



- 1 Organosulfates in atmospheric aerosols in Shanghai, China: seasonal and interannual variability,
- 2 origin, and formation mechanisms
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#### Abstract

Organosulfates (OS) are ubiquitous in the atmosphere and serve as important tracers for secondary organic aerosols (SOA). Despite intense research over years, the abundance, origin, and formation mechanisms of OS in ambient aerosols, in particular in regions with severe anthropogenic pollution, are still not well understood. In this study, we collected filter samples of ambient fine particulate matter (PM2.5) over four seasons in both 2015/2016 and 2018/2019 at an urban site in Shanghai, China, and comprehensively characterized the OS species in these PM<sub>2.5</sub> samples using a liquid chromatography coupled to a high resolution mass spectrometer (UPLC-ESI-QToF-MS). We find that while the concentration of organic aerosol (OA) decreased by 29% in 2018/2019, compared to that in 2015/2016, the annually averaged concentrations of 35 quantified OS were similar in two years (65.5±77.5 ng m<sup>-3</sup> in 2015/2016 versus 59.4±79.7 ng m<sup>-3</sup> in 2018/2019), suggesting an increased contribution of SOA to OA in 2018/2019 than in 2015/2016. Isoprene- and monoterpene-derived OS are the two most abundant OS families, on average accounting for 36.3% and 31.0% of the quantified OS concentrations, respectively, suggesting an important contribution of biogenic emissions to the production of OS and SOA in Shanghai. The abundance of biogenic OS, particularly those arising from isoprene, exhibited strong seasonality (peaked in summer) but no significant interannual variability. In contrast, anthropogenic OS such as diesel-derived ones had little seasonal variability and declined obviously in 2018/2019 compared with that in 2015/2016. This reflects a significant change in precursor emissions in eastern China in recent years. The C<sub>2</sub>/C<sub>3</sub> OS species that have both biogenic and anthropogenic origins averagely contributed to 19.0% of the quantified OS, with C<sub>2</sub>H<sub>3</sub>O<sub>6</sub>S<sup>-</sup>, C<sub>3</sub>H<sub>5</sub>O<sub>5</sub>S<sup>-</sup>, and C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S<sup>-</sup> being the most abundant ones, together accounting for 76% of  $C_2/C_3$  OS concentrations. 2-Methyltetrol sulfate (2-MT-OS,  $C_5H_{11}O_7S^-$ ) and monoterpene-derived  $C_{10}H_{16}NO_7S^-$  were the most abundant OS and nitrooxy-OS in summer, contributing to 31% and 5% of the quantified OS, respectively. The substantially larger concentration ratio of 2-MT-OS to 2-methylglyceric acid sulfate (2-MA-OS, C<sub>4</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup>) in summer (6.8-7.8) than in other seasons (0.31-0.78) implies that low-NOx oxidation pathways played a dominant role in isoprene-derived SOA formation in summer, while high-NOx reaction pathways were more important in other seasons. We further find that the production of OS was largely controlled by the level of Ox ( $O_x = O_3 + NO_2$ ), namely, the photochemistry of OS precursors, in particular in summer, though sulfate concentration, aerosol acidity, as well as aerosol liquid water content (ALWC) that could affect the heterogeneous chemistry of reactive intermediates leading to OS formation also played a role. Our study provides valuable insights into the characteristics and mechanisms of OS formation in a typical Chinese megacity and implies that mitigation of Ox pollution can effectively reduce the production of OS and SOA in eastern China.





#### 1 Introduction

43 Secondary organic aerosol (SOA) accounts for a significant fraction of atmospheric fine particulate matter (PM2.5) (Jimenez 44 et al., 2009; Huang et al., 2014) and contributes significantly to deteriorated air quality and Earth's climate forcing (Ramanathan et al., 2001; Mahowald, 2011; Huang et al., 2014; Shrivastava et al., 2017). SOA consists of thousands of organic 45 compounds that are diverse in molecular properties. Identification and quantification of the composition of SOA are essential 46 for understanding the composition, the chemistry of formation and evolution, properties, and climate and health impacts of 47 SOA (Hoffmann et al., 2011; Nozière et al., 2015). However, currently only a small portion of organic matters in SOA are 48 identified as specific compounds (Hoffmann et al., 2011; Nozière et al., 2015; Johnston and Kerecman, 2019). Organosulfates 49 (OS) are important constituents of SOA and have been frequently detected in both polluted and clean environments (Iinuma 50 et al., 2007a; Surratt et al., 2008; Claeys et al., 2010; Froyd et al., 2010; Hawkins et al., 2010; Hatch et al., 2011; Lin et al., 51 2012a; Stone et al., 2012; Hansen et al., 2014; He et al., 2014; Ma et al., 2014; Tao et al., 2014; Liao et al., 2015; Shakya and 52 53 Peltier, 2015; Kourtchev et al., 2016; Meade et al., 2016; Wang et al., 2016b; Hettiyadura et al., 2017; Huang et al., 2018; Le 54 Breton et al., 2018; Wang et al., 2018; Hettiyadura et al., 2019; Wang et al., 2019a). It has been estimated that OS accounted 55 for 6-12% of total sulfur in a rural area in K-puszta, Hungary (Lukacs et al., 2009), 1.3% of fine particulate organic mass 56 (POM) in Fairbanks, Alaska (Shakya and Peltier, 2013), and 1-13% of fine POM across the continental United States (Tolocka 57 and Turpin, 2012; Shakya and Peltier, 2015). Studies have also shown that OS can affect aerosol properties such as acidity, 58 viscosity, hygroscopicity, and light-absorbing properties (Nguyen et al., 2012; Hansen et al., 2015; Estillore et al., 2016; 59 DeRieux et al., 2018; Fleming et al., 2019; Olson et al., 2019; Riva et al., 2019). 60 Chamber studies have revealed that OS can originate from the (photo)oxidation of both biogenic precursors such as isoprene (Surratt et al., 2007a; Surratt et al., 2007b; Gómez-González et al., 2008), monoterpenes (Iinuma et al., 2007a; Iinuma et al., 61 62 2007b; Surratt et al., 2007a; Surratt et al., 2008; Iinuma et al., 2009), sesquiterpenes (Chan et al., 2011), and 2-methyl-3-63 buten-2-ol (Zhang et al., 2012), as well as anthropogenic precursors such as polycyclic aromatic hydrocarbons, long-chain alkanes, naphthenes (Riva et al., 2015; Riva et al., 2016b), and diesel and biodiesel fuel vapors (Blair et al., 2017) in the 64 65 presence of sulfate aerosol or SO2. Many of OS observed in these chamber studies have also been detected in ambient 66 atmosphere, among which isoprene- and monoterpene-derived OS are usually most abundant in forested, rural, and even 67 urban areas (Surratt et al., 2008; Hatch et al., 2011; Kristensen and Glasius, 2011; Stone et al., 2012; He et al., 2014; Ma et 68 al., 2014; Kourtchev et al., 2016; Meade et al., 2016; Hettiyadura et al., 2017; Hettiyadura et al., 2019; Wang et al., 2019a). In addition to the precursors, detailed formation mechanisms of OS have also been widely studied. The acid-catalyzed ring-69 opening reaction of epoxides was established to be an important mechanism for the formation of OS (Iinuma et al., 2009; 70 71 Surratt et al., 2010; Lin et al., 2012b; Zhang et al., 2014), in particular for isoprene-derived OS (Surratt et al., 2010; Hatch et 72 al., 2011; Lin et al., 2012b). 2-Methyltetrol sulfate (2-MT-OS, C<sub>5</sub>H<sub>11</sub>O<sub>7</sub>S<sup>-</sup>), formed via reactive uptake of isoprene epoxide 73 (IEPOX) on sulfate, is one of the most abundant OS in atmospheric aerosol (Chan et al., 2010; Liao et al., 2015), which can 74 contribute up to 12.6% of the organic carbon mass in Atlanta, GA (Hettiyadura et al., 2019). Another OS formation pathway 75 is the nucleophilic substitution of tertiary organonitrates by inorganic sulfate. Darer et al. (2011) found that tertiary 76 organonitrates are thermodynamically unstable and can undergo nucleophilic substitution with sulfate to generate OS rapidly.



