NOTE: This file includes two sections. Section 1 presents comments from the referees and Dr. S. K. Sharma, the corresponding point-by-point responses, and the related changes in the manuscript. Section 2 is the marked-up manuscript.

Section 1: (The black font are comments from the referees, and the red font are authors' responses as well as the related change clarifications)

(1) Detailed response to comments from referee #1:

This paper presents an analysis of a suite of in situ CO measurements located in the Himalayas and Tibetan Plateau, providing source attributions of the CO measurements through the use of a GEOS-Chem full-chemistry simulation, a GEOS-Chem tagged CO simulation and the HYSPLIT trajectory model. The authors ultimately conclude that main source of CO in the studied region is due to local and Southeast Asian anthropogenic and biomass burning, and oxidation sources. In contrast, black carbon in the studied region is largely attributed to biomass burning of Southeast Asian. They also conclude that there is a decreasing trend in CO due to decreasing emissions in the region. I believe that the results of this study could be of interest to the general atmospheric science community; however, in its current state, I feel the manuscript lacks sufficiently novel results while there is no new contribution to methods for this type of analysis. The methods are nearly identical to those in the recent paper by Sun et al. 2020. My greatest concern of this paper is on the use of the GEOS-Chem model. The bulk of the main conclusions of the manuscript are derived from interpretation of the GEOS-Chem simulation. However, I believe there are a number of major flaws that must be addressed as I suspect they could likely change the results. I have a number of major comments that should be sufficiently addressed before the manuscript is considered for publication. If the major comments can be sufficiently addressed, I would recommend the paper for review subject to addressing minor issues.

Response: All major comments listed below have been addressed. Please check the point by point response as follows.

Major Comments

1. Perhaps I am missing something but I do not see how CO measurements alone can provide evidence for the authors main motivation that the HTP region is an important region for pollution. As the authors state themselves, the HTP CO is largely attributed to background concentrations due to long-range transport. The results of the analysis also seem to come to this conclusion. The authors had identified cases of enhanced CO measurements which were attributed to biomass burning or local anthropogenic sources. However, I do not think a robust conclusion can be made here. CO has a moderate atmospheric lifetime and therefore can undergo long-range transport. Other atmospheric constituents, that may be more important to pollution in the HTP may have much shorter lifetimes. Therefore, these pollutants may have little to no influence over the HTP. This can not be determined from the CO measurements alone, but the GEOS-Chem full-chemistry simulation could provide some insight. However, this is subject to certain model limitations discussed below.

Response: The motivation of this study is to quantify variability, source, and transport of CO in the urban areas over the Himalayas and Tibetan Plateau (HTP) rather than to prove that the HTP region is an important region for pollution. Most previous studies have often concentrated on burdens, sources and transport of carbonaceous aerosols (including organic carbon (OC) and black carbon (BC)) over the HTP, but the studies on gaseous pollutants are limited (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). An investigation of CO pollution can complement current atmospheric investigation over the HTP since the chemical characteristic, climate forcing, and deposition of CO is different from the well-established carbonaceous aerosols.

Indeed, we compared our study with previous studies, but this is intended to highlight the novelty of this study. This study only cares about CO pollution in urban areas over HTP.

2. GEOS-Chem is a powerful tool for source attribution studies of this kind; however, I feel that the way the authors implemented the model raises several questions. In Section 2.2, the GEOS-Chem model setup is described. On L37-38, the authors state a 1hr timestep for surface variables and PBL heights. I am not sure what surface variables are in this case or is the PBL timestep? I am guessing these are the emissions and PBL mixing timesteps? Additionally, given the importance of the PBL in this studies, the authors should state which PBL mixing scheme was used. The authors also state a 3hr timestep of all other variables. Is this referring to transport and chemistry timesteps? If so, this seems exceedingly long, especially for the full-chemistry simulation. The authors should refer to Philip et al. 2017 for a discussion of the appropriate timesteps to be used.

Response: We apologize for the confusion. The original text in L37-38 are for time step of the input meteorological fields from GEOS-FP, i.e. 1-hour for surface meteorological variables (e.g. surface temperature) and PBL heights and 3-hour for other meteorological variables. This is not the time step for chemistry, emission, and transport. We now state in the Section 2.4 to avoid confusion:

"The time step used in the model are 10 minutes for transport and 20 minutes for chemistry and emissions, as recommend for the GEOS-Chem full-chemistry simulation at 2x2.5 (Philip et al., 2016)."

The time step of PBL mixing follows that of transport (i.e. 10 minutes). We add

the following text for the PBL scheme description.

"The non-local scheme for the boundary layer mixing process are described in Lin and McElroy (2010)."

[1] Lin J T, Mcelroy M B . Impacts of boundary layer mixing on pollutant vertical profiles in the lower troposphere: Implications to satellite remote sensing[J]. Atmospheric Environment, 2010, 44(14):1726-1739.

For the tagged CO simulation, the OH fields, methane and VOC oxidation rates are derived from a full chemistry simulation. Is this from the full-chemistry simulation used in this study? I believe this would be the best approach to maintain consistency between the two simulations. If they are not from this simulation, the model version should be stated. On a related note, the authors state the GEOS-Chem version, but it is now required that the model DOI is also include. The authors should add this the manuscript.

Response: Yes, the OH fields, methane and VOC oxidation rates are derived from a full chemistry simulation used in this study. To maintain consistency between different simulations, the same version of GEOS-Chem, emission inventories and meteorological fields are used. The model DOI is included in the revised version.

Philip, S., Martin, R. V., & Keller, C. A. (2016). Sensitivity of chemistry-transport model simulations to the duration of chemical and transport operators: a case study with GEOS-Chem v10-01. Geoscientific Model Development, 9(5), 1683-1695. https://doi.org/10.5194/gmd-9-1683-2016

Response: We have included this reference in the revised version.

3. In Section 4 "Model evaluation over the HTP", I have concerns about the conclusions that could be made from this type of model evaluation. Particularly, I am unsure why the authors have compared the model to measurements by first scaling the model concentrations based on a mean model to measurement ratio. This effectively eliminates any bias in the model due to OH biases, weak vertical mixing and excessive stratosphere-troposphere exchange, all of which are known issues in the GEOS-Chem model. As a result, I believe these issues could therefore influence the interpretation of the results. Particularly, I would assume that GEOS-Chem would likely capture mostly background concentrations of CO due to long range transport, while episodic enhancements would not be accurately captured, I believe the authors come to this conclusion at the end of the section. Furthermore, can robust conclusions be made for these comparisons. I believe that high-altitude in situ measurements and valuable, but for model evaluation, it is difficult to assess. Particularly, due to the representativeness error of the in situ measurements only represent a single model level. I would guess that column measurements could provide a more appropriate metric. I understand that column measurements are likely scarce in this region; however, it could be worth considering a comparison to satellite-based CO measurements from instruments such as MOPITT or IASI in a larger domain.

Response: We have followed your suggestion and used IASI CO total column from 2015 to 2020 over the HTP to evaluate the model performance in the specifics of the HTP. Please see section 4 for details. To balance the accuracy and the number of valid data over HTP, the IASI data within $\pm 1^{\circ}$ latitude/longitude rectangular area around each city and with total error of less than 15% are selected. Since the IASI overpass time is at about 09:30 LT in the morning, only the GEOS-Chem simulations at 9:00 and 10:00 LT are considered. The results show that, though not perfect in reproducing the absolute values of the IASI observation, GEOS-Chem can capture the measured seasonal cycle of CO total column over the HTP with a correlation coefficient (r) of 0.64 to 0.82 depending on regions. The agreement is much better than using the in situ data.

4. In Section 5.3, I believe this method is reasonable, but would the results be more concise if the authors had implemented a transport model such as STILT or FLEXPART? These models could easily identify the source-receptor relationship for the enhanced measurements. Particularly these models can be easily and efficiently run at high resolution, providing better representation of vertical transport than GEOS-Chem.

Response: In order to determine the 3D transport pathways, the 3D travel trajectories, latitude/longitude – height distributions of CO VMR along with the 3D atmospheric circulation patterns have to be used. For example, "CO originating in distant regions such as western EUBA and NA reaches a higher altitude (to 8 km) than those in SEAS region", "CO removals over the HTP in all seasons are driven by atmospheric deep convection which lofts CO into higher altitudes or by westerlies which transports local emissions far away", etc., these information cannot be derived without 3D travel trajectories and 3D atmospheric circulation patterns.

Indeed, STILT, FLEXPART and HYSPLIT are all powerful tools to identify the source-receptor relationship for the enhanced measurements, and we used the HYSPLIT to generate 3D trajectories in this study. We believe STILT and FLEXPART can do the same thing but we don't think we can simplify the analysis by using either of them to obtain the same results. Otherwise, we can only get the regional sensitivity (this can be easily done by flatten the trajectories and count the frequencies within each region) but cannot get the 3D transport processes.

5. The authors have stated they had used a full-chemistry GEOS-Chem simulation. Aside from the brief discussion of the CO/NO_2 ratios, the results of this simulation are not discussed further and do not contribute to any substantial conclusions. I would suggest to just remove this simulation from the analysis completely, as the authors are only using CO measurements. Alternatively, the authors could include the results of the full-chemistry simulation for other species as I mentioned in the first comment,

but this analysis would have to be carefully considered given the model limitations. It may also be outside the scope of the study.

Response: We apologize for the confusion. The CO and NO₂ time series used here are all based on in situ measurements rather than model simulations. The results show that NO₂ and CO concentrations were correlated in all cities (*r* ranges from 0.49 - 0.86) throughout the year. The overall good correlations between these two gas pollutants suggested common sources of Δ NO₂ and Δ CO in these cities. As a short lifetime species (a few hours), the emitted NO₂ is heavily weighted toward the direct vicinity of local emission regions. As a result, local emissions are important sources of CO in all cities. We have stated that both CO and NO₂ time series are in situ measurements in the revised version. This section is used to prove that local emissions are important sources of CO in all cities. We would like to keep it in the revised version.

Minor Comments:

In Section 4: On L30-32, I do not believe the model underestimation is due just to underestimation in local anthropogenic sources. It is likely a contributing factor, but OH biases, weak vertical mixing and excessive stratosphere-troposphere exchange are likely larger contributors.

Response: These GEOS-Chem vs. IASI differences over the HTP were mainly attributed to the underestimation of local emission inventories and the coarse spatial resolution of the GEOS-Chem model grid cells. In addition, the difference between simulation and measurement could be also associated with the uncertainties in meteorological fields over HTP, OH field, and stratosphere-troposphere exchange (STE) scheme, which are known issues in the GEOS-Chem model (Bey et al., 2001; Kopacz et al., 2011). Please see detailed explanation in section 4.

L38-39, it is unclear what the 0.25×0.3125 degree model grid cell is referring to. On L40-42, I am also not sure what is meant by mean concentration.

