1 2	Organosulfates in atmospheric aerosols in Shanghai, China: seasonal and interannual variability, origin, and formation mechanisms
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#### 14 Abstract

Organosulfates (OS) are ubiquitous in the atmosphere and serve as important tracers for secondary organic aerosols (SOA). 15 Despite intense research over the years, the abundance, origin, and formation mechanisms of OS in ambient aerosols, in 16 particular in regions with severe anthropogenic pollution, are still not well understood. In this study, we collected filter samples 17 18 of ambient fine particulate matter (PM<sub>2.5</sub>) over four seasons in both 2015/2016 and 2018/2019 at an urban site in Shanghai, China, and comprehensively characterized the OS species in these PM<sub>2.5</sub> samples using an ultra-performance liquid 19 chromatography quadrupole time-of-flight mass spectrometer equipped with an electrospray ionization (ESI) source (UPLC-20 ESI-QToF-MS). We find that while the concentration of organic aerosol (OA) decreased by 29% in 2018/2019, compared to 21 that in 2015/2016, mainly a result of the reduction in anthropogenic pollutant emissions in eastern China, the annually 22 23 averaged concentrations of 35 quantified OS were overall similar in the two years ( $65.5\pm77.5$  ng m<sup>-3</sup>,  $0.57\%\pm0.56\%$  of OA in 2015/2016 versus 59.4±79.7 ng m<sup>-3</sup>, 0.66%±0.56% of OA in 2018/2019), suggesting an increased contribution of SOA to OA 24 in 2018/2019 compared to 2015/2016. Isoprene- and monoterpene-derived OS were the two most abundant OS families, on 25 average accounting for 36.3% and 31.0% of the quantified OS concentrations, respectively, during the two sampling years, 26 27 suggesting an important contribution of biogenic emissions to the production of OS and SOA in Shanghai. The abundance of biogenic OS, particularly those arising from isoprene, exhibited strong seasonality (peaked in summer) but no significant 28 29 interannual variability. In contrast, the quantified anthropogenic OS had little seasonal variability and declined in 2018/2019 compared with those in 2015/2016. The  $C_2/C_3$  OS species that have both biogenic and anthropogenic origins contributed, on 30 31 average, to 19.0% of the quantified OS, with  $C_2H_3O_6S^-$ ,  $C_3H_5O_5S^-$ , and  $C_3H_5O_6S^-$  being the most abundant ones, together accounting for 76% of the C<sub>2</sub>/C<sub>3</sub> OS concentrations in 2015/2016 and 2018/2019. 2-Methyltetrol sulfate (2-MT-OS, C<sub>5</sub>H<sub>11</sub>O<sub>7</sub>S<sup>-</sup>) 32 33 and monoterpene-derived  $C_{10}H_{16}NO_7S^-$  were the most abundant OS and nitrooxy-OS in summer, on average contributing to 31% and 5% of the quantified OS, respectively, during the summertime of the sampling years. The substantially larger 34 concentration ratio of 2-MT-OS to 2-methylglyceric acid sulfate (2-MA-OS, C<sub>4</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup>) in summer (6.8-7.8) than in other 35 seasons (0.31-0.78) implies that low-NO<sub>x</sub> oxidation pathways played a dominant role in isoprene-derived SOA formation in 36 summer, while high-NO<sub>x</sub> reaction pathways were more important in other seasons. We further find that the production of OS 37 was largely controlled by the level of  $O_x$  ( $O_x = O_3 + NO_2$ ), namely, the photochemistry of OS precursors, in particular in 38 summer, though sulfate concentration, aerosol acidity, as well as aerosol liquid water content (ALWC) that could affect the 39 40 heterogeneous chemistry of reactive intermediates leading to OS formation also played a role. Our study provides valuable insights into the characteristics and mechanisms of OS formation in a typical Chinese megacity and implies that mitigation of 41 O<sub>x</sub> pollution can effectively reduce the production of OS and SOA in eastern China. 42

#### 44 **1 Introduction**

Secondary organic aerosol (SOA) accounts for a significant fraction of atmospheric fine particulate matter ( $PM_{2,5}$ ) (Jimenez 45 et al., 2009; Huang et al., 2014) and contributes significantly to deteriorated air quality and Earth's climate forcing 46 (Ramanathan et al., 2001; Mahowald, 2011; Shrivastava et al., 2017; Huang et al., 2014). SOA consists of thousands of organic 47 48 compounds that are diverse in molecular properties. Identification and quantification of the composition of SOA are essential for understanding the composition, the chemistry of formation and evolution, properties, and climate and health impacts of 49 SOA (Hoffmann et al., 2011; Nozière et al., 2015). However, currently only a small portion of organic matter in SOA is 50 identified as specific compounds (Hoffmann et al., 2011; Nozière et al., 2015; Johnston and Kerecman, 2019). Organosulfates 51 (OS) are important constituents of SOA and have been frequently detected in both polluted and clean environments (Iinuma 52 53 et al., 2007a; Surratt et al., 2008; Claevs et al., 2010; Froyd et al., 2010; Hawkins et al., 2010; Hatch et al., 2011; Lin et al., 54 2012a; Stone et al., 2012; Hansen et al., 2014; He et al., 2014; Ma et al., 2014; Tao et al., 2014; Liao et al., 2015; Shakya and Peltier, 2015; Kourtchev et al., 2016; Meade et al., 2016; Wang et al., 2016b; Hettiyadura et al., 2017; Huang et al., 2018; Le 55 Breton et al., 2018; Wang et al., 2018; Hettiyadura et al., 2019; Wang et al., 2019a; Brüggemann et al., 2020). It has been 56 57 estimated that OS accounted for 6-12% of total sulfur in a rural area in K-puszta, Hungary (Lukacs et al., 2009), 1.3% of fine particulate organic mass (FPOM) in Fairbanks, Alaska (Shakya and Peltier, 2013), and 1-13% of FPOM across the continental 58 59 United States (Tolocka and Turpin, 2012; Shakya and Peltier, 2015). Studies have also shown that OS can affect aerosol properties such as acidity, viscosity, hygroscopicity, and light-absorbing properties (Nguyen et al., 2012; Hansen et al., 2015; 60 Estillore et al., 2016; DeRieux et al., 2018; Fleming et al., 2019; Riva et al., 2019; Olson et al., 2019). 61

Chamber studies have revealed that OS can originate from the (photo)oxidation of both biogenic precursors such as isoprene 62 (Gómez-González et al., 2008; Surratt et al., 2007b; Surratt et al., 2007a), monoterpenes (Iinuma et al., 2007a; Surratt et al., 63 2007a; Surratt et al., 2008; Iinuma et al., 2007b; Iinuma et al., 2009), sesquiterpenes (Chan et al., 2011), and 2-methyl-3-64 65 buten-2-ol (Zhang et al., 2012), as well as anthropogenic precursors such as polycyclic aromatic hydrocarbons, long-chain alkanes, naphthenes (Riva et al., 2015; Riva et al., 2016b), and diesel and biodiesel fuel vapors (Blair et al., 2017) in the 66 presence of sulfate aerosol or SO<sub>2</sub>. Many of the OS observed in these chamber studies have also been detected in the ambient 67 atmosphere, among which isoprene- and monoterpene-derived OS are usually most abundant in forested, rural, and even 68 69 urban areas (Stone et al., 2012; Ma et al., 2014; Meade et al., 2016; Hettiyadura et al., 2019; Kourtchev et al., 2016; Kristensen 70 and Glasius, 2011; Wang et al., 2019a; He et al., 2014; Hatch et al., 2011; Surratt et al., 2008; Hettiyadura et al., 2017).

71 In addition to the precursors, detailed formation mechanisms of OS have also been widely studied (Brüggemann et al., 2020). The acid-catalyzed ring-opening reaction of epoxides was established to be an important mechanism for the formation of OS 72 (Surratt et al., 2010; Lin et al., 2012b; Iinuma et al., 2009; Zhang et al., 2014), in particular for isoprene-derived OS (Surratt 73 et al., 2010; Lin et al., 2012b; Hatch et al., 2011). 2-Methyltetrol sulfate (2-MT-OS, C<sub>5</sub>H<sub>11</sub>O<sub>7</sub>S<sup>-</sup>), formed via reactive uptake 74 of isoprene epoxide (IEPOX) on sulfate, is one of the most abundant OS in atmospheric aerosol (Chan et al., 2010; Liao et 75 al., 2015) and can contribute up to 12.6% of the organic carbon mass in Atlanta, GA (Hettiyadura et al., 2019). Another OS 76 77 formation pathway is the nucleophilic substitution of tertiary organonitrates by inorganic sulfate. Darer et al. (2011) found 78 that tertiary organonitrates are thermodynamically unstable and can undergo nucleophilic substitution with sulfate to generate 79 OS rapidly. This mechanism can also explain the formation of some nitrooxy-OS (NOS). In the atmospheric aqueous phase, sulfate radicals that can be produced by oxidation of S(IV) species in the presence of transition metal ions (TMI) (Grgic et al., 80 1998; Herrmann, 2003) or by OH radical reaction with bisulfate (Herrmann, 2003; Jiang et al., 1992) can also react with 81 unsaturated organic compounds to form OS. Laboratory studies have shown that a large number of OS were produced by the 82 83 bulk aqueous-phase oxidation of aromatic compounds, isoprene or its oxidation products, methyl vinyl ketone (MVK) and methacrolein (MACR), in the presence of inorganic sulfate or peroxodisulfate under irradiation (Nozière et al., 2010; 84 Schindelka et al., 2013; Huang et al., 2020), or in the presence of S(IV) and TMI under dark conditions (Huang et al., 2019). 85 However, field observational evidence for this mechanism is still lacking. In addition, reactive uptake of  $SO_2$  on organic 86 aerosol can also result in the production of OS. Laboratory studies have found that sulfur dioxide (SO<sub>2</sub>) could react with the 87 C=C bond in unsaturated fatty acids under dark conditions to form OS (Shang et al., 2016). Such OS have been detected in 88 89 the ambient atmosphere with an estimated contribution of 0.3%-0.9% to organic mass (OM) in PM<sub>2.5</sub> in southern China (Zhu 90 et al., 2019). Recent studies have also shown efficient production of OS from heterogeneous/aqueous-phase reactions of  $SO_2$ with organic peroxide-containing aerosol and SOA (Wang et al., 2019b; Yao et al., 2019). Such OS production was found to 91 be mainly a result of the direct reaction between  $SO_2$  and peroxides, rather than acid-catalyzed reaction involving inorganic 92 93 sulfate (Wang et al., 2019b). Currently, the acid-catalyzed ring-opening reaction of IEPOX has been the most well-studied mechanism and proved to be important in atmospheric OS formation by both field and modelling studies (Surratt et al., 2010; 94 Kourtchev et al., 2016; Hettiyadura et al., 2019; Hatch et al., 2011; Chan et al., 2010; McNeill et al., 2012; Worton et al., 2013; 95 He et al., 2018; Pye et al., 2013). However, atmospheric importance of other OS formation mechanisms remains to be 96 97 evaluated.

