

1 **Microphysical Properties of Three Types of Snow Clouds: Implication to Satellite**
2 **Snowfall Retrievals**

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21 Abstract

22

23 Ground-based radar and radiometer data observed during the 2017-18 winter
24 season over Pyeongchang area in the east coast of the Korean Peninsula were used to
25 simultaneously estimate both cloud liquid water path and snowfall rate for three types of
26 snowing clouds: near-surface, shallow and deep. Surveying all the observed data, it is
27 found that near-surface cloud is the most frequently observed cloud type with an area
28 fraction of over 60%, while deep cloud contributes the most in snowfall volume with about
29 50% of the total. The probability distributions of snowfall rates are clearly different among
30 the three types of clouds, with vast majority hardly reaching to 0.3 mm h^{-1} (liquid water
31 equivalent snowfall rate) for near-surface, 0.5 mm h^{-1} for shallow, and 1 mm h^{-1} for deep
32 clouds. However, liquid water path in the three types of clouds all has substantial
33 probability to reach 500 g m^{-2} . There is no clear correlation found between snowfall rate
34 and liquid water path for any of the cloud types. Based on all observed snow profiles,
35 brightness temperatures at Global Precipitation Measurement Microwave Imager channels
36 are simulated, and the ability of a Bayesian algorithm to retrieve snowfall rate is examined
37 using half the profiles as observations and the other half as *a priori* database. Under
38 idealized scenario, i.e., without considering the uncertainties caused by surface emissivity,
39 ice particle size distribution and particle shape, the study found that the correlation as
40 expressed by R^2 between the “retrieved” and “observed” snowfall rates is about 0.32, 0.41
41 and 0.62, respectively, for near-surface, shallow and deep snowing clouds over land surface;
42 these numbers basically indicate the upper limits capped by cloud natural variability, to
43 which the retrieval skill of a Bayesian retrieval algorithm can reach. A hypothetical
44 retrieval for the same clouds but over ocean is also studied, and a major improvement in
45 skills is found for near-surface clouds with R^2 increased from 0.32 to 0.52, while smaller
46 improvement is found for shallow and deep clouds. This study provides a general picture
47 of the microphysical characteristics of the different types of snowing clouds and points out
48 the associated challenges in retrieving their snowfall rate from passive microwave
49 observations.

50

51 1. Introduction

52

53 Snowfall is an important component in the global hydrological cycle. Its global
54 distribution may be observed using satellite-based passive and active microwave sensors.
55 Currently, there are multiple satellites in operation carrying passive microwave sensors that
56 are potentially able to be used for snowfall observations, which offers great spatial and
57 temporal coverages for various snowfall related studies. Meanwhile, while only a few
58 spaceborne active sensors are currently available for snowfall observations, they have the
59 advantage of providing information on the vertical structure of precipitation. Nevertheless,
60 whether active or passive sensors are used, in order to convert the observed radiative
61 signatures (brightness temperature or radar reflectivity) to snowfall rate, two factors related
62 to the snowing clouds play an essential role: one is the vertical extent of the cloud layer
63 and the other is the cloud microphysical properties such as particles' phase and amount.
64 Using ground-based observations from multiple sensors, in this study we intend to
65 understand these properties for three distinctive types of snowing clouds. By performing
66 radiative transfer simulations, we further investigate the implication of the variability in
67 microphysical properties to satellite snowfall retrievals from passive microwave
68 observations.

69 Snowfall retrieval has been investigated recently for both active and passive
70 satellite measurements. The cloud radar onboard CloudSat satellite (Stephens et al., 2002;
71 Tanelli et al., 2008) is the first spaceborne active sensor in operation that is suitable for
72 snowfall observations. It has a minimum detectability of near -30 dBZ near the ground,
73 allowing to observe the weak scattering signal from snowflakes. Kulie et al. (2016) used
74 CloudSat cloud classification and snowfall rate retrievals to partition snowfall observations
75 into shallow cumuliform and deep nimbostratus snowfall categories. Their results show
76 that there are abundant shallow snow cloud cells globally and they can be associated with
77 strong convection and heavy snowfall. For example, they found that shallow snowfall
78 comprises about 36% in the 2006–10 CloudSat snowfall dataset by occurrence, while
79 constituting some 18% of the estimated annual global snowfall accumulation. Shallow
80 precipitation can be easily missed by space-borne radars. Although CloudSat radar
81 provides information on the vertical structure of precipitation, there is a blind zone below

82 about 1.5 km due to ground clutter contamination. In most analysis, the lowest range bin
83 (bin depth is ~240 m) where radar data are not contaminated by surface clutter is often the
84 third (fifth) above the actual surface over oceanic (land) surfaces (Wood et al., 2013; Kulie
85 and Bennartz, 2009; Liu, 2008a; Marchand et al., 2008). Hudak et al.(2008) studied the
86 ability of CloudSat radar to detect precipitation in cold season clouds using data from a C
87 band weather radar at King City, Ontario. They found that the most frequent cause of a
88 miss in detection by CloudSat radar was due to ground clutter removal of valid echoes by
89 the algorithm. Similarly, Chen et al. (2016) compared snowfall estimates from CloudSat
90 radar (Wood et al., 2013) and ground radar derived Multi-Radar and Multi-Sensor (MRMS)
91 product (Zhang et al., 2016), and found that the lowest height with valid estimate for most
92 (99.41%) snowfall events in CloudSat product is over 1 km above surface, whereas it for
93 76.41% of the corresponding MRMS observations is below 1 km.

94 Using satellite passive microwave observations at high frequency channels,
95 snowfall may be retrieved due to the scattering of upwelling radiation by snowflakes
96 (Katsumata et al., 2000; Bennartz and Bauer, 2003; Skofronick-Jackson and Johnson, 2011;
97 Gong and Wu, 2017). Retrieval algorithms have been developed both in research mode
98 (Kim et al., 2008; Kongoli et al., 2015; Liu and Seo, 2013; Noh et al., 2006; Skofronick-
99 Jackson et al., 2004) and for operations (Kummerow et al., 2015; Meng et al., 2017).
100 Skofronick-Jackson et al. (2004) and Kim et al. (2008) developed physically-based
101 retrieval algorithms which seek the best match between radiative transfer model simulated
102 and satellite observed brightness temperatures. The Liu and Seo (2013) and Kongoli et al.
103 (2015) algorithms are mostly statistical in which many pairs of radar and/or gauge-
104 measured snowfall and satellite measured brightness temperatures are used to develop their
105 statistical relations. The Noh et al. (2006) and Kummerow et al. (2015) snowfall algorithms
106 are based on the Bayesian theorem; an *a priori* database linking snowfall and brightness
107 temperatures needs to be prepared before conducting retrievals. The snowfall rates in a
108 Bayesian algorithm database are often retrievals from radars and the brightness
109 temperatures are either those collocated measurements of passive microwave radiometers
110 or simulated by radiative transfer models. The Meng et al. (2017) algorithm uses a one-
111 dimensional variational method to seek the consistency between measured brightness
112 temperatures and microphysical properties in the atmospheric column. Its performance has

113 been verified by surface radar and gauge observations over the U.S. with satisfactory
114 results.

115 Although the above successes have been achieved by previous investigators, there
116 are still large discrepancies among different snowfall retrievals (Casella et al., 2017;
117 Skofronick-Jackson et al., 2017; Tang et al., 2017). Algorithm uncertainty arises from
118 many factors; one of them is the insufficient knowledge of microphysical properties of the
119 snowing clouds, in particular, the amount of cloud liquid water. The increase in brightness
120 temperature over cloudy skies due to liquid water emission in snowing clouds complicates
121 the snowfall detection and retrieval problems (Liu and Curry, 1997; Liu and Seo, 2013;
122 Wang et al., 2013). Wang et al. (2013) showed that the warming by liquid water emission
123 has a similar magnitude to the cooling by ice scattering on microwave brightness
124 temperatures at frequencies higher than 80 GHz. Liu and Seo (2013) discovered a warming
125 rather than cooling signal in high-frequency brightness temperature in most snowfall cases
126 they analyzed.

127 In addition, correctly simulating brightness temperatures is needed for physical
128 snowfall retrievals as well as data assimilation of radiance observations in numerical
129 weather prediction models. Yin and Liu (2019) has studied the bias characteristics of
130 observed minus simulated brightness temperatures at high frequency channels of Global
131 Precipitation Measurement Microwave Imager (GPM/GMI) under snowfall conditions. In
132 their study, a radiative transfer model that includes single-scattering properties of non-
133 spherical snow particles is used to simulate brightness temperatures at 89 through 183 GHz.
134 The input snow water content profiles are derived from CloudSat radar measurements. The
135 results show that the discrepancy between simulated and observed brightness temperatures
136 is the greatest for very shallow (cloud top around 2 km) or very deep (cloud top around 8
137 km) snowing clouds with discrepancy value being over 10 K in the former and over 30 K
138 in the latter case, although it is generally less than 3 K when averaged over all selected
139 pixels under snowfall conditions. They explained the results as follows. For very shallow
140 snowing clouds, cloud liquid water may be rich and contributes substantially to the
141 observed brightness temperatures. However, the radiative transfer model, which uses
142 CloudSat radar and GMI retrievals as input, failed to account for this liquid water
143 abundance, resulting in a large discrepancy between simulated and observed brightness

144 temperatures. For very deep snowing clouds, they hypothesized that CloudSat radar
145 experiences substantial attenuation as well as non-Rayleigh scattering, which leads to
146 higher simulated brightness temperatures than observed. A better understanding of the
147 microphysical properties in very shallow and very deep snowing clouds is clearly needed
148 to reduce the discrepancies between simulated and observed brightness temperatures.

149 A field experiment was conducted over the Korean Peninsula during the winter of
150 2017-2018, coinciding with the 2018 winter Olympic Games (ICE-POP 2018:
151 International Collaborative Experiments for PyeongChang 2018 Olympic and Paralympic
152 Winter Games). The experiment focuses on the measurement, physics, and improved
153 prediction of heavy orographic snow in the Pyeongchang region of South Korea (Gehring
154 et al., 2020). During the field experiment, many ground-based observations including radar,
155 radiometer and *in situ* observations were conducted. In this study, we analyze the vertical
156 structure and microphysical properties of these snowing clouds, with focus on their
157 potential impacts on satellite remote sensing of snow precipitation. The main objective of
158 the study is to gain better understanding of the characteristics of snowing clouds that are
159 critical to satellite remote sensing of snowfall. Furthermore, we examine how a Bayesian
160 snowfall retrieval algorithm with GPM/GMI observations would perform for the snowing
161 clouds observed during this field experiment.

162

163 2. Data and Methods

164

165 2.1 Ground-based Cloud Radar and Radiometer

166 Observations from the Radiometer Physics GmbH-Frequency Modulated Continuous
167 Wave 94 GHz cloud radar (RPG-FMCW, 2015) are the primary data source for this study.
168 This vertical pointing radar is installed at 37.66°N, 128.70°E (altitude 735 m above sea
169 level) over Korean Peninsula during the ICE-POP 2018 field campaign. It has an operation
170 frequency of 94 GHz for radar backscatter and Doppler spectrum measurement and an
171 embedded 89 GHz passive channel for liquid water path measurement. It is noted that while
172 we refer this instrument as a cloud radar for convenience, it indeed includes an independent
173 passive microwave channel at 89 GHz, which is used for cloud liquid water estimation.
174 There is clearly an advantage of this instrument in studying the composition of cloud liquid

175 and ice over those that measure radar reflectivity and brightness temperature by two
176 separate instruments because this instrument measures emission and scattering signatures
177 from the same cloud volume, therefore, avoids beam mismatching problem by a separated
178 radar and radiometer. The vertical resolution of radar reflectivity measurement is selectable
179 from 1, 5, 10, or 30 m, with overall radar calibration accuracy better than 0.4 dB. The
180 minimum detectable radar reflectivity depends on the range and vertical resolution; at its
181 typical operation mode of 30 m resolution, it is -36 dBZ at 10 km height, which is
182 sufficiently sensitive for snowfall detection. In addition to radar reflectivity, the RPG-
183 FMCW also measures Doppler spectrum with a Doppler velocity resolution of 1.5 cm s⁻¹.
184 A detailed explanation of the calibration of this instrument can be found in K uchler et al.
185 (2017).

