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**Interactive
comment**

Interactive comment on "Influence of Saharan dust on Atlantic tropical cyclones" *by* Zhenxi Zhang and Wen Zhou

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Q1: As a result, the different variables in MERRA2, including AOD, SST, LWP, and IWP used in this study, may not be linked physically.

Answer: Briefly, MERRA-2 is produced using the GEOS-5 atmospheric general circulation model (Gelaro et al., 2017) and data assimilation system version 5.12.4 (Rienecker et al. 2008; Molod et al. 2015) and the Three-dimensional Variational Data Analysis (3DVAR) Gridpoint Statistical Interpolation (GSI) meteorological analysis scheme (Wu et al. 2002; Kleist et al. 2009).

The variables in data assimilation system may not be linked physically while they are linked physically in the GEOS-5 atmospheric general circulation model. These physi-

cal linkages are presented through the physics package of the GEOS-5 atmospheric general circulation model, including four major groups of physical processes: moist processes, radiation, turbulent mixing, and surface processes (Rienecker et al. 2008). Each of these in turn is subdivided into various components. The radiation module includes longwave and shortwave radiation submodules. The turbulent mixing consists of the vertical diffusion, planetary boundary layer parameterization, and gravity wave drag. The surface processes provide surface fluxes obtained from land, ocean and sea ice models. To minimize the spurious periodic perturbations of the analysis, MERRA uses the Incremental Analysis Update (IAU) technique developed by Bloom et al. (1996). In MERRA-2 the concatenation of the IAU corrector segments of each analysis cycle is equivalent to a single, continuous run of the atmospheric general circulation model (Bosilovich et al., 2016). Budgets in MERRA-2 are thus identical to those in the free-running atmospheric general circulation model (Bosilovich et al., 2016). The MERRA-2 output includes a nearly full accounting for the budgets of atmospheric quantities: the mass of the atmosphere, the mass of water in vapor, liquid, and ice forms, kinetic energy, the virtual enthalpy, virtual potential temperature, aerosol, and the total mass of odd oxygen (Bosilovich et al., 2016).

The budgets of atmospheric quantities represent the physical relationship among the MERRA-2 output. The MERRA-2 output used in this study is related mainly to the budget of atmospheric water, total energy of the atmospheric column, and potential temperature.

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Q2: The different variables also have different sources of observations with different time/spatial coverages to be assimilated, which further impairs the physical relationship among them.

Answer: The research in our study is related mainly to the moist physics. Gelaro et al. (2017) introduce the analysis algorithm of moisture field in MERRA-2. The control variable for moisture used in MERRA-2 is the normalized pseudorelative humidity (Holm 2003) defined by the pseudorelative humidity scaled by its background error standard deviation. The normalized pseudorelative humidity has a near Gaussian error distribution, making it more suitable for the minimization procedure employed in the assimilation scheme. Also within the GSI, a tangent linear normal mode constraint

(Kleist et al. 2009) is applied during the minimization procedure to control noise and improve the overall use of observations. The background error statistics used in the GSI have been updated as well in MERRA-2, which exhibit generally smaller standard deviations for most variables compared with the MERRA system, but both larger and smaller correlation length scales depending on the variable, latitude, and vertical level.

Besides moisture field, other MERRA-2 fields, including the meteorological, radiation, ozone, and cryospheric fields, have been validated, that are detailed in Bosilovich et al. (2016).

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Q3: Take AOD for example, what are the observational constraints it has before the

Terra/Aqua Era (before 1999)?

Answer: The MERRA-2 aerosol analysis uses the Goddard Aerosol Assimilation System (GAAS) (Buchard et al., 2015, 2016), which assimilates quality-controlled AOD at 550 nm into the GEOS-5/GOCART modeling system. The AOD observations used in MERRA-2 includes AVHRR (1980 – August 2002), AERONET (Station dependent, 1999 – October 2014), MISR (February 2000 – June 2014), and MODIS (Terra: March 2000 – onwards; Aqua: August 2002 – onwards) (Randles et al., 2017).

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Q4: The manuscript's analyses largely rely on the 8-yr strongest and weakest AOD contrast during 1980-2019, but the AOD record during those forty years are of great uncertainty.

Answer: Because the AOD in MERRA-2 has different sources of observations with different time and spatial coverages to be assimilated, the progress of bias correlation is implemented in MERRA-2. The bias-corrected approach involves cloud screening and homogenization of the observing system by means of a neural net retrieval (NNR) that

translates cloud-cleared observed radiances into AERONET-calibrated AOD (Randles et al., 2017).

To reduce errors, analysis of AOD (τa) is performed using error covariances derived from innovation data using the maximum-likelihood method of Dee and da Silva (1999). The AOD analysis equation (Randles et al., 2017) is:

$$\tau a = \tau f + [H^*Pf^*HT/(H^*Pf^*HT+R)]^*(\tau o - H^*xf) \quad (1)$$

where the superscripts a, f, and o indicate the analysis, forecast (background), and observation, respectively. H is the linear observation operator that converts aerosol mass to AOD. The operators Pf and R are the background and observation error covariance matrices, respectively. x is aerosol mass mixing ratio. Forecast of AOD (τf) and aerosol mass mixing ratio (xf) is performed using the MERRA-2 modeling system relevant for the aerosol (GEOS-5 coupled to the GOCART aerosol module).

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Q5: LWP and IWP in MERRA2 are considered to have even larger uncertainty than AOD, largely owing to the crude convection parameterizations.

Answer: Since MERRA, the GEOS model has undergone changes to both its dynamical core and its physical parameterizations. For the convection parameterization, the

MERRA-2 model includes a Tokioka-type trigger on deep convection as part of the Relaxed Arakawa–Schubert convective parameterization scheme (Moorthi and Suárez 1992), which governs the lower limit on the allowable entrainment plumes (Bacmeister and Stephens 2011). Moreover, upgrades to the moist physical parameterization schemes in MERRA-2 model also include increased reevaporation of frozen precipitation and cloud condensate (Molod et al. 2015), which is helpful to reduce the uncertainty in the calculation of LWP and IWP. Cloud parameterization scheme in MERRA-2 model includes the source terms for cloud, anvil cloud, large-scale condensation, freezing and melting of cloud condensate, evaporation cloud, autoconversion of liquid and mixed phase cloud, sedimentation of ice cloud, and fallout and re-evaporation of precipitation and accretion of cloud condensate (Rienecker et al., 2008).

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Q6: If the authors want to verify and explain the relationships they obtained between

AOD and SST/TC/cloud properties, I strongly suggest them perform free-runs of a GCM, ideally the same with MERRA2 uses.

Answer: Please refer to the answer of question 1.

Here it is noted that MERRA-2 is produced with the GEOS atmospheric data assimilation system. The key components of the system includes the GEOS-5 atmospheric model (Rienecker et al. 2008; Molod et al. 2015), an atmospheric general circulation model. In MERRA-2 the concatenation of the IAU corrector segments of each analysis cycle is equivalent to a single, continuous run of the AGCM (Bosilovich et al., 2016). Budgets in MERRA-2 are thus identical to those in the free-running AGCM. The MERRA-2 output includes a nearly full accounting for the budgets of atmospheric quantities, including the mass of water (in vapor, liquid, and ice forms) and virtual potential temperature. Following the suggestion of referee, we are going to perform free-runs of a GCM, and its results will be considered as the supplement of this manuscript.

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Please also note the supplement to this comment:

https://acp.copernicus.org/preprints/acp-2020-761/acp-2020-761-AC1-supplement.pdf

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