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This mechanism can also explain the formation of some nitrooxy-OS (NOS). In atmospheric aqueous phase, sulfate radicals that can be produced by oxidation of S(IV) species in the presence of transition metal ions (TMI) (Grgic et al., 1998; Herrmann, 2003) or by OH radical reaction with bisulfate (Jiang et al., 1992; Herrmann, 2003) can also react with unsaturated organic compounds to form OS. Laboratory studies have shown that a large number of OS were produced by the bulk aqueous-phase oxidation of isoprene or its oxidation products, methyl vinyl ketone (MVK) and methacrolein (MACR), in the presence of inorganic sulfate or peroxodisulfate under irradiation (Nozière et al., 2010; Schindelka et al., 2013), or in the presence of S(IV) and TMI under dark conditions (Huang et al., 2019). However, field observational evidence for this mechanism is still lacking. In addition, reactive uptake of SO2 on organic aerosol can also result in the production of OS. Laboratory studies have found that sulfur dioxide (SO<sub>2</sub>) could react with C=C bond in unsaturated fatty acids under dark conditions to form OS (Shang et al., 2016). Such OS have been detected in ambient atmosphere with an estimated contribution of 0.3%-0.9% to OM in PM<sub>2.5</sub> in southern China (Zhu et al., 2019). Recent studies have also shown efficient production of OS from heterogeneous/aqueousphase reactions of SO<sub>2</sub> with organic peroxide-containing aerosol and SOA (Wang et al., 2019b; Yao et al., 2019). Such OS production was found to be mainly a result of the direct reaction between SO2 and peroxides, rather than acid-catalyzed reaction involving inorganic sulfate (Wang et al., 2019b). Currently, the acid-catalyzed ring-opening reaction of IEPOX has been the most well-studied mechanism and proved to be important in atmospheric OS formation by both field and modelling studies (Chan et al., 2010; Surratt et al., 2010; Hatch et al., 2011; McNeill et al., 2012; Pye et al., 2013; Worton et al., 2013; Kourtchev et al., 2016; He et al., 2018; Hettiyadura et al., 2019). However, atmospheric importance of other OS formation mechanisms remains to be evaluated. The OS formation pathways aforementioned can be affected by aerosol properties such as acidity, aerosol liquid water content (ALWC), and sulfate concentration. There is ample evidence from laboratory studies that increased aerosol acidity significantly enhances the production of OS from acid-catalyzed reactions (Iinuma et al., 2007b; Surratt et al., 2007a; Surratt et al., 2007b; Chan et al., 2011; Zhang et al., 2012), while field studies have shown that the abundance of OS was not or only weakly correlated with aerosol acidity in some locations (Nguyen et al., 2014; Budisulistiorini et al., 2015; Brüggemann et al., 2017; Rattanavaraha et al., 2017), suggesting the existence of other factors (e.g., ALWC, sulfate content, etc.) that control OS formation in these areas. ALWC has dual effects on OS formation. On one hand, elevated ALWC can reduce the viscosity and/or inhibit the liquid-liquid phase separation of aerosol, which would favor the dissolution and mixing of reactive intermediates such as IEPOX and multifunctional aldehydes in aqueous sulfate aerosol (Shiraiwa et al., 2011; McNeill et al., 2012; Liao et al., 2015) or SO2 in organic aerosol (Passananti et al., 2016; Shang et al., 2016; Yao et al., 2019), thereby enhancing OS formation. On the other hand, high ALWC would decrease aerosol acidity via dilution, hence inhibiting the acid-catalyzed OS formation. High ALWC may also promote the hydrolysis of OS in aqueous aerosol (Darer et al., 2011). Quantification of OS is important for understanding their abundance and the chemistry of formation and evolution in the atmosphere. Owing to the lack of authentic standards, the quantification of OS remains a challenging task. Recently, several research groups have synthesized a series of authentic standards (e.g., glycolic acid sulfate, lactic acid sulfate, hydroxyacetone sulfate, 2-methyltetrol sulfate, benzyl sulfate, α-pinene sulfate, β-pinene sulfate, and limonene sulfate) that are structurally the same or similar with the OS found in atmospheric aerosols (Olson et al., 2011; Kundu et al., 2013; Staudt et al., 2014; Budisulistiorini et al., 2015; Hettiyadura et al., 2015; Wang et al., 2017; Huang et al., 2018). They used these authentic



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113 standards to quantify OS in ambient aerosols and provided important constraints on the abundance, origin, and chemistry of

114 OS in the atmosphere.

Up to date, there are few studies characterizing atmospheric OS in areas with severe anthropogenic pollution. Situated in the eastern Yangtze River Delta (PRD) of China, Shanghai has a population of more than 24 million and is plagued by air pollution (Behera et al., 2015; Wang et al., 2016a). Here we conducted a comprehensive investigation of the molecular composition, abundance, sources, and formation processes of OS in ambient aerosols in Shanghai. More than 150 ambient PM<sub>2.5</sub> samples collected over four seasons during both 2015/2016 and 2018/2019 in urban Shanghai were analyzed using an ultraperformance liquid chromatography quadrupole time-of-flight mass spectrometer (UPLC-QToF-MS), and 35 OS were quantified using 7 synthesized and commercially purchased OS standards. Seasonal and interannual variations of OS, in response to the changes in emissions, meteorological conditions, and PM<sub>2.5</sub> chemical compositions, were comprehensively characterized, and the influencing factors such as aerosol acidity, ALWC, sulfate content, oxidant level and so on for OS formation were probed. This study would help to understand the characteristics and mechanisms of OS and SOA production under the strong influence of anthropogenic pollution in Chinese megacities.

#### 2 Materials and methods

# 2.1 Ambient Sample collection

- 128 In total 156 ambient PM<sub>2.5</sub> samples were collected from 8 April 2015 to 16 January 2016 and from 23 October 2018 to 5
- 129 August 2019 in Shanghai, China. The sampling site is located on the rooftop of a 20-meter-tall teaching building on the Xuhui
- 130 Campus of Shanghai Jiao Tong University, which is in downtown and surrounded by residential and commercial areas (see
- 131 Fig. 1a, b). There is a main street 230 m east to the sampling site. The PM<sub>2.5</sub> samples were collected on pre-baked (550 °C, 8
- h) quartz-fiber filters (Whatman) from 9:00 am to 8:00 am of the next day using a high-volume sampler (HiVol 3000, Ecotech)
- at a flow rate of 67.8 m<sup>3</sup> h<sup>-1</sup>. The collected samples were wrapped in pre-baked (550 °C, 8 h) aluminum foil and stored at -
- 134 20 °C before analysis.

#### 2.2 Organosulfate measurement with UPLC-ESI-ToF-MS

- An aliquot of ~17 cm² was removed from each filter sample and extracted in 3 mL of methanol (LC-MS grade, CNW
- 137 Technologies GmbH) twice under sonication in an ice bath for 30 min. The extracts derived each time were combined and
- 138 filtered through a 0.45 µm PTFE syringe filter (CNW Technologies GmbH) to remove insoluble materials, and subsequently
- 139 concentrated to 250 µL with a gentle stream of ultra-high-purity nitrogen (Shanghai Likang Gas Co., Ltd). The resulting
- 140 extracts were mixed with ultrapure water (milliQ, 18.2 MΩ·cm) of the same volume and centrifugated to get supernatant for
- 141 analysis.
- 142 The resulting solutions were analyzed using an Acquity UPLC (Waters) coupled to a Xevo G2-XS QToF-MS (Waters) having
- a mass resolving power of ≥ 40000 and equipped with an electrospray ionization (ESI) source. The analytes were separated
- by a BEH C<sub>18</sub> column (2.1×100 mm, 1.7 μm particle size) at 50 °C. A gradient elution procedure was performed using
- methanol (A) and water (B) both containing 0.1% acetic acid (v/v) as the eluents: A was maintained at 99% for 1.5 min,
- decreased to 46% in 6.5 min and to 5% in 3 min, then decreased to 1% in 1 min and held for 2 min, finally returned to 99%



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in 0.5 min and held for 1.5 min to equilibrate the column. The total eluent flow rate was 0.33 mL min<sup>-1</sup> and the sample injection

volume was 2.0 μL. The ESI source was operated under optimum conditions as follows: capillary voltage 2.0 kV, sampling

cone voltage 40 V, source offset voltage 80 V, source temperature 115°C, desolvation gas temperature 450°C, cone gas 50 L

h<sup>-1</sup>, desolvation gas 900 L h<sup>-1</sup>.

151 The quantified OS as well as the authentic and surrogate standards used for the quantification of each OS are listed in Table

152 1. The OS standards were selected by mainly referring to Hettiyadura et al. (2019), which is based upon a comparison of the

MS/MS pattern between authentic standards and targeted OS in ambient aerosols, as well as to Wang et al (2018). Glycolic

acid sulfate (GAS) and lactic acid sulfate (LAS) were synthesized according to Olson et al. (2011). The purities of GAS and

LAS are 8% and 15 %, respectively, determined by <sup>1</sup>H NMR analysis using dichloroacetic acid as an internal standard.

Limonaketone sulfate and α-pinene sulfate were synthesized and details were described in Wang et al. (2017). Other OS

standards including sodium methyl sulfate (99%, Macklin), sodium octyl sulfate (95%, Sigma-Aldrich), and potassium phenyl

158 sulfate (98%, Tokyo Chemical Industry, Shanghai) were commercially purchased. The quantified OS were also analyzed in

tandem mass spectrometry (MS/MS) in negative (-) ion mode at a collision energy of 10-50 eV to confirm whether they are

OS by sulfur-containing fragment ions observed. In this study, most quantified OS were fragmented to the bisulfate anion

(m/z 97) and several quantified OS were only fragmented to the sulfate radical anion (m/z 96) and the sulfate radical anion

162 (m/z 80) (see Fig. S1).

#### 2.3 Auxiliary measurements

Meteorological parameters, including temperature, relative humidity (RH), and wind speed (WS) were continuously

monitored by Shanghai Hongqiao international airport station, which is 9 km west to the sampling site (Fig. 1c). The

166 concentrations of SO<sub>2</sub>, nitrogen dioxide (NO<sub>2</sub>), O<sub>3</sub> and PM<sub>2.5</sub> were measured by a state-controlled air quality monitoring

station on the Xuhui Campus of Shanghai Normal University, which is 4.5 km southwest to the sampling site for the PM<sub>2.5</sub>

filter samples (Fig. 1c). Organic carbon (OC) and elemental carbon (EC) in PM<sub>2.5</sub> filter samples were measured by a thermal-

optical multiwavelength carbon analyzer (DRI Model 2015). The concentration of organic matter (OM) was derived by

170 multiplying the OC by 1.6 (Tao et al., 2017). Water-soluble inorganic compounds including sulfate, nitrate, chloride,

ammonium, potassium, and calcium were analyzed with an ion chromatograph (Metrohm MIC).