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187 2.2 Retrieved Microphysical Variables

188

189 In this study, the radar reflectivity Z_e is converted to snow water content (SWC)
190 and snowfall rate (S) using the Z_e -SWC relation of Yin and Liu (2017) and Z_e -S relation
191 of Liu (2008a). Before performing these conversions, radar reflectivity was corrected for
192 attenuation due to absorption by atmospheric gases and cloud liquid water, and scattering
193 by ice particles. Absorption by atmospheric gases is calculated based on Rosenkranz (1998)
194 for water vapor and Schwartz (1998) for oxygen with input of geophysical parameters
195 interpolated from the Modern Era Reanalysis for Research and Applications Version-2
196 (MERRA-2) (Gelaro et al., 2017). Absorption by cloud liquid water is computed using
197 liquid water path derived by the method described later in this section and assuming cloud
198 liquid water uniformly distributed vertically in the radar echo layer. Refractive index of
199 liquid water is calculated based on Liebe et al. (1993). Attenuation due to ice scattering
200 was readily performed by manufacture-provided processing software (RPG-FMCW, 2015).

201 The Yin and Liu (2017) Z_e -SWC relation is given by

$$202 \quad \quad \quad SWC = 0.024Z_e^{0.75}, \quad (1)$$

203 where SWC is in g m⁻³ and Z_e is in mm⁶ m⁻³. In developing the above equation, three snow
204 particle types are employed: sectors, dendrites (Liu, 2008b), and oblate aggregates
205 (Honeyager et al., 2016). The backscatter cross sections of the three snowflake types are

206 computed using discrete dipole approximation (DDA) (Draine and Flatau, 1994; Liu, 2004).
207 It should be mentioned that although Eq.(1) is developed for CloudSat radar which has the
208 same frequency as the RPG-FMCW radar, uncertainties in particle shapes and size
209 distributions will certainly cause errors in snow water content derived in this study.

210 The Liu (2008a) S- Z_e relation is given by

$$211 \quad Z_e = 11.5S^{1.25}, \quad (2)$$

212 where S is in mm h⁻¹ (liquid water equivalent snowfall rate) and Z_e is in mm⁶ m⁻³. The
213 backscatter cross sections in Eq.(2) are computed for rosettes, sectors and dendrites using
214 DDA (Liu, 2008b).

215 In addition to radar reflectivity, the mean Doppler velocity and spectral width, the
216 RPG-FMCW also measures brightness temperature at 89 GHz. While there is a liquid water
217 path (LWP) variable produced by the manufacture-provided software, details about the
218 liquid water path retrieval algorithm and its accuracy have not been well documented. In
219 this study, we chose to adapt the algorithm of Liu and Takeda (1988) in computing liquid
220 water path from 89 GHz brightness temperatures. Briefly, the brightness temperature T_B
221 received by an up-looking radiometer can be divided into two portions, i.e., the cloud-free
222 atmospheric emission and the liquid cloud water emission. The emissivity of the liquid
223 water cloud ϵ_c may then be approximated by

$$224 \quad \epsilon_c = \frac{T_a(T_B - T_{Ba})}{T_c(T_a - T_{Ba})}, \quad (3)$$

225 where T_a is a radiatively-mean temperature of the atmosphere in Kelvin, which can be
226 evaluated by absorption-coefficient-weighted averaging atmospheric temperatures in
227 vertical. Its value roughly equals to the temperature around 1.5 km altitude. T_c is the mean
228 temperature of the cloud layer, which is determined in this study by the air temperature at
229 the height of the geometric middle of valid radar reflectivity profiles. T_{Ba} is the brightness
230 temperature from the liquid-free atmosphere, which is derived using interpolation between
231 measured T_{BS} at echo-free regions in this study. From ϵ_c calculated from (3), liquid water
232 path (LWP) can be derived by

233
$$\text{LWP} = \frac{\lambda \rho_L}{6\pi \Im \left\{ \frac{m^2 - 1}{m^2 + 2} \right\}} \ln (1 - \varepsilon_c), \quad (4)$$

234 where m is the refractive index of water at temperature T_c , λ is wavelength, ρ_L is liquid
 235 water density (1000 kg m^{-3}) and $\Im\{ \}$ indicates taking the imaginary part. In this study, the
 236 refractive index of liquid water is calculated based on Liebe et al. (1993). It should be
 237 cautioned that the refractive index at high microwave frequencies may not be very accurate
 238 for supercooled liquid water as pointed by Kneifel et al. (2014), which can result in errors
 239 in the liquid water path estimation. Another error in the liquid water path estimation can
 240 be caused by the omission of the reflection by snow particles to the upwelling radiation
 241 originated from surface emission in the retrieval algorithm. Based on estimation by Kneifel
 242 et al. (2010), this reflection can enhance downward radiation by 5 K at 89 GHz where
 243 heavy snow cloud occurs. The formulation of the current liquid water path retrieval
 244 algorithm has the advantage of using cloud-free observations (T_{Ba} in Eq.3) as background
 245 to calculate cloud emissivity, which is particularly useful when water vapor observations
 246 are lacking. However, the drawback is that it cannot include the contribution by ice
 247 scattering.

248 In Fig.1 shown is an example of the liquid water path retrieved in this study together
 249 with radar reflectivity cross sections and liquid water path retrieval from the manufacture-
 250 provided algorithm. It is seen that in cloud-free regions our liquid water path retrievals are
 251 close to zero, while the manufacture-provided retrievals have a positive bias of about 30 g
 252 m^{-2} . In cloudy regions, the two liquid water path values compare much closer to each other.
 253 Based on this comparison, we believe that the liquid water path values retrieved in this
 254 study are more reasonable. Therefore, our retrievals will be used in the following analysis.

255 2.3 Snowing Cloud Detection

256 All snow events have been identified from the RPG-FMCW observations during 1
 257 November 2017 through 30 April 2018 (6 months). To separate snow and rain at surface,
 258 the scheme of Sims and Liu (2015) is implemented. In their study, the effects of multiple
 259 geophysical parameters on precipitation phase were investigated using global surface-
 260 based observations over multiple years. They showed that wet-bulb temperature is a key
 261 parameter for separating solid and liquid precipitation and the low-level temperature lapse

262 rate also affects the precipitation phase. Geophysical parameters from MERRA-2
263 reanalysis (Gelaro et al., 2017) were used in this study as input to the Sims and Liu (2015)
264 scheme. In addition, we use the near-surface reflectivity higher than -20 dBZ as the
265 criterion for snowfall detection; all radar data analyzed for snowing clouds in the following
266 sections have a near-surface radar reflectivity greater than -20 dBZ. In a study by Wang et
267 al. (2017) based on CloudSat radar reflectivity profiles, they found that precipitation onset
268 often occurs when radar reflectivity is about -18 to -13 dBZ. We use the value of -20 dBZ
269 as criterion in this study to make sure that all possible snowfall cases are included in the
270 precipitation samples.

271 Cloud top height is used for the determination of cloud types. As shown in Fig.2,
272 radar reflectivity above cloud top is often noisy as shown between 11 and 16 UTC.
273 Therefore, it is often problematic to determine cloud top height by simply using a radar
274 reflectivity threshold. However, we found that Doppler spectral width is a reliable indicator
275 to identify clouds as shown in the bottom panel in Fig.2. Using visual examination of this
276 and some other cases, we found that Doppler spectral width commonly reduces to less than
277 0.1 m s^{-1} above cloud top. In Fig. 2, we show in the upper panel the cloud top height in the
278 black solid line as determined by the criterion of the spectral width $>0.1 \text{ m s}^{-1}$ for snowing
279 clouds with near-surface radar reflectivity greater than -20 dBZ. It appears that the
280 criterion well captures the cloud tops.

281 2.4 Other Ancillary Data

282 While quantitative analysis was not conducted, data collected at the same location by
283 PARTicle SIze VELOCITY (PARSIVEL; Löffler-Mang and Joss, 2000; Battaglia et al., 2010;
284 Tokay et al., 2014), 2-Dimensional Video Distrometer (2DVD; Kruger and Krajewski,
285 2002), and Multi-Angle Snowflake Camera (MASC; Garrett et al., 2012; Grazioli et al.,
286 2017) are used for confirmation of precipitation phase and particle types. A PARSIVEL is
287 an optical disdrometer which uses a 54 cm^2 laser beam in the wavelength of 650 nm. It
288 measures the size and fall velocity of individual precipitation particles with diameter
289 ranging from 0.2 mm to 25 mm for solid particles. An autonomous PARSIVEL unit (Chen
290 et al., 2017) from NASA was collocated with the RPG-FMCW cloud radar during the field
291 campaign. A collocated 2DVD provides detailed information on size, fall velocity, and

292 shape of individual hydrometeors with two orthogonal fast line-scan cameras. The camera
293 provides images of particles which are matched for individual particles. The matched
294 individual particles are then corrected for shape distortion. In addition, detail images of
295 particles are provided from MASC that is composed of three cameras separated
296 horizontally by an angle of 36 degrees and simultaneously takes high-resolution (35 μm
297 per pixel) photographs of free-falling hydrometeors. Hydrometeor classification algorithm
298 based on the supervised machine learning technique (Praz et al., 2017) is applied to the
299 individual images of particles. This procedure identified the precipitation type (small
300 particles, columnar crystals, planer crystals, combination of columnar and plate crystals,
301 aggregates, and graupel) and the degree of riming.

302 2.5 Dividing Snowing Clouds to Three Types

303 There are several synoptic weather patterns that cause snowfall over the Pyeongchang
304 area. The first pattern is a synoptic low pressure system, so-called “cold low”, developed
305 over the Yellow sea (west of Korea) or cold continent and causes the snowfall over the
306 northern or middle part of Korea when moving to east (Chung et al. 2006; Ko et al. 2016;
307 Park et al. 2019). As this system crosses the Korean peninsula, the system become weaker
308 and shallower once moving over the Pyeongchang area. The precipitation intensity and
309 depth of system depend on the strength of low pressure. The second synoptic pattern,
310 “warm low,” develops over the warm ocean near East China sea or South sea and moves
311 to north-east or east (Nam et al. 2014; Gehring et al 2020). This synoptic pattern brings
312 abundant moisture to Korean Peninsula and is typically favored for vertically well-
313 developed precipitation system. As the warm low pressure passes the Korean Peninsula
314 and East sea, the winds over the Pyeongchang area and East sea turns to easterly or north-
315 easterly, bringing in cold air to the east coastal area. Thus, we expect that the depth of
316 precipitation system is likely first deep with large moisture and later becomes shallower as
317 influenced by north-easterly cold air. The third interesting pattern, so-called “air-sea
318 interaction”, is developed by the easterly or north-easterly flow due to the Kaema high over
319 the northern mountain complex or high pressure over Manchuria by the eastward expansion
320 of the Siberian high (Kim and Jin 2016; Kim et al 2019). Thus, the cold north-easterly or
321 easterly flow enhances the interaction with warm moisture ocean, resulting in the
322 development of shallow convection and thermal inversion in the lower troposphere. The

323 shallow convective clouds move to the coastal and mountain area where they are lifted by
324 the orography.

325 An example of radar reflectivity cross section is shown in Fig.1 where deeper clouds
326 lead to shallower convective cells. This is the case of the second synoptic type, warm low.
327 During the passage of the warm low, the system reached to 9 km. However, the
328 precipitation system is shallower than 1 km during easterly or north-easterly flow when the
329 warm low pressure passed the East sea. In consideration of the implications to satellite
330 snowfall remote sensing, we group the snowing clouds into three types: deep, shallow and
331 near-surface. The “deep” snowing clouds are those with cloud top higher than 4 km, which
332 are considered to be easily detected by both space-borne radars and radiometers at high
333 microwave frequencies. They are mostly generated by large-scale lifting of frontal systems.
334 We define the “shallow” snowing clouds as those with cloud top between 1.5 and 4 km.
335 Large part of the snowing clouds in this group are associated with convective cells in
336 unstable airmasses after the passing of fronts. These are the group that space-radars and
337 radiometers may sometimes have difficulties to detect because of their shallowness and
338 liquid-water rich. The “near-surface” group is defined as those having cloud top lower than
339 1.5 km. Similar to the case of shallow snow clouds, the near-surface snow clouds also
340 mostly occur after low pressure passing or during north-easterly/easterly flow, and are
341 convective in nature. Because of their shallowness, this group of snowing clouds will likely
342 be hidden within ground-clutters for space-radars. Ground-based observations have the
343 advantage to detect them from bottom up.

344 In Fig.1, examples are shown for the three snowing cloud types, together with liquid
345 water path retrieved from RPG-FMCW observations using algorithms described in section
346 2.2. In this case, the largest value of liquid water path was seen in the transition from
347 shallow to near-surface snowing clouds near 12 UTC, while the strongest radar reflectivity
348 values (i.e., the heaviest snowfall) occurred in the deep snowing cloud between 01 to 05
349 UTC on 24 December 2017.

