| 1 | Organosulfates in atmospheric aerosols in Shanghai, China: seasonal and interannual variability, |
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| 2 | origin, and formation mechanisms |
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14 Abstract

Organosulfates (OS) are ubiquitous in the atmosphere and serve as important tracers for secondary organic aerosols (SOA). 15 Despite intense research over the years, the abundance, origin, and formation mechanisms of OS in ambient aerosols, in 16 particular in regions with severe anthropogenic pollution, are still not well understood. In this study, we collected filter samples 17 of ambient fine particulate matter (PM2.5) over four seasons in both 2015/2016 and 2018/2019 at an urban site in Shanghai, 18 China, and comprehensively characterized the OS species in these PM2.5 samples using an ultra-performance liquid 19 20 chromatography quadrupole time-of-flight mass spectrometer equipped with an electrospray ionization (ESI) source (UPLC-ESI-QTOF-MS). We find that while the concentration of organic aerosol (OA) decreased by 29% in 2018/2019, 21 compared to that in 2015/2016, mainly a result of the reduction in anthropogenic pollutant emissions in eastern China, the 22 28 annually averaged concentrations of 35 quantified OS were overall similar in the two years (65.5±77.5 ng m⁻³, 0.57%±0.56% 24 of OA in 2015/2016 versus 59.4±79.7 ng m⁻³, 0.66%±0.56% of OA in 2018/2019), suggesting an increased contribution of 25 SOA to OA in 2018/2019 than incompared to 2015/2016. Isoprene- and monoterpene-derived OS were the two most abundant OS families, on average accounting for 36.3% and 31.0% of the quantified OS concentrations, respectively, during the two 26 sampling years, suggesting an important contribution of biogenic emissions to the production of OS and SOA in Shanghai. 27 28 The abundance of biogenic OS, particularly those arising from isoprene, exhibited strong seasonality (peaked in summer) but no significant interannual variability. In contrast, the quantified anthropogenic OS had little seasonal variability and declined 29 in 2018/2019 compared with thosehat in 2015/2016. The C2/C3 OS species that have both biogenic and anthropogenic origins 30 averagely contributed, on average, to 19.0% of the quantified OS, with C2H3O6S⁻, C3H3O5S⁻, and C3H5O6S⁻ being the most 3 32 abundant ones, together accounting for 76% of the C2/C3 OS concentrations in 2015/2016 and 2018/2019. 2-Methyltetrol sulfate (2-MT-OS, C5H11O7S) and monoterpene-derived C10H16NO7S were the most abundant OS and nitrooxy-OS in 33 34 summer, on average contributing to 31% and 5% of the quantified OS, respectively, during the summertime of the sampling 35 years. The substantially larger concentration ratio of 2-MT-OS to 2-methylglyceric acid sulfate (2-MA-OS, C4H7O7S⁻) in 36 summer (6.8-7.8) than in other seasons (0.31-0.78) implies that low-NOx oxidation pathways played a dominant role in 37 isoprene-derived SOA formation in summer, while high-NO_x reaction pathways were more important in other seasons. We 38 further find that the production of OS was largely controlled by the level of O_x ($O_x = O_3 + NO_2$), namely, the photochemistry 39 of OS precursors, in particular in summer, though sulfate concentration, aerosol acidity, as well as aerosol liquid water content 40 (ALWC) that could affect the heterogeneous chemistry of reactive intermediates leading to OS formation also played a role. 41 Our study provides valuable insights into the characteristics and mechanisms of OS formation in a typical Chinese megacity and implies that mitigation of Ox pollution can effectively reduce the production of OS and SOA in eastern China. 42 43

44 1 Introduction

Secondary organic aerosol (SOA) accounts for a significant fraction of atmospheric fine particulate matter (PM2.5) (Jimenez 45 et al., 2009; Huang et al., 2014) and contributes significantly to deteriorated air quality and Earth's climate forcing 46 47 (Ramanathan et al., 2001; Mahowald, 2011; Shrivastava et al., 2017; Huang et al., 2014). SOA consists of thousands of organic compounds that are diverse in molecular properties. Identification and quantification of the composition of SOA are essential 48 for understanding the composition, the chemistry of formation and evolution, properties, and climate and health impacts of 49 50 SOA (Hoffmann et al., 2011; Nozièere et al., 2015). However, currently only a small portion of organic matters in SOA are-is identified as specific compounds (Hoffmann et al., 2011; Nozièere et al., 2015; Johnston and Kerecman, 2019). Organosulfates 51 (OS) are important constituents of SOA and have been frequently detected in both polluted and clean environments (linuma 52 et al., 2007a; Surratt et al., 2008; Claeys et al., 2010; Froyd et al., 2010; Hawkins et al., 2010; Hatch et al., 2011; Lin et al., 53 54 2012a; Stone et al., 2012; Hansen et al., 2014; He et al., 2014; Ma et al., 2014; Tao et al., 2014; Liao et al., 2015; Shakya and Peltier, 2015; Kourtchev et al., 2016; Meade et al., 2016; Wang et al., 2016b; Hettiyadura et al., 2017; Huang et al., 2018; Le 55 Breton et al., 2018; Wang et al., 2018; Hettiyadura et al., 2019; Wang et al., 2019a; Brüggemann et al., 2020). It has been 56 57 estimated that OS accounted for 6-12% of total sulfur in a rural area in K-puszta, Hungary (Lukacs et al., 2009), 1.3% of fine 58 particulate organic mass (FPOM) in Fairbanks, Alaska (Shakya and Peltier, 2013), and 1-13% of FPOM across the continental United States (Tolocka and Turpin, 2012; Shakya and Peltier, 2015). Studies have also shown that OS can affect aerosol 59 60 properties such as acidity, viscosity, hygroscopicity, and light-absorbing properties (Nguyen et al., 2012; Hansen et al., 2015; Estillore et al., 2016; DeRieux et al., 2018; Fleming et al., 2019; Riva et al., 2019; Olson et al., 2019). 61

Chamber studies have revealed that OS can originate from the (photo)oxidation of both biogenic precursors such as isoprene 62 (Gomez-Gonzáalez et al., 2008; Surratt et al., 2007b; Surratt et al., 2007a), monoterpenes (Iinuma et al., 2007a; Surratt et 68 al., 2007a; Surratt et al., 2008; Iinuma et al., 2007b; Iinuma et al., 2009), sesquiterpenes (Chan et al., 2011), and 2-methyl-3-64 65 buten-2-ol (Zhang et al., 2012), as well as anthropogenic precursors such as polycyclic aromatic hydrocarbons, long-chain alkanes, naphthenes (Riva et al., 2015; Riva et al., 2016b), and diesel and biodiesel fuel vapors (Blair et al., 2017) in the 66 presence of sulfate aerosol or SO2. Many of the OS observed in these chamber studies have also been detected in the ambient 67 atmosphere, among which isoprene- and monoterpene-derived OS are usually most abundant in forested, rural, and even 68 69 urban areas (Stone et al., 2012; Ma et al., 2014; Meade et al., 2016; Hettiyadura et al., 2019; Kourtchev et al., 2016; Kristensen and Glasius, 2011; Wang et al., 2019a; He et al., 2014; Hatch et al., 2011; Surratt et al., 2008; Hettiyadura et al., 2017). 70

71 In addition to the precursors, detailed formation mechanisms of OS have also been widely studied (Brüggemann et al., 2020). 72 The acid-catalyzed ring-opening reaction of epoxides was established to be an important mechanism for the formation of OS 73 (Surratt et al., 2010; Lin et al., 2012b; Iinuma et al., 2009; Zhang et al., 2014), in particular for isoprene-derived OS (Surratt 74 et al., 2010; Lin et al., 2012b; Hatch et al., 2011). 2-Methyltetrol sulfate (2-MT-OS, C5H11O7S⁻), formed via reactive uptake 75 of isoprene epoxide (IEPOX) on sulfate, is one of the most abundant OS in atmospheric aerosol (Chan et al., 2010; Liao et 76 al., 2015) - which and can contribute up to 12.6% of the organic carbon mass in Atlanta, GA (Hettiyadura et al., 2019). Another OS formation pathway is the nucleophilic substitution of tertiary organonitrates by inorganic sulfate. Darer et al. (2011) found 77 78 that tertiary organonitrates are thermodynamically unstable and can undergo nucleophilic substitution with sulfate to generate