# 2.4 Estimation of aerosol liquid water content and pH

173 The ISORROPIA-II thermodynamic model (Fountoukis and Nenes, 2007) was employed to predict ALWC and aerosol pH.

174 The aerosol water-soluble inorganic ion concentrations, as well as temperature and RH were used as the model input. The

model was run in the forward model for metastable aerosol, which was shown to give a more accurate representation of

aerosol pH than using the reverse-mode calculations when with only aerosol data input (Guo et al., 2015; Hennigan et al.,

177 2015). ISORROPIA-II calculates the equilibrium concentration of aerosol hydronium ions (H<sup>+</sup><sub>air</sub>) per volume of air (μg m<sup>-3</sup>),

along with ALWC ( $\mu g \, m^{-3}$ ). The aerosol pH was then derived by

$$pH = -\log_{10}(H_{aq}^{+}) = -\log_{10}\frac{1000H_{air}^{+}}{ALWC},$$
 (1)





where H<sup>+</sup><sub>aq</sub> is the concentration of hydronium ions in aqueous aerosol (mol L<sup>-1</sup>). In this study, ALWC associated with organic aerosol and its influences on aerosol pH were not considered. However, previous studies showed that water uptake by organic aerosol only contributed to a minor fraction (5%) of total ALWC and had a negligible influence on aerosol pH in haze events in China (Liu et al., 2017).

#### 2.5 Quality control

The extraction efficiency of OS species in filter samples was evaluated by measuring the recovery of different OS standards.

The synthesized and commercially purchased OS standards were spiked into blank and pre-baked quartz filters, followed by extraction and analysis with the same procedures for ambient samples. The recoveries of OS standards were about 84-94% except for Δ-Carene OS, the recovery of which was 66% (see Table S1). This result suggests a fairly high extraction efficiency

for the majority of OS species in this study.

In addition, we evaluated the matrix effect on the signal response of OS by comparing the measured signal intensity of OS standards added to the extracts of ambient  $PM_{2.5}$  filter samples with that of pure OS standard solutions. Table S2 gives the ratios of measured signal intensity of OS standards in filter sample extracts to that in pure solutions. Most of OS standards had a ratio around 1, suggesting no obvious matrix effect on the measurement of the majority of OS species. However, the two smallest OS standards, methyl sulfate and glycolic acid sulfate that were the very first species eluted from LC column, had a ratio significantly smaller than 1, suggesting the inhibited ionization of these two OS likely by the highly soluble and polar species in the filter samples that were co-eluted with these two OS. We note that the matrix effect for these two OS is dependent on the  $PM_{2.5}$  mass loading. For example, the signal ratio of glycolic acid sulfate standard measured in filter sample extracts versus in pure solutions ranged from 0.17-0.31 (Exps. 1-2) for very polluted days to 0.45-0.53 for clean days (Exps. 3-4). This implies that the abundance of glycolic acid sulfate in ambient aerosols reported here may be underestimated by a

#### 3 Results and Discussion

factor of 2-6 due to the matrix effect.

#### 3.1 Overview of pollution characteristics during sampling periods

Figure 2 shows the time series of meteorological parameters, O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, PM<sub>2.5</sub> and its major components, as well as H<sup>+</sup><sub>aq</sub> and ALWC during the sampling periods. The average values (concentrations) of each parameter (species) were given in Table S3. The meteorological conditions (wind speed, temperature, and RH) were overall similar in 2015/2016 and 2018/2019. While the NO<sub>2</sub> concentration decreased from 27.0±13.0 ppb in 2015/2016 to 21.3±10.3 ppb in 2018/2019, the O<sub>3</sub> level had no obvious difference in two years (29.8±15.2 ppb in 2015/2016 versus 29.6±13.9 ppb in 2018/2019), consistent with the nonlinear response of O<sub>3</sub> production to precursor emissions (Liu and Wang, 2020). The annual average mass loading of PM<sub>2.5</sub> declined by 34.5% in 2018/2019 (38.6±24.0 μg m<sup>-3</sup>) compared to that 2015/2016 (59.0±37.9 μg m<sup>-3</sup>), largely driven by the strong decrease in the abundance of OM (29.1%) and sulfate (37.4%). The decrease of PM<sub>2.5</sub>, OM, and sulfate concentrations from 2015/2016 to 2018/2019 reflects a significant reduction in anthropogenic pollutant emissions in eastern China in recent years. In contrast to OM and sulfate, the concentration of nitrate had little change between 2015/2016 (8.8±8.9 μg m<sup>-3</sup>) and 2018/2019 (8.4±7.8 μg m<sup>-3</sup>), despite an obvious decrease in NO<sub>2</sub> concentration. This is at least partly a result of reduced



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214 aerosol acidity (H<sup>+</sup><sub>aq</sub>, see Fig. 2 and Table S3) and thereby enhanced partitioning of HNO<sub>3</sub> into the particle phase. Furthermore, 215 the nitrate concentration showed a strong seasonality, ranging from 1.0±1.1 and 3.4±3.2 μg m<sup>-3</sup> in summer to 16.6±10.0 and 216 14.1±10.0 μg m<sup>-3</sup> in winter in 2015/2016 and 2018/2019, respectively, partly owing to the seasonal variation of temperature 217 and aerosol acidity that modulates the gas/particle partitioning of nitrate (Fisseha et al., 2006; Griffith et al., 2015; Guo et al., 2015; Guo et al., 2016). A similar strong reduction in PM<sub>2.5</sub> concentration and variations in aerosol composition over the past 218 219 several years were observed in different regions in China (Tao et al., 2017; Wen et al., 2018; Ding et al., 2019a; Wang et al., 2020b). As a result of strong reductions in inorganic ion concentrations, ALWC decreased dramatically in 2018/2019 220 (14.8±20.4 μg m<sup>-3</sup>), compared to that in 2015/2016 (24.4±27.0 μg m<sup>-3</sup>). In short, anthropogenic pollutant emissions, as well 221 as aerosol concentration and composition varied significantly between 2015/2016 and 2018/2019 in Shanghai, which, as will 222 be discussed below, has important implications for the production of OS in ambient aerosols. 223

### 3.2 Molecular composition of sulfur-containing organic compounds

The organic compounds in ambient PM2.5 identified using UPLC-ESI(-)-QToF-MS were classified into four groups based on their elemental composition, i.e., CHO, CHON, CHOS, and CHONS. Figure 3a, b show the average mass spectra of organic compounds in PM<sub>2.5</sub> over a typical winter (21-26 January 2019) and summer (23-28 July 2019) pollution episode. The Scontaining compounds were overall larger in molecular size than CHO and CHON compounds, likely because of the addition of a sulfate group to the molecule. The molecular weight (MW) of most S-containing compounds was between 100-400 Da, and a few between 400-700 Da. The high-MW CHOS species showed a larger contribution in winter than in summer, suggesting that they are more likely to arise from anthropogenic sources than biogenic emissions. Figure 3c shows the signal contribution of different compound categories as well as concentrations of sulfate, OM, and quantified OS, and Fig. 3d, e shows the number of identified organic compounds in each category during two pollution episodes. The CHOS compounds contributed most by signal and number to observed organic compounds in both winter and summer. The signal contributions and number of unquantified CHOS and CHONS did not vary significantly from winter to summer, whereas the signal contribution of quantified CHOS and CHONS species were significantly larger in summer than in winter (on average 15% vs. 7% for CHOS and 11% vs. 7% for CHONS). As will be discussed later, the abundance of quantified anthropogenic OS was fairly constant across different seasons, in striking contrast to biogenic OS that showed strong seasonal variability. Therefore, lack of seasonal variability for unquantified CHOS and CHONS implies that they may originate mainly from anthropogenic sources. In addition, both signal intensity and the number of CHO species increased significantly in summer, compared to those in winter. In contrast, CHON compounds contributed substantially more to the observed signals in winter than in summer (on average 25% vs. 7%), though their numbers are quite similar during the two periods. This suggests an enhanced production and/or suppressed depletion of nitrogen-containing organic species in winter. The CHOS compounds with an O/S ratio of  $\geq$  4 were assigned as potential OS species. Similarly, the CHONS compounds

245 with an O/(N+S) ratio of  $\geq 7$  could be assigned as potential NOS species (Lin et al., 2012a). The  $C_8H_{17}O_4S^-$ ,  $C_8H_{15}O_4S^-$ , and C<sub>27</sub>H<sub>53</sub>O<sub>11</sub>S<sup>-</sup> were the highest OS peaks observed in the pollution episode in winter. The C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup> and C<sub>8</sub>H<sub>15</sub>O<sub>4</sub>S<sup>-</sup> species may be derived from the photooxidation of diesel fuel vapors according to previous chamber studies (Blair et al., 2017). The highest NOS peak in winter is C<sub>10</sub>H<sub>16</sub>NO<sub>7</sub>S<sup>-</sup>, which likely originate from monoterpene oxidation (Surratt et al., 2008). The



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249 C<sub>5</sub>H<sub>11</sub>O<sub>7</sub>S<sup>-</sup>, C<sub>15</sub>H<sub>29</sub>O<sub>5</sub>S<sup>-</sup>, and C<sub>13</sub>H<sub>25</sub>O<sub>5</sub>S<sup>-</sup> were observed among the highest OS peaks in the summer pollution episode. The

250 C<sub>5</sub>H<sub>11</sub>O<sub>7</sub>S<sup>-</sup> is an IEPOX-derived OS species (Surratt et al., 2010), while C<sub>15</sub>H<sub>29</sub>O<sub>5</sub>S<sup>-</sup> and C<sub>13</sub>H<sub>25</sub>O<sub>5</sub>S<sup>-</sup> may be derived from the

251 oxidation of diesel fuel vapors (Blair et al., 2017). The highest NOS peak in summer is monoterpene-derived C<sub>10</sub>H<sub>16</sub>NO<sub>7</sub>S<sup>-</sup>,

252 the same with that in winter.

