa for low levels
5 of surface CO VMR in summer to early autumn (Yin et al., 2019b). Specifically, local anthropogenic
6 CO sources (mainly heating activities) and crop residue burning behaviors in urban regions over the
7 HTP during the colder post-monsoon and winter months are higher than those in other seasons.
8 Meanwhile, the westerlies near the surface in SON/DJF are weaker than those in MAM/JJA, which
9 facilitate the accumulation of atmospheric pollutants (Fig. 13). Furthermore, high levels of CO are
10 observed in the late autumn to spring in neighboring SEAS countries due to intensive anthropogenic
11 emissions or BB practices (Kan et al., 2012; Tiwari et al., 2014; Liu et al., 2018; Gani et al., 2019).
12 These polluted air masses can transport to the HTP region and elevate the local CO level (Fig.13).
13 Thus, apart from local anthropogenic and BB emissions, these transported sources might be an
14 important factor explaining the high CO pollution in winter.

15 Since the crop residue burning emissions result in poor air quality that threatens local terrestrial
16 ecosystems and human health, Chinese government started to ban crop residue burning over China
17 since 2015 and henceforth the crop residue burning events over the HTP decreased dramatically
18 (Sun et al., 2020b) (<http://www.chinalaw.gov.cn>, last access on 19 June 2020). Meanwhile, the major
19 air pollutant emissions have decreased around the globe in recent years as a consequence of active
20 clean air policies for mitigating severe air pollution problems in the major anthropogenic emission
21 regions, such as China, India, Europe and America (Zheng et al., 2018; Sun et al., 2020a).
22 Furthermore, an elevated BB events in AF, SEAS, and OCE regions were observed at the beginning
23 of the studied years due to the El Niño Southern Oscillation (ENSO) in 2015 (Sun et al., 2020b).
24 All these factors probably drive a decreasing trend in surface CO VMR since 2015 in most cities
25 over the HTP. However, an overall increase in surface CO VMR in Shigatse and Nyingchi since
26 2015 indicated that the decrease in transported CO was overwhelmed by the increase in local CO
27 emissions as a result of the expansion of urbanization, industrialization, land use, and infrastructure
28 construction near the two cities.

29 **6 Conclusions**

30 In this study, we quantified the variability, source, and transport of CO in the urban areas over
31 the Himalayas and Tibetan Plateau (HTP) by using in situ measurement, GEOS-Chem model tagged
32 CO simulation, and atmospheric circulation pattern techniques. Diurnal, seasonal, and interannual
33 variabilities of CO over the HTP are investigated with ~ 6 years (January 2015 to July 2020) of
34 surface CO measurements in eight cities over the HTP. Annual mean of surface CO volume mixing
35 ratio (VMR) over the HTP varied over 318.3 ± 71.6 to 901.6 ± 472.2 ppbv, and a large seasonal cycle
36 was observed with high levels of CO VMR in the late autumn to spring and low levels of VMR in
37 summer to early autumn. Surface CO VMR burdens and variations in Naqu, Qamdo, and Diqing
38 are higher than those in other cities in all seasons. The diurnal cycle is characterized by a bimodal
39 pattern with two maximums occurring around 9:00 to 11:00 local time (LT) in the daytime and 21:00
40 to 23:00 LT in the nighttime. The trend in surface CO VMR from 2015 to 2020 over the HTP
41 spanned a large range of (-21.6 ± 4.5) % to (11.9 ± 1.38) % per yr, indicating a regional
42 representative of each dataset. However, surface CO VMR from 2015 to 2020 in most cities over
43 the HTP showed negative trends.

1 The IASI satellite observations are for the first time used to assess the performance of GEOS-
2 Chem full-chemistry model for the specifics of topography and meteorology over the HTP.
3 Depending on regions, the GEOS-Chem simulations over the HTP tend to underestimate the IASI
4 observations by 9.2% to 20.0%. Though not perfect in reproducing the absolute values of the IASI
5 observation, GEOS-Chem can capture the measured seasonal cycle of CO total column over the
6 HTP with a correlation coefficient (r) of 0.64 to 0.82 depending on regions. Distinct dependencies
7 of CO on a short life time species of NO₂ almost in all cities over the HTP were observed, implying
8 local emissions to be predominant. By turning off the emission inventories within the HTP in GEOS-
9 Chem tagged CO simulation, the relative contribution of long range transport was evaluated. The
10 results disclosed that transport ratios of primary anthropogenic source, primary biomass burning
11 (BB) source, and secondary oxidation source to the surface CO VMR over the HTP varied over 35
12 to 61%, 5 to 21%, and 30 to 56%, respectively. The anthropogenic contribution is dominated by the
13 South Asia and East Asia (SEAS) region throughout the year (58% to 91%). The BB contribution
14 is dominated by the SEAS region in spring (25 to 80%) and the Africa (AF) region in July – February
15 (30 – 70%). Additional important anthropogenic contributions from North America (NA) (10 to
16 27%) and Europe and Boreal Asia (EUBA) (4 to 12%) in spring and winter (MAM/DJF) are also
17 observed. Additional important BB contributions are from the EUBA region in July – September
18 (15 to 32%), the South America (SA) region in May – June and September – December (9 to 14%),
19 the Oceania (OCE) region in the second half of the year (5 to 15%), and the NA region in September
20 – December (8 to 19%). The decreasing trends in surface CO VMR since 2015 in most cities over
21 the HTP are attributed to the reduction in local and transported CO emissions in recent years.

22 This study concluded that the main source of CO in urban areas over HTP is due to local and
23 SEAS anthropogenic and BB emissions, and oxidation sources. In contrast, black carbon in most of
24 the HTP is largely attributed to Southeast Asian biomass burning, and locally sourced carbonaceous
25 matter from fossil fuel and biomass combustion also substantially contribute to pollutants in urban
26 cities and some remote regions, respectively. This study not only emphasized the different origins
27 of diverse atmospheric pollutants in the HTP, but also improved knowledge of the variabilities,
28 sources, drivers, and transport pathways of atmospheric pollutants over the HTP and provided
29 guidance for potential regulatory and control actions.

30 **Data availability.** Surface CO time series in all cities over the Himalayas and Tibetan Plateau (HTP)
31 and GEOS-Chem tagged CO simulations in this study are available on request.