Response: It should be 2×2.5 degree model grid cell and we have reworded this sentence to avoid misleading, i.e., "Besides, the coarse spatial resolution of the GEOS-Chem simulations homogenizes CO concentrations within each $2^{\circ} \times 2.5^{\circ}$ model grid cell. The simulation results represent the homogenized concentrations in the grid box at the grid-mean elevation, which could cause significantly bias near complex terrain (Yan et al., 2014)".

In Section 5.1 and 5.2, the authors should carefully consider the potential uncertainties in the GEOS-Chem model and discuss the potential impacts on their interpretation of the results.

Response: We have discussed the potential impacts on the interpretation of the results in the revised version. Please check section 4 and section 5.2 for details. "We turn off

all emission inventories within the HTP in the GEOS-Chem tagged CO simulation and assess the relative contribution of each source and geographical tracer. The relative contribution of each tracer is calculated as the ratio of the corresponding absolute contribution to the modelled total amount. Taking this ratio effectively minimizes the propagation of systematic model errors that are common to all tracers, i.e., the uncertainties in meteorological fields, the vertical mixing and STE schemes, and the mismatch in spatial resolution."

"By minimizing the propagation of model errors that are common to all tracers (see section 4), the major factors impacting the model interpretation are the uncertainties in emission inventories and OH fields. The uncertainties in CO emission inventories mainly impact primary anthropogenic and BB sources, and the uncertainties in CH₄ and VOCs emission inventories, and OH fields mainly impact secondary oxidation sources. Additional factors that affect the generation and deplete chemistry of CO or its precursors (e.g., uncertainties in emission inventories of other atmospheric components, stratospheric intrusion of ozone and chemical mechanism, etc.) could also contribute to the uncertainty of the interpretation. All these factors may be seasonal and regional dependent. A series of GEOS-Chem sensitivity studies might be able to quantify these uncertainties, but this is beyond the scope of present work."

In Section 5.2 on L26, can the authors explain this seasonality?

Response: We have explained the seasonality in the revised version, i.e., "The JJA/SON meteorological conditions which show stronger solar radiation, higher temperature, wetter atmospheric condition, and lower pressure than those in DJF/MAM are more favorable for increasing VOCs emissions from biogenic sources (BVOCs), which consolidates the fact that contributions of NMVOCs oxidation in warm season are larger than those in cold season.". Please check section 5.2 for details.

FIRMS fire hotspots are used, but would it not be easier and more consistent to just use the GEOS-Chem biomass burning emissions? Fire locations do not necessarily correspond to emissions. On the following lines, what is meant by intensive fire numbers? And again on L2-3 of page 11, why not just use the GEOS-Chem emissions to verify that it is an anthropogenic source?

Response: We have followed your comments and used GEOS-Chem emissions to identify anthropogenic and biomass burning sources.

In Section 5.4, first paragraph, what is meant by self-clean? Could the authors clarify. **Response:** We have defined the terminology of self-clean, i.e., "Atmospheric self-clean capability refers to the capability of the atmosphere in terms of depleting

atmospheric pollutants through physical and chemical processes (Rohrer et al., 2014)". Please check section 5.4 for details.

In Section 5.4, page 12, L11, I would think OH seasonality is also a major factor. **Response:** We have changed it to "The OH oxidation capability is positively correlated with temperature, radiation and OH seasonality". Please check section 5.4 for details.

In Section 5.4, page 12, L14, "accumulation, diffusion and deplete processes", not sure what this means. Please clarify.

Response: We have changed it to "generation and deplete processes".

In Section 5.4, page 12, L38-40, where does the CO/CO_2 ratio come from? A reference is needed, or this sentence should just be removed. **Response:** We have removed this sentence in the revised version.

Figures 2-10, can the authors please ensure that the y-axis of each subplot is consistent? It's very difficult to interpret since all plots have different y-axis ranges. **Response:** We have used consistent y-axis for most of these figures except the sub-figures that we intend to clearly show seasonal variation of each quantity.

Figure 11-12, I would recommend to include a colorbar for the CO concentrations. **Response:** Done.

(2) Detailed response to comments from referee #1:

The authors analyzed the site measurements and modeling results of CO over the HTP in terms of its diurnal and seasonal cycle as well as interannual variability. They also used GEOS-Chem and HYSPLIT to identify the major long-range transport path of CO globally to the HTP. The analysis was mainly done for several urban regions in the HTP. In general, the manuscript structure is well laid out, but there are still a few places requiring further clarification and improvement. My suggestions and comments are as follows.

Major comments:

1. My major concern is the relatively low model spatial resolution for GEOS-Chem (2deg) and HYSPLIT (1deg) simulations, which could not capture the complex topography over the HTP. Particularly, this might miss some efficient valley-mountain transport. How would the spatial resolution issue affect the transport and variation analysis in this study? Besides, it is also a problem when evaluating GEOS-Chem model by comparing 2deg grid value with point-scale site measurements.

Response: Indeed, GEOS-Chem (2deg) and HYSPLIT (1deg) are probably not good

enough for local emission, but it is sufficient for investigation of long range transport in this study.

As recommended by referee #1, we have used IASI CO total column from 2015 to 2020 over the HTP to evaluate the model performance in the specifics of the HTP. To balance the accuracy and the number of valid data over HTP, the IASI data within $\pm 1^{\circ}$ latitude/longitude rectangular area around each city and with total error of less than 15% are selected. Indeed, the extreme climate conditions (e.g., valley-mountain transport) and the variability between clean and polluted conditions in the region are a challenge for current climate models. The comparison shows that, though not perfect in reproducing the absolute values of the IASI observation, GEOS-Chem can capture the measured seasonal cycle of CO total column over the HTP with a correlation coefficient (r) of 0.64 to 0.82 depending on regions. GEOS-Chem can capture mostly background concentrations of CO due to long range transport but cannot accurately capture episodic enhancements. In this study, the GEOS-Chem model is only used for investigating the influence of long range transport. We turn off all emission inventories within the HTP in the GEOS-Chem tagged CO simulation and assess the relative contribution of each source and geographical tracer. The relative contribution of each tracer is calculated as the ratio of the corresponding absolute contribution to the modelled total amount. Taking this ratio effectively minimises the propagation of systematic model errors that are common to all tracers, i.e., the uncertainties in meteorological fields, the vertical mixing and STE schemes, and the mismatch in spatial resolution. Please see section 4 for details.

2. Section 5.3: It's interesting that the authors combined GEOS-Chem simulation and HYSPLIT back trajectory analysis to identify the path. But note that the meteorological fields used in GEOS-Chem and HYSPLIT are different, which could lead to some inconsistency. Maybe a brief comparison of wind fields for these two would be useful.

Response: In the revised version, we verified that the wind fields provided by GDAS-1 are in good agreement with those by the Goddard Earth Observing System-Forward Processing (GEOS-FP) meteorological fields used in GEOS-Chem (Fig. S1). Please see supplement for details.

3. One important thing that was not discussed by the authors is how the uncertainty in VOC emissions contributes to the uncertainty in the analysis here, given the non-trivial contribution from secondary CO production. Besides, how would the stratospheric intrusion of ozone which is important over the HTP affect the CO simulations here?

Response: This is a very interesting topic but can't be solved through a simple

analysis. A series of GEOS-Chem sensitivity studies might be able to quantify these uncertainties, but this is beyond the scope of present work. Please see the following discussion in section 5.2 in the revised version.

"By minimizing the propagation of model errors that are common to all tracers (see section 4), the major factors impacting the model interpretation are the uncertainties in emission inventories and OH fields. The uncertainties in CO emission inventories mainly impact primary anthropogenic and BB sources, and the uncertainties in CH₄ and VOCs emission inventories, and OH fields mainly impact secondary oxidation sources. Additional factors that affect the generation and deplete chemistry of CO or its precursors (e.g., uncertainties in emission inventories of other atmospheric components, stratospheric intrusion of ozone and chemical mechanism, etc.) could also contribute to the uncertainty of the interpretation. All these factors may be seasonal and regional dependent. A series of GEOS-Chem sensitivity studies might be able to quantify these uncertainties, but this is beyond the scope of present work."

Minor comments:

1. It seems that this study mainly focuses on urban areas over the HTP, so I suggest changing the title to reflect this aspect to avoid confusion, since conclusions here may not be applicable to remote areas in the HTP.

Response: We have changed the title to "Quantifying variability, source, and transport of CO in the urban areas over the Himalayas and Tibetan Plateau".

2. Page 3, Line 8: Note that BC is also one aerosol component, so the authors could be more specific about the aerosol here. Also, a few important recent studies can be included Li here, for example, et al. (2021): Gul https://doi.org/10.1016/j.envint.2020.106281; al. (2021): et https://doi.org/10.1016/j.envpol.2021.116544

Response: These important recent studies have been included in the introduction. "These atmospheric compositions include CO (Park et al., 2007a; Park et al., 2007b), CH4 (Xiong et al., 2009), HCN (Randel et al., 2010), PAN (Zhang et al., 2009; Ungermann et al., 2016; Xu et al., 2018), O₃ (Yin et al., 2017; Xu et al., 2018), and aerosol (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021)."

Since some of these studies described more specific about the aerosol but some are not. As a result, we grouped all of them as aerosol as above. Please see section 1 for details.

3. Page 3, Lines 21-22: "... are still poorly understood". I think the community has

made important advances in the past 10 years on this topic over the HTP. Many studies have investigated the sources and transport of atmospheric pollutants over the HTP, although many of them have used black carbon instead of CO as a tracer (e.g., Thind et al., 2021: https://doi.org/10.1016/j.atmosenv.2020.118173; He et al., 2014: https://doi.org/10.1002/2014GL062191; Zhang al., 2015: et https://doi.org/10.5194/acp-15-6205-2015; Zhu al., 2019: et https://doi.org/10.5194/acp-19-14637-2019). I think these studies have also helped to improve our understanding of pollution transport in this region and could be mentioned in the introduction and compared with the transport results based on CO tracers in this study in the discussion section.

Response: We have revised the statement "...are still poorly understood" and described the recent studies shown above in the introduction, i.e., "... In addition, most previous studies have often concentrated on burdens, sources and transport of carbonaceous aerosols (including organic carbon (OC) and black carbon (BC)) over the HTP, but the studies on gaseous pollutants are limited (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). As a result, the variabilities, sources, drivers, and transport pathways of atmospheric pollutants over the HTP are still not fully understood". Please see section 1 for details.

We also compared our study with previous studies, i.e., "From section 5.1 and the model interpretation here, we conclude that main source of CO in urban areas over HTP is due to local and SEAS anthropogenic and biomass burning emissions, and oxidation sources. In contrast, black carbon in most of the HTP is largely attributed to Southeast Asian biomass burning, and locally sourced carbonaceous matter from fossil fuel and biomass combustion also substantially contribute to pollutants in urban cities and some remote regions, respectively (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). Our study emphasized the different origins of diverse atmospheric pollutants in the HTP.". Please see section 5.2 for details.

4. Page 3, Lines 38-44: One thing that was not mentioned by the authors is that what is the new aspect of this study to look at CO over the HTP compared with previous studies. It seems that not much has been described in the introduction section regarding what knowledge of CO transport in this region we already obtained from previous studies. Some descriptions are needed and the novelty of this study needs to be highlighted.