350 Surveying all observed data for the entire winter, approximately 374 hours of
351 observations are deemed as snowfall events after we apply the -20 dBZ threshold at the
352 lowest bin and the Sims and Liu (2015) algorithm to exclude rain events. These

353 observations are then averaged over each 5-minute interval to form 4491 samples. The
354 relative frequencies of occurrence (area fraction, calculated by the number of samples of a
355 given snow type divided by the total number of snowfall samples) and snowfall amount
356 (volume fraction, calculated by the snowfall amount produced by a given snow type
357 divided by the total snowfall amount by all types) for the three types of snowing clouds are
358 shown in Fig.3. The snowfall volume is the accumulated snowfall with the rate estimated
359 by Eq.(2) from radar reflectivity at the lowest bin. Over half (67.4%) of the observed
360 samples are near-surface snowfall, followed by shallow (21.2%) and then deep (11.4%)
361 snowing clouds. However, deep snowing clouds contribute the most to the total snowfall
362 volume (45.3%), followed by shallow (28.5%) and then near-surface (26.2%) snowing
363 clouds. Pettersen et al. (2020) analyzed snowing clouds observed by a micro rain radar at
364 Marquette, Michigan for 4 winter seasons. Snow clouds are divided into shallow (top
365 height lower than 1.5 km) and deep events. They found that shallow clouds occur 2 times
366 as often as deep clouds while both types contribute almost equally to annual snowfall
367 accumulation. Those statistics are very similar to the results obtained in this study for
368 snowfall events observed at Pyeongchang, Korea. Kulie et al. (2016) found that globally
369 shallow snow clouds can be associated with strong convections and heavy snowfall. The
370 snowfall rates for shallow and near-surface snow clouds observed in this study are mostly
371 lower than 0.5 mm h^{-1} ; heavy snowfall is mainly associated with deep snow clouds. One
372 possible explanation of the difference is as follows. The snowfall from shallow and near-
373 surface snow clouds in this study mostly comes from convections associated with cold
374 airmass outbreak from the northwest. Since the observation site is in the mountains in the
375 east coastal region of the Korean Peninsula, substantial portion of the moisture picked up
376 by the cold air from the warm ocean in the Yellow Sea (west of the Korean Peninsula) has
377 been already transformed to snow before reaching the observation site. In addition, the
378 convective clouds and easterly flow can cross the mountains and produce heavy snowfall
379 over the site in the case of strong winds and lower thermal stability. However, these types
380 of events occurred relatively infrequently during the experiment when compared to the
381 other snowfall types. Consequently, the snowfall associated with shallow and near-surface
382 clouds at this site is relatively moderate.

383

384 3. Microphysical Properties of Snowing Clouds

385

386 3.1 Case Examples

387 (a) Deep and “dry” followed by near-surface snowing clouds

388 From 7 to 8 March 2018, a low-pressure system passed the south of the Korea
389 Peninsula, and solid precipitation was observed at the radar site from 09 UTC on the 7th
390 through 24 UTC on the 8th. In Fig.4 shown are cross section of radar reflectivity and time
391 variation of liquid water path and snow water path (SWP, vertically integrated snow water
392 content). Surface PARSIVEL and 2DVD observations indicated that snow particle types
393 are mostly snowflakes from 09 UTC on the 7th to 06 UTC on the 8th, while rimed ice
394 particles and graupels are also observed then after. The radar and radiometer observations
395 indicate that the deep clouds have cloud top higher than 8 km and peak snow water path
396 value about 500 g m⁻². However, liquid water in the deep clouds is low, with liquid water
397 path constantly below 150 g m⁻². Once the deep clouds pass the station, the clouds became
398 much shallower, mostly being classified as near-surface snowing clouds. However, their
399 liquid water path increased substantially with peak values close to 600 g m⁻², which is
400 consistent with the observed rimed ice particles and graupels during this period.

401 (b) Deep and “wet” followed by shallow snowing clouds

402 On 28 February 2018, deep snowing clouds associated with a low-pressure system
403 were observed at the radar site, followed by shallow snowing clouds that lasted till 03 UTC
404 on March 1. Radar reflectivity, liquid water path and snow water path are shown in Fig.5.
405 Surface PARSIVEL observations indicated melting snow with surface air temperature near
406 0°C before 04 UTC on February 28, which may have contributed the liquid water path peak
407 around 04 UTC. Heavy snowfall was observed from 04 to 14 UTC on 28 February;
408 snowflakes observed at surface are large aggregates and show indications of riming
409 occurred. Liquid water path was high for both the deep and shallow clouds with peaks
410 higher than 400 g m⁻² even without including the portion of melting snow before 04 UTC
411 on the 28th. Rimed snow particles were observed at surface corresponding to the shallow
412 snow cell based on 2DVD and MASC data.

413 3.2 Liquid versus Ice in Snowing Clouds

414 During the 6-month period, a total of 374 hours of snow precipitation have been
415 observed by the RPG-FMCW. The frequency distributions of 5-minute averaged surface
416 snowfall rate and liquid water path are shown in Fig.6 with both surface snowfall rate and
417 liquid water path in logarithm scale. On average, deeper clouds generate heavier snowfall;
418 near-surface and shallow snowing clouds produce snowfall rarely heavier than 0.5 mm h^{-1} ,
419 while snowfall rate in deep snowing clouds reaches over 1 mm h^{-1} . Higher values of
420 cloud liquid water path are also more likely observed in deeper clouds. However, the
421 likelihood of a substantial amount of liquid water in shallower clouds is also high. For
422 example, for the liquid water path range of $100\sim 250 \text{ g m}^{-2}$ the frequency values are still
423 reaching about 10% for near-surface and shallow snowing clouds. On the upper limit,
424 liquid water path in all clouds only occasionally exceeds 500 g m^{-2} .

425 In Fig.7, we show the scatterplot of surface snowfall rate versus liquid water path
426 averaged over a 5-minute period. As indicated in case studies earlier, the two variables
427 hardly vary in a correlated fashion, neither positively nor negatively. For deep snowing
428 clouds, the heaviest snowfall corresponds to a liquid water path of about 200 g m^{-2} , while
429 further increasing in liquid water path does not seem to enhance surface snowfall. For
430 shallow and near-surface snowing clouds, the snowfall rate is confined between 0 to 0.6
431 mm h^{-1} while liquid water path stretches from 0 to 600 g m^{-2} without coherent variation
432 between liquid water path and surface snowfall rate. Additionally, unlike heavy snowfall
433 preferably occurring in deep snowing clouds, large values of liquid water path (say > 300
434 g m^{-2}) are almost equally probable to be found in near-surface, shallow and in deep snowing
435 clouds.

436 The mean state and its variability of cloud liquid water are also examined in the 2-
437 dimensional space of near surface radar reflectivity and cloud top height, as shown in Fig.8.
438 In this figure, the mean values of (a) the number of occurrences, (b) liquid water path, and
439 (c) standard deviation of liquid water path in each 2 dBZ by 500 m grid are shown based
440 on the 5-minute averaged data. The number of occurrences diagram indicates that heavier
441 snowfall (stronger radar reflectivity) tends to have a higher cloud top for cases with near
442 surface radar reflectivity greater than 0 dBZ although this tendency is not clear for cases
443 with lower values of near surface radar reflectivity. The diagrams for mean and standard

444 deviation of liquid water path shown in Figs.8b and 8c appear to indicate the following.
445 For deep snow clouds (top higher than 4 km) with surface radar reflectivity greater than 6
446 dBZ, liquid water path has a large mean value but a small standard deviation. On the other
447 hand, shallow snow clouds (top between 1.5 and 4 km) with moderate surface radar
448 reflectivity (0-5 dBZ) have a moderate mean value but a high variability of liquid water
449 path. There is an area with high mean value and high variability of liquid water path located
450 at surface radar reflectivity between -10 and 0 dBZ and cloud top height between 4 and 6
451 km, possibly corresponding to convective cells in early developing stage. For near-surface
452 and shallow clouds, both the mean value and standard deviation of liquid water path appear
453 to increase as surface radar reflectivity increases.

454 To express the “dryness” of the snowing clouds, one may use the glaciation ratio
455 (GR) defined as (Liu and Takeda, 1988):

$$456 \quad GR = \frac{SWP}{LWP+SWP} \times 100\% . \quad (5)$$

457 The GR parameter indicates the fraction of total condensed water in the column that has
458 been converted to solid phase. In Fig.9, we show how the GR values are related to (a) cloud
459 top height, (b) surface snowfall rate and (c) cloud mean temperature (temperature at the
460 geometrical middle of a reflectivity profile). Generally speaking, clouds with higher tops,
461 associated with higher snowfall rate or with colder mean temperature tend to have higher
462 degrees of glaciation, although the scatters are extremely large. For example, for a shallow
463 snowing cloud with 0.2 mm h^{-1} snowfall rate, its glaciation ratio can be any value from
464 near 0 to about 100%, probably depending on the development stage of individual cells. In
465 Fig.9a, there is a concentration of points with high cloud top ($>5 \text{ km}$) but glaciation ratio
466 between 50% and 75% rather than 100%. It is likely that the phenomena are caused by
467 clouds that have multiple layers or a cloud layer with dynamically decoupled upper and
468 lower portions. Corresponding to the clouds with their heaviest snowfall rate, deep snowing
469 clouds have a glaciation ratio of about 60% while shallow and near-surface snowing clouds
470 only have their glaciation ratio less than 20%, which adds extra difficulties for detecting
471 snow in these types of clouds by passive microwave observations. There is loosely a trend
472 that clouds with a lower mean temperature have a higher degree of glaciation. For near-

473 surface snowing clouds, this trend is less clear with their glaciation degree hardly over 50%.
474 Using data observed over Greenland, Pettersen et al. (2018) found that snowfall events for
475 frontal deep clouds are often ice clouds with little liquid water while shallower clouds are
476 typically mixed-phase clouds and contain plenty of supercooled liquid water. Their low
477 glaciation rate for shallower clouds is similar to the result of this study.

478 3.3 Vertical Structures

479 The mean vertical structure of the snowing clouds may be expressed by contoured
480 frequency by altitude diagrams (CFADs; Yuter and Houze, 1995) of radar reflectivity,
481 mean Doppler velocity, and Doppler spectral width, as shown in Fig. 10. For deep snowing
482 clouds, the radar reflectivity CFADs show a relatively narrow spread with a sharp radar
483 reflectivity decreases with the increase of altitude above 4 km (“left-tilting” structure),
484 implying that most of the precipitation growth occurs above 4 km. For shallow clouds, the
485 “left-tilting” structure starts from near surface and the frequency has broader distribution
486 at each level. In contrast, the near-surface snowing clouds do not show such “left-tilting”
487 structure, but rather have a broad distribution below their cloud top height, indicating that
488 the precipitation maximum does not necessarily situate near the surface in these profiles.
489 We interpret that the broad distribution of frequencies at each level is likely due to the
490 convective nature of these clouds, so that the precipitation profile is largely determined by
491 the development stage of the clouds. For example, developing clouds have their
492 precipitation maximum in the upper portion while matured clouds have their precipitation
493 maximum in the lower portion in the vertical profiles.

494 For mean Doppler velocity, the most likely values are around -1 m s^{-1} (the negative
495 sign indicates downward movement), corresponding to the terminal velocity of unrimed to
496 moderately rimed aggregates (Locatelli and Hobbs, 1974). There is a tendency that
497 particles in upper levels fall somewhat slower than those in the lower levels. The Doppler
498 spectral width indicates that particles in the upper levels have a narrower spectrum.
499 Combining the vertical profiles of mean Doppler velocity and spectral width, it is
500 concluded that ice particles at upper levels have a narrower size distribution and lower
501 terminal velocity. It is also interesting to notice that there seems to be a regime shift for
502 deep snow clouds near 4 km altitude; the frequency patterns appear to be different below

503 and above this level for all the CFADs of radar reflectivity, Doppler velocity and spectrum
504 width. Additionally, the slope of reflectivity suddenly changes around 8 km and the
505 absolute value of Doppler velocity reduced dramatically below 8 km. A similar feature
506 also appeared in the long-term observation with cloud radar (see Figs. 16 and 17 of Ye et
507 al. 2020). The shift of growth regime was appeared at 8 km height (3~3.5 km above the
508 bright band peak and corresponding to $\sim -17^{\circ}\text{C}$). This regime shift induced the updraft
509 (reached 1 m s^{-1}) below this layer. However, Ye et al. (2020) could not explain the linkage
510 between this regime shift and updraft below. While it is beyond the scope of this study,
511 this phenomenon will be an interesting topic for future research on the cloud microphysics
512 in this region.