32 **Author contributions.** YS designed the study and prepared the paper with inputs from all coauthors.
33 HY carried out the GEOS-Chem CO simulations. The rest authors contributed to this work via
34 provide constructive comments.

35 **Competing interests.** The authors declare that they have no conflict of interest.

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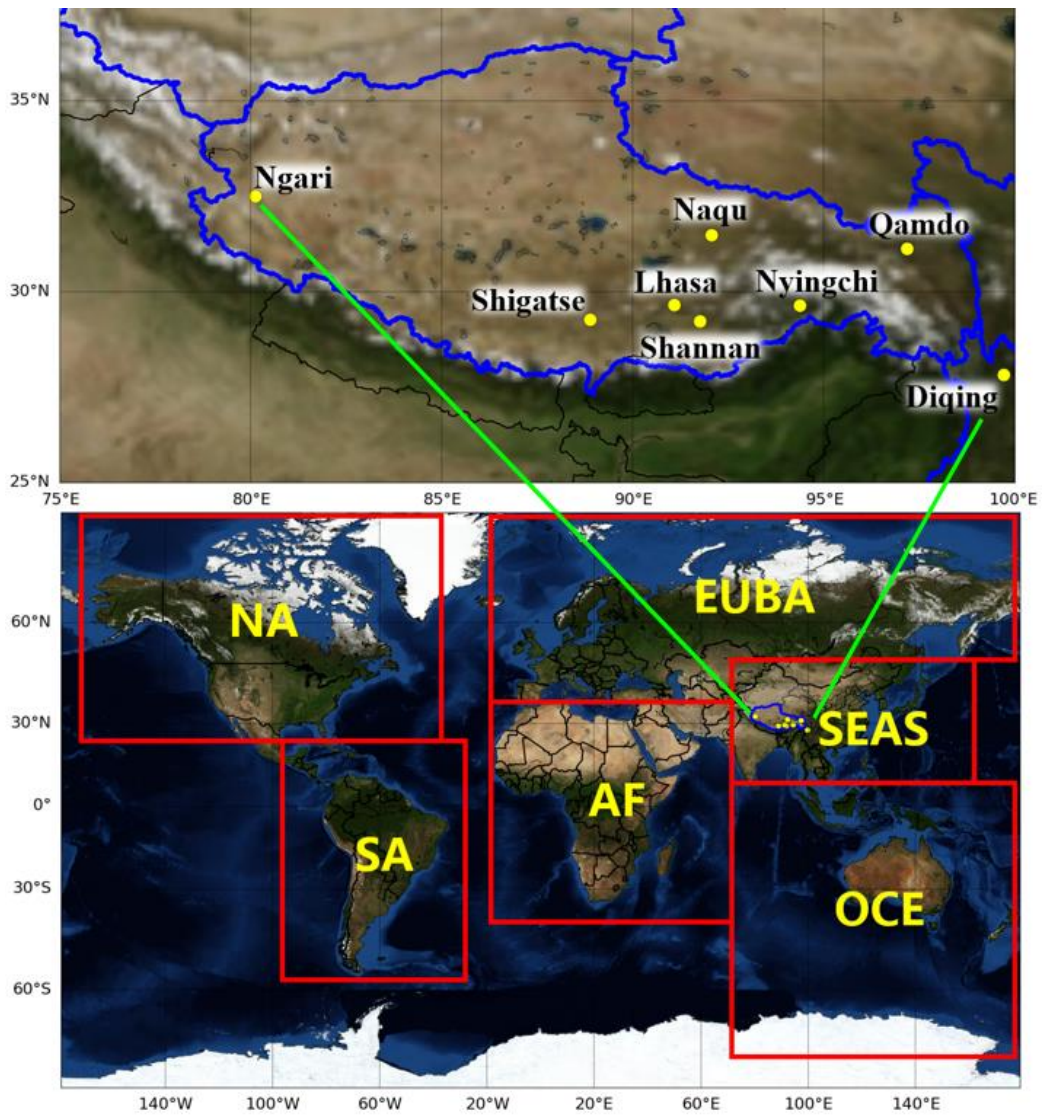
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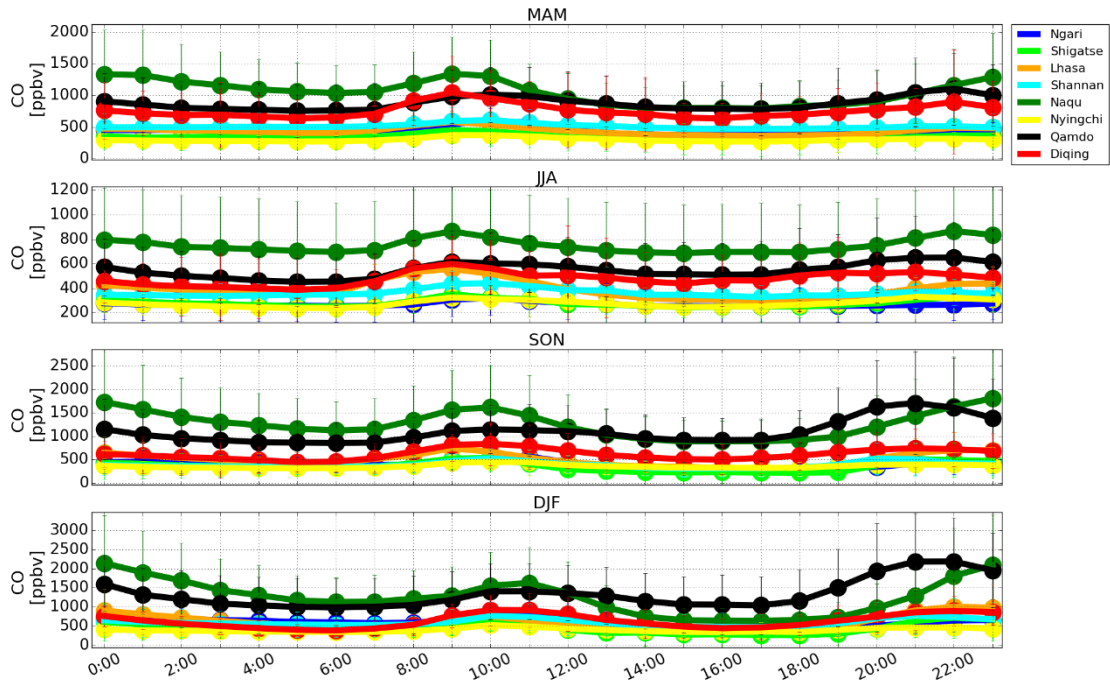
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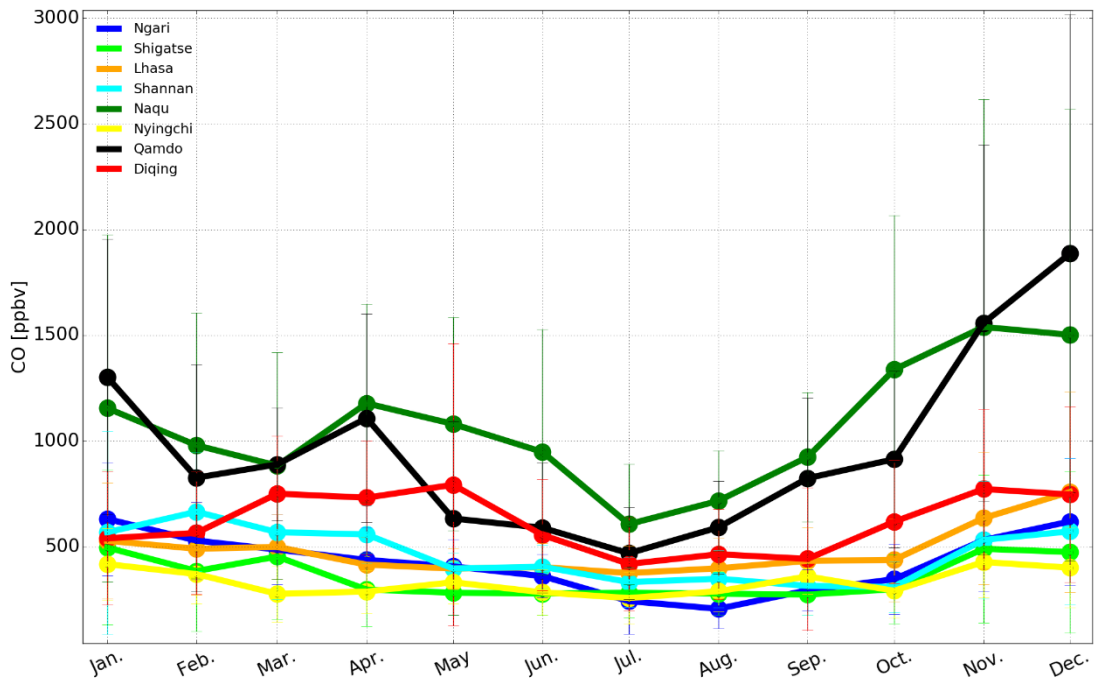
1 **Figures**