OS rapidly. This mechanism can also explain the formation of some nitrooxy-OS (NOS). In the atmospheric aqueous phase, 79 sulfate radicals that can be produced by oxidation of S(IV) species in the presence of transition metal ions (TMI) (Grgic et al., 80 1998; Herrmann, 2003) or by OH radical reaction with bisulfate (Herrmann, 2003; Jiang et al., 1992) can also react with 81 unsaturated organic compounds to form OS. Laboratory studies have shown that a large number of OS were produced by the 82 83 bulk aqueous-phase oxidation of aromatic compounds, isoprene or its oxidation products, methyl vinyl ketone (MVK) and methacrolein (MACR), in the presence of inorganic sulfate or peroxodisulfate under irradiation (Nozière et al., 2010; 84 Schindelka et al., 2013; Huang et al., 2020), or in the presence of S(IV) and TMI under dark conditions (Huang et al., 2019). 85 However, field observational evidence for this mechanism is still lacking. In addition, reactive uptake of SO₂ on organic 86 8 aerosol can also result in the production of OS. Laboratory studies have found that sulfur dioxide (SO2) could react with the C=C bond in unsaturated fatty acids under dark conditions to form OS (Shang et al., 2016). Such OS have been detected in 88 the ambient atmosphere with an estimated contribution of 0.3%-0.9% to OM-organic matter (OM) in PM2.5 in southern China 89 (Zhu et al., 2019). Recent studies have also shown efficient production of OS from heterogeneous/aqueous-phase reactions 90 91 of SO2 with organic peroxide-containing aerosol and SOA (Wang et al., 2019b; Yao et al., 2019). Such OS production was found to be mainly a result of the direct reaction between SO2 and peroxides, rather than acid-catalyzed reaction involving 92 inorganic sulfate (Wang et al., 2019b). Currently, the acid-catalyzed ring-opening reaction of IEPOX has been the most well-93 94 studied mechanism and proved to be important in atmospheric OS formation by both field and modelling studies (Surratt et 95 al., 2010; Kourtchev et al., 2016; Hettiyadura et al., 2019; Hatch et al., 2011; Chan et al., 2010; McNeill et al., 2012; Worton et al., 2013; He et al., 2018; Pye et al., 2013). However, atmospheric importance of other OS formation mechanisms remains 96 97 to be evaluated.

98 The OS formation pathways aforementioned can be affected by aerosol properties such as acidity, aerosol liquid water content 99 (ALWC), and sulfate concentration. There is ample evidence from laboratory studies that increased aerosol acidity 100 significantly enhances the production of OS from acid-catalyzed reactions (linuma et al., 2007b; Chan et al., 2011; Surratt et 101 al., 2007a; Surratt et al., 2007b; Zhang et al., 2012), while field studies have shown that the abundance of OS was not or only 102 weakly correlated with aerosol acidity in some locations (Nguyen et al., 2014; Budisulistiorini et al., 2015; Brüggemann et 103 al., 2017; Rattanavaraha et al., 2017), suggesting the existence of other factors (e.g., ALWC, sulfate content, etc.) that control 104 OS formation in these areas. ALWC has dual effects on OS formation. On one hand, elevated ALWC can reduce the viscosity 105 and/or inhibit the liquid-liquid phase separation of aerosol, which would favor the dissolution and mixing of reactive 106 intermediates such as IEPOX and multifunctional aldehydes in aqueous sulfate aerosol (Shiraiwa et al., 2011; Liao et al., 2015; McNeill et al., 2012) or SO2 in organic aerosol (Shang et al., 2016; Passananti et al., 2016; Yao et al., 2019), thereby enhancing 107 108 OS formation. On the other hand, high ALWC would decrease aerosol acidity via dilution, hence inhibiting the acid-catalyzed OS formation. High ALWC may also promote the hydrolysis of OS in aqueous aerosol (Darer et al., 2011). 109

Quantification of OS is important for understanding their abundance and the chemistry of formation and evolution in the atmosphere. Owing to the lack of authentic standards, the quantification of OS remains a challenging task. Recently, several research groups have synthesized a series of authentic standards (e.g., glycolic acid sulfate, lactic acid sulfate, hydroxyacetone sulfate, 2-methyltetrol sulfate, benzyl sulfate, α -pinene sulfate, β -pinene sulfate, and limonene sulfate) that are structurally the same <u>as</u> or similar <u>with-to</u> the OS found in atmospheric aerosols (Kundu et al., 2013; Staudt et al., 2014; Hettiyadura et al., 2015; Wang et al., 2017; Olson et al., 2011; Huang et al., 2018; Budisulistiorini et al., 2015). They used these authentic
 standards to quantify OS in ambient aerosols and provided important constraints on the abundance, origin, and chemistry of
 OS in the atmosphere.

118 Up to datenow, there are few studies characterizing atmospheric OS in areas with severe anthropogenic pollution. Situated in the eastern Yangtze River Delta (YRD) of China, Shanghai has a population of more than 24 million and is plagued by air 119 120 pollution (Behera et al., 2015; Wang et al., 2016a). Here we conducted a comprehensive investigation of the molecular 121 composition, abundance, sources, and formation processes of OS in ambient aerosols in Shanghai. More than 150 ambient 122 PM2.5 samples collected over four seasons during both 2015/2016 and 2018/2019 in urban Shanghai were analyzed using an ultra-performance liquid chromatography quadrupole time-of-flight mass spectrometer equipped with an electrospray 128 124 ionization (ESI) source (UPLC-ESI-QToF-MS)UPLC-ESI-QToF-MS, and 35 OS were quantified using 7 synthesized and 125 commercially purchased OS standards. Seasonal and interannual variations of OS, in response to the changes in emissions, meteorological conditions, and PM_{2.5} chemical compositions, were comprehensively characterized, and the influencing 126 127 factors such as aerosol acidity, ALWC, sulfate content, oxidant level and so on for OS formation were investigatedprobed. This study would help to understand the characteristics and mechanisms of OS and SOA production under the strong influence 128 129 of anthropogenic pollution in Chinese megacities.

130 2 Materials and methods

131 2.1 Ambient Sample collection

In total 156 ambient $PM_{2.5}$ samples were collected from 8 April 2015 to 16 January 2016 and from 23 October 2018 to 5 August 2019 in Shanghai, China. The sampling site is located on the rooftop of a 20-meter-tall teaching building on the Xuhui Campus of Shanghai Jiao Tong University at 31.201°N, 121.429°E, which is in downtown and surrounded by residential and commercial areas (see Fig. 1a, b). There is a main street 230 m east to the sampling site. The $PM_{2.5}$ samples were collected on pre-baked (550 °C, 8 h) quartz-fiber filters (Whatman) from 9:00 am to 8:00 am of the next day using a high-volume sampler (HiVol 3000, Ecotech) at a flow rate of 67.8 m³ h⁻¹. The collected samples were wrapped in pre-baked (550 °C, 8 h) aluminum foil and stored at -20 °C before analysis.

139 2.2 Organosulfate measurement with UPLC-ESI-ToF-MS

An aliquot of ~17 cm² was removed from each filter sample and extracted in 3 mL of methanol (LC-MS grade, CNW Technologies GmbH) twice under sonication in an ice bath at 4 $^{\circ}$ C for 30 min. The extracts derived each time were combined and filtered through a 0.45 µm poly tetra fluoroethylene (PTFE) syringe filter (CNW Technologies GmbH) to remove insoluble materials, and subsequently concentrated to 250 µL with a gentle stream of ultra-high-purity nitrogen (Shanghai Likang Gas Co., Ltd). The resulting extracts were mixed with ultrapure water (milliQ, 18.2 MΩ·cm) of the same volume and centrifugated at 12000 rpm and 4 °C for 20 min using a centrifuge (Cence, TGL-16M) to get supernatant for analysis.

146 The resulting solutions were analyzed using an Acquity UPLC (Waters) coupled to a Xevo G2-XS QToF-MS (Waters) having

- 147 a mass resolving power of \geq 40000 and equipped with an ESI source. The analytes were separated by an ethylene bridged
- 14β hybrid (BEH) C₁₈ column (2.1×100 mm, 1.7 μm particle size, watersWaters) at 50 °C. A gradient elution procedure was

performed using water (A) and methanol (B) both containing 0.1% acetic acid (v/v) as the eluents: A was maintained at 99% for 1.5 min, decreased to 46% in 6.5 min and to 5% in 3 min, then decreased to 1% in 1 min and held for 2 min, finally returned to 99% in 0.5 min and held for 1.5 min to equilibrate the column. The total eluent flow rate was 0.33 mL min⁻¹ and the sample injection volume was 2.0 μ L. The ESI source was operated in the negative ion mode under optimum conditions as follows: capillary voltage 2.0 kV, sampling cone voltage 40 V, source offset voltage 80 V, source temperature 115°C, desolvation gas temperature 450°C, cone gas 50 L h⁻¹, desolvation gas 900 L h⁻¹.