#### 3.3 Quantified organosulfates

254 In this study, we quantified twenty-nine OS and six NOS compounds using a variety of authentic and surrogate OS standards

(Table 1). The quantified OS and NOS accounted for 14-18% and 47-67% by intensity of identified CHOS and CHONS,

256 respectively, in polluted winter days and 15-37% and 58-87%, respectively, in polluted summer days (Fig. 3c). Increased

contribution of the quantified OS and NOS in summer is because they are mainly derived from biogenic VOCs, which have

greater emissions in summer than in other seasons (Guenther et al., 1995). We note that a large fraction of OS signals were

not quantified owing to the lack of proper standards in this study. As discussed above, these unquantified OS mainly originated

260 from anthropogenic sources. Future studies of their abundances and formation mechanisms are warranted.

Table 2 summarizes the seasonally and annually averaged concentrations of the quantified OS, as well as their contributions

to OM in 2015/2016 and 2018/2019. The average concentration of quantified OS was 65.5±77.5 ng m<sup>-3</sup> in 2015/2016 and

59.4±79.7 ng m<sup>-3</sup> in 2018/2019. Although there was little change in OS concentration in these two years, the contribution of

OS to OM was larger in 2018/2019 (0.66% ±0.56%) than in 2015/2016 (0.57% ±0.56%), mainly due to a significant reduction

of OM in 2018/2019. Since OS species are important tracers for SOA (Surratt et al., 2007b; Gómez-González et al., 2008;

266 Surratt et al., 2008; Surratt et al., 2010; McNeill et al., 2012; Zhang et al., 2012; Lin et al., 2013), an increase of OS/OM ratios

in 2018/2019 implies an enhanced contribution of SOA to OA in Shanghai. A previous study by Ma et al. (2014) reported an

average OS concentration in urban Shanghai in 2012/2013 of about 8.6 ng m<sup>-3</sup>, substantially smaller than the concentration

269 reported here. This is likely due to a different number of OS species quantified (17 vs. 35) and different OS standards used

270 (octyl and benzyl sulfates vs. seven authentic/surrogate standards) in Ma et al. (2014) and the present study. As can be seen

in Fig. 2e and Table 2, the OS concentration and OS/OM ratio both showed a strong seasonal variation and peaked in summer.

The concentration of OS and its contribution to OM in summertime Shanghai (on average, 114.1 ng m<sup>-3</sup> and 1.13% in July

273 2015 and 102.1 ng m<sup>-3</sup> and 1.18% in July 2019) were larger or comparable to those observed in Beijing (55.2 ng m<sup>-3</sup>, 0.42%)

(Wang et al., 2018), Centreville, AL (54.7 ng m<sup>-3</sup>, 0.24%) (Hettiyadura et al., 2017), and Birmingham, Alabama (205.4 ng m<sup>-1</sup>)

<sup>3</sup>, 2%) (Rattanavaraha et al., 2017), but significantly lower than those observed in Atlanta (2366.4 ng m<sup>-3</sup>, 16.5%) (Hettiyadura

et al., 2019) where the production of OS and SOA is dominated by the oxidation of biogenic emissions. The contribution of

OS to OM in wintertime Shanghai (on average, 0.32% in January 2016 and 0.36% in January 2019) was larger than that

observed in Xi'an (~0.2%) (Huang et al., 2018), though the quantified OS concentrations in two regions were comparable.

279 This may suggest a stronger secondary formation of OA in Shanghai than in Xi'an, consistent with independent measurements

280 by Huang et al. (2014).

281 To further characterize the seasonality and interannual variability of OS, as well as their origin and formation mechanisms,

the quantified OS were assigned to four different source categories based on their molecular composition and literature data

283 (Surratt et al., 2007a; Surratt et al., 2008; Nozière et al., 2010; Surratt et al., 2010; Schindelka et al., 2013; Zhang et al., 2014;



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Riva et al., 2015; Riva et al., 2016b; Blair et al., 2017; Nestorowicz et al., 2018). The OS species for each OS source category

are listed in Table 1 and the seasonal and interannual variations in the abundance of grouped and individual OS are shown in

Fig. 4 and Table S4, respectively.

#### 3.3.1 Isoprene-derived organosulfates

Isoprene-derived OS (hereafter referred to as OS<sub>i</sub>) include ten C<sub>4-5</sub> species and one dimeric species (C<sub>7</sub>H<sub>9</sub>O<sub>7</sub>S). The average concentration of OS<sub>i</sub> in summer was 76.5±93.4 ng m<sup>-3</sup> for 2015/2016 and 68.4±102.2 ng m<sup>-3</sup> for 2018/2019, significantly larger than the concentrations (10.4-17.1 ng m<sup>-3</sup>) in other seasons (Fig. 4a). Similar strong seasonality of OS<sub>i</sub> was also observed in suburban areas in the Mid-Atlantic United States (Meade et al., 2016) and the Pearl River Delta in Southern China (He et al., 2014) The significantly increased production of OS<sub>i</sub> in summer is mainly a result of enhanced isoprene emissions (Guenther et al., 1995) and photochemistry due to strong solar radiation and high temperatures in this warmer season.

The most abundant species among OS<sub>i</sub> was 2-MT-OS (C<sub>5</sub>H<sub>11</sub>O<sub>7</sub>S<sup>-</sup>), produced by reactive uptake of IEPOX on sulfate during the photooxidation of isoprene under low-NOx conditions (Surratt et al., 2010). The average concentration of 2-MT-OS was ~31 ng m<sup>-3</sup> in summer, contributing to about 45% of OS<sub>i</sub>, whereas it decreased to 0.4-1.3 ng m<sup>-3</sup> in other seasons, accounting for only 4-10% of OS<sub>i</sub> (see Table S4). In addition, 2-methylglyceric acid sulfate (2-MA-OS, C<sub>4</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup>) was also abundantly detected with an average concentration of 4.5 ng m<sup>-3</sup> in summer and 1.0-2.2 ng m<sup>-3</sup> in other seasons. 2-MA-OS is formed from reactive uptake of methacrylic acid epoxide (MAE) (Lin et al., 2013) and hydroxymethyl-nethyl-lactone (HMML) (Nguyen et al., 2015) on sulfate aerosol during isoprene photooxidation under high-NOx conditions. It is worthwhile noting that the concentration ratio of 2-MT-OS/2-MA-OS in summer (6.8-7.8) is substantially larger than that in other seasons (0.31-0.78). This is consistent with a dramatic reduction of NOx level (e.g., NO<sub>2</sub>) in summer (~13 ppb) compared to that in other seasons (~24-34 ppb) (see Table S3). Furthermore, the NOx-influenced oxidation pathways may not be conducive to 2-MA-OS formation in summer, given that the formation of reactive intermediates such as MAE is unfavorable at high temperatures owing to enhanced thermal decomposition of its precursor methacryloylperoxynitrate (MPAN) (Worton et al., 2013). Since 2-MT-OS and 2-MA-OS are key tracers for isoprene-derived SOA under low- and high-NOx conditions, respectively (Surratt et al., 2010; Lin et al., 2013; Nguyen et al., 2015). The dramatically larger ratios of 2-MT-OS/2-MA-OS in summer than in other seasons therefore strongly suggests that the low-NOx oxidation pathways dominated the production of isoprene-derived SOA in summer, while the processes favorable under high-NOx conditions were important SOA formation in other seasons. We note that the 2-MT-OS/2-MA-OS ratios observed in summertime Shanghai are smaller than those (17.0-33.8) observed in less polluted environments such as the southeastern United States (Budisulistiorini et al., 2015; Hettiyadura et al., 2019; Riva et al., 2019), but significantly larger than those (0.55-1.57) observed in Beijing (Wang et al., 2018; Bryant et al., 2020) and the Pearl River Delta (PRD) region of China (He et al., 2018).