513

514 4. Implications to Passive Microwave Remote Sensing

515

516 To understand how the microphysical properties in snowing clouds impact on
517 passive microwave remote sensing, a radiative transfer model simulation at GPM/GMI
518 channels has been conducted using the measured liquid and snow water quantities as a
519 guidance for the model input. The radiative transfer model developed by Liu (1998) has
520 been used in this simulation, which uses a four-stream discrete ordinates method to solve
521 the radiative transfer equation. For snow particles, the single-scattering properties
522 calculated by discrete dipole approximation for sector type snowflakes (Liu, 2008b) are
523 used. Based on studies of Geer and Baordo (2014), the single-scattering properties for the
524 sector type snowflakes work reasonably well in radiative transfer simulations for middle
525 latitude snowstorms. Since the emphasis of this study is to assess the impact of cloud
526 microphysics on satellite remote sensing, the variability of surface emissivity is not
527 considered. In all the following simulations, we assign an emissivity of 0.9 for land surface
528 for all GMI channels and a 5 m s^{-1} wind speed over ocean to compute surface emissivity.

529 4.1 Masking Effect to Scattering Signatures by Cloud Liquid Water

530 Based on analysis shown in section 3.2, liquid water path frequently varies from 0
531 to 500 g m^{-2} for any of the 3 types of snowing clouds while snowfall rate at surface
532 commonly reaches to 0.3, 0.5, and 1.0 mm h^{-1} , respectively, for near-surface, shallow, and
533 deep clouds. We examine how the cloud liquid would mask the ice scattering at two GMI
534 frequencies, 89 and 166 GHz, at viewing angles of 53° for 89 GHz and 49° for 166 GHz
535 using radiative transfer calculations. Using clear-sky brightness temperature T_{B0} as the base,
536 Figure 11 shows how brightness temperature varies as liquid water path and surface
537 snowfall rate increase. Note that in these radiative transfer calculations, mean snowfall rate
538 profiles derived from observations are used. The mean profiles are derived as follows. We
539 first group all the observed snowfall rate profiles according to their cloud type, and then
540 for each cloud type we average those profiles that fall into a given snowfall rate bin. A 1-
541 km deep liquid cloud layer is placed at 0.5-1.5 km, 2.5-3.5 km and 4.5-5.5 km, respectively,
542 for near-surface, shallow, and deep clouds. The liquid water path is increased from 0 to
543 500 g m^{-2} .

544 For near-surface snowing clouds, the decrease of brightness temperature due to ice
545 scattering is very limited for either 89 or 166 GHz, only about 1.5 K for 89 GHz and 2.5 K
546 for 166 GHz occurring when liquid water path is very low. Therefore, most likely this type
547 of clouds displays a warming signature in the passive microwave observations due to the
548 existence of liquid water clouds. For shallow snowing clouds, the modeling results show
549 there is still a mostly warming at 89 GHz and an equal mix of warming and cooling at 166
550 GHz. The masking effect still remains quite significant at 89 GHz even for deep snowing
551 clouds; it can cause an increase in brightness temperature by more than 5 K from clear-sky
552 value. The dominant scattering signature shows at 166 GHz for deep clouds. At surface
553 snowfall rate of 1 mm h^{-1} , brightness temperature can decrease from clear-sky value by
554 more than 30 K (color bar only shows up to -15 K) when liquid water path is lower than
555 100 g m^{-2} .

556 Based on the above modeling results, it is clear that if only relying on scattering
557 signature, i.e., brightness temperature depression, an algorithm will totally fail in retrieving
558 snowfall rate for near-surface clouds and partially fail for shallow clouds. Even for deep
559 snowing clouds, cloud liquid water will impact snowfall retrieval with a result of an

560 overestimation for low and an underestimation for high values of liquid water path.
561 Therefore, a more plausible approach to the retrieval problem is to use a statistical method
562 in which the algorithm utilizes any regularities naturally existing between cloud liquid and
563 snow profiles to search for the most likely snowfall rate. One such approach is the Bayesian
564 retrieval algorithm (Kummerow et al., 1996; Olson et al., 1996; Seo and Liu, 2005). This
565 approach requires that the *a priori* database used in the retrieval has the same characteristics
566 in both microphysical properties and occurring frequency as those in natural clouds.

567 4.2 A Bayesian Retrieval Exercise

568 In this section, an idealized experiment is designed to examine how a Bayesian
569 retrieval algorithm would perform for the three types of snowing clouds if we only take
570 into account the error caused by the variability of liquid water path and snowfall rate
571 profiles. In other words, we examine how well a Bayesian retrieval algorithm would
572 perform, when assuming no variations in surface emissivity, snowflakes being a fixed type,
573 and particle size distribution following an exponential form. Therefore, this exercise
574 mainly assesses the problems caused by the uncertainties associated with cloud liquid and
575 snow amounts.

576 First, a total of 30870 5-minute averaged snow profiles are constructed from the 6
577 months long surface radar observations (including zero snowfall profiles). Each of the
578 snow profile is accompanied with a liquid water path which is assigned to be a 1 km deep
579 layer at 0.5-1.5 km, 2.5-3.5 km and 4.5-5.5 km, respectively, for near-surface, shallow, and
580 deep clouds. Atmospheric temperature, pressure, and relative humidity profiles are also
581 assigned to these profiles by interpolating MERRA-2 data spatially and temporally to the
582 individual snow profiles. A radiative transfer model calculation is then performed to
583 generate brightness temperatures at 11 GMI channels (all except the 10.7 GHz GMI
584 channels) using the above profiles as input. The 10.7 GHz channel is not considered here
585 because its brightness temperature is not sensitive to either liquid or ice hydrometeors and
586 its GMI channel has too large a footprint size compared to other channels. It is also assumed
587 that surface skin temperature is the same as surface air temperature and surface emissivity
588 is a constant (0.9 for land) for all channels. A sector type snowflake (Liu, 2008b) and an
589 exponential particle size distribution (Sekhon and Srivastava, 1971) are used for all the

590 cases. We then randomly divided the 30870 profiles and their computed brightness
591 temperatures into two equal-number groups; one is used as the *a priori* database for the
592 Bayesian retrieval algorithm, and the other as “observations” to test how well the surface
593 snowfall rate can be retrieved from the “measured” brightness temperatures. To mimic a
594 possible random error in the measured brightness temperatures, a random noise with a
595 maximum magnitude of 1 K is added to the “measured” brightness temperatures before
596 retrieval is performed. A detailed description of the Bayesian retrieval method can be found
597 in Seo and Liu (2005).

598 In Fig.12 shown are the scatterplots of “measured” versus retrieved surface
599 snowfall rate, separated by snow cloud types. The correction as indicated by R^2 (square of
600 linear correlation coefficient), bias and root-mean-square (rms) difference are shown in
601 each diagram. The biases between the “measured” and retrieved snowfall rate are small for
602 all snow cloud types, with values of 0.019, 0.033, and 0.03 mm h^{-1} for near-surface, shallow
603 and deep snowing clouds, respectively. The values of rms differences are also small; they
604 are 0.05, 0.11, and 0.16 mm h^{-1} , respectively, for near-surface, shallow and deep snowing
605 clouds. The color of the points in the figures indicates the value of liquid water path
606 associated with individual profiles. Clearly, as the cloud layer deepens, the skill of the
607 retrieval improves. The values of R^2 increases from 0.32 for near-surface clouds, to 0.41
608 for shallow clouds, and to 0.62 for deep clouds. That is, the retrievals can resolve 32%,
609 41%, and 62% of the variances in snowfall rate observations for near-surface, shallow and
610 deep clouds, respectively.

611 A question one may naturally want to ask is: Will the retrieval skill be improved if
612 the same clouds were moved to areas over ocean where liquid water information is
613 distinguishable at some microwave channels (e.g., 89 GHz)? To answer this question, we
614 perform the same retrieval exercise as mentioned above but assuming the clouds are over
615 an ocean surface with a constant surface wind speed of 5 m s^{-1} . Similarly, half of the 30870
616 samples are used as a priori database and half as “observations”. The retrieval results are
617 shown in Fig.13. Similar to land cases, the biases and rms differences have small values
618 for all cloud types. For deep snowing clouds, the R^2 statistic indicates only small
619 improvement in retrieval skills between over land and over ocean cases, although a visual

620 inspection of the scatterplot shows that a better correspondence between “measured” and
621 retrieved values at snowfall rates lower than 0.2 mm h^{-1} . The improvement in retrieval
622 skills for over ocean shallow clouds is moderate with R^2 of 0.54 versus 0.41 over land. The
623 most significant improvement in retrieval skills occurs for over ocean near-surface snowing
624 clouds, in which R^2 increases from 0.32 over land to 0.52 over ocean. Note that land surface
625 emissivity and ocean surface wind are fixed in the retrieval exercises. Therefore, the
626 improvement is not due to a better knowledge of surface conditions, but rather due to the
627 richer information content on cloud microphysics contained in “measured” brightness
628 temperatures over ocean. One such piece of information must have come from the
629 brightness temperature difference between two polarizations over ocean, which remains
630 mostly zero over land surfaces. The results shown in Fig.13 indicate that the extra
631 polarization information helps the most for retrieving snowfall in shallower clouds.

632 In Figs. 12 and 13, it is also noted that an underestimation occurs when snowfall
633 rate is greater than 0.7 mm h^{-1} for deep snowing clouds regardless over land or ocean. This
634 underestimation may be due to the deficiency of the Bayesian scheme, in which the
635 retrieval is a weighted average of snowfall rates of datum points in the *a priori* database
636 that are radiometrically consistent with observations. When an observation is close to the
637 upper boundary (i.e., high snowfall rates) in the database, the averaging takes a greater
638 number of datum points with snowfall rates lower than the actual value than those with
639 higher snowfall rates (no more datum points beyond upper boundary), thus resulting in an
640 underestimation.

641 To understand the information conveyed in polarization difference of brightness
642 temperatures, we performed a similar simulation to that described in Section 4.1, but
643 replaced land surface to ocean surface with a wind speed of 5 m s^{-1} . The changes of
644 depolarization as liquid water path and snowfall rate increase are shown in Fig.14 for each
645 of the 3 cloud types at 89 and 166 GHz. Depolarization is defined as $\Delta T_B = T_{BV} - T_{BH}$, where
646 T_{BV} and T_{BH} are brightness temperatures at vertical and horizontal polarizations,
647 respectively. The change is relative to clear-sky values, ΔT_{B0} . The change in depolarization
648 at 89 GHz is well corresponding to the change in liquid water path, without much
649 dependence on snowfall rate, particularly for near-surface and shallow snowing clouds.

650 Therefore, it is plausible that the increased retrieval skill over ocean for near-surface and
651 shallow clouds is due to the added information on liquid water contained in the polarization
652 differences. Comparing Figs.12 and 13, it seems that the added information is particularly
653 helpful in improving retrievals at low snowfall rates.

654

655 5. Conclusions

656

657 During the 2017-18 winter season, a ground-based radar and radiometer
658 observation has been carried out over Korean Peninsula as part of the ICE-POP 2018
659 campaign. Using the coincident radar and radiometer data, we were able to retrieve cloud
660 liquid water path, snow water content and snowfall rate. These microphysical properties
661 and their relation to cloud top height are analyzed in an effort to better understand their
662 implications to satellite remote sensing of snowfall. In the analysis, we divide the
663 approximately 374 hours of observed snowing clouds into near-surface, shallow and deep
664 types, for which the cloud top height is below 1.5 km, between 1.5 and 4 km and above 4
665 km, respectively. The near-surface snowing clouds are most likely to be missed by
666 currently available space-borne radars because of the blind zone caused by the
667 contamination of surface clutter, and their shallowness and liquid water abundance may
668 also present challenges to satellite radiometer observations. In this region during the
669 observation period, the shallow snowing clouds commonly occur in unstable air mass after
670 the passing of a cold front. It can be detected by space-borne radars with sufficient low
671 minimum detectable radar reflectivity, but the mixture of cloud liquid emission and ice
672 scattering complicates the retrievals by passive microwave observations. The deep
673 snowing clouds are mostly located near frontal zones and low-pressure centers; their strong
674 ice scattering signature makes it the most favorable type among the three for snowfall
675 retrievals by both satellite radars and radiometers. Surveying all the observed data, it is
676 found that near-surface snowing cloud is the most frequently observed cloud type with a
677 frequency of occurrence over 60%, while deep snowing cloud contributes the most in
678 snowfall volume with about 50% of the total snowfall amount.