98 The OS formation pathways aforementioned can be affected by aerosol properties such as acidity, aerosol liquid water content (ALWC), and sulfate concentration. There is ample evidence from laboratory studies that increased aerosol acidity 99 significantly enhances the production of OS from acid-catalyzed reactions (Iinuma et al., 2007b; Chan et al., 2011; Surratt et 100 al., 2007a; Surratt et al., 2007b; Zhang et al., 2012), while field studies have shown that the abundance of OS was not or only 101 weakly correlated with aerosol acidity in some locations (Nguyen et al., 2014; Budisulistiorini et al., 2015; Brüggemann et 102 al., 2017; Rattanavaraha et al., 2017), suggesting the existence of other factors (e.g., ALWC, sulfate content, etc.) that control 103 OS formation in these areas. ALWC has dual effects on OS formation. On one hand, elevated ALWC can reduce the viscosity 104 105 and/or inhibit the liquid-liquid phase separation of aerosol, which would favor the dissolution and mixing of reactive intermediates such as IEPOX and multifunctional aldehydes in aqueous sulfate aerosol (Shiraiwa et al., 2011; Liao et al., 2015; 106 McNeill et al., 2012) or SO<sub>2</sub> in organic aerosol (Shang et al., 2016; Passananti et al., 2016; Yao et al., 2019), thereby enhancing 107 OS formation. On the other hand, high ALWC would decrease aerosol acidity via dilution, hence inhibiting the acid-catalyzed 108 OS formation. High ALWC may also promote the hydrolysis of OS in aqueous aerosol (Darer et al., 2011). 109

110 Quantification of OS is important for understanding their abundance and the chemistry of formation and evolution in the 111 atmosphere. Owing to the lack of authentic standards, the quantification of OS remains a challenging task. Recently, several 112 research groups have synthesized a series of authentic standards (e.g., glycolic acid sulfate, lactic acid sulfate, hydroxyacetone 113 sulfate, 2-methyltetrol sulfate, benzyl sulfate,  $\alpha$ -pinene sulfate,  $\beta$ -pinene sulfate, and limonene sulfate) that are structurally 114 the same as or similar to the OS found in atmospheric aerosols (Kundu et al., 2013; Staudt et al., 2014; Hettiyadura et al., 115 2015; Wang et al., 2017; Olson et al., 2011; Huang et al., 2018; Budisulistiorini et al., 2015). They used these authentic

- standards to quantify OS in ambient aerosols and provided important constraints on the abundance, origin, and chemistry of
- 117 OS in the atmosphere.

Up to now, there are few studies characterizing atmospheric OS in areas with severe anthropogenic pollution. Situated in the 118 119 eastern Yangtze River Delta (YRD) of China, Shanghai has a population of more than 24 million and is plagued by air pollution (Behera et al., 2015; Wang et al., 2016a). Here we conducted a comprehensive investigation of the molecular 120 composition, abundance, sources, and formation processes of OS in ambient aerosols in Shanghai. More than 150 ambient 121 PM<sub>2.5</sub> samples collected over four seasons during both 2015/2016 and 2018/2019 in urban Shanghai were analyzed using an 122 ultra-performance liquid chromatography quadrupole time-of-flight mass spectrometer equipped with an electrospray 123 124 ionization (ESI) source (UPLC-ESI-QToF-MS), and 35 OS were quantified using 7 synthesized and commercially purchased OS standards. Seasonal and interannual variations of OS, in response to the changes in emissions, meteorological conditions, 125 and  $PM_{2.5}$  chemical compositions, were comprehensively characterized, and the influencing factors such as aerosol acidity, 126 ALWC, sulfate content, oxidant level and so on for OS formation were investigated. This study would help to understand the 127 128 characteristics and mechanisms of OS and SOA production under the strong influence of anthropogenic pollution in Chinese megacities. 129

#### 130 2 Materials and methods

#### 131 **2.1 Ambient Sample collection**

In total 156 ambient  $PM_{2.5}$  samples were collected from 8 April 2015 to 16 January 2016 and from 23 October 2018 to 5 August 2019 in Shanghai, China. The sampling site is located on the rooftop of a 20-meter-tall teaching building on the Xuhui Campus of Shanghai Jiao Tong University at 31.201°N, 121.429°E, which is in downtown and surrounded by residential and commercial areas (see Fig. 1a, b). There is a main street 230 m east to the sampling site. The  $PM_{2.5}$  samples were collected on pre-baked (550 °C, 8 h) quartz-fiber filters (Whatman) from 9:00 am to 8:00 am of the next day using a high-volume sampler (HiVol 3000, Ecotech) at a flow rate of 67.8 m<sup>3</sup> h<sup>-1</sup>. The collected samples were wrapped in pre-baked (550 °C, 8 h) aluminum foil and stored at -20 °C before analysis.

# 139 2.2 Organosulfate measurement with UPLC-ESI-ToF-MS

- An aliquot of ~17 cm<sup>2</sup> was removed from each filter sample and extracted in 3 mL of methanol (LC-MS grade, CNW Technologies GmbH) twice under sonication in an ice bath at 4 °C for 30 min. The extracts derived each time were combined and filtered through a 0.45  $\mu$ m poly tetra fluoroethylene (PTFE) syringe filter (CNW Technologies GmbH) to remove insoluble materials, and subsequently concentrated to 250  $\mu$ L with a gentle stream of ultra-high-purity nitrogen (Shanghai Likang Gas Co., Ltd). The resulting extracts were mixed with ultrapure water (milliQ, 18.2 MQ·cm) of the same volume and centrifugated at 12000 rpm and 4 °C for 20 min using a centrifuge (Cence, TGL-16M) to get supernatant for analysis.
- 146 The resulting solutions were analyzed using an Acquity UPLC (Waters) coupled to a Xevo G2-XS QToF-MS (Waters) having
- a mass resolving power of  $\geq$  40000 and equipped with an ESI source. The analytes were separated by an ethylene bridged
- hybrid (BEH)  $C_{18}$  column (2.1×100 mm, 1.7 µm particle size, Waters) at 50 °C. A gradient elution procedure was performed

using water (A) and methanol (B) both containing 0.1% acetic acid (v/v) as the eluents: A was maintained at 99% for 1.5 min, decreased to 46% in 6.5 min and to 5% in 3 min, then decreased to 1% in 1 min and held for 2 min, finally returned to 99% in 0.5 min and held for 1.5 min to equilibrate the column. The total eluent flow rate was 0.33 mL min<sup>-1</sup> and the sample injection volume was 2.0  $\mu$ L. The ESI source was operated in the negative ion mode under optimum conditions as follows: capillary voltage 2.0 kV, sampling cone voltage 40 V, source offset voltage 80 V, source temperature 115°C, desolvation gas temperature

154 450°C, cone gas 50 L h<sup>-1</sup>, desolvation gas 900 L h<sup>-1</sup>.

The quantified OS as well as the authentic and surrogate standards used for the quantification of each OS are listed in Table 155 1. The OS standards were selected by mainly referring to Hettiyadura et al. (2019), which is based upon a comparison of the 156 tandem mass spectrometry (MS/MS) pattern between authentic standards and targeted OS in ambient aerosols, as well as to 157 158 Wang et al (2018). Glycolic acid sulfate (GAS,  $C_2H_3O_6S^-$ ) and lactic acid sulfate (LAS,  $C_3H_5O_6S^-$ ) were synthesized according 159 to Olson et al. (2011). Because LAS and GAS are too small in molecular size, we could not find a promising stain and use thin layer chromatography (TLC) on silica gel to purify them. Instead, we employed <sup>1</sup>H NMR and an internal standard 160 (dichloroacetic acid) to determine their purities (8% for GAS and 15% for LAS). Limonaketone sulfate ( $C_9H_{15}O_6S^-$ ) and  $\alpha$ -161 162 pinene sulfate ( $C_{10}H_{17}O_5S^-$ ) were synthesized and details were described in Wang et al. (2017). Other OS standards including sodium methyl sulfate (CH<sub>3</sub>O<sub>4</sub>S<sup>-</sup>, 99%, Macklin), sodium octyl sulfate (C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>, 95%, Sigma-Aldrich), and potassium 163 164 phenyl sulfate (C<sub>6</sub>H<sub>5</sub>O<sub>4</sub>S<sup>-</sup>, 98%, Tokyo Chemical Industry, Shanghai) were commercially purchased. The MS/MS measurement of quantified OS were also performed at a collision energy of 10-50 eV to confirm whether they are OS by 165 sulfur-containing fragment ions observed. In this study, most quantified OS were fragmented to the bisulfate anion (m/z 97) 166 and several quantified OS were only fragmented to the sulfate radical anion (m/z 96) and the sulfate radical anion (m/z 80) 167 168 (see Fig. S1).