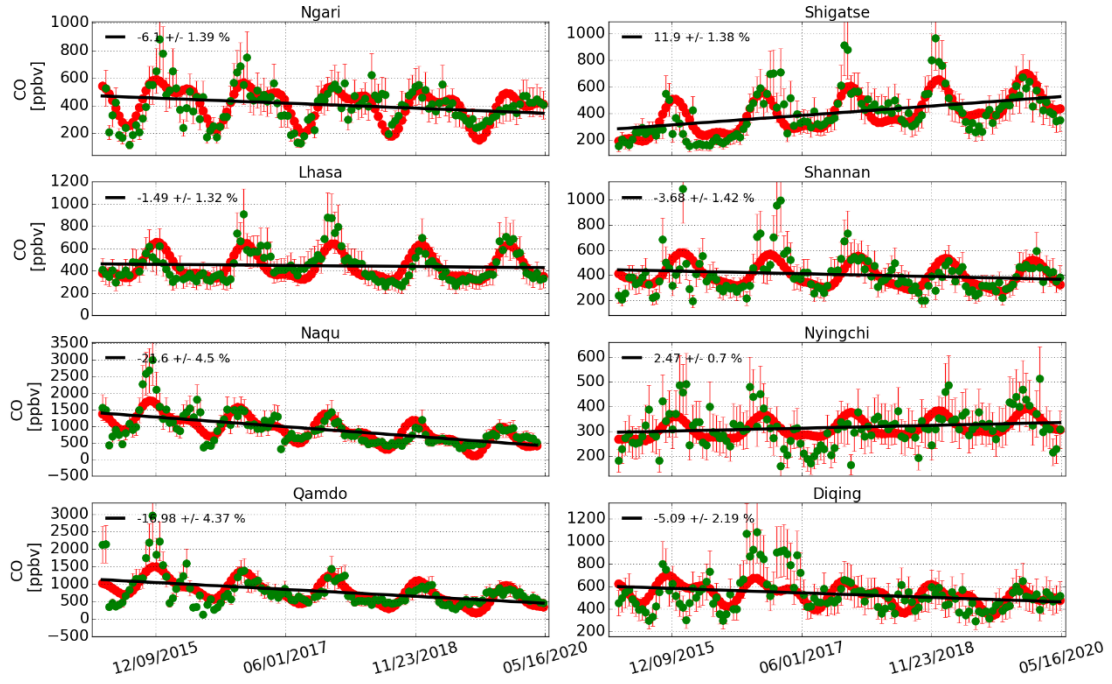
2
3 **Fig. 1.** Enlarge view for geolocations of all cities (yellow dots) over the Himalayas and Tibetan Plateau (HTP) (top).
4 Geographical regions implemented in the standard GEOS-Chem tagged CO simulation (bottom). Latitude and
5 longitude definitions are listed in Table 2. The base map of is created by the Basemap package of Python.