679 The probability distributions of surface snowfall rates are clearly different among
680 the three types of snowing clouds, with vast majority of them hardly reaching to 0.3 mm h^{-1}
681 1 for near-surface, 0.5 mm h^{-1} for shallow, and 1 mm h^{-1} for deep snowing clouds. However,
682 liquid water path in the three types of snowing clouds all has substantial likelihood to be
683 between 0 to 500 g m^{-2} , although deeper clouds are somewhat more likely with more liquid
684 water as well. There is no clear correlation, either positive or negative, between surface
685 snowfall rate and liquid water path. However, given the same surface snowfall rate, clouds
686 with lower cloud top height tend to have higher liquid water path. The glaciation ratio
687 defined by the ice fraction in the total condensed water in an atmospheric column is
688 estimated and found to be related to cloud top height, surface snowfall rate and cloud mean
689 temperature, although the relations are very scattered. A higher value of glaciation ratio is
690 generally corresponding to a higher cloud top, a higher surface snowfall rate and lower
691 cloud mean temperature.

692 Moreover, we examined the ability of a Bayesian type algorithm to retrieve surface
693 snowfall rate for snow events similar to those observed in this study when using GPM/GMI
694 observations. First, using the approximately 30,000 observed snow cloud and precipitation-
695 free profiles, brightness temperatures at GPM/GMI channels are computed. Then, these
696 snowfall rate and associated brightness temperature pairs are randomly divided into two
697 groups. One group is used as “observations” and the other is used as the *a priori* database
698 of the Bayesian algorithm. Under idealized scenario, i.e., without considering the
699 uncertainties caused by surface emissivity, ice particle size distribution and particle shape,
700 the examination results indicate that the correlation as expressed by R^2 between the
701 “retrieved” versus “measured” snowfall rates is about 0.32, 0.41 and 0.62, respectively, for
702 near-surface, shallow and deep snowing clouds over land surface. Since this is an extremely
703 idealized retrieval exercise only dealing with the complicated mixture of cloud liquid and
704 snow profiles, these numbers basically indicate the upper limits of how a retrieval
705 algorithm can perform for these snowing clouds. A hypothetical retrieval for the same
706 clouds but over ocean is also studied, and a major improvement in skill for near-surface
707 clouds is found with R^2 increased from 0.32 to 0.52, while improvement in skill is small
708 for deeper clouds. The improvement is interpreted as that some liquid water information is
709 resolved by the polarization difference contained in the over-ocean brightness temperatures.

710 This information helps the most for the otherwise information-poor observations for the
711 near-surface clouds.

712 By analyzing the radar and radiometer data from one-winter-long observations and
713 the results of a Bayesian retrieval dry run, this study gives a general picture of the
714 characteristics of the different types of snowing clouds and points out the fundamental
715 challenges in retrieving their snowfall rate from passive microwave observations. It is
716 hopeful that these results can help developers improve physical assumptions in future
717 algorithms as well as data users better interpret satellite retrieved snowfall products. Lastly,
718 it is worth mentioning that there are still many valuable datasets, such as particle shape and
719 size distribution information from PARSIVEL, 2DVD and MASC, which we didn't
720 analyzed quantitatively in this study. A thorough analysis of those datasets in conjunction
721 with the remote sensing data will undoubtedly improve future snowfall retrieval algorithm
722 development.

723

724 ***Data availability.*** Surface radar and radiometer data were obtained during ICE-POP 2018
725 by the authors.

726

727 ***Author contributions.*** Data collection are made by Lee, Kim, Seo and Jeoung. Radiative
728 transfer modeling and manuscript writing are primarily done by Liu and Jeoung.
729 Interpretation of results are shared by all authors.

730

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732

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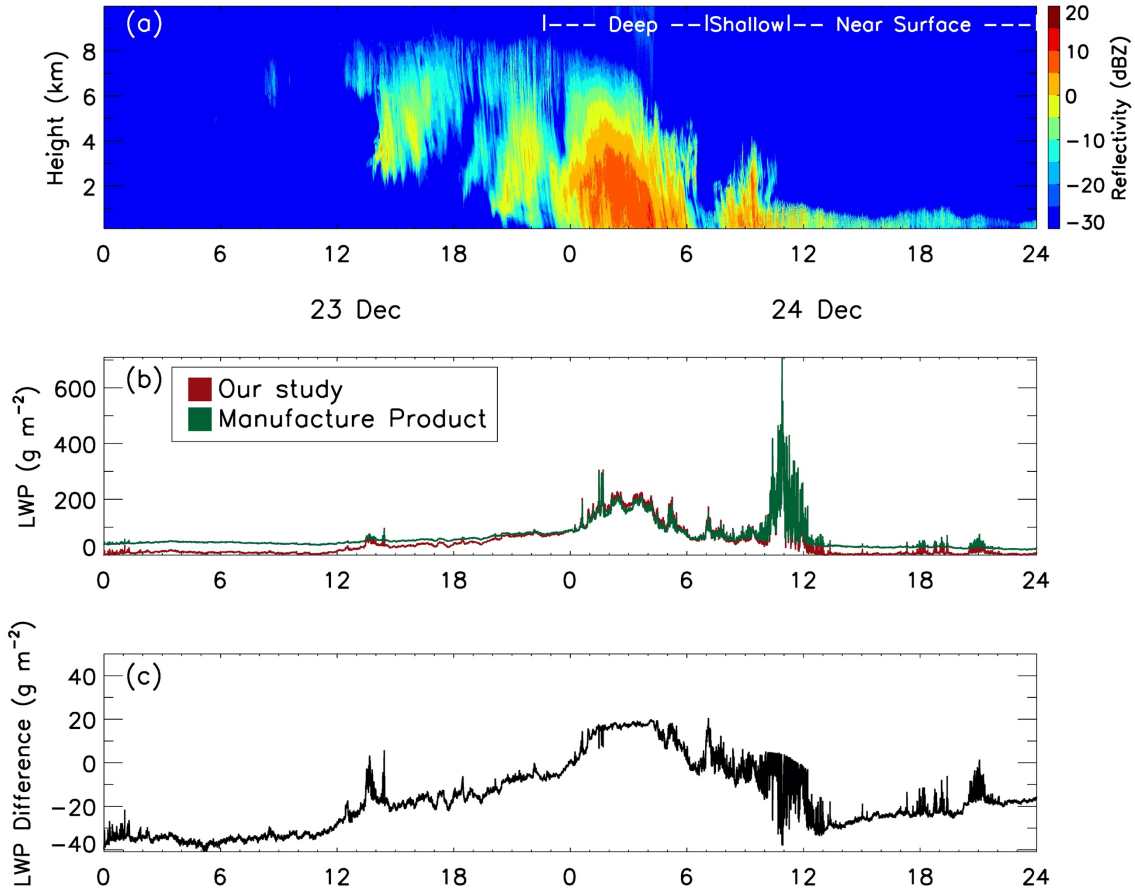
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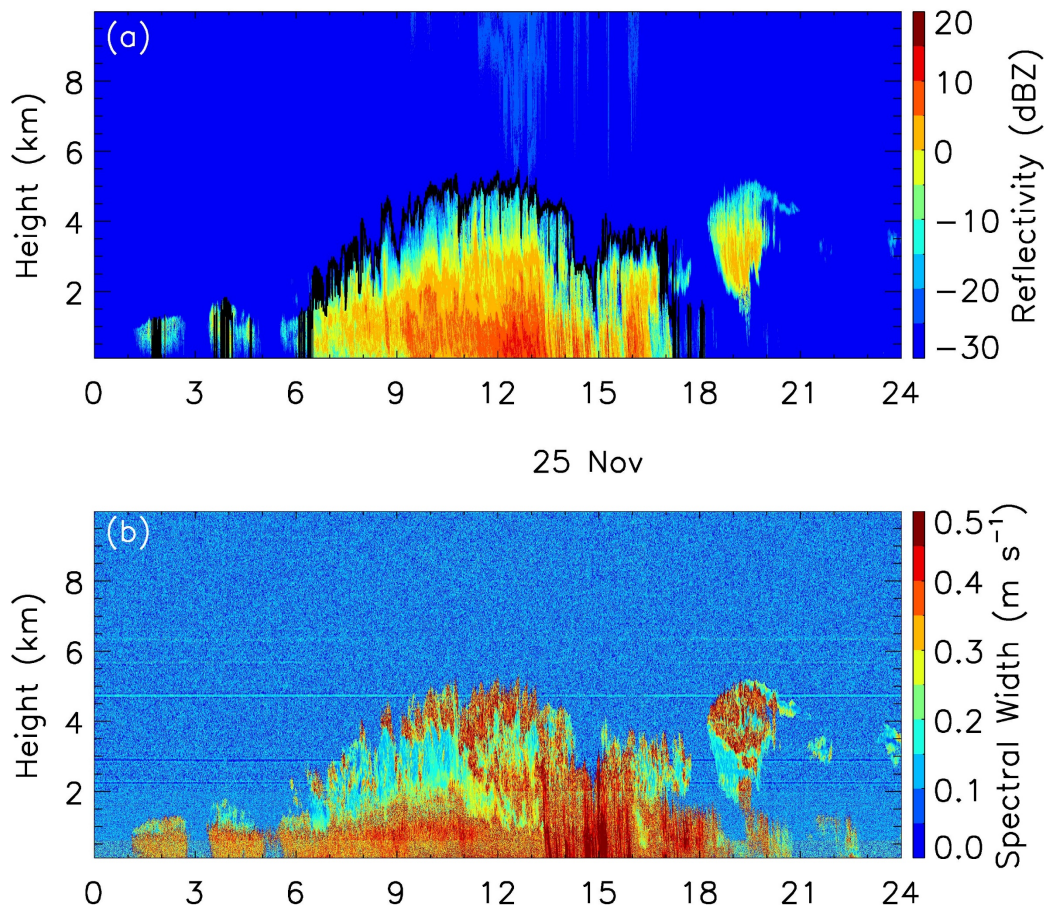
971 Fig.1 (a) Radar reflectivity, (b) two liquid water path retrievals and (c) their differences

972 (LWP of our study plus manufacture product) for observations during 23 and 24

973 December 2017. In the top panel, cloud types as defined in the text are also indicated.

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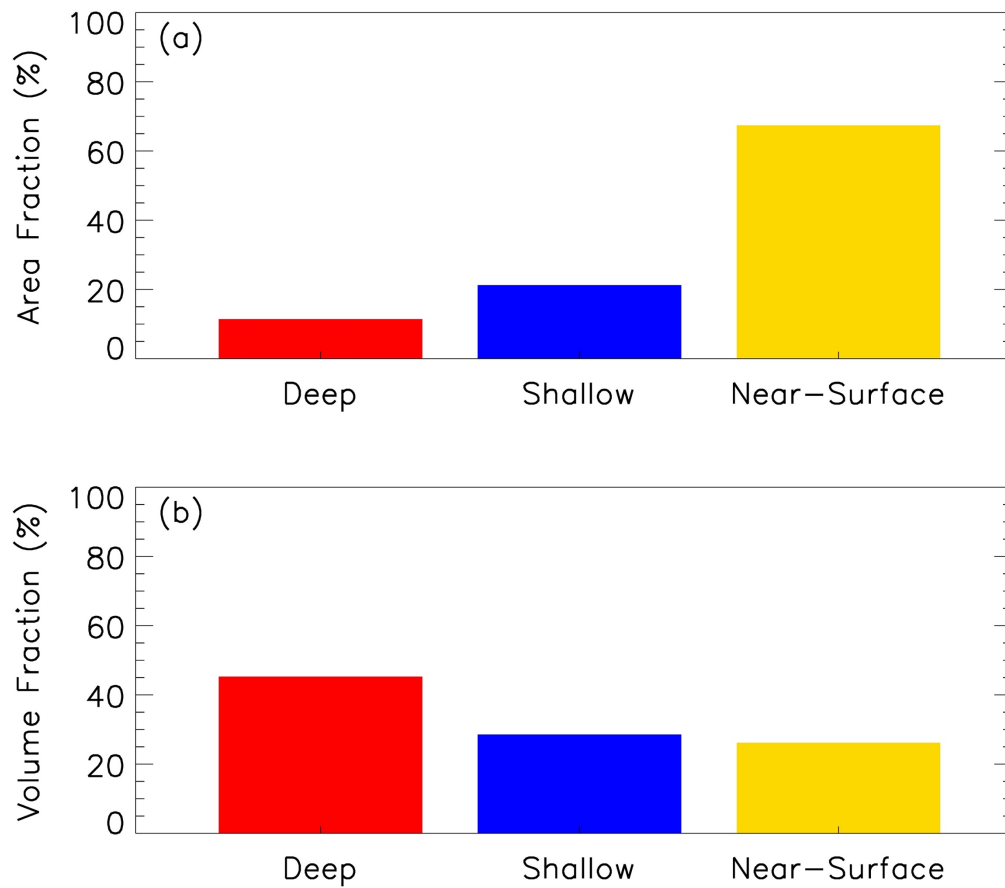
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981 Fig.2 Height-time cross section of (a) radar reflectivity and (b) Doppler spectral width for
 982 observations on 25 November 2017. The cloud top for snowing clouds (surface radar
 983 reflectivity greater than -20 dBZ) is also shown in the top panel.