Response: We have highlighted new aspect of this study to look at CO over the HTP compared with previous studies in the introduction, i.e., "most previous studies have often concentrated on burdens, sources and transport of carbonaceous aerosols

(including organic carbon (OC) and black carbon (BC)) over the HTP, but the studies on gaseous pollutants are limited (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). CO study can complement current atmospheric investigation over the HTP since the chemical characteristic, climate forcing, and deposition of CO is different from the well-established carbonaceous aerosols."

We have also described in the introduction regarding what knowledge of CO transport in this region we already obtained from previous studies, i..e, "Only few studies have investigated the burden and variability of CO over the HTP (Ran et al., 2014; Yin et al., 2019a). These studies uniformly focused on the most developed regions in Lhasa, and did not analyze interannual trend and transport of CO. This study can not only expand the coverage of CO study over the HTP, but also provides insights into the interannual trends, sources, and transport of CO in all urban areas over the HTP." Please see section 1 for details.

5. Page 12, Line 4: "Factors drive . . ." should be "Factors driving". **Response:** Done.

(3) Detailed response to comments from Dr. S. K. Sharma:

The paper presents the comprehensive analysis of the source and transport of atmospheric CO over the Himalayas and Tibetan Plateau (HTP) and its potential implications on the melting of glaciers, damaging air quality, water sources, grasslands, and threatening climate on regional and global scales. The diurnal, seasonal, and interannual variability of CO over the HTP is also investigated from January 2015 to July 2020. The GEOS-Chem model has been used and further validated with the ground-based observations. This study concluded that the anthropogenic and oxidation sources originating either local or in the SEAS region dominated the surface CO over the HTP, which is different from the black carbon that is mainly attributed to BB source from the SEAS region. The authors have made a good effort and demonstrated the transportation of CO and NOx mainly from biomass burning and primary sources to the HTP region.

Fig. 5 should be plotted in 1:1 also (in situ: GEOS-Chem).

Response: As recommended by referee #1, we have used IASI CO total column from 2015 to 2020 over the HTP to evaluate the model performance in the specifics of the HTP. The new Fig. 5 is plotted in 1:1 basis.

For Fig6, the only correlation coefficient can be used in the text, and Fig 6 may be deleted.

Response: In addition to correlation coefficient, the slope $\Delta NO_2/\Delta CO$ is also used. We would like to keep this figure which can visually show how much these two gases are correlated in each city over HTP.

The sub-section: Concluding remarks should be Conclusions **Response:** Done.

Section 2: marked up file, as follows

In brief, this paper has been subjected to a major revision based on the comments from two referees. In the marked up file, we only point out the main changes rather all revisions to avoid a big mess.

The marked up file is as follow, please check the red underlined sentences for details.

Quantifying variability, source, and transport of CO in the urban areas over the Himalayas and Tibetan Plateau

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29 Abstract:

30 Atmospheric pollutants over the Himalayas and Tibetan Plateau (HTP) have potential 31 implications for accelerating the melting of glaciers, damaging air quality, water sources and 32 grasslands, and threatening climate on regional and global scales. Improved knowledge of the 33 variabilities, sources, drivers, and transport pathways of atmospheric pollutants over the HTP is 34 significant for regulatory and control purpose. In this study, we quantify the variability, source, and transport of CO in the urban areas over the HTP by using in situ measurement, GEOS-Chem model 35 tagged CO simulation, and atmospheric circulation pattern techniques. Diurnal, seasonal, and 36 37 interannual variabilities of CO over the HTP are investigated with ~ 6 years (January 2015 to July 38 2020) of surface CO measurements in eight cities over the HTP. Annual mean of surface CO volume 39 mixing ratio (VMR) over the HTP varied over 318.3 ± 71.6 to 901.6 ± 472.2 ppbv, and a large 40 seasonal cycle was observed with high levels of CO in the late autumn to spring and low levels of 41 CO in summer to early autumn. The diurnal cycle is characterized by a bimodal pattern with two 42 maximums in later morning and midnight, respectively. Surface CO VMR from 2015 - 2020 in

most cities over the HTP showed negative trends. The IASI satellite observations are for the first 1 2 time used to assess the performance of GEOS-Chem model for the specifics of the HTP. The GEOS-3 Chem simulations tend to underestimate the IASI observations but can capture the measured 4 seasonal cycle of CO total column over the HTP. Distinct dependencies of CO on a short lifetime 5 species of NO₂ almost in all cities over the HTP were observed, implying local emissions to be 6 predominant. By turning off the emission inventories within the HTP in GEOS-Chem tagged CO 7 simulation, the relative contribution of long range transport was evaluated. The results disclosed 8 that transport ratios of primary anthropogenic source, primary biomass burning (BB) source, and 9 secondary oxidation source to the surface CO VMR over the HTP varied over 35 to 61%, 5 to 21%, 10 and 30 to 56%, respectively. The anthropogenic contribution is dominated by the South Asia and 11 East Asia (SEAS) region throughout the year (58% to 91%). The BB contribution is dominated by 12 the SEAS region in spring (25 to 80%) and the Africa (AF) region in July – February (30 - 70%). 13 This study concluded that main source of CO in urban areas over HTP is due to local and SEAS 14 anthropogenic and BB emissions, and oxidation sources, which is different from the black carbon that is mainly attributed to BB source from Southeast Asia. The decreasing trends in surface CO 15 16 VMR since 2015 in most cities over the HTP are attributed to the reduction in local and transported

17 CO emissions in recent years.

18 1 Introduction

19 The Himalayas and Tibetan Plateau (HTP), also named the 'Third Pole' (TP), is an important 20 region for climate change studies due to several reasons. Due to its unique feature for interactions among the atmosphere, biosphere, hydrosphere, and cryosphere, the HTP is referred to as an 21 22 important indicator of regional and global climate change (Pu et al., 2007; Yao et al., 2012; Zhang 23 et al., 2015). The HTP stores a large amount of ice masses on the planet and provides the headwater 24 of many Asian rivers which contribute water resource to over 1.4 billion people and it thus is referred 25 to as the 'Water Tower of Asia' (Xu et al., 2008; Immerzeel et al., 2010; Gao et al., 2019; Kang et 26 al., 2019). The glaciers and snowmelt over the HTP can potentially modify the regional hydrology, 27 contribute to global sea-level rise, and trigger natural hazards which may threaten the health and 28 wealth of many population (Singh and Bengtsson, 2004; Barnett et al., 2005; Immerzeel et al., 2010; 29 Kaser et al., 2010; Bolch et al., 2012; Yao et al., 2012; Gao et al., 2019). The HTP has an average 30 altitude of about 4000 m above sea level (a.s.l.), which highly elevates topography of the earth 31 system and imposes profound effects on global atmospheric circulation and climate change (Ye and 32 Wu, 1998; Wu et al., 2012; Zhang et al., 2015; Kang et al., 2019). The HTP is also of great interest 33 for model validation, since the extreme climate conditions and the variability between clean and 34 polluted conditions in the region are a challenge for current climate models (Ye et al., 2012; Kopacz 35 et al., 2011; Zhang et al., 2015).

36 Since the population level is very low, the HTP has long been regarded as atmospheric 37 background with negligible local anthropogenic emissions (Yao et al., 2012; Kang et al., 2019). 38 However, the HTP is surrounded by East Asia and South Asia which include many intensive 39 anthropogenic and natural emission source regions (Zhang et al., 2015; Kang et al., 2019). The 40 transport of polluted air masses from the highly populated area in northern India with its industry 41 and agriculture can have a strong impact on the composition of the atmosphere (Cong et al., 2009; 42 Kang et al., 2019). Furthermore, the Asian monsoon has a strong influence on the dynamics and transport pathways in the HTP (Zhang et al., 2015; Kang et al., 2019). Reanalysis results based on 43

glacial ice cores and lake sediments have revealed distinguishable anthropogenic disturbances from 1 Asian emissions since the 1950s (Wang et al., 2008; Cong et al., 2013; Zhang et al., 2015; Kang et 2 3 al., 2016; Kang et al., 2019). Convective transport around the HTP areas has also been verified by 4 satellite observations, chemical model simulations, flask sampling analyses, and in situ 5 measurements of some key atmospheric compositions. These atmospheric compositions include CO 6 (Park et al., 2007a; Park et al., 2007b), CH₄ (Xiong et al., 2009), HCN (Randel et al., 2010), PAN 7 (Zhang et al., 2009; Ungermann et al., 2016; Xu et al., 2018), O₃ (Yin et al., 2017; Xu et al., 2018), 8 and aerosol (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 9 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). Furthermore, urbanization, 10 industrialization, land use, and infrastructure construction over the HTP have expanded rapidly in 11 recent years, which could also emit air pollutants into the atmosphere (Ran et al., 2014; Yin et al., 12 2019b).

13 The ecosystem over the HTP is sensitive and fragile under extreme alpine condition. These 14 exogenous and local atmospheric pollutants have potential implications for accelerating the melting 15 of glaciers, damaging air quality, water sources and grasslands, and threatening climate on regional 16 and global scales (Pu et al., 2007; Xu et al., 2009; Yao et al., 2012; Kang et al., 2016; Yin et al., 17 2019a; Yin et al., 2020; Yin et al., 2019b). Efforts have been made to understand the variabilities of 18 atmospheric pollutants over the HTP. However, due to the logistic difficulties and poor accessibility 19 of the vast HTP, most studies are based on episodic measurements in specific regions or at widely 20 dispersed sites (Kang et al., 2019). An inter-comparison of these data and deductions may show 21 large inconsistencies and uncertainties because the reported individual studies have often relied on 22 different instruments and techniques (Kang et al., 2019). In addition, most previous studies have 23 often concentrated on burdens, sources and transport of carbonaceous aerosols (including organic 24 carbon (OC) and black carbon (BC)) over the HTP, but the studies on gaseous pollutants are limited 25 (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al., 2015; Zhu et 26 al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). As a result, the variabilities, sources, 27 drivers, and transport pathways of atmospheric pollutants over the HTP are still not fully understood.