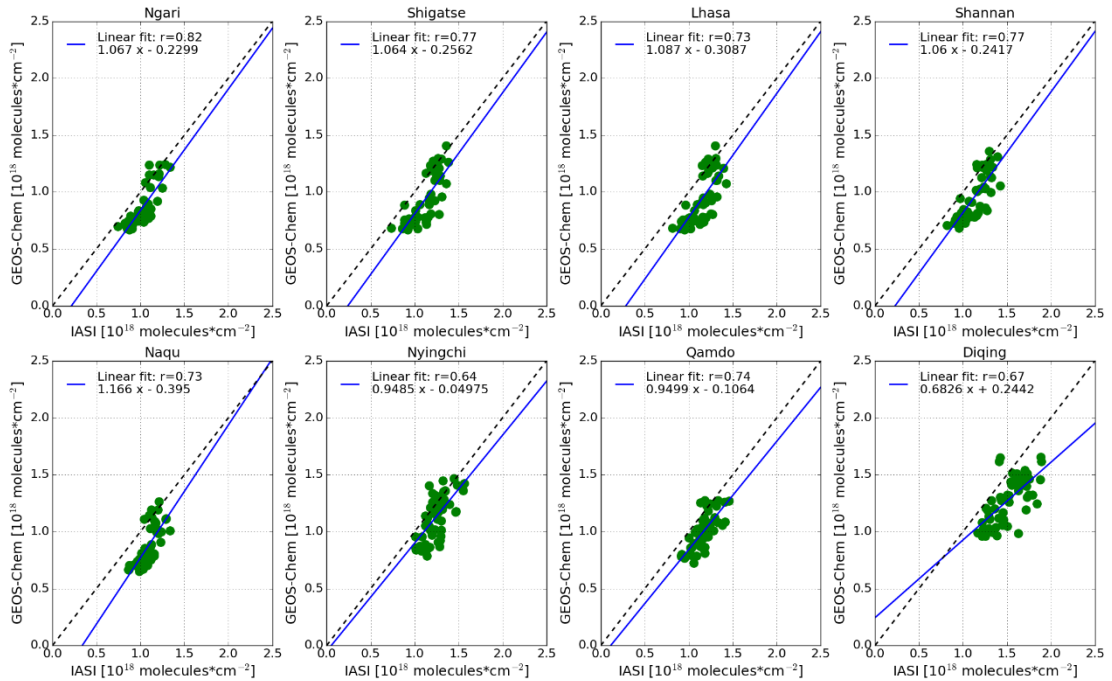
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 2 **Fig. 2.** Diurnal cycles (local time (LT)) of surface CO VMR in four seasons over the Himalayas and Tibetan Plateau
 3 (HTP). Vertical error bar is 1σ standard variation within that hour. Results are based on CO time series from 2015
 4 to 2020 provided by the CNEMC network.



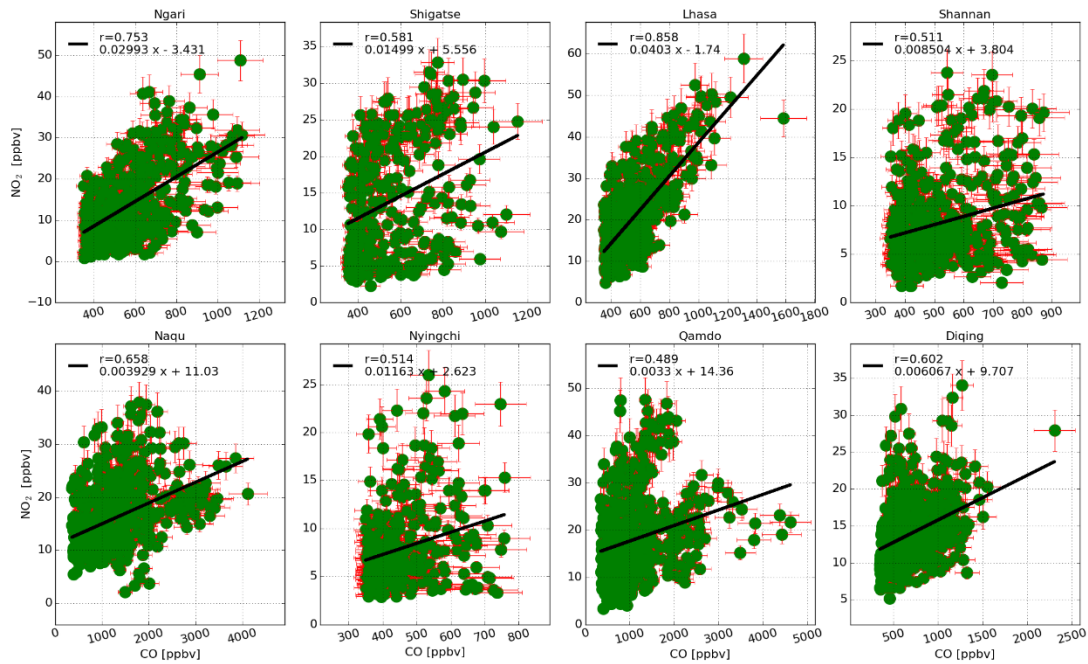
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 6 **Fig. 3.** Monthly mean of surface CO VMR over the Himalayas and Tibetan Plateau (HTP). Vertical error bar
 7 represent 1σ standard variation within that month. Results are based on CO VMR time series from 2015 to 2020
 8 provided by the CNEMC network.



1
 2 **Fig. 4.** Interannual variabilities of surface CO from 2015 to 2020 over the Himalayas and Tibetan Plateau (HTP).
 3 Green dots are biweekly mean of *in-situ* surface CO measurements. Vertical red error bar is 1σ standard variation
 4 within the respective two weeks. The seasonality and interannual trend in each city fitted by using a bootstrap
 5 resampling model with a 3rd Fourier series (red dots) plus a linear function (black line) is also shown.