Other abundant OS<sub>i</sub> species include C<sub>5</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup>, C<sub>5</sub>H<sub>9</sub>O<sub>7</sub>S<sup>-</sup>, and C<sub>4</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup>. The C<sub>5</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup> and C<sub>5</sub>H<sub>9</sub>O<sub>7</sub>S<sup>-</sup> species can be produced by photooxidation of isoprene (Surratt et al., 2008; Nestorowicz et al., 2018) and/or the oxidative aging of methyltetrol sulfate (Hettiyadura et al., 2015). The C<sub>4</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup> can be generated both from sulfate radical reaction with MACR/MVK (Nozière et al., 2010; Schindelka et al., 2013; Wach et al., 2019) and isoprene photooxidation (Surratt et al., 2007a; Lin et al., 2013; Nestorowicz et al., 2018). The C<sub>5</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup> and C<sub>4</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup> are also consistent in molecular formula with the OS species formed



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319 from the photooxidation of diesel fuel vapors (Blair et al., 2017). However, these two species had moderate to strong 320 correlations with MT-OS and C<sub>5</sub>H<sub>9</sub>O<sub>7</sub>S<sup>-</sup> in different seasons expect for autumn (C<sub>5</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup>: r=0.68-0.96, C<sub>4</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup>: r=0.62-321 0.96), indicating that they are mainly derived from isoprene oxidation. We note that the five most abundant OS<sub>i</sub> species as discussed above were moderately correlated with EC and CO in winter (r=0.5-0.67), suggesting that there might be 322 anthropogenic sources of isoprene in winter. Borbon et al. (2001) measured the hourly isoprene concentration at an urban site 323 324 in Lille, France for two years and found that isoprene was largely derived from vehicle emissions in winter. In addition to OS species, two isoprene-derived NOSs (C<sub>5</sub>H<sub>10</sub>NO<sub>9</sub>S<sup>-</sup> and C<sub>5</sub>H<sub>8</sub>NO<sub>10</sub>S<sup>-</sup>) were also observed, in particular in summer. 325

#### 3.3.2 Monoterpene-derived organosulfates

Monoterpene-derived OS (hereafter referred to as OS<sub>m</sub>) include seven C<sub>7-10</sub> OS species and three C<sub>9-10</sub> NOS species. Compared to the OS<sub>i</sub>, the OS<sub>m</sub> showed a weaker seasonal variation and a relatively larger abundance except in summer (Fig. 4b). This is 328 consistent with the fact that isoprene emissions have stronger seasonal variability than monoterpene emissions (Guenther et al., 1995). The seasonally averaged concentrations of  $OS_m$  were higher in spring and summer, but lower in autumn and winter. 330 This is different from previous observations in 2012/2013 in Shanghai by Ma et al (2014). They found that the  $OS_m$  were most abundant in summer, followed by autumn, winter, and spring. The differences in seasonal variations of OS<sub>m</sub> observed 332 333 by the two studies may be attributed to different meteorological and chemical conditions that affected precursor emissions and chemistry of OS<sub>m</sub> formation over the sampling periods. Given that the OS<sub>m</sub> concentration had an obvious daily variation, 334 335 the number of samples collected would significantly affect the seasonally averaged concentration. As such, the difference in the number of samples collected each season (18-20 samples in this study versus 6 samples within three days in Ma et al. (2014)) may also contribute to the different seasonality observed in two studies.

The NOS species such as  $C_{10}H_{16}NO_7S^-$ ,  $C_9H_{14}NO_8S^-$ , and  $C_{10}H_{16}NO_{10}S^-$  were the most abundant  $OS_m$  species, which arises mainly from monoterpenes photooxidation in the presence of NOx or nighttime NO<sub>3</sub> chemistry (Iinuma et al., 2007a; Surratt et al., 2008). The concentrations of these three NOS were all lower in summer than in spring and autumn (Table S4), consistent with the seasonal trend of NOx concentrations (Fig. 2 and Table S3). Similar seasonal variations for these NOS species were also observed in the PRD region of China (He et al., 2014) and the Mid-Atlantic United States (Meade et al., 2016). Among NOS species, the C<sub>10</sub>H<sub>16</sub>NO<sub>7</sub>S<sup>-</sup> was most abundant, contributing to 22-48% of OS<sub>m</sub>. This species had an annual average concentration of 6.2±6.5 ng m<sup>-3</sup> in 2015/2016 and 5.5±6.2 ng m<sup>-3</sup> in 2018/2019, which is comparable to the concentrations observed in Beijing (12 ng m<sup>-3</sup>) (Wang et al., 2018) and Atlanta (9.0 ng m<sup>-3</sup>) (Hettiyadura et al., 2019), but much lower than observed in the PRD region of China (52.4 ng m<sup>-3</sup> in summer and 151 ng m<sup>-3</sup> in autumn) (He et al., 2014). The prevalence of monoterpene-derived NOS in Shanghai as observed in this study is consistent with recent observations that monoterpenes accounted for up to 60% of nighttime NO<sub>3</sub> radical loss in the YRD region of China (Wang et al., 2020a).

The most abundant nitrogen-free OS<sub>m</sub> species was C<sub>10</sub>H<sub>15</sub>O<sub>7</sub>S<sup>-</sup> (m/z 279.0538), which was shown to be produced from the photooxidation of monoterpenes (Surratt et al., 2008) or sulfate radical reaction with α-pinene (Nozière et al., 2010). Although the  $C_{10}H_{15}O_7S$  is consistent in molecular composition with the OS species formed by photooxidation of cyclodecane in the presence of sulfate aerosol (Riva et al., 2016b), its moderate to strong correlation (r=0.51-0.93) with the three monoterpenederived NOS in all seasons except for winter suggests that it is mainly derived from monoterpene oxidation. The concentration



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- of  $C_{10}H_{15}O_7S^-$  was on average  $3.0 \pm 3.0$  ng m<sup>-3</sup> in 2015/2016, lower than that  $(4.0 \pm 3.4$  ng m<sup>-3</sup>) in 2018/2019. In contrast to
- NOS species, the C<sub>10</sub>H<sub>15</sub>O<sub>7</sub>S<sup>-</sup> species was most abundant in summer in both years, again suggesting a strong contribution of
- 356 low-NOx chemistry in OS and SOA formation in summer.

### 3.3.3 Anthropogenic organosulfates

The quantified anthropogenic OS (hereafter referred to as  $OS_a$ ) in this study include phenyl sulfate ( $C_6H_5O_4S^-$ ), benzyl sulfate 358 (C<sub>7</sub>H<sub>7</sub>O<sub>4</sub>S<sup>-</sup>), C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>, as well as C<sub>4</sub>H<sub>7</sub>O<sub>4</sub>S<sup>-</sup>, C<sub>5</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup>, and C<sub>6</sub>H<sub>9</sub>O<sub>6</sub>S<sup>-</sup>. The annual average concentrations of OS<sub>a</sub> in 359 2015/2016 and 2018/2019 were 5.6±2.8 ng m<sup>-3</sup> and 3.8±3.3 ng m<sup>-3</sup>, respectively. The decreased concentrations of OS<sub>a</sub> in 360 361 2018/2019 is consistent with a significant reduction of anthropogenic pollutant emissions in China in recent years. Since only 362 a small fraction of OSa were quantified in this study, the OSa concentration was substantially smaller compared to biogenic 363 OS. In addition, the OS<sub>a</sub> concentration had little seasonal variations in both 2015/2016 and 2018/2019 (Fig. 4c). Among quantified OSa, the C4H7O4S was most abundant with an annual average concentration of 2.0±1.5 ng m<sup>-3</sup> in 2015/2016 and 364 1.8±2.6 ng m<sup>-3</sup> in 2018/2019, which is comparable to the concentrations in Atlanta (Hettiyadura et al., 2019). Blair et al. (2017) 365 366 found that photooxidation of diesel vapors in the presence of SO<sub>2</sub> can form C<sub>4</sub>H<sub>7</sub>O<sub>4</sub>S<sup>-</sup>, C<sub>5</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup>, and C<sub>6</sub>H<sub>9</sub>O<sub>6</sub>S<sup>-</sup> species. The C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup> species had the same retention time with the octyl sulfate standard in the LC column, suggesting it is a long-chain 367 368 aliphatic OS. This OS species was correlated with C<sub>5</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup> and C<sub>6</sub>H<sub>9</sub>O<sub>6</sub>S<sup>-</sup> that were potential diesel vapor-derived OS. Phenyl sulfate and benzyl sulfate may be produced by photooxidation of naphthalene and 2-methylnaphthalene (Riva et al., 2015), 369 370 but phenyl sulfate was only detected in 42 out of 75 samples in 2015/2016 and 8 out of 81 samples in 2018/2019, primarily in winter. The benzyl sulfate concentrations in 2015/2016 and 2018/2019 were 0.4±0.1 ng m<sup>-3</sup> and 0.2±0.10 ng m<sup>-3</sup>, 371 372 respectively, which were higher than the observations in springtime Lahore, Pakistan (Staudt et al., 2014) and in wintertime 373 Xi'an, China (Huang et al., 2018). Benzyl sulfate had a strong correlation with phenyl sulfate and was also correlated with

## 3.3.4 C<sub>2</sub>/C<sub>3</sub> organosulfates

 $C_6H_9O_6S^-$  and  $C_8H_{17}O_4S^-$ .

The OS species with two or three carbon atoms are grouped together since many of them are considered to have both biogenic and anthropogenic origins. The  $C_2/C_3$  OS quantified in this study include  $C_2H_3O_6S^-$  (m/z 154.9650),  $C_3H_5O_5S^-$  (m/z 152.9858),  $C_3H_5O_6S^-$  (m/z 168.9807),  $C_2H_3O_5S^-$  (m/z 138.9701),  $C_3H_5O_4S^-$  (m/z 136.9909), and  $C_3H_7O_5S^-$  (m/z 155.0014). The  $C_2/C_3$  OS species averagely accounted for 19% of quantified OS concentrations and they were overall more abundant in summer than in other seasons (Fig. 4d). The  $C_2H_3O_6S^-$ ,  $C_3H_5O_5S^-$ , and  $C_3H_5O_6S^-$  species, which were previously assigned to glycolic acid sulfate (GAS), hydroxyacetone sulfate (HAS), and lactic acid sulfate (LAS), respectively (Olson et al., 2011; Hettiyadura et al., 2017; Huang et al., 2018; Wang et al., 2018; Hettiyadura et al., 2019), were among the most abundant  $C_2/C_3$  OS species, together contributing to 76% of  $C_2/C_3$  OS concentrations. The concentration of  $C_2H_3O_6S^-$  (GAS) was on average 2.9±2.2 ng m<sup>-3</sup> in 2015/2016 and 2.3±1.7 ng m<sup>-3</sup> in 2018/2019, which was lower than the concentrations measured in Beijing (19.5 ng m<sup>-3</sup>) (Wang et al., 2018), Xi'an (77.3 ng m<sup>-3</sup>) (Huang et al., 2018), Atlanta (58.5 ng m<sup>-3</sup>) (Hettiyadura et al., 2019), Centreville (20.6 ng m<sup>-3</sup>) (Hettiyadura et al., 2017), Lahore, Pakistan (11.3 ng m<sup>-3</sup>), and Bakersfield, CA (4.5-5.4 ng m<sup>-3</sup>) (Olson et al., 2011), and similar with those observed in Riverside, CA (3.3 ng m<sup>-3</sup>) (Olson et al., 2011). We note that if accounting for the underestimation (2-6 times) in concentration due to matrix effects (see Sect. 2.5), the GAS concentration measured in