#### 169 2.3 Auxiliary measurements

170 Meteorological parameters, including temperature, relative humidity (RH), and wind speed (WS) were continuously monitored by the Shanghai Hongqiao international airport station, which is 9 km west to the sampling site (Fig. 1c). The 171 concentrations of SO<sub>2</sub>, nitrogen dioxide (NO<sub>2</sub>), O<sub>3</sub> and PM<sub>2.5</sub> were measured by a state-controlled air quality monitoring 172 station on the Xuhui Campus of Shanghai Normal University, which is 4.5 km southwest to the sampling site for the PM<sub>2.5</sub> 173 174 filter samples (Fig. 1c). Organic carbon (OC) and elemental carbon (EC) in PM<sub>2.5</sub> filter samples were measured by a thermaloptical multiwavelength carbon analyzer (DRI Model 2015). The concentration of OM was derived by multiplying the OC 175 by 1.6 (Tao et al., 2017). Water-soluble inorganic compounds including sulfate, nitrate, chloride, ammonium, potassium, and 176 177 calcium were determined with an ion chromatograph (Metrohm MIC). The seasonal and annual average values of meteorological parameters and concentrations of trace gases, PM2.5 and its major components in 2015/2016 and 2018/2019 are listed 178 179 in Table S1.

#### 180 2.4 Estimation of aerosol liquid water content and pH

181 The ISORROPIA-II thermodynamic model (Fountoukis and Nenes, 2007) was employed to predict ALWC and aerosol pH.

182 The aerosol water-soluble inorganic ion concentrations, as well as temperature and RH were used as the model input. The

model was run in the forward model for metastable aerosol, which was shown to give a more accurate representation of aerosol pH than using the reverse-mode calculations when with only aerosol data input (Guo et al., 2015; Hennigan et al., 2015). ISORROPIA-II calculates the equilibrium concentration of aerosol hydronium ions ( $H^+_{air}$ ) per volume of air ( $\mu$ g m<sup>-3</sup>), along with ALWC ( $\mu$ g m<sup>-3</sup>). The aerosol pH was then derived by

$$pH = -\log_{10}(H_{aq}^{+}) = -\log_{10}\frac{1000H_{air}^{+}}{ALWC},$$
 (1)

where  $H_{aq}^+$  is the concentration of hydronium ions in aqueous aerosol (mol L<sup>-1</sup>). In this study, ALWC associated with organic aerosol and its influences on aerosol pH were not considered. However, previous studies showed that water uptake by organic aerosol only contributed to a minor fraction (5%) of total ALWC and had a negligible influence on aerosol pH in haze events in China (Liu et al., 2017). The seasonally and annually averaged ALWC and aerosol pH levels in 2015/2016 and 2018/2019 are also given in Table S1.

#### 193 **2.5 Quality control**

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The extraction efficiency of OS species in filter samples was evaluated by measuring the recovery of ten different OS standards (see Table S2). The synthesized and commercially purchased OS standards were spiked into blank and pre-baked quartz filters, followed by extraction and analysis with the same procedures for ambient samples. The recoveries of OS standards were about 84-94% except for  $\Delta$ -Carene OS, Lactic acid sulfate, and Glycolic acid sulfate, the recovery of which was 66%, 72.5%, and 77.8%, respectively (see Table S2). This result suggests a fairly high extraction efficiency for the majority of OS species in this study.

In addition, we evaluated the matrix effect on the signal response of OS by comparing the measured signal intensity of OS 200 standards added to the extracts of ambient PM<sub>2.5</sub> filter samples with that of pure OS standard solutions. Table S3 gives the 201 ratios of measured signal intensity of OS standards in filter sample extracts to that in pure solutions. As for the standards that 202 were already present in the samples, we subtracted the response in the sample from the total (sample + standard) before 203 calculating the ratio. Most of the OS standards had a ratio around 1, suggesting no obvious matrix effect on the measurement 204 of the majority of OS species. However, the two smallest OS standards, methyl sulfate and glycolic acid sulfate that were the 205 very first species eluted from the LC column, had a ratio significantly smaller than 1, suggesting the inhibited ionization of 206 these two OS likely by the highly soluble and polar species in the filter samples that were co-eluted with these two OS. We 207 note that the matrix effect for these two OS is dependent on the PM<sub>2.5</sub> mass loading. For example, the signal ratio of glycolic 208 acid sulfate standard measured in filter sample extracts versus in pure solutions ranged from 0.17-0.31 (Exps. 1-2) for very 209 polluted days to 0.45-0.53 for clean days (Exps. 3-4). This implies that the abundance of glycolic acid sulfate in ambient 210 aerosols reported here may be underestimated by a factor of 2-6 due to the matrix effect. 211

## 212 **3 Results and Discussion**

# 213 **3.1 Overview of pollution characteristics during sampling periods**

Figure 2 shows the time series of meteorological parameters,  $O_3$ ,  $NO_2$ ,  $SO_2$ ,  $PM_{2.5}$  and its major components, as well as  $H_{aq}^+$ 

215 and ALWC during the sampling periods. The average values (concentrations) of each parameter (species) were given in Table S1. The meteorological conditions (wind speed, temperature, and RH) were overall similar in 2015/2016 and 2018/2019. 216 While the NO<sub>2</sub> concentration decreased from  $27.0\pm13.0$  ppb in 2015/2016 to  $21.3\pm10.3$  ppb in 2018/2019, the O<sub>3</sub> level had 217 no obvious difference in the two years ( $29.8\pm15.2$  ppb in 2015/2016 versus  $29.6\pm13.9$  ppb in 2018/2019), consistent with the 218 219 nonlinear response of O<sub>3</sub> production to precursor emissions (Liu and Wang, 2020). The annual average mass loading of PM<sub>2.5</sub> declined by 34.5% in 2018/2019 ( $38.6\pm24.0 \text{ µg m}^{-3}$ ) compared to that 2015/2016 ( $59.0\pm37.9 \text{ µg m}^{-3}$ ), largely driven by the 220 strong decrease in the abundance of OM (29.1%) and sulfate (37.4%). The decrease of PM<sub>2.5</sub>, OM, and sulfate concentrations 221 from 2015/2016 to 2018/2019 reflects a significant reduction in anthropogenic pollutant emissions in eastern China in recent 222 years. In contrast to OM and sulfate, the concentration of nitrate had little change between 2015/2016 (8.8±8.9 µg m<sup>-3</sup>) and 223 2018/2019 (8.4±7.8 µg m<sup>-3</sup>), despite an obvious decrease in NO<sub>2</sub> concentration. This is at least partly a result of reduced 224 aerosol acidity ( $H_{aq}^+$ , see Fig. 2 and Table S1) and thereby enhanced partitioning of HNO<sub>3</sub> into the particle phase. Furthermore, 225 the nitrate concentration showed a strong seasonality, ranging from  $1.0\pm1.1$  and  $3.4\pm3.2$  µg m<sup>-3</sup> in summer to  $16.6\pm10.0$  and 226 14.1±10.0 µg m<sup>-3</sup> in winter in 2015/2016 and 2018/2019, respectively, partly owing to the seasonal variation of temperature 227 and aerosol acidity that modulates the gas/particle partitioning of nitrate (Fisseha et al., 2006; Guo et al., 2015; Griffith et al., 228 2015; Guo et al., 2016). A similar strong reduction in PM<sub>2.5</sub> concentration and variations in aerosol composition over the past 229 several years were observed in different regions in China (Tao et al., 2017; Wang et al., 2020b; Ding et al., 2019a; Wen et al., 230 2018). As a result of strong reductions in inorganic ion concentrations, ALWC decreased dramatically in 2018/2019 231 (14.8±20.4 μg m<sup>-3</sup>), compared to that in 2015/2016 (24.4±27.0 μg m<sup>-3</sup>). In short, anthropogenic pollutant emissions, as well 232 as aerosol concentration and composition varied significantly between 2015/2016 and 2018/2019 in Shanghai, which, as will 233 be discussed below, has important implications for the production of OS in ambient aerosols. 234

#### 235 **3.2 Molecular composition of sulfur-containing organic compounds**

The organic compounds in ambient PM2 5 identified using UPLC-ESI(-)-OToF-MS were classified into four groups based on 236 their elemental composition, i.e., CHO, CHON, CHOS, and CHONS, Figure 3a and b show the average mass spectra of 237 organic compounds in PM<sub>2.5</sub> over a typical winter (21-26 January 2019) and summer (23-28 July 2019) pollution episode. 238 The S-containing compounds were overall larger in molecular size than the CHO and CHON compounds, likely because of 239 240 the addition of a sulfate group to the molecule. The molecular weight (MW) of most S-containing compounds was between 100-400 Da, and for a few between 400-700 Da. The high-MW CHOS species (400-700 Da, see Table S4) showed a larger 241 contribution in winter than in summer, suggesting that they are more likely to arise from anthropogenic sources than biogenic 242 emissions. Figure 3c shows the signal contribution of different compound categories as well as concentrations of sulfate, OM, 243 and quantified OS, and Fig. 3d, e shows the number of identified organic compounds in each category during two pollution 244 episodes. The CHOS compounds contributed most by signal and number to the observed organic compounds in both winter 245 and summer. The signal contributions and number of unquantified CHOS and CHONS did not vary significantly from winter 246 to summer, whereas the signal contribution of quantified CHOS and CHONS species was significantly larger in summer than 247 in winter (on average 15% vs. 7% for CHOS and 11% vs. 7% for CHONS). As will be discussed later, the abundance of 248 quantified anthropogenic OS was fairly constant across different seasons, in striking contrast to that of biogenic OS that 249 showed strong seasonal variability. Therefore, lack of seasonal variability for unquantified CHOS and CHONS implies that 250

they may originate mainly from anthropogenic sources. In addition, both signal intensity and the number of CHO species increased significantly in summer, compared to those in winter. In contrast, CHON compounds contributed substantially more to the observed signals in winter than in summer (on average 25% vs. 7%), though their numbers are quite similar during the two periods. This suggests an enhanced production and/or suppressed depletion of nitrogen-containing organic species in winter.