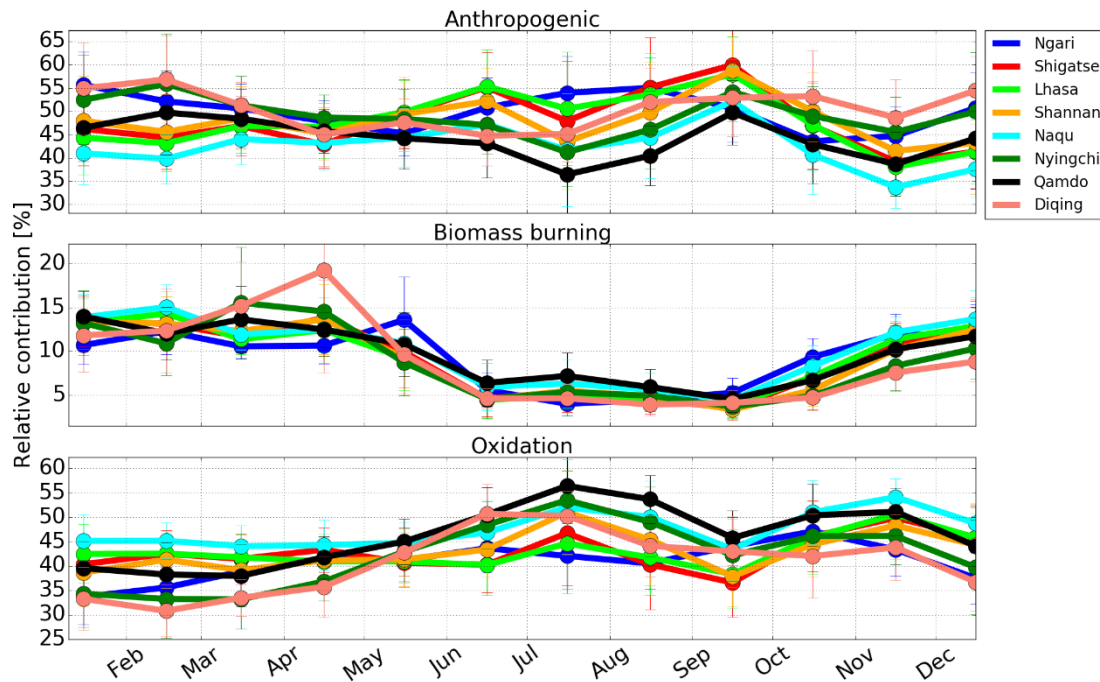


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 7 **Fig. 5.** Correlation plots of monthly mean of CO total column over the Himalayas and Tibetan Plateau (HTP) for
 8 GEOS-Chem model simulation against IASI observation. The average for GEOS-Chem simulation is performed at
 9 9:00 and 10:00 LT. The IASI dataset is selected within $\pm 1^\circ$ latitude/longitude rectangular area around each city. The
 10 blue lines are linear fitted curves of respective scatter points. The black dotted lines denote one-to-one lines.



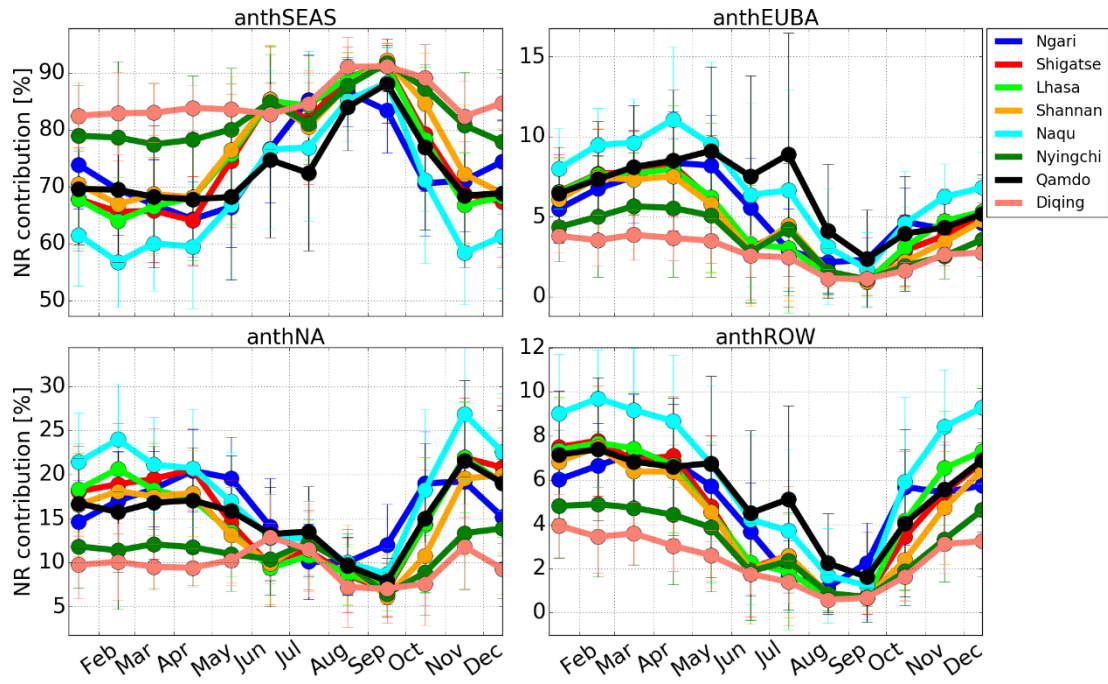
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Fig. 6. Correlation plots of surface CO versus NO₂ VMR daily mean over the Himalayas and Tibetan Plateau (HTP). The black line is a linear least-squares fit of respective data. The linear equation of the fit and the resulting correlation coefficient (*r*) are shown. Both CO and NO₂ time series are provided by the CNEMC network. Vertical error bar is 1 σ standard variation within that day.