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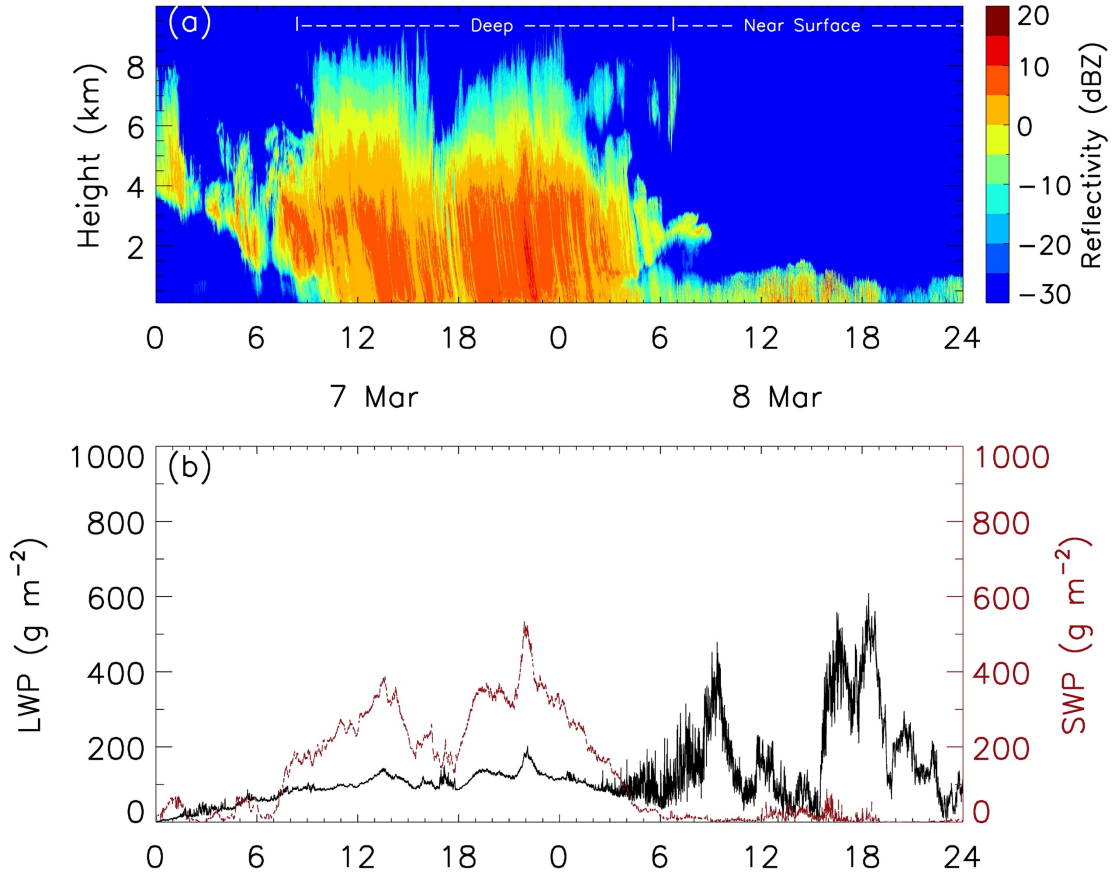
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989 Fig.3 (a) Area and (b) volume fractions of the 3 types of snowing clouds observed during
 990 the 2017-18 winter season.

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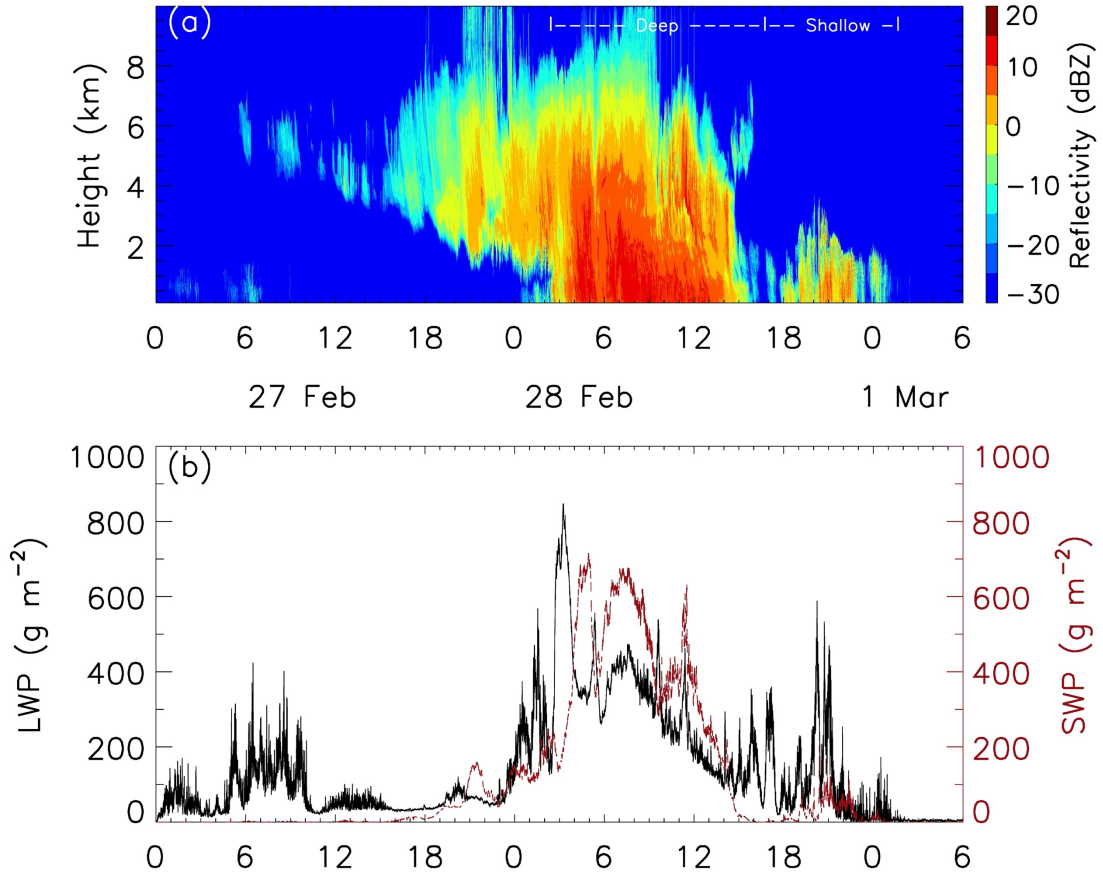
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996 Fig.4 (a) Height-time cross section of radar reflectivity and (b) time series of liquid water
 997 path (LWP, black) and snow water path (SWP, red) for observations on 7 and 8 March
 998 2018.

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1003 Fig.5 (a) Height-time cross section of radar reflectivity and (b) time series of liquid water

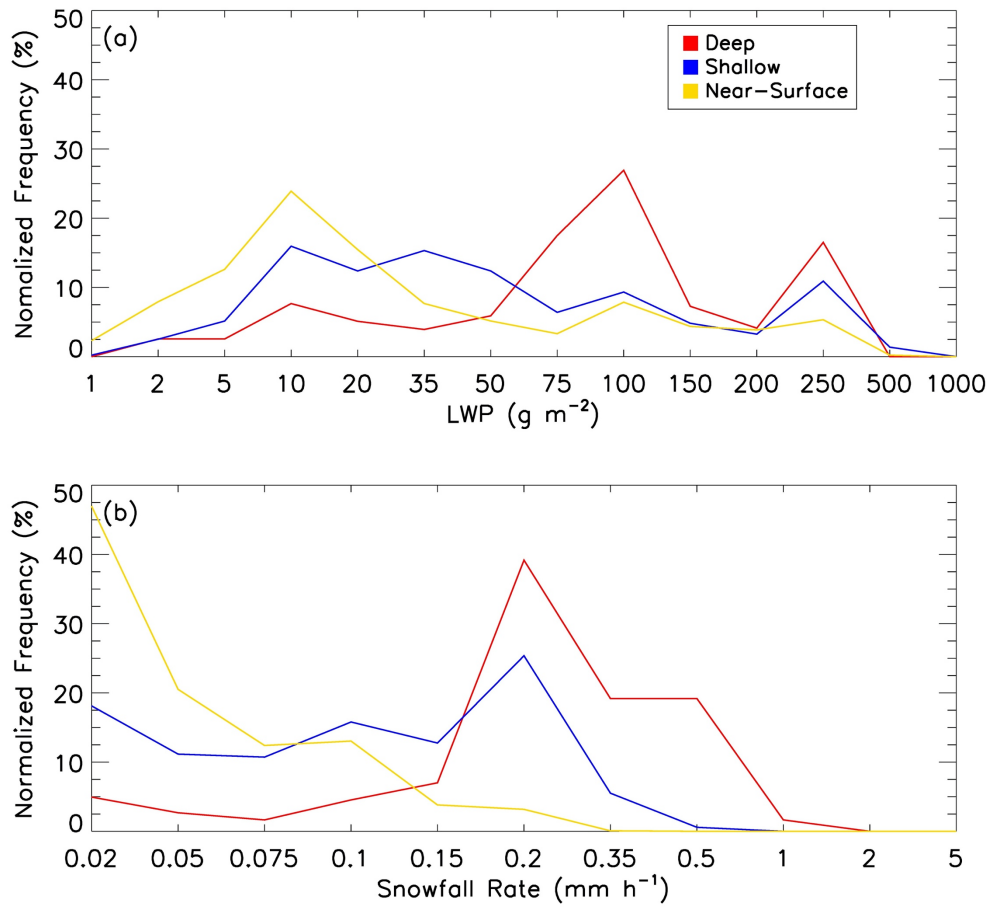
1004 path (LWP, black) and snow water path (SWP, red) for observations from 27 February

1005 through 1 March 2018.

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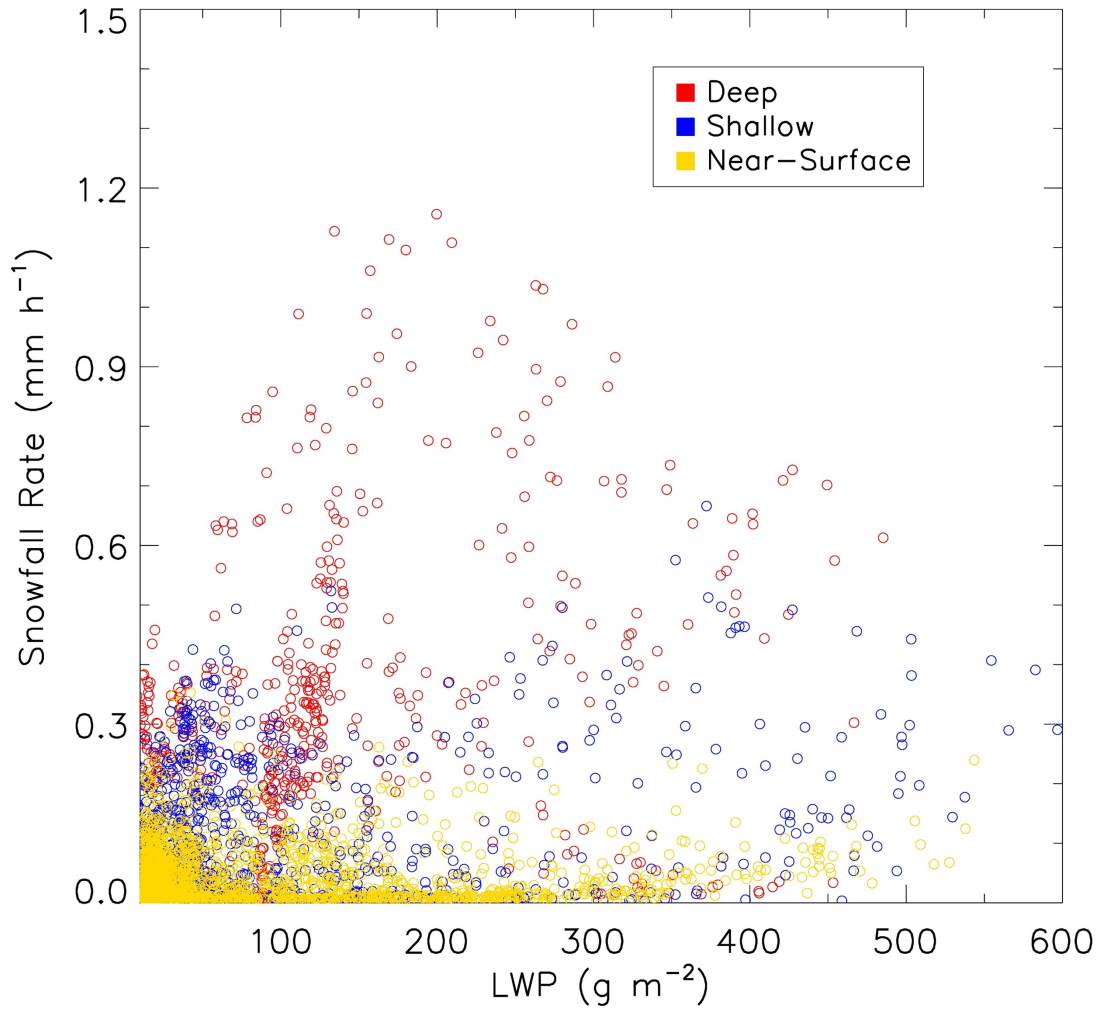
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1012 Fig.6 Frequency distribution of (a) liquid water path and (b) snowfall rate at surface

1013 derived from all observed snowfall data during the 2017-18 winter. The frequency values

1014 are normalized so that the sum of their values at all bins is 100%.