155 The quantified OS as well as the authentic and surrogate standards used for the quantification of each OS are listed in Table 1. The OS standards were selected by mainly referring to Hettiyadura et al. (2019), which is based upon a comparison of the 156 tandem mass spectrometry (MS/MS)MS/MS pattern between authentic standards and targeted OS in ambient aerosols, as well 157 as to Wang et al (2018). Glycolic acid sulfate (GAS, C2H3O6S⁻) and lactic acid sulfate (LAS, C3H3O6S⁻) were synthesized 158 159 according to Olson et al. (2011). Because LAS and GAS are too small in molecular size, we could not find a promising stain and use thin layer chromatography (TLC) on silica gel to purify them. Instead, we employed ¹H NMR and an internal standard 160 (dichloroacetic acid) to determine their purities (8% for GAS and 15% for LAS). Limonaketone sulfate ($C_9H_{15}O_6S^{-}$) and α -161 162 pinene sulfate (C₁₀H₁₇O₅S[•]) were synthesized and details were described in Wang et al. (2017). Other OS standards including 163 sodium methyl sulfate (CH3O4S⁻, 99%, Macklin), sodium octyl sulfate (C8H17O4S⁻, 95%, Sigma-Aldrich), and potassium phenyl sulfate (C₆H₅O₄S⁻, 98%, Tokyo Chemical Industry, Shanghai) were commercially purchased. The quantified OS were 164 also analyzed in tandem mass spectrometry (MS/MS) at a collision energy of 10-50 eV to confirm whether they are OS by 165 sulfur-containing fragment ions observed. In this study, most quantified OS were fragmented to the bisulfate anion (m/z 97) 166 167 and several quantified OS were only fragmented to the sulfate radical anion (m/z 96) and the sulfate radical anion (m/z 80) (see Fig. S1). 168

169 2.3 Auxiliary measurements

170 Meteorological parameters, including temperature, relative humidity (RH), and wind speed (WS) were continuously 171 monitored by the Shanghai Hongqiao international airport station, which is 9 km west to the sampling site (Fig. 1c). The 172 concentrations of SO₂, nitrogen dioxide (NO₂), O₃ and PM_{2.5} were measured by a state-controlled air quality monitoring station on the Xuhui Campus of Shanghai Normal University, which is 4.5 km southwest to the sampling site for the PM2.5 173 174 filter samples (Fig. 1c). Organic carbon (OC) and elemental carbon (EC) in PM2.5 filter samples were measured by a thermaloptical multiwavelength carbon analyzer (DRI Model 2015). The concentration of OM was derived by multiplying the OC 175 176 by 1.6 (Tao et al., 2017). Water-soluble inorganic compounds including sulfate, nitrate, chloride, ammonium, potassium, and 177 calcium were determinedanalyzed with an ion chromatograph (Metrohm MIC). The seasonal and annual average values of 178 meteorological parameters and concentrations of trace gases, PM2.5 and its major components in 2015/2016 and 2018/2019 are listed 179 in Table S1.

180 2.4 Estimation of aerosol liquid water content and pH

181 The ISORROPIA-II thermodynamic model (Fountoukis and Nenes, 2007) was employed to predict ALWC and aerosol pH.
182 The aerosol water-soluble inorganic ion concentrations, as well as temperature and RH were used as the model input. The

model was run in the forward model for metastable aerosol, which was shown to give a more accurate representation of aerosol pH than using the reverse-mode calculations when with only aerosol data input (Guo et al., 2015; Hennigan et al., 2015). ISORROPIA-II calculates the equilibrium concentration of aerosol hydronium ions (H^+_{air}) per volume of air ($\mu g m^{-3}$), along with ALWC ($\mu g m^{-3}$). The aerosol pH was then derived by

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$$pH = -\log_{10}(H_{aq}^{+}) = -\log_{10}\frac{1000H_{air}^{+}}{ALWC},$$
 (1)

where H_{aq}^+ is the concentration of hydronium ions in aqueous aerosol (mol L⁻¹). In this study, ALWC associated with organic aerosol and its influences on aerosol pH were not considered. However, previous studies showed that water uptake by organic aerosol only contributed to a minor fraction (5%) of total ALWC and had a negligible influence on aerosol pH in haze events in China (Liu et al., 2017). The seasonally and annually averaged ALWC and aerosol pH levels in 2015/2016 and 2018/2019 are also given in Table S1.

193 2.5 Quality control

The extraction efficiency of OS species in filter samples was evaluated by measuring the recovery of ten different OS standards (see Table S2). The synthesized and commercially purchased OS standards were spiked into blank and pre-baked quartz filters, followed by extraction and analysis with the same procedures for ambient samples. The recoveries of OS standards were about 84-94% except for Δ -Carene OS, Lactic acid sulfate, and Glycolic acid sulfate, the recovery of which was 66%, 72.5%, and 77.8%, respectively (see Table S2). This result suggests a fairly high extraction efficiency for the majority of OS species in this study.

200 In addition, we evaluated the matrix effect on the signal response of OS by comparing the measured signal intensity of OS standards added to the extracts of ambient PM2.5 filter samples with that of pure OS standard solutions. Table S3 gives the 201 202 ratios of measured signal intensity of OS standards in filter sample extracts to that in pure solutions. As for the standards that 203 were already present in the samples, we subtracted the response in the sample from the total (sample + standard) before 204 calculating the ratio. Most of the OS standards had a ratio around 1, suggesting no obvious matrix effect on the measurement of the majority of OS species. However, the two smallest OS standards, methyl sulfate and glycolic acid sulfate that were the 205 very first species eluted from the LC column, had a ratio significantly smaller than 1, suggesting the inhibited ionization of 206 these two OS likely by the highly soluble and polar species in the filter samples that were co-eluted with these two OS. We 207 note that the matrix effect for these two OS is dependent on the PM2.5 mass loading. For example, the signal ratio of glycolic 208 209 acid sulfate standard measured in filter sample extracts versus in pure solutions ranged from 0.17-0.31 (Exps. 1-2) for very 210 polluted days to 0.45-0.53 for clean days (Exps. 3-4). This implies that the abundance of glycolic acid sulfate in ambient aerosols reported here may be underestimated by a factor of 2-6 due to the matrix effect. 211

212 3 Results and Discussion

213 3.1 Overview of pollution characteristics during sampling periods

Figure 2 shows the time series of meteorological parameters, O₃, NO₂, SO₂, PM_{2.5} and its major components, as well as H⁺_{aq}

and ALWC during the sampling periods. The average values (concentrations) of each parameter (species) were given in Table 215 S1. The meteorological conditions (wind speed, temperature, and RH) were overall similar in 2015/2016 and 2018/2019. 216 While the NO₂ concentration decreased from 27.0 ± 13.0 ppb in 2015/2016 to 21.3 ± 10.3 ppb in 2018/2019, the O₃ level had 217 218 no obvious difference in the two years (29.8 ± 15.2 ppb in 2015/2016 versus 29.6 ± 13.9 ppb in 2018/2019), consistent with the nonlinear response of O₃ production to precursor emissions (Liu and Wang, 2020). The annual average mass loading of PM_{2.5} 219 declined by 34.5% in 2018/2019 ($38.6\pm24.0 \ \mu g \ m^{-3}$) compared to that $2015/2016 \ (59.0\pm37.9 \ \mu g \ m^{-3})$, largely driven by the 220 strong decrease in the abundance of OM (29.1%) and sulfate (37.4%). The decrease of PM2.5, OM, and sulfate concentrations 221 from 2015/2016 to 2018/2019 reflects a significant reduction in anthropogenic pollutant emissions in eastern China in recent 222 years. In contrast to OM and sulfate, the concentration of nitrate had little change between 2015/2016 (8.8±8.9 µg m⁻³) and 223 2018/2019 (8.4 \pm 7.8 µg m⁻³), despite an obvious decrease in NO₂ concentration. This is at least partly a result of reduced 224 aerosol acidity (H+aq, see Fig. 2 and Table S1) and thereby enhanced partitioning of HNO3 into the particle phase. Furthermore, 225 the nitrate concentration showed a strong seasonality, ranging from 1.0 ± 1.1 and 3.4 ± 3.2 µg m⁻³ in summer to 16.6 ± 10.0 and 226 14.1±10.0 µg m⁻³ in winter in 2015/2016 and 2018/2019, respectively, partly owing to the seasonal variation of temperature 227 and aerosol acidity that modulates the gas/particle partitioning of nitrate (Fisseha et al., 2006; Guo et al., 2015; Griffith et al., 228 2015; Guo et al., 2016). A similar strong reduction in PM25 concentration and variations in aerosol composition over the past 229 several years were observed in different regions in China (Tao et al., 2017; Wang et al., 2020b; Ding et al., 2019a; Wen et al., 230 231 2018). As a result of strong reductions in inorganic ion concentrations, ALWC decreased dramatically in 2018/2019 (14.8±20.4 µg m⁻³), compared to that in 2015/2016 (24.4±27.0 µg m⁻³). In short, anthropogenic pollutant emissions, as well 232 as aerosol concentration and composition varied significantly between 2015/2016 and 2018/2019 in Shanghai, which, as will 233 be discussed below, has important implications for the production of OS in ambient aerosols. 234