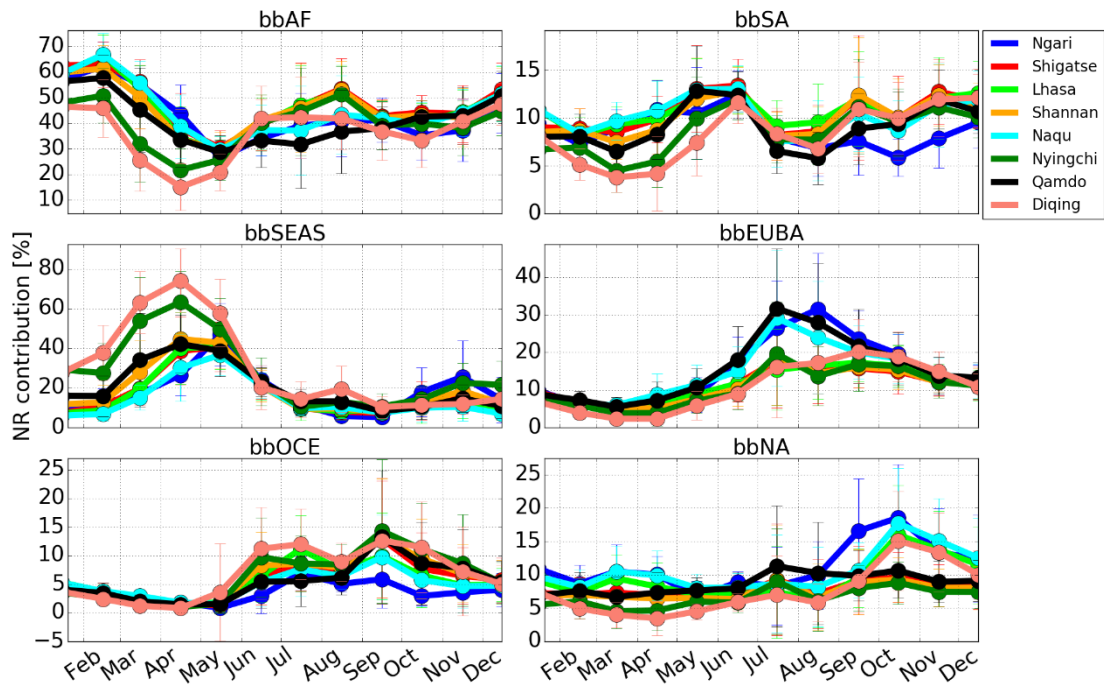


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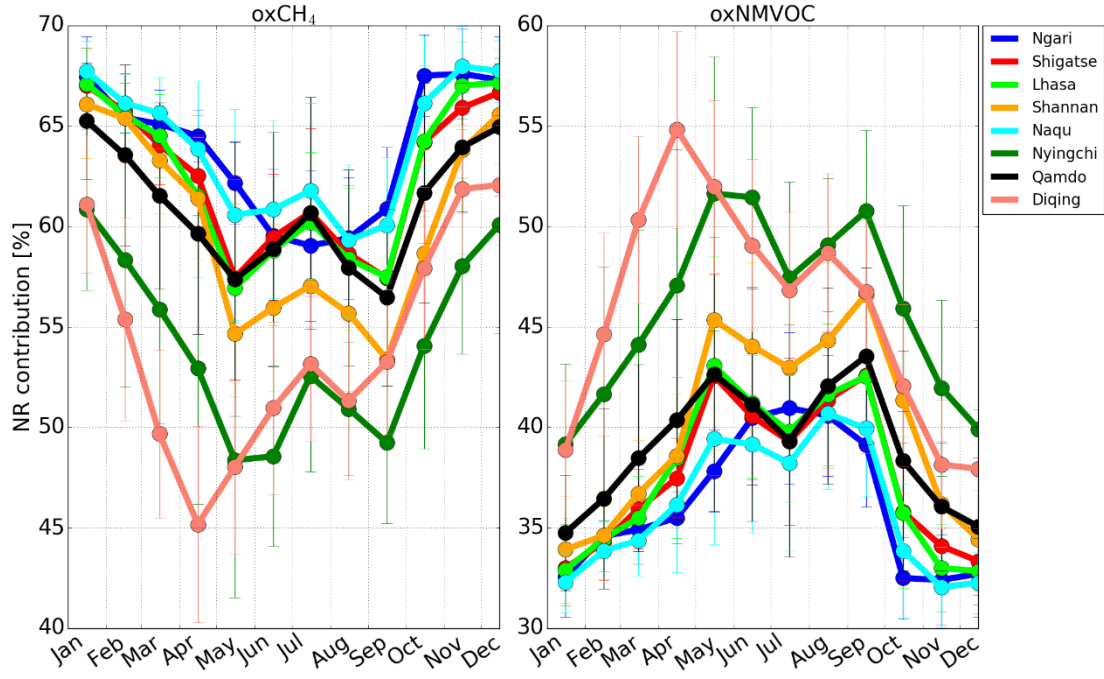
Fig. 7. Monthly mean contributions of anthropogenic, biomass burning (BB) and oxidation transport to CO over the Himalayas and Tibetan Plateau (HTP). Vertical error bar represent 1 σ standard variation within that month. See Table 2 for description of each tracer.



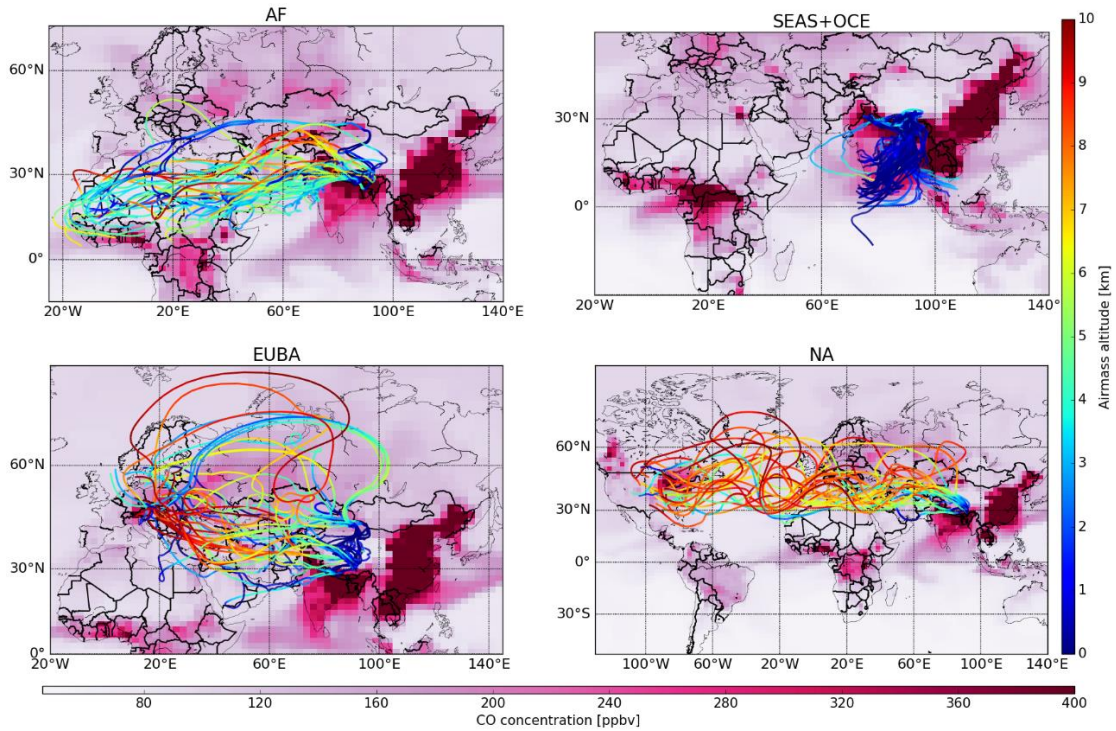
1
 2 **Fig. 8.** Monthly mean contributions of each geographical anthropogenic tracer to the total anthropogenic associated
 3 CO transport to the Himalayas and Tibetan Plateau (HTP). Vertical error bar is 1σ standard variation within that
 4 month. See Table 2 for description of each tracer.