The CHOS compounds with an O/S ratio of  $\geq$  4 were assigned as potential OS species. Similarly, the CHONS compounds 256 with an O/(N+S) ratio of  $\geq$  7 could be assigned as potential NOS species (Lin et al., 2012a). The C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>, C<sub>8</sub>H<sub>15</sub>O<sub>4</sub>S<sup>-</sup>, and 257  $C_5H_{11}O_4S^-$  were the highest OS peaks observed in the pollution episode in winter. The  $C_8H_{17}O_4S^-$  and  $C_8H_{15}O_4S^-$  species may 258 be derived from the photooxidation of diesel fuel vapors according to previous chamber studies (Blair et al., 2017). The 259  $C_5H_{11}O_4S^-$  species was correlated well with  $C_8H_{17}O_4S^-$  in 2015/2016 (r=0.76) and 2018/2019 (r=0.84), suggesting it may also 260 be derived from diesel fuel vapors. The highest NOS peak in winter was  $C_{10}H_{16}NO_7S^-$ , which likely originates from 261 monoterpene oxidation (Surratt et al., 2008). The  $C_5H_{11}O_7S^-$ ,  $C_{15}H_{29}O_5S^-$ , and  $C_{13}H_{25}O_5S^-$  were observed among the highest 262 OS peaks in the summer pollution episode. The  $C_5H_{11}O_7S^-$  is an IEPOX-derived OS species (Surratt et al., 2010), while 263 264  $C_{15}H_{29}O_5S^-$  and  $C_{13}H_{25}O_5S^-$  may be derived from the oxidation of diesel fuel vapors (Blair et al., 2017). The highest NOS peak in summer is monoterpene-derived  $C_{10}H_{16}NO_7S^-$ , the same as in winter. 265

## 266 **3.3 Quantified organosulfates**

In this study, we quantified twenty-nine OS and six NOS compounds using a variety of authentic and surrogate OS standards (Table 1). The quantified OS and NOS accounted for 14-18% and 47-67% by intensity of the identified CHOS and CHONS in polluted winter days and 15-37% and 58-87% in polluted summer days (Fig. 3c), respectively. Increased contribution of the quantified OS and NOS in summer is because they are mainly derived from biogenic VOCs, which have greater emissions in summer than in other seasons (Guenther et al., 1995). We note that a large fraction of OS signals was not quantified owing to the lack of proper standards in this study. As discussed above, these unquantified OS mainly originated from anthropogenic sources. Future studies of their abundances and formation mechanisms are warranted.

Table 2 summarizes the seasonally and annually averaged concentrations of the quantified OS, as well as their contributions 274 to OM in 2015/2016 and 2018/2019. The average concentration of quantified OS was 65.5±77.5 ng m<sup>-3</sup> in 2015/2016 and 275 59.4±79.7 ng m<sup>-3</sup> in 2018/2019. Although there was little change in OS concentration in these two years, the contribution of 276 OS to OM was larger in 2018/2019 ( $0.66\% \pm 0.56\%$ ) than in 2015/2016 ( $0.57\% \pm 0.56\%$ ), mainly due to a significant reduction 277 of OM in 2018/2019. Since OS species are important tracers for SOA (Surratt et al., 2007b; Gómez-González et al., 2008; 278 Surratt et al., 2008; McNeill et al., 2012; Zhang et al., 2012; Surratt et al., 2010; Lin et al., 2013), an increase of OS/OM ratios 279 280 in 2018/2019 implies an enhanced contribution of SOA to OA in Shanghai. A previous study by Ma et al. (2014) reported an average OS concentration in urban Shanghai in 2012/2013 of about 8.6 ng m<sup>-3</sup>, substantially smaller than the concentration 281 reported here. This is likely due to a different number of OS species quantified (17 vs. 35) and different OS standards used 282 (octyl and benzyl sulfates vs. seven authentic/surrogate standards) in Ma et al. (2014) and the present study. As can be seen 283 in Fig. 2e and Table 2, the OS concentration and OS/OM ratio both showed a strong seasonal variation and peaked in summer. 284 The concentration of OS and its contribution to OM in summertime Shanghai (on average, 114.1 ng m<sup>-3</sup> and 1.13% in July 285

2015 and 102.1 ng m<sup>-3</sup> and 1.18% in July 2019) were larger or comparable to those observed in Beijing (55.2 ng m<sup>-3</sup>, 0.42%) 286 (Wang et al., 2018) and Birmingham, Alabama (205.4 ng m<sup>-3</sup>, 2% of OC) (Rattanavaraha et al., 2017), but significantly lower 287 than those observed in Atlanta, GA (2366.4 ng m<sup>-3</sup>, 16.5% of OC) and Centreville, AL (812 ng m<sup>-3</sup>, 7.3% of OC) (Hettiyadura 288 et al., 2019) where the production of OS and SOA is dominated by the oxidation of biogenic emissions. The contribution of 289 OS to OM in wintertime Shanghai (on average, 0.32% in January 2016 and 0.36% in January 2019) was larger than that 290 291 observed in Xi'an (~0.2%) (Huang et al., 2018), though the quantified OS concentrations in the two regions were comparable. This may suggest a stronger secondary formation of OA in Shanghai than in Xi'an, consistent with independent measurements 292 by Huang et al. (2014). 293

To further characterize the seasonality and interannual variability of OS, as well as their origin and formation mechanisms, the quantified OS were assigned to four different source categories based on their molecular composition and literature data (Surratt et al., 2008; Surratt et al., 2007a; Nozière et al., 2010; Surratt et al., 2010; Schindelka et al., 2013; Zhang et al., 2014; Riva et al., 2015; Riva et al., 2016b; Blair et al., 2017; Nestorowicz et al., 2018). The OS species for each OS source category are listed in Table 1 and the seasonal and interannual variations in the abundance of grouped and individual OS are shown in Fig. 4 and Table S5, respectively.

#### 300 **3.3.1 Isoprene-derived organosulfates**

The isoprene-derived OS (hereafter referred to as  $OS_i$ ) include ten  $C_{4.5}$  species and one dimeric species ( $C_7H_9O_7S^-$ ). The average concentration of  $OS_i$  in summer was 76.5±93.4 ng m<sup>-3</sup> for 2015/2016 and 68.4±102.2 ng m<sup>-3</sup> for 2018/2019, significantly larger than the concentrations (10.4-17.1 ng m<sup>-3</sup>) in other seasons (Fig. 4a). Similar strong seasonality of  $OS_i$  was also observed in suburban areas in the Mid-Atlantic United States (Meade et al., 2016) and the Pearl River Delta in Southern China (He et al., 2014) The significantly increased production of  $OS_i$  in summer is mainly a result of enhanced isoprene emissions (Guenther et al., 1995) and photochemistry due to strong solar radiation and high temperatures in this warmer season.

The most abundant species among OS<sub>i</sub> was 2-MT-OS ( $C_5H_{11}O_7S^-$ ), produced by reactive uptake of IEPOX on sulfate during 308 the photooxidation of isoprene under low-NO<sub>x</sub> conditions (Surratt et al., 2010). The average concentration of 2-MT-OS was 309  $\sim$ 31 ng m<sup>-3</sup> in summer, contributing to about 45% of OS<sub>i</sub>, whereas it decreased to 0.4-1.3 ng m<sup>-3</sup> in other seasons, accounting 310 for only 4-10% of OS<sub>i</sub> in 2015/2016 and 2018/2019 (see Table S5). In addition, 2-MA-OS (C<sub>4</sub>H<sub>7</sub>O<sub>7</sub>S<sup>-</sup>) was also abundantly 311 detected with an average concentration of 4.5 ng m<sup>-3</sup> in summer and 1.0-2.2 ng m<sup>-3</sup> in other seasons during the two sampling 312 years. 2-MA-OS is formed from reactive uptake of methacrylic acid epoxide (MAE) (Lin et al., 2013) and hydroxymethyl-313 methyl-lactone (HMML) (Nguyen et al., 2015) on sulfate aerosol during isoprene photooxidation under high-NO<sub>x</sub> conditions. 314 315 It is worthwhile noting that the concentration ratio of 2-MT-OS/2-MA-OS in summer (6.8-7.8) is substantially larger than that in other seasons (0.31-0.78). This is consistent with a dramatic reduction of NO<sub>x</sub> level (e.g., NO<sub>2</sub>) in summer (~13 ppb) 316 compared to that in other seasons (~24-34 ppb) (see Table S1). Furthermore, the NO<sub>x</sub>-influenced oxidation pathways may not 317 be conducive to 2-MA-OS formation in summer, given that the formation of reactive intermediates such as MAE is 318 unfavorable at high temperatures owing to enhanced thermal decomposition of its precursor methacryloylperoxynitrate 319 320 (MPAN) (Worton et al., 2013). 2-MT-OS and 2-MA-OS are key tracers for isoprene-derived SOA under low- and high-NO<sub>x</sub> conditions, respectively (Surratt et al., 2010; Lin et al., 2013; Nguyen et al., 2015). The dramatically larger ratios of 2-MT-OS/2-MA-OS in summer than in other seasons therefore strongly suggest that the low-NO<sub>x</sub> oxidation pathways dominated the production of isoprene-derived SOA in summer, while the processes favorable under high-NO<sub>x</sub> conditions were important for SOA formation in other seasons. We note that the 2-MT-OS/2-MA-OS ratios observed in summertime Shanghai are smaller than those (17.0-33.8) observed in less polluted environments such as the southeastern United States (Hettiyadura et al., 2019; Budisulistiorini et al., 2015; Riva et al., 2019), but significantly larger than those (0.55-1.57) observed in Beijing (Wang et al., 2018; Bryant et al., 2020) and the Pearl River Delta (PRD) region of China (He et al., 2018).