28 Carbon monoxide (CO) is one of the most critical atmospheric pollutant which not only 29 threatens human health but also plays a vital role in atmospheric chemistry (Zhang et al., 2019; 30 Zheng et al., 2019). CO has a long atmospheric residence time of a few months and is therefore 31 established as a key tracer for air pollution and transport in the atmosphere (Holloway et al., 2000; 32 Zheng et al., 2019). Natural sources such as biomass burning (BB) and anthropogenic sources such 33 as vehicle exhausts, industrial activities, and coal combustions can emit CO directly into the 34 atmosphere (Stremme et al., 2013; Fisher et al., 2017). These CO emissions are mainly attributed to 35 incomplete combustion (Holloway et al., 2000; Stremme et al., 2013). Furthermore, the atmospheric 36 oxidation of methane (CH₄) and numerous nonmethane VOCs (NMVOCs) provides additional 37 important sources of atmospheric CO (Fisher et al., 2017). The major CO sink in the troposphere is 38 oxidation via reaction with hydroxyl radicals (OH). Since CO is heavily involved in the relationship 39 between atmospheric chemistry and climate forcing, it is crucial to investigate its atmospheric 40 burden, variability, and potential driver over the HTP. CO over the HTP may originate from various 41 source regions and sectors, improved knowledge of their relative contributions to CO variability 42 over the HTP is also significant for regulatory and control purpose. Furthermore, an investigation 43 of CO pollution can complement current atmospheric investigation over the HTP since the chemical characteristic, climate forcing, and deposition of CO is different from the well-established 44

1 <u>carbonaceous aerosols.</u>

2 In this study, we quantify the variability, source, and transport of CO in the urban areas over 3 the HTP by using in situ measurement, GEOS-Chem model tagged CO simulation, and atmospheric 4 circulation pattern techniques. Diurnal, seasonal, and interannual variability of CO over the HTP 5 are investigated with multiyear time series of surface CO measurements in eight cities over the HTP. 6 The performance of GEOS-Chem full-chemistry model for the specifics of the HTP is first assessed 7 with the concurrent satellite observations. The GEOS-Chem model is then run in a tagged CO mode 8 to quantify relative contribution of long range transport to the observed CO variability over the HTP. 9 The three-dimensional (3D) transport pathways of CO originated in various source regions and 10 sectors to the HTP are finally determined by the GEOS-Chem simulation, back trajectories analysis and atmospheric circulation pattern. Only few studies have investigated the burden and variability 11 12 of CO over the HTP (Ran et al., 2014; Yin et al., 2019a). These studies uniformly focused on the 13 most developed regions in Lhasa, and did not analyze interannual trend and transport of CO. This 14 study can not only expand the coverage of CO study over the HTP, but also provides insights into the interannual trends, sources, and transport of CO in all urban areas over the HTP. 15

The next section describes site description and the surface in situ CO data and auxiliary data, the methodology used to estimate the interannual trend of surface CO, and the GEOS-Chem simulation used for source attribution. Section 3 reports the results for surface CO variability over the HTP on different time scales. Section 4 reports the results for GEOS-Chem model evaluation. Section 5 reports the results for source attribution using GEOS-Chem tagged CO simulation and atmospheric circulation pattern. We conclude the study in Section 6.

22 2 Methods and data

23 2.1 Site description

24 Surface in situ CO measurements in eight cities over the HTP are involved in this study. The 25 geolocations of these cities are shown in Fig. 1 and summarized in Table 1. Ngari locates in the 26 western, Diging and Qamdo locate in the eastern, and the rest cities all locate in central eastern of 27 the HTP. Ngari, Shigatse, Lhasa, Shannan, and Nyinchi are adjacent to the Himalayas region, and 28 Naqu, Qamdo, and Diging are relatively far away from the Himalayas region. Generally, these cities 29 represent the most developed and populated areas over the HTP. The altitude of these cities ranges 30 from 3.1 to 4.5 km a.s.l. and the population ranges from 110 to 770 thousand. The surface pressure of these cities is about 600 hPa or less throughout the year (Table 1). Typically, all these cities are 31 32 formed at flat valleys with the surrounding mountains rising to more than 5.0 km a.s.l., and keep 33 continuous expansion and development over time. These cities are characterized by a typical climate 34 regime in high mountain regions, and is dry and cold in most of the year. Due to the high altitude 35 and thin air, the solar radiations over these cities are stronger than those over other cities at the same 36 latitude around the globe (Ran et al., 2014).

General atmospheric circulation over these cities are typically influenced by three synoptic systems: the warm and wet air masses during the monsoon season in summer, the South Asian anticyclone that controls the upper troposphere and above, and the subtropical mid-latitude westerlies in winter (Yao et al., 2012; Ran et al., 2014; Yin et al., 2017). Inhibited by surrounding mountains, local mountain peak-valley wind systems facilitate the accumulation of atmospheric pollutants near the ground under low wind speed conditions (Kang et al., 2019).

1 2.2 Surface CO data and auxiliary data

2 Routine in situ measurement of surface air qualities over the HTP started in 2015, which are 3 organized by the China National Environmental Monitoring Center (CNEMC) network funded by 4 the Chinese Ministry of Ecology and Environment (http://www.cnemc.cn/en/, last access: 22 March 5 2020). The CNEMC network has monitored six surface air pollutants (including CO, O₃, NO₂, SO₂, 6 PM₁₀, and PM_{2.5}) at 23 sites in eight cities in Ngari, Lhasa, Naqu, Diqing, Shigatse, Shannan, 7 Nyingchi, and Qamdo over the HTP (Table 1). Each city has at least two measurement sites. Surface 8 CO volume mixing ratio (VMR) measurements at all sites are based on similar gas correlation filter 9 infrared analyzers (http://www.cnemc.cn/en/, last access: 22 March 2020). The hourly mean datasets have covered the period from January 2015 to present for all measurement sites in the eight cities 10 11 (Table 1). We first applied filter criteria following that of (Lu et al., 2019) to remove unreliable 12 measurements. The resulting measurements at all measurement sites in each city are then averaged 13 to obtain a city representative dataset.

14 The 3D back trajectories calculated using HYbrid Single-Particle Lagrangian Integrated 15 Trajectory (HYSPLIT) model (http://ready.arl.noaa.gov/HYSPLIT.php, last accessed on 23 May 16 2020) are used to determine the transport trajectories (Wang, 2014; Draxler et al., 2020). The input 17 gridded meteorological fields were from the Global Data Assimilation System (GDAS-1) operated 18 by the US National Oceanic and Atmospheric Administration (NOAA) with a horizontal resolution 19 of 1° latitude $\times 1^{\circ}$ longitude and 23 vertical grids from 1000 to 20 hPa (https://ready.arl.noaa.gov/ 20 gdas1.php, last accessed on 23 May 2020). We verified that the wind fields provided by GDAS-1 21 are in good agreement with those by the Goddard Earth Observing System-Forward Processing 22 (GEOS-FP) meteorological fields used in GEOS-Chem (Fig. S1). In this study, calculation and 23 analysis for all back trajectories are based on the TrajStat module (Wang, 2014; http://meteothink. 24 org/index.html, last accessed on 1 July 2020).

25 The monthly IASI/Metop-A CO dataset is used to evaluate the performance of GEOS-Chem 26 model for the specifics of the HTP. The IASI CO product is processed by EUMETSAT Application 27 Ground Segment using the Fast Optimal Retrievals on Layers for IASI (FORLI) software (Hurtmans 28 et al., 2012). The IASI CO retrievals are performed in the 2143-2181.25 cm⁻¹ spectral range using 29 the optimal estimation method and tabulated absorption cross sections at various pressures and temperatures to speed up the radiative transfer calculation. A single *a priori* profile is used in the 30 31 retrieval scheme (Clerbaux et al., 2009). The temperature, pressure, humidity profiles and cloud 32 fractions used in FORLI are those from the EUMETSAT Level 2 processor. Only pixels associated 33 with cloud fraction below 25 % are processed. The IASI CO product is a vertical profile given as 34 partial columns in moles per square meter in 18 layers between the surface and 18 km, with an extra 35 layer from 18 km to the top of the atmosphere. The pressure levels associated with retrieval layers 36 are provided with the CO product. This IASI CO dataset also includes other relevant information 37 such as a general quality flag, the *a priori* profile, the total error profile, the air partial column profile, 38 and the averaging kernel (AK) matrix, on the same vertical grid, and the total column and the 39 associated total error. To balance the accuracy and the number of valid data over HTP, the IASI data 40 within $\pm 1^{\circ}$ latitude/longitude rectangular area around each city and with total error of less than 15% 41 are selected.

42 2.3 Regression model for CO trend

43 We have used a bootstrap resampling model to determine the seasonality and interannual

variability of surface CO VMR over the HTP. The resampling methodology follows that of
(Gardiner et al., 2008), where a 3rd Fourier series plus a linear function was used to fit multiyear
time series of surface CO VMR biweekly mean. All measurements are averaged by two weeks to
lower the residual and improve the fitting correlation. The regression model is expressed by Eqs. (1)
and (2):

6

$$Y^{meas}(t) = Y^{mod}(t) + \varepsilon(t)$$
(1)

7
$$Y^{mod}(t) = A_0 + A_1 t + A_2 \cos\left(\frac{2\pi t}{365}\right) + A_3 \sin\left(\frac{2\pi t}{365}\right) + A_4 \cos\left(\frac{4\pi t}{365}\right) + A_5 \sin\left(\frac{4\pi t}{365}\right)$$
(2)

9 10 $d\% = \frac{Y^{meas}(t) - Y^{mod}(t)}{Y^{mod}(t)} \times 100$ (3) where $Y^{meas}(t)$ and $Y^{mod}(t)$ represent the measured and fitted surface CO VMR time series, respectively. A_0 is the intercept, A_1 is the annual growth rate, and A_1/A_0 is the interannual trend discussed below. In this study, we incorporated the errors arising from the autocorrelation in the

discussed below. In this study, we incorporated the errors arising from the autocorrelation in the residuals into the uncertainties in the trends following the procedure of (Santer et al., 2008). The A_2 $-A_5$ parameters describe the seasonal cycle, *t* is the measurement time elapsed since January 2015, and $\varepsilon(t)$ represents the residual between the measurements and the fitted results. Fractional differences of measured CO VMR time series relative to their seasonal mean values represented by $Y^{mod}(t)$ were referred to as seasonal enhancements and were calculated as equation (3).

17 2.4 GEOS-Chem simulation

18 Two types of GEOS-Chem model simulations were involved in this study. GEOS-Chem model version 12.2.1 (DOI:10.5281/zenodo.2580198) was first ran in a standard full-chemistry mode to 19 20 be evaluated by the IASI CO product. The GEOS-Chem model was then ran in a standard tagged 21 CO mode to quantify relative contribution of long range transport to the observed CO variability 22 over the HTP (Bey et al., 2001) (http://geos-chem.org, last access on 14 May 2020). The GEOS-23 Chem full-chemistry simulations were also used to provide OH fields and secondary CO production 24 rates from CH₄ and NMVOCs oxidation for subsequent GEOS-Chem tagged CO simulation. Both 25 types of simulations were driven by GEOS-FP meteorological fields with a downgraded horizontal 26 resolution of 2° latitude $\times 2.5^{\circ}$ longitude and 72 vertical grids from surface to 0.01 hPa. Surface 27 meteorological variables and planetary boundary layer height (PBLH) were implemented in 1 hr 28 interval and other meteorological variables were in 3 hr interval. The time step used in the model 29 are 10 minutes for transport and 20 minutes for chemistry and emissions, as recommend for the 30 GEOS-Chem full-chemistry simulation at 2×2.5 (Philip et al., 2016). The non-local scheme for the 31 boundary layer mixing process are described in Lin and McElroy (2010). The GEOS-Chem 32 simulation outputs 47 (tagged CO mode) or 72 (full-chemistry mode) vertical layers of CO VMR 33 concentration ranging from the surface to 0.01 hPa with a horizontal resolution of $2^{\circ} \times 2.5^{\circ}$ and a 34 temporal resolution of 1 hr (Sun et al., 2020a). We spun up the model for one year (January 2014 to 35 January 2015) to remove the influence of the initial conditions. We only considered CO simulations 36 for the grid boxes containing the eight cities over the HTP.