235 3.2 Molecular composition of sulfur-containing organic compounds

236 The organic compounds in ambient PM2.5 identified using UPLC-ESI(-)-QToF-MS were classified into four groups based on 237 their elemental composition, i.e., CHO, CHON, CHOS, and CHONS. Figure 3a- and b show the average mass spectra of 238 organic compounds in PM2.5 over a typical winter (21-26 January 2019) and summer (23-28 July 2019) pollution episode. 239 The S-containing compounds were overall larger in molecular size than the CHO and CHON compounds, likely because of 240 the addition of a sulfate group to the molecule. The molecular weight (MW) of most S-containing compounds was between 241 100-400 Da, and for a few between 400-700 Da. The high-MW CHOS species (400-700 Da, see Table S4) showed a larger contribution in winter than in summer, suggesting that they are more likely to arise from anthropogenic sources than biogenic 242 emissions. Figure 3c shows the signal contribution of different compound categories as well as concentrations of sulfate, OM, 243 and quantified OS, and Fig. 3d, e shows the number of identified organic compounds in each category during two pollution 244 245 episodes. The CHOS compounds contributed most by signal and number to the observed organic compounds in both winter and summer. The signal contributions and number of unquantified CHOS and CHONS did not vary significantly from winter 246 247 to summer, whereas the signal contribution of quantified CHOS and CHONS species wasere significantly larger in summer than in winter (on average 15% vs. 7% for CHOS and 11% vs. 7% for CHONS). As will be discussed later, the abundance of 248 249 quantified anthropogenic OS was fairly constant across different seasons, in striking contrast to that of biogenic OS that showed strong seasonal variability. Therefore, lack of seasonal variability for unquantified CHOS and CHONS implies that 250

they may originate mainly from anthropogenic sources. In addition, both signal intensity and the number of CHO species increased significantly in summer, compared to those in winter. In contrast, CHON compounds contributed substantially more to the observed signals in winter than in summer (on average 25% vs. 7%), though their numbers are quite similar during the two periods. This suggests an enhanced production and/or suppressed depletion of nitrogen-containing organic species in winter.

The CHOS compounds with an O/S ratio of \geq 4 were assigned as potential OS species. Similarly, the CHONS compounds 256 257 with an O/(N+S) ratio of \geq 7 could be assigned as potential NOS species (Lin et al., 2012a). The C₈H₁₇O₄S⁻, C₈H₁₅O₄S⁻, and C₅H₁₁O₄S⁻ were the highest OS peaks observed in the pollution episode in winter. The C₈H₁₇O₄S⁻ and C₈H₁₅O₄S⁻ species may 258 be derived from the photooxidation of diesel fuel vapors according to previous chamber studies (Blair et al., 2017). The 259 $C_5H_{11}O_4S^-$ species was correlated well with $C_8H_{17}O_4S^-$ in 2015/2016 (r=0.76) and 2018/2019 (r=0.84), suggesting it may also 260 261 be derived from diesel fuel vapors. The highest NOS peak in winter is C10H16NO7S, which likely originates from monoterpene oxidation (Surratt et al., 2008). The C₅H₁₁O₇S⁻, C₁₅H₂₉O₅S⁻, and C₁₃H₂₅O₅S⁻ were observed among the highest OS peaks in 262 the summer pollution episode. The $C_5H_{11}O_7S^{-1}$ is an IEPOX-derived OS species (Surratt et al., 2010), while $C_{15}H_{29}O_5S^{-1}$ and 263 C13H25O5S⁻ may be derived from the oxidation of diesel fuel vapors (Blair et al., 2017). The highest NOS peak in summer is 264 265 monoterpene-derived C10H16NO7S, the same with thatas in winter.

266 3.3 Quantified organosulfates

In this study, we quantified twenty-nine OS and six NOS compounds using a variety of authentic and surrogate OS standards (Table 1). The quantified OS and NOS accounted for 14-18% and 47-67% by intensity of <u>the</u> identified CHOS and CHONS in polluted winter days and 15-37% and 58-87%, in polluted summer days (Fig. 3c), respectively. Increased contribution of the quantified OS and NOS in summer is because they are mainly derived from biogenic VOCs, which have greater emissions in summer than in other seasons (Guenther et al., 1995). We note that a large fraction of OS signals <u>were-was</u> not quantified owing to the lack of proper standards in this study. As discussed above, these unquantified OS mainly originated from anthropogenic sources. Future studies of their abundances and formation mechanisms are warranted.

274 Table 2 summarizes the seasonally and annually averaged concentrations of the quantified OS, as well as their contributions to OM in 2015/2016 and 2018/2019. The average concentration of quantified OS was 65.5±77.5 ng mr3 in 2015/2016 and 275 276 59.4±79.7 ng m⁻³ in 2018/2019. Although there was little change in OS concentration in these two years, the contribution of OS to OM was larger in 2018/2019 (0.66%±0.56%) than in 2015/2016 (0.57%±0.56%), mainly due to a significant reduction 277 278 of OM in 2018/2019. Since OS species are important tracers for SOA (Surratt et al., 2007b; Gómez-GonzálezGomez-Gonzalez 279 et al., 2008; Surratt et al., 2008; McNeill et al., 2012; Zhang et al., 2012; Surratt et al., 2010; Lin et al., 2013), an increase of 280 OS/OM ratios in 2018/2019 implies an enhanced contribution of SOA to OA in Shanghai. A previous study by Ma et al. (2014) reported an average OS concentration in urban Shanghai in 2012/2013 of about 8.6 ng m⁻³, substantially smaller than the 281 282 concentration reported here. This is likely due to a different number of OS species quantified (17 vs. 35) and different OS 283 standards used (octyl and benzyl sulfates vs. seven authentic/surrogate standards) in Ma et al. (2014) and the present study. 284 As can be seen in Fig. 2e and Table 2, the OS concentration and OS/OM ratio both showed a strong seasonal variation and peaked in summer. The concentration of OS and its contribution to OM in summertime Shanghai (on average, 114.1 ng m⁻³ 285

and 1.13% in July 2015 and 102.1 ng m⁻³ and 1.18% in July 2019) were larger or comparable to those observed in Beijing 286 (55.2 ng m⁻³, 0.42%) (Wang et al., 2018) and Birmingham, Alabama (205.4 ng m⁻³, 2% of OC) (Rattanavaraha et al., 2017), 287 but significantly lower than those observed in Atlanta, GA (2366.4 ng m⁻³, 16.5% of OC) and Centreville, AL (812 ng m⁻³, 288 7.3% of OC) (Hettivadura et al., 2019) where the production of OS and SOA is dominated by the oxidation of biogenic 289 emissions. The contribution of OS to OM in wintertime Shanghai (on average, 0.32% in January 2016 and 0.36% in January 290 291 2019) was larger than that observed in Xi'an (~0.2%) (Huang et al., 2018), though the quantified OS concentrations in the two regions were comparable. This may suggest a stronger secondary formation of OA in Shanghai than in Xi'an, consistent 292 with independent measurements by Huang et al. (2014). 293

To further characterize the seasonality and interannual variability of OS, as well as their origin and formation mechanisms, the quantified OS were assigned to four different source categories based on their molecular composition and literature data (Surratt et al., 2008; Surratt et al., 2007a; Nozière et al., 2010; Surratt et al., 2010; Schindelka et al., 2013; Zhang et al., 2014; Riva et al., 2015; Riva et al., 2016b; Blair et al., 2017; Nestorowicz et al., 2018). The OS species for each OS source category are listed in Table 1 and the seasonal and interannual variations in the abundance of grouped and individual OS are shown in Fig. 4 and Table S5, respectively.

300 3.3.1 Isoprene-derived organosulfates

The iIsoprene-derived OS (hereafter referred to as OS_i) include ten C₄₋₅ species and one dimeric species (C₇H₉O₇S⁻). The average concentration of OS_i in summer was 76.5 \pm 93.4 ng m⁻³ for 2015/2016 and 68.4 \pm 102.2 ng m⁻³ for 2018/2019, significantly larger than the concentrations (10.4-17.1 ng m⁻³) in other seasons (Fig. 4a). Similar strong seasonality of OS_i was also observed in suburban areas in the Mid-Atlantic United States (Meade et al., 2016) and the Pearl River Delta in Southern China (He et al., 2014) The significantly increased production of OS_i in summer is mainly a result of enhanced isoprene emissions (Guenther et al., 1995) and photochemistry due to strong solar radiation and high temperatures in this warmer season.