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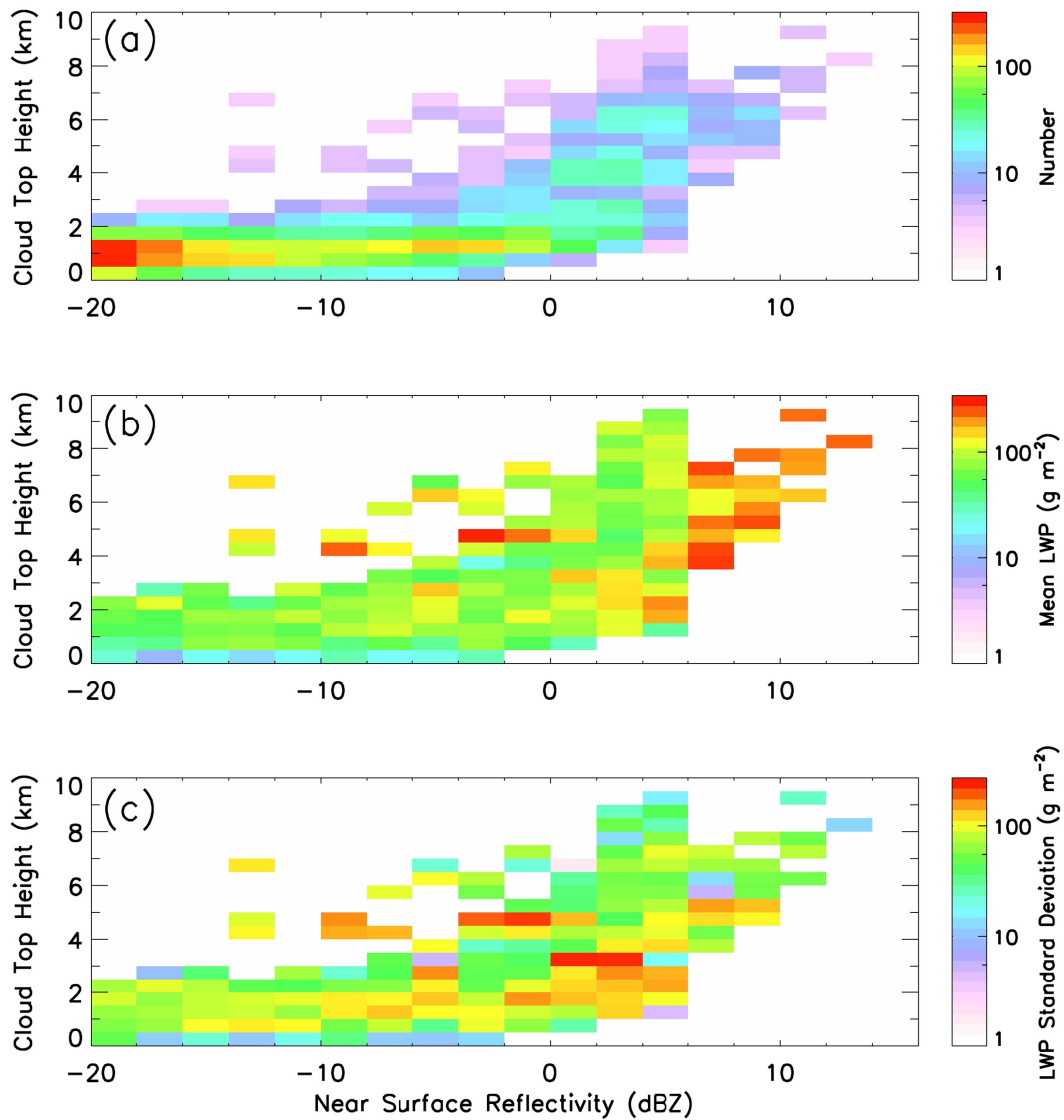
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1018 Fig.7 Scatterplot of liquid water path and surface snowfall rate. Each point is an average
1019 of 5-minute data. All observed data during the 2017-18 winter are included.

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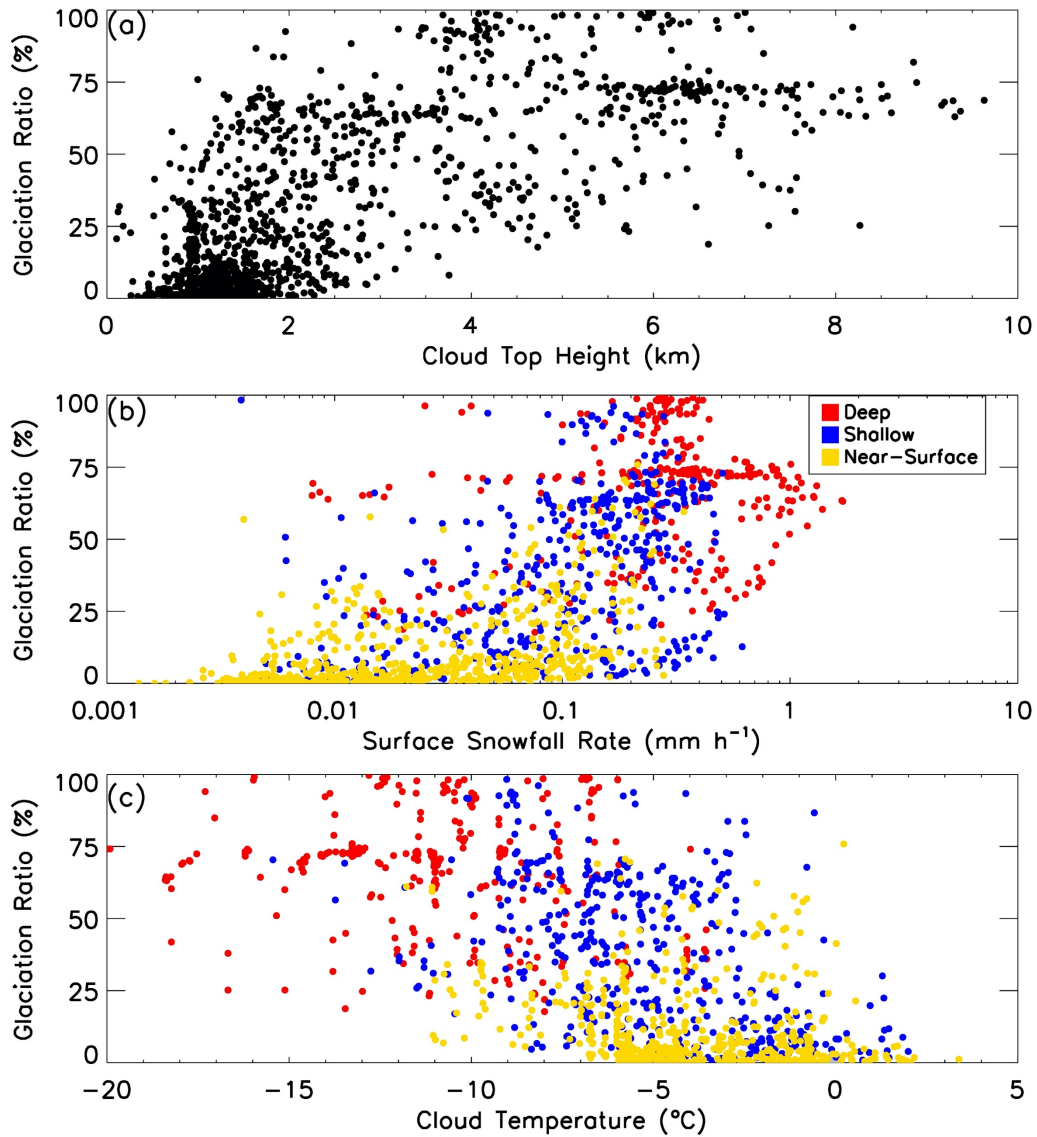


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1024 Fig.8 Two-dimensional distributions of (a) number of occurrences, (b) liquid water path
 1025 and (c) standard deviation of liquid water path as a function of near surface radar
 1026 reflectivity and cloud top height. All observed data during the 2017-18 winter are used in
 1027 calculate the distributions.

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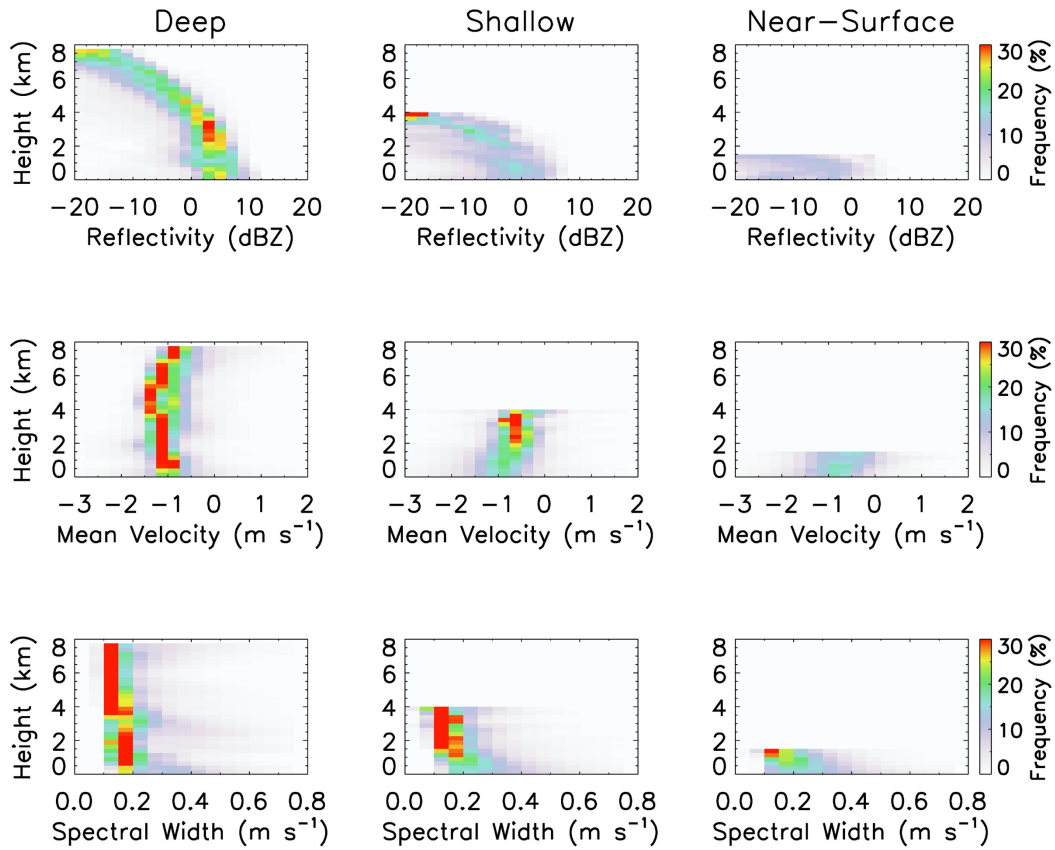
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1032 Fig.9 Scatterplot of glaciation ratio (see definition in the text) with (a) cloud top height,

1033 (b) surface snowfall rate and (c) cloud temperature based on 5-minute averages of all

1034 observational data of snowing clouds in the 2017-18 winter.