Other abundant OS<sub>i</sub> species include  $C_5H_7O_7S^-$ ,  $C_5H_9O_7S^-$ , and  $C_4H_7O_6S^-$ . The  $C_5H_7O_7S^-$  and  $C_5H_9O_7S^-$  species can be produced 328 by photooxidation of isoprene (Surratt et al., 2008; Nestorowicz et al., 2018) and/or the oxidative aging of 2-MT-OS 329 330 (Hettiyadura et al., 2015; Chen et al., 2020). The  $C_4H_7O_6S^-$  can be generated both from sulfate radical reaction with MACR/MVK (Nozière et al., 2010; Schindelka et al., 2013; Wach et al., 2019) and isoprene photooxidation (Lin et al., 2013; 331 Surratt et al., 2007a; Nestorowicz et al., 2018). The  $C_5H_7O_7S^-$  and  $C_4H_7O_6S^-$  are also consistent in molecular formula with the 332 OS species formed from the photooxidation of diesel fuel vapors (Blair et al., 2017). However, these two species had moderate 333 334 to strong correlations with MT-OS and  $C_5H_9O_7S^-$  in different seasons except for autumn ( $C_5H_7O_7S^-$ : r=0.68-0.96,  $C_4H_7O_6S^-$ : r=0.62-0.96), indicating that they are mainly derived from isoprene oxidation. We note that the five most abundant OS<sub>i</sub> species 335 336 as discussed above were moderately correlated with EC and CO in winter (r=0.5-0.67), suggesting that there might be anthropogenic sources of isoprene in winter. Borbon et al. (2001) measured the hourly isoprene concentration at an urban site 337 338 in Lille, France for two years and found that isoprene was largely derived from vehicle emissions in winter. In addition to OS 339 species, two isoprene-derived NOS ( $C_5H_{10}NO_9S^-$  and  $C_5H_8NO_{10}S^-$ ) were also observed, in particular in summer.

#### 340 **3.3.2 Monoterpene-derived organosulfates**

The monoterpene-derived OS (hereafter referred to as  $OS_m$ ) include seven  $C_{7-10}$  OS species and three  $C_{9-10}$  NOS species. 341 Compared to the  $OS_i$ , the  $OS_m$  showed a weaker seasonal variation and a relatively larger abundance except in summer (Fig. 342 4b). This is consistent with the fact that isoprene emissions have stronger seasonal variability than monoterpene emissions 343 (Guenther et al., 1995). The seasonally averaged concentrations of OS<sub>m</sub> were higher in spring and summer, but lower in 344 autumn and winter. This is different from previous observations in 2012/2013 in Shanghai by Ma et al (2014). They found 345 that the OS<sub>m</sub> were most abundant in summer, followed by autumn, winter, and spring. The differences in seasonal variations 346 of OS<sub>m</sub> observed by the two studies may be attributed to different meteorological and chemical conditions that affected 347 precursor emissions and chemistry of OS<sub>m</sub> formation over the sampling periods. Given that the OS<sub>m</sub> concentration had an 348 obvious daily variation, the number of samples collected would significantly affect the seasonally averaged concentration. As 349 350 such, the difference in the number of samples collected each season (18-20 samples in this study versus 6 samples within three days in Ma et al. (2014)) may also contribute to the different seasonality observed in the two studies. 351

The NOS species such as  $C_{10}H_{16}NO_7S^-$ ,  $C_9H_{14}NO_8S^-$ , and  $C_{10}H_{16}NO_{10}S^-$  were the most abundant  $OS_m$  species, which arises mainly from monoterpenes photooxidation in the presence of  $NO_x$  or nighttime  $NO_3$  chemistry (Surratt et al., 2008; Iinuma et al., 2007a). The concentrations of these three NOS were all lower in summer than in spring and autumn (Table S5), consistent with the seasonal trend of  $NO_x$  concentrations (Fig. 2 and Table S1). Similar seasonal variations for these NOS

356 species were also observed in the PRD region of China (He et al., 2014) and the Mid-Atlantic United States (Meade et al., 2016). Among the NOS species, the  $C_{10}H_{16}NO_7S^-$  was most abundant, contributing to 22-48% of  $OS_m$ . This species had an 357 annual average concentration of  $6.2\pm6.5$  ng m<sup>-3</sup> in 2015/2016 and  $5.5\pm6.2$  ng m<sup>-3</sup> in 2018/2019, which is comparable to the 358 concentrations observed in Beijing (12 ng m<sup>-3</sup>) (Wang et al., 2018) and Atlanta, GA (9.0 ng m<sup>-3</sup>) (Hettiyadura et al., 2019), 359 but much lower than that observed in the PRD region of China (52.4 ng m<sup>-3</sup> in summer and 151 ng m<sup>-3</sup> in autumn) (He et al., 360 2014). The prevalence of monoterpene-derived NOS in Shanghai as observed in this study is consistent with recent 361 observations that monoterpenes accounted for up to 60% of nighttime NO<sub>3</sub> radical loss in the YRD region of China (Wang et 362 al., 2020a). 363

The most abundant nitrogen-free OS<sub>m</sub> species was  $C_{10}H_{15}O_7S^-$  (m/z 279.0538), which was shown to be produced from the 364 photooxidation of monoterpenes (Surratt et al., 2008) or sulfate radical reaction with  $\alpha$ -pinene (Nozière et al., 2010). Although 365  $C_{10}H_{15}O_7S^{-}$  is consistent in molecular composition with the OS species formed by photooxidation of cyclodecane in the 366 presence of sulfate aerosol (Riva et al., 2016b), its moderate to strong correlation (r=0.51-0.93) with the three monoterpene-367 derived NOS in all seasons except for winter suggests that it is mainly derived from monoterpene oxidation. The concentration 368 369 of  $C_{10}H_{15}O_7S^-$  was on average 3.0 ± 3.0 ng m<sup>-3</sup> in 2015/2016, lower than that (4.0 ± 3.4 ng m<sup>-3</sup>) in 2018/2019. In contrast to the NOS species, the  $C_{10}H_{15}O_7S^-$  species was most abundant in summer in both years, again suggesting a strong contribution 370 371 of low-NO<sub>x</sub> chemistry in OS and SOA formation in summer.

## 372 **3.3.3 Anthropogenic organosulfates**

The quantified anthropogenic OS (hereafter referred to as  $OS_a$ ) in this study include phenyl sulfate ( $C_6H_5O_4S^-$ ), benzyl sulfate 373 374  $(C_7H_7O_4S^-)$ ,  $C_8H_{17}O_4S^-$ , as well as  $C_4H_7O_4S^-$ ,  $C_5H_7O_6S^-$ , and  $C_6H_9O_6S^-$ . The annual average concentrations of  $OS_a$  in 2015/2016 and 2018/2019 were 5.6 $\pm$ 2.8 ng m<sup>-3</sup> and 3.8 $\pm$ 3.3 ng m<sup>-3</sup>, respectively. Although the concentration of quantified 375 376  $OS_a$  decreased in 2018/2019, we are not sure whether the total concentration of  $OS_a$  decreased because only a small fraction of  $OS_a$  was quantified. The inter-annual variation of  $OS_a$  warrants further studies. As shown in Fig. 4c, the quantified  $OS_a$ 377 concentration was substantially smaller compared to the biogenic OS one. In addition, the OS<sub>a</sub> concentration had little seasonal 378 variations in both 2015/2016 and 2018/2019. Among the quantified  $OS_a$ ,  $C_4H_7O_4S^-$  was most abundant with an annual average 379 concentration of  $2.0\pm1.5$  ng m<sup>-3</sup> in 2015/2016 and  $1.8\pm2.6$  ng m<sup>-3</sup> in 2018/2019, which is comparable to the concentrations in 380 381 Atlanta, GA (Hettiyadura et al., 2019). Blair et al. (2017) found that photooxidation of diesel vapors in the presence of  $SO_2$ can form  $C_4H_7O_4S^-$ ,  $C_5H_7O_6S^-$ , and  $C_6H_9O_6S^-$  species. The  $C_8H_{17}O_4S^-$  species had the same retention time as the octyl sulfate 382 standard in the LC column, suggesting it is a long-chain aliphatic OS. This OS species was correlated with C<sub>5</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup> and 383  $C_6H_9O_6S^-$  that were potential diesel vapor-derived OS. Phenyl sulfate and benzyl sulfate may be produced by photooxidation 384 of naphthalene and 2-methylnaphthalene (Riva et al., 2015) and/or sulfate radical reaction with aromatic compounds such as 385 benzoic acid and toluene in the aqueous phase (Huang et al., 2020), but phenyl sulfate was only detected in 42 out of 75 386 samples in 2015/2016 and 8 out of 81 samples in 2018/2019, primarily in winter. The benzyl sulfate concentrations in 387 2015/2016 and 2018/2019 were  $0.4\pm0.1$  ng m<sup>-3</sup> and  $0.2\pm0.10$  ng m<sup>-3</sup>, respectively, which were higher than the observations in 388 springtime Lahore, Pakistan (Staudt et al., 2014) and in wintertime Xi'an, China (Huang et al., 2018). Benzyl sulfate had a 389 390 strong correlation with phenyl sulfate and was also correlated with  $C_6H_9O_6S^-$  and  $C_8H_{17}O_4S^-$ .