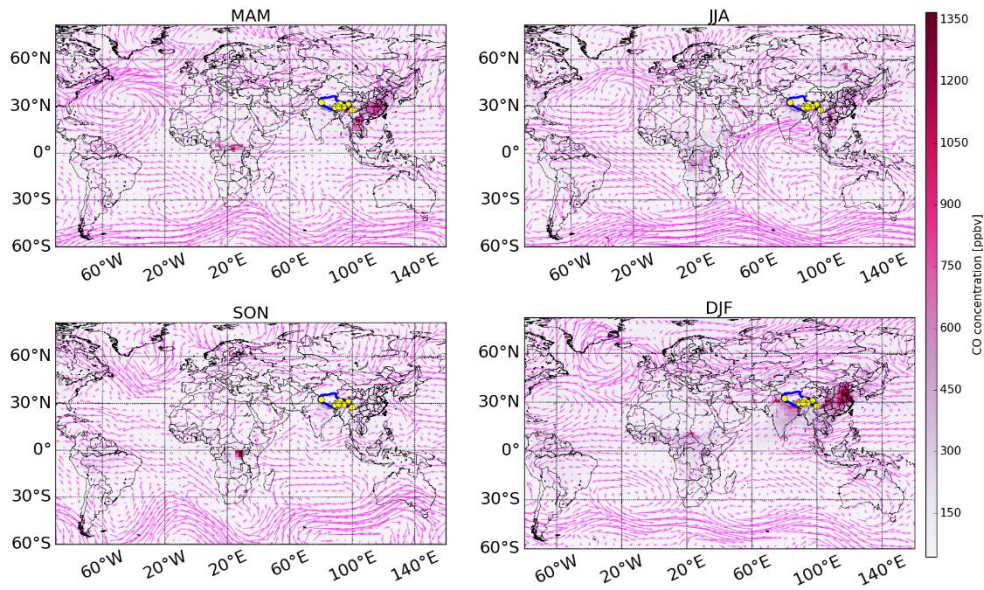
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 6 **Fig. 9.** Monthly mean contributions of each geographical BB tracer to the total BB associated CO transport to the
 7 Himalayas and Tibetan Plateau (HTP). Vertical error bar represent 1σ standard variation within that month. See
 8 Table 2 for description of each tracer.



1
 2 **Fig. 10.** Monthly mean contributions of CH₄ and NMVOC oxidations to the total oxidation associated CO transport
 3 to the Himalayas and Tibetan Plateau (HTP). Vertical error bar represent 1σ standard variation within that month.
 4 See Table 2 for description of each tracer.

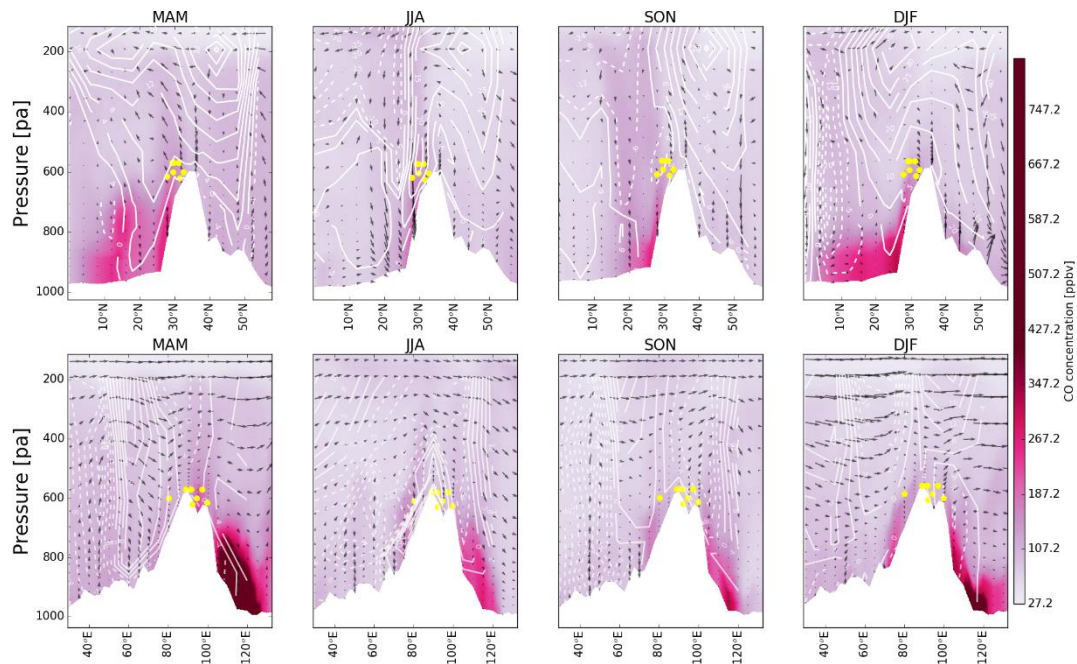


5
 6 **Fig. 11.** Travel trajectories of polluted air masses originated in AF (SON/DJF), SEAS & OCE (MAM/JJA), EUBA
 7 (SON/DJF), and NA (SON/DJF) that reached Naqu (31.5°N) through long range transport. For clarity, only few
 8 trajectories are selected for demonstration. Travel times are 13, 7, 10, and 14 days, respectively. Global surface CO
 9 distribution based on GEOS-Chem simulations are shown for 13, 7, 10, and 14 days prior to the arrival time,
 10 respectively. The deeper the color, the higher the CO concentration.
 11



1

2 **Fig. 12.** Spatial distribution of CO VMR in the GEOS-Chem tagged CO simulations in different seasons, and the
 3 arrows represent the mean horizontal wind vectors at 500 hPa. The HTP and the studied regions are marked with a
 4 blue outline and yellow dots, respectively. Meteorological fields are from the GDAS-1 data.