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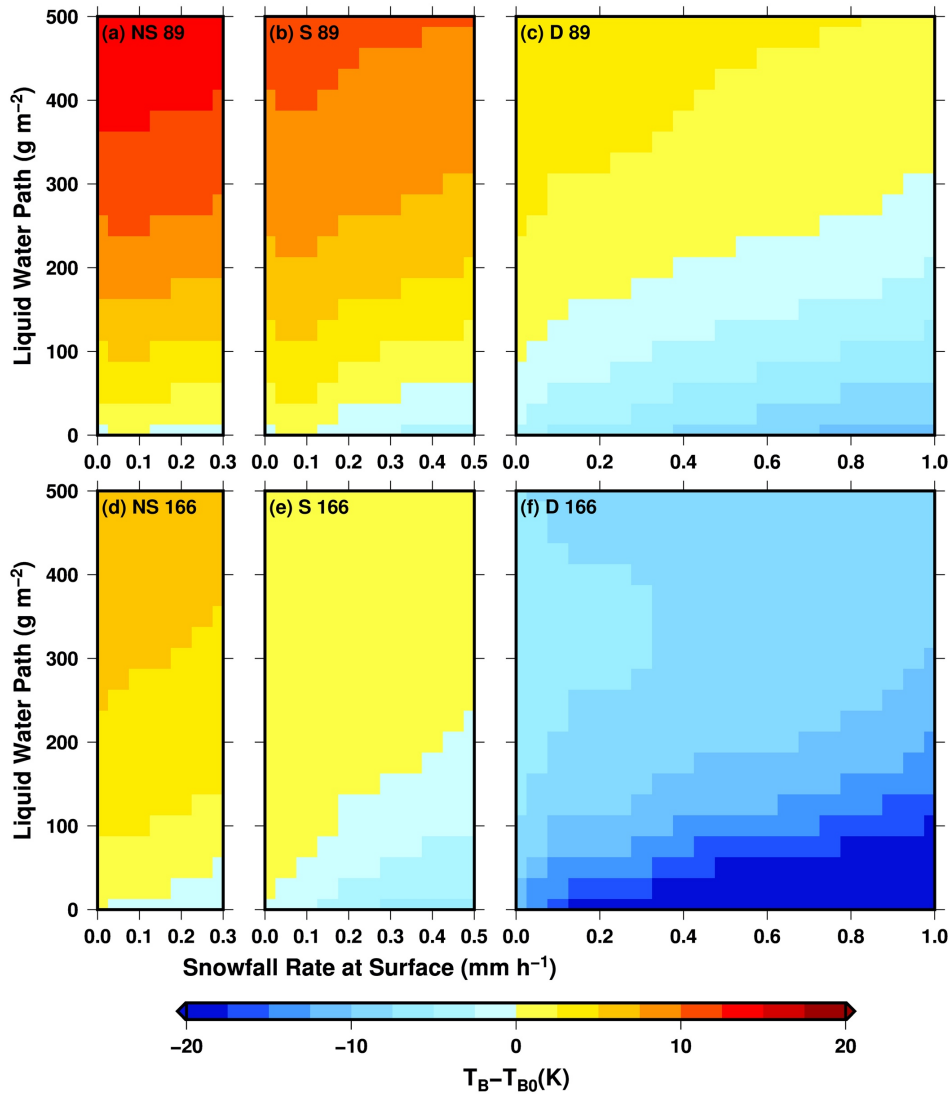
1038 Fig.10 Contoured frequency by altitude diagram (CFADs) for radar reflectivity (top),
 1039 mean Doppler velocity (middle) and Doppler spectral width (bottom) for deep (left),
 1040 shallow (middle) and near-surface (right) snowing clouds. The frequency values are
 1041 calculated in such a way that the sum of all frequency values at each altitude is 100%. All
 1042 observed data from the 2017-18 winter are used.

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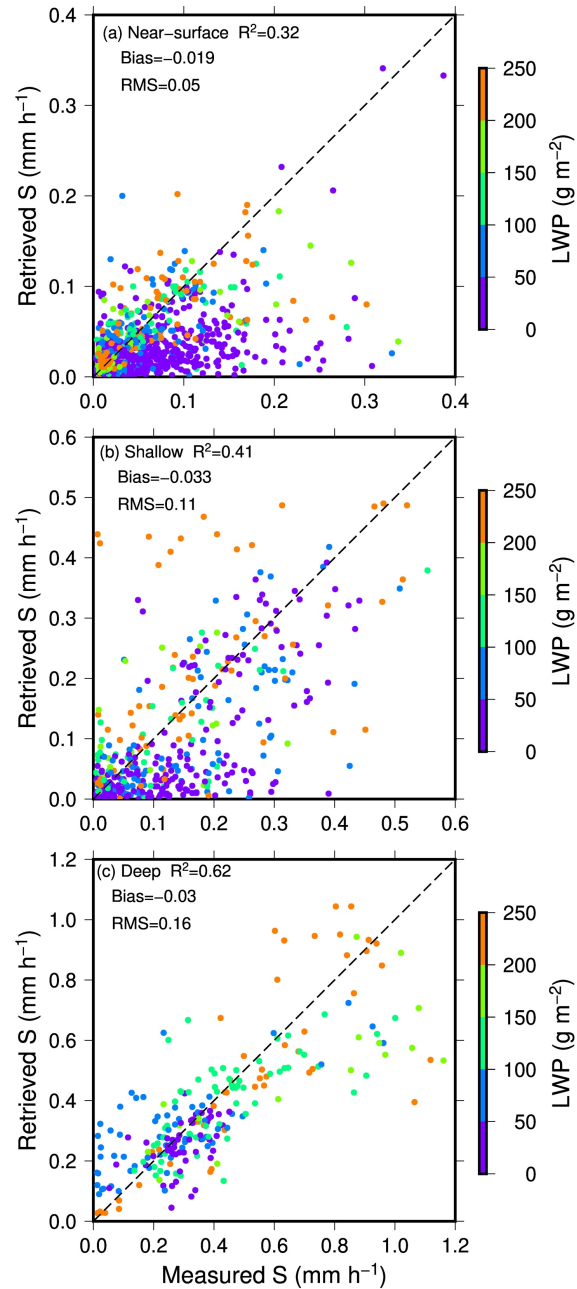


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1048 Fig.11 Simulated brightness temperature change (relative to clear-sky) at GMI 89 GHz
 1049 (top) and 166 GHz (bottom) for near-surface (left), shallow (middle) and deep (right)
 1050 snowing clouds. The change is relative to values at clear-sky.

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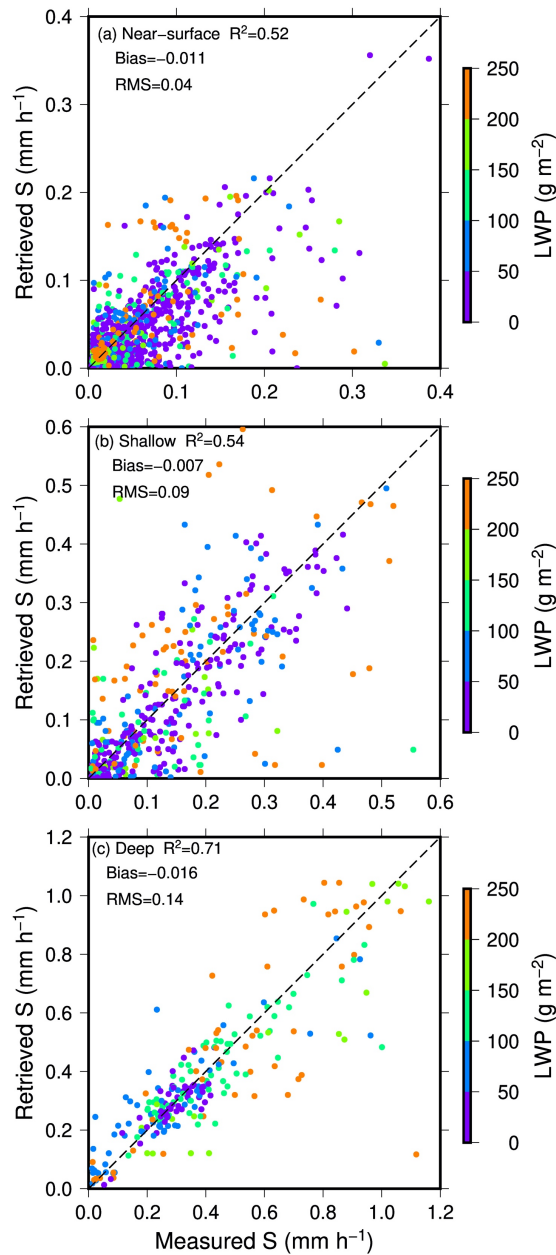


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1055 Fig.12 Scatterplot of “measured” versus “retrieved” snowfall rate for (a) near-surface, (b)
 1056 shallow and (c) deep snowing clouds over land. Color of the points indicates liquid water
 1057 path associated with the case. Correlation is indicated by R² in each diagram. Biases and
 1058 root-mean-square (RMS) differences are also indicated in the diagrams.

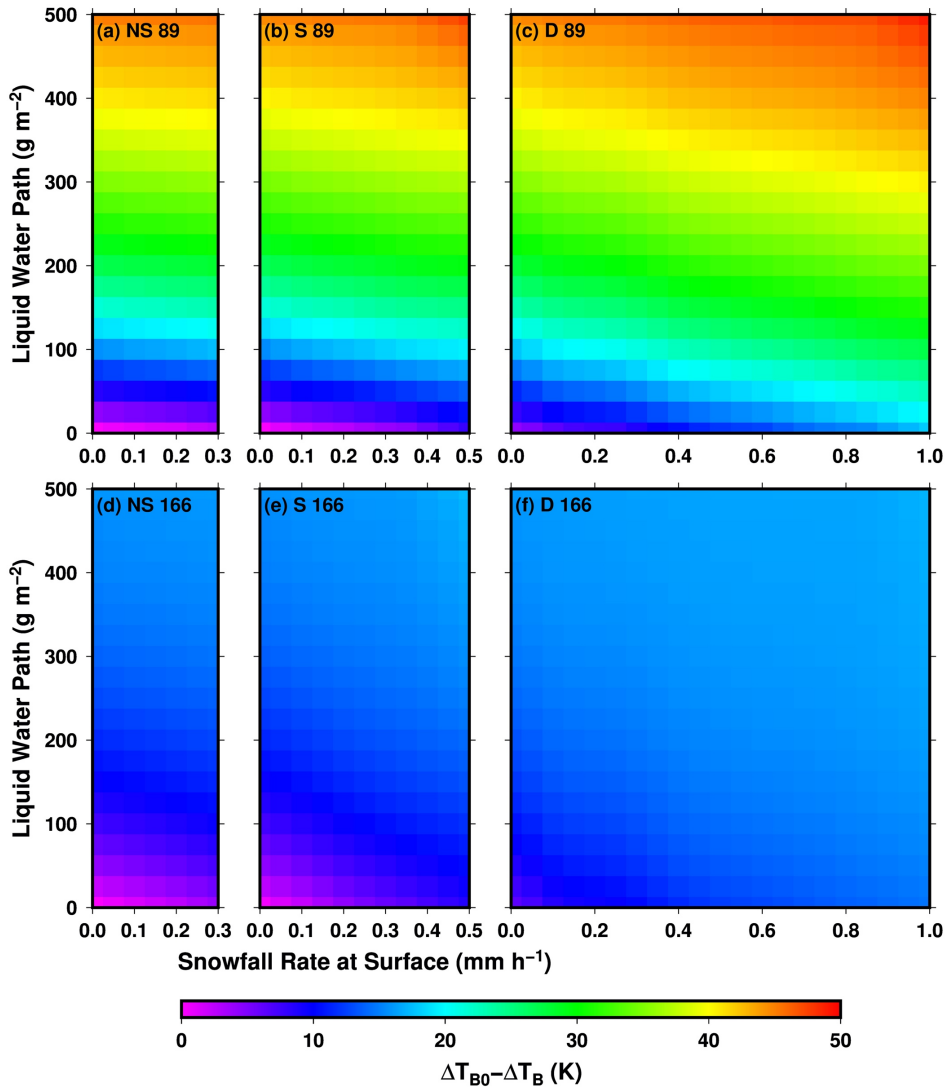
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1063 Fig.13 Scatterplot of “measured” versus “retrieved” snowfall rate for (a) near-surface, (b)
 1064 shallow and (c) deep snowing clouds over ocean. Color of the points indicates liquid
 1065 water path associated with the case. Correlation is indicated by R^2 in each diagram.
 1066 Biases and root-mean-square (RMS) differences are also indicated in the diagrams.



1067

1068 Fig.14 Simulated change of depolarization for GMI 89 GHz (top) and 166 GHz (bottom)
 1069 for near-surface (left), shallow (middle) and deep (right) snowing clouds over ocean.
 1070 Depolarization is the brightness temperature difference between vertical and horizontal
 1071 polarizations. The change is relative to values at clear-sky.

1072