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389 Shanghai would be comparable to that in most of the regions mentioned above. The concentrations of C<sub>3</sub>H<sub>5</sub>O<sub>5</sub>S<sup>-</sup> (HAS) and 390 C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S<sup>-</sup> (LAS) were quite similar, on average 2.3 and 2.2 ng m<sup>-3</sup> in 2015/2016 and 1.8 and 1.9 ng m<sup>-3</sup> in 2018/2019, 391 respectively, which were comparable to the concentrations observed in Beijing (2.2 ng m<sup>-3</sup> and 4.4 ng m<sup>-3</sup>) (Wang et al., 2018), and Xi'an (1.3 ng m<sup>-3</sup> for HAS) (Huang et al., 2018), but lower than those measured in Centreville (5.8 ng m<sup>-3</sup> and 16.5 ng m<sup>-3</sup> 392 3) (Hettiyadura et al., 2017) and Atlanta (10.1 ng m<sup>-3</sup> and 38.4 ng m<sup>-3</sup>) (Hettiyadura et al., 2019). The C<sub>2</sub>H<sub>3</sub>O<sub>6</sub>S<sup>-</sup>, C<sub>3</sub>H<sub>5</sub>O<sub>5</sub>S<sup>-</sup>, 393 394 C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S', and C<sub>2</sub>H<sub>3</sub>O<sub>5</sub>S' were strongly correlated with most of OS<sub>1</sub> species (r=0.52-0.96 for C<sub>2</sub>H<sub>3</sub>O<sub>6</sub>S', r=0.53-0.99 for  $C_3H_5O_5S^-$ , r=0.53-0.90 for  $C_3H_5O_6S^-$ , and r=0.53-0.94 for  $C_2H_3O_5S^-$ ), indicating that they originated mainly from isoprene 395 chemistry. This is in line with recent findings that a series of C<sub>2</sub>/C<sub>3</sub> OS species, including C<sub>2</sub>H<sub>3</sub>O<sub>6</sub>S<sup>-</sup>, C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S<sup>-</sup>, and C<sub>2</sub>H<sub>3</sub>O<sub>5</sub>S<sup>-</sup>, 396 can be produced by heterogeneous OH oxidation of particulate 2-MT-OS (Chen et al., 2020). The C<sub>3</sub>H<sub>5</sub>O<sub>4</sub>S<sup>-</sup> species, proposed 397 398 to contain an allyl group (Hettiyadura et al., 2017), was previously found to be produced by diesel photooxidation (Blair et al., 2017), and was correlated with anthropogenic OS such as the potential diesel vapor-derived OS (C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>, C<sub>4</sub>H<sub>7</sub>O<sub>4</sub>S<sup>-</sup>, 399 400  $C_5H_7O_6S^-$ , and  $C_6H_9O_6S^-$ , r=0.53-0.87) and benzyl sulfate ( $C_7H_7O_4S^-$ , r=0.49-0.88). The  $C_3H_7O_5S^-$  is likely an OS species 401 containing one hydroxyl group (Hettiyadura et al., 2017), which was strongly correlated with the C<sub>3</sub>H<sub>5</sub>O<sub>4</sub>S<sup>-</sup> in all seasons and 402 correlated with the diesel vapor-derived OS<sub>a</sub> (C<sub>6</sub>H<sub>9</sub>O<sub>6</sub>S<sup>-</sup> and C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>) in spring and autumn, suggesting that it may be 403 largely derived from the photooxidation of diesel vapors. This result is different from the observations in Atlanta, where the 404 C<sub>3</sub>H<sub>7</sub>O<sub>5</sub>S<sup>-</sup> was correlated with most of OS<sub>i</sub>, leading to the suggestion that it was derived from the oxidation of isoprene (Hettiyadura et al., 2019). We note that the concentrations of C<sub>3</sub>H<sub>5</sub>O<sub>4</sub>S<sup>-</sup> and C<sub>3</sub>H<sub>7</sub>O<sub>5</sub>S<sup>-</sup> species decreased significantly from 405 406 2015/2016 to 2018/2019 (except for summer, see Table S4), overall consistent with the interannual variations of OSa species. 407 This further supports that these two OS species mainly originated from anthropogenic sources.

#### 3.4 Factors influencing organosulfate formation

Laboratory and field studies have shown that aerosol properties such as acidity, sulfate concentration, and ALWC play important roles in the formation of OS (Iinuma et al., 2007b; Surratt et al., 2007a; Surratt et al., 2007b; Chan et al., 2011; Liao et al., 2015; Hettiyadura et al., 2019; Riva et al., 2019). Here we examined the influences of these factors, as well as the level of oxidants and temperature on OS formation in ambient aerosols in Shanghai. Aerosol pH and ALWC here were calculated using ISORROPIA-II (see Sect. 2.4). Figure 5 shows the OS concentration versus the O<sub>x</sub> level, sulfate concentration, aerosol pH, and ALWC observed in the spring, autumn, and winter of 2015-2016 and 2018-2019. Since the OS concentrations in summer were significantly greater than in other seasons, they were plotted separately in Fig. 6. As shown in Figs. 5 and 6, the aerosol pH in Shanghai ranged between 1.5 and 5.3 in summer and between 2.5 and 6.1 in other seasons, overall within the pH range (2-6) reported for ambient aerosols in northern China (Liu et al., 2017; Shi et al., 2017; Wang et al., 2018; Ding et al., 2019b; Song et al., 2019). The lower aerosol pH in summer than in other seasons was mainly a result of enhanced sulfate (decreased nitrate) mass fraction in aerosols (Fig. 2d) and decreased ALWC (Figs. 5 and 6c, d). Decreased aerosol pH in summer compared to other seasons were also observed in Beijing (Ding et al., 2019b) and the southeastern United States (Guo et al., 2015; Nah et al., 2018).

As can be seen in Fig. 5, the OS concentration in spring, autumn, and winter increased obviously with increasing Ox level, sulfate concentration, and aerosol acidity (Fig. 5a, b). A similar result was also found in Beijing that most OS species were https://doi.org/10.5194/acp-2020-784

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4 Conclusions



correlated strongly with the product of ozone and particulate sulfate ([O<sub>3</sub>]·[SO<sub>4</sub><sup>2-</sup>]) (Bryant et al., 2020). In addition, an overall positive correlation was observed between the OS concentration and ALWC (Fig. 5c, d). Therefore, it is likely that that the OS species were mainly produced by acid-catalyzed heterogeneous/aqueous-phase reactions of oxidized organic compounds with sulfate in these seasons. Previous studies have shown that elevated ALWC could inhibit OS production by decreasing aerosol acidity through dilution (Lewandowski et al., 2015; Nestorowicz et al., 2018). However, as the increase of ALWC was accompanied by elevated sulfate concentration, such a decrease in aerosol acidity was not observed in the present study (Fig. 5c, d). Alternatively, the increased ALWC likely promoted the mass transfer of oxidized organics into the aerosol phase, thereby enhancing OS formation. We note that the observations with moderate to high ALWC but relatively low OS concentration (data points in the triangle in Fig. 5c, d) were associated with low Ox levels (<50 ppb) that significantly limited the oxidation of VOC precursors and hence the formation of OS.

As seen in Fig. 6, OS production in summer increased dramatically with rising Ox concentration. In addition, high OS concentrations were associated with high ambient temperatures, which can enhance emissions of biogenic precursors and the production of Ox. While the aerosol acidity effect on OS production in summer was still evident, the influence of sulfate and

production of Ox. While the aerosol acidity effect on OS production in summer was still evident, the influence of sulfate and ALWC was not as obvious as in other seasons. This is likely because the OS production in summer was driven by the strong emission and fast photochemistry of VOC precursors. It is noteworthy that the sulfate concentrations, ALWC, and aerosol acidities were overall higher in 2015/2016 than in 2018/2019, but the OS concentrations were similar in two years. This implies that the Ox level is a driving factor for OS formation in ambient aerosols in Shanghai. Very recently, a similar oxidant effect on OS formation was also observed in urban Beijing (Bryant et al., 2020). Therefore, mitigation of Ox pollution may effectively reduce the production of OS and SOA in Chinese megacities.