Global fossil fuel and biofuel emissions were from the Community Emissions Data System inventory (Hoesly et al., 2018) which overwrites regional emissions over the US by National Emission Inventory (NEI), Canada by Canadian Criteria Air Contaminant, Mexico by (Kuhns et al., 2005), Europe by European Monitoring and Evaluation Program (EMEP), East Asia and South Asia by MIX inventory (Li et al., 2017; Zheng et al., 2018; Lu et al., 2019), and Africa

1 by DICE-Africa inventory (Wiedinmyer et al., 2016). Global BB emissions are derived from Global 2 Fire Assimilation System (GFAS) v1.2 (Kaiser et al., 2012; Di Giuseppe et al., 2018). The soil NO_x 3 emissions were from (Hudman et al., 2010; Hudman et al., 2012). Biogenic emissions were from 4 the Model of Emissions of Gases and Aerosols from Nature (MEGAN version 2.1) inventory 5 (Guenther et al., 2012). Wet deposition followed that of (Liu et al., 2001) and dry deposition was 6 calculated by the resistance-in-series algorithm (Wesely, 1989; Zhang et al., 2001). The photolysis 7 rates were obtained from the FAST-JX v7.0 photolysis scheme (Bian and Prather, 2002). A universal 8 tropospheric-stratospheric Chemistry (UCX) mechanism was implemented (Eastham et al., 2014). 9 In GEOS-Chem tagged CO simulation, the monthly mean OH fields obtained from a previous 10 standard full-chemistry simulation were used. Furthermore, the improved secondary CO production

scheme of (Fisher et al., 2017) was implemented, which adopts secondary CO production rates from
 CH₄ and NMVOCs oxidation. These secondary production rates were also derived from a previous
 standard full-chemistry simulation. The GEOS-Chem tagged CO simulation includes the tracers of

primary anthropogenic (fossil fuel + biofuel) and BB sources, and secondary oxidations from CH₄
 and NMVOCs. Descriptions of all these tracers are summarized in Table 2 and the geographical

16 definitions of all source regions are shown in Fig. 1.

17 **3 Surface CO variability over the HTP**

18 3.1 Diurnal cycle

Diurnal cycles of surface CO VMR over the HTP within the period of 2015 – 2020 are shown in Fig. 2. The surface CO magnitudes and the hour-to-hour variations in Naqu, Qamdo, and Diqing are higher than those in other cities in all seasons. Furthermore, the daily peak-to-trough contrast in Naqu, Qamdo, and Diqing are also larger than those in other cities. The highest surface CO hourly mean are typically observed in Naqu in all seasons except in the second half day (after 12:00 local time (LT)) in autumn and winter (September-October-November/December-January-February (SON/DJF)), when the highest surface CO values are observed in Qamdo.

26 Diurnal cycles of surface CO VMR in all cities generally show a bimodal pattern in all seasons. 27 For all cities, two diurnal maximums are generally observed during 9:00 to 11:00 LT in the daytime 28 and 21:00 to 23:00 LT in the nighttime in all seasons. The timings of the daytime diurnal maximum 29 in spring and summer (March-April-May/June-July-August (MAM/JJA)) in all cities are 1 to 2 30 hours earlier than those in SON/DJF (Table 3). But the timings of the nighttime diurnal maximum 31 in MAM/JJA in all cities are 1 to 2 hours later than those in SON/DJF. On average, the diurnal hour-32 to-hour variation of surface CO VMR over the HTP spanned a large range of -47.7% to 50.6% 33 depending on region, season, and measurement time. The diurnal patterns of CO in all cities over 34 the HTP were similar to those in other cities in China (Yin et al., 2019b; Zhao et al., 2016). Surface 35 CO VMR hourly mean in Naqu, Qamdo, and Diqing varied over 455.8 ± 257.8 to 1485.1 ± 1104.7 36 ppbv, while other cities varied over 256.4 ± 177.1 to 650.0 ± 430.7 ppbv (Table 3). The Class 1 37 limit for the hourly mean CO concentration in China is 10 mg m⁻³ (8732.1 ppbv) and all hourly 38 mean CO VMRs from 2015 - 2020 over the HTP were below this limit (http://www.cnemc.cn/en/, 39 last access: 22 March 2020).

40 **3.2 Seasonal cycle**

41 Seasonal cycle of surface CO VMR over the HTP within the period of 2015 to 2020 are shown
 42 in Fig. 3. As generally observed in most cities over the HTP, surface CO VMR showed clear seasonal

features: (1) high levels of surface CO VMR occur in the late autumn to spring and low levels of
surface CO occur in summer to early autumn; (2) the variations in the late autumn to spring are
larger than those in summer to early autumn; (3) seasonal cycles of surface CO VMR in most cities
show a bimodal pattern, i.e., a large seasonal peak occurs around November – December and a small
seasonal peak occurs around April – May.

6 Surface CO VMR monthly mean and month-to-month variations in Naqu, Qamdo, and Diqing 7 are higher than those in other cities in all seasons. Furthermore, the peak-to-trough contrast in Naqu, 8 Qamdo, and Diqing were also larger than those in other cities. Surface CO VMR monthly mean 9 over the HTP varied over a large range of 206.8 ± 93.5 to 1887.1 ± 1132.0 ppbv depending on 10 season and region (Table 3), where Naqu, Qamdo, and Diqing varied over 419.0 \pm 221.2 to 1887.1 11 \pm 1132.0 ppbv, and other cities varied over 206.8 ± 93.5 to 759.4 ± 473.8 ppbv (Table 3).

12 **3.3 Interannual variability**

13 Biweekly mean time series of surface CO VMR over the HTP from 2015 to 2020 along with the fitted results by using the regression model $Y^{mod}(t)$ are shown in Fig. 4. Generally, the 14 measured and fitted surface CO VMR over the HTP are in good agreement with a correlation 15 16 coefficient (r) of 0.81 - 0.93. The measured features in terms of seasonality and interannual 17 variability can be reproduced by the regression model. Seasonal enhancements calculated as 18 equation (3) disclosed that large seasonal enhancements typically occur around November -19 December and April - May which correspond to the timings of the seasonal peaks for most cities. 20 The trend in surface CO VMR from 2015 to 2020 over the HTP spanned a large range of (-21.6 \pm 4.5) % to (11.9 \pm 1.38) % per yr, indicating a regional representative of each dataset. Surface CO 21 22 VMR in six out of eight cities, i.e., Ngari, Lhasa, Shannan, Naqu, Qamdo, and Diqing, showed 23 negative trends. The largest decreasing trends were observed in Qamdo and Naqu, which showed 24 decreasing trends of (-16.98 ± 4.37) % and (-21.6 ± 4.5) % per yr, respectively. Surface CO in two 25 out of eight cities, i.e., Shigatse and Nyingchi, showed positive trends. A large increasing trend of 26 (11.9 ± 1.38) % per yr was observed in Shigatse.

Surface CO VMR annual mean over the HTP varied over 318.3 ± 71.6 to 901.6 ± 472.2 ppbv depending on year and region (Table 3), where Naqu, Qamdo, and Diqing varied over 531.4 ± 156.8 to 901.6 ± 472.2 ppbv, higher than those in other cities which varied over 318.3 ± 71.6 to 446.1 ± 138.5 ppbv (Table 3). The annual mean concentrations of surface CO over the HTP were compared with those from other cities in China. All cities over the HTP except Naqu and Qamdo can be ranked as a few of the top-level cities with the best air quality. Naqu and Qamdo were ranked as the middlelevel cities with fair to poor air quality (http://www.cnemc.cn/en/, last access: 22 March 2020).

34 4 Model evaluation over the HTP

35 The performance of the GEOS-Chem model has been evaluated with available observations over various regions in China and surroundings in previous studies from different perspectives such 36 37 as surface O₃ concentration in urban regions over China (Lu et al., 2019), tropospheric CO column 38 over eastern China (Chen et al., 2009; Sun et al., 2020b) and Pacific (Yan et al., 2014), tropospheric 39 averaged HCHO concentration over eastern China (Sun et al., 2020a), stratospheric NO₂ partial 40 column (Yin et al., 2019a) and HCl partial column over eastern China (Yin et al., 2020). Generally, 41 GEOS-Chem is able to reproduce the absolute values as well as seasonal cycles of trace gases over 42 aforementioned regions. So far GEOS-Chem model evaluation over the complex topography and

1 meteorology of the HTP is not found in the literature. Here we first use IASI CO total column from 2 2015 to 2020 over the HTP to evaluate the model performance in the specifics of the HTP. As the 3 vertical resolution of GEOS-Chem is different from the IASI observation, a smoothing correction 4 was applied to the GEOS-Chem profiles. First, the GEOS-Chem CO profiles were downgraded to 5 the IASI altitude grid to ensure a common altitude grid. Since the IASI overpass time is at about 6 09:30 LT in the morning, only the GEOS-Chem simulations at 9:00 and 10:00 LT are considered. 7 The interpolated profiles were then smoothed by the monthly mean IASI averaging kernels and a 8 priori profiles (Rodgers, 2000; Rodgers and Connor, 2003). The GEOS-Chem CO total columns 9 were calculated subsequently from the smoothed profiles by using the corresponding regridded air 10 density profiles from the model. Finally, the GEOS-Chem total column time series were averaged 11 by month and compared with the IASI monthly mean data. 12 Correlation plots for the model-to-IASI data pairs in each region over the HTP are shown in 13 Fig. 5. Depending on regions, the GEOS-Chem simulations over the HTP tend to underestimate the 14 IASI observations by 9.2% to 20.0%. The largest GEOS-Chem vs. IASI differences occur in Qamdo 15 and Lhasa, with underestimations of 20.0% and 18.5%, respectively. The least GEOS-Chem vs. 16 IASI difference occurs in Nyingchi with an underestimation of 9.2%. These GEOS-Chem vs. IASI 17 differences over the HTP were mainly attributed to the underestimation of local emission inventories 18 and the coarse spatial resolution of the GEOS-Chem model grid cells. The amount of residential 19 energy use, including fossil fuels and biofuels used for cooking and heating, is not recorded for the 20 Tibet in current energy statistics yearbooks, therefore bottom-up inventories tend to underestimate anthropogenic emissions over the HTP (Zheng et al., 2019). Meanwhile, emissions due to seasonal 21 22 crop residue burning over HTP are hard to quantify accurately (Li et al., 2021). This can be shown 23 by the CO emission distribution over the HTP from the MEIC inventory in Fig. S2, which shows 24 that both the spatial distribution and seasonality of CO emission over the HTP are not in good 25 agreement with the in situ measurements. Besides, the coarse spatial resolution of the GEOS-Chem simulations homogenizes CO concentrations within each $2^{\circ} \times 2.5^{\circ}$ model grid cell. The simulation 26 27 results represent the homogenized concentrations in the grid box at the grid-mean elevation, which 28 could cause significantly bias near complex terrain (Yan et al., 2014). Especially, the studied regions 29 represent the most developed and populated areas over the HTP, which are surrounded by large areas 30 of rolling mountains with sparsely interspersed farms, pasture or residency. The horizontal transport 31 and vertical mixing schemes simulated by the GEOS-Chem model at coarse spatial resolutions are 32 difficult to match IASI observation with a ground pixel of 50×50 km² (Clerbaux et al., 2009). 33 Regional difference in CO levels could aggravate the inhomogeneity within the selected GEOS-34 Chem model grid, and thus aggravate the difference between modeled and measured CO 35 concentrations. In addition, the difference between simulation and measurement could be also 36 associated with the uncertainties in meteorological fields, OH fields, and stratosphere-troposphere 37 exchange (STE) scheme over HTP, which are known issues in the GEOS-Chem model (Bey et al., 38 2001; Kopacz et al., 2011). 39 Though not perfect in reproducing the absolute values of the IASI observation, GEOS-Chem 40 can capture the measured seasonal cycle of CO total column over the HTP with a correlation 41 coefficient (r) of 0.64 to 0.82 depending on regions. In subsequent study, the GEOS-Chem model 42 is used for investigating the influence of long range transport. We turn off all emission inventories 43 within the HTP in the GEOS-Chem tagged CO simulation and assess the relative contribution of