The most abundant species among OSi was 2-MT-OS (C3H11O7S), produced by reactive uptake of IEPOX on sulfate during 308 the photooxidation of isoprene under low-NOx conditions (Surratt et al., 2010). The average concentration of 2-MT-OS was 309 ~31 ng m⁻³ in summer, contributing to about 45% of OS_i, whereas it decreased to 0.4-1.3 ng m⁻³ in other seasons, accounting 310 311 for only 4-10% of OS_i in 2015/2016 and 2018/2019 (see Table S5). In addition, 2-MA-OS (C₄H₇O₇S⁻) was also abundantly 312 detected with an average concentration of 4.5 ng m⁻³ in summer and 1.0-2.2 ng m⁻³ in other seasons during the two sampling 313 years. 2-MA-OS is formed from reactive uptake of methacrylic acid epoxide (MAE) (Lin et al., 2013) and hydroxymethylmethyl-lactone (HMML) (Nguyen et al., 2015) on sulfate aerosol during isoprene photooxidation under high-NOx conditions. 314 315 It is worthwhile noting that the concentration ratio of 2-MT-OS/2-MA-OS in summer (6.8-7.8) is substantially larger than that in other seasons (0.31-0.78). This is consistent with a dramatic reduction of NO_x level (e.g., NO₂) in summer (~13 ppb) 316 317 compared to that in other seasons (~24-34 ppb) (see Table S1). Furthermore, the NOx-influenced oxidation pathways may not 318 be conducive to 2-MA-OS formation in summer, given that the formation of reactive intermediates such as MAE is 319 unfavorable at high temperatures owing to enhanced thermal decomposition of its precursor methacryloylperoxynitrate 320 (MPAN) (Worton et al., 2013). Since 2-MT-OS and 2-MA-OS are key tracers for isoprene-derived SOA under low- and highNO_x conditions, respectively (Surratt et al., 2010; Lin et al., 2013; Nguyen et al., 2015). The dramatically larger ratios of 2-MT-OS/2-MA-OS in summer than in other seasons therefore strongly suggests that the low-NO_x oxidation pathways dominated the production of isoprene-derived SOA in summer, while the processes favorable under high-NO_x conditions were important <u>for</u> SOA formation in other seasons. We note that the 2-MT-OS/2-MA-OS ratios observed in summertime Shanghai are smaller than those (17.0-33.8) observed in less polluted environments such as the southeastern United States (Hettiyadura et al., 2019; Budisulistiorini et al., 2015; Riva et al., 2019), but significantly larger than those (0.55-1.57) observed in Beijing (Wang et al., 2018; Bryant et al., 2020) and the Pearl River Delta (PRD) region of China (He et al., 2018).

Other abundant OSi species include C3H7O7S⁻, C5H9O7S⁻, and C4H7O6S⁻. The C5H7O7S⁻ and C3H9O7S⁻ species can be produced 328 by photooxidation of isoprene (Surratt et al., 2008; Nestorowicz et al., 2018) and/or the oxidative aging of 2-MT-OS 329 (Hettiyadura et al., 2015; Chen et al., 2020). The C4H7O6S⁻ can be generated both from sulfate radical reaction with 330 331 MACR/MVK (Nozière et al., 2010; Schindelka et al., 2013; Wach et al., 2019) and isoprene photooxidation (Lin et al., 2013; Surratt et al., 2007a; Nestorowicz et al., 2018). The C₅H₇O₇S⁻ and C₄H₇O₆S⁻ are also consistent in molecular formula with the 332 OS species formed from the photooxidation of diesel fuel vapors (Blair et al., 2017). However, these two species had moderate 333 334 to strong correlations with MT-OS and C₃H₉O₇S⁻ in different seasons expect-except for autumn (C₃H₇O₇S⁻: r=0.68-0.96, 335 C4H7O6S: r=0.62-0.96), indicating that they are mainly derived from isoprene oxidation. We note that the five most abundant OS_i species as discussed above were moderately correlated with EC and CO in winter (r=0.5-0.67), suggesting that there 336 might be anthropogenic sources of isoprene in winter. Borbon et al. (2001) measured the hourly isoprene concentration at an 337 urban site in Lille, France for two years and found that isoprene was largely derived from vehicle emissions in winter. In 338 339 addition to OS species, two isoprene-derived NOSs (C3H10NO3S- and C3H8NO10S-) were also observed, in particular in 340 summer.

341 3.3.2 Monoterpene-derived organosulfates

The mMonoterpene-derived OS (hereafter referred to as OS_m) include seven C₇₋₁₀ OS species and three C₉₋₁₀ NOS species. 342 343 Compared to the OS_i, the OS_m showed a weaker seasonal variation and a relatively larger abundance except in summer (Fig. 344 4b). This is consistent with the fact that isoprene emissions have stronger seasonal variability than monoterpene emissions (Guenther et al., 1995). The seasonally averaged concentrations of OSm were higher in spring and summer, but lower in 345 autumn and winter. This is different from previous observations in 2012/2013 in Shanghai by Ma et al (2014). They found 346 347 that the OS_m were most abundant in summer, followed by autumn, winter, and spring. The differences in seasonal variations 348 of OS_m observed by the two studies may be attributed to different meteorological and chemical conditions that affected 349 precursor emissions and chemistry of OS_m formation over the sampling periods. Given that the OS_m concentration had an 350 obvious daily variation, the number of samples collected would significantly affect the seasonally averaged concentration. As 351 such, the difference in the number of samples collected each season (18-20 samples in this study versus 6 samples within 352 three days in Ma et al. (2014)) may also contribute to the different seasonality observed in the two studies.

The NOS species such as $C_{10}H_{16}NO_7S^{-}$, $C_9H_{14}NO_8S^{-}$, and $C_{10}H_{16}NO_{10}S^{-}$ were the most abundant OS_m species, which arises mainly from monoterpenes photooxidation in the presence of NO_x or nighttime NO_3 chemistry (Surratt et al., 2008; Iinuma et al., 2007a). The concentrations of these three NOS were all lower in summer than in spring and autumn (Table S5),

consistent with the seasonal trend of NOx concentrations (Fig. 2 and Table S1). Similar seasonal variations for these NOS 356 species were also observed in the PRD region of China (He et al., 2014) and the Mid-Atlantic United States (Meade et al., 357 2016). Among the NOS species, the C10H16NO7S was most abundant, contributing to 22-48% of OSm. This species had an 358 359 annual average concentration of 6.2±6.5 ng m⁻³ in 2015/2016 and 5.5±6.2 ng m⁻³ in 2018/2019, which is comparable to the concentrations observed in Beijing (12 ng m⁻³) (Wang et al., 2018) and Atlanta, GA (9.0 ng m⁻³) (Hettiyadura et al., 2019), 360 361 but much lower than that observed in the PRD region of China (52.4 ng m⁻³ in summer and 151 ng m⁻³ in autumn) (He et al., 2014). The prevalence of monoterpene-derived NOS in Shanghai as observed in this study is consistent with recent 362 observations that monoterpenes accounted for up to 60% of nighttime NO3 radical loss in the YRD region of China (Wang et 363 364 al., 2020a).

The most abundant nitrogen-free OS_m species was $C_{10}H_{15}O_7S^-$ (m/z 279.0538), which was shown to be produced from the 365 366 photooxidation of monoterpenes (Surratt et al., 2008) or sulfate radical reaction with α-pinene (Nozière et al., 2010). Although 367 the $C_{10}H_{15}O_7S^{-}$ is consistent in molecular composition with the OS species formed by photooxidation of cyclodecane in the presence of sulfate aerosol (Riva et al., 2016b), its moderate to strong correlation (r=0.51-0.93) with the three monoterpene-368 derived NOS in all seasons except for winter suggests that it is mainly derived from monoterpene oxidation. The concentration 369 370 of $C_{10}H_{15}O_7S^-$ was on average 3.0 \pm 3.0 ng m⁻³ in 2015/2016, lower than that (4.0 \pm 3.4 ng m⁻³) in 2018/2019. In contrast to 371 the NOS species, the C₁₀H₁₅O₇S⁻ species was most abundant in summer in both years, again suggesting a strong contribution of low-NO_x chemistry in OS and SOA formation in summer. 372

373 3.3.3 Anthropogenic organosulfates

374 The quantified anthropogenic OS (hereafter referred to as OS_a) in this study include phenyl sulfate (C₆H₅O₄S⁻), benzyl sulfate 375 (C7H7O4S), C8H17O4S, as well as C4H7O4S, C5H7O6S, and C6H9O6S. The annual average concentrations of OSa in 376 2015/2016 and 2018/2019 were 5.6±2.8 ng m⁻³ and 3.8±3.3 ng m⁻³, respectively. Although the concentration of quantified 377 OS_a decreased in 2018/2019, we are not sure whether the total concentration of OS_a decreased because only a small fraction 378 of OS_a were-was quantified. The inter-annual variations of OS_a warrants further studies. As shown in Fig. 4c, the quantified 379 OS_a concentration was substantially smaller compared to the biogenic OS one. In addition, the OS_a concentration had little seasonal variations in both 2015/2016 and 2018/2019. Among the quantified OS_{a} , the C4H7O4S⁻ was most abundant with the provided of the seasonal variations of the seasonal vari 380 an annual average concentration of 2.0±1.5 ng m⁻³ in 2015/2016 and 1.8±2.6 ng m⁻³ in 2018/2019, which is comparable to the 381 382 concentrations in Atlanta, GA (Hettiyadura et al., 2019). Blair et al. (2017) found that photooxidation of diesel vapors in the 383 presence of SO2 can form C4H7O4S, C3H7O6S, and C6H9O6S species. The C8H17O4S species had the same retention time 384 with as the octyl sulfate standard in the LC column, suggesting it is a long-chain aliphatic OS. This OS species was correlated with C₅H₇O₆S⁻ and C₆H₉O₆S⁻ that were potential diesel vapor-derived OS. Phenyl sulfate and benzyl sulfate may be produced 385 by photooxidation of naphthalene and 2-methylnaphthalene (Riva et al., 2015) and/or sulfate radical reaction with aromatic 386 387 compounds such as benzoic acid and toluene in the aqueous phase (Huang et al., 2020), but phenyl sulfate was only detected 388 in 42 out of 75 samples in 2015/2016 and 8 out of 81 samples in 2018/2019, primarily in winter. The benzyl sulfate concentrations in 2015/2016 and 2018/2019 were 0.4±0.1 ng m⁻³ and 0.2±0.10 ng m⁻³, respectively, which were higher than 389 390 the observations in springtime Lahore, Pakistan (Staudt et al., 2014) and in wintertime Xi'an, China (Huang et al., 2018).