#### 391 **3.3.4** C<sub>2</sub>/C<sub>3</sub> organosulfates

- The OS species with two or three carbon atoms are grouped together since many of them are considered to have both biogenic 392 and anthropogenic origins. The  $C_2/C_3$  OS quantified in this study include  $C_2H_3O_6S^-$  (m/z 154.9650),  $C_3H_5O_5S^-$  (m/z 152.9858), 393 C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S<sup>-</sup> (m/z 168.9807), C<sub>2</sub>H<sub>3</sub>O<sub>5</sub>S<sup>-</sup> (m/z 138.9701), C<sub>3</sub>H<sub>5</sub>O<sub>4</sub>S<sup>-</sup> (m/z 136.9909), and C<sub>3</sub>H<sub>7</sub>O<sub>5</sub>S<sup>-</sup> (m/z 155.0014). The C<sub>2</sub>/C<sub>3</sub> 394 395 OS species accounted, on average, for 19% of the quantified OS concentrations and they were overall more abundant in summer than in other seasons in 2015/2016 and 2018/2019 (Fig. 4d). The  $C_2H_3O_6S^-$ ,  $C_3H_5O_5S^-$ , and  $C_3H_5O_6S^-$  species, which 396 were previously assigned to glycolic acid sulfate (GAS), hydroxyacetone sulfate (HAS), and lactic acid sulfate (LAS), 397 respectively (Olson et al., 2011; Hettiyadura et al., 2017; Huang et al., 2018; Wang et al., 2018; Hettiyadura et al., 2019), were 398 among the most abundant  $C_2/C_3$  OS species, together contributing to 76% of  $C_2/C_3$  OS concentrations during the two sampling 399 vears. The concentration of  $C_2H_3O_6S^-$  (GAS) was on average 2.9±2.2 ng m<sup>-3</sup> in 2015/2016 and 2.3±1.7 ng m<sup>-3</sup> in 2018/2019, 400 which was lower than the concentrations measured in Beijing (19.5 ng m<sup>-3</sup>) (Wang et al., 2018), Xi'an (77.3 ng m<sup>-3</sup>) (Huang 401 et al., 2018), Atlanta, GA (58.5 ng m<sup>-3</sup>) (Hettiyadura et al., 2019), Centreville, AL (20.6 ng m<sup>-3</sup>) (Hettiyadura et al., 2017), 402 403 Lahore, Pakistan (11.3 ng m<sup>-3</sup>), and Bakersfield, CA (4.5-5.4 ng m<sup>-3</sup>) (Olson et al., 2011), and similar with that observed in 404 Riverside, CA (3.3 ng m<sup>-3</sup>) (Olson et al., 2011). We note that if accounting for the underestimation (2-6 times) in concentration due to matrix effects (see Sect. 2.5), the GAS concentration measured in Shanghai would be comparable to that in most of the 405 406 regions mentioned above. The concentrations of  $C_3H_5O_5S^-$  (HAS) and  $C_3H_5O_6S^-$  (LAS) were quite similar, on average 2.3 and 2.2 ng m<sup>-3</sup> in 2015/2016 and 1.8 and 1.9 ng m<sup>-3</sup> in 2018/2019, respectively, which were comparable to the concentrations 407 observed in Beijing (2.2 ng m<sup>-3</sup> and 4.4 ng m<sup>-3</sup>) (Wang et al., 2018), and Xi'an (1.3 ng m<sup>-3</sup> for HAS) (Huang et al., 2018), but 408 lower than those measured in Centreville, AL (5.8 ng m<sup>-3</sup> and 16.5 ng m<sup>-3</sup>) (Hettiyadura et al., 2017) and Atlanta, GA (10.1 409 ng m<sup>-3</sup> and 38.4 ng m<sup>-3</sup>) (Hettiyadura et al., 2019).  $C_2H_3O_6S^-$ ,  $C_3H_5O_5S^-$ ,  $C_3H_5O_6S^-$ , and  $C_2H_3O_5S^-$  were strongly correlated 410 with most of the OS<sub>i</sub> species (r=0.52-0.96 for C<sub>2</sub>H<sub>3</sub>O<sub>6</sub>S<sup>-</sup>, r=0.53-0.99 for C<sub>3</sub>H<sub>5</sub>O<sub>5</sub>S<sup>-</sup>, r=0.53-0.90 for C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S<sup>-</sup>, and r=0.53-0.90 for C<sub>3</sub>H<sub>5</sub>O<sub>6</sub>S<sup>-</sup>, r=0 411 0.94 for C<sub>2</sub>H<sub>3</sub>O<sub>5</sub>S<sup>-</sup>), indicating that they originated mainly from isoprene chemistry. This is in line with recent findings that a 412 413 series of  $C_2/C_3$  OS species, including  $C_2H_3O_6S^-$ ,  $C_3H_5O_6S^-$ , and  $C_2H_3O_5S^-$ , can be produced by heterogeneous OH oxidation of particulate 2-MT-OS (Chen et al., 2020). The C<sub>3</sub>H<sub>5</sub>O<sub>4</sub>S<sup>-</sup> species, proposed to contain an allyl group (Hettiyadura et al., 414 2017), was previously found to be produced by diesel photooxidation (Blair et al., 2017), and was correlated with 415 anthropogenic OS such as the potential diesel vapor-derived OS (C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>, C<sub>4</sub>H<sub>7</sub>O<sub>4</sub>S<sup>-</sup>, C<sub>5</sub>H<sub>7</sub>O<sub>6</sub>S<sup>-</sup>, and C<sub>6</sub>H<sub>9</sub>O<sub>6</sub>S<sup>-</sup>, r=0.53-416 417 0.87) and benzyl sulfate ( $C_7H_7O_4S^-$ , r=0.49-0.88).  $C_3H_7O_5S^-$  is likely an OS species containing one hydroxyl group (Hettiyadura et al., 2017); it was strongly correlated with  $C_3H_5O_4S^-$  in all seasons and correlated with the diesel vapor-derived 418  $OS_a$  (C<sub>6</sub>H<sub>9</sub>O<sub>6</sub>S<sup>-</sup> and C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>) in spring and autumn, suggesting that it may be largely derived from the photooxidation of 419 diesel vapors. This result is different from the observations in Atlanta, GA, where C<sub>3</sub>H<sub>7</sub>O<sub>5</sub>S<sup>-</sup> was correlated with most of the 420 OS<sub>i</sub>, leading to the suggestion that it was derived from the oxidation of isoprene (Hettiyadura et al., 2019). We note that the 421 concentrations of the  $C_3H_5O_4S^-$  and  $C_3H_7O_5S^-$  species decreased significantly from 2015/2016 to 2018/2019 (except for 422 summer, see Table S5), overall consistent with the interannual variations of  $OS_a$  species. This further supports that these two 423 OS species mainly originated from anthropogenic sources. 424
- 425 **3.4 Factors influencing organosulfate formation**

426 Laboratory and field studies have shown that aerosol properties such as acidity, sulfate concentration, and ALWC play important roles in the formation of OS (Iinuma et al., 2007b; Chan et al., 2011; Surratt et al., 2007a; Surratt et al., 2007b; Liao 427 et al., 2015; Hettiyadura et al., 2019; Riva et al., 2019). Here we examined the influences of these factors, as well as the level 428 429 of oxidants and temperature on OS formation in ambient aerosols in Shanghai. Aerosol pH and ALWC here were calculated using ISORROPIA-II (see Sect. 2.4). Figure 5 shows the OS concentration versus the O<sub>x</sub> level, sulfate concentration, aerosol 430 pH, and ALWC observed in the spring, autumn, and winter of 2015-2016 and 2018-2019. Since the OS concentrations in 431 summer were significantly greater than in other seasons, they were plotted separately in Fig. 6. As shown in Figs. 5 and 6, the 432 aerosol pH in Shanghai ranged between 1.5 and 5.3 in summer and between 2.5 and 6.1 in other seasons, overall within the 433 pH range (2-6) reported for ambient aerosols in northern China (Liu et al., 2017; Shi et al., 2017; Ding et al., 2019b; Song et 434 al., 2019; Wang et al., 2018). A recent study by Zheng et al. (2020) has suggested that aerosol pH levels in populated 435 continental regions including eastern and northern China are widely buffered by ammonium/ammonia, where the variation in 436 aerosol pH is mainly driven by the variation in ALWC and temperature. Therefore, we infer that the lower aerosol pH in 437 summer than in other seasons in Shanghai was mainly a result of decreased ALWC (Figs. 5 and 6c, d) and enhanced 438 temperature (Fig. 2a). Decreased aerosol pH in summer compared to other seasons was also observed in Beijing (Ding et al., 439 2019b) and the southeastern United States (Guo et al., 2015; Nah et al., 2018). 440

441 As can be seen in Fig. 5, the OS concentration in spring, autumn, and winter increased obviously with increasing  $O_x$  level, sulfate concentration, and aerosol acidity (Fig. 5a, b). A similar result was also found in Beijing where most OS species were 442 correlated strongly with the product of ozone and particulate sulfate ( $[O_3] \cdot [SO_4^{2-}]$ ) (Bryant et al., 2020). In addition, an overall 443 positive correlation was observed between the OS concentration and ALWC (Fig. 5c, d). Therefore, it is likely that the OS 444 species were mainly produced by acid-catalyzed heterogeneous/aqueous-phase reactions of oxidized organic compounds with 445 sulfate in these seasons. Previous studies have shown that elevated ALWC could inhibit OS production by decreasing aerosol 446 acidity through dilution (Lewandowski et al., 2015; Nestorowicz et al., 2018). However, as the increase of ALWC was 447 448 accompanied by elevated sulfate concentration, such a decrease in aerosol acidity was not observed in the present study (Fig. 5c, d). Alternatively, the increased ALWC likely promoted the mass transfer of oxidized organics into the aerosol phase. 449 thereby enhancing OS formation. We note that the observations with moderate to high ALWC but relatively low OS 450 concentration (data points in the triangle in Fig. 5c, d) were associated with low  $O_x$  levels (<50 ppb) that significantly limited 451 452 the oxidation of VOC precursors and hence the formation of OS.

- As seen in Fig. 6, the OS production in summer increased dramatically with rising  $O_x$  concentration. In addition, high OS 453 concentrations were associated with high ambient temperatures, which can enhance emissions of biogenic precursors and the 454 production of O<sub>x</sub>. While the aerosol acidity effect on OS production in summer was still evident, the influence of sulfate and 455 ALWC was not as obvious as in other seasons. This is likely because the OS production in summer was driven by the strong 456 emission and fast photochemistry of VOC precursors. It is noteworthy that the sulfate concentrations, ALWC, and aerosol 457 acidities were overall higher in 2015/2016 than in 2018/2019, but the OS concentrations were similar in two years. This 458 implies that the  $O_x$  level is a driving factor for OS formation in ambient aerosols in Shanghai. Very recently, a similar oxidant 459 effect on OS formation was also observed in urban Beijing (Bryant et al., 2020). Therefore, mitigation of  $O_x$  pollution may 460
- 461 effectively reduce the production of OS and SOA in Chinese megacities.