44 each source and geographical tracer. The relative contribution of each tracer is calculated as the ratio

1 of the corresponding absolute contribution to the modelled total amount. Taking this ratio effectively

2 minimizes the propagation of systematic model errors that are common to all tracers, i.e., the

3 <u>uncertainties in meteorological fields, the vertical mixing and STE schemes, and the mismatch in</u>

4 spatial resolution.

5 **5 Source attribution**

6 5.1 Local emission

7 The air quality in a city is influenced by local emission which is spatially differentiated by 8 energy consumption, economic development, industry structure, and population. All studied cities 9 over the HTP have achieved rapid economic and population growth in recent years (Ran et al., 2014; 10 Yin et al., 2019b). For example, Lhasa's gross domestic product (GDP) in 2018 was 29 times higher 11 than that of 2001, and the population had increased by more than 230 thousand in 17 years (Yin et 12 al., 2019b). In order to evaluate the influence of local emission, the relationship between in situ 13 measurements of NO₂ and CO is investigated. Correlation plots of surface CO versus NO₂ daily mean VMR time series provided by the CNEMC network from 2015 - 2020 in eight cities over the 14 15 HTP are shown in Fig. 6. The results show that NO₂ and CO concentrations were correlated in all 16 cities (r ranges from 0.49 - 0.86) throughout the year. The overall good correlations between these 17 two gas pollutants suggested common sources of ΔNO_2 and ΔCO in these cities. As a short lifetime species (a few hours), the emitted NO₂ is heavily weighted toward the direct vicinity of local 18 19 emission regions. As a result, local emissions are important sources of CO in all cities. However, 20 the slope $\Delta NO_2/\Delta CO$ (ranges from 0.006 – 0.04) and the degree of the correlation in each city are 21 different, indicating energy consumption and CO emission rates in these cities are different, and 22 additional sources of CO could exist, e.g., from long range transport or oxidation from CH4 and 23 NMVOCs originating either nearby or in distant areas.

24 The emission from coal-burning for heating was thought to be the dominant sources of primary 25 gas pollutants in Lhasa in recent years (Ran et al., 2014; Yin et al., 2019b). A large portion of solely 26 source results in the highest correlation between NO₂ and CO concentrations in Lhasa. In contrast, 27 Qamdo, Naqu, and Diging are surrounded by alpine farmlands and pastures. Historically, post-28 harvest crop residue (e.g., highland barley straws and withered grass) was often burned by local 29 farmers to fertilize the soil for next planting season. As a fine fuel, post-harvest crop residue was 30 often burned directly in the field in large piles and smolder for weeks. These seasonal crop residue 31 burning behaviors typically occur in the cold season which could cause a high level of CO emission 32 in this period. Furthermore, local residents extensively use dry yak dung as fuel for cooking or 33 heating throughout the year which could elevate the background CO level in these regions. As a 34 result, these higher local sources might be an important factor explaining the higher CO magnitude 35 in these regions.

36 **5.2 Long range transport**

Monthly mean contributions of anthropogenic, BB, and oxidation from long range transport to the surface CO VMR over the HTP are shown in Fig.7. All statistical results are based on GEOS-Chem tagged CO simulations by turning off the emission inventories within the HTP. Due to the influence of seasonally variable transport and magnitude of the regional emissions, the anthropogenic, BB and oxidation sources are all seasonal and regional dependent. Generally, anthropogenic contributions in June – September and DJF are higher than those in the rest of the year. In contrast, high levels of oxidation contribution occur in JJA/SON and low levels of oxidation contribution occur in MAM/DJF. For BB source, contributions in MAM/DJF are larger than those in JJA/SON. Depending on season and region, relative contributions of anthropogenic, BB, and oxidation transported to the surface CO VMR over the HTP varied over 35 to 61%, 5 to 21%, and 30 to 56%, respectively. The combination of anthropogenic and oxidation sources dominated the contribution which varied over 80 to 95% with an average of 89% throughout the year.

7 After normalizing each regional anthropogenic contribution to the total anthropogenic 8 contribution, the normalized relative (NR) contribution of each anthropogenic region to the total 9 anthropogenic associated transport is obtained in Fig.8. The results show that the anthropogenic 10 associated transport is mainly attributed to the influence of anthropogenic sources in South Asia and 11 East Asia (SEAS). The NR anthropogenic contribution in SEAS ranges from 58% in DJF to 91% in 12 SON. In addition, moderate anthropogenic contributions from North America (NA) (10 to 27%), Europe and Boreal Asia (EUBA) (4 to 12%), and rest of world (ROW) (4 to 10%) are also observed 13 14 in MAM/DJF. By using a similar normalized method, the NR contributions of each BB tracer and 15 oxidation tracer are obtained in Fig.9 and Fig. 10, respectively. The results show that large BB 16 contributions are from the Africa (AF) region in July – February (30 - 70%), the SEAS region in 17 MAM (25 to 80%), and the EUBA region in July - September (15 to 32%). Additional moderate 18 BB contributions are from the South America (SA) region in May - June and September - December 19 (9 to 14%), the Oceania (OCE) region in the second half of the year (5 to 15%), and the NA region 20 in September – December (8 to 19%). Depending on season and region, 45 to 67% of oxidation 21 contribution are attributed to CH₄ oxidation, and 32 to 55% of oxidation contribution are attributed 22 to NMVOCs oxidation. High-level NR contributions of CH4 oxidation occur in the cold season 23 (November – March) and low-level NR contributions of CH₄ oxidation occur in the warm season 24 (April - October). The NR contributions of NMVOCs oxidation varied over an opposite mode to 25 that of CH₄ oxidation; they maximize in the warm season and minimize in the cold season. The JJA/SON meteorological conditions that show stronger solar radiation, higher temperature, wetter 26 27 atmospheric condition, and lower pressure than those in DJF/MAM are more favorable for 28 increasing VOCs emissions from biogenic sources (BVOCs), which consolidates the fact that 29 contributions of NMVOCs oxidation in warm season are larger than those in cold season.

30 By minimizing the propagation of model errors that are common to all tracers (see section 4), 31 the major factors impacting the model interpretation are the uncertainties in emission inventories 32 and OH fields. The uncertainties in CO emission inventories mainly impact primary anthropogenic 33 and BB sources, and the uncertainties in CH₄ and VOCs emission inventories, and OH fields mainly 34 impact secondary oxidation sources. Additional factors that affect the generation and deplete 35 chemistry of CO or its precursors (e.g., uncertainties in emission inventories of other atmospheric 36 components, stratospheric intrusion of ozone and chemical mechanism, etc.) could also contribute 37 to the uncertainty of the interpretation. All these factors may be seasonal and regional dependent. A 38 series of GEOS-Chem sensitivity studies might be able to quantify these uncertainties, but this is 39 beyond the scope of present work. 40 From section 5.1 and the model interpretation here, we can conclude that the main source of 41 CO in urban areas over HTP is due to local and SEAS anthropogenic and biomass burning emissions, 42 and oxidation sources. In contrast, black carbon in most of the HTP is largely attributed to Southeast 43 Asian biomass burning, and locally sourced carbonaceous matter from fossil fuel and biomass

44 combustion also substantially contribute to pollutants in urban cities and some remote regions,

1 respectively (Cong et al., 2007; Cong et al., 2009; Cong et al., 2013; He et al., 2014; Zhang et al.,

2 2015; Zhu et al., 2019; Li et al., 2021; Gul et al., 2021; Thind et al., 2021). Our study emphasized

3 the different origins of diverse atmospheric pollutants in the HTP.

4 **5.3 Transport pathways**

5 The 3D transport trajectories of CO originated in various source regions and sectors to the HTP 6 are identified as bellow. First, the GEOS-Chem tagged CO simulation is applied for determining 7 seasonal NR contribution of each tracer (Figs. 8 and 9). For the tracer with a NR contribution of 8 larger than 30% at a specific time (hereafter enhancement time), the global CO distribution provided 9 by the GEOS-Chem simulation is applied to search for potential CO sources occurring before the enhancement time within 15 days. Then, we generated a series of back trajectories with various 10 11 travel times to judge whether these CO emissions are capable of travelling to the measurement 12 region. For instance, with respect to each CO enhancement measured at a specific time, we 13 generated ten back trajectories arriving at 100 m above the ground but with different travel time 14 ranging from 3 to 15 days. If the back trajectories intersect a region where the GEOS-Chem 15 simulation indicates an intensive CO source and the travel duration is within ± 2 hr of the observed 16 enhancement, then this specific CO source could contribute to the observed enhancement over the 17 HTP. The transport trajectories for this CO source are finally determined. Meanwhile, GEOS-Chem emission inventories are used to classify this CO source into anthropogenic or BB source. This CO 18 19 source is regarded as BB source if GEOS-Chem BB inventory indicates an intensive CO 20 enhancement. Otherwise, it is regarded as anthropogenic source.

21 Fig. 11 demonstrates travel trajectories of polluted air masses originated in AF, SEAS + OCE, 22 EUBA, and NA regions which arrived at Nagu (31.5°N) over the HTP through long range transport. 23 As the GEOS-Chem BB inventory shown, CO emissions from southern Africa during July -24 September, central Africa during November – February, central Europe during July – November, 25 Siberia during June – September, and South Asia peninsula during March – May are dominated by 26 BB source. Other potential CO sources are dominated by anthropogenic emissions. Fig.12 shows 27 the spatial distribution of CO VMR along with the mean horizontal wind vectors at 500 hPa in 28 different seasons. Fig. 13 illustrates the latitude - height and longitude - height distributions of CO 29 VMR along with the 3D atmospheric circulation patterns in different seasons. The 3D transport 30 pathways of CO around the HTP are thus deduced as follows.