Benzyl sulfate had a strong correlation with phenyl sulfate and was also correlated with $C_6H_9O_6S^-$ and $C_8H_{17}O_4S^-$.

392 3.3.4 C₂/C₃ organosulfates

The OS species with two or three carbon atoms are grouped together since many of them are considered to have both biogenic 393 and anthropogenic origins. The C₂/C₃ OS quantified in this study include C₂H₃O₆S⁻ (m/z 154.9650), C₃H₃O₅S⁻ (m/z 152.9858), 394 C3H5O6S (m/z 168.9807), C2H3O5S (m/z 138.9701), C3H5O4S (m/z 136.9909), and C3H7O5S (m/z 155.0014). The C2/C3 395 OS species averagely accounted, on average, for 19% of the quantified OS concentrations and they were overall more 396 abundant in summer than in other seasons in 2015/2016 and 2018/2019 (Fig. 4d). The C₂H₃O₆S⁻, C₃H₅O₅S⁻, and C₃H₅O₆S⁻ 397 species, which were previously assigned to glycolic acid sulfate (GAS), hydroxyacetone sulfate (HAS), and lactic acid sulfate 398 399 (LAS), respectively (Olson et al., 2011; Hettiyadura et al., 2017; Huang et al., 2018; Wang et al., 2018; Hettiyadura et al., 2019), were among the most abundant C_2/C_3 OS species, together contributing to 76% of C_2/C_3 OS concentrations during the 400 two sampling years. The concentration of C₂H₃O₆S⁻ (GAS) was on average 2.9±2.2 ng m⁻³ in 2015/2016 and 2.3±1.7 ng m⁻³ 401 in 2018/2019, which was lower than the concentrations measured in Beijing (19.5 ng m⁻³) (Wang et al., 2018), Xi'an (77.3 ng 402 403 m⁻³) (Huang et al., 2018), Atlanta, GA (58.5 ng m⁻³) (Hettiyadura et al., 2019), Centreville, AL (20.6 ng m⁻³) (Hettiyadura et 404 al., 2017), Lahore, Pakistan (11.3 ng m⁻³), and Bakersfield, CA (4.5-5.4 ng m⁻³) (Olson et al., 2011), and similar with those that observed in Riverside, CA (3.3 ng m³) (Olson et al., 2011). We note that if accounting for the underestimation (2-6 times) 405 406 in concentration due to matrix effects (see Sect. 2.5), the GAS concentration measured in Shanghai would be comparable to 407 that in most of the regions mentioned above. The concentrations of C₃H₅O₅S⁻ (HAS) and C₃H₅O₆S⁻ (LAS) were quite similar, on average 2.3 and 2.2 ng m⁻³ in 2015/2016 and 1.8 and 1.9 ng m⁻³ in 2018/2019, respectively, which were comparable to the 408 409 concentrations observed in Beijing (2.2 ng m⁻³ and 4.4 ng m⁻³) (Wang et al., 2018), and Xi'an (1.3 ng m⁻³ for HAS) (Huang et 410 al., 2018), but lower than those measured in Centreville, AL (5.8 ng m⁻³ and 16.5 ng m⁻³) (Hettiyadura et al., 2017) and Atlanta, 411 GA (10.1 ng m⁻³ and 38.4 ng m⁻³) (Hettiyadura et al., 2019). The C₂H₃O₆S⁻, C₃H₅O₅S⁻, C₃H₅O₆S⁻, and C₂H₃O₅S⁻ were strongly 412 correlated with most of the OS_i species (r=0.52-0.96 for $C_2H_3O_6S^{-}$, r=0.53-0.99 for $C_3H_5O_5S^{-}$, r=0.53-0.90 for $C_3H_5O_6S^{-}$, and r=0.53-0.94 for $C_2H_3O_5S^{-}$, indicating that they originated mainly from isoprene chemistry. This is in line with recent findings 413 414 that a series of C₂/C₃ OS species, including C₂H₃O₆S⁻, C₃H₅O₆S⁻, and C₂H₃O₅S⁻, can be produced by heterogeneous OH 415 oxidation of particulate 2-MT-OS (Chen et al., 2020). The C3H5O4S⁻ species, proposed to contain an allyl group (Hettiyadura 416 et al., 2017), was previously found to be produced by diesel photooxidation (Blair et al., 2017), and was correlated with 417 anthropogenic OS such as the potential diesel vapor-derived OS (C8H17O4S, C4H7O4S, C5H7O6S, and C6H9O6S, r=0.53-418 0.87) and benzyl sulfate ($C_7H_7O_4S^-$, r=0.49-0.88). The $C_3H_7O_5S^-$ is likely an OS species containing one hydroxyl group 419 (Hettiyadura et al., 2017); (Hettiyadura et al., 2017); which it was strongly correlated with the C₃H₅O₄S⁻ in all seasons and correlated with the diesel vapor-derived OSa (C6H9O6S⁻ and C8H17O4S⁻) in spring and autumn, suggesting that it may be 420 42 largely derived from the photooxidation of diesel vapors. This result is different from the observations in Atlanta, GA, where 42 the $C_3H_7O_5S^-$ was correlated with most of the OS_i , leading to the suggestion that it was derived from the oxidation of isoprene 423 (Hettiyadura et al., 2019). We note that the concentrations of the $C_3H_5O_4S^-$ and $C_3H_7O_5S^-$ species decreased significantly from 2015/2016 to 2018/2019 (except for summer, see Table S5), overall consistent with the interannual variations of OSa species. 424 425 This further supports that these two OS species mainly originated from anthropogenic sources.

426 3.4 Factors influencing organosulfate formation

427 Laboratory and field studies have shown that aerosol properties such as acidity, sulfate concentration, and ALWC play important roles in the formation of OS (Iinuma et al., 2007b; Chan et al., 2011; Surratt et al., 2007a; Surratt et al., 2007b; Liao 428 429 et al., 2015; Hettiyadura et al., 2019; Riva et al., 2019). Here we examined the influences of these factors, as well as the level of oxidants and temperature on OS formation in ambient aerosols in Shanghai. Aerosol pH and ALWC here were calculated 430 using ISORROPIA-II (see Sect 2.4) Figure 5 shows the OS concentration versus the O₂ level sulfate concentration aerosol 431 pH, and ALWC observed in the spring, autumn, and winter of 2015-2016 and 2018-2019. Since the OS concentrations in 432 summer were significantly greater than in other seasons, they were plotted separately in Fig. 6. As shown in Figs. 5 and 6, the 433 aerosol pH in Shanghai ranged between 1.5 and 5.3 in summer and between 2.5 and 6.1 in other seasons, overall within the 434 pH range (2-6) reported for ambient aerosols in northern China (Liu et al., 2017; Shi et al., 2017; Ding et al., 2019b; Song et 435 436 al., 2019; Wang et al., 2018). A recent study by Zheng et al. (2020) has suggested that aerosol pH levels in populated continental regions including eastern and northern China are widely buffered by ammonium/ammonia, where the variation in 437 aerosol pH is mainly driven by the variation in ALWC and temperature. Therefore, we infer that the lower aerosol pH in 438 summer than in other seasons in Shanghai was mainly a result of decreased ALWC (Figs. 5 and 6c, d) and enhanced 439 440 temperature (Fig. 2a). Decreased aerosol pH in summer compared to other seasons were-was also observed in Beijing (Ding et al., 2019b) and the southeastern United States (Guo et al., 2015; Nah et al., 2018). 441