5

6 **Fig. 13.** The first row shows the latitude–height distributions of CO VMR averaged over 80–100° E in the tagged
 7 CO simulations in different seasons (corresponding to different columns). The white contours at intervals of 6 m s⁻¹
 8 represent the westerly (solid) and easterly (dashed) mean meridional winds; the white area represents topography
 9 and the arrows represent the wind vectors (vertical velocity in units of 10⁻⁴ hPa s⁻¹ and zonal wind in m s⁻¹); the
 10 studied regions are marked with yellow dots. The second row is similar to the first row except that the quantities are
 11 on the longitude–height perspective averaged over 28–33° N. Here the white contours represent the southerly (solid)
 12 and northerly (dashed) mean zonal winds, and the horizontal component of the wind vectors is meridional wind (m
 13 s⁻¹). Meteorological fields are from the GDAS-1 data.

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1 **Tables**

2 **Table 1.** Geolocations of measurement sites in eight cities over the HTP region. All sites are organised as a function
 3 of increasing longitude. Population statistics are prescribed from the 2018 demographic data provided by National
 4 Bureau of Statistics of China.

Name	Longitude mean	Latitude mean	Altitude mean (km)	Population	Number of sites	Time period
Ngari	80.1°E	32.5°N	4.5	110,000	2	Jan. 2015 - present
Shigatse	88.9°E	29.3°N	4.0	770,000	3	Jan. 2015 - present
Lhasa	91.1°E	29.7°N	3.65	690,000	6	Jan. 2015 - present
Shannan	91.8°E	29.2°N	3.7	370,000	2	Jan. 2015 - present
Naqu	92.1°E	31.5°N	4.45	500,000	3	Jan. 2015 - present
Nyingchi	94.4°E	29.6°N	3.1	230,000	2	Jan. 2015 - present
Qamdo	97.2°E	31.1°N	3.26	700,000	3	Jan. 2015 - present
Diqing	99.7°E	27.8°N	3.38	410,000	2	Jan. 2015 - present

5 **Table 2.** Descriptions of all tracers implemented in the standard GEOS-Chem tagged CO simulation and the
 6 geographical definitions of all source regions.

Type	Tracer	Description	Region	Location
Anthropogenic	anthNA	Fossil fuel + Biofuel CO emitted over the North America	172.5°W - 50.0°W; 24.0°N - 88.0°N	NA
	anthEUBA	Fossil fuel + Biofuel CO emitted over Europe and Boreal Asia	17.5°W - 72.5°E; 36.0°N - 45.0°N and 17.5°W - 172.5°E; 45.0°N - 88.0°N	EUBA
	anthSEAS	Fossil fuel + Biofuel CO emitted over South Asia and East Asia	70.0°E - 152.0°E; 8.0°N - 45.0°N	SEAS
	anthROW	Fossil fuel + Biofuel CO emitted South America, Africa and Oceania	112.5°W - 32.5°W; 56°S - 24°N and 17.5°W - 70.0°E; 48.0°S - 36.0°N and 70.0°E - 170.0°E; 90.0°S - 8.0°N	SA+AF+OCE
Biomass burning	bbSA	Biomass burning CO emitted over South America	112.5°W - 32.5°W; 56°S - 24°N	SA
	bbAF	Biomass burning CO emitted over Africa	17.5°W - 70.0°E; 48.0°S - 36.0°N	AF
	bbSEAS	Biomass burning CO emitted over South Asia and East Asia	70.0°E - 152.5°E; 8.0°N - 45.0°N	SEAS
	bbOC	Biomass burning CO emitted over Indonesia and Oceania	70.0°E - 170.0°E; 90.0°S - 8.0°N	OC
	bbEUBA	Biomass burning CO emitted over Europe and Boreal Asia	17.5°W - 72.5°E; 36.0°N - 45.0°N and 17.5°W - 172.5°E; 45.0°N - 88.0°N	EUBA
	bbNA	Biomass burning CO emitted over North America	173°W - 50°W; 24.0°N - 88.0°N	NA
Oxidation	oxCH	CO chemically produced from CH ₄ oxidation	global	global
	oxNMVOC	CO chemically produced from NMVOCs oxidation	global	global

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1 **Table 3.** Statistical summary of surface CO VMR in eight cities over the HTP region. All cities are organised as a
 2 function of increasing longitude.

Name	Diurnal cycle		Seasonal cycle		Interannual variability	
	Hourly min. (ppbv)	Hourly max. (ppbv)	Monthly min. (ppbv)	Monthly max. (ppbv)	Annual mean (ppbv)	Trend (% per yr)
Ngari	319.2 ± 150.6 (16:00)	556.1 ± 311.0 (11:00)	206.8 ± 93.5 (Aug.)	632.0 ± 268.2 (Jan.)	395.9 ± 138.0	-6.1 ± 1.39
Shigatse	256.4 ± 177.1 (18:00)	464.9 ± 253.1 (10:00)	274.4 ± 98.2 (Sep.)	496.8 ± 365.3 (Jan.)	408.4 ± 165.8	11.9 ± 1.38
Lhasa	334.5 ± 101.0 (17:00)	650.0 ± 430.7 (22:00)	375.8 ± 128.6 (Jul.)	759.4 ± 473.8 (Dec.)	446.1 ± 138.5	-1.49 ± 1.32
Shannan	393.1 ± 230.3 (17:00)	572.6 ± 265.6 (10:00)	310.5 ± 120.5 (Oct.)	665.8 ± 280.2 (Feb.)	409.8 ± 147.0	-3.68 ± 1.42
Naqu	757.3 ± 411.6 (17:00)	1485.1 ± 1104.7 (23:00)	607.1 ± 284.3 (Jul.)	1539.4 ± 1075.8 (Nov.)	901.6 ± 472.2	-21.6 ± 4.5
Nyingchi	286.5 ± 106.6 (6:00)	404.5 ± 142.4 (10:00)	257.8 ± 123.0 (Jul.)	428.2 ± 169.5 (Nov.)	318.3 ± 71.6	2.47 ± 0.7
Qamdo	738.4 ± 517.7 (5:00)	1326.5 ± 1048.8 (21:00)	469.3 ± 217.7 (Jul.)	1887.1 ± 1132.0 (Dec.)	766.1 ± 413.1	-17.0 ± 4.37
Diqing	455.8 ± 257.8 (5:00)	798.7 ± 427.1 (10:00)	419.0 ± 221.2 (Jul.)	793.2 ± 666.8 (May)	531.4 ± 156.8	-5.09 ± 2.19

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