31 As indicated by the arrows in Fig. 12 and Fig.13, the strong surface cooling in DJF over the 32 HTP results in divergence and the formation of an enhanced local circulation cell, while in JJA air masses converge toward the HTP from the surroundings triggered by the ascending of strongly 33 34 heated air masses over the HTP (Zhang et al., 2015). In DJF, the tropical easterlies are weak but the 35 mid-latitude westerlies extend to subtropics (~ 20° N) near the surface and tropics (~ 10° N) over 36 middle troposphere (Fig. 13). In the summer monsoon season, the atmospheric circulation patterns 37 around the HTP change dramatically and is dominated by the reversal of surface wind regime in the 38 tropics such as South China Sea, Bay of Bengal, and Arabian Sea (Fig.11 and Fig.13). Meanwhile, 39 the mid-latitude westerlies in JJA recede to the North Temperate Zone (north of 30°N) and the 40 westerly jet center shifts to about 40°N (from about 30°N in DJF). In JJA, the tropical region in the 41 south of the HTP is characterized by the strong easterlies in the upper troposphere and by the southwesterly air flow in the lower troposphere (Fig. 13). The prevailing winds during the transition 42 43 seasons in MAM and SON are still westerlies (Fig. 13). These above seasonal atmospheric

1 circulation patterns control the CO transport pathway around the HTP. Nevertheless, the transported

2 CO scales to the HTP are also influenced by source location and strength, travel trajectory and 3 elapsed time (Yao et al., 2012; Zhang et al., 2015; Kang et al., 2019).

4 In the SON/DJF, a significant amount of CO from southern SEAS (anthropogenic source), 5 northern AF (BB source), western EUBA, and northern NA (anthropogenic source) can be 6 transported to the HTP along the westerlies in the dry winter monsoon conditions. CO originating 7 in distant regions such as western EUBA and NA reaches a high altitude (to 8 km) during the 8 transport (Fig. 11). However, CO from the densely populated and industrialized areas in eastern 9 China barely reaches the HTP because of strong removal along the transport pathways to the HTP 10 which circles around the Northern Hemisphere along the westerlies during the winter monsoon 11 season (Fig. 12). In MAM, CO emissions from BB sources in SEAS region can be transported to 12 the HTP which is mainly triggered by deep convection followed by northward transport into the 13 mid-latitude westerlies (Liu et al., 2003)(Fig. 13). During the South Asian summer monsoon, the 14 local abundant wet precipitation can remove a large portion of SEAS originated CO but can still 15 affect southwest HTP (Fig. 13). Along strong southeasterly air flow in summer monsoon season, 16 CO from eastern China can be uplifted higher and transported more to the northeast HTP than that 17 in the DJF. In addition, large-scale atmospheric deep convection can loft CO from upwind source 18 regions (e.g., central SA, and Indonesia (within OCE region)) into higher altitudes, where it can be 19 transported to the HTP in SON or DJF. Generally, CO removals over the HTP in all seasons are 20 driven by atmospheric deep convection which lofts CO into higher altitudes or by westerlies which 21 transports local emissions far away (Fig. 13).

22 **5.4 Factors driving surface CO variability over the HTP**

23 Temporal CO burden is dependent on the difference between the CO source and sink, which is 24 determined by the accumulated influence of local emission, transport, secondary generation, 25 environmental capacity, and OH oxidation capability. The environmental capacity is determined by 26 atmospheric self-clean capability, topography, deposition, and meteorological condition 27 (Hofzumahaus et al., 2009). Atmospheric self-clean capability refers to the capability of the 28 atmosphere in terms of depleting atmospheric pollutants through physical and chemical processes 29 (Rohrer et al., 2014). Generally, the vertical self-clean capability is positively correlated with the 30 PBLH and the horizontal self-clean capability is positively correlated with the wind speed (Rohrer 31 et al., 2014). The OH oxidation capability is positively correlated with temperature, radiation and 32 OH seasonality (Rohrer et al., 2014).

33 The bimodal pattern of diurnal cycles for surface CO VMR in urban areas over the HTP is 34 attributed to the following diurnal generation and deplete processes. The thin atmosphere over the 35 HTP causes large temperature and radiation differences between day and night (Yin et al., 2017; 36 Kang et al., 2019). The PBLH and OH oxidation capability in the nighttime are much lower than 37 those in the daytime (Ran et al., 2014; Yin et al., 2017; Yin et al., 2019b). The CO emissions over 38 the HTP start to generate after sunrise and reach the daytime maximum during rush hours at 8:00 to 39 11:00 LT in the morning. The CO concentration is then decreasing as a result of depletion by 40 reactions with OH to form O₃ or transport far away (Ran et al., 2014; Yin et al., 2019b). Subsequently, 41 CO emissions start to generate again during rush hours at 16:00 to 19:00 LT in the afternoon, and 42 reach the nighttime maximum at 21:00 to 23:00 LT due to low PBLH and OH oxidation capability 43 in the nighttime (Ran et al., 2014; Yin et al., 2019b).

1 Similarly, the seasonal cycle of surface CO VMR in the urban areas over the HTP is determined 2 by the seasonal variability of CO source, environmental capacity, and OH oxidation capability. High 3 levels of surface CO VMR in the late autumn to spring can be attributed to low PBLH and OH 4 oxidation capability but high local and transported CO in the period, and vice versa for low levels 5 of surface CO VMR in summer to early autumn (Yin et al., 2019b). Specifically, local anthropogenic 6 CO sources (mainly heating activities) and crop residue burning behaviors in urban regions over the 7 HTP during the colder post-monsoon and winter months are higher than those in other seasons. 8 Meanwhile, the westerlies near the surface in SON/DJF are weaker than those in MAM/JJA, which 9 facilitate the accumulation of atmospheric pollutants (Fig. 13). Furthermore, high levels of CO are 10 observed in the late autumn to spring in neighboring SEAS countries due to intensive anthropogenic 11 emissions or BB practices (Kan et al., 2012; Tiwari et al., 2014; Liu et al., 2018; Gani et al., 2019). 12 These polluted air masses can transport to the HTP region and elevate the local CO level (Fig.13). 13 Thus, apart from local anthropogenic and BB emissions, these transported sources might be an 14 important factor explaining the high CO pollution in winter.

15 Since the crop residue burning emissions result in poor air quality that threatens local terrestrial 16 ecosystems and human health, Chinese government started to ban crop residue burning over China 17 since 2015 and henceforth the crop residue burning events over the HTP decreased dramatically 18 (Sun et al., 2020b) (http://www.chinalaw.gov.cn, last access on 19 June 2020). Meanwhile, the major 19 air pollutant emissions have decreased around the globe in recent years as a consequence of active 20 clean air policies for mitigating severe air pollution problems in the major anthropogenic emission 21 regions, such as China, India, Europe and America (Zheng et al., 2018; Sun et al., 2020a). 22 Furthermore, an elevated BB events in AF, SEAS, and OCE regions were observed at the beginning 23 of the studied years due to the El Niño Southern Oscillation (ENSO) in 2015 (Sun et al., 2020b). 24 All these factors probably drive a decreasing trend in surface CO VMR since 2015 in most cities 25 over the HTP. However, an overall increase in surface CO VMR in Shigatse and Nyingchi since 26 2015 indicated that the decrease in transported CO was overwhelmed by the increase in local CO 27 emissions as a result of the expansion of urbanization, industrialization, land use, and infrastructure 28 construction near the two cities.

29 6 Conclusions

30 In this study, we quantified the variability, source, and transport of CO in the urban areas over 31 the Himalayas and Tibetan Plateau (HTP) by using in situ measurement, GEOS-Chem model tagged 32 CO simulation, and atmospheric circulation pattern techniques. Diurnal, seasonal, and interannual 33 variabilities of CO over the HTP are investigated with ~ 6 years (January 2015 to July 2020) of 34 surface CO measurements in eight cities over the HTP. Annual mean of surface CO volume mixing 35 ratio (VMR) over the HTP varied over 318.3 ± 71.6 to 901.6 ± 472.2 ppbv, and a large seasonal cycle 36 was observed with high levels of CO VMR in the late autumn to spring and low levels of VMR in 37 summer to early autumn. Surface CO VMR burdens and variations in Naqu, Qamdo, and Diqing 38 are higher than those in other cities in all seasons. The diurnal cycle is characterized by a bimodal 39 pattern with two maximums occurring around 9:00 to 11:00 local time (LT) in the daytime and 21:00 40 to 23:00 LT in the nighttime. The trend in surface CO VMR from 2015 to 2020 over the HTP 41 spanned a large range of (-21.6 ± 4.5) % to (11.9 ± 1.38) % per yr, indicating a regional 42 representative of each dataset. However, surface CO VMR from 2015 to 2020 in most cities over 43 the HTP showed negative trends.

1 The IASI satellite observations are for the first time used to assess the performance of GEOS-2 Chem full-chemistry model for the specifics of topography and meteorology over the HTP. 3 Depending on regions, the GEOS-Chem simulations over the HTP tend to underestimate the IASI 4 observations by 9.2% to 20.0%. Though not perfect in reproducing the absolute values of the IASI 5 observation, GEOS-Chem can capture the measured seasonal cycle of CO total column over the 6 HTP with a correlation coefficient (r) of 0.64 to 0.82 depending on regions. Distinct dependencies 7 of CO on a short life time species of NO₂ almost in all cities over the HTP were observed, implying 8 local emissions to be predominant. By turning off the emission inventories within the HTP in GEOS-9 Chem tagged CO simulation, the relative contribution of long range transport was evaluated. The 10 results disclosed that transport ratios of primary anthropogenic source, primary biomass burning 11 (BB) source, and secondary oxidation source to the surface CO VMR over the HTP varied over 35 12 to 61%, 5 to 21%, and 30 to 56%, respectively. The anthropogenic contribution is dominated by the 13 South Asia and East Asia (SEAS) region throughout the year (58% to 91%). The BB contribution 14 is dominated by the SEAS region in spring (25 to 80%) and the Africa (AF) region in July – February 15 (30 - 70%). Additional important anthropogenic contributions from North America (NA) (10 to 16 27%) and Europe and Boreal Asia (EUBA) (4 to 12%) in spring and winter (MAM/DJF) are also 17 observed. Additional important BB contributions are from the EUBA region in July - September 18 (15 to 32%), the South America (SA) region in May – June and September – December (9 to 14%), 19 the Oceania (OCE) region in the second half of the year (5 to 15%), and the NA region in September 20 - December (8 to 19%). The decreasing trends in surface CO VMR since 2015 in most cities over 21 the HTP are attributed to the reduction in local and transported CO emissions in recent years. 22 This study concluded that the main source of CO in urban areas over HTP is due to local and 23 SEAS anthropogenic and BB emissions, and oxidation sources. In contrast, black carbon in most of 24 the HTP is largely attributed to Southeast Asian biomass burning, and locally sourced carbonaceous 25 matter from fossil fuel and biomass combustion also substantially contribute to pollutants in urban

<u>cities and some remote regions, respectively. This study not only emphasized the different origins</u>
 <u>of diverse atmospheric pollutants in the HTP, but also improved knowledge of the variabilities,</u>

28 sources, drivers, and transport pathways of atmospheric pollutants over the HTP and provided

29 guidance for potential regulatory and control actions.