442 As can be seen in Fig. 5, the OS concentration in spring, autumn, and winter increased obviously with increasing Ox level, 443 sulfate concentration, and aerosol acidity (Fig. 5a, b). A similar result was also found in Beijing that where most OS species were correlated strongly with the product of ozone and particulate sulfate ([O₃]·[SO₄²⁻]) (Bryant et al., 2020). In addition, an 444 445 overall positive correlation was observed between the OS concentration and ALWC (Fig. 5c, d). Therefore, it is likely that 446 that the OS species were mainly produced by acid-catalyzed heterogeneous/aqueous-phase reactions of oxidized organic 447 compounds with sulfate in these seasons. Previous studies have shown that elevated ALWC could inhibit OS production by decreasing aerosol acidity through dilution (Lewandowski et al., 2015; Nestorowicz et al., 2018). However, as the increase of 448 449 ALWC was accompanied by elevated sulfate concentration, such a decrease in aerosol acidity was not observed in the present study (Fig. 5c, d). Alternatively, the increased ALWC likely promoted the mass transfer of oxidized organics into the aerosol 450 451 phase, thereby enhancing OS formation. We note that the observations with moderate to high ALWC but relatively low OS concentration (data points in the triangle in Fig. 5c, d) were associated with low Ox levels (<50 ppb) that significantly limited 452 the oxidation of VOC precursors and hence the formation of OS. 453

As seen in Fig. 6, the OS production in summer increased dramatically with rising O_x concentration. In addition, high OS concentrations were associated with high ambient temperatures, which can enhance emissions of biogenic precursors and the production of O_x . While the aerosol acidity effect on OS production in summer was still evident, the influence of sulfate and ALWC was not as obvious as in other seasons. This is likely because the OS production in summer was driven by the strong emission and fast photochemistry of VOC precursors. It is noteworthy that the sulfate concentrations, ALWC, and aerosol acidities were overall higher in 2015/2016 than in 2018/2019, but the OS concentrations were similar in two years. This implies that the O_x level is a driving factor for OS formation in ambient aerosols in Shanghai. Very recently, a similar oxidant 461 effect on OS formation was also observed in urban Beijing (Bryant et al., 2020). Therefore, mitigation of O_x pollution may 462 effectively reduce the production of OS and SOA in Chinese megacities.

463 4 Conclusions

In this study, we collected ambient PM25 filter samples over four seasons in 2015/2016 and 2018/2019 in urban Shanghai, 464 China, and comprehensively characterized the sulfur-containing organic compounds (CHOS and CHONS) in these PM2.5 465 samples using UPLC-ESI(-)-QToF-MS. The CHOS and CHONS species accounted for a large fraction of the ion signals for 466 organic compounds in ambient PM2.5. Using a set of authentic and surrogate OS standards, we quantified the abundance of 467 29 OS and 6 NOS species in ambient aerosols. We find that there was no strong change in the OS concentration in 2018/2019 468 469 (59.4±79.7 ng m⁻³) compared to that in 2015/2016 (65.5±77.5 ng m⁻³), though the OM concentration decreased by 29% between 2015/2016 (12.7±8 µg m⁻³) and 2018/2019 (9±5.5 µg m⁻³). As a result, the annual average contribution of quantified 470 OS to OM increased from 0.57% in 2015/2016 to 0.66% in 2018/2019, suggesting an enhanced contribution of SOA to OM 471 472 in Shanghai in recent years. The OSi and OSm on average accounted, on average, for 36.3% and 31.0% of the quantified OS 473 concentrations, respectively, during the two sampling years, indicating a significant contribution of biogenic emissions to 474 SOA in Shanghai. The abundance of OSi had strong seasonality and was significantly higher in summer (76.5±93.4 ng m⁻³ in 2015/2016 and 68.4±102.2 ng m⁻³ in 2018/2019) than in other seasons (10.4-17.1 ng m⁻³). The OS_m concentration showed a 475 476 weaker seasonal variation and was relatively higher in spring and summer than in autumn and winter. In contrast, the quantified OSa had little seasonal variations and decreased by 32% from 2015/2016 to 2018/2019. The C2/C3 OS species that 477 478 were more abundant in summer than in other seasons, on average contributed, on average, to 19% of the concentration of the 479 quantified OS species. The C2H3O6S⁻ (GAS), C3H5O5S⁻ (HAS), and C3H5O6S⁻ (LAS), which were derived mainly from 480 isoprene chemistry, were the most abundant C2/C3 OS species and together accounted for 76% of the C2/C3 OS concentrations.

2-MT-OS was the most abundant OS species in summer. The dramatic larger 2-MT-OS/2-MA-OS ratios in summer (6.8-7.8) versus other seasons (0.31-0.78) implyies that the reaction pathways prevalent under low-NO_x conditions (e.g., reactive uptake of IEPOX and photooxidation of ISOPOOH) dominated the production of OS_i and isoprene-derived SOA in summer, while the processes favorable under high-NO_x conditions play an important role in OS_i and SOA formation in other seasons. The C₁₀H₁₆NO₇S⁻ species derived from monoterpenes was the most abundant NOS species, with an annual average concentration of 6.2±6.5 ng m⁻³ in 2015/2016 and 5.5±6.2 ng m⁻³ in 2018/2019. This agrees well with previous observations that monoterpenes depleted about 60% of nighttime NO₃ radicals in the YRD region of China (Wang et al., 2020a).

488 In addition, we find that the abundance of OS was overall positively correlated with the Ox level, sulfate concentration, aerosol acidity, as well as ALWC in spring, autumn, and winter, suggesting the production of OS via acid-catalyzed aqueous-phase 489 490 reactions of oxidized organic compounds on sulfate. However, OS production in summer was strongly driven by rising Ox and temperature that could enhance the photochemistry and emissions of biogenic precursors. We further find that although 491 492 sulfate concentrations, aerosol acidities, and ALWC were significantly lower in 2018/2019 than in 2015/2016, the production 493 of OS was largely sustained in 2018/2019 by the nearly unchanged Ox level that maintained the fast oxidation of VOC 494 precursors. These results imply that controlling Ox pollution may also effectively mitigate particulate organic matter pollution 495 in eastern China.

496 It should be pointed out that GAS was likely underestimated by a factor of 2-6 as a result of the matrix effect during the analysis in our study. If accounting for this effect, it would be the second most abundant OS species after MT-OS. In addition, 497 498 a large fraction of the CHOS signals that arose mainly from anthropogenic sources wasere not quantified due to the lack of proper OS standards in this study. Therefore, the OS concentration and its contribution to organic aerosol in Shanghai could 499 500 be significantly greater. Future studies on the abundance, origin, and formation mechanisms of these unquantified OS are warranted for a better understanding of the formation and evolution of OS and SOA in the atmosphere. 501

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Data availability. The data presented in this work are available upon request from the corresponding author 503 (yuezhao20@sjtu.edu.cn). 504

Author contributions. YZ designed and led the research, YW, WFZ, and ZC collected ambient samples, YCW and JZY 505 506 provided OS standards, JYS conducted ISORROPIA-II model calculation, YW conducted sample analysis, and YZ and YW

processed the data and wrote the paper with contributions from all of the authors. 507

508 Competing interests. The authors declare no conflict of interest.

509 Acknowledgments. This work was supported by the National Natural Science Foundation of China (Grant

21806104), the Science and Technology Commission of Shanghai Municipality (Grant 19DZ1205004), and the 510

511 Program for Professor of Special Appointment (Eastern Scholar) at Shanghai Institutions of Higher Learning.

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| Category | m/z, [M-H] ⁻ | Formula, [M-H] ⁻ | Retention time | Standards for quantification | Proposed structure | Ref. • | Average concentration | |
|-------------------|----------------------------|--|-----------------------------------|------------------------------|--------------------|--------|-----------------------|-----------|
| Category | | | | | | | 2015/2016 | 2018/2019 |
| | 167.0014 | C4H7O5S | 0.85,1.36 | Lactic acid sulfate (LAS) | | a | 1.13 | 1.45 |
| | 182.9963 | $C_4H_7O_6S^-$ | 0.78,2.23 | LAS | | b | 2.84 | 2.19 |
| | 197.0120 | $C_5H_9O_6S^-$ | 0.85,1.55,3.11,3.99 | LAS | | с | 1.87 | 1.72 |
| | 198.9912 | C4H7O7S | 0.74,3.86 | LAS | | d | 2.28 | 2.50 |
| | 199.0276 | $C_5H_{11}O_6S^-$ | 0.85,8.08 | LAS | | с | 0.57 | 0.65 |
| Isoprene OS | 210.9912 | $C_5H_7O_7S^-$ | 0.77 | LAS | osō₃ ∽∽ | d | 6.09 | 4.81 |
| | 213.0069 | C5H9O7S | 0.84 | LAS | | d | 3.81 | 3.82 |
| | 215.0226 | $C_5H_{11}O_7S^{\cdot}$ | 0.72 | LAS | | e | 11.35 | 8.92 |
| | 237.0069 | $C_7H_9O_7S^-$ | 0.87,1.5 | LAS | ° Cosō₃ | f | 0.58 | 0.50 |
| | 260.0076 | C5H10NO9S | 1.19 | LAS | | g | 2.28 | 2.96 |
| | 273.9869 | $C_5H_8NO_{10}S^{-}$ | 1.3 | LAS | | h | 1.89 | 4.87 |
| | 223.0276 | C7H11O6S | 2.52,2.87,3.19,3.42 | Glycolic acid sulfate (GAS) | of cosō3 | i | 1.37 | 1.12 |
| | 239.0225 | C7H11O7S | 0.85,1.77,2.03,3.08 | GAS | | f | 1.91 | 2.01 |
| | 249.0797 | $C_{10}H_{17}O_5S^-$ | 5.34,5.60,6.85,7.04, 7.12,7.36 | α -Pinene sulfate | HO OSŌ3 | j | 0.33 | 0.17 |
| | 251.0589 | $C_9H_{15}O_6S^{-}$ | 3.63,4.14,4.82,7.35 | Limonaketone sulfate | HO osō3 | j | 1.32 | 1.02 |
| Monoterpene OS | 253.0382 | $C_8H_{13}O_7S^{\text{-}}$ | 3.35,3.63,4.45 | GAS | | a | 1.39 | 1.73 |
| | 279.0538 | $C_{10}H_{15}O_7S^-$ | 3.42,4.34,4.55,4.71 | GAS | | g | 2.99 | 4.00 |
| | 281.0695 | $C_{10}H_{17}O_7S^-$ | 4.93,5.14 | α -Pinene sulfate | HO COSO3 OH | f | 0.34 | 0.21 |
| | 294.0648 | $C_{10}H_{16}NO_7S^{\scriptscriptstyle -}$ | 8.14,9.02,9.16,9.35 | α-Pinene sulfate | | k | 6.21 | 5.55 |