#### 462 4 Conclusions

In this study, we collected ambient PM<sub>2.5</sub> filter samples over four seasons in 2015/2016 and 2018/2019 in urban Shanghai, 463 China, and comprehensively characterized the sulfur-containing organic compounds (CHOS and CHONS) in these PM<sub>2.5</sub> 464 samples using UPLC-ESI(-)-QToF-MS. The CHOS and CHONS species accounted for a large fraction of the ion signals for 465 organic compounds in ambient PM<sub>2.5</sub>. Using a set of authentic and surrogate OS standards, we quantified the abundance of 466 29 OS and 6 NOS species in ambient aerosols. We find that there was no strong change in the OS concentration in 2018/2019 467 (59.4±79.7 ng m<sup>-3</sup>) compared to that in 2015/2016 (65.5±77.5 ng m<sup>-3</sup>), though the OM concentration decreased by 29% 468 between 2015/2016 (12.7 $\pm$ 8 µg m<sup>-3</sup>) and 2018/2019 (9 $\pm$ 5.5 µg m<sup>-3</sup>). As a result, the annual average contribution of quantified 469 OS to OM increased from 0.57% in 2015/2016 to 0.66% in 2018/2019, suggesting an enhanced contribution of SOA to OM 470 in Shanghai in recent years. The  $OS_i$  and  $OS_m$  accounted, on average, for 36.3% and 31.0% of the quantified OS concentrations, 471 respectively, during the two sampling years, indicating a significant contribution of biogenic emissions to SOA in Shanghai. 472 The abundance of OS<sub>i</sub> had strong seasonality and was significantly higher in summer (76.5 $\pm$ 93.4 ng m<sup>-3</sup> in 2015/2016 and 473  $68.4\pm102.2$  ng m<sup>-3</sup> in 2018/2019) than in other seasons (10.4-17.1 ng m<sup>-3</sup>). The OS<sub>m</sub> concentration showed a weaker seasonal 474 475 variation and was relatively higher in spring and summer than in autumn and winter. In contrast, the quantified OS<sub>a</sub> had little seasonal variations and decreased by 32% from 2015/2016 to 2018/2019. The  $C_2/C_3$  OS species that were more abundant in 476 477 summer than in other seasons, contributed, on average, to 19% of the concentration of the quantified OS species. The  $C_2H_3O_6S^-$  (GAS),  $C_3H_5O_5S^-$  (HAS), and  $C_3H_5O_6S^-$  (LAS), which were derived mainly from isoprene chemistry, were the most 478 479 abundant  $C_2/C_3$  OS species and together accounted for 76% of the  $C_2/C_3$  OS concentrations.

2-MT-OS was the most abundant OS species in summer. The dramatic larger 2-MT-OS/2-MA-OS ratios in summer (6.8-7.8) versus other seasons (0.31-0.78) imply that the reaction pathways prevalent under low-NO<sub>x</sub> conditions (e.g., reactive uptake of IEPOX and photooxidation of ISOPOOH) dominated the production of OS<sub>i</sub> and isoprene-derived SOA in summer, while the processes favorable under high-NO<sub>x</sub> conditions play an important role in OS<sub>i</sub> and SOA formation in other seasons. The  $C_{10}H_{16}NO_7S^-$  species derived from monoterpenes was the most abundant NOS species, with an annual average concentration of  $6.2\pm6.5$  ng m<sup>-3</sup> in 2015/2016 and  $5.5\pm6.2$  ng m<sup>-3</sup> in 2018/2019. This agrees well with previous observations that monoterpenes depleted about 60% of nighttime NO<sub>3</sub> radicals in the YRD region of China (Wang et al., 2020a).

487 In addition, we find that the abundance of OS was overall positively correlated with the  $O_x$  level, sulfate concentration, aerosol acidity, as well as ALWC in spring, autumn, and winter, suggesting the production of OS via acid-catalyzed aqueous-phase 488 489 reactions of oxidized organic compounds on sulfate. However, OS production in summer was strongly driven by rising  $O_x$ and temperature that could enhance the photochemistry and emissions of biogenic precursors. We further find that although 490 491 sulfate concentrations, aerosol acidities, and ALWC were significantly lower in 2018/2019 than in 2015/2016, the production of OS was largely sustained in 2018/2019 by the nearly unchanged  $O_x$  level that maintained the fast oxidation of VOC 492 precursors. These results imply that controlling O<sub>x</sub> pollution may also effectively mitigate particulate organic matter pollution 493 494 in eastern China.

It should be pointed out that GAS was likely underestimated by a factor of 2-6 as a result of the matrix effect during the analysis in our study. If accounting for this effect, it would be the second most abundant OS species after MT-OS. In addition,

- 497 a large fraction of the CHOS signals that arose mainly from anthropogenic sources was not quantified due to the lack of proper
- OS standards in this study. Therefore, the OS concentration and its contribution to organic aerosol in Shanghai could be significantly greater. Future studies on the abundance, origin, and formation mechanisms of these unquantified OS are warranted for a better understanding of the formation and evolution of OS and SOA in the atmosphere.
- 501
- 502 *Data availability.* The data presented in this work are available upon request from the corresponding author 503 (yuezhao20@sjtu.edu.cn).
- 504 Author contributions. YZ designed and led the research, YW, WFZ, and ZC collected ambient samples, YCW and JZY
- 505 provided OS standards, JYS conducted ISORROPIA-II model calculation, YW conducted sample analysis, and YZ and YW
- 506 processed the data and wrote the paper with contributions from all of the authors.
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- 870

		m/z,	Formula,		Standards for	<b>n</b>	<b>D</b> 4	Average co	ncentration
	Category	[ <b>M</b> -H] <sup>-</sup>	[M-H] <sup>-</sup>	Retention time	quantification	Proposed structure	Ref.	2015/2016	2018/2019
		167.0014	$C_4H_7O_5S^-$	0.85,1.36	Lactic acid sulfate (LAS)		a	1.13	1.45
		182.9963	$C_4H_7O_6S^-$	0.78,2.23	LAS	HO OSO3	b	2.84	2.19
		197.0120	$C_5H_9O_6S^-$	0.85,1.55,3.11,3.99	LAS		с	1.87	1.72
		198.9912	$C_4H_7O_7S^-$	0.74,3.86	LAS	HO OSO3	d	2.28	2.50
		199.0276	$C_5H_{11}O_6S^{\text{-}}$	0.85,8.08	LAS	HO J OH OSO3	c	0.57	0.65
	Isoprene OS	210.9912	$C_5H_7O_7S^-$	0.77	LAS		d	6.09	4.81
		213.0069	$C_5H_9O_7S^-$	0.84	LAS	OH OSO3	d	3.81	3.82
		215.0226	$C_5H_{11}O_7S^-$	0.72	LAS	но овбазон	e	11.35	8.92
		237.0069	$C_7H_9O_7S^-$	0.87,1.5	LAS		f	0.58	0.50
		260.0076	C <sub>5</sub> H <sub>10</sub> NO <sub>9</sub> S <sup>-</sup>	1.19	LAS	HO HO ONO <sub>2</sub>	g	2.28	2.96
		273.9869	$C_5H_8NO_{10}S^-$	1.3	LAS		h	1.89	4.87
		223.0276	C <sub>7</sub> H <sub>11</sub> O <sub>6</sub> S <sup>-</sup>	2.52,2.87,3.19,3.42	Glycolic acid sulfate (GAS)		i	1.37	1.12
		239.0225	$C_7H_{11}O_7S^-$	0.85,1.77,2.03,3.08	GAS		f	1.91	2.01
		249.0797	$C_{10}H_{17}O_5S^-$	5.34,5.60,6.85,7.04, 7.12,7.36	α-Pinene sulfate	HO OSO3	j	0.33	0.17
		251.0589	$C_9H_{15}O_6S^-$	3.63,4.14,4.82,7.35	Limonaketone sulfate	HO osō3	j	1.32	1.02
	Monoterpene OS	253.0382	$C_8H_{13}O_7S^-$	3.35,3.63,4.45	GAS		а	1.39	1.73
		279.0538	$C_{10}H_{15}O_7S^-$	3.42,4.34,4.55,4.71	GAS		g	2.99	4.00
		281.0695	$C_{10}H_{17}O_7S^-$	4.93,5.14	α-Pinene sulfate		f	0.34	0.21
		294.0648	$C_{10}H_{16}NO_7S^{\scriptscriptstyle -}$	8.14,9.02,9.16,9.35	α-Pinene sulfate		k	6.21	5.55

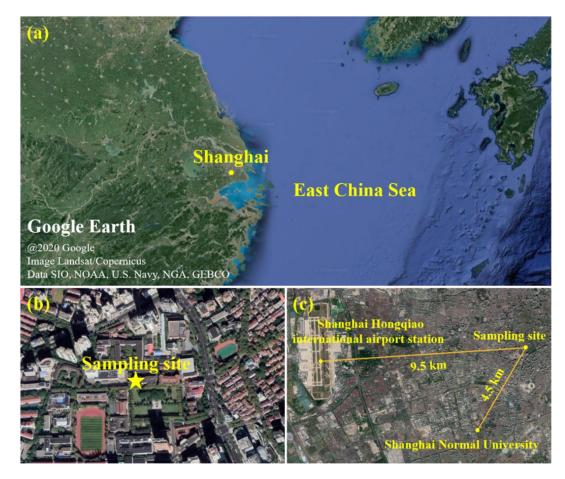
# **Table 1.** Organosulfates (in ng m<sup>-3</sup>) quantified by UPLC-ESI(-)-QToFMS.