Data availability. Surface CO time series in all cities over the Himalayas and Tibetan Plateau (HTP)
 and GEOS-Chem tagged CO simulations in this study are available on request.

Author contributions. YS designed the study and prepared the paper with inputs from all coauthors.
 HY carried out the GEOS-Chem CO simulations. The rest authors contributed to this work via
 provide constructive comments.

35 *Competing interests.* The authors declare that they have no conflict of interest.

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Figures







Fig. 2. Diurnal cycles (local time (LT)) of surface CO VMR in four seasons over the Himalayas and Tibetan Plateau (HTP). Vertical error bar is 1σ standard variation within that hour. Results are based on CO time series from 2015 to 2020 provided by the CNEMC network.



Fig. 3. Monthly mean of surface CO VMR over the Himalayas and Tibetan Plateau (HTP). Vertical error bar represent 1σ standard variation within that month. Results are based on CO VMR time series from 2015 to 2020 provided by the CNEMC network.





Fig. 4. Interannual variabilities of surface CO from 2015 to 2020 over the Himalayas and Tibetan Plateau (HTP). Green dots are biweekly mean of in-situ surface CO measurements. Vertical red error bar is 15 standard variation within the respective two weeks. The seasonality and interannual trend in each city fitted by using a bootstrap resampling model with a 3rd Fourier series (red dots) plus a linear function (black line) is also shown.



7 8 9 Fig. 5. Correlation plots of monthly mean of CO total column over the Himalayas and Tibetan Plateau (HTP) for GEOS-Chem model simulation against IASI observation. The average for GEOS-Chem simulation is performed at

9:00 and 10:00 LT. The IASI dataset is selected within $\pm 1^{\circ}$ latitude/longitude rectangular area around each city. The blue lines are linear fitted curves of respective scatter points. The black dotted lines denote one-to-one lines.

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1 2 3 4 5

Fig. 6. Correlation plots of surface CO versus NO2 VMR daily mean over the Himalayas and Tibetan Plateau (HTP). The black line is a linear least-squares fit of respective data. The linear equation of the fit and the resulting correlation coefficient (r) are shown. Both CO and NO₂ time series are provided by the CNEMC network. Vertical error bar is 1σ standard variation within that day.



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7 8 9 Fig. 7. Monthly mean contributions of anthropogenic, biomass burning (BB) and oxidation transport to CO over the Himalayas and Tibetan Plateau (HTP). Vertical error bar represent 10 standard variation within that month. See

Table 2 for description of each tracer.



Fig. 8. Monthly mean contributions of each geographical anthropogenic tracer to the total anthropogenic associated CO transport to the Himalayas and Tibetan Plateau (HTP). Vertical error bar is 1σ standard variation within that month. See Table 2 for description of each tracer.



Fig. 9. Monthly mean contributions of each geographical BB tracer to the total BB associated CO transport to the
 Himalayas and Tibetan Plateau (HTP). Vertical error bar represent 1σ standard variation within that month. See
 Table 2 for description of each tracer.



Fig. 10. Monthly mean contributions of CH₄ and NMVOC oxidations to the total oxidation associated CO transport to the Himalayas and Tibetan Plateau (HTP). Vertical error bar represent 1σ standard variation within that month. See Table 2 for description of each tracer.



Fig. 11. Travel trajectories of polluted air masses originated in AF (SON/DJF), SEAS & OCE (MAM/JJA), EUBA (SON/DJF), and NA (SON/DJF) that reached Naqu (31.5°N) through long range transport. For clarity, only few trajectories are selected for demonstration. Travel times are 13, 7, 10, and 14 days, respectively. Global surface CO distribution based on GEOS-Chem simulations are shown for 13, 7, 10, and 14 days prior to the arrival time, respectively. The deeper the color, the higher the CO concentration.



2 3 4 Fig. 12. Spatial distribution of CO VMR in the GEOS-Chem tagged CO simulations in different seasons, and the arrows represent the mean horizontal wind vectors at 500 hPa. The HTP and the studied regions are marked with a

blue outline and yellow dots, respectively. Meteorological fields are from the GDAS-1 data.



6 7 8 9 Fig. 13. The first row shows the latitude-height distributions of CO VMR averaged over 80-100° E in the tagged CO simulations in different seasons (corresponding to different columns). The white contours at intervals of 6 m s⁻¹ represent the westerly (solid) and easterly (dashed) mean meridional winds; the white area represents topography and the arrows represent the wind vectors (vertical velocity in units of 10^{-4} hPa s⁻¹ and zonal wind in m s⁻¹); the 10 studied regions are marked with yellow dots. The second row is similar to the first row except that the quantities are 11 on the longitude-height perspective averaged over 28-33° N. Here the white contours represent the southerly (solid) 12 13 and northerly (dashed) mean zonal winds, and the horizontal component of the wind vectors is meridional wind (m s⁻¹). Meteorological fields are from the GDAS-1 data.

- 14 15

1 Tables

Table 1. Geolocations of measurement sites in eight cities over the HTP region. All sites are organised as a function

2 3 4 of increasing longitude. Population statistics are prescribed from the 2018 demographic data provided by National Bureau of Statistics of China.

Name	Longitude	Latitude	Altitude	Population	Number	Time period
	mean	mean	mean (km)		of sites	
Ngari	80.1°E	32.5°N	4.5	110,000	2	Jan. 2015 - present
Shigatse	88.9°E	29.3°N	4.0	770,000	3	Jan. 2015 - present
Lhasa	91.1°E	29.7°N	3.65	690,000	6	Jan. 2015 - present
Shannan	91.8°E	29.2°N	3.7	370,000	2	Jan. 2015 - present
Naqu	92.1°E	31.5°N	4.45	500,000	3	Jan. 2015 - present
Nyingchi	94.4°E	29.6°N	3.1	230,000	2	Jan. 2015 - present
Qamdo	97.2°E	31.1°N	3.26	700,000	3	Jan. 2015 - present
Diqing	99.7°E	27.8°N	3.38	410,000	2	Jan. 2015 - present

5 6 Table 2. Descriptions of all tracers implemented in the standard GEOS-Chem tagged CO simulation and the geographical definitions of all source regions.

Туре	Tracer	Description	Region	Location
Anthropogenic	anthNA	Fossil fuel + Biofuel CO	172.5°W - 50.0°W;	NA
		emitted over the North	24.0°N - 88.0°N	
		America		
	anthEUBA	Fossil fuel + Biofuel CO	17.5°W - 72.5°E; 36.0°N -	EUBA
		emitted over Europe and	45.0°N and 17.5°W -	
		Boreal Asia	172.5°E; 45.0°N - 88.0°N	
	anthSEAS	Fossil fuel + Biofuel CO	70.0°E - 152.0°E; 8.0°N -	SEAS
		emitted over South Asia	45.0°N	
		and East Asia		
	anthROW	Fossil fuel + Biofuel CO	112.5°W - 32.5°W; 56°S -	SA+AF+O
		emitted South America,	24°N and 17.5°W -70.0°E;	CE
		Africa and Oceania	48.0°S - 36.0°N and 70.0°E -	
			170.0°E; 90.0°S - 8.0°N	
Biomass	bbSA	Biomass burning CO	112.5°W - 32.5°W; 56°S -	SA
burning		emitted over South	24°N	
		America		
	bbAF	Biomass burning CO	17.5°W -70.0°E; 48.0°S -	AF
		emitted over Africa	36.0°N	
	bbSEAS	Biomass burning CO	70.0°E - 152.5°E; 8.0°N -	SEAS
		emitted over South Asia	45.0°N	
		and East Asia		
	bbOC	Biomass burning CO	70.0°E - 170.0°E; 90.0°S -	OC
		emitted over Indonesia	8.0°N	
		and Oceania		
	bbEUBA	Biomass burning CO	17.5°W - 72.5°E; 36.0°N -	EUBA
		emitted over Europe and	45.0°N and 17.5°W -	
		Boreal Asia	172.5°E; 45.0°N - 88.0°N	
	bbNA	Biomass burning CO	173°W - 50°W; 24.0°N -	NA
		emitted over North	88.0°N	
		America		
Oxidation	oxCH	CO chemically produced	global	global
		from CH ₄ oxidation		
	oxNMVOC	CO chemically produced	global	global
		from NMVOCs oxidation		

Name	Diurnal cycle		Seasonal cycle		Interannual variability	
	Hourly min.	Hourly max.	Monthly min.	Monthly max.	Annual mean	Trend
	(ppbv)	(ppbv)	(ppbv)	(ppbv)	(ppbv)	(% per yr)
Ngari	319.2 ± 150.6	556.1 ± 311.0	206.8 ± 93.5	632.0 ± 268.2	395.9 ± 138.0	-6.1 ± 1.39
	(16:00)	(11:00)	(Aug.)	(Jan.)		
Shigatse	256.4 ± 177.1	464.9 ± 253.1	274.4 ± 98.2	496.8 ± 365.3	408.4 ± 165.8	11.9 ± 1.38
-	(18:00)	(10:00)	(Sep.)	(Jan.)		
Lhasa	334.5 ± 101.0	650.0 ± 430.7	375.8 ± 128.6	759.4 ± 473.8	446.1 ± 138.5	-1.49 ± 1.32
	(17:00)	(22:00)	(Jul.)	(Dec.)		
Shannan	393.1 ± 230.3	572.6 ± 265.6	310.5 ± 120.5	665.8 ± 280.2	409.8 ± 147.0	-3.68±1.42
	(17:00)	(10:00)	(Oct.)	(Feb.)		
Naqu	757.3 ±411.6	1485.1 ± 1104.7	607.1 ±284.3	1539.4 ± 1075.8	901.6 ± 472.2	-21.6 ± 4.5
-	(17:00)	(23:00)	(Jul.)	(Nov.)		
Nyingchi	286.5 ± 106.6	404.5 ±142.4	257.8 ± 123.0	428.2 ±169.5	318.3±71.6	2.47 ± 0.7
	(6:00)	(10:00)	(Jul.)	(Nov.)		
Qamdo	738.4 ± 517.7	1326.5 ± 1048.8	469.3 ± 217.7	1887.1 ± 1132.0	766.1 ± 413.1	-17.0 ± 4.3
	(5:00)	(21:00)	(Jul.)	(Dec.)		
Diqing	455.8 ± 257.8	798.7 ± 427.1	419.0 ± 221.2	793.2 ± 666.8	531.4 ± 156.8	-5.09 ± 2.19
	(5:00)	(10:00)	(Jul.)	(May)		

Table 3. Statistical summary of surface CO VMR in eight cities over the HTP region. All cities are organised as a function of increasing longitude.