Table 1. Organosulfates (in ng m⁻³) quantified by UPLC-ESI(-)-QToFMS.

| | | | | | ∖OSŌ₃ | | | |
|-----------------------------------|----------|---|-----------------------------------|-------------------------|----------------------|---|-------|-------|
| | 296.0440 | C _g H ₁₄ NO ₈ S ⁻ | 4.72,4.86,5.24,6.44 | Limonaketone sulfate | | k | 1.62 | 2.29 |
| | | | | | | | | |
| | 342.0495 | $C_{\underline{a}0}H_{\underline{a}6}NO_{10}S^{-}$ | 4.57,4.71,5.17,7.35 | Limonaketone sulfate | | i | 1.32 | 1.59 |
| | | | | | $\overline{}$ | | | |
| | 151.0065 | $C_4H_7O_4S^-$ | 0.92,2.87,4.11,5.23, 5.39,8.21 | Methyl sulfate | unknown | - | 2.04 | 1.80 |
| | 194.9963 | $C_5H_7O_6S^-$ | 0.82,2.86 | GAS | unknown | - | 0.83 | 0.76 |
| | 209.0120 | C ₆ H ₉ O ₆ S ⁻ | 0.87,2.09,3.27,4.06 | GAS | unknown | - | 1.44 | 0.63 |
| Anthropogenic | 209.0845 | $C_8H_{17}O_4S^-$ | 9.64 | sulfate | ~~~ ^{0SŌ} 3 | - | 1.04 | 0.86 |
| OS | 172.9909 | $C_6H_5O_4S^-$ | 2.6 | Phenyl sulfate | osō₃ | 1 | 0.36 | 0.12 |
| | 187.0065 | $C_7H_7O_4S^-$ | 4.54 | Phenyl sulfate | | 1 | 0.35 | 0.17 |
| | 136.9909 | $C_3H_5O_4S^-$ | 0.78,3.93 | GAS | unknown | - | 0.62 | 0.50 |
| | 138.9701 | $C_2H_3O_5S^-$ | 0.69 | GAS | °₅∕~osō₃ | g | 0.58 | 0.57 |
| | 152.9858 | $C_3H_5O_5S^-$ | 0.81 | GAS | osō₃ | d | 2.30 | 1.79 |
| C ₂ /C ₃ OS | 154.9650 | $C_2H_3O_6S^-$ | 0.69 | GAS | HO OSO3 | m | 2.91 | 2.25 |
| | 155.0014 | C ₃ H ₇ O ₅ S ⁻ | 0.79 | GAS | OH ↓_OSŌ₃ | n | 1.21 | 0.70 |
| | 168.9807 | $C_3H_5O_6S^-$ | 0.82 | LAS | HO HO OSO3 | m | 2.24 | 1.94 |
| Unknown | 164.9858 | $C_4H_5O_5S^-$ | 0.79 | Methyl sulfate | © Bosō₃ | n | 1.20 | 0.78 |
| source OS | 241.9971 | C5H8NO8S | 3.39,3.57,3.93,4.21 | Methyl sulfate | 02NO OSO3 | n | 1.67 | 1.21 |
| SUM | | | | | - | | 65.48 | 59.04 |

*Retention times of each OS isomer considered in the quantification. References for proposed OS structures: ^a Schindelka et al. (2013)), ^b Shalamzari et al. (2013), ^c Riva et al. (2016a), ^d Hettiyadura et al. (2015), ^e Surratt et al. (2010), ^f Nozière et al. (2010), ^g (Surratt et al., 2007a), ^h Nestorowicz et al. (2018), ⁱ Yassine et al. (2012), ^j Wang et al. (2017), ^k Surratt et al. (2008), ¹ Huang et al. (2018), ^m Olson et al. (2011), ⁿ Hettiyadura et al. (2019).

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| 887 | Table 2. A summary of OS concentration (in ng m ⁻³) and its contribution to OM (OS/OM) in four seasons in 2015/2016 and |
|-----|---|
| 888 | 2018/2019. |

| Seeger | 2015/ | 2016 | 2018/2019 | | | |
|----------|-------------|---------------------|-----------------|---------------------|--|--|
| Season | OS | OS/OM | OS | OS/OM | | |
| All year | 65.5±77.5 | $0.57\% \pm 0.56\%$ | 59.4±79.7 | $0.66\% \pm 0.56\%$ | | |
| Spring | 51.1±24.4 | $0.34\% \pm 0.10\%$ | 51.5 ± 28.8 | $0.48\% \pm 0.15\%$ | | |
| Summer | 114.1±128.4 | $1.13\% \pm 0.78\%$ | 102.1±137.7 | $1.18\% \pm 0.81\%$ | | |
| Autumn | 38.2±21.7 | 0.36%±0.11% | 38.0±20.0 | $0.54\% \pm 0.24\%$ | | |
| Winter | 44.5±17.5 | $0.32\% \pm 0.12\%$ | 37.3±18.4 | $0.36\% \pm 0.13\%$ | | |



Figure 1. (a) Map of Shanghai. (b) Map of the sampling site on the Xuhui Campus of Shanghai Jiao Tong University in
 downtown at 31.201°N, 121.429°E. (c) Map of the PM_{2.5} sampling site, the meteorological station at Shanghai Hongqiao

894 international airport, the air quality monitoring station at Shanghai Normal University, and distances between them.



Figure 2. Time series of temperature, relative humidity (RH), wind speed, O₃, NO₂, SO₂, aerosol liquid water content (ALWC)
and liquid [H⁺], concentrations of particulate organic matter (OM), elemental carbon (EC), sulfate, nitrate, chloride,
ammonium, as well as the abundance of OS and its contribution to OM in 2015/2016 and 2018/2019 in Shanghai.



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2019) and (b) summertime (23-28 July 2019) pollution episodes in Shanghai. The detected compounds were classified into

six categories, i.e., CHO, CHON, CHOS, CHONS, quantified NOS, and quantified OS. The CHOS and CHONS categories

excluded quantified OS and NOS, respectively. (c) The Intensity fraction of different compound categories, as well as the

time series of OM, SO_4^{2-} , and OS concentrations during two pollution episodes in 2019. (d) (e) The nN umber of compounds

detected in each category during the pollution episodes in winter and summer, respectively.



Figure 4. The cConcentrations of different types of the quantified OS over the four seasons in 2015/2016 and 2018/2019.



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918Figure 5. The qQuantified OS concentrations as a function of (a) (b) the level of O_x ($O_x = O_3 + NO_2$) and (c)(d) aerosol liquid914water content (ALWC) in 2015/2016 and 2018/2019 except for summer. The circles are colored according to the aerosol pH,915and their size is linearly scaled with the SO4²⁻ concentration. The markers inside the triangle indicate the observations with916low Ox levels (<50 ppb). The measured OS concentrations within regular Ox or ALWC intervals (every ten unit-length) were</td>

917 averaged to more obviously demonstrate the trend (star symbols).



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Figure 6. The qQuantified OS concentrations as a function of the Ox level in the summer of (a) (c) 2015 and (b) (d) 2019. The color of the circles in (a) (b) indicates the aerosol pH, and their size is linearly scaled with the $SO_4^{2^2}$ concentration. The color of circles in (c) (d) indicates ambient temperature and their size is linearly scaled with the aerosol liquid water content (ALWC).