	187.0065	C <sub>7</sub> H <sub>7</sub> O <sub>4</sub> S <sup>-</sup>	4.54	Phenyl sulfate GAS	unknown	1	0.35	0.17
					<u> </u>			
05	172.9909	$C_6H_5O_4S^-$	2.6	Phenyl sulfate	osō <sub>3</sub>	1	0.36	0.12
Anthropogenic OS	209.0120 209.0845	$C_{6}H_{9}O_{6}S^{-}$ $C_{8}H_{17}O_{4}S^{-}$	0.87,2.09,3.27,4.06 9.64	GAS Sodium octyl sulfate	unknown	-	1.44 1.04	0.63 0.86
	151.0065 194.9963	$C_4H_7O_4S^-$ $C_5H_7O_6S^-$	0.92,2.87,4.11,5.23, 5.39,8.21 0.82,2.86	Methyl sulfate GAS	unknown unknown	-	2.04 0.83	1.80 0.76
	342.0495	$C_{10}H_{16}NO_{10}S^{-}$	4.57,4.71,5.17,7.35	Limonaketone sulfate	ONO2	i	1.32	1.59

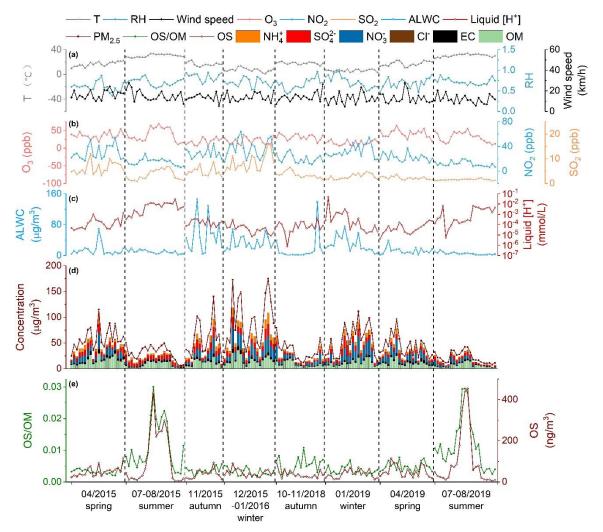
\*Retention times of each OS isomer considered in the quantification. References for proposed OS structures: <sup>a</sup> Schindelka et al. (2013)), <sup>b</sup> Shalamzari et al. (2013), <sup>c</sup> Riva et al. (2016a), <sup>d</sup> Hettiyadura et al. (2015), <sup>e</sup> Surratt et al. (2010), <sup>f</sup> Nozière et al. (2010), <sup>g</sup> (Surratt et al., 2007a), <sup>h</sup> Nestorowicz et al. (2018), <sup>i</sup> Yassine et al. (2012), <sup>j</sup> Wang et al. (2017), <sup>k</sup> Surratt et al. (2008),
<sup>1</sup> Huang et al. (2018), <sup>m</sup> Olson et al. (2011), <sup>n</sup> Hettiyadura et al. (2019).

Table 2. A summary of OS concentration (in ng m<sup>-3</sup>) and its contribution to OM (OS/OM) in four seasons in 2015/2016 and
 2018/2019.

Season —	2015/	2016	2018/2019		
Season	OS	OS/OM	OS	OS/OM	
All year	65.5±77.5	$0.57\% \pm 0.56\%$	59.4±79.7	$0.66\% \pm 0.56\%$	
Spring	51.1±24.4	$0.34\% \pm 0.10\%$	51.5±28.8	$0.48\% \pm 0.15\%$	
Summer	$114.1 \pm 128.4$	$1.13\% \pm 0.78\%$	102.1±137.7	$1.18\% \pm 0.81\%$	
Autumn	38.2±21.7	0.36%±0.11%	38.0±20.0	$0.54\% \pm 0.24\%$	
Winter	44.5±17.5	$0.32\% \pm 0.12\%$	37.3±18.4	0.36%±0.13%	

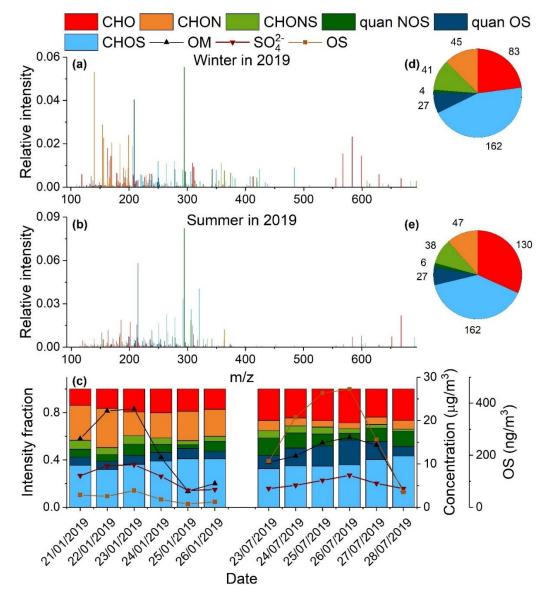


**Figure 1. (a)** Map of Shanghai. **(b)** Map of the sampling site on the Xuhui Campus of Shanghai Jiao Tong University in downtown at 31.201°N, 121.429°E. **(c)** Map of the PM<sub>2.5</sub> sampling site, the meteorological station at Shanghai Hongqiao international airport, the air quality monitoring station at Shanghai Normal University, and distances between them.



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Figure 2. Time series of temperature, relative humidity (RH), wind speed,  $O_3$ ,  $NO_2$ ,  $SO_2$ , aerosol liquid water content (ALWC) and liquid [H<sup>+</sup>], concentrations of particulate organic matter (OM), elemental carbon (EC), sulfate, nitrate, chloride, ammonium, as well as the abundance of OS and its contribution to OM in 2015/2016 and 2018/2019 in Shanghai.



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Figure 3. Average mass spectra of detected compounds in ambient aerosols during typical (a) wintertime (21-26 January 2019) and (b) summertime (23-28 July 2019) pollution episodes in Shanghai. The detected compounds were classified into six categories, i.e., CHO, CHON, CHOS, CHONS, quantified NOS, and quantified OS. The CHOS and CHONS categories excluded quantified OS and NOS, respectively. (c) Intensity fraction of different compound categories, as well as the time series of OM,  $SO_4^{2-}$ , and OS concentrations during two pollution episodes in 2019. (d) (e) Number of compounds detected in each category during the pollution episodes in winter and summer, respectively.

- 899
- 900
- 901

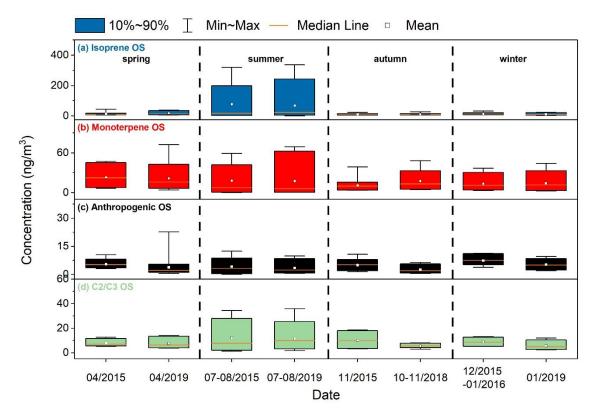
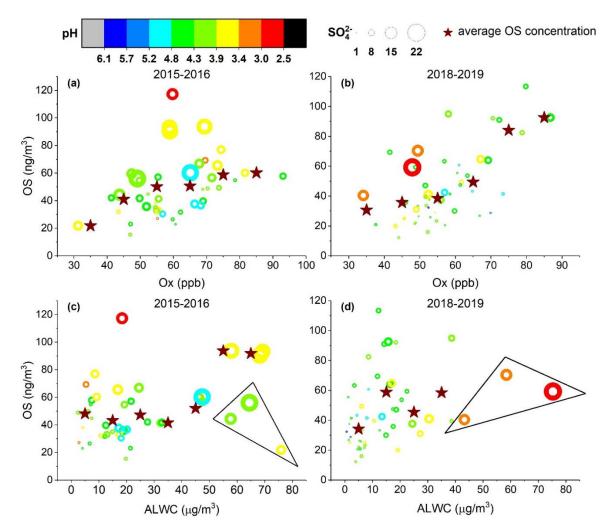


Figure 4. Concentrations of different types of the quantified OS over the four seasons in 2015/2016 and 2018/2019.
904



905

Figure 5. Quantified OS concentrations as a function of (a) (b) the level of  $O_x$  ( $O_x = O_3 + NO_2$ ) and (c)(d) aerosol liquid water content (ALWC) in 2015/2016 and 2018/2019 except for summer. The circles are colored according to the aerosol pH, and their size is linearly scaled with the SO<sub>4</sub><sup>2-</sup> concentration. The markers inside the triangle indicate the observations with low  $O_x$  levels (<50 ppb). The measured OS concentrations within regular  $O_x$  or ALWC intervals (every ten unit-length) were averaged to more obviously demonstrate the trend (star symbols).

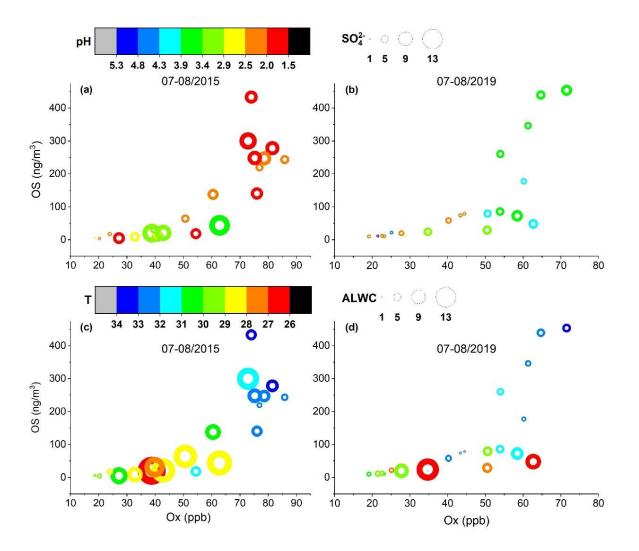


Figure 6. Quantified OS concentrations as a function of the Ox level in the summer of (a) (c) 2015 and (b) (d) 2019. The color of the circles in (a) (b) indicates the aerosol pH, and their size is linearly scaled with the  $SO_4^{2-}$  concentration. The color of circles in (c) (d) indicates ambient temperature and their size is linearly scaled with the aerosol liquid water content (ALWC).