In this study, we collected ambient PM<sub>2.5</sub> filter samples over four seasons in 2015/2016 and 2018/2019 in urban Shanghai, China, and comprehensively characterized the sulfur-containing organic compounds (CHOS and CHONS) in these PM<sub>2.5</sub> samples using UPLC-ESI(-)-QToF-MS. The CHOS and CHONS species accounted for a large fraction of ion signals for organic compounds in ambient PM<sub>2.5</sub>. Using a set of authentic and surrogate OS standards, we quantified the abundance of 29 OS and 6 NOS species in ambient aerosols. We find that there was no strong change in the OS concentration in 2018/2019 (59.4±79.7 ng m<sup>-3</sup>) compared to that in 2015/2016 (65.5±77.5 ng m<sup>-3</sup>), though the OM concentration decreased by 29% between 2015/2016 (12.7±8 μg m<sup>-3</sup>) and 2018/2019 (9±5.5 μg m<sup>-3</sup>). As a result, the annual average contribution of quantified OS to OM increased from 0.57% in 2015/2016 to 0.66% in 2018/2019, suggesting an enhanced contribution of SOA to OM in Shanghai in recent years. Isoprene- and monoterpene-derived organosulfates (OS<sub>1</sub> and OS<sub>m</sub>) accounted for 36.3% and 31.0% of the quantified OS concentrations, respectively, indicating a significant contribution of biogenic emissions to SOA in Shanghai. The abundance of OS<sub>1</sub> had strong seasonality and was significantly higher in summer (76.5±93.4 ng m<sup>-3</sup> in 2015/2016 and 68.4±102.2 ng m<sup>-3</sup> in 2018/2019) than in other seasons (10.4-17.1 ng m<sup>-3</sup>). The OS<sub>m</sub> concentration showed a weaker seasonal variation and was relatively higher in spring and summer than in autumn and winter. In contrast, anthropogenic OS (OS<sub>a</sub>) had little seasonal variations and decreased by 32% from 2015/2016 to 2018/2019 due to a significant reduction of anthropogenic pollutant emissions in recent years. The C<sub>2</sub>/C<sub>3</sub> OS species that were more abundant in summer





459 than in other seasons, on average contributed to 19% of the concentration of quantified OS species. The C<sub>2</sub>H<sub>3</sub>O<sub>6</sub>S<sup>-</sup> (GAS), 460 C<sub>3</sub>H<sub>5</sub>O<sub>5</sub>S<sup>-</sup> (HAS), and C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S<sup>-</sup> (LAS), which were derived mainly from isoprene chemistry, were the most abundant C<sub>2</sub>/C<sub>3</sub> 461 OS species and together accounted for 76% of C<sub>2</sub>/C<sub>3</sub> OS concentrations. 2-MT-OS was the most abundant OS species in summer. The dramatic larger 2-MT-OS/2-MA-OS ratios in summer (6.8-7.8) 462 versus other seasons (0.31-0.78) implies that the reaction pathways prevalent under low-NOx conditions (e.g., reactive uptake 463 of IEPOX and photooxidation of ISOPOOH) dominated the production of OSi and isoprene-derived SOA in summer, while 464 the processes favorable under high-NOx conditions play an important role in OS<sub>1</sub> and SOA formation in other seasons. The 465 C<sub>10</sub>H<sub>16</sub>NO<sub>7</sub>S<sup>-</sup> species derived from monoterpenes was the most abundant NOS species, with an annual average concentration 466 of 6.2±6.5 ng m<sup>3</sup> in 2015/2016 and 5.5±6.2 ng m<sup>3</sup> in 2018/2019. This agrees well with previous observations that 467 monoterpenes depleted about 60% of nighttime NO<sub>3</sub> radicals in the YRD region of China (Wang et al., 2020a). 468 469 In addition, we find that the abundance of OS is overall positively correlated with the Ox level, sulfate concentration, aerosol 470 acidity, as well as ALWC in spring, autumn, and winter, suggesting the production of OS via acid-catalyzed aqueous-phase 471 reactions of oxidized organic compounds on sulfate. However, OS production in summer was strongly driven by rising Ox and temperature that could enhance the photochemistry and emissions of biogenic precursors. We further find that although 472 sulfate concentrations, aerosol acidities, and ALWC were significantly lower in 2018/2019 than in 2015/2016, the production 473 474 of OS was largely sustained in 2018/2019 by the nearly unchanged Ox level that maintained the fast oxidation of VOC 475 precursors. These results imply that controlling Ox pollution may also effectively mitigate particulate organic matter pollution 476 in eastern China. 477 It should be pointed out that GAS was likely underestimated by a factor of 2-6 as a result of the matrix effect during the 478 analysis in our study. If accounting for this effect, it would be the second most abundant OS species after MT-OS. In addition, 479 a large fraction of CHOS signals that arose mainly from anthropogenic sources were not quantified due to the lack of proper 480 OS standards in this study. Therefore, the OS concentration and its contribution to organic aerosol in Shanghai could be 481 significantly greater. Future studies on the abundance, origin, and formation mechanisms of these unquantified OS are 482 warranted for a better understanding of the formation and evolution of OS and SOA in the atmosphere. 483 484 Data availability. The data presented in this work are available upon request from the corresponding author Y. Zhao 485 (yuezhao20@sjtu.edu.cn). Author contributions. YZ designed and led the research, YW, WFZ, and ZC collected ambient samples, YCW and JZY 486 487 provided OS standards, JYS conducted ISORROPIA-II model calculation, YW conducted sample analysis, and YZ and YW 488 processed the data and wrote the paper with contributions from all of the authors. Competing interests. The authors declare no conflict of interest. 489 490 Acknowledgments. This work was supported by the National Natural Science Foundation of China (Grant 491 21806104), the Science and Technology Commission of Shanghai Municipality (Grant 19DZ1205004), and the

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**Table 1.** Organosulfates (in ng m<sup>-3</sup>) quantified by UPLC-ESI(-)-QToFMS.

Category	m/z, [M-H] <sup>-</sup>	Formula, [M-H]	Standards for quantification	Proposed	Ref ·	Average concentration	
				structure		2015/2016	2018/2019
	167.0014	$C_4H_7O_5S^-$	Lactic acid sulfate (LAS)	osō,	a	1.13	1.45
	182.9963	$C_4H_7O_6S^-$	LAS	HO OSO₃	b	2.84	2.19
	197.0120	$C_5H_9O_6S^-$	LAS	HO OSO3	c	1.87	1.72
	198.9912	$C_4H_7O_7S^-$	LAS	HO OSŌ₃	d	2.28	2.50
	199.0276	$C_5H_{11}O_6S^{-}$	LAS	HO → OSO₃	c	0.57	0.65
Isoprene OS	210.9912	C <sub>5</sub> H <sub>7</sub> O <sub>7</sub> S <sup>-</sup>	LAS	osō₃ oн	d	6.09	4.81
	213.0069	C <sub>5</sub> H <sub>9</sub> O <sub>7</sub> S <sup>-</sup>	LAS	$OH$ $OSO_3$ $OH$	d	3.81	3.82
	215.0226	$C_5H_{11}O_7S^-$	LAS	0.00	e	11.35	8.92
	237.0069	C <sub>7</sub> H <sub>9</sub> O <sub>7</sub> S <sup>-</sup>	LAS		f	0.58	0.50
	260.0076	$C_5H_{10}NO_9S^{\scriptscriptstyle -}$	LAS	$HO$ $OSO_3$ $ONO_2$	g	2.28	2.96
	273.9869	$C_5H_8NO_{10}S^-$	LAS	$HO \longrightarrow OSO_3$ $ONO_2$	h	1.89	4.87
	223.0276	C <sub>7</sub> H <sub>11</sub> O <sub>6</sub> S <sup>-</sup>	Glycolic acid sulfate (GAS)	osō <sub>3</sub>	i	1.37	1.12
	239.0225	$C_7H_{11}O_7S^-$	GAS	OH O	f	1.91	2.01
	249.0797	$C_{10}H_{17}O_5S^-$	α-Pinene sulfate	HO oso3	j	0.33	0.17
Monoterpene OS	251.0589	$C_9H_{15}O_6S^-$	Limonaketone sulfate	HO oso3	j	1.32	1.02
	253.0382	$C_8H_{13}O_7S^-$	GAS	O $OH$ $OSO$ $OSO$	a	1.39	1.73
	279.0538	$C_{10}H_{15}O_{7}S^{-}$	GAS		g	2.99	4.00
	281.0695	$C_{10}H_{17}O_7S^-$	α-Pinene sulfate	HO OSO <sub>3</sub>	f	0.34	0.21
	294.0648	$C_{10}H_{16}NO_{7}S^{-}$	α-Pinene sulfate	$O_2NO$ $O_2NO$ $O_2NO$	k	6.21	5.55





	296.0440	C9H14NO <sub>8</sub> S-	Limonaketone sulfate	ONO <sub>2</sub>	k	1.62	2.29
	342.0495	C10H16NO <sub>10</sub> S <sup>-</sup>	Limonaketone sulfate	OSO OH HO ONO₂	i	1.32	1.59
	151.0065	C <sub>4</sub> H <sub>7</sub> O <sub>4</sub> S <sup>-</sup>	Methyl sulfate	unknown	_	2.04	1.80
	194.9963	$C_5H_7O_6S^-$	GAS	unknown	_	0.83	0.76
	209.0120	$C_6H_9O_6S^-$	GAS	unknown	_	1.44	0.63
Anthropogen ic OS	209.0845	$C_8H_{17}O_4S^{-}$	Sodium octyl sulfate	$\sim\sim\sim$ os $\bar{o}_3$	-	1.04	0.86
	172.9909	$C_6H_5O_4S^-$	Phenyl sulfate	osō₃	1	0.36	0.12
	187.0065	C <sub>7</sub> H <sub>7</sub> O <sub>4</sub> S <sup>-</sup>	Phenyl sulfate	OSO3	1	0.35	0.17
	136.9909	$C_3H_5O_4S^{-}$	GAS	unknown	_	0.62	0.50
	138.9701	$C_2H_3O_5S^{-1}$	GAS	°>∕~osō₃	g	0.58	0.57
	152.9858	$C_3H_5O_5S^-$	GAS	Ĵ_osō₃	d	2.30	1.79
C <sub>2</sub> /C <sub>3</sub> OS	154.9650	$C_2H_3O_6S^-$	GAS	HO OSŌ₃	m	2.91	2.25
	155.0014	$C_3H_7O_5S^-$	GAS	OH oso₃	n	1.21	0.70
	168.9807	$C_3H_5O_6S^-$	LAS	Ho →osō₃	m	2.24	1.94
Unknown source OS	164.9858	C <sub>4</sub> H <sub>5</sub> O <sub>5</sub> S <sup>-</sup>	Methyl sulfate	$\hat{\mathbb{C}}_{0s\bar{0}_3}$	n	1.20	0.78
	241.9971	C <sub>5</sub> H <sub>8</sub> NO <sub>8</sub> S <sup>-</sup>	Methyl sulfate	$O_2NO$ $OS\bar{O}_3$	n	1.67	1.21
SUM				-		65.48	59.04

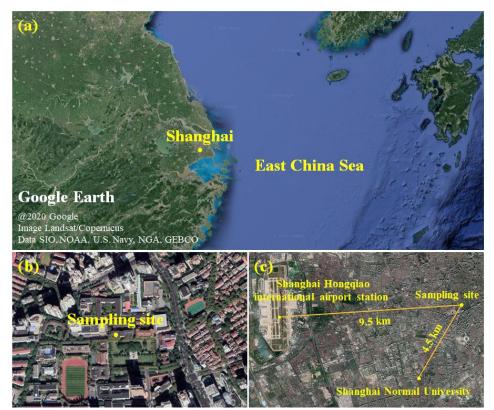
References for proposed OS structures: <sup>a</sup> Schindelka et al. (2013)), <sup>b</sup> Shalamzari et al. (2013), <sup>c</sup> Riva et al. (2016a), <sup>d</sup> Hettiyadura et al. (2015), <sup>e</sup> Surratt et al. (2010), <sup>f</sup> Nozière et al. (2010), <sup>g</sup> Surratt et al. (2007a), <sup>h</sup> Nestorowicz et al. (2018), <sup>i</sup> Yassine et al. (2012), <sup>j</sup> Wang et al. (2017), <sup>k</sup> Surratt et al. (2008), <sup>l</sup> Huang et al. (2018), <sup>m</sup> Olson et al. (2011), <sup>n</sup> Hettiyadura et al. (2019).

**Table 2.** A summary of OS concentration (in ng m<sup>-3</sup>) and its contribution to OM (OS/OM) in four seasons in 2015/2016 and 2018/2019.

Congon	2015/	2016	2018/2019		
Season —	OS	OS/OM	OS	OS/OM	
All year	65.5±77.5	$0.57\% \pm 0.56\%$	59.4±79.7	$0.66\% \pm 0.56\%$	
Spring	51.1±24.4	$0.34\% \pm 0.10\%$	51.5±28.8	$0.48\% \pm 0.15\%$	
Summer	114.1±128.4	$1.13\% \pm 0.78\%$	102.1±137.7	$1.18\% \pm 0.81\%$	
Autumn	38.2±21.7	$0.36\% \pm 0.11\%$	38.0±20.0	$0.54\% \pm 0.24\%$	
Winter	44.5±17.5	$0.32\% \pm 0.12\%$	37.3±18.4	0.36%±0.13%	

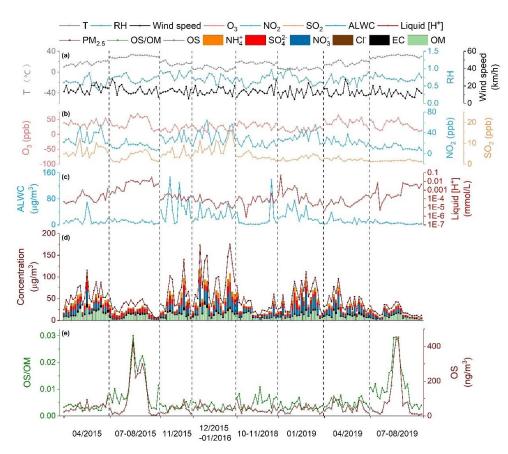


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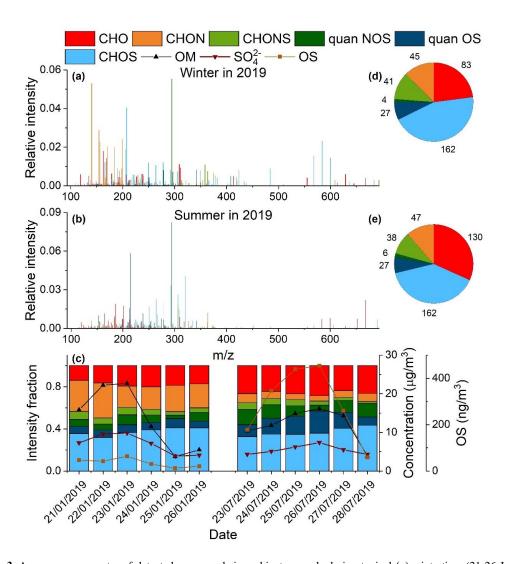
**Figure 1.** (a) Map of Shanghai. (b) Map of the sampling site on the Xuhui Campus of Shanghai Jiao Tong University in downtown. (c) Map of the PM<sub>2.5</sub> sampling site, the meteorological station at Shanghai Hongqiao international airport, the air quality monitoring station at Shanghai Normal University, and distances between them.





**Figure 2.** Time series of temperature, relative humid (RH), wind speed,  $O_3$ ,  $NO_2$ ,  $SO_2$ , aerosol liquid water content (ALWC) and liquid [H $^+$ ], concentrations of particulate organic matter (OM), elemental carbon (EC), sulfate, nitrate, chloride, ammonium, as well as the abundance of OS and its contribution to OM in 2015/2016 and 2018/2019 in Shanghai.





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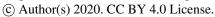
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**Figure 3.** Average mass spectra of detected compounds in ambient aerosols during typical (**a**) wintertime (21-26 January 2019) and (**b**) summertime (23-28 July 2019) pollution episodes in Shanghai. The detected compounds were classified into six categories, i.e., CHO, CHON, CHON, CHONS, quantified NOS, and quantified OS. The CHOS and CHONS categories excluded quantified OS and NOS, respectively. (**c**) The intensity fraction of different compound categories, as well as the time series of OM, SO<sub>4</sub><sup>2-</sup>, and OS concentrations during two pollution episodes in 2019. (**d**) (**e**) The number of compounds detected in each category during the pollution episodes in winter and summer, respectively.







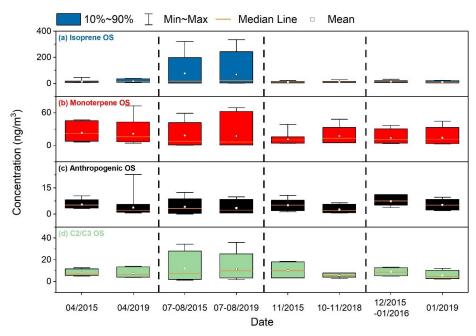
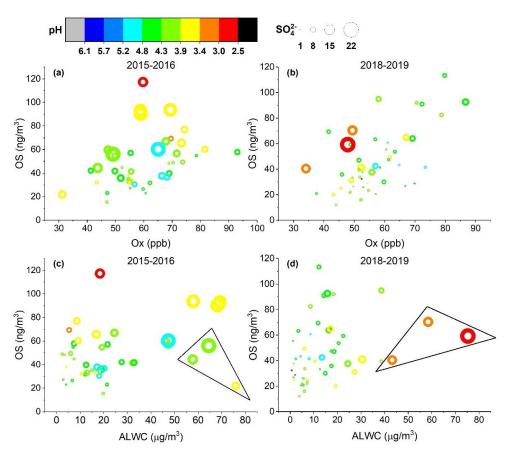


Figure 4. The concentrations of different types of the quantified OS over four seasons in 2015/2016 and 2018/2019.



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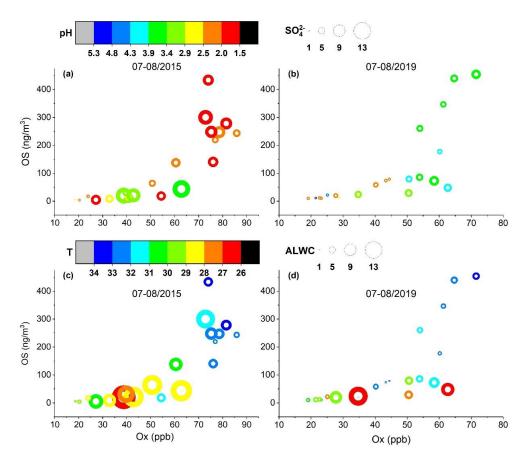
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**Figure 5.** The quantified OS concentrations as a function of (a) (b) the Ox level and (c)(d) aerosol liquid water content (ALWC) in 2015/2016 and 2018/2019 except for summer. The circles are colored according to the aerosol pH, and their size is linearly scaled with the  $SO_4^{2-}$  concentration. The markers inside the triangle indicate the observations with low Ox levels (<50 ppb).







**Figure 6.** The quantified OS concentrations as a function of the Ox level in the summer of (a) (c) 2015 and (b) (d) 2019. The color of circles in (a) (b) indicates the aerosol pH, and their size is linearly scaled with the  $SO_4^{2-}$  concentration. The color of circles in (c) (d) indicates ambient temperature and their size is linearly scaled with aerosol liquid water content (ALWC).